

SMR 1232 - 33

**XII WORKSHOP ON
STRONGLY CORRELATED ELECTRON SYSTEMS**

17 - 28 July 2000

***CROSSOVER FROM
COHERENT TO INCOHERENT PAIRING
IN THE SPIN FERMION MODEL FOR CUPRATES***

J. SCHMALIAN
Department of Physics and Astronomy
Iowa State University and Ames Laboratory
Ames, U.S.A.

These are preliminary lecture notes, intended only for distribution to participants.

Crossover from coherent to incoherent pairing in the spin fermion model for cuprates

J. Schmalian

*Department of Physics and Astronomy
Iowa State University
+ Ames Laboratory*

collaborators:

Artem Abanov + Andrey Chubukov (*UW Madison*)
(spin fermion model)

SUMMARY OF THIS TALK

AF - Quantum Critical Point



specific NON FERMILiquid

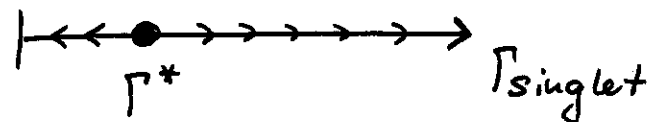


$$\chi_{q.p.} \sim \omega^\gamma \quad ; \quad \gamma \approx \frac{1}{2}$$
$$G^{-1}(\omega) \sim \omega^{1-\gamma}$$

pairing problem



Non F.L. unstable



$T_{\text{inst.}} \sim \text{upper cut off}$

$\Rightarrow$ singlet gap Δ

mean field theory
($1/N$ expansion)
at the QCP

- $\Delta \sim \text{cut off}$ (fermions are gapped)
- gapless spin mode
- excitations above Δ :
eff. mass $\mu^* \rightarrow \infty$
(no coherency peak, no S.C.)

↓
mean field theory
away from QCP

- ground state : superconducting
- gapless mode at the QCP
→ resonance mode, Ω_r
- excitations above the gap:

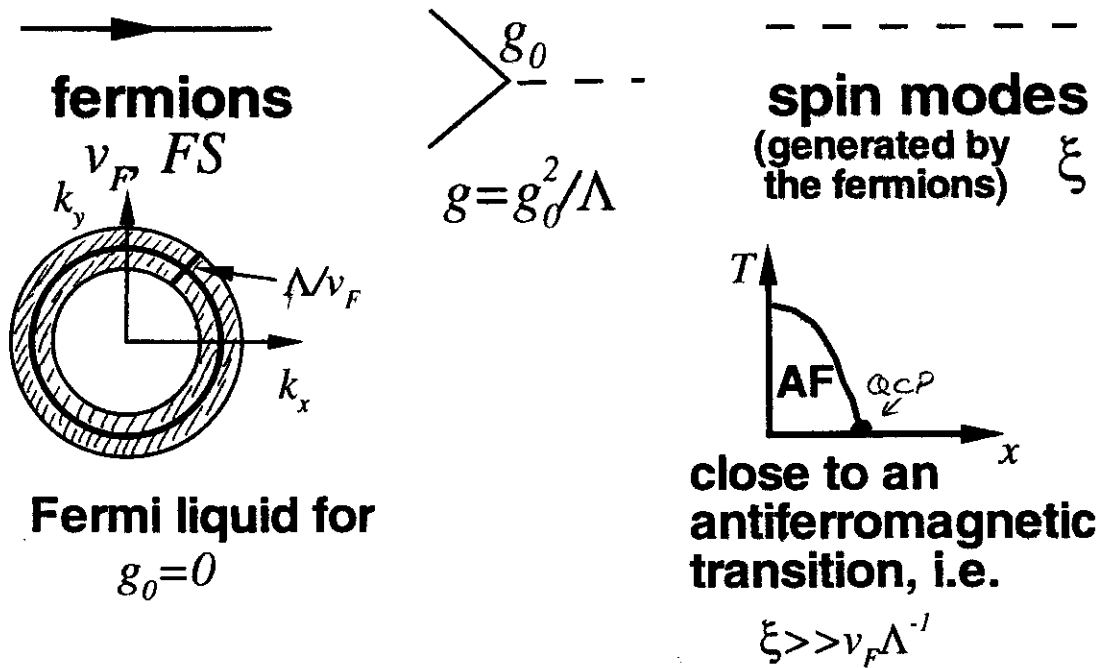
$$\mu^* \sim f\left(\frac{\Omega_r}{T}\right) \\ \sim \left(\frac{\Omega_r}{T}\right)^{-1}$$

$$\left(\begin{array}{l} \mathcal{J}_S \sim \text{coherence peak} \sim \frac{\Omega_r}{T} \\ \Rightarrow \boxed{T_c \sim \Omega_r} \end{array} \right)$$

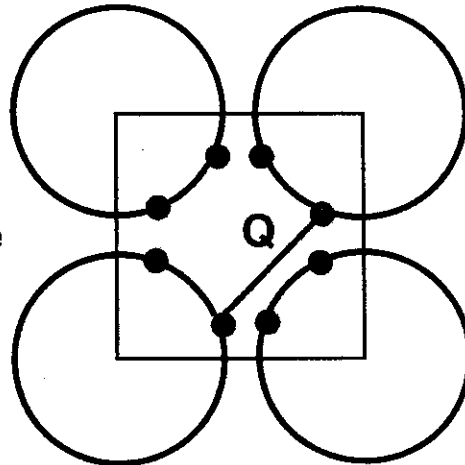
- spin excit.
are insensitive to " T_c effects "

gap becomes visible
for $T \approx T^* > T_c$

spin fermion model



"hot spots" of the Fermi surface
(Hlubina+Rice)



two parameters:

$$g, v_F, \xi$$

→

$$g, v_F / \xi$$

alternatively

$$\lambda = \frac{3g}{4\pi v_F \xi^{-1}}, g$$

perturbation theory ($1/N$ -expansion)

spins acquire dynamics:

[illegible]

$$\chi^{-1} = \xi^{-2} + (q-Q)^2 - \Pi(i\omega) \qquad \omega_{sf} \propto v_F^2/g \, \xi^{-2}$$

**fermions become
"quantum critical":**

$$\Sigma_k(i\omega) = \text{---}\overbrace{\text{---}}^{\text{---}}\text{---}$$

$$\Sigma_k(i\omega) = \frac{\lambda i\omega \phi_k(\omega)}{1 + \sqrt{1 + |\omega|/\omega_{sf}}} + \frac{6}{\pi N} \log(\lambda) \varepsilon(\mathbf{k} + \mathbf{Q})$$

at the hot spot

$$\phi_k(\omega) = I$$

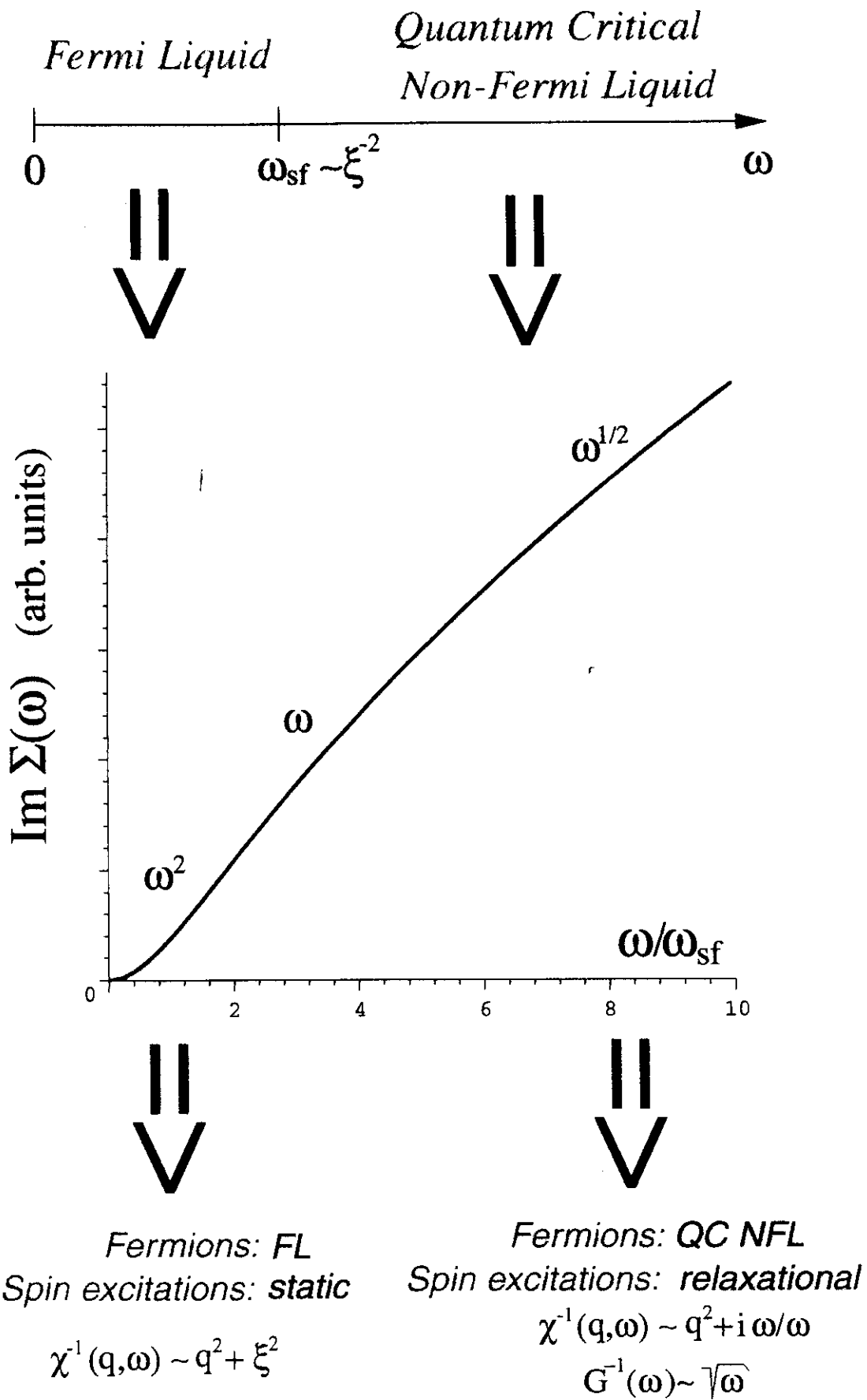
number of
hot spots

**dimensionless
coupling constant**

$$\lambda \propto g/v_F \xi$$

$\omega < \omega_{sf}$: Fermi liquid

$\omega > \omega_{sf}$: **quantum critical** $\Sigma_k(i\omega) \propto \sqrt{g\omega}$



quantum critical behavior: arbitrary dimensions

$$d > 3: \Sigma(i\omega) = -Z_0 \lambda_0 i\omega; \quad \lambda_0 \equiv g/(v_F k_F)$$

$$d \leq 3: \Sigma(i\omega) = -Z_0 \lambda_0 i\omega [\log(\xi) + \varepsilon \log^2(\xi)/2 + \dots]$$

$$\Rightarrow \varepsilon = 3-d \quad \text{expansion, if } \lambda_0 \text{ small}$$

$$\Rightarrow \text{renormalized quasiparticle weight} \quad Z = \frac{Z_0}{1 - \partial \Sigma / \partial i\omega}$$

$$\frac{dZ}{d \log \xi} = -\varepsilon Z - \lambda_0 Z^2 \Rightarrow Z \propto e^{-\varepsilon \log \xi} \propto \omega^{\varepsilon/z}$$

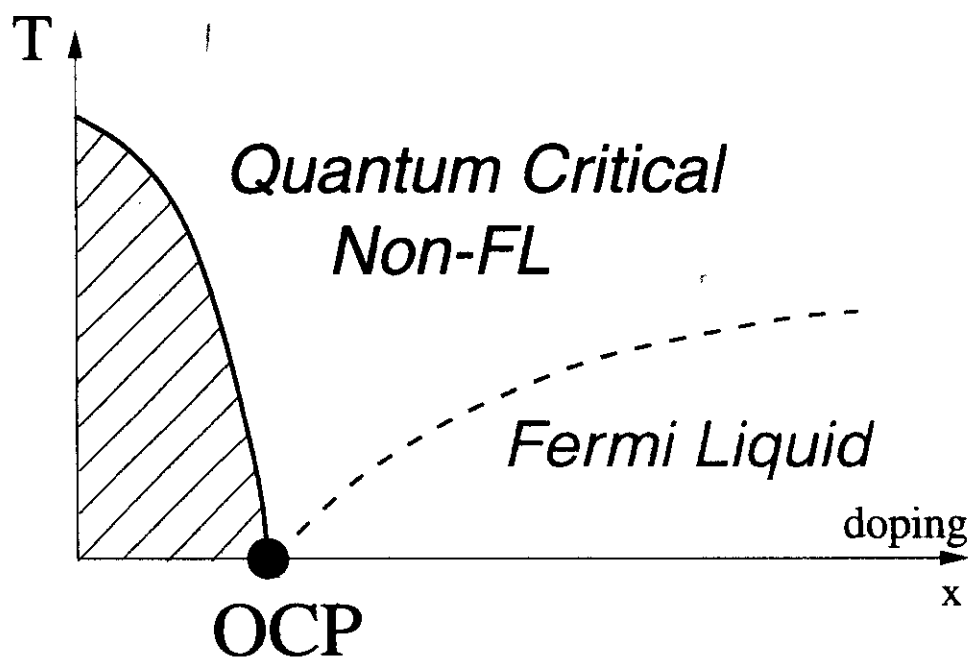
$$\Sigma(i\omega) \propto \omega^{1-\varepsilon/z}$$

$$d=2, z=2 \Rightarrow \Sigma \propto \omega^{1/2}$$

$$d=2: \delta\Gamma \propto \partial \Sigma / \partial \mathbf{k} \propto \log(\xi)/N$$

$\Rightarrow$ expansion in the inverse # of hot spots, N
(Abanov+Chubukov, PRL vol 84 (2000).)

first (incorrect) attempt
to draw a phase
diagram,



What is the size of a hot spot?

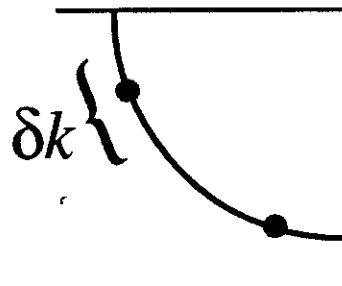
at the hot spot

$$\Sigma_k(i\omega) = \frac{-\lambda i\omega}{1 + \sqrt{1 + |\omega|/\omega_{sf}}} , \quad \lambda \propto \xi$$

away from the hot spot

$$\Sigma_k(i\omega) = -\lambda_0 i\omega , \quad \lambda_0 \ll \lambda$$

$$\text{if } \delta k \gg \xi^{-1} (1 + \omega/\omega_{sf})^{1/2}$$



$$\Rightarrow \omega=0: \delta k \propto \xi^{-1} \rightarrow 0$$

hot spots are isolated, singular points

$\Rightarrow$ dc - transport cannot be
due to h.s. anomalies

$$\Rightarrow \omega_{typ} \neq 0: \delta k \approx \sqrt{\omega_{typ}} g / v_F$$

a large part of the BZ behaves like h.s.

$\Rightarrow$ ARPES, optical cond. $\omega > \Delta$
pairing instability ...

pairing problem

$d_{x^2-y^2}$ pairing due to A.F. spin fluct.

(in high T_c context: Moriya et al., Scalapino et al.
Pines et al.)

Fermi liquid regime: $T_c < \omega_{sf}$ ($\lambda = g\xi/v_F < 1$)

$$\Phi(\omega') = \Phi_0 + \lambda^* \int_{\pi T_c} d\omega \Phi(\omega) \frac{1}{\omega} \frac{1}{\sqrt{1 + |\omega - \omega'|/\omega_{sf}}}$$

F.L. quasi-particles

$$T_c \approx \omega_{sf} \exp(-\lambda^{*-1}); \quad \lambda^* = \lambda (1 + \lambda)^{-1} \quad (\text{BCS} + \text{McMillian})$$

Q.C. regime: $T > \omega_{sf}$ ($\lambda = g\xi/v_F > 1$)

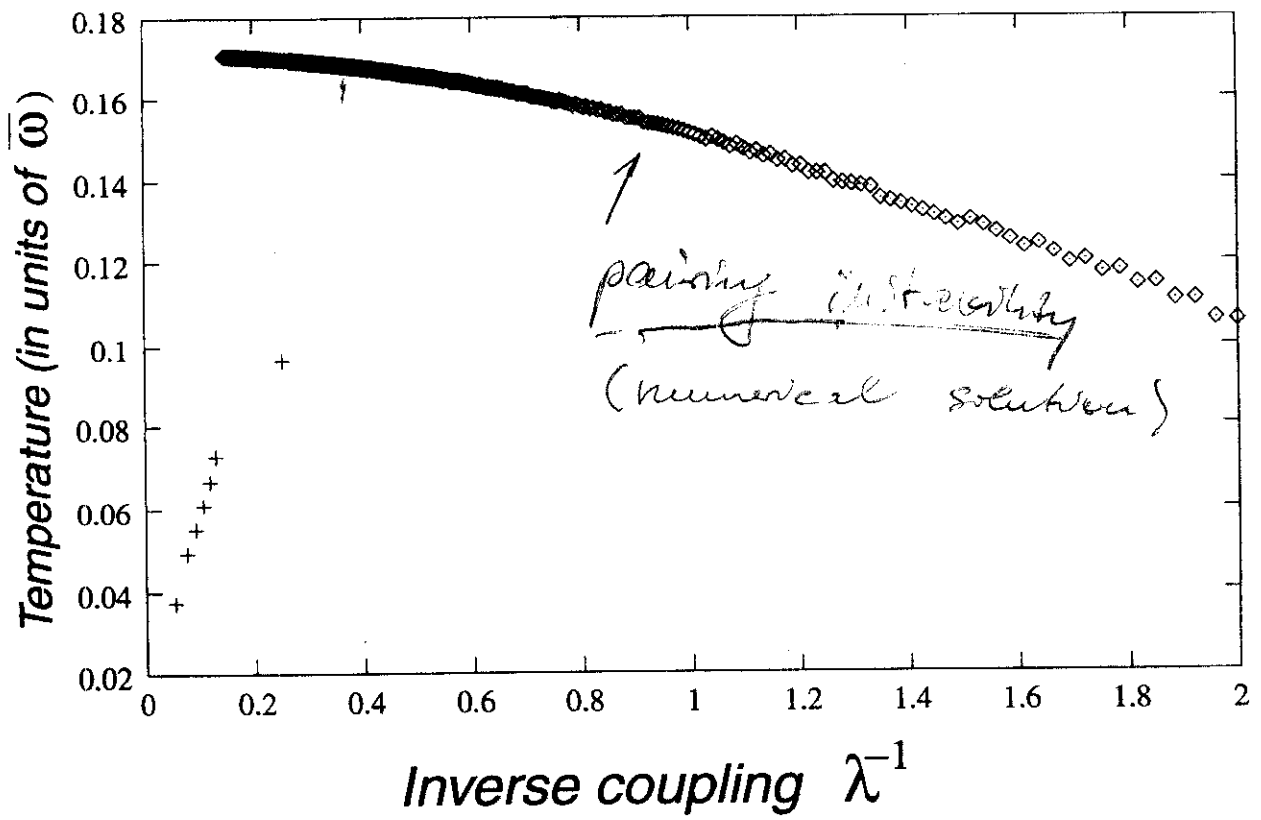
$$\frac{1}{\omega} \rightarrow \frac{1}{\sqrt{\omega}} \quad \leftarrow \text{Q.C. fermions}$$

$\Rightarrow$ no perturbative solution of the gap equation

$\Rightarrow$ nonpert. solution exists with $T_{ins} \propto g$

(Abanov, Chubukov + Finkel'stein, 2000)

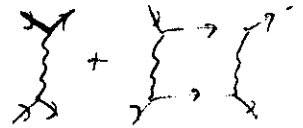
T_{ins} ~~and~~ T_c



ε – expansion for Q.C. pairing ($\varepsilon = 3-d$)

$$\Phi(\omega') = \Phi_0 + a_d \int \frac{d\omega}{\pi T_c} \Phi(\omega) \frac{1}{\omega^{1-\varepsilon/2}} \frac{1}{|\omega-\omega'|^{\varepsilon/2}}$$

flow of the pairing vertex $l = \log(g/T_c)$

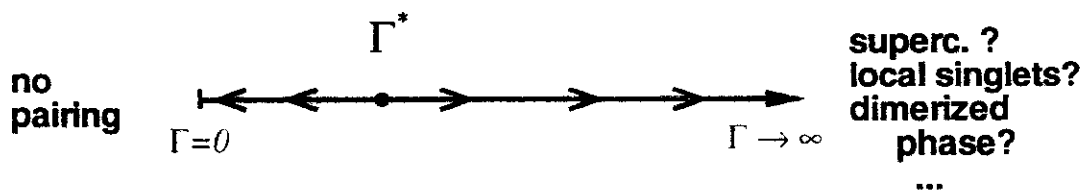


$$\frac{d\Gamma}{dl} = -\frac{\varepsilon}{4} \Gamma + a_d \Gamma^2$$

suppresses pairing
for small Γ

favors pairing

$\Rightarrow$ new fixed point: $\Gamma^* = \varepsilon (4a_d)^{-1}$



instability temperature: $T_{ins} \propto g$

$d=2$: $\Gamma(l=0) > \Gamma^*$ always flows to strong coupling

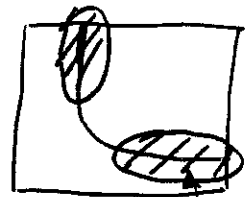
$\Rightarrow$ QC - Non-FL
is unstable.

AT THE QCP: Summary

① $T_{ins} \sim g \quad (0.17g)$

- Singlets melt at the upper cut off, not at the characteristic boson frequency

② Singlet gap, Δ



③ gap len collective mode

$$\chi \sim \frac{1}{\omega^2 - c^2 q^2}$$

$$\delta k \sim \frac{g}{N_F}$$

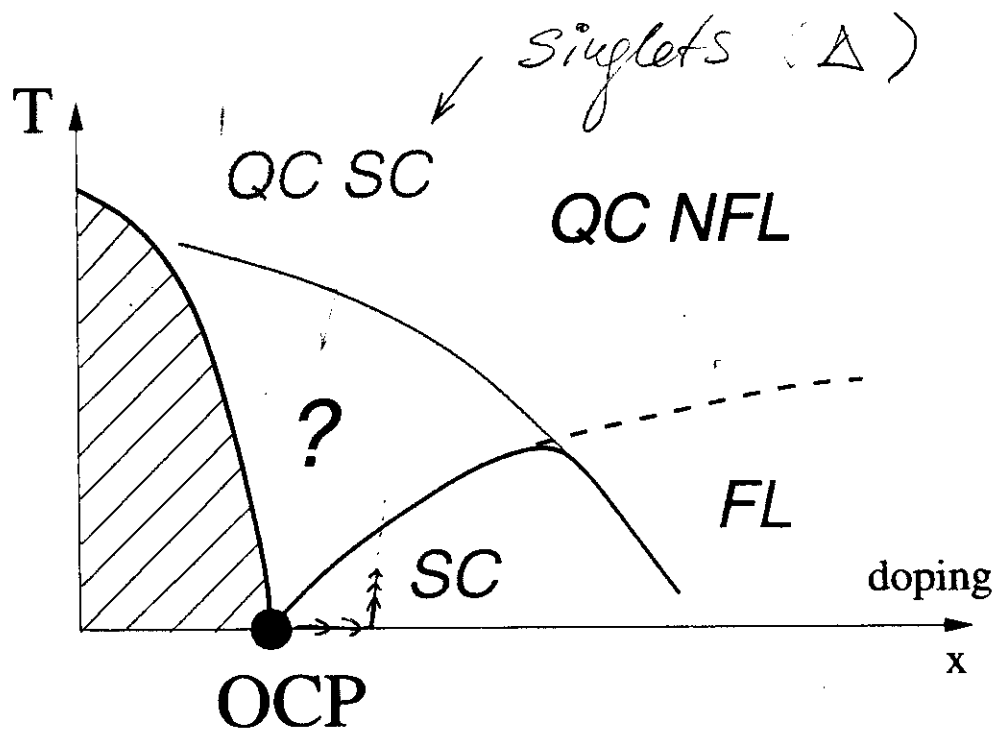
→ Strong scatt. with excitations above the gap (mass μ^*)

④ $\mu^* \rightarrow \infty$

$f_s \rightarrow 0$; no coherence peak

Away from the QCP

- Ground state : convent. Superconductor



gapless mode

→ resonance mode,
 Ω_{res}

$$\chi_Q \sim \frac{1}{\gamma^{-2}(1 - \Pi(\omega))}$$

↑ dynamics due
to fermions
(but now with
s.c. gap)

$1/N$ expansion:

$$\Pi(\omega) = \frac{\pi}{8} \frac{\omega^2}{\Delta \omega_{sf}} + \mathcal{O}(\omega^4)$$

$$\Rightarrow \Omega_{res} \sim \sqrt{\Delta \omega_{sf}} \sim \gamma^{-1}$$

$$\text{Im} \Pi(\omega) = 0 \quad \omega < 2\Delta$$

$\Rightarrow$ sharp mode for
 $\Omega_{res} < 2\Delta$

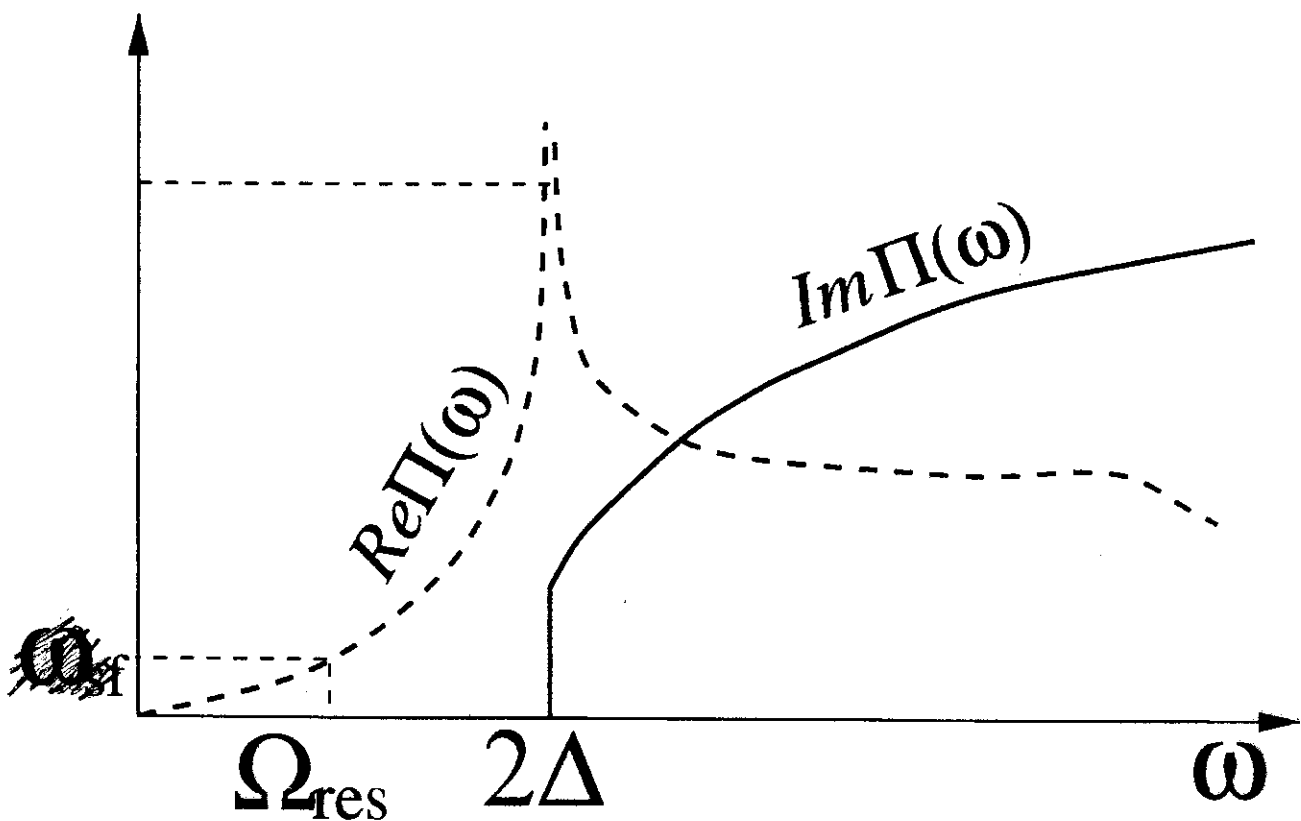
- resonance is inevitable
- Single layer systems ??

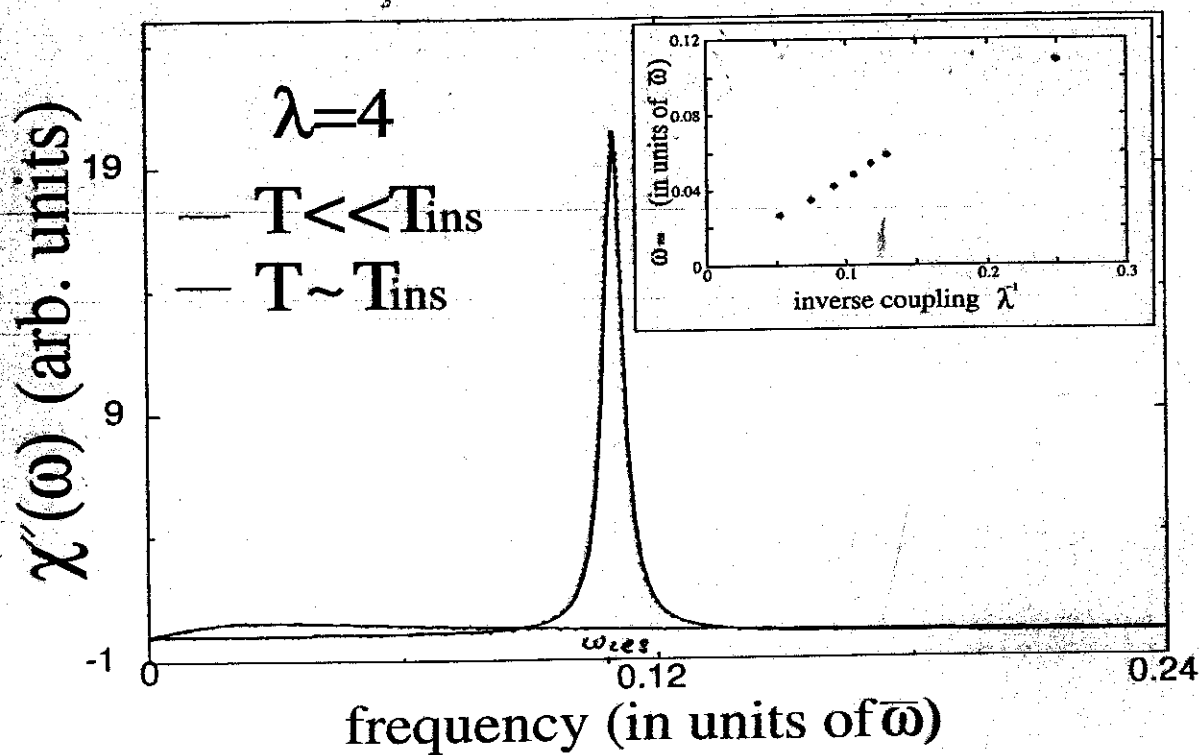
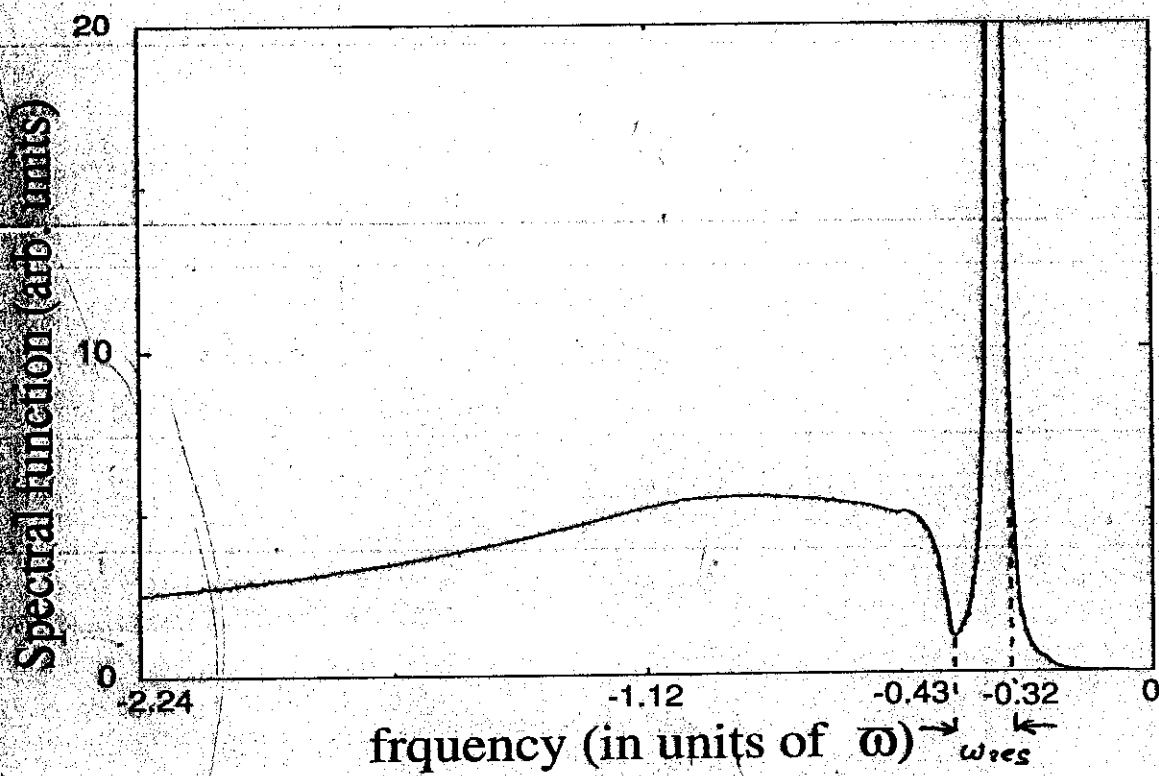
1. Opening of the pairing gap
2. Proximity to an A.F. State

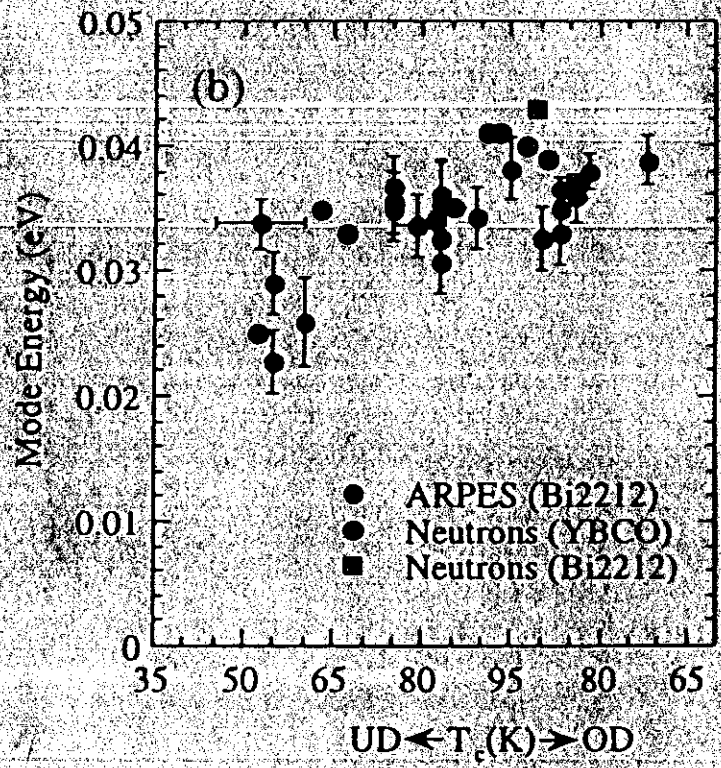
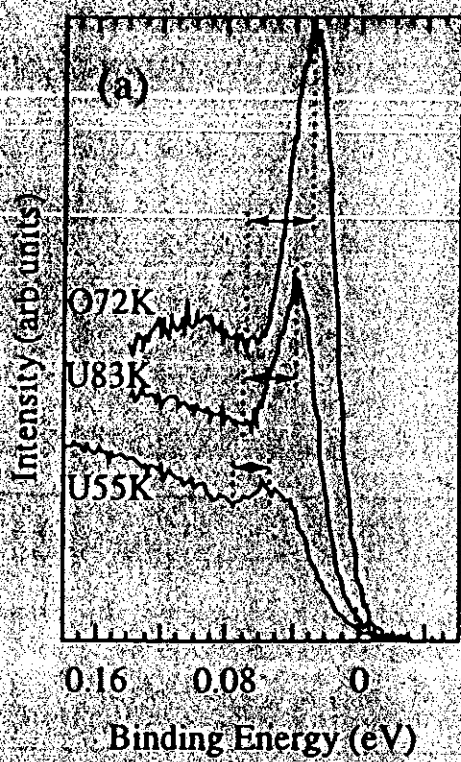
Resonance in the spin response at:

$$\Omega_{\text{res}} = (\omega_{\text{sf}} \Delta)^{1/2} \sim \xi^{-1}$$

(Abanov + Chubukov, PRL 1999)

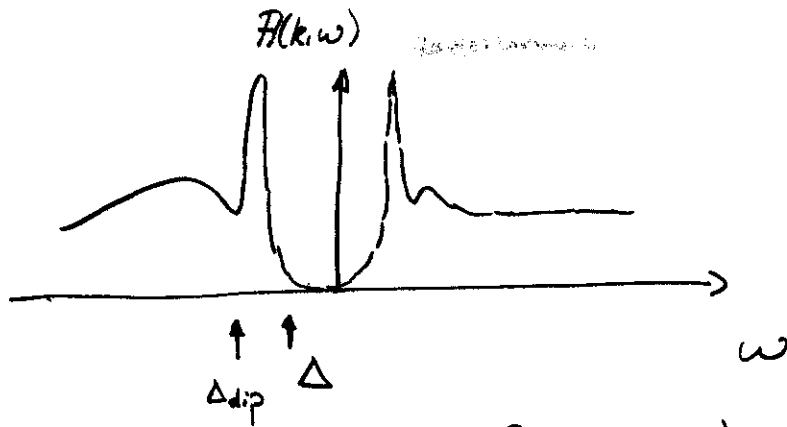




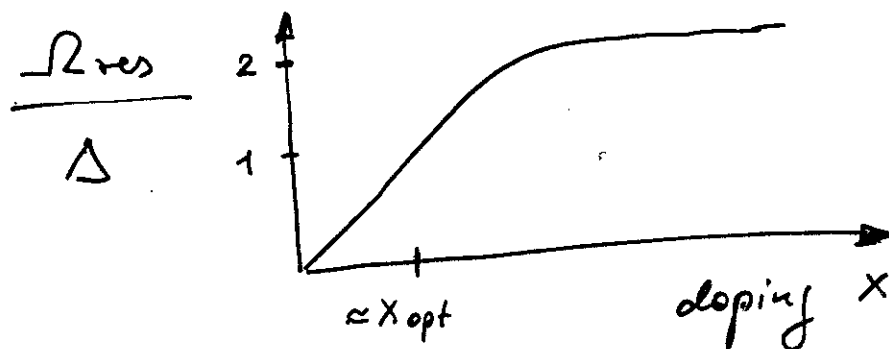


Compagno et al

resonance as seen in ARPES / tunneling



$$R_{\text{res}} = \Delta_{\text{dip}} - \Delta$$



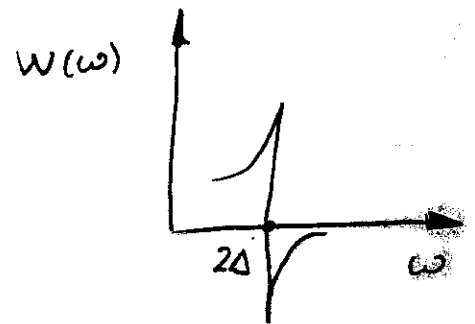
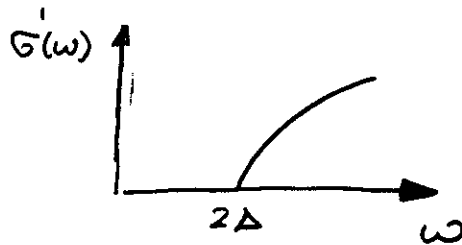
Coupling between electrons
and resonance mode

Optical Conductivity ($T \ll T_c$)

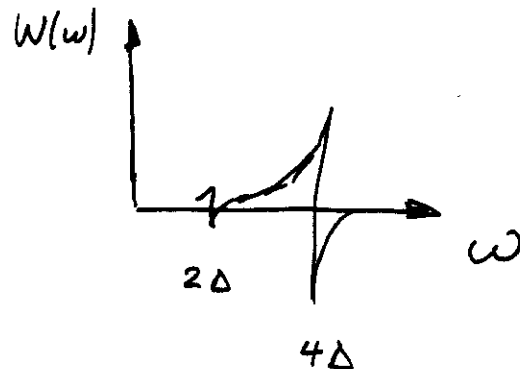
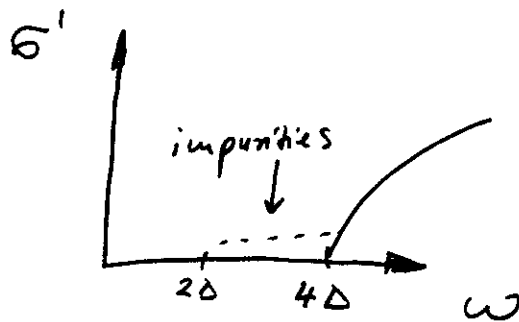
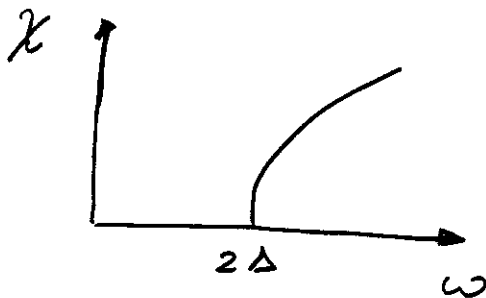
relation between $\sigma(\omega)$ and $\mathcal{R}_{res}$
(D. Basov et al., J. Carbotte et al.)

$$W(\omega) = \frac{d^2}{d\omega^2} (\omega \sigma(\omega))$$

Conventional
S-wave S.C. + impurities

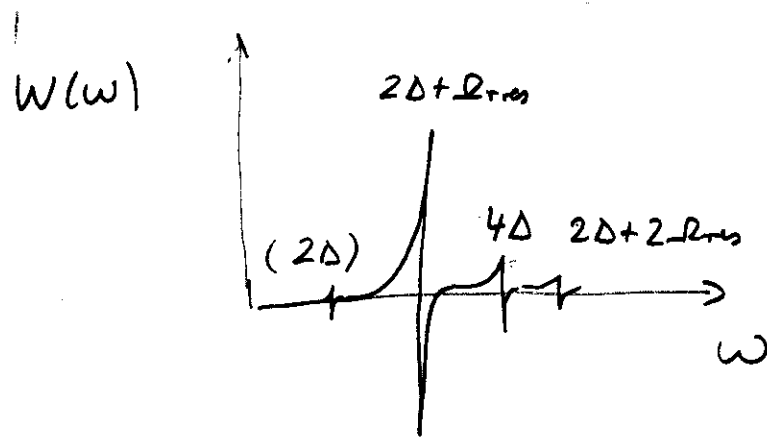
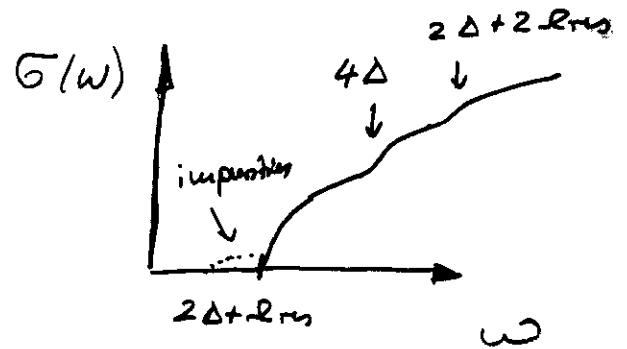
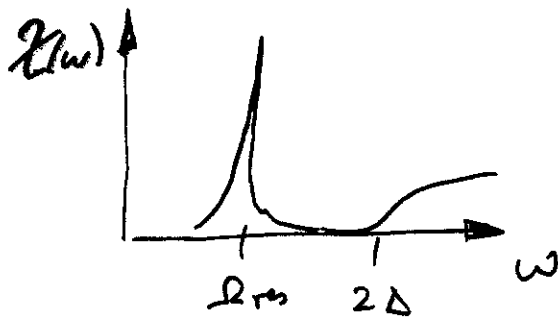


Scatt. due to gapped p.-h. excitations
(Varma, Littlewood, HFL)



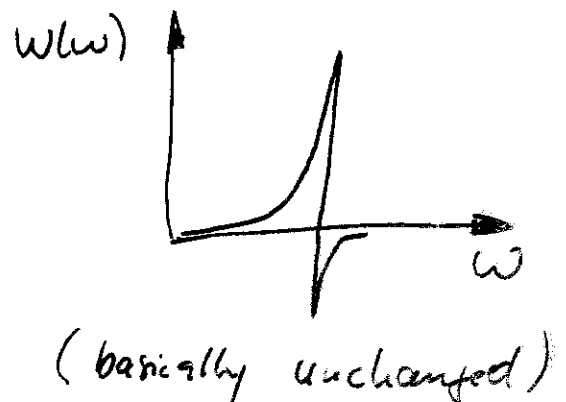
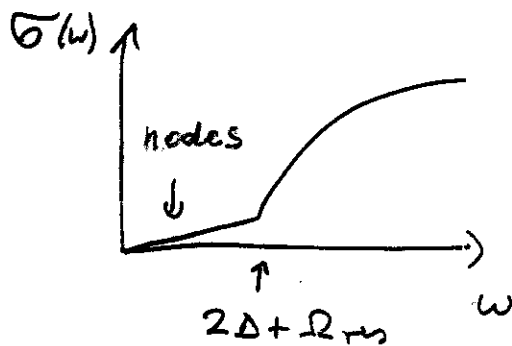
onset of scattering + 2Δ
→ peak in $W(\omega)$

charge carriers coupled to
the resonance mode



if Δ is known $\Rightarrow R_{ms}$
+
scat. is not impurity dominated
(we are at low T !!)

Swave $\leftrightarrow$ dwave



$$\sigma : \text{diagram 1} + \text{diagram 2}$$

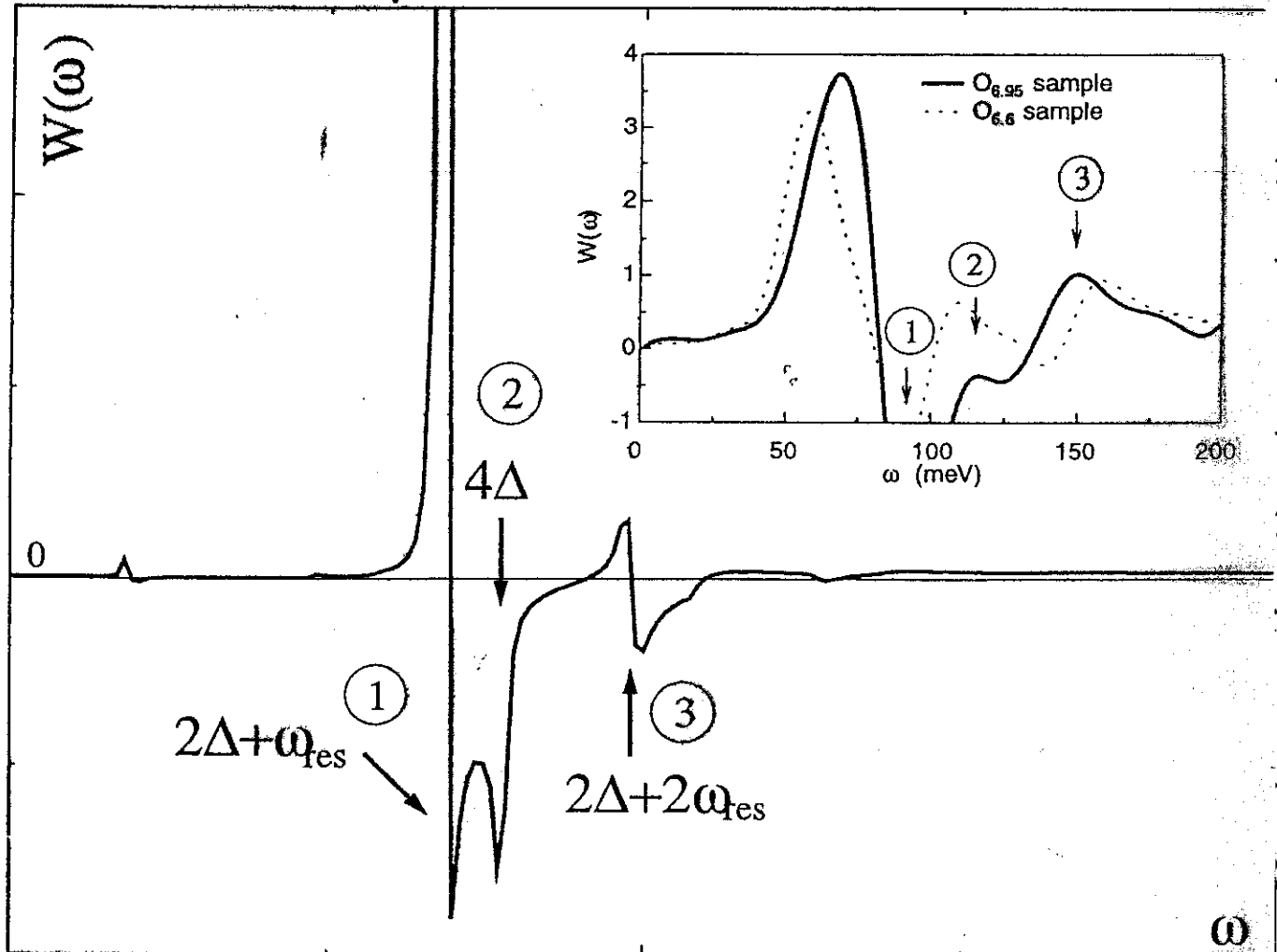
$\begin{matrix} \rightarrow\rightarrow \\ \leftarrow\leftarrow \end{matrix} \right\} \text{ from } (1/\nu)^0 - \text{exp.}$

$$\lambda = 1 \quad ; \quad g = 2\pi \cdot 150 \text{ meV}$$

$$\Rightarrow \Delta = 30 \text{ meV}$$

$$\Omega_{\text{res}} = 37 \text{ meV}$$

$$W = \frac{d^2}{d\omega^2} \left(\frac{\omega}{\sigma^2} \right)$$



Abanov, Chubukov, Schmalian, preprint

finite TEMPERATURES



resonance mode, Ω_{res} is weakly T -depend.

$$\Rightarrow \text{if } \boxed{\pi T \sim \Omega_{res}}$$

mode is thermally excited (elastic scattering)

Conventional S.C. with elastic scattering
(P.W. Anderson '59 / A.A. Abrikosov +
L.P. Gor'kov '59)

① T_c is unchanged

② ρ_s is strongly suppressed

L

$$\frac{\text{q.p. damping}}{\Delta} \rightarrow \frac{\pi T}{\Omega_{res}}$$

following P.W.A / A.A.A + L.P.G

classical contributions can be singled out

$$\phi = \tilde{\phi} \mu^* ; \Sigma = \tilde{\Sigma} \mu^*$$

$\tilde{\phi}, \tilde{\Sigma}$ behave like at $T=0$

$$\boxed{\mu^* = 1 + \frac{T}{T_{coh}}}$$

$$\pi T_{coh} \approx \Omega_{res}$$

⇒ SUPERFLUID DENSITY

$$\rho_s \sim \frac{1}{\mu^*} \sim \frac{\Omega_{res}}{\pi T}$$

vanishes on the scale Ω_{res}

$$\Rightarrow \boxed{T_c \simeq \frac{1}{\pi} \Omega_{res}}$$

s.c. transition is driven by $\rho_s \rightarrow 0$
for $T \sim \frac{1}{\pi} \Omega_{res}$

(gap, Δ , stays intact !!)

⇒ SPECTRAL FUNCTION

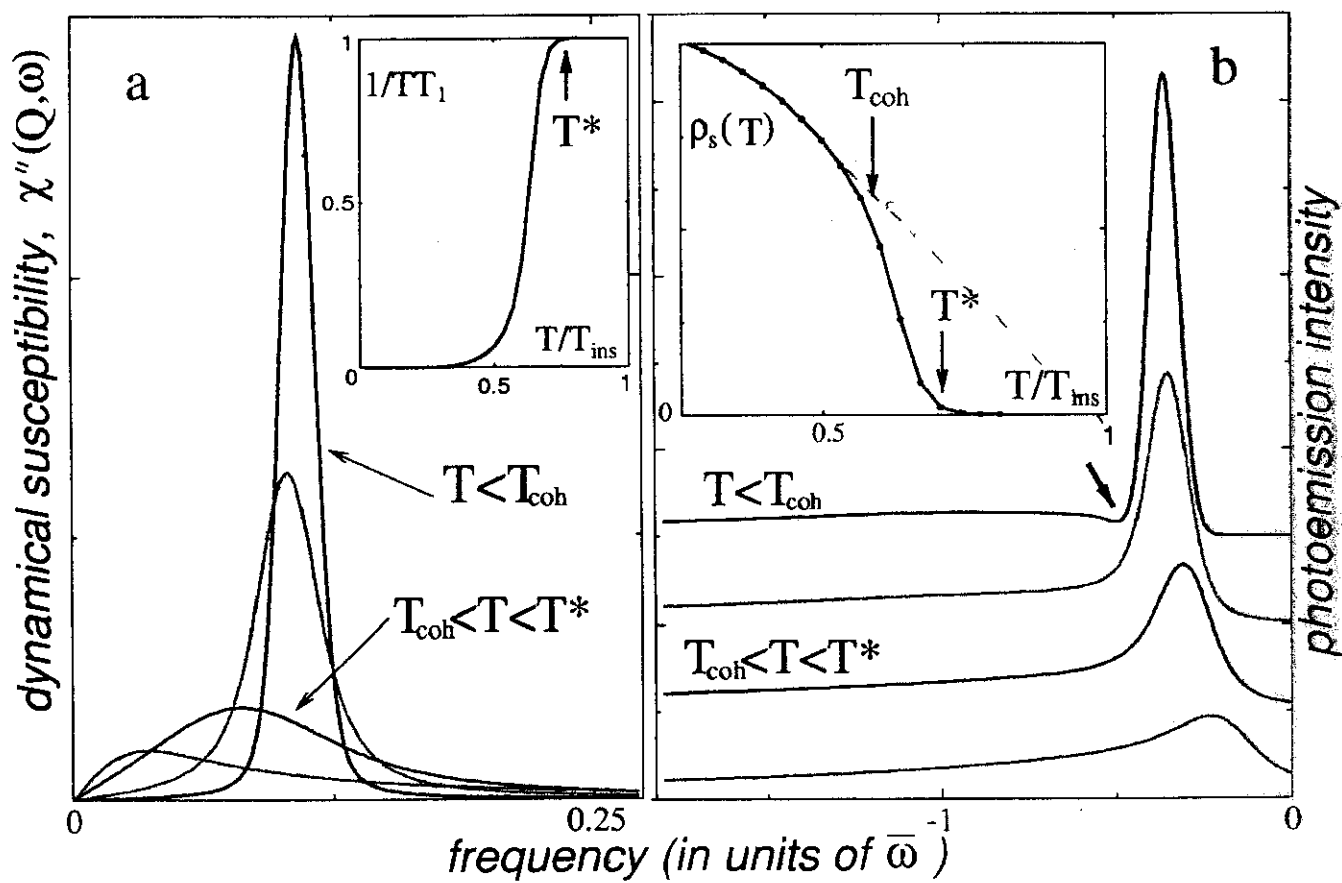
finally: $G_K(\omega) = f(\bar{z}, \phi) = \tilde{f}(\eta, \tilde{z}, \tilde{\phi})$

scaling of the spectral function

$$\boxed{G_K(\omega, T, \epsilon_K) = \frac{1}{\mu^*} G_K(\omega, T=0, \epsilon_K/\mu^*)}$$

-) coherency peak $\sim \frac{1}{\mu^*}$ vanishes at $T_c \sim \Omega_{res}$
- :) " " scales with Ω_{res} for fixed T
- :) " " scales with ρ_s $V_{dop., T}$

Resonance peak in neutron scattering



Spin dynamics

$$\tilde{\pi} = \pi \quad \left(\begin{array}{l} \text{unaffected by} \\ \text{classical spin} \\ \text{excitations} \end{array} \right)$$

low freq. spin response unchanged
at T_c , even though spin dynamics
is entirely due to phonon dynamics

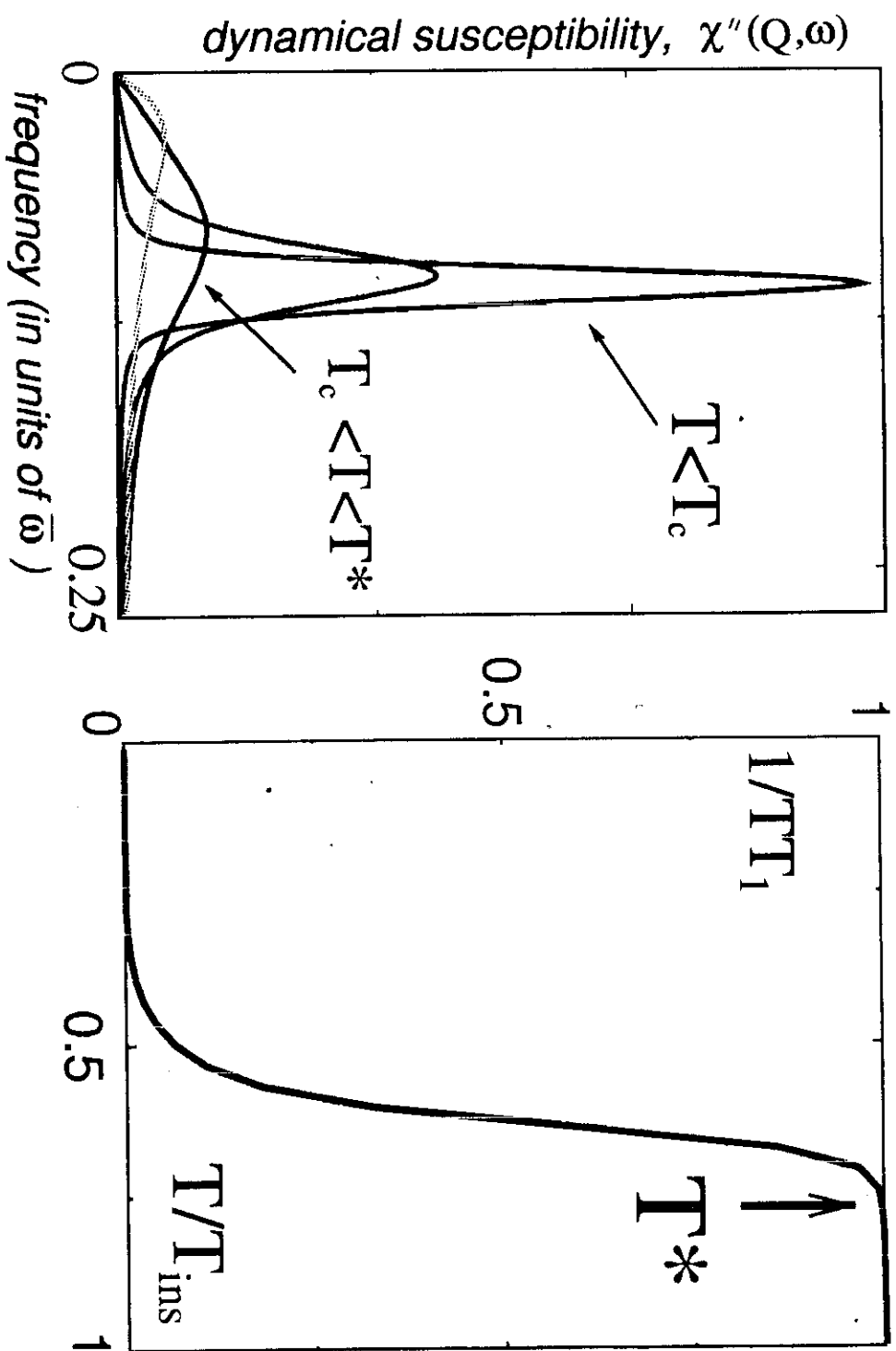
but: (we neglected phase fluctuations!!)

$$\pi = G G + \overline{F} \overline{F} \underbrace{\left\langle e^{i(\phi - \phi')} \right\rangle}_{\substack{\text{sensitive to} \\ \text{changes at } T_c}}$$

•) if $\Sigma \sim \phi$ at high T

$\Rightarrow$ gap becomes invisible
(singlets are still there)

$\Rightarrow T \sim T^*$ (non universal #)



Crossover temperatures below the pairing instability:

