

SMR 1232 - 41

**XII WORKSHOP ON
STRONGLY CORRELATED ELECTRON SYSTEMS**

17 - 28 July 2000

***THEORETICAL STUDIES OF QUANTUM CRITICAL
BEHAVIOR IN HEAVY FERMIONS***

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These are preliminary lecture notes, intended only for distribution to participants.

QUANTUM CRITICAL BEHAVIOR IN HEAVY FERMION METALS

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Outline:

- Brief overview
 - Standard picture
 - Questions raised by recent experiments
- Dynamical competition between Kondo and RKKY
- Two types of quantum critical behavior

Collaborators:

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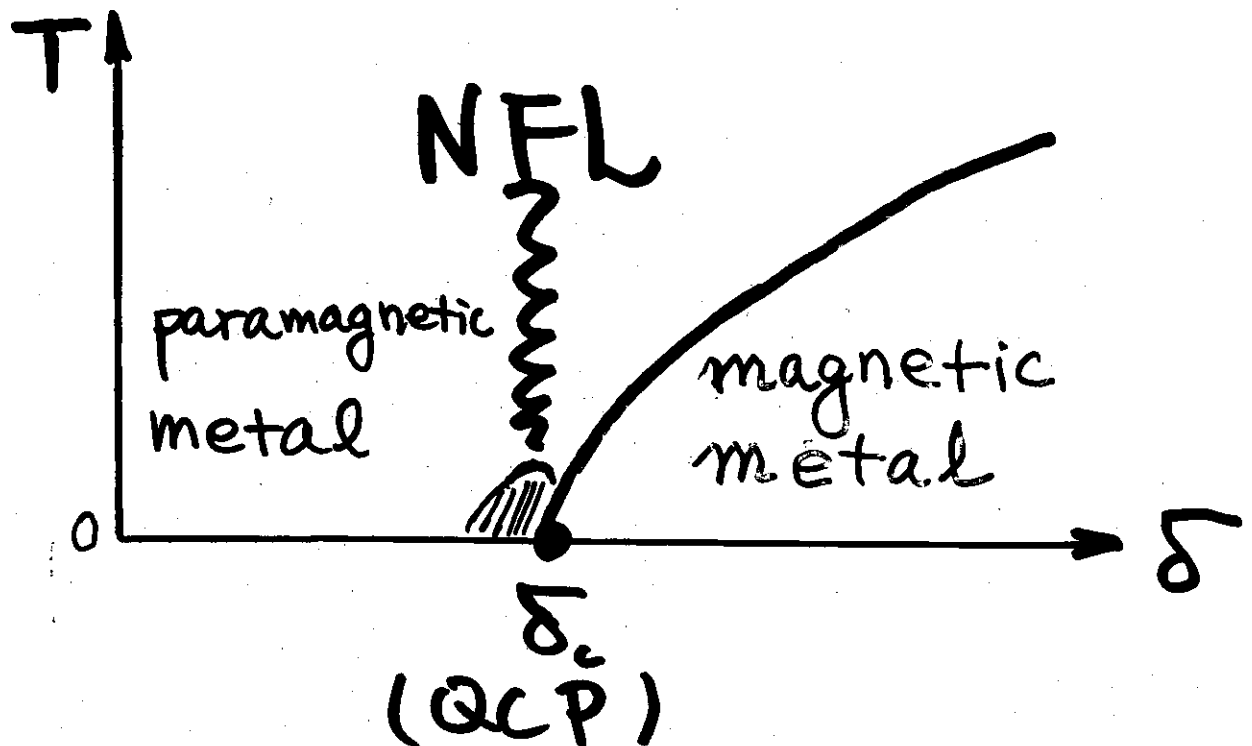
"

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U. Florida

Heavy fermions close to a QCP

- Schematic phase diagram:



- eg. CePd_2Si_2 , CeNi_2Ge_2 , $\text{CeCu}_{6-x}\text{Au}_x$, ...
- Non-Fermi liquid behavior in the QC regime:
 - Specific heat coefficient C_v/T singular
 - Temperature dependence of resistivity anomalous
 - ...
- Cf. Other routes toward NFL behavior

Standard picture:

- Lattice of local moments
+ conduction electrons
- Doniach phase diagram
 - Kondo: energy scale $T_K^0 \sim W e^{-W/J_K}$
 $\Rightarrow$ paramagnetic metal
 - RKKY: energy scale $I \sim J_K^2/W$
 $\Rightarrow$ magnetic metal
 - The transition is of SDW type: Stoner instability associated with a “large” Fermi surface.
- $\Rightarrow$ Same QC behavior as for ordinary itinerant electron systems
 - $D + z$ dimensional GL theory (Hertz, Millis, ...)
 - Expected spin susceptibility in the QC regime:

$$\chi(\mathbf{q}, \omega) = \frac{1}{\kappa(T) + b (\mathbf{q} - \mathbf{Q})^2 - i a \omega}$$

Neutron scattering in $\text{CeCu}_{6-x}\text{Au}_x$ at $x =$ (Schroder et al '98; '99; Stockert et al, '98)

- Frequency & temperature dependence:

fit with

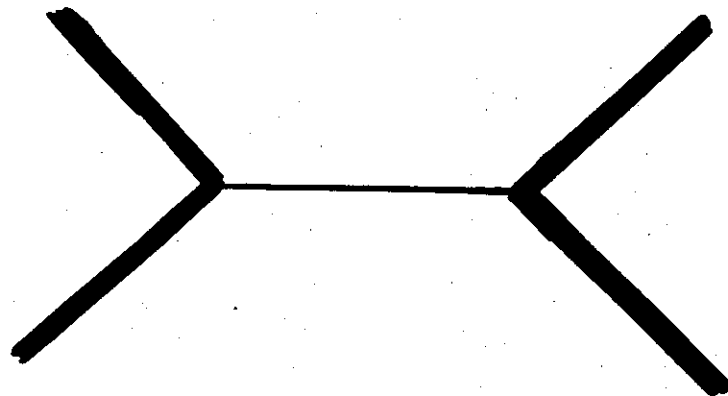
anomalous
exponent

$$\chi(\mathbf{q}, \omega) = \frac{1}{f(\mathbf{q}) + A (\omega + icT)^{0.75}}$$

for all momentum $\mathbf{q}$

- Momentum dependence:

peaks
of $\chi''(\mathbf{q}, \omega)$:



$\vec{q}$ in a^*c^*
plane.
 c^* ↑
 a^* →

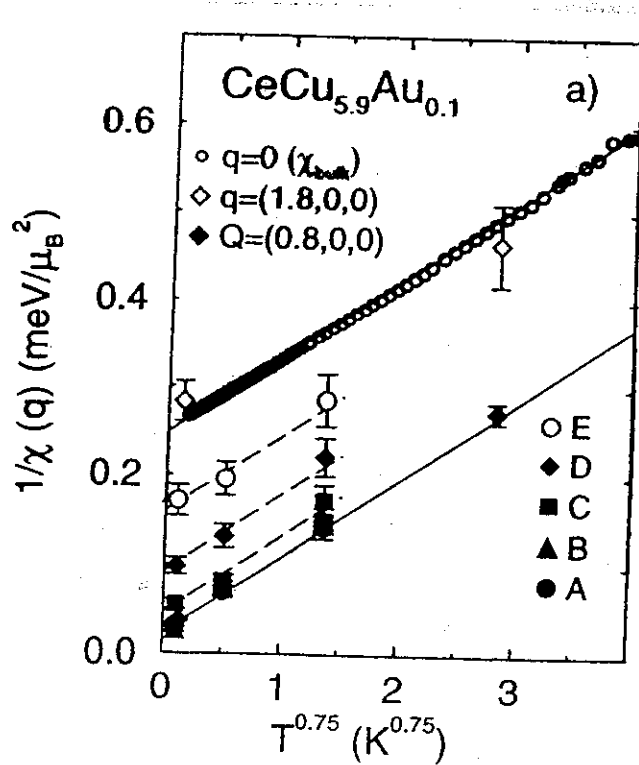
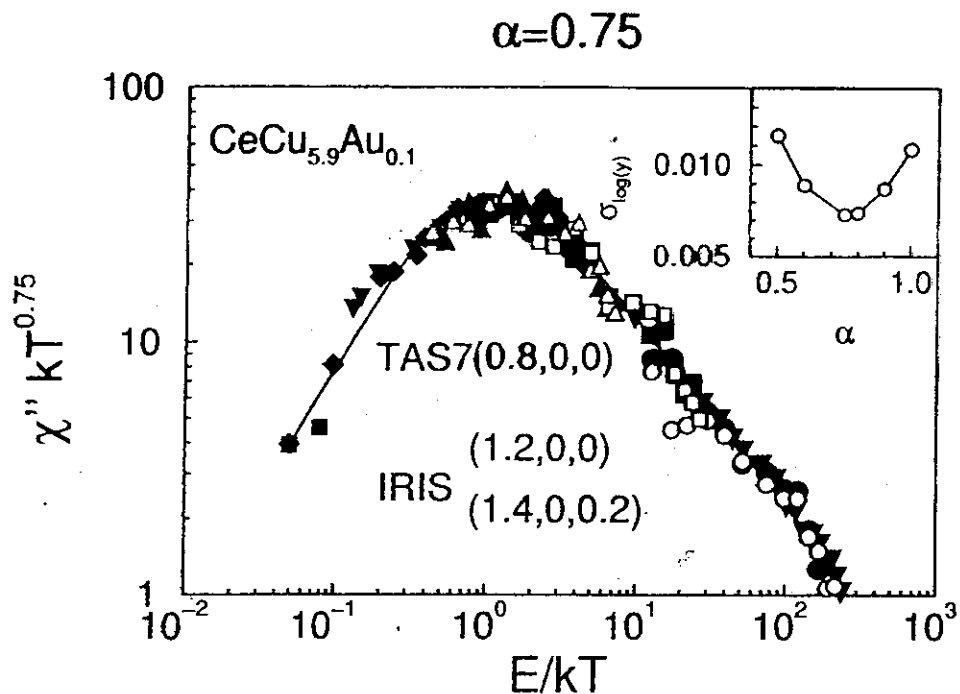
quasi-1D in $\vec{q}$ space

⇒ quasi-2D in $\vec{x}$ space

cf Rosch

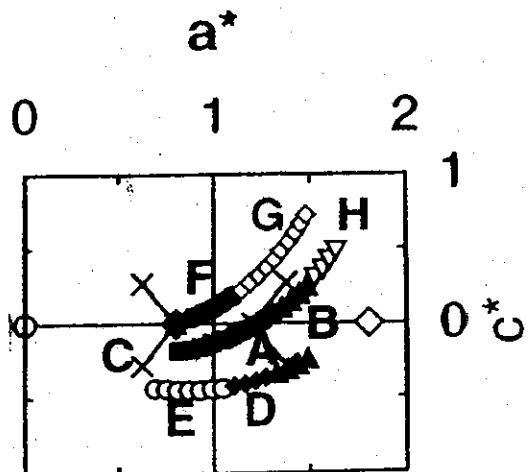
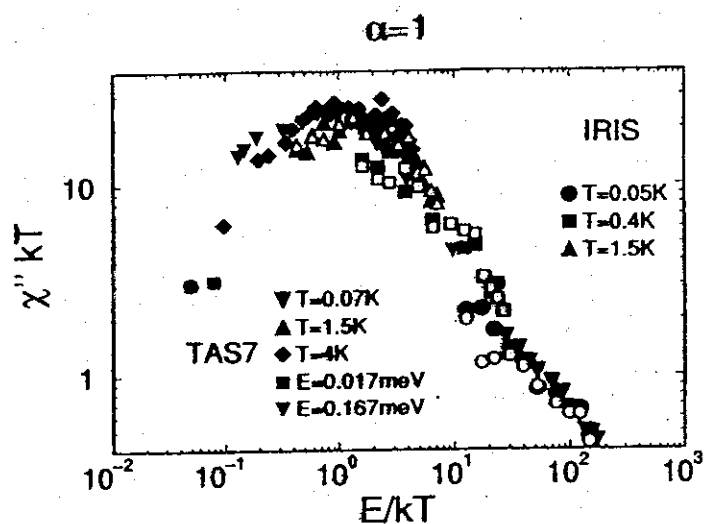
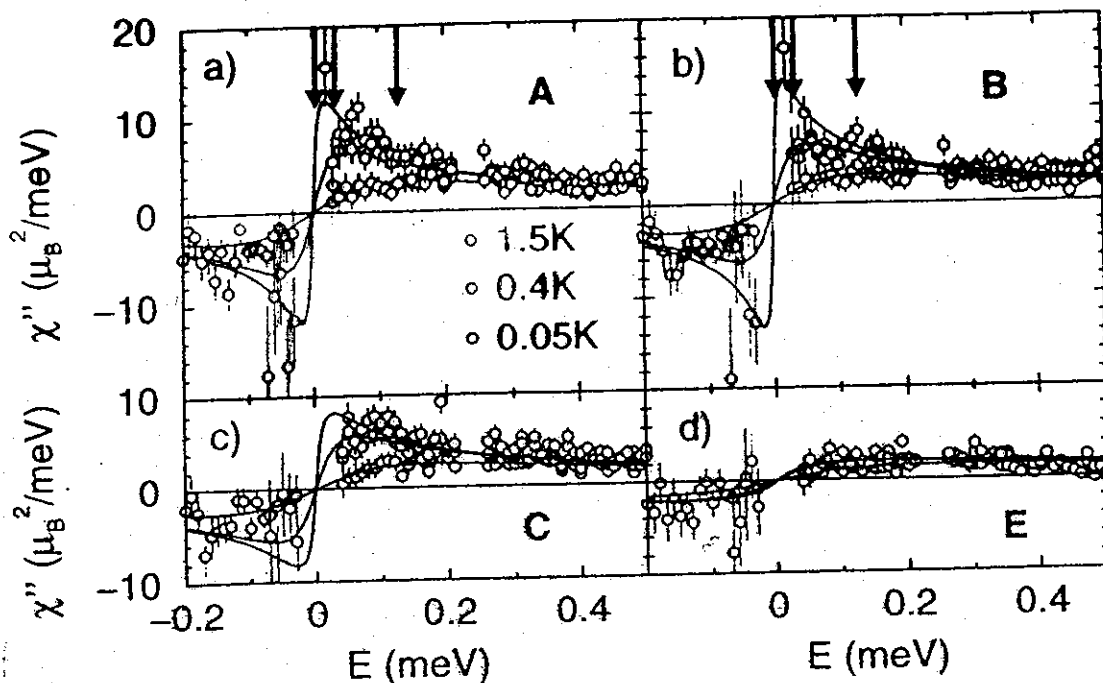
Neutron scattering in $\text{CeCu}_{6-x}\text{Au}_x$ at $x = x_c$

(Schroder et al '98; '99; Stockert et al, '98)



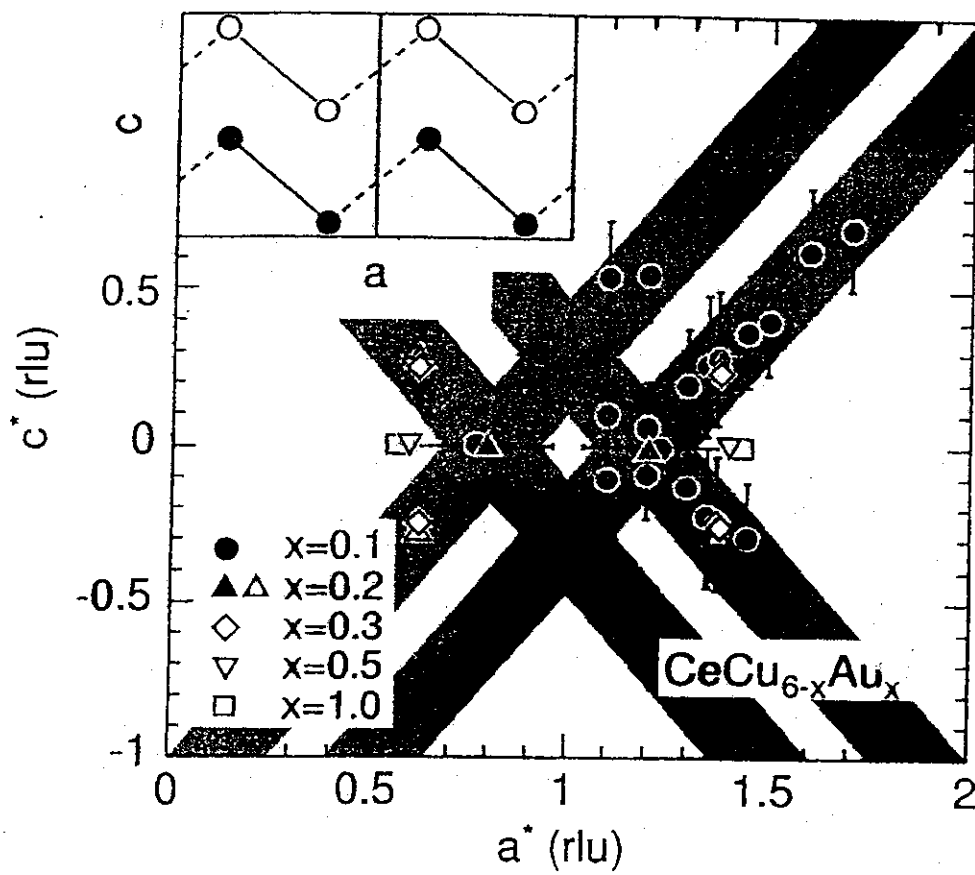
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Neutron scattering in $\text{CeCu}_{6-x}\text{Au}_x$ at $x = x_c$

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- The question we address:

Is there more than one type of QCPs in Kondo lattices?
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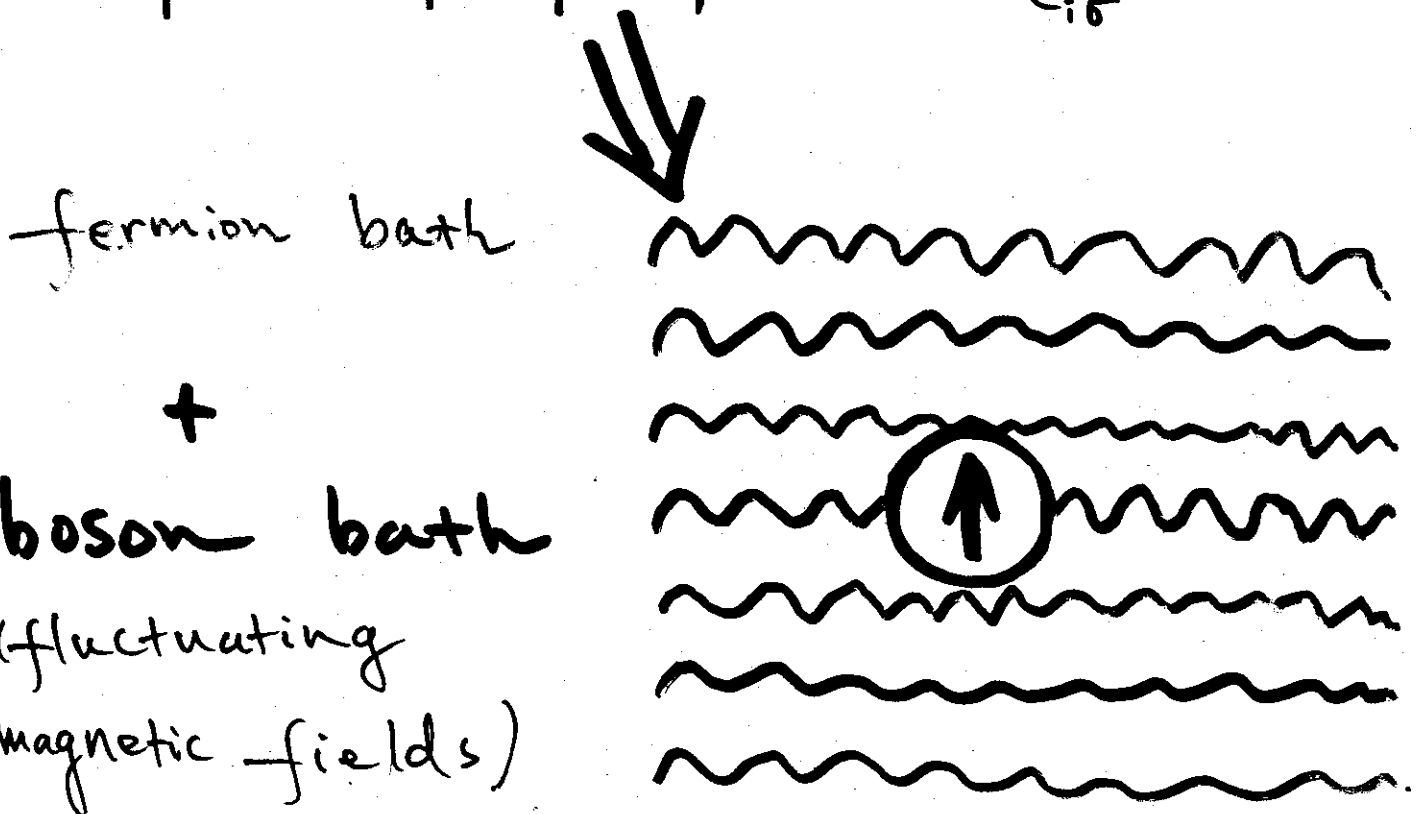
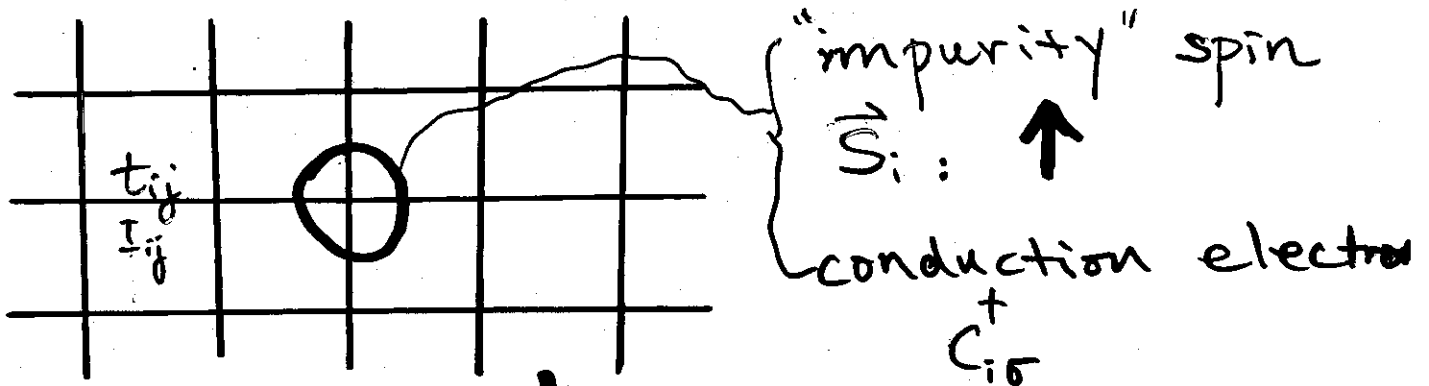
(Also P. Coleman et al.)

- Main finding: two types of quantum critical behavior can occur
 - first type: SDW transition
 - second type: local (Kondo) physics also critical

Extended DMFT* of the Kondo lattice: Qualitative picture

(* Smith & QS; Chitra & Kotliar)

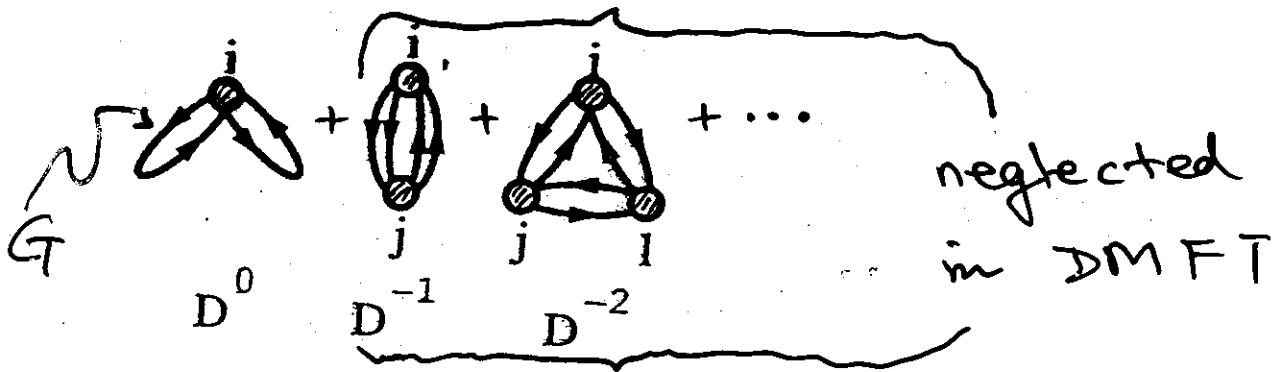
$$\mathcal{H} = \sum_{ij,\sigma} \underbrace{t_{ij}}_{\text{wavy}} c_{i\sigma}^\dagger c_{j\sigma} + \sum_i J_K \underbrace{S_i}_{\text{wavy}} \cdot \underbrace{s_{C,i}}_{\text{wavy}} - \sum_{ij} \underbrace{I_{ij}}_{\text{wavy}} S_i \cdot S_j$$



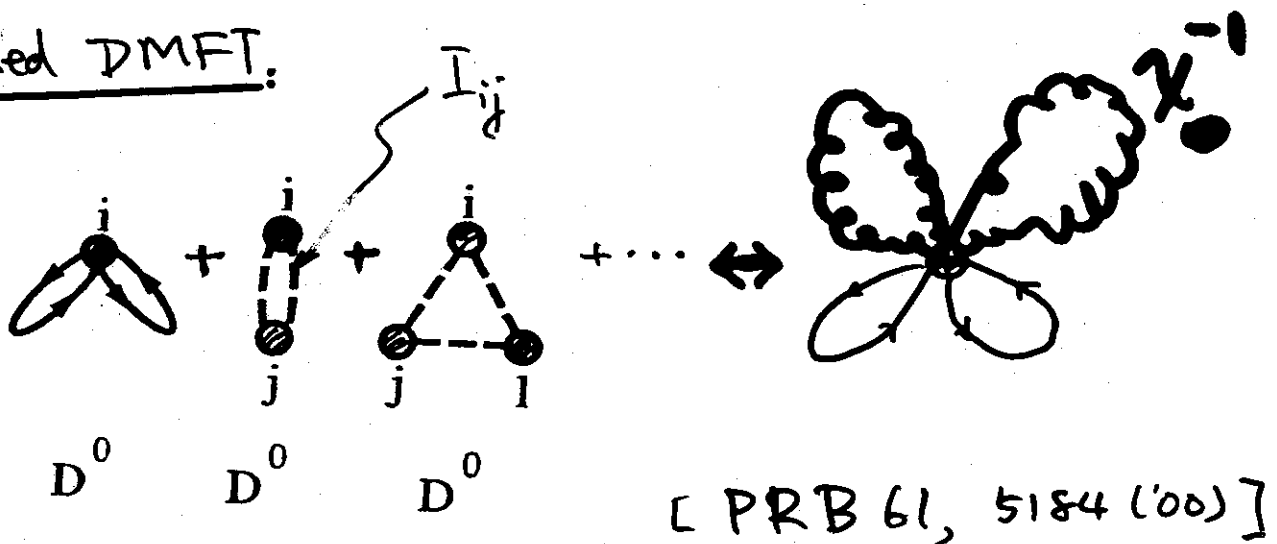
Extended DMFT of the Kondo lattice: (Cont'd)

- Conserving resummation of $1/D$ expansion:

DMFT (Georges, Kotliar et al RMP '96)



Extended DMFT:



[PRB 61, 5184 (00)]

- Implemented by taking the large D limit with $t_{\langle ij \rangle} = t_0/\sqrt{2D}$ and $I_{\langle ij \rangle} = I_0/\sqrt{2D}$.

Effective impurity problem

- The RKKY interactions lead to an additional retarded interaction:

$$\Delta \mathcal{S}_{\text{imp}} = - \int d\tau \int d\tau' \chi_0^{-1}(\tau - \tau') \mathbf{S}(\tau) \cdot \mathbf{S}(\tau')$$

- Effective impurity Hamiltonian:

$$\begin{aligned} \mathcal{H}_{\text{imp}} = & \underbrace{J_K}_{\text{wavy}} \mathbf{S} \cdot \mathbf{s}_c + \sum_{k,\sigma} \underbrace{E_k}_{\text{wavy}} c_{k\sigma}^\dagger c_{k\sigma} \\ & + g \sum_k \mathbf{S} \cdot \underbrace{(\vec{\phi}_k + \vec{\phi}_{-k}^\dagger)}_{\text{fluctuating}} + \sum_k \underbrace{w_k}_{\text{wavy}} \underbrace{\vec{\phi}_k^\dagger \cdot \vec{\phi}_k}_{\text{magnetic fields}} \end{aligned}$$

where

$$\underbrace{g^2}_{\text{wavy}} \sum_k \underbrace{\delta(\omega - w_k)}_{\text{wavy}} = \text{Im} \chi_0^{-1}(\omega) \quad \text{fields}$$

- Self-consistently,

– $\underbrace{g}_{\text{wavy}}$ and $\underbrace{w_k}_{\text{wavy}}$ determined by $\underbrace{I_0}_{\text{wavy}}$ and χ

– $\underbrace{E_k}_{\text{wavy}}$ determined by t_0 and G

RKKY

Self-consistency condition

•

$$\frac{1}{N_{\text{site}}} \sum_{\mathbf{k}} G(\mathbf{k}, \omega) = G_{\text{loc}}(\omega)$$

$$\frac{1}{N_{\text{site}}} \sum_{\mathbf{q}} \chi(\mathbf{q}, \omega) = \chi_{\text{loc}}(\omega)$$

- Lattice Green function

$$G(\mathbf{k}, \omega) = \frac{1}{\omega + \mu - \epsilon_{\mathbf{k}} - \Sigma(\omega)}$$

- Lattice spin susceptibility

$$\chi(\mathbf{q}, \omega) = \frac{1}{M(\omega) - I_{\mathbf{q}}}$$

$M(\omega)$ is “spin self-energy”, which satisfies

$$M(\omega) = \chi_0^{-1}(\omega) + \frac{1}{\chi_{\text{loc}}(\omega)}$$

(Cf. RPA  $M_{\text{RPA}}^{-1} =$ )

Step I: Characterizing two types of QCPs

The form $\chi(\mathbf{q}, \omega) = \frac{1}{M(\omega) - I_{\mathbf{q}}} \Rightarrow$ QCP can be characterized in terms of the behavior of the “spin self-energy” $M(\omega)$:

- first type:

$$\text{Im}M^{-1}(\omega) = \frac{1}{(E_{\text{loc}}^*)^2} \omega$$

E_{loc}^* is the effective Fermi energy

- second type:

$$\text{Im}M^{-1}(\omega) \sim |\omega|^\alpha \text{sgn}\omega$$

with $0 < \alpha < 1$

$E_{\text{loc}}^* = 0$: a QCP with anomalous
local dynamics

Step II: Origin of the anomalous local dynamics

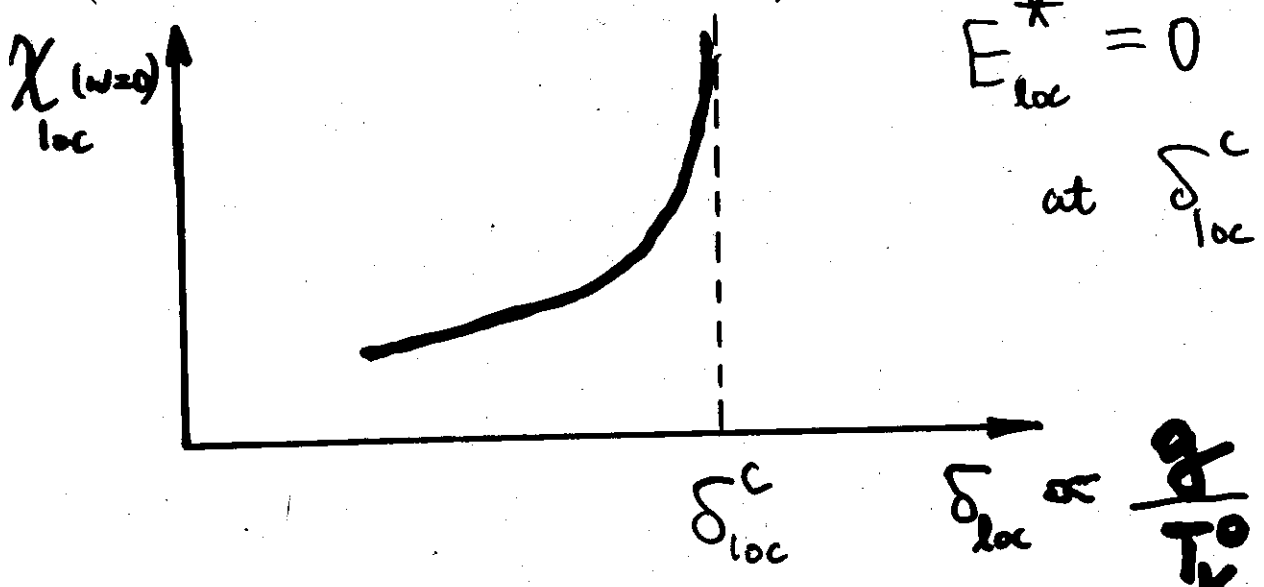
- Effective impurity problem once again:

$$\mathcal{H}_{\text{imp}} = \underbrace{J_K}_{\text{wavy}} \mathbf{S} \cdot \mathbf{s}_c + \sum_{k,\sigma} E_k c_{k\sigma}^\dagger c_{k\sigma} + g \sum_k \mathbf{S} \cdot (\vec{\phi}_k + \vec{\phi}_{-k}^\dagger) + \sum_k \underbrace{w_k}_{\text{wavy}} \vec{\phi}_k^\dagger \cdot \vec{\phi}_k$$

- If we just put in a sub-ohmic spectrum for the fluctuating magnetic field $\vec{\phi}$:

$$\underbrace{\sum_k \delta(\omega - w_k)}_{\text{wavy}} \sim |\omega|^\gamma \text{sgn} \omega \quad \text{with} \quad \underbrace{\gamma < 1}_{\text{wavy}}$$

then $\mathcal{H}_{\text{imp}}$ does indeed have a QCP of its own
(Smith & QS '97; Sengupta '97)



“RKKY- density of states”

•

$$\rho_I(\epsilon) \equiv \sum_{\mathbf{q}} \delta(\epsilon - I_{\mathbf{q}})$$

Cf. conduction electron d.o.s.

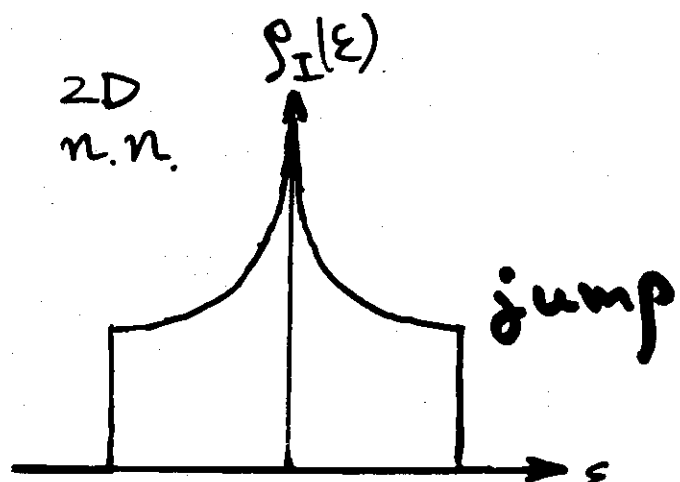
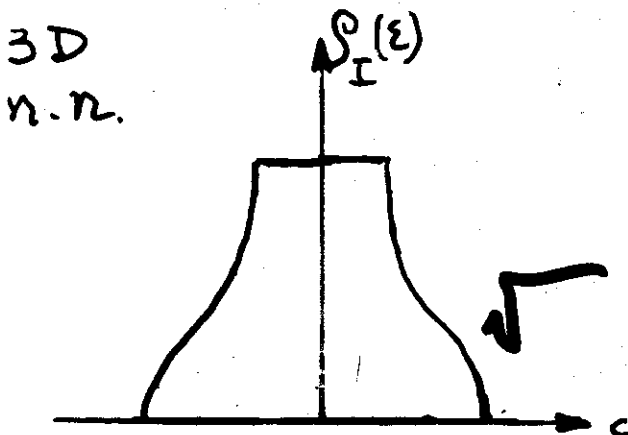
$$\rho(\epsilon) \equiv \sum_{\mathbf{k}} \delta(\epsilon - \epsilon_{\mathbf{k}})$$

- The self-consistency condition can be rewritten as

$$\int d\epsilon \rho_I(\epsilon) \frac{1}{M(\omega) - \epsilon} = \chi_{loc}(\omega) = \sum_{\mathbf{q}} \frac{1}{M(\omega) - I_{\mathbf{q}}}$$

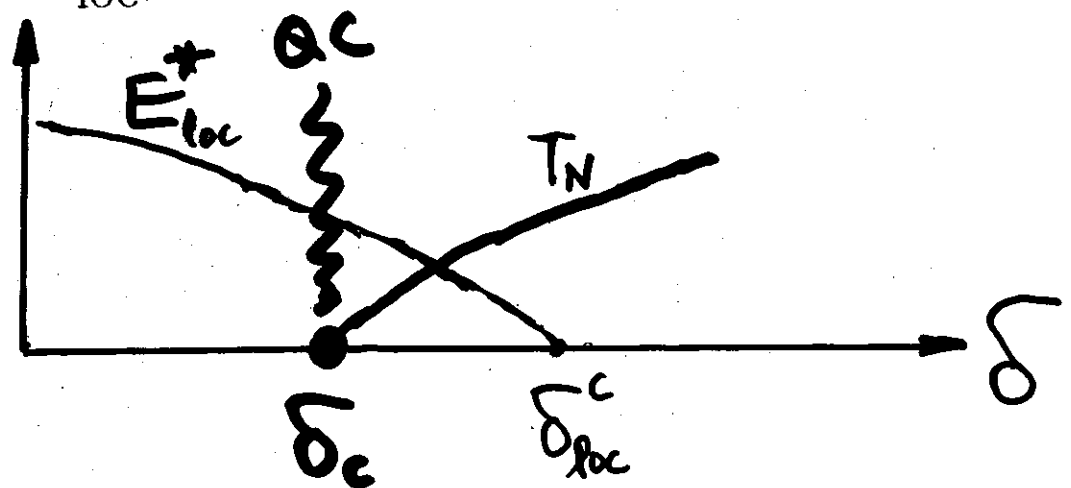
$$\int d\epsilon \rho(\epsilon) \frac{1}{\omega + \mu - \Sigma(\omega) - \epsilon} = G_{loc}(\omega)$$

- Extended DMFT as an approximation for finite D systems:



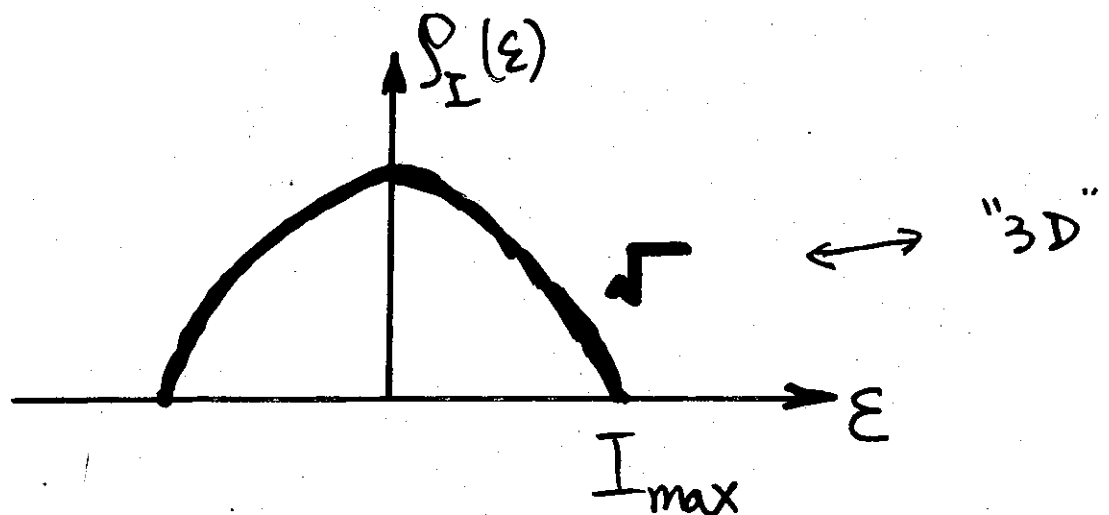
Step III: Self-consistent solution – two types of QCPs

- If $\chi(\mathbf{Q}, \omega = 0) = \frac{1}{M(\omega=0) - I_{\max}} \rightarrow \infty$
at $\delta_c < \delta_{\text{loc}}^c$,



then

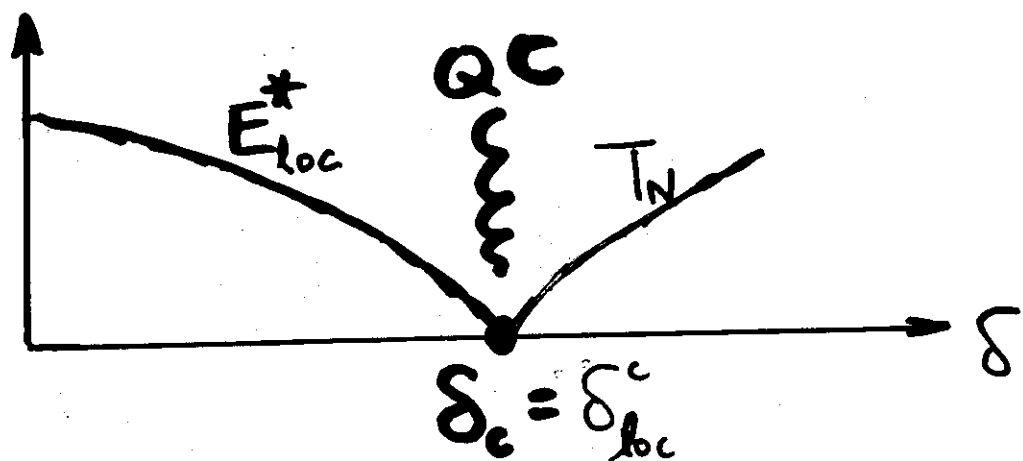
- at δ_c , the local problem is not critical;
local moments completely quenched
- $\Rightarrow$ the QCP is of SDW (or Hertz) type
- This occurs for a semi-circular “RKKY-d.o.s.”



Step III: Self-consistent solution

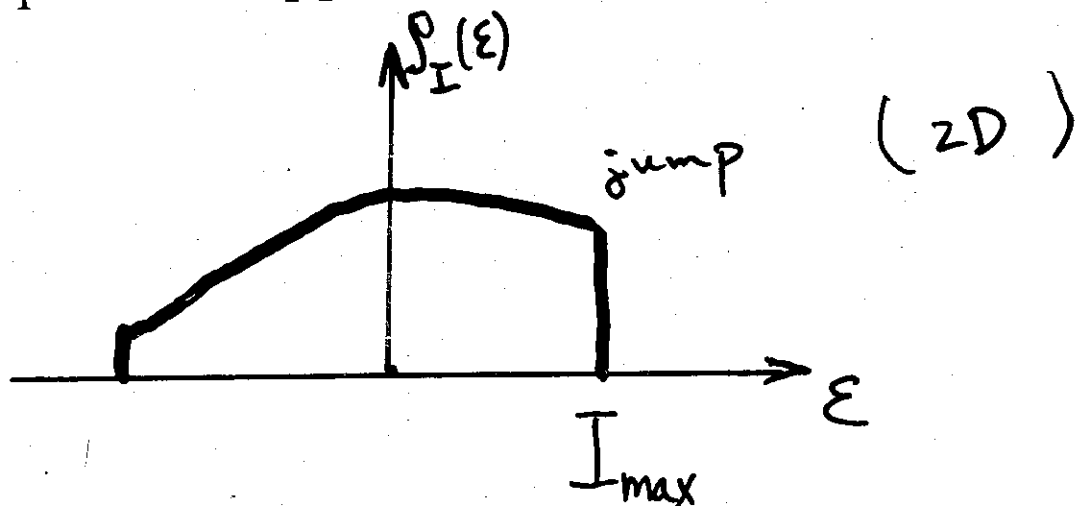
– two types of QCPs (Cont'd)

- If $\chi(\mathbf{Q}, \omega = 0) = \frac{1}{M(\omega=0) - I_{\max}} \rightarrow \infty$
exactly at $\delta_c = \delta_{\text{loc}}^c$,



then

- at δ_c , the local problem is also critical;
vestiges of local moments remain.
- $\Rightarrow$ non-SDW (or non-Hertz) QCP.
- This occurs whenever the “RKKY-d.o.s.” has
a jump at the upper edge:



Dynamical spin susceptibility at the non-Hertz QCP

- Local susceptibility

$$\chi_{loc}(\omega) = \frac{1}{2\Lambda} \left(\ln \frac{\Lambda}{|\omega|} + i \frac{\pi}{2} \operatorname{sgn} \omega \right)$$

- “spin self-energy”

$$M(\omega) \approx I_{\max} + A \omega^{\alpha}$$

where $\alpha = [2\rho_I(I_{\max})\Lambda]^{-1}$

- $\mathbf{q}$ -dependent susceptibility

$$\chi(\mathbf{q}, \omega) = \frac{1}{(I_{\max} - I_{\mathbf{q}}) + A \omega^{\alpha}}$$

- Cf. CeCu_{6-x}Au_x at $x = x_c$:

– $\alpha \approx 0.75$

– quasi-2D

Summary

- Extended DMFT of the Kondo lattice:
 - conserving resummation of $1/D$ expansion
 - captures the dynamical competition between Kondo and RKKY interactions
- Two types of QCPs:
 - SDW (Hertz) type
 - non-SDW (non-Hertz) type: local Kondo physics is also critical
- 2D fluctuations facilitate the realization of non-Hertz QCPs
- Cf. neutron scattering in $\text{CeCu}_{6-x}\text{Au}_x$

