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**XII WORKSHOP ON  
STRONGLY CORRELATED ELECTRON SYSTEMS**

**17 - 28 July 2000**

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***MAGNETIC EXCITON MEDIATED  
SUPERCONDUCTIVITY IN UPd<sub>2</sub>Al<sub>3</sub>***

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***These are preliminary lecture notes, intended only for distribution to participants.***



# Magnetic exciton mediated superconductivity in UPd<sub>2</sub>Al<sub>3</sub>

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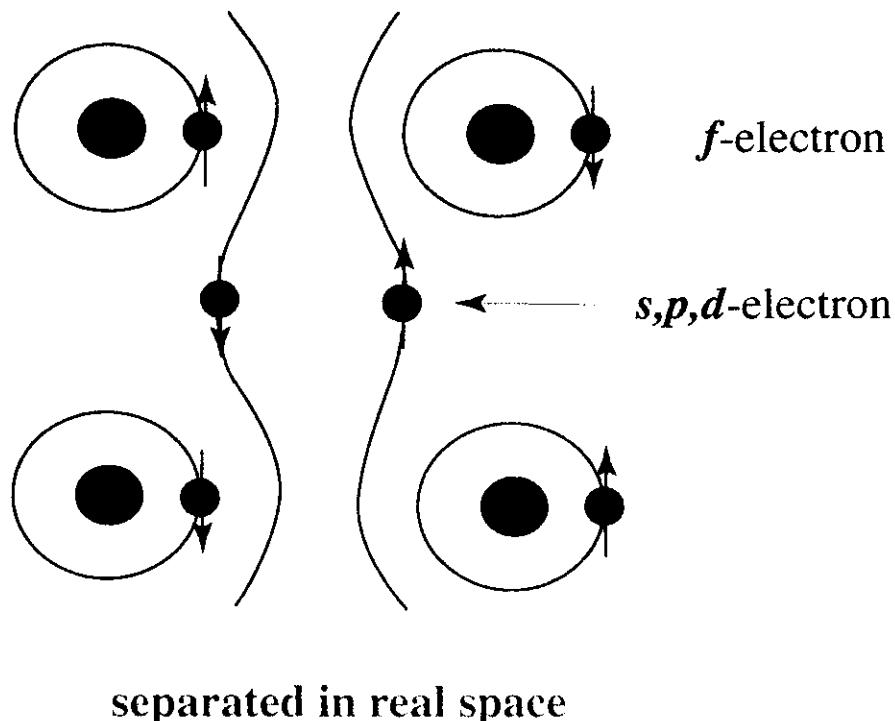
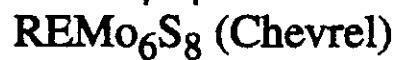
Coexistence of AF ( $T_N = 14.3$  K) and SC ( $T_C \sim 2$  K),  
both of which are carried by 5f electrons.

Strong repulsive Coulomb interaction leads to (localized) magnetism.

Superconductivity needs an attractive interaction between quasiparticles.

Is the coexistence of AF and SC possible?

Typical example:



Localized  $4f$  electrons of RE are responsible for magnetism, and  $s, p, d$  electrons carrying SC current have no amplitude on RE.

# **Outline**

## **1. Introduction**

**Magnetic & superconducting properties**

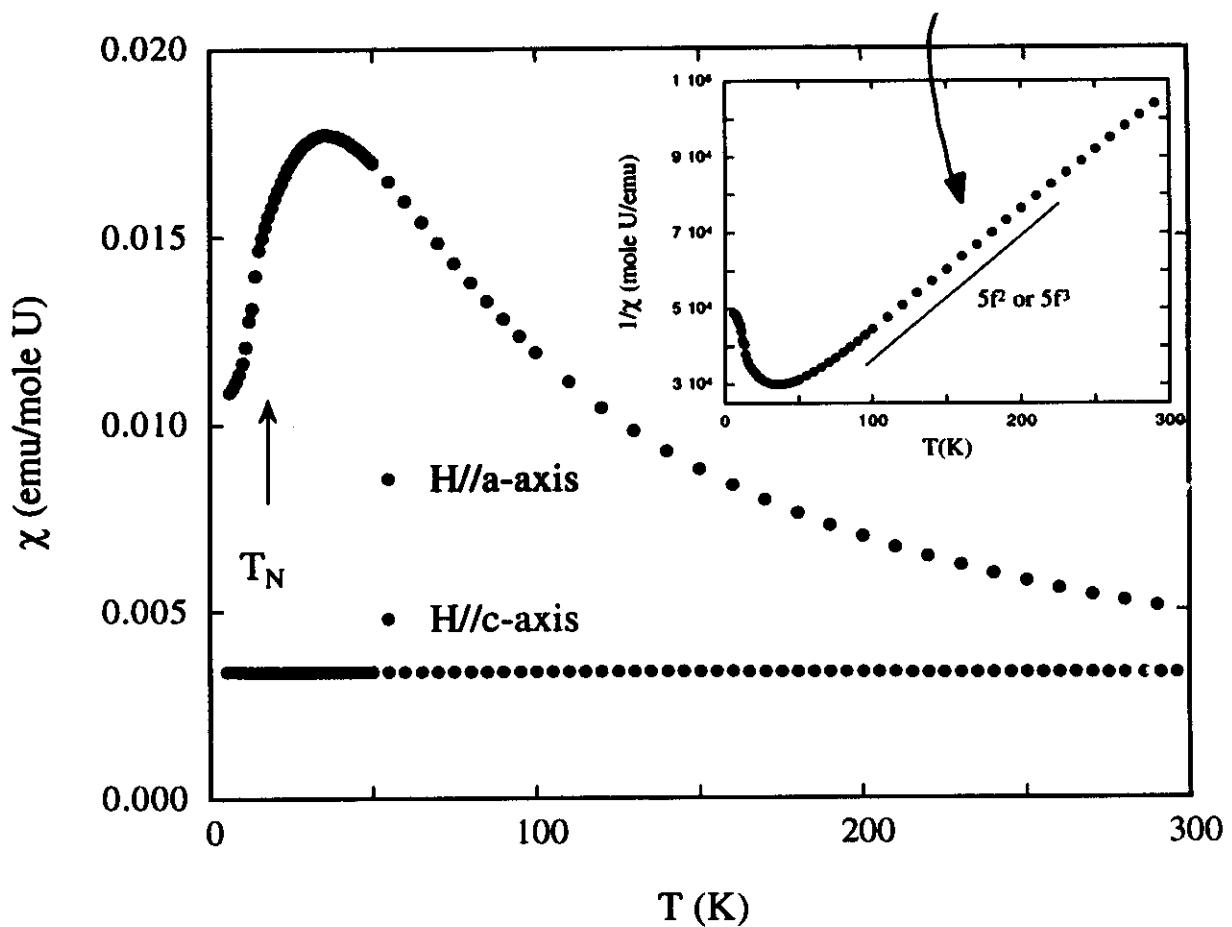
## **2. Experimental results of inelastic neutron scattering**

## **3. Model & analysis**

**(4.  $\text{UNi}_2\text{Al}_3$ )**

## **5. Summary**

*Curie - Weiss law*



large anisotropic temperature dependence

↓  
crystal field effect

↓  
localized moment

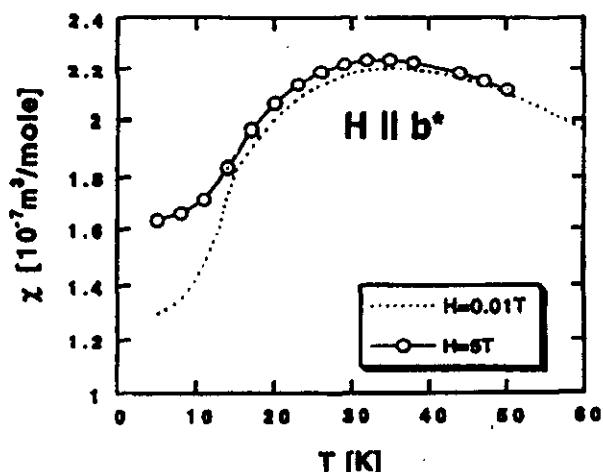


Figure 1. DC magnetic susceptibility versus temperature as measured on the same crystal as used for the neutron studies measured in applied fields of 5 T (○) and 0.01 T (---).

experiment. These susceptibility measurements were performed on the SQUID magnetometer at the Centre d'Etudes Nucléaires, Grenoble collecting 15 different temperatures from 5 to 50 K. Measurements of the susceptibility at  $H = 0.01$  T (performed at Sendai) are shown also for this direction in figure 1. The susceptibility is slightly non-linear with field; for example  $H = 0.01$  T the maximum value is  $2.2 \times 10^{-7} m^3 mol^{-1}$ , whereas at 5 T we find this has increased to  $2.26 \times 10^{-7} m^3 mol^{-1}$ .

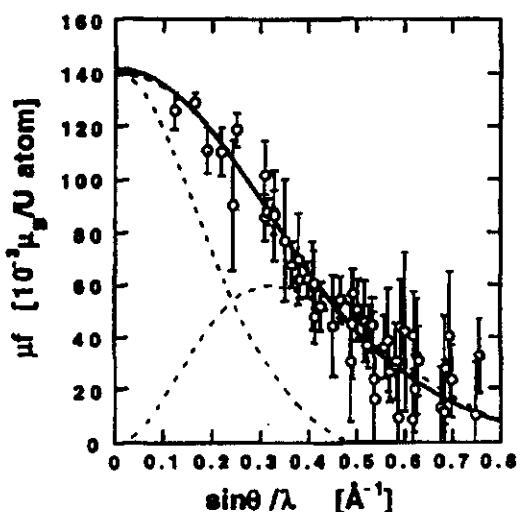


Figure 2. Magnetic scattering amplitudes as measured at 36 K in an applied field of 5 T and plotted versus  $(\sin\theta)/\lambda$  assuming that the total response is located at the uranium site. The best fits to the dipole approximation for  $U^{4+}$  and  $U^{3+}$  are shown as full and bold broken curves respectively. They are almost indistinguishable. The broken curves correspond to the functions  $\langle j_0 \rangle$  and  $\langle j_2 \rangle$  for  $U^{3+}$  used in the fit (see Freeman et al (1976)).

magnetic form factor

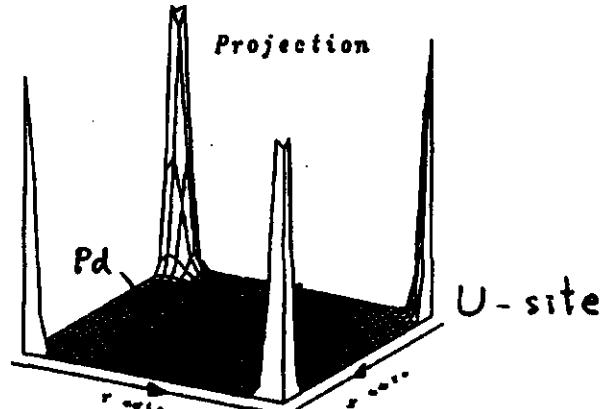


Figure 3. Maximum-entropy reconstruction of the magnetization density projected on the basal plane of the hexagonal  $UPd_2Al_3$  structure. The high values are associated with the density at the uranium sites; the arrows indicate the positions of the palladium sites. The  $x$  axis is parallel to the hexagonal  $a$  axis, and the  $y$  axis is perpendicular to it.

$$\vec{Q}_0 = (0, 0, \frac{1}{2}) , \quad \vec{\mu}_d = 0.85 \mu_B$$

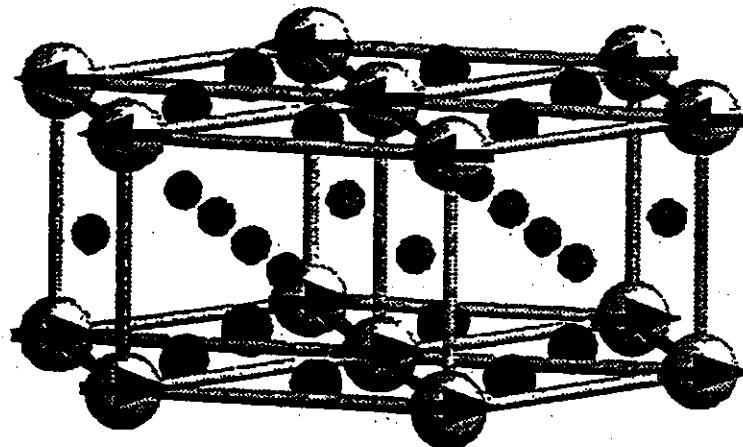
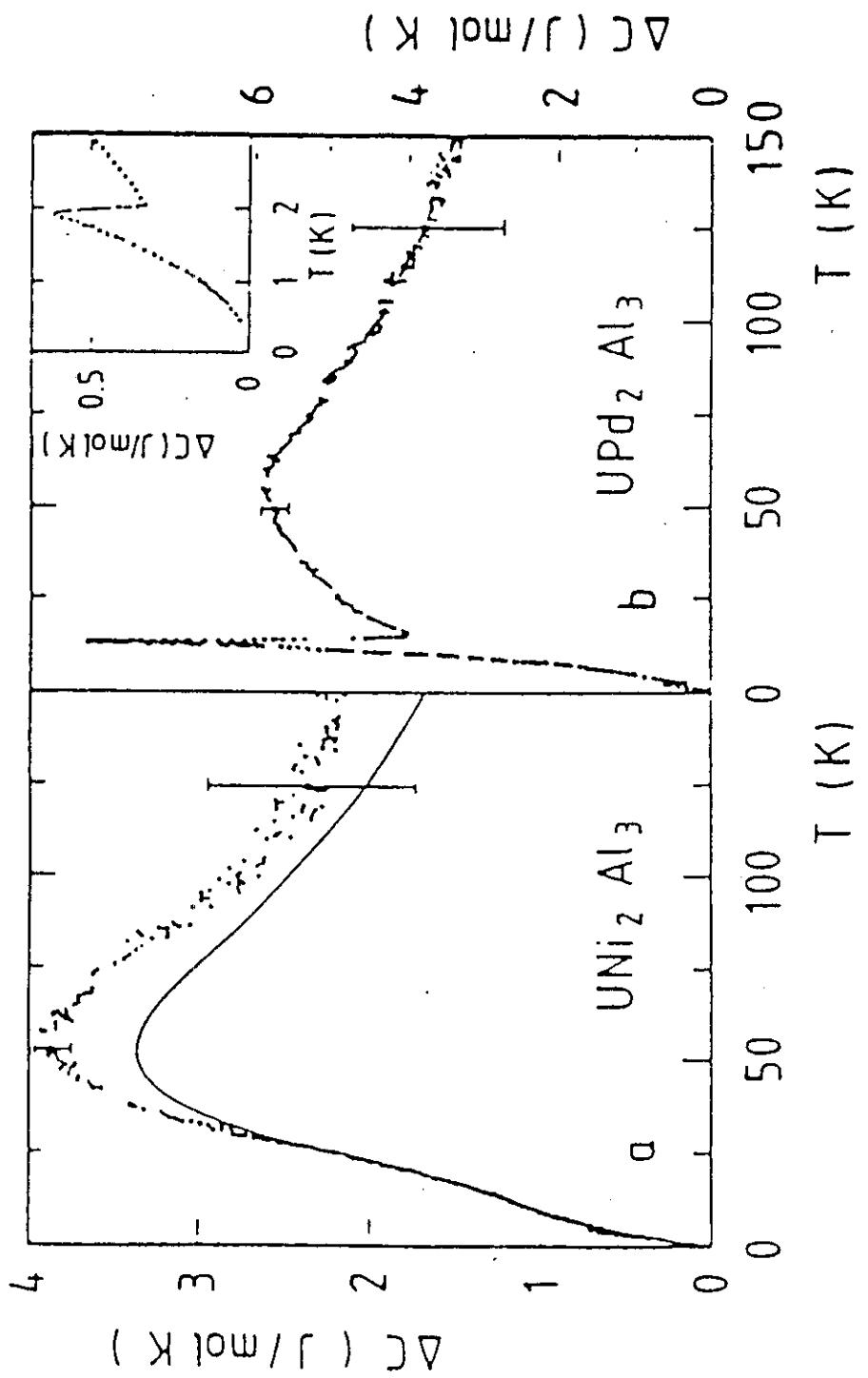


FIG. 1. Crystal structure of  $\text{UPd}_2\text{Al}_3$  (hexagonal,  $\text{PrNi}_2\text{Al}_3$  type). Large spheres at the corners are U atoms with the magnetic moments denoted by arrows, and they are surrounded by Pd atoms in the hexagonal basal plane. Small spheres indicate Al atoms located between the planes.

( $\text{PrNi}_2\text{Al}_3$  type,  $P6/mmm$ ,  $a=5.37 \text{ \AA}$ ,  $c=4.18 \text{ \AA}$ ).



**FIGURE 4**

$\Delta C = C(\text{UT}_2\text{Al}_3)-C(\text{ThT}_2\text{Al}_3)$  vs  $T$  for  $T = \text{Ni}$  (a) and  $T = \text{Pd}$  (b). Solid curve in (a) represents Bethe-Ansatz result for spin 1/2 single-ion Kondo effect ( $T_K = 48\text{K}$ ) and a doublet-singlet CF splitting of  $k_B \cdot 125\text{K}$ . Inset in (b) shows superconducting transition for  $\text{UPd}_2\text{Al}_3$  (Ref.9).

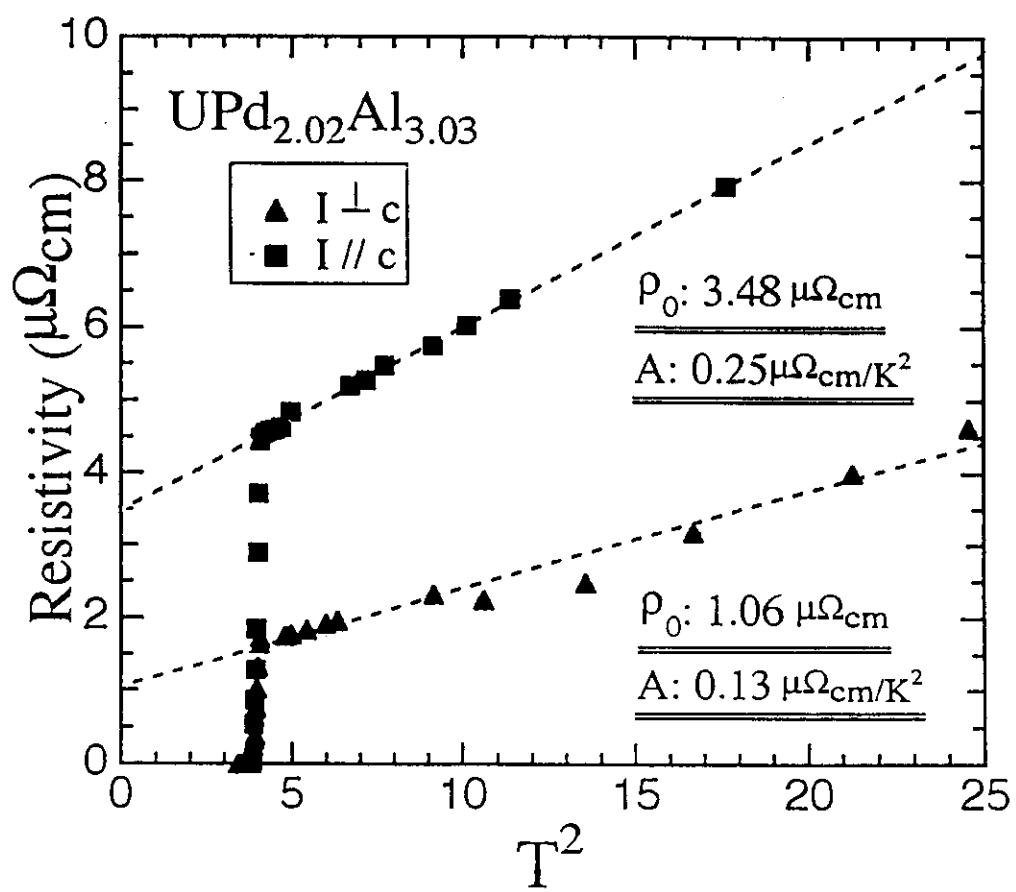
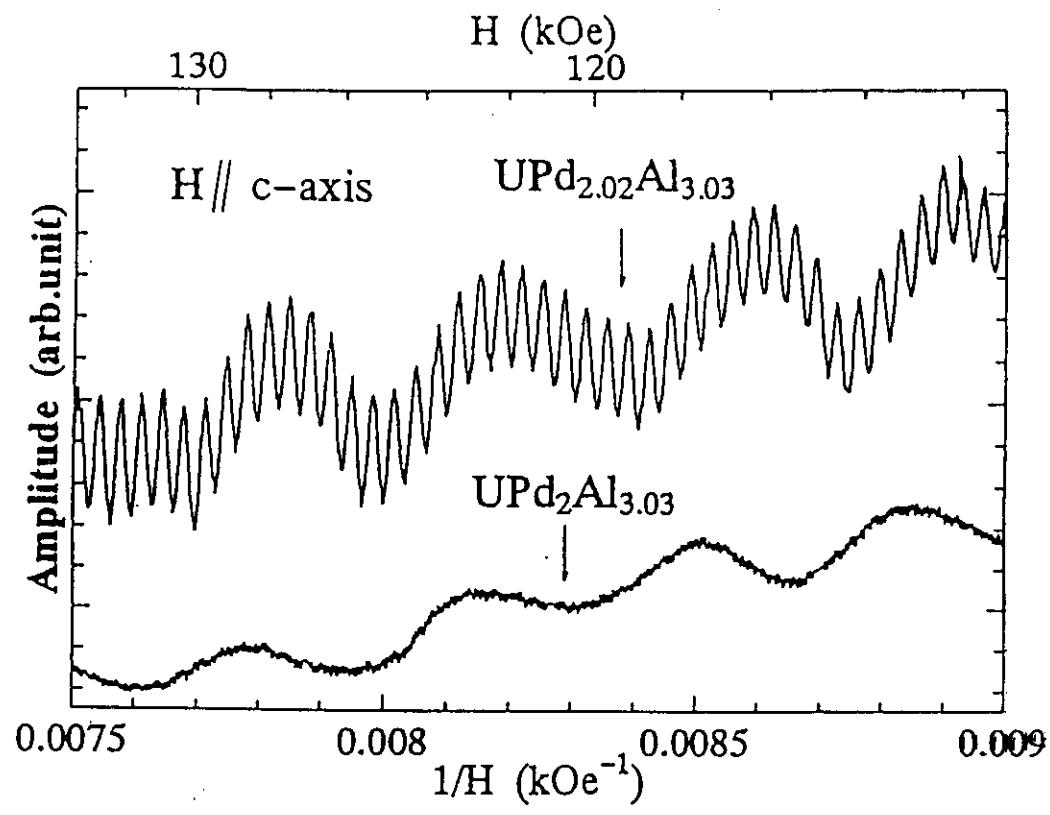
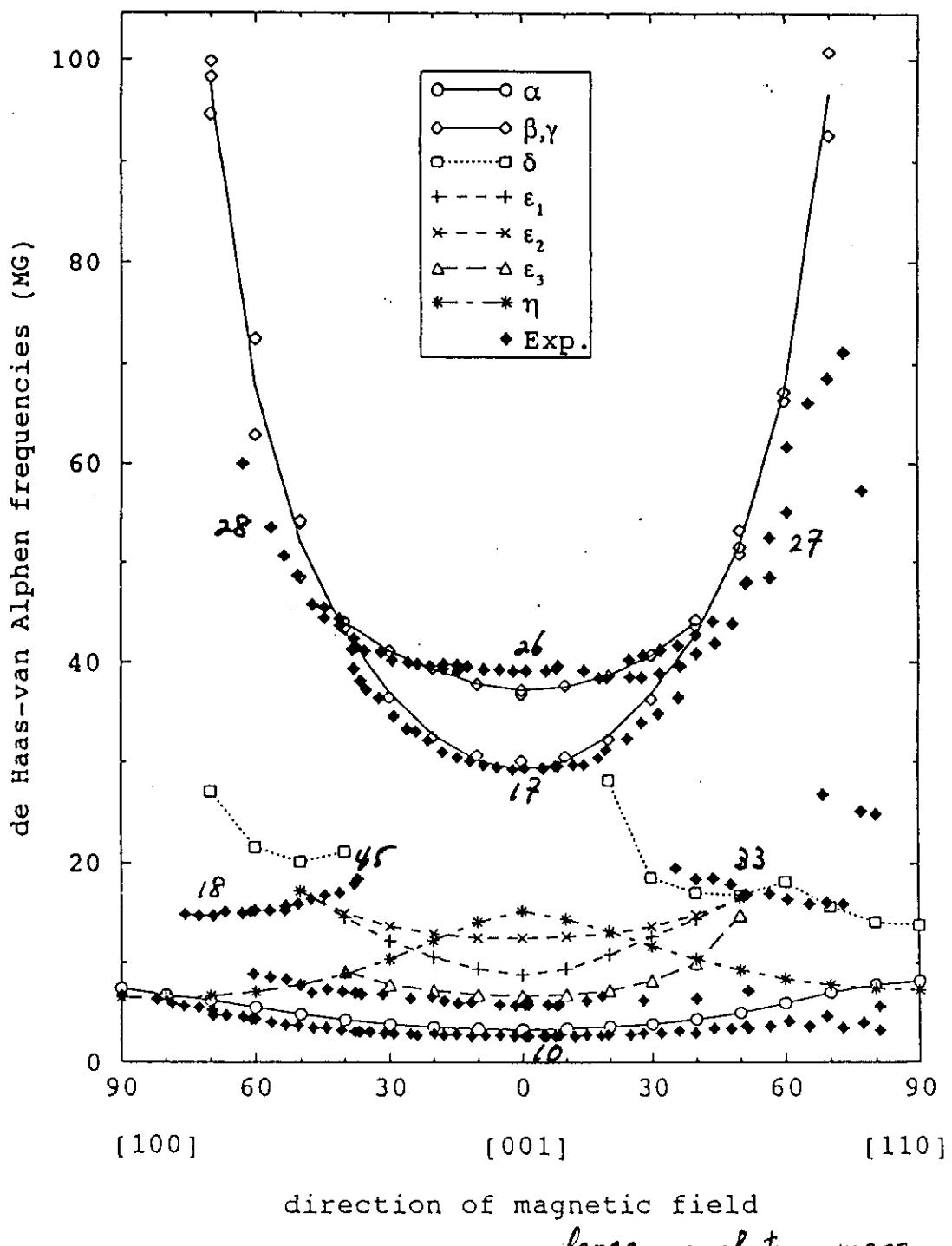


図3-2-4  $\text{UPd}_{2.02}\text{Al}_{3.03}$  の残留抵抗の異方性。 Y. Inada, Thesis (1994)  
(Tohoku Univ.)



large cyclotron mass.

"  
direct evidence for  
heavy quasi-particles!

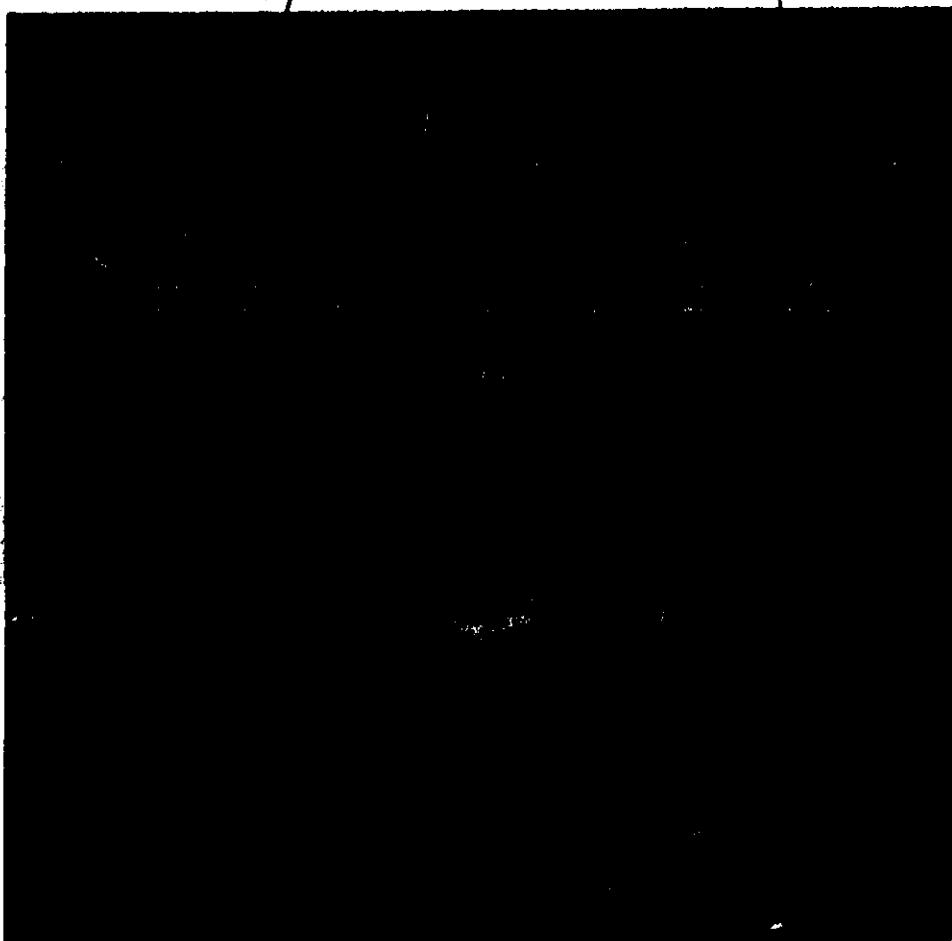
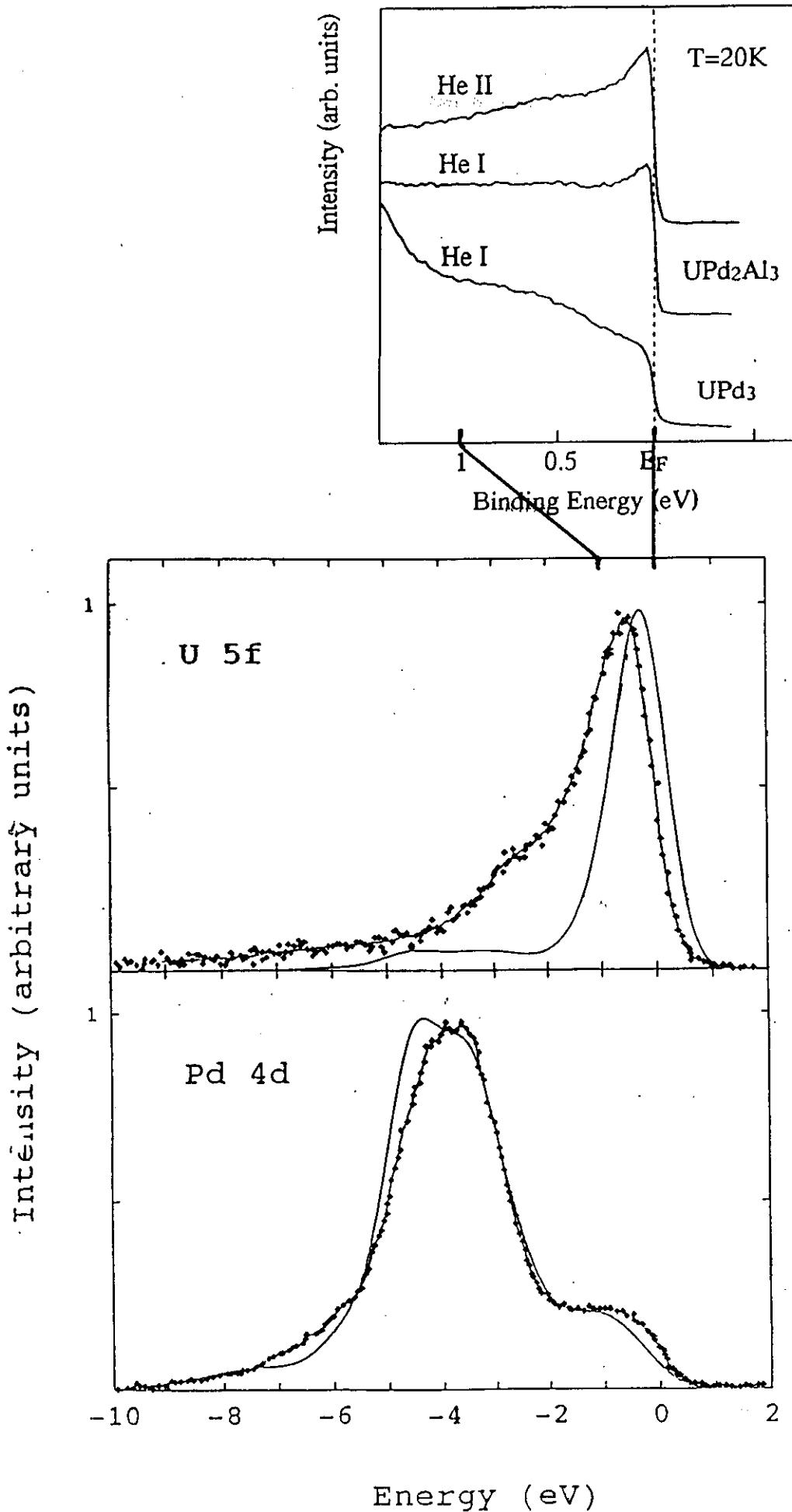


Figure 6. Fermi surfaces as in figure 5. The colours shown give the amount of 5f angular momentum character on each sheet of the Fermi surface.

Knöpfle et al.

of the surface. We stress the distinction of the two largest sheets of the FS: 'party hat' and 'column'. The latter is an almost pure 5f sheet in contrast to the former which is formed by strongly hybridized states. Again, the extent of the hybridization is very different in different parts of the surface.

This result lends considerable weight to the assumption about different types of state at the Fermi level made in [4, 5, 6]. Since one of the experimental distinctions is the anisotropy of the magnetic susceptibility we extend the comparison by calculating the magnetic response of the bands forming different sheets of the FS to a magnetic field applied, respectively, parallel to the  $y$  and the  $z$  axes (figure 1) as described in the previous section. The value of the field is chosen so that  $\mu_B B$  equals 1 mRyd. The results of the calculation of the susceptibility are collected together in table 1. Note that in the absence of the field the collinear antiferromagnetic structure leads to double degeneracy of all energy bands and therefore of all sheets of the FS. The external magnetic field lowers the symmetry and lifts the degeneracy of the bands. As a result, the two degenerate bands



Magnetism

carried by  $5f$  electrons of uranium ions

Key point

two-component nature of  $5f$  electrons

localized vs itinerant

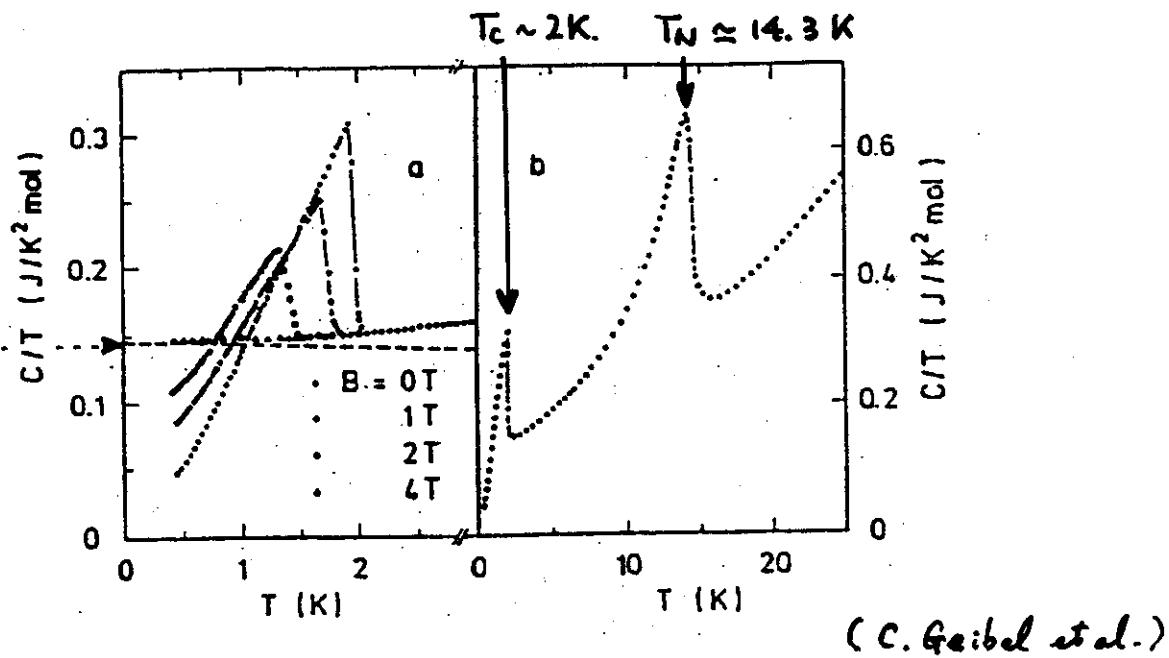
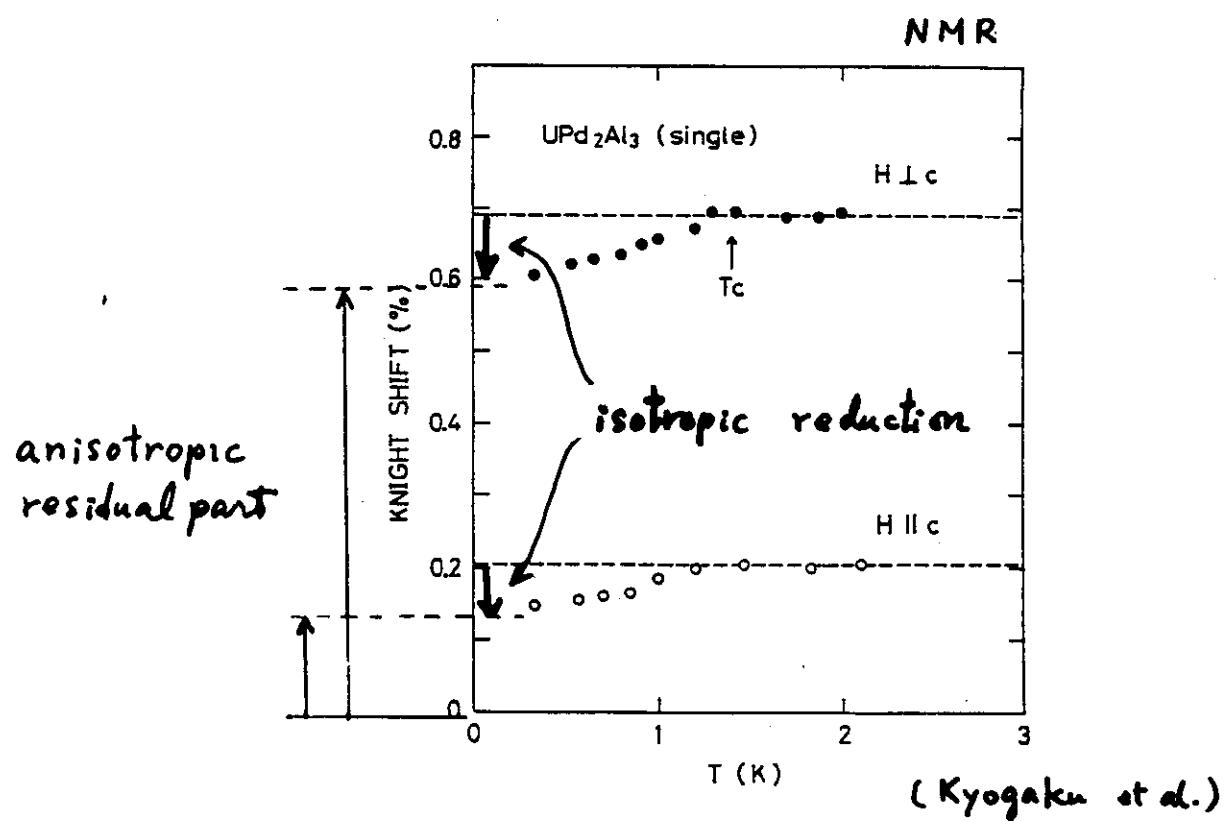
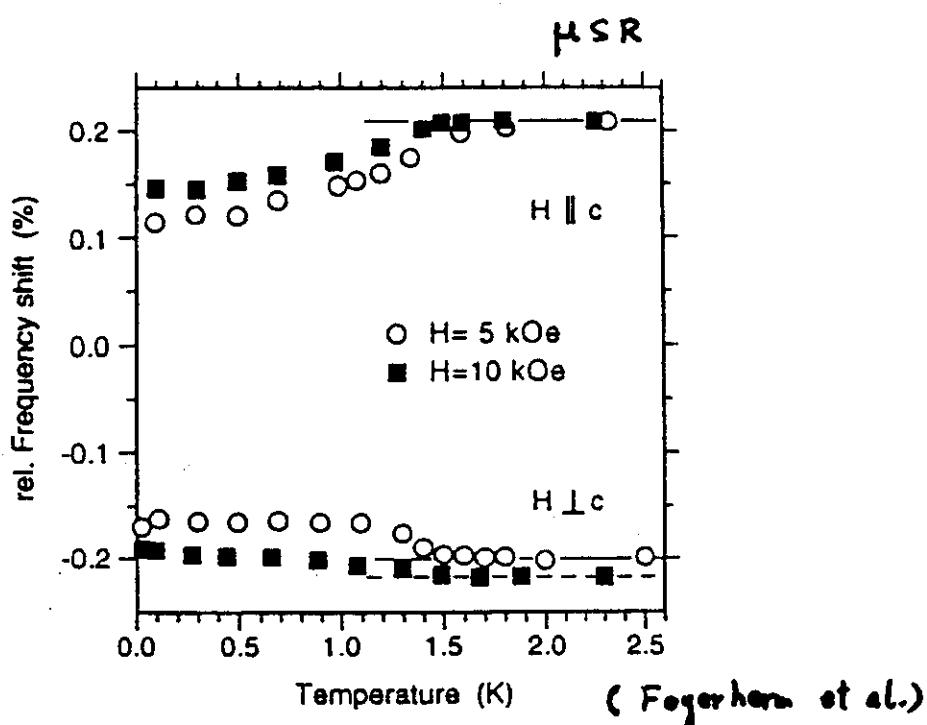
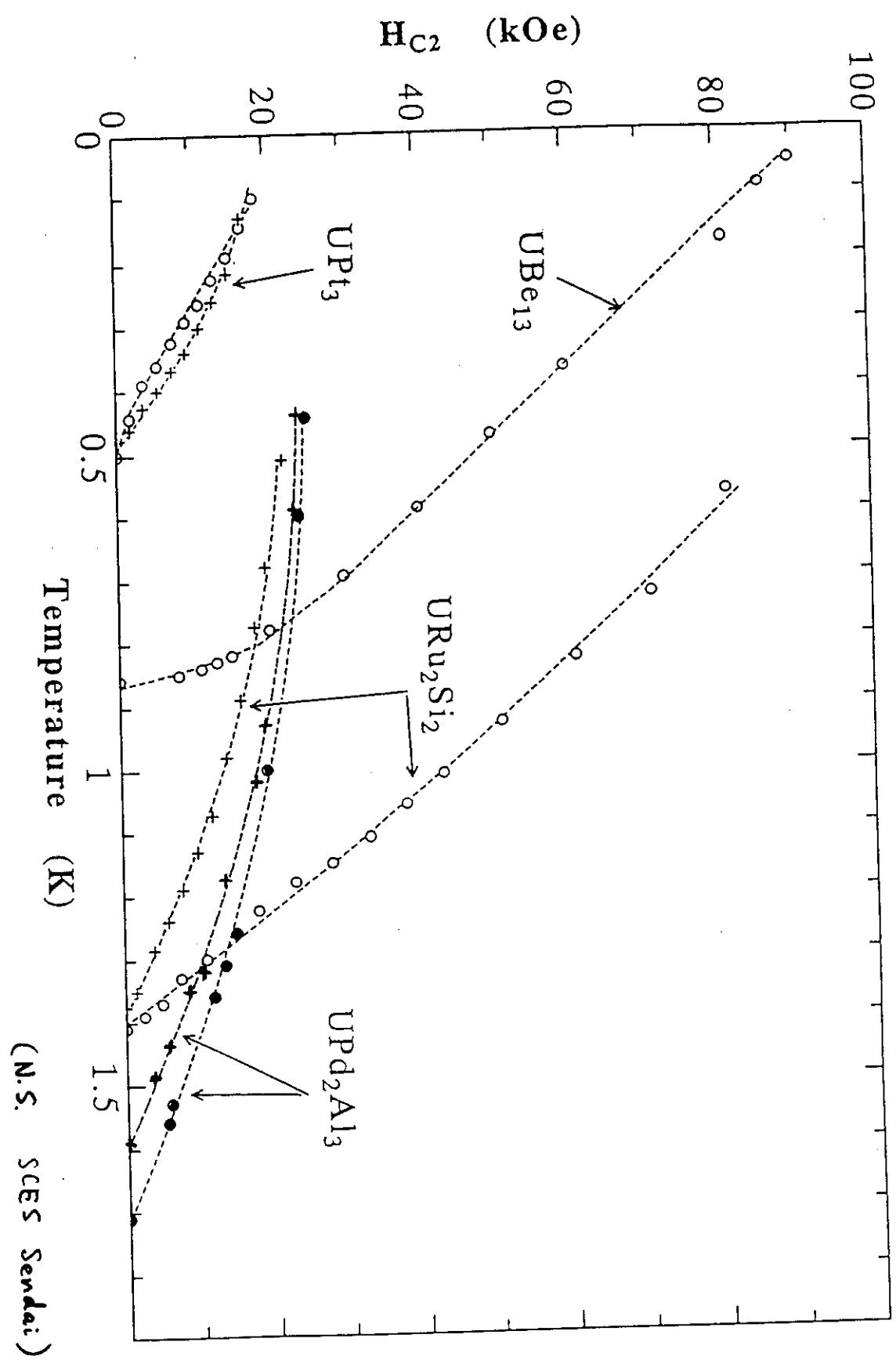
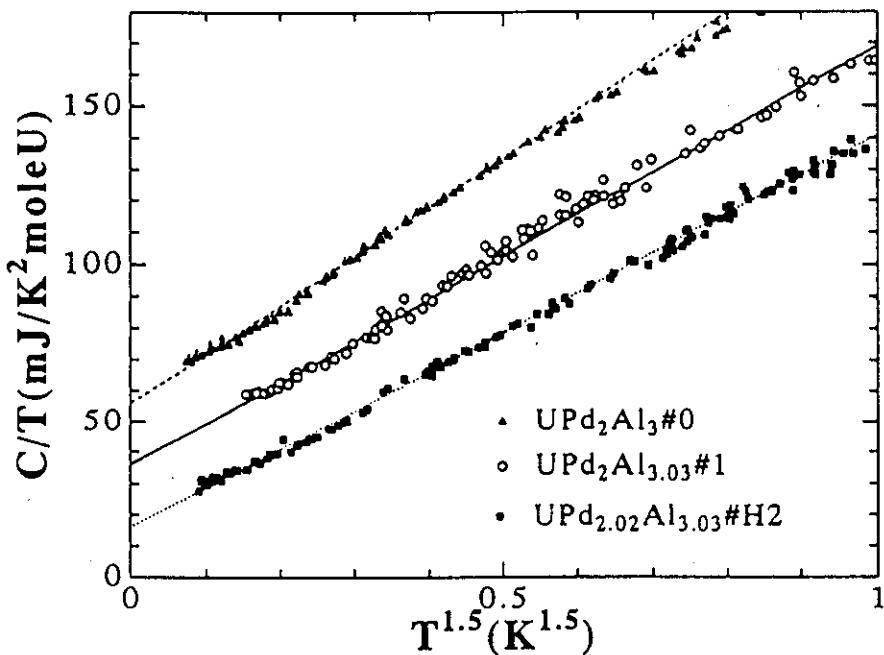


図2. 多結晶の比熱の温度変化<sup>1)</sup>

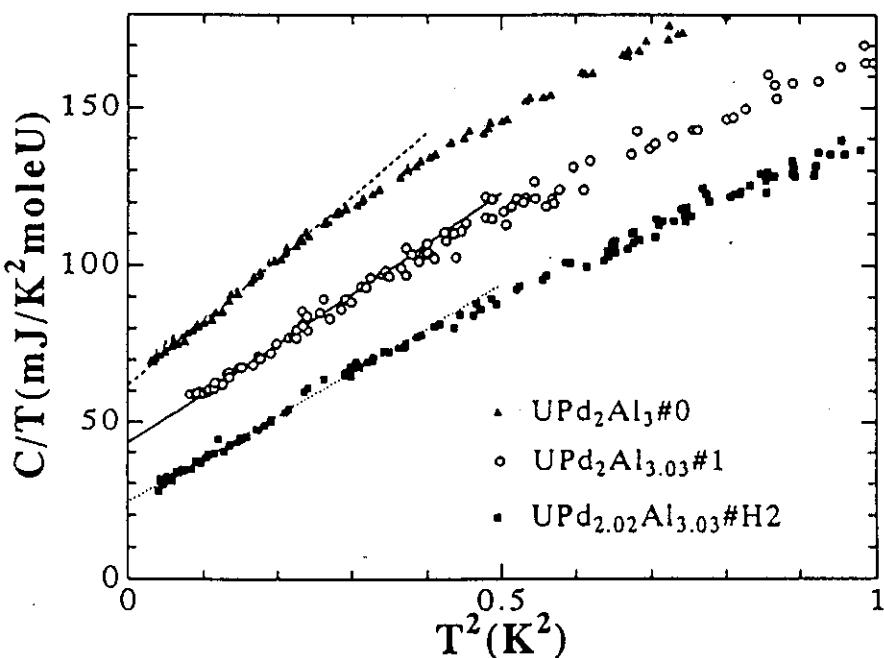




$$C \propto T^{2.5}$$



$$C \propto T^3$$



(Sakai et al.)

$$\text{cf. } \frac{1}{T^2} \propto T^3$$

Superconductivity (SC):

Itinerant component of  $5f$  electrons

forms Fermi liquids with the heavy effective cyclotron mass,  
and condenses into SC.

Key point:

$5f$  electrons are responsible for both AF and SC.

Correlation between AF and SC ?

Does AF destroy or assist SC ?

# Magnetic fluctuations and the superconducting transition in the heavy-fermion material UPd<sub>2</sub>Al<sub>3</sub>

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## Abstract

Inelastic neutron scattering has been performed on single crystals of the heavy-fermion superconductor UPd<sub>2</sub>Al<sub>3</sub>. The antiferromagnetically ordered state is characterized by an acoustic spin wave mode with no gap. The low-frequency magnitude excitations are unaffected by the transition to a superconducting state despite coupling to the conduction electrons as evidenced by the significant damping.

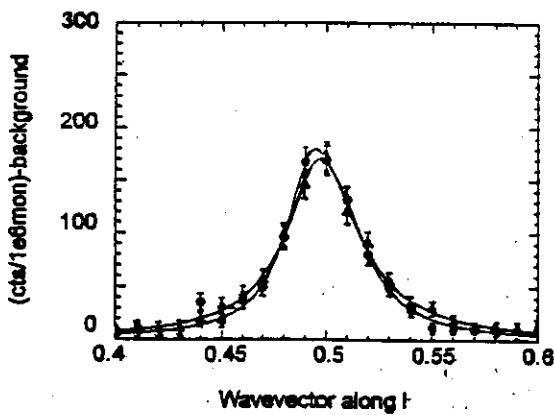
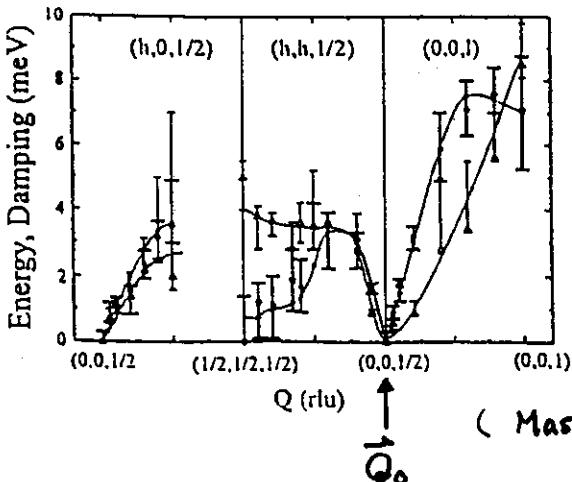
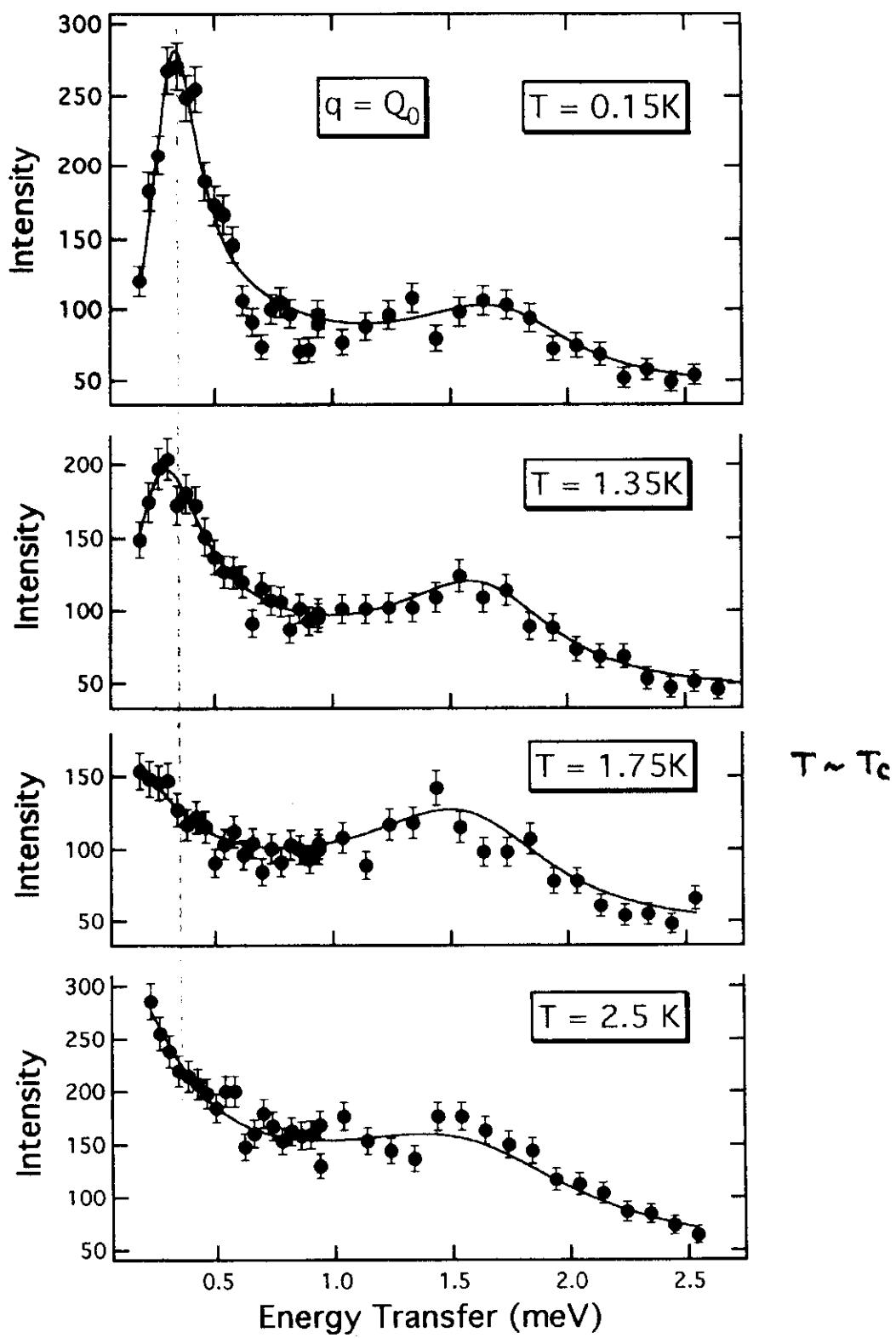


Fig. 3. Constant  $\hbar\omega_q$  scans at  $\hbar\omega_q = 0.3$  meV,  $T = \underline{0.4}$  K and  $1.8$  K

No temperature dependence below and above  $T_c$  ??

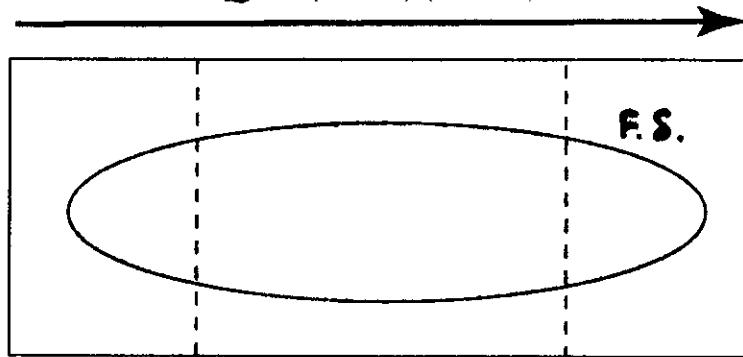


( Mason et al. )



*reciprocal lattice vector*

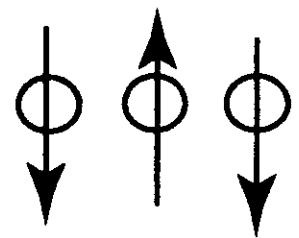
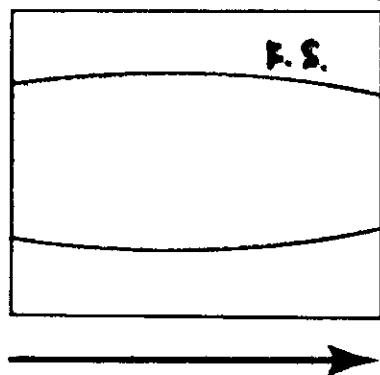
$$Q = (2\pi/c)(0,0,1)$$



c-axis



$$T > T_N$$



$$T < T_N$$

$$Q_0 = (2\pi/c)(0,0,1/2)$$

is equivalent to  $q = 0$  *for itinerant electrons.*

*pair breaking ;*

$$\chi(q, \omega) = \sum_k \left( 1 - \frac{\xi(k+q)\xi(k) + \Delta(k+q)\Delta(k)}{E(k+q)E(k)} \right) \frac{1 - f(E(k+q)) - f(E(k))}{\omega - (E(k+q) + E(k)) + i\Gamma}$$

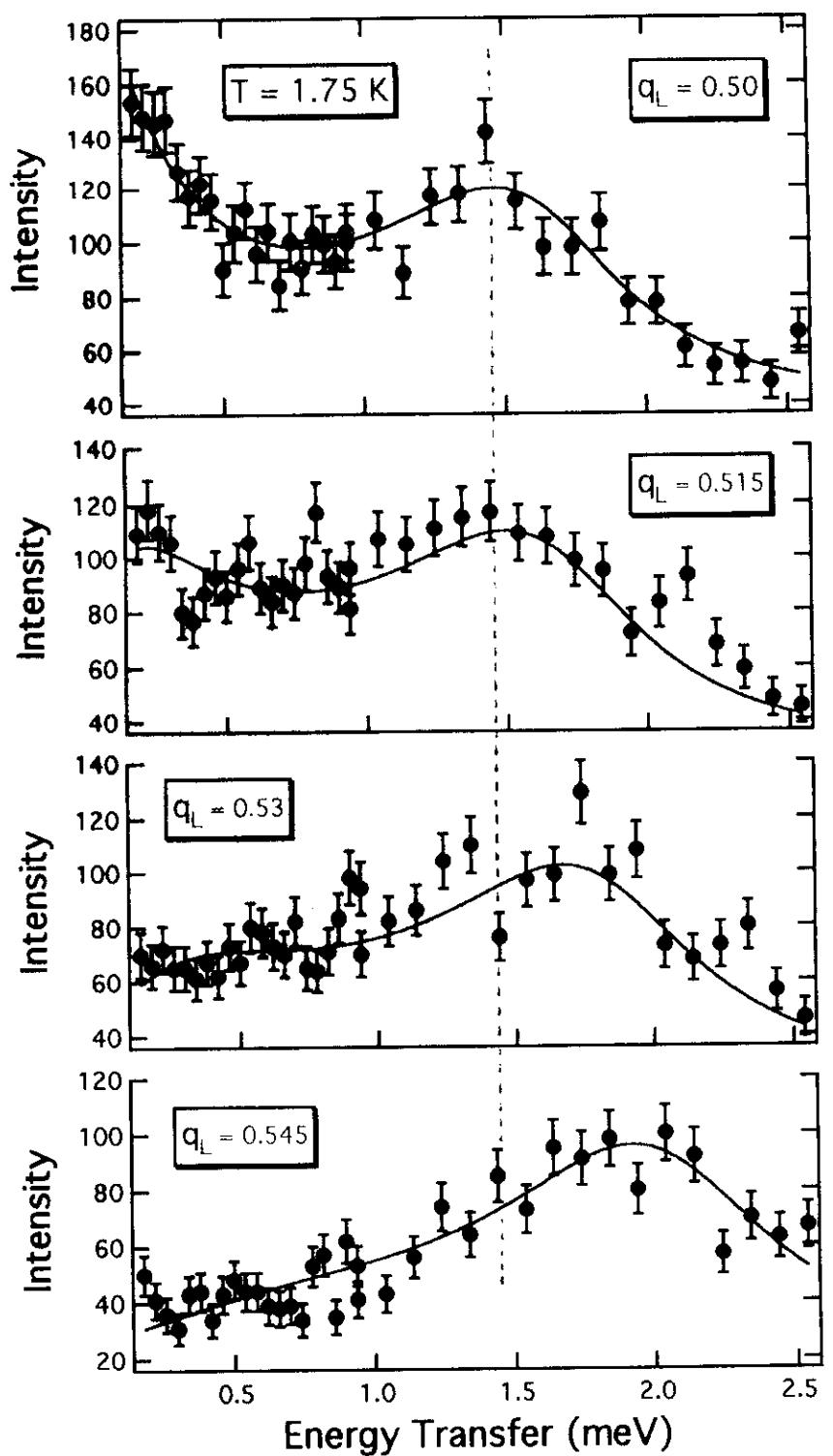
*coherence factor*

$$\text{where } E(k)^2 = \xi(k)^2 + \Delta(k)^2.$$

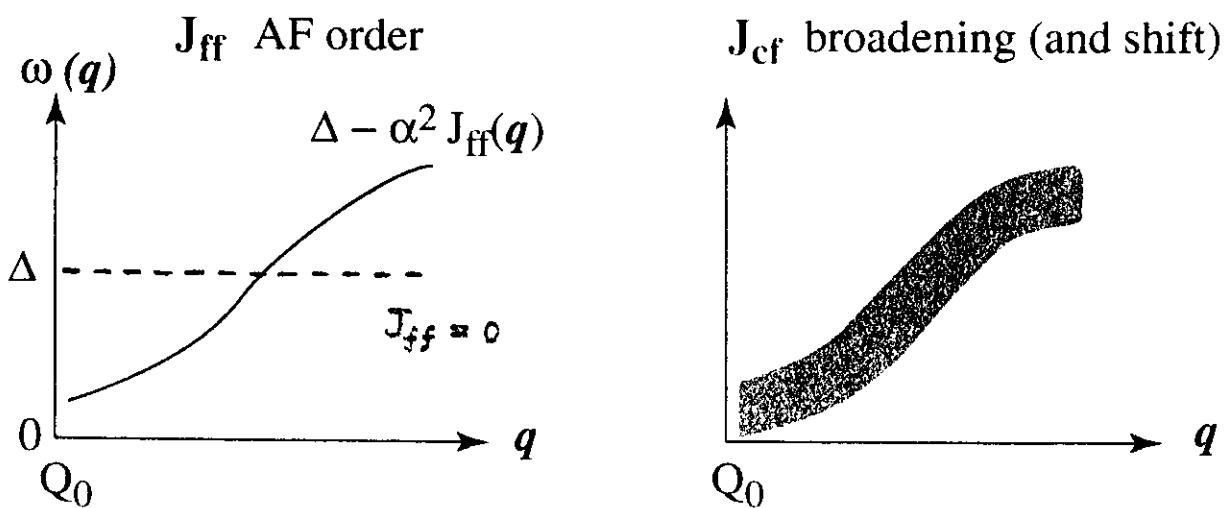
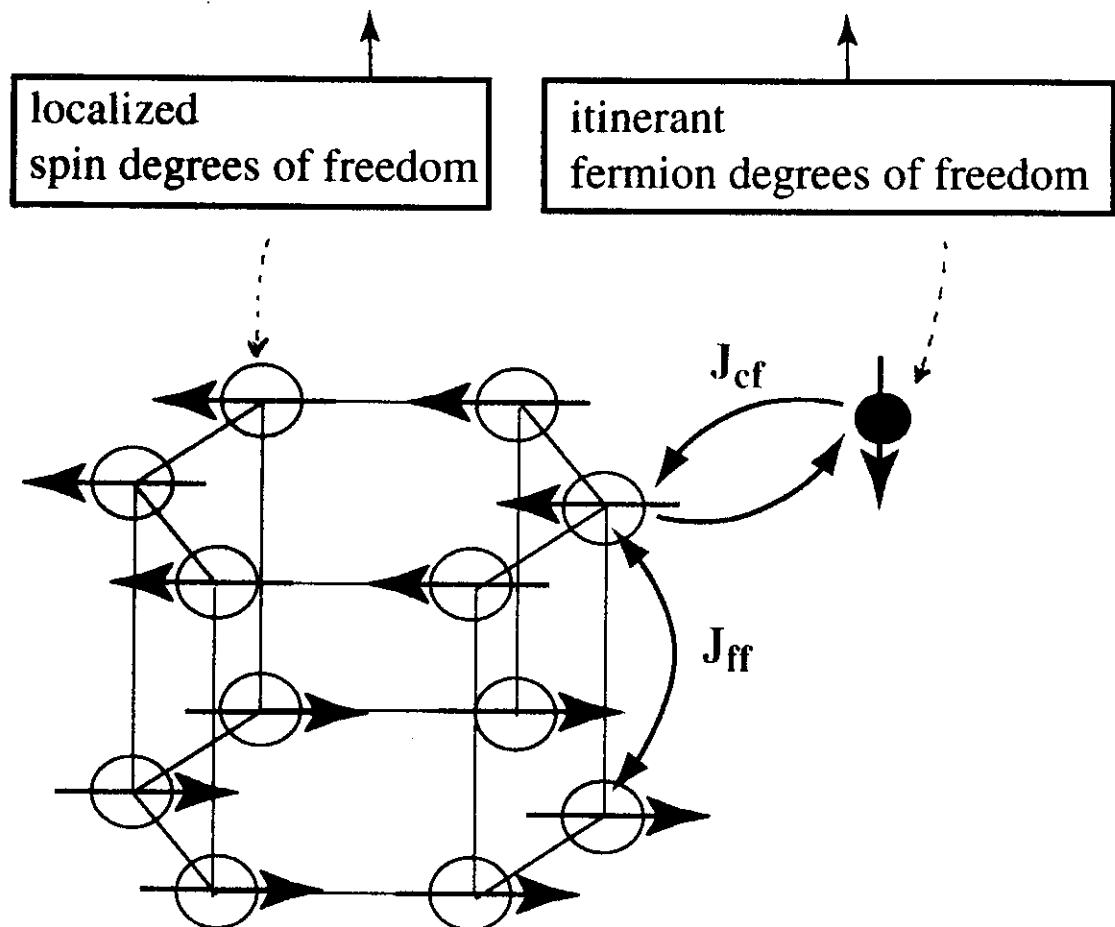
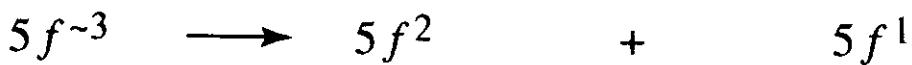
If  $\Delta(q + Q_0) = -\Delta(q)$ , then the coherence factor is vanishing.

The existence of the low energy response implies

$$\Delta(q + Q_0) = -\Delta(q).$$



## Basic assumption



eq. of motion

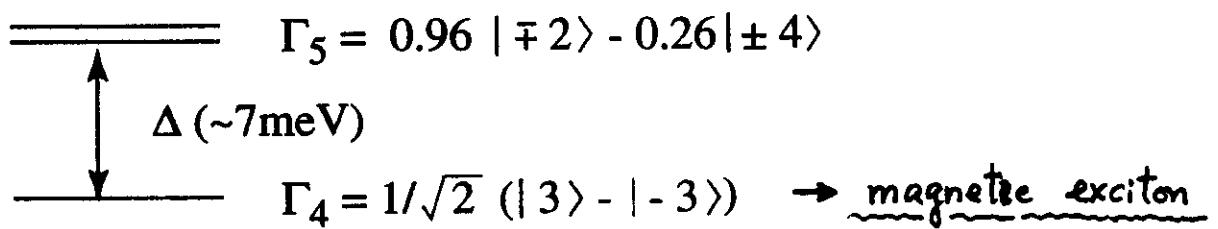
$$G_{ff} = g_f - g_f J_{ff} G_{ff} - g_f J_{fc} G_{cf}$$

$$G_{cf} = -g_c J_{cf} G_{ff}$$

$$\frac{d^2\sigma}{d\omega d\Omega} \propto \text{Im } G_{ff}$$

### Localized component

$$g_f = \frac{2 \alpha^2}{\omega^2 - \Delta^2} \sim \frac{\alpha^2}{\omega - \Delta} ; \alpha = \langle \Gamma_5 | J_z | \Gamma_4 \rangle$$



$$\Delta \sim 7 \text{ meV}, J_{ff} (\vec{k} = \vec{Q}_0) \sim 0.7 \text{ meV} \rightarrow \begin{cases} T_N \sim 25 \text{ K} \\ \mu_B \sim 0.6 \mu_B \end{cases}$$

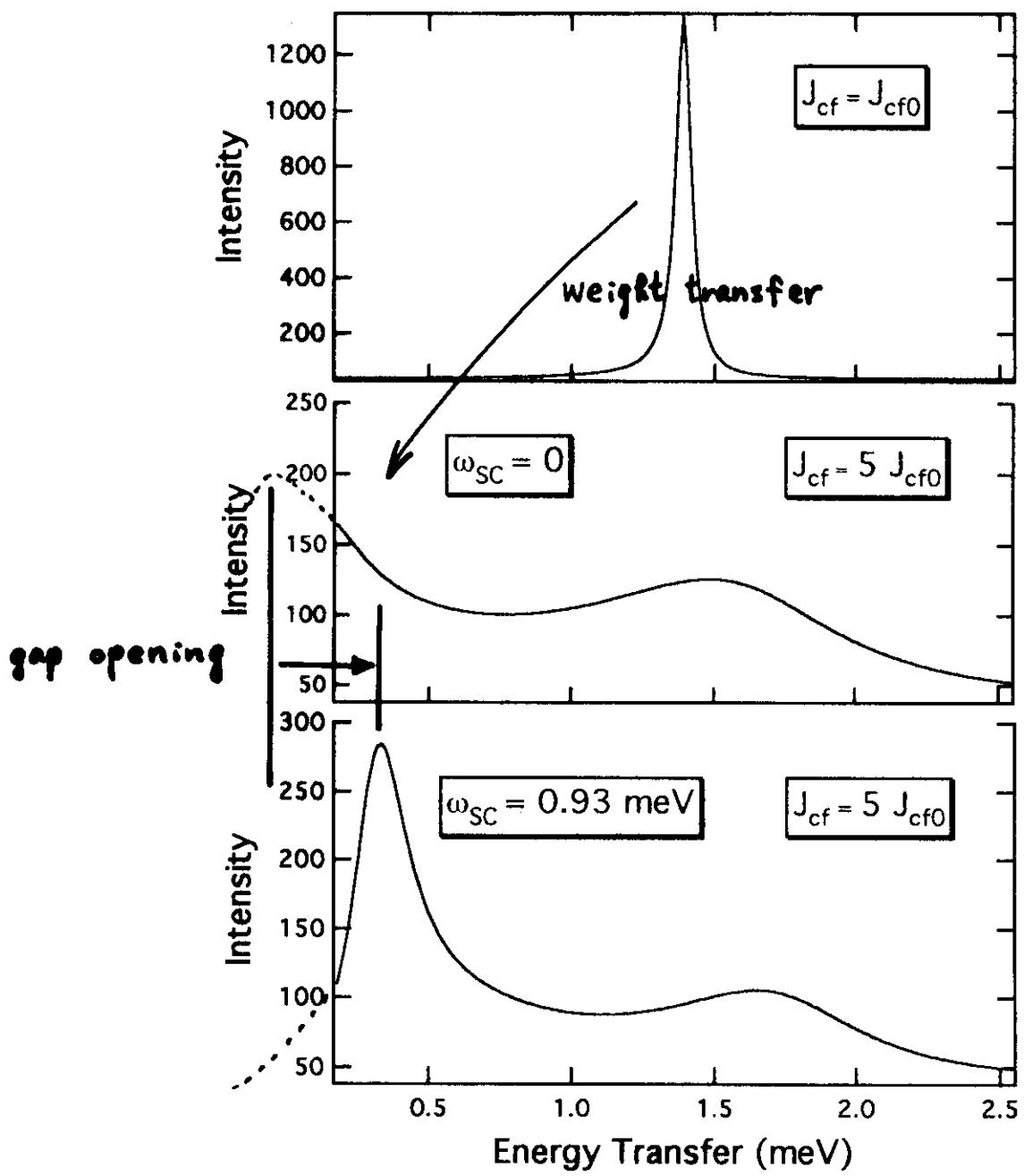
### Itinerant component

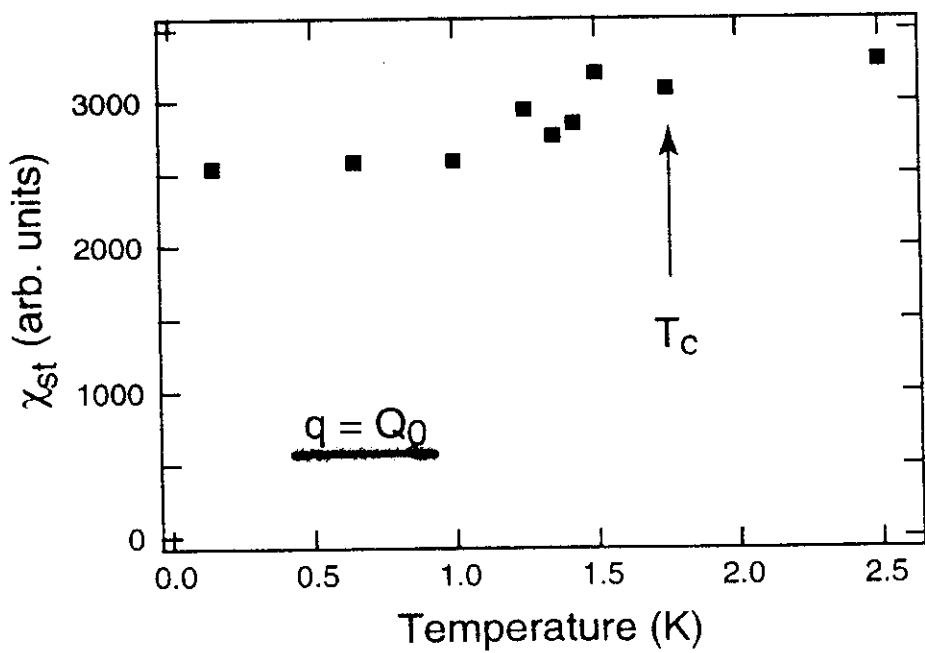
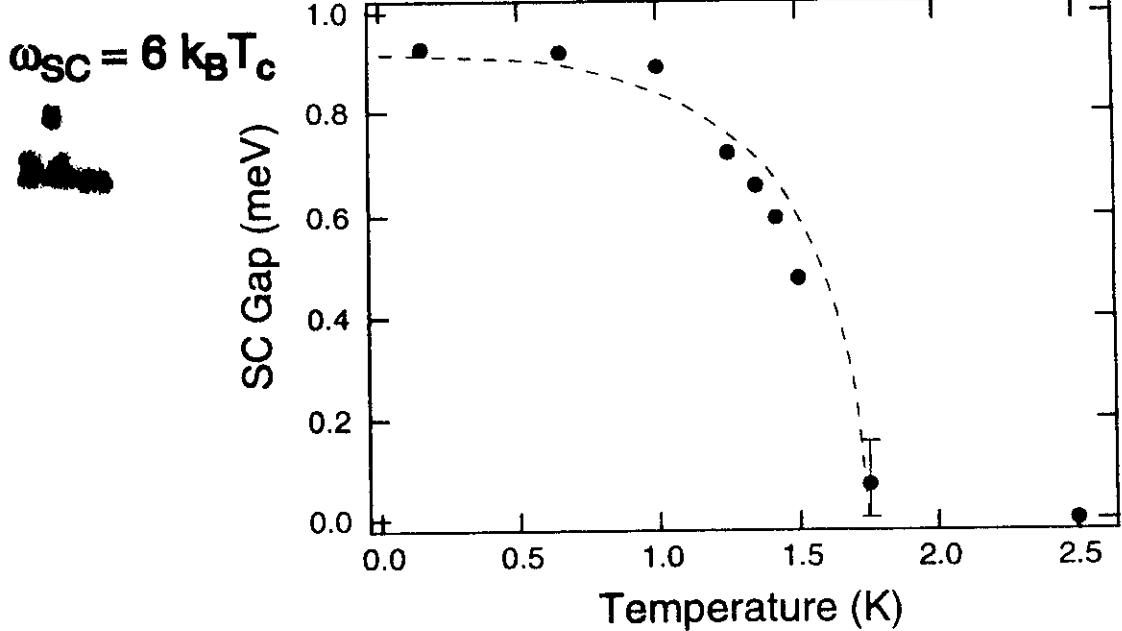
$$g_c = \chi(q) \left[ 1 - \frac{\omega (\omega + i \Gamma(q))}{\omega_{SC}^2 - i \Gamma(q) (\omega + i \Gamma(q))} \right]^{-1} \quad T \ll T_C$$

$$\xrightarrow{\omega_{SC} \rightarrow 0} \frac{\chi(q)}{1 - i \omega / \Gamma(q)} \quad T \gg T_C$$

$$(\omega_{SC} = 2\Delta_{SC})$$

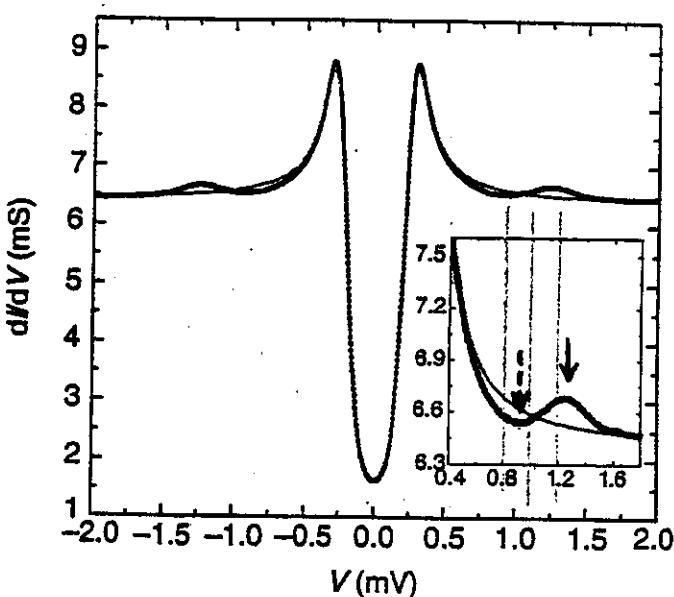
$$G_{ff} = g_f - g_f J_{ff} G_{ff} + g_f J_{fc} g_c J_{cf} G_{ff}$$



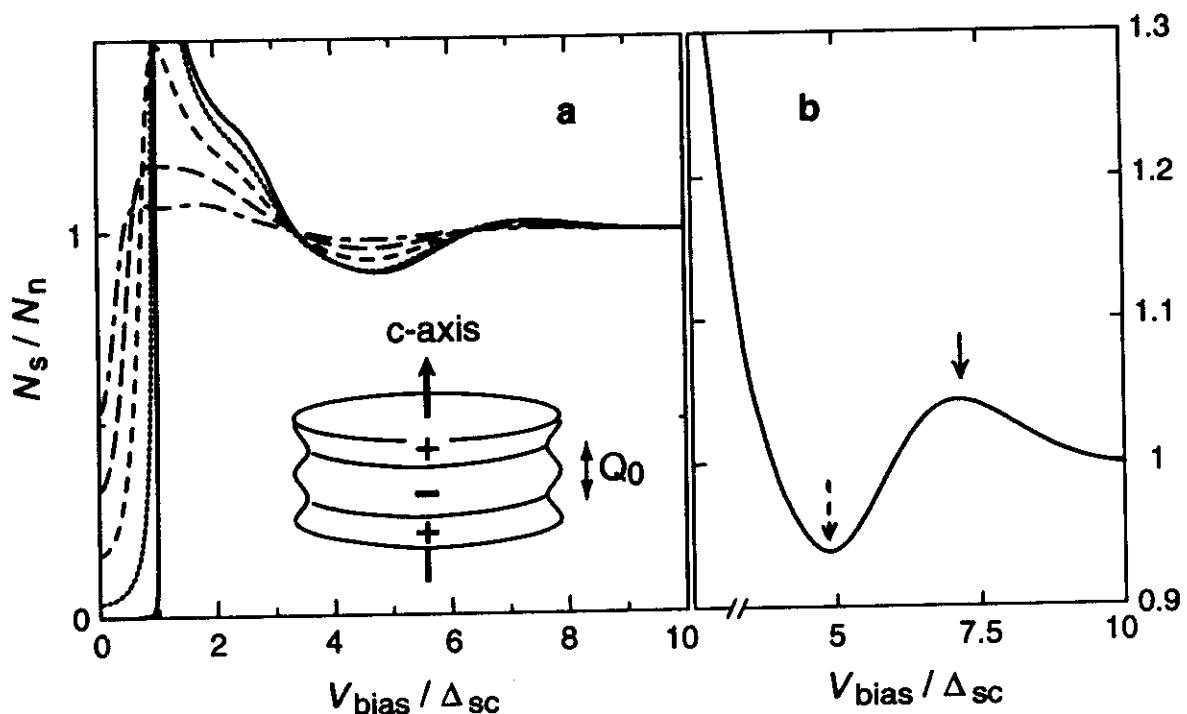


**Superconductivity mediated  
by spin fluctuations  
In the heavy-fermion  
compound UPd<sub>2</sub>Al<sub>3</sub>**

M. Jourdan, M. Huth & H. Adrian  
Institute of Physics, Johannes Gutenberg-University,  
Staudingerweg 7, 55099 Mainz, Germany



**Figure 4** Normalized differential conductivity of a UPd<sub>2</sub>Al<sub>3</sub>-AlO<sub>x</sub>-Pb tunnel junction. Black trace, experimental results for  $T = 0.3$  K,  $B = 0.3$  T; grey trace, fit to the Dynes formula with  $\Delta = 235$   $\mu$ eV,  $\Gamma = 35$   $\mu$ eV (see text). Inset, spin-fluctuation strong-coupling structures of UPd<sub>2</sub>Al<sub>3</sub> (same axis parameters as main plot).



*Calculation*

$$2\Delta_{\text{sc}}/k_B T_c \approx 5.6$$

N.K. Sato et al. Fig.3

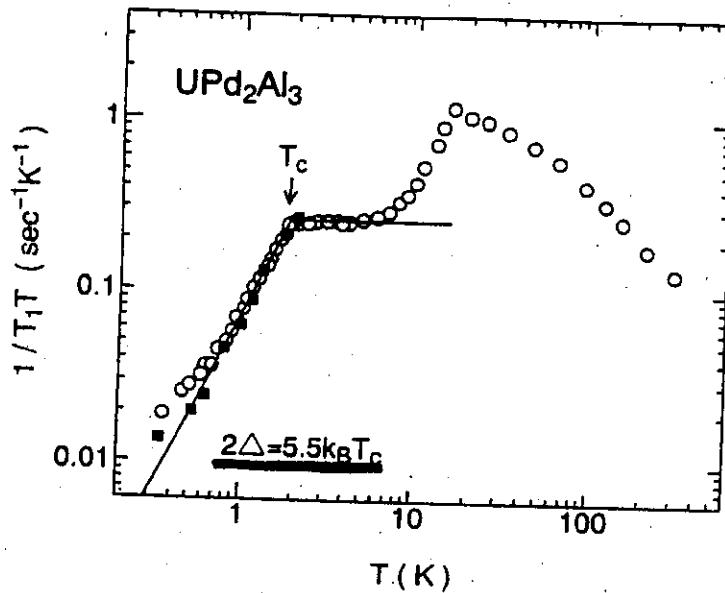
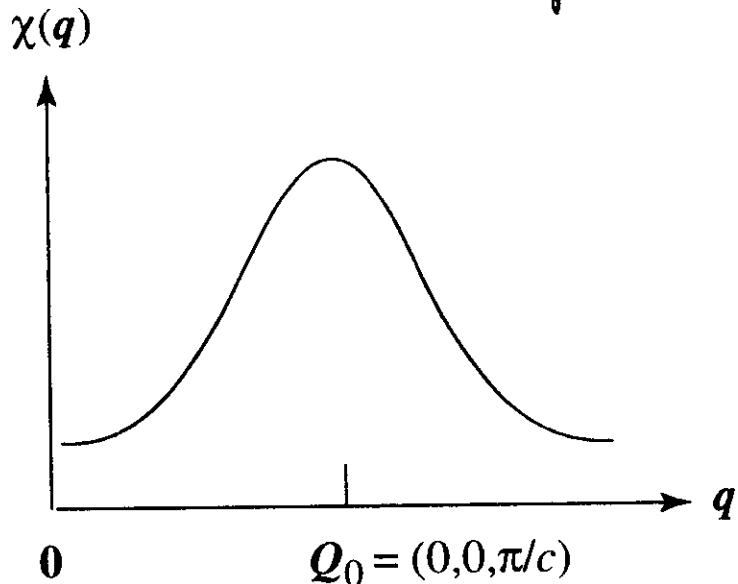


Fig. 14. Temperature dependence of  $^{27}(1/T_1T)$  in  $\text{UPd}_2\text{Al}_3$  for  $H \perp c$  at  $H=2.8$  kOe (■) and  $4.9$  kOe (○). Solid line is calculated on the anisotropic energy gap model with line of gap zeros at the Fermi surface,  $\Delta(\theta)=\Delta \cos(\theta)$  with  $2\Delta=5.5 k_B T_c$ .<sup>5)</sup>

( Kyogaku et al.)

Miyake & N.K.S.



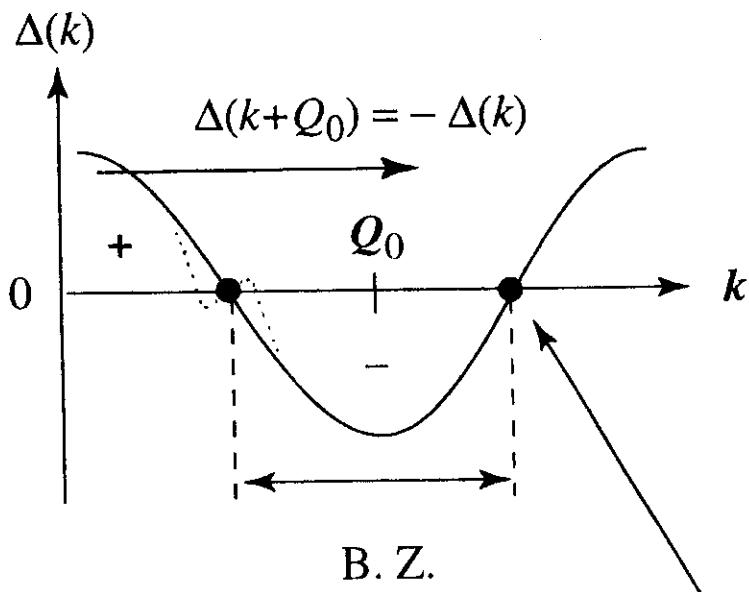
strength of pairing interaction for the singlet channel

$$-3 V_{k,k'} = 3 \lambda^2 \chi(k - k', 0)$$

*coupling between relevant and irrelevant component*

$$\Delta(k) = \Delta_0 + \sum_n \Delta_n \cos [(2n-1)k_z c]$$

$$\sim \Delta_1 \cos(k_z c) \quad (\Delta_0 \ll \Delta_1)$$



gap node near the zone boundary

$$A_{1g}: (k_x^2 + k_y^2) - 2k_z^2$$

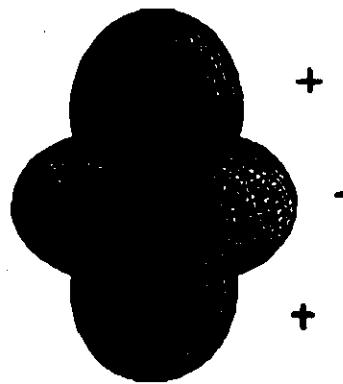


Figure 6.6: Even parity basis functions for point group symmetry  $D_{6h}$ . The absolute value of the basis functions, which represent the angular dependence of the energy gaps are plotted on a Fermi sphere in analogy to the two-dimensional representation in Fig. 1.1. The sign of the phase of the order parameter is changing at every line node.

906

K Knöpfle et al

line nodes

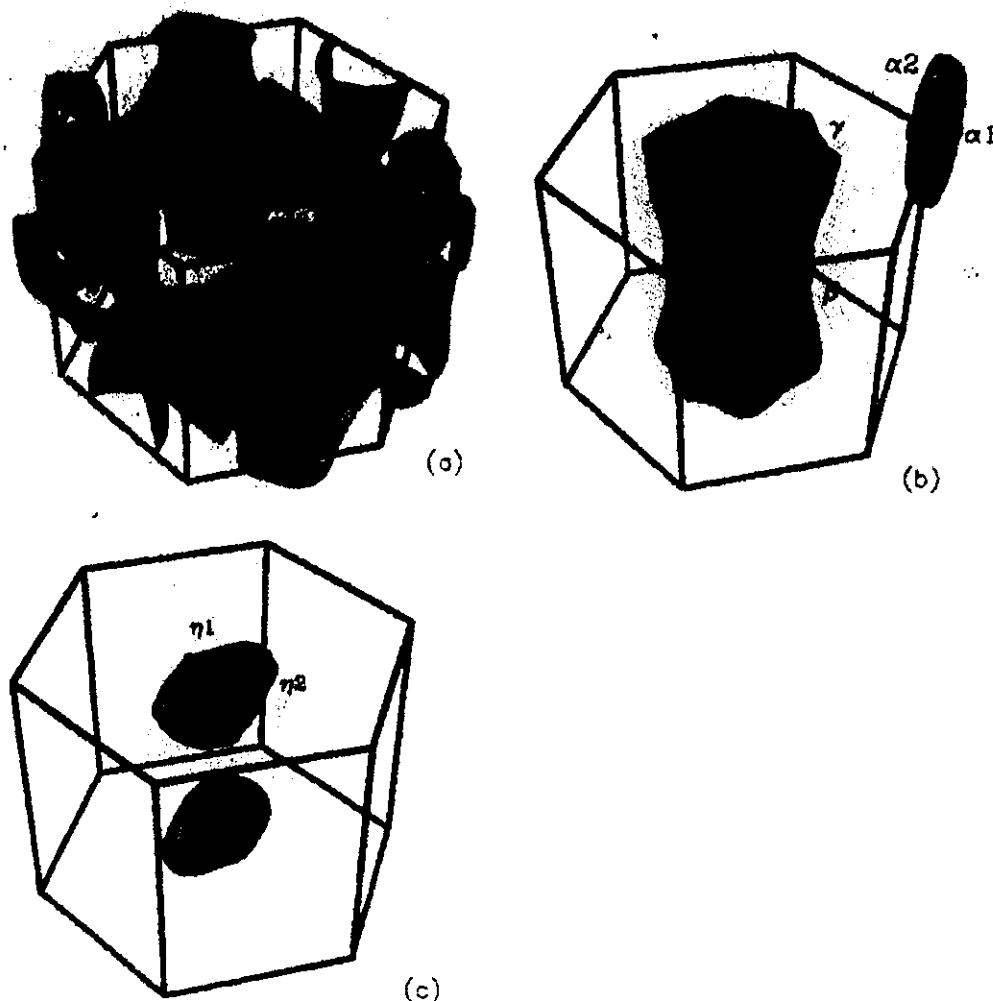


Figure 5. Definitions of the various extremal orbits employed in figure 4: (a) the 'party hat'; (b) the 'column' and 'cigars'; (c) the 'egg'.

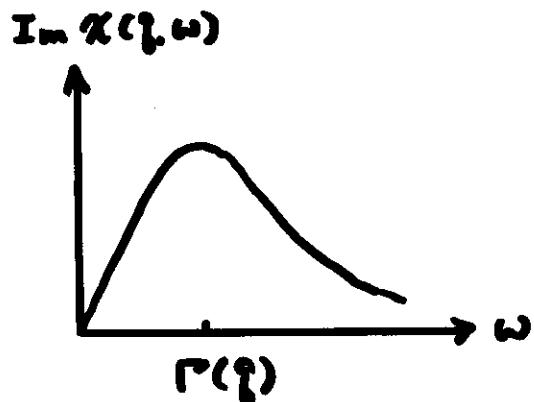
BCS superconductivity

$$T_c \sim T_D e^{-1/N(0)V}$$

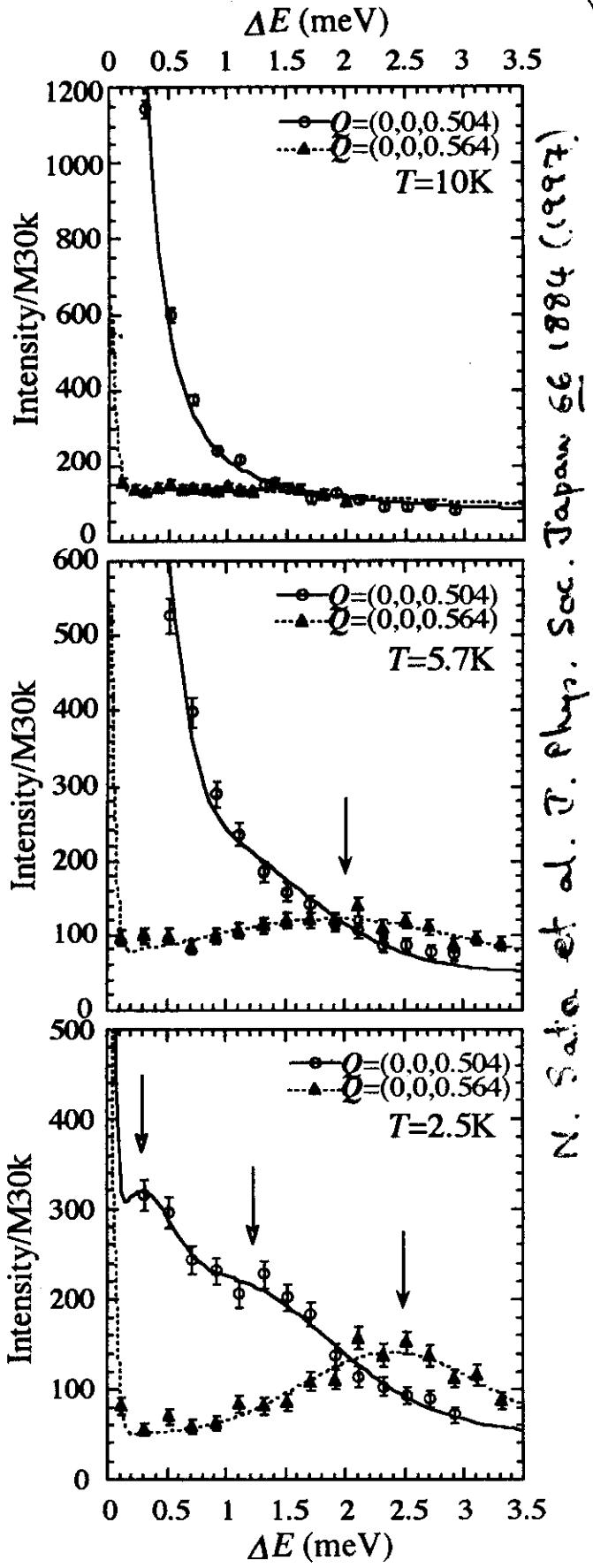
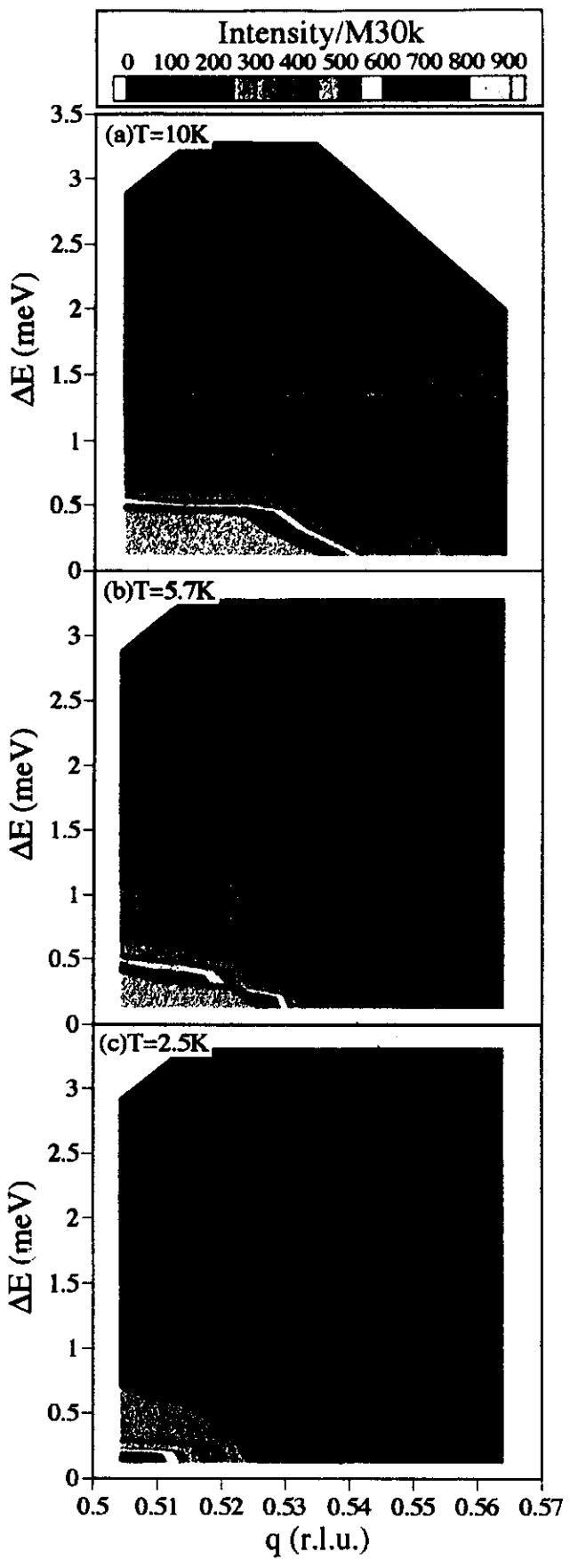
Spin fluctuation mediated superconductivity

$$T_c \propto \frac{T_0}{\Gamma(\vec{q})} \quad ; \text{ Moriya et al.}$$

$$\chi(\vec{q}, \omega) = \frac{\chi(\vec{q})}{1 - i\omega/\Gamma(\vec{q})}$$

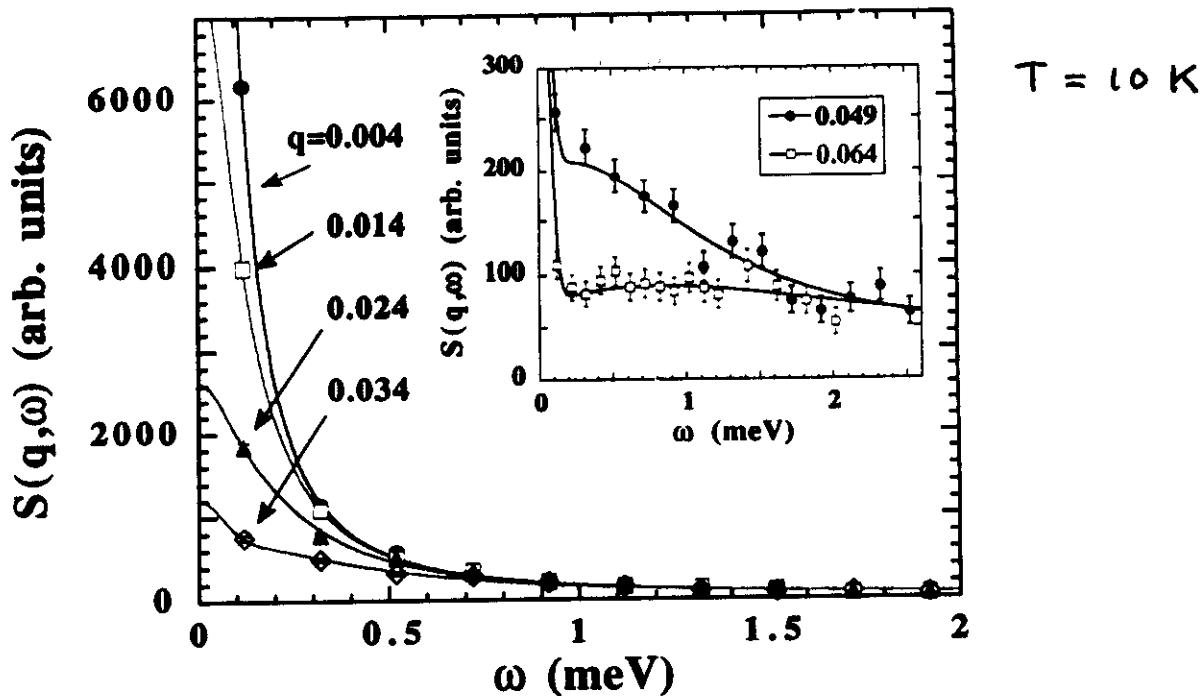


$$\begin{aligned}\Gamma(\vec{q}) &\simeq \Gamma(\vec{q}^2 + \vec{k}^2) \\ &= 2\pi \underline{T_0} (\vec{q}^2 + \vec{k}^2) / \vec{q}_B^2\end{aligned}$$

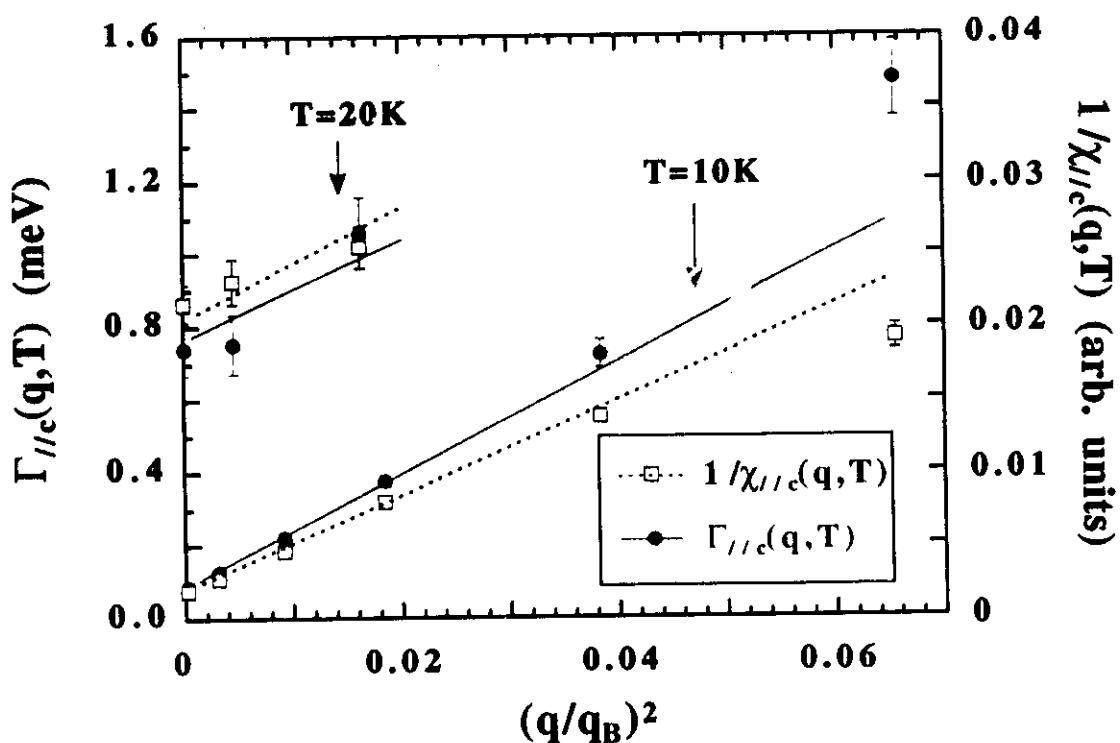


(a)T=10K、(b)T=5.7K、(c)T=2.5Kでの生データの  
ContourMap（左図）と各温度における $Q=(0,0,0.504)$ 、  
 $Q=(0,0,0.564)$ のコンスタント $Q$ スキャン。

$$\chi(\vec{q}, \omega, T) = \frac{\chi(\vec{q}, T)}{1 - i \frac{\omega}{\Gamma(\vec{q}, T)}}$$



$$\begin{aligned} \frac{1}{\chi(\vec{q}, T)} &\approx \frac{1}{\chi(\vec{q} = \vec{q}_0, T)} + A \vec{q}^2 \\ &= 2T_A \left[ \left( \frac{\kappa}{q_0} \right)^2 + \left( \frac{q}{q_0} \right)^2 \right] \end{aligned}$$



$$\begin{aligned} \Gamma(\vec{q}, T) &\approx \Gamma(\kappa^2 + \vec{q}^2) \\ &= 2\pi T_0 \left[ \left( \frac{\kappa}{q_0} \right)^2 + \left( \frac{q}{q_0} \right)^2 \right] \end{aligned}$$

$$T_0 = \begin{cases} 2.5 \text{ meV at } 10 \text{ K} \\ 2.2 \text{ meV at } 20 \text{ K} \end{cases}$$

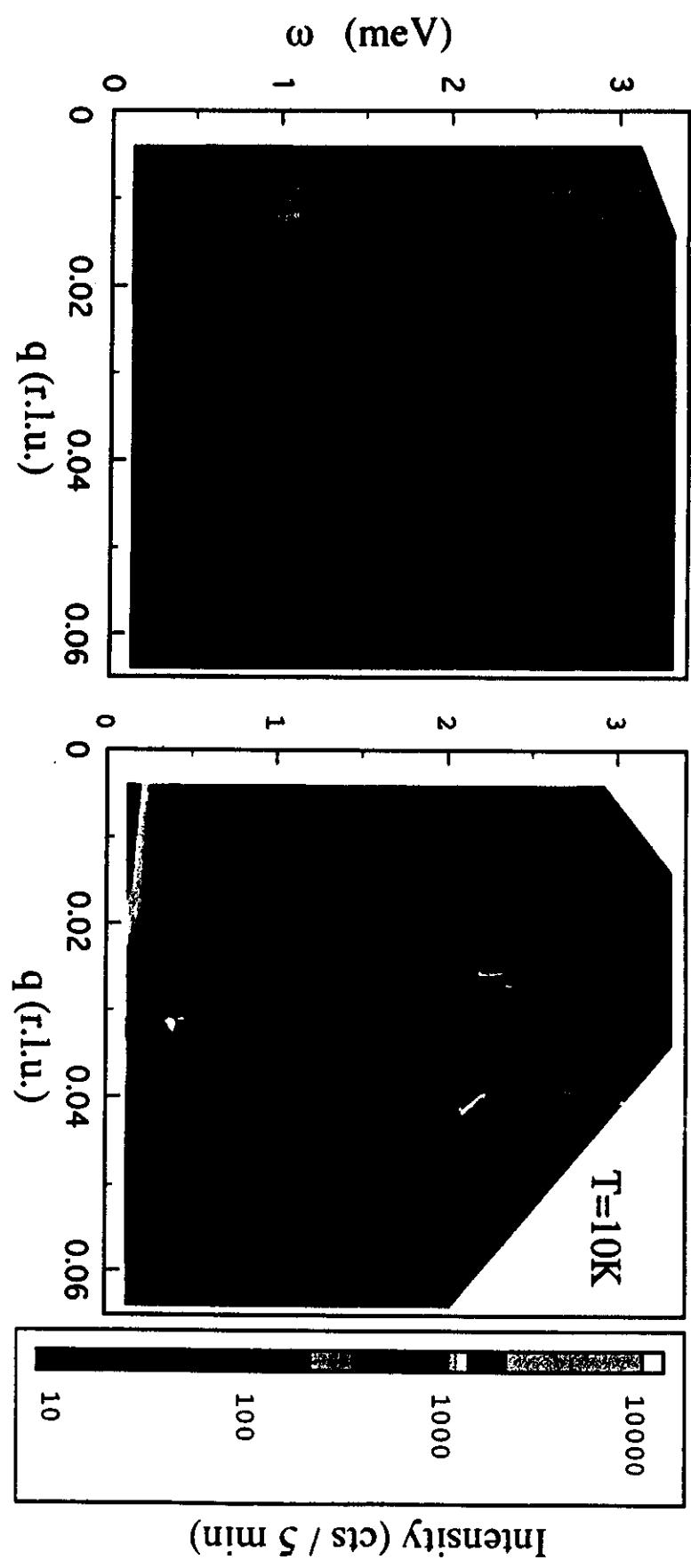


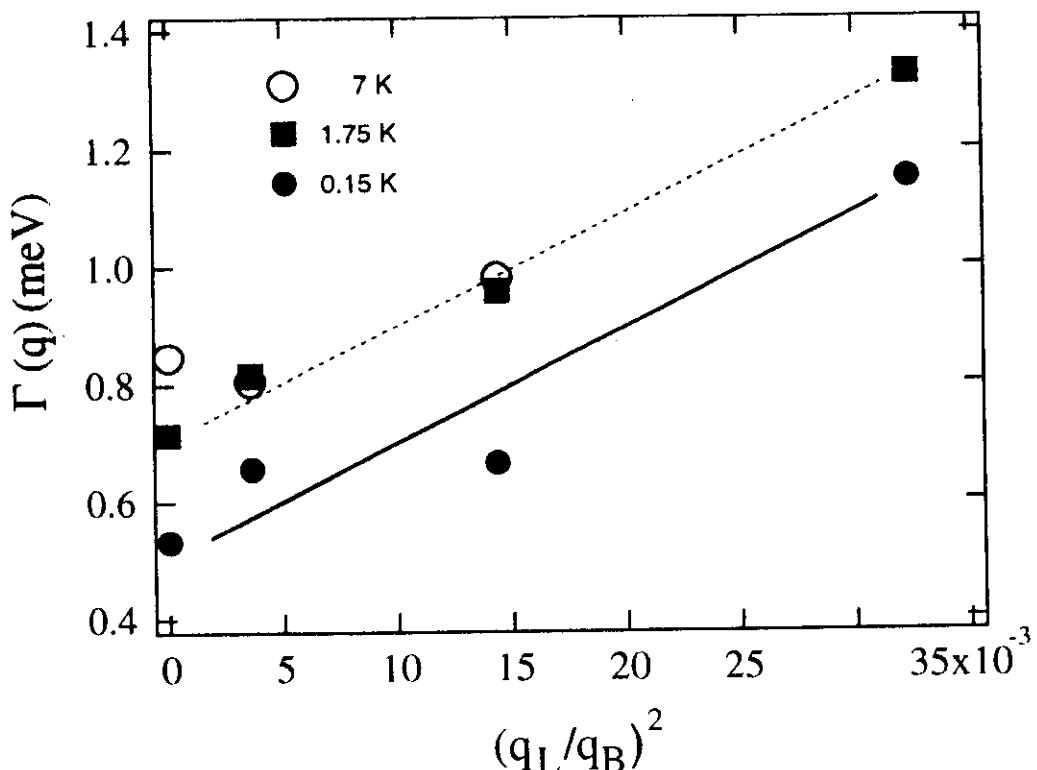
Fig.1

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## Itinerant component

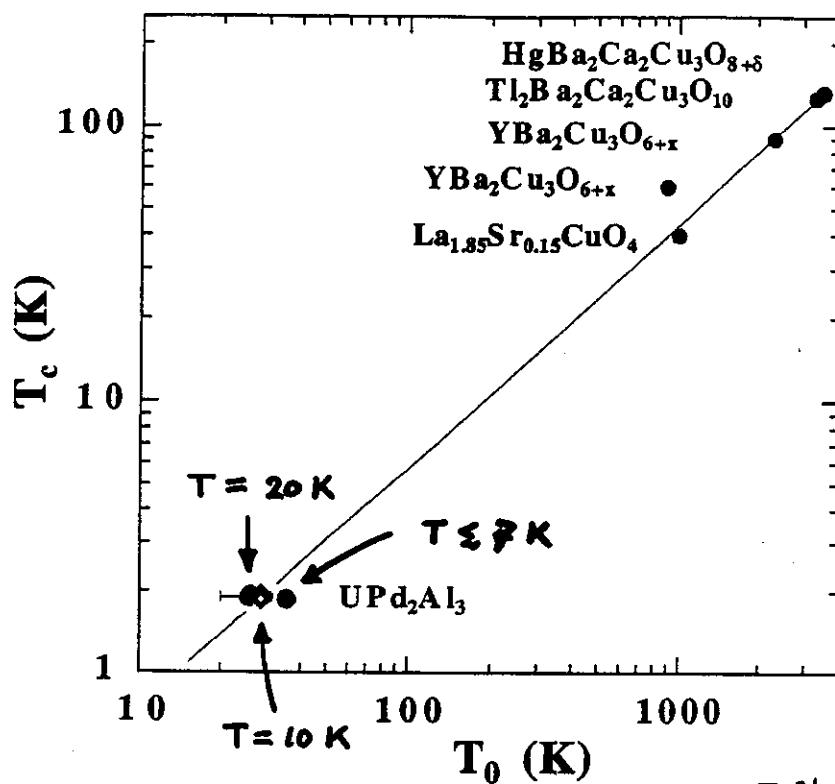
$$g_c = \chi(q) \left[ 1 - \frac{\omega (\omega + i \Gamma(q))}{(2\Delta_{SC})^2 - i \Gamma(q) (\omega + i \Gamma(q))} \right]^{-1} \quad T \ll T_C$$

$\xrightarrow{\Delta_{SC} \rightarrow 0} \frac{\chi(q)}{1 - i \omega / \Gamma(q)}$   $T \gg T_C$

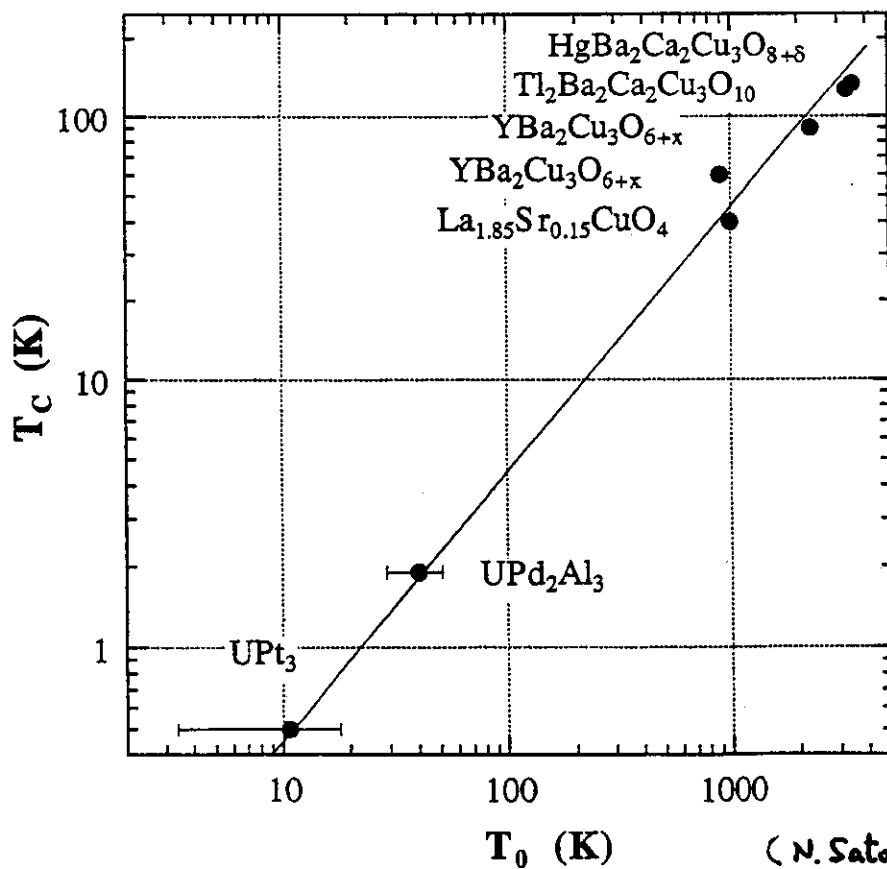


$$\begin{aligned} \Gamma(q) &= \Gamma (\kappa^2 + q^2) \\ &= 2\pi T_0 ((\kappa/q_B)^2 + (q/q_B)^2) \end{aligned}$$

$$T_0 \sim 34 \text{ K}$$



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(N. Sato, SCES Paris)

# Neutron-scattering study of the magnetic response in the heavy-fermion superconductor $\text{UNi}_2\text{Al}_3$

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High-resolution neutron inelastic scattering has been used to probe the magnetic response in the heavy-fermion superconductor  $\text{UNi}_2\text{Al}_3$ . Quasielastic scattering has been observed both below and above  $T_c$  in the vicinity of the incommensurate magnetic ordering vector  $\mathbf{Q}_o=(0.39, 0, 0.5)$ . Features in the response are similar to those reported recently for the isostructural compound  $\text{UPd}_2\text{Al}_3$ . However, in contrast to the situation in  $\text{UPd}_2\text{Al}_3$ , no spectral gap has been observed in the dynamical susceptibility of  $\text{UNi}_2\text{Al}_3$ , even at the lowest temperature of 0.2 K.

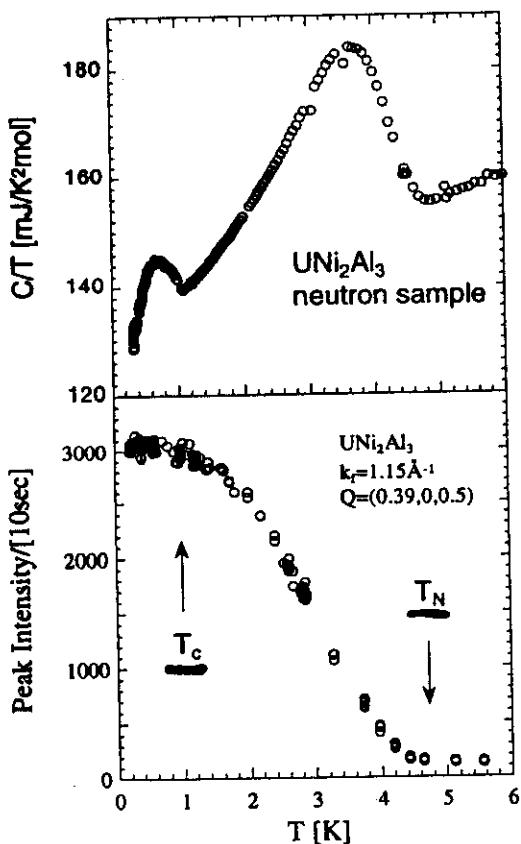
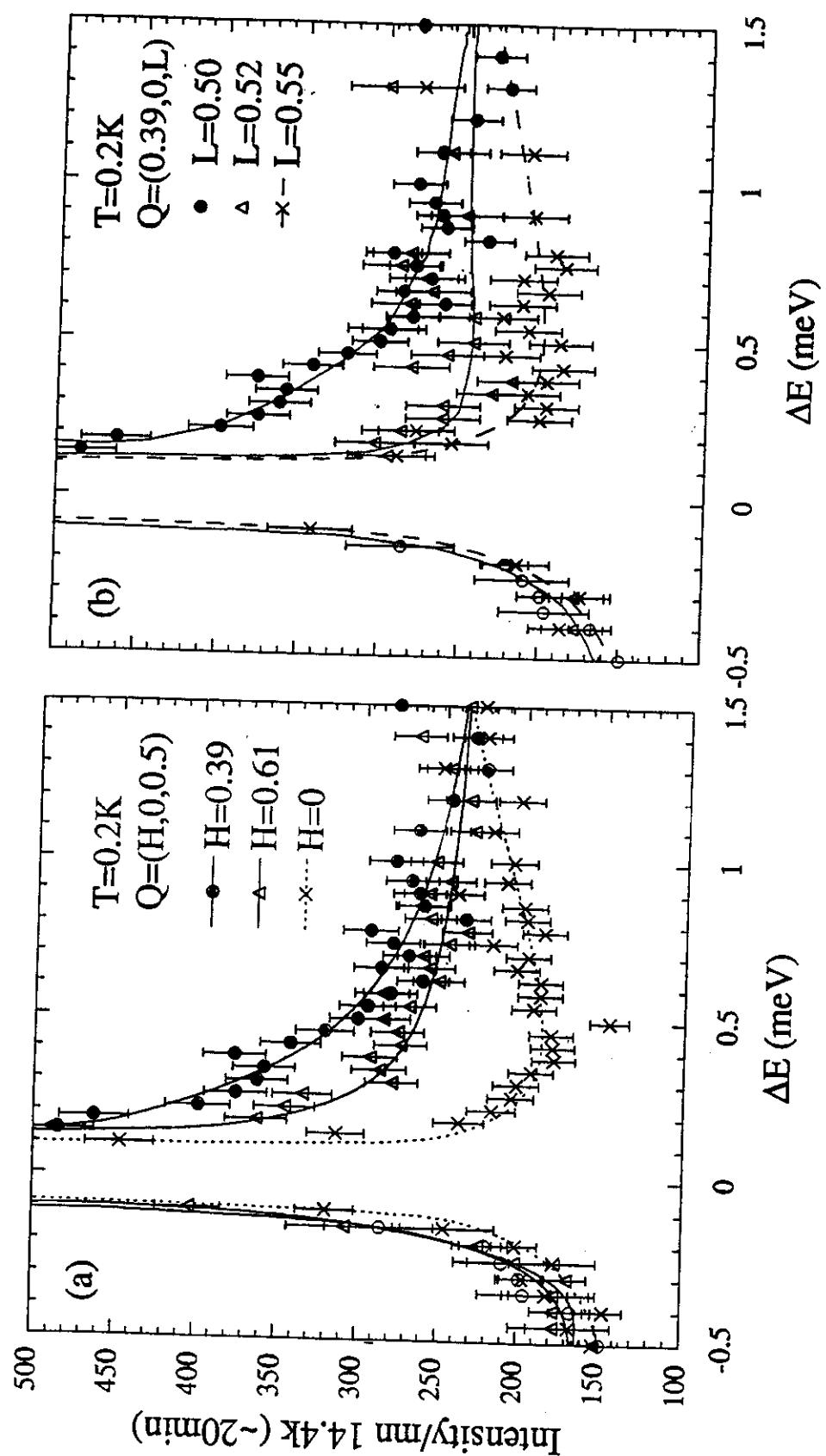


FIG. 1. Upper panel: Temperature dependence of the ratio  $C/T$  as measured on the crystal used in the neutron experiments. Lower panel: Temperature dependence of the peak intensity at the antiferromagnetic Bragg peak  $\mathbf{Q}_o=(0.39, 0, 0.5)$ . The arrows mark the Néel ( $T_N$ ) and superconducting ( $T_c$ ) temperatures.

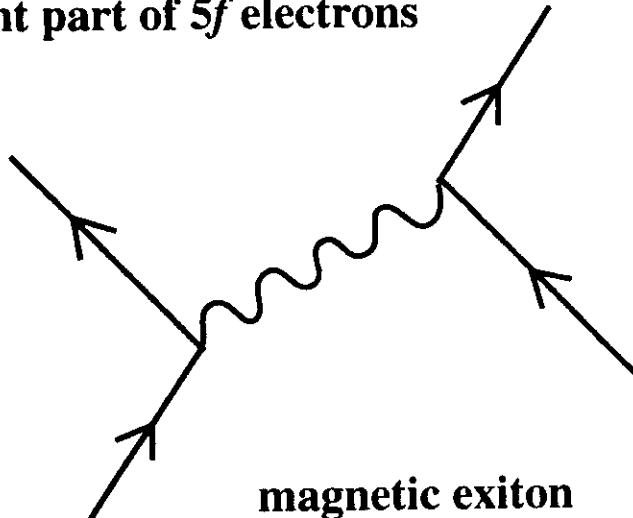
N. Aso et al. Fig. 2.



# Summary

## quasiparticles

itinerant part of  $5f$  electrons

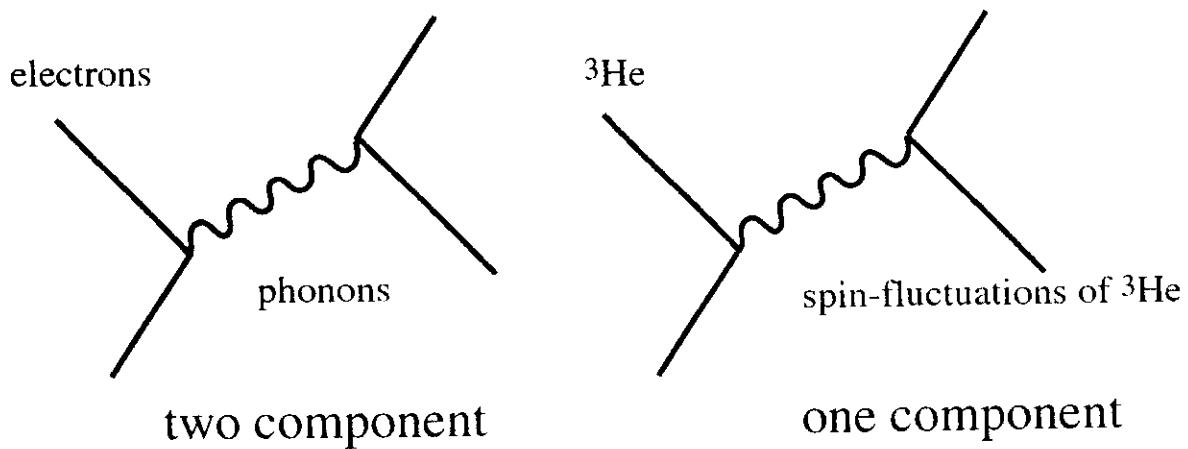


magnetic exiton

localized part of  $5f$  electrons

**Localized moments mediate SC pairing interacton.**

(quasi-one-component?)



conventional superconductor

liquid  $^3\text{He}$   
High  $T_c$  cuprates ?

