

SMR/1310 - 2

**SPRING COLLEGE ON
NUMERICAL METHODS IN ELECTRONIC STRUCTURE THEORY**

(7 - 25 May 2001)

**"Electronic excitations and response functions
in solids and reduced dimensional systems"**

presented by:

S. G. LOUIE

Department of Physics, University of California
and
Materials Sciences Division, Lawrence Berkeley National Laboratory
Berkeley, CA 94720
U.S.A.

Electronic Excitations and Response Functions in Solids and Reduced Dimensional Systems

Steven G. Louie

Department of Physics, University of California
and
Materials Sciences Division, Lawrence Berkeley National
Laboratory
Berkeley, California 94720

Current Collaborators

Michael Rohlfing
Eric Chang
Murilo Tiago
Sohrab Ismail-Beigi
Je Li
Catalin Sparatu
Lorin Benedict

Outline

- Introduction
- Excited States and Spectroscopies
 - Quasiparticle excitations and the GW approximation
 - Excitonic effects in optical response and the Bethe-Salpeter equation
- Examples of Applications
 - Semiconductors and insulators
 - Conjugated polymers
 - Surfaces, clusters, and atoms
- Summary

Properties of Solids

Ground-state Properties:

Cohesive
Structural
Vibrational
Magnetic structure
Phase transformations

...

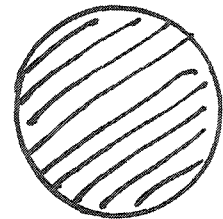
- $E_{\text{tot}}[\{R_i\}]$
- Density Functional Theory (LDA, GGA)

Spectroscopic Properties:

Photoemission
Tunneling

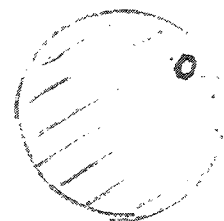
...

- N+1 Particle Problem
- Quasiparticle Approach (GW)



Optical

- N+2 Particle Problem
- Electron-hole interaction

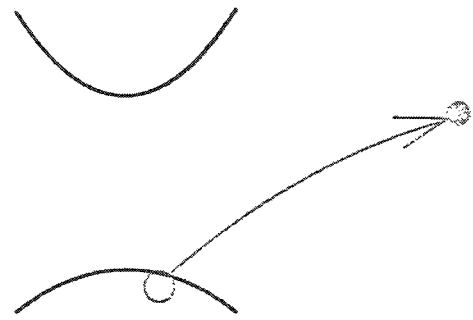
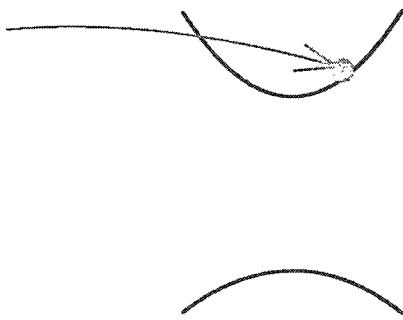


- Quasiparticle band structure:

describes individually excited holes and electrons
 (\leftrightarrow One-particle Green function G_1)

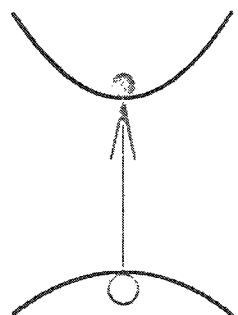
Quasi-electron: $N \rightarrow N + 1$
 (inverse photoemission; tunneling)

Quasi-hole: $N \rightarrow N - 1$
 (photoemission; tunneling)



- Optical experiment (e.g., absorption):

- Creation of electron-hole pair: $N \rightarrow N^*$
- Electron-hole interaction
- Requires a two-particle approach on top of the QP band structure



Quasiparticle (QP) band structure calculations

- Density-functional theory:

$$\{-\nabla^2 + V_{\text{ext}} + V_{\text{Coul}} + V_{\text{xc}}\} \psi_{\mathbf{n}\mathbf{k}}^{\text{DFT}} = \epsilon_{\mathbf{n}\mathbf{k}}^{\text{DFT}} \psi_{\mathbf{n}\mathbf{k}}^{\text{DFT}}$$

Hohenberg, Kohn, and Sham 1965

- Green-function approach + QP approximation:

$$\{-\nabla^2 + V_{\text{ext}} + V_{\text{Coul}} + \Sigma(\epsilon_{\mathbf{n}\mathbf{k}}^{\text{QP}})\} \psi_{\mathbf{n}\mathbf{k}}^{\text{QP}} = \epsilon_{\mathbf{n}\mathbf{k}}^{\text{QP}} \psi_{\mathbf{n}\mathbf{k}}^{\text{QP}}$$

Dyson's Eq.

$$\Sigma = iG_1W \quad \text{GW approximation for the self energy}$$

G_1 one-particle Green function

$W = \epsilon^{-1}v$ screened Coulomb interaction

Hedin 1965, Hybertsen and Louie 1985

$$W(\underline{r}, \underline{r}', \omega) = \int \epsilon^{-1}(\underline{r}, \underline{r}'', \omega) \frac{e^2}{|\underline{r}'' - \underline{r}'|} d\underline{r}''$$

Quasiparticle Excitations

Kohn-Sham Eigenvalues \neq QP Energies

Two simple examples:

- Homogeneous Interacting Electron System

Standard K-S equation:

$$\left[-\frac{1}{2} \nabla^2 + \overset{V_{ext}}{\wedge} V_H + \frac{\delta E_{xc}}{\delta \rho(\mathbf{r})} \right] \psi(\mathbf{r}) = \epsilon_{KS} \psi(\mathbf{r})$$

$$V_{xc}(\mathbf{r}) = \frac{\delta E_{xc}}{\delta \rho(\mathbf{r})} = \text{constant} \Rightarrow \text{Free electron dispersion}$$

($m^* = m_e$, etc.), ∞ lifetime

Incorrect!

- Generalized K-S eigenvalues not unique

Different "K-S equations" \rightarrow new set of eigenvalues

GW Approximation $\Sigma =$

$$\Sigma(\vec{r}, \vec{r}'; E) = \frac{i}{2\pi} \int W(\vec{r}, \vec{r}'; \omega) G(\vec{r}, \vec{r}'; E + \omega) e^{i\delta\omega} d\omega$$

with

$$W(\vec{r}, \vec{r}'; \omega) = \int v(\vec{r}, \vec{r}''') \epsilon^{-1}(\vec{r}''', \vec{r}'; \omega) d^3r'''$$

$$G(\vec{r}, \vec{r}'; \omega) = \sum_{n\vec{k}} \frac{\psi_{n\vec{k}}(\vec{r}) \psi_{n\vec{k}}^*(\vec{r}')}{\omega - E_{n\vec{k}} - i\delta_{n\vec{k}}}$$

Require:

(1) Full dielectric matrix (local fields)

$$\epsilon^{-1}(\vec{r}', \vec{r}, \omega) \text{ or } \epsilon_{\vec{G}\vec{G}'}^{-1}(\vec{q}, \omega)$$

(2) Good starting $\psi_{n\vec{k}}$ and $E_{n\vec{k}}$ to construct the Green's function G.

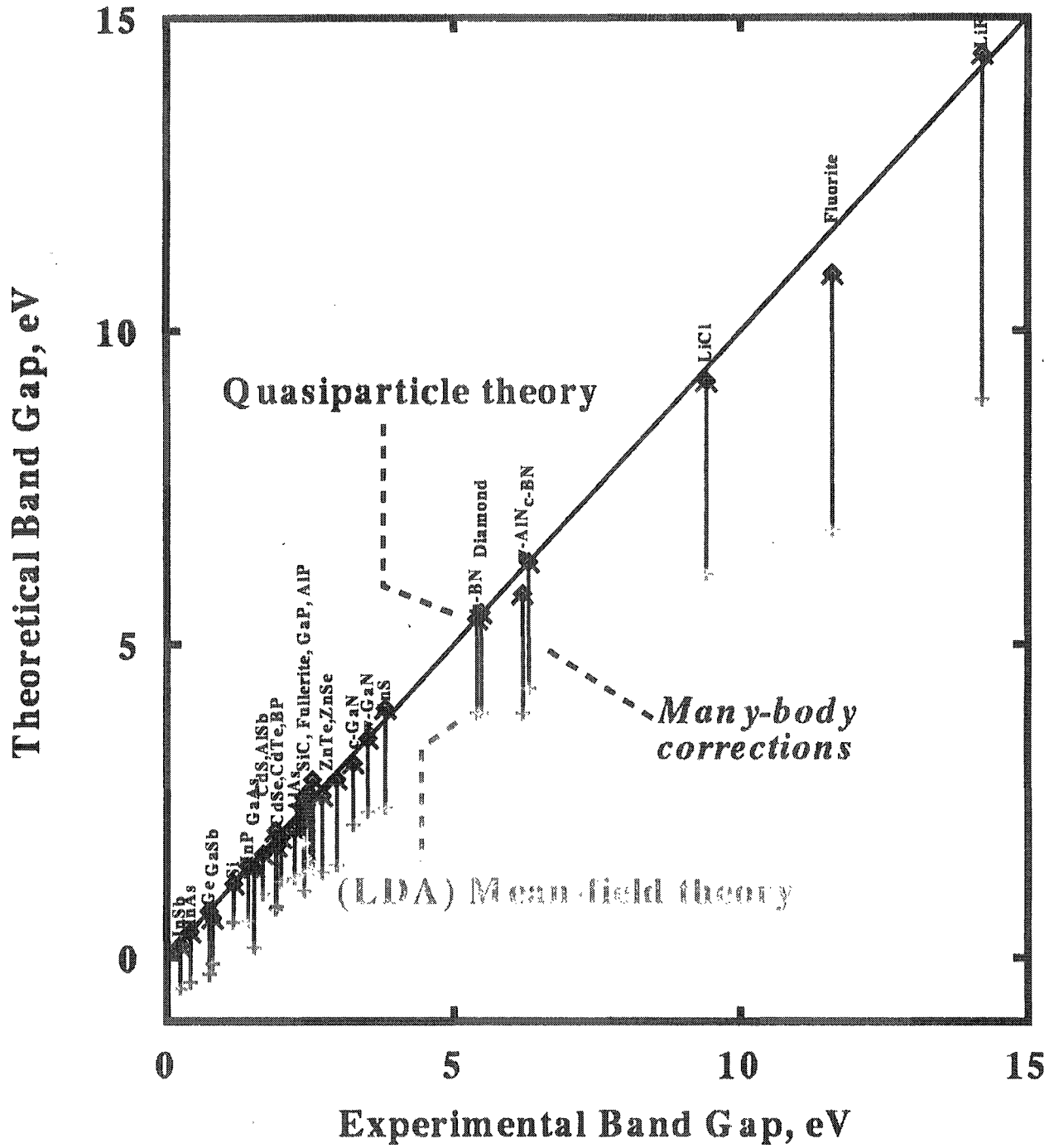
Band Gaps of Semiconductors and Insulators (in eV)

Theory

	LDA	QP	Expt
diamond	3.9	5.6	5.48
Si	0.52	1.16	1.17
Ge	<0	0.73	0.744
LiCl	6.0	9.1	9.4
AIAs	1.18	2.01	2.24
GaAs	0.56	1.42	1.52

- *First Principles*
- *Local Fields are important.*

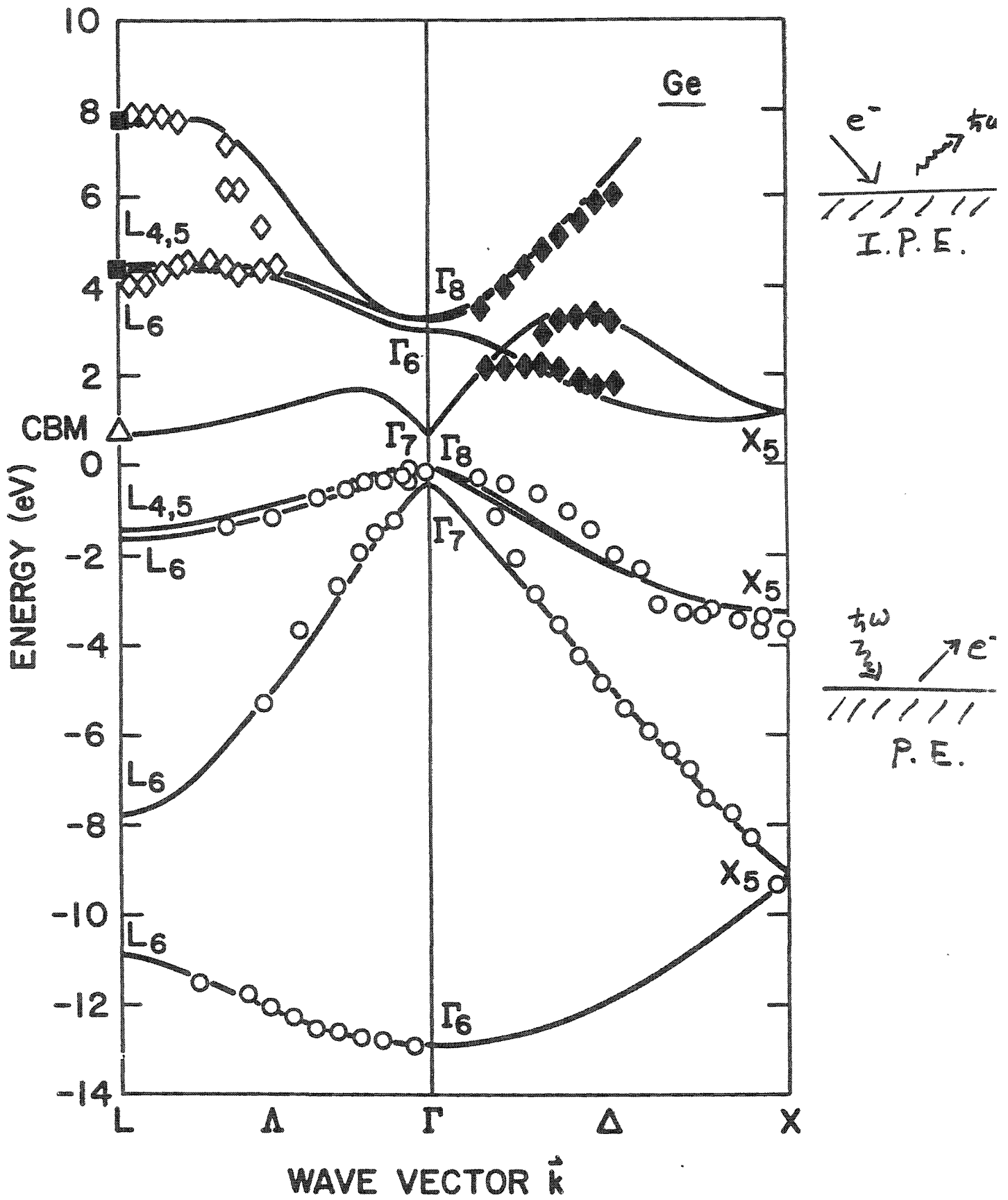
*Hybertsen & Louie
Shirley & Louie*



$\Sigma = \dots$

Compiled by
E. Shirley

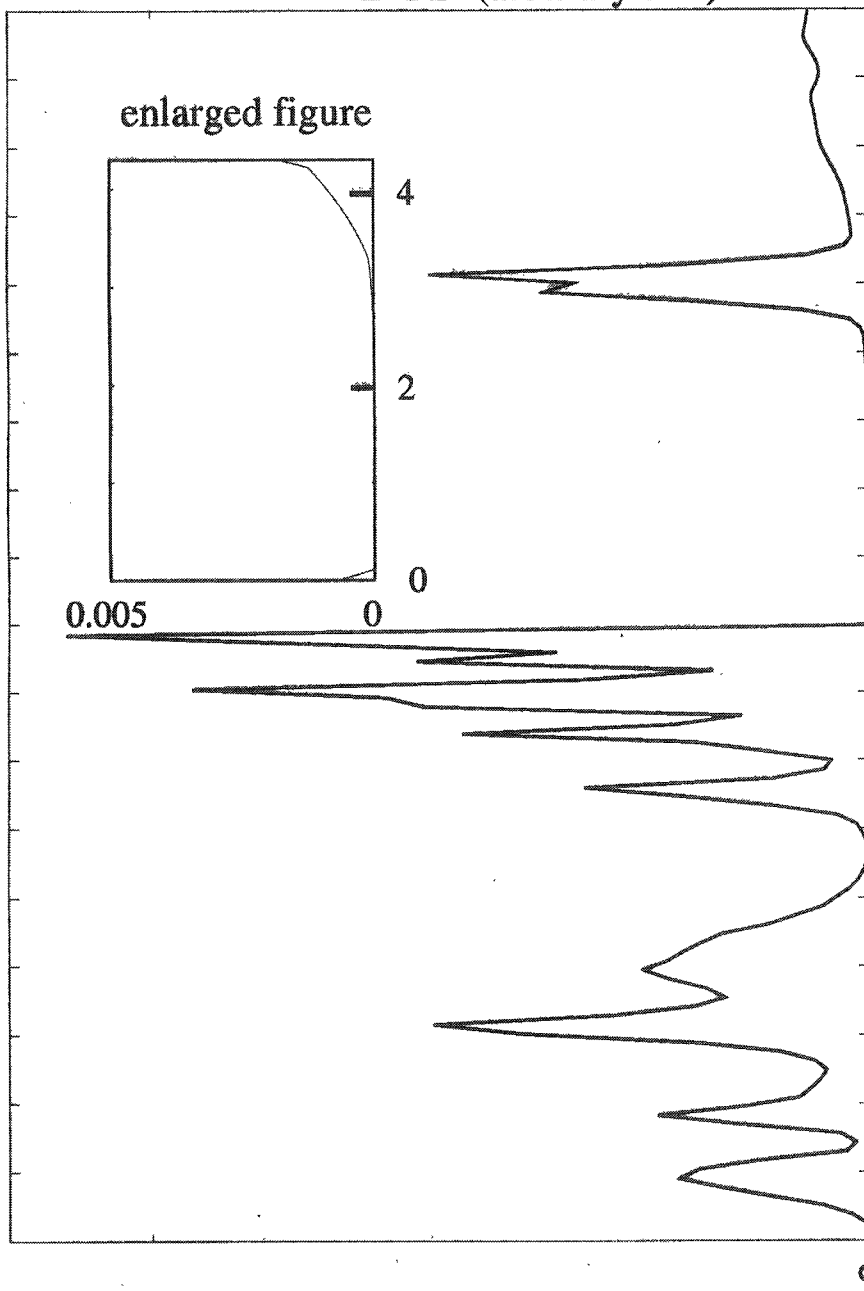
Theory: — (Hybertsen & Louie, 1986)



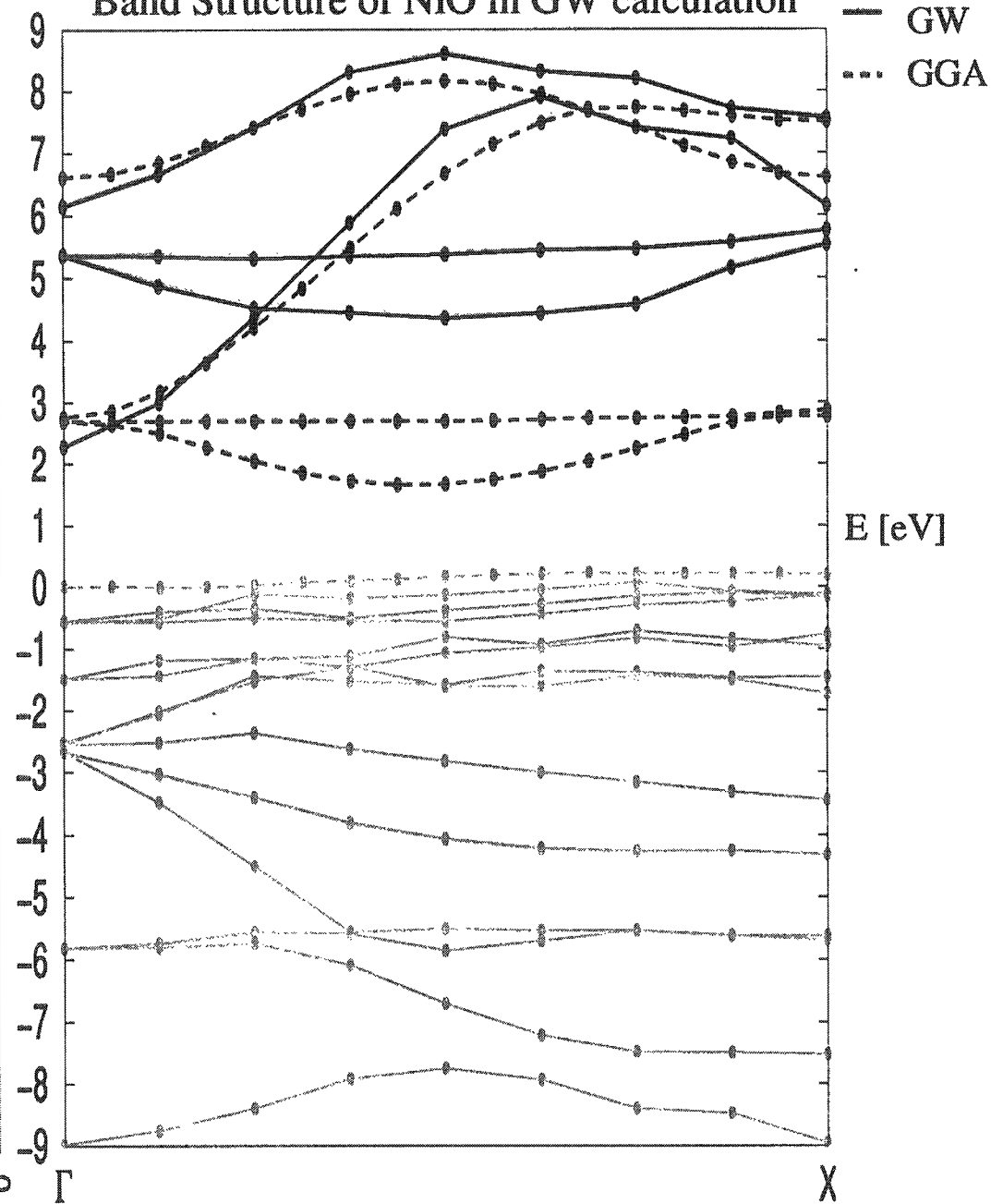
Expt: \circ Photoemission (Wachs, et al, 1985)
 \blacklozenge Inverse Photoem. (Himpsel, 1986, 17)

Band Structure and DOS in GW calculation

DOS (arbitrary unit)



Band Structure of NiO in GW calculation



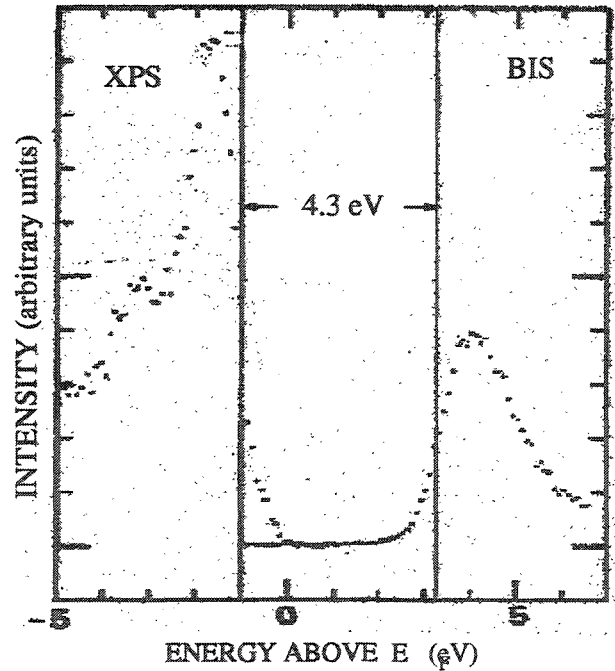
Compare with Experiment

XPS and BIS spectra

(Sawatzky and Allen 1984)

band gap = 4.3 eV,

$\Delta E_{\text{peak}} = 5.8 \text{ eV}$

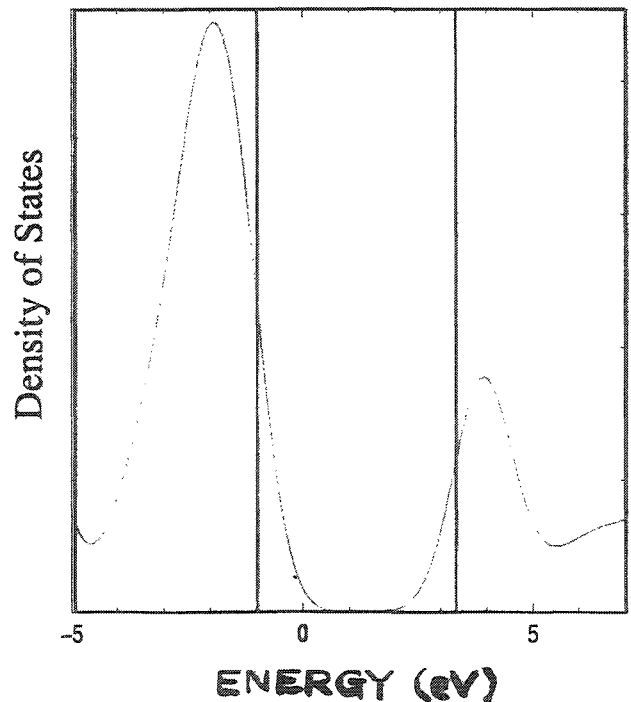


Density of States

computed by GW method
gaussian broadening 0.6 eV
(the experimental resolution)

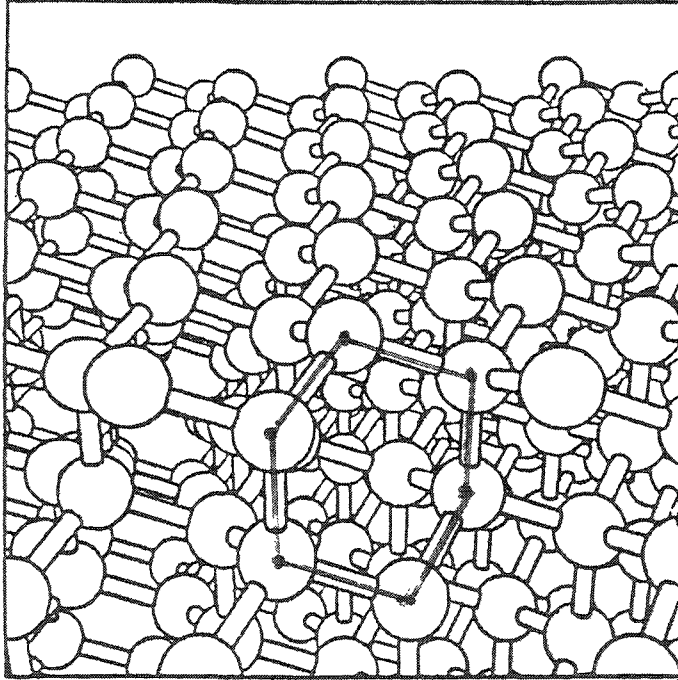
computed $E_{d-d}^{\text{gap}} = 4.2 \text{ eV}$

$\Delta E_{\text{peak}} \approx 5.8 \text{ eV}$

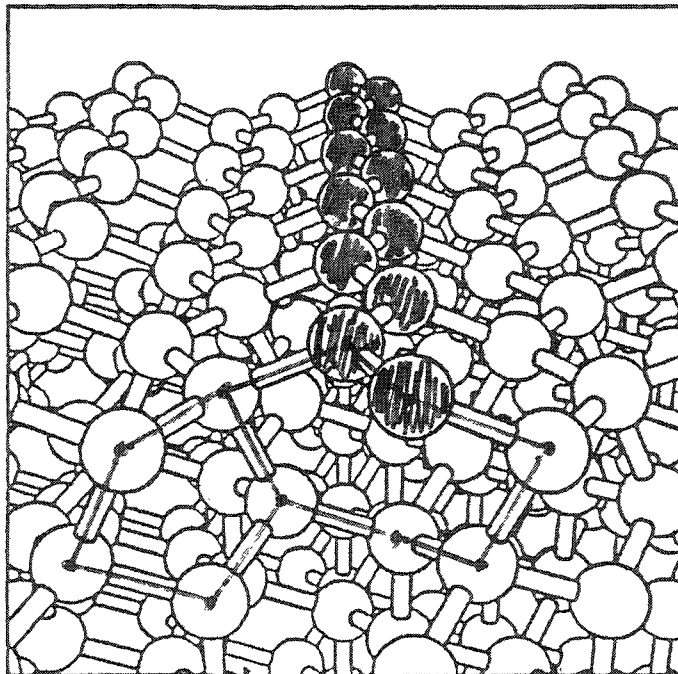


Si(111) Surface

(a) Ideal 1x1



(b) Reconstructed 2x1



Well-separated chains

• 1D-like surface band

• Peierls buckling

Pandey
 π -bonded
chain
geometry
(1981)

Surface State Band Gap Si(111) 2×1

DFT-LDA

0.27 eV

Experiment

(a) $0.75 \pm .1$ eV

(b) 0.47 eV

(c) 0.45 eV

(d) ~ 0.60 eV

- (a) Photoemission + Inverse photoemission (Uhrberg et al.; Perfetti, et al.)
- (b) Differential reflectivity (Ciccaci et al.)
- (c) Photo-thermal deflection (Olmstead and Amer)
- (d) Scanning tunneling spectroscopy (Feenstra) - reanalyze

DFT-LDA

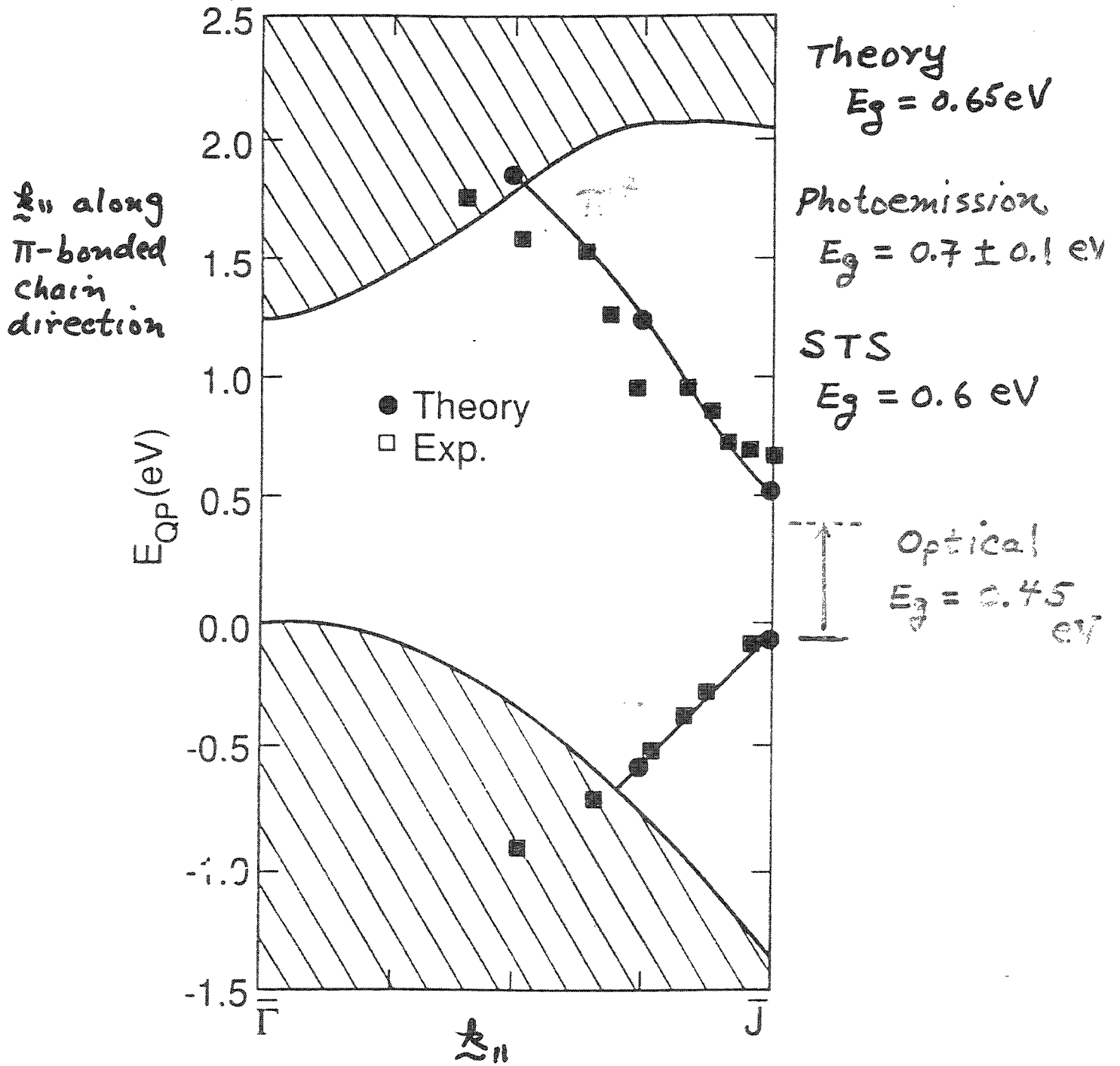
Bulk Si

0.5 eV

Experiment

1.17 eV

Si(111) 2x1 Surface



- Photoemission data
- Inverse photoemission data
- Theory

Northrup, Hybertsen & Louie

Lifetime τ for a quasiparticle:

$$\tau_{nk}^{-1} = 2 \langle nk | \text{Im} \Sigma(E_{nk}) | nk \rangle$$

$$\Sigma = \frac{W}{G}$$

The diagram shows a complex plane with a horizontal real axis. A solid line segment on the real axis is labeled 'G' at its right end. A dashed semi-circular arc starts at 'G' and extends into the upper half-plane to a point labeled 'W'. A dashed line segment connects 'W' back to 'G' along the real axis, forming a closed loop. The label 'Σ =' is to the left of the diagram.

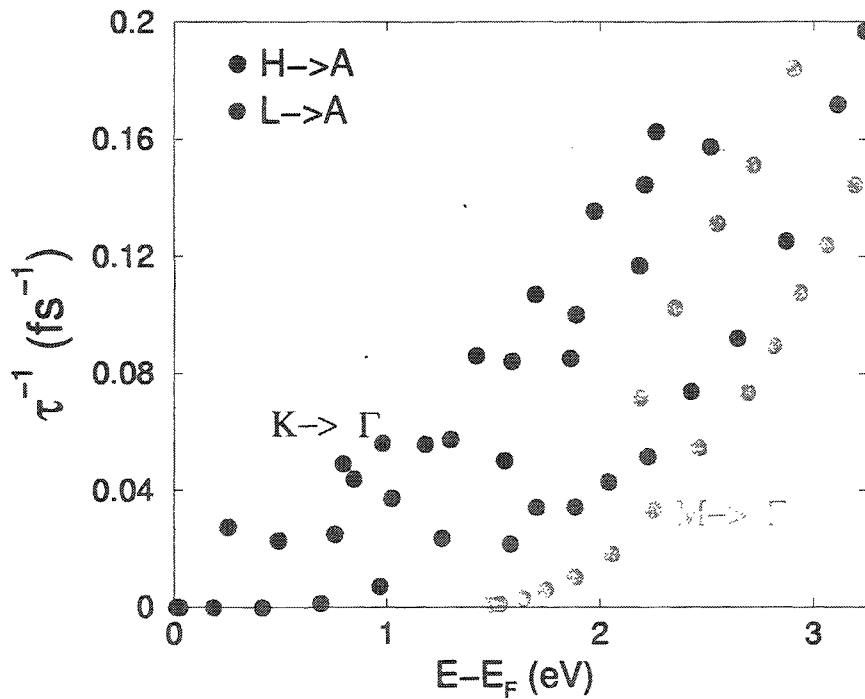
$$G_{nk}(\omega) = \frac{1}{\omega - E_{nk} + i\delta} \quad W = \epsilon^{-1}v$$

- full frequency-dependent dielectric matrix $\epsilon_{G,G'}(\mathbf{q}, \omega)$ calculated within RPA

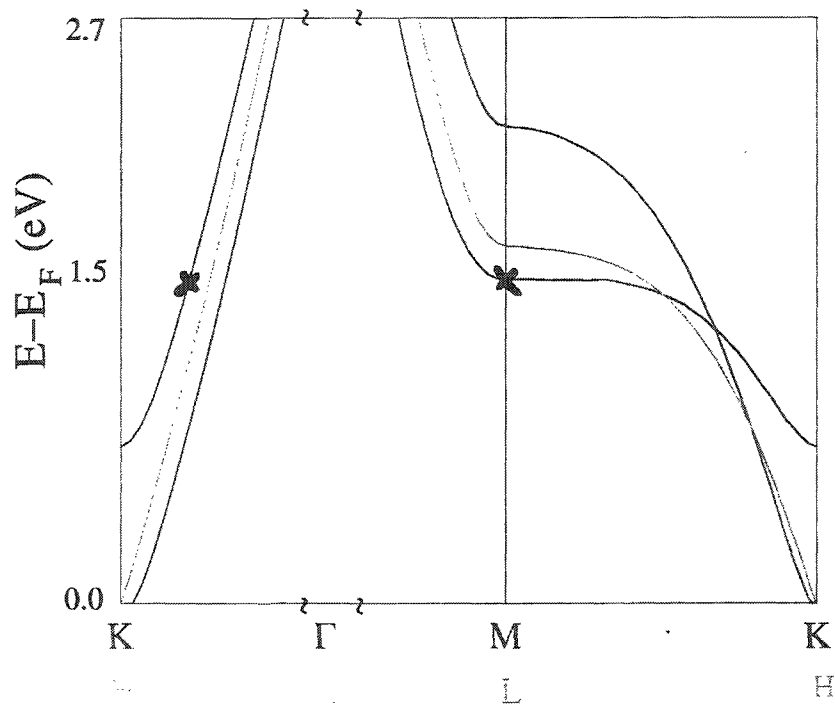
References:

- M.S. Hybertsen and S.G. Louie, PRB **34** (1986) 5390
 P.M. Echenique et al., Chem Phys. **251** (2000) 1

QP lifetimes in graphite along several directions

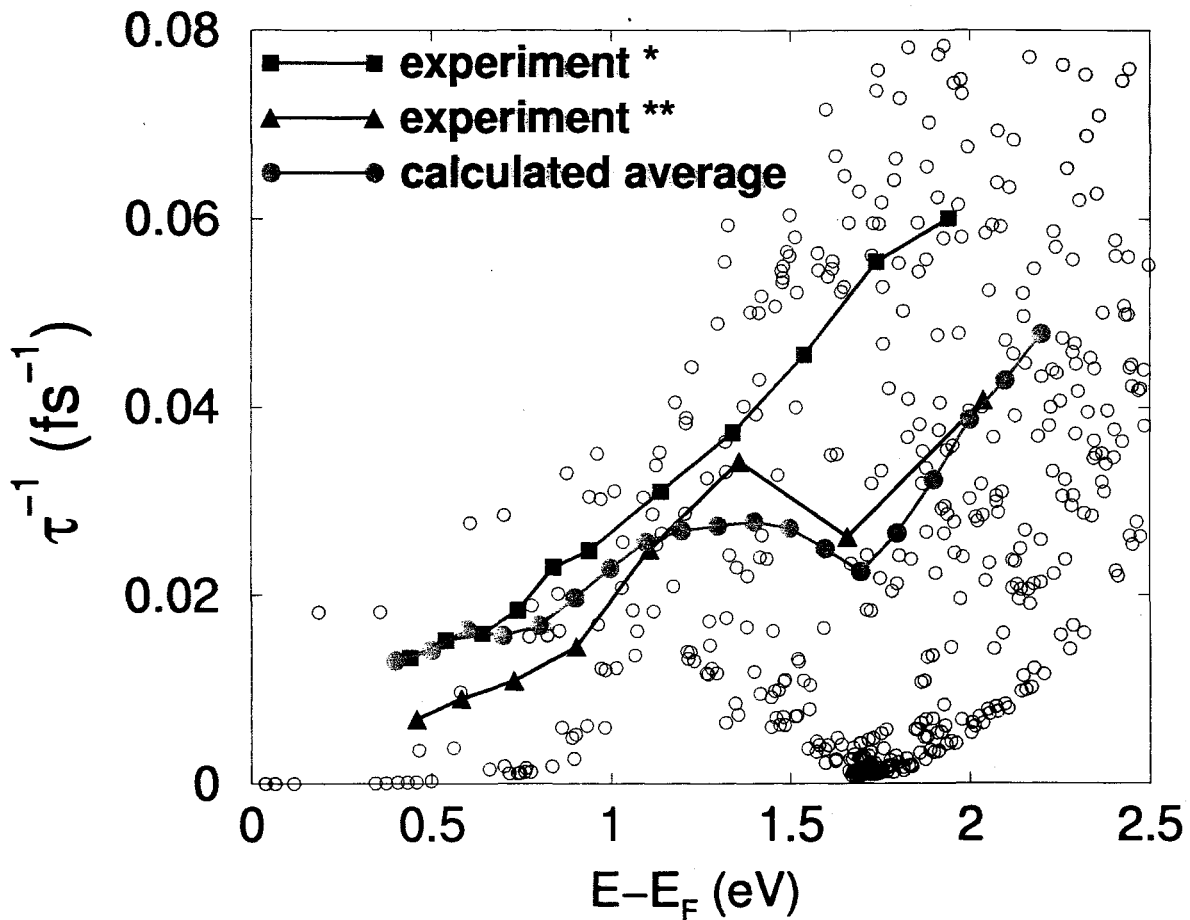


Graphite AB stacking



Calculated average lifetime

- $\tau^{-1}(E)$ calculated by averaging τ_{nk}^{-1} over all states having energy E .
- QP energies updated from DFT-LDA to GW.

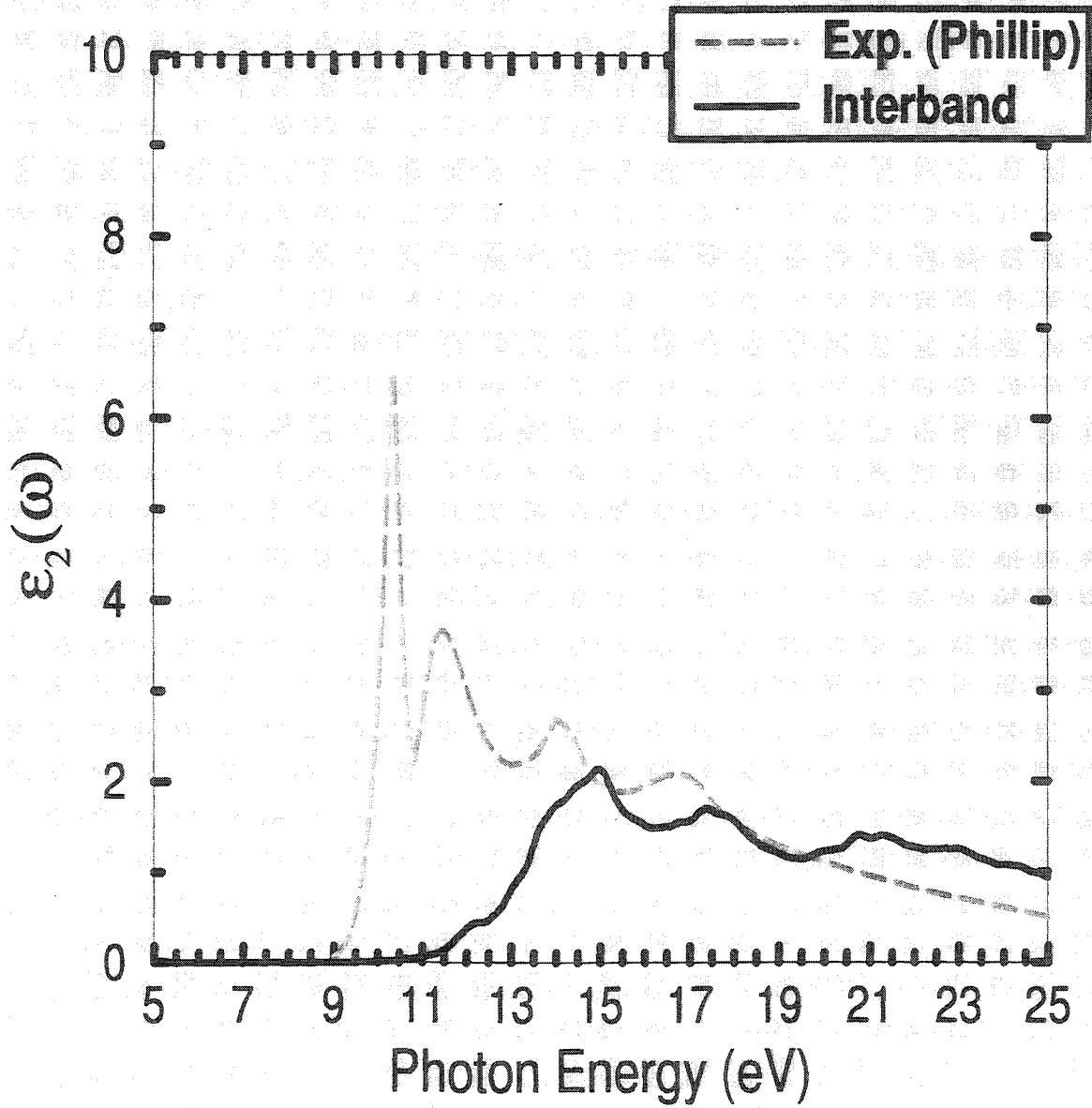


- Energy dependence cannot be fit with simple power law $(E - E_F)^n$.
- Measured inverse lifetime can depend appreciably on the experimental setup.

* S. Xu et al., PRL **76** (1996) 483

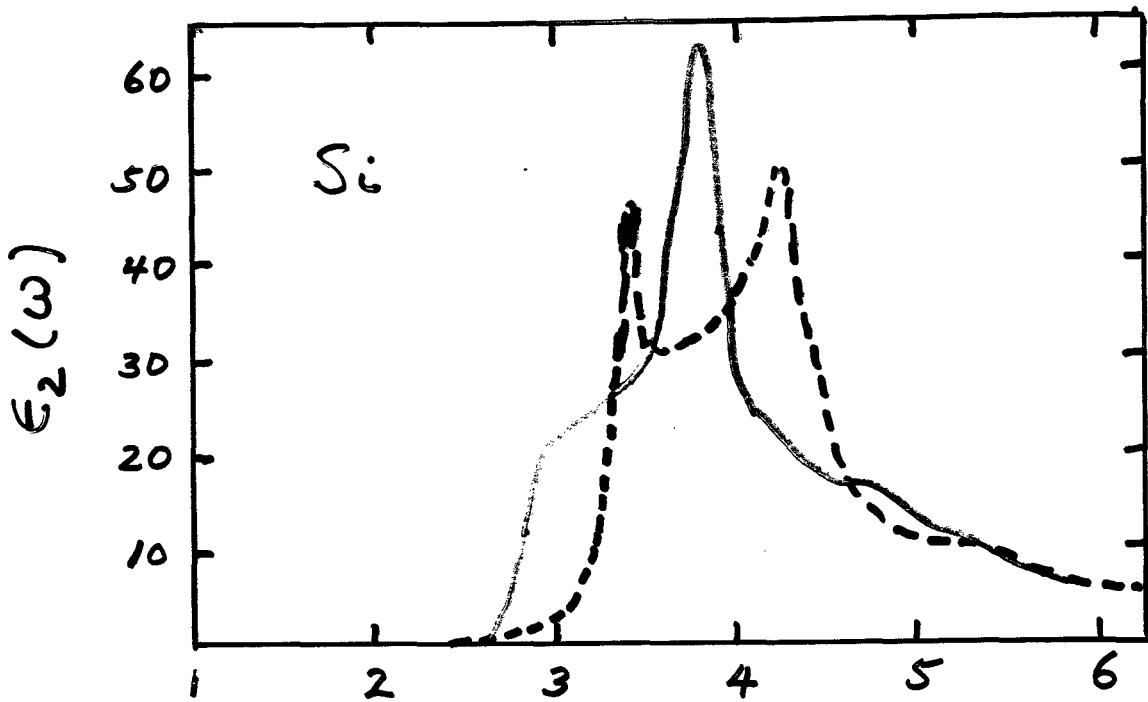
** T. Hertel et al., in preparation

Optical Absorption of SiO_2



Time Dependent Density Functional Theory

TDLDA



$\hbar\omega$ (eV)

--- EXPT

— TDLDA (Kootstra, de Boeij, Snijder, 2000)

Optical Absorption Cross Section

Solve the Bethe-Salpeter equation for the two-particle Green's function $G_2 \Rightarrow$ electron-hole excitations:

- excitation energy Ω_S of $|N, S\rangle$

$$\Omega_S = E_{N,S} - E_{N,0}$$

$$|N, S\rangle = \sum_{m,n} A_S(m,n) a_m^+ b_n^+ |N, 0\rangle$$

- Bethe-Salpeter equation for electron-hole amplitude

$$\chi_S(\mathbf{r}, \mathbf{r}') = \sum_{nm} A_S(m,n) \phi_m(\mathbf{r}) \phi_n^*(\mathbf{r}')$$

- dipole transition matrix element

$$\begin{aligned} \langle \vec{p}_{op} \rangle_S &= \langle N, S | \vec{p}_{op} | N, 0 \rangle \\ &= \sum_{nm} A_S(m,n) \langle \phi_n | \vec{p} | \phi_m \rangle \end{aligned}$$

- absorption cross section

$$f(E) \sim \sum_S \left| \langle \vec{p}_{op} \rangle_S \right|^2 \delta(E - \Omega_S)$$

References:

G. Strinati, Phys. Rev. B **29**, 5718 (1984)

M. Rohlfing and S. G. Louie, Phys. Rev. Lett. **80**, 3320 (1998)

M. Rohlfing and S. G. Louie, Phys. Rev. Lett. **81**, 2312 (1998)

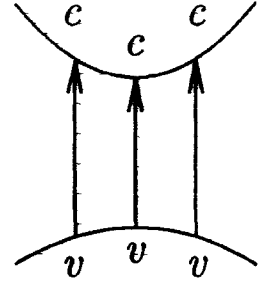
S. Albrecht, L. Reining, R. Del Sole, and G. Onida, Phys. Rev. Lett. **80**, 4510 (1998)

L. X. Benedict, E. L. Shirley, and R. B. Bohn, Phys. Rev. Lett. **80**, 4514 (1998)

Theoretical Framework

- Coupled electron-hole excitations:

$$|S\rangle = \sum_v^{\text{elec}} \sum_c^{\text{hole}} A_{vc}^S \hat{a}_v^\dagger \hat{b}_c^\dagger |0\rangle$$



$|0\rangle$ ground state of many-electron system

$\hat{a}_v^\dagger, \hat{b}_c^\dagger$ creates quasi-hole, -electron

A_{vc}^S coupling coefficients

- The Bethe-Salpeter Equation for the two-particle Green's function G_2 yields:

$$(\epsilon_c^{\text{QP}} - \epsilon_v^{\text{QP}}) A_{vc}^S + \sum_{v'c'} \langle vc | K^{eh} | v'c' \rangle A_{v'c'}^S = \Omega^S A_{vc}^S$$

G. Strinati, Phys. Rev. B 29, 5718 (1984).

$\epsilon_c^{\text{QP}}, \epsilon_v^{\text{QP}}$ single-quasiparticle energies

K^{eh} electron-hole interaction

$\Rightarrow \Omega^S$ excitation energies

- \Rightarrow Optical absorption spectrum: $\epsilon_2(\omega)$

Computational Details



$$W(\underline{r}, \underline{r}', \omega) = \int \epsilon^{-1}(\underline{r}, \underline{r}', \omega) v(\underline{r} - \underline{r}') d\underline{r}$$

- GW quasiparticle calculation ($\Sigma = iG_1W$; $W \hat{=} \text{RPA}$)

$\implies \psi_n(\mathbf{r})$ quasiparticle wavefunctions

ϵ_n^{QP} quasiparticle energies

- Electron-hole interaction kernel:

$$K^{eh} = \frac{\delta V_{\text{Coul}}}{\delta G_1} + \frac{\delta \Sigma}{\delta G_1}$$

$\swarrow \quad \searrow$
 $v \quad \quad W + \dots$

$$\langle vc | K^{eh} | v'c' \rangle = \int d^3r d^3r' \psi_c^*(\mathbf{r}) \psi_v(\mathbf{r}) v(\mathbf{r}, \mathbf{r}') \psi_{c'}(\mathbf{r}') \psi_{v'}^*(\mathbf{r}')$$

exchange term

$$- \int d^3r d^3r' \psi_c^*(\mathbf{r}) \psi_{c'}(\mathbf{r}) W^{[\Omega_S]}(\mathbf{r}, \mathbf{r}') \psi_v(\mathbf{r}') \psi_{v'}^*(\mathbf{r}')$$

screened direct term

Optical spectrum:

- Free transitions $v\mathbf{k} \longrightarrow c\mathbf{k}$:

$$\epsilon_2(\omega) = \frac{4\pi e^2}{\omega^2} \sum_{v\mathbf{k}} |M_{v\mathbf{k}}|^2 \delta(\omega - (\epsilon_{c\mathbf{k}}^{\text{QP}} - \epsilon_{v\mathbf{k}}^{\text{QP}}))$$

$$M_{v\mathbf{k}} = \vec{\lambda} \cdot \langle v\mathbf{k} | \vec{V} | c\mathbf{k} \rangle$$

$\vec{\lambda}$ polarization vector of the light

\vec{V} velocity operator

- Coupled transitions $|S\rangle$:

$$\epsilon_2(\omega) = \frac{4\pi e^2}{\omega^2} \sum_S |M_S|^2 \delta(\omega - \Omega_S)$$

$$M_S = \vec{\lambda} \cdot \langle 0 | \vec{V} | S \rangle = \sum_{v\mathbf{k}} A_{v\mathbf{k}}^S M_{v\mathbf{k}}$$

- $\epsilon_2 \longrightarrow \epsilon_1, n, k, R, T, A, \dots$

Nonlocal Potentials, Electromagnetic Fields and Gauge Invariance

Nonlocal pseudopotential formalism:

$$H^\circ = \frac{\vec{p}^2}{2m} + V(\vec{r}) + V_{NL}(\vec{r}, \vec{r}')$$

With E-M perturbations:

$$\vec{p} \rightarrow \vec{p} - \frac{q}{c} \vec{A}$$

$$V_{NL} \rightarrow \left[e^{\frac{iq}{c} \int_{\vec{r}'}^{\vec{r}} \vec{A} \cdot d\vec{\ell}} \right] V_{NL}(\vec{r}, \vec{r}')$$

straight-line path integral

Choose $\vec{\nabla} \cdot \vec{A} = 0$:

$$H = H^\circ + H_{int}$$

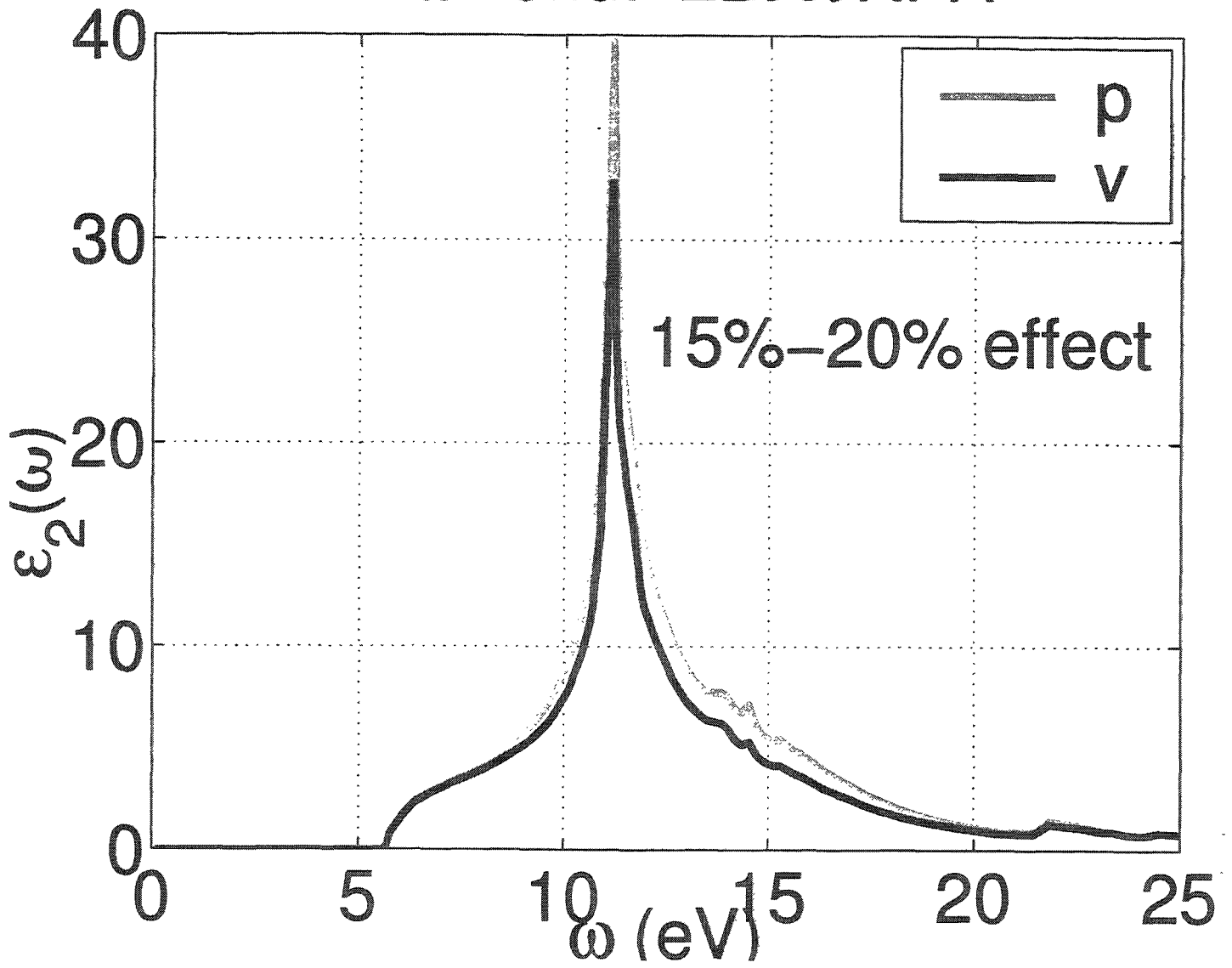
$$H_{int} = -\frac{q}{mc} \vec{A} \cdot \vec{p} + \frac{q^2}{2mc^2} \vec{A}^2 + \left[e^{\frac{iq}{c} \int_{\vec{r}'}^{\vec{r}} \vec{A} \cdot d\vec{\ell}} - 1 \right] V_{NL}(\vec{r}, \vec{r}')$$

For a slowly varying, small \vec{A} (to 2nd order in \vec{A}):

$$H_{int} \approx -\frac{q}{c} \vec{A}(\vec{r}) \cdot \vec{V} + \frac{q^2}{2c^2} \left[\frac{A^2(\vec{r})}{m} - [(\vec{r} - \vec{r}') \cdot \vec{A}]^2 V_{NL}(\vec{r}, \vec{r}') \right]$$

where $\vec{V} = [\vec{r}, H^\circ]/i\hbar = \frac{\vec{p}}{m} + [\vec{r}, V_{NL}]/i\hbar$

Diamond: LDA+RPA



Atomic Magnetic Susceptibility

$$\chi = - \left. \frac{\partial^2 E}{\partial B^2} \right|_{B=0} \quad \vec{B} = \vec{\nabla} \times \vec{A}$$

$$H_A = H_0 - \underbrace{\frac{g}{m c} \vec{A} \cdot \vec{P} + \frac{g^2}{2 m c^2} \vec{A}^2}_{H_1} + \underbrace{\frac{ig}{c} \int_{\vec{r}'}^{\vec{r}} \vec{A} \cdot d\vec{x} V_{NL}(\vec{r}, \vec{r}')}_{H_2} - \underbrace{\frac{1}{2} \left[\frac{ig}{c} \int_{\vec{r}'}^{\vec{r}} \vec{A} \cdot d\vec{x} \right]^2 V_{NL}(\vec{r}, \vec{r}')}_{H_3} + O(\vec{A}^3)$$

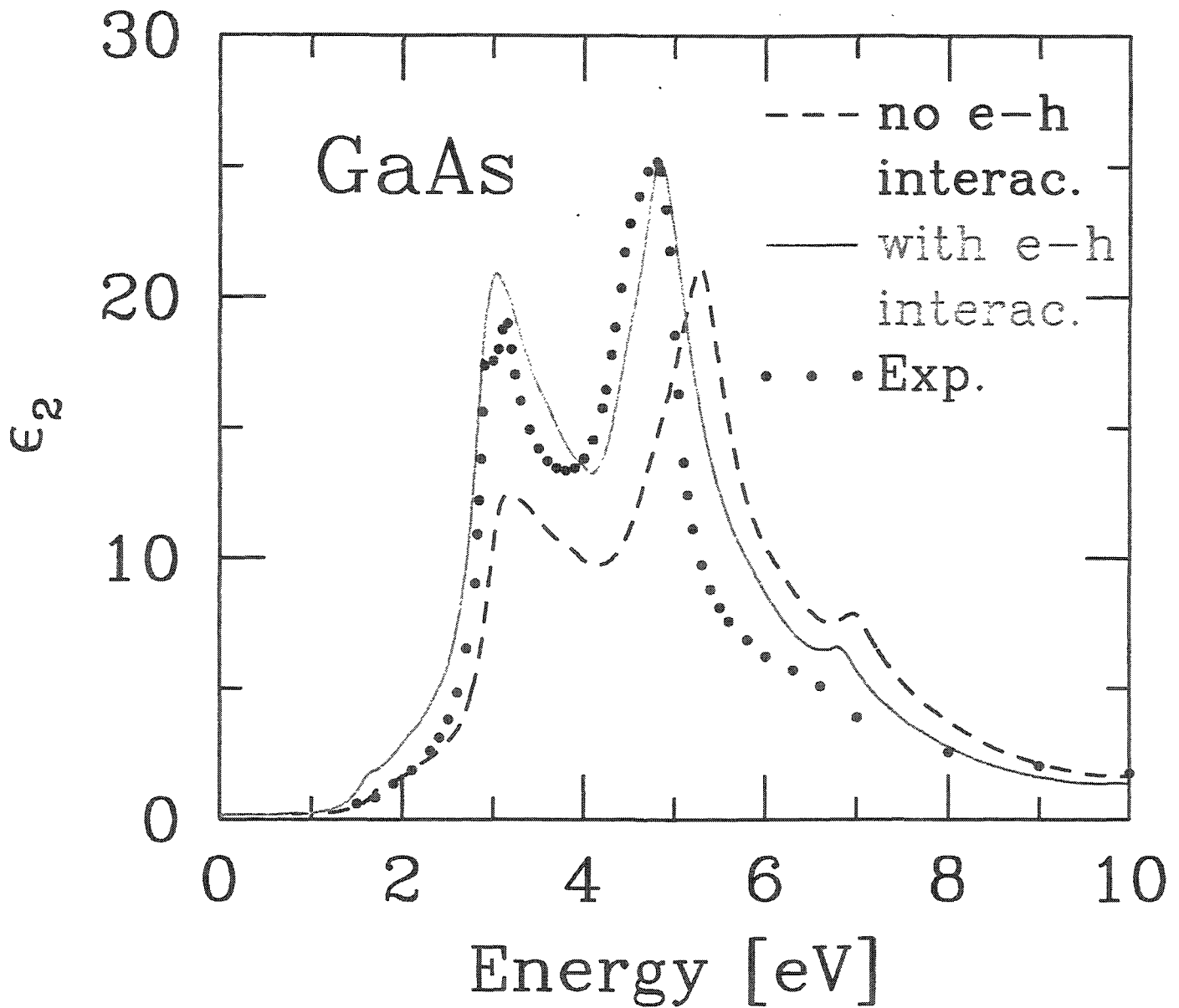
Neon: $-\chi$ (cm^3/mole)

Gauge: $\vec{A} = -(0, 0, \chi + \chi_0) B$ $\vec{\nabla} \times \vec{A} = B \hat{y}$

χ_0 (a.u.)	H_1	$H_1 + H_2$	$H_1 + H_2 + H_3$	All Electron
0	7.84	7.74	7.75	} 7.75
2	-9.71	7.34	7.75	
4	-62.37	6.15	7.75	

Expt: 7.2

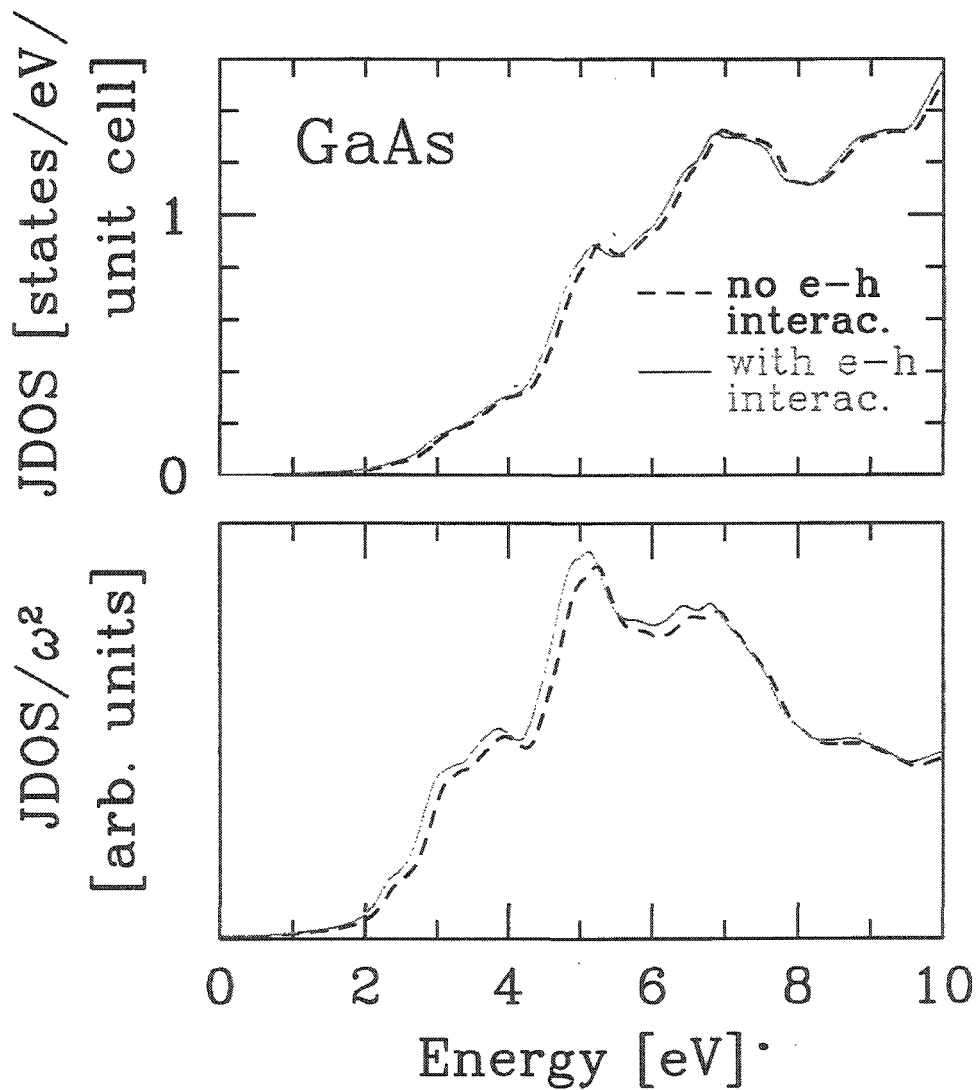
Optical Absorption Spectrum of GaAs



Calculation: 3 occupied, 6 empty bands

Exp.: Aspnes and Sturge, Phys. Rev. B 27, 985 (1983).

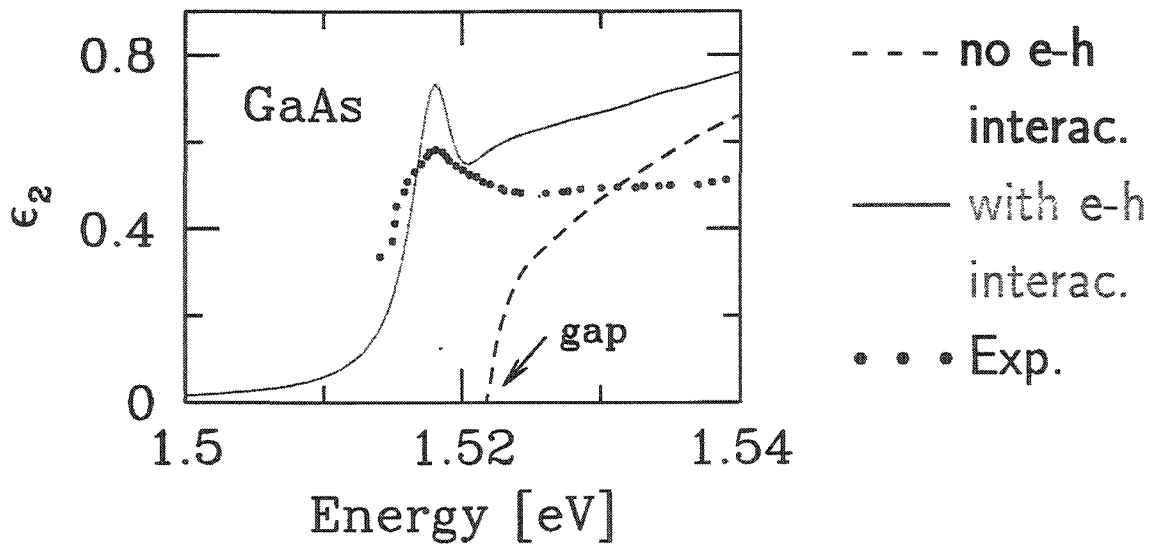
GaAs: the joined density-of-states (JDOS)



Effects of the electron-hole interaction:

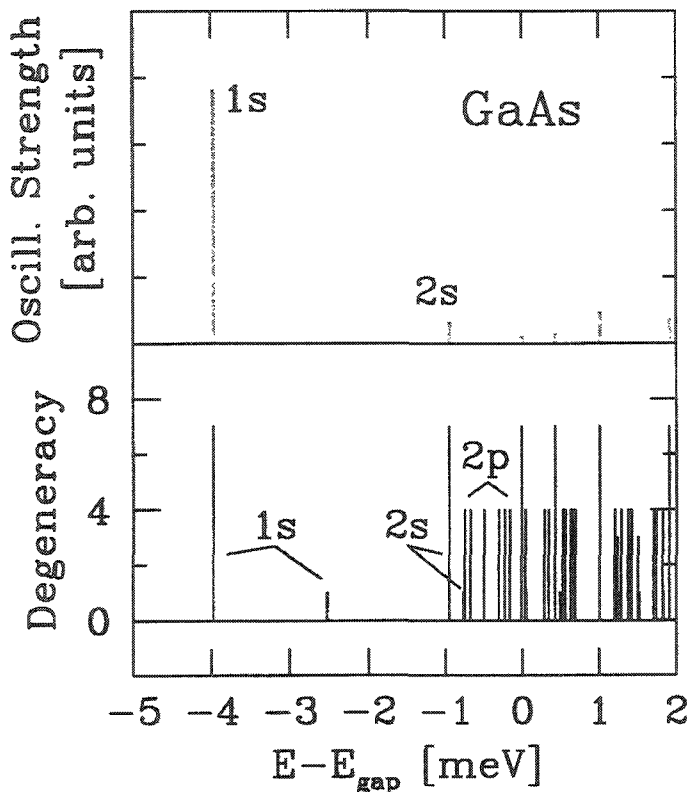
- Only marginal changes in the JDOS
- Coherent (constructive, destructive) coupling of the dipole moments

Optical Absorption Spectrum of GaAs at E_g



Exciton spectrum:

Binding energies:

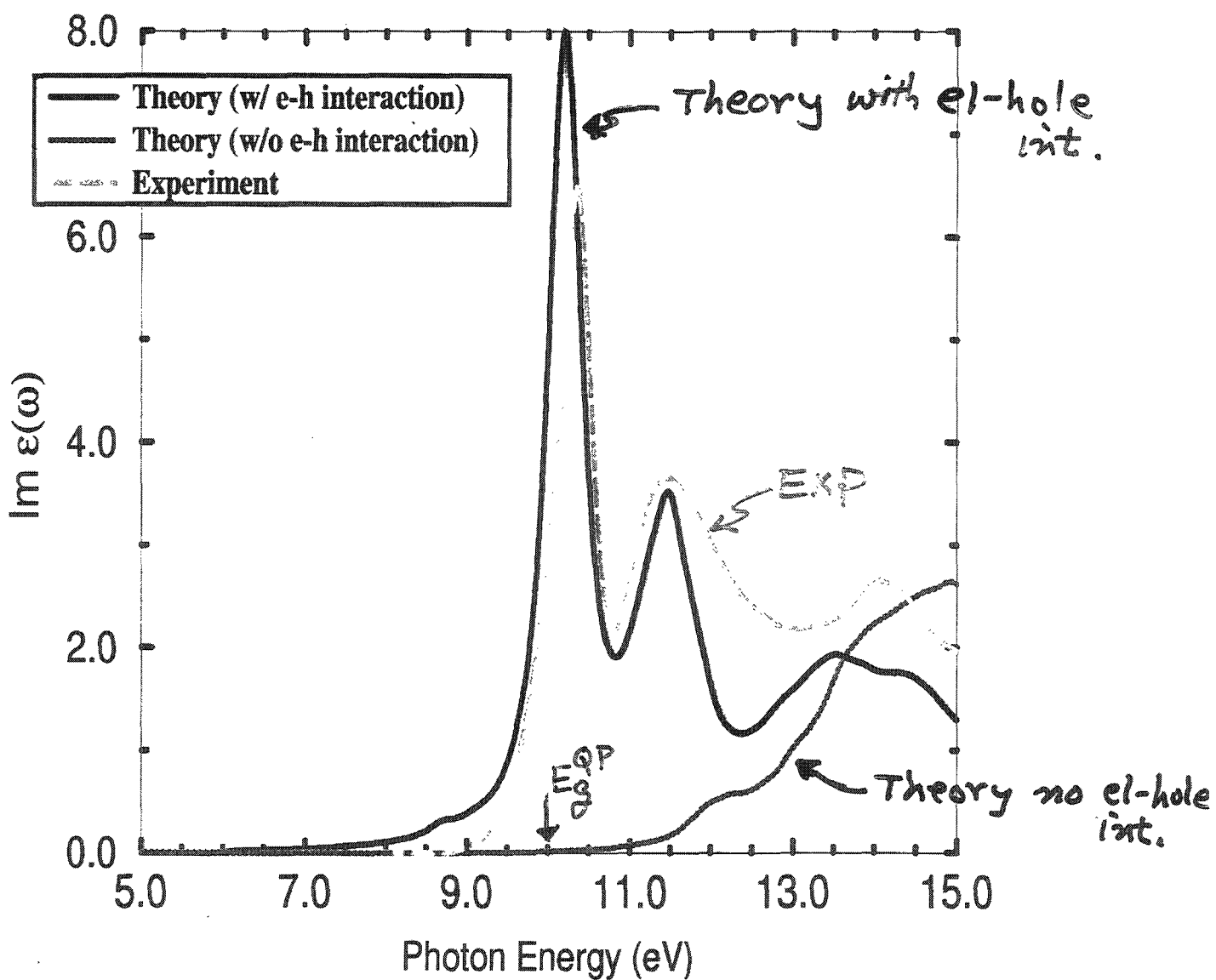


	Theory [meV]	Exp. [meV]
E_{1s}	4.0	4.2
E_{2s}	0.9	1.0
E_{2p}	0.2—0.7	0—1

Includes LS interaction; 1000 out of 100M k -points.

Exp.: M. D. Sturge, Phys. Rev. **127**, 768 (1962)

Optical Spectrum of SiO_2



peaks \leftrightarrow resonant exciton states

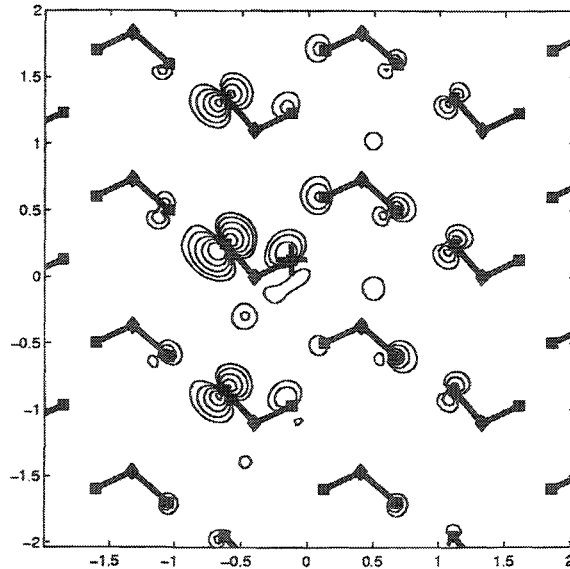
Si O₂

$$\Psi(\vec{r}_h, \vec{r}_e) = \sum_{c, \vec{r}_h} A_{c, \vec{r}_h} \phi_{c, \vec{r}_h}^*(\vec{r}_h) \phi_{c, \vec{r}_e}(\vec{r}_e)$$

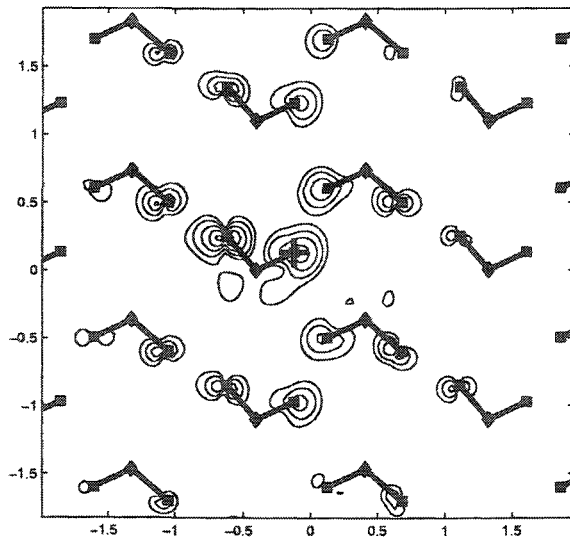
$$f(r_h) = |\Psi(r_h, r_e = +)|^2$$

(1 $\bar{1}$ 00)- - plane

Dark Exciton (8.4 eV)

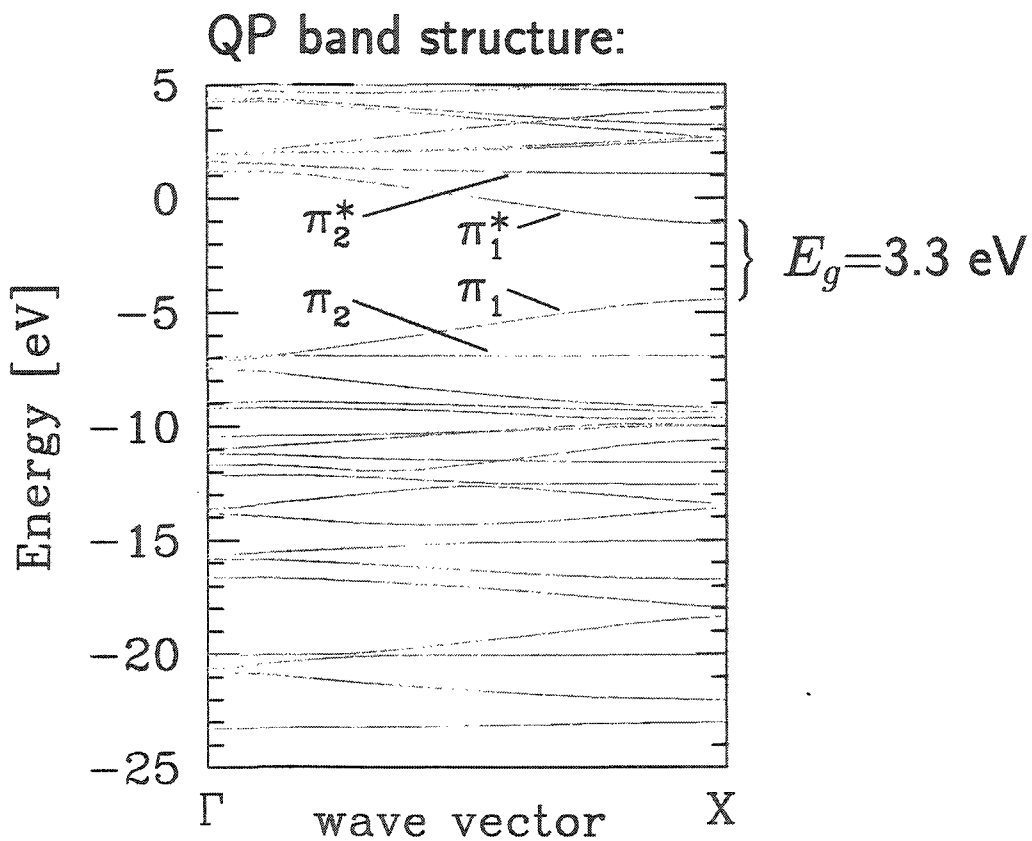
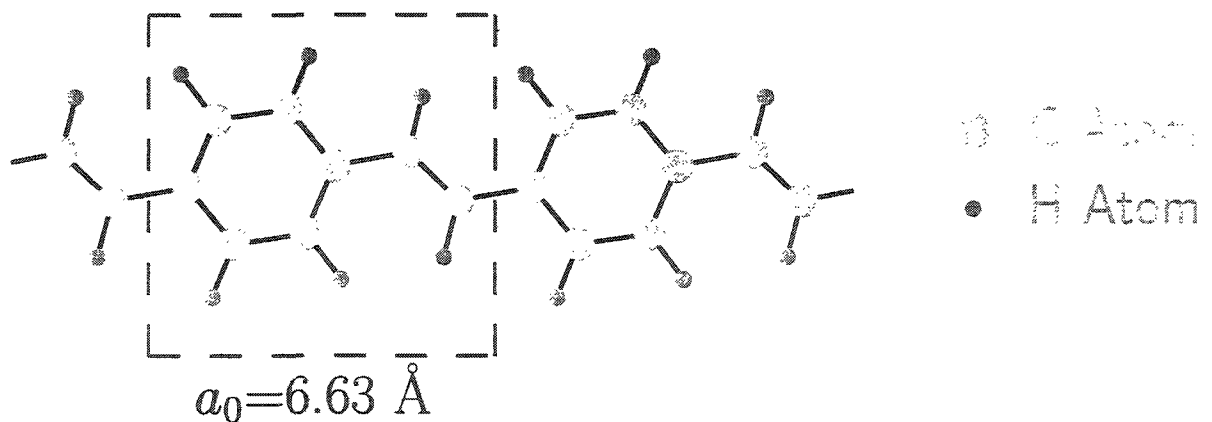


First Bright Exciton (10.1 eV)



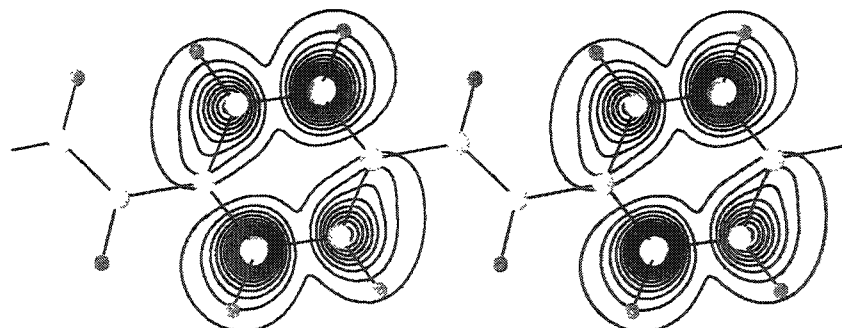
Poly-Phenylene-Vinylene (PPV)

- Most important conducting polymer:
 - LED's, Optoelectronics
 - Photovoltaics

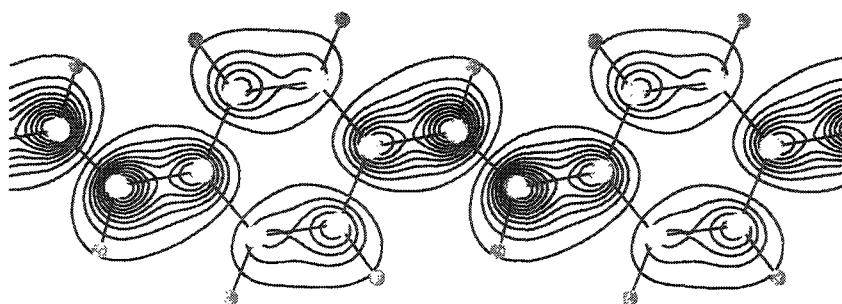


One-particle Wave functions in PPV

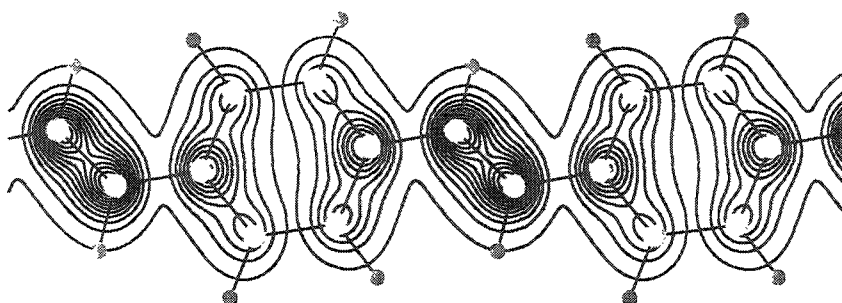
CBM+1 (π_2^*)
(empty)



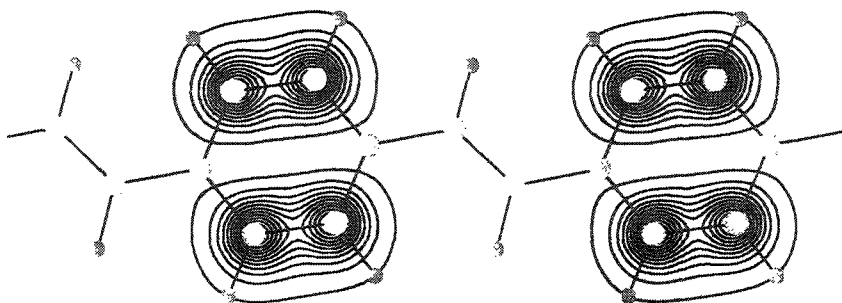
CBM (π_1^*)
(empty)



VBM (π_1)
(occ.)

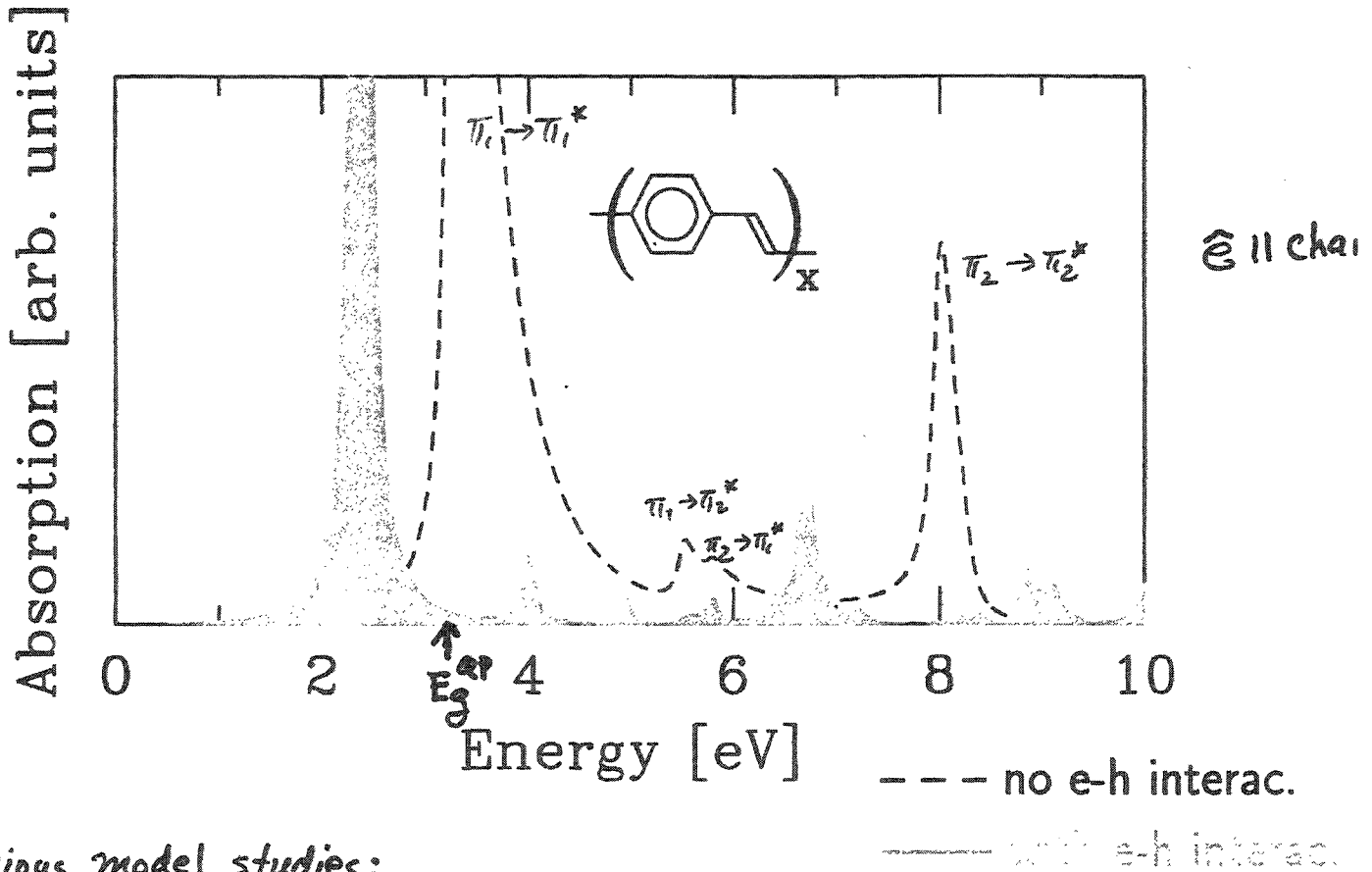


VBM-1 (π_2)
(occ.)



calculated at the X point; 0.6 Å above atomic plane

Absorption spectrum of PPV



Previous model studies:

Fartstein, Rice & Conwell ('95)

Handross & Mazumdar ('97)

Mukamel, et al ('97)

⋮

	Theory [eV]	Exp. [eV]
E_1	2.4	2.5
E_2	4.0	3.7
E_3	4.9	4.8
$E_{4,5}$	3.3, 6.5-6.9	6.0

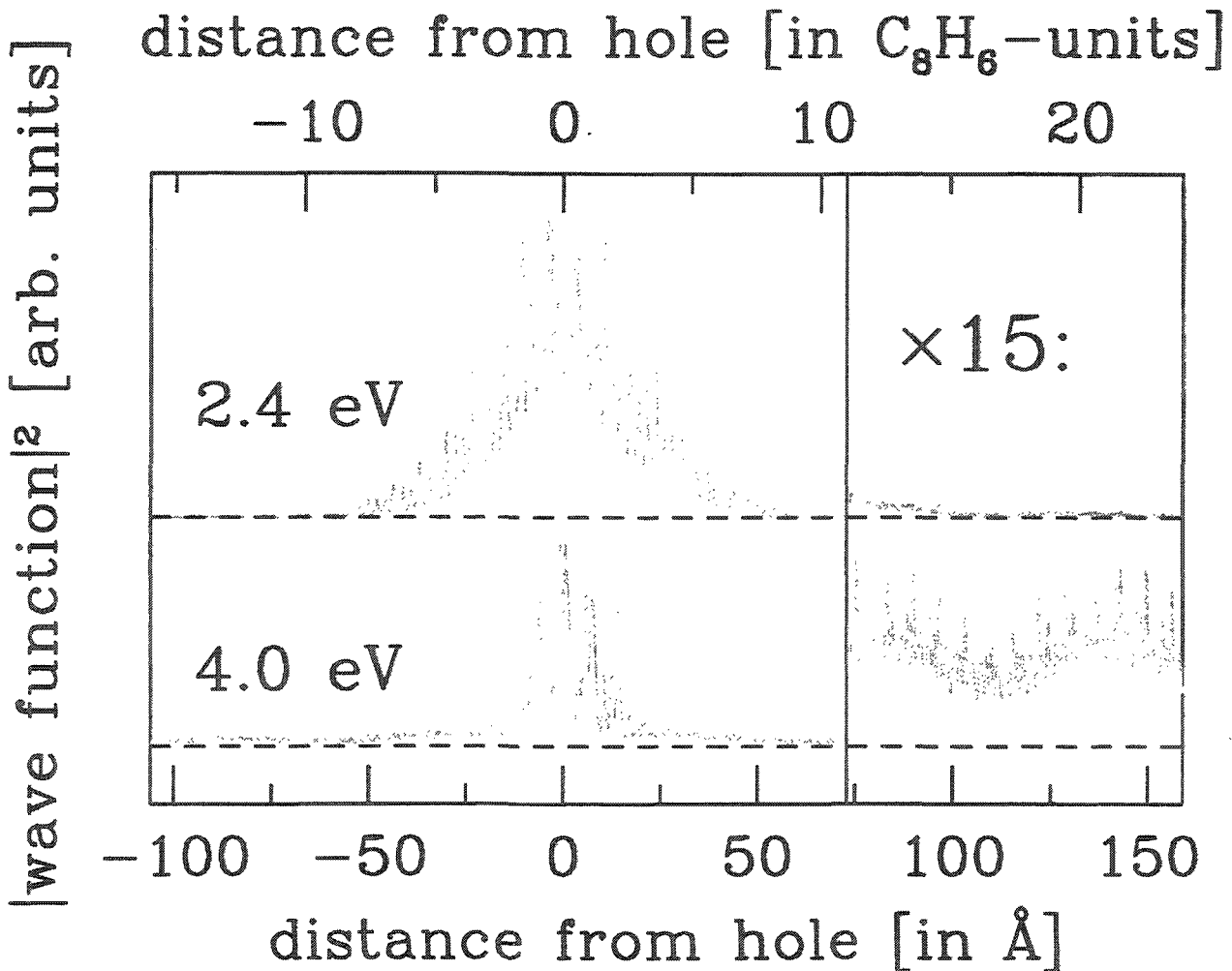
$E_g^{QP} = 3.3 \text{ eV}$

Exp.: D. A. Halladay *et al.*, Synthetic Metals 55-57, 954 (1993).

Electron-hole Wavefunctions in PPV

- Electron distribution with respect to the hole:

$$\chi(\vec{r}_h, \vec{r}_e) = \sum_{c\nu\vec{k}} A_{\nu c\vec{k}} \phi_{\nu\vec{k}}^*(\vec{r}_h) \phi_{c\vec{k}}(\vec{r}_e)$$



- At 2.4 eV (below E_g): Exciton
- At 4.0 eV and higher: Resonant states

Singlet and Triplet Excitons

- Spin-singlet excitons (excitable by light): observe repulsive exchange interaction
- Spin-triplet excitons: No exchange interaction

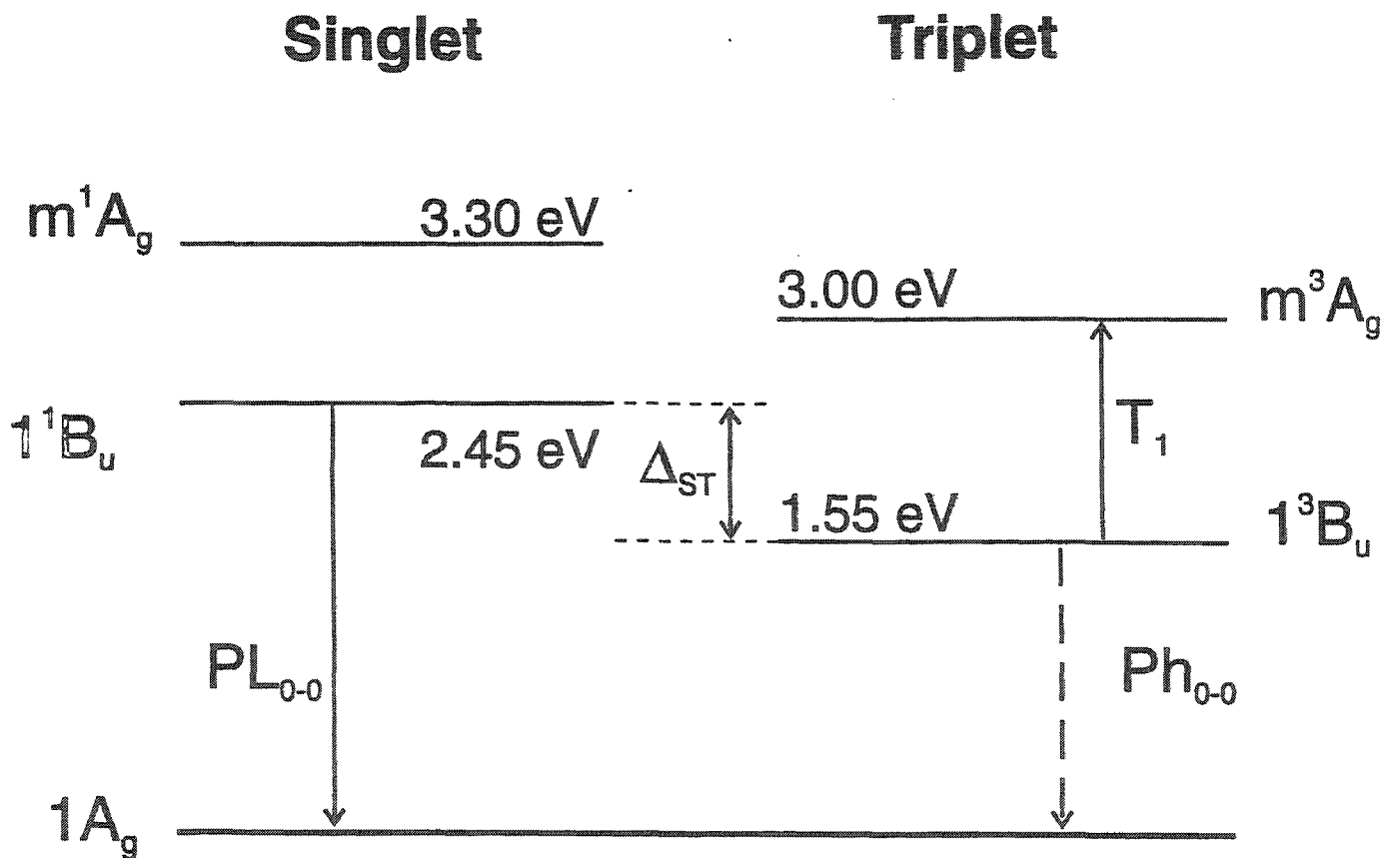
Polyacetylene:

Dipole	Singlet		Triplet		$\Delta_{S \leftrightarrow T}$
	E_i^S	$E_g - E_i^S$	E_i^T	$E_g - E_i^T$	
•	1.7	0.4	0.9	1.2	0.8
—	1.8	0.3	1.7	0.4	0.1

PPV:

Dipole	Singlet		Triplet		$\Delta_{S \leftrightarrow T}$
	E_i^S	$E_g - E_i^S$	E_i^T	$E_g - E_i^T$	
•	2.4	0.9	1.5	1.8	0.9
—	2.8	0.5	2.7	0.6	0.1

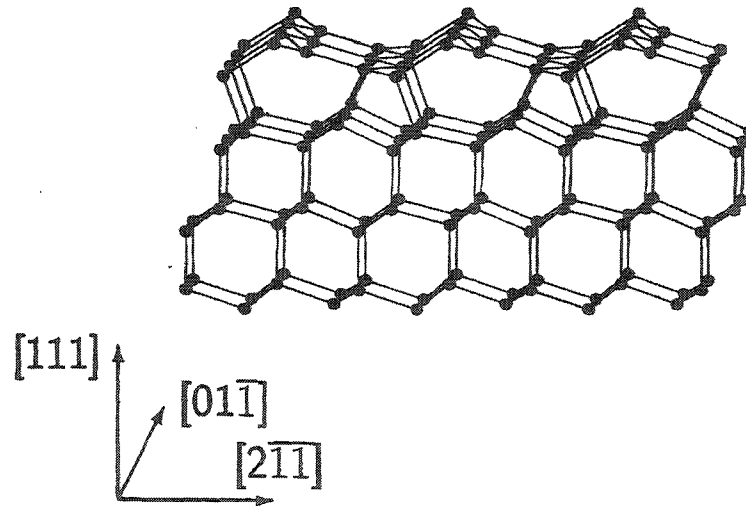
- Even ($\hat{=}$ dipole-allowed) states: Electron and hole are close to each other \Rightarrow Strong exchange interaction



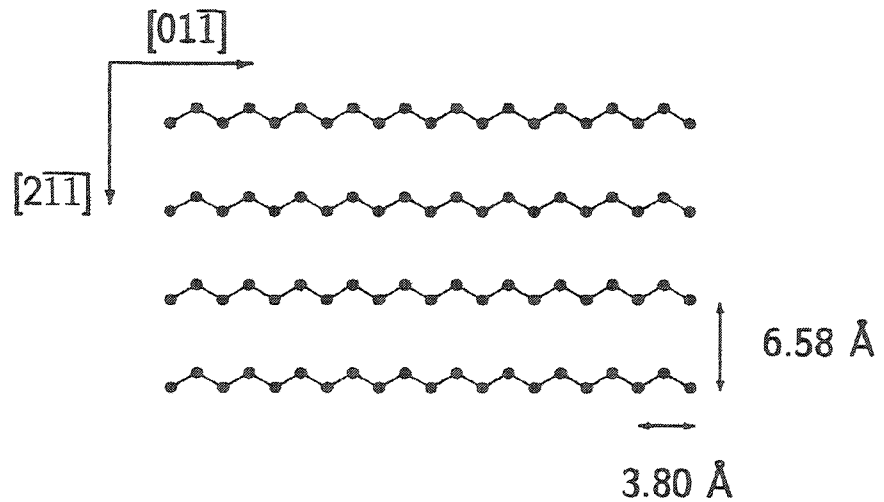
Österbacka, Wohlgenannt,
Chimir & Vardeny (1999)

Structure of the Si(111) 2x1 Surface

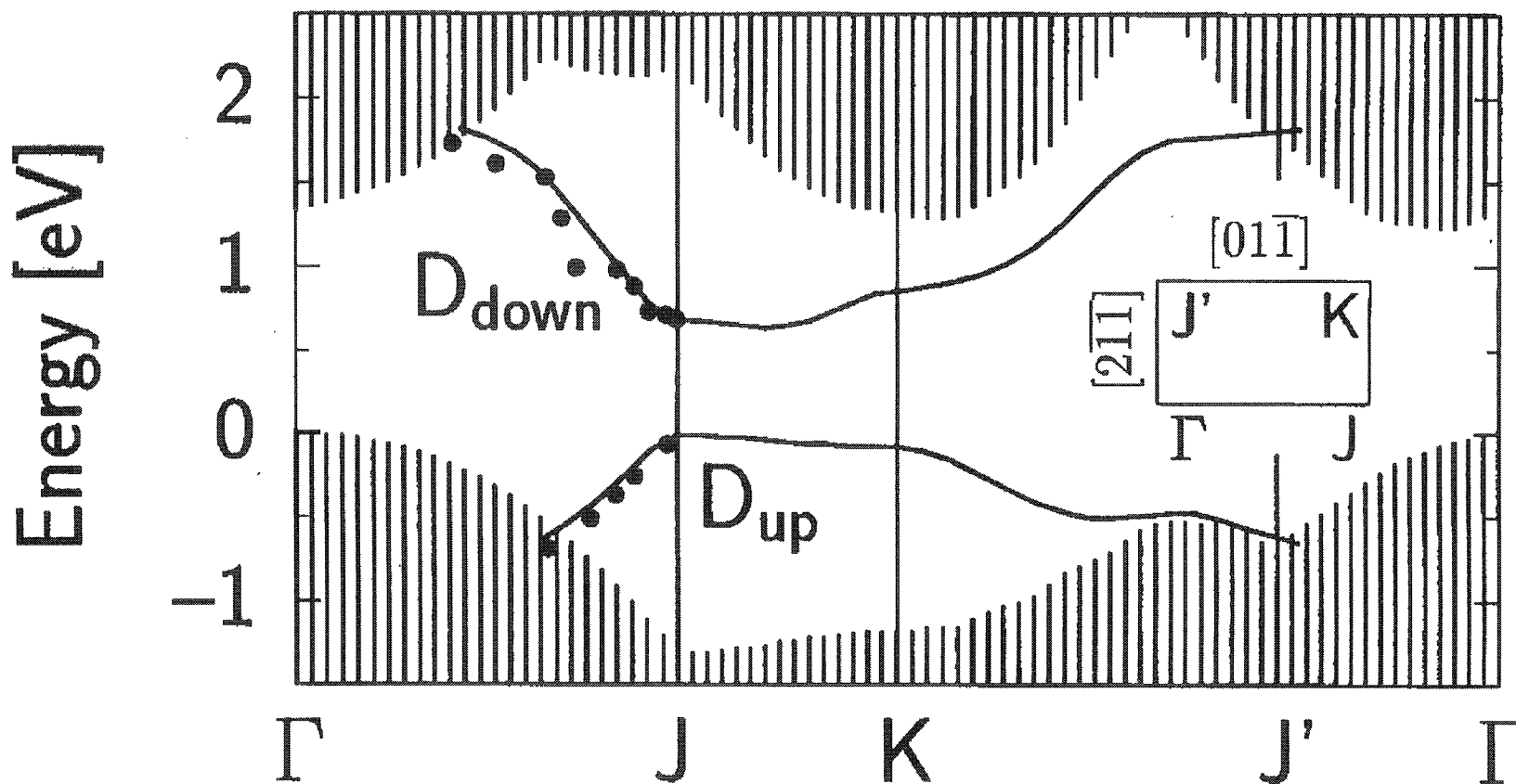
Side view



Top view



Quasiparticle Surface-State Bands of Si(111)2x1



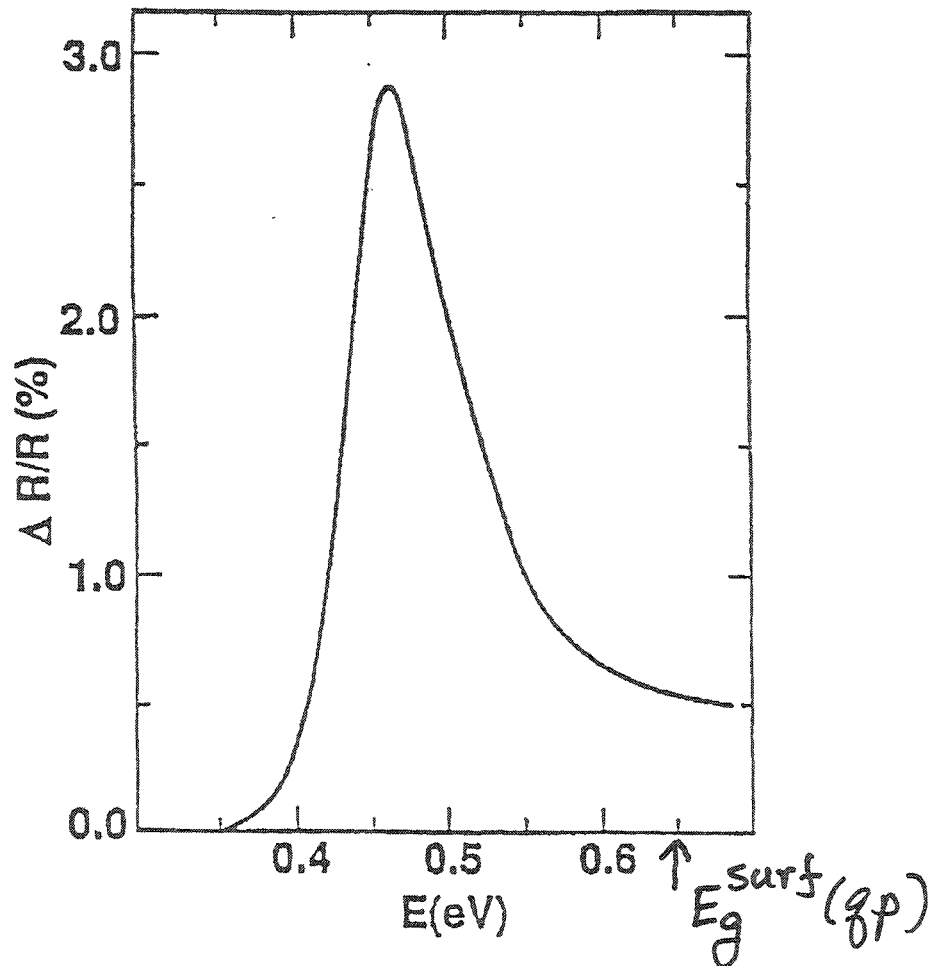
- Exp.: R. Uhrberg et al (1982); P. Perfetti et al (1987)
 $E_g = 0.65 \text{ eV}$ ($< E_g(\text{bulk}) = 1.17 \text{ eV}$)

Surface state QP gap

Rohlfing & Louie (1999)

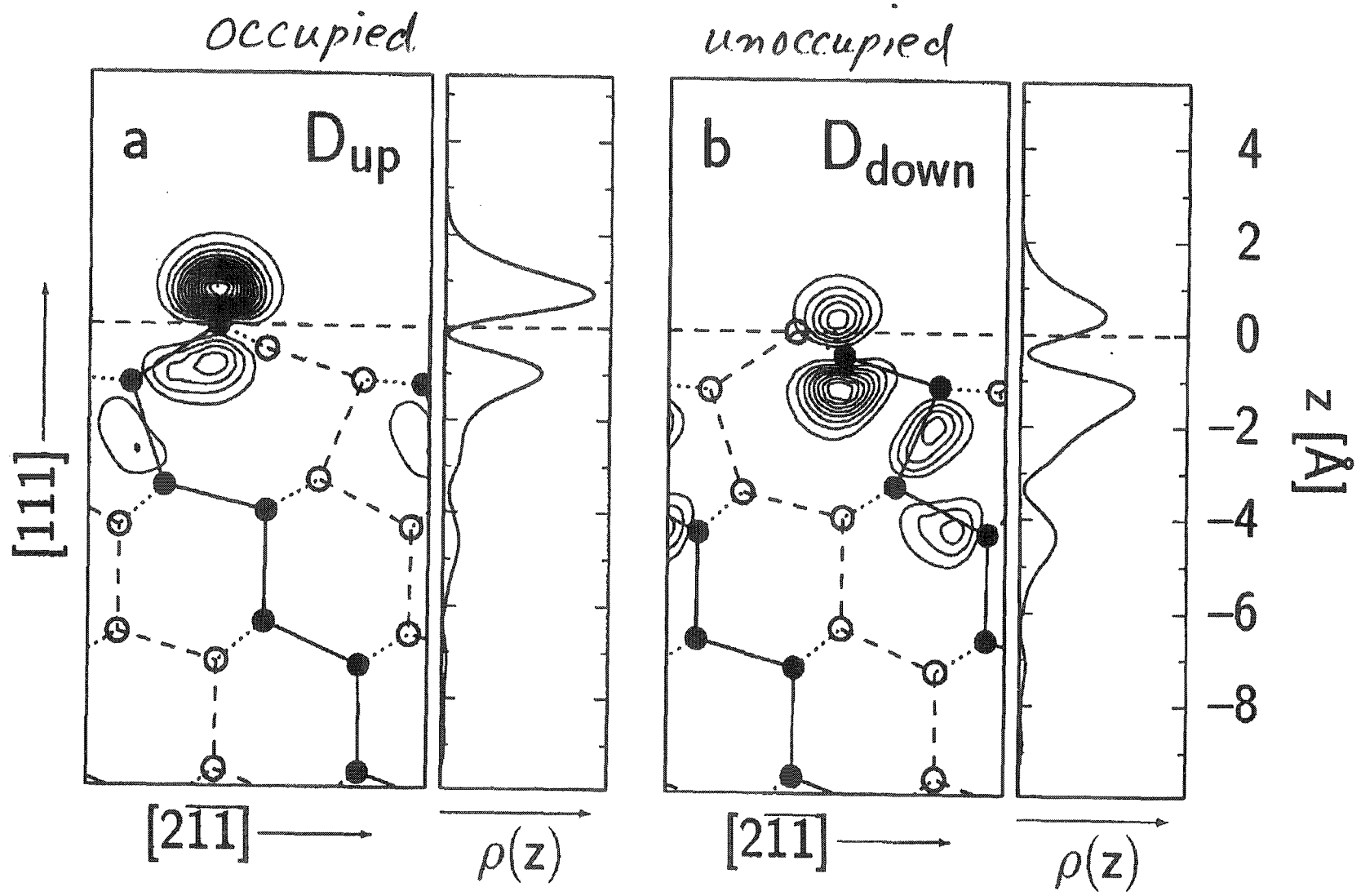
Si(111) 2×1 Surface

Experimental differential reflectivity spectrum
(Ciccacci et al., 1986)

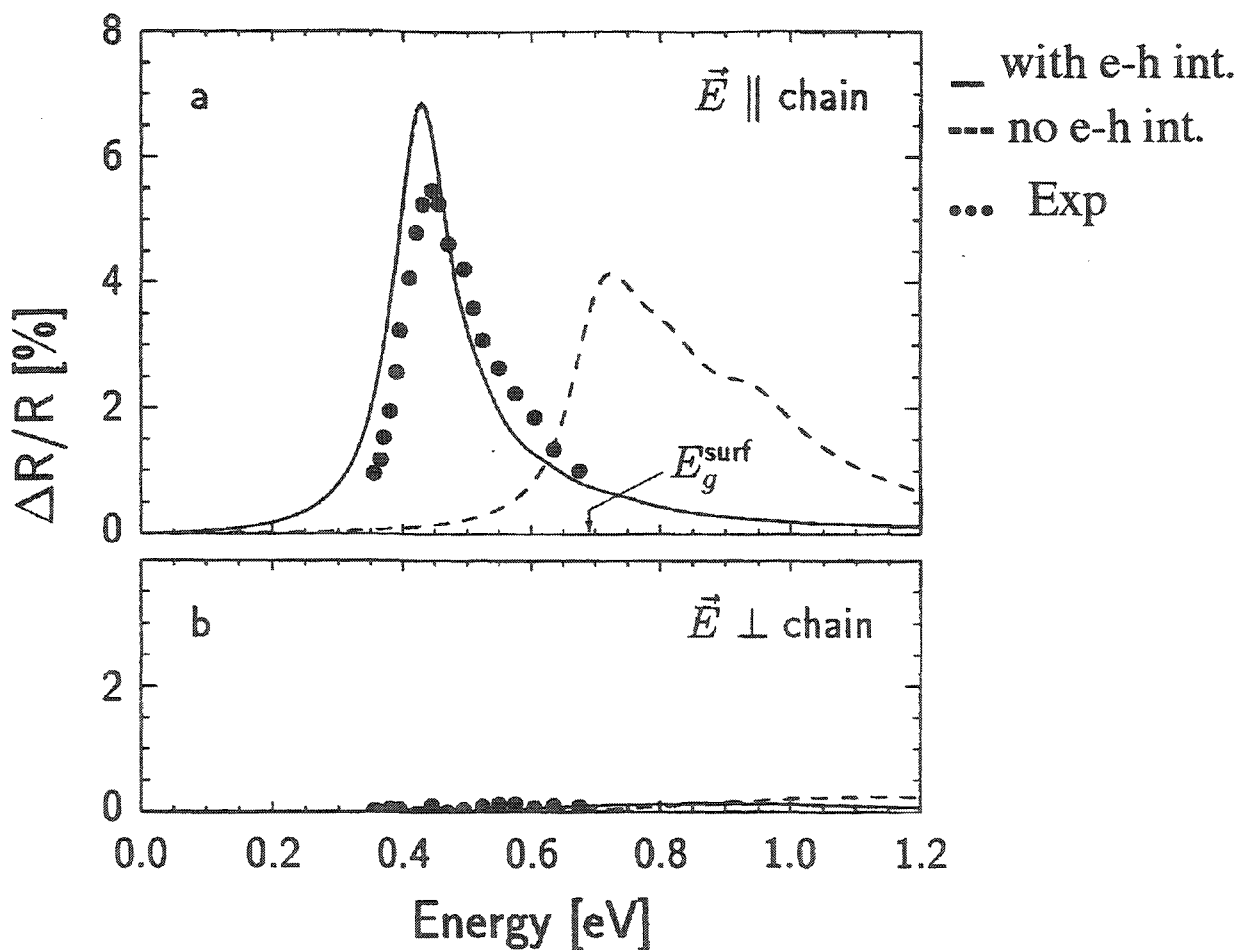


- $\Delta R/R = (R_{\text{clean}} - R_{\text{ox}})/R_{\text{ox}}$
- Reflectivity associated with surface states
- QP results \rightarrow onset at 0.65 eV
 \div 2-peak structure

Surface-State Charge Density



$\text{Si}(111) 2 \times 1$ Surface Differential Reflectivity Spectra



Artificial broadening : 0.05 eV

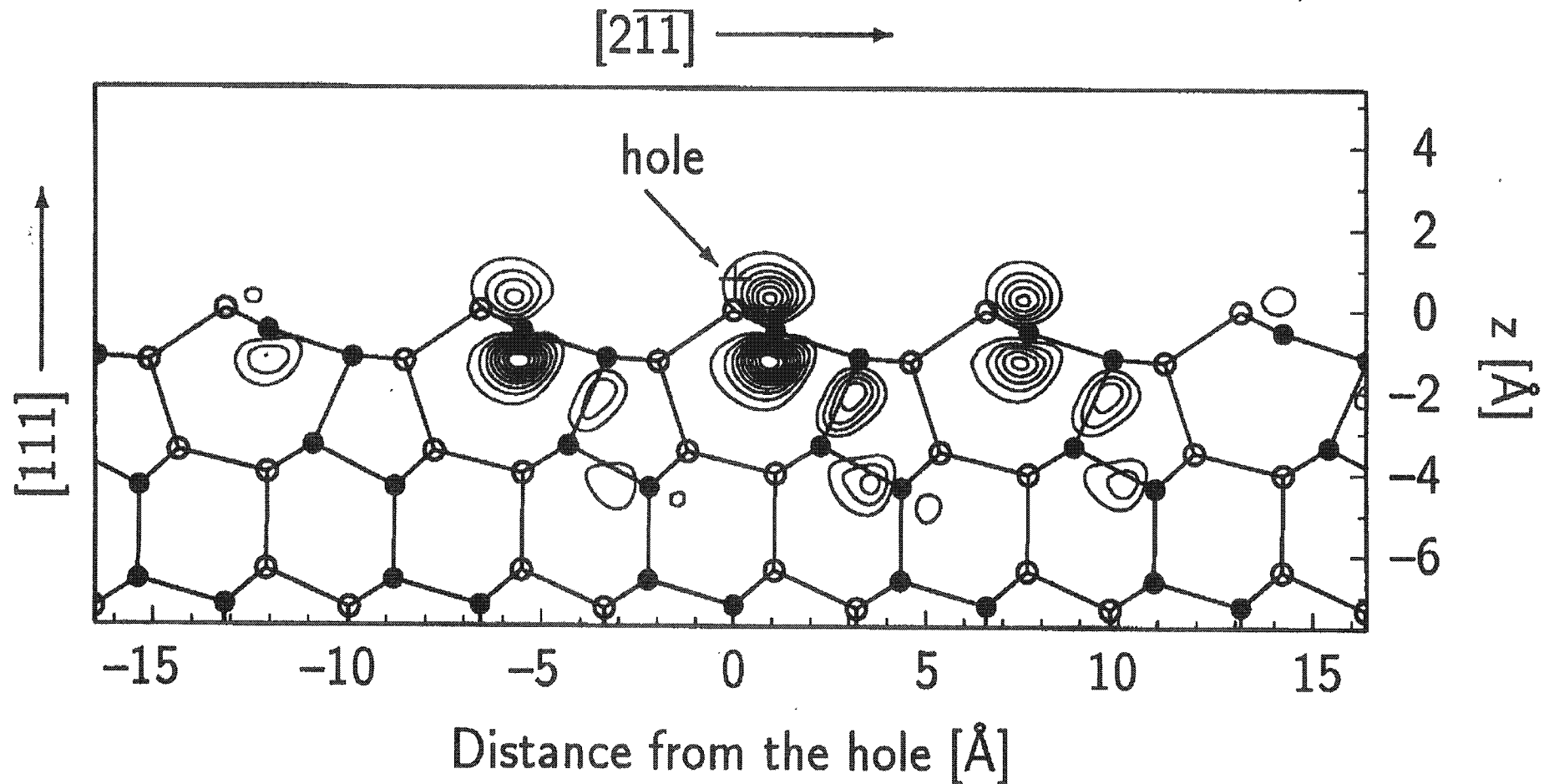
Exp.: P. Chiaradia et al., PRL **52**, 1145 (1984)

- Discrete exciton spectrum
- Optical spectrum dominated by lowest exciton
- Surface exciton binding energy: 0.25 eV
- Bulk exciton binding energy: 15 meV

Rohlfing & Louie (1999)

Surface Exciton Two-particle Amplitude - Side View

(Distribution of electron relative to the hole for state at 0.43 eV)

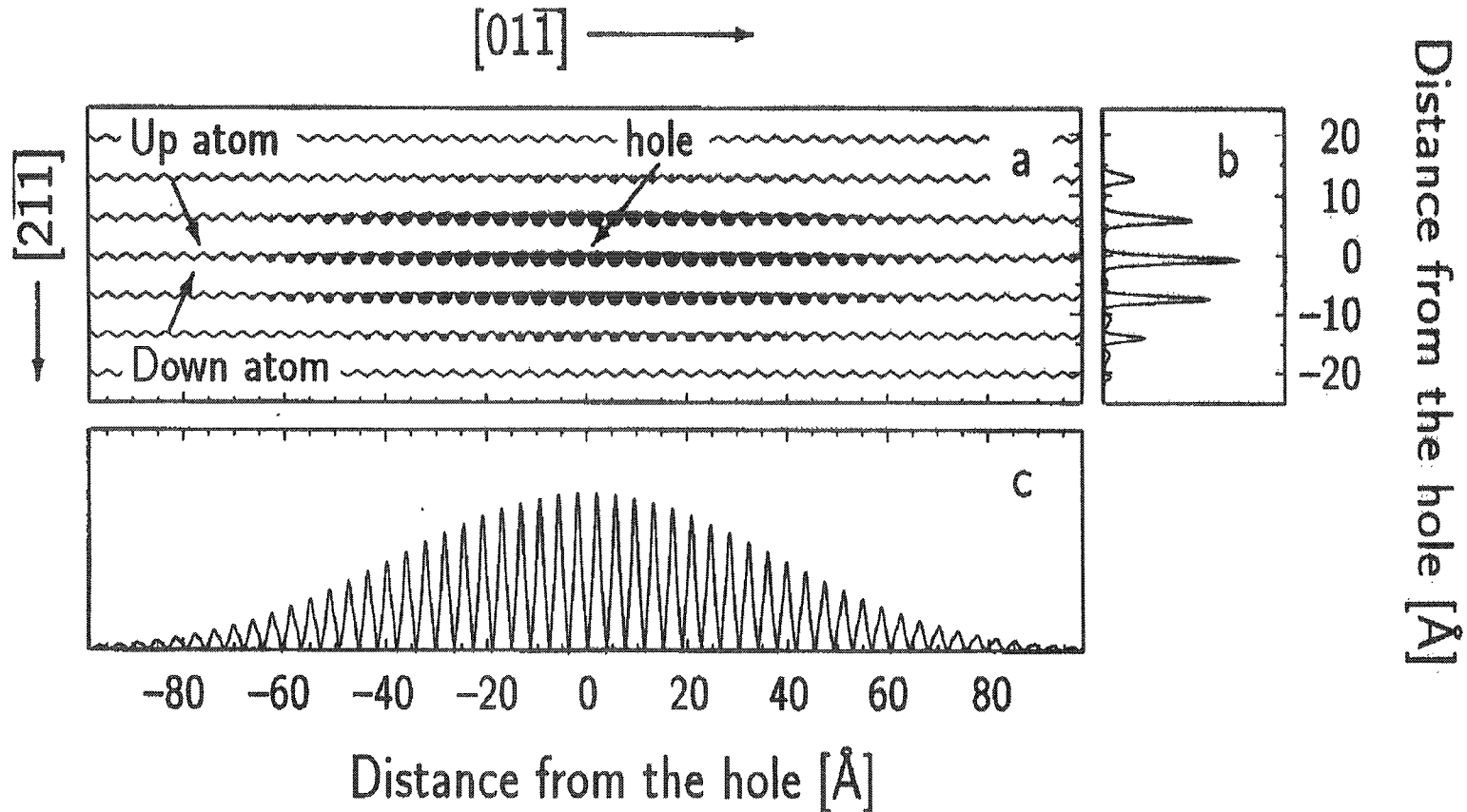


$$\chi(\vec{r}_h, \vec{r}_e) = \sum_{\vec{k}} A_{\vec{k}} e^{i\vec{k} \cdot \vec{r}_h} \psi_{\vec{k}}(\vec{r}_h) \psi_{\vec{k}}(\vec{r}_e)$$

Rohlfing & Louie (1999)

Surface Exciton Two-particle Amplitude - Top View

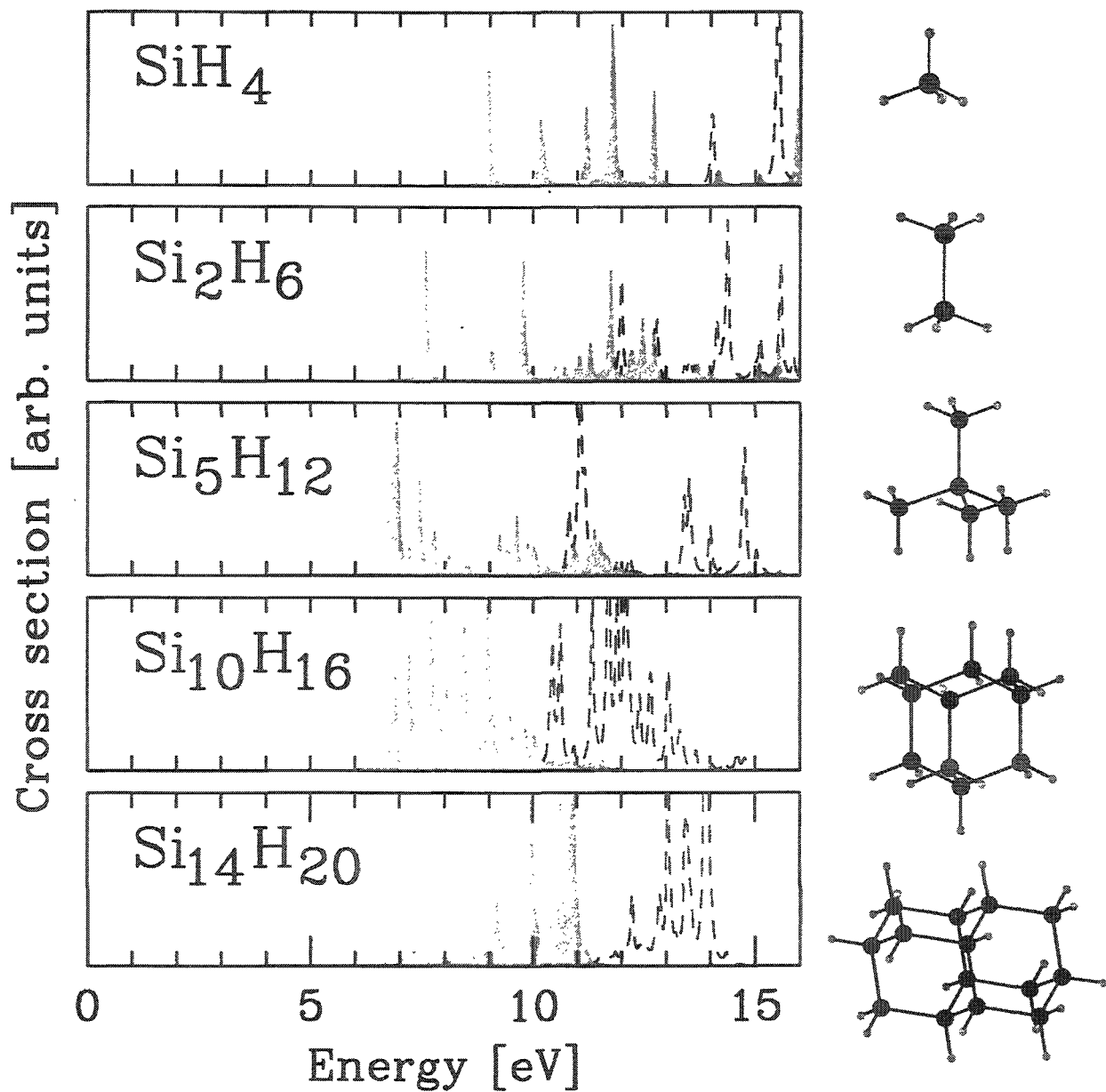
(Distribution of electron relative to the hole for state at 0.43 eV)



Mean electron-hole distance: Along the chains - 40 \AA
 Across the chains - 8 \AA

Rohlfing & Louie (1999)

Absorption Spectrum of Si Clusters



— including electron-hole interaction

-- without electron-hole interaction

● Huge excitonic binding energy: ~ 5 eV

Optical Excitation Energies of SiH_4 and Si_2H_6

	This work [eV]	Experiment [eV]
SiH_4 :	9.0	8.8
	10.2	9.7
	11.2	10.7
Si_2H_6 :	7.6	7.6
	9.0	8.4
	9.6–9.8	9.5, 9.9

Exp.: U. Itoh, Y. Toyoshima, and H. Onuki,
J. Chem. Phys. 85, 4867 (1986).

Noble-gas Atom Ionization Energies and
First Neutral Excitation Energies
(in eV)

		This Work	Expt
He:	I	24.7	24.6
	E_S	20.8	20.6
	E_T	19.8	19.8
Ne:	I	21.5	21.6
	E_S	16.9	16.9
	E_T	16.7	16.7
Ar:	I	15.9	15.8
	E_S	12.0	11.8
	E_T	11.8	11.6

I = Ionization energy

E_S = Singlet excitation

E_T = Triplet excitation

Summary

- Density functional theory provides valuable input to many-body perturbation theory calculation of excited-state properties.
- GW approximation yields highly accurate first-principles quasiparticle energies for many materials systems, to a level of ~ 0.1 eV.
- Evaluation of the Bethe-Salpeter equation provides *ab initio* and quantitative results on exciton states and optical response of crystals, surfaces, polymers, and clusters.
- Combination of DFT and MBPT \rightarrow excited state properties.