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SPRING COLLEGE ON NUMERICAL METHODS IN ELECTRONIC STRUCTURE THEORY

(7 - 25 May 2001)

"Physics of matter at extreme conditions: ultra-high pressures and temperatures, ultra-thin metal nanowires" (Part II)

presented by:

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These are preliminary lecture notes, intended only for distribution to participants.

STRUCTURE AND PROPERTIES OF TIP-SUSPENDED GOLD NANOWIRES

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O.TOMAGNINI, F. ERCOLESSI, E. TOSATTI SURFACE SCI. 287/288, 1041 (1993)



Au): TAKAYANAGI et al, PRL79, 3455 (97)



FIG. 1. Transmission electron micrographs showing the formation of a nanowire: (a) an image of Au(001) film with closely neighboring nanoholes, an initial stage of the nanowire, and (b) the thinnest nanobridge with four atomic rows.



FIG. 2. Transmission electron micrographs of an NB 2 nm thick; obtained at the focuses of (a) 65 nm and (b) 55 nm. Note the square lattice in (a) and hexagonal one in (b).

NANOWIRE THEORY & SIMULATION

- FREE NANOWIRES : SEARCH OF ENERGY MINIMUM BY MODEL CLASSICAL MOLECULAR DYNAMICS SIMULATION CRYSTALLINE -> NONCRYSTALLINE ("WEIRD") TRANS.
 TIP-SUSPENDED NANOWIRES STRING TENSION MINIMA = MAGIC
 CLASSIFICATION + CHIRALITY OF TUBULAR METAL NANOWIRES CHIRALITY CONTROLS RADIUS
 - FIRST PRINCIPLES CALCULATIONS OF GOLD TIP-SUSPENDED NANOWIRES
 EXPLAIN SSERVED MAGIC NANOWIRES
 GOLD VS. SILVER : ROLE OF RECONSTRUCTION
 - THE TIP- NANOWIRE JUNCTION HOW NANOWIRE "UNCOIL" OUT OF TIPS

1. FREE SOLID NANOWIRE : WHAT is THE LOWEST ENERY STRUCTURE ?

DPTIMIZE BY CLASSICAL MD, USE MODEL POTENTIALS ("GLUE", EAM,...) FOR AR, Pb.

O. GÜLSEREN, F. ERCOLESSI, E.T., PRL 80,3775 (98)

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WEIRD

wIRES"

FOR R<RCRIT

(110) CRYSTALLINE WIRE (ī11 (N ATOMS, LENGHT L, RADIUS R) É - | E COHESIVE | 1000100 (X1100110) ↓ £(∞) E(R)BAD "FACETS 2 - J2 - 7 R N $N_{TC} = \pi R_{L}^{2} + \frac{J_{C}^{2}}{\pi C R^{2}}$ h, the M 8/4 EDGE ENERGY











FIG. 2. Transmission electron micrographs of an NB 2 thick; obtained at the focuses of (a) 65 nm and (b) 55. Note the square lattice in (a) and hexagonal one in (b).

KONDO + TAKAYANA GY

PRL 79, 3455 (97)

"THICK" An (110) NANDWRES



THICK GOLD WIRES ARE CRYSTALLINE SURFACE IS RECONSTRUCTED



F. ERCOLESSÍ Ph THESIS, SISSA (87)

RECONSTRUCTION OF Au (100) SURFACE

ERCOLESSI, PARRINELLO, TOSATTI (86)





FIG. 1. Transmission electron micrographs showing the formation of a nanowire: (a) an image of Au(001) film with closely neighboring nanoholes, an initial stage of the nanowire, and b) the thinnest nanobridge with four atomic rows.

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THIN AU WIRES

KONDO+ TAKAYANAGÍ (2000)

NONCRYSTALLINE





7 - 1

11-4

13-6

14-7-1

15-8-1



NANOWIRE - TIP GRAND - CANO-NICAL EQUILIBRIUM





T=0 :

FROM BULK CALCULATION



FROM FREE WIRE CALCULATION (UNDER STRESS J)

THEORY OF GOLD THIN NANDWIRES

STUDY PACKING WITH LJ POTENTAL



PRELIMINARY STRUCTURAL OPTIM. WITH VOTER'S EAM POTENTIAL







\$717.

 $\mathcal{V}_{\mathrm{OM}}$





נט,ט)





(6, 3)





 $N_{TUBE} = \frac{2\pi R_{\circ} L_{\circ}}{\sqrt{3}/2}$

ATOMS /CELL





FIRST PRINCIPLES NANOWIRE CALCULATIONS

- PLANE WAVES, ULTRASOFT PSEUDOPOT.,
 REPEATED WIRE CELL, LDA
- START WITH EAM STRUCTURE
 - CALCULATE ELECTRONIC STRUCTURE USE HELLMANN-FEYNMAN FORCES TO FIND TRUE OPTIMAL GEOMETRY, TO FIND TRUE OPTIMAL GEOMETRY, TOTAL ENERGY E, LENGTH L
 - OBTAIN $\mu = -|E_{COHESIVE}|$ FROM BULK CALCULATION, CALCULATE STRING TENSION $f = (E - \mu N)/L$
 - RELAX TO FINAL STRUCTURE WITH MINIMAL F

PLOT F(N) VERSUS "RADIUS" $R = \sqrt{N/\pi\rho L}$



1. 20 1

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KONDO + TAKAYANAGI (2000)







OPTIMAL SURFACE ? OPT. PACKING ? RELATIONSHIP TO SURFACE RECONSTR ?



. *

LATERAL ATOM DENSITY IN NANOWIRE TUBE (AT./Å*)

(6,0)	0.1468	(REFÉRENCE)
(7,3)	0.1510	+ 2.9%
(8,4)	0.1577	+ 7.4%

BULK An	0.1387	
(III) PLANE		
(111) RECONSTR.	0.1450	+ 4.5%

SURF. PLANE



OVERALL PICTURE

(7,3)-115 BEST BECAUSE :

- LARGE OUTER TUBE LATERAL DENSITY: m=7 STRANDS IN STEAD OF 6, OR 5
- LARGEST LENGTH ↓ → SMALLEST RADIUS R
 → n = 3, INSTEAD OF 2, OR 1, OR 0



CONCLUSIONS

LONG LIVED NANOWIRES -> TENSION DIPS

CRYSTALLINE - "WEIRD" TRANSITION REALIZED IN GOLD : TUBES

SMALLEST TUBULAR GOLD NANOWIRE is (7,3)-1 : CHIRAL!

STABILIZED BY LOWERING OF S-KINETIC ENERGY (= SAME AS GOLD SURFACE RECONSTRUCTION)



DIFFERENCES PREDICTED FOR SILVER WHY ARE NANOWIRES (110)?

EFFECTS OF CHIRALITY ON ELECTRONIC STRUCTURE ? NANOWIRE INDUCTANCE?



TIP-NANOWIRE JUNCTION?

FURTHER ISSUES

WHY MOSTLY (110) NANOWIRES?

WHY iS TIP-WIRE JUNCTION ABRUPT?

HOW DO ATOMS MOVE ACROSS JUNCTION, FROM WIRE → TIP?

CHIRALITY?



String Tension and Stability of Magic Tip-Suspended Nanowires

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String Tension and Stability of Magic Tip-Suspended Nanowires

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Multishell helical gold nanowires were recently imaged by electron microscopy. We show theoretically that the contact with the gold tips at either end of the wire plays a crucial role and that local minima in the string tension rather than the total wire free energy determine the nanowire stability. Density functional electronic structure calculations of the simplest and thinnest coaxial gold and silver wires of variable radius and chirality were carried out. We found a string tension minimum for a single-tube gold nanowire that is chiral and consists of seven strands, in striking agreement with observation. In contrast, no such minimum was found for silver, where the s-d competition leading to surface reconstruction is lacking.

Recent transmission electron microscope experiments have provided unprecedented detail about the structure and evolution of necks, bridges, and wires formed between gold tips (1-4). It was found that gold (110)nanobridges, which possess a regular crystalline face-centered cubic (fcc) structure when sufficiently thick (1) or short (4), can transform at diameters below ~15 Å into regular but noncrystalline wires several nanometers long, hanging between tips. These nanowires appear to form mostly discrete, multishell structures of specific "magic" sizes, and each tube consists of a triangular sheet folded cylindrically onto itself (3). These structures are exciting for many reasons. Wires represent a novel organization of matter, similar but not identical to clusters, which is yet to be fully understood (5). The structural transition from crystalline (fcc) to noncrystalline nanowires, including chiral ones for decreasing radius, confirms an earlier theoretical prediction (6). Nonetheless, the detailed stabilization mechanism leading to the observed chiral gold structures is unknown. Also, whereas nonequilibrium necks and their nanosecond evolution have been relatively well explored, particularly through simulation (7-10), the long-lived magic wires (which last for seconds at room temperature) are novel and not easily within the reach of simulation. The connection between thermodynamical, geometrical, mechanical, electronic, and ballistic conductance properties in these nanowires calls for a fresh approach.

The equilibrium state of an N-atom isolated cluster minimizes conventional free energy F (at zero temperature, this equals the total

energy E). A free wire is a cluster of very large extension along one direction only and generally does not represent an equilibrium state because F can be lowered by transformation to a roughly spherical cluster, whose surface area is smaller by a factor of the order of $(9R/2L)^{1/3} \ll 1$, where L is the wire length and R is its radius [we will henceforth conventionally take the wire radius to be R = $(N/L\pi\rho)^{1/2}$, where N is the number of atoms and ρ is the bulk atom density]. However, our aim here is not to describe a free wire, but rather to describe a tip-suspended wire, that is, a long wire $(R \ll L)$ in contact with bulk-like tips, with which it exchanges atoms. Imagine drawing a nanowire of constant radius R by pulling tips apart from length zero to L. If μ is the bulk chemical potential and F is the wire free energy, the positive work done in drawing the wire out of the tips is (F $-\mu N$), or per unit wire length

$$f = \frac{F - \mu N}{L} \tag{1}$$

f is a force that describes the generalized wire string tension that a tip-suspended wire will seek to minimize. To get a feel for this quantity, we can set roughly $F = \mu N + \gamma 2\pi RL$, where γ is a surface tension, leading to $f = 2\pi R\gamma$; the wire tension f(R) generally in-





creases with radius and has its absolute minimum at R = 0. The decrease in tension with shrinking radius is the driving force behind spontaneous wire thinning (11), which takes place by depletion of atoms from the nanowire to the tips, eventually leading to wire breaking. However, the tension f(R) may also possess local minima, corresponding to favorable nanowire structures (Fig. 1). These minima signify long-lived metastable states, and we propose that they correspond to the magic observed nanowires. We thus explored a wide spectrum of free wire structures and calculated f(R) for each, seeking the local minima. These minima should correspond to observed magic structures in all cases where an exchange of atoms between the nanowire and the tip is effectively realized within the time scale of the experiment.

For a first implementation of this method, we chose the thinnest and therefore simplest among the magic, noncrystalline gold nanowires observed in (3). According to Kondo and Takayanagi (3), magic nanowires consist of successive tubes, where each tube is formed by a triangular (111) lattice sheet that is folded onto itself to form a cylinder, similar to carbon nanotubes (12). The thinnest magic nanowire consists of a single tube and a central strand. We denote by (m, n) a tube consisting of m close-packed strands forming a maximal angle ranging from 30° (n = 0) to 0° (n = m/2) with the tube axis. As shown in Fig. 2, the tube unit cell is given by the vectors (orthogonal and parallel to the wire axis) $(ma_1 + na_2)$ and $(pa_1 + qa_2)$ with



Fig. 2. Cylindrical folding of a triangular lattice for an (m, n) tube, with views of several coaxial tube nanowires. Each atom is pictured as a sphere of atomic radius. The (7, 3) gold nanowire (note its chirality) was reported to be magic in (3).

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q/p = (2m-n)/(m-2n) and $n \le m/2$. All tubes other than (m, 0) and (m, m/2) are chiral, and (m, n) and (m, m-n) are mirror images of one another. At constant m, the wire radius, proportional to $(m^2 + n^2 - mn)^{1/2}$, shrinks for increasing n, as strands progressively align with the axis. The total atom number per cell is $N = 2(m^2 + n^2 - mn) + q$ (q atoms make up the central strand).

We considered infinitely long (m, n) nanowires (the effect of tips is included by Eq. 1) ranging from (5, n) to (10, n) and carried out the following protocol: (i) rough structural optimization with Lennard-Jones (LJ) potential; (ii) further structural refinement with a realistic embedded atom potential (13); (iii) full density functional calculation of electronic structure, total energy E, and string tension f (zero temperature); (iv) final structural refinement to minimize the calculated string tension; and (v) plotting the resulting optimal tension f against radius R and searching for magic nanowires as local minima of f(R).

The result of this procedure for gold is shown in Fig. 3 (for each m value, only the largest and smallest n values are shown, for simplicity). The chiral (7, 3) nanowire (m = 7, n = 3; p = 1, q = 11; L = 28.54 Å; N = 85;R = 3.92 Å; and the actual tube radius is 2.78 Å) (14) exhibits the lowest tension and should thus be magic. The agreement of this theoretical result with the smallest observed magic nanowire (3) suggests that this theory should be extendable to the thicker magic nanowires. It should be stressed that minimizing tension instead of energy is crucial. In the present case, Ewould be lowest for the (8, 4) wire (which is, moreover, unstable against a crystalline form marked "rhombic" in Fig. 3), whereas f is lower for the (7, 3) wire because of a smaller radius



Fig. 3. Calculated (22) string tension ($1 \text{ eV}/\text{\AA} = 1.6 \text{ nN}$) of tip-suspended gold nanowires at zero temperature (only the largest and smallest *n* values are shown). The minimum demonstrates why the (7, 3) nanowire is magic. The calculations were carried out for infinite tip-free wires, with structure relaxed to minimize string tension (Eq. 1), starting from initial wire geometries obtained by Voter's potential; $\mu(\text{Au}) = -4.401 \text{ eV}$ was obtained from a separate bulk calculation.

and larger length L [length is proportional to $(p^2 + q^2 - pq)^{1/2}$].

Our approach also allows a full electronic characterization of the nanowires. The band structure may give important clues about the stability of magic wires, which could, for example, be connected to electronic shell closing, as in the case of sodium wires (15); the band structure also provides the number of conducting channels for ballistic transport. As Fig. 4 shows, there is no electronic shell closing in any of the (6, 0), (7, 3), and (8, 4)nanowires. The Fermi level is crossed by six, six, and eight conduction bands, respectively, as expected for cylindrical free-electron ("jellium") tubes of increasing radii. Moreover, all chiralities are equally metallic, unlike carbon nanotubes (12). We are thus left with the nontrivial task of uncovering the microscopic physical explanation for the stability of the magic nanowires.

We considered packing. For hard spheres, only $5 \le m \le 8$ may provide good contact. We find that the optimal LJ nanowire is in fact (5, 0), the same wire as B4, found in earlier simulations for aluminum (6) and a sort of analog of the 13-atom icosahedral cluster (16). Why does the gold wire include, in the outside shell, two more strands and become chiral on top of that? A clue may be surface reconstruction: the (111) topmost layer in fcc gold has a 4.5% higher lateral density (atom density on the tube) than all other layers (17). We find that the lateral density is 0.151 Å⁻² for the (7, 3) wire, 2.9% higher than 0.147 Å⁻² of the (6, 0) wire and 11.9% higher than 0.133 $Å^{-2}$ of the (5, 0) wire. Thick, crystalline gold (110) nanowires have also been shown to reconstruct (1). It is thus tempting (5) to envisage the magic (7, 3) wire as the result of a kind of "wire reconstruction." Gold surface reconstruction (17) is related to s-d competition in bulk cohesion (18), where a large positive 6s Fermi pressure compensates a corresponding negative 5d pressure. At the surface, the 6s pressure can be released otherwise, for example, by relaxation of the first layer, leaving the 5d negative pressure uncompensated and free to force an increase of the lateral density. We tested whether this scenario is pertinent to the nanowires in two ways. First, we compared the calculated 6s Fermi energies of the three prototype wires [(6, 0), (7, 3), and (8, 4)] (Fig. 4) and obtained 9.12, 8.58, and 8.18 eV, respectively, indicating a clear decrease, as expected in the reconstruction picture. Second, we repeated the whole calculation protocol for another metal that does not reconstruct, namely silver (18). The (7, 3), (6, 0), (6, 3), and (5, 0) tensions are now indistinguishable in silver (Fig. 5), in line with expectations based on silver's weaker reconstruction tendency. In conclusion, both tests support the reconstruction mechanism behind the stabilization of the (7, 3) gold nanowire. It seems likely that this kind of physics will also be relevant to the thicker magic wires (3) not addressed here.

One last feature to be discussed is nanowire handedness and chirality. The possible occurrence of nanowire chirality was highlighted in earlier work (6), where, incidentally, a (7, 3) tube was first found by simulation (the inner tube of lead wire B7). In gold's (7,



Fig. 5. String tension calculated for tip-suspended silver nanowires, using the same protocol as was used for gold (22); $\mu(Ag) = -3.402$ eV. All wires from (5, 0) to (7, 3) are now degenerate, reflecting a reduced propensity to reconstruct and to yield a magic coaxial nanowire.



Fig. 4. Electronic structure of selected gold nanowires. There is full metallicity in each case, and the decreasing width of the 6s (or s and p) bands from (6, 0) to (7, 3) to (8, 4). Solid (open) circles mark single (doubly degenerate) Fermi crossings, indicating six, six, and eight conducting channels, respectively. Upper inset in the (7, 3) panel is a magnified detail of the bands crossing the Fermi level (the vertical scale is from -0.5 to 0.125 eV).

3) wire, chirality appears as a by-product of reconstruction (requiring a large number m =7 of strands per tube) and of tight fitting of the central strand inside the tube, which requires that the radius $(m^2 + n^2 - mn)^{1/2}$ be minimized and thus that n be as high as possible (here, n = 3). It seems again likely that this mechanism could carry over to the thicker magic nanowires; because of high string tension, the wires with the largest n and with small R and large L values should again prevail. The largest n for odd m is (m-1)/2, and this leads to chirality, in agreement with model structures presented for outer tubes with m = 11, 13, and 15(3).

Experimental consequences of nanowire chirality should be interesting to pursue. Properties attributed to nanowire chirality were pointed out in (19) and (20). Direct calculation of the helical current density that is connected with each of the conducting channels of the (7, 3) wire, based on our wave functions, is possible. Whether the joint effect of all channels would be such as to yield a measurable inductance as in a kind of nanosolenoid (21) is an open question, presently under consideration.

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ed pseudopotentials [local s potential and core radii (in bohr), respectively, for 4d (1.8 and 2.4 ultrasoft radius) and for 5p (2.5)] derived as in work by C. Kresse and J. Hafner [*J. Phys. Condens. Matter* 6, 8245 (1994)]. Kinetic energy cutoffs for wave functions and charge density is 25 and 200 rydbergs, respectively, for silver and gold. Spacing between one-dimensional k_z points is 0.147 Å⁻¹ (k_z is the wave vector along the wire axis).

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