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**abdus salam**  
international centre for theoretical physics

**ICTP/UCSB/TWAS**  
**MINIWORKSHOP ON "FRONTIERS IN MATERIALS SCIENCE"**  
**15 - 18 May 2001**

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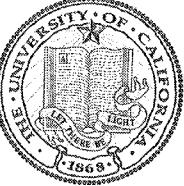
*"High-Temperature Thermally-Insulative Coatings"*

C.G. LEVI  
Materials Department  
UCSB  
USA

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*Please note: These are preliminary notes intended for internal distribution only.*





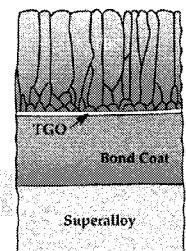
# High-Temperature Thermally-Insulative Coatings

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*Presented at the*  
ICTP/UCSB/TWAS Workshop on  
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S. Chiras, A. Karlsson, D. Mumm, A.G. Evans

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*Laboratories*

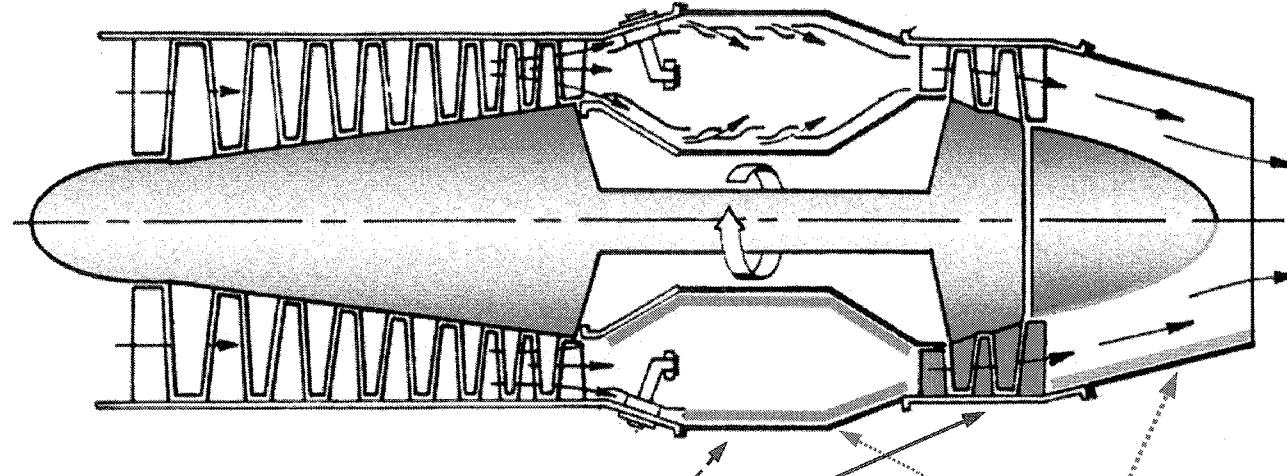
E. Fuller (NIST), O. Sudre (RSC), R. LeSar (LANL), U. Schulz (DLR)

*Industry*

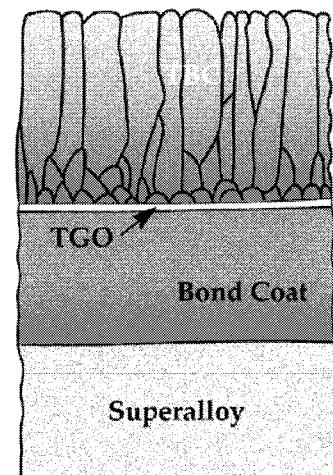
D. Wortman (GE-CRD), R. Darolia (GE-AE), M. Maloney (P&W)  
J. Goedjen (SWPC), Z. Mutasim (Solar Turbines)  
K. Murphy (Howmet), A. Feuerstein (Praxair)

# Advanced Thermostructural Materials

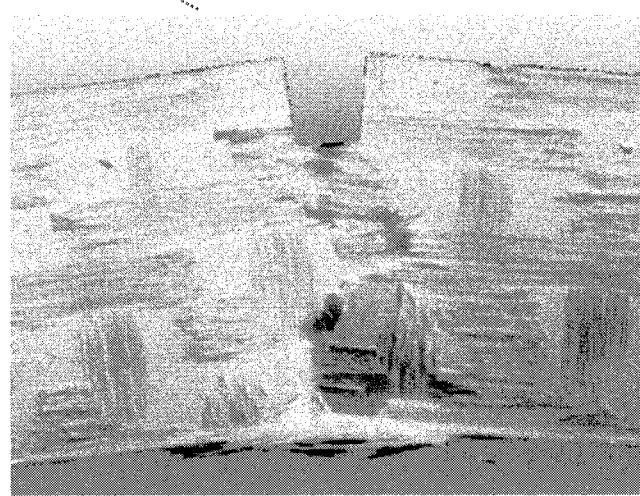
Research  
at  
UCSB



EB-PVD  
Thermal  
Barrier  
Coatings

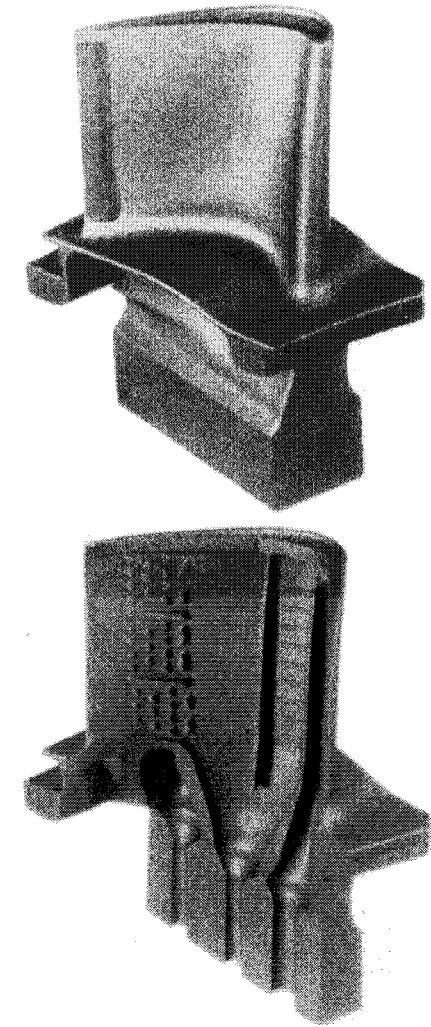
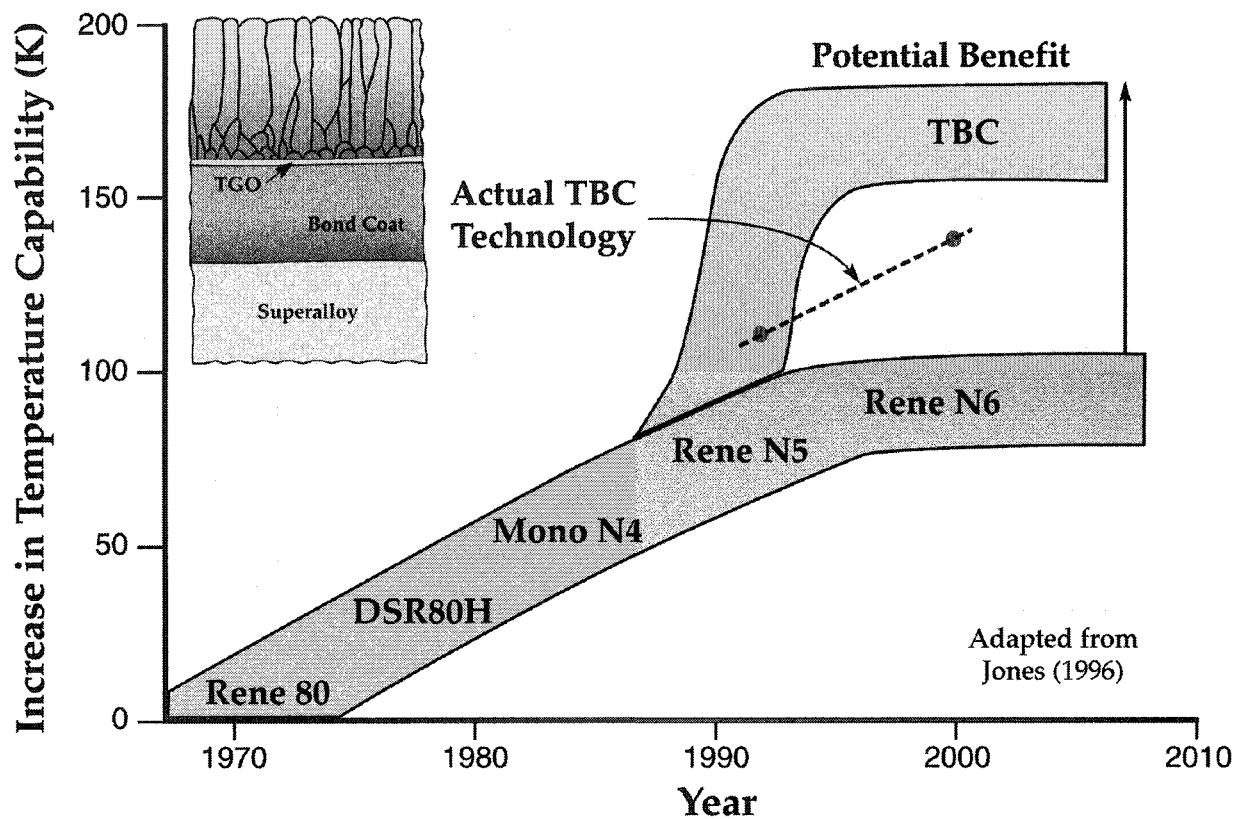


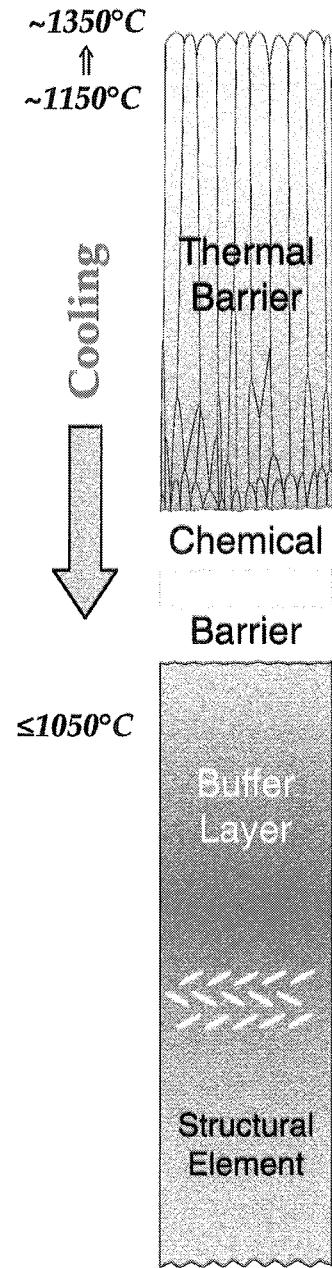
All-Oxide  
Porous  
Matrix  
CFCCs



## Benefits of Thermal Barrier Coatings

- Increase temperature capability of component
- Extend life and/or reduce cooling air





## Anatomy of a TBC System

### *The Thermal Barrier: 7YSZ*

- Low conductivity ( $k \leq 1 \text{ W/mK}$ )
- Strain Tolerant
- Morphologically stable
- Erosion and Corrosion Resistant

### *The Chemical Barrier: Alumina (TGO)*

- Low growth/diffusion kinetics
- Corrosion resistant
- Adherent over life of coating

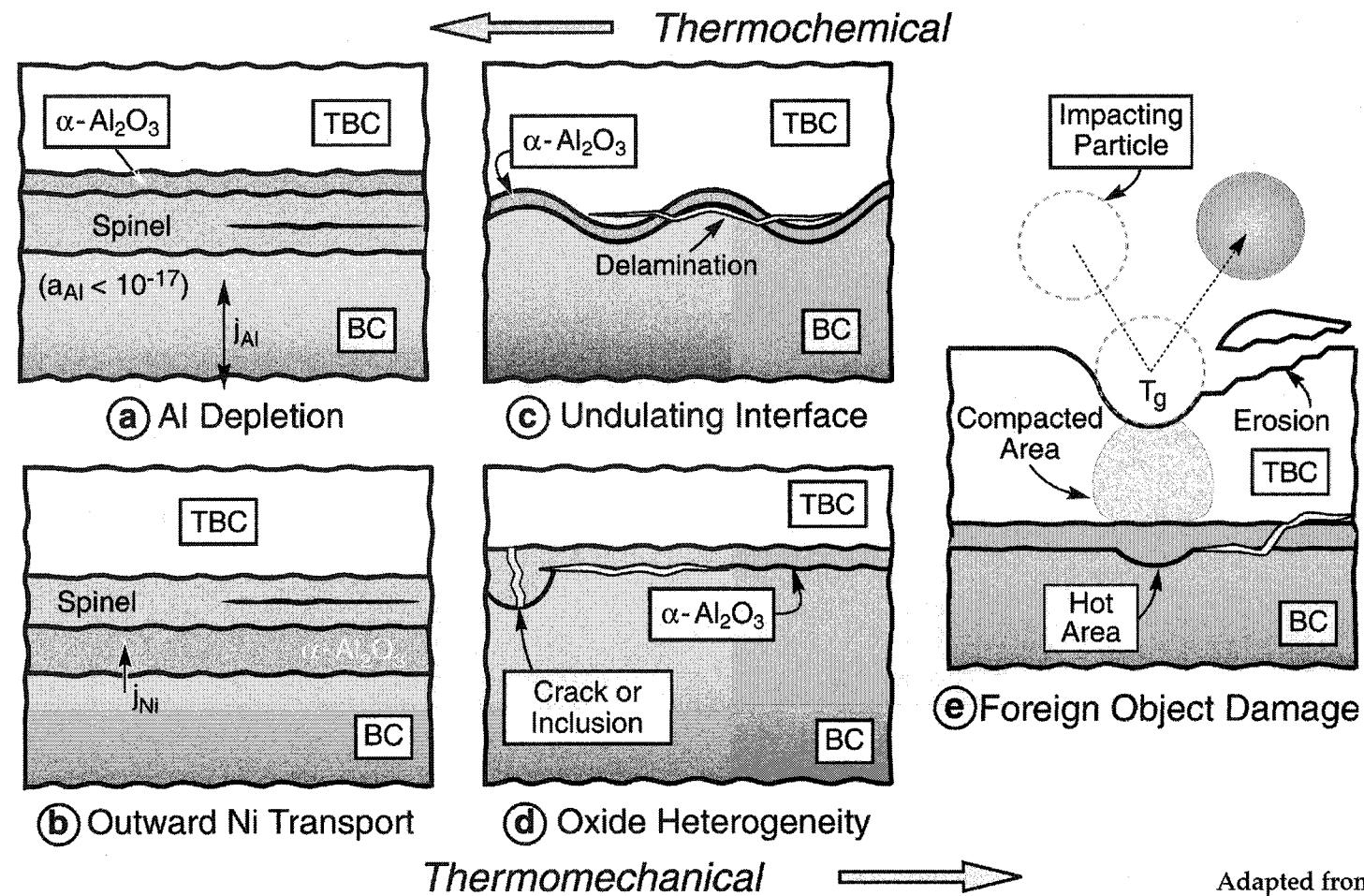
### *The Buffer Layer: Aluminide*

- Sufficient Al to maintain stable TGO
- Microstructurally stable
- Adequate creep/yield strength
- Adequate toughness

### *The Structural Element: Superalloy*

- Optimal mechanical properties
- Internally cooled

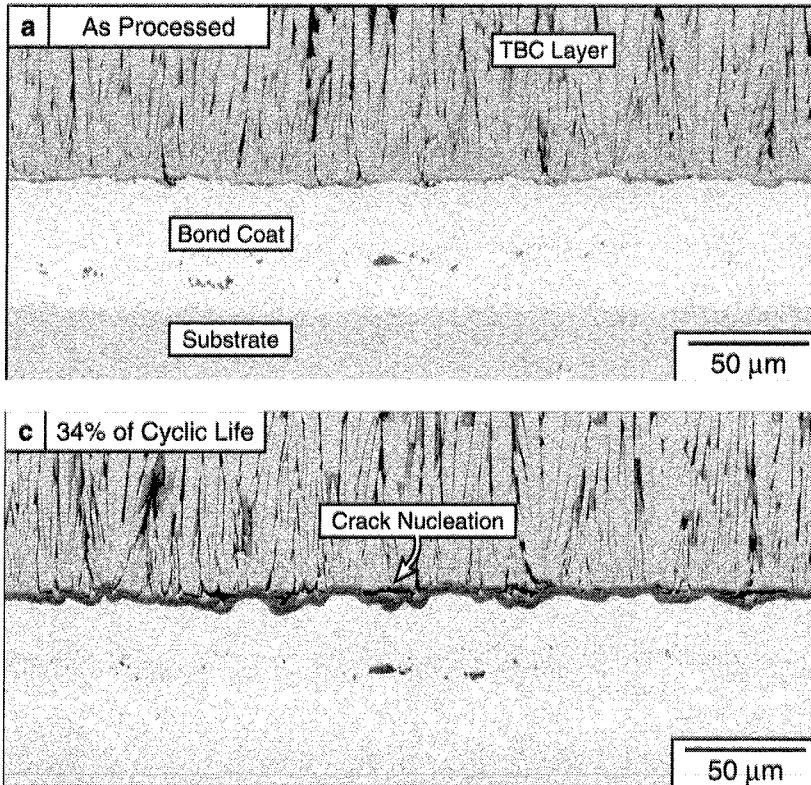
## Partial Menu of Mechanisms leading to TBC Spallation



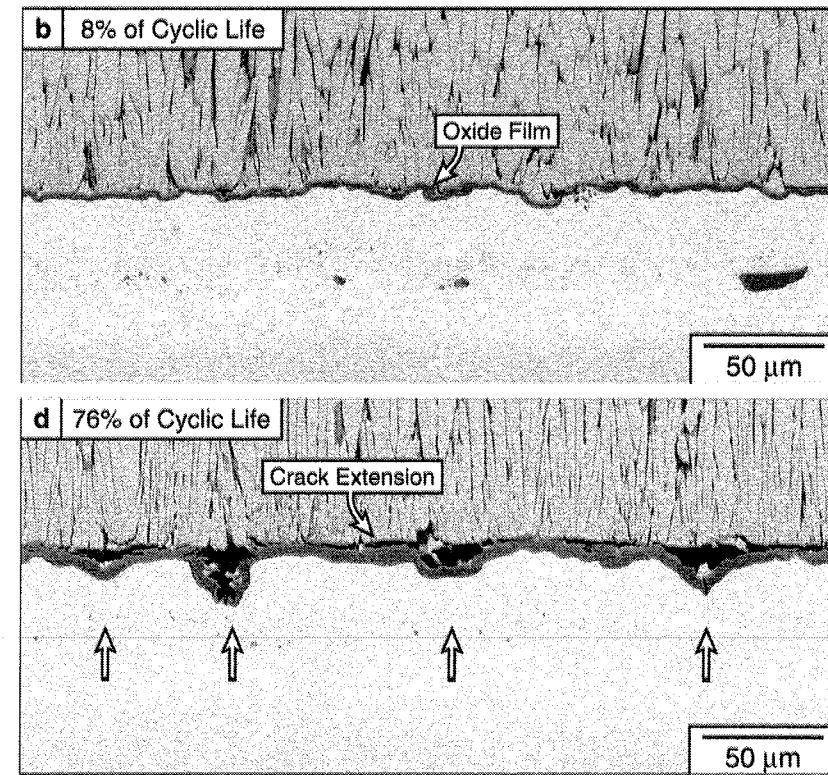
Adapted from A.G. Evans

Levi-05/01

# Evolution of Interface Morphology under Cyclic Oxidation

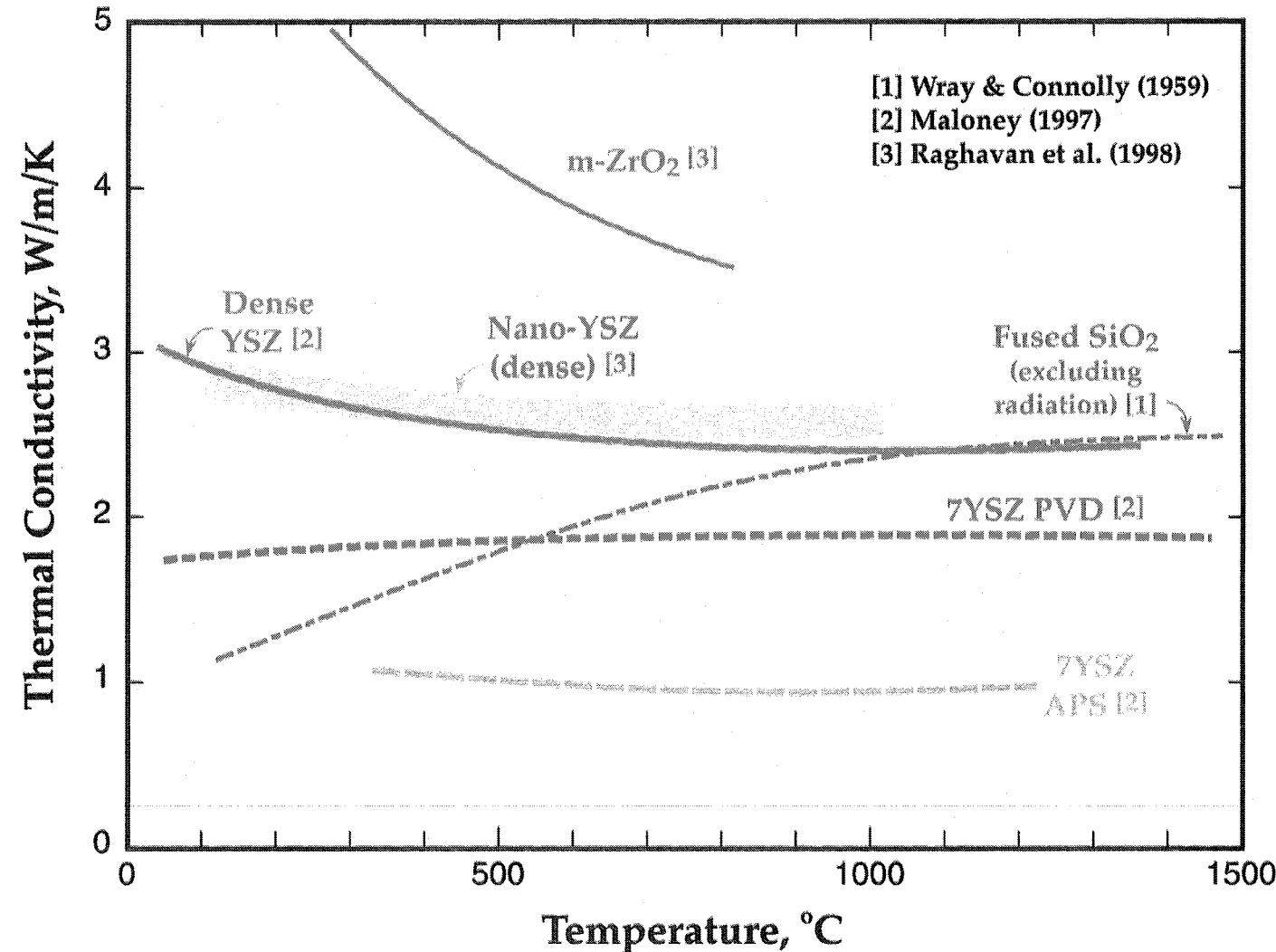


YSZ on (Ni,Pt) Al Bond Coat



Courtesy of D. Mumm  
(Princeton University)

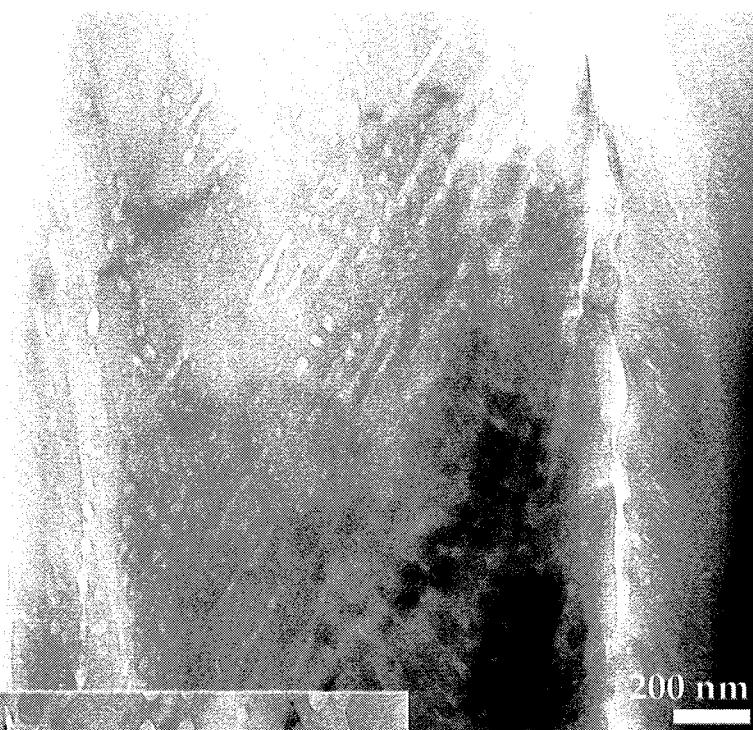
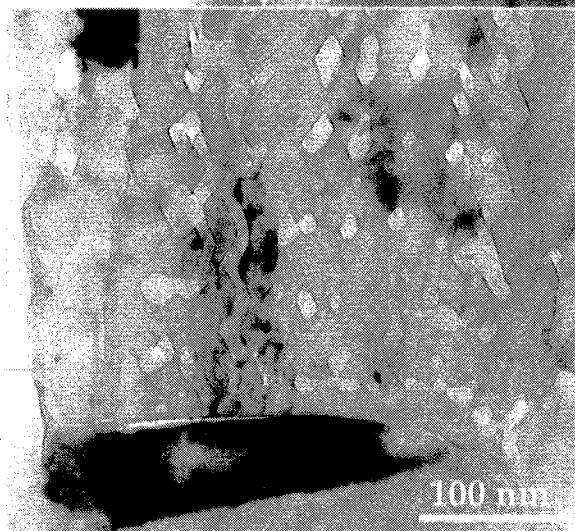
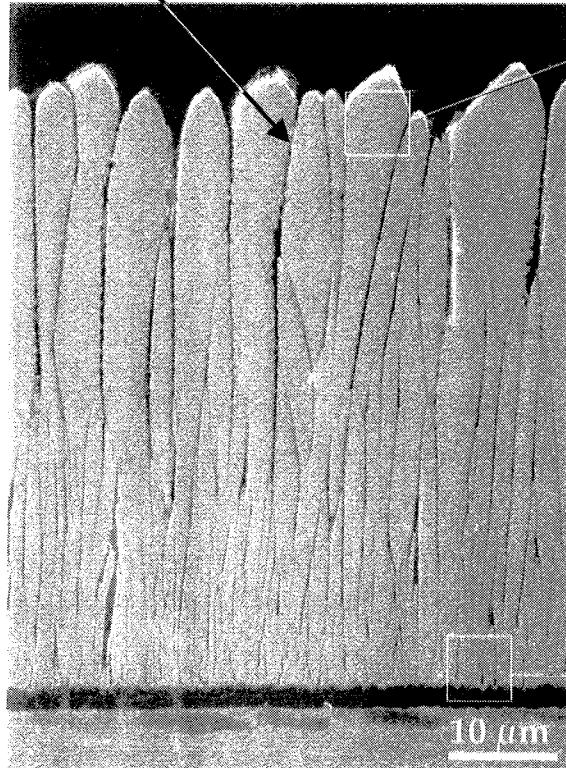
## Thermal Conductivity of $\text{ZrO}_2$ and YSZ



## Porosity: key to TBC Performance

Intercolumnar  
⇒ Compliance

Intracolumnar  
⇒ Insulation



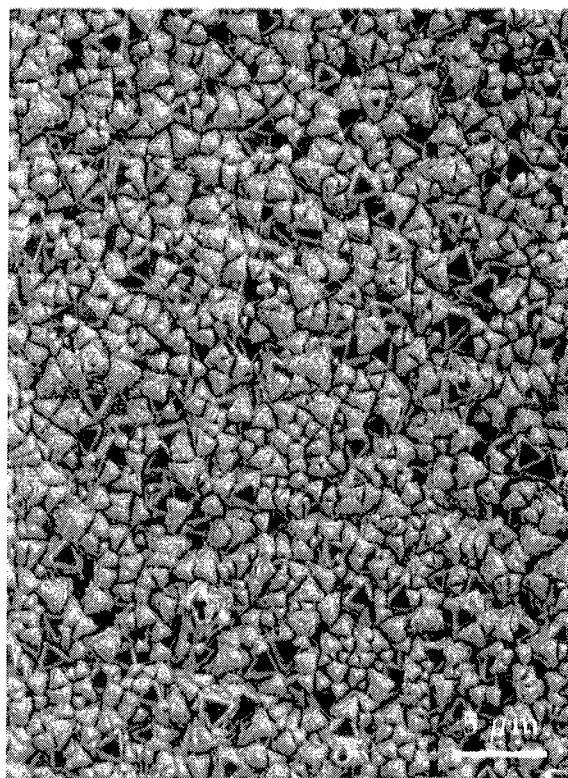
*Different scales of shadowing*

TEM on NiCrAlY  
courtesy of E. Sommer  
and M. Rühle  
MPI-Stuttgart

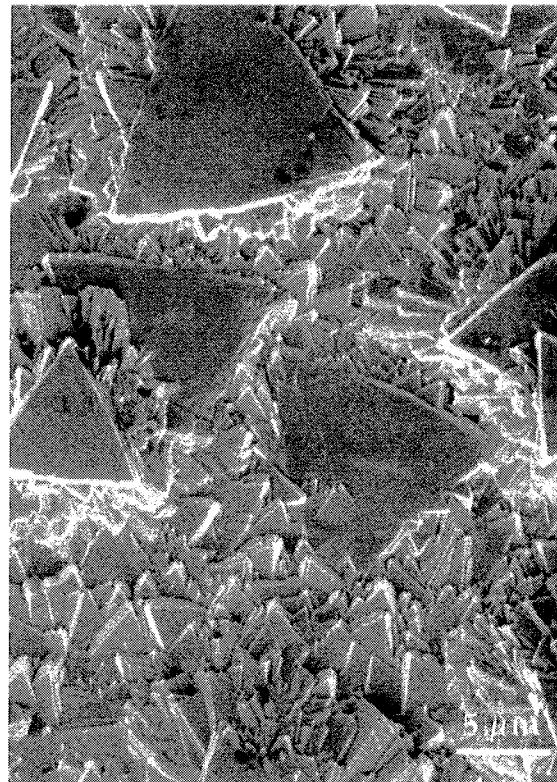
## Effect of Temperature on Surface Morphology and Texture



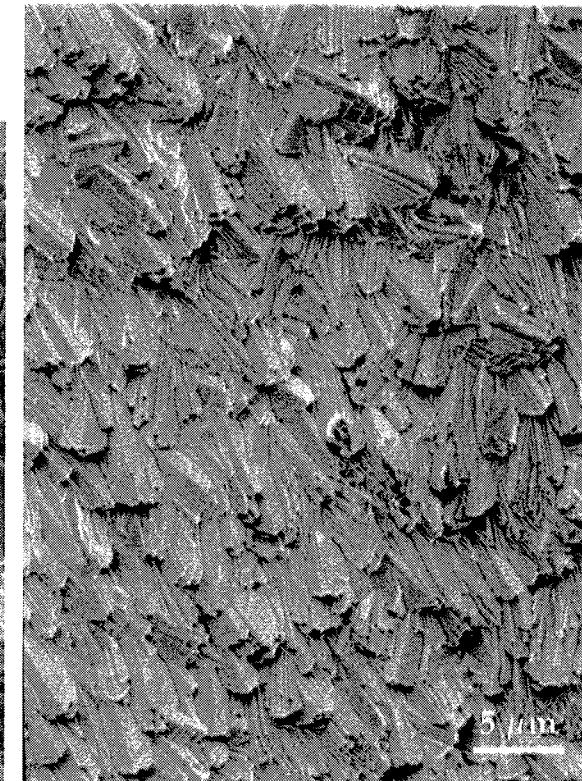
Stationary Substrates



900°C: Dominant  $\langle 111 \rangle$

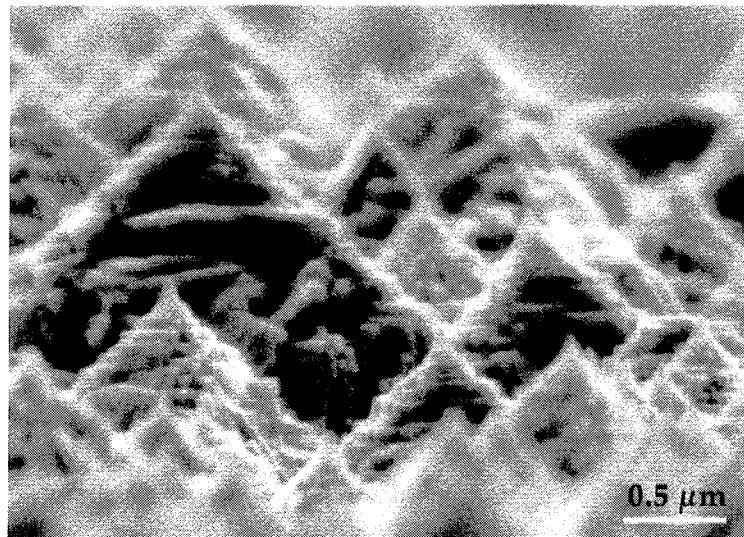
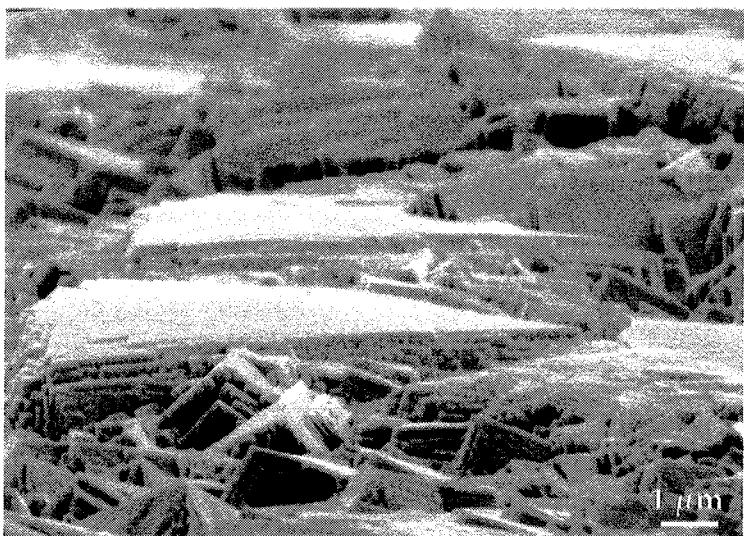


1000°C:  $\langle 111 \rangle > \langle 220 \rangle$



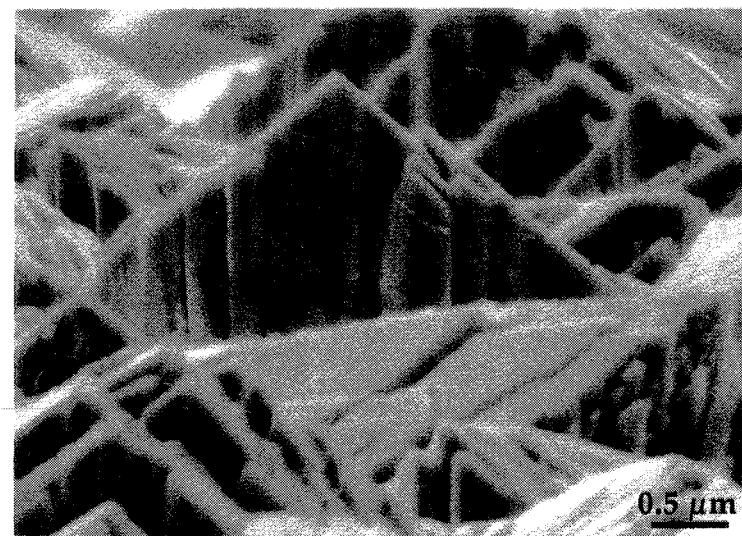
1100°C:  $\langle 220 \rangle > \langle 311 \rangle > \langle 111 \rangle$

Normal Vapor Incidence  
Pre-oxidized FeCrAlY Substrates

900°C: Dominant  $\langle 111 \rangle$ 1000°C:  $\langle 111 \rangle > \langle 220 \rangle$ 

## Effect of Temperature on Tip Morphology

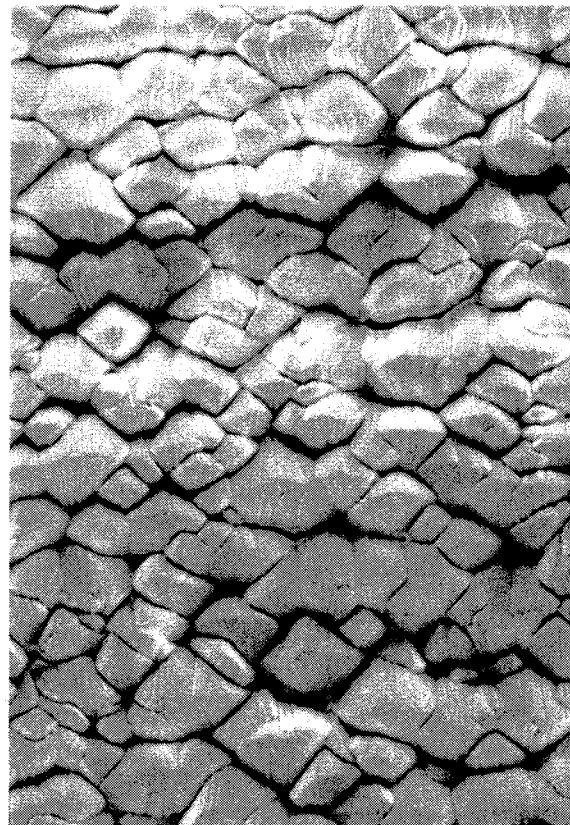
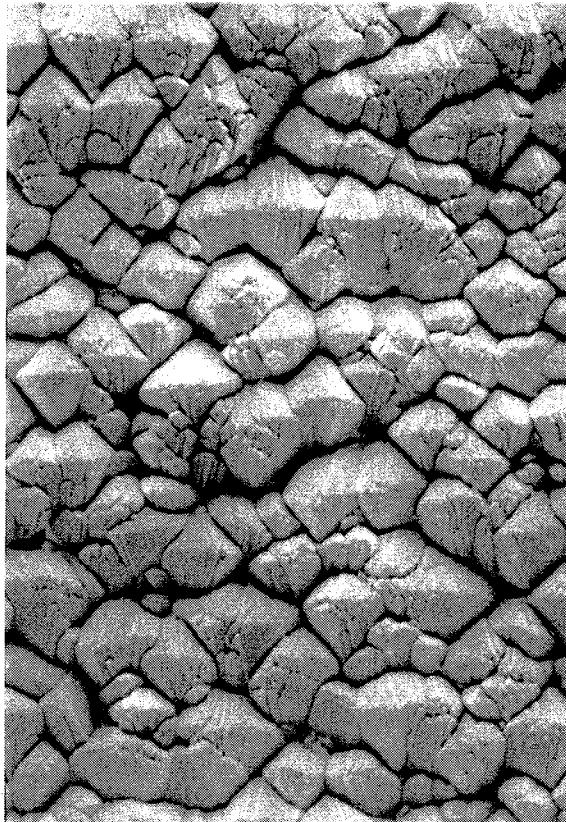
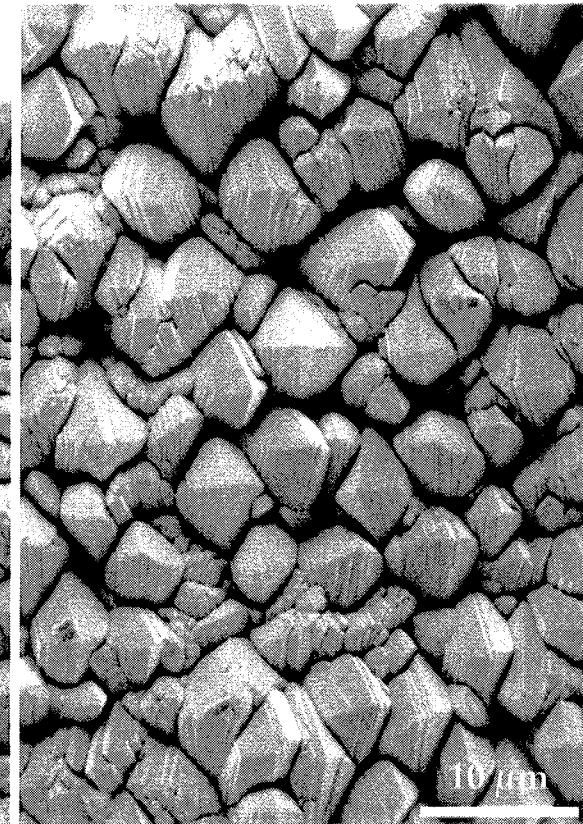
- Growth facets always  $\{111\}$
- “111” columns grow with  $\{111\}$  facet normal to axis in two distinct morphologies
- Other column orientations grow with facets oblique to axis.

1100°C:  $\langle 220 \rangle > \langle 311 \rangle > \langle 111 \rangle$

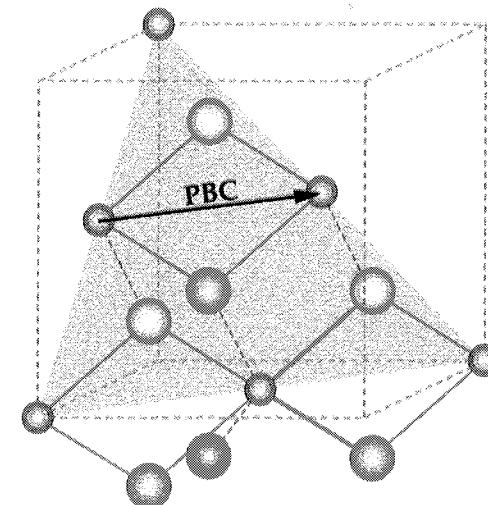
## Effect of Temperature on Surface Morphology and Texture



Rotating Substrates

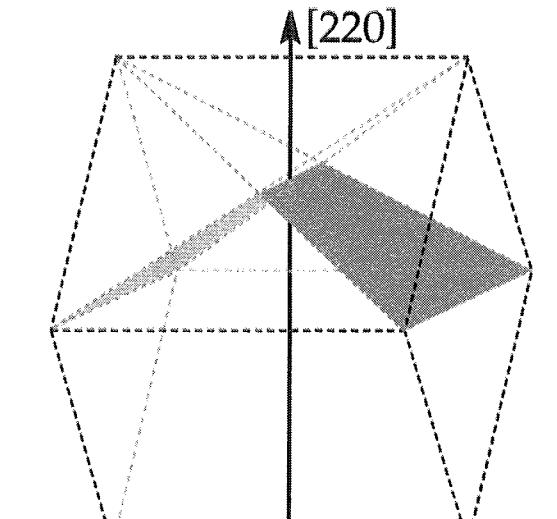
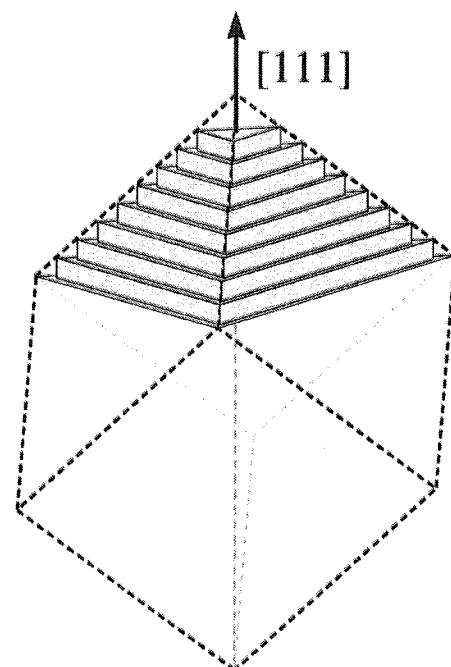
900°C:  $\langle 200 \rangle$ 1000°C:  $\langle 200 \rangle$ 1100°C:  $[002] + \langle 200 \rangle$ 

8 RPM,  $\sim 0.9 \mu\text{m}/\text{min}$   
(Flux  $\sim 3.2 \mu\text{m}/\text{min}$ )

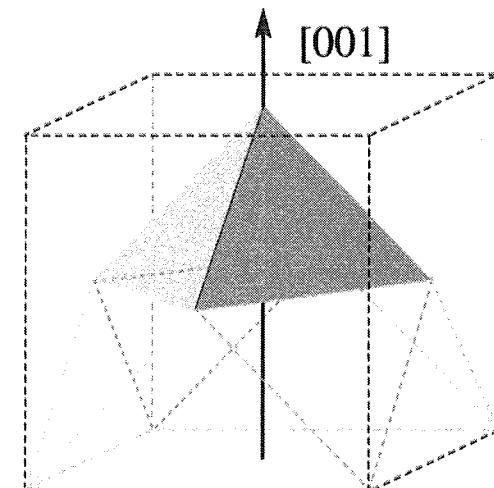


## Texture and Tip Configurations

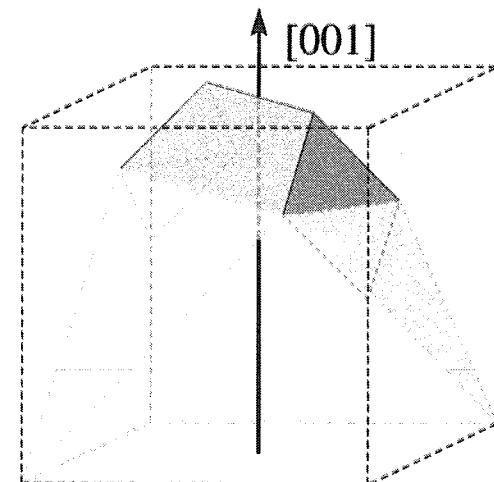
$\{111\}$  active growth facets,  
independent of texture.  
(Consistent with PBC analysis of  
fluorite, Hartman, 1972)

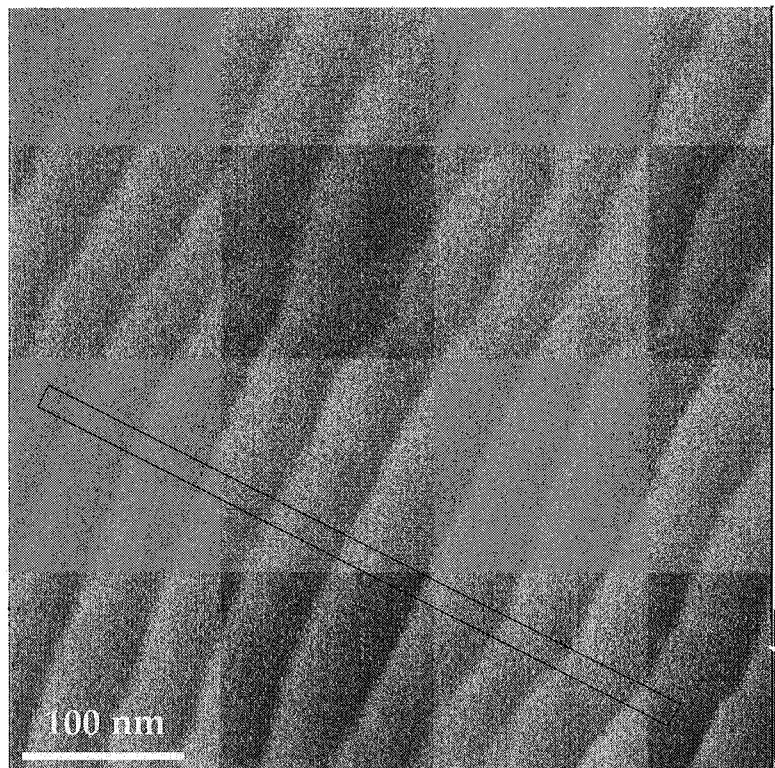


← Stationary Substrates →

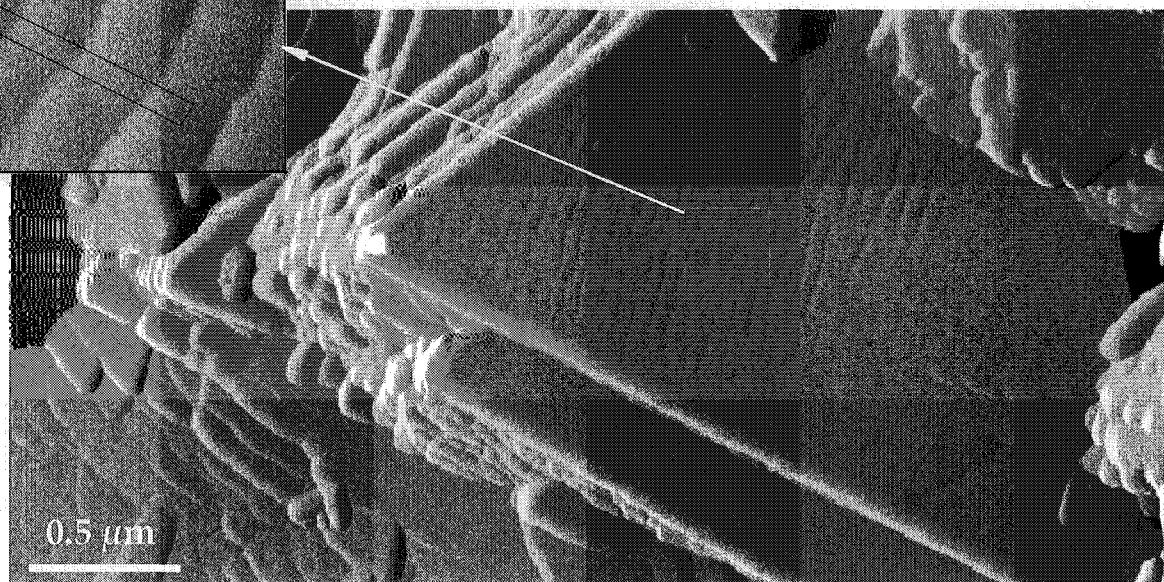


Rotating Substrates

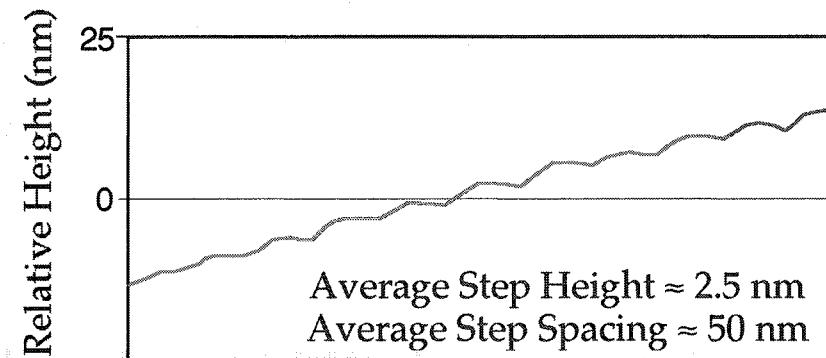




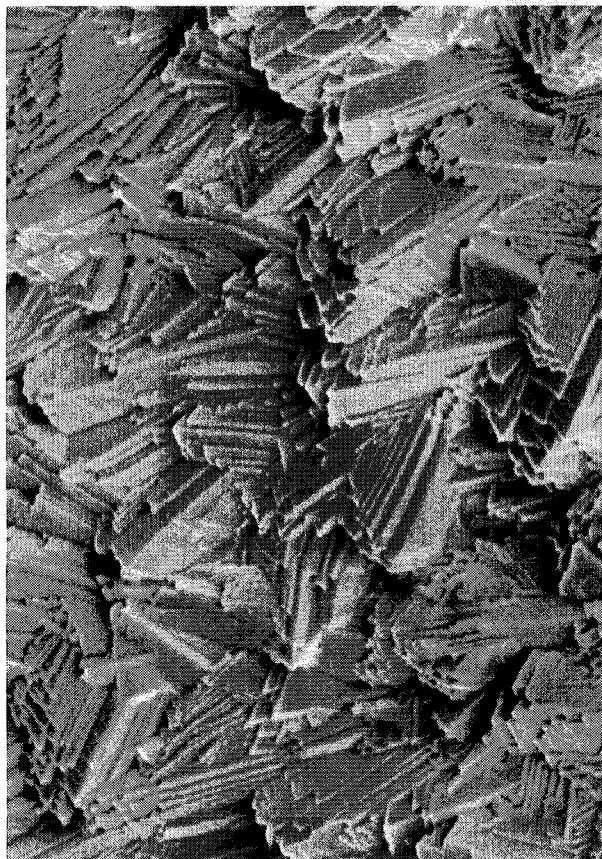
Stationary Deposition  
Normal VIA  
 $1000^{\circ}\text{C}$ ,  $1.1 \mu\text{m}/\text{min}$



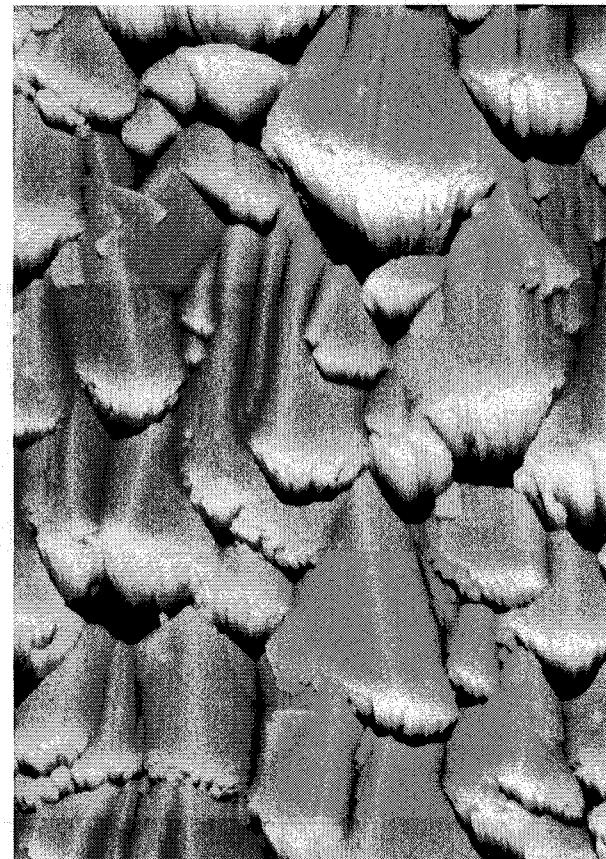
### Step Propagation on "Flat" (111) Facet



## In-Plane Texture Development Under Oblique Vapor Incidence

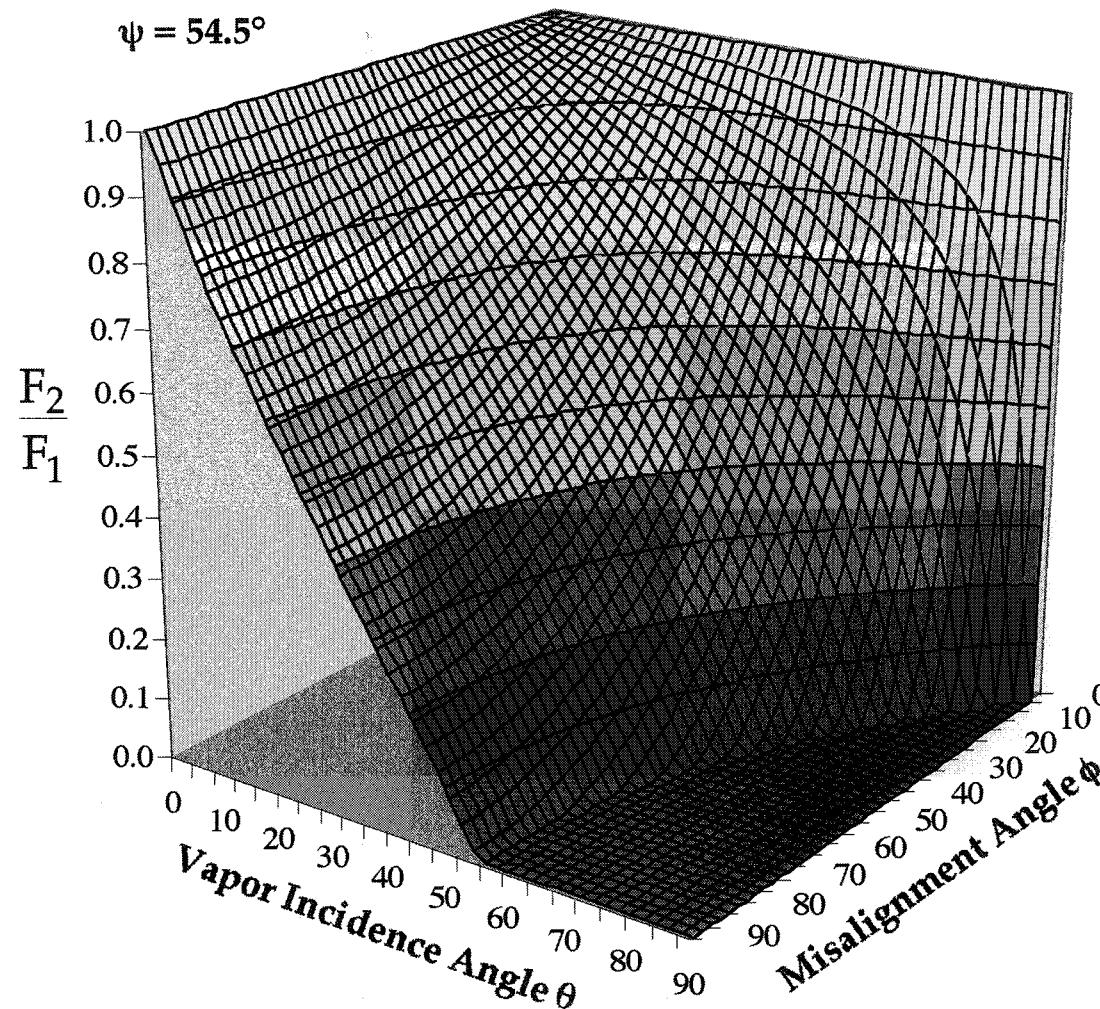


Normal VIA (0°)



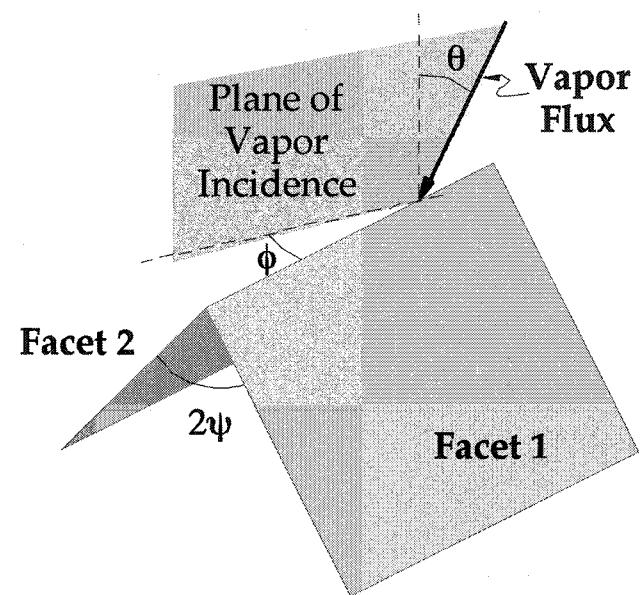
Oblique VIA (45°)

Stationary Deposition, 1100°C, ~1 μm/min

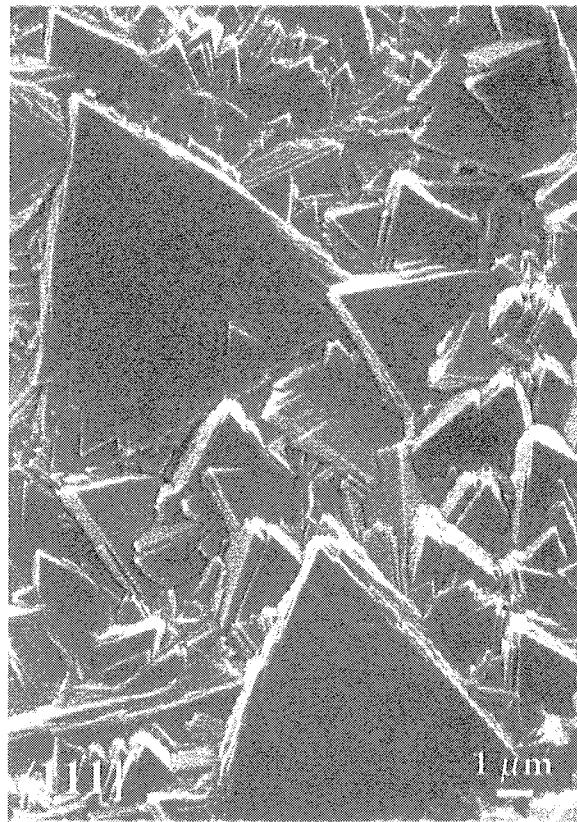
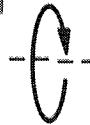


## Geometric Argument for Evolution of In-Plane Texture

- Ridge misalignment under oblique vapor incidence results in asymmetric growth of rooftop column tip and eventual elimination.

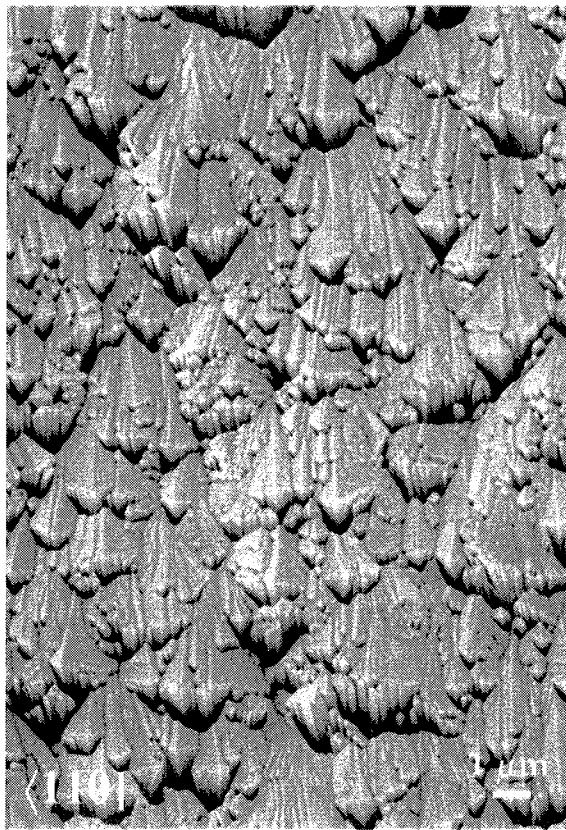


## Effect of Vapor Incidence Pattern on Column Tip Morphology

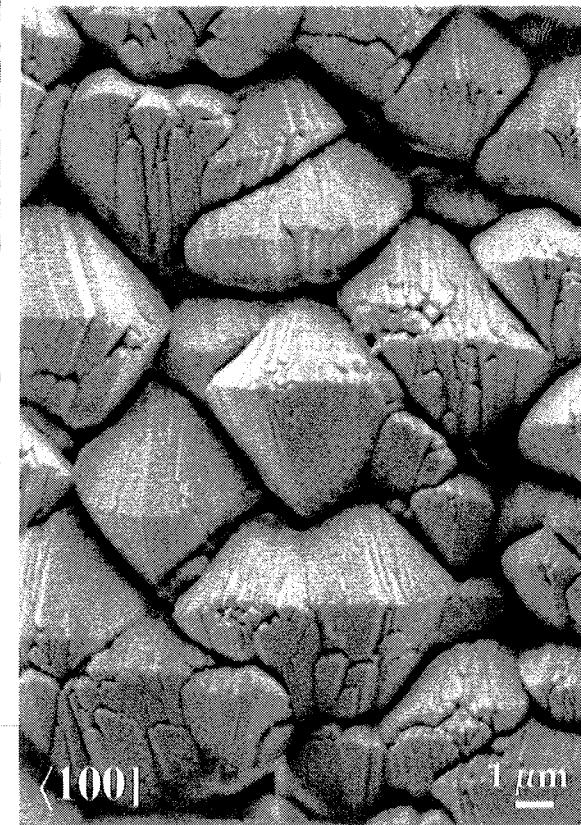


Stationary, Normal VIA

Deposition Temperature =  $1000^{\circ}\text{C}$   
Net Deposition Rate  $\approx 1 \mu\text{m}/\text{min}$

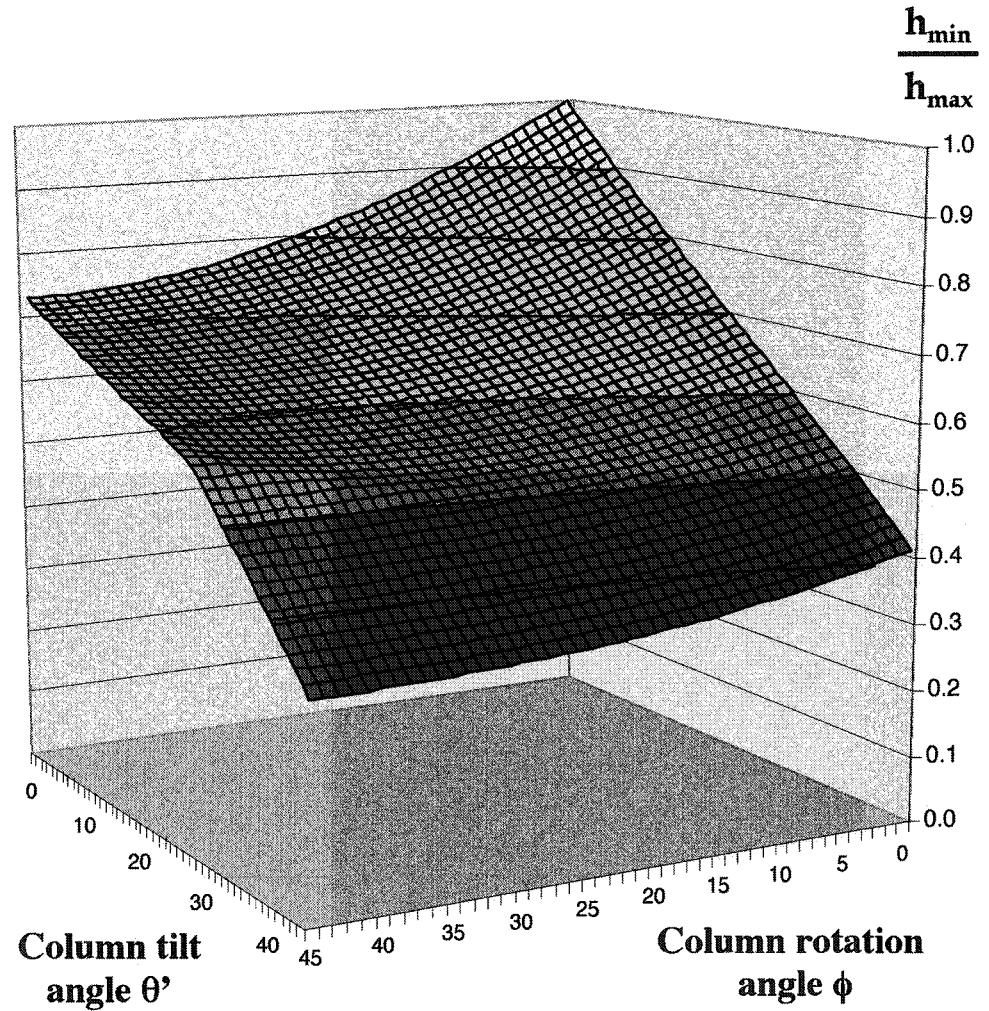
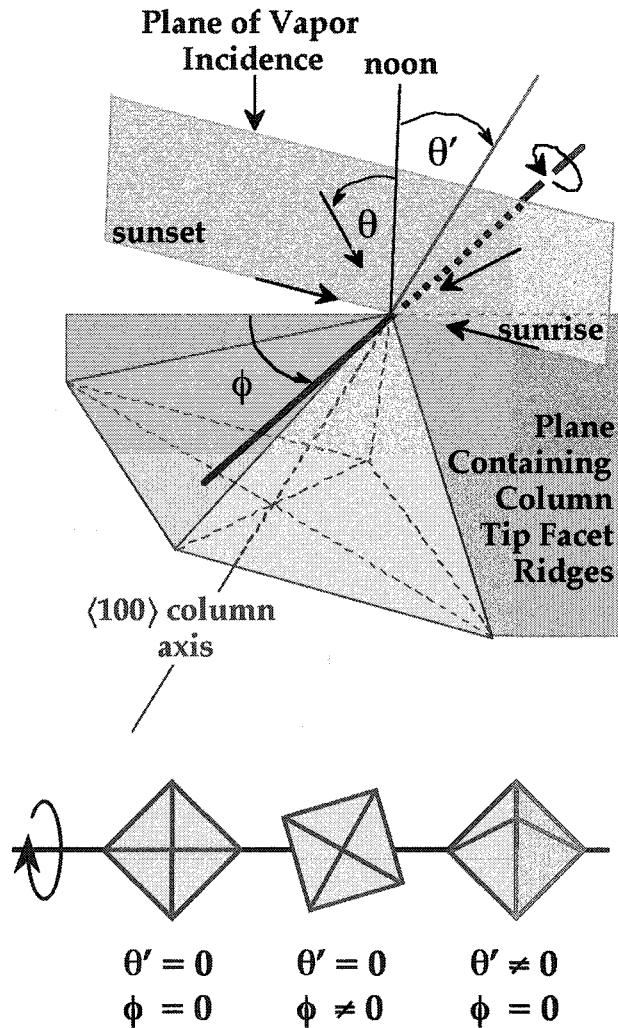


Stationary, 45° VIA



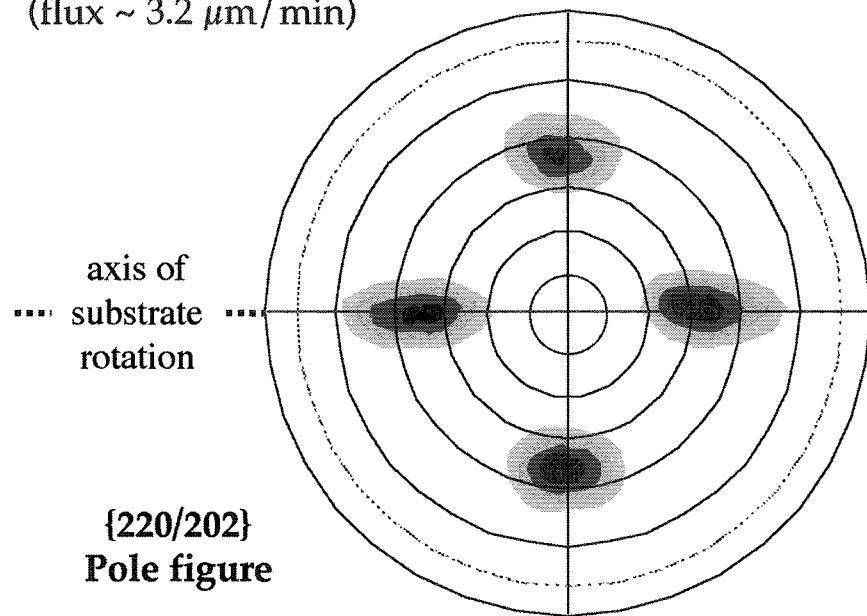
<100>  
1 μm  
Rotating, 8 RPM

# Selection of In-Plane Orientation upon Rotation

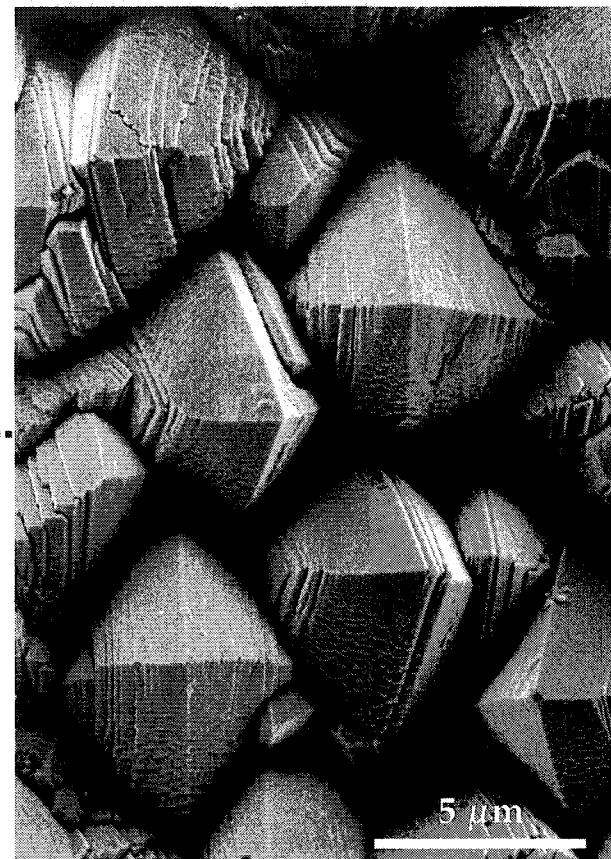


## Deviations from Dominant Texture

1100°C, 8 RPM  
~ 0.9  $\mu\text{m}/\text{min}$   
(flux ~ 3.2  $\mu\text{m}/\text{min}$ )



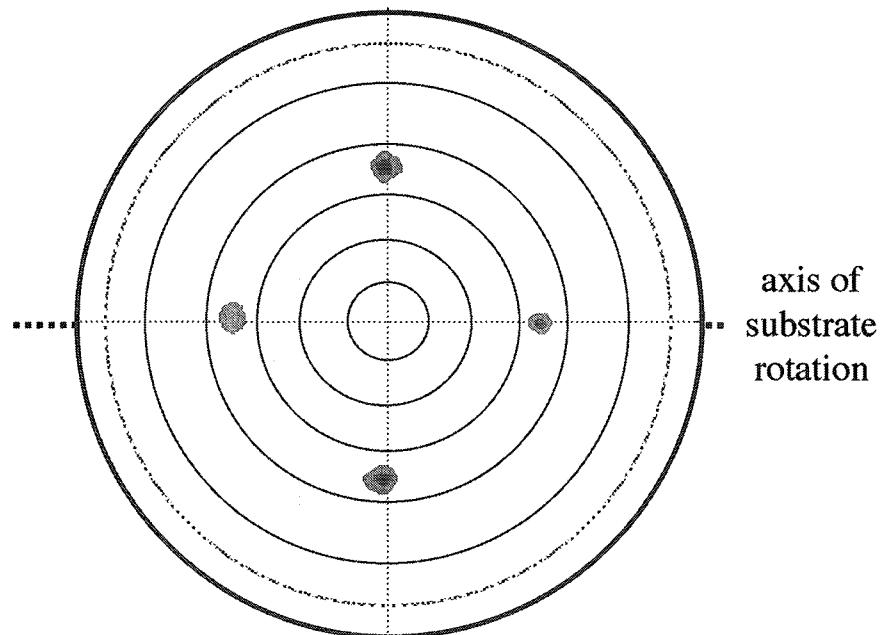
*Larger column orientation scatter  
along plane parallel to the rotation axis*



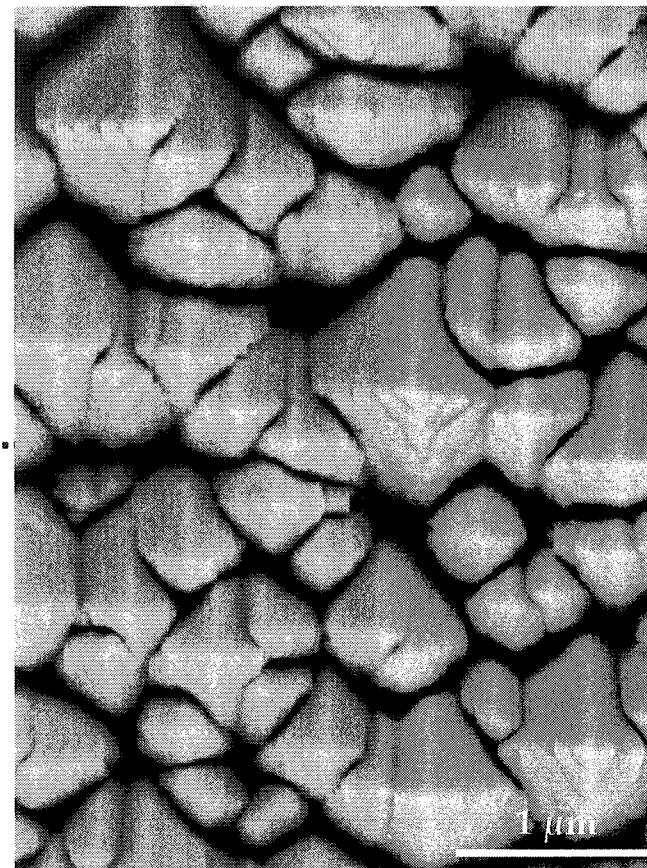
[002] + •200] Texture

## Texture Dispersion on Flat Sapphire Substrate

1000°C, 8 RPM, ~ 0.3  $\mu\text{m}/\text{min}$   
{220/202} Pole figure

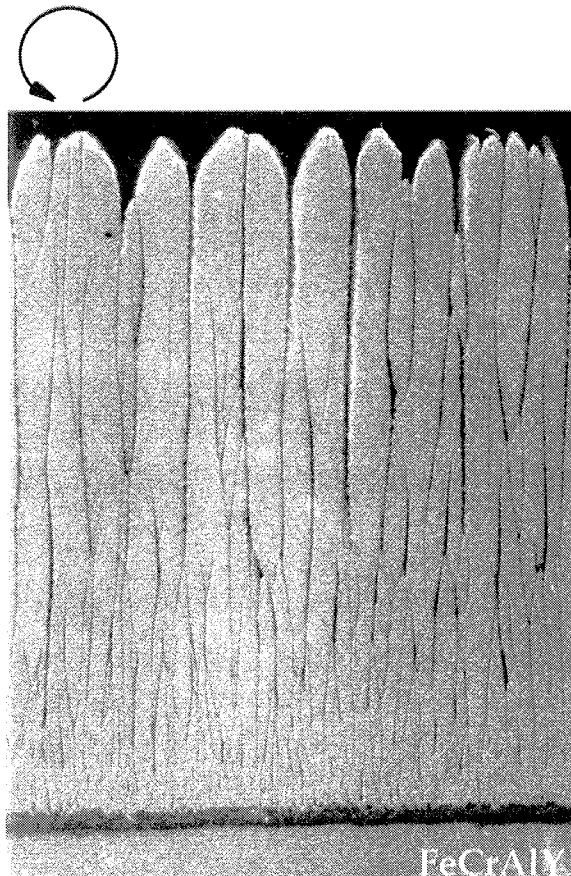


*Reduced scatter in column tip  
orientation and texture anisotropy*

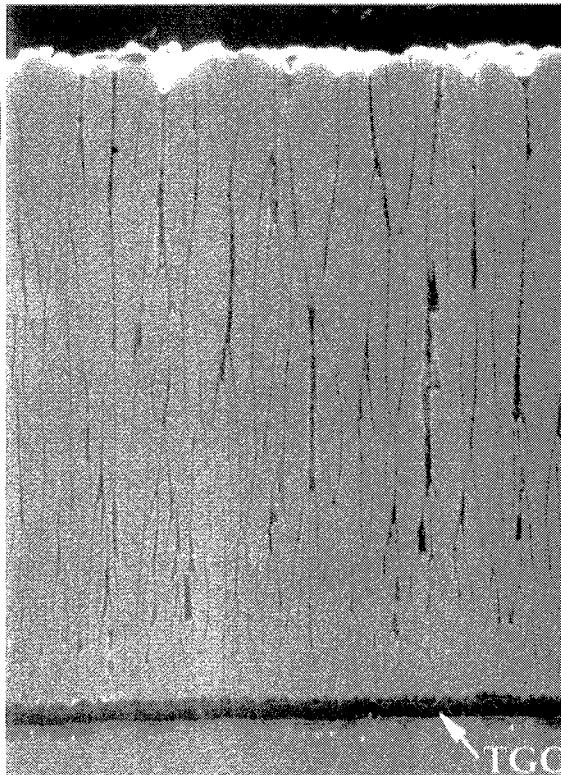


$\langle 200 \rangle$  Texture

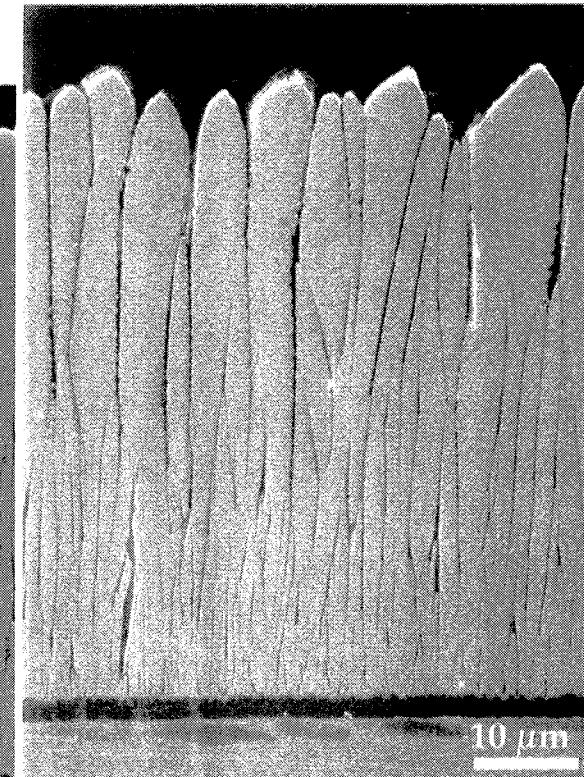
# Columnar Microstructures for Deposition under Rotation



900°C: Dominant ⟨200⟩

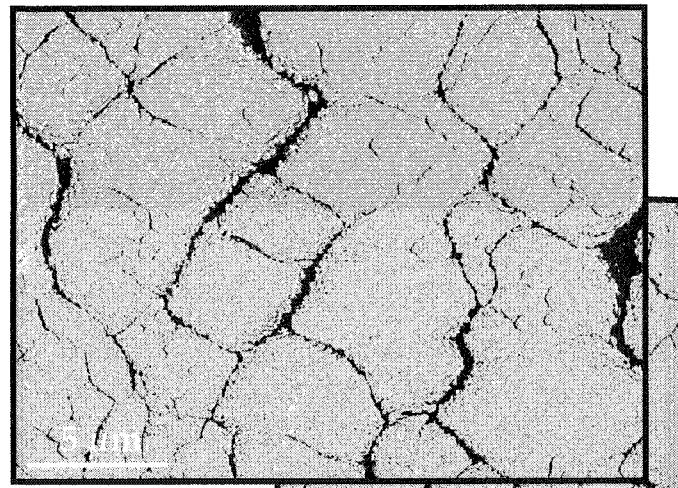


1000°C: Dominant ⟨200⟩

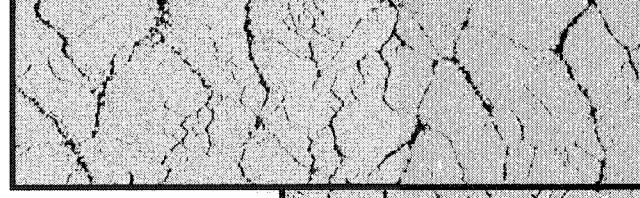


1100°C: [002] + ⟨200⟩

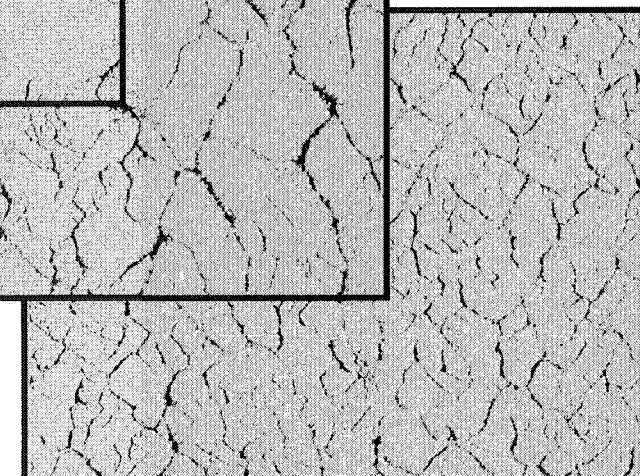
8 RPM, ~0.9  $\mu\text{m}/\text{min}$   
(Flux ~ 3.2  $\mu\text{m}/\text{min}$ )



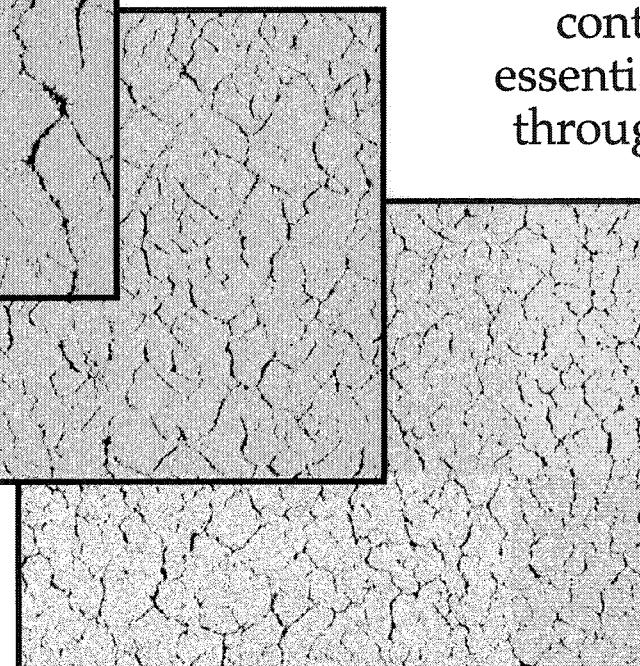
$t = 60 \mu\text{m}$



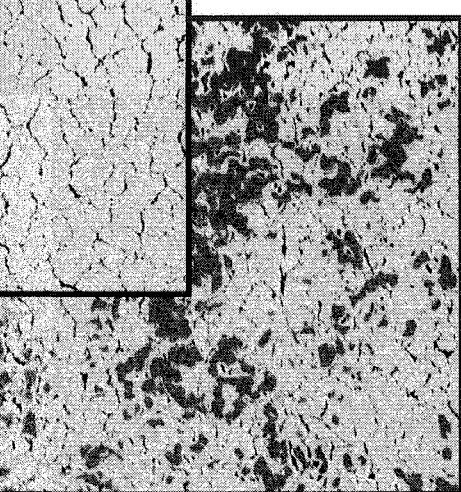
$t = 40 \mu\text{m}$



$t = 20 \mu\text{m}$



$t = 1 - 2 \mu\text{m}$

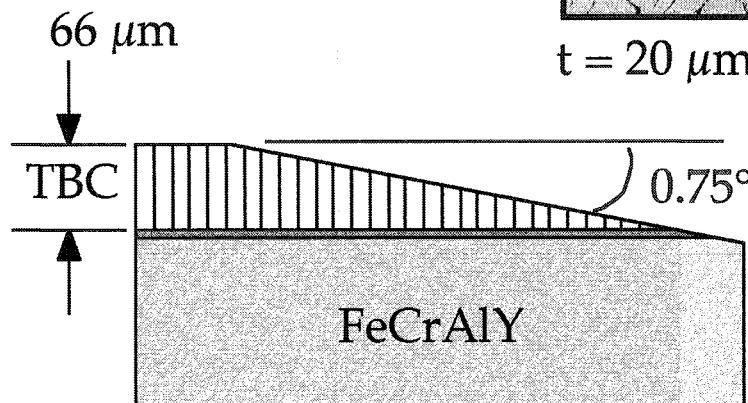


$t = 0 \mu\text{m}$

## Intercolumnar Gap Porosity

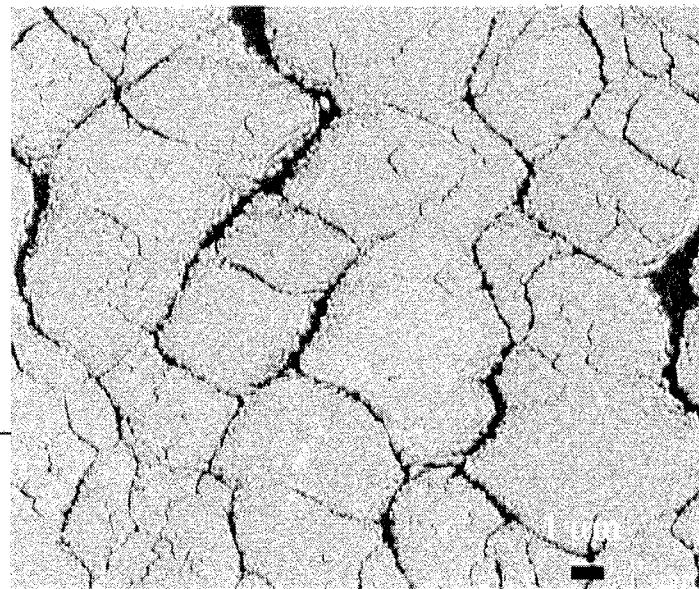
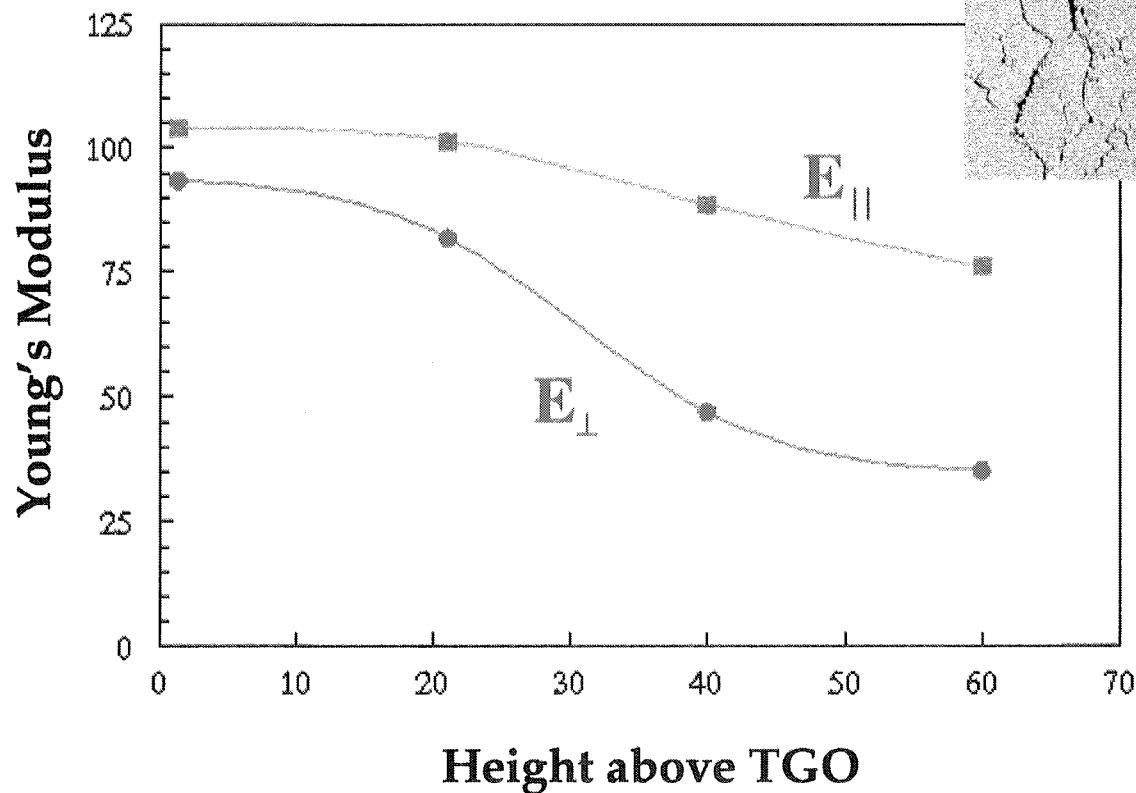
$T_s = 1100^\circ\text{C}$ , 8 RPM,  $0.9 \mu\text{m}/\text{min.}$

Area Fraction Measurements:  
Intercolumnar porosity  
content remains  
essentially constant  
through thickness  
of film.

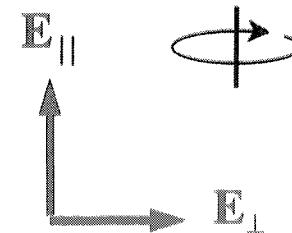


## Calculated In-Plane Modulus Anisotropy

*(Courtesy of Ed Fuller, NIST)*



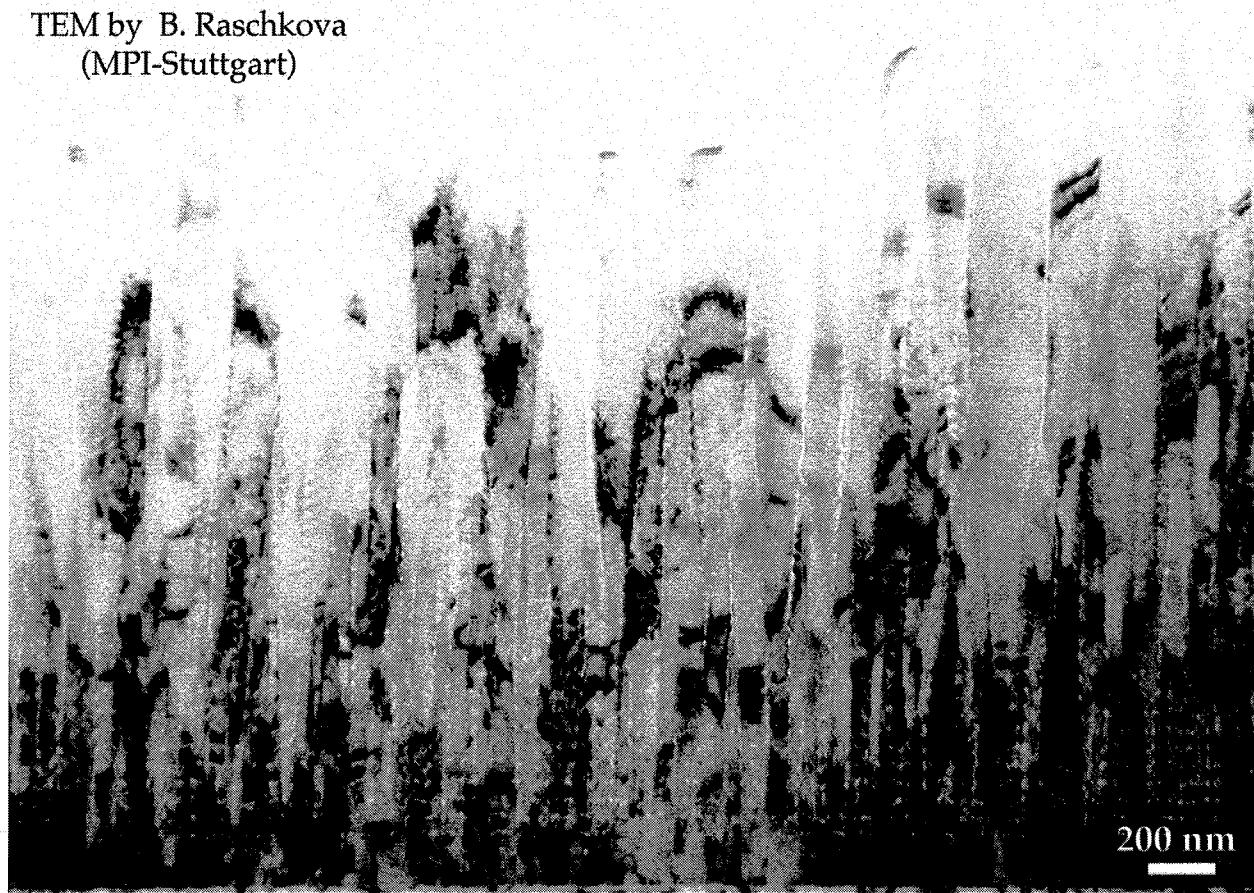
60  $\mu\text{m}$  above TGO



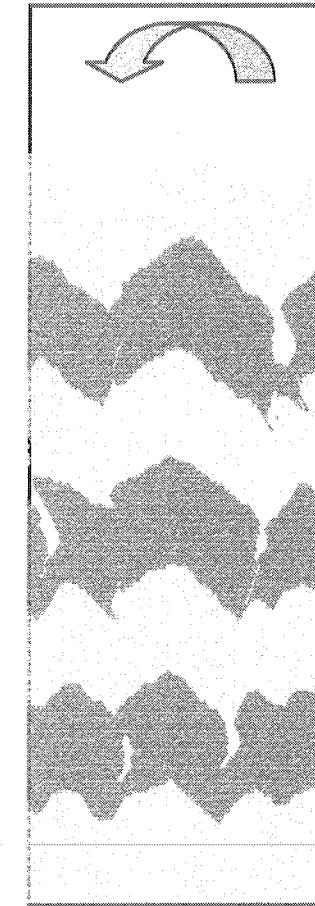
*Graph assumes 60% reduction due to intra-columnar porosity*

## Near-Substrate Pore Structure

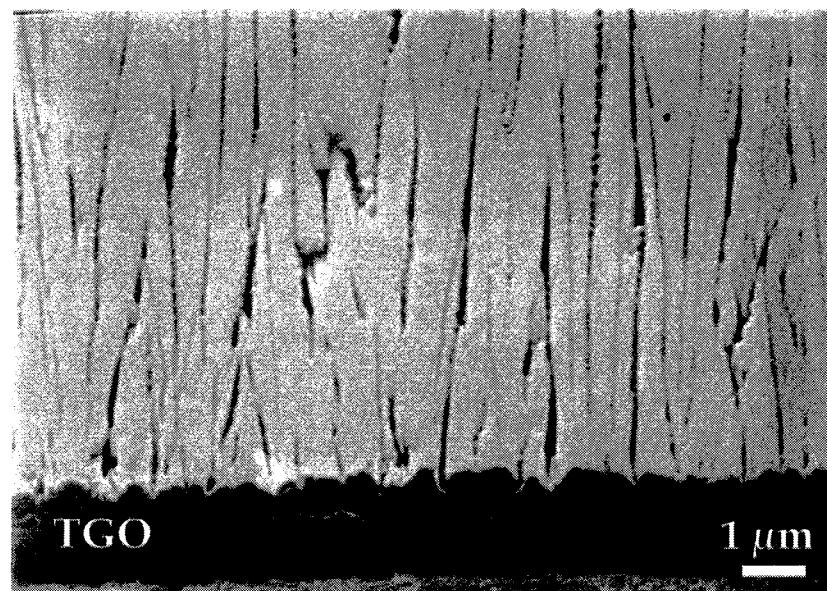
TEM by B. Raschkova  
(MPI-Stuttgart)



YSZ Grown on Sapphire. Pore Spacing Equivalent to  
Thickness Deposited per Revolution (~35 nm)



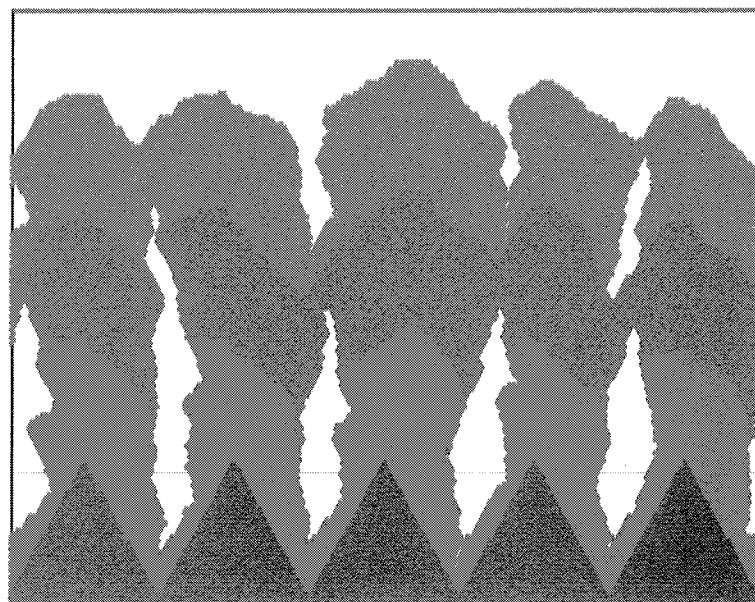
2D Kinetic MC  
(~40000 atoms)



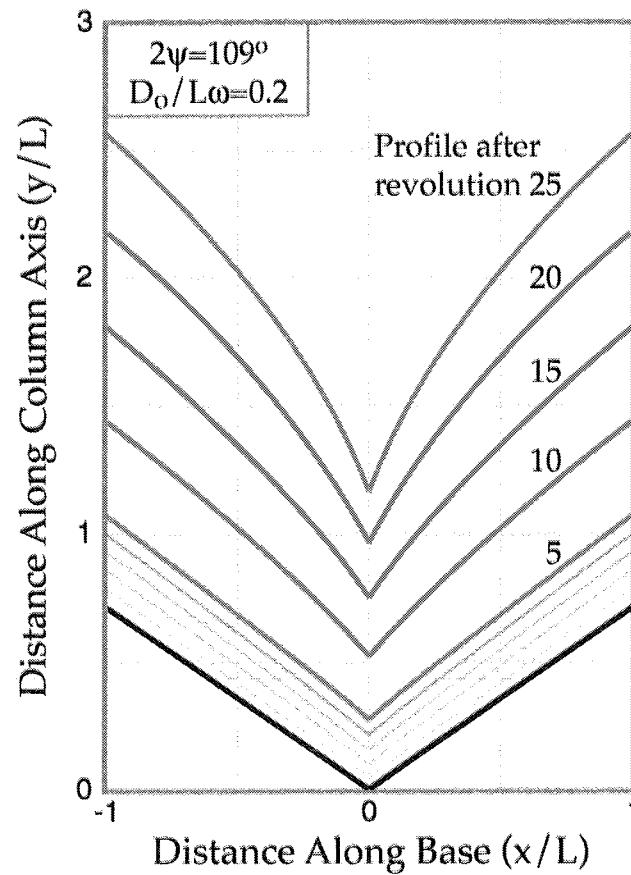
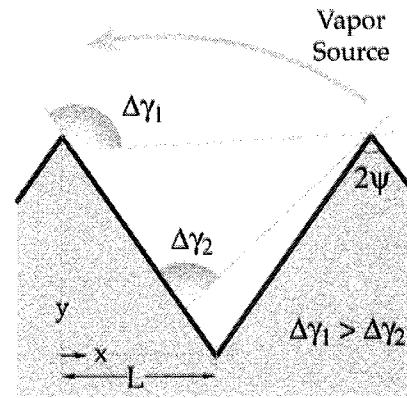
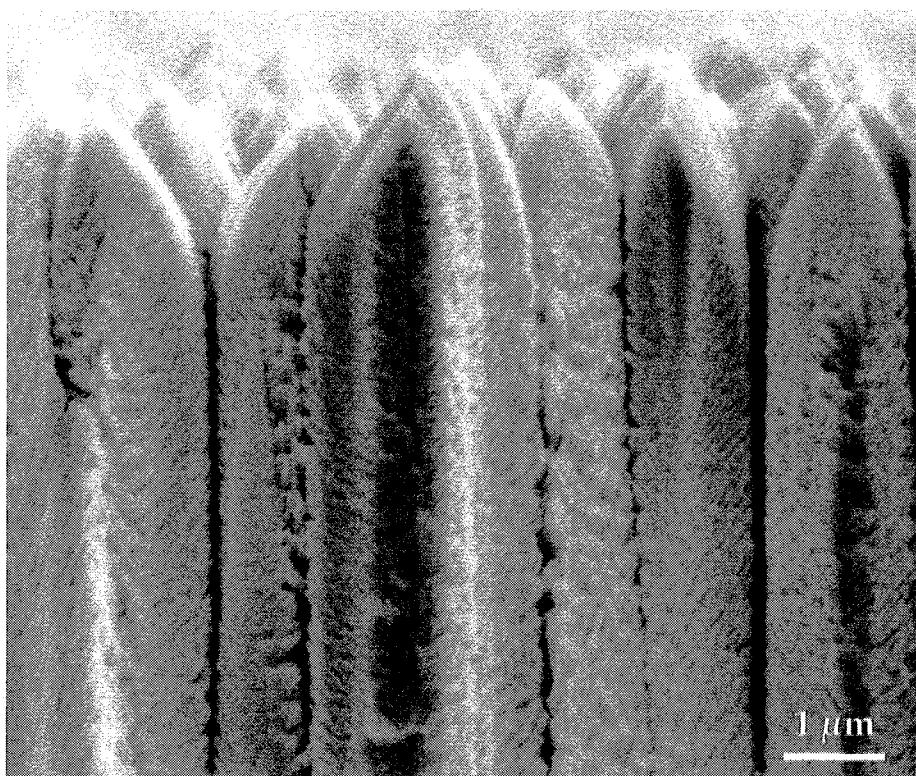
Root of Columns in TBC  
Deposited on Pre-Oxidized  
FeCrAlY Substrate  
(1100°C, 8 RPM, ~0.9 μm/min)

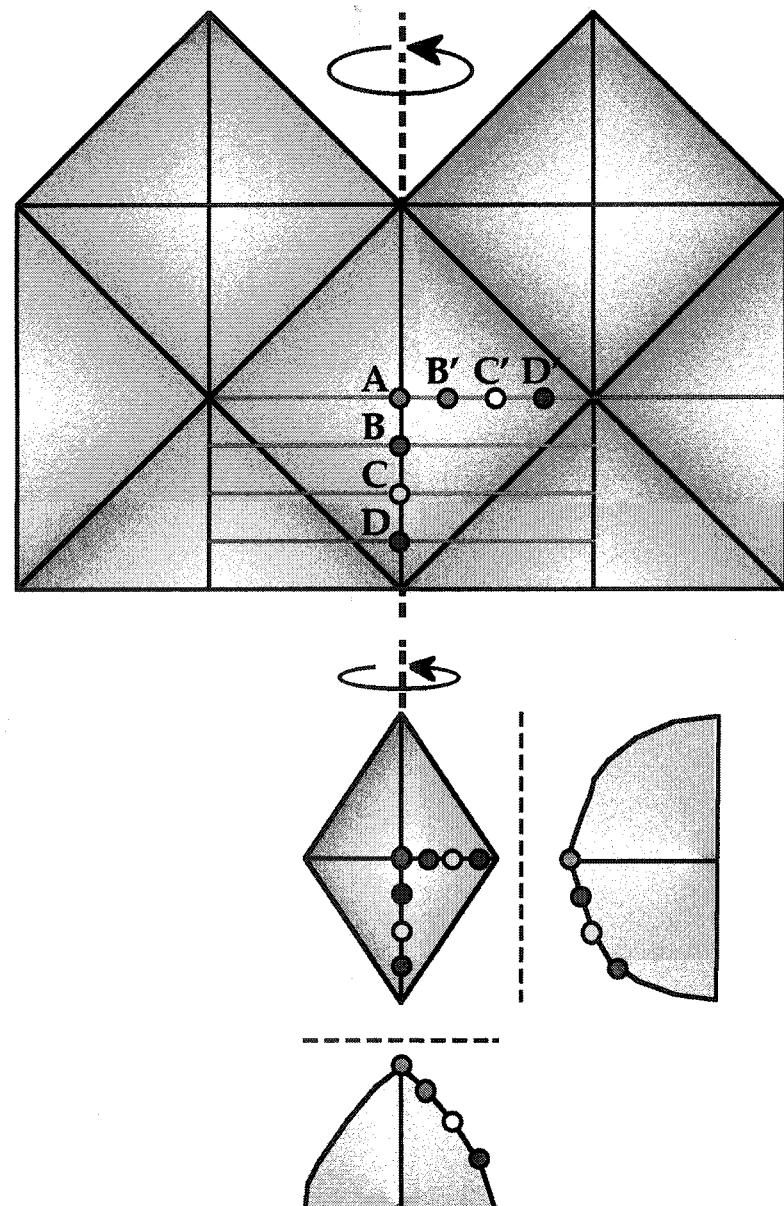
## Intercolumnar Gap Initiation by Shadowing from TGO Roughness

ABD Simulation  
on Substrate with  
Periodic Roughness

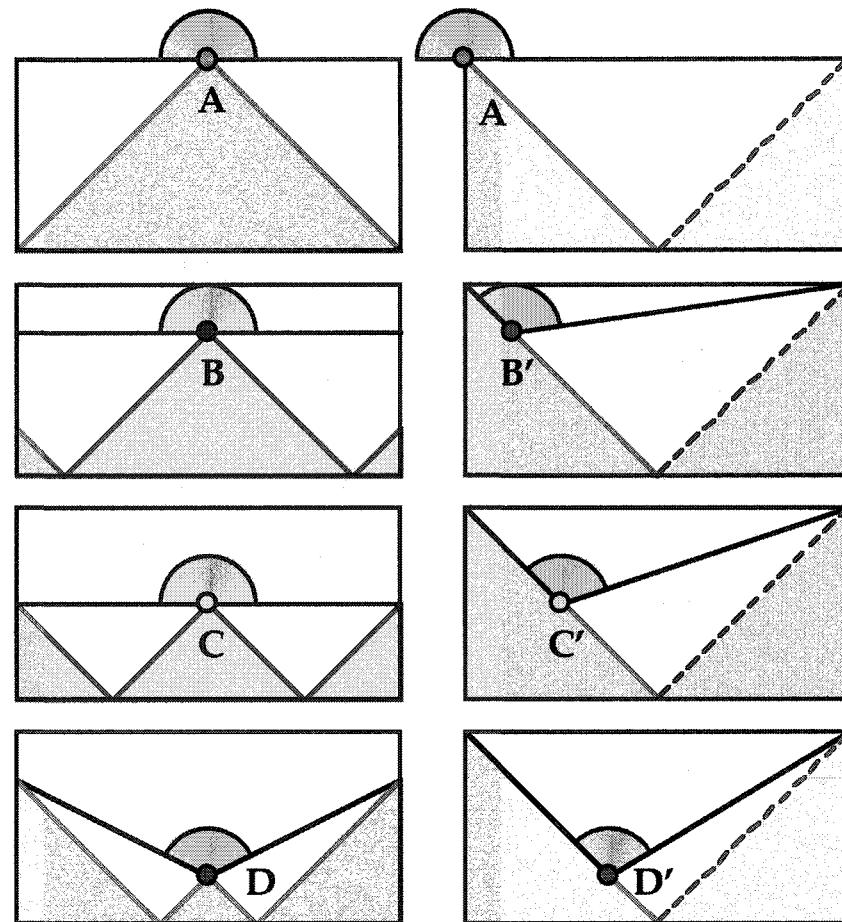


## Evolution of Intercolumnar Gap

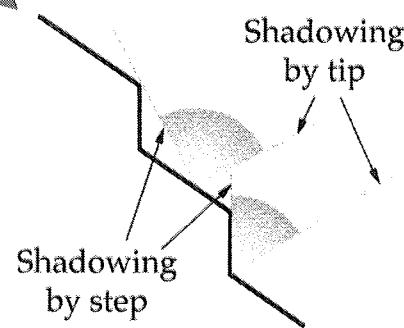
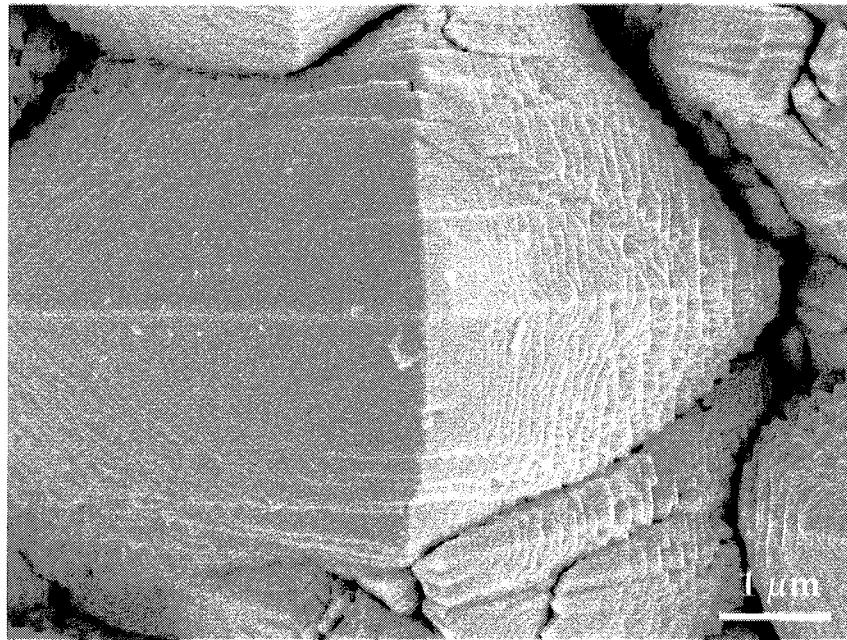
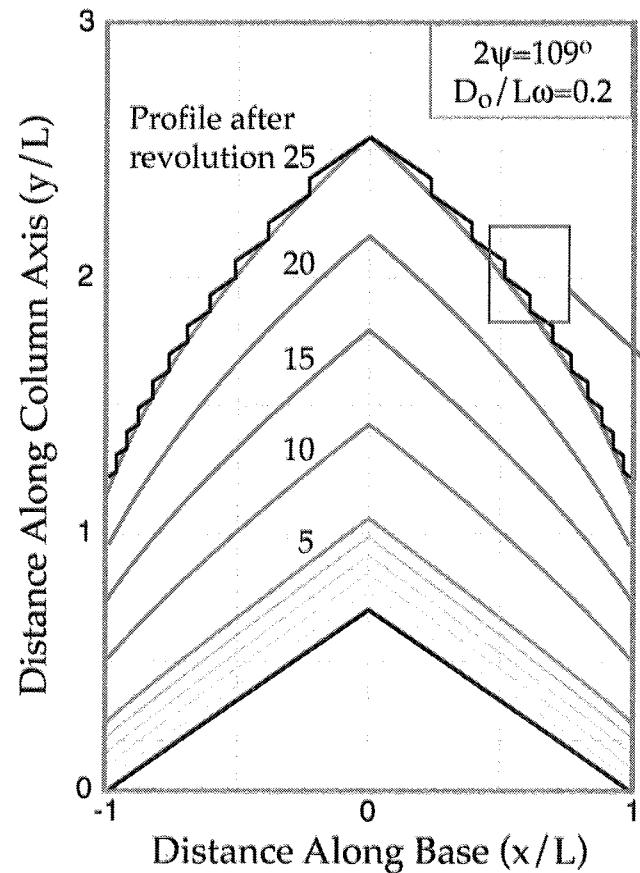




## Column Anisotropy from Tip Shadowing



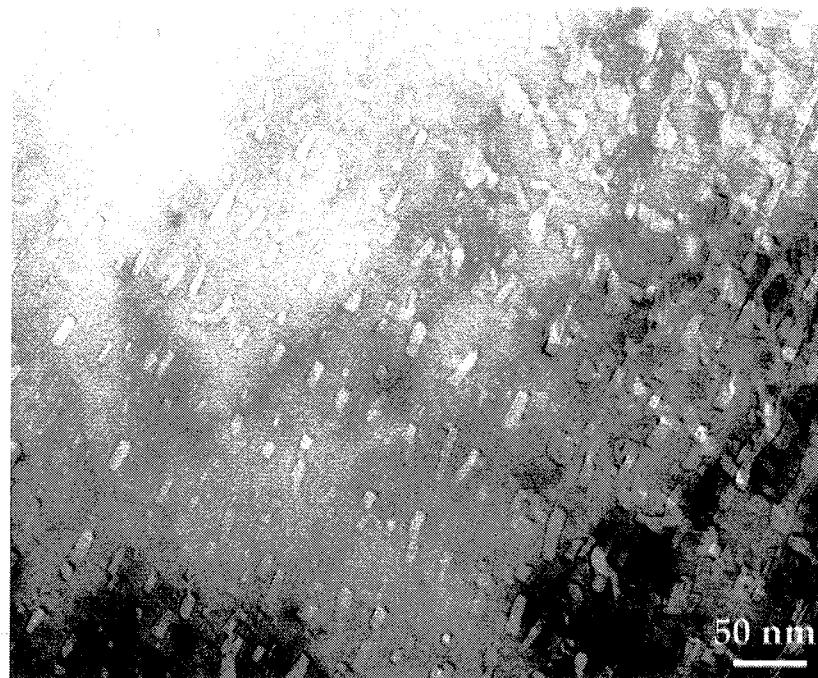
## Shadowing by Tip Roughness



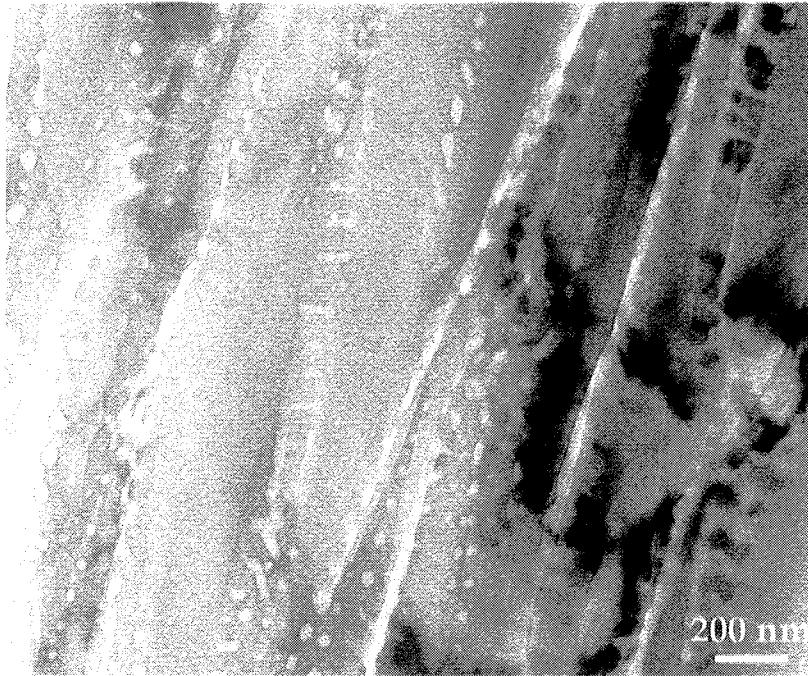
*Shadowing enhanced by crystallographic "steps" which become accentuated toward the "valley".*

## Intra-columnar Porosity in Rotated Specimens

Cross Sections Parallel  
to Column Axis



$900^{\circ}\text{C}$   $k = 1.1 \text{ W/mK}$

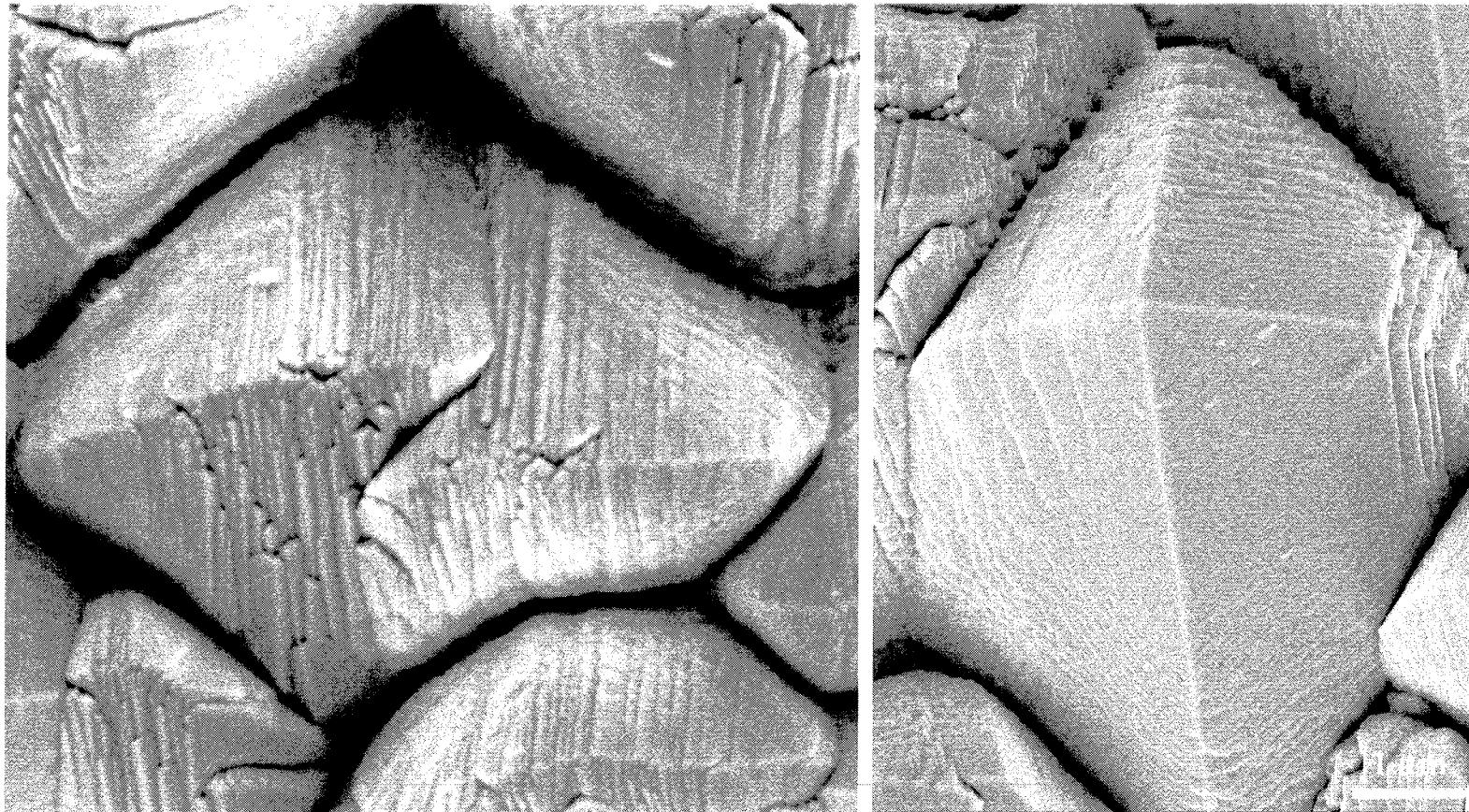


$1100^{\circ}\text{C}$   $k = 1.5 \text{ W/mK}$

Rotation rate = 8 RPM  
Deposition rate ~ $0.9 \mu\text{m/min}$   
Flux ~ $3.2 \mu\text{m/min}$



## Facet Configurations on Column Tips



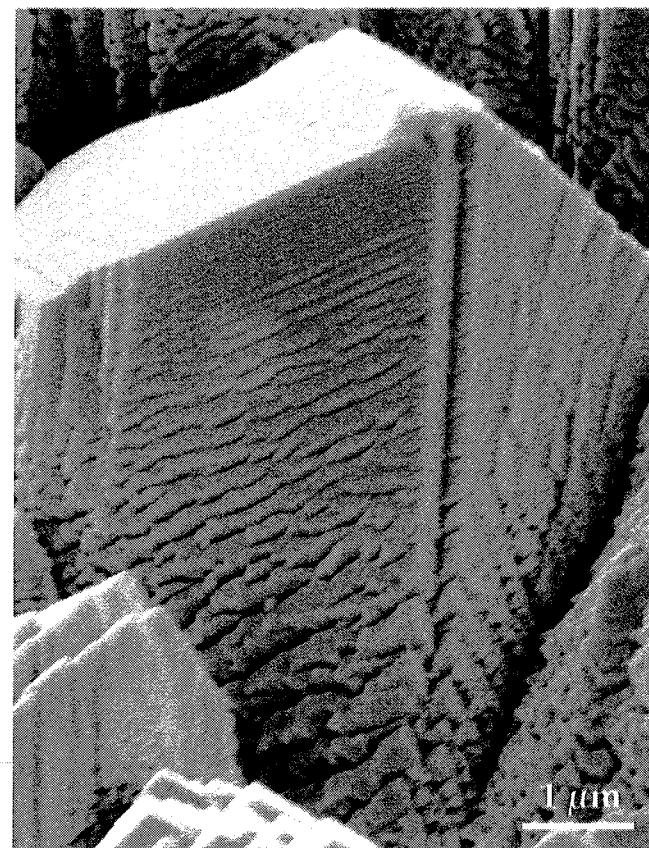
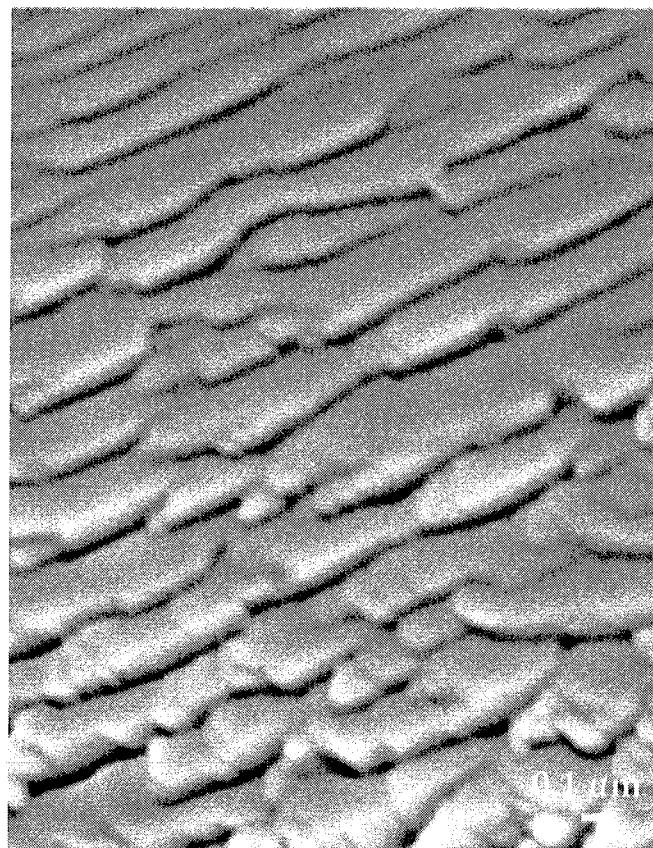
900°C

Rotation rate = 8 RPM  
Deposition rate ~0.9  $\mu\text{m}/\text{min}$   
Flux ~ 3.2  $\mu\text{m}/\text{min}$

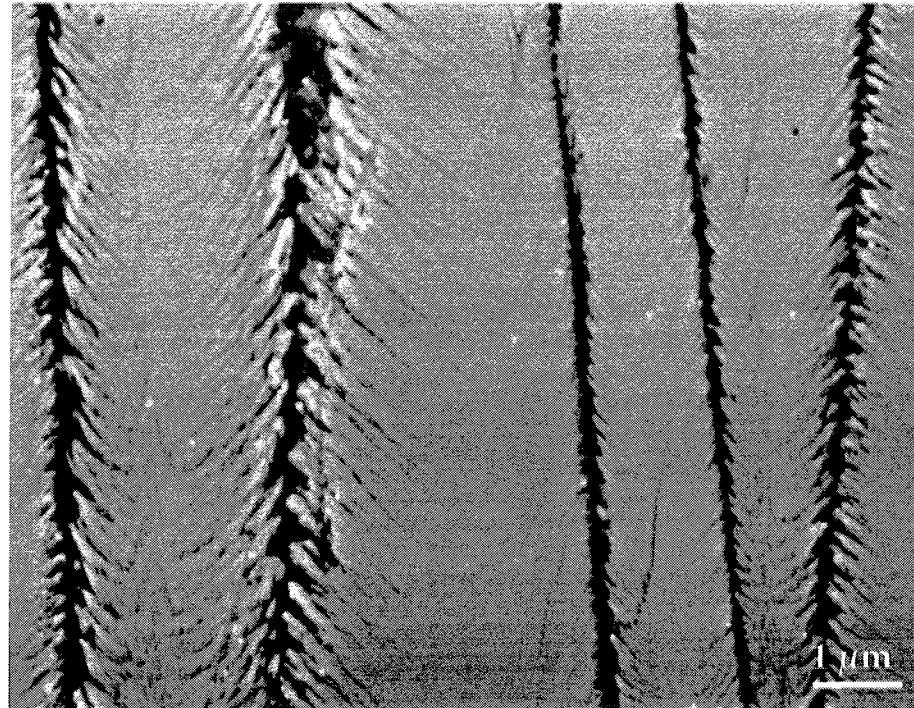
1100°C

Levi-5/00

## Evolution of Porosity at Steps on the Growth Surface

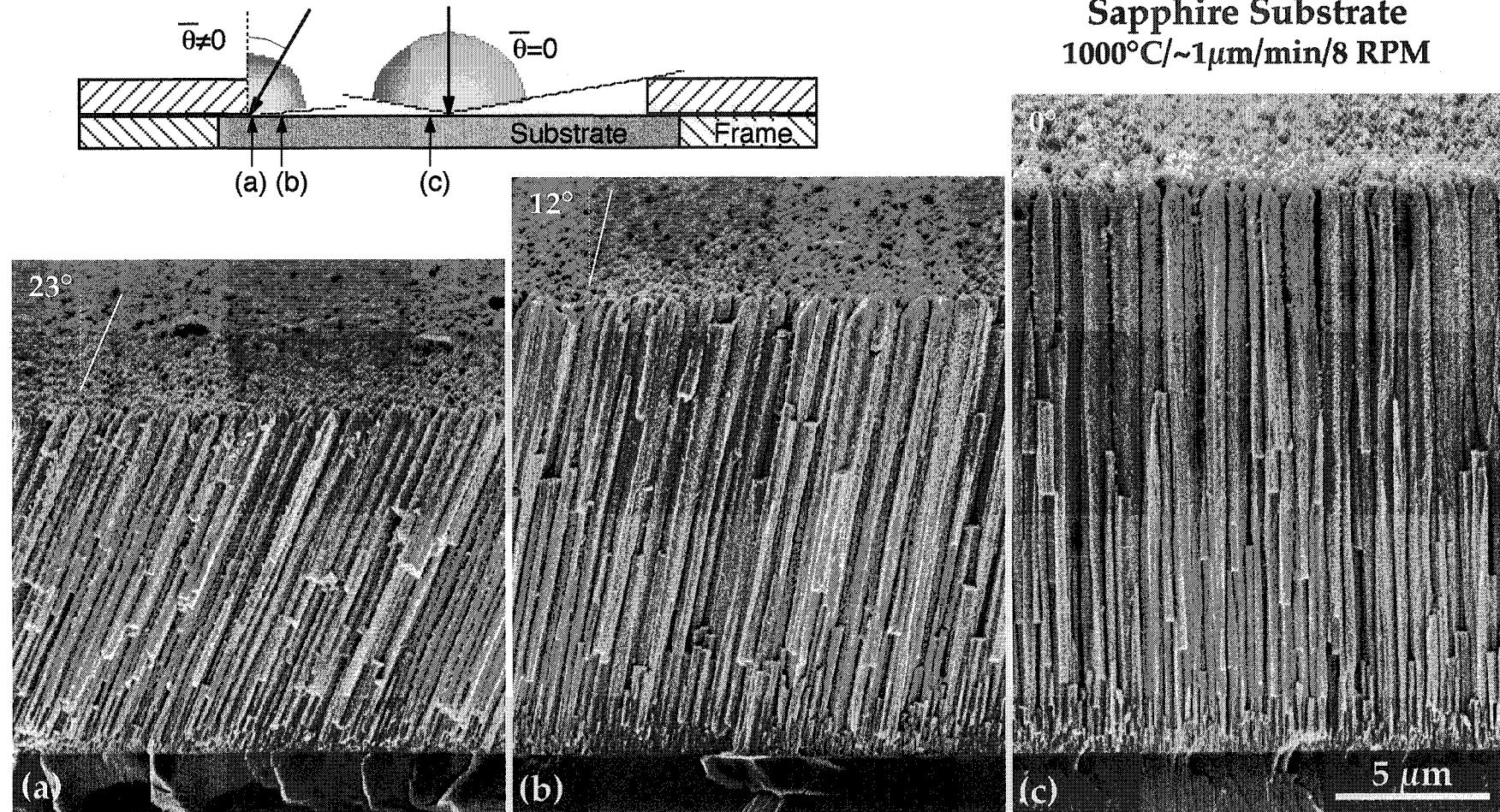


## Origin of Feathery Structure

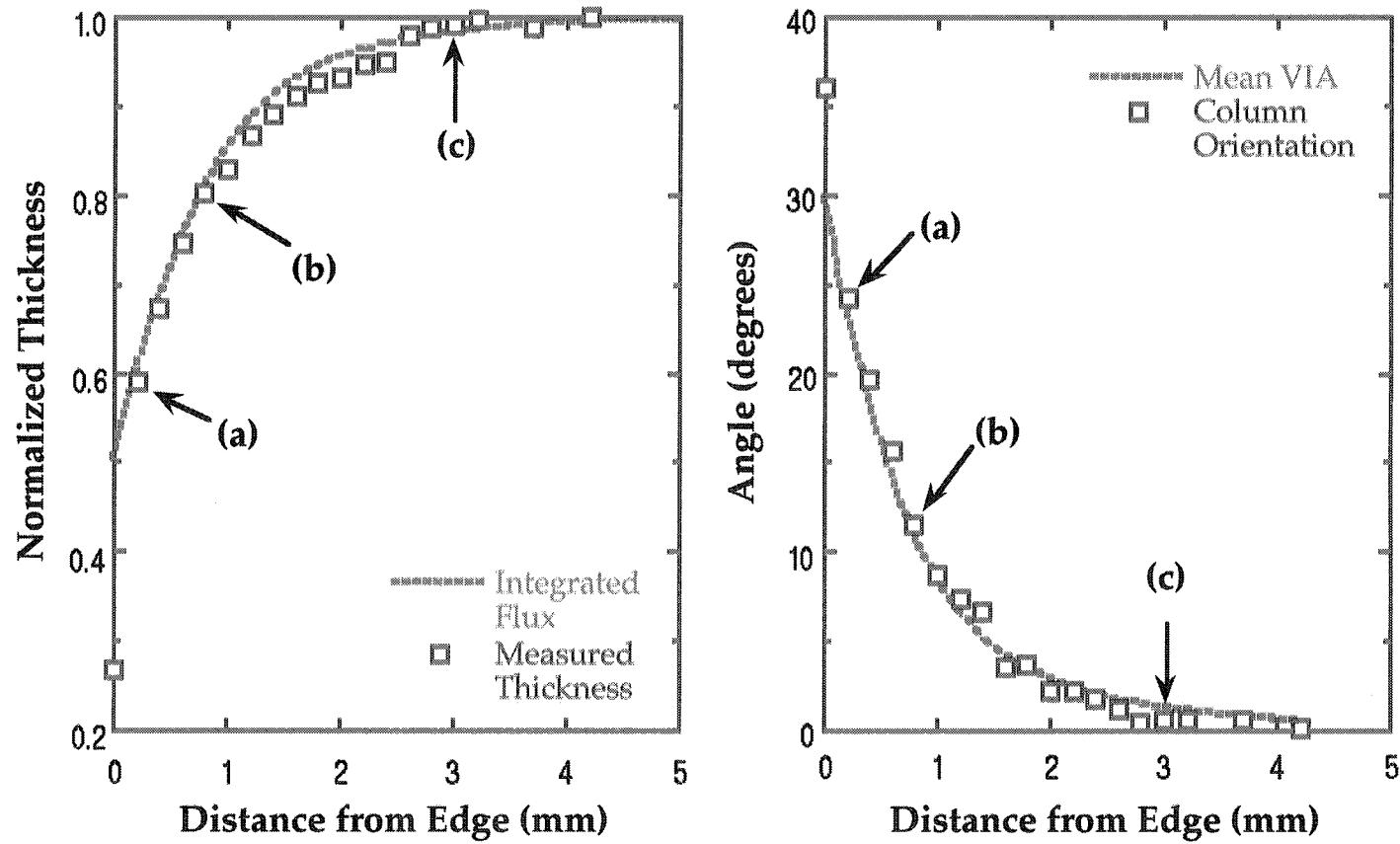


- Shadowing pattern gives rise to pores at steps in the growth front.
- Features propagate outward from column axis. Net rate and direction depend on interplay of local flux with crystallography / surface diffusion.
- Subsequent morphological reconfiguration leads to discrete pores.

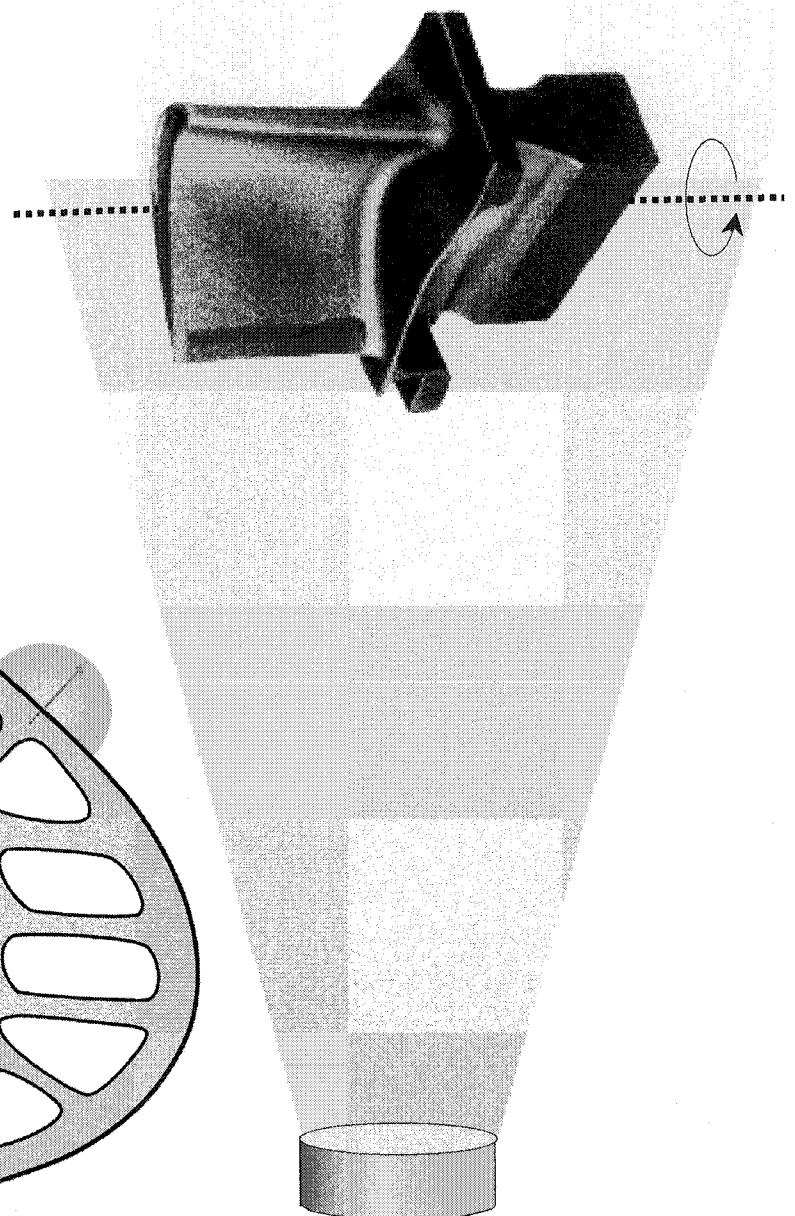
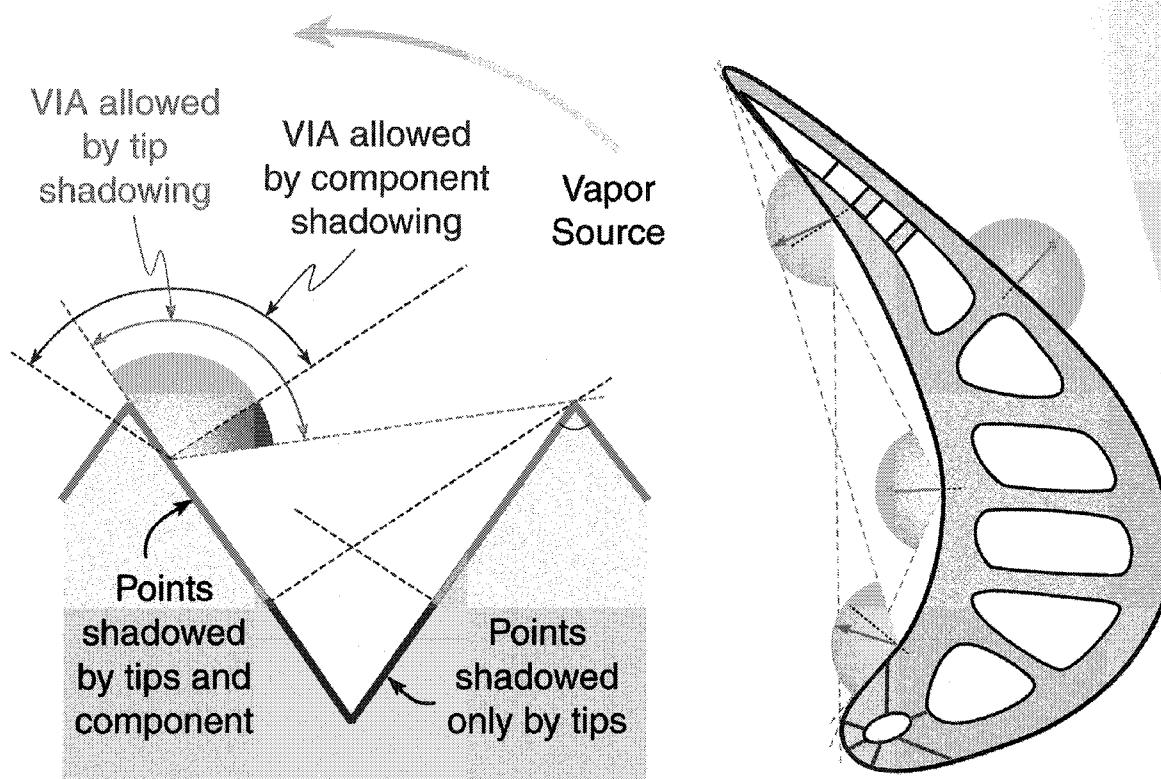
## Effect of Macroscopic Shadowing on Structure



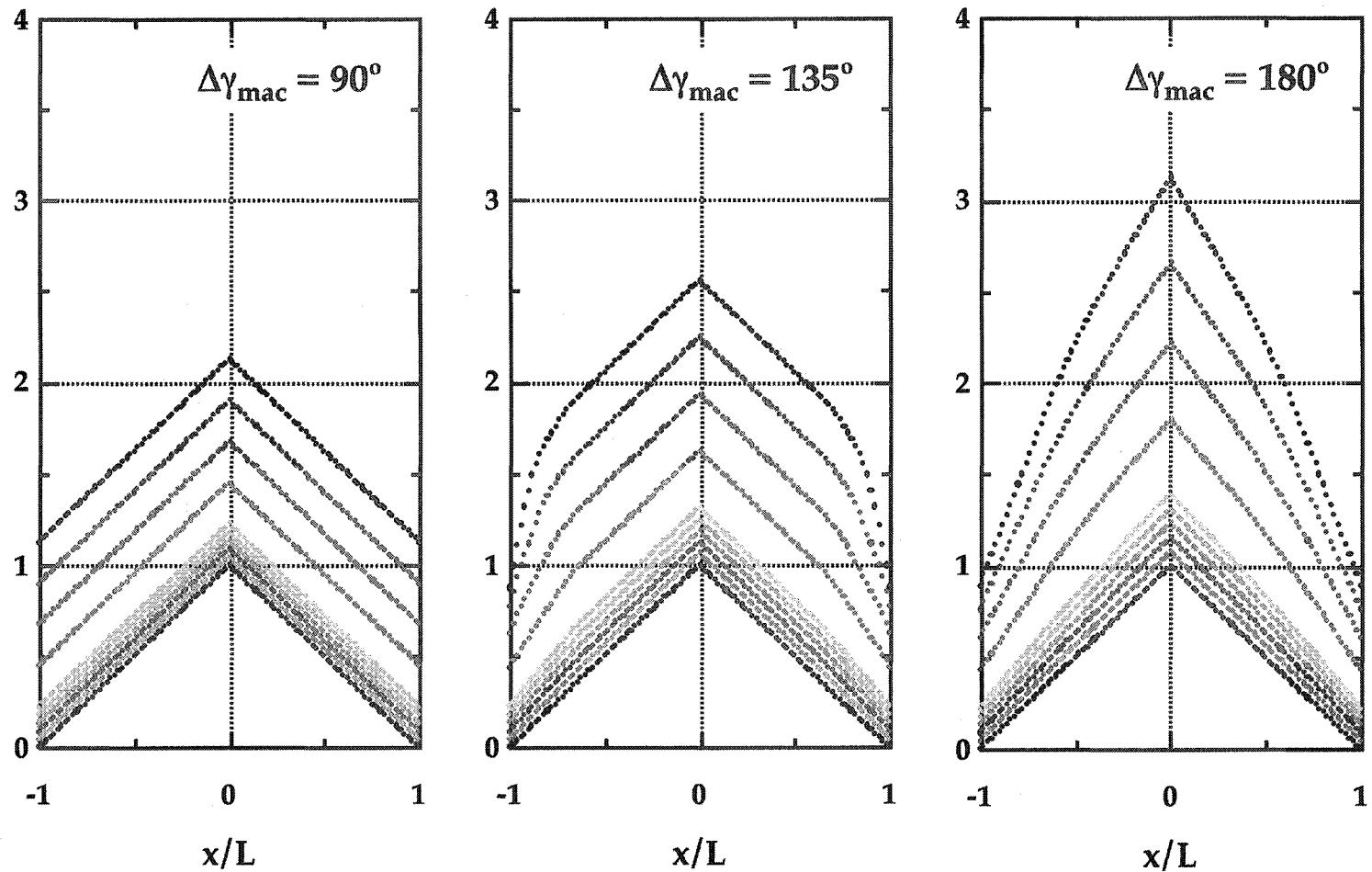
## Macroscopic Shadowing Effects on Thickness and Column Orientation



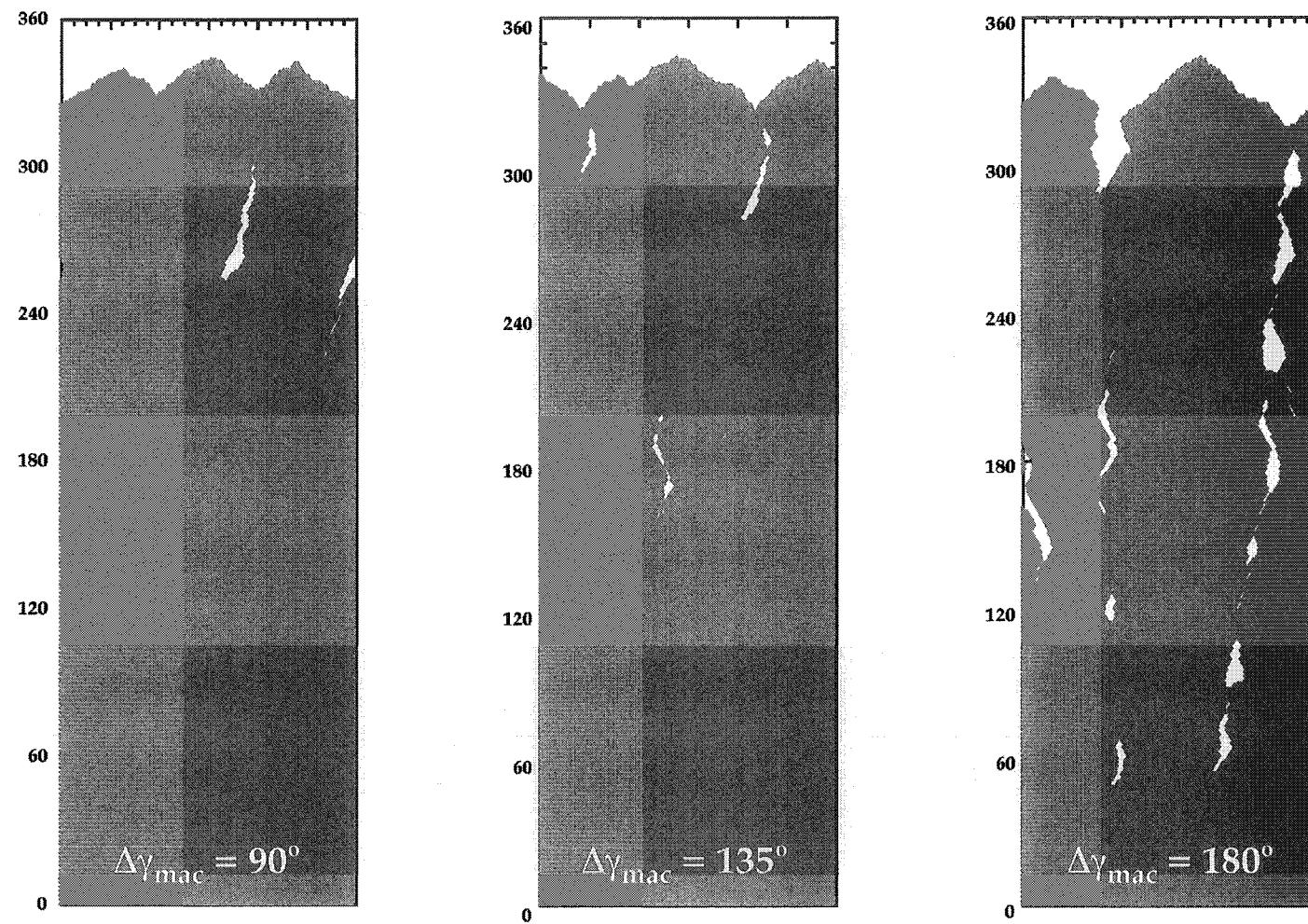
## Shadowing by Vapor Interplay with Component Shape



# Influence of Macroscopic Shadowing on Tip Evolution

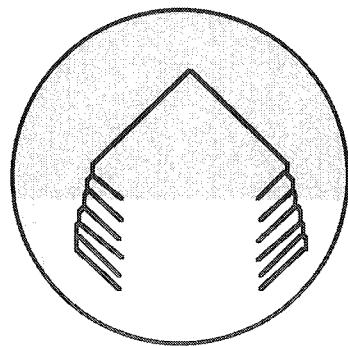


# Influence of Macroscopic Shadowing on Intracolumnar Porosity



Terry&amp;Levi-05/01

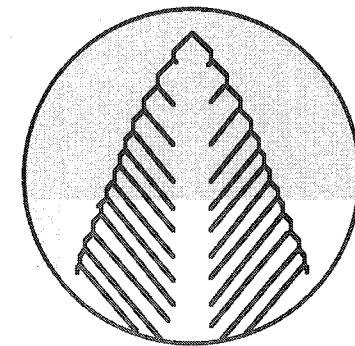
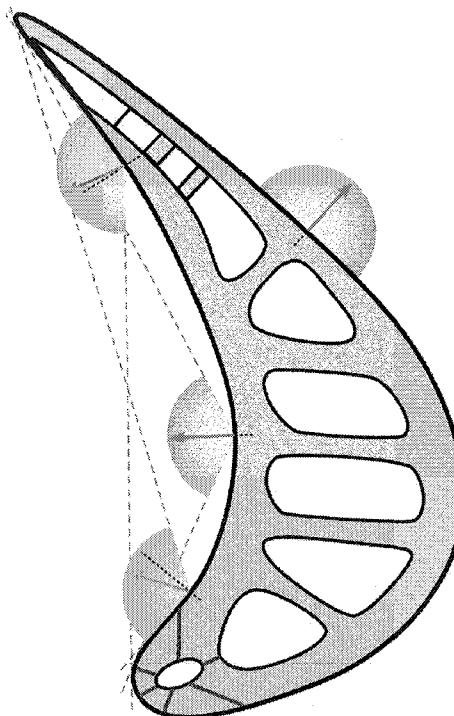
## Expected Coating Variability from Macroscopic Shadowing Phenomena



Thinner

Less Insulating

Less Compliant



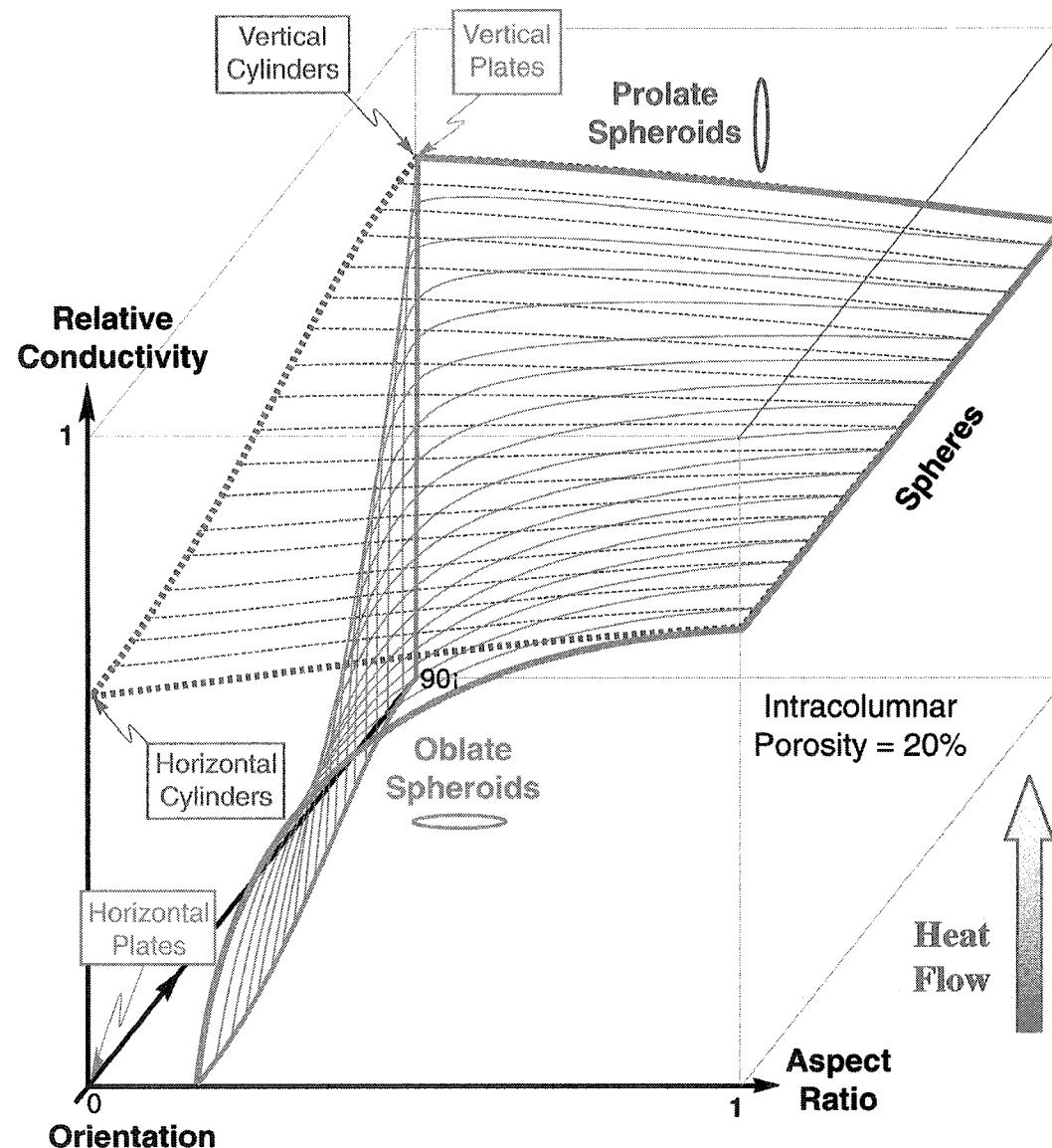
Thicker

More Insulating

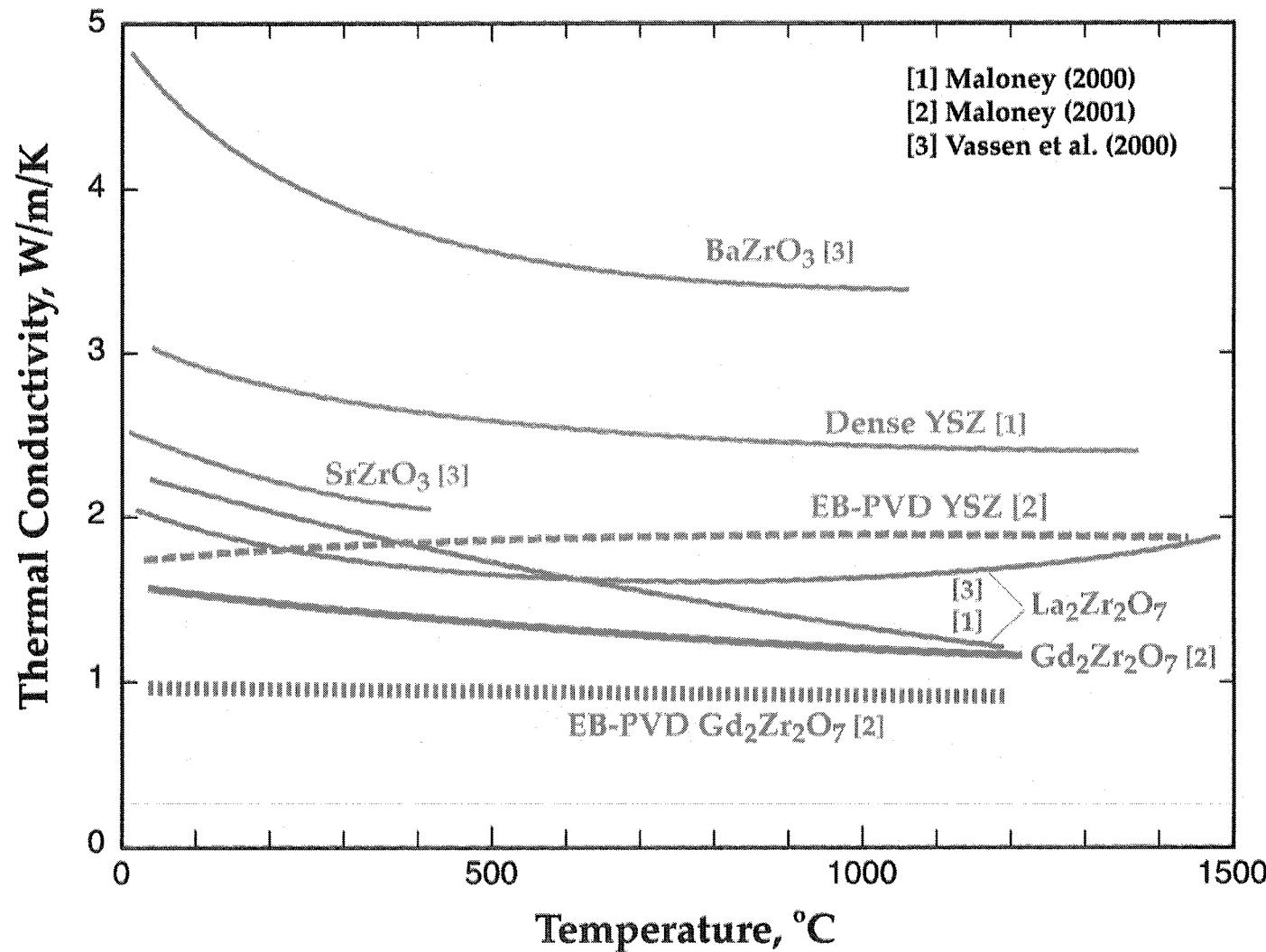
More Compliant

## *Effect of pore morphology on the thermal conductivity*

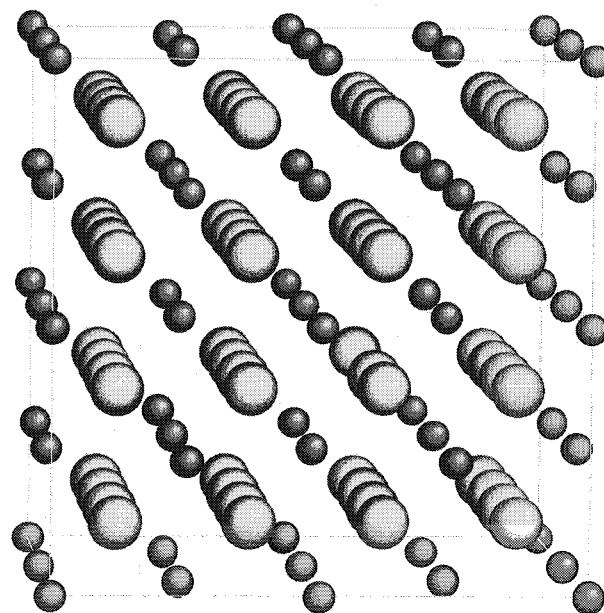
*Evolutionary breakdown and spheroidization of lamellar pores rapidly degrades insulating efficiency*



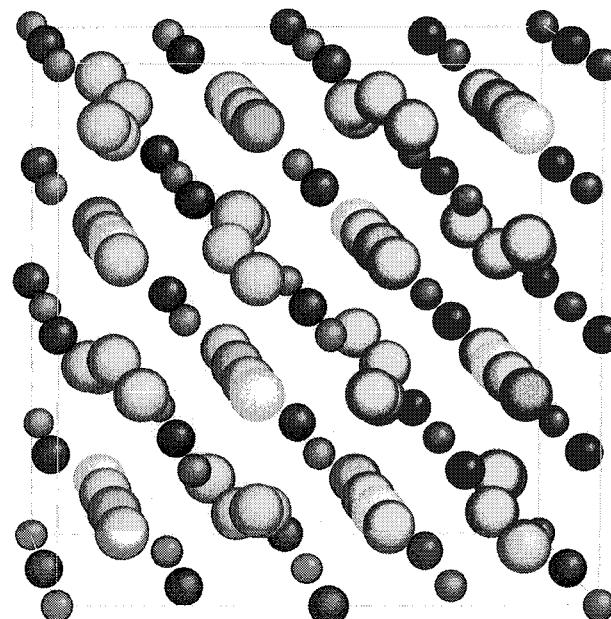
## Thermal Conductivity of Zirconates



## Fluorite and Pyrochlore Structures

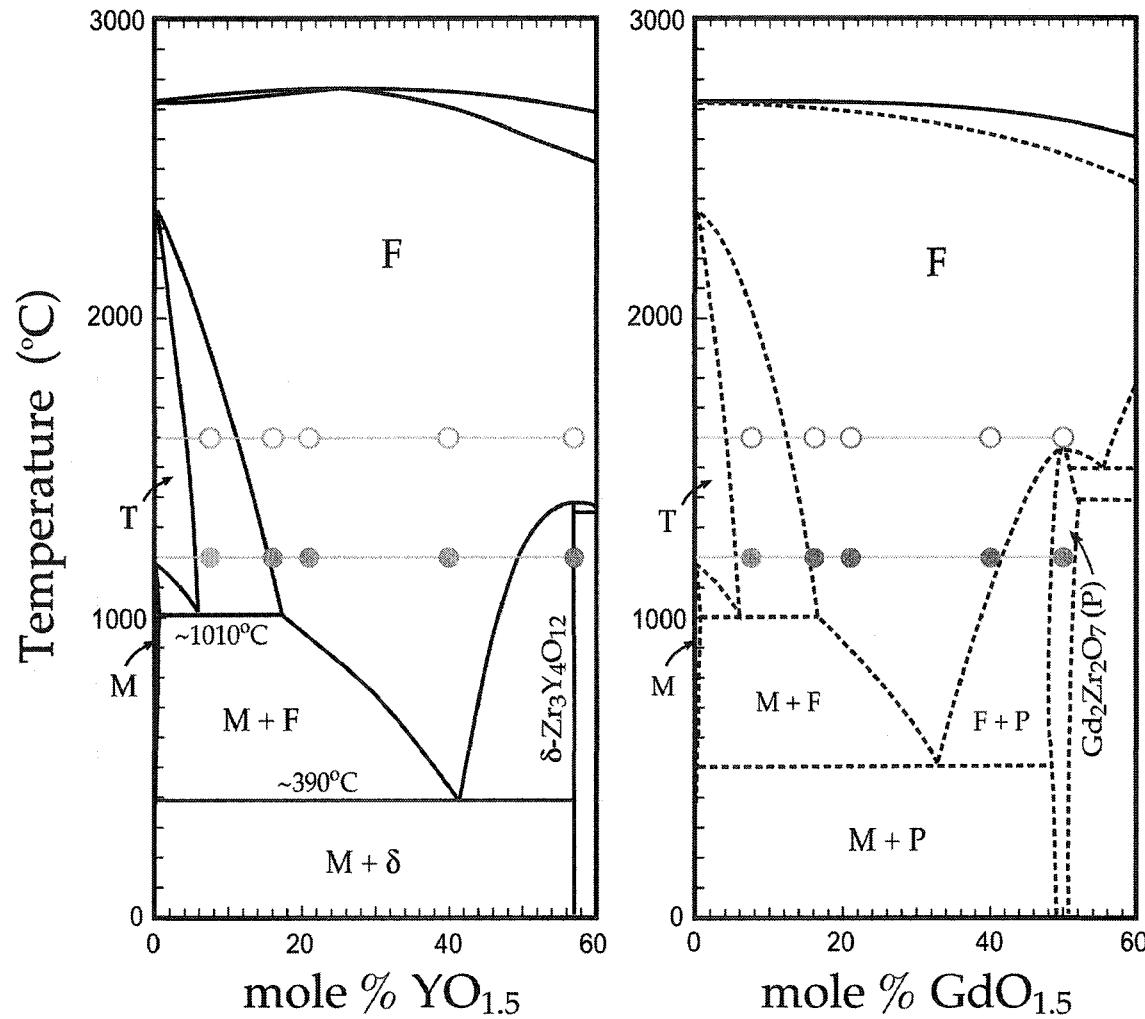
Fluorite:  $\text{MX}_2$ 

● Cation  
 ○ Anion

Pyrochlore:  $\text{A}_2\text{B}_2\text{O}_7$ 

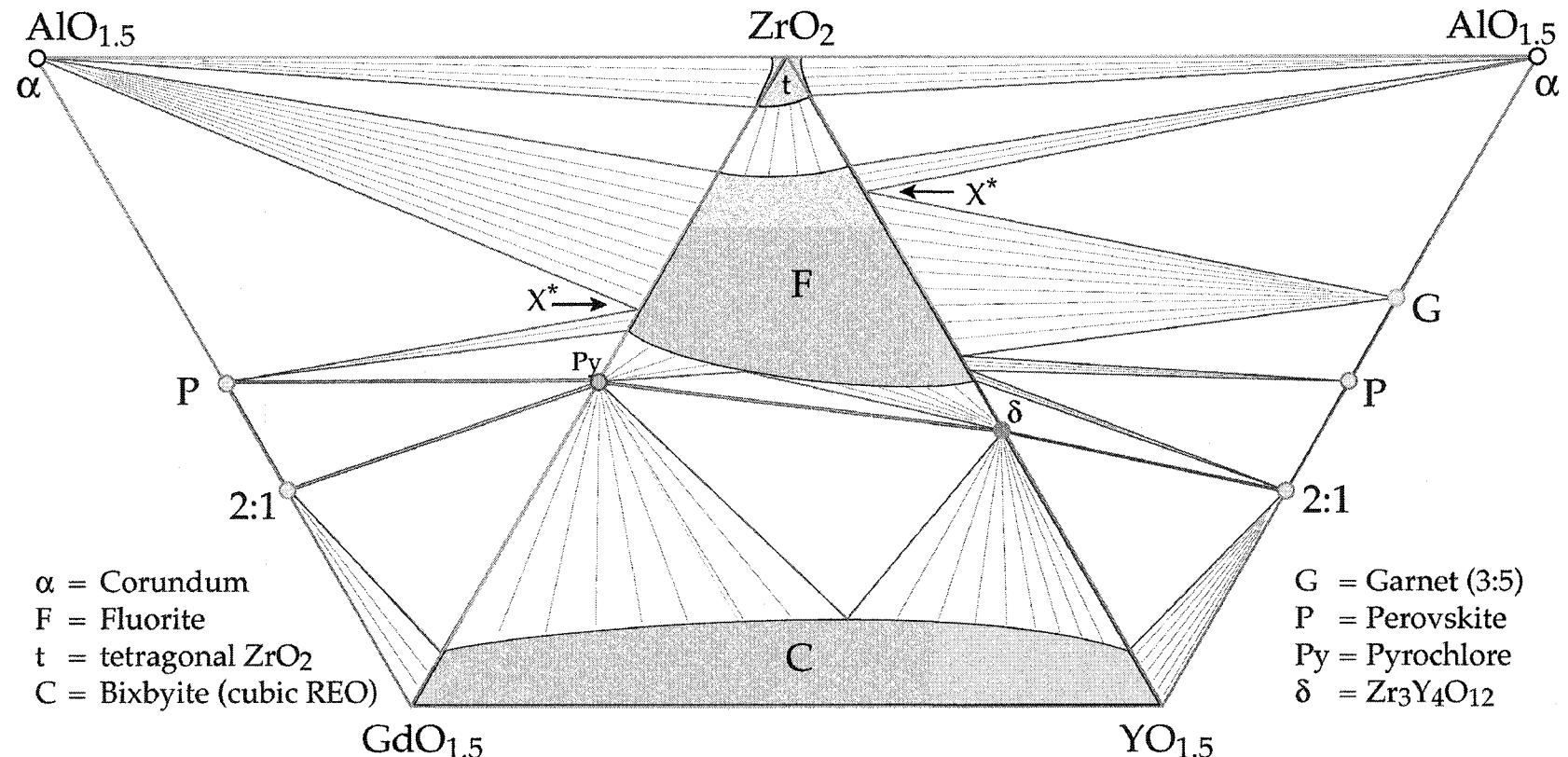
● A - cation	○ O- 48f
● B - cation	● O'
	○ Vacant O site

## ZrO<sub>2</sub>-(Y/Gd)O<sub>1.5</sub> Phase Diagrams

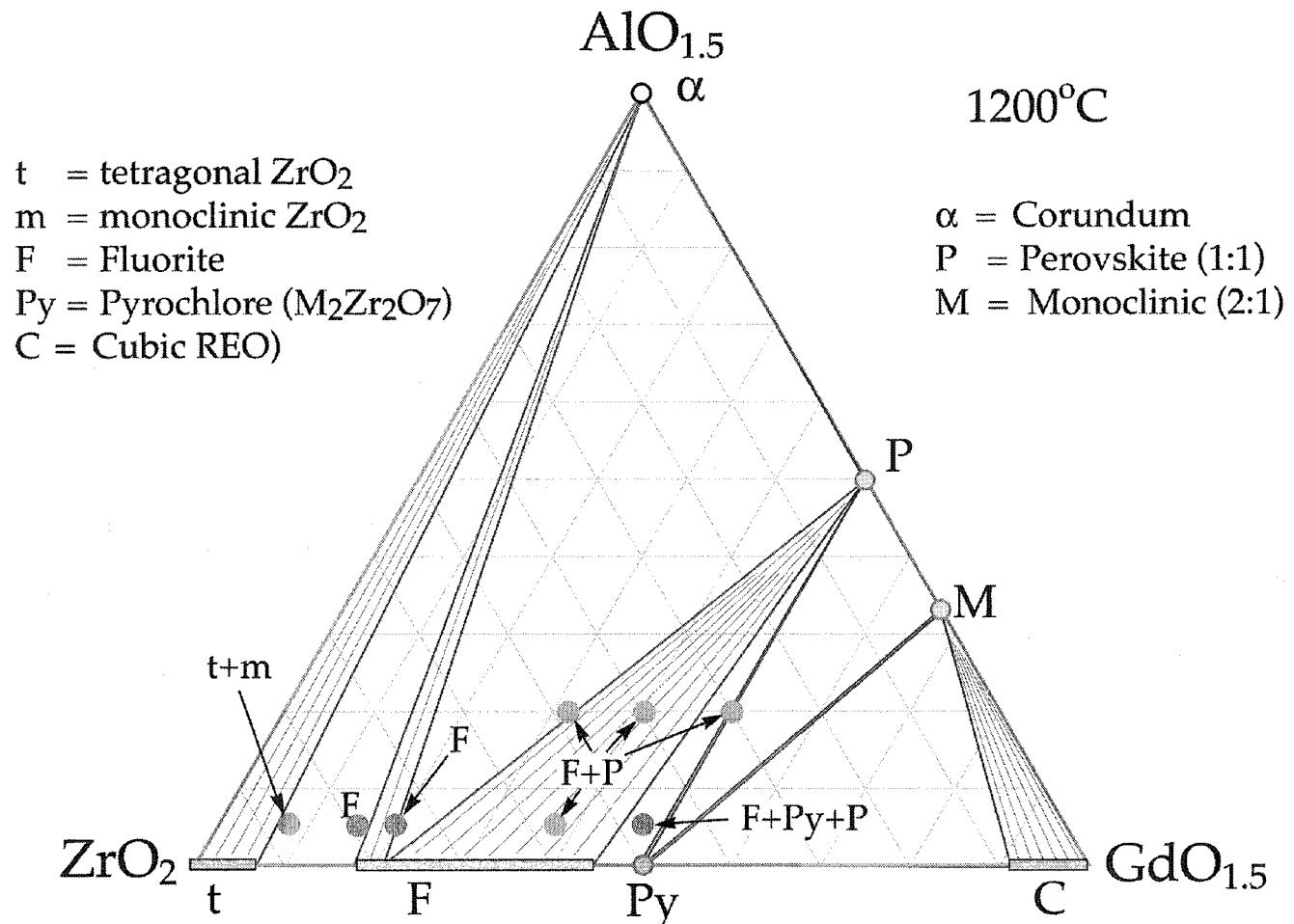


# Schematic Ternary Sections at 1200 °C

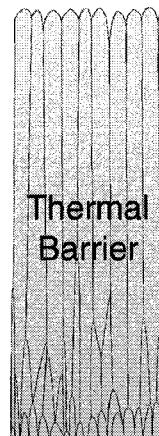
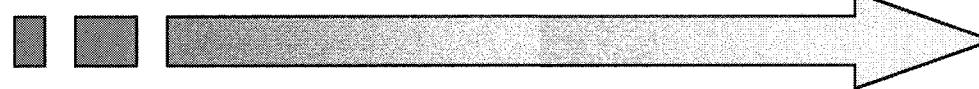
## $\text{ZrO}_2\text{-YO}_{1.5}\text{-GdO}_{1.5}\text{-AlO}_{1.5}$ Systems



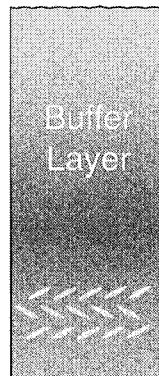
# Thermochemical Compatibility of $\text{ZrO}_2\text{-GdO}_{1.5}$ with Alumina



*Increasing Temperature Capability*



Chemical  
Barrier



Structural  
Element

7% Yttria  
Partially  
Stabilized  
Zirconia  
(7YSZ)

Alumina

(Ni,Pt) Al  
MCrAlY

Ni-Base  
Superalloy

Rare Earth  
Zirconate  
+  
Compatible  
layer

Alumina

(Ni,Pt) Al  
MCrAlY

Ni-Base  
Superalloy

?

Silica

Refractory  
Silicide

Silica

Refractory  
Metal Alloy

?

BSAS  
+  
Mullite  
+  
Silica

Silicon  
Alloy

Si-based  
Ceramic

ONR-MURI  
Consortium

Princeton - Harvard  
Pittsburgh - Virginia  
Case Western  
General Electric  
Praxair - NIST



NSF-EU  
Consortium

Princeton - Michigan  
MPI - ONERA  
Cambridge - Cranfield  
KTH Stockholm  
Siemens - DLR

UCSB

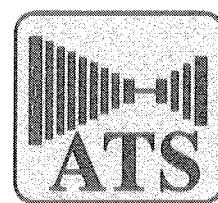
Levi      Clarke  
Bennett  
(Evans)



TBC

DOE-AGTSR  
Consortium

Siemens-Westinghouse  
Solar Turbines  
Howmet  
RSC - Argonne



Research  
Network