

Summer Colloquium on the Physics of Weather and Climate

**Workshop on
Land-Atmosphere Interactions in Climate Models**
(28 May - 8 June 2001)

**The Surface Energy and Water Balances
in a Semi-Arid Climate**

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These are preliminary lecture notes, intended only for distribution to participants

The Surface Energy and Water Balances in a Semi-Arid Climate

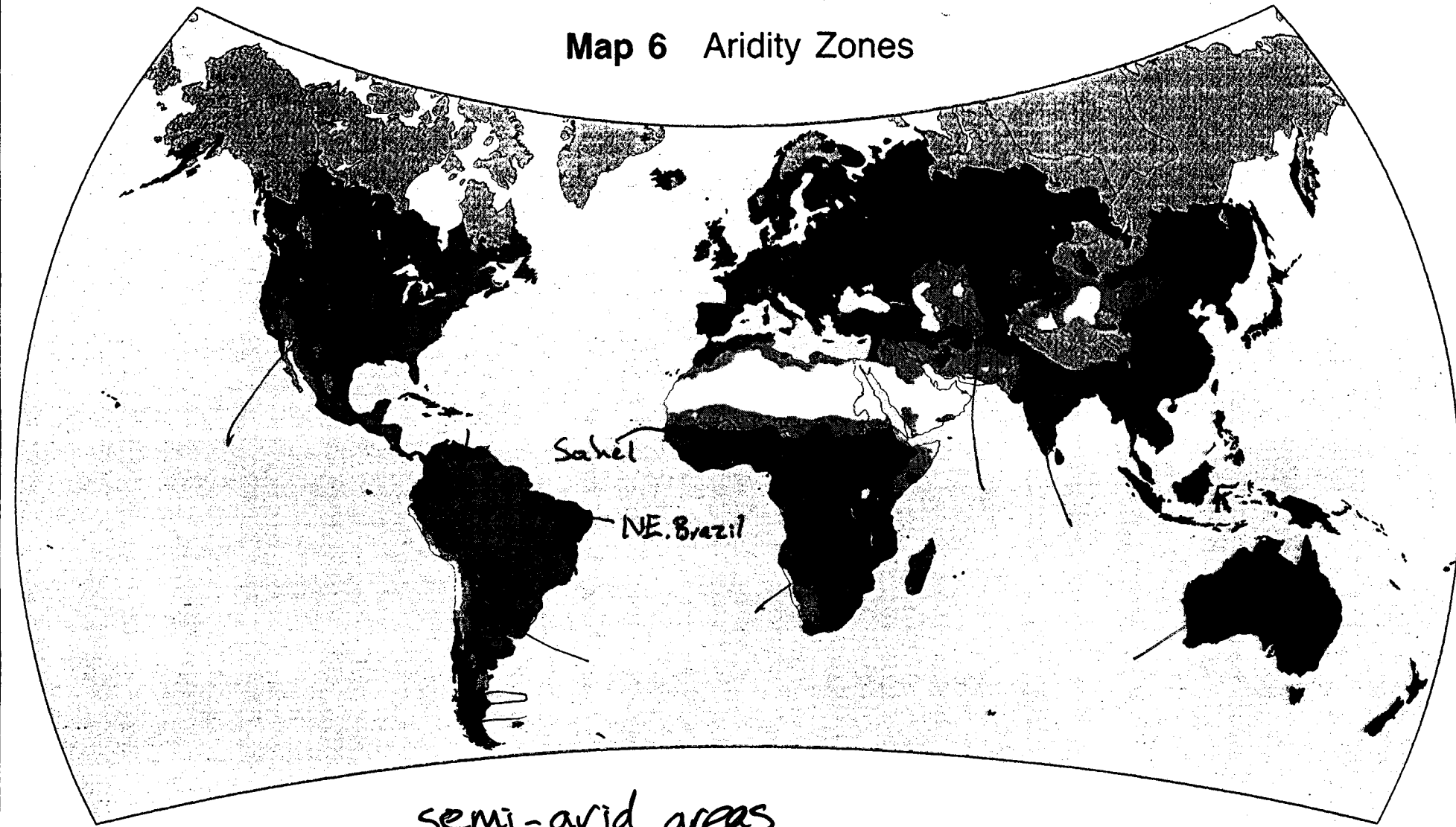
- (i) Semi-Arid Climates
- (ii) HAPEX-Sahel
- (iii) Diurnal Variability
- (iv) Daily Variability
- (v) Seasonal Variability
- (vi) Interannual Variability

Reference List

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3. Cox PM, Huntingford C, Harding RJ: A canopy conductance and photosynthesis model for use in a GCM land surface scheme. *J.Hydrol.* 1998;212-213:79-94.
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5. Gaze SR, Brouwer J, Simmonds LP, et al: Dry season water use patterns under *Guiera senegalensis* L. shrubs in a tropical savanna. *Journal Of Arid Environments* 1998;40:53-67.
6. Goutorbe JP, Lebel T, Tinga A, et al: HAPEX-Sahel - a large-scale study of land-atmosphere interactions in the semi-arid tropics. *Ann.Geophys.* 1994;12:53-64.
7. Hanan NP, Prince SD: Stomatal conductance of West-Central Supersite vegetation in HAPEX-Sahel: Measurements and empirical models. *J.Hydrol.* 1997;189:536-562.
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11. Taylor CM: The influence of antecedent rainfall on Sahelian surface evaporation. *Hydrol.Processes* 2000;14:1245-1259.
12. Taylor CM, Blyth EM: Rainfall controls on evaporation at the regional scale: An example from the Sahel. *J.Geophys.Res.Atmos.* 2000;105:15469-15479.
13. Zeng N, Neelin JD, Lau KM, et al: Enhancement of interdecadal climate variability in the Sahel by vegetation interaction. *Science* 1999;286:1537-1540.

A Useful Web Site is the HAPEX-Sahel database – it contains much of the data shown in this lecture and much more besides: <http://www.ird.fr/hapex>

Map 6 Aridity Zones



semi-arid areas

$$20\% \leq P/PET \leq 50\%$$

P = Annual Precipitation
 PET = Annual Potential Evapotranspiration

- Hyperarid
- Arid
- Semi-arid
- Dry subhumid
- Humid
- Cold climates

semi-arid areas cover
 17.7% of land globally

UNEP World Atlas of
 Desertification

Source: CRU/UEA, UNEP/GRID
 Approximate coordinates: 10°N, 10°S

CLIMATIC VARIABILITY IN DRYLANDS

Introduction

The study of desertification is hampered by the normal variability of dryland areas, as outlined briefly in the Introduction. Accurate identification of the causes of desertification, and thus suitable strategies for its treatment, can only be made by paying close attention both to the human use and possible mismanagement of resources and also to the way in which dryland ecosystems and their resources respond to climatic variations. While this atlas concentrates on human-induced soil degradation, it is important to note the dynamic nature of some of the natural environmental elements in the dryland equation.

The inherent variability of dryland environments is very largely governed by the variations in climatic parameters that characterize such regions. Chief among these climatic parameters is precipitation, the input of moisture into the desert ecosystem. While many dryland areas receive important inputs of moisture

from dew, and some others from fog, rainfall is the key source of moisture in most of the world's dryland regions. However, the "effectiveness" of rainfall, that is the amount available for plant growth or other uses, is also dependent upon the main output from the ecosystem, evapotranspiration, which is governed by parameters such as vegetation cover and type, wind speeds, and perhaps most importantly temperature. Hence in this section a closer look will be made at the variability of rainfall and temperature in the world's drylands as a contextual background to the preceding pages on dryland degradation. The graphs and maps shown on these pages are supplied by the CRU.

Rainfall

Figures 1 to 3 show time series graphs of annual rainfall for three dryland regions: the Sahel from the Atlantic coast to 35°E;

the northeastern region of Brazil from 44°W to the Atlantic coast and from the Equator to 10°S, and North China from 100°E to the China Sea coast and from the borders with Mongolia and Russia to 35°N.

The graphs for each area have been derived as follows: the annual rainfall series for each station in the area is normalized by taking away the long-term mean from each value and the difference is then divided by the long-term standard deviation. The long-term period on which the mean and standard deviation are based in each area is 1951–1980, the period of the climate surfaces used for the annual Aridity index which has given the dryland area boundaries throughout the global and continental Africa sections of this atlas.

Normalizing gives a set of data series that are more readily comparable as each series will then have a mean close to zero and a standard deviation close to one. The spatial mean rainfall anomalies are then found by averaging the values for all stations in the area with data. Although the number of stations with

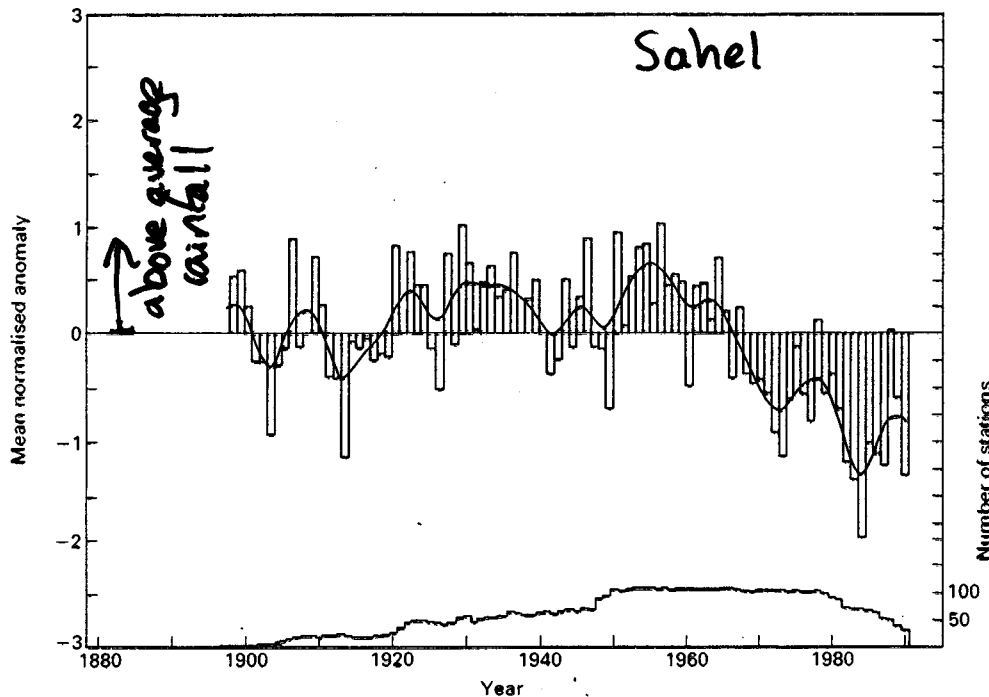


Figure 1 Time series of annual rainfall – The Sahel (1897–1990)

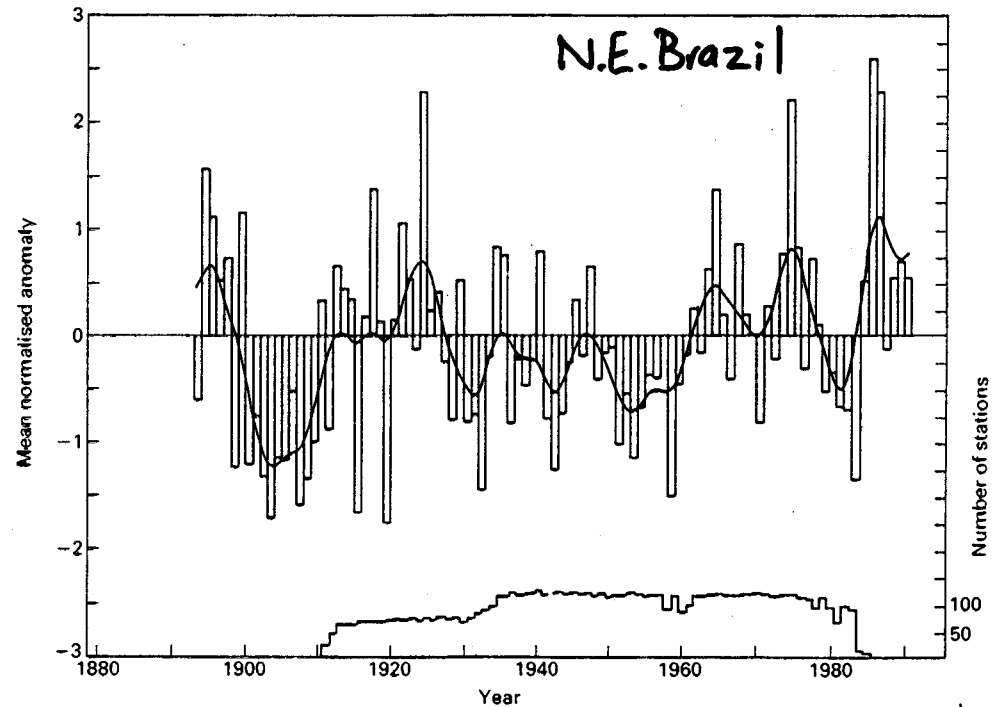


Figure 2 Time series of annual rainfall – Northeastern Brazil (1893–1990)

From UNEP Desertification Atlas

Semi-arid regions pose a
challenge to models

soil moisture
C.f. seasonal snow cover

- strong influence on fluxes

- large range of conditions over
a year

- to model soil moisture reservoir over
months needs accurate:

evaporation
deep drainage
runoff

also in a GCM need good forcing:

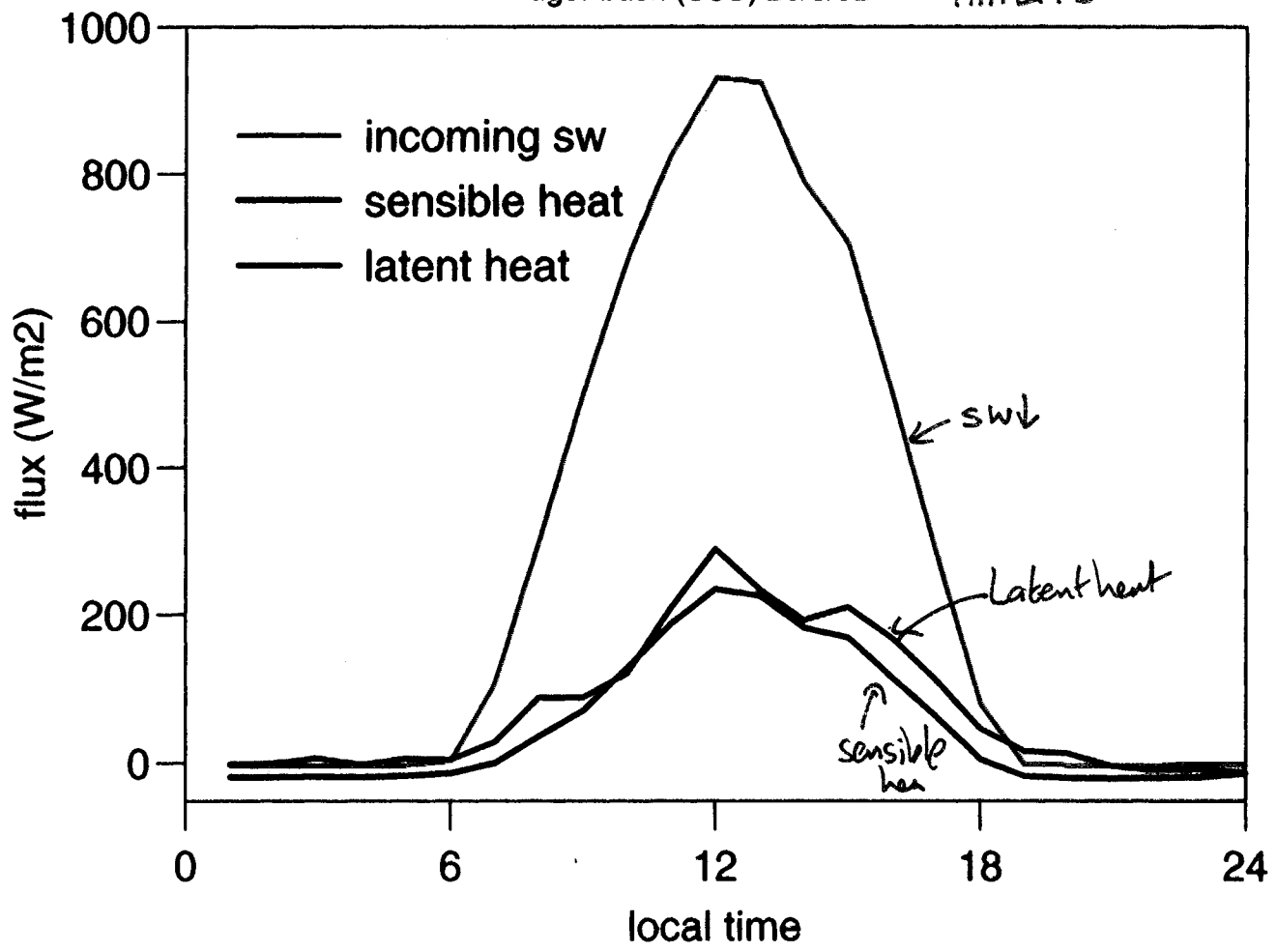
rainfall
solar radiation

This is DIFFICULT

I Diurnal Variability

flux observations

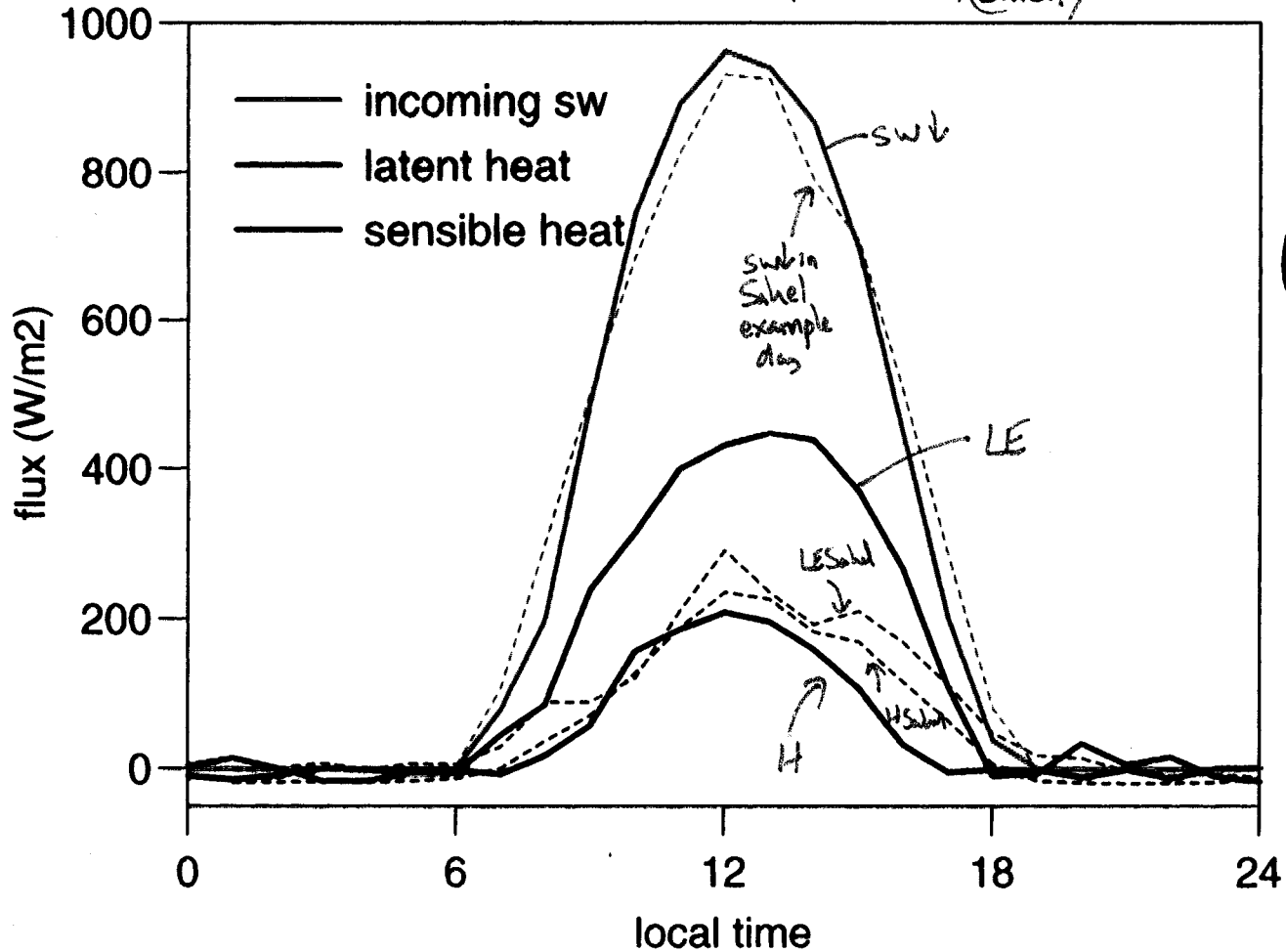
tiger bush (SSS) 20/8/92 HAPEX Sahel Southern Super Site



see Goutorbe et al for an overview of HAPEX-Sahel or HAPEX-Sahel special issue Journal of Hydrology 188-189 1997

flux observations

ABRACOS forest tower (wet season) (Brazil)



tropical forest
- higher available energy

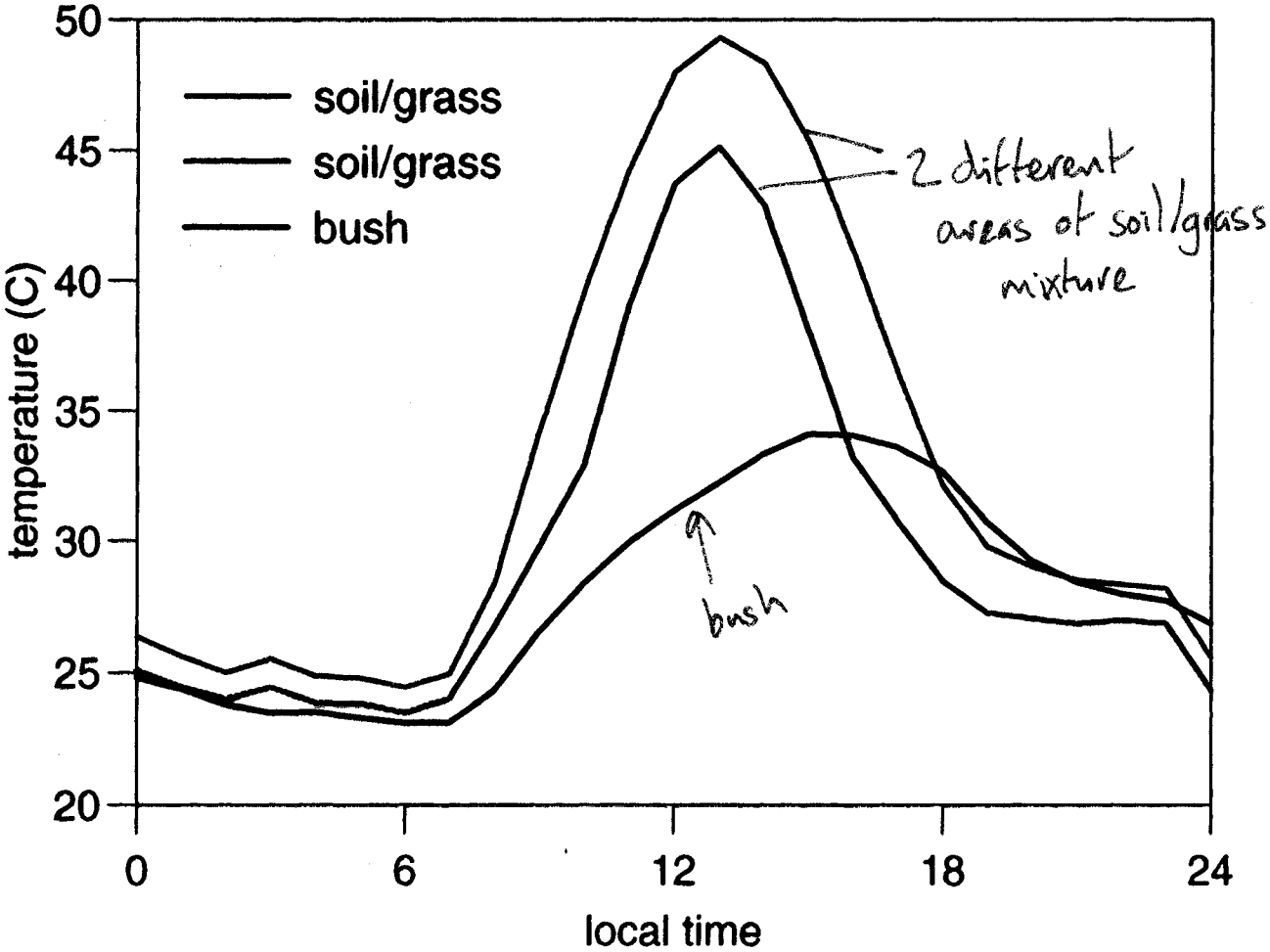
$$A = H + LE$$

- often has higher evaporative fraction

$$\frac{LE}{H + LE}$$

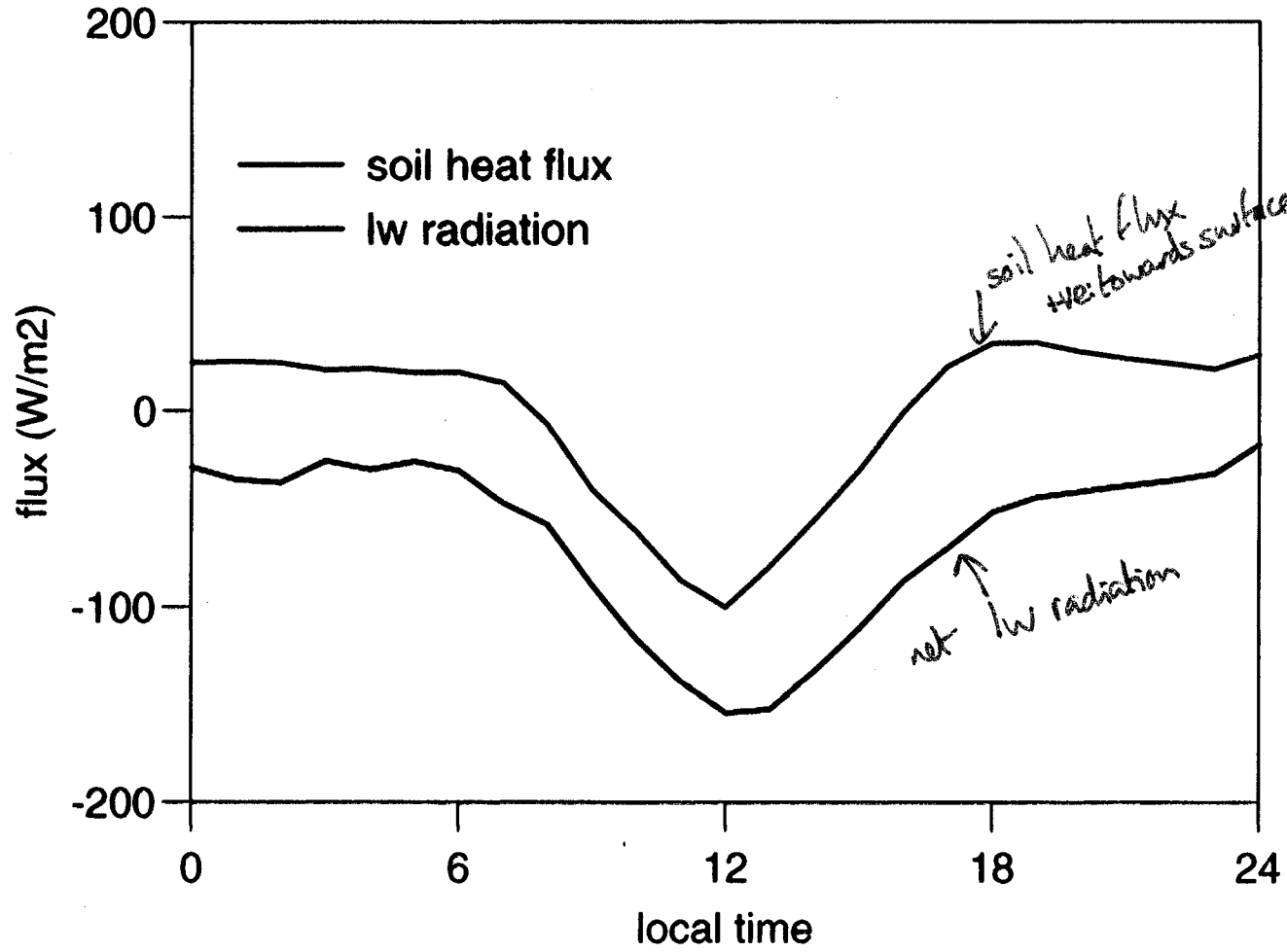
surface temperature observations

fallow savanna SSS 6/9/92



surface flux observations

fallow savanna SSS 6/9/92



Differences between sparse and dense vegetation

Sparse veg:

lot of exposed soil

∴ properties of soil have impact on surface fluxes

albedo* ~20-30% c.f. tropical forest ~12%

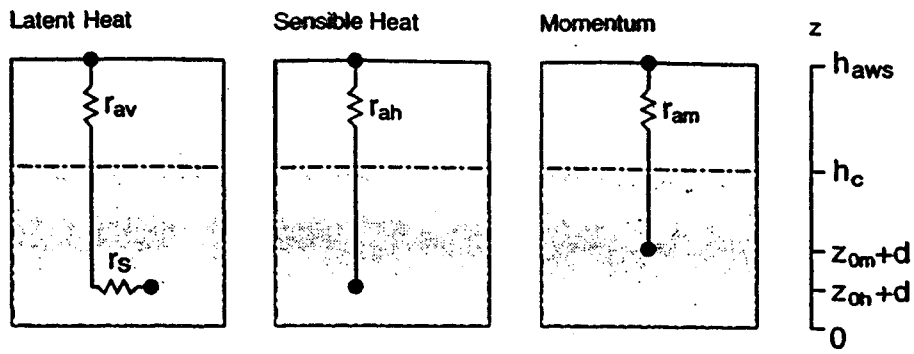
ground heat* flux can be 200 W m^{-2} around midday tropical forest negligible

long-wave* fluxes dominated by hot soil
(σT^4)

evaporation

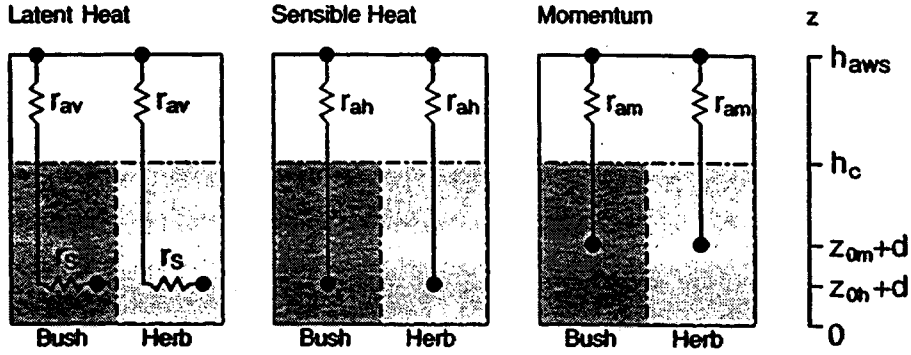
* reduce available energy

1. Big Leaf Model →



or bush/
soil

II. Two Source Model



III. Two Layer Model

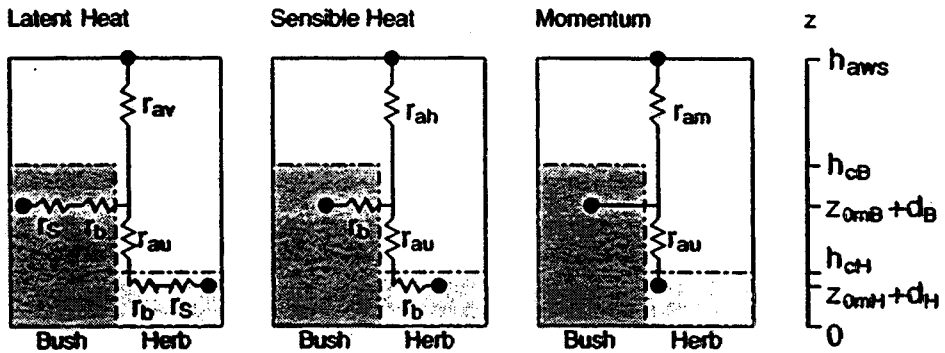


Fig. 2. Schematic diagrams showing the resistance networks of the three models (height axis not to scale).

Penman-Monteith equation is assumed to hold for the bushes across aerodynamic resistance r_{b_B} and for the herbs across $r_{au} + r_{b_H}$ to a point $z = z_B$ with vapour pressure deficit D_B . λE is determined from (2) where

$$\lambda E_B = \frac{\Delta A_B + \frac{\rho c_p D_B}{r_{b_B}}}{\Delta + \gamma \left(1 + \frac{r_{s_B}}{r_{b_B}} \right)}, \quad \text{from Huntingford et al (1995)} \quad (4)$$

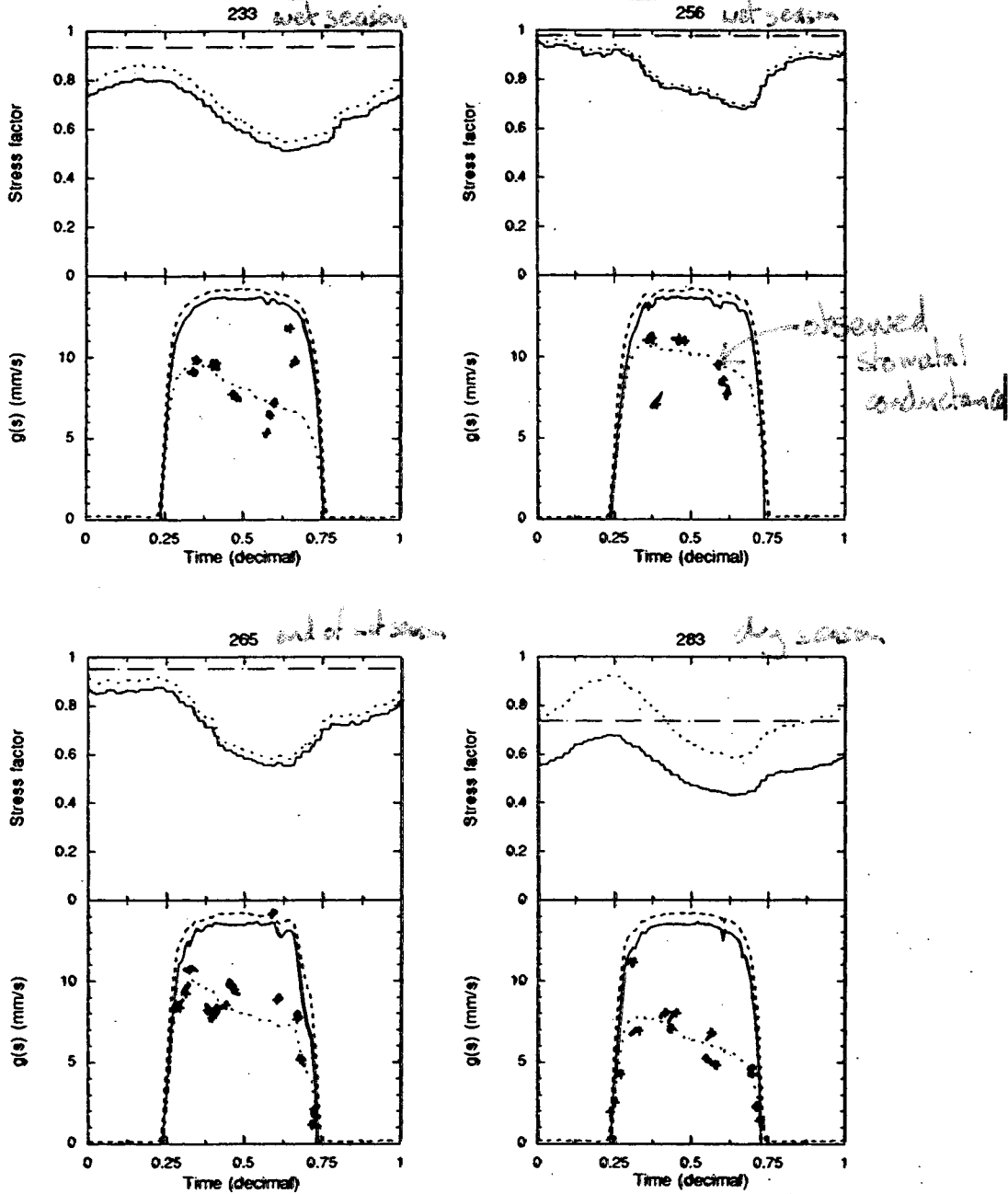


Fig. 5. Diurnal curves of environmental stress functions and the potential and actual stomatal conductances: (a) *Guiera senegalensis* (West-Central shrub fallow site), for selected days during the growing season of 1992.

stomatal conductance

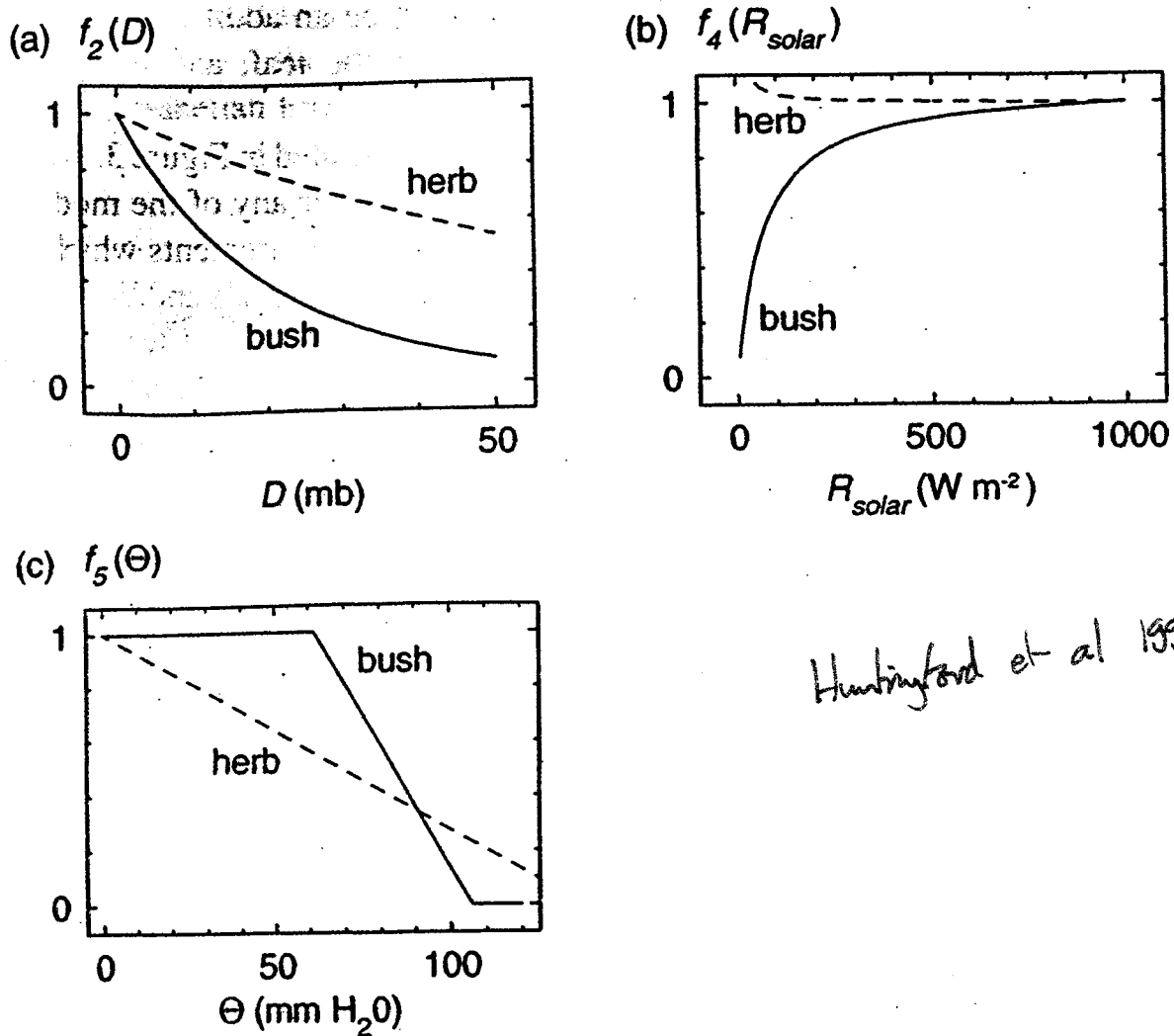
plants control exchange of water and CO₂ with atmosphere by adjusting stomata according to environmental conditions

e.g.

$$g_s = g_{smax} f_1(v.p.d.) \times f_2(T) \times f_3(P.A.R.) \times f_4(\text{soil moisture})$$

Photosynthetically active radiation

soil moisture



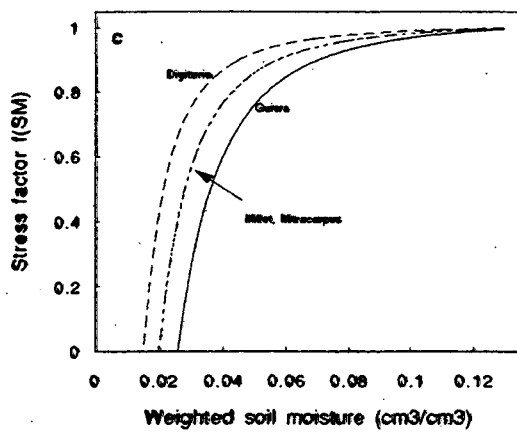
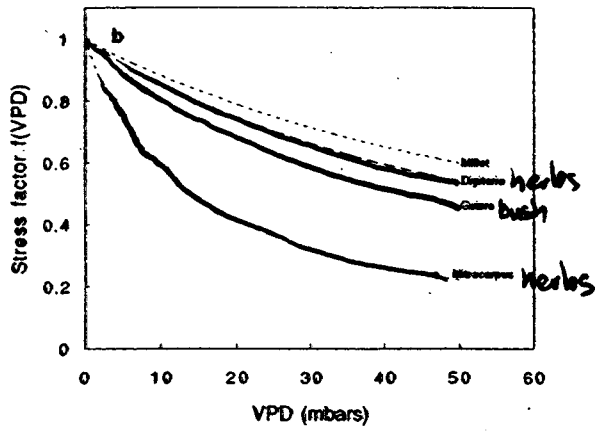
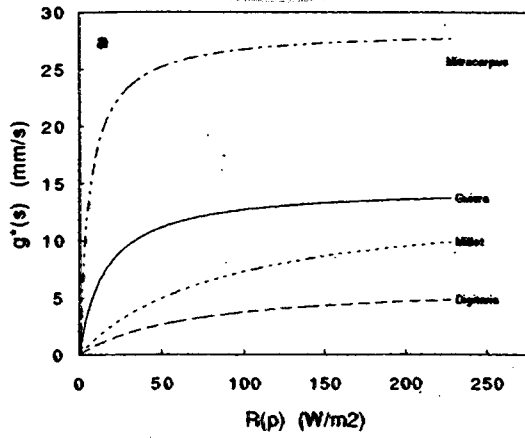
Huntingford et al 1995

Fig. 5. Stomatal response of bushes and herbs to (a) vapour pressure deficit, (b) solar radiation and (c) soil moisture deficit as determined using the Two Layer Model.

bush evaporation rate was optimized first, then the herb response a_{4H} should be regarded as the optimization adjusting to compensate for a_{4B} taking unexpected values.

Although it is recognised that the confidence limits on the a_4 values are large, the different values of a_{4B} may be indicating something important about the aerodynamic resistance networks. Suppose τ_{ah} in the Penman-Monteith equation is artificially increased. To maintain a good fit of λE , the value of τ_S must change too, but the amount by which it must adjust depends on the other variables in the equation. The variable with the highest variation is the available energy $R_n - G$ and it is found that the smaller the value this takes, the smaller τ_S must be to compensate. As the available energy shows an almost identical diurnal trend to the incoming solar radiation, this provides an explanation why $a_{4B} = -3.39$ for the Two Source Model, that is, the aerodynamic resistance for the bushes in the Two Source Model is too large.

For the Two Layer Model, the opposite is happening. The value a_{4B} is too high, high in turn is due to the resistance network for the bushes having missing resistance...



stomatal conductance models

- optimisation procedures based on limited set of observations can give quite different results
- alternative to this approach is to base g_s on (comparatively) well-validated models of leaf photosynthesis
 - carbon fluxes
 - shared dependencies of canopy conductance + p/s - fewer model parameters in principle

see e.g. Cox et al 1998

MOSES canopy conductance model

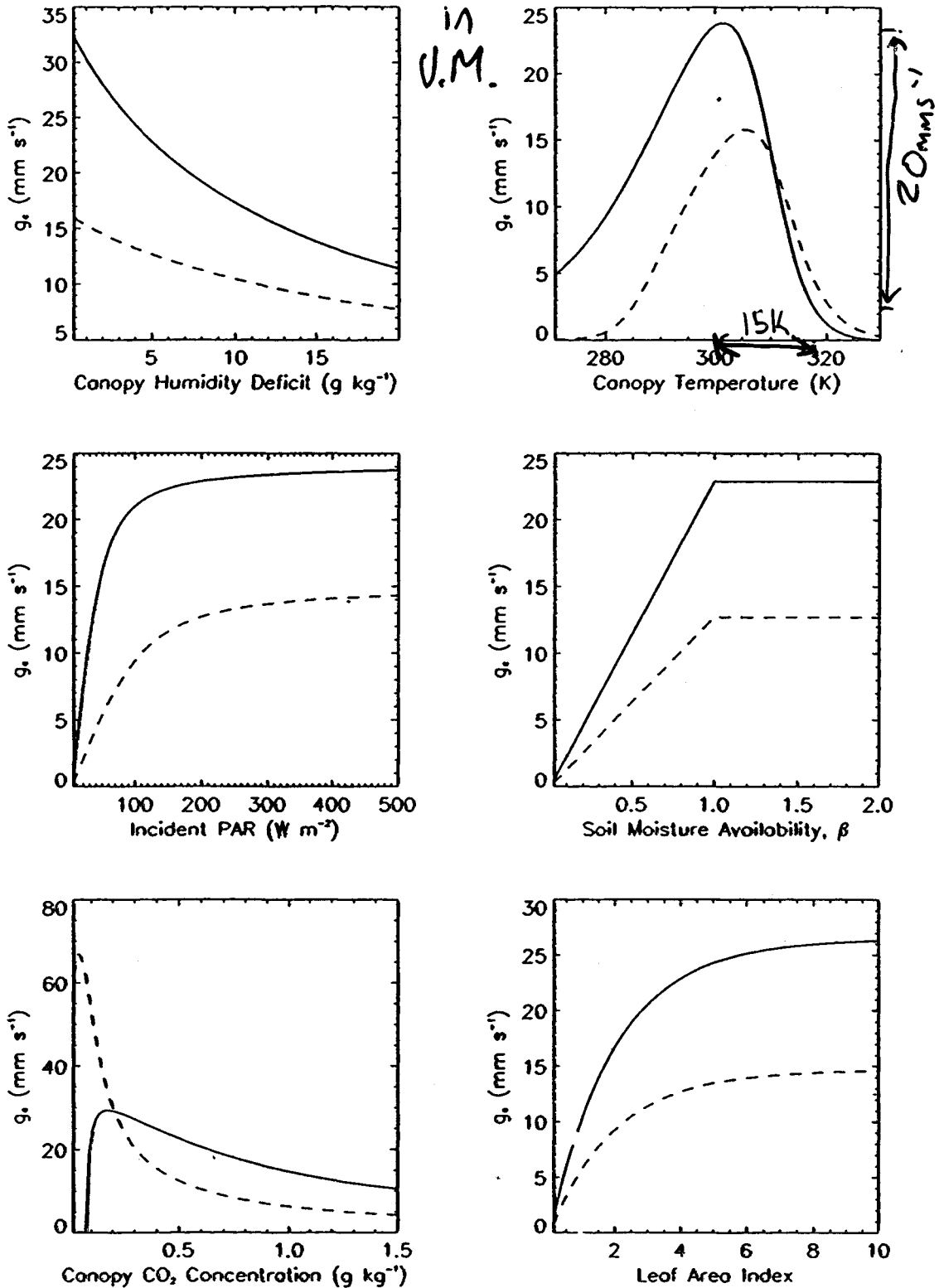
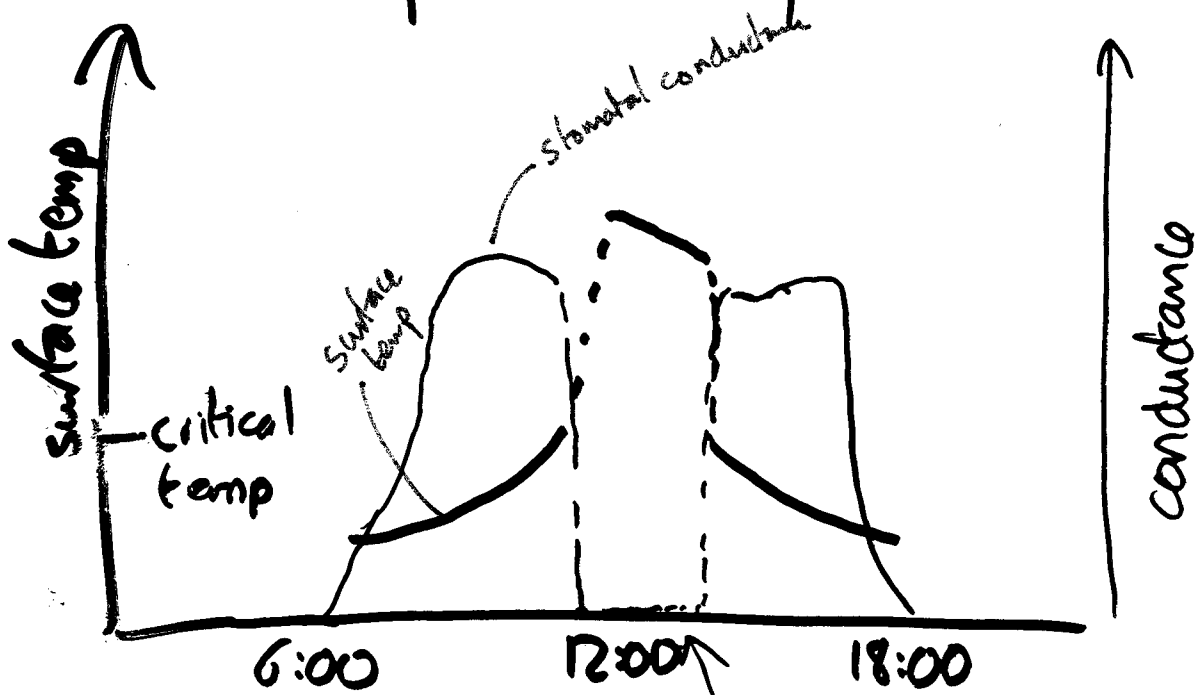


Figure 2: Dependences of the MOSES canopy conductance on environmental and structural variables. Typical responses of C₃ and C₄ grasses are represented by the continuous and dotted lines respectively. (Defaults: $dq = 5.0 \text{ g kg}^{-1}$, $T = 298.15 \text{ K}$, $\beta = 1.0$, $PAR = 200 \text{ W m}^{-2}$, $CO_2 = 0.490 \text{ g kg}^{-1}$, $LAI = 4.0$).

description of MOSES - Cox et al 1999

in practice, conductance/photosynthesis models can show unrealistic behaviour when coupled to imperfect GCMs



Time stomata close down entirely
 possible behaviour in complex model of conductance + photosynthesis coupled to imperfect GCM

II daily variability

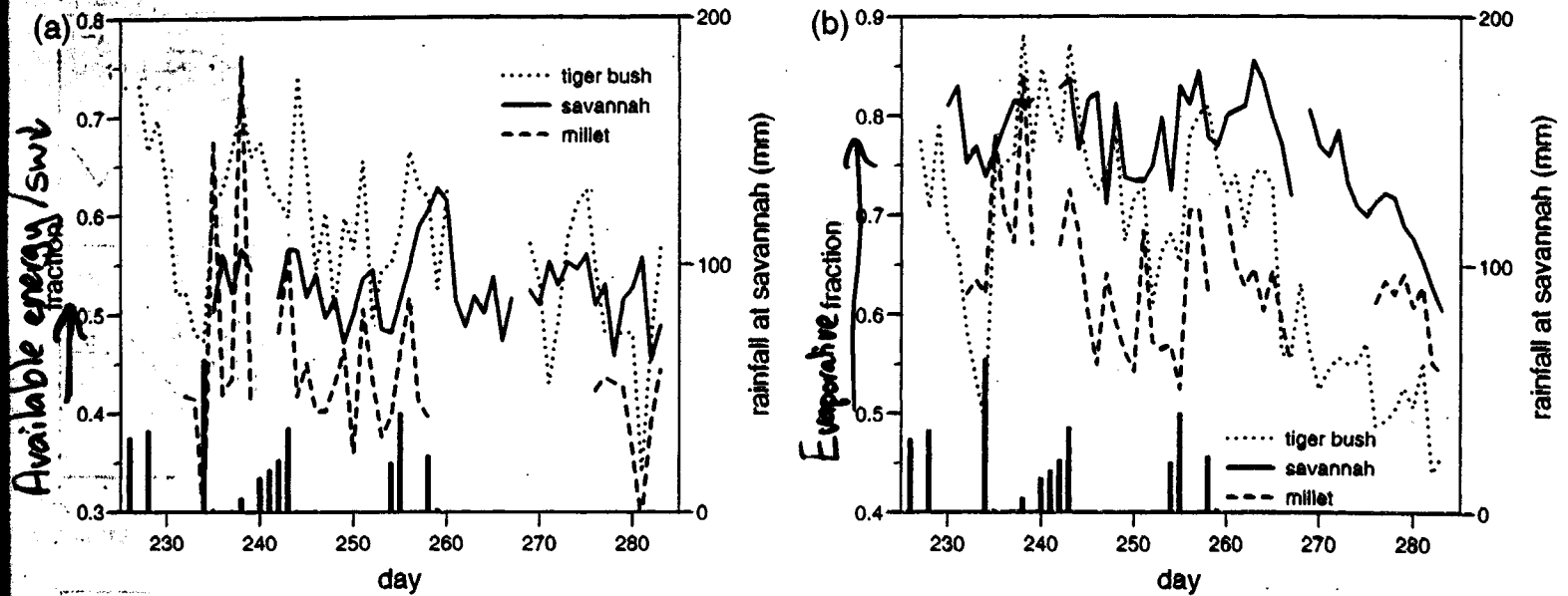


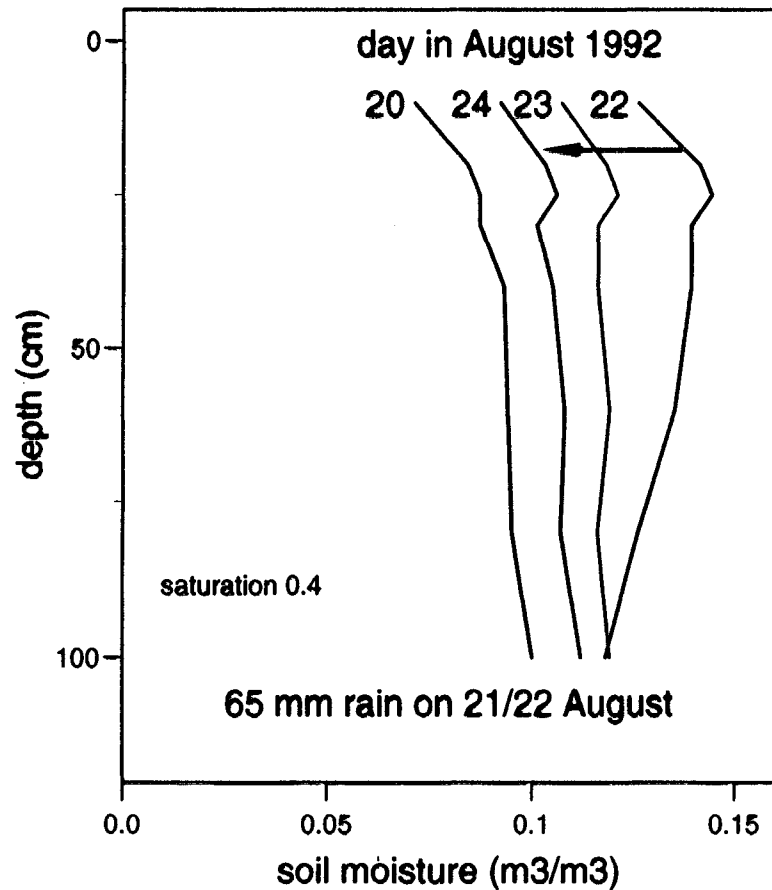
FIG. 5. Daytime averaged values of (a) available energy normalized by incoming shortwave flux and (b) evaporative fraction for the three SSS subsites. The daily rainfall (mm) at the savannah site is also shown.

rainfall difference



II daily variability

Soil moisture evolution after rainfall



Direct evaporation from bare soil can exceed 3 mm/day (100 W m^{-2}) for 1-2 days after rain

During wet season, rain events generate variability in LE and H

from Allen et al 1994

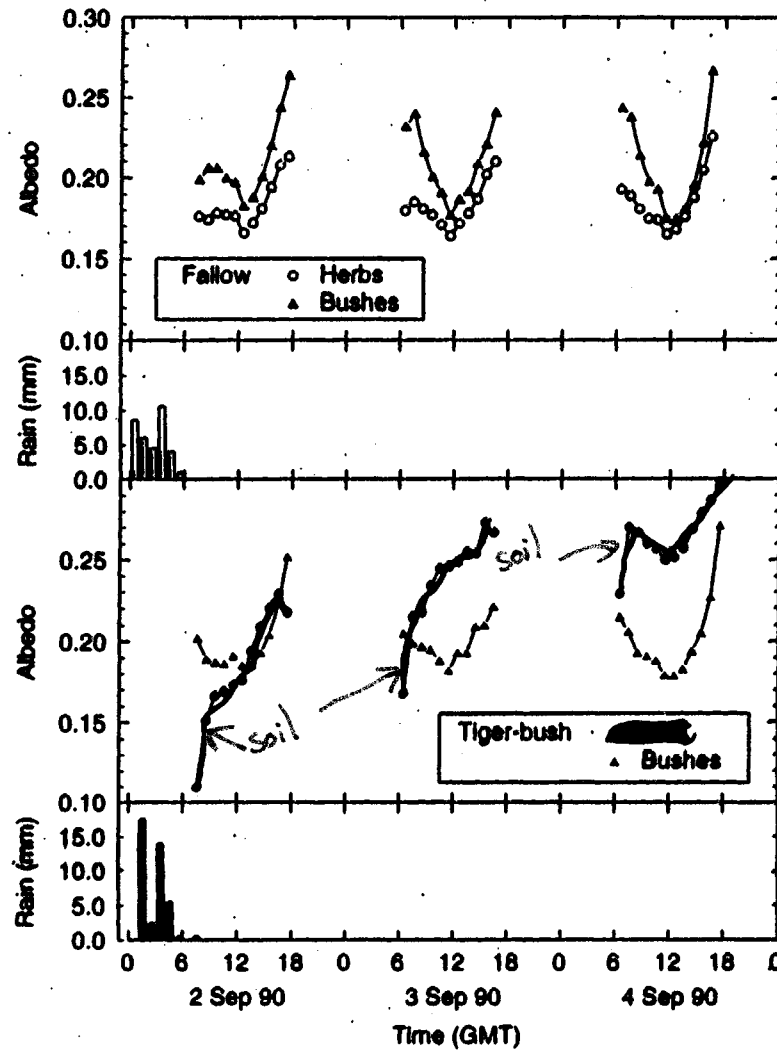


Figure 2. Variation in hourly mean albedo for the component surfaces at the fallow and tiger-bush sites over a 3-day period following a rainstorm (hourly rainfall totals also shown).

modelling soil moisture movement

Darcy equation

$$W = K \left\{ \frac{\partial \psi}{\partial z} + 1 \right\}$$

K hydraulic conductivity

ψ soil water suction

both K and ψ depend on θ and properties of soil

$$K = K_{sat} \left(\frac{\theta}{\theta_{sat}} \right)^{2b+3}$$

eg

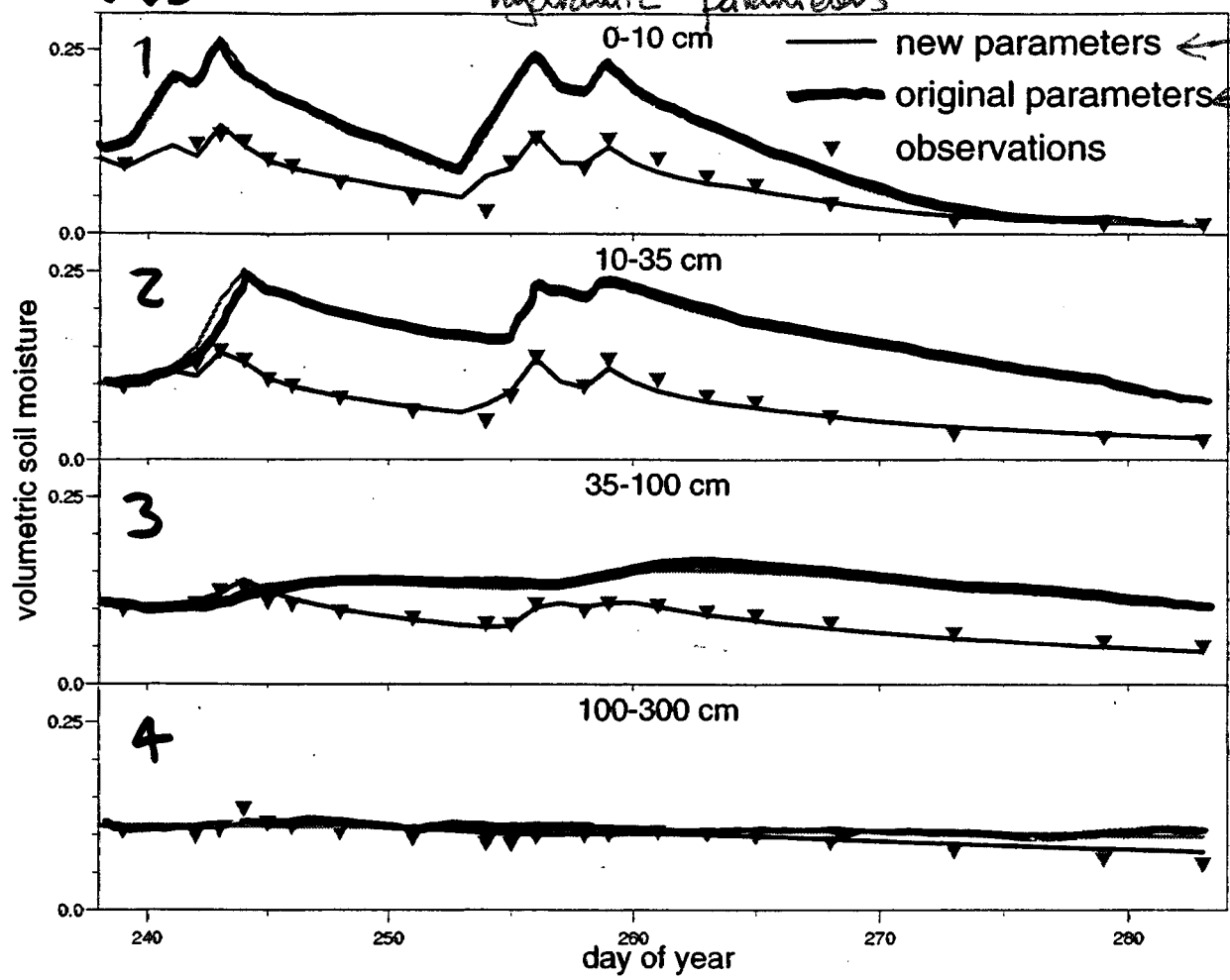
$$\psi = \psi_{sat} \left(\frac{\theta}{\theta_{sat}} \right)^{-b}$$

↳ θ_{sat} , K_{sat} , ψ_{sat} and b all need to be specified for every soil type

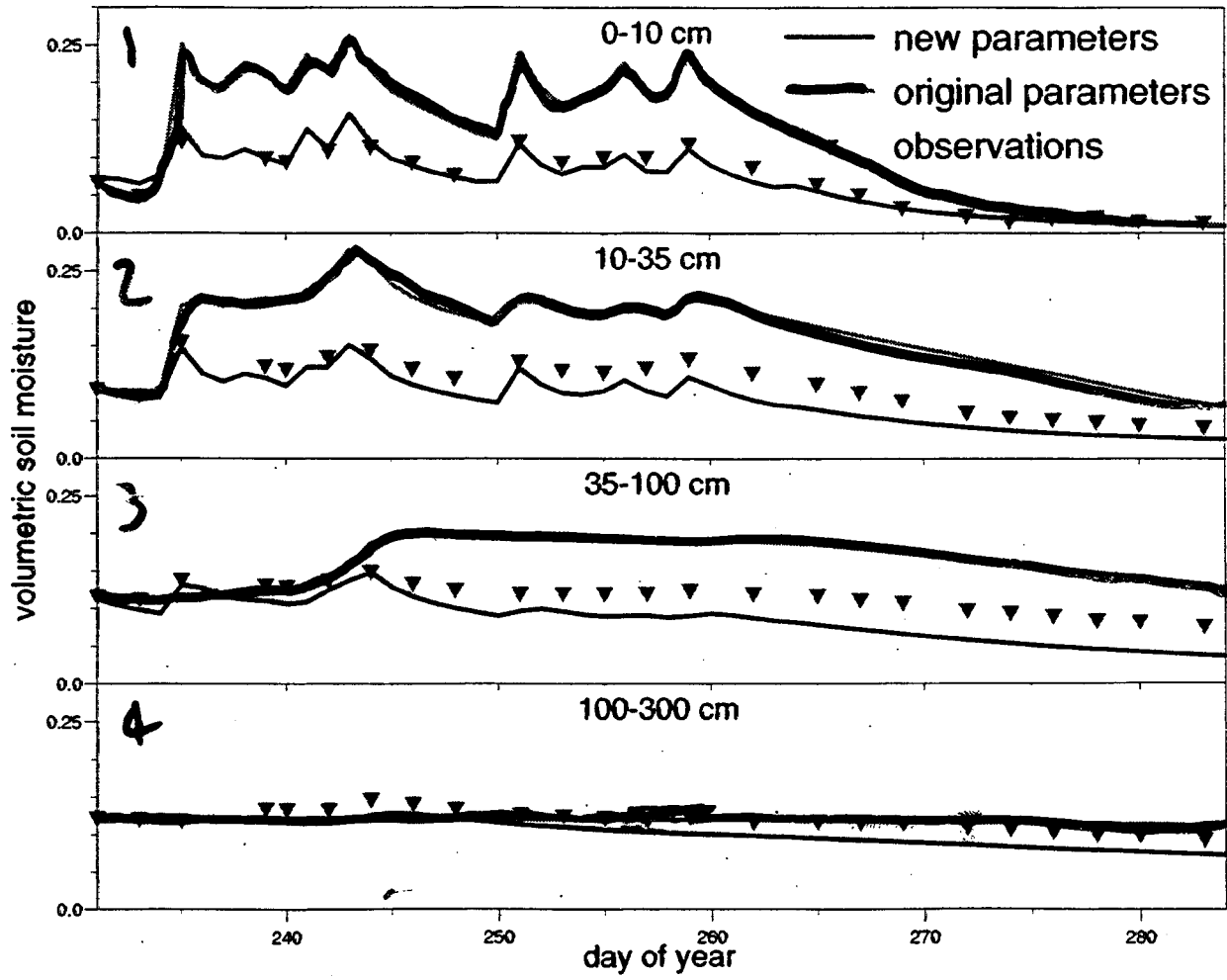
levels

sensitivity of water fluxes to specification of hydraulic parameters

more specific sand from standard UKMO sandy soil



fallow SSS



fallow WCS

$$\begin{aligned}
 3 \quad E_s &= \frac{\rho}{R_s} \beta [a_{\text{sat}}(T_s) - a_s] && \text{with } \beta \\
 4 \quad E_s &= \frac{\rho}{R_s + R_{\text{can}}} [h a_{\text{sat}}(T_s) - a_s] && \text{with } R \\
 5 \quad E_s &= \min \left\{ \rho_w E_r, \frac{\rho}{R_s} [a_{\text{sat}}(T_s) - a_s] \right\} && \text{with } E
 \end{aligned}$$

the maximum values being for a comparable value of soil moisture ($w_s \approx 0.60 w_{\text{sat}}$). Figure 4b shows that $\beta = \alpha$ from NP89 fits this set of curves quite well.

Test 4 corresponds to the more complex β method

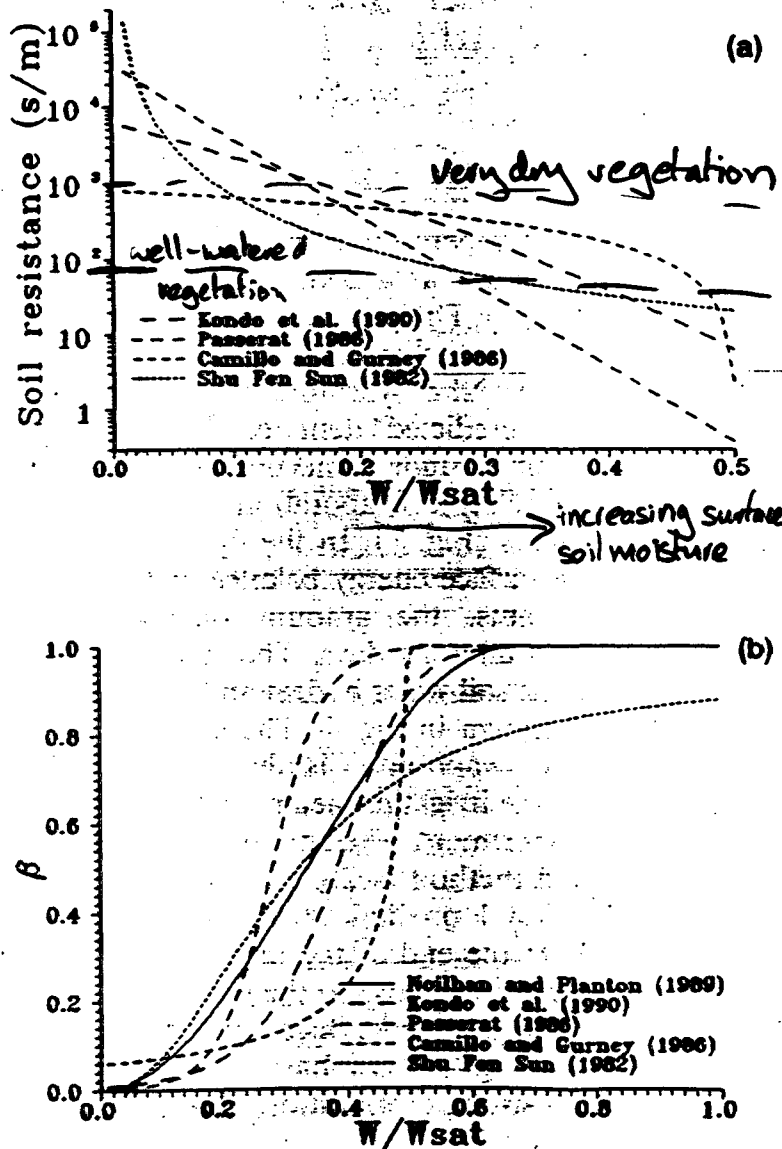


FIG. 4. Soil resistance (a) and moisture availability coefficient β (b) as function of relative water content (see Table 1). The coefficient β is computed for a value of $R_s = 50 \text{ s m}^{-1}$.

used by Dorman a to test 2 except for potential in Eq. (Hornberger (1978 silty clay loam ($\psi_s = 7.75$).

Test 5 corresponds to formulation. In Fig. [Eqs. (MP84), (D face moisture content = $0.3 \text{ m}^3 \text{ m}^{-3}$ which of the curves below 100 W m^{-2} formulation of A has very high value will first occur at a moisture. Since E moisture, this means evaporation forms to the other ones. The formulation chosen because it is a simple two-layer model to Eq. (9) from W set to $2d_1/C_1$ as (1989). This leads

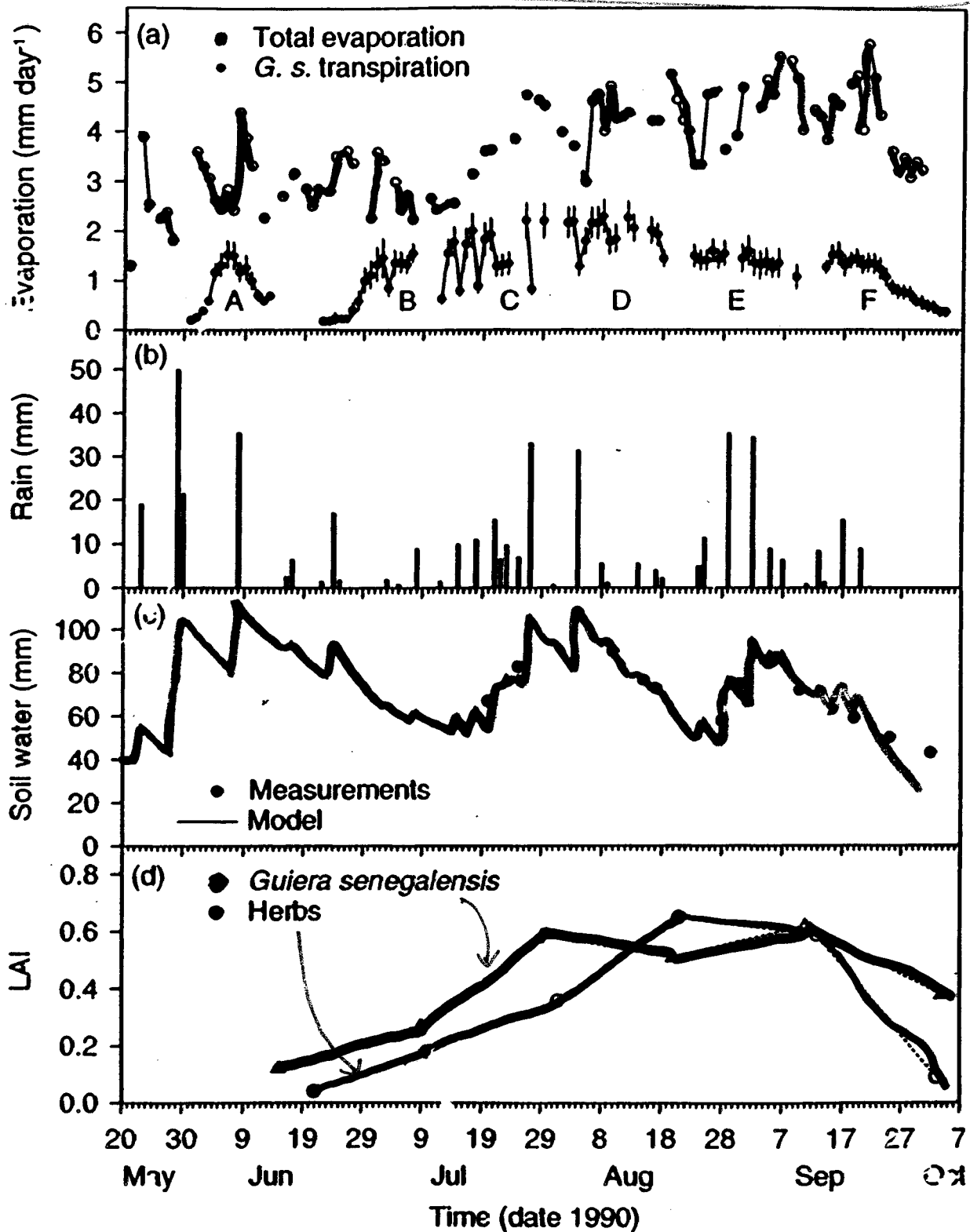
We first examine surface water content and the cumulative seven experiments.

Six experiments content obtained: 6a. These measurements of the gradient of water in the formation of a surface for details). The w_s during the first quasi-steady behavior (except for test 5) evaporation is compensated

from Malhotra + Neillman 1991

III seasonal variability

S.J. Allen, V.L. Grime / *Agricultural and Forest Meteorology* 75 (1995) 23–41



Components of the fallow savannah water balance and leaf area growth over the 1990 wet season: (a) total evaporation (measured by eddy correlation) and daily total *G. senegalensis* transpiration (measured by sap flow gauges, mean \pm SE); (b) daily rainfall; (c) measured and modeled soil water content; (d) leaf area indices of *G. senegalensis* and herbaceous understorey.

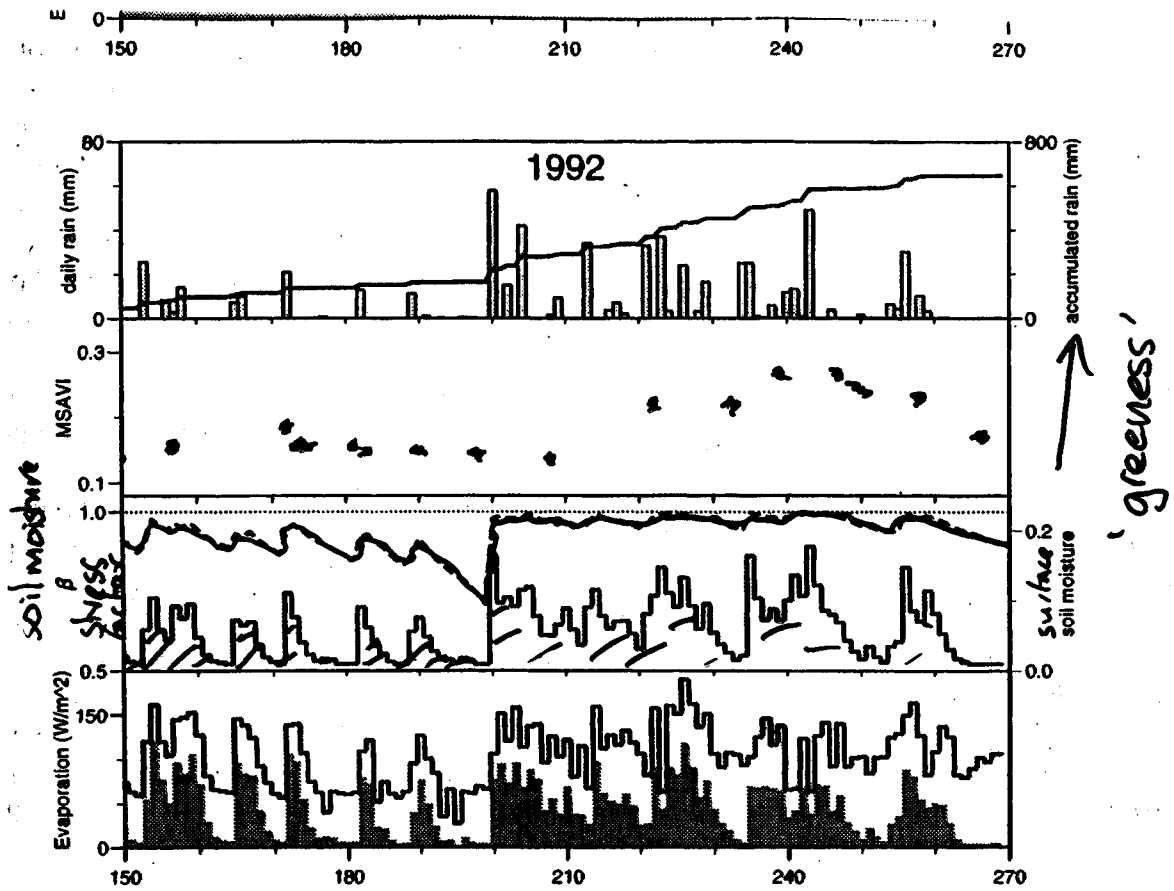
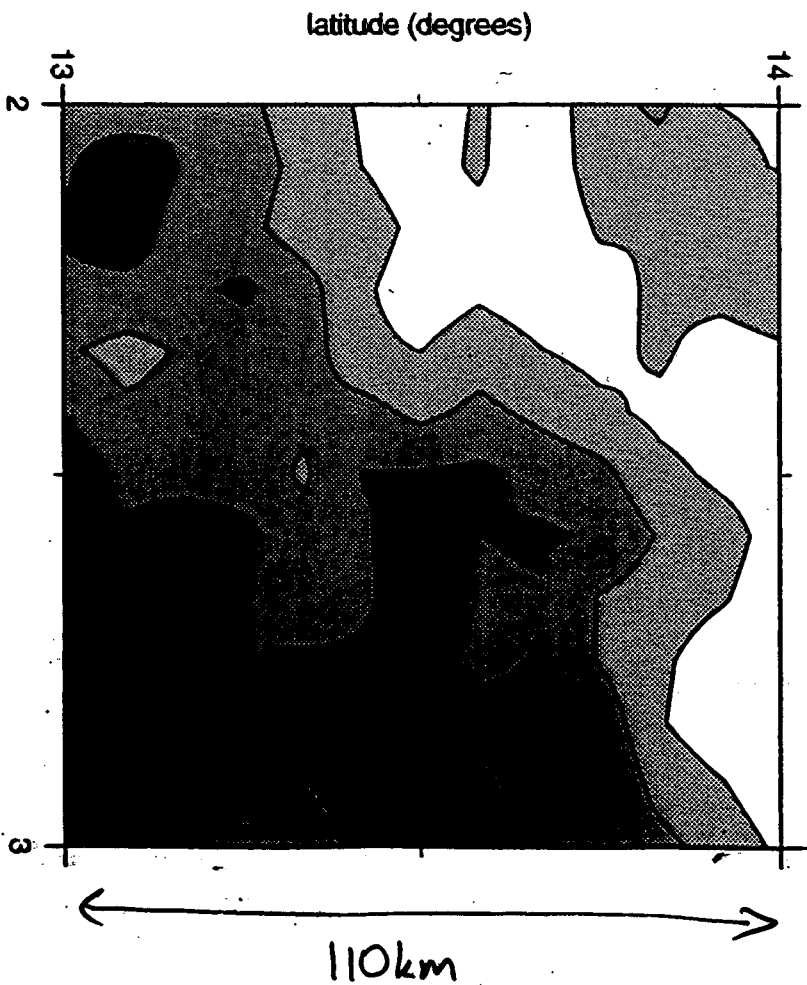
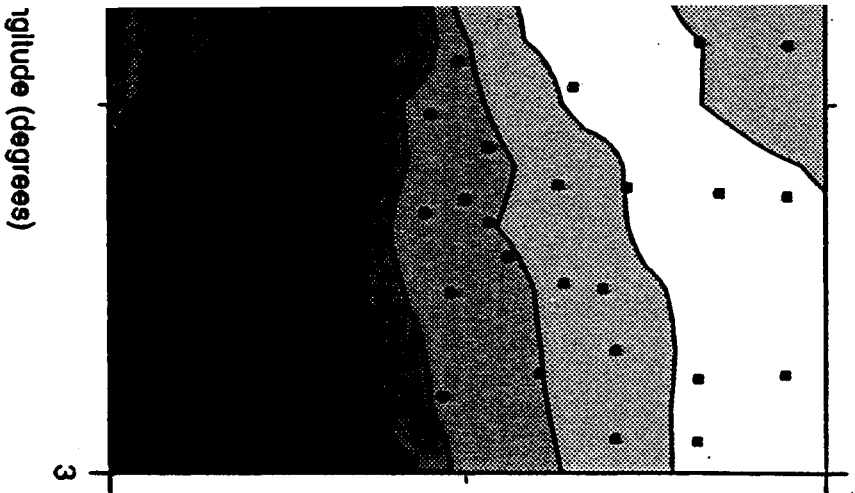


Figure 3. Summary of conditions averaged over the SSS stations for (a) 1991 and (b) 1992. Top panel: daily (bars) and accumulated rainfall. Second panel: MSAVI. Third panel: modelled soil moisture stress function (β) for fallow savannah (dashed) and 0–5 cm volumetric soil moisture ($\text{m}^3 \text{m}^{-3}$; solid). Bottom panel: modelled daily total evaporation (line) and contribution from bare soil (shaded)

Taylor 2000

July 1-16, 1997

MSAVI July 9-18 1997



every 20mm

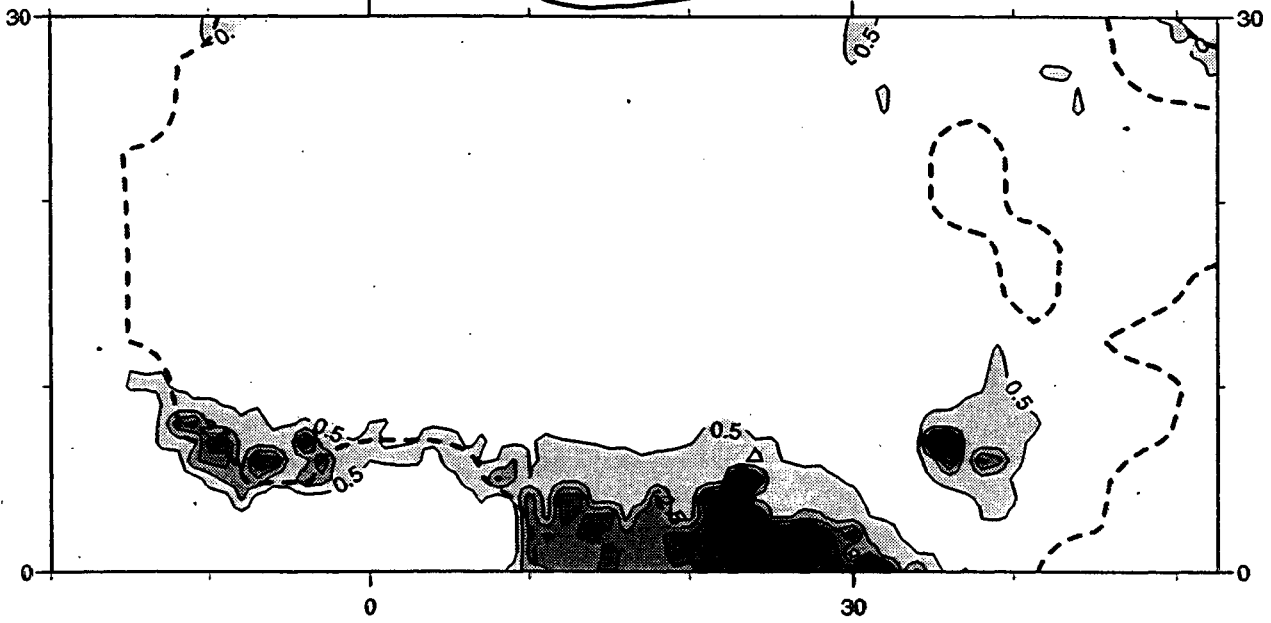
contours every 0.03

impact of rainfall on leaf area

isLmar

March

LAI ISLSCP

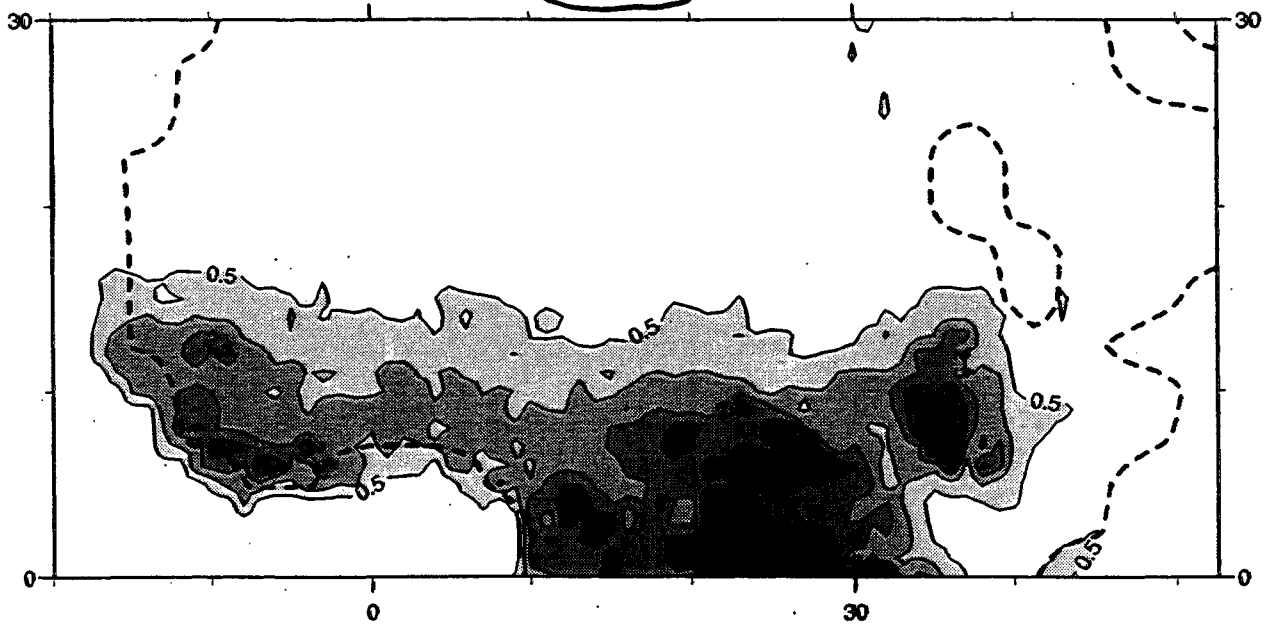


ISLSCP I LAI data
over ~~the~~ North Africa

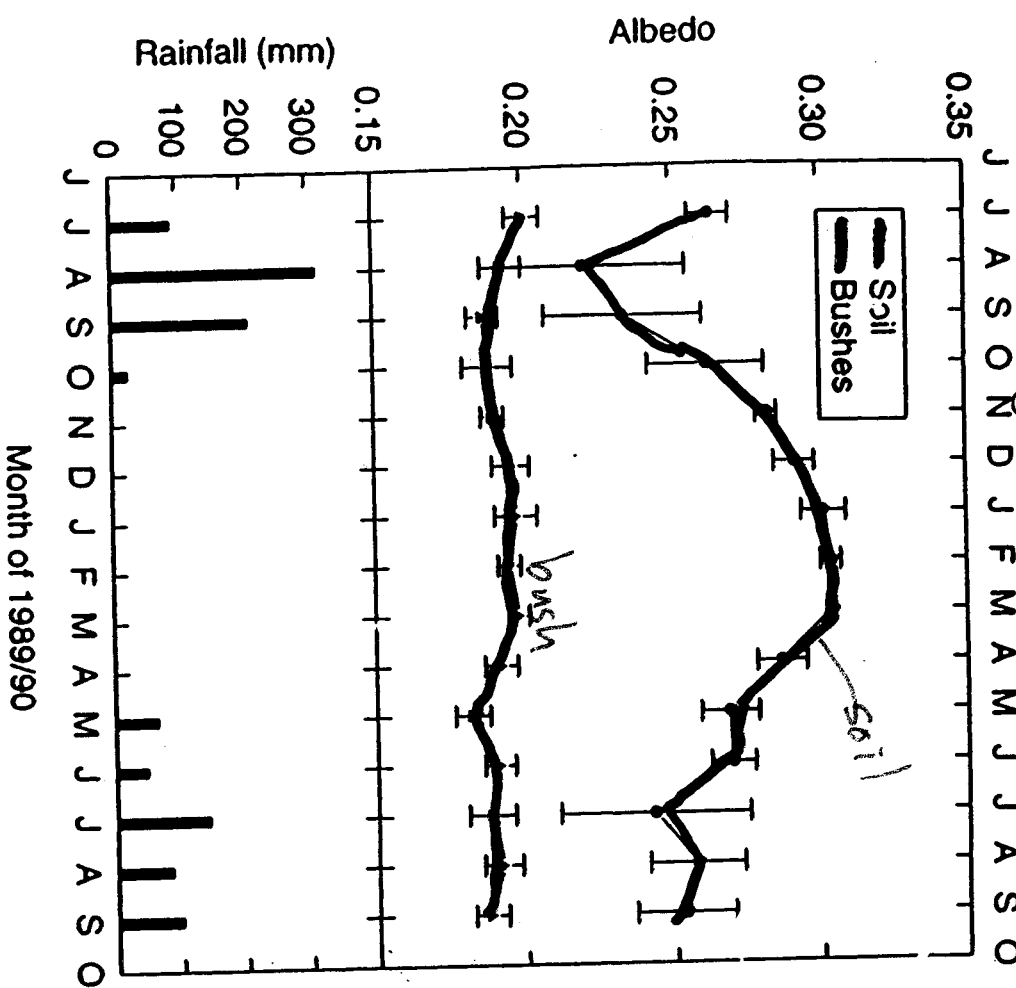
isLsep

september

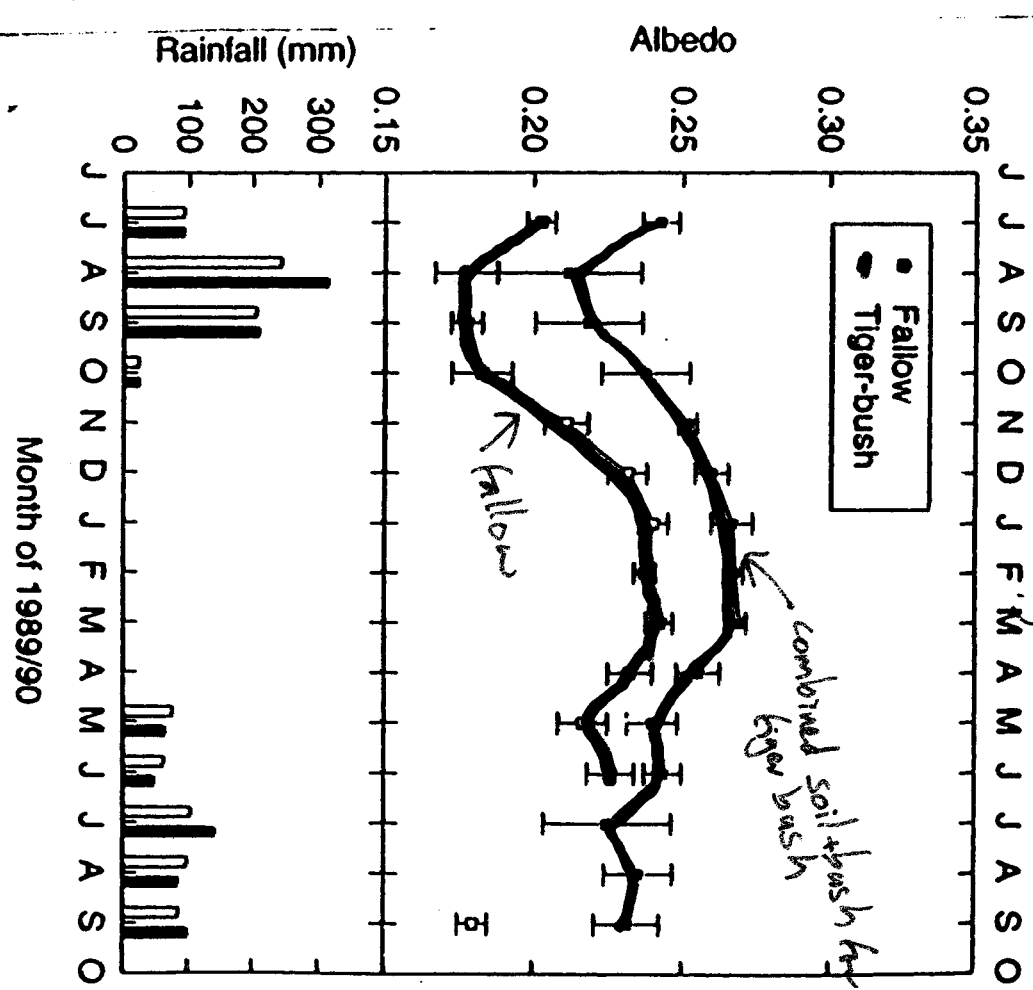
LAI ISLSCP



Tiger bush components



Fallow + Tiger-bush



Allen et al

Gaze et al

dry season soil moisture measurements in savanna

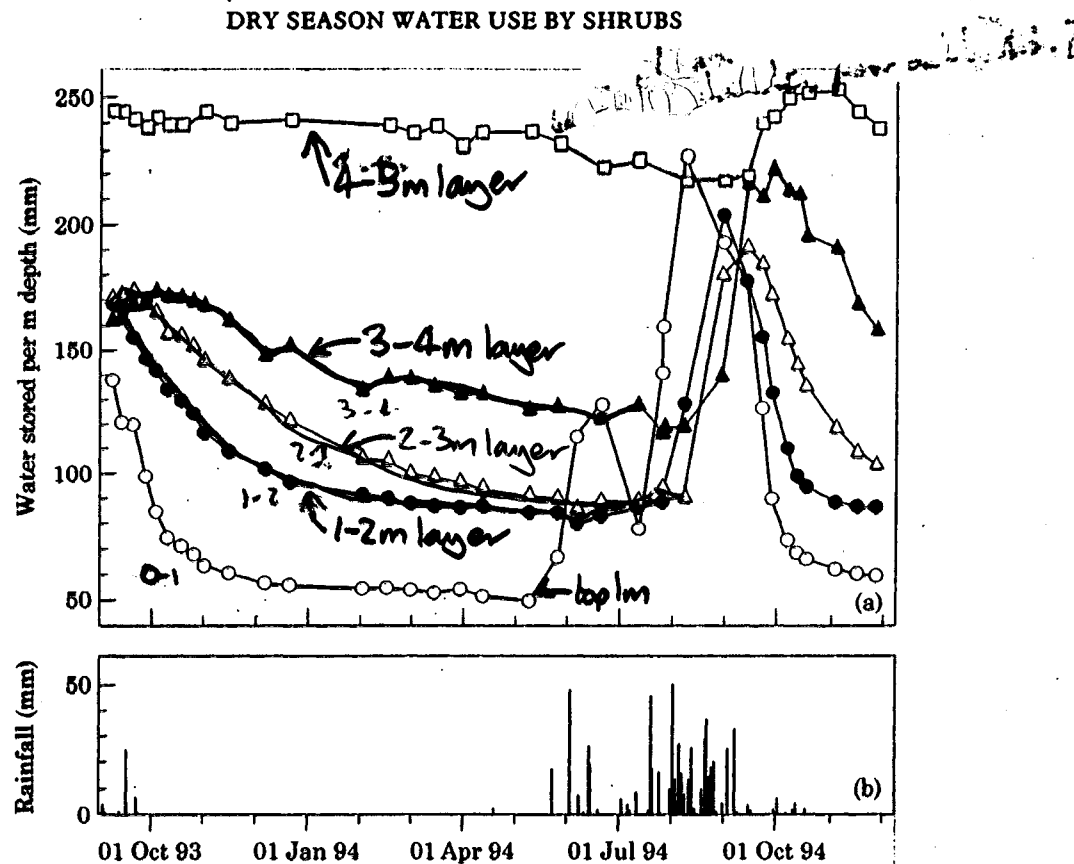
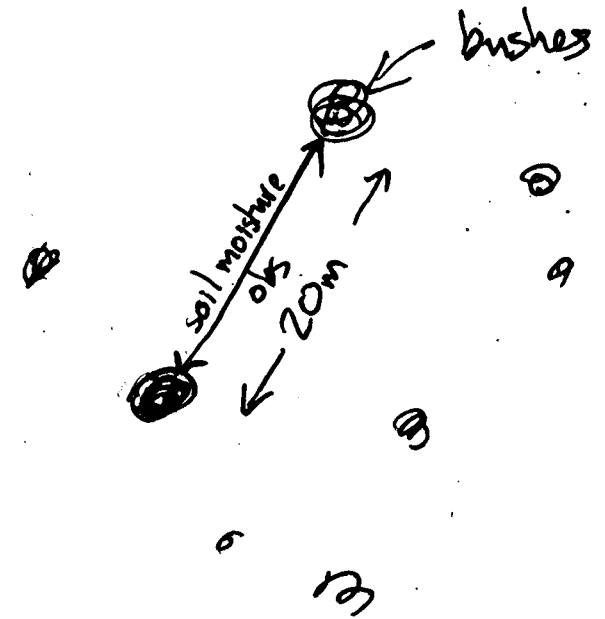


Figure 3. (a) Mean soil water storage for depth increments of 0-1 m (○), 1-2 m (●), 2-3 m (△), 3-4 m (▲) and 4-5 m (□), and (b) daily rainfall between September 1993 and November 1994 at a *Guiera senegalensis* savanna in south-west Niger.

1993. It might therefore reasonably be assumed that the drying of the soil profile in the ... is due to evaporation from the soil surface and plant

rad, Girtton,

Gaze et al



DRY SEASON WATER USE BY SHRUBS

61

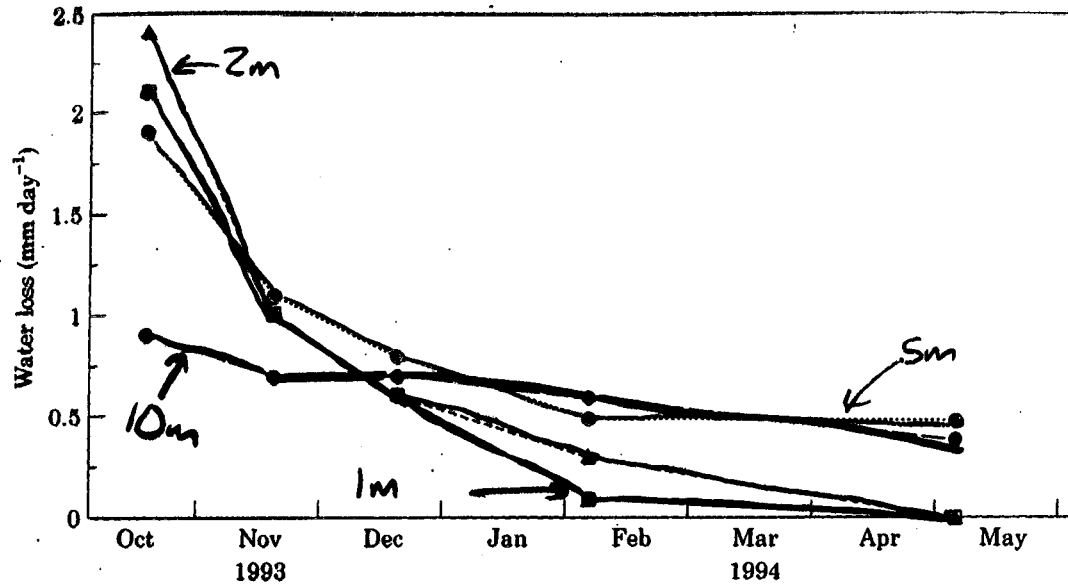


Figure 4. Temporal patterns of water loss during the dry season at different distances from the nearest *Guiera senegalensis* bush in a savanna in south-west Niger: (□) = 1 m; (Δ) = 2 m; (○) = 5 m; (●) = 10 m.

5404 was 151 mm, equivalent to 28% of the 1993 annual rainfall. Of this water loss, 59% (89 mm) was from depths greater than 2 m. In the absence of the deep-rooted *G. senegalensis*, this water would otherwise have contributed to ground-water recharge below the site.

IV Interannual Variability

Zeng et al

Department of Atmospheric and Planetary Physics, University of California Los Angeles, CA 90095-1567, USA. ²NASA-Goddard Space Flight Center, Greenbelt, MD, 20771,

was modified to account for the effects of leaf-to-canopy scaling (20) so that the canopy conductance g_c for evapotranspiration is

$$g_c = g_{\text{max}} \beta(w) (1 - e^{-kL}) / k \quad (2)$$

For correspondence should be addressed. E-mail: zeng@atmos.ucla.edu

Annual rainfall anomalies (vertical bars) over the African Sahel (13N-5W-20E) from 1950 to 1998. (A) Observations (1), (B) Model noninteractive land hydrology (fixed soil moisture) and noninteractive vegetation (SST influence only, AO). Smoothed (dotted line) showing the low-frequency variation. (C) Model interactive soil moisture and noninteractive

