

**SUMMER SCHOOL ON PARTICLE PHYSICS**

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**NEUTRINO PHYSICS**

**Lecture II**

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Please note: These are preliminary notes intended for internal distribution only.



# Lecture II



# NEUTRINO OSCILLATIONS - THEORY -

$\nu$  oscillations :

GENERAL CONSEQUENCE OF MIXING  
OF FLAVOR STATES  $\nu_\alpha$  WITH MASSIVE  
STATES  $\nu_i$

$$\begin{array}{l}
 \begin{array}{l}
 3 \\
 \text{active}
 \end{array} \\
 \begin{array}{l}
 \text{sterile} \\
 0 \leq n_s \leq \infty
 \end{array}
 \end{array}
 \left\{ \begin{array}{l}
 \nu_e \\
 \nu_\mu \\
 \nu_\tau \\
 \nu_s \\
 \vdots
 \end{array} \right\} = U_{\alpha i} \begin{array}{l}
 \nu_1 \\
 \nu_2 \\
 \nu_3 \\
 \nu_4 \\
 \vdots
 \end{array}$$

$$U U^\dagger = 1$$

**Importance** : MACROSCOPIC  
phenomenon

SMALLNESS OF  $\nu$  MASS (WITH RESPECT TO  
DETECTABLE  $\nu$  ENERGIES)

→ can ignore exceedingly small chirality  
flips during propagation

→ can use "Dirac-like" terminology:  
" $\nu$ " =  $\nu_L$ , " $\bar{\nu}$ " =  $\nu_R$  ....

→ can often treat  $\nu$  fields as  
"wavefunctions" (Q.M.-like notation)

Explore propagation hamiltonians  $\mathcal{H}$   
of increasing complexity

$$i \frac{\partial}{\partial t} \nu_\alpha = \mathcal{H} \nu_\alpha$$

↑  
 $\mathcal{H}$  in flavor basis

# 3 massless $\nu$ in vacuum

$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \mathcal{H} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \quad m(\nu_\alpha) \equiv 0$$

FOR A BEAM OF MOMENTUM  $\vec{p}$ :

$$\mathcal{H} = \begin{bmatrix} E_e & & \\ & E_\mu & \\ & & E_\tau \end{bmatrix} = \begin{bmatrix} \uparrow & & \\ & p & \\ & & p \end{bmatrix} = p \mathbb{1}$$

$$|\nu_\alpha\rangle_t = e^{-ipt} |\nu_\alpha\rangle_0$$

FLAVOR CONSERVED

NOTE: overall phase  $\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \rightarrow e^{i\phi} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$   
 unobservable in squared amplitudes  
 $|\langle \nu_\beta | \nu_\alpha \rangle|^2$  (true for more general  
 $\mathcal{H}$  also)

→  $\mathcal{H}$  defined modulo  $\lambda \mathbb{1}$   
 $\uparrow$   
 arbitrary factor

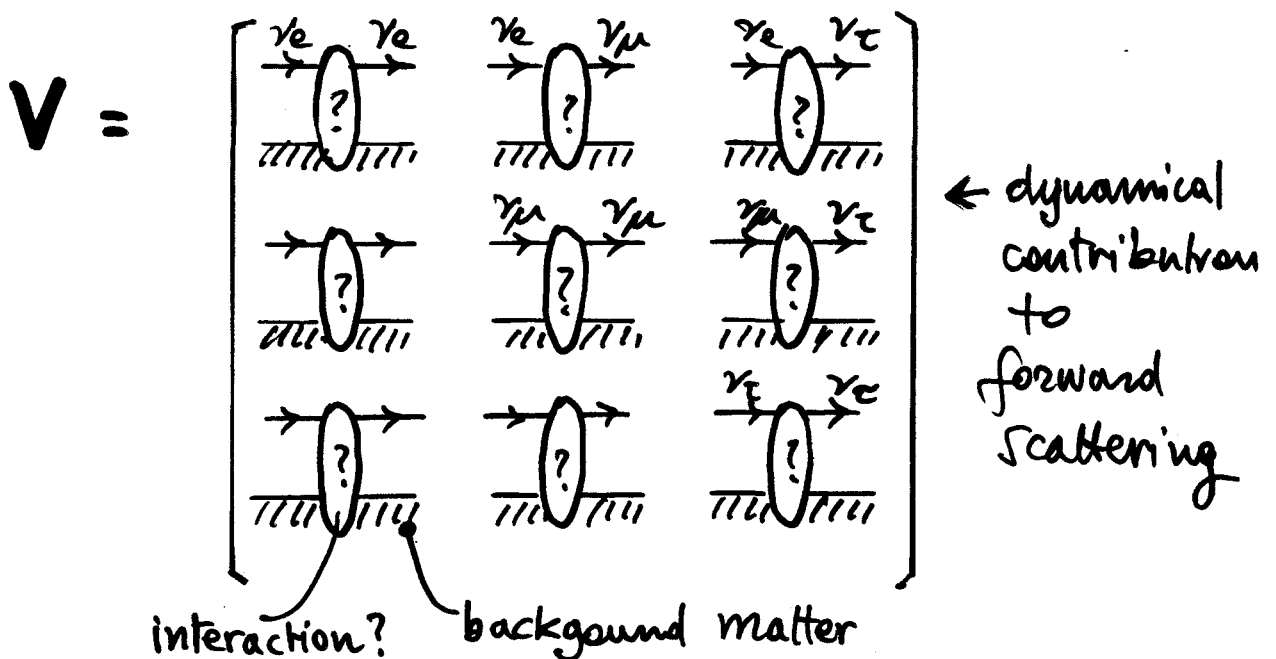
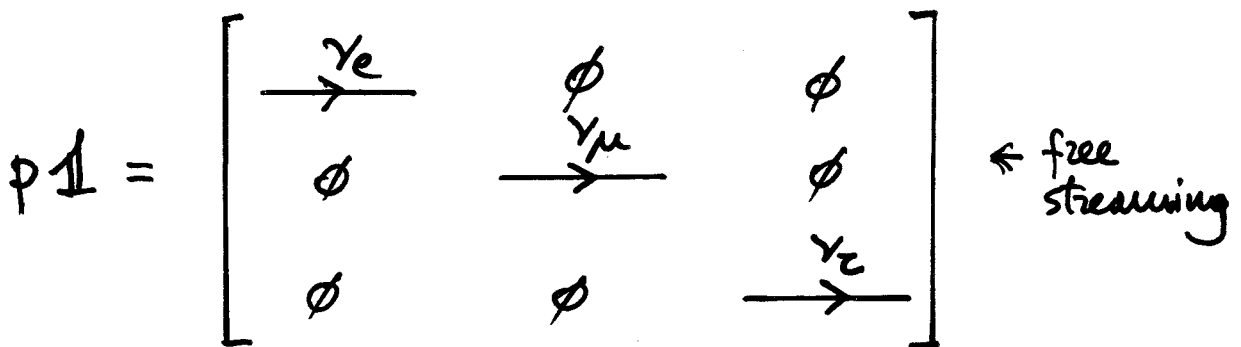
# 3 massless $\nu$ in matter

$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \mathcal{H} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \quad m(\nu_\alpha) \equiv 0$$

$$\mathcal{H} = \underset{\substack{\uparrow \\ \text{kinematics}}}{p \mathbb{1}} + \underset{\substack{\uparrow \\ \text{dynamics}}}{V}$$

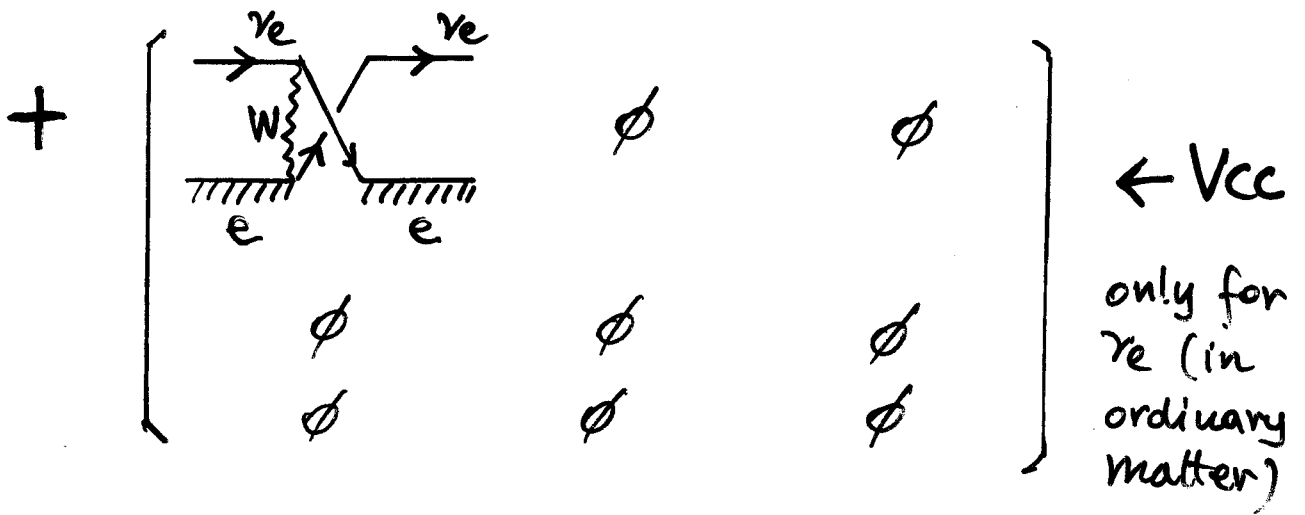
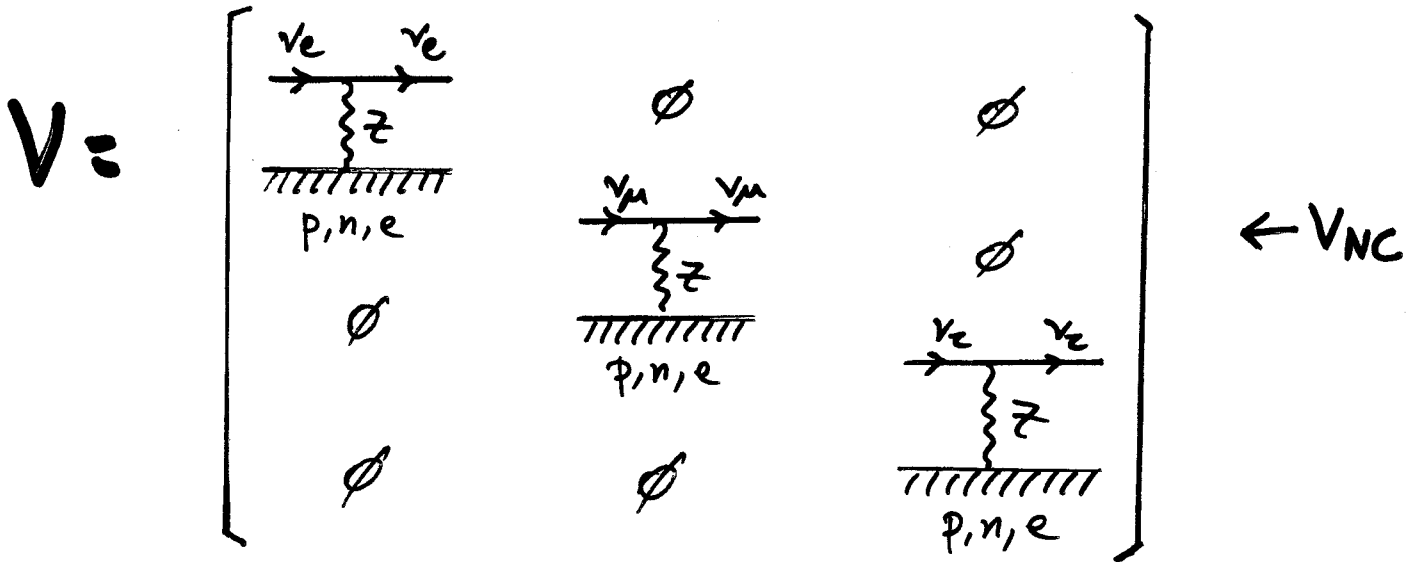
$V$  = interaction potential in matter (Wolfenstein)

Symbolically:





In the Standard Electroweak model,  
 the "interaction blob"  $\rightarrow \textcircled{?} \rightarrow$  is well-defined:



$$V = V_{NC} + V_{CC}$$

$V_{NC} \propto \mathbb{1}$  up to small higher-order corrections

$\rightarrow$  Relevant potential is  $V_{CC}^{ee}$

$$V_{CC}^{ee} = \frac{\nu_e \quad e}{\quad \quad W \quad \quad} \approx \begin{array}{cc} \nu_e & e \\ & \times \\ e & \nu_e \end{array}$$

## Evaluation of $V_{CC}^{ee}$ :

$$\mathcal{H}_{CC} = \frac{G_F}{\sqrt{2}} \underbrace{\bar{e} \gamma^\mu (1 - \gamma_5) e}_{J_{CC}} \otimes \underbrace{\bar{\nu}_e \gamma_\mu (1 - \gamma_5) \nu_e}_{J_{CC}}$$

$$\stackrel{\text{Fierz}}{=} \frac{G_F}{\sqrt{2}} \underbrace{\bar{e} \gamma^\mu (1 - \gamma_5) e}_{J_e} \otimes \underbrace{\bar{\nu}_e \gamma_\mu (1 - \gamma_5) \nu_e}_{J_\nu}$$

From the  $\nu$  viewpoint, the electron is  
 $\sim$  nonrelativistic and  $\sim$  unpolarized

$\rightarrow$  DIRAC REPRESENTATION

$$e \simeq \begin{bmatrix} \xi \\ 0 \end{bmatrix}, \quad \bar{e} \gamma^\mu (1 - \gamma_5) e \simeq (\underbrace{\xi^\dagger \xi}_{\text{density}}, \underbrace{\xi^\dagger \vec{\sigma} \xi}_{\text{polariz.} \simeq 0}) \simeq N_e \delta_{\mu 0}$$

$$\mathcal{H}_{CC} = \frac{G_F}{\sqrt{2}} N_e \bar{\nu}_e \gamma_0 (1 - \gamma_5) \nu_e = \sqrt{2} G_F N_e \bar{\nu}_{eL} \gamma_0 \nu_{eL}$$

$$\boxed{V_{CC}^{ee} = \sqrt{2} G_F N_e}$$

$$\text{Units: } 2VE = \frac{2\sqrt{2} G_F N_e E}{[\text{eV}^2]} = 1.53 \times 10^{-4} \frac{N_e}{[\text{mol/cm}^3]} \frac{E}{[\text{GeV}]}$$

$$\mathcal{H} = \begin{pmatrix} p + V_{cc}^{ee} & & \\ & p & \\ & & p \end{pmatrix} \text{ mod } \mathbb{1}$$

→ no off-diagonal elements

→ flavor conserved (no  $\nu_\alpha \rightarrow \nu_\beta$  with  $\alpha \neq \beta$ )

$\nu$ TYPE	Bkgd matter	Interaction potential $V$
$\nu_e$	e	$\frac{1}{\sqrt{2}} G_F (4s_w^2 + 1) (N_e - N_{\bar{e}})$
$\nu_{\mu, \tau}$	e	$\frac{1}{\sqrt{2}} G_F (4s_w^2 - 1) (N_e - N_{\bar{e}})$
$\nu_{e, \mu, \tau}$	n	$\frac{1}{\sqrt{2}} G_F (N_{\bar{n}} - N_n)$
$\nu_{e, \mu, \tau}$	p	$\frac{1}{\sqrt{2}} G_F (1 - 4s_w^2) (N_p - N_{\bar{p}})$
$\nu_s$	e, p, n	$\emptyset$

$$\nu \rightarrow \bar{\nu}$$

$$V \rightarrow -V$$

In ordinary matter ( $N_e = N_p, N_{\bar{e}} = N_{\bar{p}} = N_{\bar{n}} = 0$ )

$$V_e - V_{\mu, \tau} = \sqrt{2} G_F N_e$$

} as before

$$V_{\mu} - V_{\tau} = \emptyset$$

} vacuum-like.

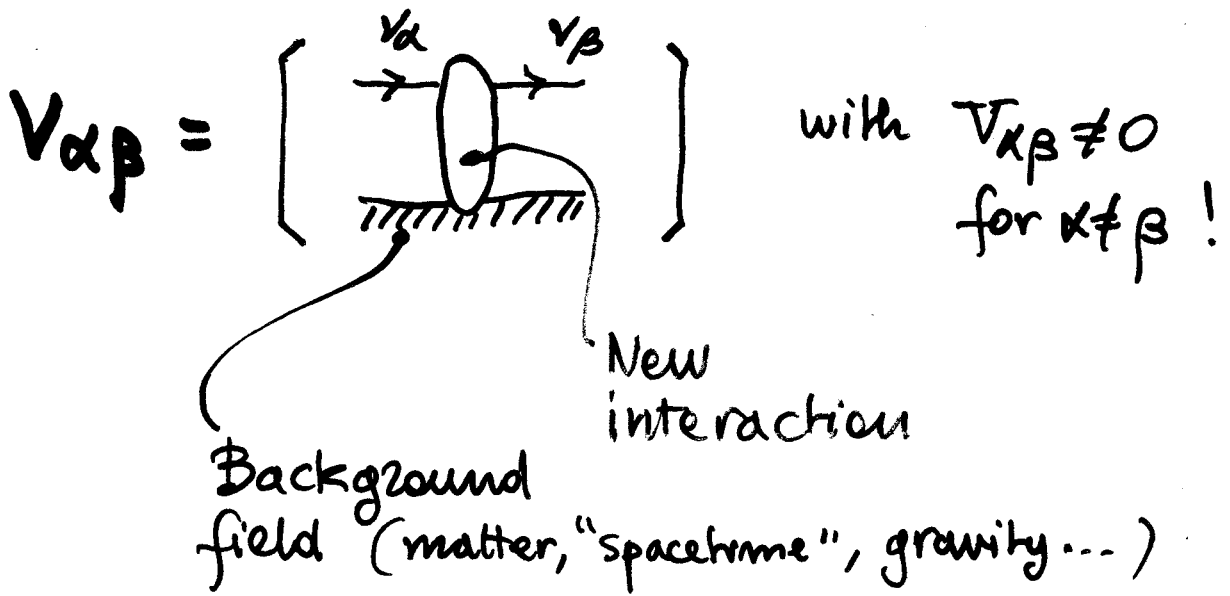
$$V_s - V_{\mu, \tau} = \sqrt{2} G_F \frac{N_n}{2}$$

} important for

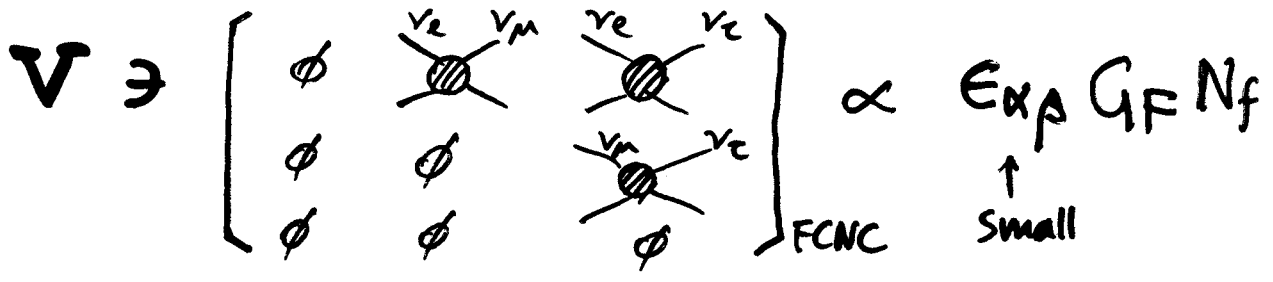
$$V_e - V_s = \sqrt{2} G_F (N_e - \frac{1}{2} N_n)$$

}  $\nu_s$  phenomenology

But Beyond the SM one can have



E.g., in SUSY with R-parity breaking can get  $FCNC \neq 0$  :



Or, if Equivalence Principle violated for  $\nu$ , can get  $V_{\mu e} - V_{e\mu} \neq 0$

- Case of FCNC interesting :
- $\mathcal{H}$  non-diagonal even for massless  $\nu$
  - can get flavor transitions without  $\nu$  mass (unlikely but possible) in principle.

### 3 massive $\nu$ in vacuum (unmixed case)

Assume  $m(\nu_\alpha) = \delta_{\alpha i} \cdot m_i$

$$E_i = \sqrt{p^2 + m_i^2} \simeq p + \frac{m_i^2}{2p} \simeq p + \frac{m_i^2}{2E}$$

(ultrarelativistic neutrinos,  $x \simeq t$ )

$$\mathcal{H} = \begin{bmatrix} E_1 & & \\ & E_2 & \\ & & E_3 \end{bmatrix} \simeq \begin{bmatrix} p & & \\ & p & \\ & & p \end{bmatrix} + \begin{bmatrix} \frac{m_1^2}{2E} & & \\ & \frac{m_2^2}{2E} & \\ & & \frac{m_3^2}{2E} \end{bmatrix}$$

$$= p \mathbb{1} + \frac{\mathcal{M}^2}{2E}$$

$$\mathcal{M}^2 = \text{diag}(m_1^2, m_2^2, m_3^2)$$

- $\mathcal{H}$  diagonal
- $\rightarrow$  no flavor transitions

# 3 massive & mixed $\nu$ in vacuum

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$\nu_k = U_{ki} \nu_i \quad ; \quad m(\nu_i) = m_i$$

$$U U^\dagger = 1$$

- HAMILTONIAN DIAGONAL IN MASS BASIS:

$$\mathcal{H}_{\text{mass}} = \frac{\mathcal{M}^2}{2p} + p \mathbb{1}$$

$$\mathcal{M}^2 = \text{diag}(m_1^2, m_2^2, m_3^2)$$

- TRANSFORM TO FLAVOR BASIS:

$$\mathcal{H} = U \frac{\mathcal{M}^2}{2E} U^\dagger + p \mathbb{1}$$

- IF NO CP, U real and usual parametriz. is:

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_\psi & s_\psi \\ 0 & -s_\psi & c_\psi \end{pmatrix} \begin{pmatrix} c_\varphi & 0 & s_\varphi \\ 0 & 1 & 0 \\ -s_\varphi & 0 & c_\varphi \end{pmatrix} \begin{pmatrix} c_\omega & s_\omega & 0 \\ -s_\omega & c_\omega & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\psi = \theta_{23} \quad \varphi = \theta_{13} \quad \omega = \theta_{12} \quad \in [0, \pi/2]$$

$$= \begin{pmatrix} c_\omega c_\psi & s_\omega c_\psi & s_\psi \\ -s_\omega c_\psi - c_\omega s_\psi s_\varphi & c_\omega c_\psi - s_\omega s_\psi s_\varphi & s_\psi c_\varphi \\ s_\omega s_\psi - c_\omega c_\psi s_\varphi & -c_\omega s_\psi - s_\omega c_\psi s_\varphi & c_\psi c_\varphi \end{pmatrix}$$

(same  $\theta_{ij}$  ordering as for quarks)

- IF  $\cancel{CP}$  AND MASS/mixing originate from Dirac mass terms:

$$U = \begin{pmatrix} c_w c_\varphi & s_w c_\varphi & \tilde{s}_\varphi^* \\ -s_w c_\varphi - c_w s_\varphi \tilde{s}_\varphi & c_w c_\varphi - s_w s_\varphi \tilde{s}_\varphi & s_\varphi c_\varphi \\ s_w s_\varphi - c_w c_\varphi \tilde{s}_\varphi & -c_w s_\varphi - s_w c_\varphi \tilde{s}_\varphi & c_\varphi c_\varphi \end{pmatrix}$$

where  $\tilde{s}_\varphi = s_\varphi e^{i\delta}$  ( $0 \leq \delta \leq 2\pi$ )  
 1  $\cancel{CP}$  phase as for quarks

If mass/mixing originates from Majorana mass terms:

$$U \rightarrow U \cdot V, \quad V = \begin{pmatrix} 1 & & \\ & e^{i\phi_2} & \\ & & e^{i(\phi_3 - \delta)} \end{pmatrix}$$

↑  
TWO NEW PHASES  $\phi_2, \phi_3$

... but no effect on oscillations:

$$U V \frac{\mu^2}{2E} (U V)^{\dagger} = U \left( V \frac{\mu^2}{2E} V^{\dagger} \right) U^{\dagger} = U \frac{\mu^2}{2E} U^{\dagger}$$

→ NOT POSSIBLE TO DISTINGUISH DIRAC/MAJOR.  
 IN  $\gamma$  OSCILLATIONS

HOWEVER,  $\phi_{2,3}$  may show up in  $0\nu 2\beta$

# 2ν oscillations in vacuum

- Take only one mixing angle  $\theta \neq 0$ ; e.g.

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} \quad \Delta m^2 = m_2^2 - m_1^2$$

- $\mathcal{H} = \frac{1}{4E} \begin{pmatrix} \cos 2\theta & \sin 2\theta \\ -\sin 2\theta & \cos 2\theta \end{pmatrix} \begin{pmatrix} -\Delta m^2 & 0 \\ 0 & +\Delta m^2 \end{pmatrix} \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix}$  use "mod 1" to make  $\text{tr}(\mathcal{H}) = 0$

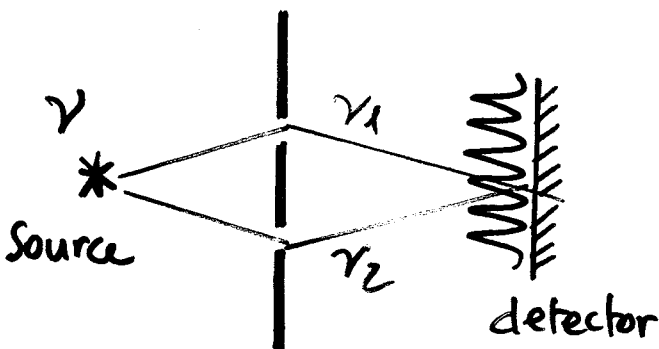
- Evolution:

$$A(\nu_e \rightarrow \nu_\mu) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} e^{i\frac{\Delta m^2}{4E}x} & 0 \\ 0 & e^{-i\frac{\Delta m^2}{4E}x} \end{pmatrix} \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

↑ final  $\nu_\mu$     
 ↑ back to flavor basis    
 ↑ evolve in mass basis    
 ↑ rotate to mass basis    
 ↑ initial  $\nu_e$

- $P(\nu_e \rightarrow \nu_\mu) = |A(\nu_e \rightarrow \nu_\mu)|^2 = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$

"TWO-SLIT" EXPERIMENT



$$1.27 \frac{\Delta m^2}{\text{eV}^2} \frac{L}{\text{km}} \frac{\text{GeV}}{E}$$

length scales:

$$L$$

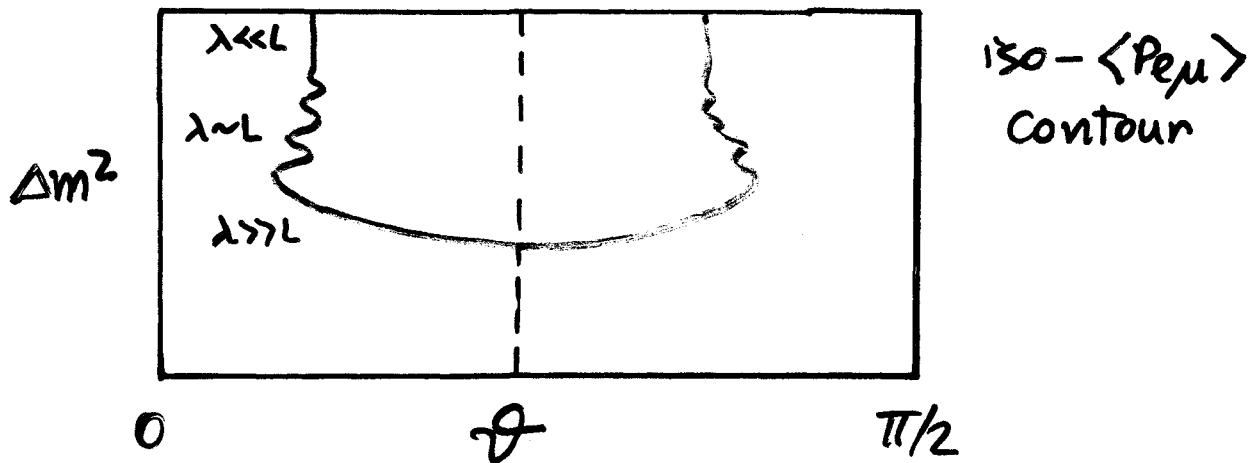
$$\lambda = \frac{4\pi E}{\Delta m^2} \quad (\text{osc. length})$$



observed :

$$\langle P_{e\mu} \rangle = \sin^2 2\theta \left\langle \sin^2 \left( \frac{\Delta m^2 L}{4E} \right) \right\rangle \quad \left. \vphantom{\langle P_{e\mu} \rangle} \right\} \text{"smearing"}$$

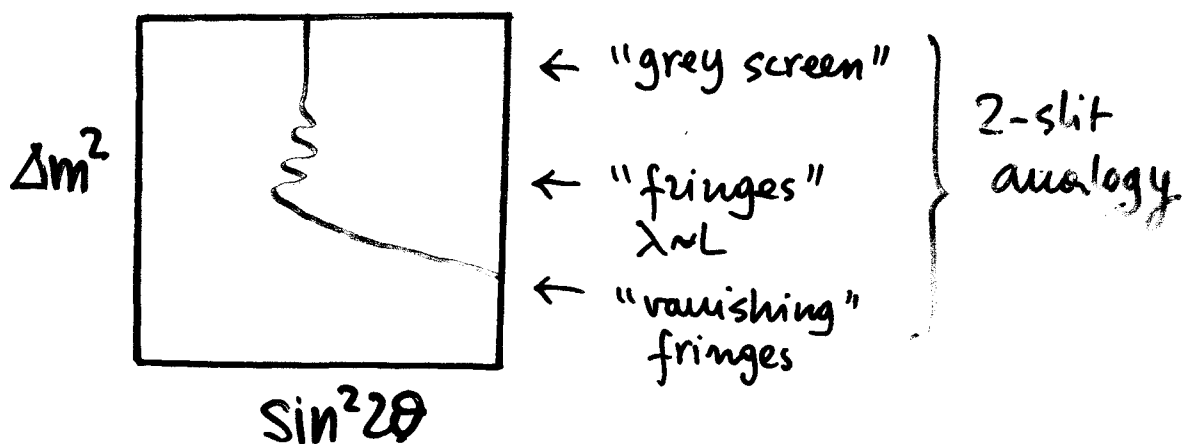
$$\rightarrow \frac{1}{2} \sin^2 2\theta \quad \text{for } \Delta m^2 \rightarrow \infty$$



octant symmetry :

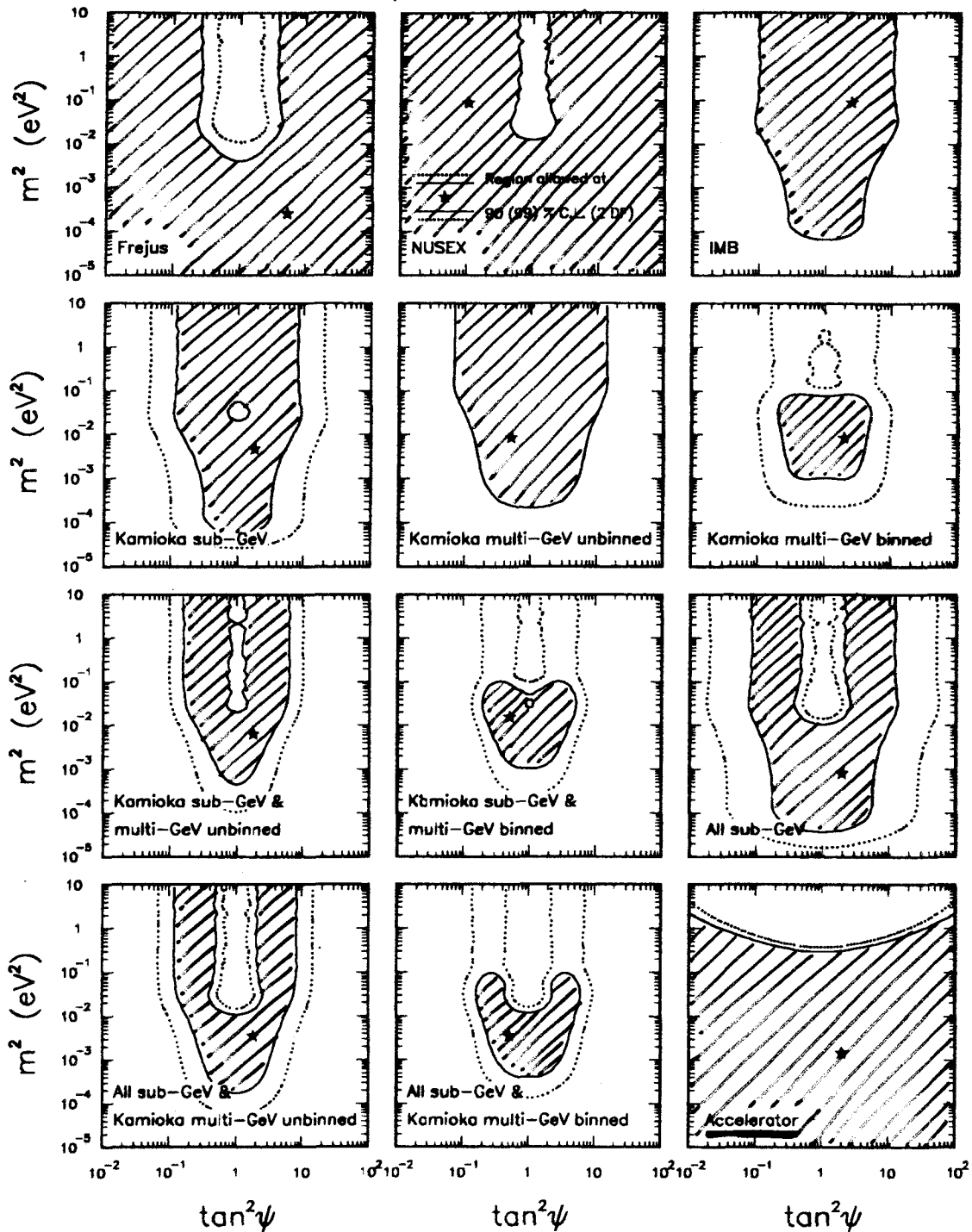
$$P_{e\mu}(\theta) = P_{e\mu}(\pi/2 - \theta)$$

→ FOLD 2nd octant onto first to get usual plot



$$\nu_\mu \leftrightarrow \nu_\tau$$

$\nu_\mu \leftrightarrow \nu_\tau$  oscillations

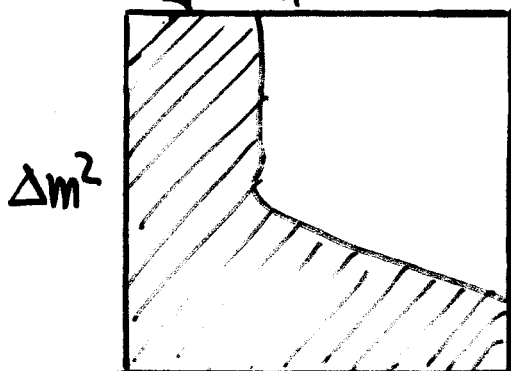


Example of octant symmetry :  
pre-SK analysis of  $\nu_\mu \leftrightarrow \nu_\tau$  atm- $\nu$   
(~1995)

# TYPICAL EXPT. RESULTS

 = ALLOWED

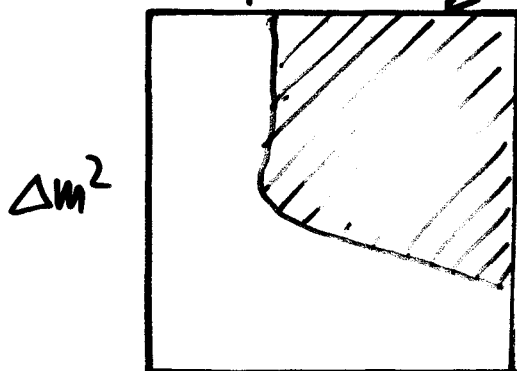
NEGATIVE  
RESULT  
 $P_{\alpha\beta} < C$



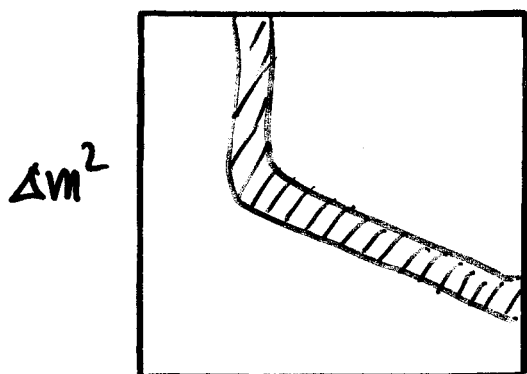
$\sin^2 2\theta$

POSITIVE  
RESULT

$P_{\alpha\beta} > C$



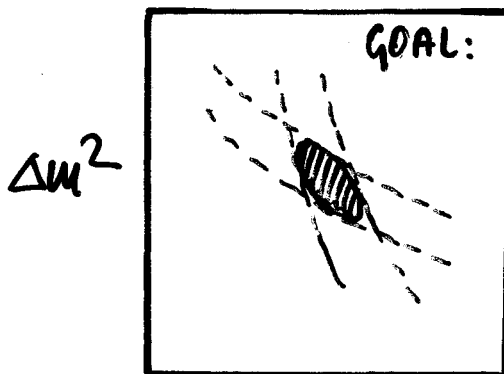
$\sin^2 2\theta$



$\sin^2 2\theta$

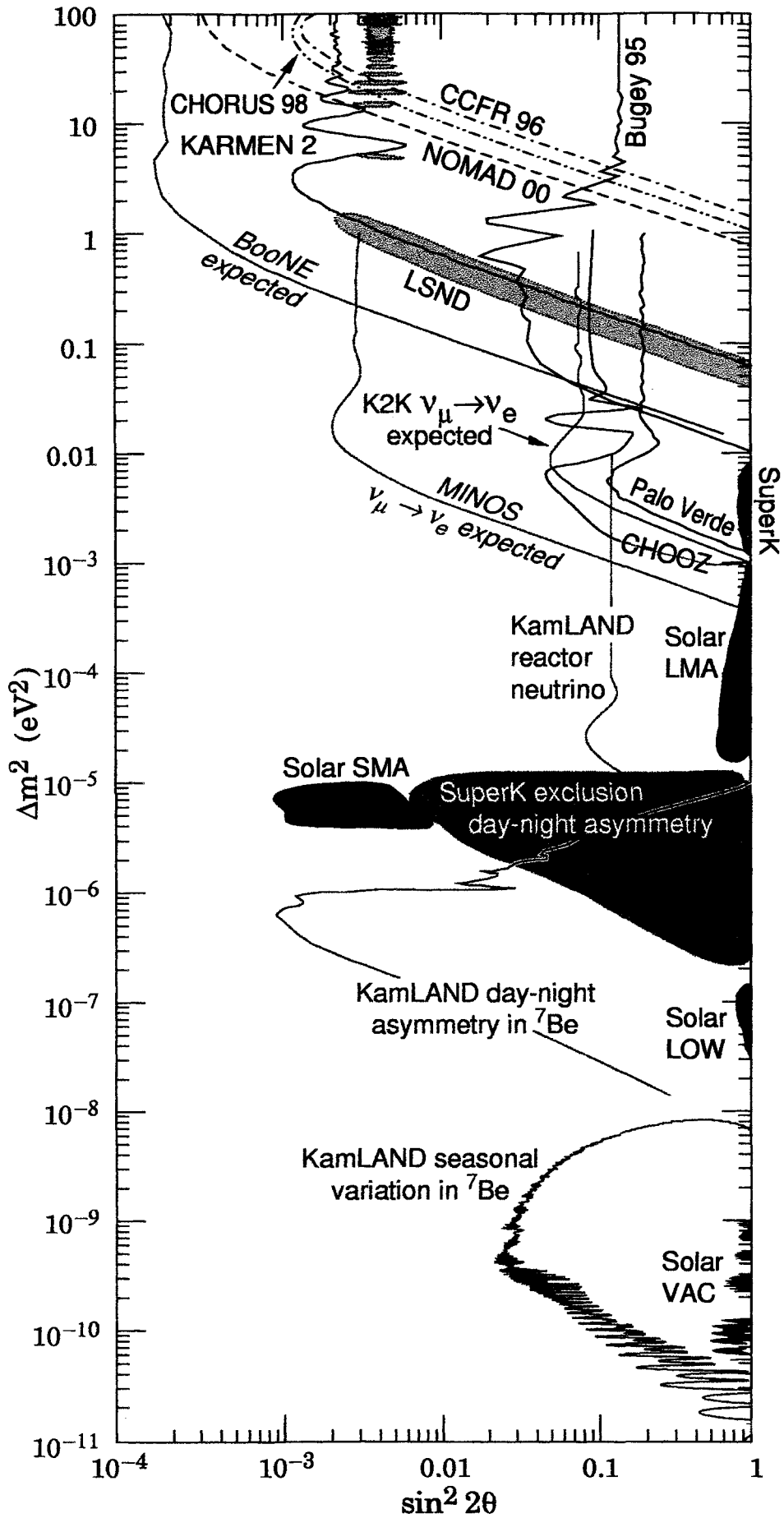
ACCURATE  
POSITIVE RESULT  
 $P_{\alpha\beta} = C \pm \Delta C$

GOAL:



$\sin^2 2\theta$

SEVERAL ACCURATE  
RESULTS  
(MORE EXPERIMENTS OR:  
1 EXPT. WITH GOOD  
spectral data)



Review of Particle Properties (2000)

# 2 massive $\nu$ in constant matter

$$\mathcal{H} = \frac{1}{2E} \begin{pmatrix} c_{2\theta} & s_{2\theta} \\ -s_{2\theta} & c_{2\theta} \end{pmatrix} \begin{pmatrix} m_1^2 & 0 \\ 0 & m_2^2 \end{pmatrix} \begin{pmatrix} c_{2\theta} - s_{2\theta} \\ s_{2\theta} & c_{2\theta} \end{pmatrix} + \begin{pmatrix} V & 0 \\ 0 & 0 \end{pmatrix}$$

$V \neq 0$  for  $(\nu_e, \nu_\mu)$ ,  $(\nu_e, \nu_s)$ ,  $(\nu_\mu, \nu_s)$

$(\nu_\mu, \nu_e)$  case:  $V_e - V_\mu = \sqrt{2} G_F N_e$

$$\mathcal{H} = \frac{\Delta m^2}{4E} \begin{bmatrix} -c_{2\theta} + \frac{A}{\Delta m^2} & s_{2\theta} \\ s_{2\theta} & c_{2\theta} - \frac{A}{\Delta m^2} \end{bmatrix} \quad \text{mod } \mathbb{1}$$

$A = 2\sqrt{2} G_F N_e E$  ( $A \rightarrow -A$  for  $\bar{\nu}$ )

DIAGONALIZATION:

$$\mathcal{H} = \frac{1}{4E} \begin{pmatrix} c_{\theta_m} & s_{\theta_m} \\ -s_{\theta_m} & c_{\theta_m} \end{pmatrix} \begin{pmatrix} -\Delta m_m^2 & \\ & +\Delta m_m^2 \end{pmatrix} \begin{pmatrix} c_{\theta_m} - s_{\theta_m} \\ s_{\theta_m} & c_{\theta_m} \end{pmatrix}$$

$\uparrow$  " $\Delta m^2$ " in matter      " $\theta_m$ " mixing in matter  
 $\downarrow$

$\nu_{1m}$   
 $\nu_{2m}$   
 $\uparrow$   
 mass eigenstates  
 "in matter"

$\rightarrow P(\nu_e \rightarrow \nu_\mu) = \sin^2 2\theta_m \sin^2 \left( \frac{\Delta m_m^2 L}{4E} \right)$

formally equivalent to vacuum, but...

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{\sqrt{\left(c_{2\theta} - \frac{A}{\Delta m^2}\right)^2 + s_{2\theta}^2}} \quad \leftarrow \text{"Breit-Wigner" form}$$

$$\cos^2 2\theta_m = \frac{\cos^2 2\theta - A/\Delta m^2}{\sqrt{\left(c_{2\theta} - \frac{A}{\Delta m^2}\right)^2 + s_{2\theta}^2}}$$

$$\Delta m_m^2 = \Delta m^2 \frac{\sin^2 2\theta}{\sin^2 2\theta_m}$$

→ can get a MSW (Mikheyev-Smirnov-Wolfenstein) resonant behaviour for

$$c_{2\theta} \sim \frac{A}{\Delta m^2} \Leftrightarrow \Delta m^2 \cos^2 2\theta = 2\sqrt{2} G_F N_e E$$

$$\rightarrow \sin^2 2\theta_m \sim 1 \text{ (enhancement)}$$

$$\rightarrow \Delta m_m^2 \text{ minimized}$$

→ can get matter-suppressed oscillations for

$$A \gg \Delta m^2 \rightarrow \sin^2 2\theta_m \sim 0$$

MATTER CAN PROFOUNDLY MODIFY OSCILLATION AMPLITUDE (ENHANCEMENT/SUPPRESSION) AND ENERGY DEPENDENCE

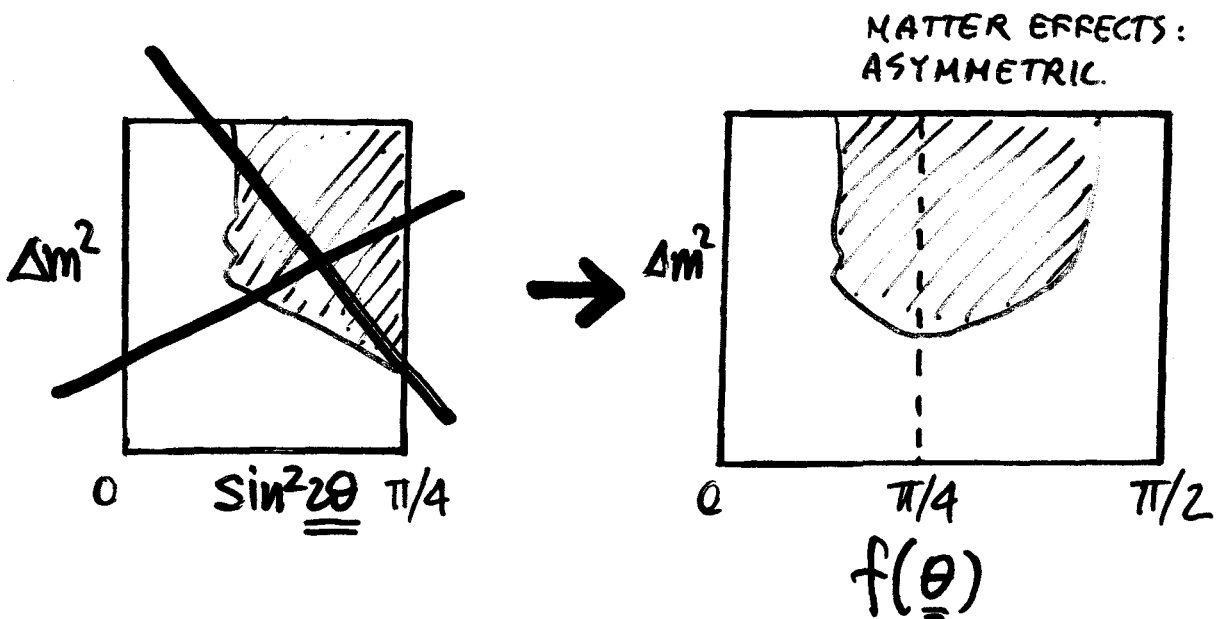
- NEW LENGTH SCALE  $\lambda_0 = \frac{\sqrt{2}\pi}{G_F N_e}$
- IMPORTANT EFFECTS WHEN  $\lambda \sim \lambda_0$

MATTER EFFECTS NOT OCTANT-SYMMETRIC!

$$X(\theta) \neq X(\pi/2 - \theta)$$

where  $X = \Delta m^2, \theta_m, P_{\mu\nu}$

→ MUST UNFOLD 2nd OCTANT

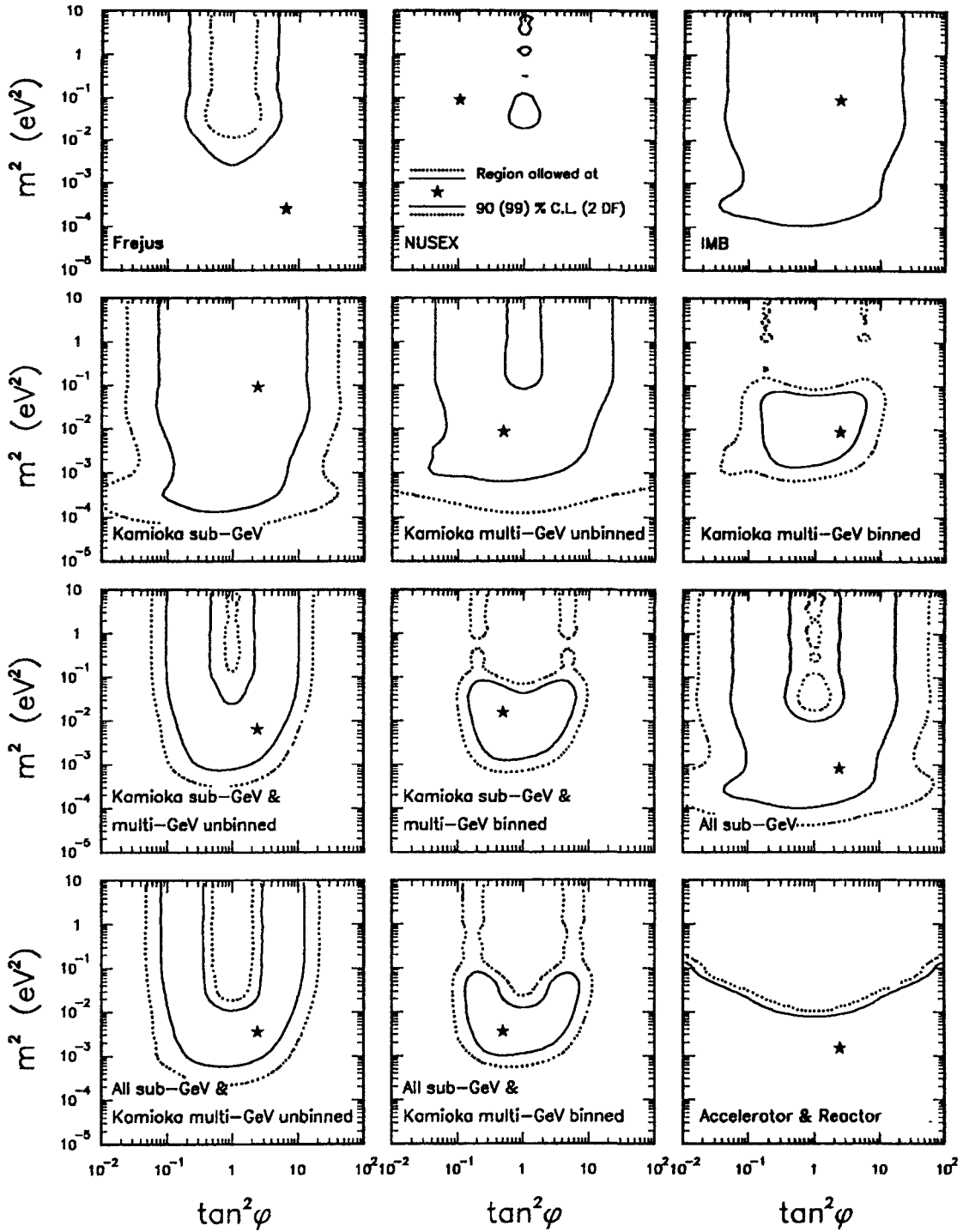


useful  $f(\theta)$ :  $\sin^2 \theta$  (LINEAR SCALE)

$\tan^2 \theta$  (LOG SCALE)

↓  
preserve octant  
symmetry  
when applicable.

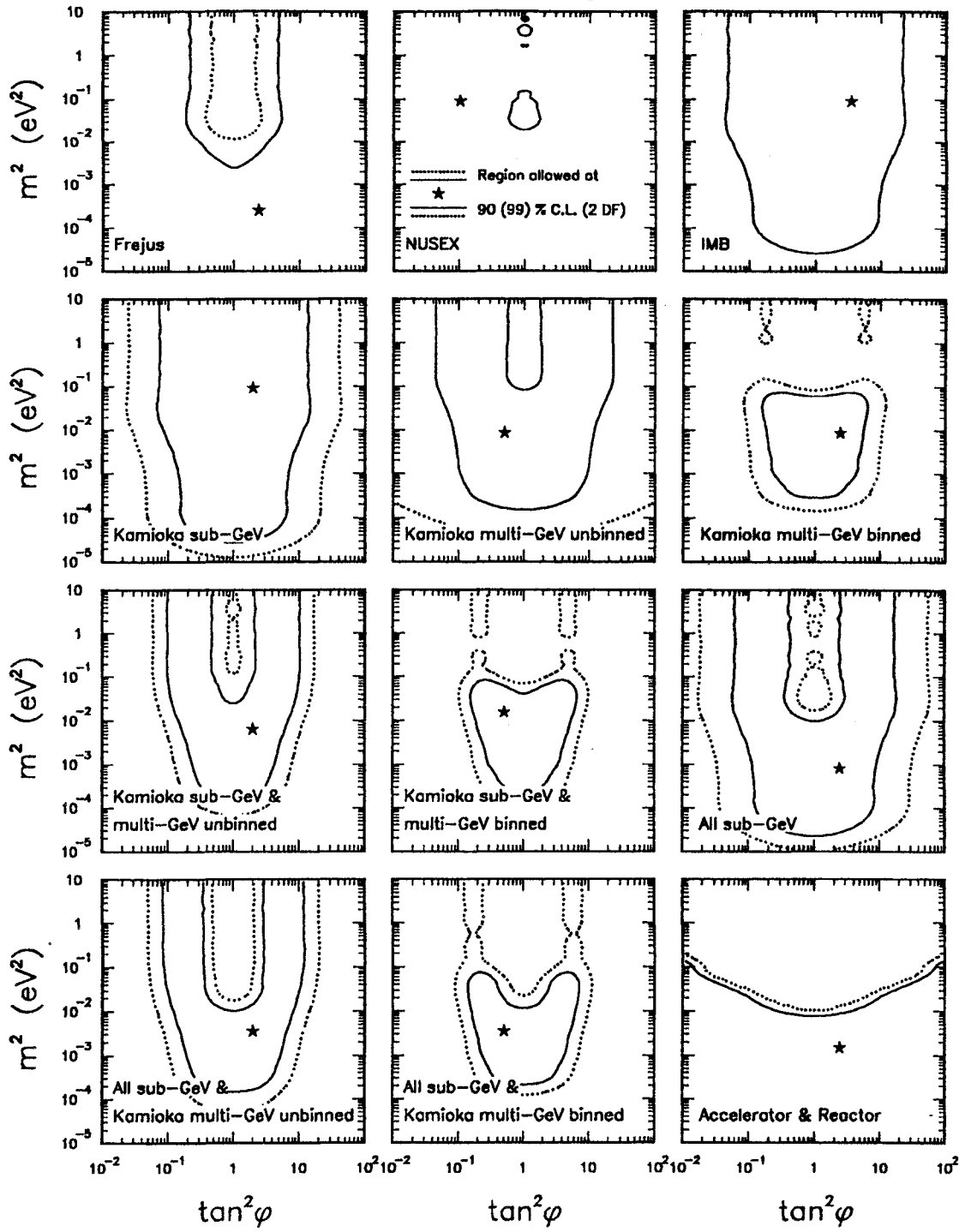
$\nu_\mu \leftrightarrow \nu_e$  oscillations



$\nu_\mu \rightarrow \nu_e$  asym. with matter effects



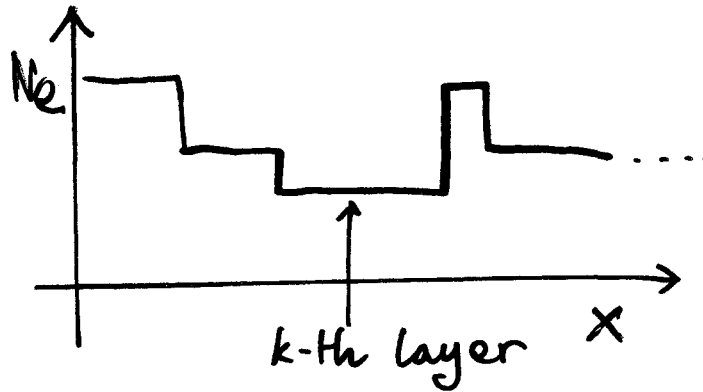
$\nu_\mu \leftrightarrow \nu_e$  oscillations without matter effect



# 2ν in layered matter

[e.g., Earth (mantle + core)]

Assume  
step-like  
 $N_e$



convenient to work in flavor basis  
(flavor conserved across boundary)

SINGLE LAYER EVOLUTION  $i \rightarrow f$  (already seen before)

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix}_f = \begin{pmatrix} \cos\theta_k & \sin\theta_k \\ -\sin\theta_k & \cos\theta_k \end{pmatrix} \begin{pmatrix} e^{i\phi_k} & \\ & e^{-i\phi_k} \end{pmatrix} \begin{pmatrix} \cos\theta_k - \sin\theta_k \\ \sin\theta_k & \cos\theta_k \end{pmatrix} \begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix}_i$$

↑  
mixing  
in matter

↑  
phases in  
matter

$$= \begin{pmatrix} A_{\alpha\alpha}^{(k)} & A_{\alpha\beta}^{(k)} \\ A_{\beta\alpha}^{(k)} & A_{\beta\beta}^{(k)} \end{pmatrix} \begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix}_i$$

$$A_{\alpha\alpha}^{(k)} = \cos\phi_k + i \sin\phi_k \cos 2\theta_k$$

$$A_{\alpha\beta}^{(k)} = A_{\beta\alpha}^{(k)} = -i \sin\phi_k \sin 2\theta_k$$

$$A_{\beta\beta}^{(k)} = \cos\phi_k - i \sin\phi_k \cos 2\theta_k$$

MAXIMAL CONVERSION  $\alpha \rightarrow \beta \Leftrightarrow A_{\alpha\alpha}^{(k)} = 0$

$$\rightarrow \begin{cases} \cos\phi_k = 0 \\ \cos 2\theta_k = 0 \end{cases}$$

← usual MSW  
resonance condition

## TWO-LAYER EVOLUTION $i \rightarrow f$ :

$$\begin{pmatrix} \gamma_\alpha \\ \gamma_\beta \end{pmatrix}_f = \begin{pmatrix} A_{\alpha\alpha}^{(2)} & A_{\alpha\beta}^{(2)} \\ A_{\beta\alpha}^{(2)} & A_{\beta\beta}^{(2)} \end{pmatrix} \begin{pmatrix} A_{\alpha\alpha}^{(1)} & A_{\alpha\beta}^{(1)} \\ A_{\beta\alpha}^{(1)} & A_{\beta\beta}^{(1)} \end{pmatrix} \begin{pmatrix} \gamma_\alpha \\ \gamma_\beta \end{pmatrix}_i$$

2nd layer                      1st layer

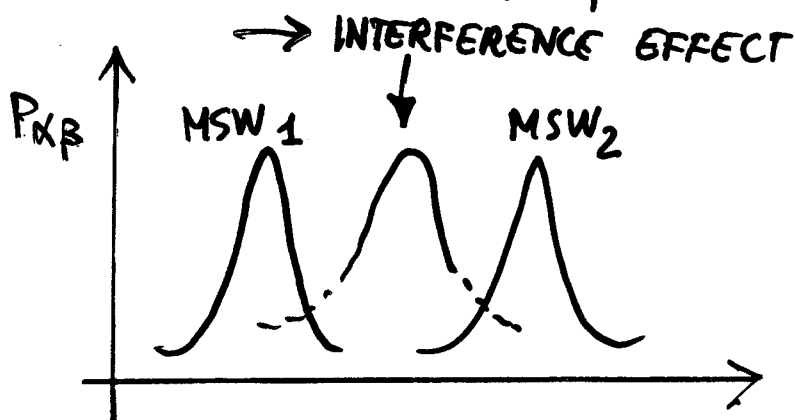
$$= \begin{pmatrix} A_{\alpha\alpha} & A_{\alpha\beta} \\ A_{\beta\alpha} & A_{\beta\beta} \end{pmatrix} \begin{pmatrix} \gamma_\alpha \\ \gamma_\beta \end{pmatrix}_i$$

$$A_{\alpha\alpha} = A_{\alpha\alpha}^{(2)} A_{\alpha\alpha}^{(1)} + A_{\alpha\beta}^{(2)} A_{\beta\alpha}^{(1)}$$

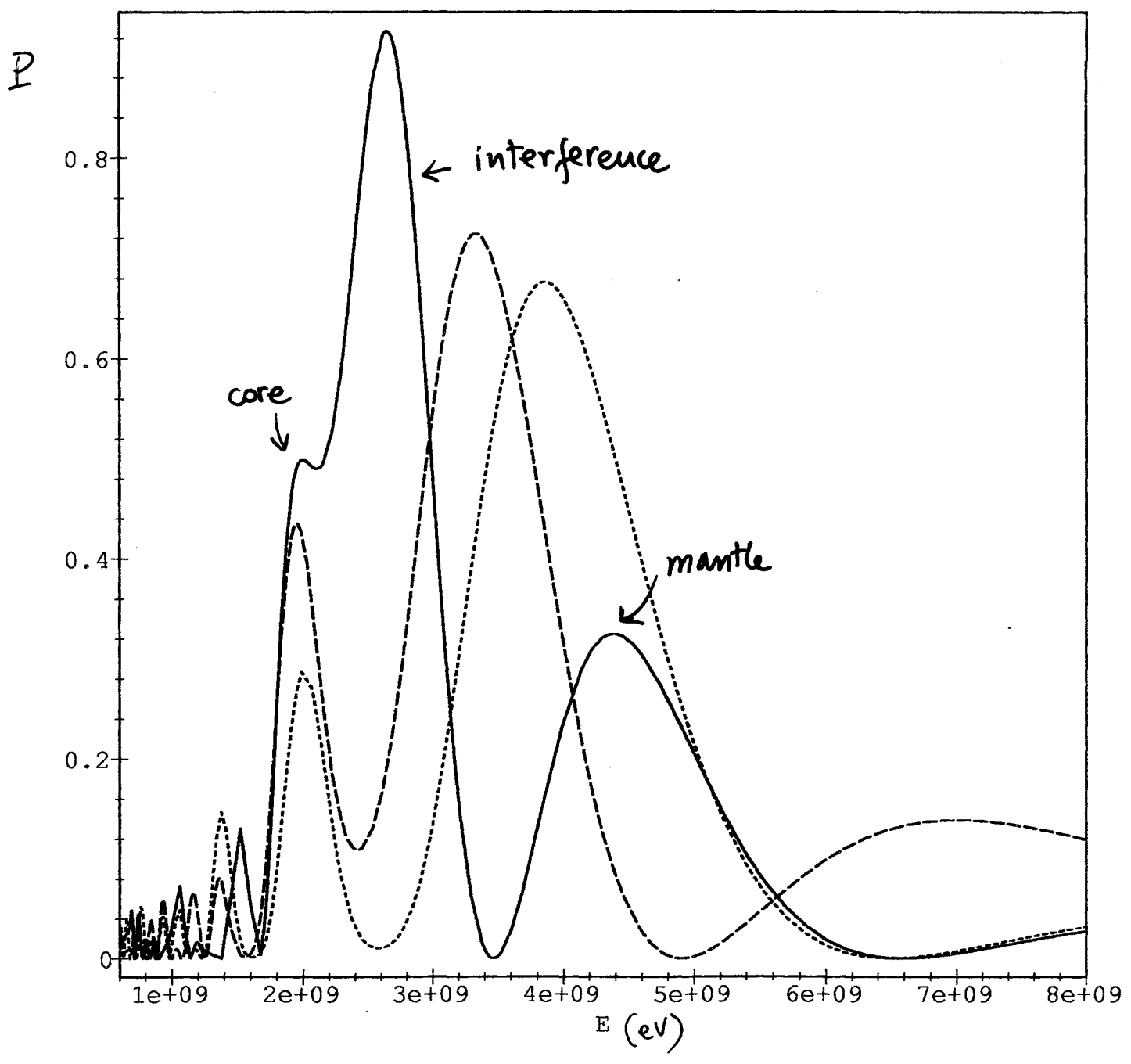
$$= \cos\varphi_1 \cos\varphi_2 - \cos(2\theta_2 - 2\theta_1) \sin\varphi_1 \sin\varphi_2 - i(\cos 2\theta_1 \sin\varphi_1 \cos\varphi_2 + \cos 2\theta_2 \cos\varphi_1 \sin\varphi_2)$$

Maximal conversion  $A_{\alpha\alpha} = 0 \rightarrow \begin{cases} \tan\theta_1 \tan\theta_2 \cos(2\theta_2 - 2\theta_1) = 1 \\ \tan\theta_1 \cos 2\theta_1 = -\tan\theta_2 \cos 2\theta_2 \end{cases}$

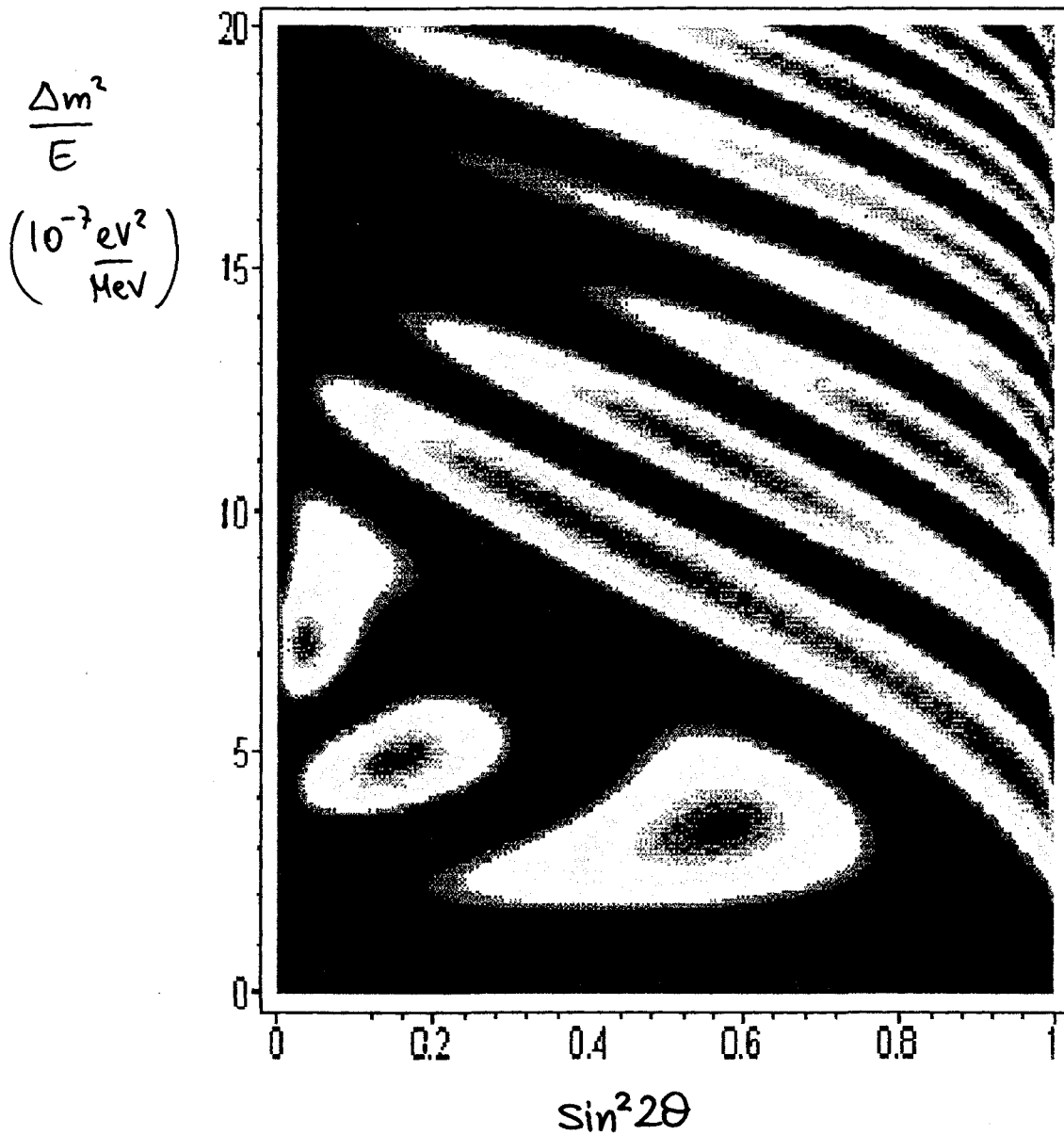
↑  
≠ from usual MSW resonance



("TRIPLET" OF PEAKS)



$P_{\mu e}$  contours ( $P_{\mu e} \sim 1 \leftarrow$  red)



Earth Diameter crossing (mantle + Core + mantle)

Possibly rich phenomenology  
Unfortunately  $\sim$  below present expt. sensitivity

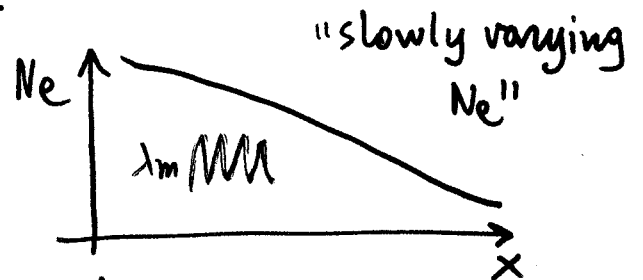
Chizhov & Petcov

# 2ν oscillations in variable matter density

REQUIRES, IN GENERAL, NUMERICAL SOLUTIONS.

Analytical approx. possible in several cases of phenomenological interest.

## ● ADIABATIC EVOL.



At each point,

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta_m(x) & \sin\theta_m(x) \\ -\sin\theta_m(x) & \cos\theta_m(x) \end{pmatrix} \begin{pmatrix} \nu_{1m}(x) \\ \nu_{2m}(x) \end{pmatrix}$$

with  $P(\nu_{1m} \rightarrow \nu_{2m}) \simeq 0$  ("no crossing")

Typically,  $\lambda_m \ll L \rightarrow$  phase info. lost

$\rightarrow$  can propagate "probabilities" rather than amplitudes

$$P(\nu_e \rightarrow \nu_e) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \cos^2\theta_f & \sin^2\theta_f \\ \sin^2\theta_f & \cos^2\theta_f \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \cos^2\theta_i & \sin^2\theta_i \\ \sin^2\theta_i & \cos^2\theta_i \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

↑ final  $\nu_e$ 
↑ rotate back
↑ no crossing
↑ rotate to  $\nu_{1,2}^m$ 
↑ initial  $\nu_e$

$$= \frac{1}{2} (1 + \cos 2\theta_i \cos 2\theta_f)$$

If initial  $N_i$  large, then  $\cos 2\theta_i \sim -1$

and  $P_{ee} \simeq \frac{1}{2} (1 - \cos 2\theta_f) \ll 1$  if  $\theta_f$  small

CORRECTIONS TO ADIABATICITY :

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \rightarrow \begin{pmatrix} 1-P_c & P_c \\ P_c & 1-P_c \end{pmatrix}$$

$\uparrow$   
 crossing  $\nu_{1m} \rightarrow \nu_{2m}$   
 ("tunnelling")

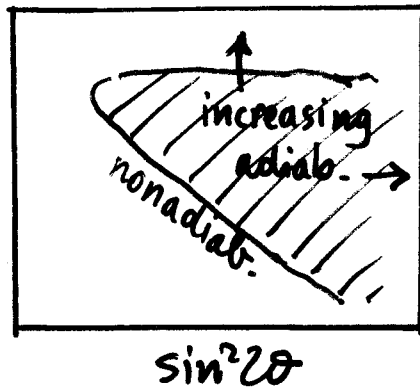
$$P_{ee} = \frac{1}{2} + \left(\frac{1}{2} - P_c\right) \cos 2\theta_i \cos 2\theta_f$$

$\uparrow$  enormous literature on  $P_c$

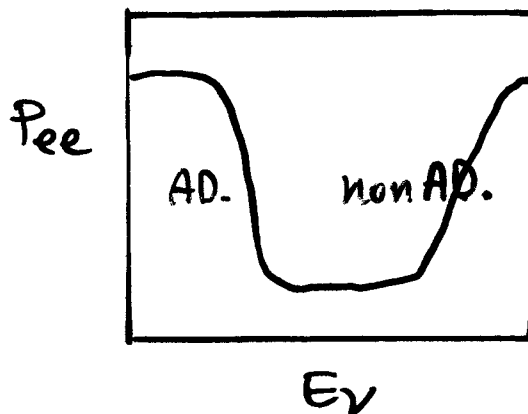
IN THE SUN, SOLAR MATTER CAN SUPPRESS  $P_{ee}$  THROUGH AD. / NON-AD. TRANSITIONS:

$$P_{ee} \ll 1$$

$$\frac{\Delta m^2}{E}$$

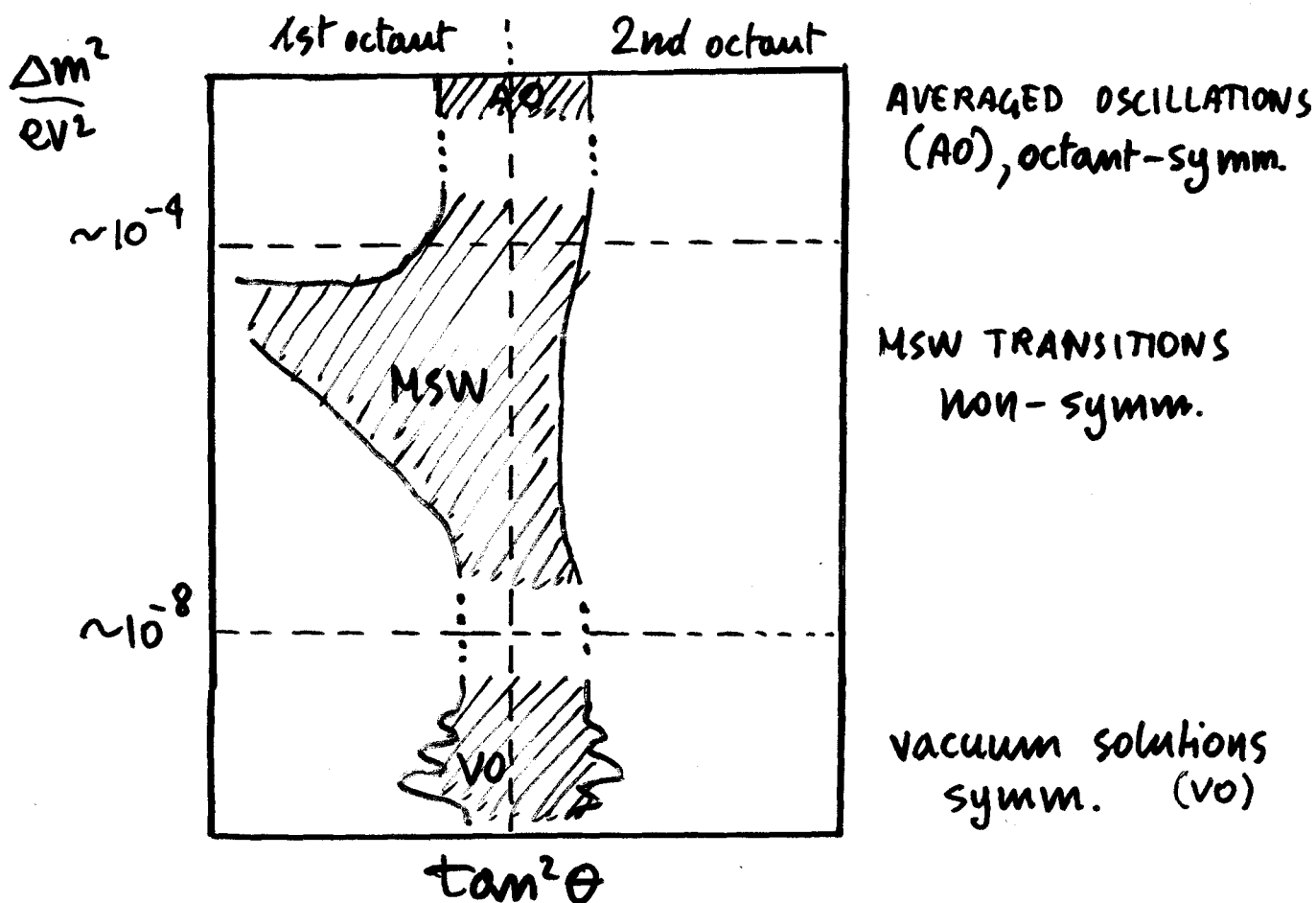


"MSW" triangle  
= zone of small  $P_{ee}$



$\leftarrow$  strong differences from vacuum case  $\nu \nu$

# Solar $\nu$



## Recent work ( "relatively" recent in some cases)

- "HORIZONTAL" IMPROVEMENTS: ENSURE SMOOTH PASSAGE FROM 1st to 2nd OCTANT AT ANY  $\Delta m^2$  (max. violation of adiabaticity, etc.)
  - "VERTICAL" IMPROVEMENTS: ENSURE SMOOTH PASSAGE FROM VO TO MSW (quasi-vacuum oscill.) AND FROM MSW TO AO (quasi-averaged oscill.)
- PHYSICS VERY WELL UNDERSTOOD IN THE WHOLE PLANE ; CALCULATIONS ACCURATE TO  $\lesssim 1\%$  .



## 2ν oscillat. with nonstandard $\mathcal{H}$

$$\mathcal{H} = C \cdot E^n$$

↑ off-diagonal

← energy exponent

GENERAL FORM FOR TRANSITION PROB.:

$$\rightarrow \underline{P_{\alpha\beta} = A \cdot \sin^2(B \cdot E^n/L)}$$

RECOVER STANDARD CASE FOR  $n = -1$   
 $\rightarrow L/E$

$n \neq -1 \Rightarrow$  NONSTANDARD DYNAMICS

e.g.:

$n = 0 \rightarrow$  FCNC

$n = +1 \rightarrow$  Violation of Lorentz invar.  
or of Equiv. Principle

....

"more radical" nonstandard  $\mathcal{H}$

Standard Schrödinger eq.  $i\frac{\partial}{\partial t}\psi = \mathcal{H}\psi$  ,  $\mathcal{H}$  hermitian



standard Liouville eq.  $\dot{\rho} = -i[\mathcal{H}, \rho]$  ,  $\rho$  = density matrix

(i)  $\frac{d}{dt} \text{Tr}(\rho) = 0$  → conserv. of probability

(ii)  $\frac{d}{dt} \text{Tr}(\rho^2) = 0$  → conserv. of purity

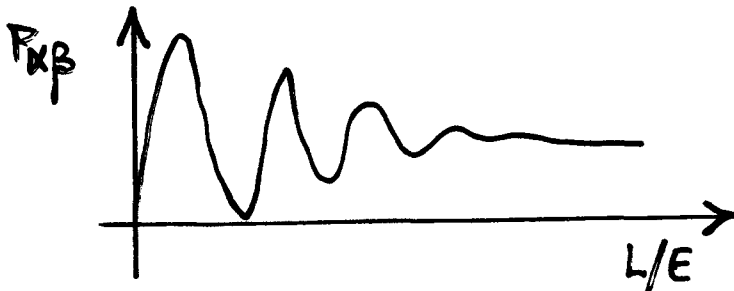
GIVE UP (i) :  $\mathcal{H} \rightarrow \mathcal{H} - i\Gamma$  ←  **$\psi$  decay**

get overall disappearance of  $\psi$  with time

GIVE UP (ii) :  $\dot{\rho} = -i[\mathcal{H}, \rho] + \mathcal{D}[\rho]$  ← dissipative term  
pure → mixed states

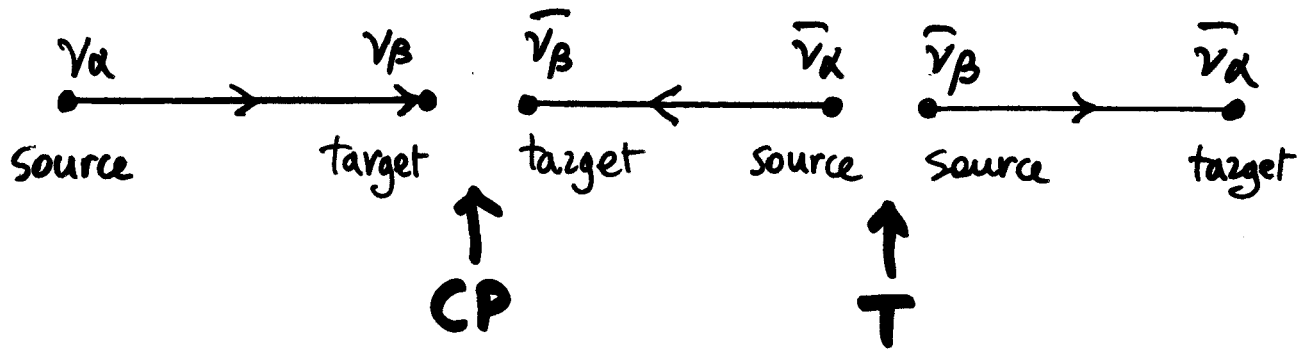
**$\psi$  decoherence**

In both cases , get damped oscillations:



# CP violation

requires  $M \geq 3$  neutrinos to be observable in oscillations



CPT :  $P(\nu_\alpha \rightarrow \nu_\beta) = P(\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha)$

if CP :  $P(\nu_\alpha \rightarrow \nu_\beta) = P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$

if ~~CP~~ :  $P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$

$$\Delta P_{CP} \propto \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13} \times$$

$$\times \sin\left(\frac{\Delta m_{12}^2 L}{4E}\right) \cdot \sin\left(\frac{\Delta m_{23}^2 L}{4E}\right) \cdot \sin\left(\frac{\Delta m_{13}^2 L}{4E}\right)$$

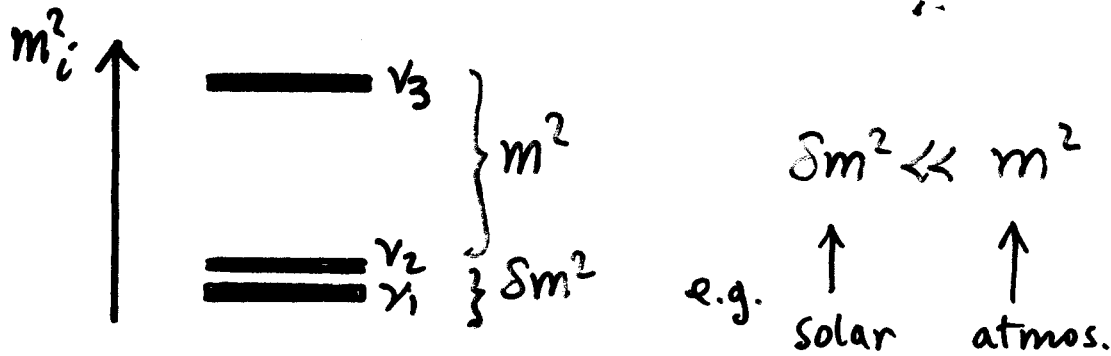
$$\times \sin \delta$$

VANISHES IF :  $\delta \rightarrow 0$   
 or: 1 mixing angle  $\rightarrow 0$   
 or: 1  $\Delta m^2 \rightarrow 0$

**VERY DIFFICULT.** MOREOVER, "FAKE" ~~CP~~ IN MATTER (ordinary matter does not contain antiparticles!)

**MAY BE POSSIBLE AT FUTURE V-fact**

# 3ν with one dominant mass scale



$$\mathcal{M}^2 = \begin{pmatrix} 0 & & \\ & \Delta m^2 & \\ & & m^2 \end{pmatrix} \quad \text{mod } \mathbb{1}$$

- FROM THE POINT OF VIEW OF "ATMOSPHERIC"  $\nu$ :

$$\mathcal{M}^2 \simeq \begin{pmatrix} 0 & & \\ & 0 & \\ & & m^2 \end{pmatrix} \quad \not\propto \text{unobservable}$$

$$\rightarrow P_{\alpha\alpha} \simeq 1 - 4U_{\alpha 3}^2 (1 - U_{\alpha 3}^2) \sin^2 \left( \frac{m^2 L}{4E} \right)$$

$$P_{\alpha\beta} \simeq 4U_{\alpha 3}^2 U_{\beta 3}^2 \sin^2 \left( \frac{m^2 L}{4E} \right)$$

Parameters:  $(m^2, U_{e3}^2, U_{\mu 3}^2, U_{\tau 3}^2)$

- IN MATTER, PARAMETERS REMAIN THE SAME FOR ATM.  $\nu$ , BUT  $P_{\alpha\alpha}$  and  $P_{\alpha\beta}$  RECEIVE CORRECTIONS:

- 1)  $\Delta m_{12}^2 = 0$  in vac.  $\rightarrow \Delta m_{12,m}^2 \neq 0$  in matter
- 2) case  $m^2 \rightarrow -m^2$  (heavy solar doublet) distinguishable for  $U_{e3} \neq 0$

- From the point of view of "solar"  $\nu$  (probing  $\Delta m_{12}^2 \approx \delta m^2$ ):

$$\mathcal{M}^2 \approx \begin{pmatrix} 0 & & \\ & \delta m^2 & \\ & & \infty \end{pmatrix} \quad \begin{array}{l} \not\propto \text{unobserv.} \\ \text{in } P_{ee} \end{array} \quad \begin{array}{l} \text{---} \\ \text{=} \end{array}$$

$$P_{ee} = 1 - 4 U_{e1}^2 U_{e2}^2 \sin^2 \left( \frac{\delta m^2 L}{4E} \right)$$

$$- 4 U_{e2}^2 U_{e3}^2 \sin^2(\infty)$$

$$- 4 U_{e1}^2 U_{e3}^2 \delta m^2(\infty)$$

PARAMETERS:  $(\delta m^2, U_{e1}^2, U_{e2}^2, U_{e3}^2)$

Taking  $\sin^2(\infty) \sim \frac{1}{2}$ :

$$P_{ee} = (1 - U_{e3}^2)^2 - 4 U_{e1}^2 U_{e2}^2 \sin^2 \left( \frac{\delta m^2 L}{4E} \right) + U_{e3}^4$$

$$= C_{\varphi}^4 \left[ 1 - \sin^2 2\omega \sin^2 \left( \frac{\delta m^2 L}{4E} \right) \right] + S_{\varphi}^4$$

"2 $\nu$ " probability

$$\rightarrow P_{ee}^{3\nu} = C_{\varphi}^4 \text{ " } P_{ee}^{2\nu} \text{ " } + S_{\varphi}^4 \quad \varphi = \theta_{13}$$

structure remains the same in matter (modulo  $N_e \rightarrow N_e \cdot C_{\varphi}^2$ )

# 1 active + $\infty$ sterile $\nu$ 's

(EXTRA DIMENSIONS)

$$\mathcal{H} = \frac{MM^\dagger}{2E} + V$$

In matter,  $V \neq 0$ :

$$V_e - V_s \neq 0$$

$$V_{\mu,\tau} - V_s \neq 0$$

Let us focus on the vacuum case ( $V=0$ )

$$\mathcal{H} = \frac{MM^\dagger}{2E}$$

$$M = \begin{bmatrix} m & \sqrt{2}m & \sqrt{2}m & \sqrt{2}m & \dots \\ 0 & 1/R & 0 & 0 & \dots \\ 0 & 0 & 2/R & 0 & \dots \\ \vdots & \vdots & & 3/R & \dots \end{bmatrix}$$

Define  $\xi = R \cdot m$

$$R^2 MM^\dagger = \lim_{N \rightarrow \infty} \begin{bmatrix} (1+2N)\xi^2 & \sqrt{2}\xi & 2\sqrt{2}\xi & \dots & N\sqrt{2}\xi \\ \sqrt{2}\xi & 1 & 0 & \dots & 0 \\ 2\sqrt{2}\xi & 0 & 4 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ N\sqrt{2}\xi & 0 & 0 & \dots & N^2 \end{bmatrix}$$

"almost" diagonal.

→ DIAGONALIZATION

Eigenvalue equation is of the form:

$$\det \begin{bmatrix} x_{00} - \lambda^2 & x_{01} & x_{02} & \dots & x_{0N} \\ x_{10} & x_{11} - \lambda^2 & 0 & \dots & 0 \\ x_{20} & 0 & x_{22} - \lambda^2 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_{2N} & 0 & 0 & \dots & x_{NN} - \lambda^2 \end{bmatrix} \leftarrow \text{expand 1st row}$$

$$= (x_{00} - \lambda^2) \det \begin{bmatrix} x_{11} - \lambda^2 & 0 & \dots & 0 \\ 0 & x_{22} - \lambda^2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & x_{NN} - \lambda^2 \end{bmatrix} \leftarrow \text{trivial}$$

$$- x_{01} \det \begin{bmatrix} x_{10} & 0 & \dots & 0 \\ x_{20} & x_{22} - \lambda^2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ x_{N0} & 0 & \dots & x_{NN} - \lambda^2 \end{bmatrix} \leftarrow \text{expand 1st row}$$

$$+ x_{02} \det \begin{bmatrix} x_{10} & x_{11} - \lambda^2 & \dots & 0 \\ x_{20} & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ x_{N0} & 0 & \dots & x_{NN} - \lambda^2 \end{bmatrix} \leftarrow \text{expand 2nd row}$$

$\pm \dots$

$$= (x_{00} - \lambda^2) \prod_{k=1}^N (x_{kk} - \lambda^2) - x_{01} x_{10} \frac{\prod_{k=1}^N (x_{kk} - \lambda^2)}{x_{11} - \lambda^2} - x_{02} x_{20} \frac{\prod_{k=1}^N (x_{kk} - \lambda^2)}{x_{22} - \lambda^2} \dots$$

$$= \left[ (x_{00} - \lambda^2) - \sum_{k=1}^N \frac{x_{0k} x_{k0}}{x_{kk} - \lambda^2} \right] \prod_{k=1}^N (x_{kk} - \lambda^2) = 0$$

In our case  $(V=0)$  :

$$\begin{cases} X_{00} = (1+2N)\xi^2 \\ X_{0k} = X_{k0} = k\sqrt{2}\xi \\ X_{kk} = k^2 \end{cases}$$

$$\lim_{N \rightarrow \infty} \left[ (1+2N)\xi^2 - \lambda^2 - \sum_{k=1}^N \frac{2\xi^2 k^2}{k^2 - \lambda^2} \right] \prod_{k=1}^N (k^2 - \lambda^2) = 0$$

but  $\lambda = k$  not eigenvalues

$$\rightarrow \xi^2 - \lambda^2 - 2\lambda^2 \xi^2 \sum_{k=1}^{\infty} \frac{1}{k^2 - \lambda^2} = 0$$

use  $\sum_{k=1}^{\infty} \frac{1}{k^2 - \lambda^2} = \frac{1}{2} \left( \frac{1}{\lambda^2} - \frac{\pi \cot \pi \lambda}{\lambda} \right)$

$\rightarrow$  EQUATION FOR  $\lambda_n^2$  eigenvalues of  $R^2 M M^\dagger$ :

$$\lambda_n^2 - \pi \lambda_n \xi^2 \cot \pi \lambda_n = 0$$

(VACUUM)  
 $\xi = mR$

IN MATTER ONE WOULD GET

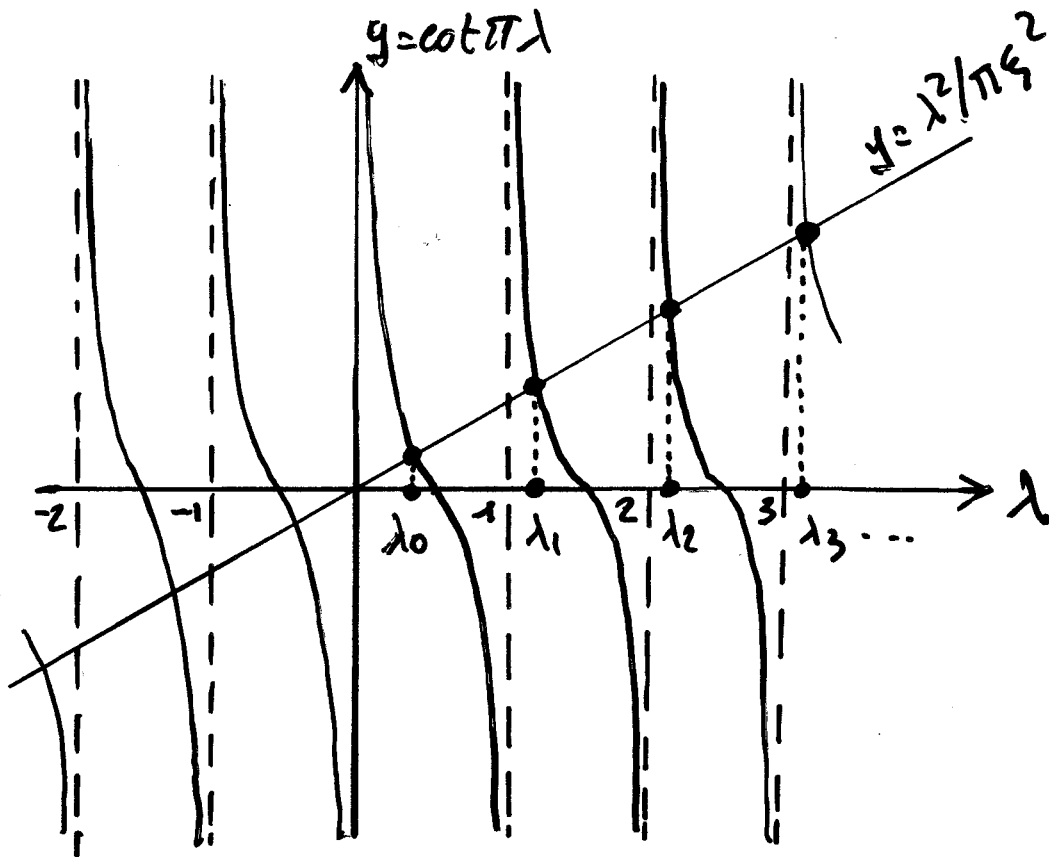
$$\lambda_n^2 - \eta - \pi \lambda_n \xi^2 \cot \pi \lambda_n = 0$$

$$\eta = 2EVR^2$$



Solutions of eigenvalue equation in vacuum determined by parametric intersection

$$\begin{cases} y = \lambda / \pi \xi^2 \\ y = \cot \pi \lambda \end{cases}$$



$\lambda_n \sim n$  asymptotically

"-n" solutions folded into "+n"  
(only  $\lambda_n^2$  relevant)

MIXING MATRIX: need eigenvectors

$$R^2 M M^\dagger = \begin{bmatrix} X_{e0} & X_{e1} & X_{e2} & \dots & X_{eN} \\ X_{10} & X_{11} & 0 & \dots & 0 \\ X_{20} & 0 & X_{22} & & \\ \vdots & \vdots & & \ddots & \vdots \\ X_{N0} & 0 & 0 & \dots & X_{NN} \end{bmatrix} \begin{bmatrix} \nu_e \\ \nu^{(1)} \\ \vdots \\ \nu^{(N)} \end{bmatrix} \leftarrow \begin{array}{l} \text{initial flavor} \\ \text{basis} \end{array}$$

mass basis  $\stackrel{\text{def}}{=} (\tilde{\nu}_0, \tilde{\nu}_1, \tilde{\nu}_2, \dots, \tilde{\nu}_N)$

$$\begin{bmatrix} \nu_e \\ \nu^{(1)} \\ \nu^{(2)} \\ \vdots \\ \nu^{(N)} \end{bmatrix} = \begin{bmatrix} U_{e0} & U_{e1} & U_{e2} & \dots & U_{eN} \\ U_{10} & U_{11} & U_{12} & \dots & U_{1N} \\ U_{20} & U_{21} & U_{22} & \dots & U_{2N} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ U_{N0} & U_{N1} & U_{N2} & \dots & U_{NN} \end{bmatrix} \begin{bmatrix} \tilde{\nu}_0 \\ \tilde{\nu}_1 \\ \tilde{\nu}_2 \\ \vdots \\ \tilde{\nu}_N \end{bmatrix}$$

↑ flavor                      ↑ mixing                      ↑ mass  
 (real:  $U^{-1} = U^T$ )

Eigenvector equation:

$$R^2 M M^\dagger \begin{bmatrix} U_{en} \\ U_{1n} \\ \vdots \\ U_{Nn} \end{bmatrix} = \lambda_n^2 \begin{bmatrix} U_{en} \\ U_{1n} \\ \vdots \\ U_{Nn} \end{bmatrix} \quad n=0,1,\dots,N$$

$N+1$  equations  $\oplus$  eigenvector normalization

$$\left( \sum_{i=e,1,\dots,N} U_{in}^2 = 1 \right)$$

→  $N$  indep. eq.

→ Eliminate 1st eq.

$$\text{get } X_{k0} U_{en} + X_{kk} U_{kn} = \lambda_n^2 U_{kn} \quad (k=1, \dots, N)$$

$$\rightarrow U_{kn} = - \frac{X_{k0}}{X_{kk} - \lambda_n^2} U_{en}$$

$$\rightarrow \sum_{k=1}^N U_{kn}^2 = \left( \sum_{k=1}^N \frac{X_{k0}^2}{(X_{kk} - \lambda_n^2)^2} \right) U_{en}^2$$

but  $\sum_{k=1}^N U_{kn}^2 = 1 - U_{en}^2$  (normalization) so that

$$U_{en}^2 \left( 1 + \sum_{k=1}^N \frac{X_{k0}^2}{(X_{kk} - \lambda_n^2)^2} \right) = 1$$

$$\boxed{(U_{en}^2)^{-1} = 1 + 2\epsilon_\xi^2 \sum_{k=1}^N \frac{k^2}{(k^2 - \lambda_n^2)^2}}$$

$\nu_e$  mixing matrix elements  
 $\uparrow$   
 e or any other active state

Focus on  $U_{en}^2$ , since  $\nu^{(1)}$  sterile

$\rightarrow$  only  $P(\nu_e \rightarrow \nu_e)$  observable

$\uparrow$   
 $U_{en}^2$

The  $\left(\lim_{N \rightarrow \infty} \sum_{k=1}^N\right)$  term can be put in closed form:

$$\begin{aligned} \sum_{k=1}^{\infty} \frac{2k^2}{(k^2 - \lambda_n^2)^2} &= \frac{\partial}{\partial \alpha} \sum_{k=1}^{\infty} \frac{1}{\lambda_n^2 - \alpha^2 k^2} \Big|_{\alpha=1} \\ &= \frac{\partial}{\partial \alpha} \frac{1}{2} \left[ \frac{\pi \cot \pi \lambda_n / \alpha}{\alpha \lambda_n} - \frac{1}{\lambda_n^2} \right]_{\alpha=1} \\ &= \frac{\pi^2}{2} (1 + \cot^2 \pi \lambda_n) - \frac{\pi}{2 \lambda_n} \cot \pi \lambda_n \end{aligned}$$

Using also eigenvalue equation ( $\cot \pi \lambda_n = \lambda_n / \pi \xi^2$ ) get:

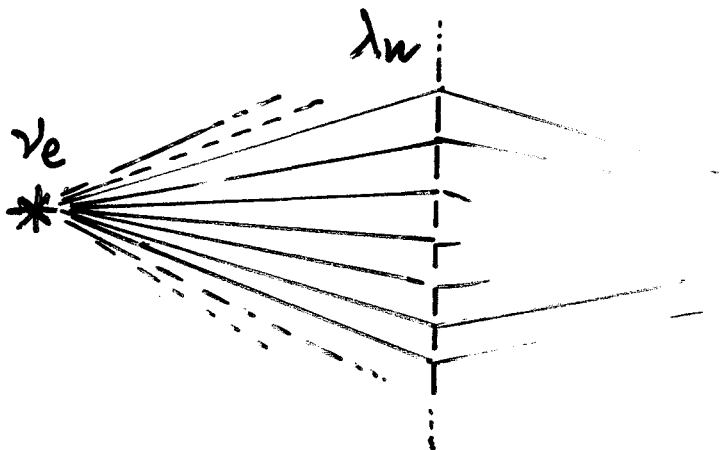
$$\boxed{(U_{en}^2)^{-1} = 2 \left[ 1 + \pi^2 \xi^2 + \lambda_n^2 / \xi^2 \right]}$$

OSCILLATION AMPLITUDE:

$$\begin{aligned} A(\nu_e \rightarrow \nu_e) &= \sum_{n=0}^{\infty} U_{en}^2 e^{-i \frac{\lambda_n^2}{2ER^2} L} \\ &= 2 \sum_{n=0}^{\infty} \frac{e^{-i \frac{\lambda_n^2}{2ER^2} L}}{1 + \pi^2 \xi^2 + \lambda_n^2 / \xi^2} \end{aligned}$$

$L = \text{length}$

← Infinite interfering amplitudes



?  
what will appear on the screen?

Interference pattern generally difficult to calculate for  $\xi \sim \mathcal{O}(1)$ .

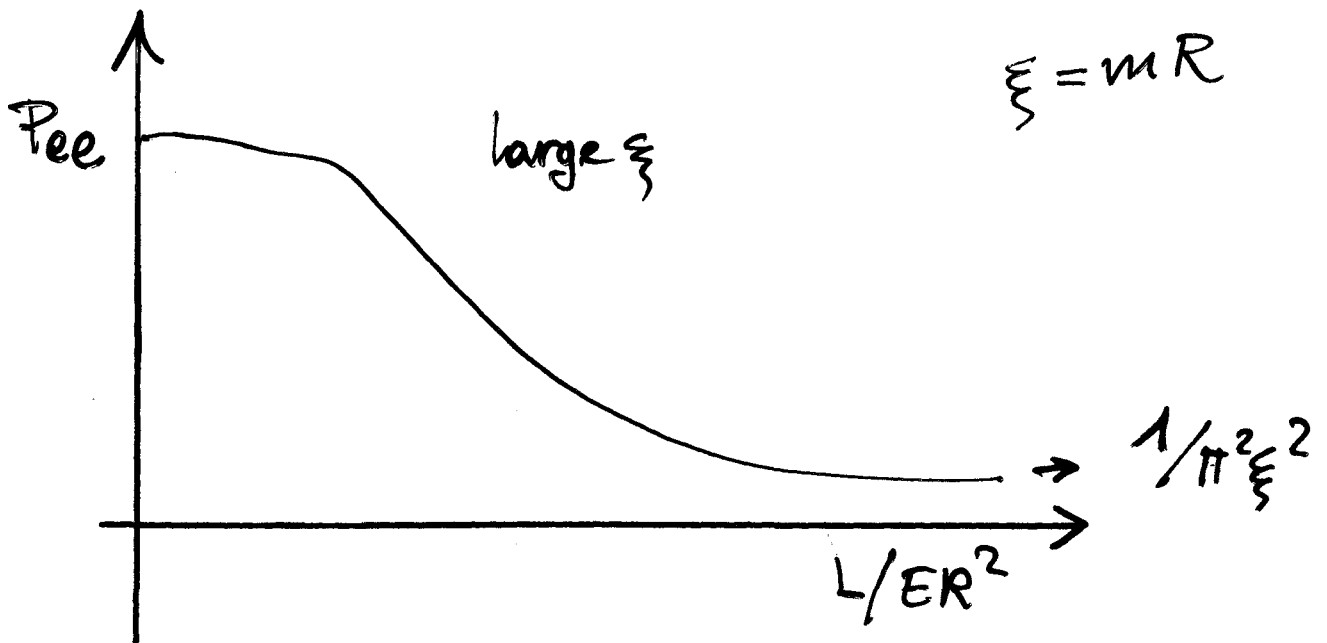
If  $\xi \gg 1$  can write  $\frac{\xi}{n} \rightarrow \int du$

$$A^*(\nu_e \rightarrow \nu_e) \simeq 2 \int_0^\infty du \frac{1}{\pi \xi^2 + \frac{u^2}{\xi^2}} e^{-u^2 \left( -i \frac{m^2 L}{2E\xi^2} \right)}$$

$$\simeq e^z (1 - \text{erf} \sqrt{z}) \quad \text{where } z = -i(\pi \xi^2 / R)^2 (L/2)$$

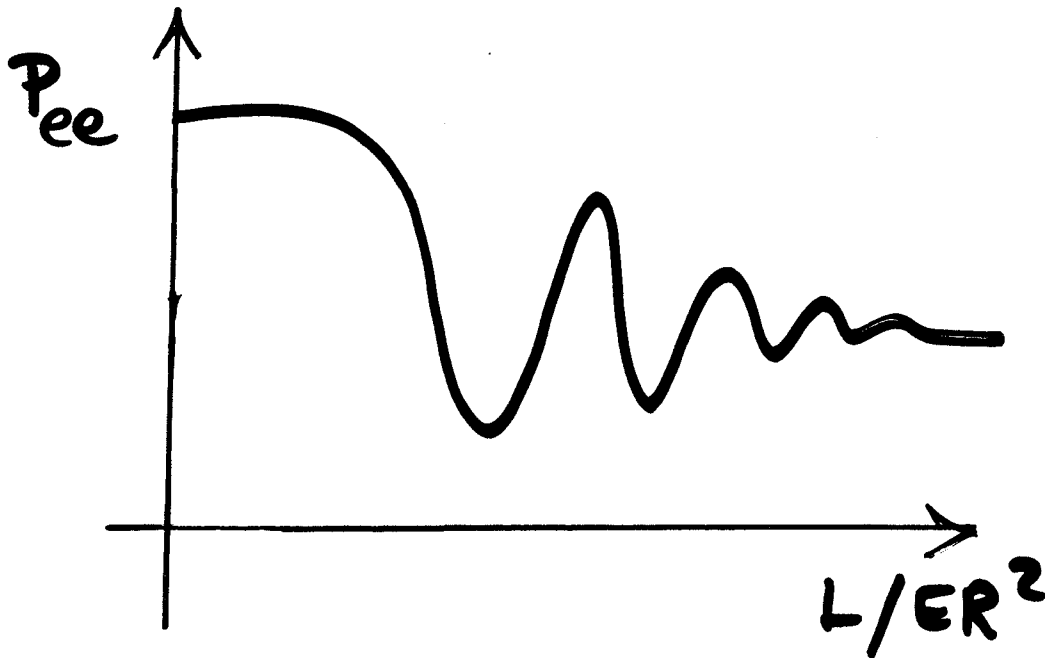
(use  $\frac{1}{\pi} \int_{-\infty}^{+\infty} dt \frac{e^{-zt^2}}{1+t^2} = e^z (1 - \text{erf} \sqrt{z})$ )

$$P(\nu_e \rightarrow \nu_e) = AA^* = \left| 1 - \text{erf} \pi \xi^2 \sqrt{-i \frac{L}{2ER^2}} \right|^2$$



SUM OF INFINITE HARMONICS CAN GIVE MONOTONIC  $P_{ee}$   
(i.e., "NON-OSCILLATING"  $P_{ee}$ )

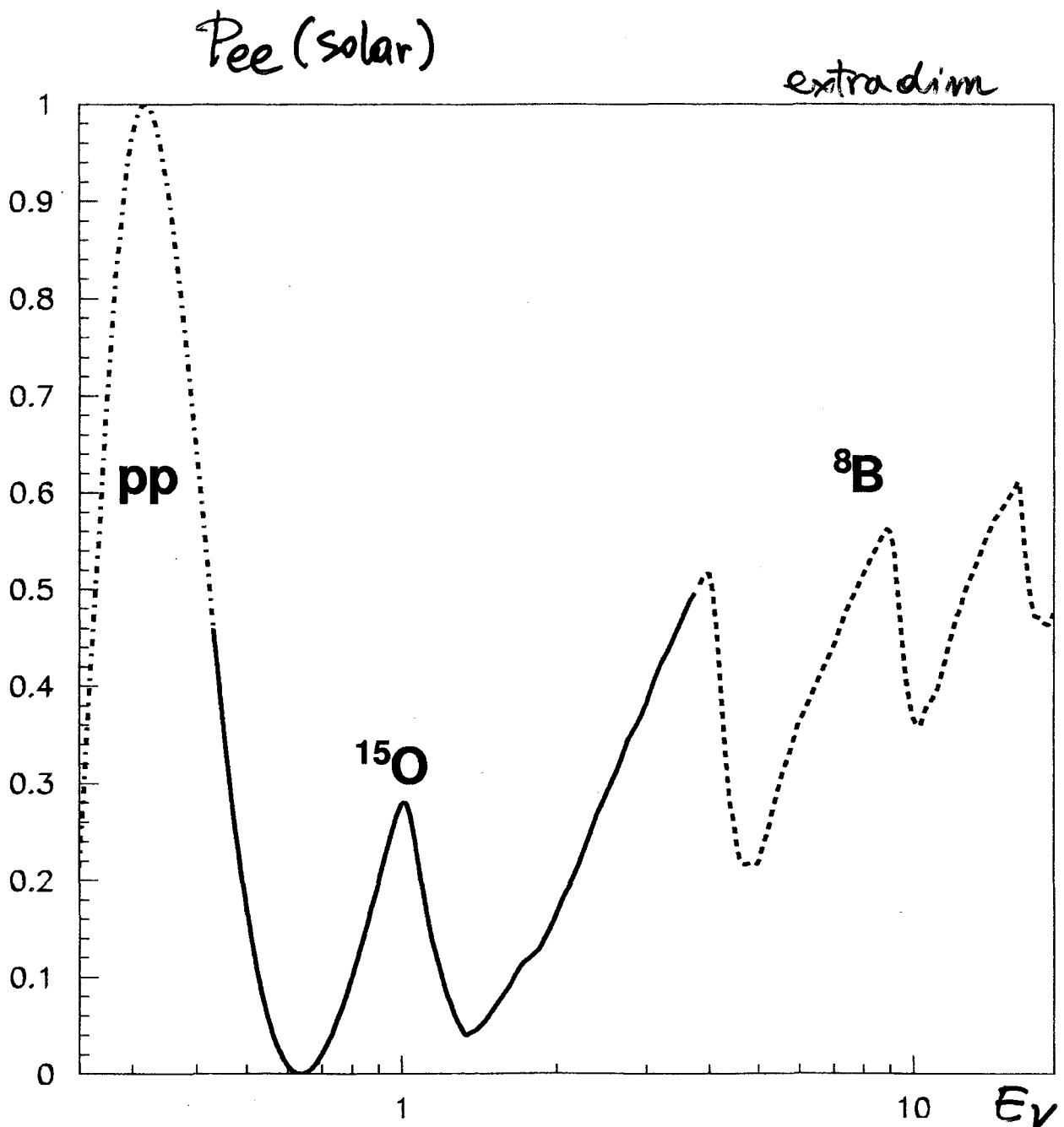
HOWEVER, FOR GENERIC  $\xi_3 = mR \sim \mathcal{O}(1)$ ,  
expect  $P_{ee}$  of the form:



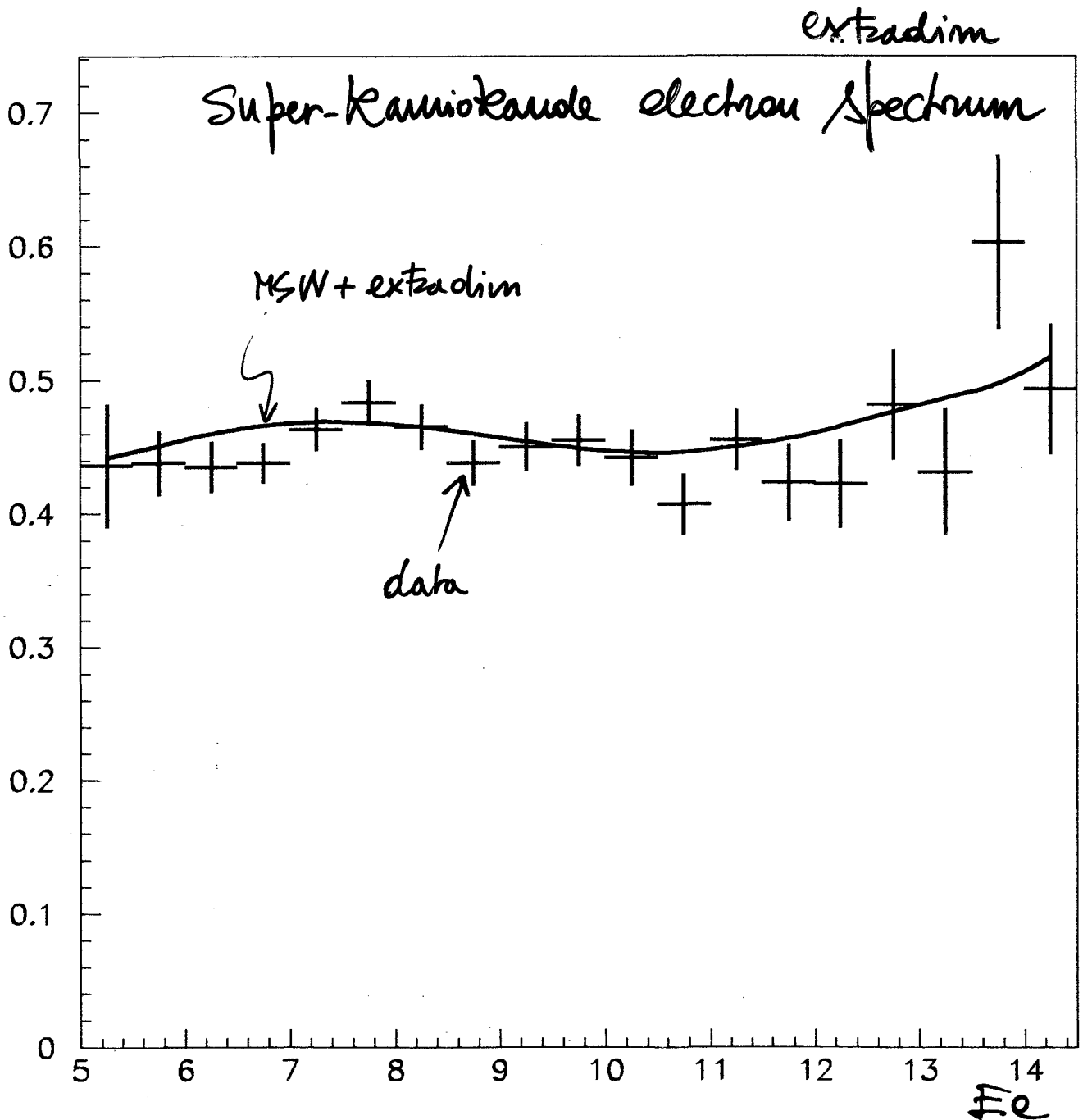
↑  
DISAPPEARANCE IN STERILE  
STATES VIA OSCILLATIONS

Examples →

POSSIBLY  
... STRONG MODIFICATIONS  
OF USUAL MSW  $P_{ee}$   
THROUGH ADDITIONAL  
HARMONICS ...



... BUT SMEARED EFFECTS  
ON OBSERVABLE QUANTITIES





negligible interference of the KK towers. For small values of  $1/R$  there is however the possibility of a transition  $\nu_e \rightarrow \nu_{KK}$  using the MSW effect, which is compatible with the solar data [20]. It requires  $1/R \approx 3 \times 10^{-3}$  eV and a mixing with the KK states determined by  $\xi_2 \approx 0.01$ , or  $m_2 \approx 10^{-(4 \div 5)}$  eV, so that a fit of SK atmospheric data requires  $\xi_3 \sim 2$ . When the parameter  $\mu$  of Section 4 is specified for the electron neutrino and with the solar density profile, the resonant MSW conversion mentioned there ( $\mu$  positive, small  $\xi$ ) takes place and suppresses the different components of the solar  $\nu_e$  spectrum as possibly observed by the various solar neutrino experiments.

## 7. Special features of the proposed solutions

*extradim*

Some alternative descriptions of the atmospheric neutrinos appear possible. The crucial point, however, would be to indicate precise signatures of such solutions visible in appropriate neutrino experiments. To this purpose Fig. 4 is of interest. We give there, versus  $L/E_\nu$ , the probabilities  $P_{\mu\mu}$  and  $P_{\mu\tau}$  that correspond to the fits of the SK results shown in Fig. 3. A few features of these plots might be relevant for an experimental discrimination of the various possibilities.

1. The absence of a first clear dip in the  $L/E_\nu$ -shape of  $P_{\mu\mu}$  is a characteristic of the KK fits that we have discussed at intermediate and big  $\xi$ , at clear variance with the shape of  $P_{\mu\mu}$  in the standard  $\nu_\mu \rightarrow \nu_\tau$  interpretation of the data.
2. The non-standard transition from unoscillated to oscillated atmospheric neutrinos requires a  $L/E_\nu$ -range longer than the standard one and even the one that would be produced by neutrino decay [28–31]. Therefore, unlike what happens in the standard case, a good fit of atmospheric data significantly constrains the outcome of  $\nu_\mu$  disappearance experiments. For example the on-going K2K experiment [32] should observe only 65% ÷ 85% of the events with respect to the no-oscillation case,

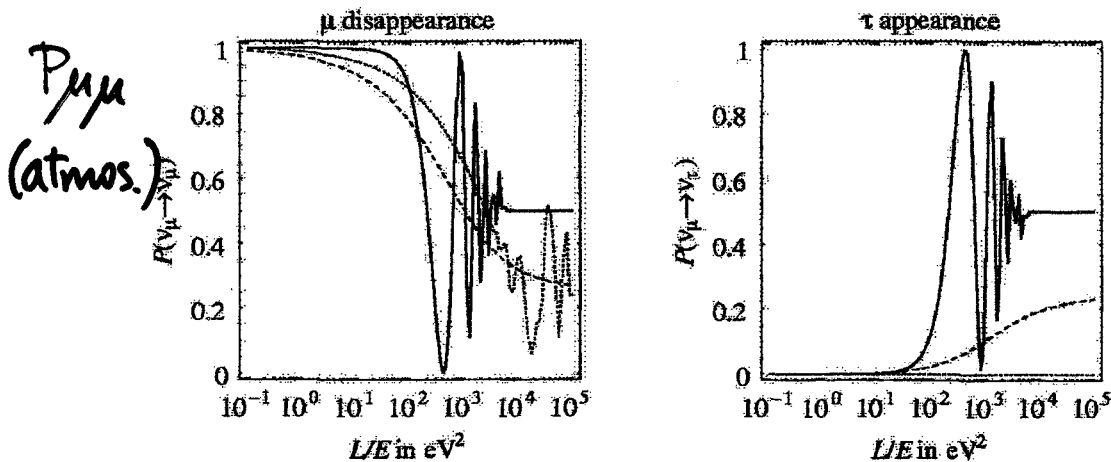


Fig. 4. The  $P_{\mu\mu}$  (a) and  $P_{\mu\tau}$  (b) that give the best SK fits (see caption of Fig. 1 for colour version). Continuous blue line: standard  $\nu_\mu \rightarrow \nu_\tau$  fit. Dotted red line:  $\nu_\mu \rightarrow \nu_{KK}$  fit with intermediate  $\xi = 1/2$ . Dashed green line:  $\nu_\mu \rightarrow \nu_\tau, \nu_{KK}$  fit with large  $\xi$ .

... more harmonics ...

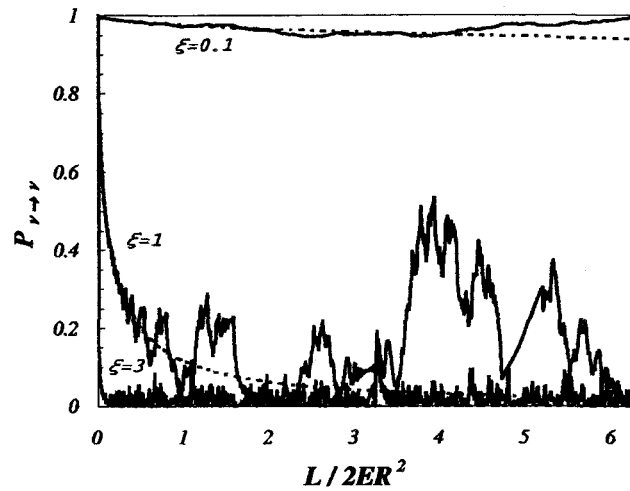


FIG. 3. Exact survival probability for  $\nu_L$  versus  $L/2E$  in units of  $R^2$  (as it is explicit in the argument) for three different values of  $\xi$ . Dotted lines represent the continuous approximation discussed in the main text. Note that only the low  $\xi$  limit has a periodic behaviour.

extradim

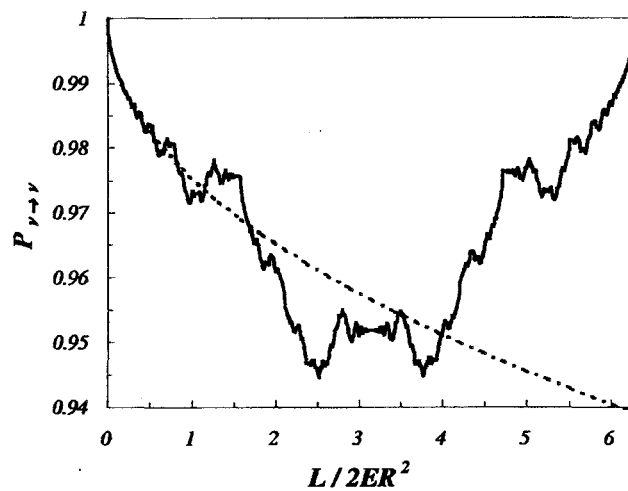
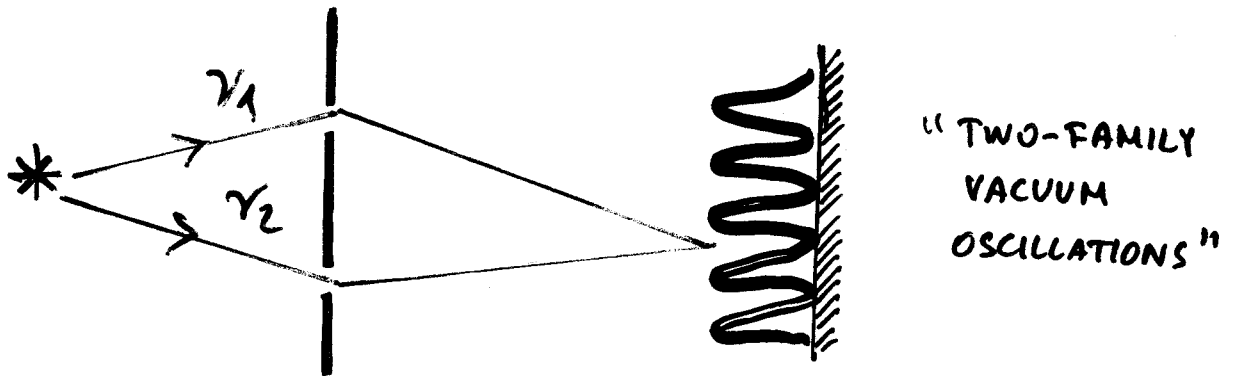


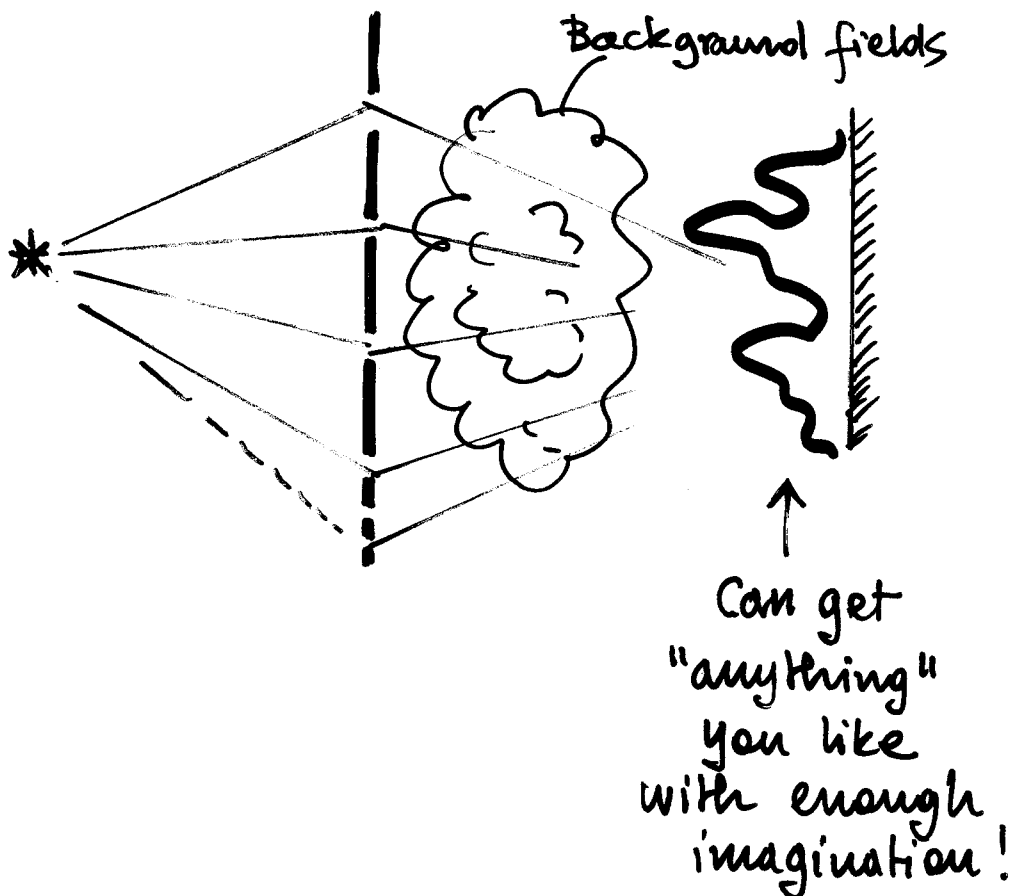
FIG. 4. Here we show an amplification of the survival probability for the  $\xi = 0.1$  case showed in figure 3. Note the large number of wiggles produced by the oscillation of consecutive levels in Eq. (9). We also depict the continuous limit (dotted line) for comparison.

# MESSAGE :

YOU CAN GET MODIFICATIONS TO THE SIMPLE "TWO-SLIT" INTERFERENCE PATTERN...



... BY ADDING MORE SLITS (=  $\gamma$  STATES)  $\leftarrow$  possibly  $\infty!$   
AND/OR ALTERING MEDIUM (= [new]  $\gamma$  INTERACTIONS WITH BACKGROUND FIELDS/MATTER)



... BUT...

... EXPERIMENTS RULE  
OUT MANY PATTERNS  
AND FAVOUR ONLY A  
FEW...

ALTHOUGH

IT MUST BE CLEARLY SAID  
THAT THERE IS NO REAL  
EVIDENCE FOR "OSCILLATIONS" ← <sup>Technically</sup> speaking  
(i.e., dips and bumps in the  
interference pattern) BUT  
ONLY FOR FLAVOR TRANSITIONS

$P(\nu_e \rightarrow \nu_e) < 1$  sol. \*\*\*

$P(\nu_\mu \rightarrow \nu_\mu) < 1$  atm. \*\*\*\*\*

$P(\nu_\mu \rightarrow \nu_e) \neq 0$  LSND \*

DETAILED INTERFERENCE PATTERN  
(disappearance + reappearance of flavors)  
NOT YET OBSERVED CLEARLY/DIRECTLY