

SUMMER SCHOOL ON PARTICLE PHYSICS

18 June - 6 July 2001

NEUTRINO PHYSICS

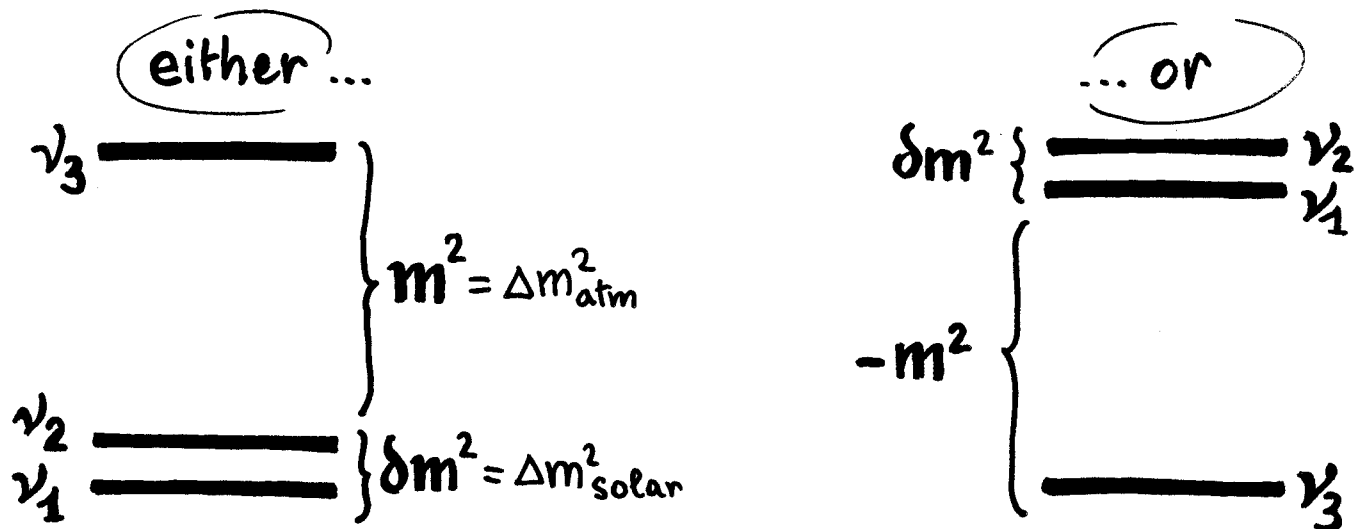
Lecture IV

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Please note: These are preliminary notes intended for internal distribution only.

ATM. + SOLAR 3ν OSCILLATIONS

Phenomenology favors spectra with hierarchical Δm^2 's :



(with ... $\delta m^2 \ll m^2$)

N.B.: HIERARCHY OF Δm^2 's DOES NOT IMPLY HIERARCHY OF $m^2(\nu_i)$

mass² matrix : $\mathcal{M}^2 = \begin{pmatrix} 0 & & \\ & \delta m^2 & \\ & & \pm m^2 \end{pmatrix} + \mu^2 \cdot \mathbf{1}$

↑
absolute mass² scale
not defined in ν -osc.
experiments; must
be inferred by other
means.

PARAMETER SPACE (REDUCTION)

In general, the 3ν -oscillation parameter space is spanned by: $(\delta m^2, m^2, \omega, \varphi, \psi, \delta)$

$\underbrace{\hspace{10em}}_{\text{two } \Delta m^2} \quad \underbrace{\hspace{10em}}_{\text{3 mixing angles}} \quad \underbrace{\hspace{10em}}_{\text{1 CP phase}}$

Luckily, for $\delta m^2 \ll m^2$ the parameter space can be reduced considerably at zeroth order in $\delta m^2/m^2$ (one mass scale dominance). Physical motivation:

FOR TERRESTRIAL ν EXPERIMENTS,

(accelerator, reactor, atmospheric), δm^2 is too small to be probed \rightarrow can take $\delta m^2 \approx 0$ and $\mathcal{M}_{\text{terrestrial}}^2 \approx \begin{pmatrix} 0 & & \\ & 0 & \\ & & \pm m^2 \end{pmatrix} + \mu^2 \mathbb{1}$

FOR SOLAR ν EXPERIMENTS,

$m^2 = \Delta m_{\text{atm}}^2$ is so large that its oscillations can be taken as averaged (equivalent to take $\pm m^2 = \infty$), $\mathcal{M}_{\text{solar}}^2 \approx \begin{pmatrix} 0 & & \\ & \delta m^2 & \\ & & \infty \end{pmatrix} \text{ mod. } \mathbb{1}$

FOR BOTH SOLAR AND TERRESTRIAL EXPTS.,

$\delta m^2/m^2 \rightarrow 0$ implies that CP effects (if any) vanish \Rightarrow can take \mathcal{U} real

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

U real

$$UU^T = 1$$

In "CKM-like" (Maiani) parametrization:

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_\psi & s_\psi \\ 0 & -s_\psi & c_\psi \end{pmatrix} \begin{pmatrix} c_\varphi & 0 & s_\varphi \\ 0 & 1 & 0 \\ -s_\varphi & 0 & c_\varphi \end{pmatrix} \begin{pmatrix} c_\omega & s_\omega & 0 \\ -s_\omega & c_\omega & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\psi = \theta_{23}$$

$$\varphi = \theta_{13}$$

$$\omega = \theta_{12}$$

$$= U(\theta_{23}) \cdot U(\theta_{13}) \cdot U(\theta_{12})$$

Such a conventional ordering of Euler rotations turns out to be (accidentally) very useful in the context of one-mass scale dominance



- TERRESTRIAL EXPTS : $\mathcal{M}^2 \sim \begin{pmatrix} 0 & \\ & \pm m^2 \end{pmatrix} \leftarrow (\nu_1, \nu_2)$
 \sim degenerate

→ any rotation in (ν_1, ν_2) subspace unobservable in terrestrial experiments :

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_\psi & s_\psi \\ & -s_\psi & c_\psi \end{pmatrix} \begin{pmatrix} c_\varphi & s_\varphi \\ & 1 \\ -s_\varphi & c_\varphi \end{pmatrix} \begin{pmatrix} c_\omega & s_\omega \\ -s_\omega & c_\omega \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

acts on (ν_1, ν_2)

→ terrestrial experiments probe only the mixing angles $\psi = \theta_{23}$ and $\varphi = \theta_{13}$

- SOLAR EXPTS : cannot distinguish ν_μ from ν_τ in final state (energy so low that $\nu_{\mu, \tau}$ interactions identical and μ, τ production impossible)

→ any rotation in (ν_μ, ν_τ) subspace unobservable in solar ν experiments :

$$\begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} = \begin{pmatrix} c_\omega & s_\omega \\ -s_\omega & c_\omega \\ & & 1 \end{pmatrix}^T \begin{pmatrix} c_\varphi & s_\varphi \\ & 1 \\ -s_\varphi & c_\varphi \end{pmatrix}^T \begin{pmatrix} 1 & & \\ & c_\psi & s_\psi \\ & -s_\psi & c_\psi \end{pmatrix}^T \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

acts on (ν_μ, ν_τ)

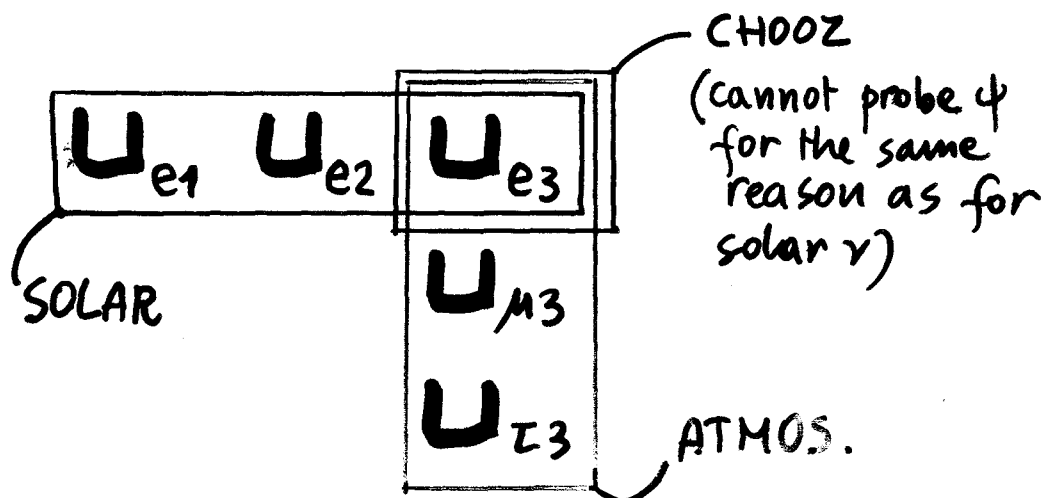
→ solar ν experiments probe only the mixing angles $\omega = \theta_{12}$ and $\varphi = \theta_{13}$

TERRESTRIAL ν
PARAM. SPACE : (m^2, ψ, φ)

SOLAR ν
PARAM. SPACE : $(\delta m^2, \omega, \varphi)$

$\varphi = \theta_{13}$ probed
in both cases

$$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ \cdot & \cdot & U_{\mu 3} \\ \cdot & \cdot & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} c_{\varphi} c_{\omega} & c_{\varphi} s_{\omega} & s_{\varphi} \\ \cdot & \cdot & c_{\varphi} s_{\varphi} \\ \cdot & \cdot & c_{\varphi} c_{\varphi} \end{pmatrix}$$



SUMMARY OF 3ν PARAMETRIZATION
AT ZEROth ORDER IN $\delta m^2/m^2$:

TERRESTRIAL ν

PROBE m^2 (largest Δm^2),
AND THE FLAVOR COMPOSITION OF ν_3 :

$$\begin{aligned}\nu_3 &= U_{e3}\nu_e + U_{\mu 3}\nu_\mu + U_{\tau 3}\nu_\tau \\ &= c_\psi (c_\psi \nu_\tau + s_\psi \nu_\mu) + s_\psi \nu_e\end{aligned}$$

with $U_{e3}^2 + U_{\mu 3}^2 + U_{\tau 3}^2 = 1$

Reduces to 2ν ($\nu_\mu \leftrightarrow \nu_\tau$) for $U_{e3} = s_\psi = 0$

SOLAR ν

PROBE δm^2 (smallest Δm^2)
AND THE MASS COMPOSITION OF ν_e :

$$\begin{aligned}\nu_e &= U_{e1}\nu_1 + U_{e2}\nu_2 + U_{e3}\nu_3 \\ &= c_\psi (c_\omega \nu_1 + s_\omega \nu_2) + s_\psi \nu_3\end{aligned}$$

with $U_{e1}^2 + U_{e2}^2 + U_{e3}^2 = 1$

Reduces to pure 2ν ($\nu_1 \leftrightarrow \nu_2$) for $s_\psi = 0$

no observable ~~CP~~ effects

Relevant unitarity constraints:

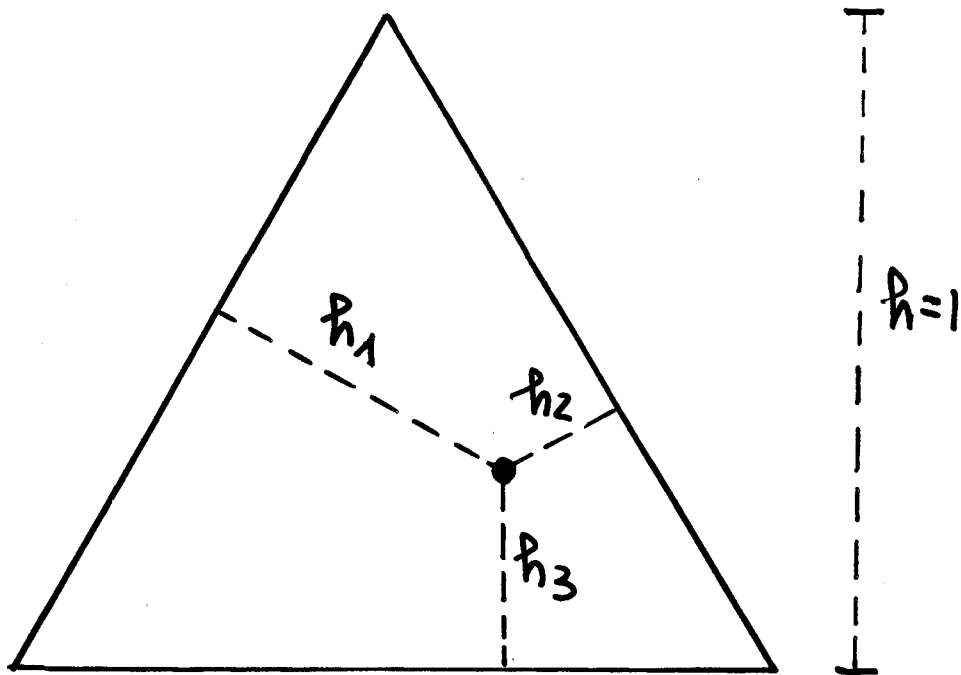
$$U_{e1}^2 + U_{e2}^2 + U_{e3}^2 = 1$$

$$U_{\mu3}^2 + U_{\tau3}^2 = 1$$

Constraints of the generic form

$$h_1 + h_2 + h_3 = 1$$

can be embedded in triangle graphs



$$h_1 + h_2 + h_3 = 1$$

FOR ANY POINT INSIDE THE \triangle

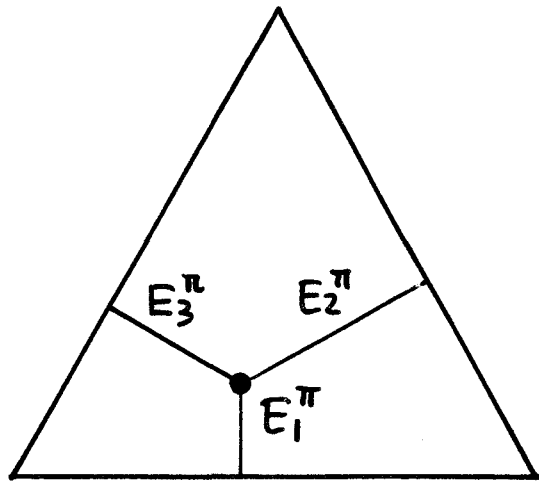
EXAMPLES :

Dalitz, 1953

$K \rightarrow \pi\pi\pi$ decay

$$E_1^\pi + E_2^\pi + E_3^\pi = E_{TOT}$$

"Dalitz plot"

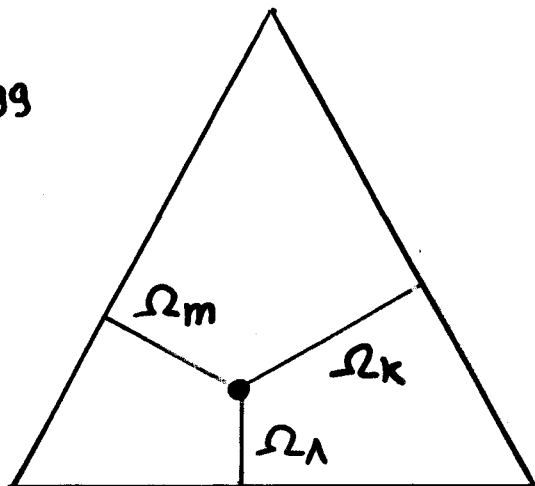


N. Bahcall, Ostriker,
Perlmutter, Steinhardt, 1999

Ω_i bounds

$$\Omega_m + \Omega_k + \Omega_\Lambda = 1$$

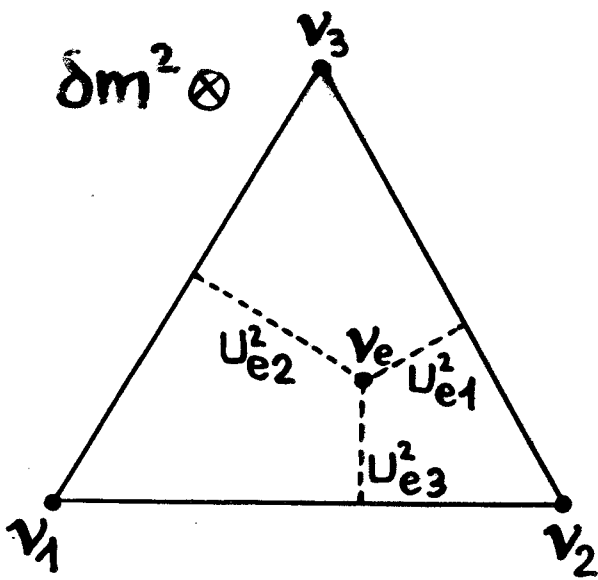
"Cosmic triangle"



→ Unitarity suggests the following representations:

SOLAR ν

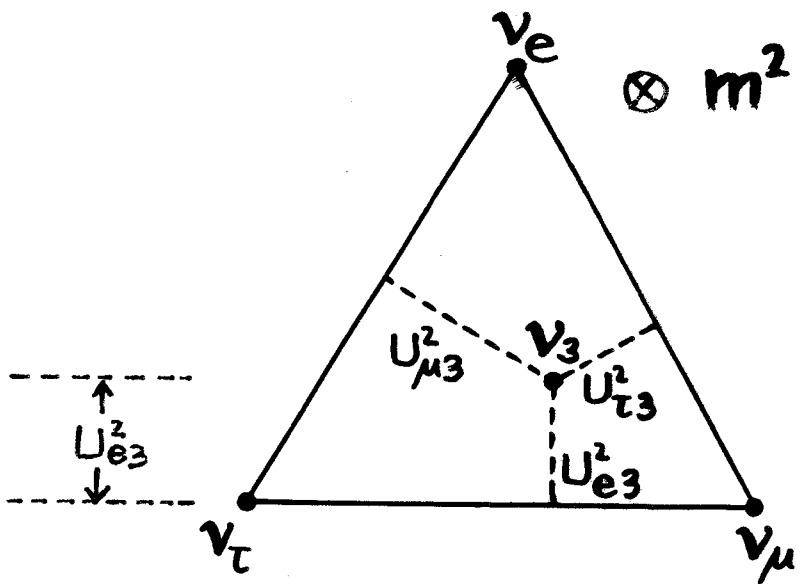
parameter space:



$$U_{e1}^2 + U_{e2}^2 + U_{e3}^2 = 1$$

TERRESTRIAL ν

parameter space:

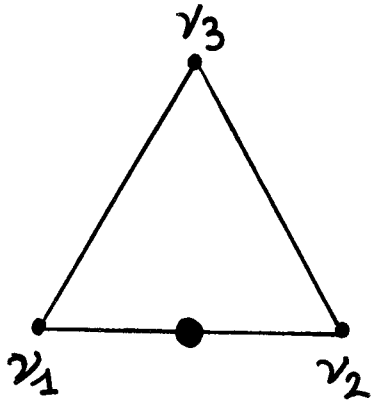


$$U_{e3}^2 + U_{\mu 3}^2 + U_{\tau 3}^2 = 1$$

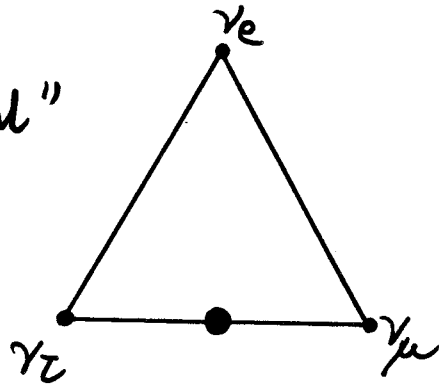
(Lisi, Fogli, Montanino, Scioccia)

EXAMPLES

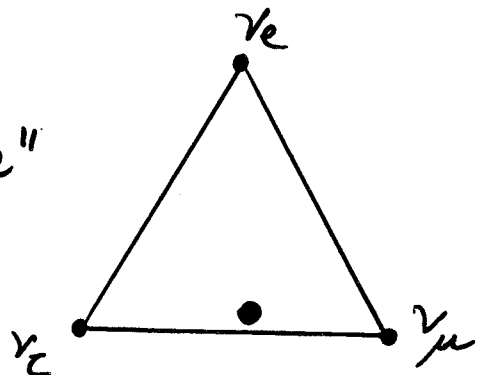
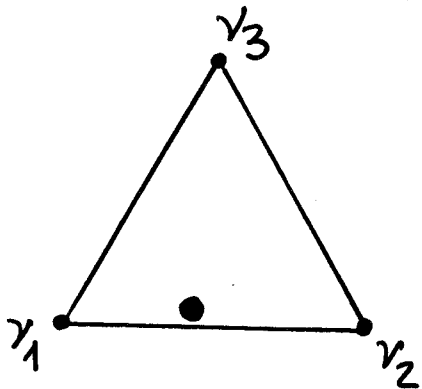
SOLAR



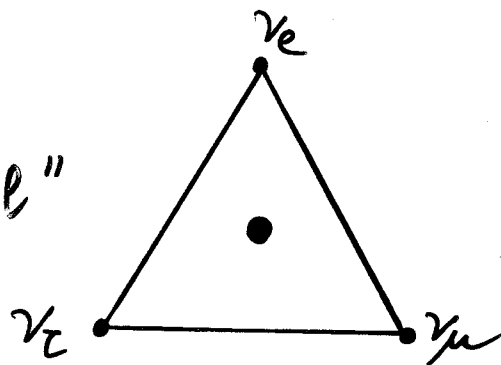
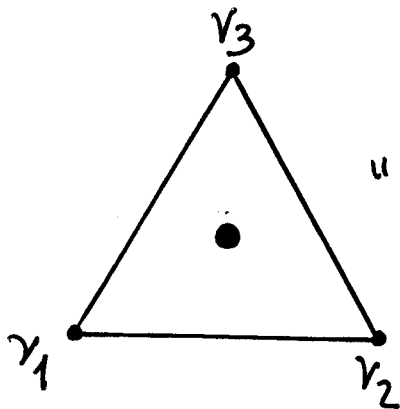
ATMOSPHERIC



"Bi-maximal" mixing

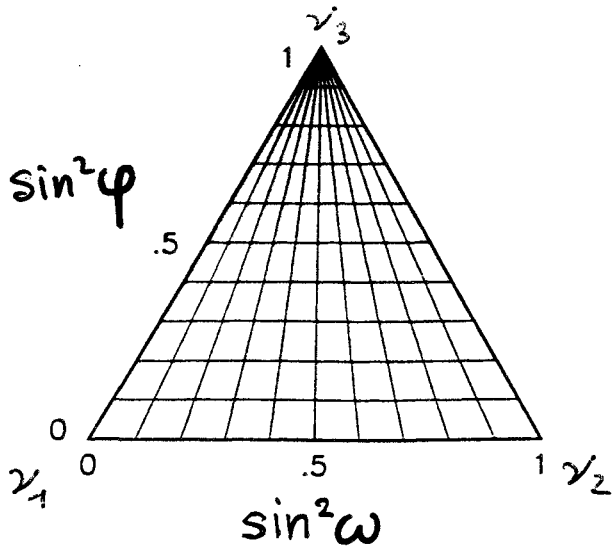


"Bi-large" mixing

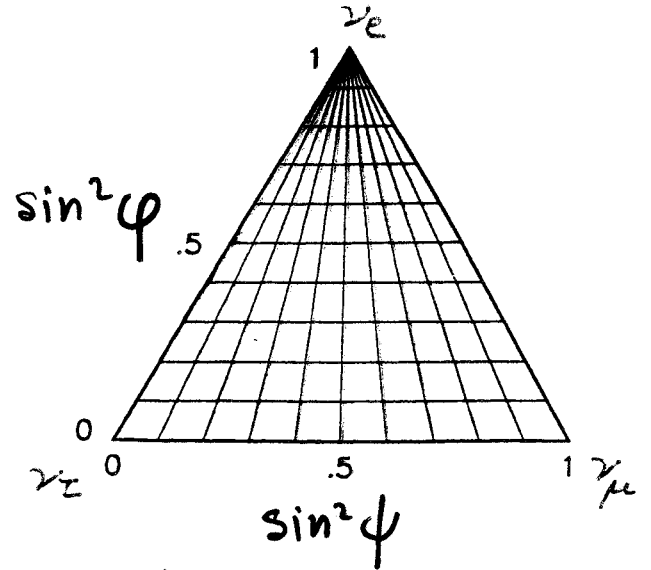


"Trimaximal" mixing

SOLAR

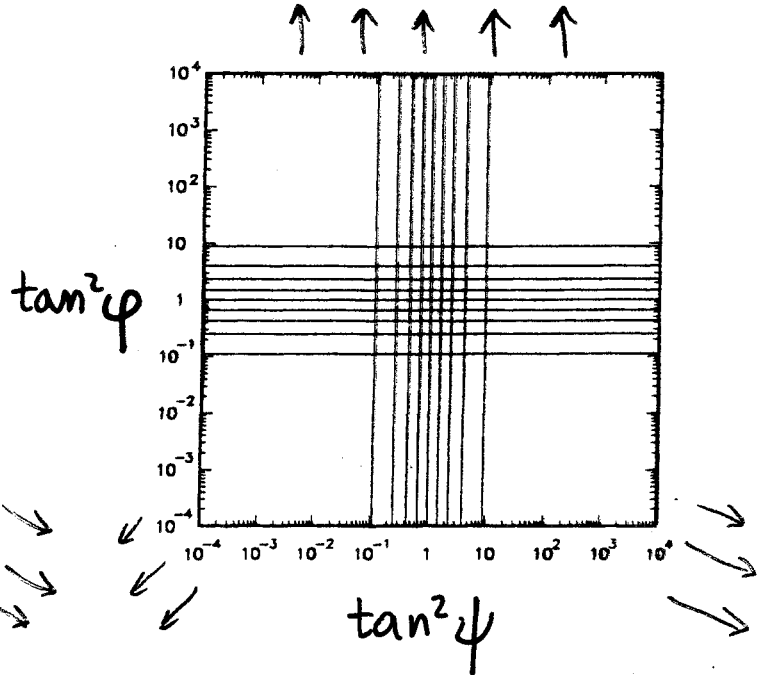
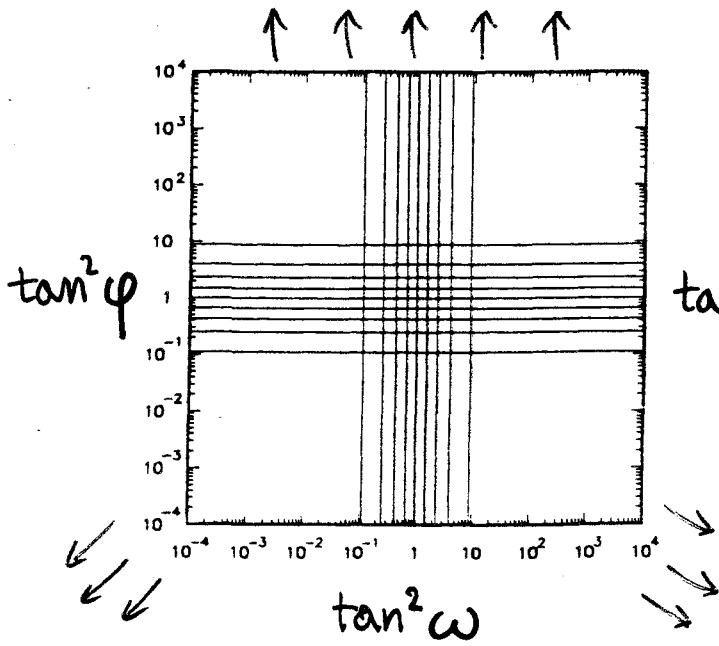


TERRESTRIAL



δm^2 fixed

m^2 fixed

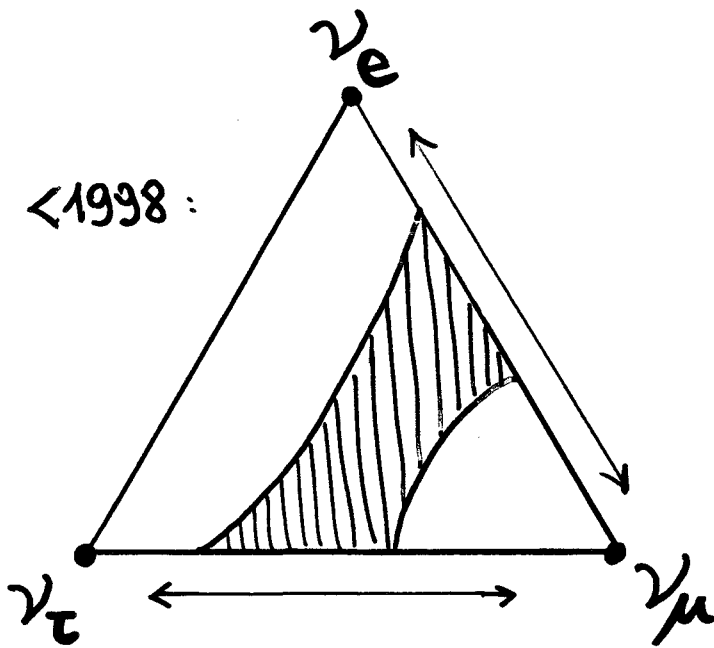


BILOGARITHMIC MIXING/MIXING

REPRESENTATION

(useful to expand zones at small mixing)

ATMOSPHERIC 3ν OSCILLATIONS

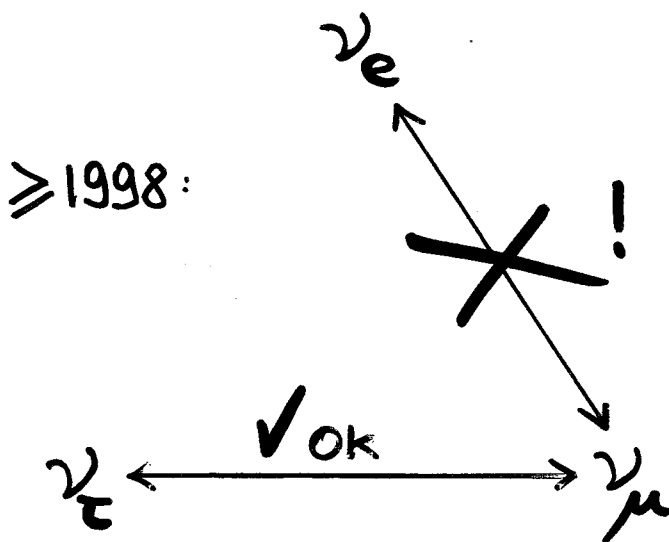


pre-SK situation:

Could not distinguish pure $\nu_\mu \leftrightarrow \nu_\tau$ and pure $\nu_\mu \leftrightarrow \nu_e$, as well as intermediate cases

▨ = ALLOWED

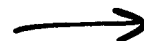
Sk = Super-Kamiokande



post-SK :

we expect $\nu_\mu \leftrightarrow \nu_e$ to be forbidden.

Confirmed by quantitative data analysis



SITUATION NOW (2001)

m^2 (eV²)

Sub-GeV

Multi-GeV

Up-going

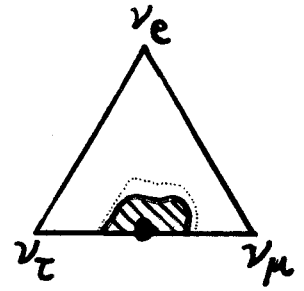
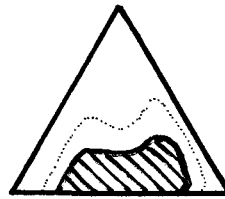
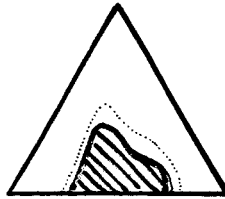
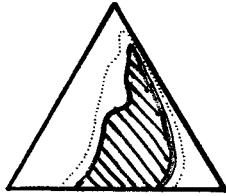
SK Combined

3.0×10^{-3}

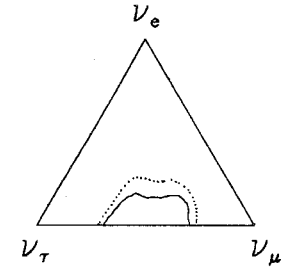
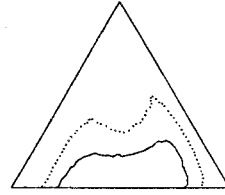
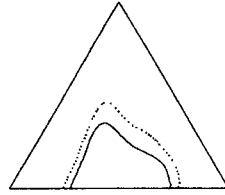
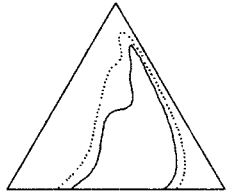
Best fit:

$$U_{e3}^2 = 0$$

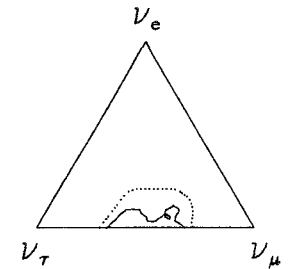
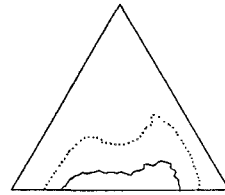
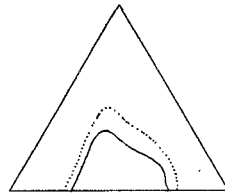
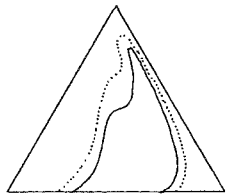
$$U_{\mu 3}^2 = U_{\tau 3}^2$$



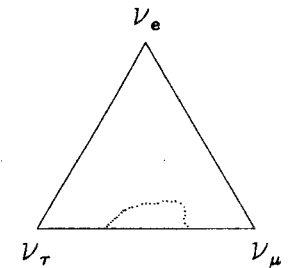
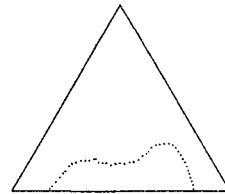
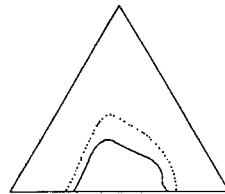
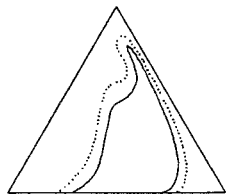
2.5×10^{-3}



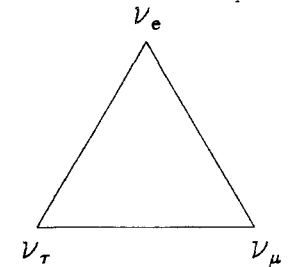
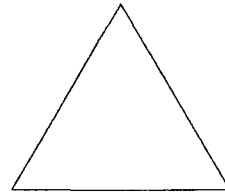
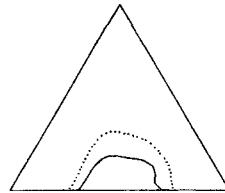
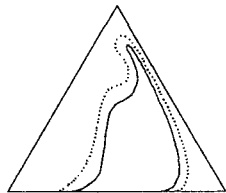
2.0×10^{-3}



1.5×10^{-3}



1.0×10^{-3}

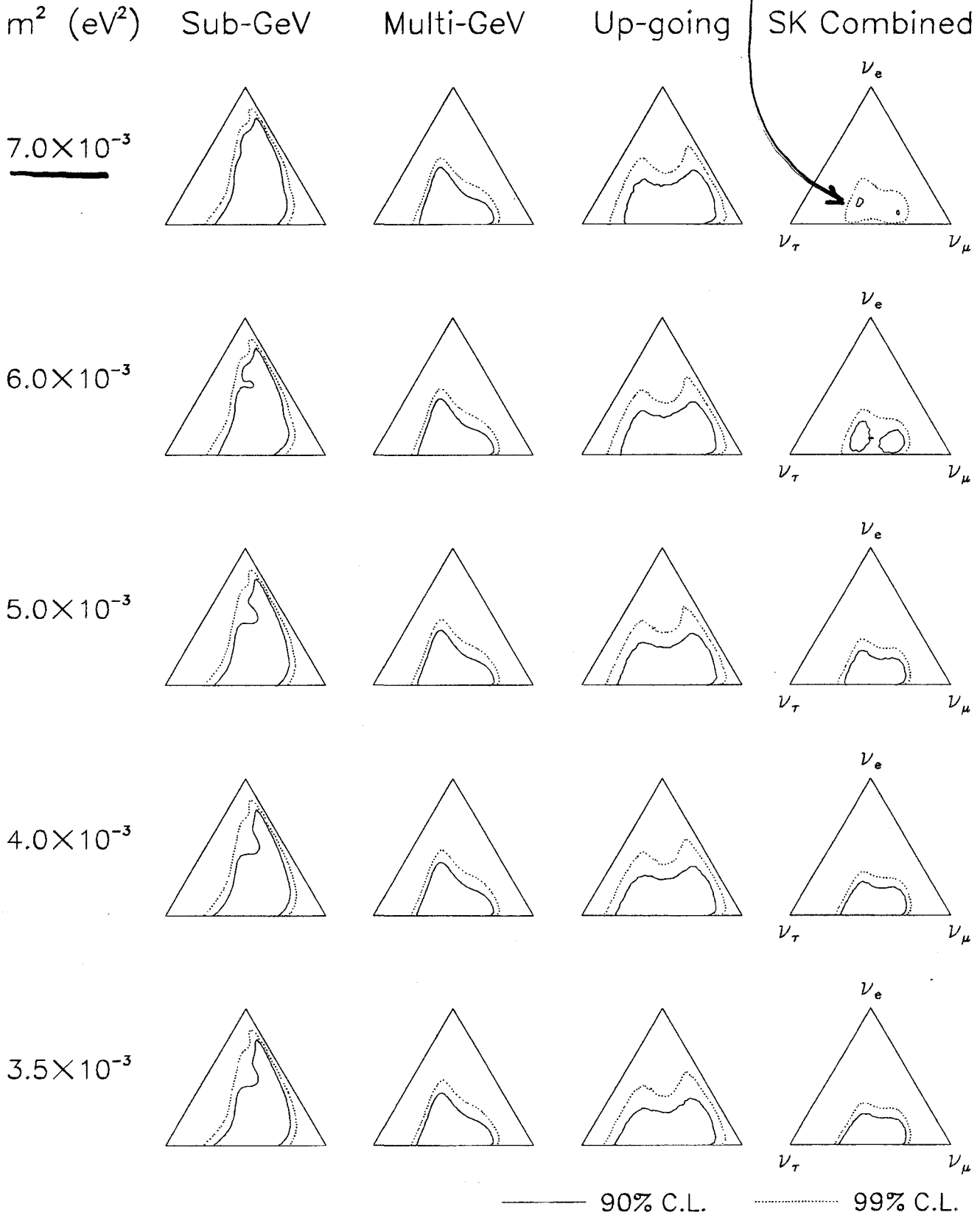


————— 90% C.L.

..... 99% C.L.

Fogli,
E.L.,
Marrone

SLIGHT PREFERENCE FOR $U_{e3}^2 > 0$ at "HIGH" m^2 only



In general, atmospheric ν prefer small or zero values for $U_{e3}^2 = s_\psi^2 = \sin^2 \theta_{13}$

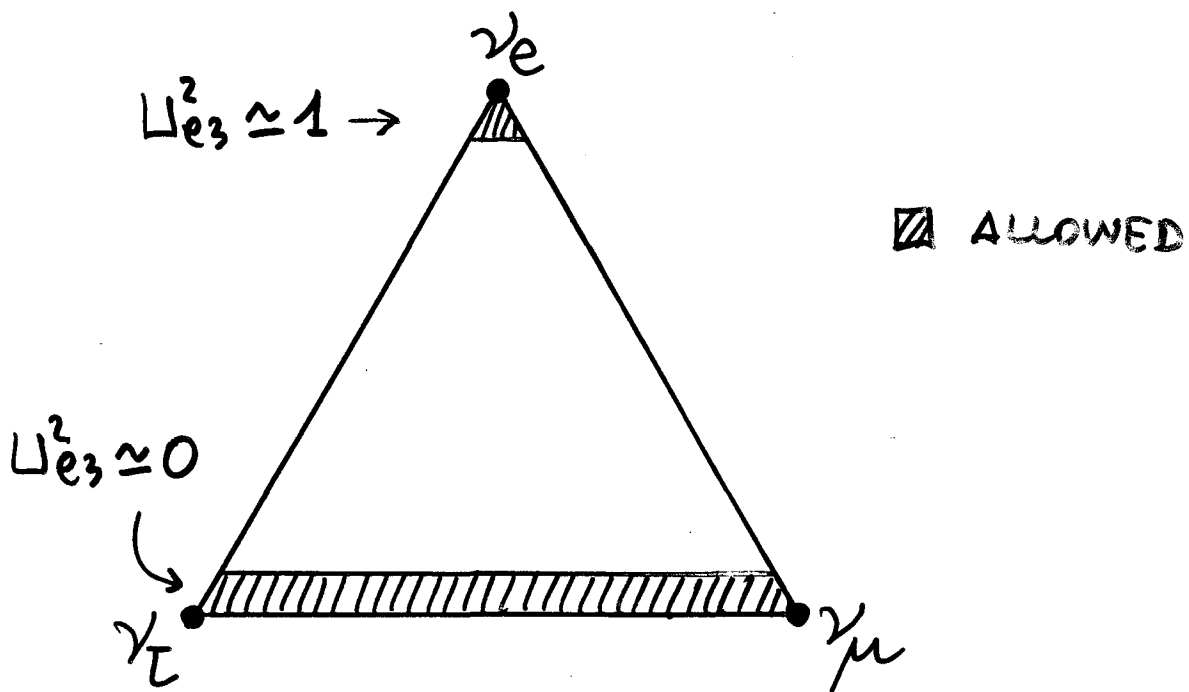
Impact of CHOOZ

$$P_{ee}^{(\text{CHOOZ})} = 1 - 4U_{e3}^2(1 - U_{e3}^2) \sin^2\left(\frac{m^2 L}{4E}\right) \leftarrow \text{Theor. (3}\nu\text{)}$$

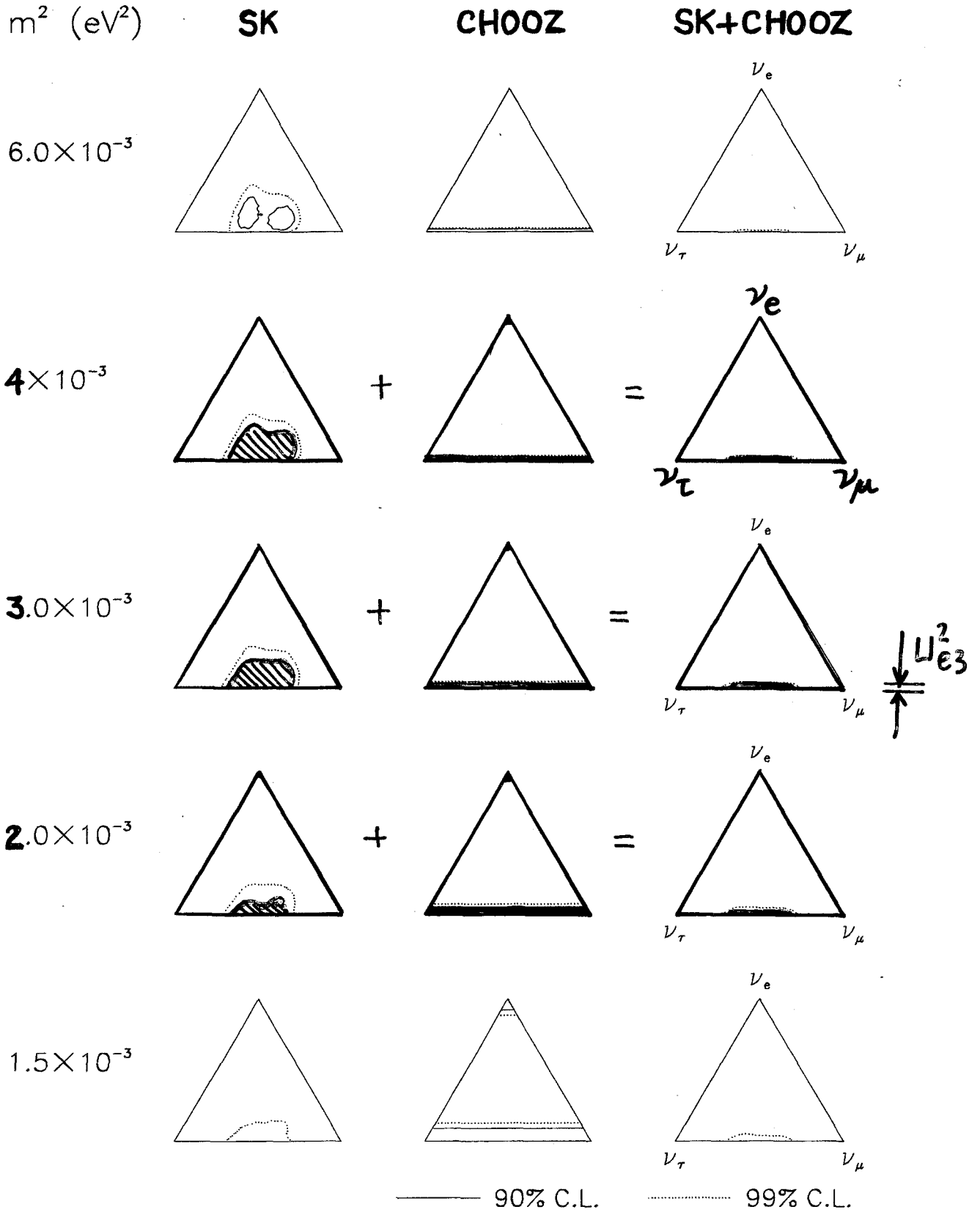
$$\simeq 1 \text{ for } \Delta m^2 \gtrsim 0.7 \times 10^{-3} \text{ eV}^2 \quad \leftarrow \text{expt}$$

Therefore, $4U_{e3}^2(1 - U_{e3}^2) \simeq 0$

$$\rightarrow \begin{cases} \text{either } U_{e3}^2 \simeq 0 \\ \text{or } U_{e3}^2 \simeq 1 \end{cases}$$



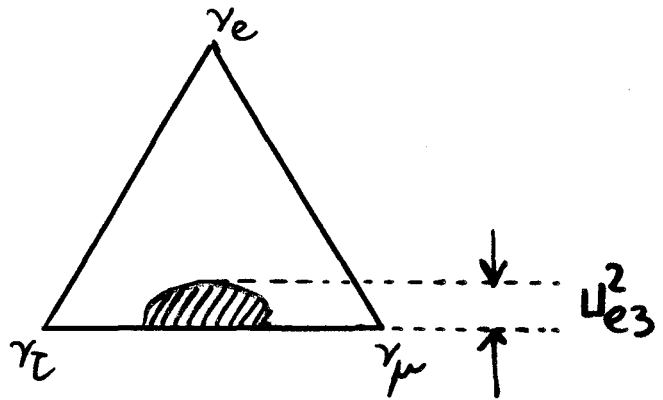
Quantitative analysis \rightarrow



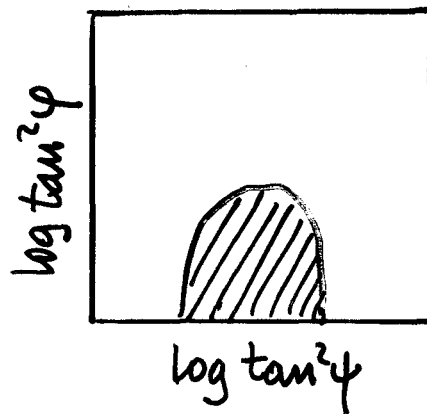
- SK + CHOOZ: $U_{e3}^2 \lesssim \text{few } \%$
- CHALLENGE FOR FUTURE EXP. TO PROVE $U_{e3}^2 \neq 0$ (no theoretical motivation for $U_{e3}^2 \equiv 0$)

Alternative representation

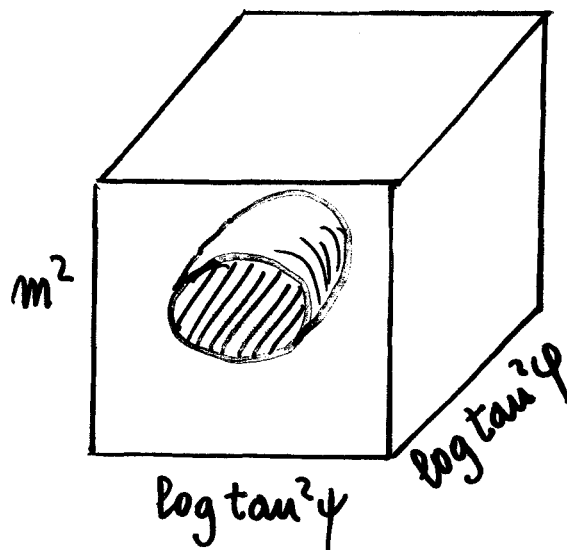
Since regions at small U_{e3}^2 are difficult to draw...



... expand in log-log plot ...



... add third dimension (m^2)

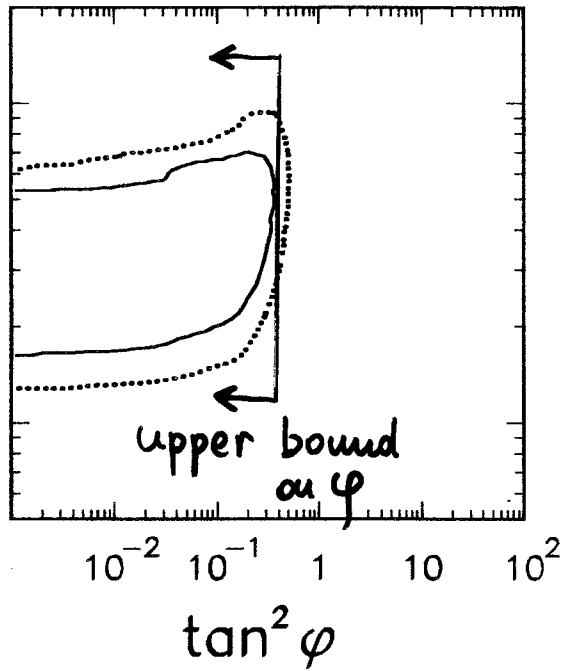
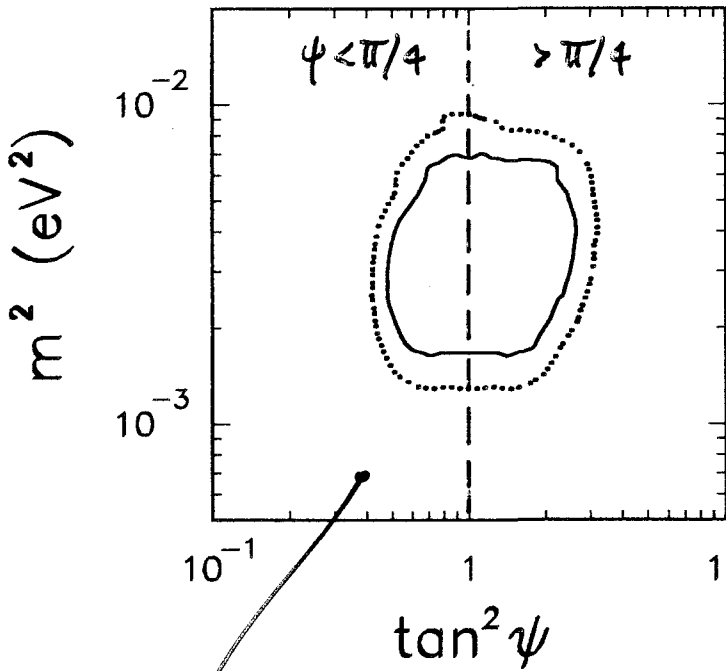
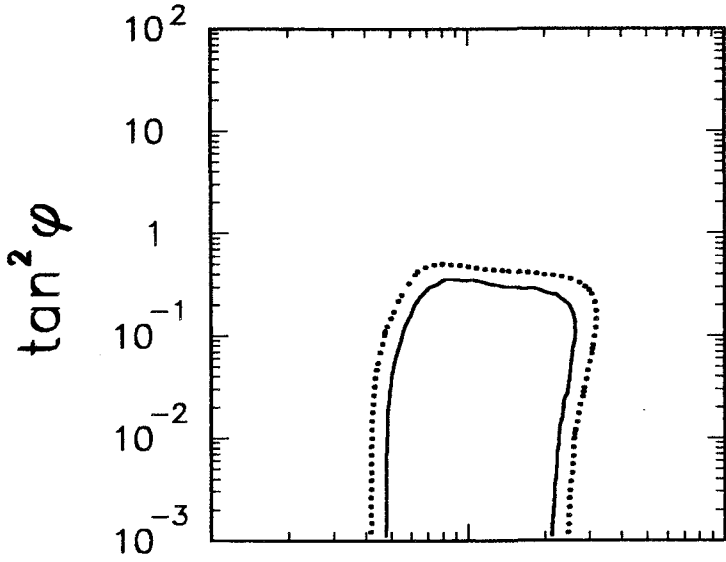


... AND PROJECT ALLOWED REGION →

3ν oscillations

SK data only (55 bin)

- 90 % C.L.
- ⋯ 99 % C.L.
- d.o.f. = 3

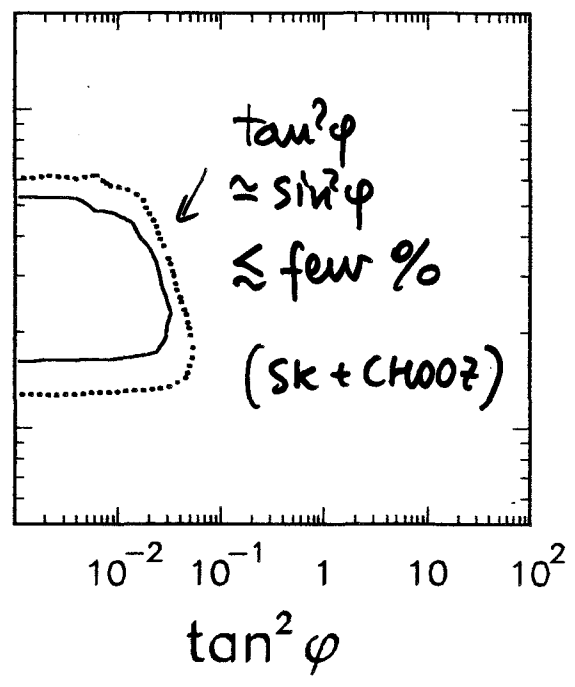
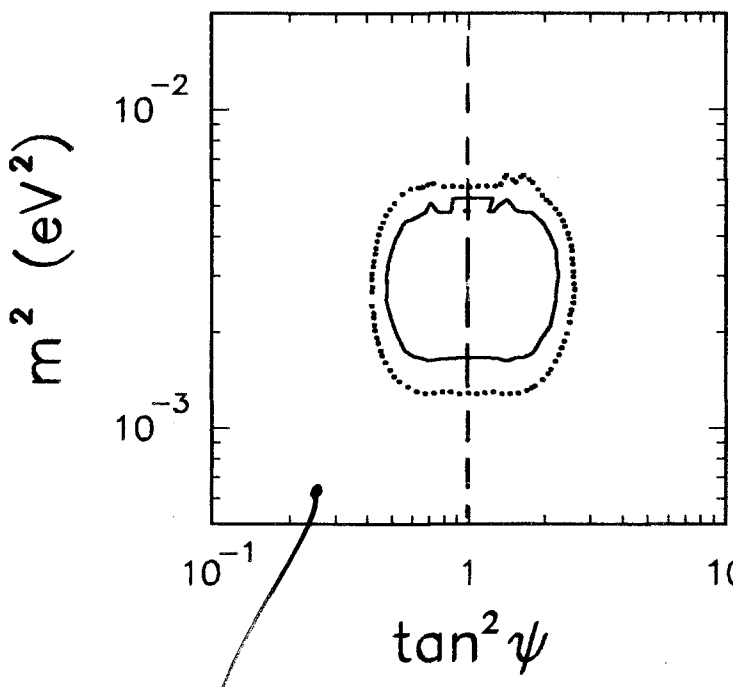
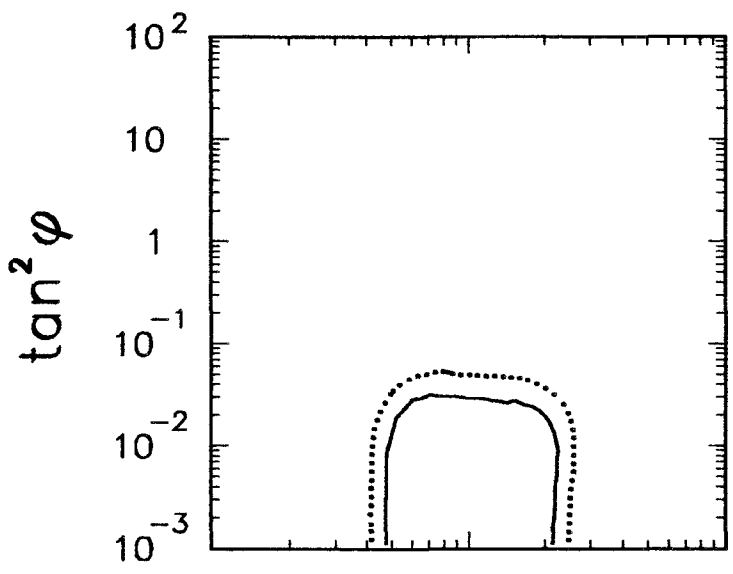


notice octant asymmetry

3ν oscillations

SK data (55 bin)
 + CHOOZ (14 bin)

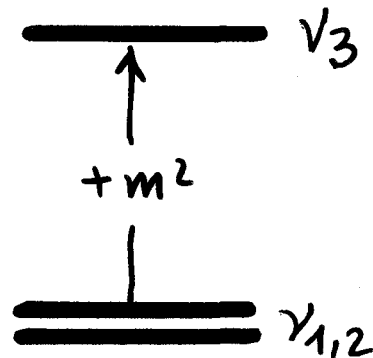
— 90 % C.L.
 99 % C.L.
 d.o.f. = 3



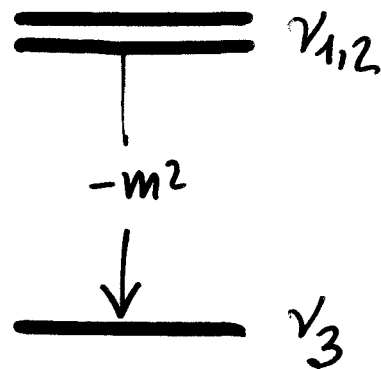
octant asymmetry
 strongly reduced
 (would vanish for $\psi=0$)

Remark on mass spectrum:

Previous bounds
derived for
spectrum of the kind:
("direct hierarchy")



Bounds \sim identical
for alternative
spectrum (not shown)
("inverse hierarchy")



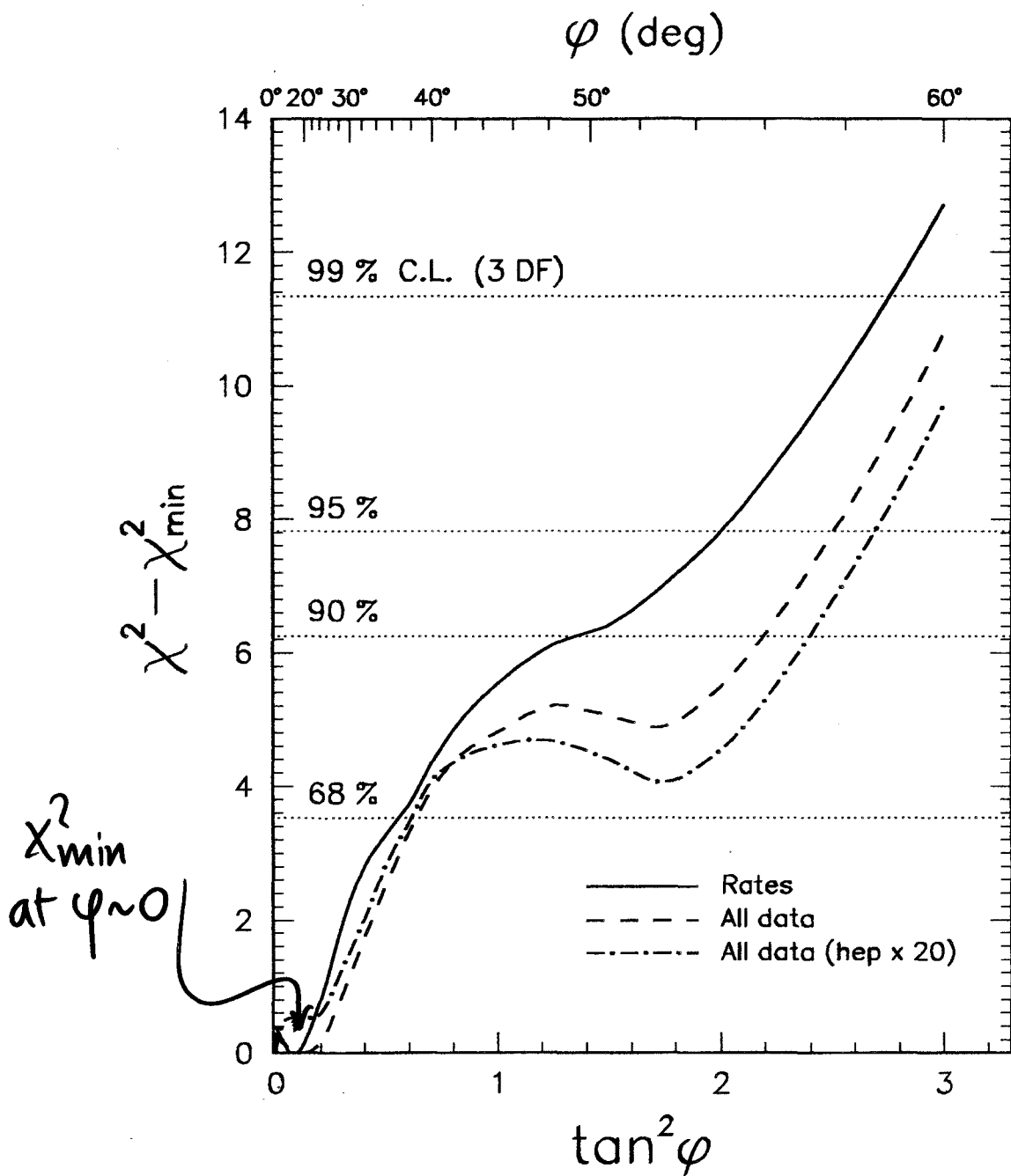
→ present phenomenology does not
discriminate the two spectra
(as far as solar + terrestrial osc.
experiments are concerned)

SOLAR 3ν OSCILLATIONS

$$P_{ee}^{3\nu}(\text{solar}) = \cos^4\varphi \left(P_{ee}^{2\nu} \right)_{N_e \rightarrow N_e C_\nu^2} + \sin^4\varphi$$

Generic feature: energy dependence
(embedded in $P^{2\nu}$)
SUPPRESSED
at large φ

Although evidence for energy dependence
is weak in solar neutrino data,
 $\varphi \approx 0$ preferred \rightarrow remarkable
convergence
with ATM + CHOOZ
data!



δm^2 and $\tan^2 \omega$ unconstrained

Small φ preferred by solar ν data alone.

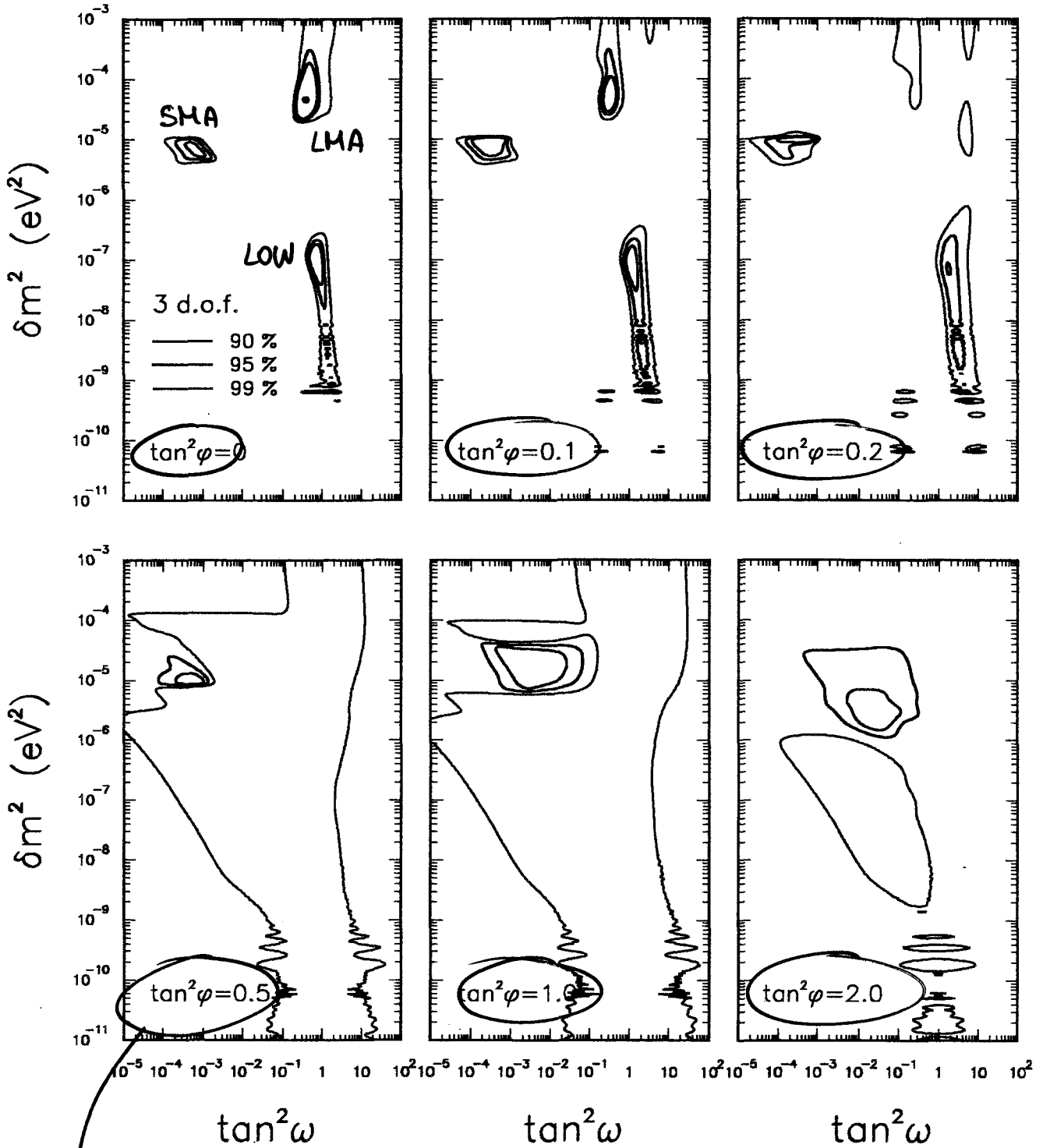
→ consistent with atm. data.

→ consistent with Huz. data.

NONTRIVIAL!

3ν oscillations:

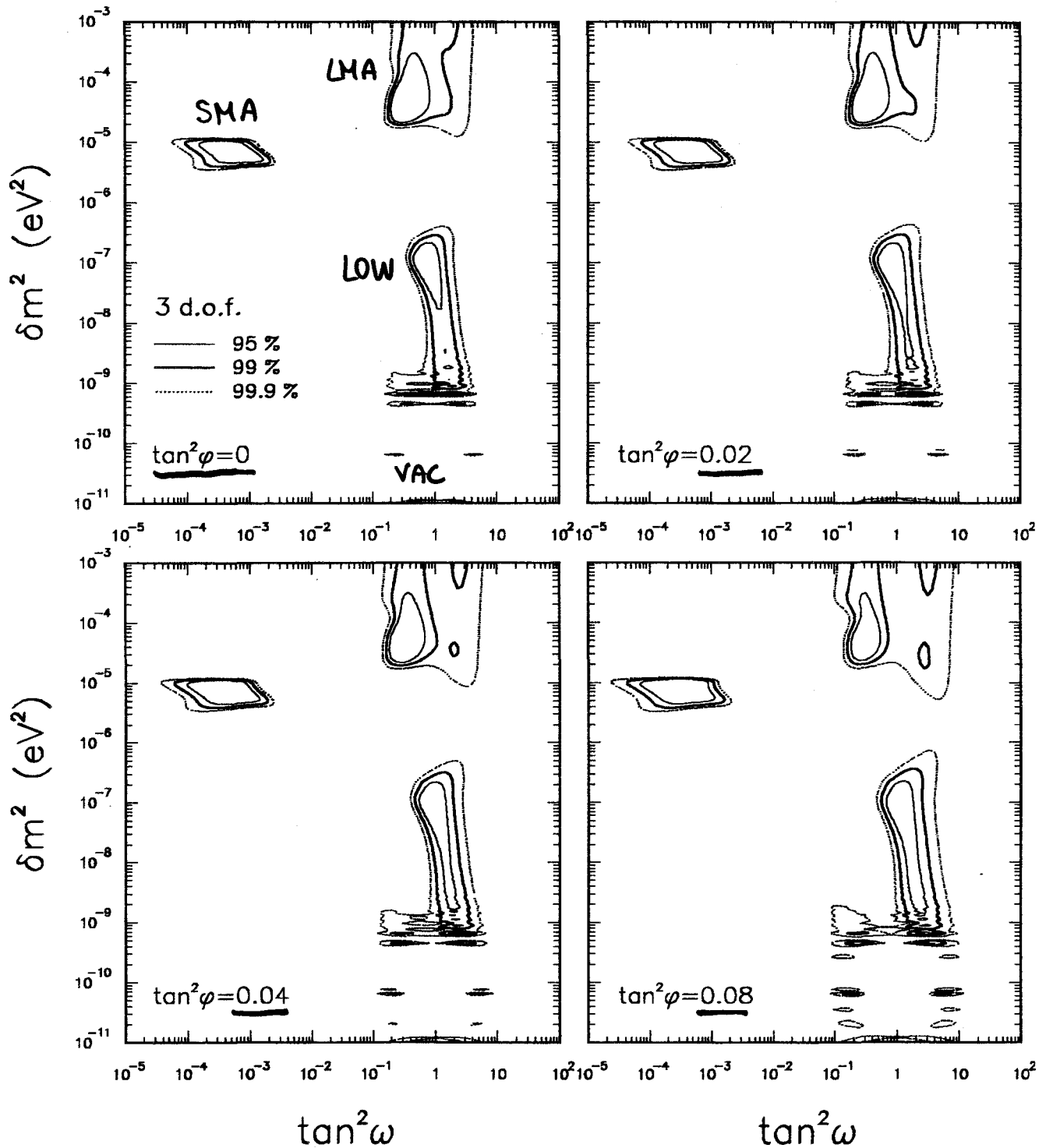
Rates(CI+Ga+SK) + SP(D) + SP(N)



AT RELATIVELY LARGE VALUES OF φ ,
USUAL MSW SOLUTIONS PROFOUNDLY
MODIFIED, BUT....

3ν solar oscillations

Rates(CI+Ga+SK) + SP(D) + SP(N)

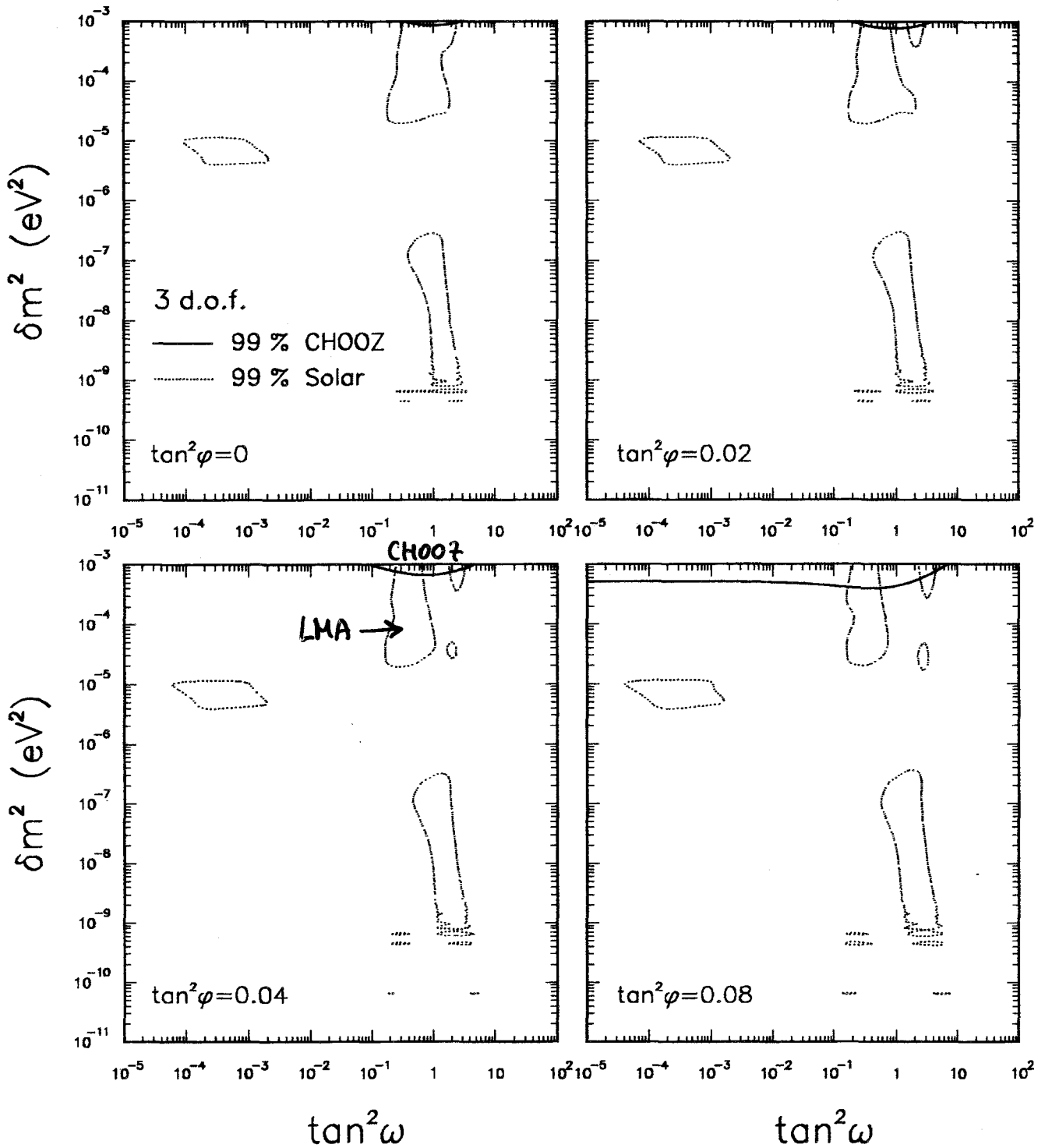


... milder modifications for $\Delta_{e3}^2 \lesssim \text{few } \%$
(CHOOZ bound)

N.B.: CHOOZ also cuts upper part of LMA region

3ν oscillations:

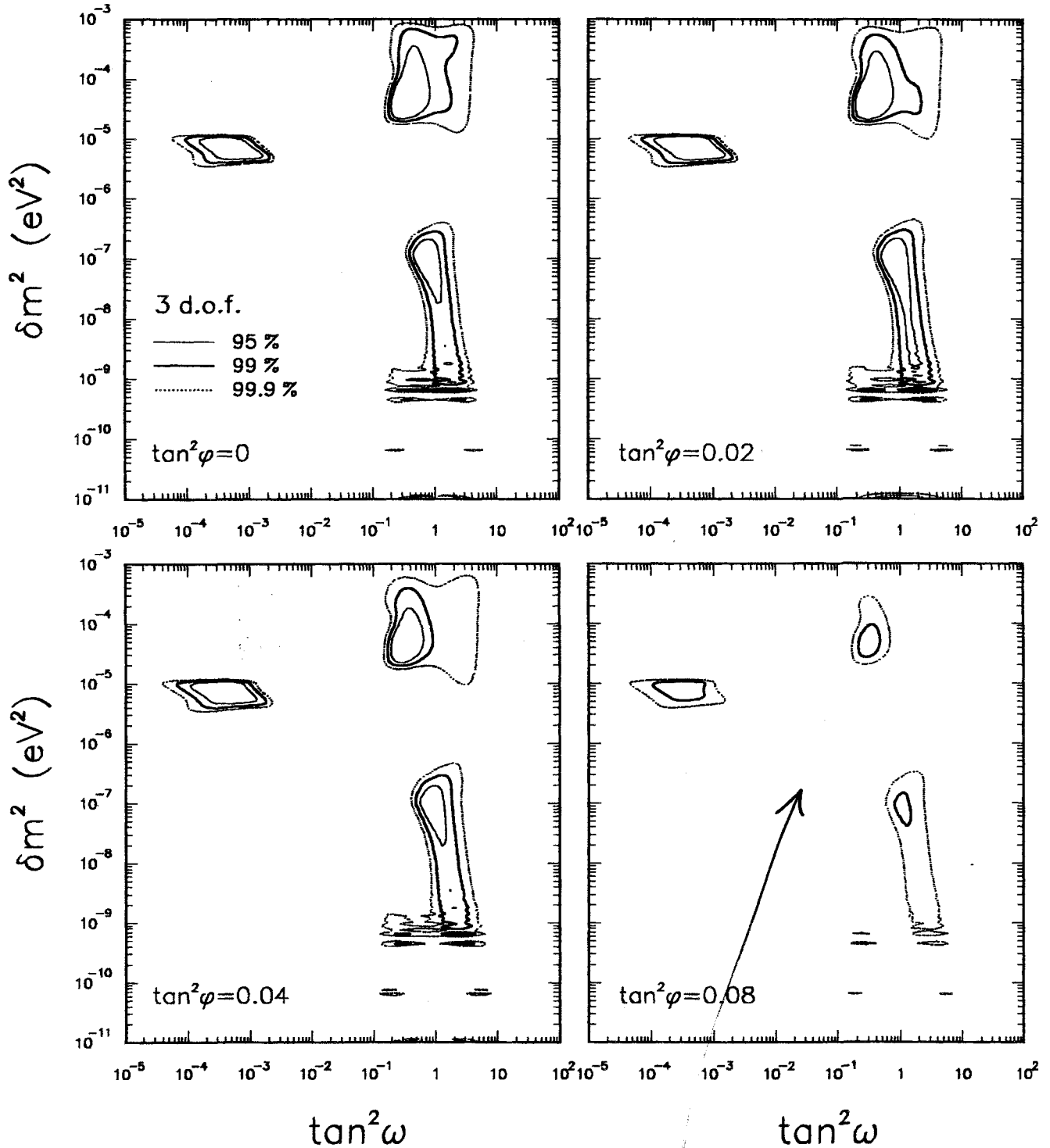
CHOOZ excluded regions ($m^2 = +1.5 \times 10^{-3} \text{ eV}^2$)



Final combination :

3ν oscillations:

SOLAR + CHOOZ ($m^2 = 1.5 \times 10^{-3} \text{ eV}^2$)



non-negligible effect
only at the largest possible
values of φ (allowed
by CHOOZ)

3ν : GRAND TOTAL

δm^2 U_{e1}^2 U_{e2}^2

U_{e3}^2

MUST BE \lesssim few %

Depend on chosen Solar ν solution:

- δm^2 decr. ↓
- LMA: $U_{e2}^2 \lesssim U_{e1}^2$
 - SMA: $U_{e1}^2 \sim 1$
 - LOW VAC: $U_{e1}^2 \sim U_{e2}^2$

$U_{\mu 3}^2$
 $U_{\tau 3}^2$

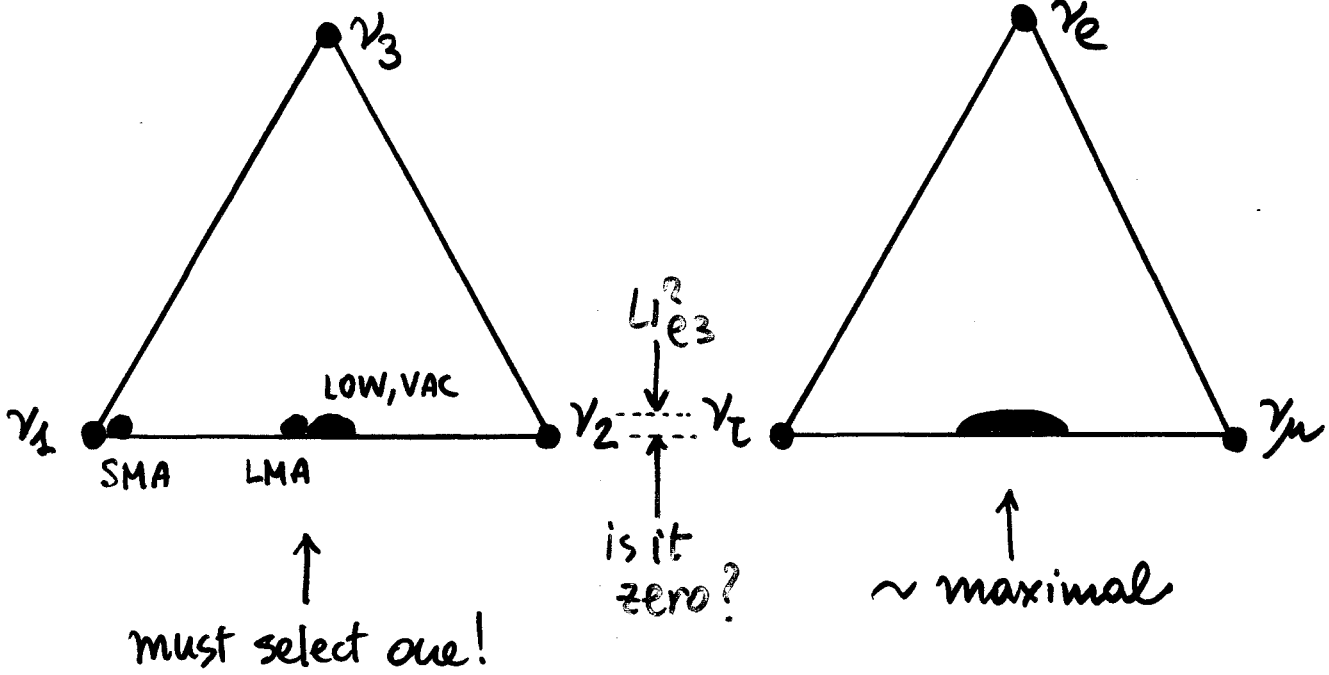
must be $U_{\mu 3}^2 \sim U_{\tau 3}^2$ within factor of two

m^2

must be $\sim 3 \times 10^{-3} \text{ eV}^2$ within factor of two

SOLAR

TERRESTRIAL



4ν oscillations

SOLAR
+ ATM
+ LSND

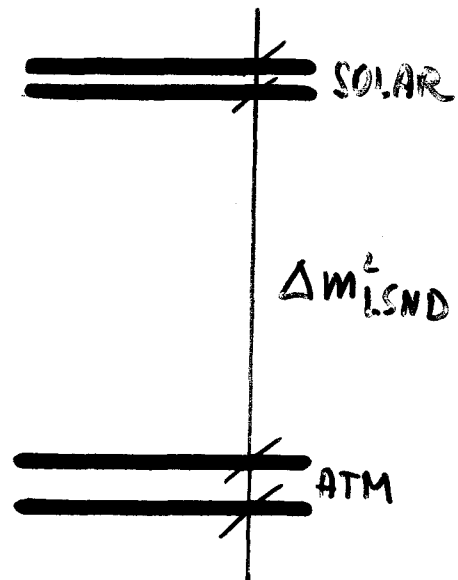
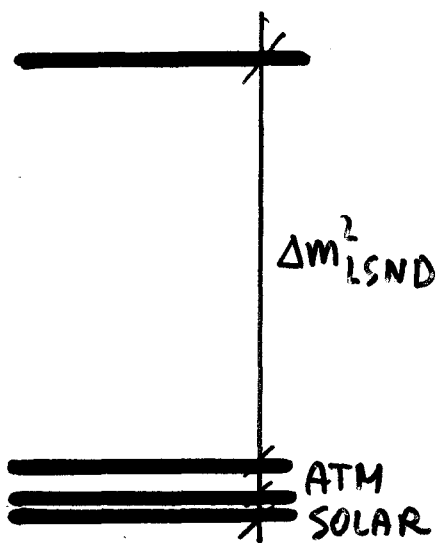
$$\Delta m_{\text{solar}}^2 \ll \Delta m_{\text{atmos.}}^2 \ll \Delta m_{\text{LSND}}^2$$

$$\uparrow < 10^{-3} \text{eV}^2$$

$$\uparrow \sim 3 \times 10^{-3} \text{eV}^2$$

$$\uparrow \sim \mathcal{O}(1 \text{eV}^2)$$

→ TWO POSSIBLE FAMILIES OF SPECTRA:

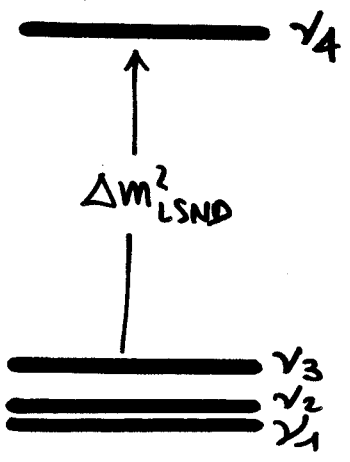


(+ spectra with $\Delta m_{ij}^2 \rightarrow -\Delta m_{ij}^2$ etc.)

↑
"3+1"

↑
"2+2"

3+1 schemes



← The "lone" state ν_4 must be very close to a flavor eigenstate because:

- (i) LSND oscillation $P_{\mu e}$ is small
- and (ii) all other acc / reac expt. find $P_{\alpha\beta} \simeq 0$ at Δm^2_{LSND} scale

$\nu_4 \simeq \nu_e$?

NO, otherwise $P_{ee}(\text{solar}) \simeq 1$ and no explanation of solar ν deficit

$\nu_4 \simeq \nu_\mu$?

NO, otherwise $P_{\mu\mu}(\text{atm}) \simeq 1$ and no explanation of atm. ν

$\nu_4 \simeq \nu_\tau$?

NO, otherwise for atm. ν : $\nu_\mu \rightarrow \nu_e \oplus \nu_s$ with strong (unobserved) matter effects

$\nu_4 \simeq \nu_{\text{sterile}}$?

OK, since then (ν_1, ν_2, ν_3) are \sim linear combinations of $(\nu_e, \nu_\mu, \nu_\tau) \simeq 3\nu$ osc!
GOOD, BUT...

... if $\nu_4 \simeq \nu_5$ then :

- $U_{45}^2 \simeq 1$
- U_{4e}^2 and $U_{4\mu}^2$ both small
(since $U_{4e}^2 + U_{4\mu}^2 + U_{4\tau}^2 + U_{45}^2 = 1$)

$$\rightarrow P_{\mu e}(\text{LSND}) \simeq 4 \underbrace{U_{4e}^2 U_{4\mu}^2}_{\text{doubly suppressed}} \sin^2 \left(\frac{\Delta m_{\text{LSND}}^2 \cdot L}{4E} \right)$$

Suppressed ; too small !

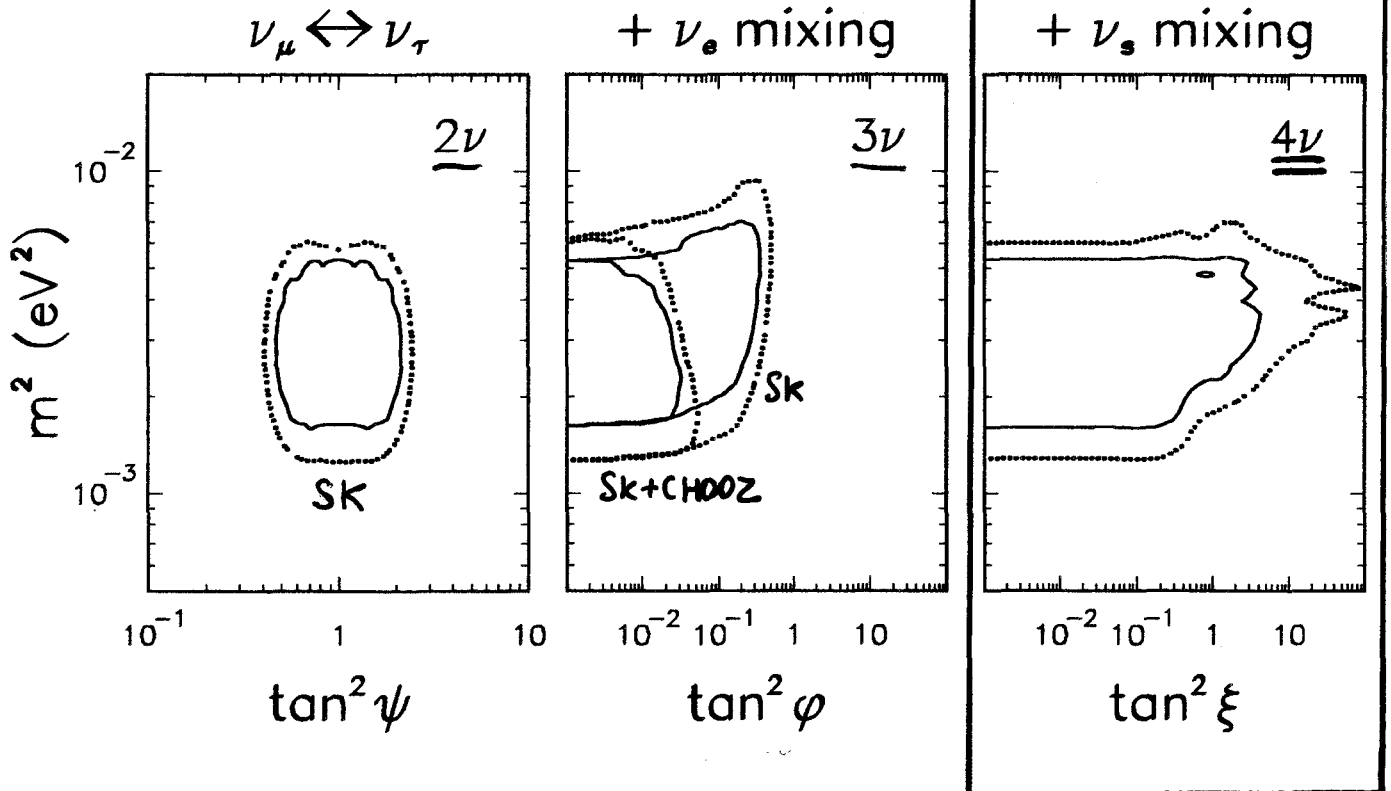
Quantitative analyses show that, including ν_e disappearance results (reactors) and ν_μ disappearance searches (accelerators) the value of $U_{4e}^2 \cdot U_{4\mu}^2$ is too small to fit the LSND data (at $\sim 3\sigma$ level)

→ "TENSION" between LSND (appearance) and reactor + accelerator (disappearance) experiments at $\sim 3\sigma$ in 3+1 SCHEMES

ATMOSPHERIC γ :

- Best fit reached for $\xi \approx 0$ (\sim pure $\nu_\mu \rightarrow \nu_e$)
- Using only zenith distrib. in SK, large ξ allowed

4 ν analysis



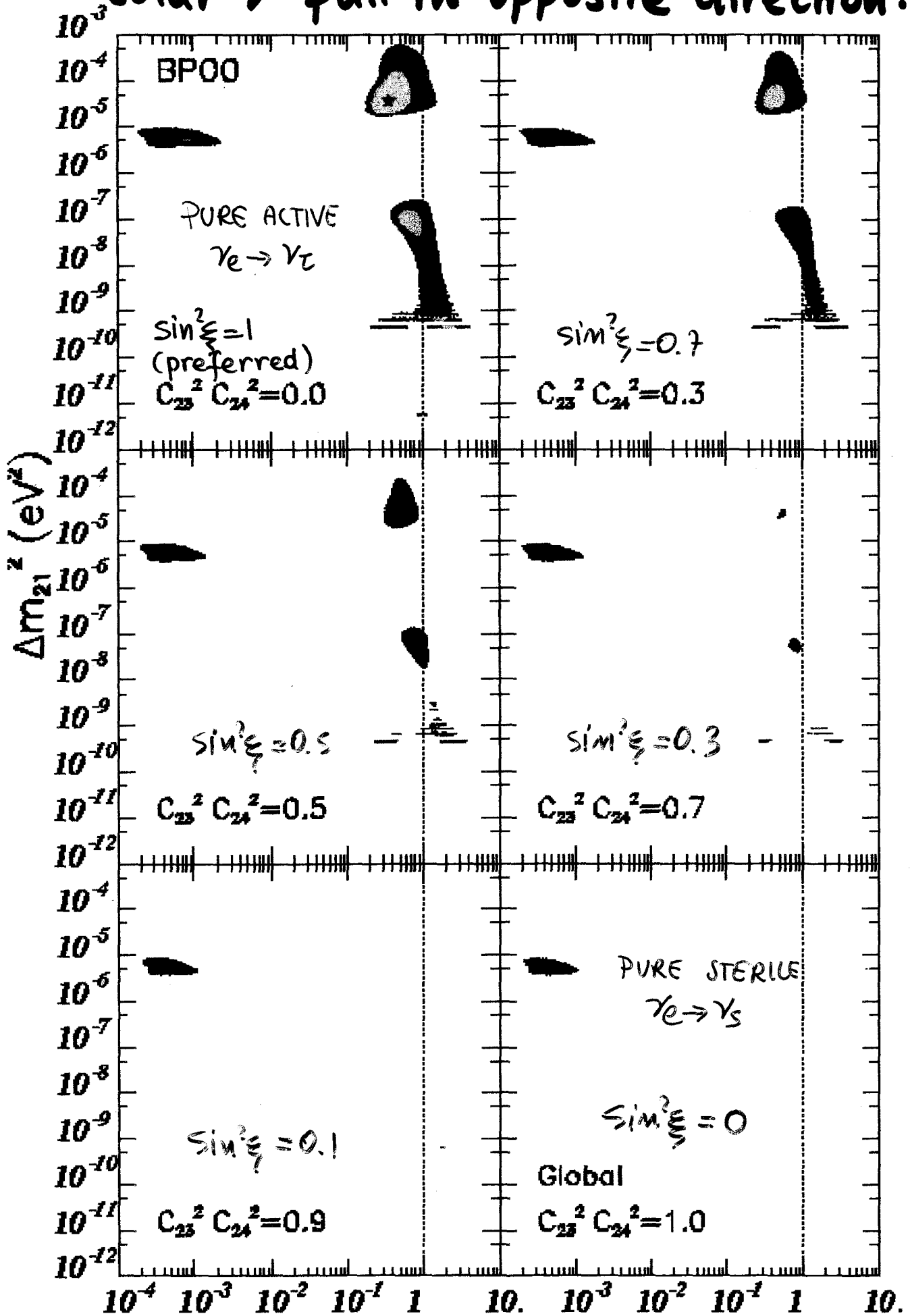
$\nu_\mu \rightarrow 50\% \nu_s + 50\% \nu_e$
allowed ($\tan^2 \xi = 1$)

However, there are more data AGAINST additional $\nu_\mu \rightarrow \nu_s$ (on top of $\nu_\mu \rightarrow \nu_e$):

- NC-enriched samples in SK
- "τ-like" event appearance
- MACRO data

→ TOTAL COMBINATION LIKELY TO GIVE $\sin^2 \xi \lesssim 0.3$

Solar ν pull in opposite direction...



(Giunti, Goutalés-García, Peña-Garay)

$\tan^2 \theta_{12}$

2+2 schemes

It was often assumed :

EITHER (A) OR (B)

ATMOS	ATMOS
$\nu_\mu \rightarrow \nu_L$ (active)	$\nu_\mu \rightarrow \nu_S$ (sterile)

SOLAR	SOLAR
$\nu_e \rightarrow \nu_S$ (sterile)	$\nu_e \rightarrow \nu_L$ (active)

+ perturbations (needed to get $P_{\mu e}(\text{LSND}) \neq 0$)

HOWEVER, THE ABOVE CASES ARE SUB-CASES OF A MORE GENERAL SITUATION:

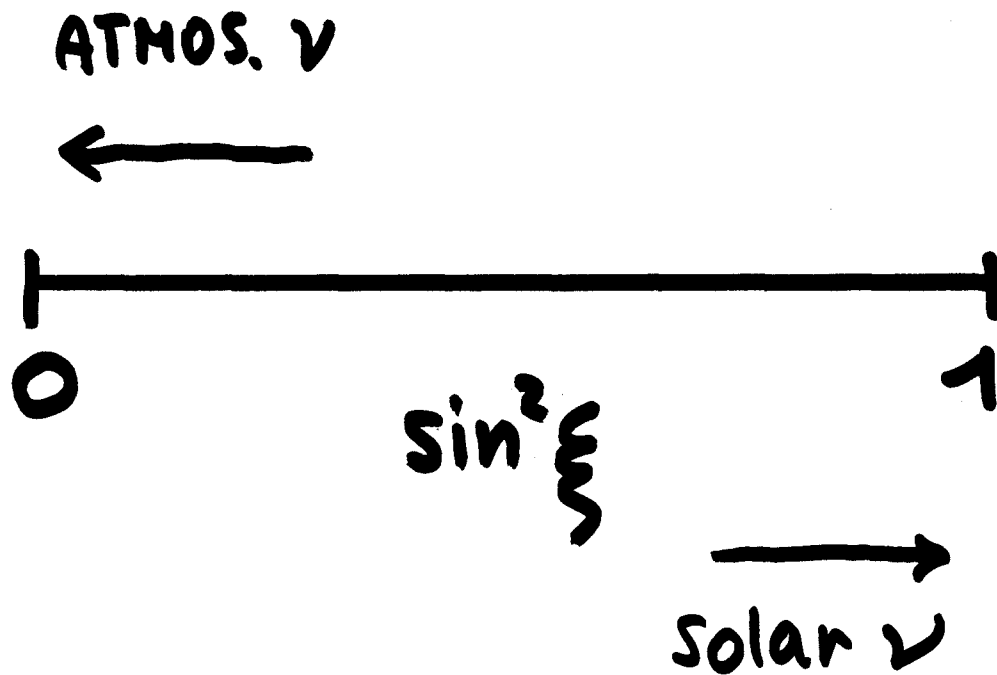
ATMOS.: $\nu_\mu \rightarrow \nu_+$ $\begin{bmatrix} \nu_+ \\ \nu_- \end{bmatrix} = \begin{bmatrix} \cos\xi & \sin\xi \\ -\sin\xi & \cos\xi \end{bmatrix} \begin{bmatrix} \nu_L \\ \nu_S \end{bmatrix}$
 SOLAR.: $\nu_e \rightarrow \nu_-$

→ mixed active + sterile oscillat.

get (A) for $\sin\xi = 0$

get (B) for $\sin\xi = 1$

WHAT HAPPENS FOR GENERIC ξ ?



"TENSION" BETWEEN ATMOSPHERIC
AND SOLAR ν DATA IN 2+2 SCHEMES
(PULL ξ IN DIFFERENT DIRECTIONS)

MAYBE TOO EARLY TO RULE OUT 2+2,
BUT CERTAINLY NOT A GOOD FEATURE....

4 ν summary :

- Sterile ν introduced to accommodate LSND (with solar + atm)
- Two possible mass spectra : $3+1$ & $2+2$
- However, in $3+1$:
accelerator + reactor data do not converge with LSND data
- ... and in $2+2$:
solar and atm. ν data do not converge
- Moreover, independent BBN data (see talk by K. Olive) prefer $N_\nu \sim 3$ rather than $N_\nu \sim 4$

Maybe too early for a definitive "no-go theorem", but life is getting hard for the sterile neutrino...

ABSOLUTE ν MASSES

Present β -decay and oscillation data already put some upper/lower bounds on the sum of ν masses $\sum_i m_{\nu_i}$:

- $\sum m_{\nu}$ cannot be smaller than $\sqrt{\Delta m_{atm}^2} \approx 0.05 \text{ eV}$
- Since all $\Delta m_{ij}^2 \lesssim 1 \text{ eV}^2$, and since " m_{ν_e} " \lesssim few eV from β -decay, also $\sum m_{\nu} \lesssim (N_{\nu} \cdot \text{few}) \text{ eV}$

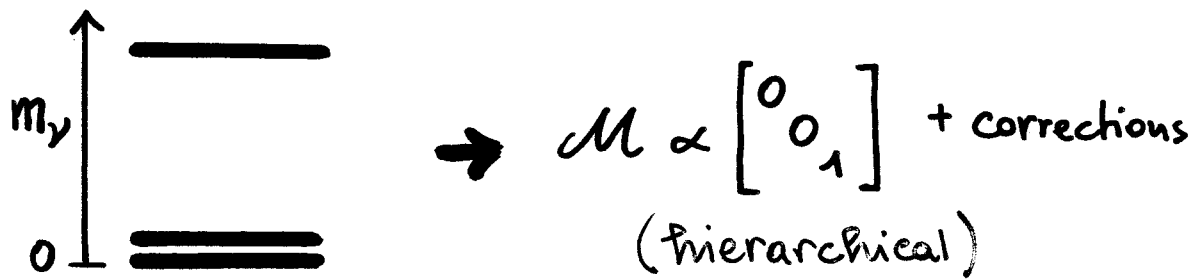


$$0.05 \text{ eV} \lesssim \sum m_{\nu} \lesssim \mathcal{O}(10) \text{ eV}$$

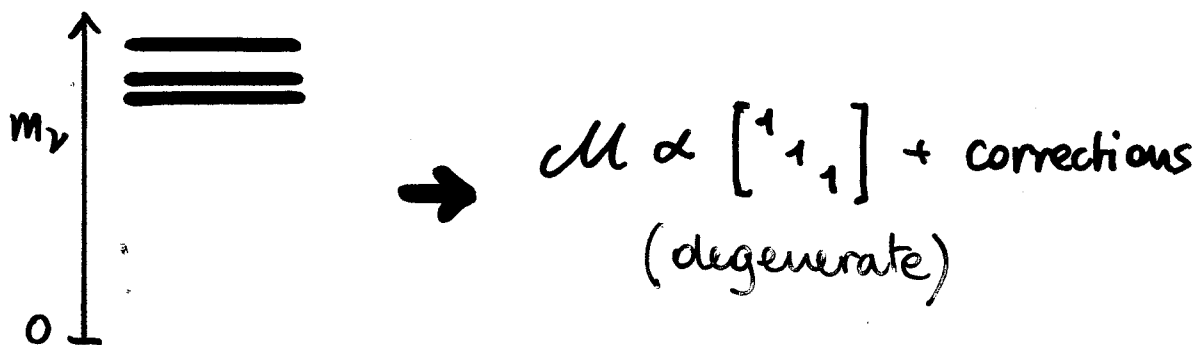
for $N_{\nu} \sim 3, 4$

Still, too many possible spectra allowed in this range...

One would like to distinguish, e.g.,



from:



E.g., for bimaximal mixing:

$$U(\varphi, \omega, \psi) = U\left(0, \frac{\pi}{4}, \frac{\pi}{4}\right) = \begin{bmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \\ \frac{1}{2} & -\frac{1}{2} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

hierarchical! $\rightarrow U\mathcal{M}U^+ = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1/2 & 1/2 \\ 0 & 1/2 & 1/2 \end{bmatrix}$

degenerate $\rightarrow U\mathcal{M}U^+ = \begin{bmatrix} 1 & & \\ & 1 & \\ & & 1 \end{bmatrix}$

different textures

TEXTURES \rightarrow UNDERLYING SYMMETRIES (G. Ross lectures)

\rightarrow need to refine info on absolute masses

CONSTRAINTS FROM $0\nu 2\beta$

- Present bounds: $\langle m_{ee} \rangle \lesssim \text{few} \times 10^{-1} \text{ eV}$
-

- Consider, e.g., 3ν degenerate spectrum with bimaximal mixing; then:

$$\langle m_{ee} \rangle = \left| \sum_i m_i e^{i\phi_i} U_{ei}^2 \right|$$

$$\simeq m \left| \sum_i e^{i\phi_i} U_{ei}^2 \right|$$

$$\stackrel{U_{e3}^2 \simeq 0}{\simeq} m \left| U_{e1}^2 + U_{e2}^2 e^{i(\phi_2 - \phi_1)} \right|$$

If m assumed to be relatively large ($\sim \mathcal{O}(1) \text{ eV}$), the experimental bounds can be satisfied only if $e^{i(\phi_2 - \phi_1)} \simeq -1$ (destructive interference of ν_1 and ν_2 contrib. in $0\nu 2\beta$ decay) \rightarrow get constraints on Majorana phases

- However, if m large but solution is SMA (for solar ν problem) then $U_{e1}^2 \simeq 1$ and $\langle m_{ee} \rangle \simeq m$ unavoidably \rightarrow excluded if $m \gtrsim \text{few} \times 10^{-1} \text{ eV}$ independ. on Majorana phases (interesting!)

Similar arguments apply to the case of 2+2 spectrum in 4 ν scenarios:

===== solar

===== atmos.

If solar doublet "heavy", then solar ν solution cannot be SMA, otherwise dominant contribution to $\langle m_{ee} \rangle$ CANNOT be canceled

CLEARLY, IF $\langle m_{ee} \rangle$ UPPER LIMIT CAN BE REDUCED BY AN ORDER OF MAGNITUDE (GENIUS PROPOSAL), THEN ONE WILL PROBE CONTRIBUTIONS TO $\langle m_{ee} \rangle$ OF SIZE $\sim \sqrt{\Delta m^2_{atm}}$, AND CANCELLATIONS WILL BE MUCH MORE CONSTRAINED
→ WILL THEN LEARN A LOT ABOUT POSSIBLE MASS SPECTRA

ν mass & cosmology

- Oldest cosmological bound :

$$\sum_i m_i \lesssim 92 h^2 \text{ eV}^2$$

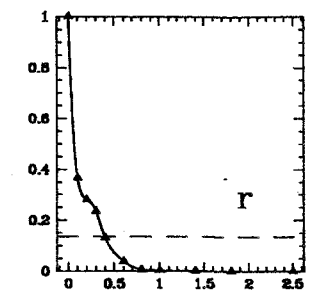
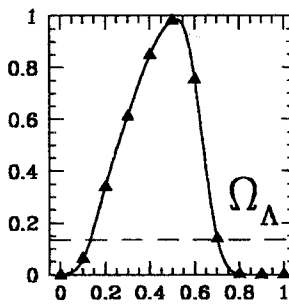
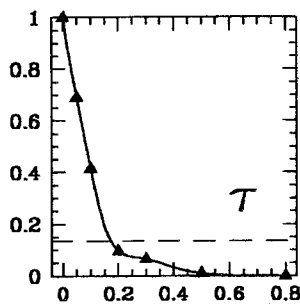
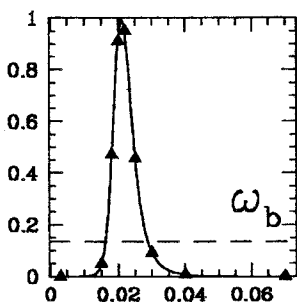
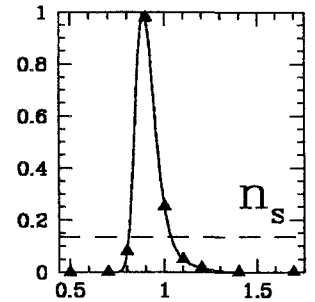
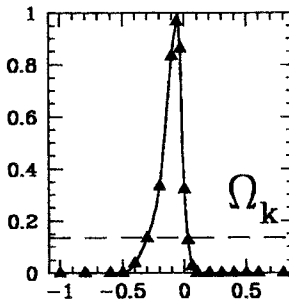
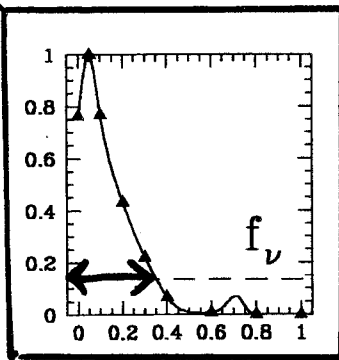
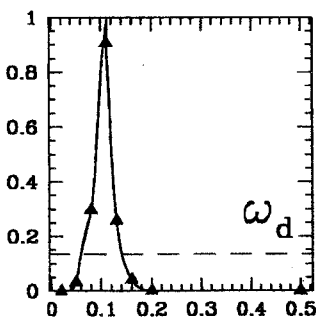
to avoid overabundance of relic ν
($\Omega_\nu = 1$ if bound is saturated)

- However, well before the above bound is saturated, neutrino masses can alter the properties of
 - DARK MATTER
 - STRUCTURE FORMATION
- Many effect contribute to dark matter and s.f. properties, so indications are somewhat indirect and come from multiparametric fits to cosmological/astrophysical data, now made possible by "explosion" of observational data

E.g., 11-parameter fit results including

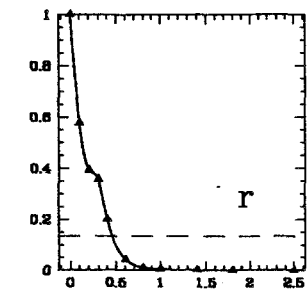
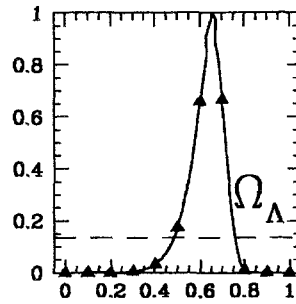
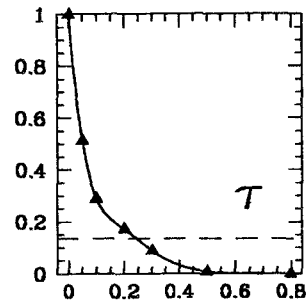
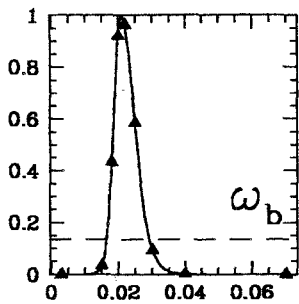
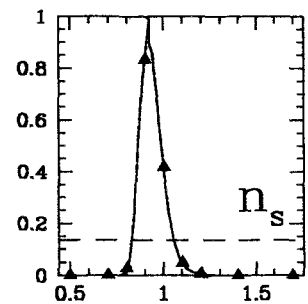
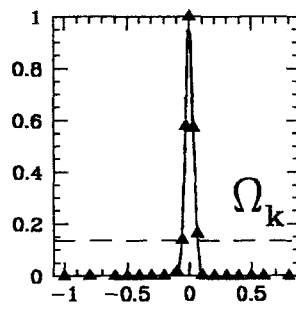
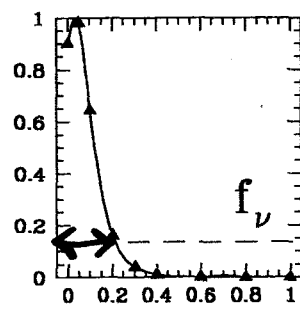
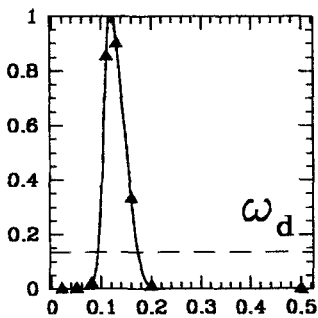
$$f_\nu = \frac{\Omega_\nu}{\Omega_{DM}} = \text{"fraction of hot D.M."}$$

\leftrightarrow = 95% C.L. range for f_ν



- UPPER BOUND $f_\nu \lesssim 0.35$
- No significant evidence for $f_\nu > 0$

as before, but with prior $h = 0.74 \pm 0.08$



→ in any case, f_ν preferred to be small

→ $\sum m_{\nu_i} \lesssim 4.4 \text{ eV}$

↖ interestingly close
to laboratory limits

RECAP

- Solar + atm + CHOOZ data fit nicely a 3 ν oscillation scheme (convergence of all data towards Δm_{e3}^2 small)

Main unknowns: • Is $\Delta m_{e3}^2 \neq 0$?

- Solution to solar ν problem?
 - Spectrum hierarchy?
-

-
- 4 ν scheme do not accommodate easily solar + atm + LSND data, either in 3+1 or in 2+2 schemes. Need to monitor "tension" between data with future observ.

-
- Present absolute ν mass indications already tell us a few interesting facts; however, decisive probes of the spectrum structure require sensitivity as low as

$$\sqrt{\Delta m_{atm}^2} \sim 5 \times 10^{-2} \text{ eV}$$

→ goal of future experiments