

SUMMER SCHOOL ON PARTICLE PHYSICS

18 June - 6 July 2001

SUPERNOVA NEUTRINOS

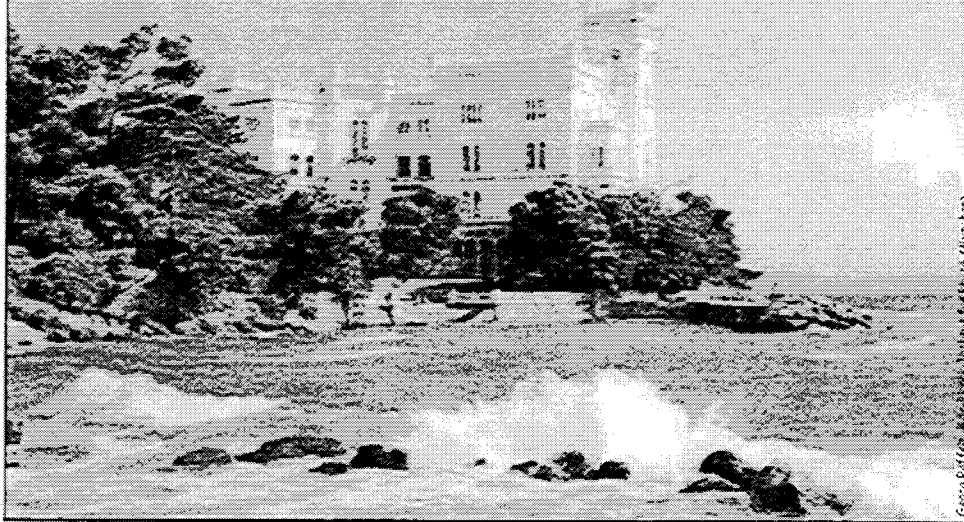
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München, GERMANY

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Summer School on Particle Physics

Abdus Salam ICTP & SISSA, Trieste

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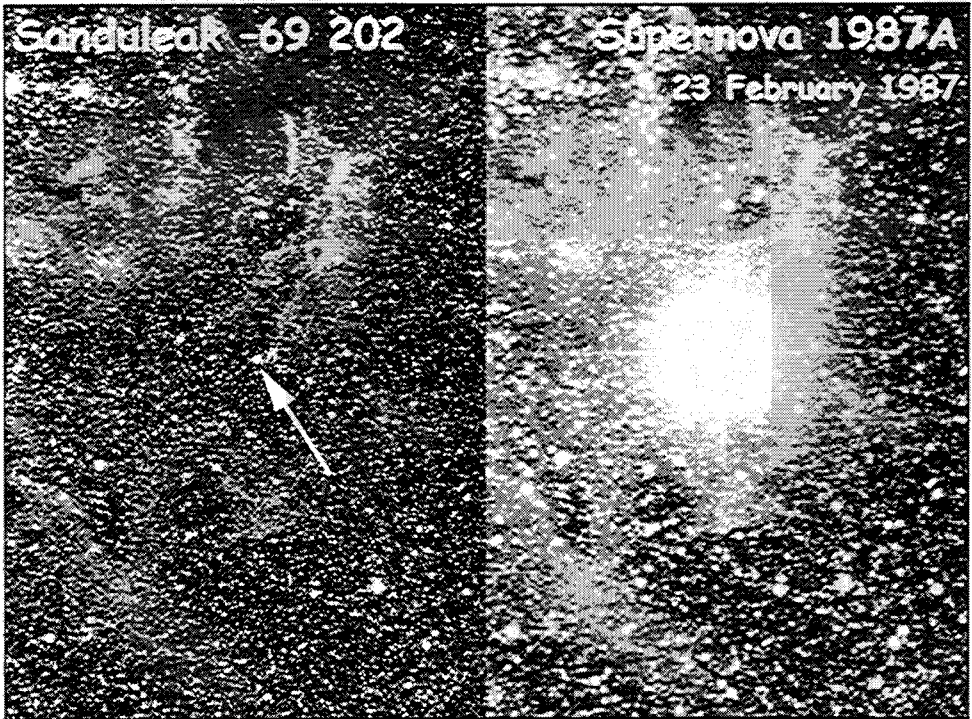
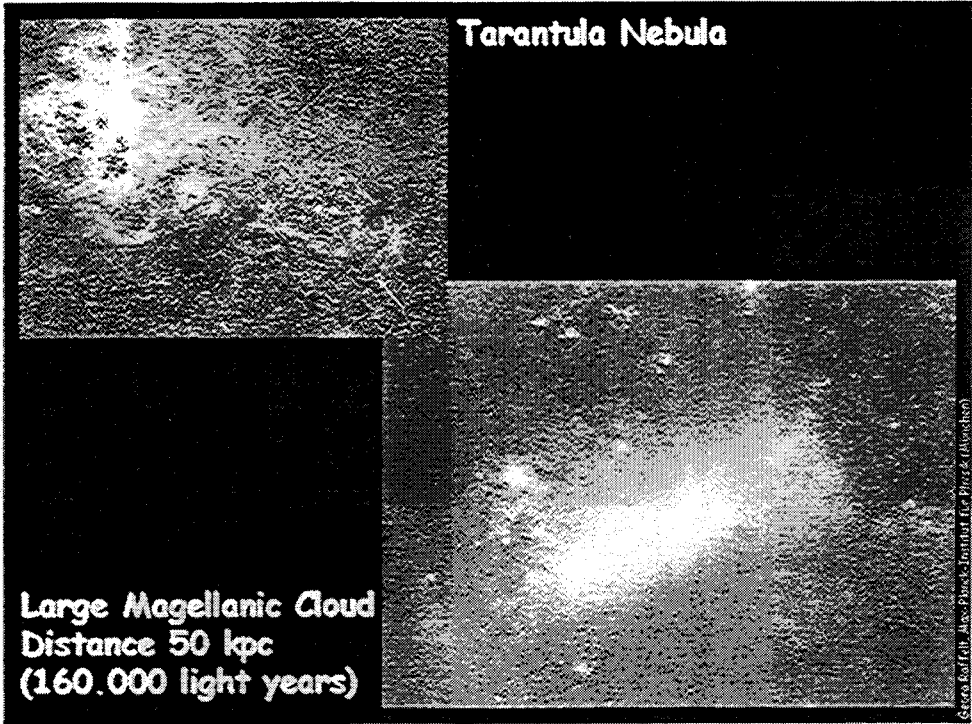


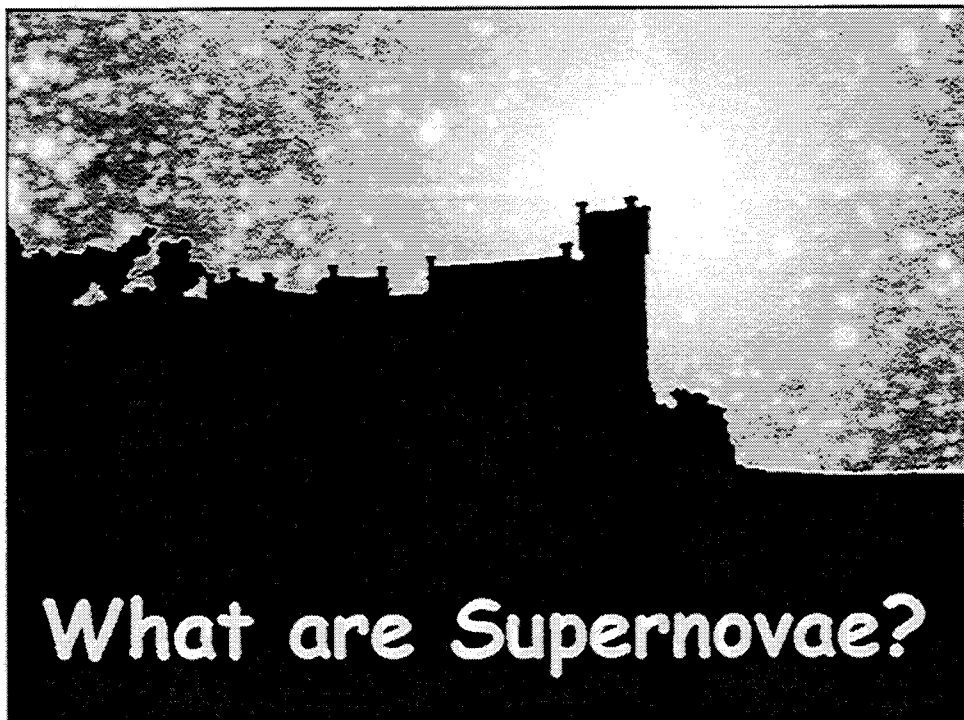
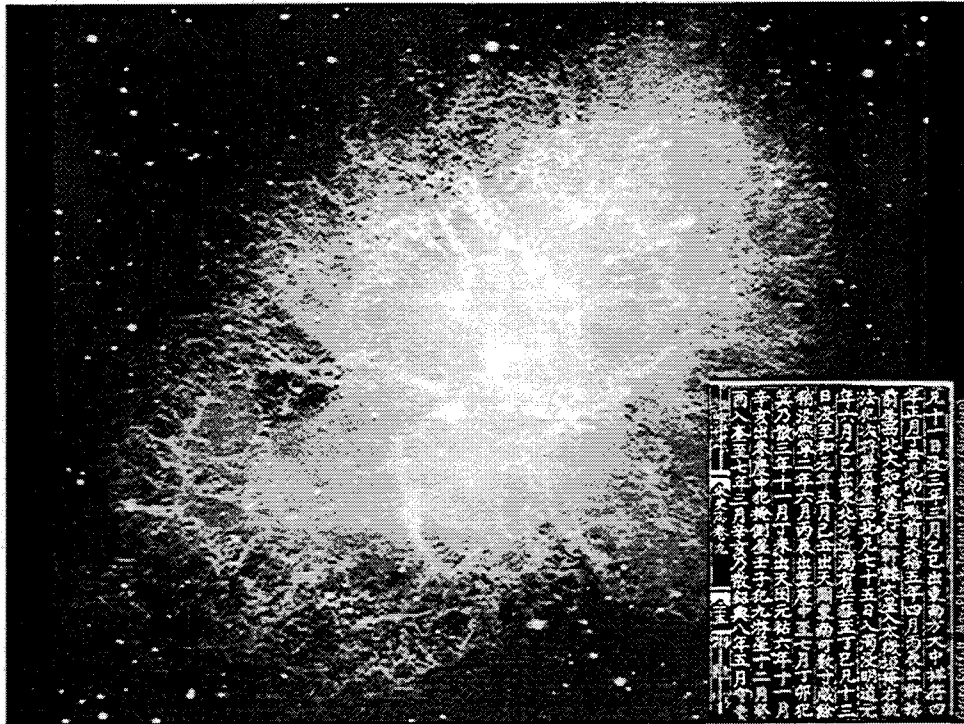
Georg Raffelt, Max-Planck-Institut für Physik, München



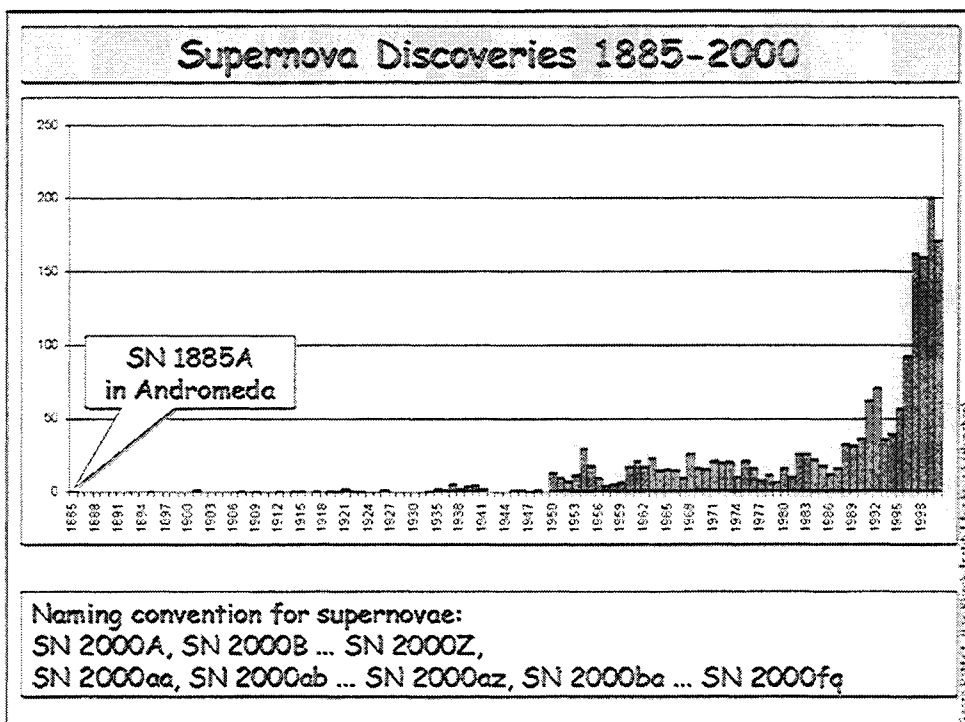
Supernova Neutrinos

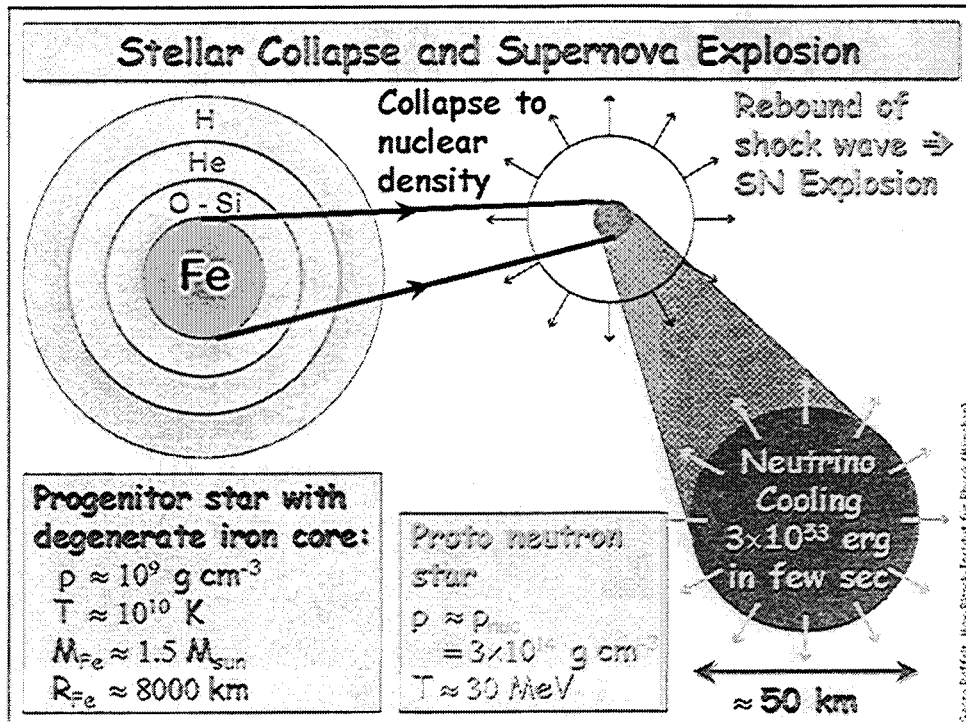
Georg G. Raffelt
Max-Planck-Institut für Physik
München, Germany





Classification of Supernovae				
Type	Ia	Ib	Ic	II
Spectrum	No Hydrogen			Hydrogen
	Silicon	No Silicon		
		Helium	No Helium	
Physical mechanism	Nuclear explosion of low mass star	Core collapse of evolved massive star (may have lost its hydrogen or even helium envelope during red-giant evolution)		
Light curve	Reproducible	Large Variations		
Neutrinos	Insignificant	~100 x Visible energy		
Compact Remnant	None	Neutron star (typically appears as pulsar) Sometimes black hole?		
Rate/h ² SNU	0.36 ± 0.11	0.14 ± 0.07		0.71 ± 0.34
Observed	Total ~ 2000 as of today (nowadays ~200/year)			





Core Collapse Supernova Energetics


Liberated gravitational binding energy of neutron star:
 $E_g \approx 3 \times 10^{53} \text{ erg} \approx 17\% M_{\text{SUN}} c^2$

This shows up as


- 99% Neutrinos
- 1% Kinetic energy of explosion
(1% of this into cosmic rays)
- 0.01% Photons (outshine host galaxy)

Neutrino luminosity
 $L_\nu \approx 3 \times 10^{53} \text{ erg} / 3 \text{ sec} \approx 3 \times 10^{50} L_{\text{SUN}}$
 While it lasts, outshines the photon luminosity of the entire visible universe!





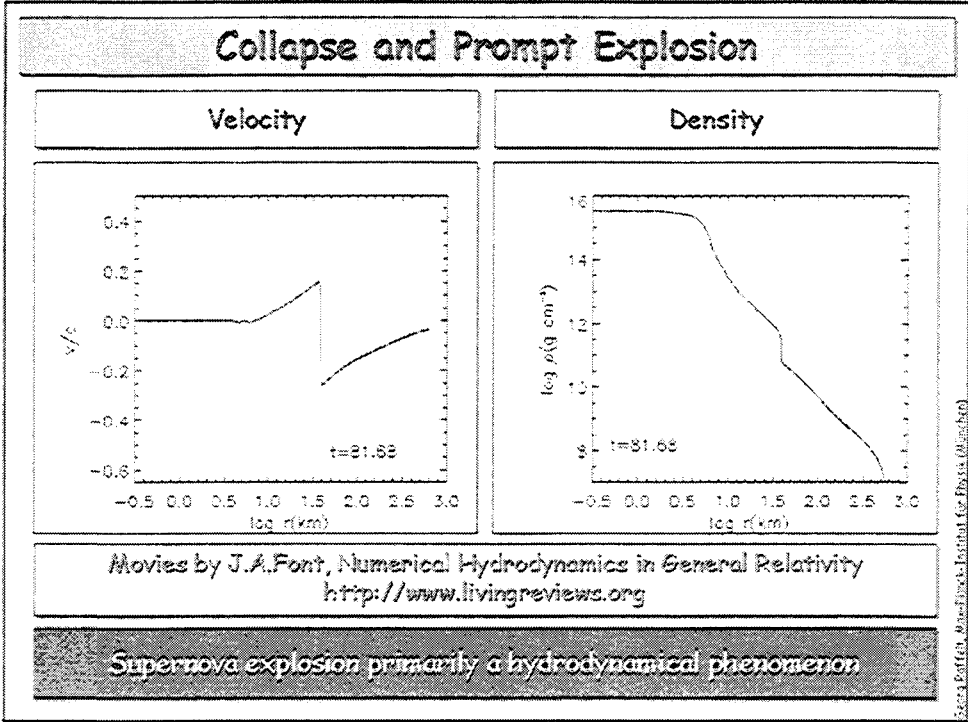
Walter Baade (1893-1960)



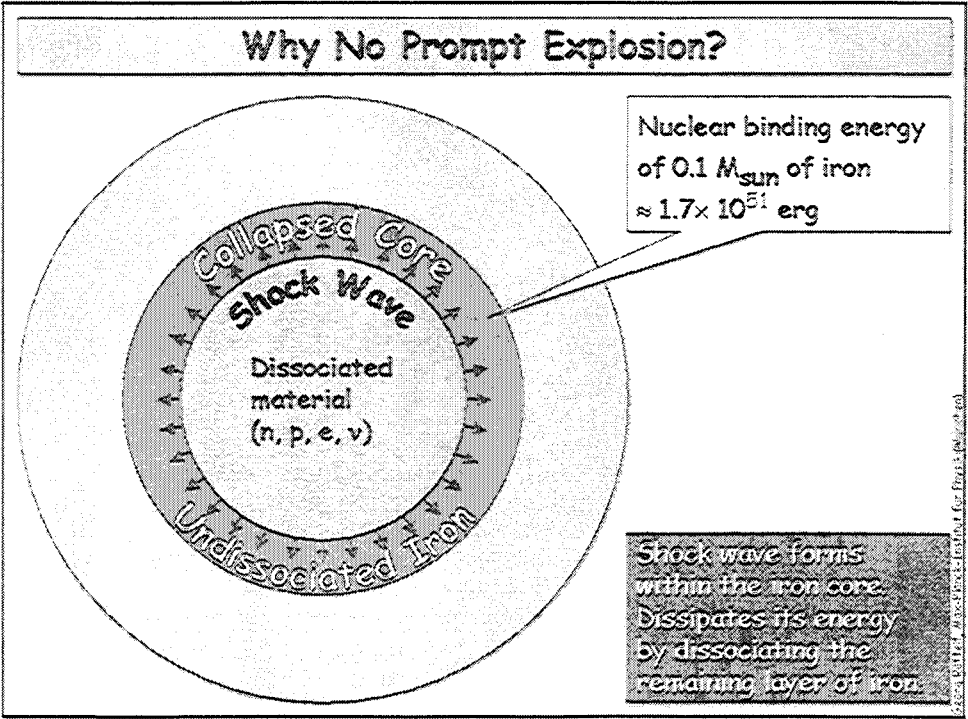
Fritz Zwicky (1898-1974)

Baade and Zwicky were the first to speculate about a connection between supernova explosions and neutron-star formation
 [Phys. Rev. 45 (1934) 138]

Gera Dorf (M. A. S. Institut für Physik (München))

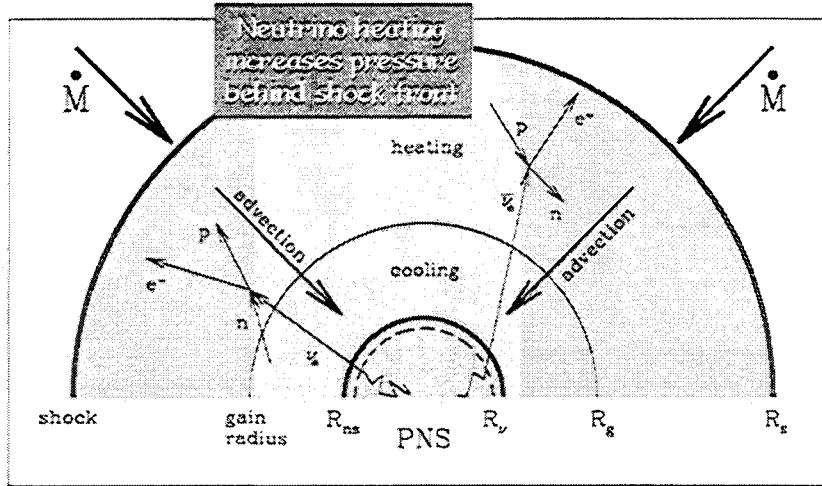


Sergio Pellegrini, Riemann-Coulomb Institute for Physics (Munich)



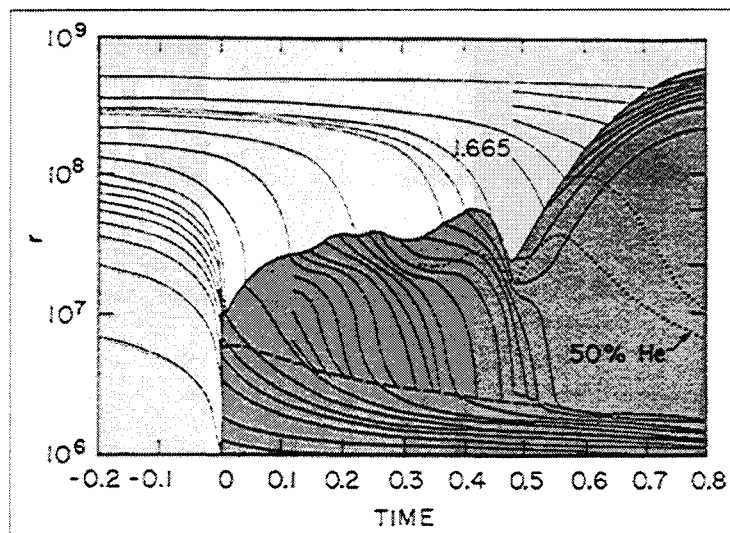
Sergio Pellegrini, Riemann-Coulomb Institute for Physics (Munich)

Neutrinos to the Rescue

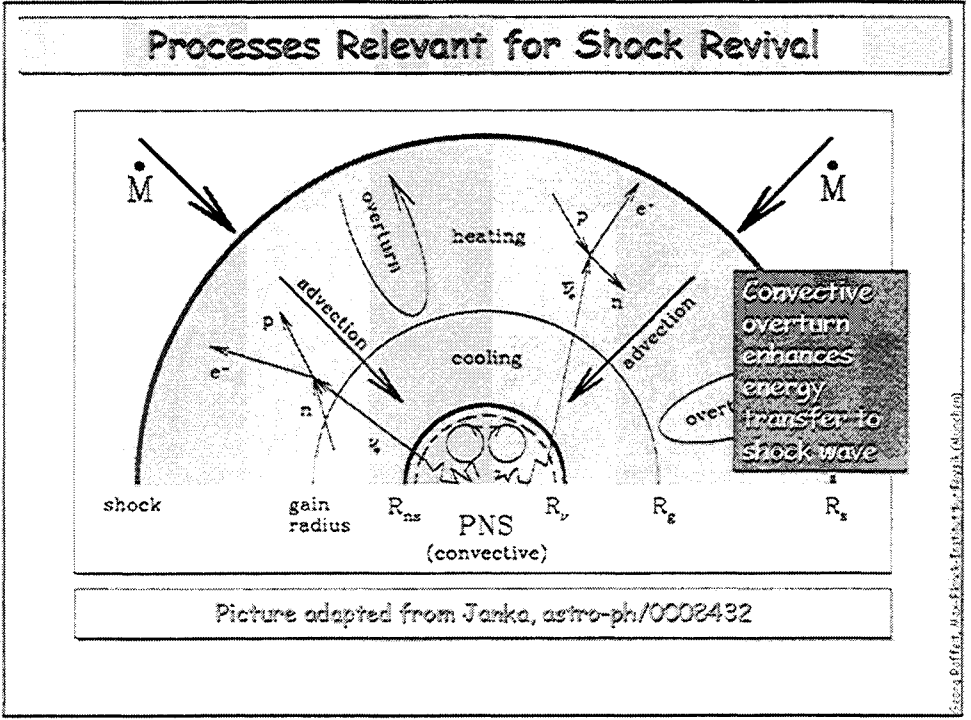
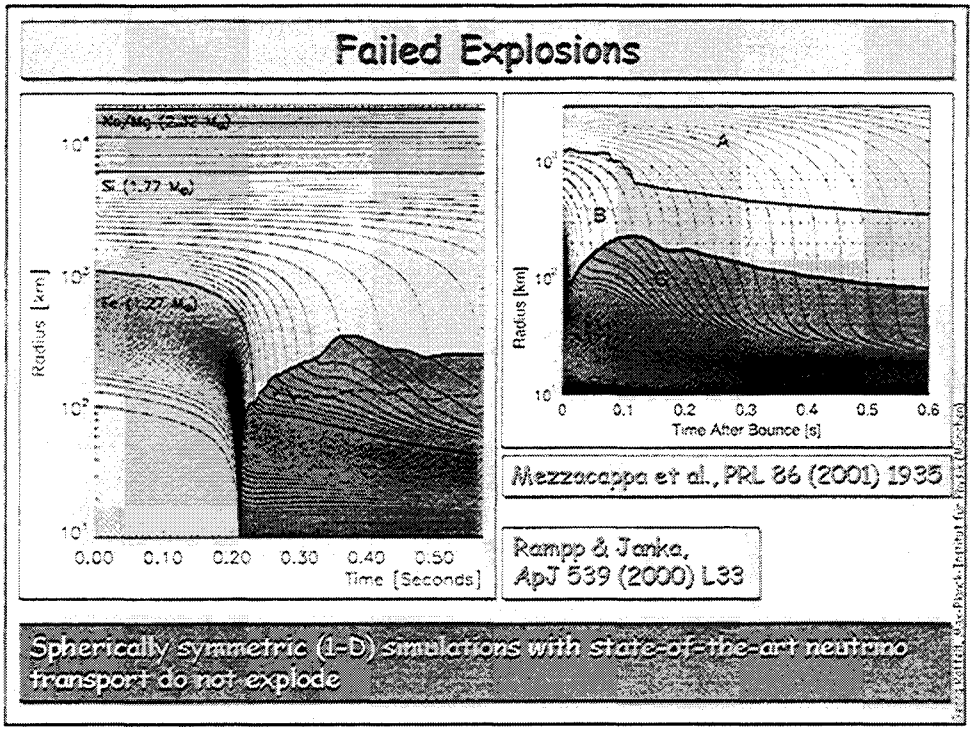


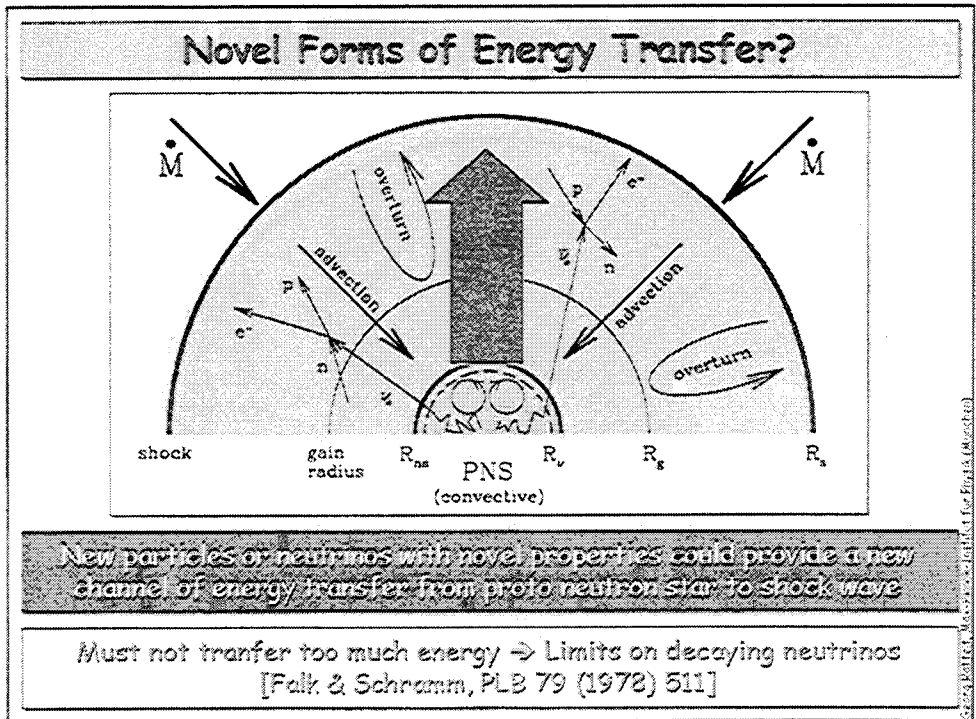
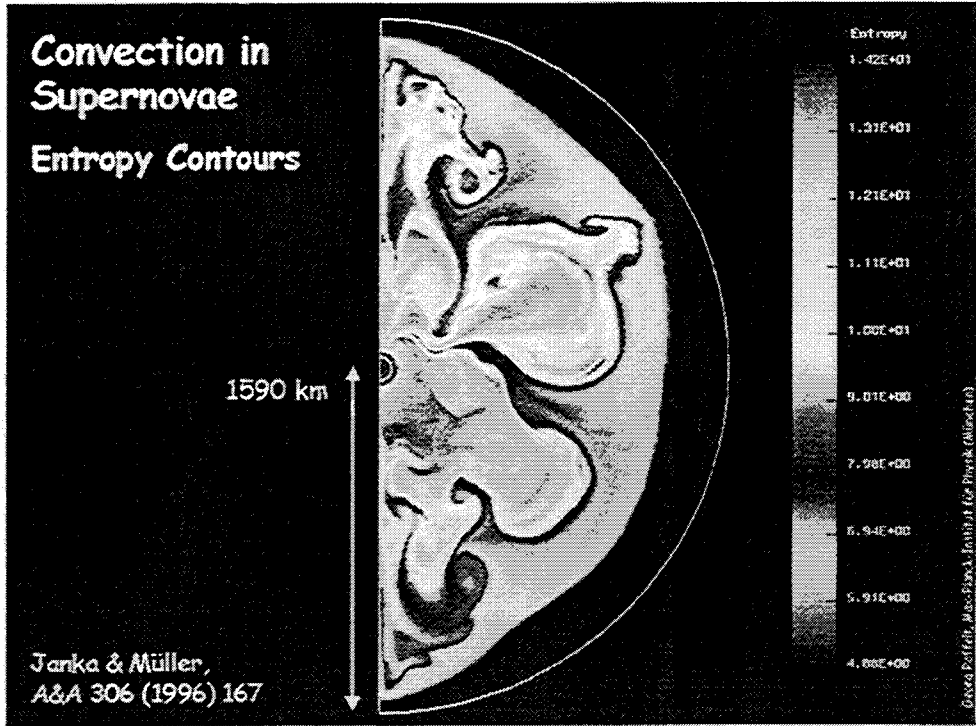
Picture adapted from Janka, astro-ph/0002432

Revival of a Stalled Supernova Shock by Neutrino Heating



Wilson, Proc. Univ. Illinois Meeting on Numerical Astrophysics (1982)
 Bethe & Wilson, ApJ 295 (1985) 14





Shock Revival by Novel Particles?

THE ASTROPHYSICAL JOURNAL, 260:868-874, 1982 September 15
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SUPERNOVAE INDUCED BY AXION-LIKE PARTICLES

DAVID N. SCHRAMM
 The University of Chicago

AND

JAMES R. WILSON
 Lawrence Livermore Laboratory

Received 1981 December 22; accepted 1982 April 1

ABSTRACT

It is shown that a new type of particle which may have been seen in a recent accelerator experiment may, if truly present, provide a mechanism whereby gravitationally collapsing massive stars may eject their outer mantles and envelopes in supernova explosions of $\sim 10^{51}$ ergs while leaving the cores to form neutron star remnants. These particles are "axion-like," which means they interact semiweakly, decay to two photons with lifetimes $\sim 10^{-2}$ s, and have masses $0.15 \leq M_a \leq 1$ MeV. It is hoped that future accelerator searches will be able to confirm or deny the existence of these particles, the presence of which would cause a dramatic solution to the long-standing gravitational-collapse supernova problem.

Subject headings: elementary particles — nuclear reactions — stars: collapsed — stars: supernovae

Viable Scenario with Axion-Like Particles

Coupled to nuclear medium
 by bremsstrahlung
 $N + N \rightarrow N + N + a$

PNS

Thermal flux of axion-like
 particles from "axion sphere"

Decay
 $a \rightarrow e^+ + e^-$

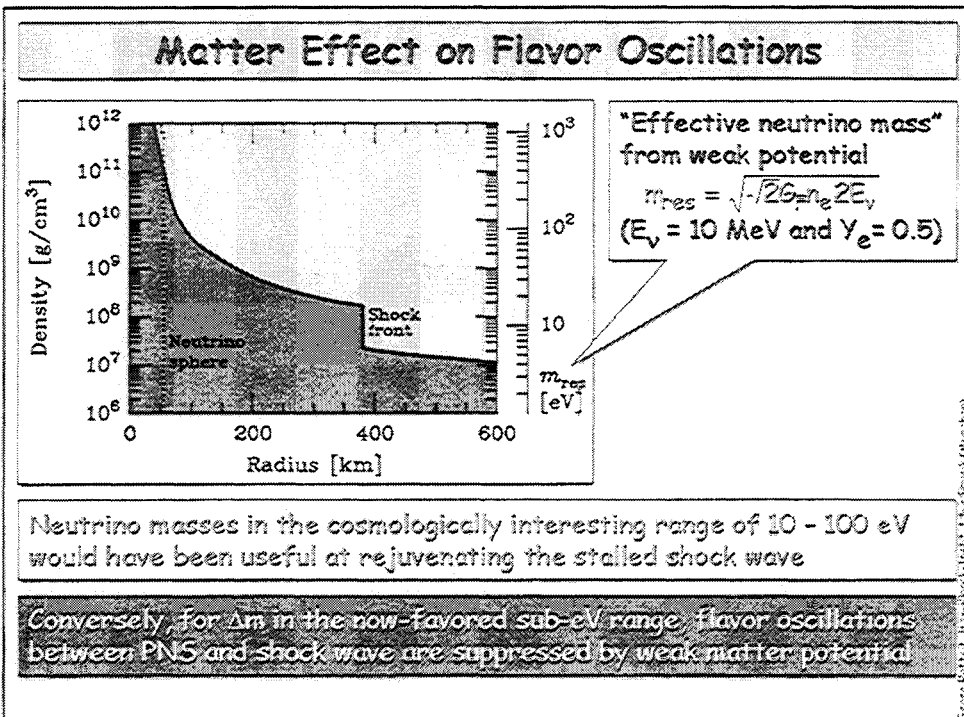
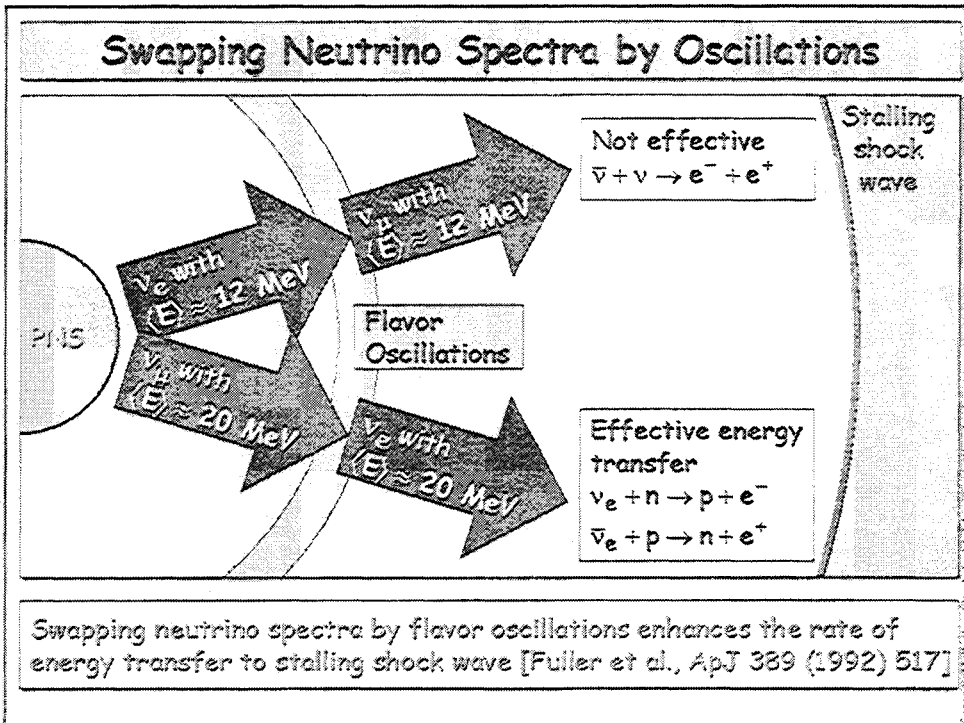
Stalling
 shock
 wave

$$L = \frac{1}{2} \Omega_N \gamma^3 \Psi_N \theta_{10}^2$$

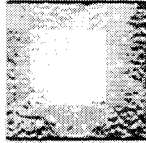
$$L = \frac{1}{2} \Omega_e \gamma^3 \Psi_e \theta_{10}^2$$

Apparently consistent with $f = \text{few } 10^3 \text{ GeV}$ and $m = \text{few MeV}$,
 not excluded by other arguments, but also not independently motivated

Bereziani & Drago, PLB 473 (2000) 281



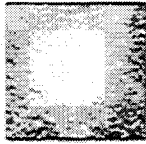
Theoretical Status of Supernova Explosion



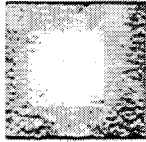
Spherically symmetric models do not explode, even with state-of-the-art Boltzmann solvers for neutrino transport. Delayed explosion scenario requires enhanced neutrino luminosity at early times (\sim factor 2)



Convection between proto neutron star (PNS) and shock wave and within PNS helps. Next steps: 2-D and 3-D simulations coupled with state-of-the-art neutrino transport.



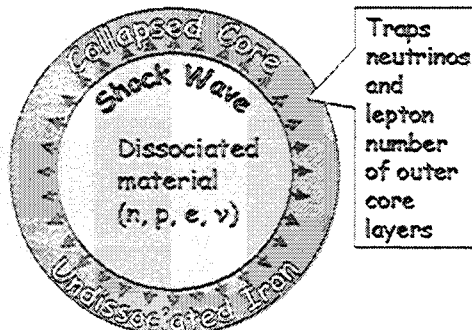
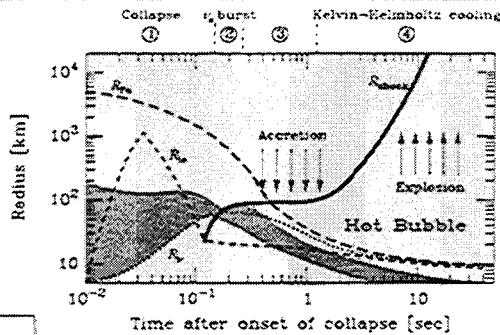
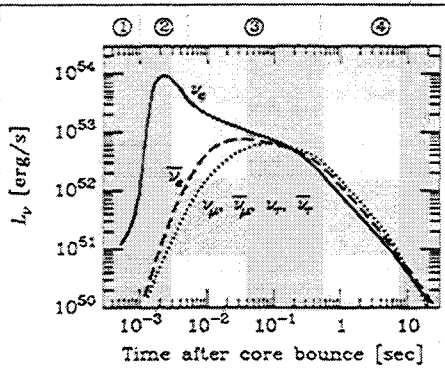
Particle-physics models for new channel of energy transfer can be constructed. Simplest neutrino flavor-oscillation scenario suppressed by large matter effects relative to small Δm .



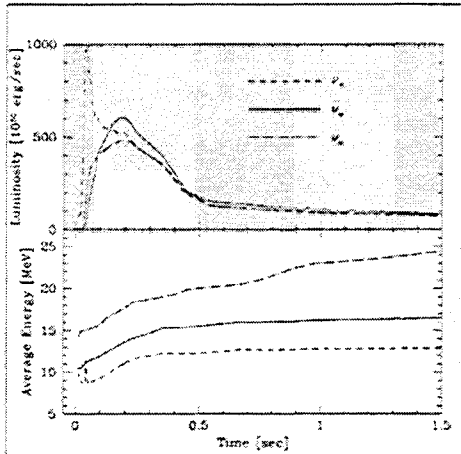
New astrophysics ingredients required? Explosion a magneto-hydrodynamical effect? (Strong B-fields and fast rotation possible)

Supernova Neutrino Signal

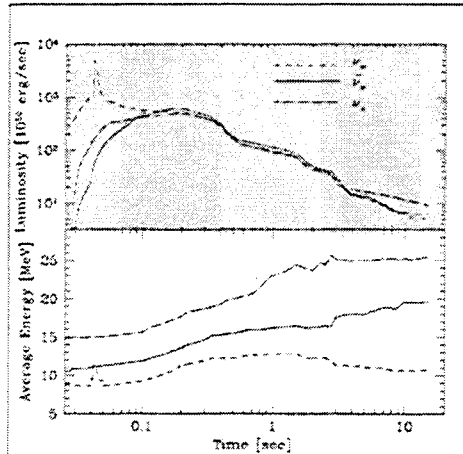
1. Collapse (infall phase)
2. Shock break out
3. Matter accretion
4. Kelvin-Helmholtz cooling



Numerical Neutrino Signal



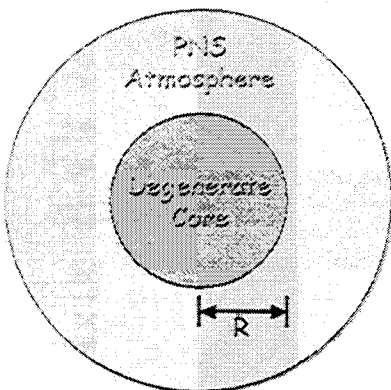
Linear Time & Luminosity Scale



Logarithmic Time & Luminosity

Totani, Sato, Dalhed & Wilson, ApJ 496 (1998) 216

What Determines the Neutrino Energies?



Gravitational potential of a given nucleon

$$\Phi = -G \frac{M_{\text{PNS}} m_{\text{N}}}{R}$$

With $M_{\text{PNS}} = 1 M_{\text{sun}}$ and $R = 20$ km

$$\Phi \approx -27 \text{ MeV}$$

Virial theorem (hydrostatic equilibrium), assuming nondegenerate conditions,

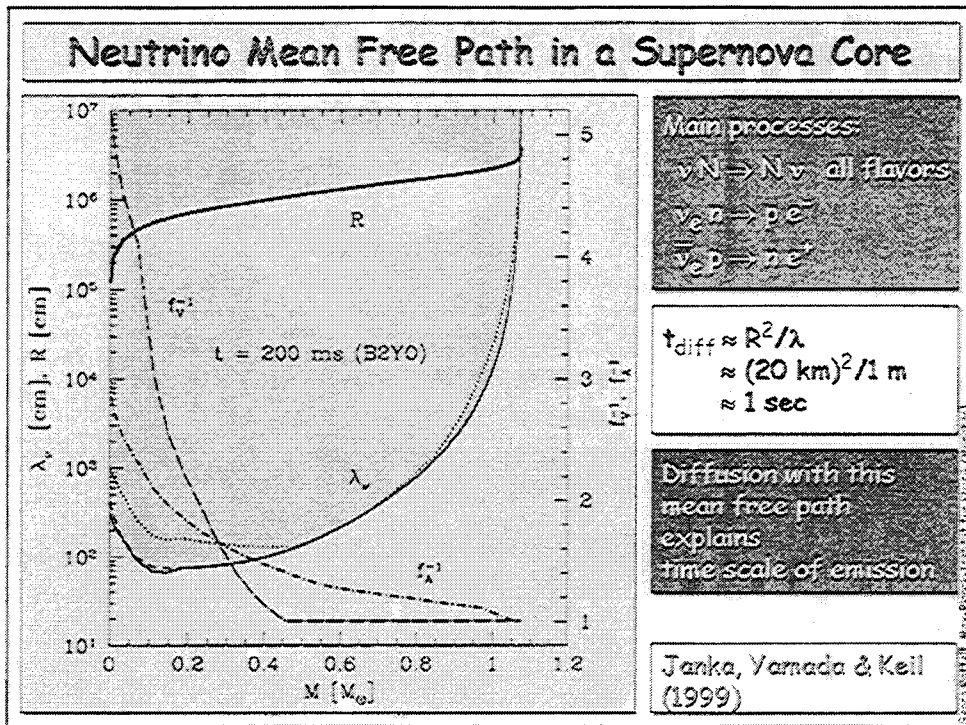
$$\langle E_{\text{kin}} \rangle = -\frac{1}{2} \langle \Phi \rangle \approx 13 \text{ MeV}$$

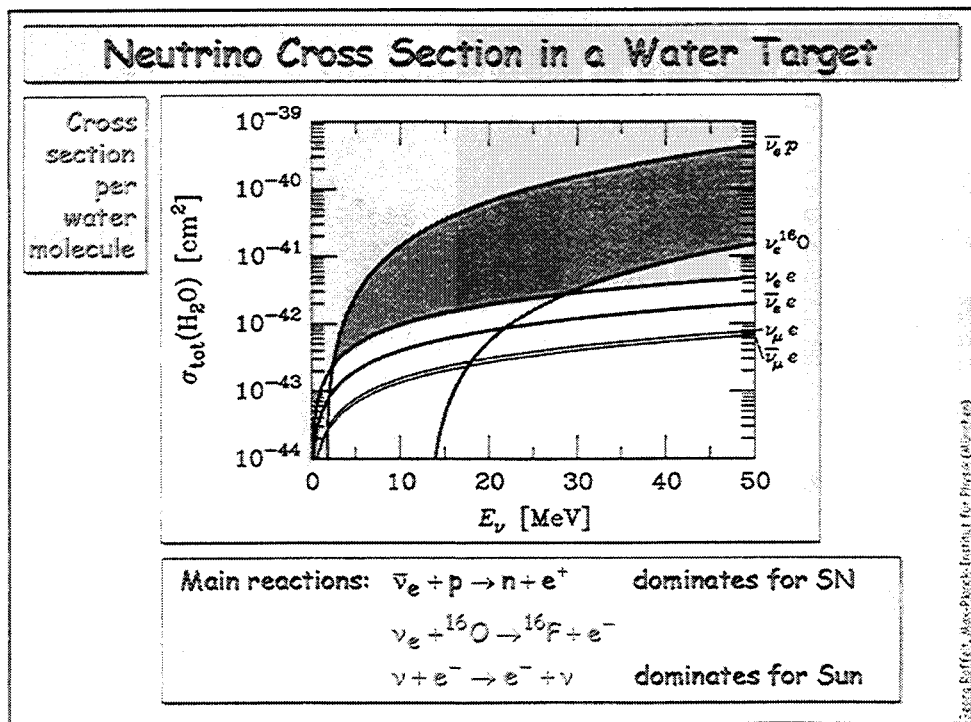
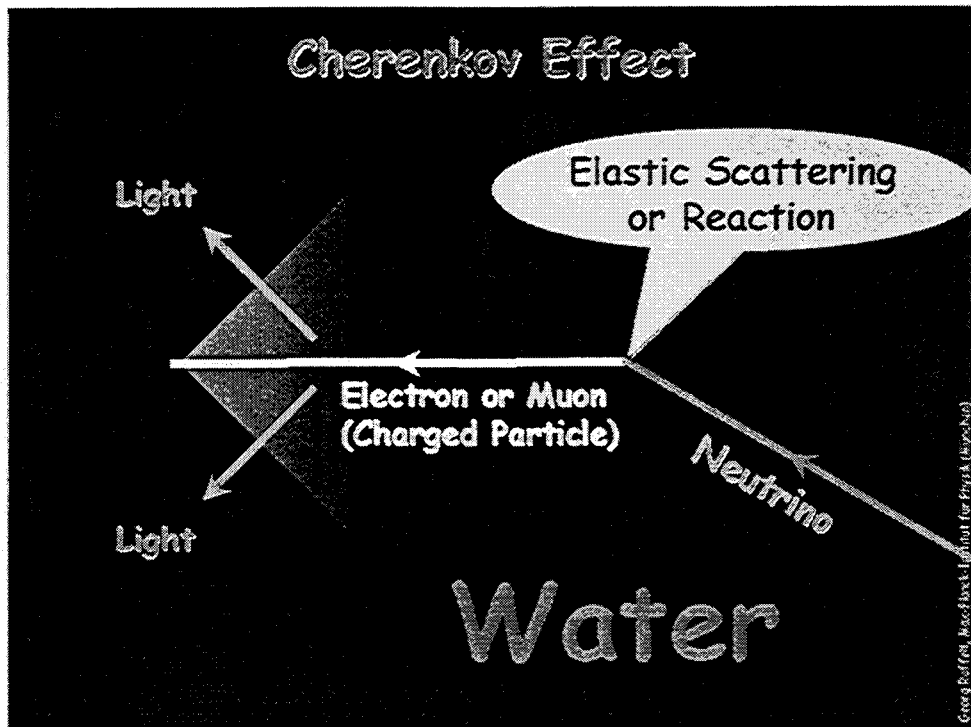
Thermal equilibrium

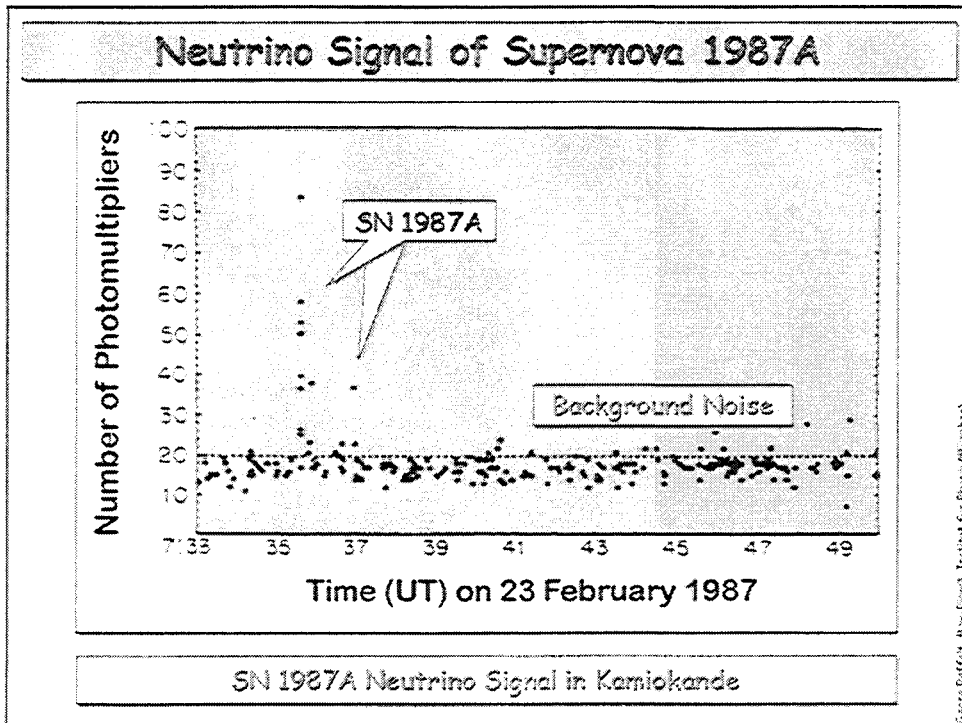
$$T = (2/3) \langle E_{\text{kin}} \rangle \approx 9 \text{ MeV}$$

If the PNS atmosphere is nondegenerate, its temperature is determined by the gravitational potential at the surface of the degenerate core, and found in the ~ 10 MeV range.

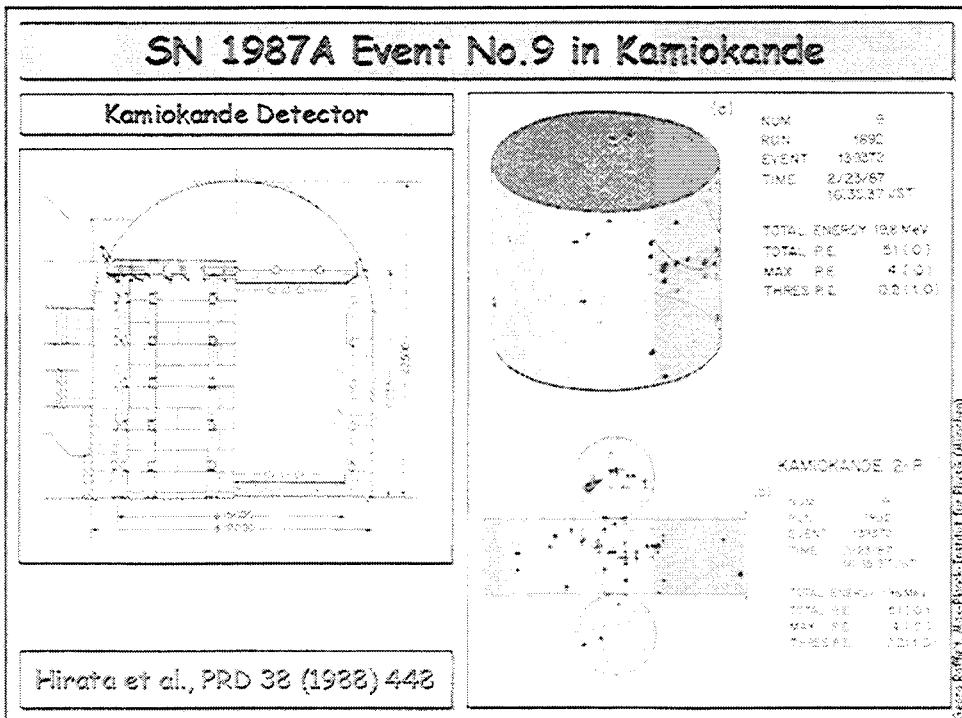
As core contracts (by accretion and/or cooling), T increases





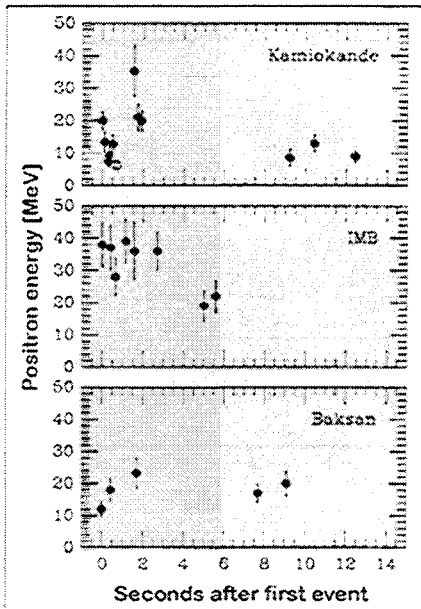


Sakata-Pedrotti, Basic Books, Institute for Physics (1987)



Sakata-Pedrotti, Basic Books, Institute for Physics (1987)

Neutrino Signal of Supernova 1987A



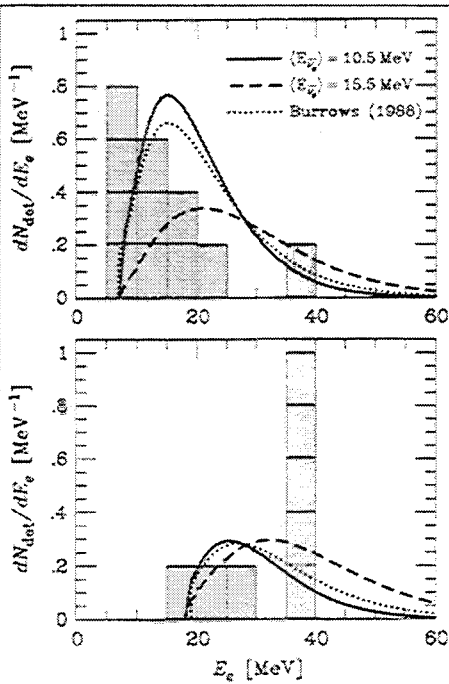
Kamiokande (Japan)
Water Cherenkov detector
Clock uncertainty ± 1 min

Irvine-Michigan-Brookhaven
(USA)
Water Cherenkov detector
Clock uncertainty ± 50 ms

Baksan Scintillator Telescope
(Soviet Union)
Clock uncertainty ± 2 -54 s

Within clock uncertainties,
signals are contemporaneous

Brian Barlow, Massachusetts Institute of Technology

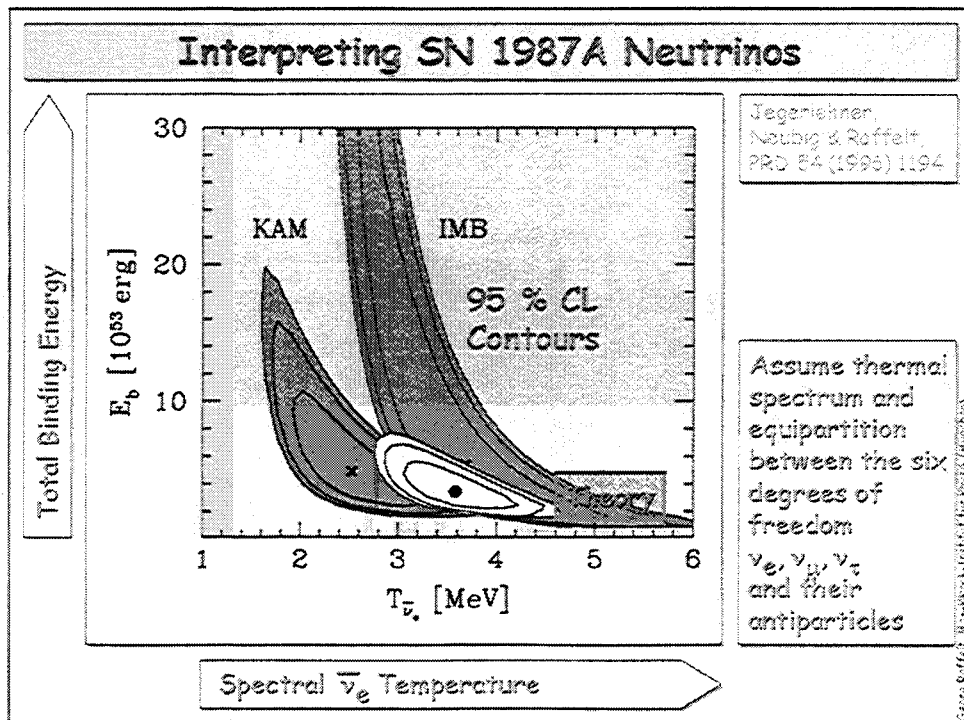
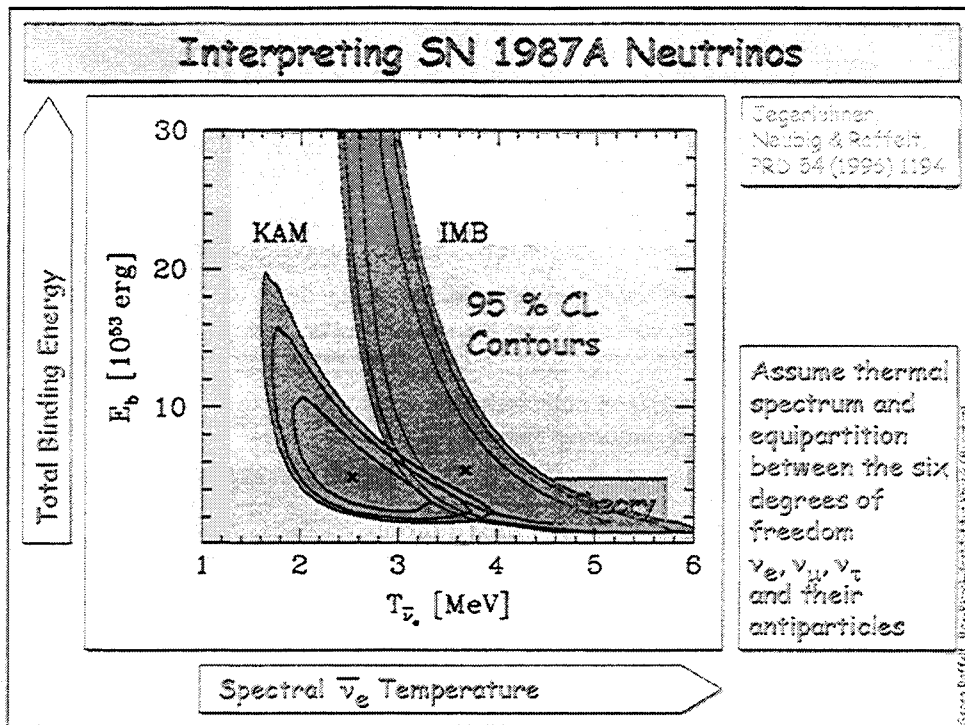


Energy Distribution of SN 1987A Neutrinos

Kamiokande II

IMB

Brian Barlow, Massachusetts Institute of Technology



Neutrino Limits by Intrinsic Signal Dispersion

Time of flight for massive neutrinos delayed by

$$\Delta t = 2.57 \text{ s} \left(\frac{D}{50 \text{ kpc}} \right) \left(\frac{10 \text{ MeV}}{E_\nu} \right)^2 \left(\frac{m_\nu}{10 \text{ eV}} \right)^2$$

SN 1987A: $E \approx 20 \text{ MeV}$, $\Delta t \approx 10 \text{ sec}$, $D \approx 50 \text{ kpc}$

$$m_{\nu_e} < 20 \text{ eV}$$

Detailed maximum-likelihood analysis yields similar limit. At the time of SN 1987A competitive with Tritium end-point limits, today $m_{\nu_e} < 3 \text{ eV}$

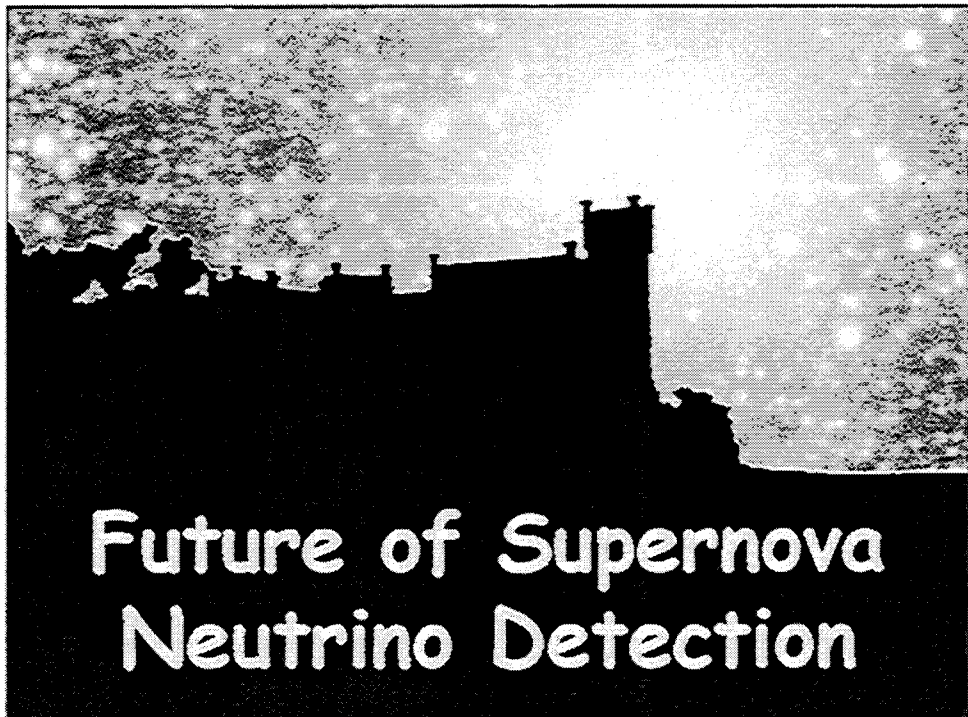
For "milli charged" neutrinos path bent by galactic magnetic field, inducing time delay

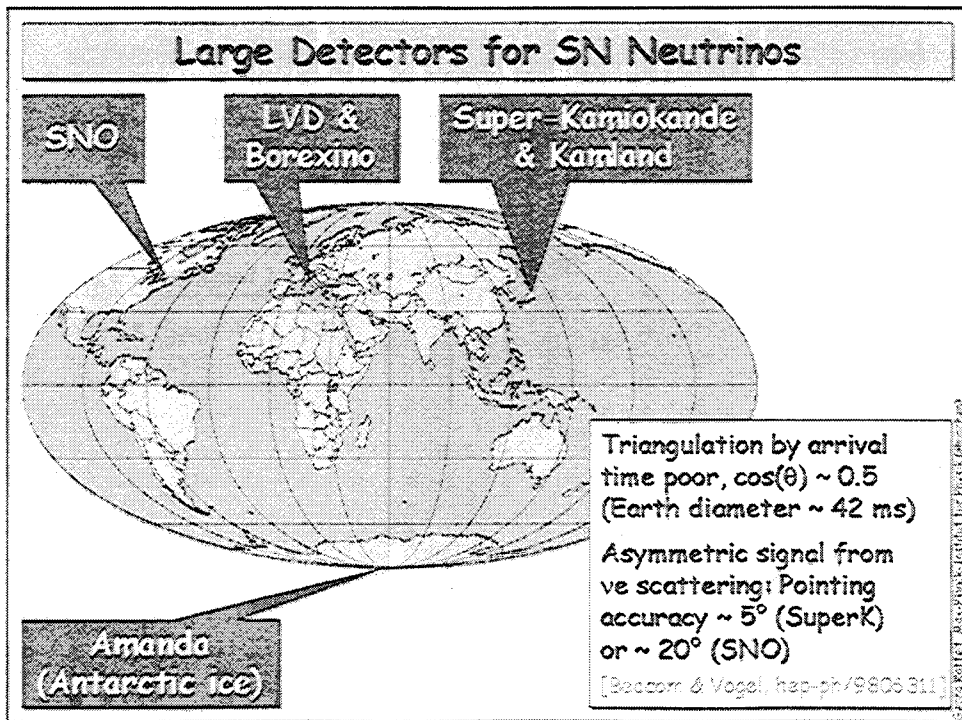
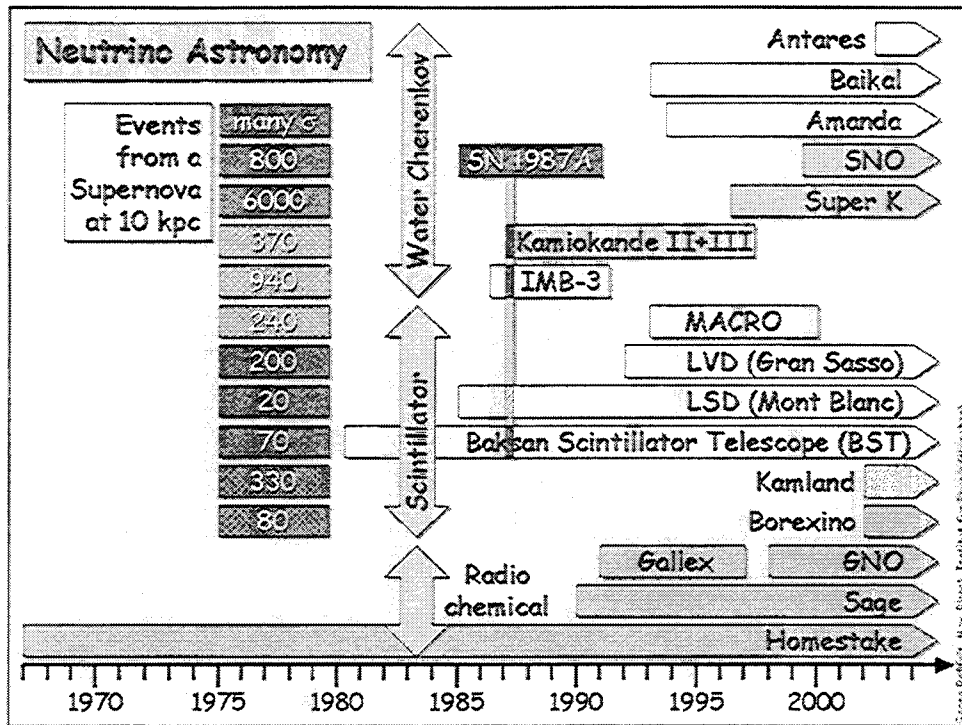
$$\frac{\Delta t}{t} = \frac{e_\nu^2 (B_\perp d_g)^2}{6E_\nu^2} < 3 \times 10^{-12}$$

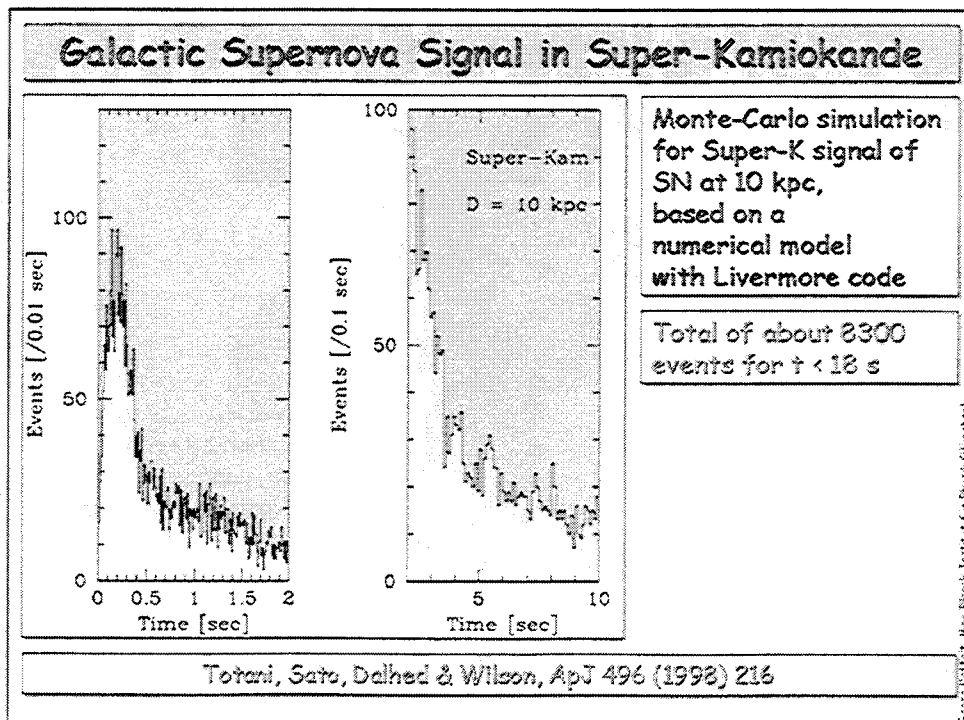
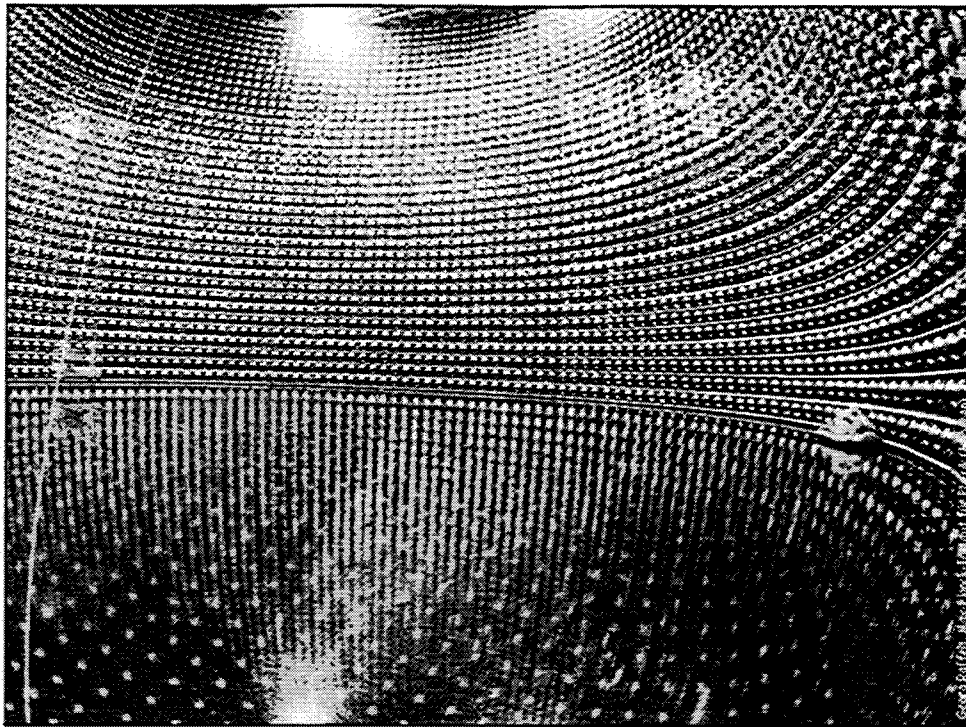
SN 1987A limit:

$$\frac{e_\nu}{e} < 3 \times 10^{-17} \left(\frac{1 \mu\text{G}}{B_\perp} \right) \left(\frac{1 \text{ kpc}}{d_g} \right)$$

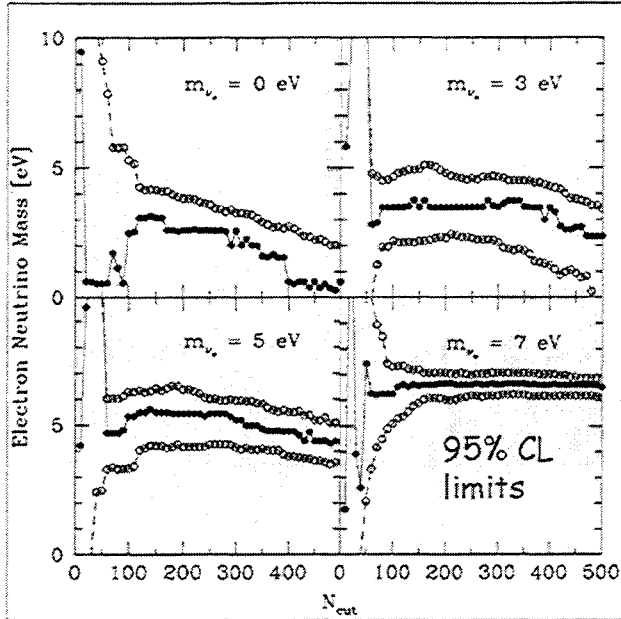
Assuming electric charge conservation in neutron decay yields more restrictive limit of about $3 \times 10^{-21} e$







Neutrino Mass from a Future Galactic SN

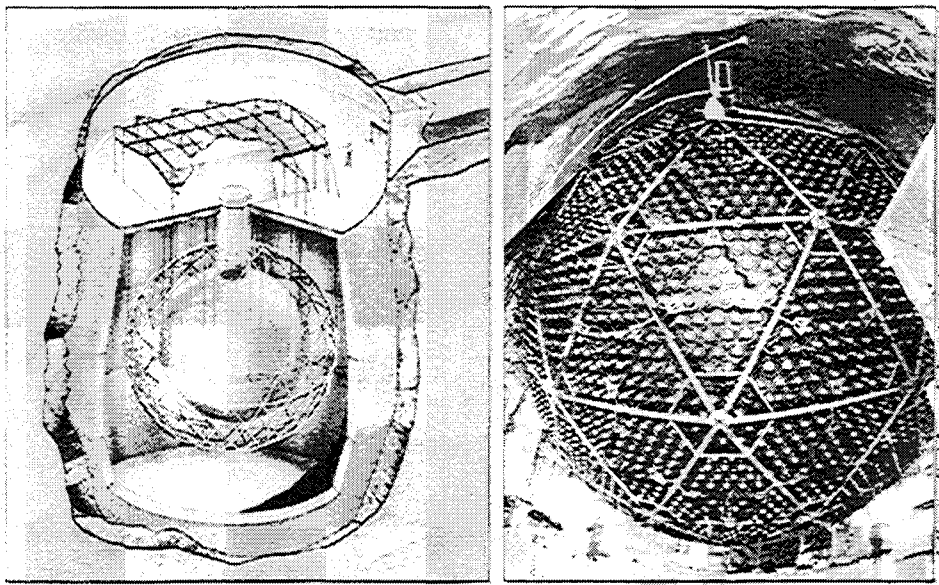


Use correlation between energy & time of arrival as a statistical measure of first N_{cut} events in Monte Carlo simulation of SN signal in SuperK

For SN at 10 kpc sensitive to approx 3 eV

T. Totani,
PRL 80 (1998) 2040

Sudbury Neutrino Observatory (SNO) (1000 tons of heavy water)



Flavor-Sensitive Detection at SNO

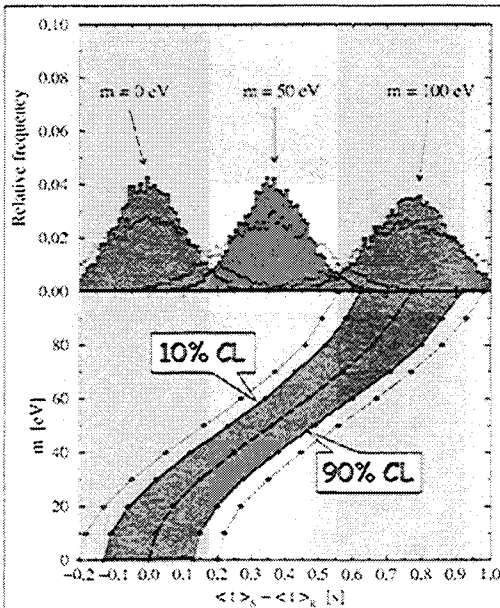
Sudbury Neutrino Observatory (SNO), Canada
 Heavy-water Cherenkov detector (1000 tons)
 9600 PMTs
 Data taking since May 1999

Detection Reactions

- | | |
|-----------------------------------|---|
| $\nu + e \rightarrow \nu + e$ | all flavors
(ν_e cross section about 7x larger) |
| $\nu_e + d \rightarrow p + p + e$ | only ν_e |
| $\nu + d \rightarrow p + n + e$ | all flavors equally |

SNO Staff, Modified from J. J. Beacom (1998)

Mu- and Tau-Neutrino Mass Sensitivity at SNO

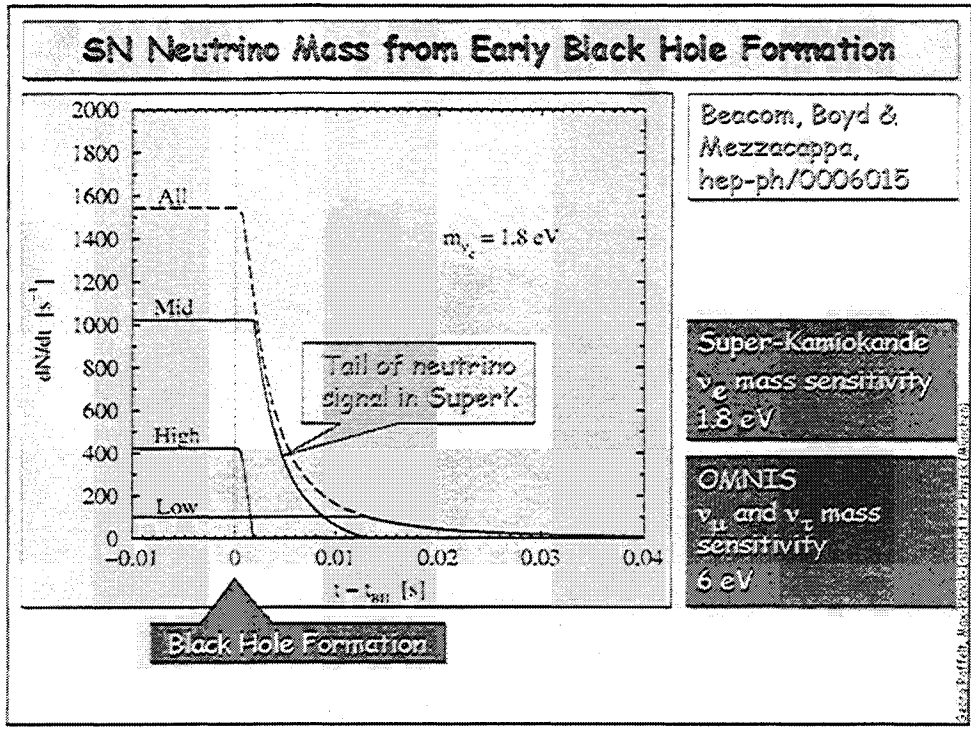


Measure difference between average arrival time $\langle t \rangle_R$ of reference signal from "massless" ν_e (from CC signal in SNO or better from SK) and $\langle t \rangle_S$ for ν_μ or ν_τ (from NC signal in SNO).

Sensitive to $m_\nu > 30 \text{ eV}$

Beacom & Vogel, hep-ph/9806311

SNO Staff, Modified from J. J. Beacom (1998)

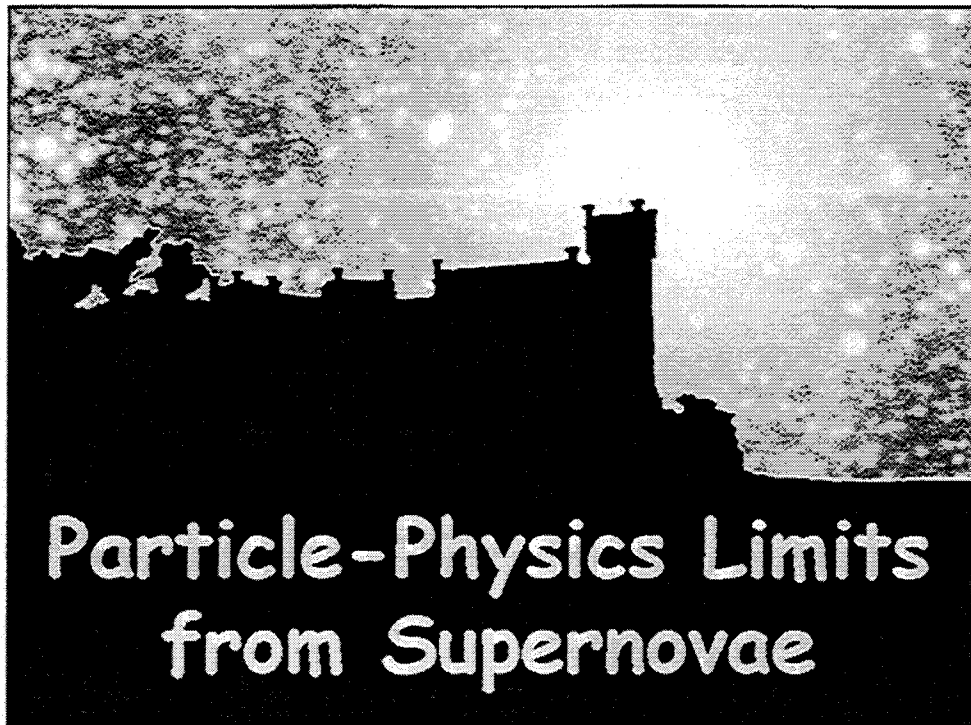


S. Ando, B. Mezzaopapa, hep-ph/0006015

Neutrino Mass Limits

	ν_e	ν_μ	ν_τ
Direct kinematical	2.8 eV	160 keV	18 MeV
	0.3 eV	?	?
Cosmological	$\Sigma m_\nu < 4 \text{ eV}$		
	$\Sigma m_\nu < 0.3 \text{ eV}$ or even less?		
SN time of flight with black hole	20 eV		
	3 eV	30 eV	
	2 eV	6 eV	

S. Ando, B. Mezzaopapa, hep-ph/0006015



The Energy-Loss Argument

Neutrino sphere

Neutrino diffusion

Volume emission of novel particles

Emission of very weakly interacting particles would "steal" energy from the observable neutrino burst and shorten it.

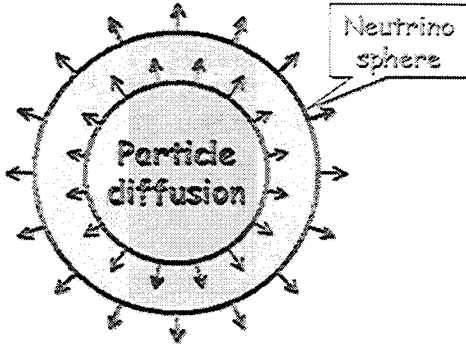
Early neutrino burst powered by accretion and energy near surface, not sensitive to volume energy loss.

Late-time signal most sensitive observable

Assuming that the neutrino burst was not shortened by more than $\sim \frac{1}{2}$ leads to an approximate requirement on a novel energy-loss rate:

$\epsilon_{\nu} < 10^{19} \text{ erg g}^{-1} \text{ s}^{-1}$ for $\rho \approx 3 \times 10^{14} \text{ g cm}^{-3}$ and $T \approx 30 \text{ MeV}$

The Energy-Loss Argument in the Trapping Limit



If mean-free-path $<$ geometric dimension, new particles are more important for energy transfer than neutrinos.

Efficiency of energy transfer must be \sim less than of nus, or else speed up cooling of PNS.

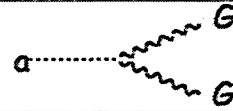
If coupling comparable to nus,
 • may be important during infall
 • may cause additional events in detectors

S. J. P. ...

Axion Properties

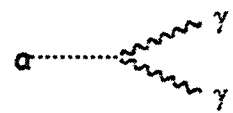
Most generic property:
Coupling to gluons

$$L_{aG} = \frac{a_g}{8\pi f_a} G\tilde{G}a$$



Mass (from mixing with π^0)

$$m_a \approx 6 \text{ eV} \times 10^6 \text{ GeV} / f_a \approx m_\pi f_\pi / f_a$$

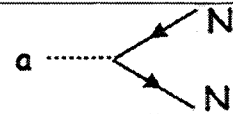


Photon coupling

$$L_{a\gamma} = -\frac{1}{4} g_{a\gamma} F\tilde{F}a = g_{a\gamma} \vec{E} \cdot \vec{B} a, \quad g_{a\gamma} = \frac{a}{2\pi f_a} (E/N - 1.92)$$

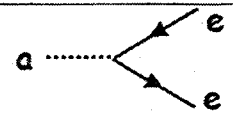
Nucleon coupling
(axial vector)

$$L_{aN} = \frac{C_N}{2f_a} \bar{\Psi}_N \gamma^5 \gamma_\mu \Psi_N \partial_\mu a$$



Electron coupling
(optional)

$$L_{ae} = \frac{C_e}{2f_a} \bar{\Psi}_e \gamma^5 \gamma_\mu \Psi_e \partial_\mu a$$

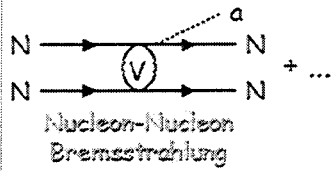


S. J. P. ...

Axion Emission from Nuclear Medium

Axion-nucleon interaction of current-current form:

$$\mathcal{L}_{int} = \frac{G_N}{2f_a} \bar{\Psi} \gamma_\mu \gamma_5 \Psi \bar{N} \partial^\mu a = \frac{G_N}{2f_a} \mathbf{J}_\mu^A \partial^\mu a$$



Energy loss rate (erg cm⁻³ s⁻¹)

$$Q = \int d\Gamma_a \int d\Gamma_{\text{Nucleons}} |M|^2 \omega$$

$$= \left(\frac{G_N}{2f_a}\right)^2 \frac{n_B}{4\pi^2} \int_0^\infty d\omega \omega^4 S(-\omega)$$

axion energy

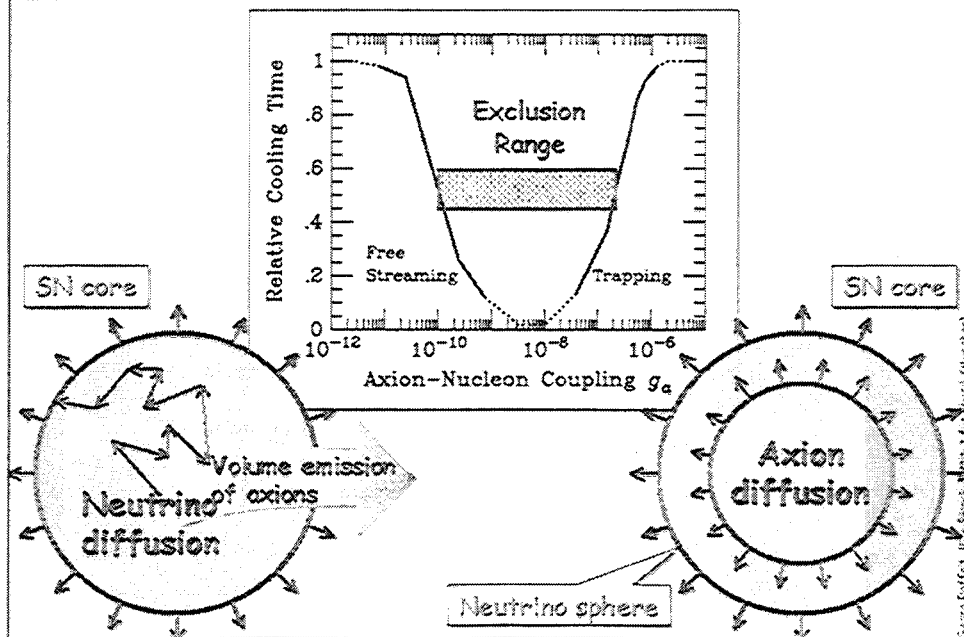
dynamical structure function

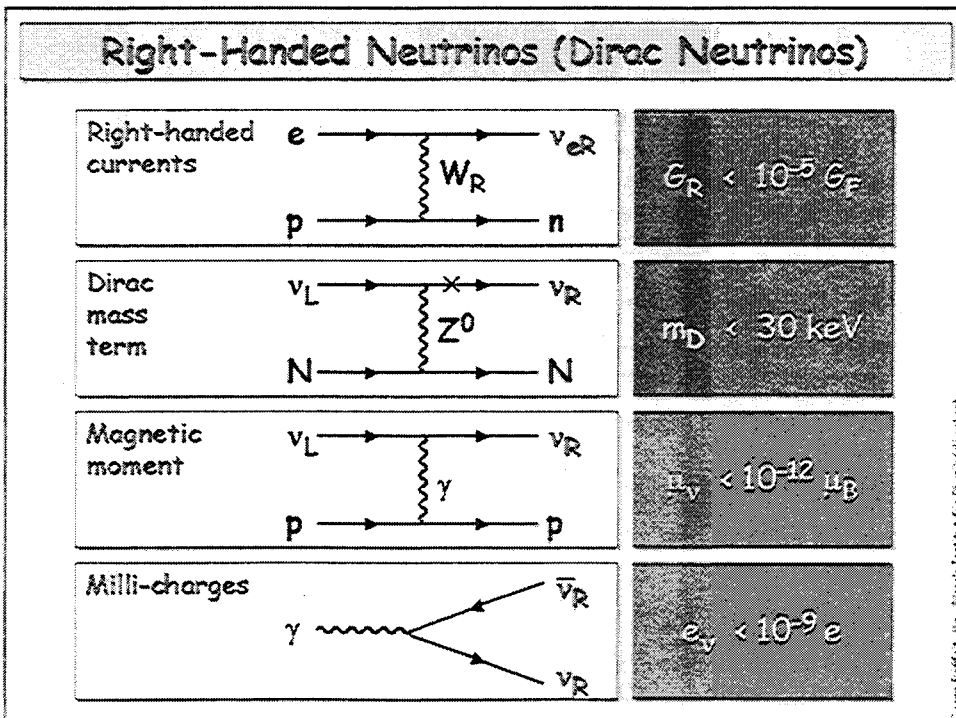
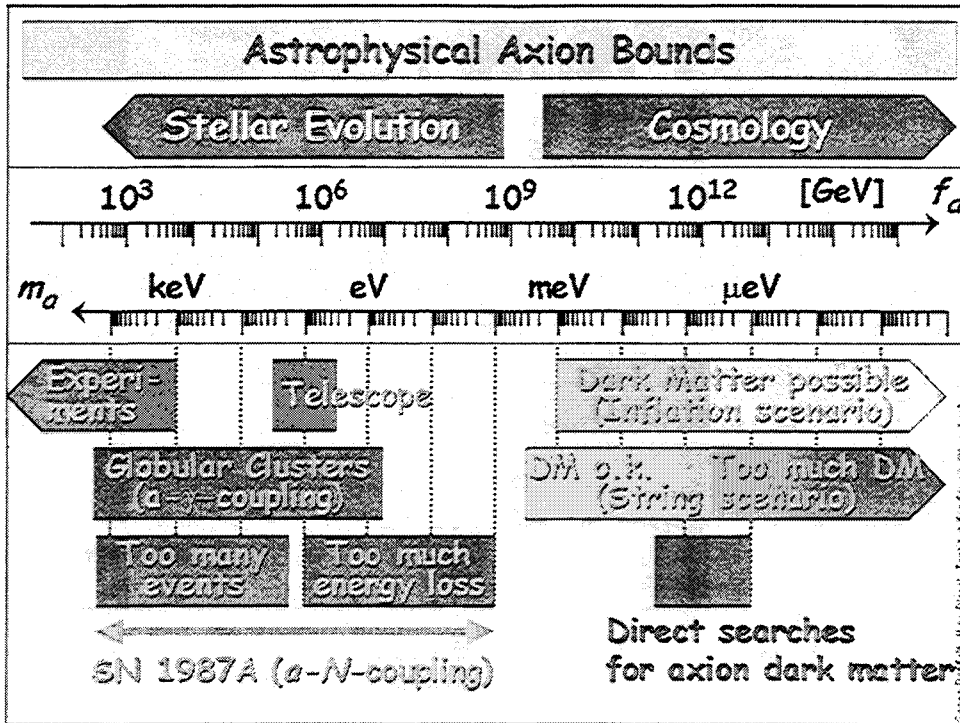
Difficulties include:

- Realistic nucleon-nucleon interaction potential (even in vacuum)
- Many-body effects (effective mass, spin-spin correlations ...)
- Axion couplings in the nuclear medium
- Multiple-scattering effects:

Frequency of NN collisions exceeds typical axion energy: $\tau_{coll} < \omega^{-1}$
Expect LPM-type destructive interference effects

SN 1987A Axion Limits





Spin and Spin-Flavor Oscillations

In macroscopic magnetic or electric fields, neutrinos spin-precess if they have a magnetic moment μ . Spin-reversal after a distance

$$L_{\text{flip}} = 5.36 \times 10^3 \text{ cm } (\mu_B \text{ Gauss}) / (\mu_B)$$

with $\mu_B = e/2m_e$ the Bohr magneton and B the transverse field.

Galactic magnetic field $\sim 1 \mu\text{Gauss}$, coherence length $\sim 1 \text{ kpc} = 3 \times 10^{21} \text{ cm}$

Significant spin reversal if $\mu > 2 \times 10^{-12} \mu_B$

Stellar cooling limits $\mu < 3 \times 10^{-12} \mu_B$

- Magnetic field between neutron star and shock wave could be large
- Relevant length scale $\sim 100 \text{ km}$
- Significant spin reversal for $\mu B > 10^{-3} \mu_B \text{ Gauss}$
- Easily satisfied if $\mu \sim 10^{-12} \mu_B$ and $B > 10^{12} \text{ Gauss}$
- However, suppressed by medium weak potential, except if resonance condition can be satisfied.

See for example Akhmedov et al., PRD 55 (1997) 515.

Nunokawa, Tomas & Valle, astro-ph/9811181

Limits on Large Extra Dimensions

Hierarchy problem solved by true Planck scale M being close to electro-weak scale in space with n extra dimensions, assumed to be compactified on n tori with periodicities $2\pi R$.

Newton's law at large distances governed by

$$G_N^{-1} = M_{Pl}^2 = 4\pi M^{n+2} R^n$$

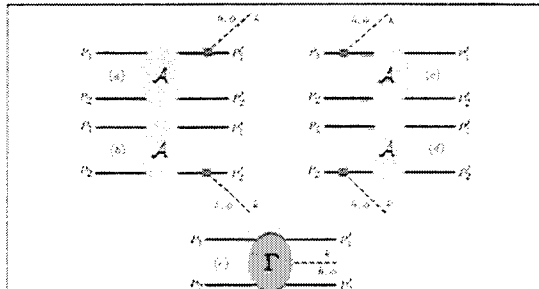


FIG. 1. The leading diagrams contributing to processes $\nu\nu \rightarrow \nu\nu$ and $\nu\nu \rightarrow \nu\nu$. Neutrinos are depicted by solid lines and the KK modes by dashed lines. Solid squares denote an insertion of the nucleon form factor appropriate to the target, while solid circles containing A denote an insertion of the full NN scattering amplitude. The solid oval containing Γ denotes the complete vertex required for the case of dispersion to satisfy it, $M^2 \ll k^2$.

Cullen & Perelstein, hep-ph/9904422

Hanhart et al., nucl-th/0007016

SN core emits large flux of KK gravity modes by nucleon-nucleon bremsstrahlung. Large multiplicity of modes!

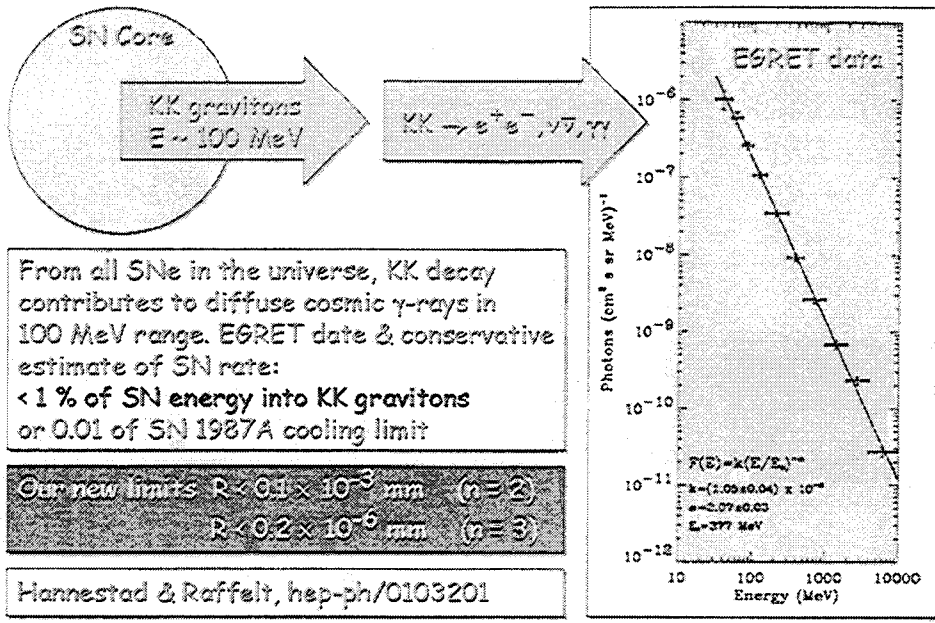
SN 1987A energy-loss argument:

$$R < 0.7 \times 10^{-3} \text{ mm} \quad (n=2)$$

$$R < 0.8 \times 10^{-6} \text{ mm} \quad (n=3)$$

Is the most restrictive limit on such theories, except for cosmological arguments

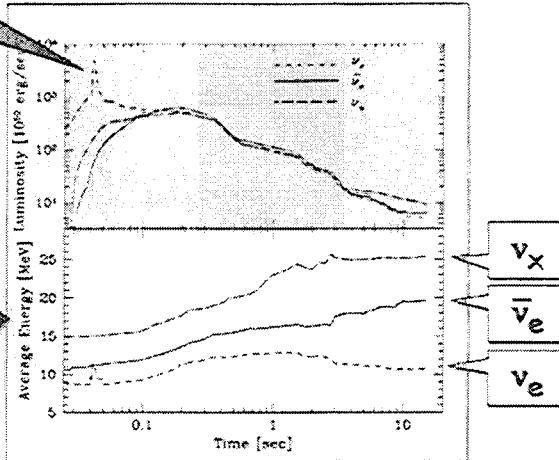
Improved Limits on Large Extra Dimensions



Flavor Oscillations and SN Neutrinos

Prompt ν_e dephionization burst

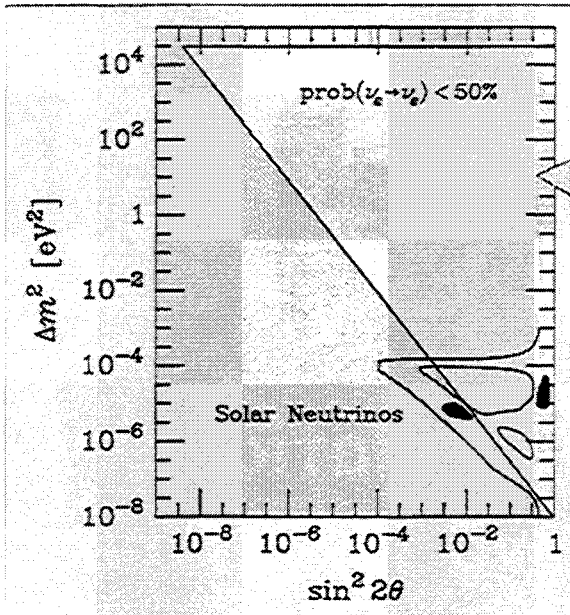
Flavor-dependent neutrino spectra



Numerical model of Totani, Sato, Dalhed & Wilson, ApJ 496 (1998) 216

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Resonant Oscillations in a Supernova Envelope

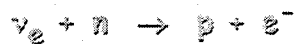


Adiabatic oscillations for a large range of mixing parameters

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Neutrino Spectra from a Supernova Core

Different flavors are trapped by different reactions



Beta reactions are more efficient than neutral-current scattering, and there are more n than p. Typical SN simulations yield a hierarchy of spectral temperatures

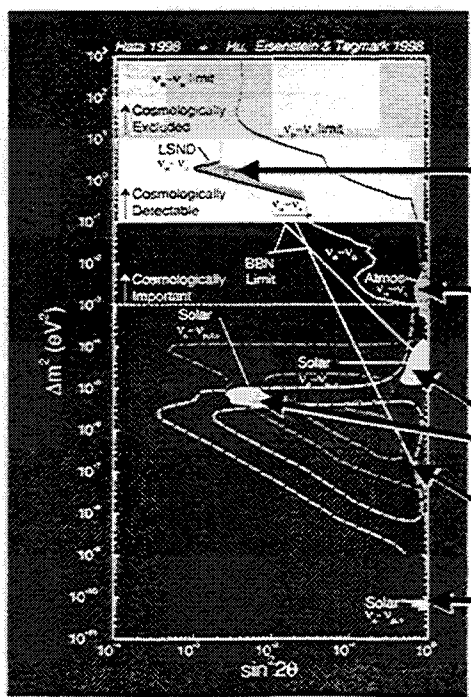
$$\langle E_\nu \rangle = \begin{cases} 10 - 12 \text{ MeV} & \text{for } \nu_e \\ 14 - 17 \text{ MeV} & \text{for } \bar{\nu}_e \\ 24 - 27 \text{ MeV} & \text{for } \nu_\mu, \nu_\tau, \bar{\nu}_\mu, \bar{\nu}_\tau \end{cases}$$

Approximate equipartition of energy among flavors

Neutrino oscillations can partially swap spectra

Source: Raffelt, *Proc. Inst. for Grav. & High Energy Phys.*

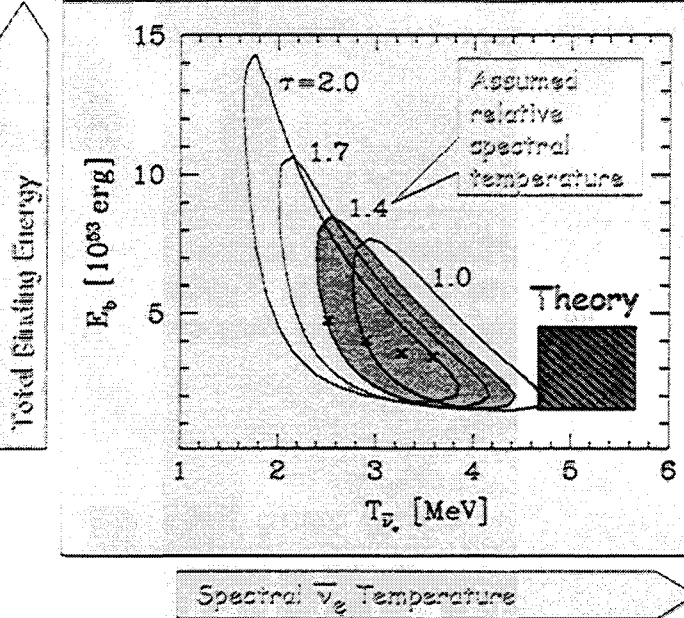
Current Indications for Neutrino Oscillations



- LSND Experiment
- Atmospheric Neutrino Anomaly
- Solar Neutrinos:
 - MSW Solutions (Matter effects important)
 - LOW solution
 - Vacuum Solution

Source: Raffelt, *Proc. Inst. for Grav. & High Energy Phys.*

Neutrino Oscillations & SN 1987A Signal Interpretation



Assuming large-angle MSW solution in the Sun

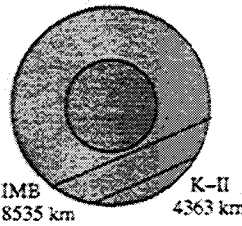
Jegerlehner, Neubig & Raffelt, PRD 54 (1996) 1194

SN1987A, Earth matter effect and LMA

From C. Lunardini's Talk in LA (Feb 01)

Difference of the energy spectra of events detected by K-II and IMB is due to oscillation effects inside the Earth?

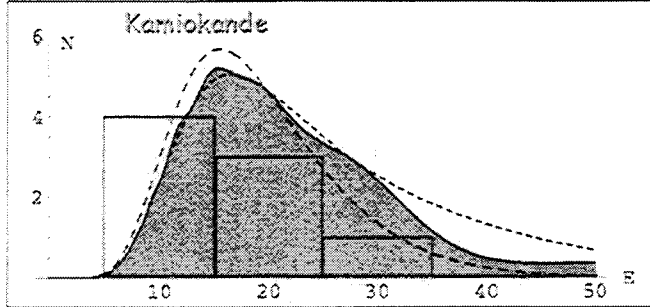
(Lunardini, A.S. hep-ph/0009356)



- Concentration of the IMB events in the interval $E = 35 - 40$ MeV
- Absence of events at IMB above 40 MeV
- Absence of events with $E > 35$ MeV at K-II

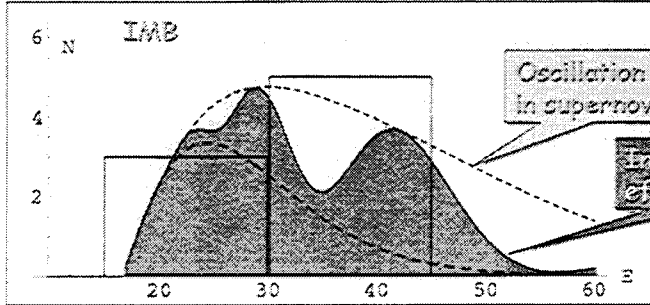
Such a pattern can be explained by difference of the oscillation phases at IMB and K-II related to difference of distances inside the Earth travelled by neutrinos which reach IMB and K-II

SN 1987A and Earth Matter Effect



Lunardini & Smirnov
hep-ph/0009356

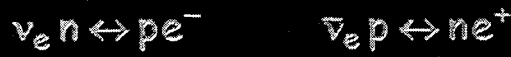
$\theta_{12} = 0.5$
 $\Delta m^2 = 2.75 \times 10^{-5} \text{ eV}^2$
 $T(\bar{\nu}_e) = 3.5 \text{ MeV}$
 $T(\bar{\nu}_\mu) = 7 \text{ MeV}$
 Events only up to
 6.5 sec



Data: Kishimoto, Masuhira, Imoto, Kashiwagi, Kobayashi, Minamigawa, Murayama, Nakagawa, Nakayama, Nishino, Shimizu, Taniuchi, Ueda, Utsunomiya, Yodanisaka

Neutrino Spectra Formation

Electron flavor: $\nu_e, \bar{\nu}_e$

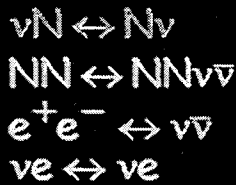


Thermal Equilibrium

Free streaming

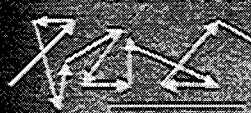
Neutrino sphere

Other flavors: $\nu_\mu, \nu_\tau, \bar{\nu}_\mu, \bar{\nu}_\tau$



Thermal Equilibrium

Scattering Atmosphere



Diffusion

Free streaming

Energy sphere

Transport sphere

Data: Lunardini, Smirnov, 1999

Scattering Atmosphere as a "Low-Pass Filter"

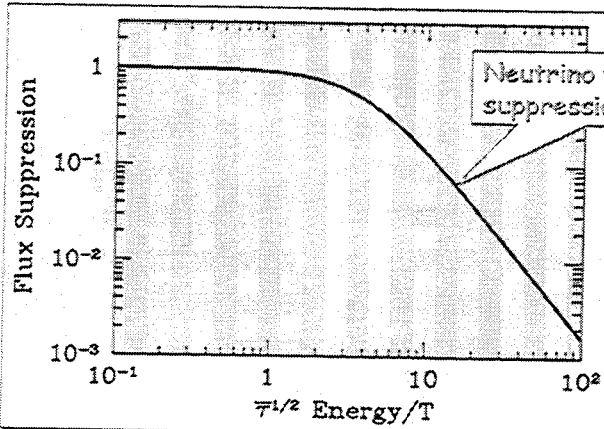
Thermal Equilibrium

Blackbody flux from E-sphere

Transmitted flux

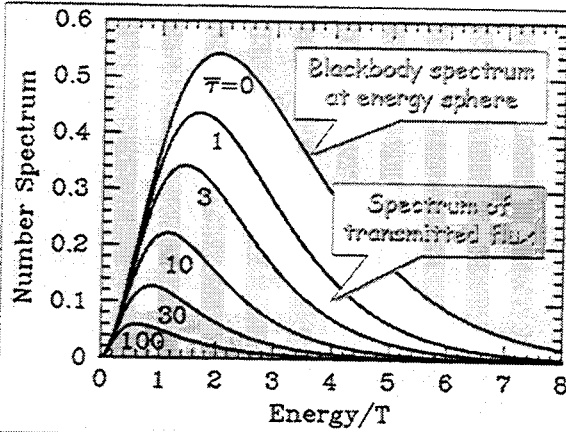
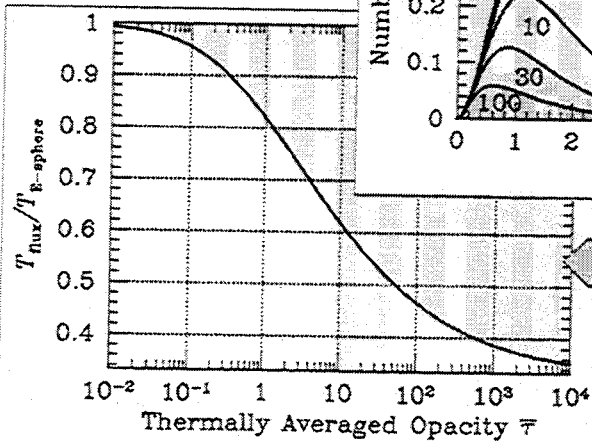
Reflected flux

Diffusion



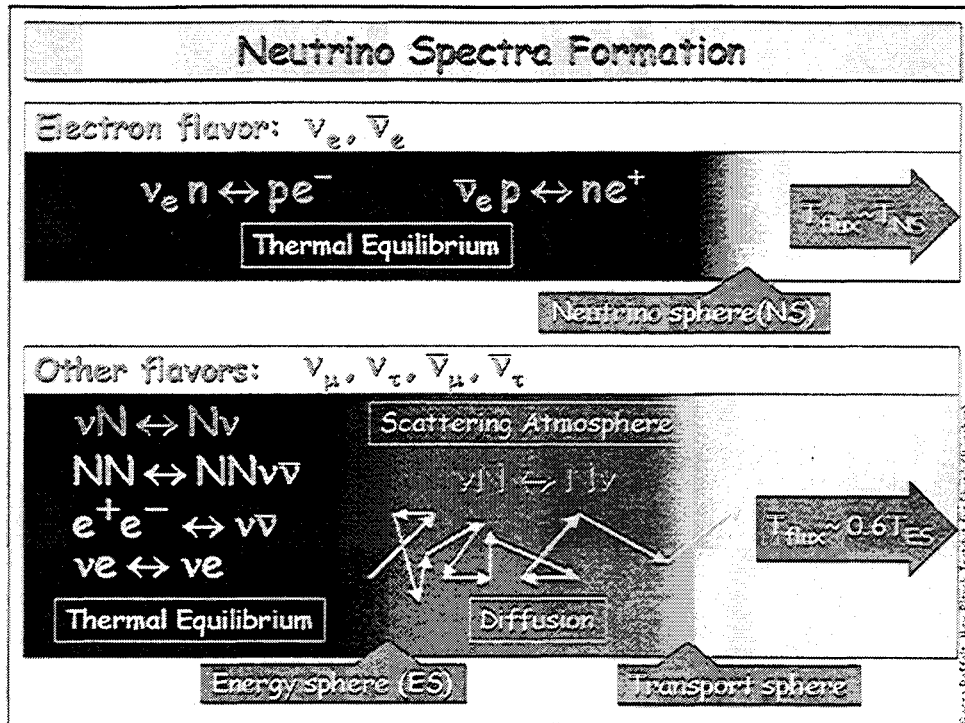
SC27 Effect, Max-Block Output for Flux (black)

Spectrum of Transmitted Flux

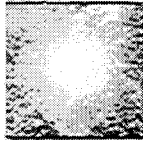
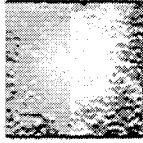

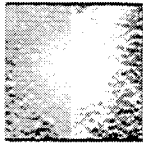


For typical opacities of scattering atmosphere ($\bar{\tau}_s \approx 15 - 50$),
 $T_{flux}/T_{E-sphere} \approx 0.5 - 0.6$

SC27 Effect, Max-Block Output for Flux (black)



Conclusions

	Type II supernova explosions probably explained by neutrino-driven delayed explosion mechanism, but thus far no working numerical standard model. Convection key to successful explosion? Completely new physics needed?
	<p>If neutrino mixing parameters in currently favored regions</p> <ul style="list-style-type: none"> • Neutrino flavor oscillations not important for SN physics • But crucial for detector signal interpretation • Sterile ν_s and/or dipole moments can have strong effects
	<p>High-statistics observation of a galactic SN is</p> <ul style="list-style-type: none"> • crucial for empirical study of core-collapse event • not sensitive to sub-eV neutrino masses • probably differentiates between some mixing scenarios • information on possible late phase transitions and such
	<p>Particle emission by supernova cores continues to provide most restrictive limits on various theories (axions, n.h. neutrinos, extra dimensions ...)</p> <p>High-statistics observation would put these on firm grounds.</p>

Further Reading

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