

SUMMER SCHOOL
on
LOW-DIMENSIONAL QUANTUM SYSTEMS:
Theory and Experiment
(16 - 27 JULY 2001)

PLUS

PRE-TUTORIAL SESSIONS
(11 - 13 JULY 2001)

INTERACTING RANDOM DIRAC FERMIONS
IN SUPERCONDUCTING CUPRATES

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These are preliminary lecture notes, intended only for distribution to participants

Interacting random Dirac fermions in superconducting cuprates

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in collaboration with:

J. Paaske and I.V. Gornyi (Karlsruhe),
W.A. Atkinson and P.J. Hirschfeld (Gainesville),
and A.G. Yashenkin (Chapel Hill)

Phys.Rev.Lett. **86**, 4668, 4672, 5982 (2001)

Outline of the talk

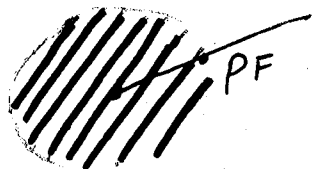
- Fermi surface vs Fermi points: Dirac-like excitations in strongly correlated fermion systems;
- Interacting quasiparticles and subdominant order parameters in layered **d-wave** superconductors;
- (De)localization theory for disordered Dirac fermions;
- Experimental signatures of quasiparticle localization in high-T_c cuprates .

Dirac fermions in condensed matter physics

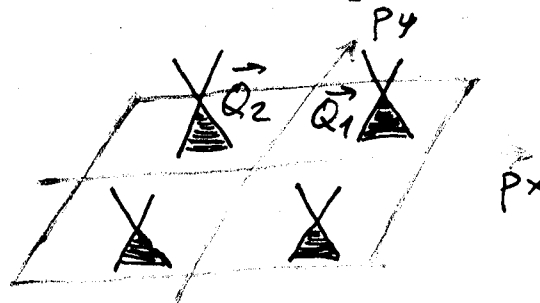
- Effective description of statistical (**d+0**-dimensional) systems:
Ising model, random magnetic field, network models of Quantum Hall plateau transitions;
- Low-energy excitations in dynamical (**d+1**- dimensional) systems:
 - layered **d-wave** superconductors (high-Tc cuprates);
 - **p-wave** superconductors/superfluids (He3-A);
 - semimetals (graphite);
 - dichalcogenides (2H-TaSe2,...).

Fermi liquids vs. Dirac fermions

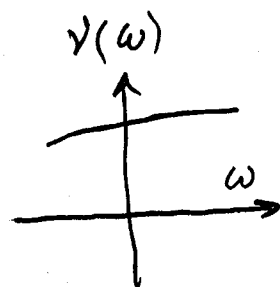
Fermi surface:



Isolated Fermi points:

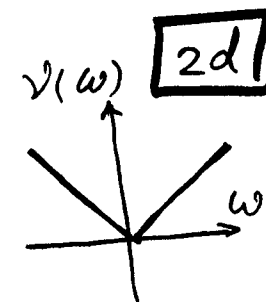


$$\pm \vec{Q}_1; \pm \vec{Q}_2$$

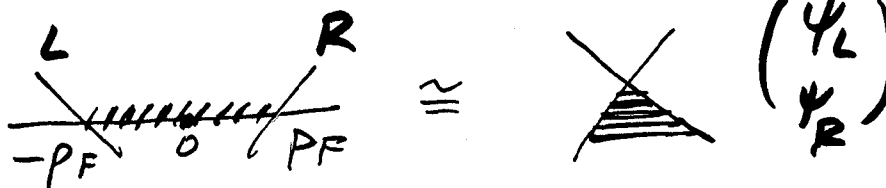


$$\begin{aligned} \epsilon &= v_F(p - p_F) \\ v(\omega) &\simeq \text{const} \\ C(T) &\sim T \\ \chi_S(T) &\sim \text{const} \end{aligned}$$

$$\begin{aligned} \epsilon &= v |\vec{p} - \vec{Q}_i| \\ v(\omega) &\sim |\omega|^{d-1} \\ C(T) &\sim T^d \\ \chi_S(T) &\sim T^{d-1} \end{aligned}$$



In 1d both are equivalent:
(almost)



Quasiparticles in planar d-wave superconductors

- Gor'kov-Nambu spinors and BdG Hamiltonian:

$$\Psi_{\vec{p}\alpha}^i = \begin{pmatrix} C_{\vec{p}\alpha}^i \\ E_{\alpha\beta} C_{-\vec{p}\beta}^+ \end{pmatrix} \quad H = \sum_{\substack{i=1,2 \\ \alpha=\uparrow\downarrow}} \Psi_{\vec{p}\alpha}^{\dagger} \begin{pmatrix} \xi_{\vec{p}} & \Delta_{\vec{p}} \\ \Delta_{\vec{p}}^* & -\xi_{\vec{p}} \end{pmatrix} \Psi_{\vec{p}\alpha}^i$$

- Lattice dispersion and gap function:

$$\xi_{\vec{p}} = -2t(\cos p_x + \cos p_y) + \dots \quad \Delta_{\vec{p}} = \underline{\Delta}_{\vec{p}} + i \overset{\text{''}}{\Delta}_{\vec{p}}$$

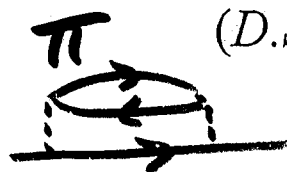
- Low-energy qps:

$$\underline{\Delta}_{\vec{p}} = \Delta_0 (\cos p_x - \cos p_y)$$

$$\mathcal{H} = \Psi_1^{\dagger} (v_F \tilde{p}_x \hat{\sigma}_3 + v_{\Delta} \tilde{p}_y \hat{\sigma}_1 + \overset{\text{''}}{\Delta}_{Q_1} \hat{\sigma}_2) \Psi_1 + \Psi_2^{\dagger} (v_F \tilde{p}_y \hat{\sigma}_3 + v_{\Delta} \tilde{p}_x \hat{\sigma}_1 + \overset{\text{''}}{\Delta}_{Q_2} \hat{\sigma}_2) \Psi_2$$

- QP interactions (screened Coulomb, AFM fluctuations):

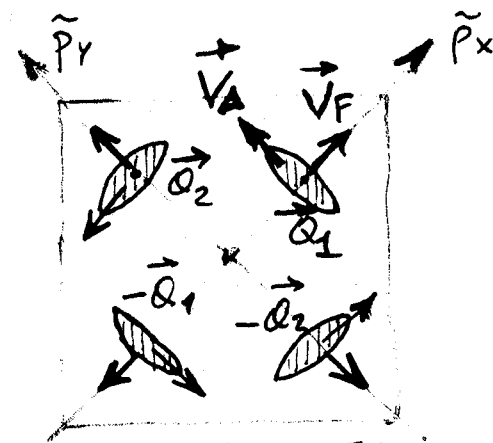
$$\text{Im} \Sigma = \frac{1}{\tau} (\omega \sim T) \sim T^3$$



(D. Scalapino et al, '94)

$$\text{Im} \Pi(\omega, q) \sim \Theta(\omega - vq)$$

$$[FS: \text{Im} \Pi \sim \Theta(vq - \omega)]$$



$$E_p = \sqrt{\xi_p^2 + \Delta_p^2}$$

$$v_F = \frac{\partial \xi_p}{\partial p_{\perp}}$$

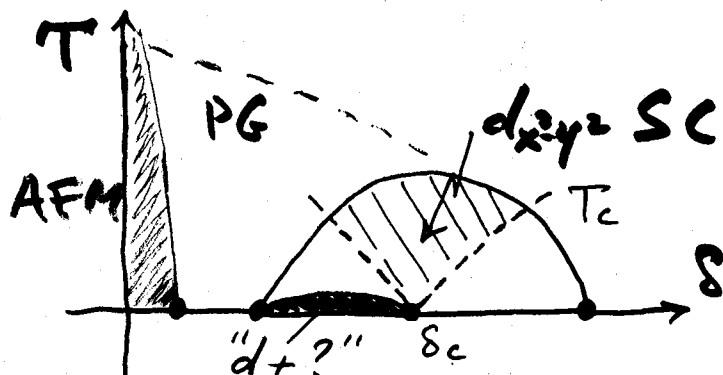
$$v_{\Delta} = \frac{\partial \overset{\text{''}}{\Delta}_p}{\partial p_{\parallel}}$$

$$\frac{v_{\Delta}}{v_F} \approx 10 \div 20$$

Possible quantum-critical behavior in cuprates

- QP lifetime from experiment (ARPES, optical conductivity):

$$\tau^{-1}(T) \sim T$$



Quantum-critical regime:

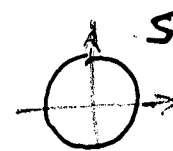
$$|\delta - \delta_c| \lesssim \frac{T}{T_c} < 1$$

- Competing ground states and qp scattering off the fluctuations of the corresponding order parameters (*S. Sachdev et al, '00*):

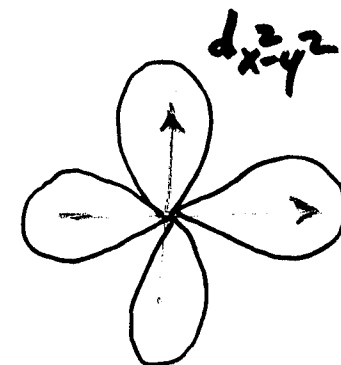
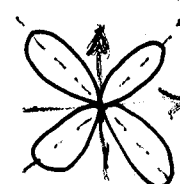
secondary pairing - fully gapped qp spectrum (is, idxy);

shifted nodes (s, dxy, ig); excitonic order (p, dxy).

Plausible options: $d_{x^2-y^2} \rightarrow d_{x^2-y^2} + iS$ (T-odd, P-even)



$d_{x^2-y^2} \rightarrow d_{x^2-y^2} + i d_{xy}$ (T-and P-odd)



NOT DUE TO: H; mag. imp.; surface

dxy

Nodal qps near second pairing transition

- Incipient order parameter fluctuations:

$$\mathcal{L}_\Phi = \frac{1}{2c^2} (\partial_t \Phi)^2 - \frac{1}{2} (\nabla_i \Phi)^2 - \frac{m^2}{2} \Phi^2 - \kappa \Phi^4$$

$\mathcal{L} \rightarrow -u : \Phi^4 : + \underline{m_c^2} \Phi^2$

$\Delta'' \equiv \Phi \rightarrow -\Phi$

Ising (Z_2) symmetry breaking at

$m^2 \leq m_c^2$

- Coupling to the nodal fermions:

$$\mathcal{L}_\Psi = \sum_{\alpha=1}^N \bar{\Psi}_\alpha (i \underline{v}_\mu \gamma_\mu \partial_\mu + g \cdot \Phi \hat{\Gamma}) \Psi_\alpha$$

$$\Psi_\alpha = \begin{pmatrix} \psi_\alpha^1 \\ \psi_\alpha^2 \end{pmatrix}$$

$\alpha = 1, 2 \rightarrow N$

- Effective Lorentz-invariance (RG): $\tilde{v}_F = \tilde{v}_\Delta = \tilde{c} \rightarrow 1$
- Z_2 --symmetry breaking in the presence of fermions:

iS : $\hat{\Gamma} = \hat{1} \otimes \hat{1}$, $\Phi_s \rightarrow -\Phi_s$ -- Chiral symmetry

$\Psi_\alpha \rightarrow (\hat{1} \times \hat{\sigma}_2) \Psi_\alpha$

id_{xy} : $\hat{\Gamma} = \hat{1} \otimes \hat{\sigma}_3$,

$\Phi_d \rightarrow -\Phi_d$ -- Parity

$\Psi_\alpha \rightarrow (\hat{\sigma}_1 \times \hat{\sigma}_1) \Psi_\alpha$

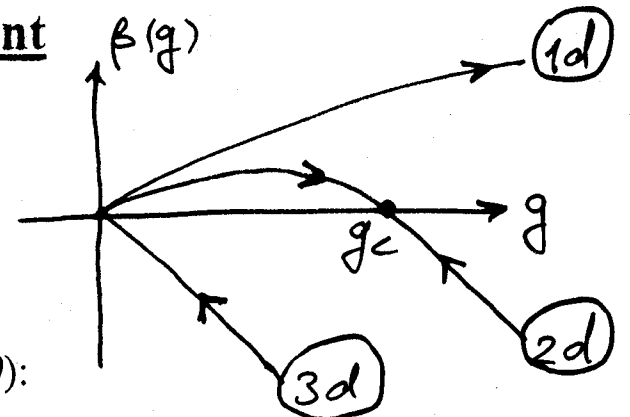
$x \rightarrow -x$

$y \rightarrow y$

Strong-coupling infrared fixed-point

- ϵ -- expansion in $d=3-\epsilon$ (S.Sachdev et al, '00):

$$\bar{\Psi}\Gamma\Psi\Phi: g_c^2 \sim \epsilon; \quad u_c \sim \epsilon \quad |\Phi|^4$$



- Spontaneous chiral symmetry breaking (DVK and J.Paaske, '00):

(2d): $\underline{M} \sim \langle \Phi \rangle \neq 0$
 $\underline{E} = v \sqrt{p^2 + M^2}$

$$1 = \frac{Ng^2}{m^2} \int_0^\Lambda \frac{d^3p}{p^2 + M^2} \Rightarrow \underline{g_c^2} \sim \frac{m^2}{N\Lambda}$$

- $1/(4N)$ --expansion around the mean field solution:



$$G_q^{-1} = G_q^{(0)} + g^2 \int G_{q+k} \langle \phi\phi \rangle_k d^3k$$

$$\langle \phi\phi \rangle_k = (k^2 + m^2 - m_c^2 + \Pi(k))^{-1} \approx \Pi(k)^{-1}$$

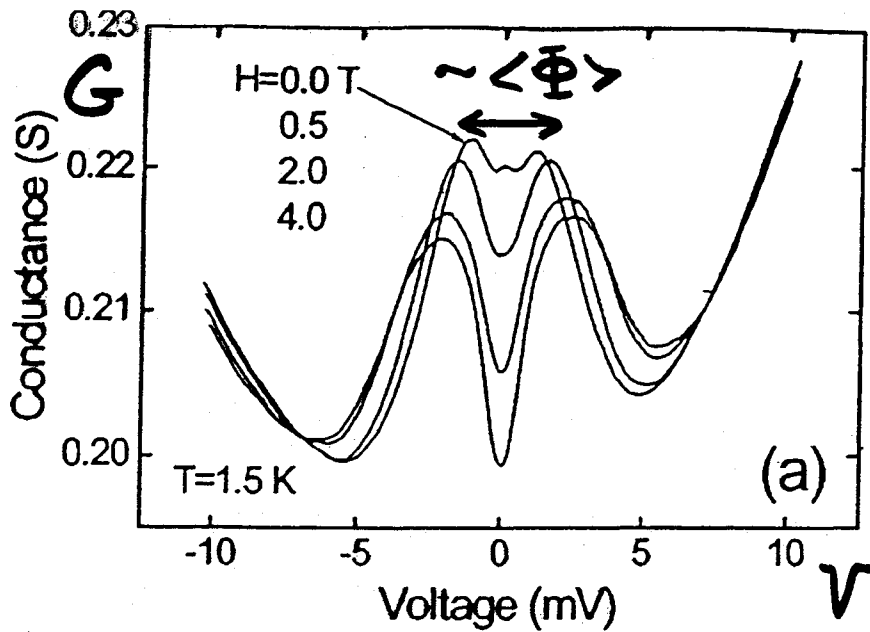


$$\Pi_k = g^2 \int G_{q+k} G_q d^3q \sim g^2 \sqrt{k^2}$$

$$\boxed{[\Phi] = 1/2 \rightarrow 1 + O(1/N)} \\ u \rightarrow 0 \quad g \rightarrow g_c$$

- Critical exponents: $\nu = 1 + \frac{8}{3\pi^2 N} + \dots$ (MC: $\nu = 1.00$); $\eta = 1 - \frac{16}{3\pi^2 N} + \dots$ (MC: $\eta = 0.7$)

- Different universality class for different N, no Goldstone mode, $F \frac{d^2 \langle \phi \rangle}{dx^2} - \text{NON GL}$
- NON BCS: $\frac{\Delta''(T_{c0})}{T_c} = 2 \ln 2 \approx 1.39$



M. Covington
et al '97

Evidence of
a surface-
induced
"d+is"
id' ?

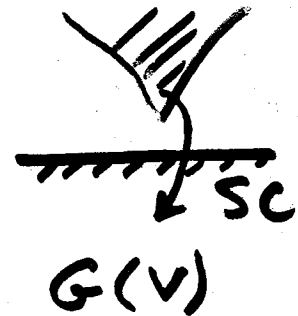
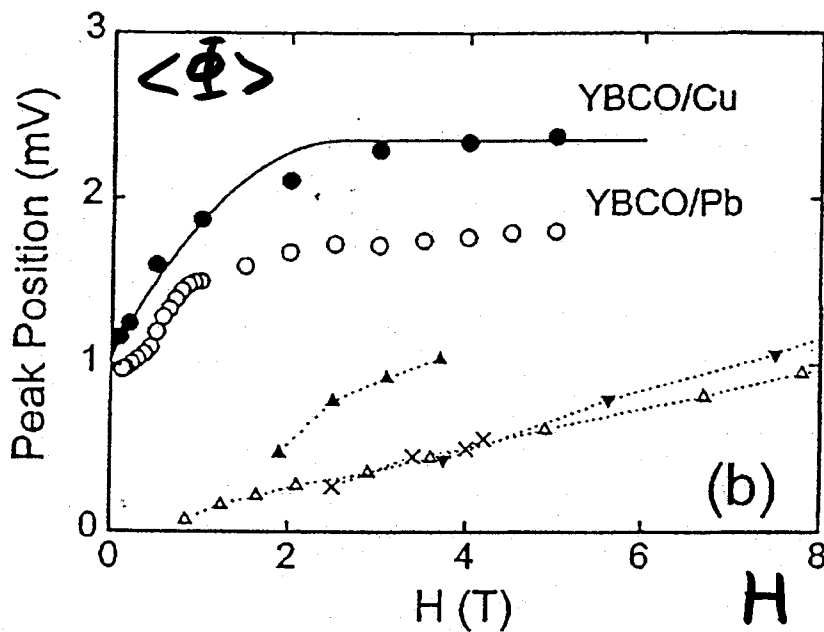


Fig. 2. (a) Magnetic field dependence of the ZBCP from YBCO/Cu tunnel junction. A magnetic field induces further splitting of the ZBCP. (b) A compendium of data on the magnetic field-induced splitting of ZBCP's. Data from YBCO/Cu and YBCO/Pb [3] junctions are indicated by closed and open circles, respectively. The theoretical curve for the dominant order parameter being A_{1g} (s wave) is shown as a solid line [14]. As a comparison, data from other junctions with magnetic scattering centers are included. These are represented (Δ) for Ta/Ta₂O₅/Al [8], (\blacktriangle) for Sn/Sn_xO_y/Sn [23], (\circ) for Al/Ti-doped Al₂O₃/Al [24], and (\blacktriangledown) for a Au/Si:P Schottky barrier tunnel junction [25].

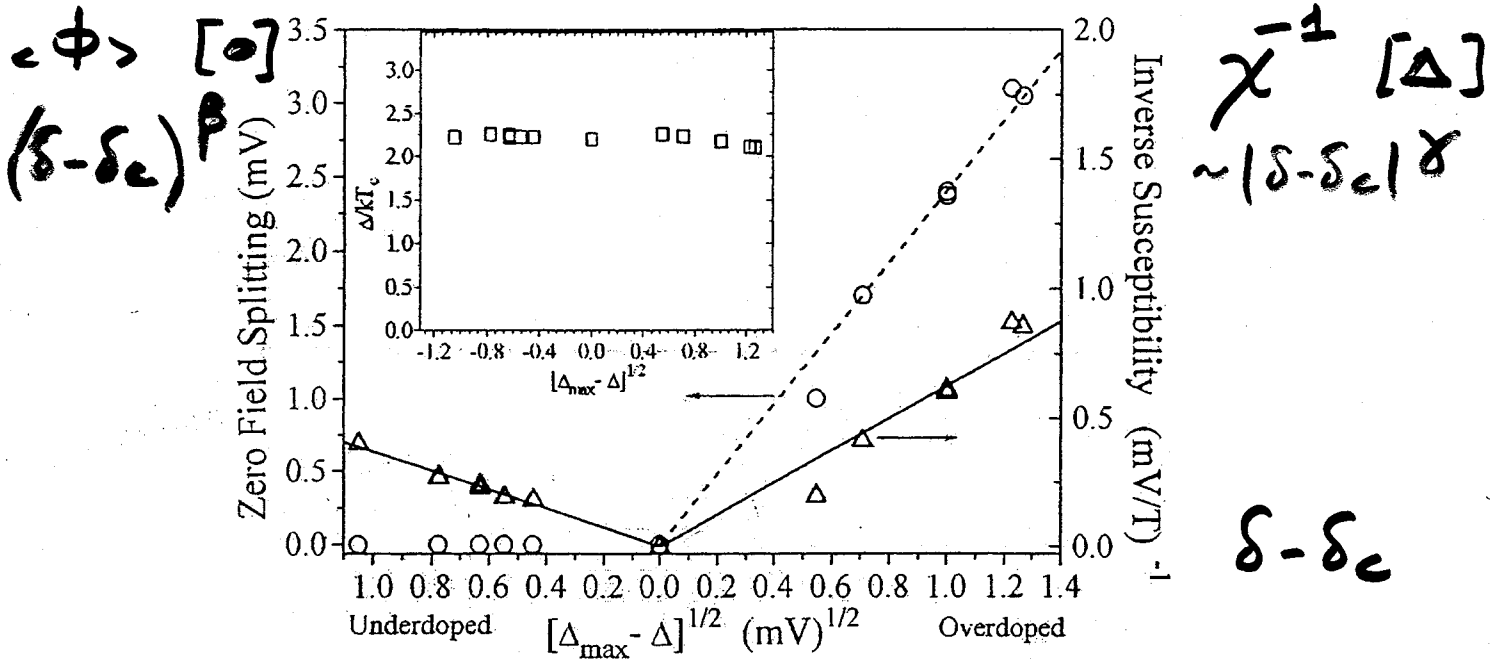


Figure 5 The spontaneous (at zero field) splitting of the zero bias conductance peak versus $[\Delta_{max} - \Delta]^{1/2}$ (circles) for doping ranging from slightly underdoped ($T_c = 83.6\text{K}$ down set) to slightly overdoped ($T_c = 85.6\text{K}$ down set). $[\Delta_{max} - \Delta]^{1/2}$ is a quantity proportional to the doping level (see text). Triangles: the inverse susceptibility χ^{-1} for the same samples. The upper bound of χ^{-1} for the sample with $(\Delta_{max} - \Delta) = 0$ is $0.08[\text{mV/T}]^{-1}$. Solid lines: linear fits for both the underdoped and overdoped ranges. The lines extrapolate to zero at the same doping level where the spontaneous splitting appears. Dashed line linear fit for $\delta(0)$ on the overdoped side. Inset: $2\Delta/kT_{cW}$ for the samples measured.

Exp. : $\beta \approx 1$, $\gamma \approx 1$

Th. : $\beta = 0.87$, $\gamma = 1.25$

2+1 Ising : $\beta = 0.32$, $\gamma = 1.26$

Dirac fermion spectral function

- Solution of the Dyson eqs:

① $\omega, q \gg T$ $G \propto \frac{\omega \gamma_0 - \vec{q} \vec{\gamma}}{(\omega^2 - q^2)^{1-\eta_4}}$

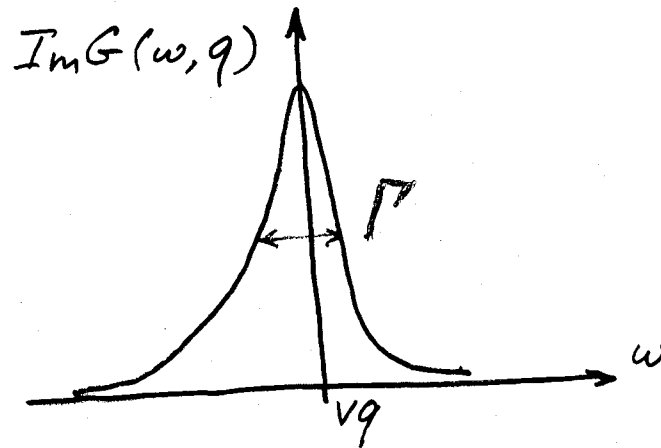
② $\omega, q \lesssim T$ $G \propto T^{\eta_4} \frac{(\omega + i\Gamma) \gamma_0 - \vec{q} \vec{\gamma}}{(\omega + i\Gamma)^2 - q^2}$

③ $M \neq 0$ $G \propto \frac{1}{\omega^2 - q^2 - M^2}$

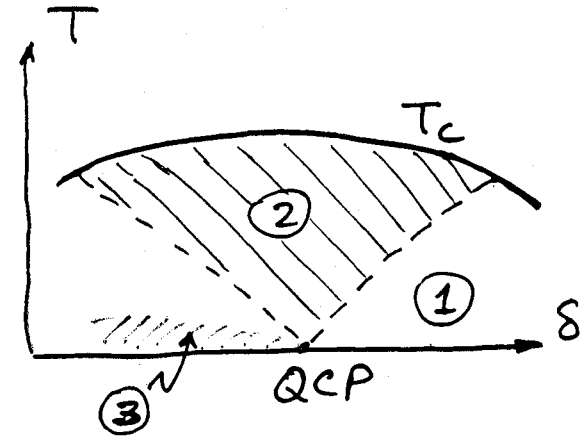
- Quasiparticle damping:

$$\Gamma(\omega, T, \delta - \delta_c) \sim \begin{cases} \max(\omega, T), & |\delta - \delta_c| \ll T/T_c \\ \max(\omega^3, T^3), & |\delta - \delta_c| \gg T/T_c \end{cases}$$

- ARPES lineshape:



$$\eta_4 = \frac{2}{3\pi^2 N} + \dots$$



Disordered Fermi liquids

- Symmetries of the single-particle Hamiltonian: $SU(2)$ and T

- Three Gaussian ensembles:
Orthogonal ($SU(2)$ & T) \rightarrow WL in 2d
(potential impurity scattering);

$$\frac{d \ln \delta}{d \ln L} = d - 2 \left(-\frac{\alpha}{\delta} \right)$$

- Unitary (no T) \rightarrow "even weaker" WL in 2d
(magnetic field or spin-flip);

$$\frac{d \ln \delta}{d \ln L} = d - 2 - \left(\frac{b}{\delta^2} \right)$$

$$\delta_0 = p_F l \gg 1$$

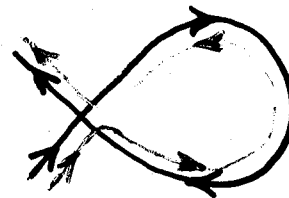
$$L \gg l \Rightarrow l = v_F \tau$$

- Symplectic (no $SU(2)$) \rightarrow "anti"localization
(spin-orbit scattering);

$$\frac{d \ln \delta}{d \ln L} = d - 2 \left(+\frac{c}{\delta} \right)$$

- Single-particle DOS remains largely intact;

- Dephasing due to Coulomb e-e interactions:



$$C(\omega, q) = \frac{1}{i\omega + Dq^2 + \tau_\psi^{-1}}$$

$$\frac{\delta \delta(\tau)}{\delta_0} \propto -\frac{1}{\delta_0} \log \frac{\tau_\psi(\tau)}{\tau}$$

$$\tau_\psi^{-1}(\tau) \sim \frac{T}{\delta} \log \delta$$

Disordered Dirac fermions in d -wave superconductors

- Extra Hamiltonian symmetry: \mathbf{p} - \mathbf{h} transformation,
- Novel coherence phenomena: impurity scattering + Andreev reflection;
- Energy and spin, but no charge, diffusion:



$$\partial_t \rho + \nabla_i J_i \neq 0$$

$$\partial_t h + \nabla_i Q_i = 0$$

$$\partial_t \vec{S} + \nabla_i \vec{J}_i = 0$$

$$J_i = -\epsilon^{ij} \nabla_j V$$

$$Q_i = -\alpha^{ij} \nabla_j T$$

$$\vec{J}_i = -\epsilon_s^{ij} \nabla_j \vec{H}$$

$\sigma_s = v \cdot D$

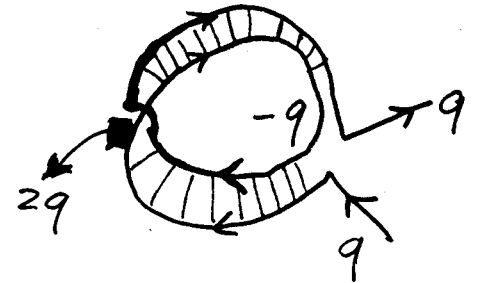
$\alpha_{/T} = \sigma_s \cdot \frac{4\pi^2}{3} \frac{k_B^2}{\hbar^2}$

- Seven new universality classes (Altland, Zirnbauer, '97) (different patterns of SU(2) and T -breaking);
- Strong dependence on the type of disorder ;
- Single-particle DOS is affected by disorder;
- Self-consistent Born approximation (P.A.Lee, '93)

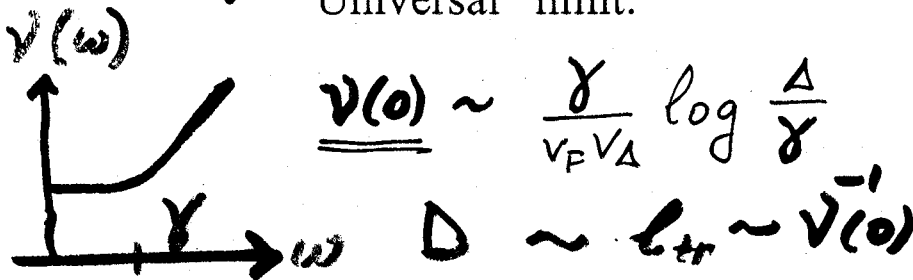
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$$\text{Im} \Sigma(0) = \gamma \sim \Delta e^{-1/2} \\ = \lambda \sim \frac{n_i |u_{\text{imp}}|^2}{v_F v_\Delta} \ll 1$$



- "Universal" limit:



$\frac{\alpha}{T} \Big|_{T \rightarrow 0} = \frac{\pi^2}{3} k_B^2 \left(\frac{1}{\pi^2} \cdot \frac{v_F^2 + v_\Delta^2}{v_F v_\Delta} \right)$



L. Taillefer et al, '97

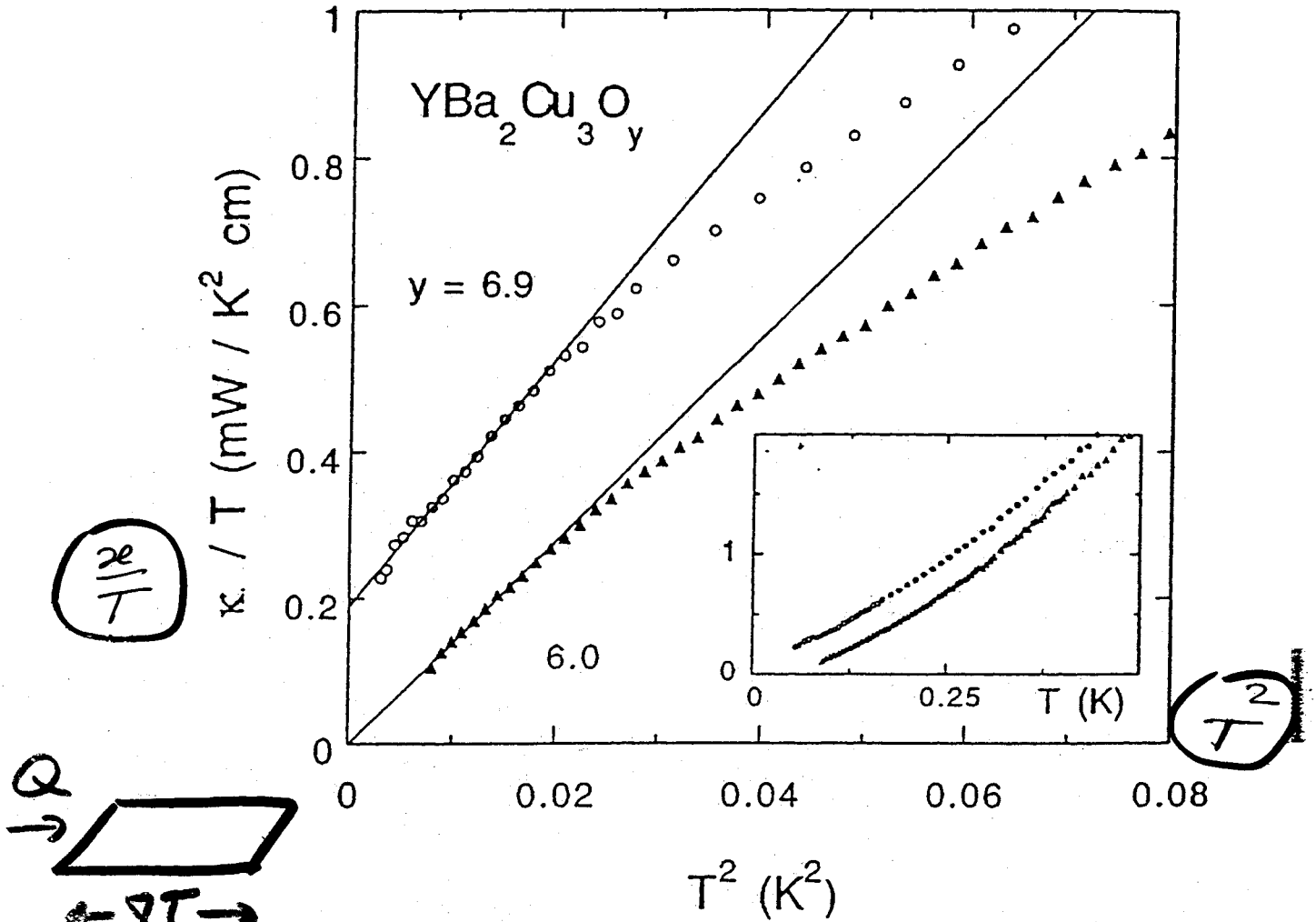
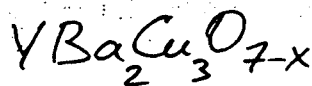


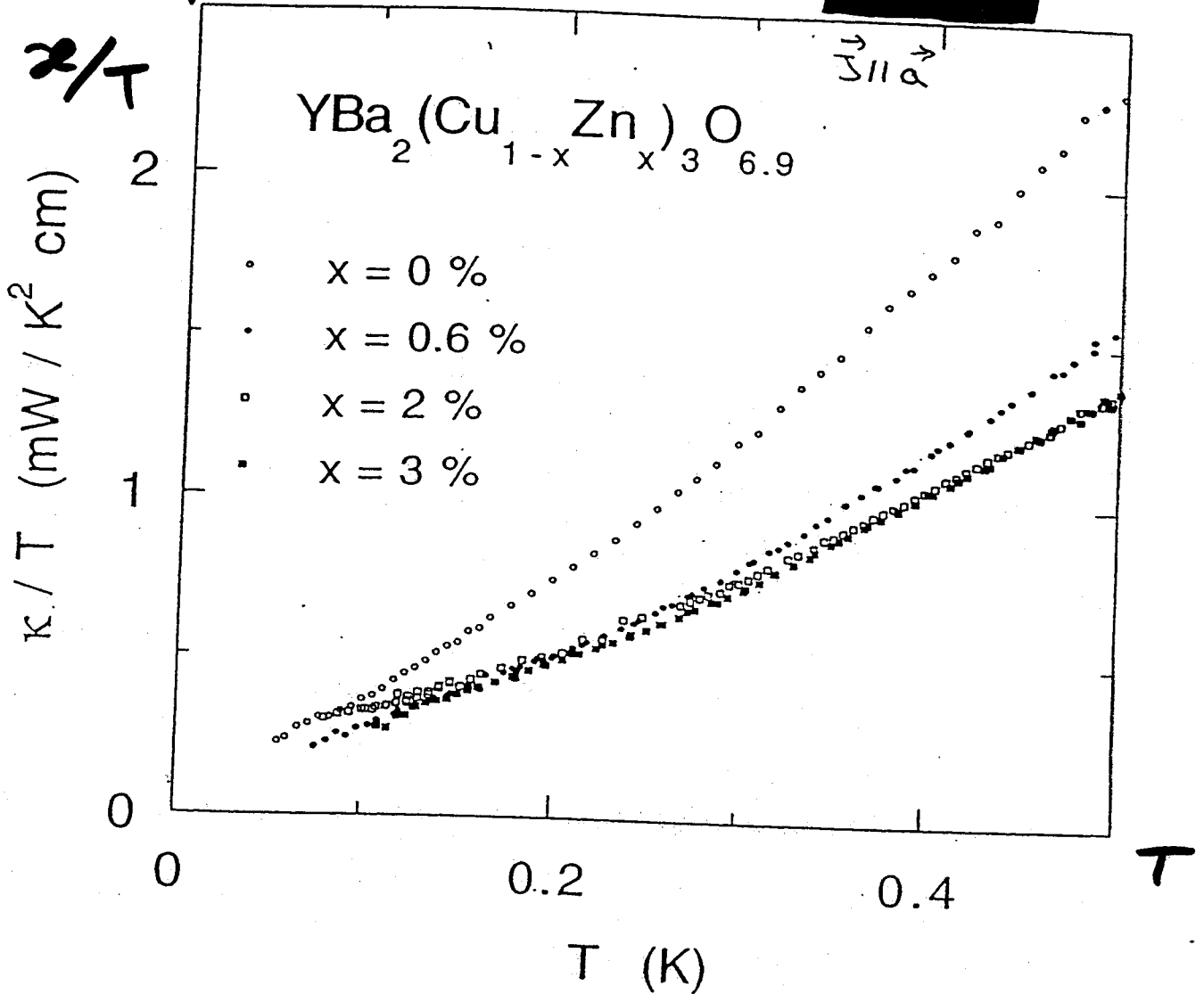
FIG. 1. a -axis thermal conductivity of the two $\text{YBa}_2\text{Cu}_3\text{O}_y$ crystals, one superconducting ($y = 6.9$; circles) and one insulating ($y = 6.0$; triangles). Main panel: κ/T vs T^2 ; lines are fits to $a + bT^2$ for $T < 0.15$ K. Inset: κ/T vs T .

Universal limit $\frac{\kappa}{T} \Big|_{T \rightarrow 0}$
 in (super)conducting



L. Taillefer et al '97

Taillefer '97



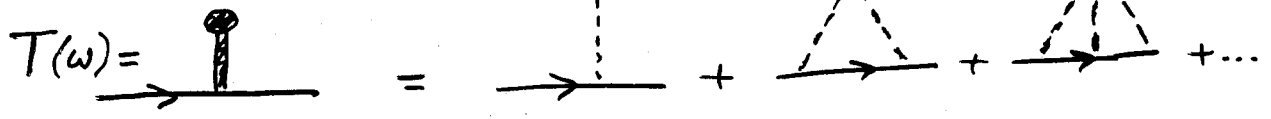
"Universal" thermal conductivity

Beyond SCBA: diffusive regime

(I. Gornyi, DVK, and A. Yashenkin '00)

NLBM?

- T-matrix:



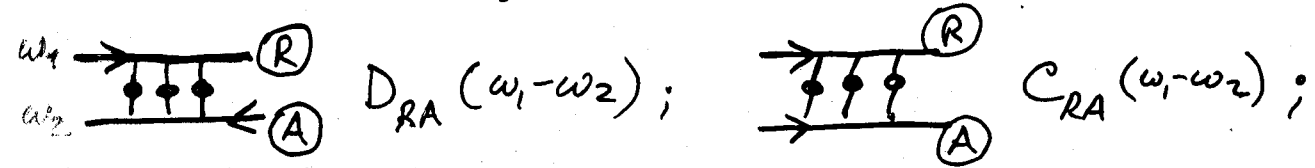
$$\hat{S}(\omega) = S_0 \hat{\mathbf{x}} \times \hat{\mathbf{x}} \quad (UL)$$

$$+ S_3 \hat{\sigma}_3 \times \hat{\sigma}_3 \quad (GL)$$

- Standard and novel diffusion modes:

$$\hat{D}(\hat{C}) = \sum_{\mu=0,1,2,3} D_{\mu}(C_{\mu}) \hat{\sigma}_{\mu} \times \hat{\sigma}_{\mu} ; \hat{\sigma}_0 \equiv \hat{\mathbf{1}}$$

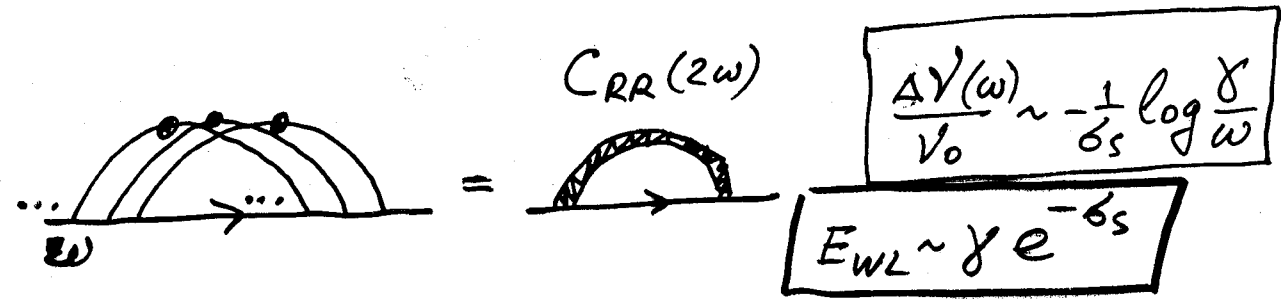
$$\hat{D} = \hat{S} + \hat{S} * \int G G d\vec{p} * \hat{D}$$



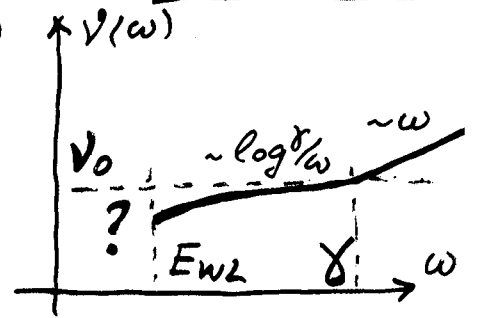
$$D_{RR}(\omega_1 + \omega_2, AA)$$

$$C_{RR}(\omega_1 + \omega_2, AA)$$

- DOS correction from the C-cooperon:



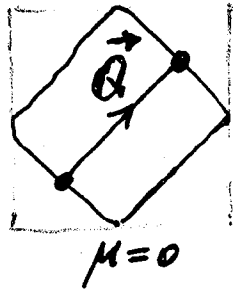
$$\frac{\Delta V(\omega)}{V_0} \sim -\frac{1}{\delta_s} \log \frac{\delta}{\omega}$$



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Lattice d -wave superconductor near half-filling : yet another discrete symmetry

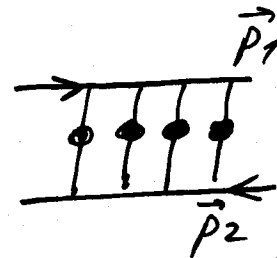
- Additional doubling of the number of Goldstone diffusion modes



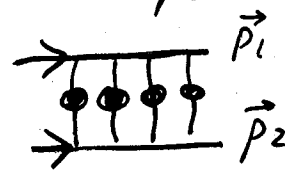
$$\sum \vec{p} = -2t(\cos p_x + \cos p_y) - \mu$$

$$\sum \vec{p} = -\sum \vec{p} + \vec{Q}$$

$$\mu = 0$$



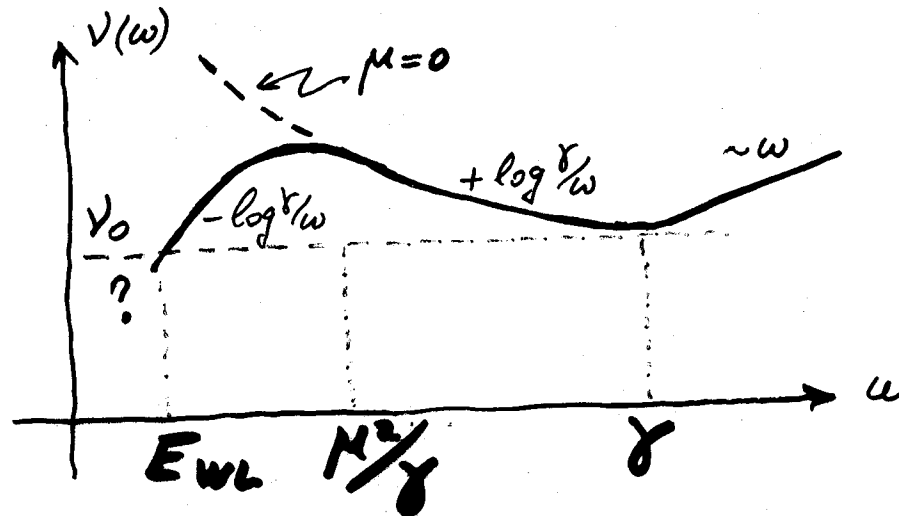
$$D_{RR}^{\pi}(\epsilon_1, \mp \epsilon_2; \vec{p}_1 - \vec{p}_2 \approx \vec{Q})$$



$$C_{RR}^{\pi}(\epsilon_1, \mp \epsilon_2; \vec{p}_1 + \vec{p}_2 \approx \vec{Q})$$

- Sign change of the DOS correction:

$\frac{v_L}{v_F} :$
 $\mu \ll t$




$$E_{WL} \sim \gamma e^{-\epsilon_s}$$


$$\epsilon_s \sim N \cdot \frac{v_F}{v_D} \gg 1$$

Interaction effects in dirty d-wave superconductors

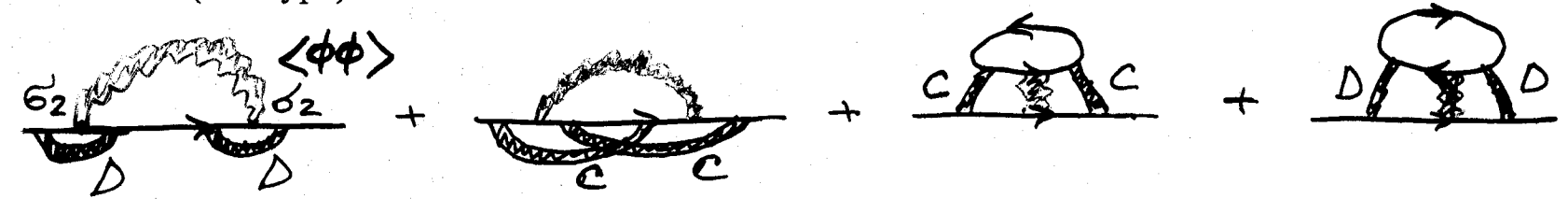
(DVK and A. Yashenkin, '00)

- Vertex corrections:

$$\hat{1}, \hat{\sigma}_2 \quad \text{diffusion-pole} \quad \sim \frac{1}{i\omega + Dq^2}$$


$$\hat{\sigma}_{1,3} \quad \text{no pole}$$


- Interference (AA-type) corrections to DOS:

$$\Delta_{int} \Sigma =$$


$$\frac{\Delta_{int} \nu(\omega)}{\nu_0} \sim \boxed{+ \frac{1}{\delta_s} \log |\log \delta / \omega|} \ll \frac{\Delta_{WL} \nu(\omega)}{\nu_0} \sim \ominus \frac{1}{\delta_s} \log \frac{\omega}{\omega_c}$$

- Thermodynamics:

$$\Delta C(T)/T \sim \Delta \chi_s \sim \boxed{+ \log |\log \frac{\delta}{T}|}$$

- Dephasing rate:

$$\frac{\Delta_{WL} \delta_s}{\delta_s} \sim - \frac{1}{\delta_s} \log [\gamma \tau_\phi(T)]; \quad \boxed{\tau_\phi^{-1} \sim \frac{T}{\delta_s} \cdot \frac{\log \delta_s}{\log^2 \frac{\delta}{T}}}$$

(De)localization properties of random Dirac fermions

- Isotropic impurity scattering (short-range disorder) (*M.P.A. Fisher et al, '98*)

$$\nu(\omega) \sim |\omega| \quad \sigma_S(\tau) \Big|_{T \rightarrow 0} = 0$$



- Orbital magnetic field alone doesn't kill WL (but Zeeman field does)
[cf.: metallic Q-dot bounded by a superconductor in magnetic field]

$$\nu(\omega) \sim |\omega|^2 \quad \sigma_S(\tau) \Big|_{T \rightarrow 0} = 0$$

- Predominantly forward scattering (smooth disorder) (*A. Tsvelik et al, '94*)

$$\nu(\omega) \sim |\omega|^\alpha \quad \alpha = \alpha(\lambda \sim n_i) < 1$$

- Impurities in unitarity limit (binary alloy) (*K. Pepin and P.A. Lee, '98*)

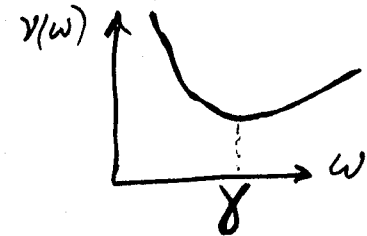
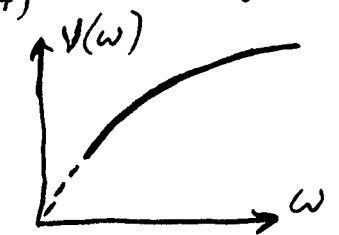
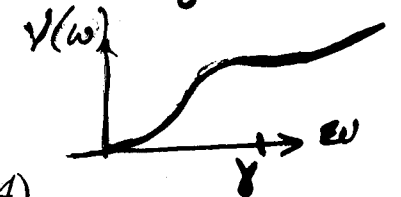
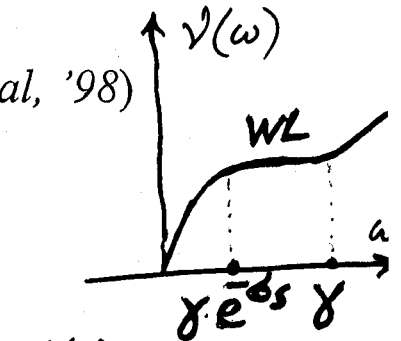
$$\nu(\omega) \sim \frac{n_i}{|\omega| \log^2 \frac{\Delta}{\omega}}$$

$\mu = 0$

- Spin-orbit scattering (*T. Senthil et al '99*)

$$\nu(\omega) \sim \left| \log \frac{\Delta}{\omega} \right|^{1(1/2)}$$

$$\sigma(\tau) \sim |\log \tau|$$



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Experimental manifestations of different phases

- Pure **d** -- "Thermal insulator" phase:

Vanishing $\frac{\alpha}{T} \Big|_{T \rightarrow 0} ; \sigma_S (T \rightarrow 0)$

Positive $\frac{d}{dH} \alpha(H) \longleftrightarrow \frac{d}{dH} \Delta_{WL} \alpha$

Linear DOS $\nu(\omega) \sim |\omega|$

- **d+is** -- "Even weaker" localization: $\nu(\omega) \sim |\omega|^2, \Delta_{xy} < \omega < \gamma$

Gapping of the nodal excitation spectrum $\nu(\omega) \sim e^{-\frac{\text{Const}}{|\omega|}}, \omega < \Delta_{xy}$

DOS tails

- **d+id'** -- "Thermal Quantum Hall" phase:

Quantized $\frac{\alpha_H}{T} \Big|_{T \rightarrow 0} = \frac{2\bar{n}^2 k_B^2}{h^2} \quad Q_x = -\underline{\alpha_H} \nabla_y T$

- Other possible experimental probes: spin injection/detection, tunneling, $C(T)$

H. Aubin et al '98

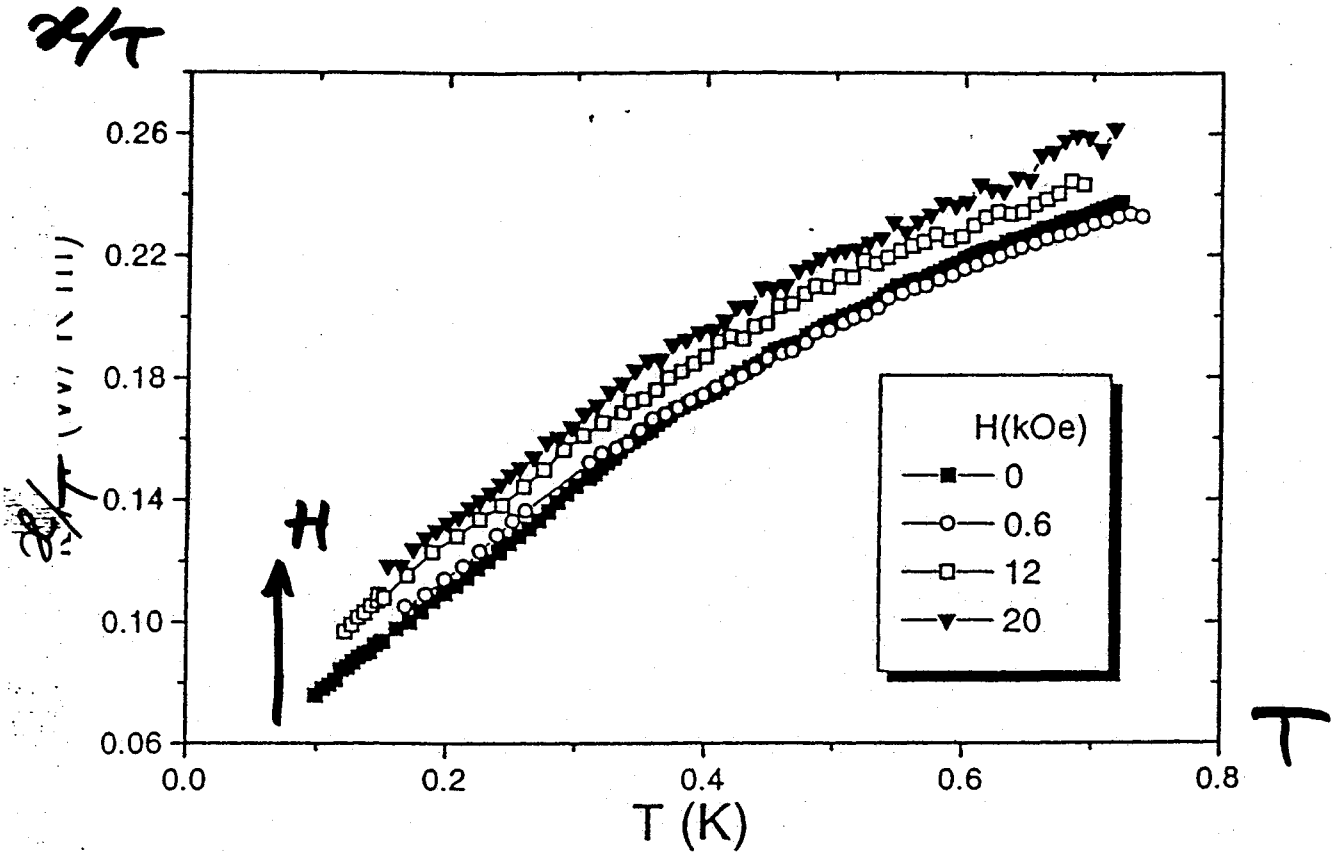
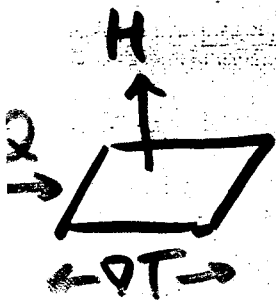


FIG. 2. Temperature dependence of the thermal conductivity $\kappa(T)/T$ with a magnetic field applied above T_c . Note crossing of the 0.6 kOe and 0.0 kOe curves.

Evidence of a positive magneto (thermal) conductivity (?)



$$\frac{d\kappa}{dH} > 0$$

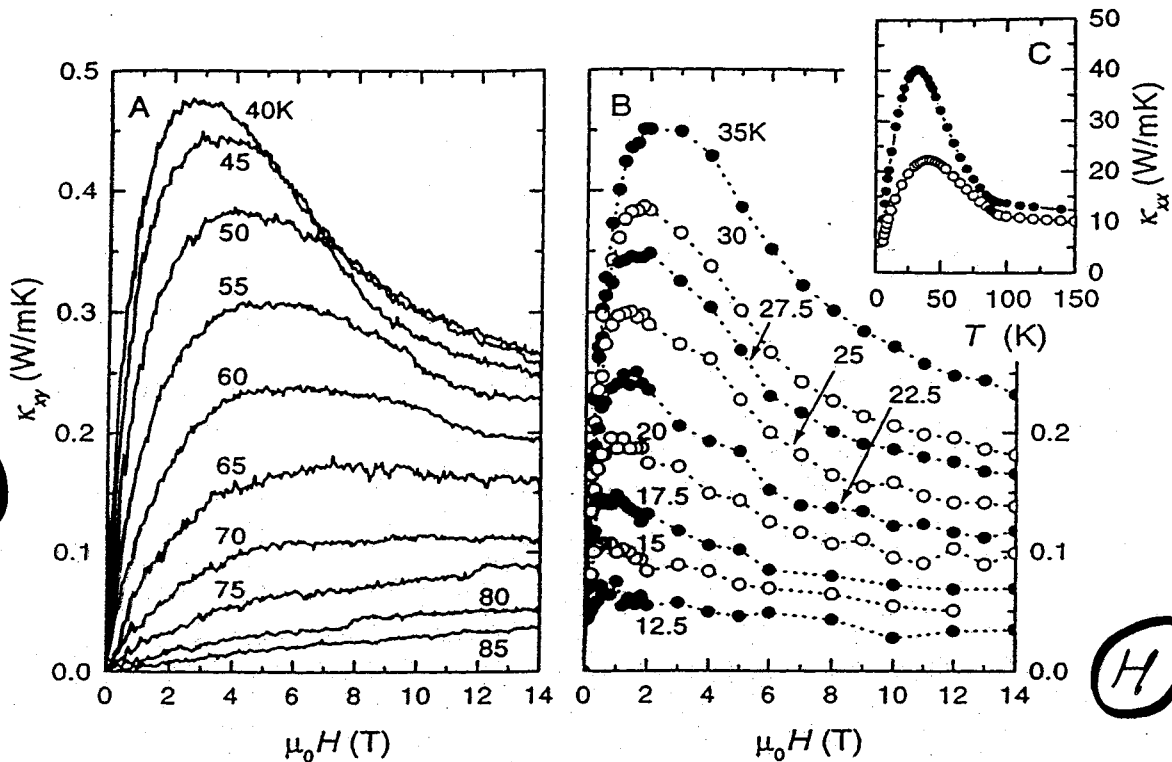
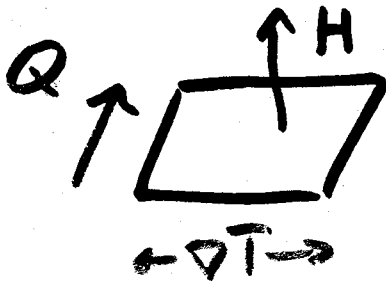


FIG. 1. The thermal Hall conductivity κ_{xy} vs. H in BZO-grown $\text{YBa}_2\text{Cu}_3\text{O}_{6.99}$ ($T_c = 89$ K) at high temperatures (85 to 40 K in Panel A), and low temperatures (35 to 12.5 K in Panel B). As T decreases below T_c , the initial slope κ_{xy}^0/B increases sharply. The prominent peak in κ_{xy} below 55 K is a new feature in BZO-grown YBCO. Panel C compares the zero-field $\kappa_{xx} \equiv \kappa_a$ in the BZO-grown crystal (solid circles) with a detwinned non-BZO grown crystal (open).

P.A. Lee + Simon '97

$$\mathcal{R}_{xy} = T^2 F\left(\frac{\sqrt{H}}{T}\right) \sim T \cdot \sqrt{H}$$

No quantization, as of today...



Conclusions

- The observed linear temperature dependence of the inverse qp lifetime in the superconducting state of the high- T_c cuprates suggests a possible quantum-critical behavior;
- Insights from relativistic theories allow one to identify the nature of the relevant QCP and its properties;
- The qp interactions specific for ~~this~~ this QCP do not necessarily alter the (de)localization scenarios proposed for the non-interacting random Dirac fermions;
- Experimental signatures of the conjectured QCP and associated effects of disorder can, in principle, be found in ARPES, tunneling, specific heat, and thermal/spin transport.