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SUMMER SCHOOL on LOW-DIMENSIONAL QUANTUM SYSTEMS: Theory and Experiment (16 - 27 JULY 2001)

PLUS

PRE-TUTORIAL SESSIONS (11 - 13 JULY 2001)

CONDUCTIVITY OF A LONG CLEAN WIRE

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These are preliminary lecture notes, intended only for distribution to participants

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Conductivity of a clean 1-d wire

- Transport and weakly violated conservation laws
- Interacting electrons (RG, Luttinger liquids, bosonization and all that)
- Interacting electrons in the presence of a periodic potentail
- Transport and the Memory Functional Formalism
- Computation of the conductivity
- Complex dependence on Filling and Temperature

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An ideal Id wire: carbon nanotube

nanotubes: rolled up graphite sheets

Atomic resolution on the nanotubes

mK experiments on an individual nanotube

Tans, Devoret. Thess, Smalley, Geerligs. Deicker, Nature 386, 474 (1997)

 \mathcal{Z}

Weakly coupled 1d wires: Bechgaard salts

8

open Fermi surface: two Fermi-sheets

 \geq

Historical remarks

most papers:

neglect Umklapp away from 1/2 filling - (irrelevant operator)

- \rightarrow $\sigma(T > 0) = \sigma_{\text{bulk}} = \infty$ $\rightarrow G = G_{\text{contact}} = \frac{2e^2}{h}$ (conductance)
- \bullet Giamarchi (91), $(4k_F G)$ -Umklapp
	- perturbation theory (memory functional)

$$
\rightarrow \sigma(T>0)<\infty
$$

- Luther-Emery transformation

$$
\rightarrow \sigma = \infty
$$

- many papers: using PT result of Giamarchi or same result with different PT
- Giamarchi, Millis (92) (band structure effects) $\infty > \sigma(T > 0) > T^{-n}$
- Castella, Zotos *et al.* (95-97): *integrable* systems - infinite number of conserved quantities. $\text{Select } Q_1...Q_N, \ (\langle Q_{\bm{n}}Q_{\bm{m}}\rangle=\delta_{\bm{n}\bm{m}}\langle Q_{\bm{n}}^{\bm{2}}\rangle)$ then:

$$
\sigma(T > 0, \omega) = 2\pi D(T)\delta(\omega) + \dots
$$

$$
D(T) \ge \frac{1}{2} \sum_{n=1}^{N} \frac{(\chi_{JQ_n})^2}{\chi_{Q_n Q_n}}
$$

Gedankenexperiment I

 \bullet \tilde{P} exactly conserved:

How much lim $_{t\to\infty}\langle J\rangle$ is induced by $\langle\tilde{P}\rangle$?

 $\left\langle J(t\rightarrow\infty)\right\rangle$ _ $\chi_{\tilde{P}}$ $\langle J(t\rightarrow\infty)\rangle \equiv \chi_{J\tilde{F}}^2$ $\langle J(t=0)\rangle \qquad \chi_{\tilde{P}\tilde{P}}\chi_{JJ}$

infinite conductivity at $T > 0$

$$
\text{Re}\sigma(\omega) = 2\pi D\delta(\omega) + \sigma_{\text{reg}}(\omega)
$$

$$
\text{Drude weight } D = \frac{1}{2} \frac{\chi_{J\tilde{P}}^2}{\chi_{\tilde{P}\tilde{P}}}
$$

 $\mathfrak S$

(exact if only \tilde{P} conserved (Suzuki 71))

Gedankenexperiment II

peak in $\sigma(\omega)$, decay-rate of \tilde{P} determines $\sigma(0)$

$$
D = \frac{1}{2} \frac{\chi_{J\tilde{P}}^2}{\chi_{\tilde{P}\tilde{P}}}
$$

 ζ

From the lattice to the continuum *A general Hamiltonian on a lattice*

$$
H = H_0 + H_{e-e} + H_{lat}
$$

$$
H_0 = \sum \epsilon_k c_k^{\dagger} c_k
$$

 H_{e-e} = *k£BZ*

 H_{lat} = some periodic lattice potential

with $\delta_G(k) = \sum_G \delta(k - G)$

How do we study low-energy, long-distance behaviour?

- Do RG to obtain low-energy effective hamiltonian

- Alternatively, build effective hamiltonian "by hand"

From the lattice to the continuum

• keep modes: $k = \pm k_F + q$, $q \leq \Lambda$ $c_{n,\alpha} = \sum_{k} c_{k,a} e^{ikna} \approx e^{ik_F x} \psi_{R,\alpha}(x) + e^{-ik_F x} \psi_{L,\alpha}(x)$ with:

$$
- \psi_R^{\dagger}(x) = \sum e^{-iqx} c_{k_F+q}^{\dagger}
$$

$$
- \psi_L^{\dagger}(x) = \sum e^{-iqx} c_{-k_F+q}^{\dagger}
$$

· low - energy effective Hamiltonian: Fixed point Hamiltonian + correction terms

- Fixed point Hamiltonian: $H^* = H_{LL}$ (Luttinger liquid) - Correction Terms: $H^{corrections} = H_{irr} + H_{umklapp}$

From the lattice to the continuum

The Luttinger liquid

separate in slowly varying left- and right moving electrons:

- $\Psi_{\uparrow/\downarrow}(x) = \Psi_{L,\uparrow/\downarrow}(x) e^{-ik_F x}$ $+\Psi_{R,\dagger/\downarrow}(x)e^{ik_{F}x}$
- lowest energies: linearize around Fermi energy fixed point: Luttinger liquid

$$
H_{LL} = v_F \int \left(\Psi_{R\sigma}^{\dagger} i \partial_x \Psi_{R\sigma} - \Psi_{L\sigma}^{\dagger} i \partial_x \Psi_{L\sigma} \right) + g \int \rho(x)^2
$$

=
$$
\frac{1}{2} \int \frac{dx}{2\pi} \sum_{\nu = \sigma, \rho} v_{\nu} \left(K_{\nu} (\partial_x \theta_{\nu})^2 + \frac{1}{K_{\nu}} (\partial_x \phi_{\nu})^2 \right)
$$

- bosonization: $\Psi_{L/R,\uparrow/\downarrow}(x) \propto e^{-i\Phi_{L/R,\uparrow/\downarrow}(x)}$
- spin-charge separation, non-Fermi liquid: power-laws
- \bullet deviation from H_{LL} irrelevant: perturbation theory convergent, effects small at low temperature (exception: half-filling)

• dangerously irrelevant for conductivity

From the lattice to the continuum Classify deviations from LL-Hamiltonian

$$
H = H_{LL} + H_{irr} + \sum_{n,m}^{\infty} H_{n,m}^U
$$

Luttinger liquid + irrelevant terms + Umklapp with:

$$
P_T = \sum_{\sigma} \int dx \left(\Psi_{R\sigma}^{\dagger} i \partial_x \Psi_{R\sigma} + \Psi_{L\sigma}^{\dagger} i \partial_x \Psi_{L\sigma} \right)
$$

\n
$$
J_0 = N_R - N_L = \sum_{\sigma} \int dx \left(\Psi_{R\sigma}^{\dagger} \Psi_{R\sigma} - \Psi_{L\sigma}^{\dagger} \Psi_{L\sigma} \right)
$$

\n• $H_{LL} = v_F \int \left(\Psi_{R\sigma}^{\dagger} i \partial_x \Psi_{R\sigma} - \Psi_{L\sigma}^{\dagger} i \partial_x \Psi_{L\sigma} \right) + g \int \rho(x)^2$
\n• $[H_{irr}, P_T] = [H_{irr}, J_0] = 0$
\n• Umklapp: $H_{n,m}^U$
\n• n fermions from L to R
\n+ lattice momentum
\n $\Delta k_{n,m} = n2k_F - mG$
\n $H_{1,m}^U \approx g_{1,m}^U \sum_{\sigma} \int e^{i \Delta k_{1,m}x} \Psi_{R\sigma}^{\dagger} \Psi_{L\sigma} \rho_{-\sigma} + h.c.$
\n $H_{2,m}^U \approx g_{2,m}^U \int e^{i \Delta k_{2,m}x} \Psi_{R\sigma}^{\dagger} \Psi_{L\downarrow} \Psi_{L\downarrow} \Psi_{L\uparrow} + h.c.$

$$
H_{3,m}^U \approx g_{3,m}^U \int e^{i\Delta k_{3,m}x} \Psi_{R\uparrow}^{\dagger} \Psi_{R\uparrow}^{\dagger} \Psi_{R\downarrow}^{\dagger} \Psi_{L\downarrow} \Psi_{L\uparrow} \Psi_{L\uparrow} + h.c.
$$

ID

From the lattice to the continuum The continuum Hamiltonian

$$
H = H_{LL} + H_{irr} + \sum_{n,m}^{\infty} H_{n,m}^{U}
$$

The fixed point Hamiltonian

$$
H_{LL} = v_F \int \left(\Psi_{R\alpha}^{\dagger} i \partial_x \Psi_{R\alpha} - \Psi_{L\alpha}^{\dagger} i \partial_x \Psi_{L\alpha} \right) + g \int \rho^2
$$

=
$$
\frac{1}{2} \int \frac{dx}{2\pi} \sum_{\nu = \sigma, \rho} v_{\nu} \left(K_{\nu} (\partial_x \theta_{\nu})^2 + \frac{1}{K_{\nu}} (\partial_x \phi_{\nu})^2 \right)
$$

- 6and *strcture terms etc.* (need not be specified.)
- Umklapp terms $H_{n,m}^U$

- transfer *n* fermions from L to R (and vice versa) and lattice momentum $mG = m\frac{2\pi}{a}$

If

- dangerously irrelevant

From the lattice to the continuum

Umklapp terms are of the form:

$$
H_{0,m}^U \approx g_{0,m}^U \int e^{i\Delta k_{0,m}x} (\rho_L + \rho_R)^2 + h.c.
$$

\n
$$
H_{1,m}^U \approx g_{1,m}^U \sum_{\sigma} \int e^{i\Delta k_{1,m}x} \Psi_{R\sigma}^\dagger \Psi_{L\sigma} \rho_{-\sigma} + h.c.
$$

\n
$$
H_{2,m}^U \approx g_{2,m}^U \int e^{i\Delta k_{2,m}x} \Psi_{R\uparrow}^\dagger \Psi_{R\downarrow}^\dagger \Psi_{L\downarrow} \Psi_{L\uparrow} + h.c.
$$

\n
$$
H_{2n,m}^U \approx g_{2n,m}^U \int e^{i\Delta k_{2n,m}x} \Psi_{R\downarrow}^\dagger \Psi_{L\downarrow} \Psi_{L\uparrow} + h.c.
$$

\n
$$
\times \prod_{j=0}^{n-1} \frac{\partial_x^j \Psi_{R\uparrow}^\dagger \partial_x^j \Psi_{R\downarrow}^\dagger \partial_x^j \Psi_{L\downarrow} \partial_x^j \Psi_{L\uparrow}}{(j!/\alpha^j)^4} + h.c.
$$

Momentum transfer:

$$
\Delta k_{n,m}=n2k_F-mG
$$

Bosonized Umklapp term

$$
H^U_{n,m,n_s}=\frac{g^U_{n,m,n_s}}{(2\pi\alpha)^n}\int e^{i\Delta k_{n,m}x}e^{i\sqrt{2}(n\phi_\rho+n_s\phi_\sigma)}
$$

Transfers:

- *n* electrons with n_S total spin
- - *mG* momentum absorbed by lattice

Weakly violated conservation laws

Operators:

$$
P_T = -i \sum_{\sigma} \int dx \left(\Psi_{R\sigma}^{\dagger} \partial_x \Psi_{R\sigma} + \Psi_{L\sigma}^{\dagger} \partial_x \Psi_{L\sigma} \right)
$$

$$
J_0 = N_R - N_L = \sum_{\sigma} \int dx \left(\Psi_{R\sigma}^{\dagger} \Psi_{R\sigma} - \Psi_{L\sigma}^{\dagger} \Psi_{L\sigma} \right)
$$

- conserved on the Fermi-surface. Note $P \approx k_F J_0 + P_T$

- weakly violated away from it:

- - **violation leads to degrading of electric current**
	- \bullet terms in H_{irr} commute with both, $[H_{irr}, P_T] = [H_{irr}, J_0] = 0$
	- terms in H^U
		- $-$ do not commute with either P_T or J_0
		- dangerously irrelevant

Observation: $[H_{2n,m}^U, \Delta k_{n,m} J_o + 2nP_T]$

- -single Umklapp does not degrade the current completely
- need at least two Umklapps to have finite conductivity

From the lattice to the continuum

Define pseudo-momentum $\tilde{P}_{n,m}$ with $\Delta k_{n,m} = n \cdot 2k_F - mG$

$$
\tilde{P}_{n,m} = \frac{\Delta k_{n,m}}{2n} (N_R - N_L) + P_T
$$

(without Umklapp: $\tilde{P}_{n,0}$ =usual momentum)

• Hamiltonian with single type of Umklapp conserves pseudo-momentum $\Rightarrow \infty$ conductivity

$$
\left[H_{LL} + H_{irr} + H_{n,m}^U , \ \tilde{P}_{n,m}\right] = 0
$$

- interplay of two independent Umklapps $H_{n,m}^U, H_{n',m'}^U$ renders σ finite
- second strongest Umklapp determines $\sigma(\omega = 0)$

How to calculate $\sigma(\omega)$ perturbatively?

- Problem: σ and $1/\sigma$ singular function of perturbations for $\omega \to 0$
- full quantum-transport equations? \Rightarrow difficult (highly non-linear interaction of LL bosons)
- approximate conservation laws known \Rightarrow "hydrodynamic" description possible
- use Memory Matrix Formalism in space of slow modes (Mori (65), Zwanzig (61))
	- combined short-time and perturbative expansion for slow decay rates
	- $-$ short-time dynamics of slowest modes $=$ long time behavior
	- weights of low-frequency peak exactly reproduced if time-scales well separated

Transport and the Memory Function Formalism I

- Memory Functional Formalism: **study transport in the presence of approximate conserved quantities.**

Mori (65), Zwanzig (61), Gotze Wolfle (72), Giamarchi (91)

• Scalar product in *operator* space

$$
(A(t)|B) \equiv \frac{1}{\beta} \int_0^\beta d\lambda \langle A(t)^{\dagger} B(i\lambda) \rangle
$$

• Static susceptibility

$$
\chi_{AB}=\beta(A|B)\hspace{0.5cm}t=0
$$

Dynamic Correlation function

$$
C_{AB}(z) \equiv \int_0^\infty e^{izt} (A(t)|B) dt
$$

= $\left(A \left| \frac{i}{z-L} \right| B \right), LA = [H, A]$
= $\frac{i}{\beta z} \int_0^\infty e^{izt} \langle [A(t), B] \rangle - \frac{(A|B)}{iz}$

Conductivity

$$
\sigma(\omega,T)=\beta C_{JJ}(\omega)=\beta(J|\frac{i}{\omega-L}|J)
$$

Transport and the Memory Function Formalism II

Transport in the presence of several "slow" variables: $j_1 = J, j_2, \ldots, j_N$

• The conductivity

$$
\sigma(\omega,T)=[(\hat{M}(\omega,T)-i\omega)^{-1}\hat{\chi}(T)]_{1,1}
$$

• The susceptibility matrix

$$
\hat{\chi}_{pq} = \beta(j_p|j_q)
$$

• The memory matrix

$$
\hat{M}_{pq}(\omega) = \beta \sum_{r} \left(\partial_t j_q \left| Q \frac{i}{\omega - QLQ} Q \right| \partial_t j_r \right) (\hat{\chi}^{-1})_{rp}.
$$

• The projection away from slow modes

$$
Q = 1 - \sum_{pq} |j_q) \beta (\hat{\chi}^{-1})_{qp} (j_p|.
$$

Philosophy:

 \hat{M} non-singular in P.T.

- P.T. valid for short-time behavior

- P.T. also valid for long-time behavior of slowest modes (provided slow modes dynamics projected out - *Q.)*

Intermezzo - conserved quantities

- If there are linear combination of $\{j_p\}$ that are conserved: $\tilde{J}_1, \ldots, \tilde{J}_S$

 \rightarrow expect ∞ dc - conductivity.

Indeed, carry out matrix inversion, project out zero modes etc.

$$
\sigma(\omega\rightarrow 0, T>0)=i\frac{(\hat{\chi}\hat{\chi}_c^{-1}\hat{\chi})_{11}}{\omega+i0}+\sigma_{\rm reg}(\omega,T)
$$

where:

$$
\bullet \ \hat{\chi}_c^{-1} = \mathcal{P}_c(\mathcal{P}_c \hat{\chi} \mathcal{P}_c)^{-1} \mathcal{P}_c
$$

- \mathcal{P}_c projection on space of conserved variables
- $\sigma_{reg}(\omega,T)$ regular as long as all conserved currents are included.

Thus

• Re
$$
\sigma(\omega \to 0) = 2\pi D(T)\delta(\omega) = \pi(\hat{\chi}\hat{\chi}_c^{-1}\hat{\chi})_{1,1}\delta(\omega)
$$

Determined by the overlaps of the current *J* with conserved quantities, $\tilde{\chi}_{1,s}$

The generic case

- \blacktriangleright All variables j_1, \ldots, j_N decay slowly
- Restrict to two dimensional space

 $j_1 = J \approx v_F J_0$ j_2 = P_T

- commute with all scattering processes on Fermi-surface

 $-$ longest decay rate, exponential in T , dominate transport

- can neglect other slow quantities at low - T,

decay as powers of *T* (unless model is integrable e.g. $H_{LL} + H_{21}^U$ *relevant at 1/2 filling)*

The calculation I

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We can approximate:

• $L_{LL} = [H_{LL}$...], $\partial_t v_F J_0$ and $\partial_t P_T$ linear in $g_{n,m}^U$

• $L_{LL}P_T = L_{LL}J_0 = 0$, so no contribution from Q Thus

$$
\hat{M} \approx \sum_{nm} M_{nm}(\omega, T) \begin{pmatrix} v_F^2 (2n)^2 & -2n v_F \Delta k_{nm} \\ -2n v_F \Delta k_{nm} & (\Delta k_{nm})^2 \end{pmatrix} \hat{\chi}^{-1}
$$

where

$$
\hat{\chi} \approx \begin{pmatrix} 2v_F/\pi & 0 \\ 0 & \frac{\pi T^2}{3} \left(\frac{1}{v_\rho^3} + \frac{1}{v_\sigma^3} \right) \end{pmatrix}
$$

$$
M_{nm} \equiv (g_{nm}^U)^2 M_n(\Delta k_{n,m}, \omega) \equiv \frac{\langle F; F \rangle_{\omega}^0 - \langle F; F \rangle_{\omega=0}^0}{i\omega}.
$$

with

- $F = [J_0, H_{nm}^U]/(2n)$
- $\langle F; F \rangle^0_\omega$ retarded correlation function of F with respect to H_{LL} .

The calculation II

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For *n* arbitrary and $n_S = 0$, $(M_2,$ Giamarchi 91)

$$
M_n(\Delta k, \omega) = \frac{2 \sin 2\pi K_{\rho}^n}{\pi^4 \alpha^{2n-2} v_{\rho}} \left[\frac{2\pi \alpha T}{v_{\rho}} \right]^{4K_{\rho}^n - 2} \frac{1}{i\omega} \times
$$

$$
\times [B(K_{\rho}^n - iS_+, 1 - 2K_{\rho}^n)B(K_{\rho}^n - iS_+, 1 - 2K_{\rho}^n) -B(K_{\rho}^n - iS_+, 1 - 2K_{\rho}^n)]
$$

where

$$
-K_{\rho}^{n} = (n/2)^{2} K_{\rho}
$$

$$
-S_{\pm} = (\omega \pm v_{\rho} \Delta k)/(4\pi T)
$$

Approximate forms:

$$
\approx \frac{\alpha^{2-2n}}{\pi^2 \Gamma^2 (2K_\rho^n) v_\rho T} \left(\frac{\alpha \Delta k}{2}\right)^{4K_\rho^n - 2} e^{-v_\rho \Delta k/(2T)}
$$

$$
M_n \approx \frac{(\alpha T/v_\rho)^{n^2 K_\rho - 1} (\alpha \Delta k)^{n_s^2 K_\sigma - 2}}{\Gamma^2 (n_s^2 K_\sigma/2) v_\sigma^2 \alpha^{2n - 3}} e^{-v_\sigma \Delta k/(2T)}
$$

$$
\approx T^{n^2 K_\rho + n_s^2 K_\sigma - 3}
$$

One Umklapp, two Umklapps..

One Umklapp term (insufficient to degrade current) \rightarrow finite Drude peak, infinite dc - conductivity

$$
D(T) \approx \frac{v_{\rho}K_{\rho}}{\pi} \frac{1}{1 + T^2 \frac{2\pi^2 n^2 K_{\rho}}{3(v_{\rho} \Delta k_{nm})^2} \left(1 + \frac{v_{\rho}^3}{v_{\sigma}^3}\right)}.
$$
 (1)

 $\bullet \ \mathrm{Two\ Unklapp\ terms\ } (H^U_{\bm{n},\bm{m}},H^U_{\bm{n'},\bm{m'}})$ \rightarrow finite dc - conductivity

$$
\sigma(T,\omega=0) = \frac{(\Delta k_{nm})^2 / M_{n'm'} + (\Delta k_{n'm'})^2 / M_{nm}}{\pi^2 (n \Delta k_{n'm'} - n' \Delta k_{nm})^2}
$$
(2)

Conductivity for two Umklapp terms H_{21}^U and H_{20}^U $\Delta k_{21} = -1.5 \Delta k_{20}, K_{\rho} = 0.7, K_{\sigma} = 1.3, g_{20} = g_{21} = 1,$ $T = 0.18, 0.20.$

Commensurate filling

- **Commensurate filling:**

 $filling = \frac{m}{n} \rightarrow \Delta k_{nm} = 0.$ **Recall** $k_F = (filling)\frac{\pi}{a}$

- Does dominant scattering process H_{nm}^U relax the current?

- Depends on the overlap χ_{JPT}

• Identity $\chi_{JP_T} = \Delta \rho + o(e^{-\beta E_F})$

 $\Delta \rho = 2\Delta n/a$ - electron density deviation from commensurate filling.

- 3d array of wires $\Delta \rho$ is T - independent, determined by charge neutrality
- single wire -

 $\Delta\rho(T)\sim T^2/(mv^3)$

PH sym breaking $\sim k^2/(2m)$

• **Replace**

 Δk by $(\pi \Delta \rho)$.

The conductivity

Which of the scattering processes will dominate? - intermediate T : small n (low order) - Pauli

- lower T: exponential factor prevails, smallest Δk_{nm}
	- Close to commensurate filling $k_F \approx G \frac{m_0}{n_0}$ dominant processes H_{n_0,m_0}^U , $H_{n_1m_1}^U$ where $\Delta k_{n_0,m_o}\approx 0, \Delta k_{n_1,m_1}=\pm G/n_0$ \rightarrow $(n_1m_0 = \pm 1 \mod n_0)$ $\rightarrow n_1=\gamma n_0,\; \gamma\sim 1$

We find: The conductivity close to commensurability: $\sigma(k_F \approx Gm_0/(2n_0)) \sim (\Delta n(T))^2 \exp[\beta v G/(2n_0)]$ $\sigma(k_F=Gm_0/(2n_0)) ~~~\sim~~~ T^{-n_0^2K_\rho-(n_0~{\rm mod}~2)^2K_\sigma+3}$

At typical incommensurate filling Do saddle-point approximation with respect to n of: $-\beta vG/(2N) + (\gamma N)^2 K \log[T]$

We find: Typical conductivity:

 $\sigma_{\text{typical}} \sim \exp[c(\beta v G)^{2/3}]$

 $\beta\!$

Filling dependence of the conductivity

- Enhancement at commensurate filling n
- Dip at commensurate point: overlap of current J and approx. conserved current $J_c =$ $\Delta k_{nm} J_0 + P_T$ given by $\chi_{JJ_c} = n-n$ commensurate

$$
\sigma(n \sim M/N) \approx \max\left[(\Delta n)^2 e^{\beta v G/N}, T^{-N^2 K \rho} \right]
$$