

**SECOND EUROPEAN SUMMER SCHOOL on  
MICROSCOPIC QUANTUM MANY-BODY THEORIES  
and their APPLICATIONS**

(3 - 14 September 2001)

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**MICROSCOPIC CALCULATION OF THE EXCITATION SPECTRUM  
OF A  $^3\text{He}$  IMPURITY IN LIQUID  $^4\text{He}$   
PART III**

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These are preliminary lecture notes, intended only for distribution to participants



# "MICROSCOPIC CALCULATION OF THE EXCITATION SPECTRUM OF A $^3\text{He}$ IMPURITY IN LIQUID $^4\text{He}$ "

- The impurity problem ( $^3\text{He}$ -impurity in liquid  $^4\text{He}$ ) is crucial to understand  $^3\text{He}$ - $^4\text{He}$  mixtures.
- $^4\text{He}$  (boson) and  $^3\text{He}$  (fermion) remain liquids at  $T=0$ .
- They mix forming a quantum liquid where coexist both types of statistics  $\Rightarrow$  very interesting excitation spectrum!
- The maximum solubility of  $^3\text{He}$  in  $^4\text{He}$  is  $x^m \approx 6.6\%$  at zero pressure. As the concentrations are small  $\Rightarrow$  Interesting to study the limit of zero concentration!

STATIC PROPERTIES: Chemical potential, Structure function, excess volume

EXCITATION SPECTRUM: Effective mass

"Late developments in the microscopic study of Helium liquids"

One  $^3\text{He}$  impurity in liquid  $^4\text{He}$  at  $T=0$  is used as a prototype system to report on the late developments of several microscopic many-body theories:

\* CBFT (Correlated Basis function Theory)

" $^3\text{He}$  impurity excitation spectrum in liquid  $^4\text{He}$ "  
A. Fabrocini & A. Polls; Phys. Rev. B 58 (1998) 5209

\* Equations of motion of time dependent correlation

"Single-particle and Fermi-liquid properties of  $^3\text{He}$ - $^4\text{He}$  mixtures: A microscopic analysis", E. Krotscheck et al;  
Phys. Rev. B 58 (1998) 12282

\* Shadow Wave Functions & Variational Monte Carlo

"Variational calculation of excited state properties of a  $^3\text{He}$  impurity in superfluid  $^4\text{He}$ "  
D. E. Galli, G. L. Masserini, L. Reatto; Phys. Rev. B (1999)

\* DMC (Diffusion Monte Carlo)

"Quantum Monte Carlo study of static properties of one  $^3\text{He}$  atom in superfluid  $^4\text{He}$ "  
J. Boronat, J. Casulleras, Phys. Rev. B 59 (1999) 8844

# IMPURITY

Hamiltonian:

$$H(N+1) = H_U(N) + H_I(N+1) = \\ = -\frac{\hbar^2}{2m_U} \sum \nabla_i^2 + \sum_{i < j}^N \mathcal{V}(r_{ij}) - \frac{\hbar^2}{2m_I} \nabla_I^2 + \sum_{j=1}^N \mathcal{V}(r_{Ij})$$

Wave function: Ground-state

$$\Psi(\bar{r}_1 \dots \bar{r}_N, \bar{r}_I) = F_J F_T$$

$$F_J = \prod_{i < j}^N e^{-u_{ij}/2} \prod_{i=1}^N e^{-u_{iI}/2}$$

$$F_T = \prod_{i < j < k}^N e^{-q_{ijk}/2} \prod_{i < j}^N e^{-q_{ijI}/2}$$

$$q_{ijk} = \sum_{cyc} \mathcal{E}(r_{ij}) \mathcal{E}(r_{ik}) \hat{r}_{ij} \hat{r}_{ik}$$

We want to calculate:  $\mu_I$

$$\mu_I(\rho) = \frac{\langle \Psi(N+1) | H(N+1) | \Psi(N+1) \rangle}{\langle \Psi(N+1) | \Psi(N+1) \rangle} - \frac{\langle \Psi(N) | H(N) | \Psi(N) \rangle}{\langle \Psi(N) | \Psi(N) \rangle}$$

• Both quantities of order  $N$

•  $\mu_I$  is of order 1. Big cancellations!

$$\mu_I^{\text{exp}}(\rho_0) = -2.785 \text{ K}$$

$$E^V(A+1) = E_4^V(A) + \mu_I^V$$

$E_4^V(A)$  (term of order  $A$ ). Energy of the medium. Will be cancelled.

$\mu_I^V$  : (order of unity). Chemical potential of the impurity.

Using Jackson-Feenberg identity for  $T$ :

$$\frac{E_4^V}{A} = \frac{\rho}{2} \int d\vec{r}_{12} g_{2,12} \left( \psi_{12} + \frac{\hbar^2}{4m_4} \nabla^2 u_{12} \right) + \frac{\hbar^2}{16m_4} \rho^2 \int d\vec{r}_{12} d\vec{r}_{13} g_{3,123} \nabla_1^2 q_{123} \equiv \psi(\rho) + t^{(2)}(\rho) + t^{(3)}(\rho)$$

$$\underline{\mu_I^V(\rho) = e_i(\rho) + e_r(\rho)}$$

$$e_r(\rho) = \frac{\rho^2}{2} \int d\vec{r}_{12} g_{2,12}^r \left( \psi_{12} + \frac{\hbar^2}{4m_4} \nabla^2 u_{12} \right) + \frac{\hbar^2}{16m_4} \rho^3 \int d\vec{r}_{12} d\vec{r}_{13} g_{3,123}^r \nabla_1^2 q_{123}$$

$$e_i(\rho) = \rho \int d\vec{r}_{12} g_{2,I1} \left( \psi_{I1} + \frac{\hbar^2}{4\mu_2} \nabla^2 u_{I1} \right) + \frac{\hbar^2}{8\mu_2} \rho^2 \int d\vec{r}_{12} d\vec{r}_{13} g_{3,I12} \nabla_1^2 q_{I12}$$

$$\frac{1}{\mu_2} = \frac{1}{2} \left( \frac{1}{m_4} + \frac{1}{m_i} \right)$$

$$\frac{1}{\mu_3} = \frac{1}{2} \left( \frac{2}{m_4} + \frac{1}{m_I} \right)$$

$$h = f^2 - 1$$

A.C.A.

$$\rho \int d^3r h(r_{1j}) h(r_{2j})$$

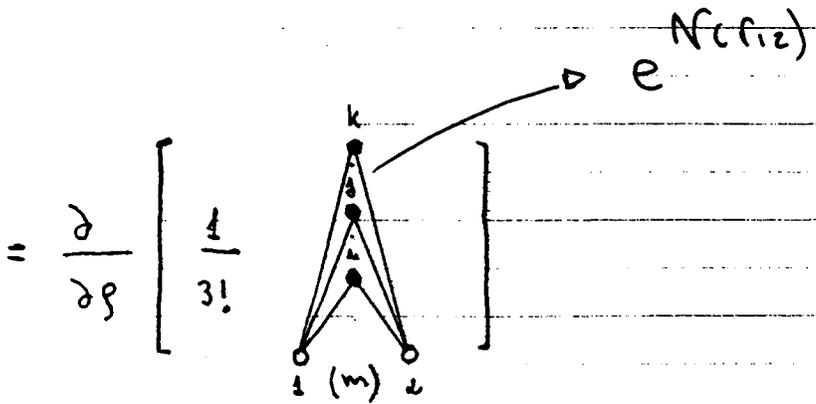
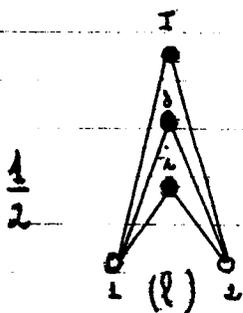
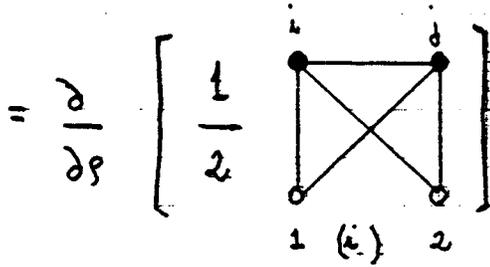
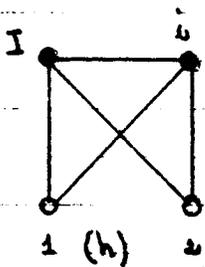
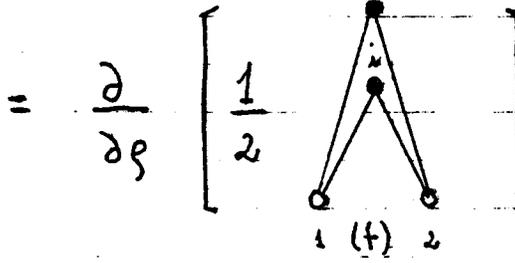
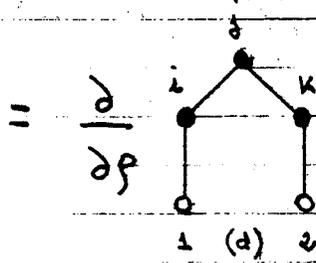
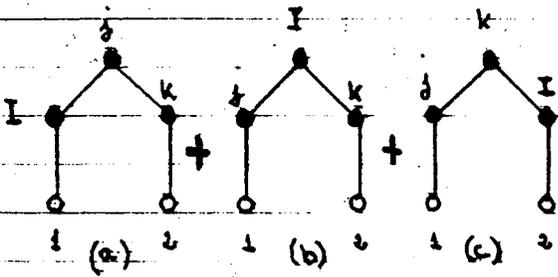
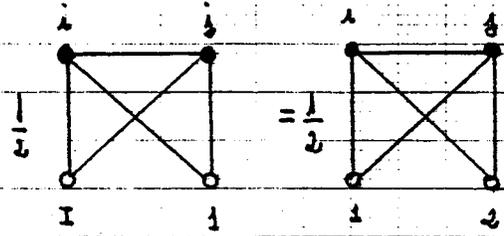
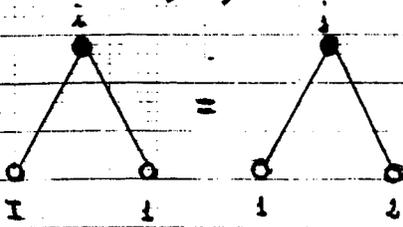


Fig. 1.

## DISTRIBUTION FUNCTIONS:

$$(4) \quad g_{n, 22 \dots n}^r + \frac{1}{\Omega} g_{n, 22 \dots n}^r = \frac{A(A-1) \dots (A-n+1)}{S^n} \frac{\int d\Omega_{12 \dots n} |\Psi(A+1)|^2}{\int d\Omega |\Psi(A+1)|^2}$$

$$g_{n, 122 \dots n-1} = \frac{\Omega A(A-1) \dots (A-n+2)}{S^{n-1}} \frac{\int d\Omega_{12 \dots n-1} |\Psi(A+1)|^2}{\int d\Omega |\Psi(A+1)|^2}$$

$$g_{n, 12 \dots n}^r = \frac{\partial}{\partial \beta_I} g_{n, 22 \dots n} \Big|_{\beta_I = 0}$$

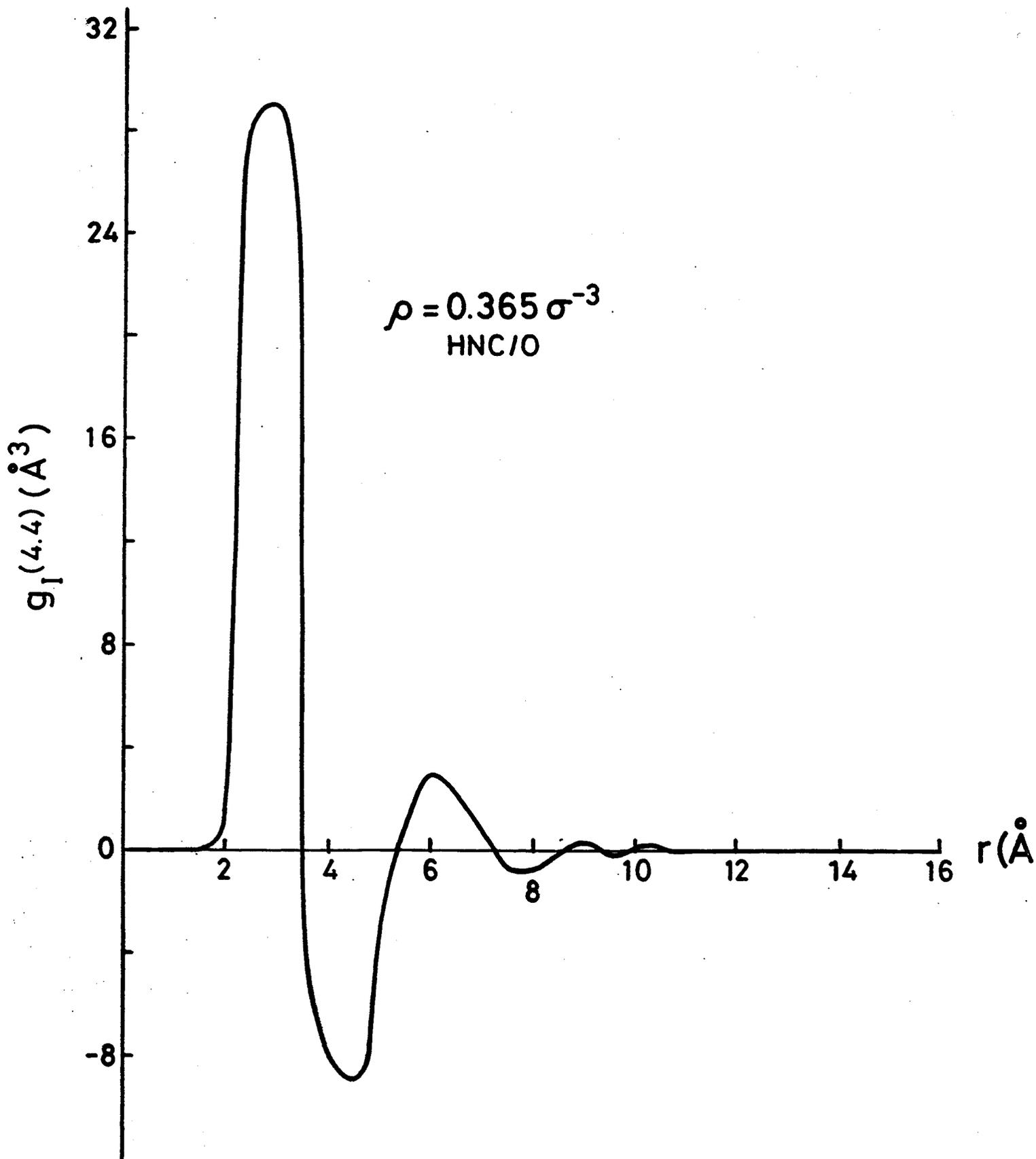
$$g_{2, 12} = \frac{A}{S \frac{1}{\Omega}} \frac{\int d\Omega_{12} |\Psi(A+1)|^2}{\int d\Omega |\Psi(A+1)|^2}$$

## AVERAGE CORRELATION APPROXIMATION

As  $V_{44} \approx V_{43} \Rightarrow$  good approximation  $\Rightarrow$   $u_{ij} \approx u_{iI}$   
 $g_{ijk} \approx g_{Ijk}$

$$g_{n, 123 \dots n-1}^r(\rho) = g_{n, 22 \dots n}^r(\rho)$$

$$g_{n, 22 \dots n}^r(\rho) = \frac{\partial g_{n, 22 \dots n}^{(4)}(\rho)}{\partial \rho}$$



$$e_{\Sigma}(\rho) = \mu e(\rho) + \left( \frac{\mu_4}{\mu_{\Sigma}} - 1 \right) t^{(2)}(\rho) + \frac{\mu_4}{\mu_{\Sigma}} t^{(3)}(\rho)$$

to get  $e_r(\rho)$ :

$$\frac{P(\rho)}{\rho} = e(\rho) - t^{(3)}(\rho) + \frac{\rho^2}{2} \int d^3r \frac{\partial g_2^{(4)}(\rho, r)}{\partial \rho} \left( \sigma(r) + \frac{\hbar^2}{4m} \nabla^2 u_{12} \right) +$$

$$+ \frac{\hbar^2}{16m} \rho^3 \int d^3r_{12} d^3r_{13} \frac{\partial g_3^{(4)}(\rho, r_{12}, r_{13})}{\partial \rho} \nabla_{12}^2 g_{123}$$

$$\Rightarrow \boxed{e_r(\rho) = \frac{P(\rho)}{\rho} - e(\rho) + t^{(3)}(\rho)}$$

$$\mu_{\Sigma}(\rho) = e_r(\rho) + e_{\Sigma}(\rho) = \mu_4(\rho) + \left( \frac{\mu_4}{\mu_{\Sigma}} - 1 \right) t(\rho)$$

$$t(\rho) = t^{(2)}(\rho) + t^{(3)}(\rho)$$

$$\mu_4(\rho) = e(\rho) + \frac{P(\rho)}{\rho}$$

Baym's formula!

Baym results.

$t(\rho) = 14.16$  Y. Millau. (Montecarlo calculation) L.S.  
two body correlation:

With  $t_-(\rho) = 17$   $\boxed{\mu_{\Sigma} = -1.45}$

with three-body correlations L.S.

$t(\rho) = 13.52 \Rightarrow \mu_{\Sigma} = -1.45$

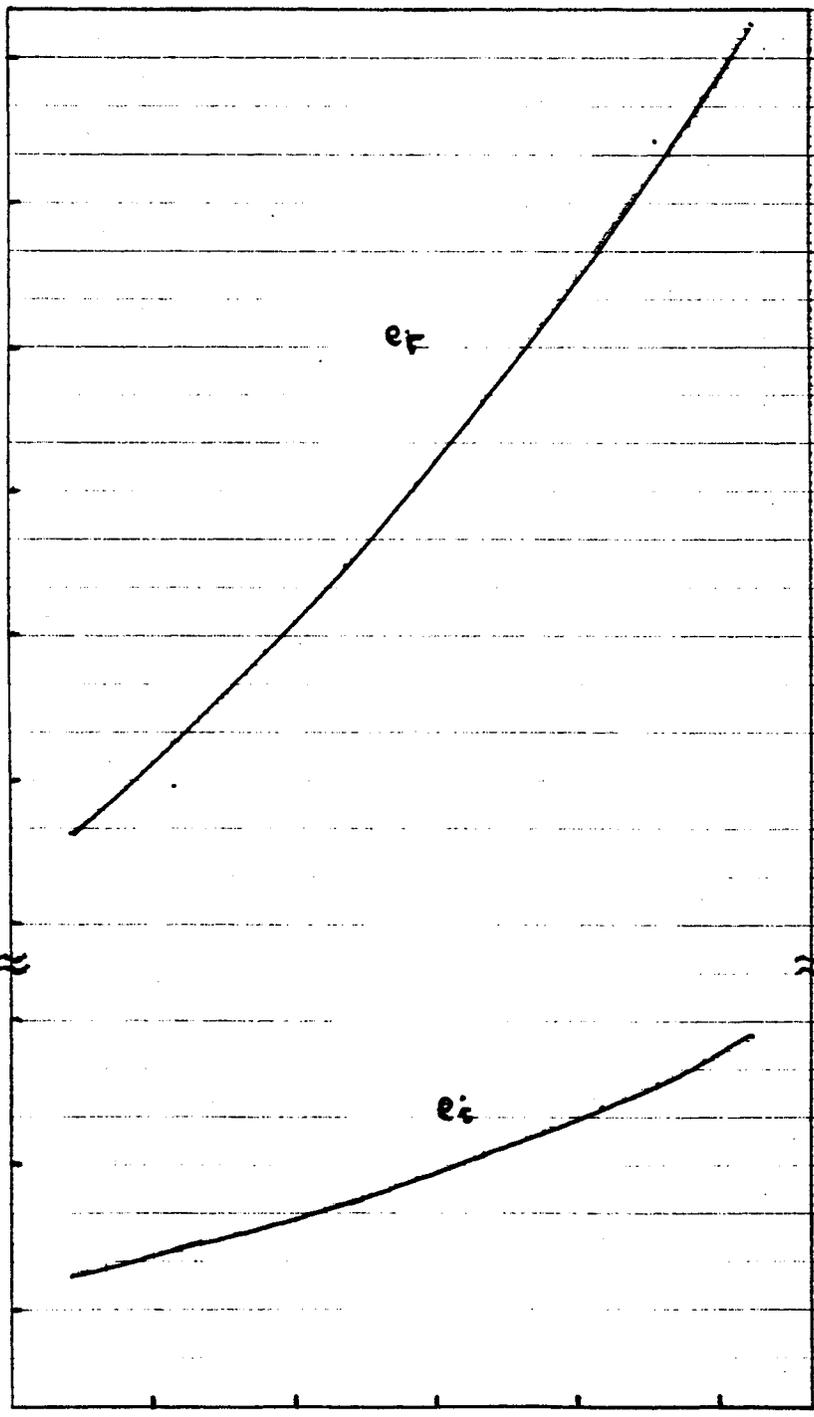
# Renormalization and interaction pieces of $\mu_I$ .

Calculated in HNC/ST. Lennard-Jones.

46 1331

(K)

12  
11  
10  
9  
8  
7  
6  
5  
4  
3  
2  
1  
0  
-1  
-2  
-3  
-4



$P=0$	$\rho$	$e_r$	$e_i$
HNC/S	0.330	5.82	-7.69
HNC/ST	0.364	6.63	-8.76

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$\rho (\sigma^{-3})$

(9)

# A.C.A. Average Correlation Approximation:

$$\mu_I^{ACA}(\rho) = \frac{\mu_4}{\mu_I} e(\rho) + \left(1 - \frac{\mu_4}{\mu_I}\right) V(\rho) + \frac{P(\rho)}{\rho}$$

valid for n-body correlations.

Equivalent to Baym's formula:

$$H(N+1) = H_4(N+1) + \underbrace{H(N+1) - H_4(N+1)}_{\left(\frac{\mu_4}{\mu_I} - 1\right) \frac{\nabla_I^2}{2\mu_4}}$$

$$\begin{aligned} \mu_I &= \frac{\langle \Psi(N+1) | H(N+1) | \Psi(N+1) \rangle}{\langle \Psi(N+1) | \Psi(N+1) \rangle} - \frac{\langle \Psi(N) | H(N) | \Psi(N) \rangle}{\langle \Psi(N) | \Psi(N) \rangle} = \\ &= \mu_4 + t_4(\rho) \left( \frac{\mu_4}{\mu_I} - 1 \right) \end{aligned}$$

$$\mu_I^{ACA} = \underbrace{e(\rho) + \frac{P(\rho)}{\rho}}_{\mu_4(\rho)} + t_4(\rho) \left( \frac{\mu_4}{\mu_I} - 1 \right)$$

Taking DMC values, (J. Boronat & J. Casulleras  
PRB 59 (1999) 8844)

$$\rho = 0.365 \text{ \AA}^{-3}$$

P (atm)

0

$\mu_4$  (K)

-7.27

$\mu_I^{ACA}$  (K)

-2.58

$t_4(\rho)$  (K)

14.32

Remember!

$$\mu_I^{exp} = -2.785 \text{ K}$$

↓

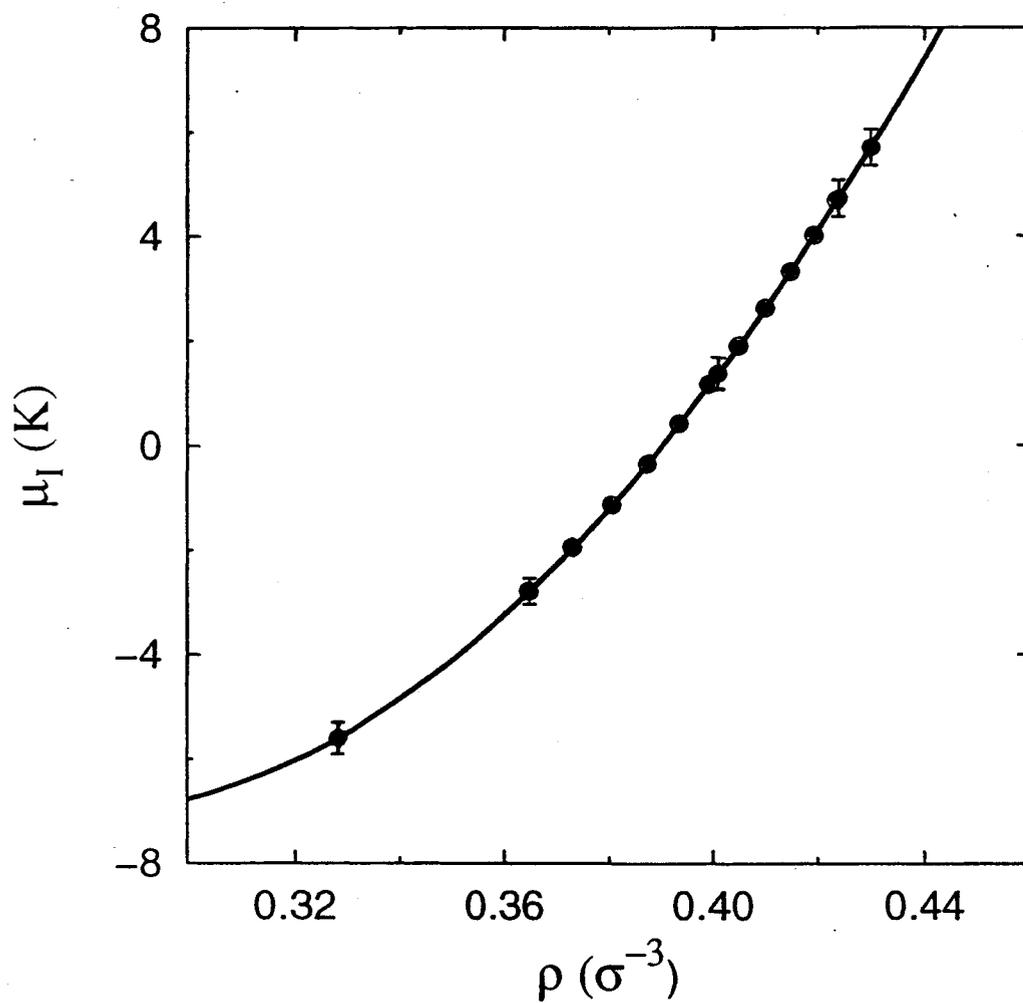
-2.79 | DMC value allowing  
different correlation.

FIG. 5. Chemical potential of the  $^3\text{He}$  impurity as a function of the density (full circles). The solid line is a polynomial fit to the DMC results. The open circles are experimental data from Ref.

1.

J. Boronat & J. Casulleras

PAB 59(1999)8844



● Experimental results  
● DMC results

# The $^3\text{He}$ impurity as a probe in liquid $^4\text{He}$

$$\left. \begin{aligned} \frac{\langle \Psi(N+1) | H(N+1) | \Psi(N+1) \rangle}{\langle \Psi(N+1) | \Psi(N+1) \rangle} &= E(N+1) \\ \frac{\langle \Psi(N) | H(N) | \Psi(N) \rangle}{\langle \Psi(N) | \Psi(N) \rangle} &= E(N) \end{aligned} \right\} \mu_I(\rho) = E(N+1) - E(N)$$

in general  $\mu_I$  is not an upperbound, but if  $E(N)$  is the exact energy of the ground-state then  $\mu_I(\rho)$  is an upper-bound.

In A.C.A.

$$\mu_I^{\text{A.C.A}}(\rho) = \mu_4^{\text{exp}}(\rho) + \left( \frac{m_4}{m_I} - 1 \right) t_4^{\text{exp}}(\rho)$$

$$\mu_I^{\text{A.C.A}}(\rho) > \mu_I^{\text{exp}}(\rho) \Rightarrow \mu_I^{\text{A.C.A}} - \mu_I^{\text{exp}} \geq 0$$

$$t_4^{\text{exp}}(\rho) \geq \left( \frac{m_4}{m_I} - 1 \right)^{-1} \left( \mu_I^{\text{exp}}(\rho) - \mu_4^{\text{exp}}(\rho) \right)$$

LOWER-BOUND

$$\langle V \rangle_{\text{exp}} \leq e_{\text{exp}} - \langle t \rangle_{\text{LB}}$$

Upper-bound

$$\frac{m_4}{m_3} = 1.3273$$

At saturation density:  $\rho = 0.3648 \text{ \AA}^{-3}$

$$\mu_4^{\text{exp}}(\rho) = e_4^{\text{exp}} = -7.17 \text{ K}$$

$$\mu_I^{\text{exp}} = -2.785$$

$$t_4^{\text{LB}}(\rho_0) = 13.4 \text{ K}$$

$$V^{\text{UB}}(\rho_0) = -10.6 \text{ K}$$

# One $^4\text{He}$ atom in liquid $^3\text{He}$ .

\* No mixture.

$$\mu_3^{\text{exp}} = -2.47 \text{ K}$$

$$\mu_4 = -7.17 \text{ K}$$

$$\mu_4^{\text{exp}} = -6.6 \text{ K}$$

Lahuerite  
J. Low temp. Phys.  
12 (1978) 127

$$1) \quad \mu_4(\rho) = \mu_3(\rho) + \left( \frac{m_3}{m_4} - 1 \right) t(\rho)$$

$$\approx -2.47 - \frac{0.24648}{1/4} \cdot 11.85 = -5.39 \text{ K}$$

$\uparrow$   
 UFP

2) as  $m_2 > m$  I put an upper bound

$$t_3(\rho) \leq \left| \frac{m_3}{m_4} - 1 \right|^{-1} (\mu_3^{\text{exp}} - \mu_4^{\text{exp}}) \approx 16.7 \text{ K}$$

$$t^{\text{UB}}(\rho^{\text{av.}}) \approx 16.78 \quad \nu^{\text{LB}}(\rho) \approx -19.25$$

→ The quality of the wave function is worse.

⇒  $T_F$  is a lower bound

$$\rho_0 = 0.347 \text{ cm}^{-3}$$

$$\langle T_F \rangle = 3 \text{ K}$$

$$\Rightarrow \langle \nu \rangle^{\text{U.B.}} \approx -5.7 \text{ K}$$

} not  
useful

Excitation spectrum of one  $^3\text{He}$  impurity  
and the effective mass.

Variational approach

$$\Psi_v(\vec{k}) = \rho_{\mathbf{I}}(\vec{k}) \Psi_0(A+1)$$

$$\rho_{\mathbf{I}}(\vec{k}) = e^{i\vec{k}\vec{r}_{\mathbf{I}}}$$

$$E_k = \frac{\langle \Psi_v(\vec{k}) | H(A+1) | \Psi_v(\vec{k}) \rangle}{\langle \Psi_v(\vec{k}) | \Psi_v(\vec{k}) \rangle} =$$

$$= \frac{\langle \Psi_0(A+1) | \rho_{\mathbf{I}}^\dagger \rho_{\mathbf{I}} H(A+1) | \Psi_0(A+1) \rangle}{\langle \Psi_0(A+1) | \Psi_0(A+1) \rangle} +$$

$$\frac{\langle \Psi_0(A+1) | \rho_{\mathbf{I}}^\dagger [H, \rho_{\mathbf{I}}] | \Psi_0(A+1) \rangle}{\langle \Psi_0(A+1) | \Psi_0(A+1) \rangle} =$$

$$= E_0(A+1) + \frac{\hbar^2 k^2}{2 m_{\mathbf{I}}}$$

$$\frac{m_{\mathbf{I}}^*}{m_{\mathbf{I}}} = 1$$

$k$ -independent

$$\frac{m_{\mathbf{I}}}{m_{\mathbf{I}}^*} = \frac{m_{\mathbf{I}}}{\hbar^2} \frac{1}{k} \frac{\partial \epsilon(k)}{\partial (k)}$$

LP:  $\frac{\hbar^2 k^2}{2 m_{\mathbf{I}}^*}$

MLP:  $\frac{\hbar^2 k^2}{2 m_{\mathbf{I}}^*} \frac{1}{1 + bk^2}$

At  $k=0$ , Krotschek et al.  
 PRL 80 (1998) 4709  $\Rightarrow$   $m_{\mathbf{I}}^* \approx 2.18 m_{\mathbf{I}}$

# Adding back-flow correlations

$$\Psi_v(\vec{k}) = \rho_{\text{I}}(\vec{k}) F_{\text{B}} \Psi_0(A+1)$$

$$F_{\text{B}}(\vec{k}, A+1) = \prod_{i=1} \exp \{ i \vec{k} \cdot (\vec{r}_i - \vec{r}_{\text{I}}) \eta(r_{\text{I}i}) \}$$

$$\eta(r) = A_0 \exp \left\{ - \left[ \frac{(r - r_0)}{w_0} \right]^2 \right\}$$

$A_0$  variational parameter,  $r_0 = 0.8 \sigma$ ,  $w_0 = 0.44 \sigma$

$$E_v(\vec{k}) = \frac{\langle \Psi_0(A+1) | F_{\text{B}}^{\dagger} \rho_{\text{I}}^{\dagger} \rho_{\text{I}} F_{\text{B}} H(A+1) | \Psi_0(A+1) \rangle}{\langle \Psi_0(A+1) | \Psi_0(A+1) \rangle} +$$

$$+ \frac{\langle \Psi_0 | F_{\text{B}}^{\dagger} \rho_{\text{I}}^{\dagger} [H, \rho_{\text{I}} F_{\text{B}}] | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle}$$

$$E_v(\vec{k}) = E_0(A+1) + \frac{\hbar^2 k^2}{2 \mu_{\text{I}}} \left[ 1 + e_2 + \frac{m_{\text{I}}}{\mu_2} e_m + e_3 \right]$$

$$e_2 = \rho \int d\vec{r}_{\text{I}1} g_{\text{I}1}^{(2)} \left( 2 \eta_{\text{I}1} + \frac{2}{3} r_{\text{I}1} \eta'_{\text{I}1} \right)$$

$$e_m = \rho \int d\vec{r}_{\text{I}1} g_{\text{I}1}^{(2)} \left( \eta_{\text{I}1}^2 + \frac{1}{3} \left[ r_{\text{I}1}^2 (\eta'_{\text{I}1})^2 + 2 \eta_{\text{I}1} r_{\text{I}1} \eta'_{\text{I}1} \right] \right)$$

$$e_3 = \rho^2 \int d\vec{r}_{\text{I}1} d\vec{r}_{\text{I}2} g_{\text{I}12}^{(3)} \left\{ \eta_{\text{I}1} \eta_{\text{I}2} + \frac{1}{3} \left[ r_{\text{I}1} \eta'_{\text{I}1} \eta'_{\text{I}2} r_{\text{I}2} \right. \right.$$

$$\left. \left. + (\hat{r}_{\text{I}1} \hat{r}_{\text{I}2})^2 + 2 \eta_{\text{I}1} \eta'_{\text{I}2} r_{\text{I}2} \right] \right\}$$

$$\mu_2 = \frac{m_{\text{I}} \mu_{\text{I}}}{m_{\text{I}} + \mu_{\text{I}}} \quad \text{reduced mass}$$

$$\frac{m_I^*}{m_I} = \frac{1}{1 + e_2 + \left(\frac{m_I}{\mu}\right) e_m + e_3}$$

At  $\rho_0 = 0.02185 \text{ \AA}^{-3} = 0.365 \text{ \AA}^{-3}$

$\frac{m_I^*}{m_I}$	J	J+T
CA	1.45	1.44
KSA	1.46	1.44
HNC/S	1.48	1.46

Lennard-Jones

Back-flow correlations are not enough !

- The spectrum is parabolic  $\Rightarrow$

The effective mass is  $k$ -independent

- Back-flow correlations do not bring any correction to the binding energy of the impurity

# PERTURBATION THEORY

Basis:

$$|\bar{k}\rangle = \rho_{\mathbf{I}}(\bar{k}) |0\rangle$$

$$|\bar{k}-\bar{q}; \bar{q}\rangle = \rho_{\mathbf{I}}(\bar{k}-\bar{q}) \rho(\bar{q}) |0\rangle$$

$$|\bar{k}-\bar{q}_1-\bar{q}_2; \bar{q}_1, \bar{q}_2\rangle = \rho_{\mathbf{I}}(\bar{k}-\bar{q}_1-\bar{q}_2) \rho(\bar{q}_1) \rho(\bar{q}_2) |0\rangle$$

$$\rho_{\mathbf{I}}(\mathbf{k}) = e^{i\bar{k}\bar{r}_2}$$

$$\rho(\mathbf{q}) = \sum_{i=1}^A e^{i\bar{q}\bar{r}_i}$$

\* Hope: low order will be enough!

NOT ORTHOGONAL.

**CBF**

Correlated Basis Function

HAMILTONIAN:

Unperturbed:  $H_{0,ij} = \delta_{ij} \frac{\langle \Psi_i | H | \Psi_i \rangle}{\langle \Psi_i | \Psi_i \rangle} = \delta_{ij} E_i^0$

Interaction:  $H_{\mathbf{I},ij} = (1 - \delta_{ij}) \frac{\langle \Psi_i | H - E_k | \Psi_j \rangle}{(\langle \Psi_i | \Psi_i \rangle \langle \Psi_j | \Psi_j \rangle)^{1/2}}$

$$= (1 - \delta_{ij}) (H_{ij} - E_k N_{ij})$$

where  $E_k = E_0 + \epsilon_k$  is the eigenvalue of  $H$  for the eigenstate  $|\Psi(\vec{k})\rangle$

BRILLOUIN - WIGNER series:

$$\Delta E_k = \sum_{j \neq k} \frac{(H_{kj} - E_k N_{kj})(H_{jk} - E_k N_{jk})}{E_k - E_j^0} +$$

$$+ \sum_{\substack{j, i \neq k \\ i \neq j}} \frac{(H_{kj} - E_k N_{kj})(H_{ji} - E_k N_{ji})(H_{ik} - E_k N_{ik})}{(E_k - E_j^0)(E_k - E_i^0)} + \dots$$

# ORTHOGONALIZATION

1 phonon space.

$$|\bar{k}\rangle = \rho_{\mathbb{I}}(k) |0\rangle$$

$$|\bar{k}-\bar{q}, \bar{q}\rangle = \frac{1}{A^{1/2} S(q)^{1/2}} \left\{ |k-q; q\rangle - |\bar{k}\rangle \langle \bar{k} | \bar{k}-\bar{q}, \bar{q} \rangle \right\}$$

$$|\bar{k}-\bar{q}; \bar{q}\rangle = \rho_{\mathbb{I}}(\bar{k}-\bar{q}) \rho(q) |0\rangle$$

## Overlaps

$$\langle \bar{k} | \bar{k} \rangle = 1$$

$$\langle \bar{k} | \bar{k}-\bar{q}, \bar{q} \rangle = 0$$

$$\langle \bar{k}-\bar{q}; \bar{q} | \bar{k}-\bar{q}; \bar{q} \rangle = 1$$

## Hamiltonian

$$\langle \bar{k} | H(A+1) | \bar{k} \rangle = E(A+1) + \frac{\hbar^2 k^2}{2m_{\mathbb{I}}}$$

$$\langle \bar{k}-\bar{q}; \bar{q} | H(A+1) | \bar{k}-\bar{q}; \bar{q} \rangle = E(A+1) + \frac{\hbar^2 q^2}{2m S(q)} + \frac{\hbar^2 (\bar{k}-\bar{q})^2}{2m_{\mathbb{I}}}$$

$$\langle \bar{k} | H(A+1) | \bar{k}-\bar{q}; \bar{q} \rangle = -\frac{\hbar^2}{2m_{\mathbb{I}}} \bar{k} \cdot \bar{q} \frac{S_{\mathbb{I}}(q)}{A^{1/2} S(q)^{1/2}}$$

$$\frac{\langle \Psi(A+1) | \rho^*(q) \rho(q) | \Psi(A+1) \rangle}{\langle \Psi(A+1) | \Psi(A+1) \rangle} = \frac{\langle \Psi(A+1) | A + \sum_{i \neq j} e^{i\bar{q}(\vec{r}_i - \vec{r}_j)} | \Psi(A+1) \rangle}{\langle \Psi(A+1) | \Psi(A+1) \rangle}$$

$$= A + A(A-1) \frac{\langle \Psi(A+1) | e^{i\bar{q}(\vec{r}_2 - \vec{r}_2)} | \Psi(A+1) \rangle}{\langle \Psi(A+1) | \Psi(A+1) \rangle} =$$

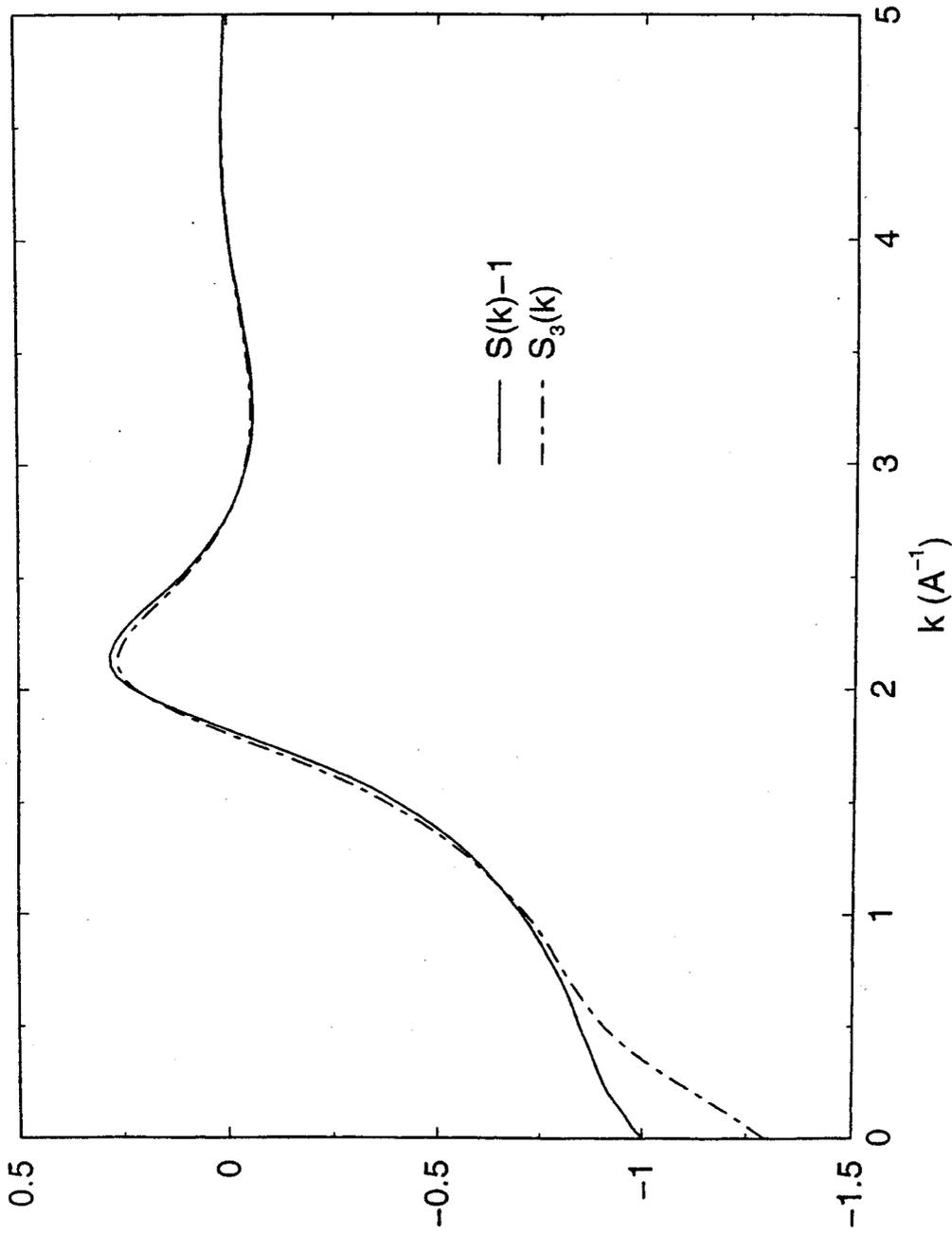
$$= A + \rho^2 \int d\vec{r}_1 d\vec{r}_2 e^{i\bar{q}(\vec{r}_1 - \vec{r}_2)} \frac{A(A-1)}{\rho^2} \frac{\int d\Omega_{12} \Psi^*(A+1) \Psi(A+1)}{\int d\Omega \Psi^* \Psi}$$

$$= A \cdot S(q) + O(1)$$

$$\begin{aligned}
\langle \bar{k} | \bar{k} - \bar{q}, \bar{q} \rangle &= \langle 0 | \rho_{-\bar{k}}^I \rho_{\bar{k} - \bar{q}}^I \rho_{\bar{q}}^I | 0 \rangle = \\
&= \frac{\langle \psi(A+1) | e^{-i\bar{k}\bar{r}_I} e^{i\bar{k}\bar{r}_I} e^{-i\bar{q}\bar{r}_I} \sum_{i=1}^A e^{i\bar{q}\bar{r}_i} | \psi(A+1) \rangle}{\langle \psi(A+1) | \psi(A+1) \rangle} = \\
&= A \frac{\langle \psi(A+1) | e^{i\bar{q}(\bar{r}_1 - \bar{r}_I)} | \psi(A+1) \rangle}{\langle \psi(A+1) | \psi(A+1) \rangle} \\
&= \frac{\rho}{\Omega} \int d\bar{r}_1 d\bar{r}_I e^{i\bar{q}(\bar{r}_1 - \bar{r}_I)} \frac{A \cdot \Omega}{\rho} \frac{\int d\Omega_{\pm I} \psi^*(A+1) \psi(A+1)}{\int d\Omega \psi^*(A+1) \psi(A+1)} \\
&= \rho \int d\bar{r}_{\pm I} e^{i\bar{q}\bar{r}_{\pm I}} g(\bar{r}_{\pm I}) = S_I(\bar{q})
\end{aligned}$$

$$\langle \bar{k} | \bar{k} - \bar{q}, \bar{q} \rangle = S_I(\bar{q})$$

$$\rho = 0.365 \text{ \AA}^{-3}$$



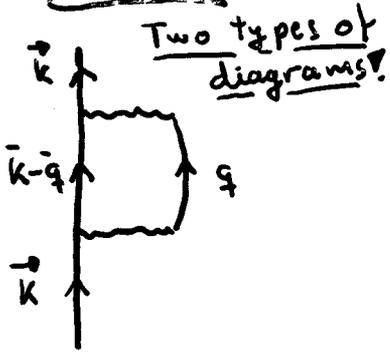
$$S^{(4,4)}(k) = 1 + \rho \int d\vec{r} e^{i\vec{k}\cdot\vec{r}} (g(r)-1)$$

$$S^{(2,4)}(k) = \rho \int d\vec{r} e^{i\vec{k}\cdot\vec{r}} (g^{(2)}(r)-1)$$

$$S^{(2,4)}(0^+) = -(1+d)$$

$$d = \frac{\rho}{\rho_4} \text{ molar volumes} = 0.284$$

**OIP**



$$E(k) = E_0(A+1) + e_0(k) + \Delta e(k)$$

$$e_0(k) = \frac{\hbar^2 k^2}{2m_I}$$

$$\Delta e(k) = \Delta e_{OIP} + \Delta e_{TIIP} + \Delta e_{AOP}$$

$$\Delta e_{OIP}(k) = \sum_{\vec{q}} \frac{|\langle \vec{k} | H(A+1) - E(k) | \vec{k}-\vec{q}, \vec{q} \rangle|^2}{E_0 + e_0(k) + \Delta e_{OIP}(k) - E_0 - e_0(|\vec{k}-\vec{q}|) - \omega_{ph}(\vec{q})}$$

$$= \frac{\Omega}{(2\pi)^3} \int d^3q \frac{S_I(q)}{A \cdot S(q)} \frac{\left(-\frac{\hbar^2}{2m_I}\right)^2 (\vec{k} \cdot \vec{q})^2}{\Delta e_{OIP}(k) + \frac{\hbar^2 k^2}{2m_I} - \frac{\hbar^2 (\vec{k}-\vec{q})^2}{2m_I} - \frac{\hbar^2 q^2}{2m_I}}$$

$$\langle \vec{k} | H(A+1) - E(k) | \vec{k}-\vec{q}; \vec{q} \rangle = -\frac{\hbar^2}{2m_I} \vec{k} \cdot \vec{q} \frac{S_I(q)}{A^{1/2} S(q)^{1/2}}$$

\* We use Brillouin-Wigner  $\Rightarrow$  Self-consistent solution in  $\Delta e_{OIP}(k)$  at each  $k$ .

If we work in one phonon space  $\Rightarrow$

\* For  $\Delta e_{OIP}$  we need only  $S_I(q)$  and  $S(q)$ !

The  $m^*$  at  $k=0$  is even simpler:

$\frac{m^*}{m_I} \approx 1/8$

$$\frac{m^*}{m_I} = \frac{1}{1 - \frac{1}{4\pi^2 \rho} \frac{\hbar^2}{2m_I} \int_0^\infty dq \frac{q^2 S_I(q)^2}{S(q) \frac{\hbar^2}{2m_I} + \frac{\hbar^2}{2m_I}}}$$

The other diagrams are more complicated and require three-body structure functions !

Two common approximations:

\* Convolution approx: (CA)

\* Kirkwood superposition approx: (KSA)

$$S^{(3)}(\bar{q}_1, \bar{q}_2, \bar{q}_3) = \frac{1}{N_4} \frac{\langle \psi_0 | \rho_4^+(\bar{q}_1) \rho_4^+(\bar{q}_2) \rho_4(\bar{q}_3) | \psi_0 \rangle}{\langle \psi_0 | \psi_0 \rangle}$$

with  $\bar{q}_3 = \bar{q}_1 + \bar{q}_2$

$$S_{CA}^{(3)}(\bar{q}_1, \bar{q}_2, \bar{q}_3) = S(q_1) S(q_2) S(q_3)$$

factorizes in momentum space

SA factorizes in  $r$ -space

$$g_{KSA}^{(3)}(\bar{r}_1, \bar{r}_2, \bar{r}_3) = g^{(2)}(r_{12}) g^{(2)}(r_{13}) g^{(2)}(r_{23})$$

\* Sensitivity of the calculation to the approximation used for  $S^{(3)}$  !

\* The two phonon states  $|\bar{k} - \bar{q}_1 - \bar{q}_2; \bar{q}_1 \bar{q}_2\rangle$

have been orthogonalized to:  $|\bar{k}\rangle$

$$|\bar{k} - \bar{q}_1 - \bar{q}_2; \bar{q}_1 + \bar{q}_2\rangle$$

$$|\bar{k} - \bar{q}_1, \bar{q}_1\rangle$$

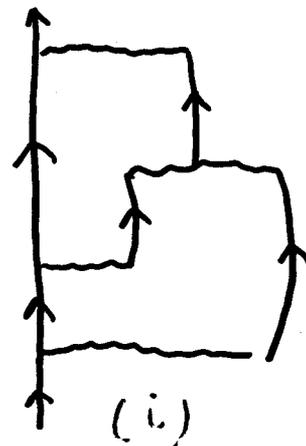
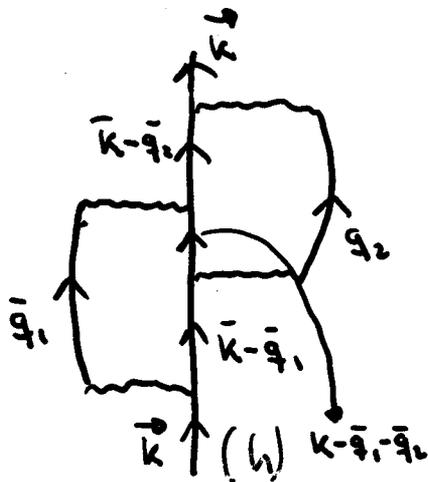
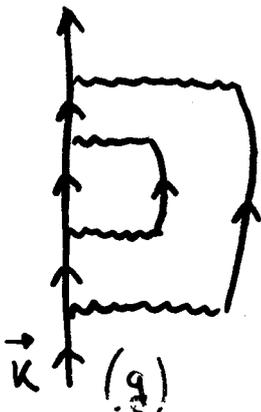
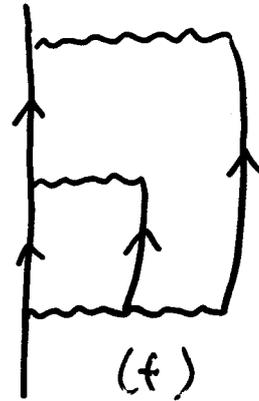
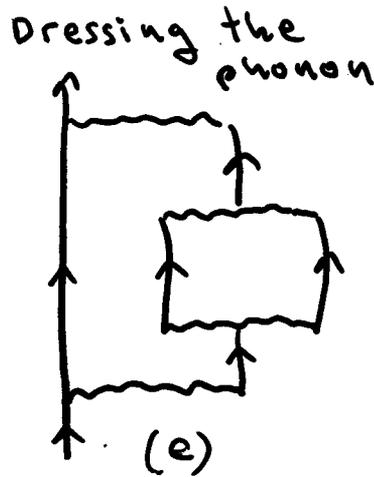
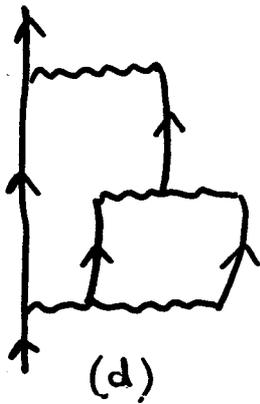
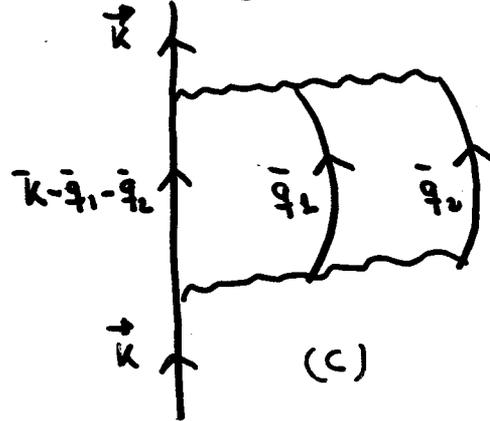
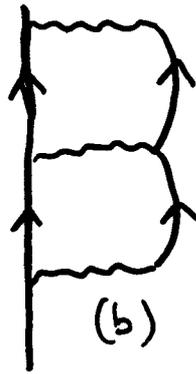
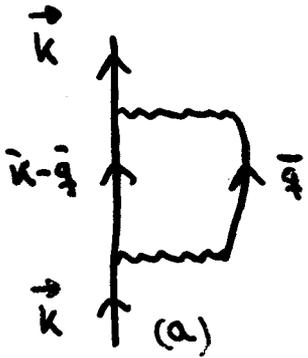
$$|\bar{k} - \bar{q}_2, \bar{q}_2\rangle$$

All OIP (one independent phonon) and TIP (two independent phonons) !

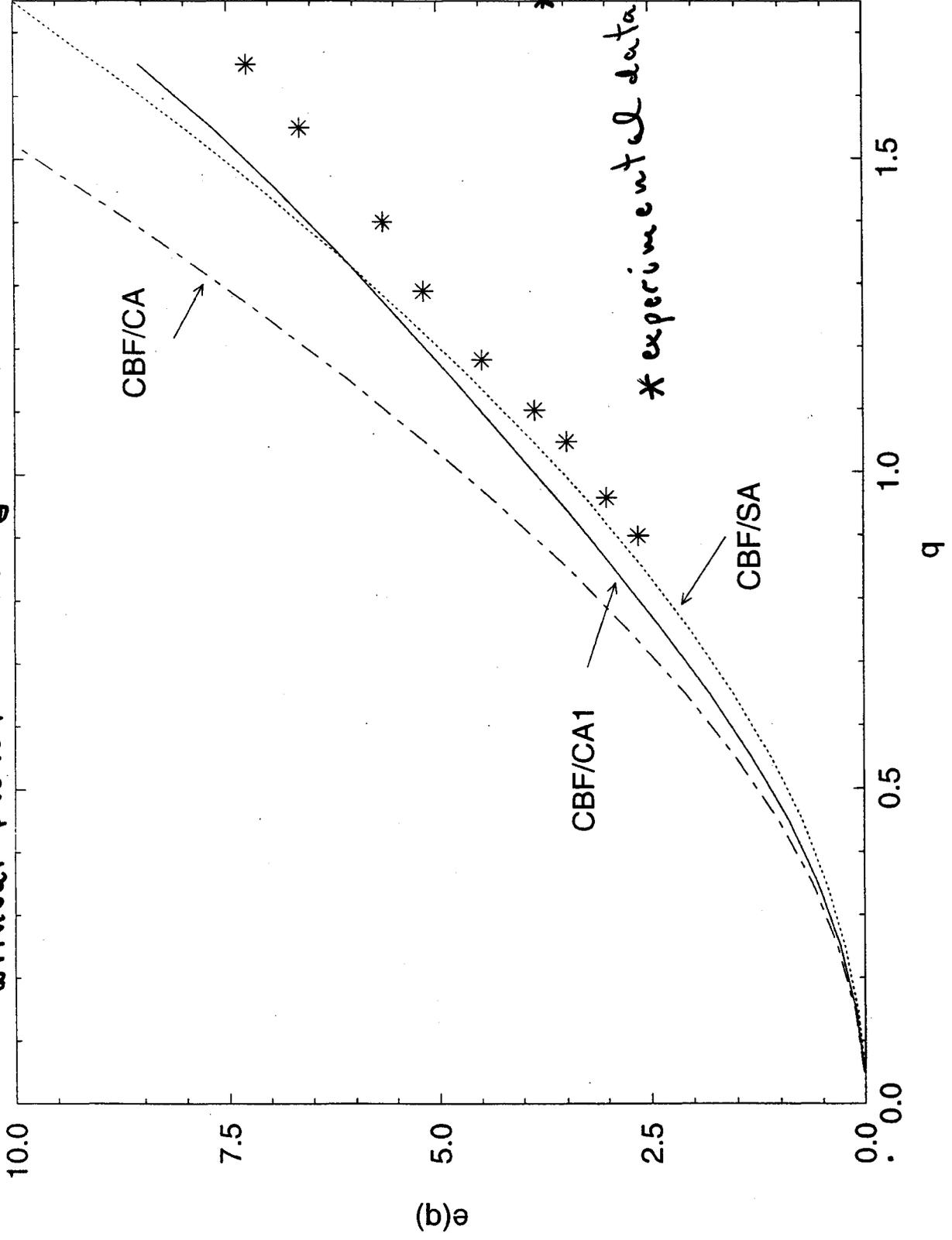
We have two levels of diagrams:

- perturbative diagrams

- HNC diagrams to calculate the matrix elements



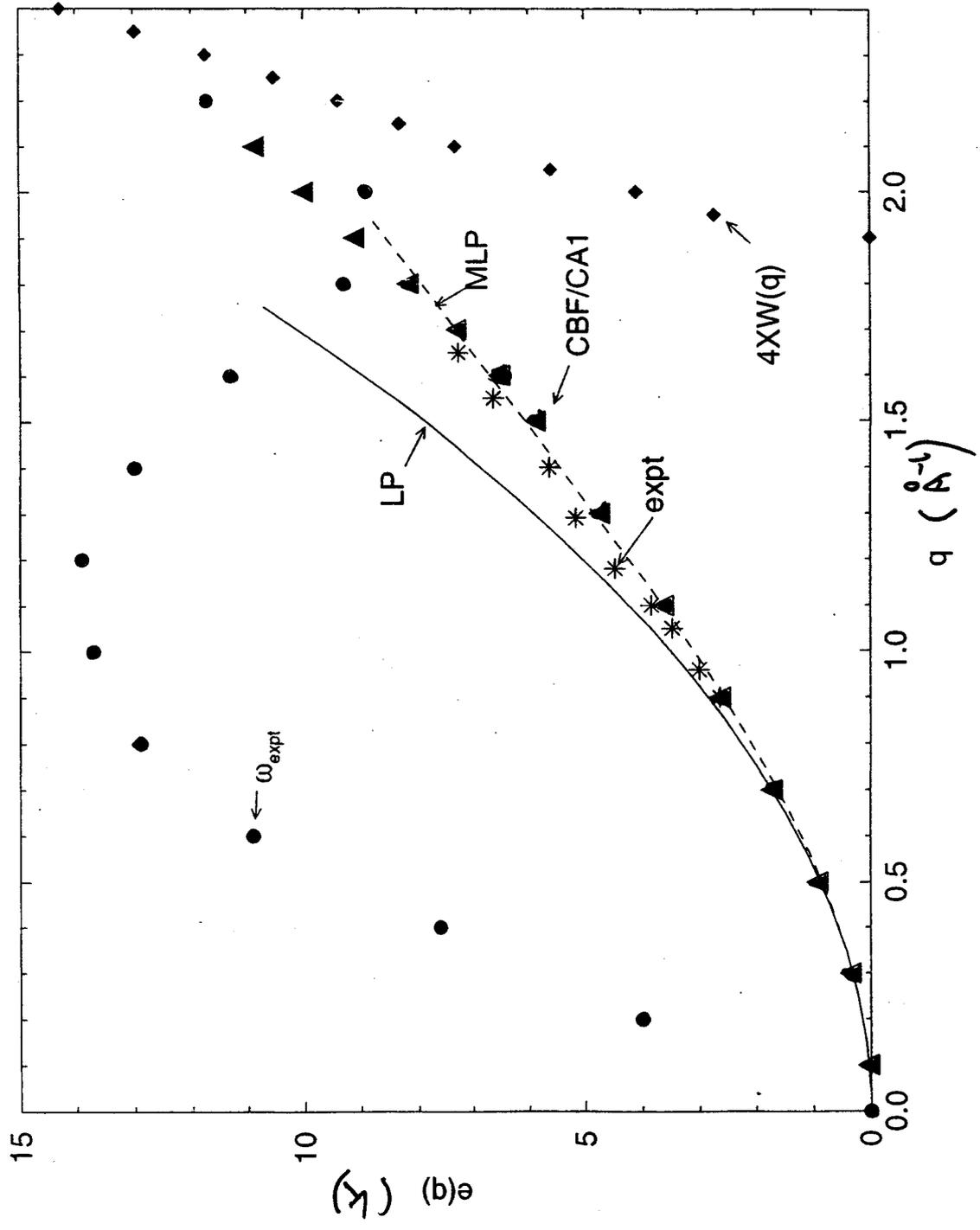
$^3\text{He}$  single particle energies, in CA, KSA, CA1 without phonon rescattering. CA1 is obtained in CA but using the experimental  $^4\text{He}$  spectrum. Diagram (e) is not included!



$m_I^*(\text{CA}) = 1.6 m_3$   
 $m_I^*(\text{KSA}) = 2.1 m_3$   
 ROP not included  
 \*KSA is closer to expt. at large q  
 \*CA1 and KSA are closer at large q = D good description of the roton in  $^4\text{He}$

\* experimental data

\* The blue dots are the excitation spectrum



When the energy denominator is zero  
the self-energy becomes complex.

The impurity quasi-particle can decay  
into  ${}^4\text{He}$  phonon-roton while making  
a transition into a low-energy impurity  
mode.  $\Rightarrow$  The quasi-particle acquires a  
finite lifetime.

The imaginary part:  $W(q) = \text{Im} \sum_i(q, e(q))$

The OIP contribution:

$$W_{\text{OIP}}(q) = \pi \sum_{q_2}^+ |\langle \bar{q} | H - E_0 - e(q) | \bar{q} - \bar{q}_2; \bar{q}_2 \rangle|^2 \delta(e(q) - e_0(|\bar{q} - \bar{q}_2|) - W(q_2))$$

where we have used the MLP impurity spectrum  
and the experimental  ${}^4\text{He}$   $W(q)$ .

The experimental results compatible with neutron scattering measurements at low  $q$  are parametrized by:

$$e_{MLP}(q) = \frac{\hbar^2 q^2}{2m_3^*} \frac{1}{1 + \gamma q^2}$$

$$m^* \approx 2.2 m_3 \quad \gamma \approx 0.13 \text{ \AA}^2$$

$$e_{LP}(q) = \frac{\hbar^2 q^2}{2m_3^*}$$

one can also parametrize other results.

$$e(q) = \frac{\hbar^2 q^2}{2m_3^*(q)}$$

$$\frac{1}{m_3^*(q)} = \frac{1}{\hbar^2 q} \frac{\partial}{\partial q} (e(q))$$

In this way  $m_3^*(0) = m_3^*$

$$\rho = 0.365 \text{ \AA}^{-3}$$

	$m_3^*(0)$
OIP	$1.8 m_3$
+ TIP	$2.1 m_3$
+ ROP	$2.2 m_3$

$$m_3^*(0) \rho = 0.365 \text{ \AA}^{-3}$$

$$2.2 \text{ (a)}$$

$$2.09 \text{ (b)}$$

$$2.18 \text{ (c)}$$

(a) DMC Boronci et al  
PRB 59(1999) 8844

(b) Krotscheck et al  
PRB 58(1998) 12282

(c) Extracted from  
specific heat measurements. R. Simons.

E. Krotscheck et al PRL  
80(1998) 4709

$$\delta(\text{CBF}) \approx 0.19 \text{ \AA}^2$$

and

$$m_3^*(q = 1.7 \text{ \AA}^{-1}) = 3.2 m_3$$

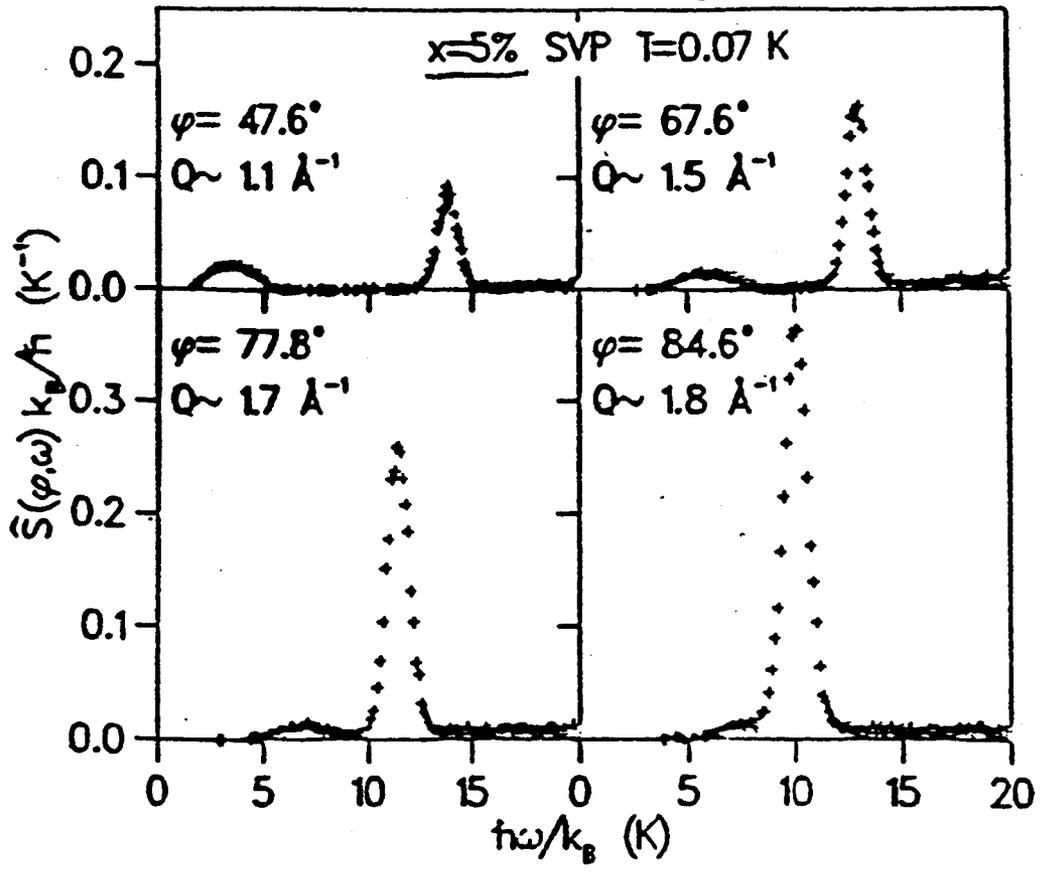
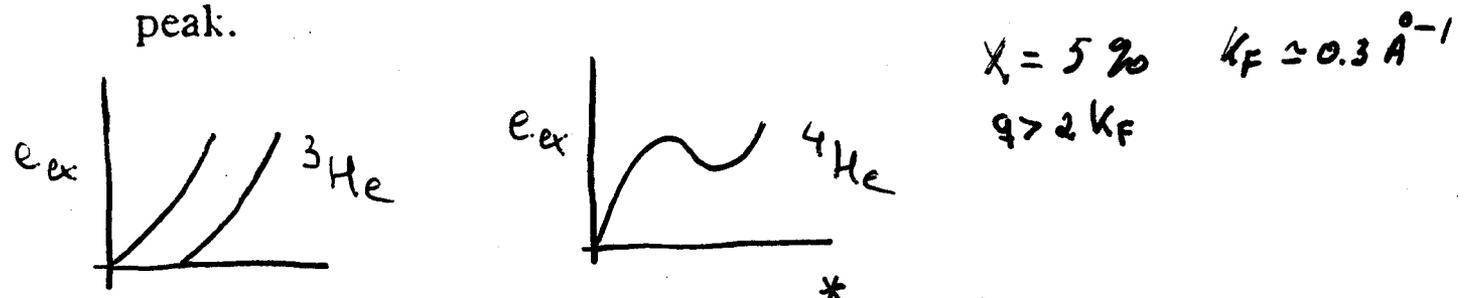


FIG. 2. Neutron-scattering function  $\hat{S}(\phi, \omega)$  for selected angles. With increasing wave vector the low-energy particle-hole peak becomes weaker as it approaches the large phonon-roton peak.



The ph-peak defines the  $m^*$ .  
 The ph-peak is well fitted with a function with  $e(k) = \frac{\hbar^2 q^2}{2m^*} \frac{1}{(1+\gamma q^2)}$  and a quenching factor  $\sim 0.37$ .  
 They take  $S^{(3,4)}(q, \omega) = 0 ??$

dimer hard  
 $u_3^* \approx 2.3 u_I$   
 $\gamma \approx 0.13$