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**IX TRIESTE WORKSHOP ON
OPEN PROBLEMS IN
STRONGLY CORRELATED SYSTEMS**

14 - 25 July 1997

***ac* HALL EFFECT IN STRONGLY INTERACTING
ELECTRON SYSTEMS**

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These are preliminary lecture notes, intended only for distribution to participants.

ac Hall Effect in Strongly Interacting Electron Systems

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ac Hall Effect

Introduction

- Hall effect and cyclotron resonance
- Hall angle sum rule
- experimental

Superconductors

Normal State of High T_c Superconductors

- dc magneto-transport - Ong-Anderson model
- magneto-optics - spinon lifetime and mass.
- other models

Superconducting state

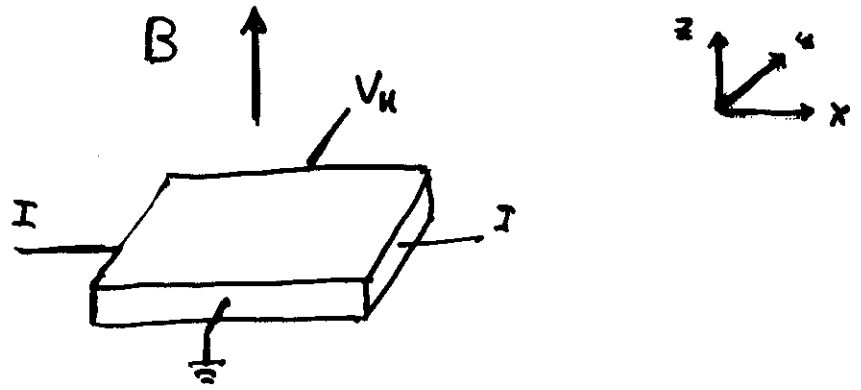
- mixed state of type II superconductors - vortex dynamics
- IR resonances

Implications of Hall Angle Sum Rule

New Experiments

Conclusions

HALL EFFECT



$$E_y = -\rho_{xy} J_x$$

$$E_x = \rho_{xx} J_x$$

$$R_H = \frac{\rho_{xy}}{B} \quad \text{HALL COEFFICIENT}$$

$$\tan \theta_H = \frac{\rho_{xy}}{\rho_{xx}} \quad \text{HALL ANGLE}$$

DRUDE MODEL

$$\rho_{xy} = \frac{1}{ne\tau} \quad \rho_{xx} = \frac{m}{ne^2} (i\omega + 1/\tau)$$

$$\tan \theta_H = \frac{\omega_c}{i\omega + 1/\tau} \quad \omega_c = \frac{eB}{mc} \quad \text{CYCLOTRON FREQUENCY}$$

CONDUCTIVITY TENSOR

$$\sigma = \rho^{-1} = \begin{pmatrix} \sigma_{xx} & \sigma_{xy} \\ -\sigma_{xy} & \sigma_{xx} \end{pmatrix}$$

$$\tan \theta_H = \frac{\sigma_{xy}}{\sigma_{xx}}$$

$$\rho_{xx} = \frac{\sigma_{xx}}{\sigma_{xx}^2 + \sigma_{xy}^2}$$

$$\rho_{xy} = \frac{\sigma_{xy}}{\sigma_{xx}^2 + \sigma_{xy}^2}$$

CIRCULAR MODES

$$\sigma^{\pm} = \sigma_{xx} \pm i\sigma_{xy}$$

$$\sigma = \begin{pmatrix} \sigma^+ & 0 \\ 0 & \sigma^- \end{pmatrix}$$

DRUDE

$$\sigma^{\pm} = \frac{ne^2/m}{i(\omega \pm \omega_c) + 1/\tau}$$

MORE GENERALLY

$$\sigma = \sum \sigma_i$$

HALL ANGLE SUM RULE

f-SUM RULE

$$\text{Re} \int_0^\infty \nabla_{xx} d\omega = \frac{\pi}{2} \frac{ne^2}{m} = \frac{1}{8} \omega_p^2 = \frac{e^2}{4\alpha\hbar} \oint dk_z |v|$$

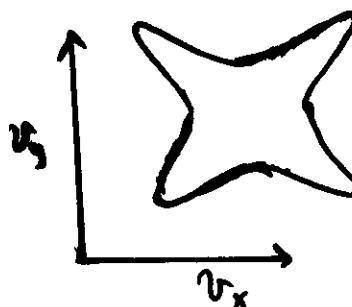
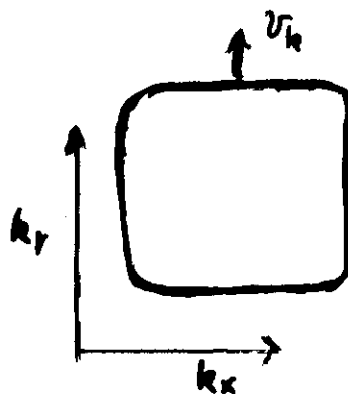
HALL ANGLE SUM RULE

$$\tan \theta_H = \frac{\nabla_{xy}}{\nabla_{xx}}$$

$$\text{Re} \int_0^\infty \tan \theta_H d\omega = \frac{\pi}{2} \omega_c = \frac{\pi}{2} \omega_H = \frac{\pi}{2} \frac{eB}{\hbar c} \frac{\oint dk_z v \times dv/dk_z}{\oint dk_z |v|}$$

$$\oint dk_z v \times dv/dk_z$$

$$= \oint d\mathbf{r} \times \mathbf{v}$$



WHAT DOES A C HALL EFFECT MEASURE?

$$\omega_c \tau \rightarrow \infty \quad R_H = \frac{1}{nec}$$

$$\omega_c \tau \rightarrow \infty \quad R_H^\infty$$

DRUDE $R_H^\infty = \frac{1}{nec}$

n-BAND $R_H^\infty = \frac{1}{ec} \frac{\sum \frac{n_i}{m_i^2}}{(\sum \frac{n_i}{m_i})^2}$

FERMI LIQUID $R_H^\infty \propto \frac{\oint d\sigma \times v}{(\oint d\mathbf{k} |v|)^2} \propto \frac{\oint d\sigma \times v}{\omega_p^4}$ AREA OF VELOCITY SURFACE

UNIFORM VELOCITY RENORMALIZATION CANCELS

$$\text{SCALE F.S.} \Rightarrow R_H^\infty \sim \left(\frac{1}{\Delta k} \right)^2$$

HUBBARD MODEL SHASTRY, SHRAIMAN & SINGH, PRL 70, 2004 (199)

$$1/\tau \ll \omega \ll U$$

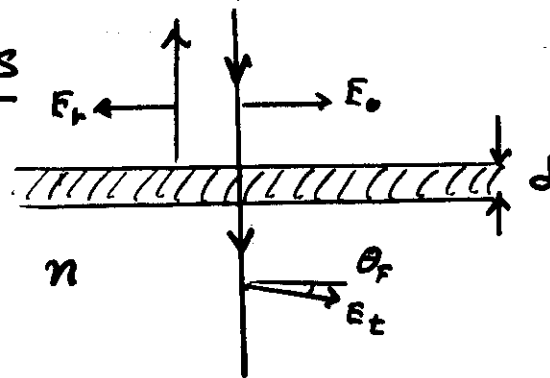
$$R_H^\infty = r_0 \left[\frac{1}{4\delta} + \frac{3}{4} - \frac{1}{1-\delta} \right] \quad \delta = 1 - n$$

A.C. HALL MEASUREMENTS - FILMS

$$E_t = E_0 \frac{2\eta}{1+\eta+z_0\sigma} = E_{t0} \frac{1}{1+z\sigma}$$

$$d < \lambda_L, \quad z = z_0/(1+\eta)$$

$$t = E_t/E_0$$



$$B \neq 0$$

$$E_t^{\pm} = E_{t0}^{\pm} \frac{1}{1+z\sigma^{\pm}}$$

$$\frac{t^+}{t^-} = \frac{1+z(\sigma_{xx}-i\sigma_{xy})}{1+z(\sigma_{xx}+i\sigma_{xy})} = \frac{1-i\tan\theta_F}{1+i\tan\theta_F}$$

$$\tan\theta_F = \frac{z\sigma_{xy}}{1+z\sigma_{xx}}$$

$$\boxed{\text{FARADAY ROTATION}} \Rightarrow \text{Re } \tan\theta_F$$

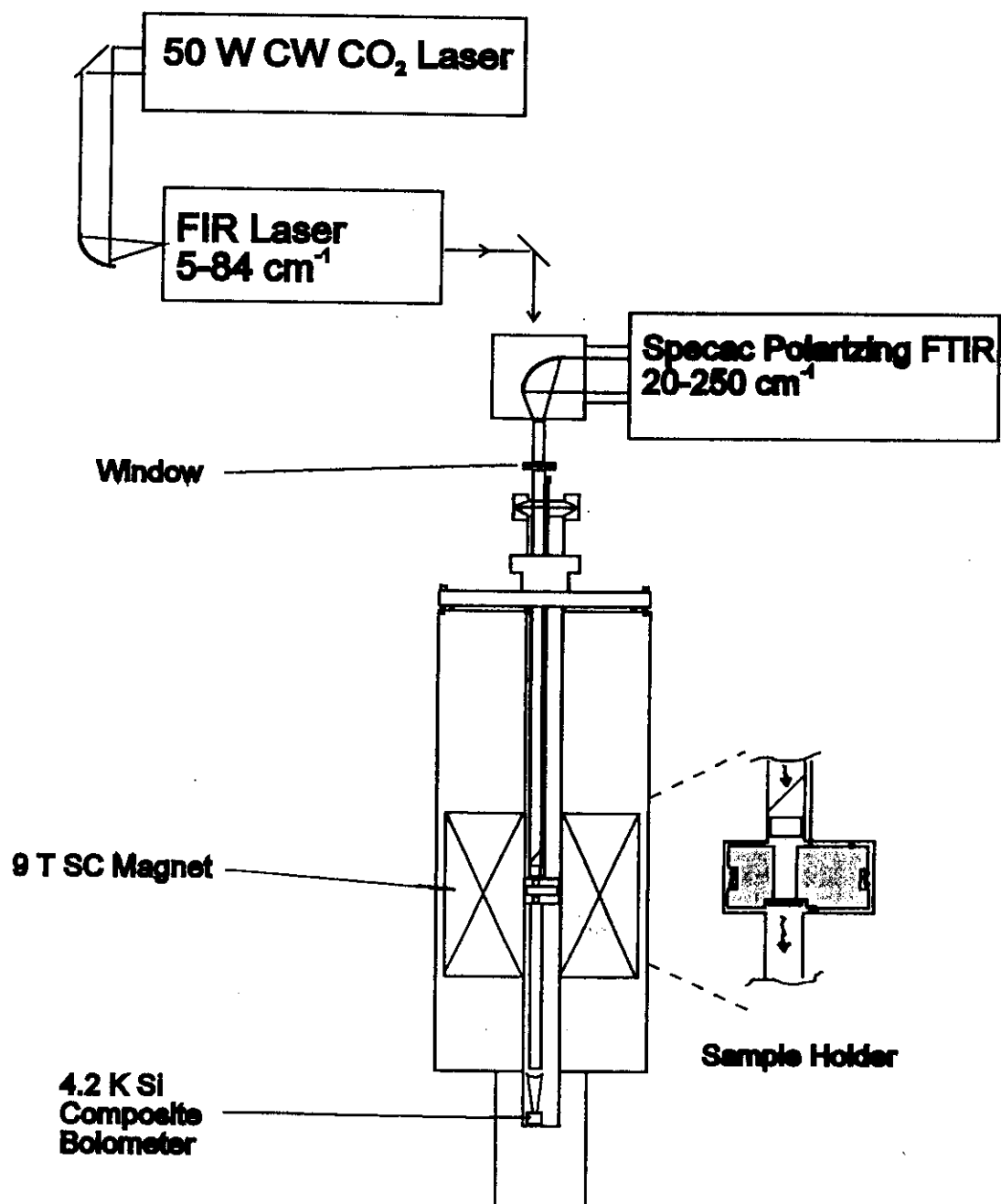
$$\boxed{\text{CIRCULAR DICHROISM}} \Rightarrow \frac{T^+}{T^-} = \left| \frac{t^+}{t^-} \right|^2 \cong 1 + 4 \text{Im } \tan\theta_F$$

$$\text{for } z\sigma_{xx} \gg 1 \quad T \ll 1 \quad \tan\theta_F \cong \tan\theta_H = \frac{\sigma_{xy}}{\sigma_{xx}}$$

$$R_H = \frac{1}{B} \frac{\sigma_{xy}}{\sigma_{xx}^2} = \frac{1}{B} \frac{\tan\theta_H}{\sigma_{xx}}$$

$$\text{SUM RULE ON } \theta_F : \int_0^{\infty} \tan\theta_F d\omega = 0$$

FIR Magneto-Transmission



HIGH T_c SUPERCONDUCTORS - REVIEW

NORMAL STATE - ANOMALOUS

$$\rho(T) \propto T \quad \text{NO SATURATION}$$

$$R_H(T) \sim 1/T$$

$$\text{OPTICAL } 1/\epsilon \simeq \omega \quad \text{MARGINAL FERMION LIQUID}$$

2-D LUTTINGER LIQUID : P.W. ANDERSON
R. LAUGHLIN

SPINONS & HOLONs - SPIN - CHARGE SEPARATION

SUPERCONDUCTING STATE - NO THEORY

PAIRING - JOSEPHSON EFFECT

d-WAVE PAIRING - SQUID

- MICROWAVE REACTANCE $\delta\lambda_L \sim T$

TYPE II $I_c \ll \lambda_L$

VORTICES

- NEUTRON

- STM

- μ WAVE LOSSES

- d-WAVE ASPECTS ?

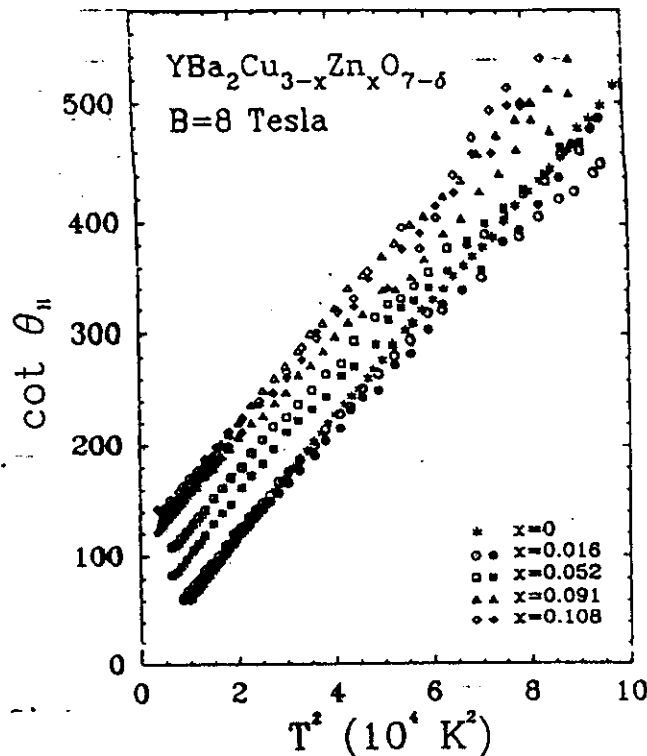
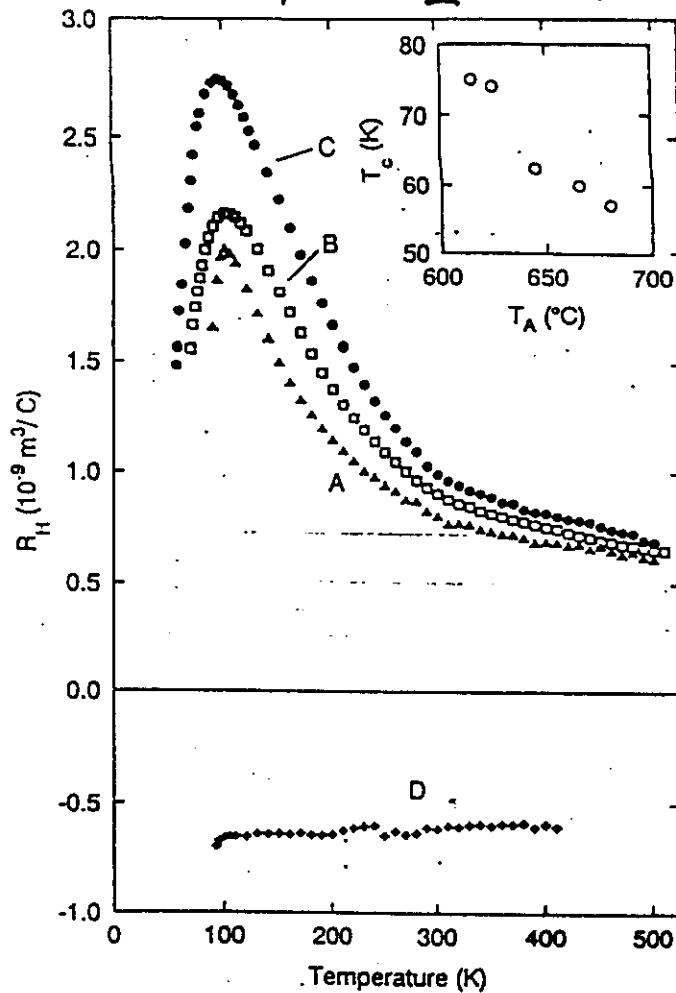
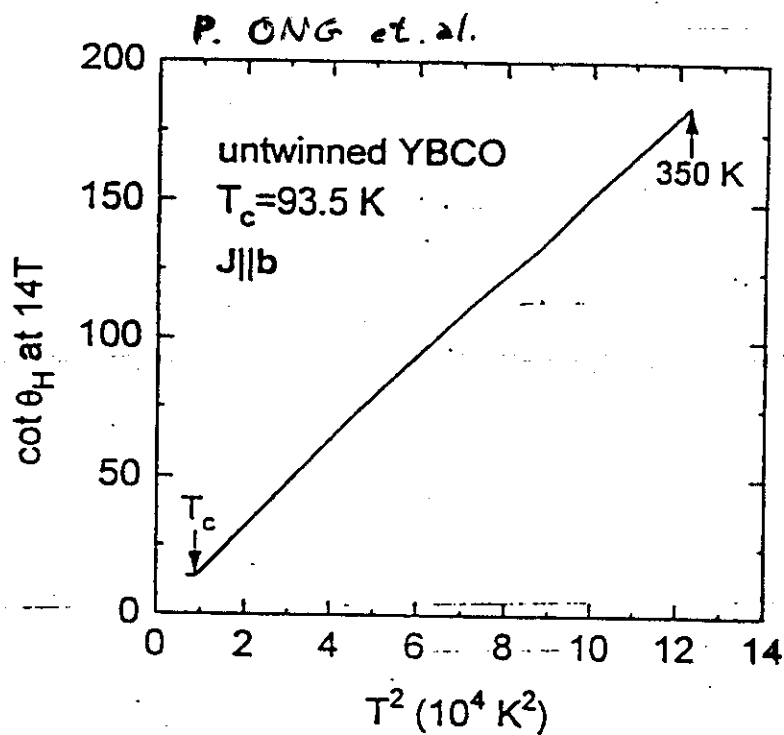


FIG. 2. Temperature dependence of the Hall angle show $\cot \theta_H$ vs T^2 for a series of Zn-doped YBCO crystals. $\cot \theta_H$ computed from ρ_{xx} and ρ_{xy} measured on the same crystal. by $\cot \theta_H = \alpha T^2 + \beta x$ gives $\alpha = 5.11 \times 10^{-3}$.



$$\cot \theta_H = \alpha T^2 + \beta x$$

WEAK FIELD MAGNETO-RESISTANCE AND HALL EFFECT

2-D LUTTINGER LIQUID MODEL - P.W. ANDERSON

$$\tau_{\text{ch}}^{-1} \sim T$$

(holon)

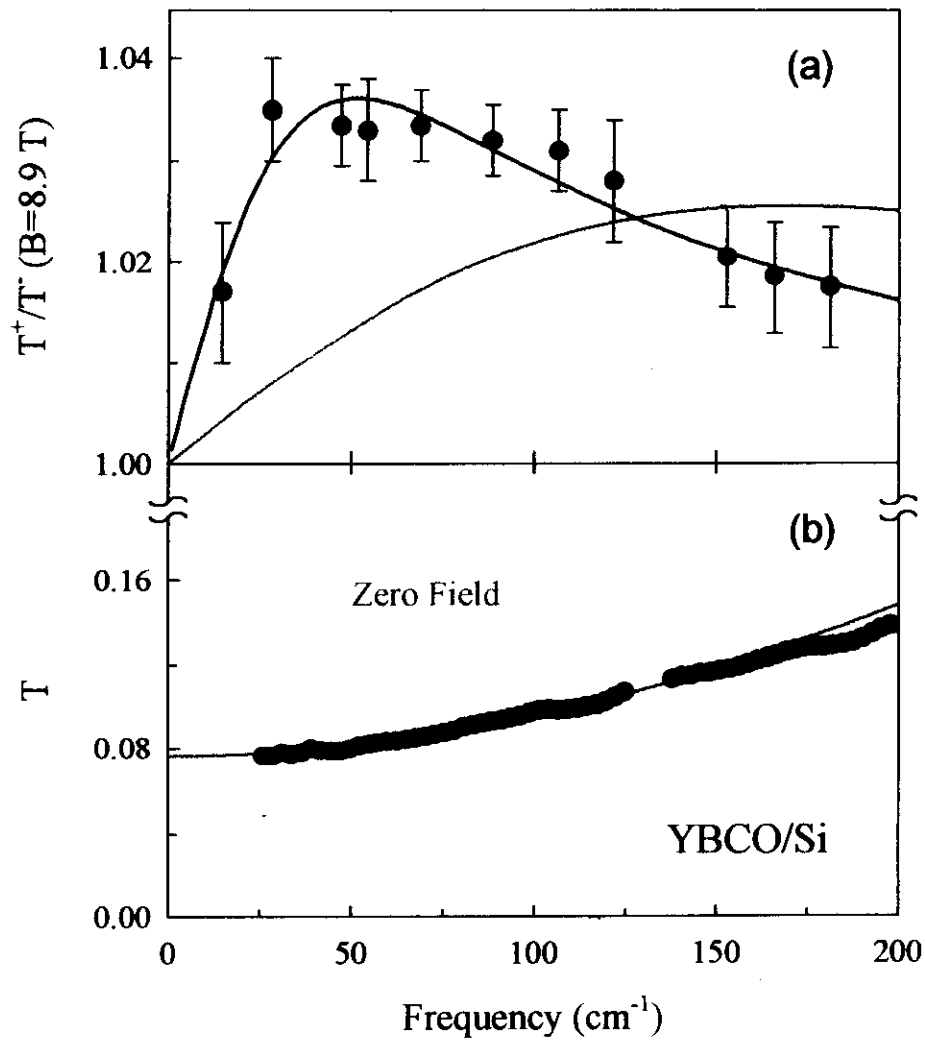
$$\tau_{\text{H}}^{-1} \sim T^2/W_s$$

(spinon)

	<u>NON-FERMI</u>	<u>DRUDE</u>
$\sigma_{xx} = \frac{ne^2}{m} \tau_{\text{ch}}$	$\sim 1/T$	$\sim 1/T$
$\sigma_{xy} = \frac{ne^2}{m} \tau_{\text{ch}} (\omega_H \tau_{\text{H}})$	$\sim 1/T^3$	$\sim 1/T^2$
$\rho_{xy} = \frac{\sigma_{xy}}{\sigma_{xx}^2} \sim \frac{\tau_{\text{H}}}{\tau_{\text{ch}}}$	$\sim 1/T$	$\frac{B}{nec}$
$\cot(\theta_H) = \frac{\sigma_{xx}}{\sigma_{xy}} = \frac{1}{\omega_H \tau_{\text{H}}}$	$\sim T^2$	$\sim T$

$$\omega_H = \frac{eB}{m_H c}$$

Normal State Hall Effect



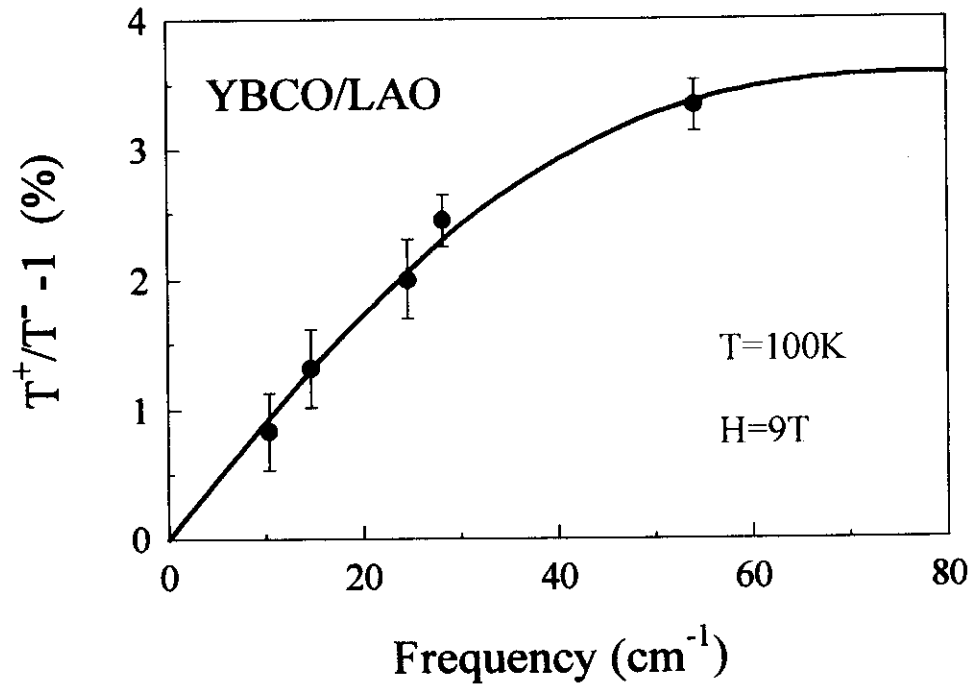
Drude model

$$\sigma(\omega) = \frac{ne^2/m}{i\omega + 1/\tau_{tr}}, \quad T \sim \frac{1}{|\sigma|^2} \sim 1/\tau_{tr}^2 + \omega^2$$

$$\sigma^\pm(\omega) = \frac{ne^2/m}{i(\omega \pm \omega_c) + 1/\tau_{tr}}, \quad \frac{T^+}{T^-} \sim 1 + \text{Im}\left(\frac{\sigma_{xy}}{\sigma_{xx}}\right)$$

$$1/\tau_{tr} \sim 190 \text{ cm}^{-1}$$

$$1/\tau_H \sim 60 \text{ cm}^{-1}$$



AC Extension

$$\sigma_{xx} = \frac{ne^2}{m^*} \cdot \tilde{\tau}_{tr}$$

$$\sigma_{xy} = \frac{ne^2}{m^*} \cdot \tilde{\tau}_{tr} \cdot (\omega_H \tilde{\tau}_H)$$

$$\begin{cases} 1/\tilde{\tau}_{tr} = 1/\tau_{tr} + i\omega \\ 1/\tilde{\tau}_H = 1/\tau_H + i\omega \end{cases}$$

AC GENERALIZATION OF ANDERSON'S MODEL

$$\sigma_{xx} = \frac{ne^2}{m} \tilde{\tau}_{ee}$$

$$\tilde{\tau}_{ee}^{-1} = i\omega + 1/\tau_{ee}$$

$$\sigma_{xy} = \frac{ne^2}{m} \tilde{\tau}_{ee} \omega_H \tilde{\tau}_H$$

$$\tilde{\tau}_H^{-1} = i\omega + 1/\tau_H$$

$$\omega_H = \frac{eB}{m_H c}$$

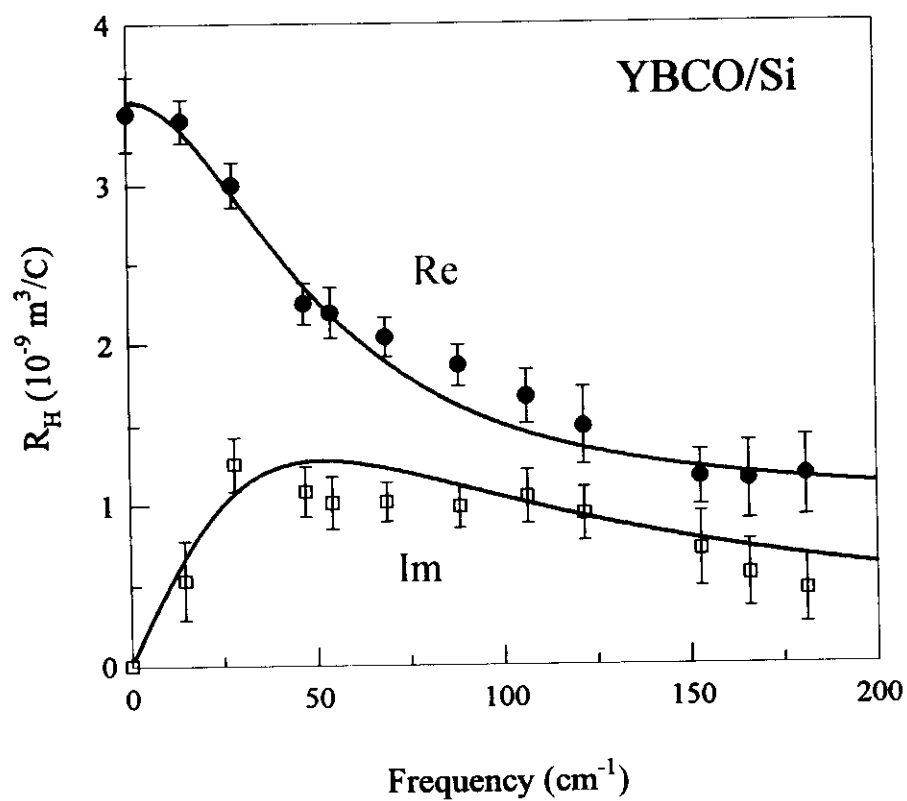
AC HALL COEFFICIENT

$$\rho_{xy} \cong \frac{\sigma_{xy}}{\sigma_{xx}^2} = \frac{1}{ne^2} \frac{m_H}{m} \frac{i\omega + 1/\tau_{ee}}{i\omega + 1/\tau_H}$$

HALL ANGLE

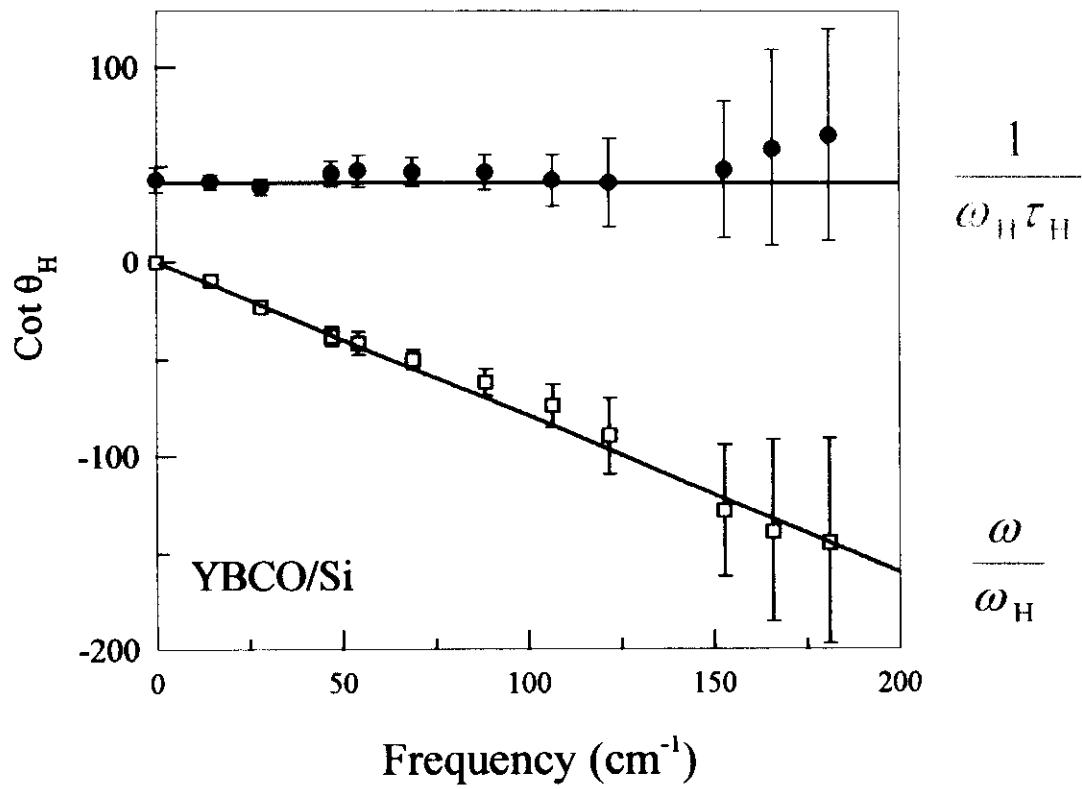
$$\cot(\theta_H) = \frac{\sigma_{xx}}{\sigma_{xy}} = \frac{1}{\omega_H \tilde{\tau}_H} = \frac{1}{\omega_H \tau_H} + i \frac{\omega}{\omega_H}$$

Hall Coefficient



$$R_H = \frac{\rho_{xy}}{B} \quad \rho_{xy} = \frac{\sigma_{xy}}{\sigma_{xx}^2 + \sigma_{xy}^2} \sim \frac{\sigma_{xy}}{\sigma_{xx}^2}$$

Hall Angle



$$\cot(\theta_H) \equiv \frac{\sigma_{xx}}{\sigma_{xy}} = \frac{1}{\omega_H \tau_H} - i \cdot \frac{\omega}{\omega_H}$$

$$\omega_H \equiv \frac{eB}{m_H}$$

m_H AND τ_H

$$\cot(\theta_H) = \frac{1}{\omega_H \tau_H} + i \frac{\omega}{\omega_H}$$

$$\omega_H \equiv \frac{eB}{m_H c} \Rightarrow m_H \simeq 6 m_e$$

$$\omega_H \tau_H \simeq 40^{-1} \Rightarrow 1/\tau_H \simeq 60 \text{ cm}^{-1}$$

$$1/\tau_H \simeq T^2/\omega_s \Rightarrow \omega_s \simeq 120 \text{ K}$$

$$1/\tau = \frac{(\pi T)^2 + (E - E_F)^2}{\pi^2 \omega_s}$$

$$\pi^2 \omega_s \simeq 1200 \text{ K}$$

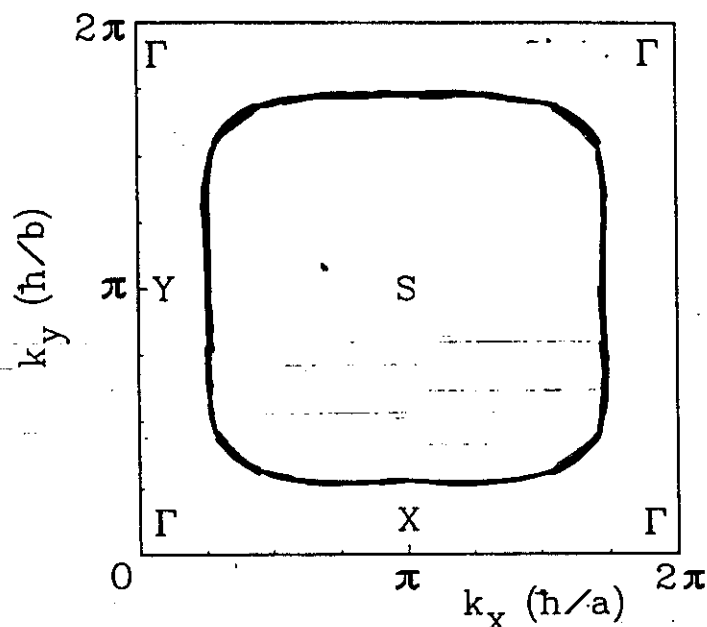
FERMI LIQUID - WEAK FIELD HALL EFFECT

$$\sigma_{xx} = \frac{e^2}{\pi h} \frac{1}{d} \oint dk |v| \tilde{\tau}$$

$$1/\tilde{\tau} = i\omega + 1/\tau$$

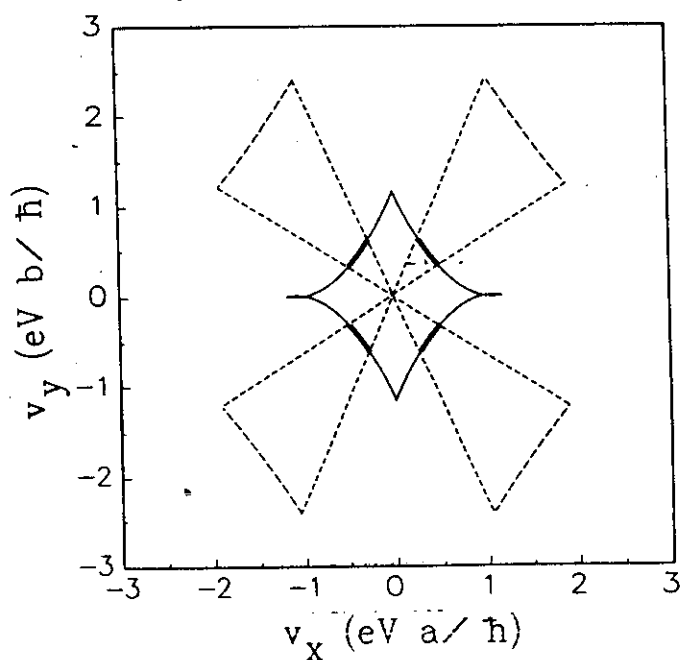
$$\sigma_{xy} = \frac{eB}{\hbar c} \frac{e^2}{h} \frac{1}{d} \oint dk v \tilde{\tau} \times d\psi \tilde{\tau} / dk \propto \oint d\psi \tilde{\tau} \times v \tilde{\tau}$$

FERMI SURFACE



TIGHT BINDING MODEL OF
BONDING BAND O.K. ANDERSON
et al. PRB 49 4145 (1994)
& ARPES - M.C. SCHABEL

VELOCITY SURFACE



HOT SPOTS NEAR (π,0)

AC & DC MAGNETO TRANSPORT

$B \perp ab$

$B \parallel ab$; N.E. HUSSEY et al

PRL 76 122 (1996).

NAFL MODEL

STOSKOVIC & PINES

PRB 55 8576 (1997)

FERMI LIQUID - 2τ MODEL

W/ V. YAKOVENKO
A. ZHELEZVYAK

$$\sigma_{xx} = \frac{\omega_p^2}{4\pi} [a_1 \tilde{\tau}_1 + a_2 \tilde{\tau}_2], \quad a_1 + a_2 = 1$$

$$\sigma_{xy} = \frac{\omega_p^2}{4\pi} \omega_H [b_1 \tilde{\tau}_1^2 + b_2 \tilde{\tau}_2^2], \quad b_1 + b_2 = 1$$

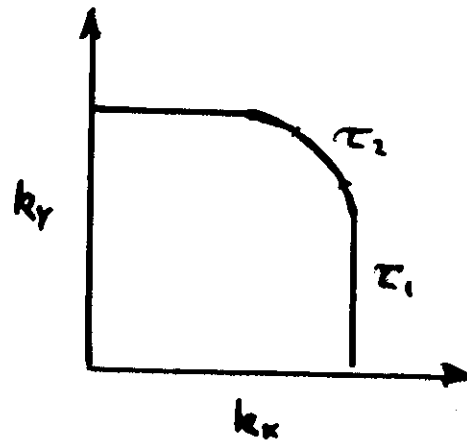
$$\tilde{\tau} = \frac{1}{i\omega + \gamma\tau}$$

$$a \sim \int dk_z |v| / \omega_p^2$$

$$b \sim \int dk_z v \times d\sigma / dk_z / \omega_H$$

GENERAL : 6 PARAMETERS

$$\omega_p, \omega_H, a_1, b_1, \tau_1, \tau_2$$

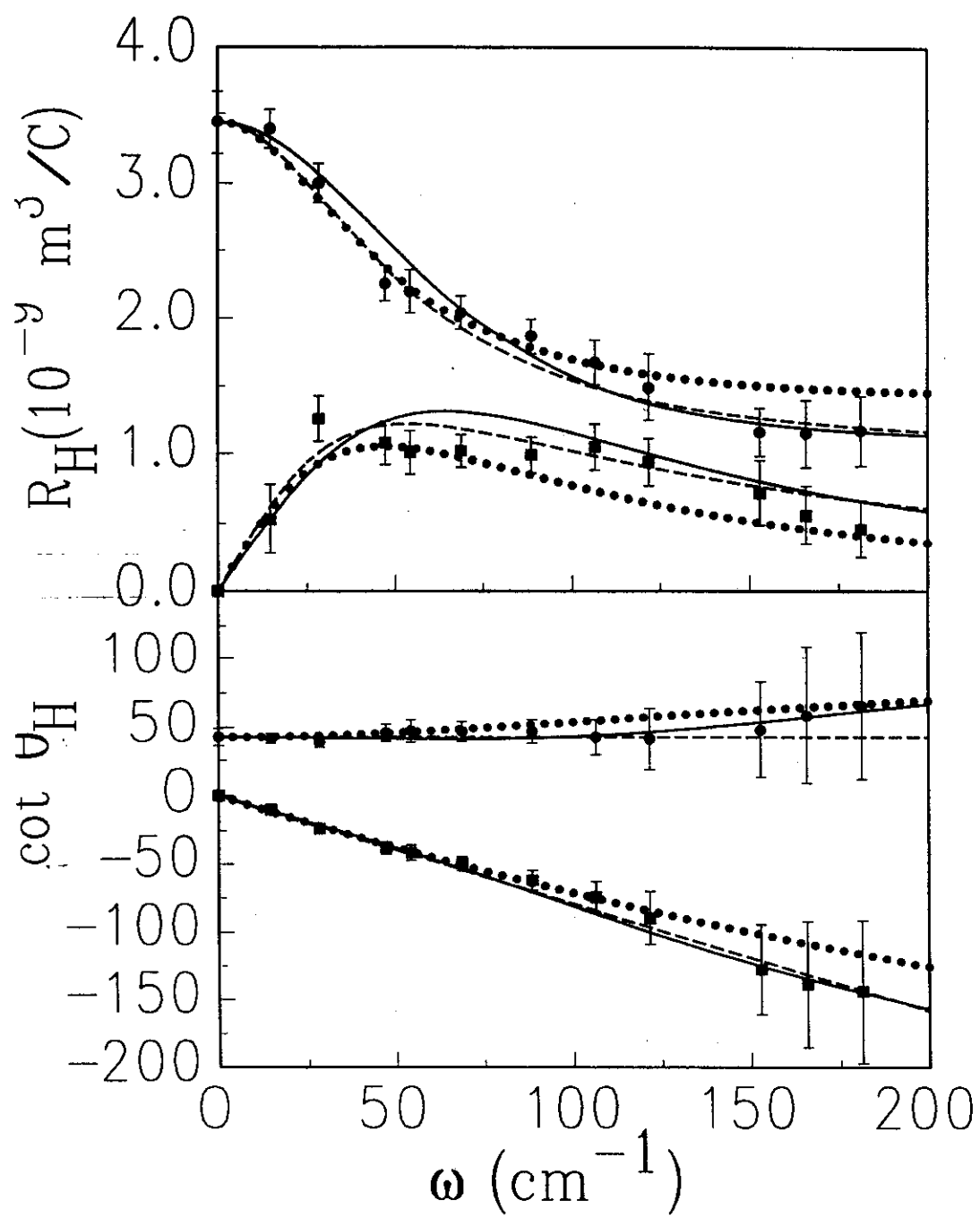


BAND STRUCTURE $\Rightarrow$ RELATION BETWEEN a_1 & b_1

$$\text{Im} \cot \theta_H \propto \omega \Rightarrow \frac{\tau_2}{\tau_1} = \frac{2 - 1/b_2}{2 - 1/a_1}$$

ONG-ANDERSON MODEL : 4 PARAMETERS : $\omega_p, \omega_H, \tau_1, \tau_2$

$$\text{Im} \cot \theta_H \propto \omega$$



ADDITIVE 2τ MODEL

SCALE FACTORS : ω_p & ω_H

$$\omega_p^2 \propto \oint dk |v| \quad \left(\frac{\omega_p^{2\tau}}{\omega_p^{\text{exp}}} \right)^2 \approx 4$$

$$\omega_H \propto \oint dv \times v$$

$$\frac{R_H^{2\tau}}{R_H^{\text{exp}}} \approx \frac{\frac{\omega_H^{2\tau}}{\omega_H^{\text{exp}}}}{\left(\frac{\omega_p^{2\tau}}{\omega_p^{\text{exp}}} \right)^2} \approx 0.6$$

FREQUENCY DEPENDENCE OF $\cot \theta_H$, R_H -

$$\cot \theta_H = \frac{i\omega + \gamma\tau}{\omega_H} \quad \text{ACCIDENTAL}$$

TEMPERATURE DEPENDENCE OF ρ , $\cot \theta$ -

$$\cot \theta_H = A T^2 \quad \text{ACCIDENTAL}$$

OTHER MODELS

LEE & P.A. LEE (PREPRINT)

SPIN-CHARGE SEPARATION

$$\theta_H = \frac{\bar{K}(T) e B / m c}{i\omega + \gamma\tau}$$

ONLY PHYSICAL HOLE RESPONDS TO B

$$\tau_{\text{hole}} \rightarrow \infty \text{ AS } T \rightarrow T_c$$

θ_H SUM RULE VIOLATED

$R_H(\omega)$ CONSTANT

KOTLIAR, SENGUPTA & VARMA PRB 53 3573 (1996).

SKEW SCATTERING

$$\theta_H = \frac{\omega_c}{i\omega + \gamma\tau_{\text{eff}}} \left[a + \frac{b}{E_F \tau_s} \right] \quad \tau_s \propto 1/T$$

θ_H SUM RULE VIOLATED

$R_H(\omega)$ CONSTANT

COLEMAN, SCHOFIELD & TSVELIK PRL 76 1324 (1996).

CHARGE CONJUGATION MODEL

$$\sigma_{xy} = \frac{\omega_F^2}{2\pi} \frac{1}{(\tilde{\Gamma}_f(\omega) + \tilde{\Gamma}_s(\omega))}$$

$$\tilde{\Gamma}(\omega) = i\omega + \Gamma$$

$$\sigma_{xy} = \frac{\omega_F^2}{4\pi} \omega_H \frac{1}{\tilde{\Gamma}_f(\omega) \tilde{\Gamma}_s(\omega)}$$

REQUIRES COOPER PAIRING WITH
FINITE MOMENTUM

DOESNT FIT DATA AS WELL AS OBG-ANDERSON

STOJKOVIC & PINES PRB 55 8576 (1997)

NAFL MODEL

$\cot \theta_H \sim T^2$ COMES FROM DETAILS OF T DEPENDENCE OF τ_H

$\sim$ ADDITIVE 2τ MODEL

TYPE II SUPERCONDUCTORS

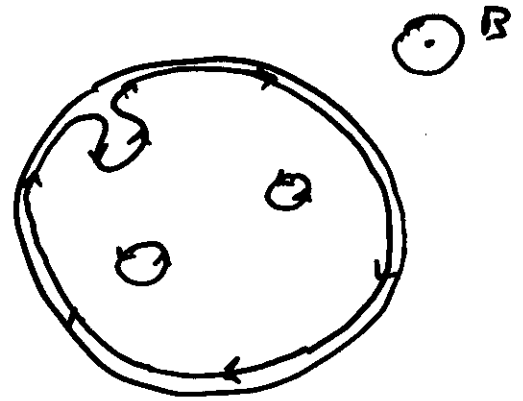
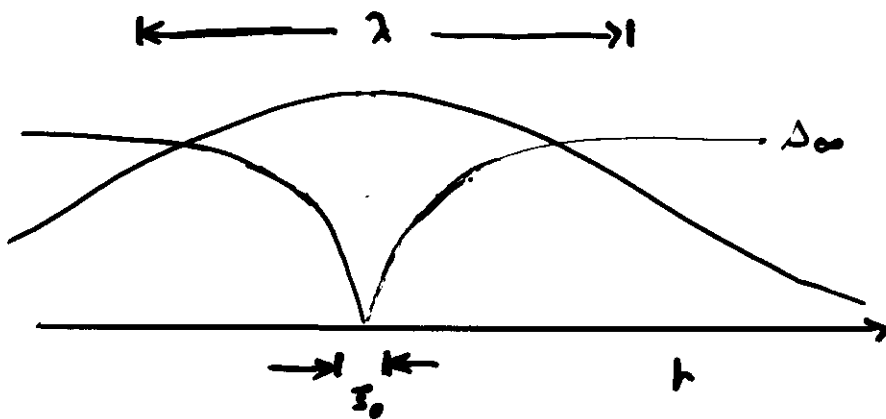
$$B \neq 0$$

COHERENCE LENGTH - radius of Cooper pair

$$\xi_0 = \frac{\hbar v_F}{\pi \Delta}$$

$$\xi_0 \approx 500 \text{ \AA} - 15 \text{ \AA}$$

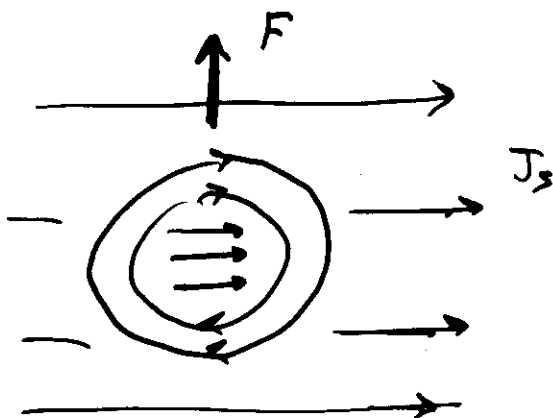
TYPE II $\xi_0 \ll \lambda$



flux line

$$\Phi_0 = \frac{hc}{2e}$$

VORTEX DYNAMICS



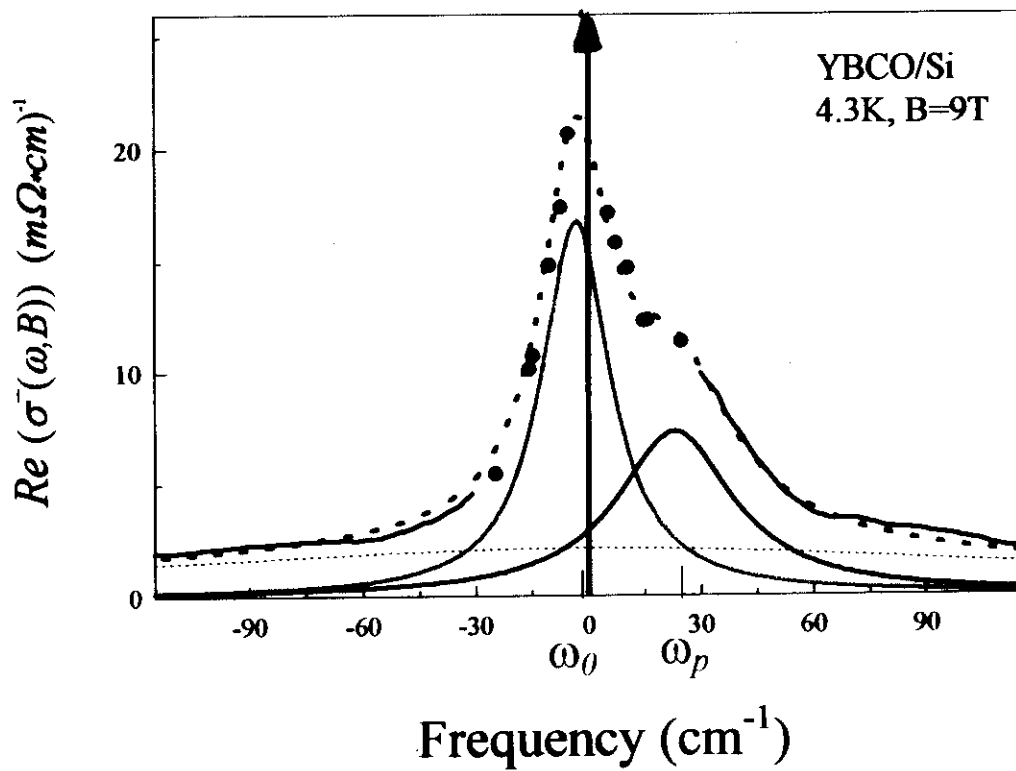
$$F = \frac{1}{c} J_s \times \hat{\Phi}_0 \quad \text{Lorentz-Magnus}$$

$$E = -\frac{1}{c} v_v \times B \quad \text{Faraday-Josephson}$$

$$E \cdot J_s \geq 0 \quad \text{DISSIPATION}$$

Joule heating of core

Magneto-Conductivity



Model: Two Lorentzian oscillators + London term

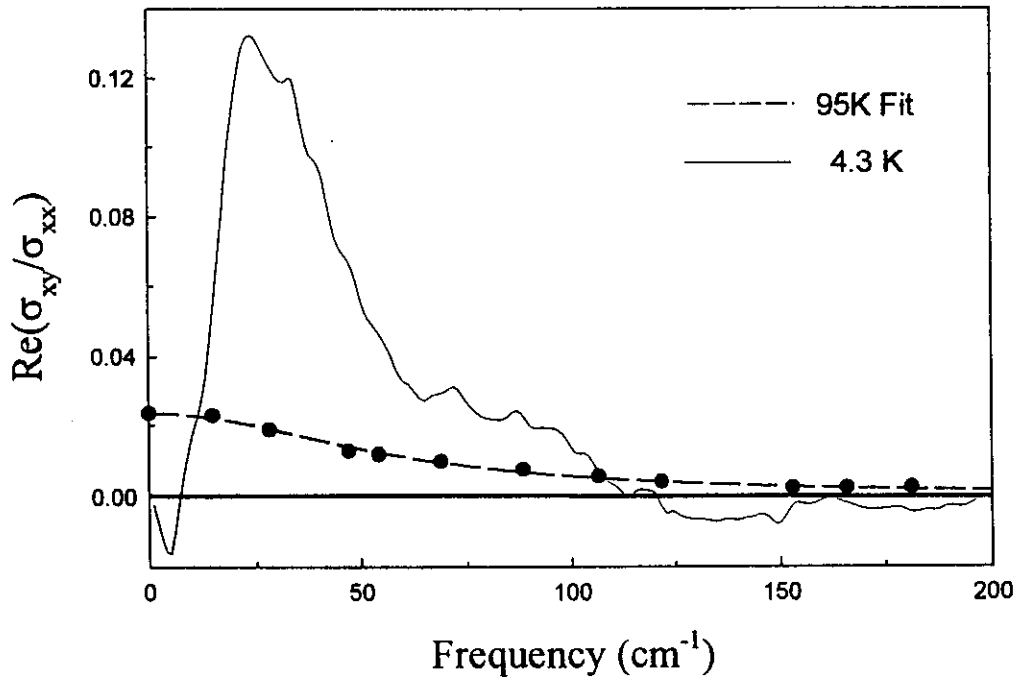
$$\sigma^{\pm} = \frac{ne^2}{m^*} \left[\frac{f_s}{i\omega} + \frac{f_0}{i(\omega \pm \omega_0) + \Gamma_0} + \frac{f_p}{i(\omega \pm \omega_p) + \Gamma_p} \right]$$

ω_p -- Pinning frequency $\sim 25 \text{ cm}^{-1}$

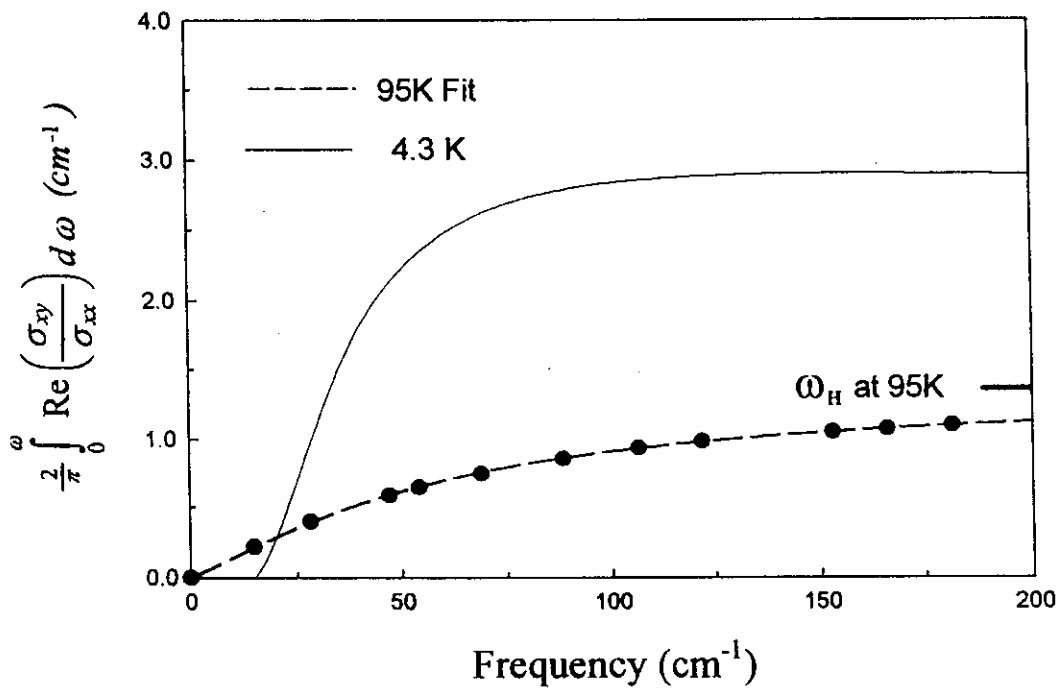
Γ_0 -- Depinning frequency due to damping

ω_0 -- Due to Hall force $\sim 0 \text{ cm}^{-1}$

YBCO/Si, H=9T



$$\theta_H' = \frac{\omega_H \tau_H}{1 + (\omega \tau_H)^2}$$



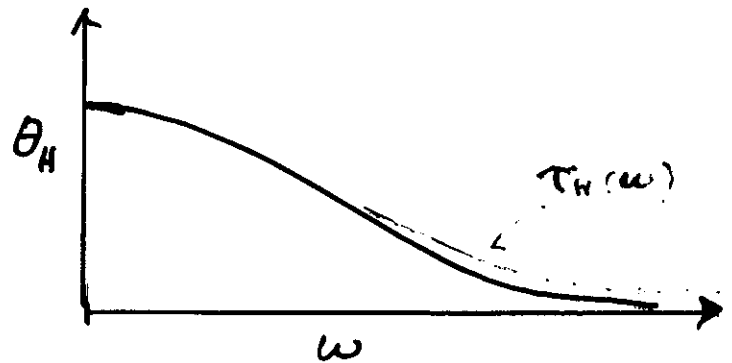
ω_H RENORMALIZATION

$$1/\tau_H = [(\pi kT)^2 + (\hbar\omega)^2] / E_0$$

$$\Rightarrow \text{high frequency tail in } \theta_H = \frac{\omega_H}{i\omega + 1/\tau_H}$$

renormalizes ω_H

fixes θ_H some rule?



$$\theta_H = \frac{\omega_{sc}}{i\omega + M(T, \omega)} \quad \xrightarrow{\omega \rightarrow \infty} \quad \frac{\omega_H}{i\omega + 1/\tau_H}$$

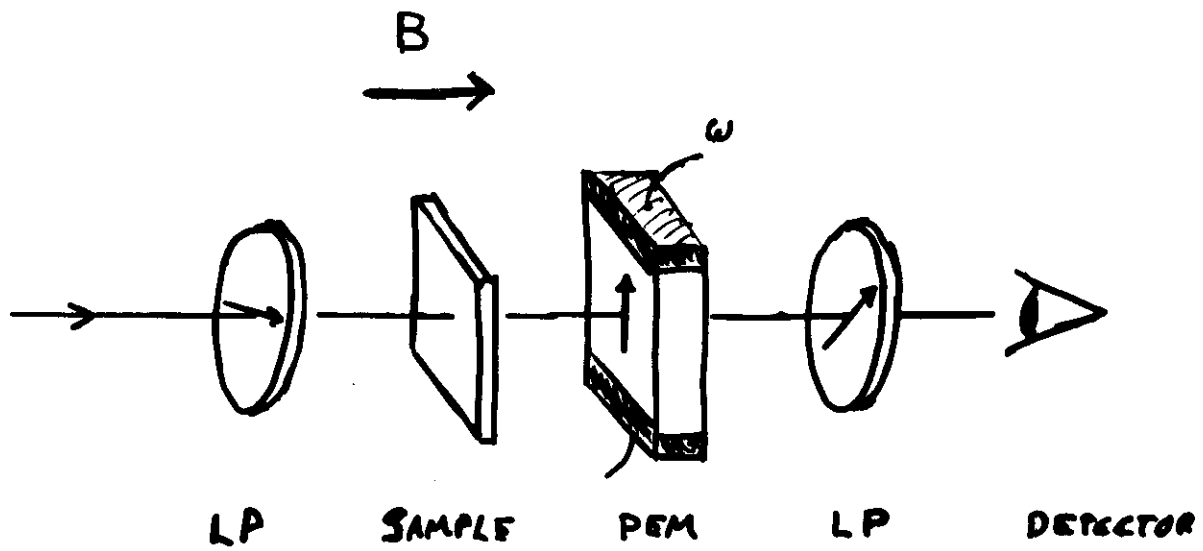
$$M(T, \omega) = \frac{T^2}{\omega_s} + \lambda_0 \frac{\omega_0 \omega}{\omega - i\omega_0}$$

$$\frac{\omega_{sc}}{\omega_H} = 1 + \lambda_0 \quad \lambda_0 \Rightarrow -1.1$$

$$\lim_{\omega \rightarrow \infty} \frac{M(T, \omega)}{1 + \lambda_0} = \frac{1}{\tau_H} = \frac{(\pi kT)^2 + (\hbar\omega)^2}{\pi^2 \omega_s}$$

$$\omega_0 \approx 1800 \text{ K}$$

POLARIZATION MODULATION



$$\frac{\Delta T}{T_0} = 2 \left[\operatorname{Re}(\tan \theta_F) J_2(\beta) \cos 2\omega t + \operatorname{Im}(\tan \theta_F) J_1(\beta) \cos \omega t \right]$$

$$\beta = 2\pi \frac{d}{\lambda} \Delta n$$

CONCLUSIONS

1. ac Hall effect provides powerful new probe of strongly interacting electron systems.
2. ac Hall effect discriminates between models of the normal state of the cuprates.
3. A sum rule governs the spectral distribution of the Hall angle.
4. The Hall angle sum rule is found to be violated over the measured frequency range ($\omega \leq 25 \text{ meV}$) when the superconducting and normal state responses are compared - implying additional magneto-physics at higher energies.

