



H4.SMR/1058-20

## WINTER COLLEGE ON OPTICS

9 - 27 February 1998

### *The Characterization of Laser Beams*

**A. Sona**

**CISE, Milano, Italy**

# Winter College on Optics

## Conference on Laser Beams Characterization

by Alberto Sona

### Outline :

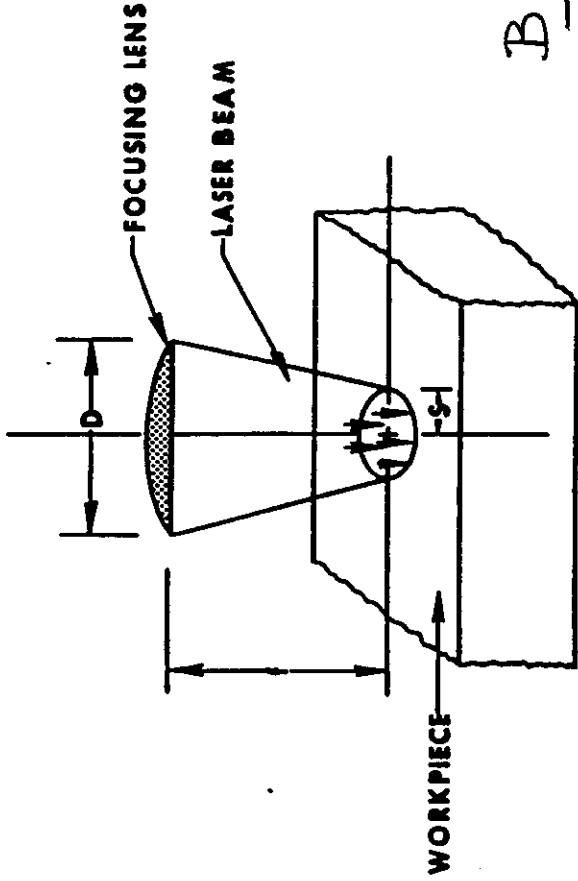
- \* Motivation and examples
- \* Beams focusability and spatial coherence
- \* The  $M^2$  approach : a beam invariant
- \* The second order momentum of  $I(x,y)$
- \* Alternative simpler approaches :  
slit, knife edge ,variable aperture
- \* Gaussian beams single and multimode.
- \* Instruments for measuring  $I(x,y)$  and  $M^2$
- \* Results of a round robin test.
- \* The modal content and the inversion problem.
- \* Wavefront phase measurements.
- \* Other beam parameters considered by the Norms.

Trieste, February 1998

# BEAM FOCUSING PARAMETERS

radius  $S = K \lambda (f/D)$

$K = 1.22$  plane wave @ 1<sup>st</sup> Bessel zero  
 $K = 2/\pi$  gaussian beam @  $\frac{1}{e^2}$  Int



THEORETICAL MINIMUM SPOT RADIUS:

$$S = 1.22 (\lambda) \left[ \frac{f}{D} \right]$$

84% OF BEAM ENERGY WITHIN THIS RADIUS FOR  
 UNIFORM PLANE WAVE IMPINGING  
 ON FOCUSING LENS

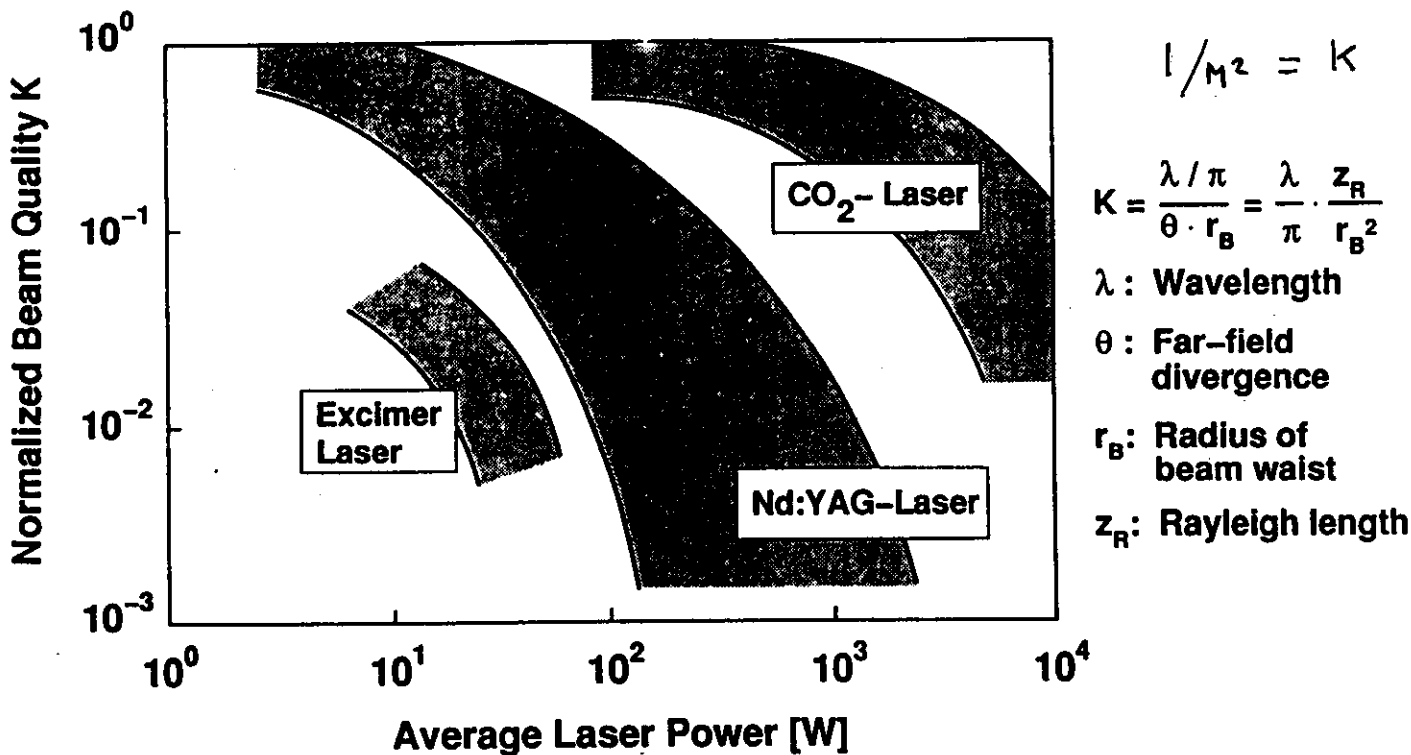
Beam parameter

$$1/M^2 = K = B_p = \frac{\lambda}{\pi \cdot \theta \cdot W} = 1 \text{ for TEM}_{00} \quad (*)$$

Depth of focus (50% intensity)  $Z_{0.5} = \pm \frac{4\lambda}{\pi} \left( \frac{f}{D} \right)^2$   
 gaussian beam diameter D at  $1/e^2$  points

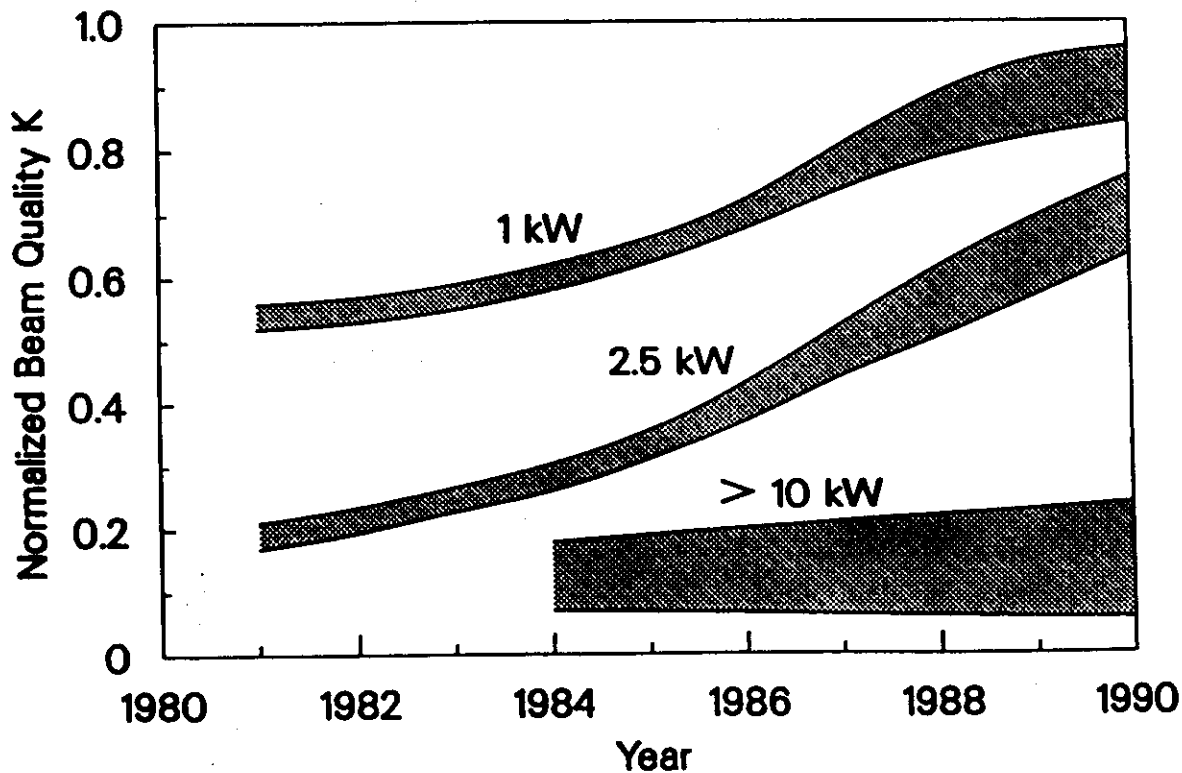
(\*)  $B_p = (2p + l + 1)^{-1}$  (Laguerre);  $B_p = (2m + 1)^{-1}$  (Hermite)  
 circular coordinates      rectangular coordinates

# Normalized Beam Quality



## FIGURE 1

# CO<sub>2</sub> Laser Beam Quality



## FIGURE 2

# Spatial Coherence & Directionality

- First order correlation function between the field amplitudes at the same time in different points (ensemble (or time) ave.)

$$\Gamma_{12}^{(1)}(\bar{r}_1 - \bar{r}_2, t) = \langle E_1(\bar{r}_1, t) E_2^*(\bar{r}_2, t) \rangle$$

- The normalized function is called the "degree of spatial coherence defined as:

$$\gamma_{12}^{(1)}(\bar{r}_1 - \bar{r}_2, 0) = \Gamma_{12}^{(1)}(\bar{r}_1 - \bar{r}_2, 0) / \left\{ \Gamma_{11}^{(1)}(\bar{r}_1) \cdot \Gamma_{22}^{(1)}(\bar{r}_2) \right\}^{1/2} \leq 1$$

(in general is a complex quantity)

- The value  $|\bar{r}_1 - \bar{r}_2|$  for which  $|\gamma_{12}^{(1)}(\bar{r}_1 - \bar{r}_2, 0)| = 1/2$  defines the "coherence radius and the related "coherence area".

- For an ideal unlimited wave (f.i. coming from a single spatial mode of a laser) the coherence radius  $\rightarrow \infty$ .

- NF  
Near  
Field
- For a wavefront limited by an aperture with a diameter  $D$  the propagation vector  $\bar{k}$  is affected by a spread  $\Delta k = k \cdot \Delta \theta$  where  $\Delta k = 1.22 \cdot 2\pi / D$ .

- FF  
Far  
Field
- If the apertured beam is focused by a lens of focal length  $f$  the spot size is defined by  $\Delta s = f \Delta \theta = f \cdot 1.22 \lambda / D$  and again the limitation due to diffraction holds:

$$\frac{1}{\lambda} \cdot \frac{D}{f} = \frac{1}{\lambda} \frac{\Delta k}{k} = \frac{\Delta k}{2\pi} = 1.22 / \Delta s$$

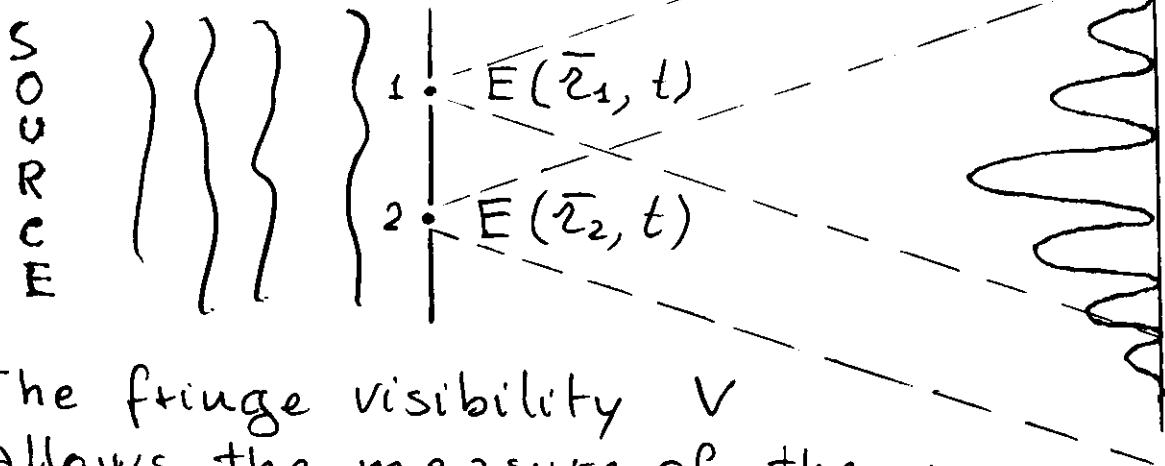
$$\left( \frac{D}{f} = \frac{\Delta k}{k} = \frac{k \Delta \phi^{FF}}{k} = F\text{-number} \right)$$

The space concentration limit is

$$\Delta s \approx 1.22 \frac{f}{D} \lambda \quad (\text{a few } \lambda\text{'s}).$$

# Spatial Coherence & Directionality

## Young Interferometer:



The fringe visibility  $V$  allows the measure of the "coherence radius" and "coherence area"

Beams with partial spatial coherence have a divergence greater than for a spatially coherent beam with the same intensity distribution.

Example: semiconductor lasers or solid state lasers with "hot spots". The divergence is  $\theta'_d \approx 1.22 \lambda / d$



instead of  $\theta_0 \approx 1.22 \lambda / D$

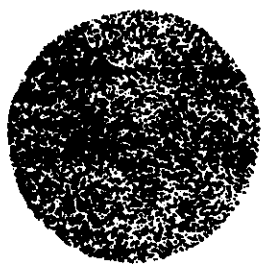
Multimode lasers have a divergence larger than the single mode case.

$$\theta_{e,m} = c_{e,m} \cdot \frac{\lambda}{\pi w_0} \quad c_{e,m} > 1.16$$

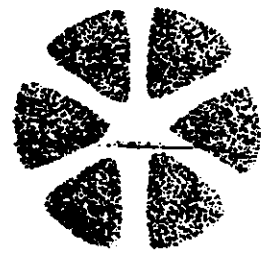
(if the spot size  $\equiv$  90% of beam power is considered)  $c_{00} = 1.16$

The lack of spatial coherence reduces the fringe visibility and consequently the efficiency f.i. of an hologram.

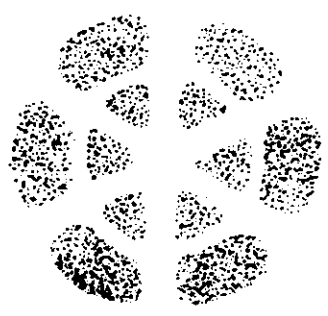
HIGHER-ORDER GAUSSIAN MODES



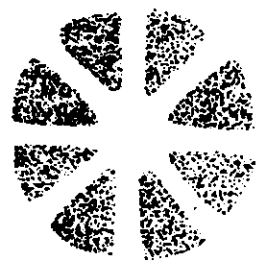
$pl = 0, 0$



0, 3



1, 3



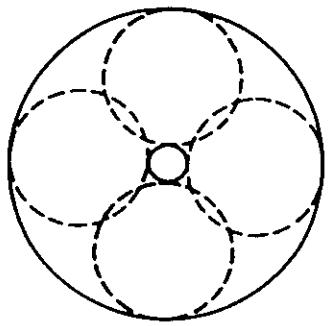
0, 4

Transverse mode patterns for Laguerre-gaussian modes of various orders.

$$M^2 = (2p + l + 1)$$

$p$  radial

$l$  tangential



The "donut" mode is a linear superposition of 10 and 01 Hermite-gaussian modes.



Transverse mode patterns for Hermite-gaussian modes of various orders.

$$M_x = (2m + 1) ; M_y = (2n + 1)$$

7



## First Moments (Centers of Gravity):

$$\iint_{-\infty}^{\infty} I(x, y, z) dx dy = \iint_{-\infty}^{\infty} \hat{I}(s_x, s_y) dx dy = 1$$

$$\bar{x}(z) \equiv \int \int x I(x, y, z) dx dy$$

$$\bar{y}(z) \equiv \int \int y I(x, y, z) dx dy$$

$$\begin{cases} \tilde{E}(x, y, z) = F[\tilde{P}(s_x, s_y, z)] & ; \quad I(x, y, z) = |\tilde{E}(x, y, z)|^2 \\ \tilde{P}(s_x, s_y, z) = F^{-1}[\tilde{E}(x, y, z)] & ; \quad \hat{I}(s_x, s_y, z) = |\tilde{P}(s_x, s_y, z)|^2 \end{cases}$$

$$\bar{s}_x \equiv \int \int s_x \hat{I}(s_x, s_y, z) ds_x ds_y$$

$$\bar{s}_y \equiv \int \int s_y \hat{I}(s_x, s_y, z) ds_x ds_y$$

$$2\pi s_x = k \sin \theta_x = \frac{2\pi \sin \theta_x}{\lambda} \quad ; \quad \begin{cases} s_x = \frac{\sin \theta_x}{\lambda} = \frac{\theta_x}{\lambda} \\ s_y = \frac{\sin \theta_y}{\lambda} = \frac{\theta_y}{\lambda} \end{cases}$$

$s_x, s_y$  Spatial Frequencies

Second Moments (Variances):

$$\sigma_x^2(z) \equiv \int \int (x - \bar{x})^2 I(x, y, z) dx dy$$

$$\sigma_y^2(z) \equiv \int \int (y - \bar{y})^2 I(x, y, z) dx dy$$

$$\sigma^2(z) = \sigma_x^2(z) + \sigma_y^2(z)$$

$$\sigma_{s_x}^2 \equiv \int \int (s_x - \bar{s}_x)^2 \hat{I}(s_x, s_y, z) ds_x ds_y$$

$$\sigma_{s_y}^2 \equiv \int \int (s_y - \bar{s}_y)^2 \hat{I}(s_x, s_y, z) ds_x ds_y$$

$$\sigma_s^2 = \sigma_{s_x}^2 + \sigma_{s_y}^2$$

Definition of  $M^2$  (Beam quality):

$$\sigma_{0x} \sigma_{sx} \equiv \frac{M_x^2}{4\pi} \quad \text{and} \quad \sigma_{0y} \sigma_{sy} \equiv \frac{M_y^2}{4\pi}$$

$$1/\kappa_x = \underline{M_x^2} \equiv 4\pi \sigma_{0x} \sigma_{sx}; \quad 1/\kappa_y = \underline{M_y^2} = 4\pi \sigma_{0y} \sigma_{sy}$$

$$M_x^2 \equiv \frac{(\text{Beamwidth-beamspread product})_{\text{Real beam}}}{(\text{Beamwidth-beamspread product})_{\text{TEM}_{00}}}$$

$$M_y^2 \equiv \frac{(\text{Beamwidth-beamspread product})_{\text{Real beam}}}{(\text{Beamwidth-beamspread product})_{\text{TEM}_{00}}}$$

$$M_x^2 \geq 1 \quad \text{and} \quad M_y^2 \geq 1$$

•  $M_r^2 = 2\pi \sigma \sigma_0$

For a TEM<sub>00</sub> Gaussian Beam:

$$\sigma_{0x} = \sigma_{0y} = \frac{w_0}{2} \quad \text{and} \quad \sigma_{sx} = \sigma_{sy} = \frac{1}{2\pi w_0}$$

$$M_x^2 = M_y^2 = 1 = 4\pi \frac{w_0}{2} \cdot \frac{1}{2\pi w_0}$$

$$\kappa_x = \frac{1}{M_x^2}; \quad \kappa_y = \frac{1}{M_y^2};$$

✧

## $M^2$ Properties:

- $M^2$  is a characteristic of the beam and remains constant after propagation through any non aberrated optical system
- This theory is rigorously valid for any beam
- These Propagation formulas reduce to the ordinary ones if the beam is gaussian
- Analogous formulas are in cylindrical coordinates for circular symmetric beams

Definitions; (according to the Norms)

$\sigma$  - from the second polar moment  $M^2 = M_x^2 + M_y^2$   
 $\sigma_x, \sigma_y$  - from the second moments with respect to axis x and y  $(M_x^2, M_y^2)$

$$\left\{ \begin{aligned} d_\sigma &= 2\sqrt{2} \sigma = 2\sqrt{2} \frac{w}{\sqrt{2}} = 2w ; & \sigma &= \frac{w}{\sqrt{2}} \\ d\sigma_x &= 4 \sigma_x = 4 \frac{w_x}{2} = 2w_x ; & \sigma_x &= \frac{w_x}{2} \\ d\sigma_y &= 4 \sigma_y = 4 \frac{w_y}{2} = 2w_y ; & \sigma_y &= \frac{w_y}{2} \end{aligned} \right.$$

$\sigma^2 = \sigma_x^2 + \sigma_y^2$

In the case of a beam

with circular symmetry  $\left\{ \begin{aligned} w_x &= w_y = w \\ d\sigma_x &= d\sigma_y = d\sigma \end{aligned} \right.$

In a similar way :

$$\left\{ \begin{aligned} \theta_\sigma &= 2\sqrt{2} \sigma_\theta = 2\sqrt{2} \frac{\sqrt{2} \lambda}{2\pi w_0} = \frac{2\lambda}{\pi w_0} ; & \sigma_\theta &= \frac{\lambda}{\sqrt{2}\pi w_0} \\ \theta_{\sigma_x} &= 4 \sigma_{\theta_x} = 4 \frac{\lambda}{2\pi w_0} = \frac{2\lambda}{\pi w_0} ; & \sigma_{\theta_x} &= \frac{1}{2\pi} \frac{\lambda}{w_0} \\ \theta_{\sigma_y} &= 4 \sigma_{\theta_y} = 4 \frac{\lambda}{2\pi w_0} = \frac{2\lambda}{\pi w_0} ; & \sigma_{\theta_y} &= \frac{\lambda}{2\pi w_0} \end{aligned} \right.$$

$\sigma_\theta^2 = \sigma_{\theta_x}^2 + \sigma_{\theta_y}^2$

$\frac{2\pi}{\lambda} \sigma_\theta = \frac{1}{k} = \boxed{M_r^2 = \frac{\pi}{4\lambda} d_\sigma \theta_\sigma} = \frac{\pi}{4\lambda} 2w_0 \cdot \frac{2\lambda}{\pi w_0} = 1$  Per il TEM<sub>00</sub>

$\frac{1}{k_x} = M_x^2 = \frac{\pi}{4\lambda} d\sigma_x \theta_{\sigma_x} = 1 ;$

The relationship between  $M_r$ ,  $M_x$ , and  $M_y$  is given by :

$M_r^4 = \left( \frac{\sigma_{\theta_x}^2 + \sigma_{\theta_y}^2}{4 \sigma_\theta^2} \right) M_x^4 + \left( \frac{\sigma_{\theta_x}^2 + \sigma_{\theta_y}^2}{4 \sigma_\theta^2} \right) M_y^4$

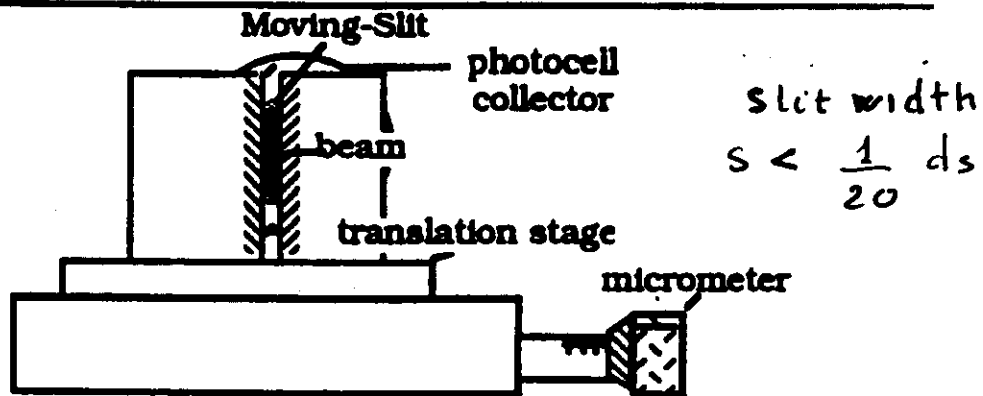
in the absence of astigmatism.

$$\frac{1}{e^2}$$

$$x_1 \Rightarrow 13.5\% ; \quad x_2 = 13.5\% \quad d_s = x_2 - x_1$$

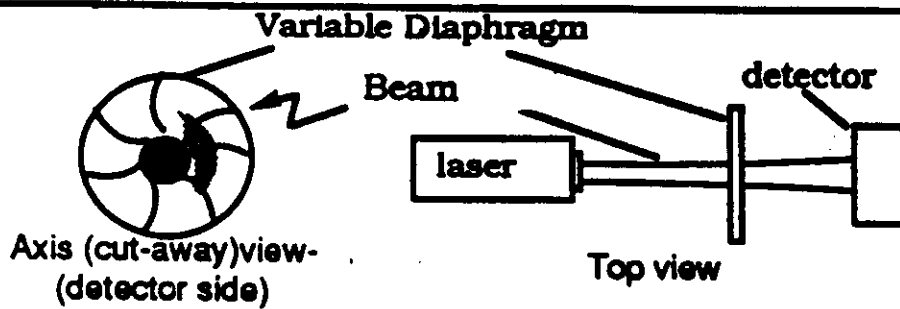
$$d\sigma = d_s \frac{1}{M_s^2} (0.95(M_s^2 - 1) + 1)$$

$$\text{for } M_s^2 = 1 \quad d\sigma = d_s$$



**Figure A.1.1.a**  
**Moving Slit Beam Width Measuring Device**

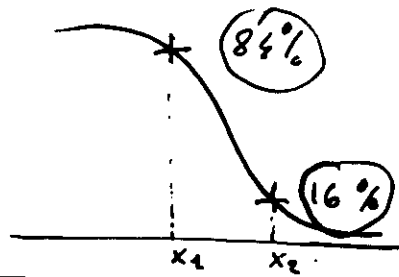
$1 - e^{-2} =$   
86.5%  
of the  
total  
power



**Figure A.3.1.a.**

$$d\sigma = d_{86.5} \frac{1}{M_{86.5}^2} [1.14(M_{86.5}^2 - 1) + 1]$$

$$\text{for } M_{86.5}^2 = 1 \quad d\sigma = d_{86.5}$$



$$d_k = 2(x_2 - x_1)$$

$$d\sigma = d_k \frac{1}{M_k^2} (0,81(M_k^2 - 1) + 1)$$

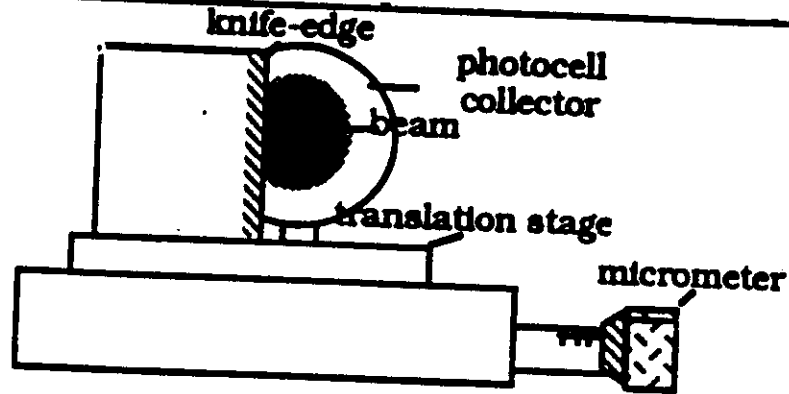


Figure A.2.1.a  
Moving Knife Edge  
Beam Width Measuring Device

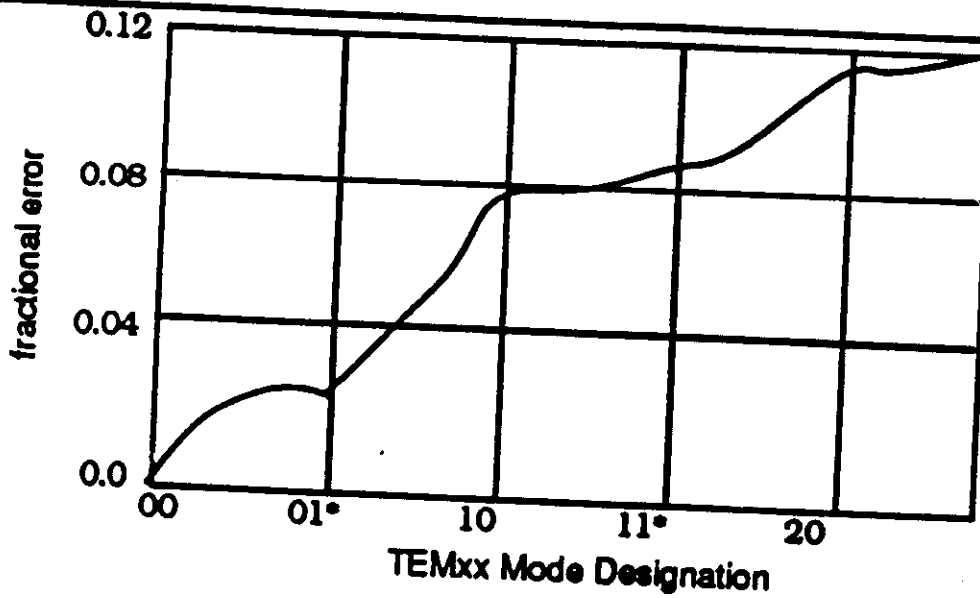


Figure A.2.1.b  
Moving Knife-Edge: Fractional Error in  
Diameter Measurement Of  
Laguerre-gaussian Modes

## **Comments on the $M^2$ approach**

- \* Problems for the measurements are present in the following cases :
  - \* In pulsed lasers  $M^2$  is in general time dependent ; only averages value can be derived in a simple way.
  - \* Annular beams generated by unstable resonators have always  $M^2 > 1$ . ( i.e the meaning of "times diffraction limited" is no more valid)
  - \* Non Gaussian beams or non paraxial beams can give rise to large errors.
  - \* Asymmetric or two lobes beams ( f. i. from semiconductor lasers ) also need special data processing.
  - \* The measurement of  $I(x,y)$  exploits the  $w(z)$  expression to derive the beam waist size and location and the beam divergence .
  - \* Additional information could be achieved by using the expression of  $R(z)$  in connection with wavefront phase measurements.

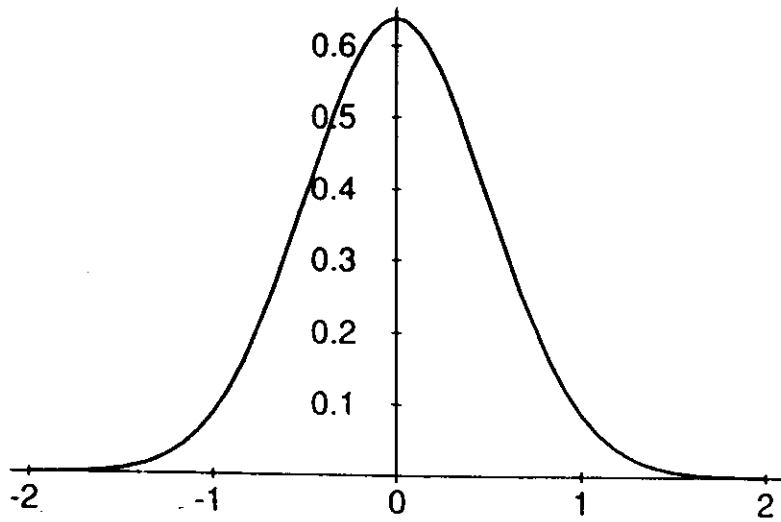
To derive a better information on the modal content joint  $I(x,y)$  and phase measurements could be of use.

New instruments would be welcome providing information on the spatial coherence of the beam cross section by phase measurements.

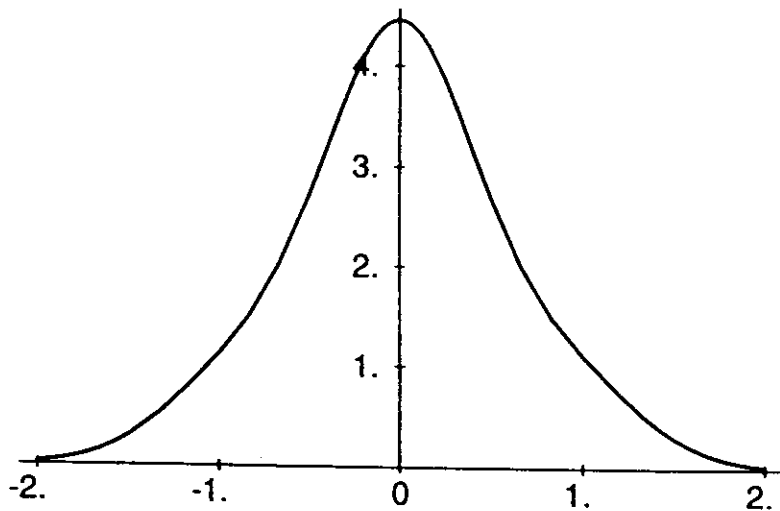


**Extract of the ISO/TC 172/SC 9 work programme, listing items dealing with test methods for laser beam parameters**

ISO Work Item	Title
11146	Test methods for laser beam parameters: <u>Beam widths, divergence angle and beam propagation factor</u>
11554	Test methods for laser beam parameters: <u>Power, energy and temporal characteristics</u>
11670	Test methods for laser beam parameters: <u>Beam positional stability</u>
12005	Test methods for laser beam parameters: <u>Polarization</u>
13694	Test methods for laser beam parameters: <u>Power (energy) density distribution</u>
13695	Test methods for laser beam parameters: <u>Spectral characteristics</u>
15367	Test methods for laser beam parameters: <u>Phase distribution</u>



*Intensity profile for a pure gaussian  $TEM_{00}$  beam.*



*Intensity profile for a beam made up of 50%  $TEM_{00}$ , 30%  $TEM_{01}$ , and 20%  $TEM_{10}$  Laguerre-gaussian modes.*

*Beam profile comparisons*

## Laguerre-Gauss Modes

$$M^2 = (2p + l + 1)$$

p radial  
l tangential

Laser Mode p l	M2
TEM 00	1
TEM 01*	2
TEM 10	3
TEM 11*	4
TEM 20	5
TEM 21*	6

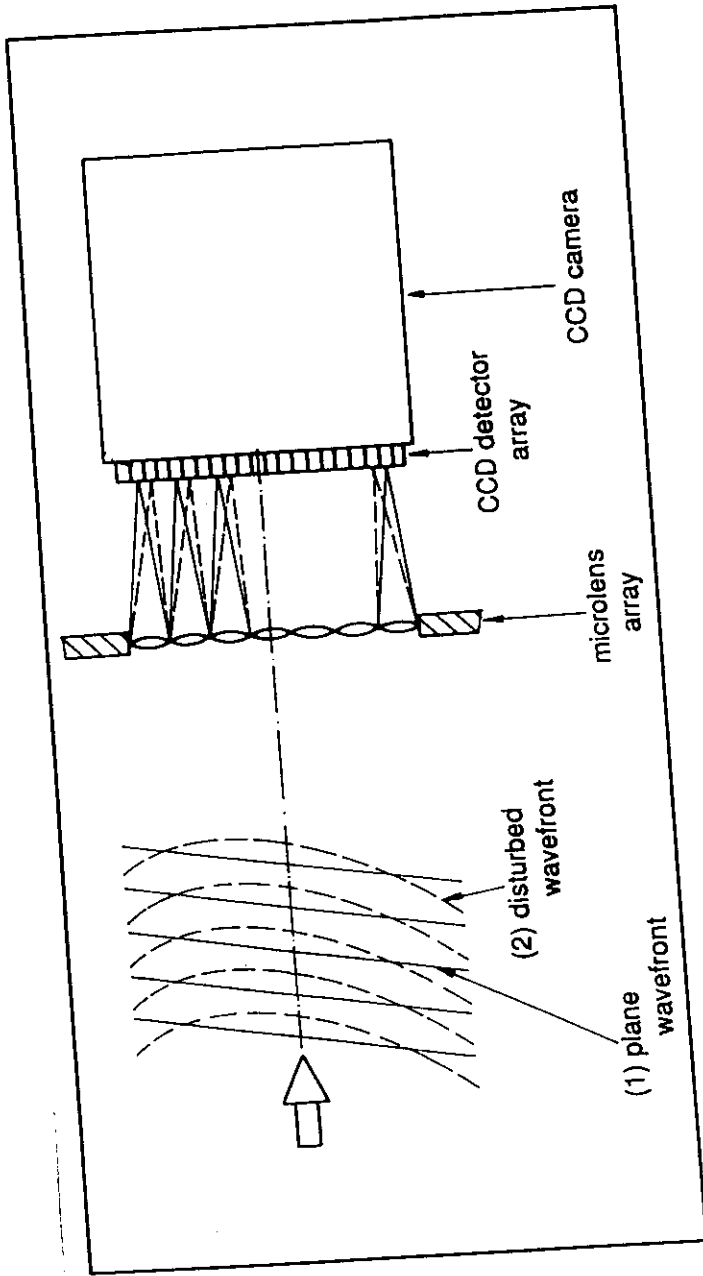


Fig. 1: Operating principle of the Shack-Hartmann wavefront sensor

- straightforward optical adaptation
- no special demands on
  - the light source and its spectral properties (both incoherent and coherent light can be used)
  - the spectral bandwidth (freely selectable)
- easy integration
- easy alignment and calibration
- alignment stability
- high thermal stability
- immediate readiness for use

- high sensitivity of gradient measurement ( $> \lambda/250$  across each subaperture at  $\lambda = 633 \text{ nm}$ )
- wide dynamic range ( $14 \cdot \lambda$  per subaperture at  $\lambda = 633 \text{ nm}$ )
- no scanning procedure required
- simultaneous recording of the wavefront
- both continuous and pulsed exposure
- extremely high-speed exposure
- no movable mechanical parts
- sturdiness and vibration resistance

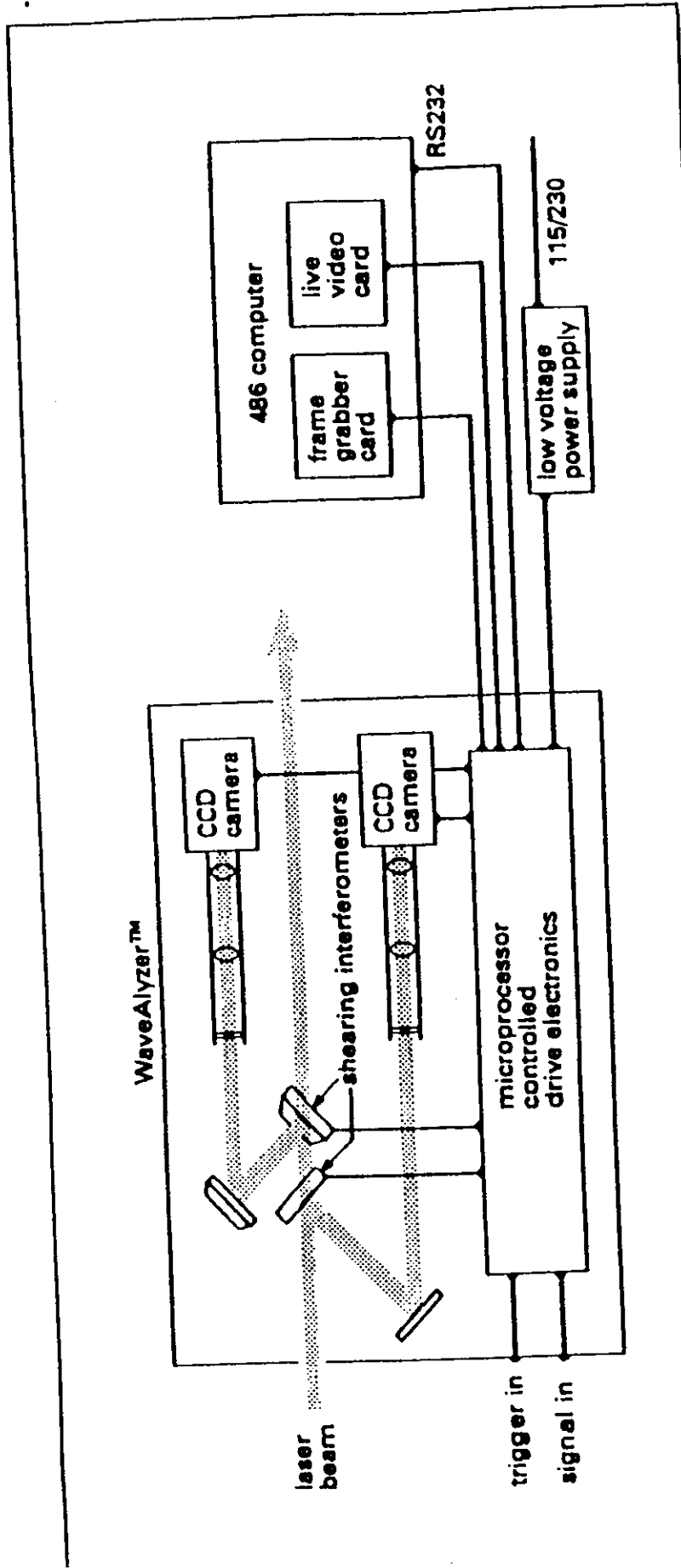


Figure 4. Layout of the wavefront analyzer system. The optical paths for the two interferometers are shown in the same plane for clarity, but are actually in orthogonal planes.

Zernike or (better) Kingslake

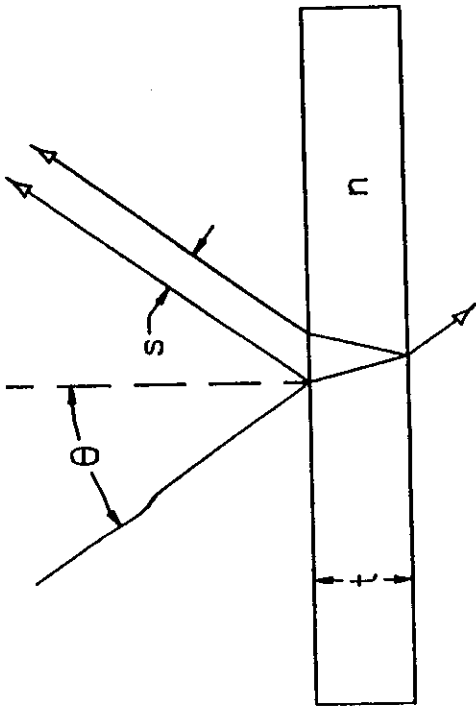


Figure 1. Geometry of a shearing interferometer. An incident ray at angle  $\theta$  is reflected by the plane. Parallel front and back surfaces of the etalon. The two reflected rays are sheared an amount  $s$ .

Dynamic Shearing:

$$\frac{\partial d}{\partial \theta} = \frac{-t \sin \theta \cdot 2}{\sqrt{n^2 - \sin^2 \theta}} = -s$$

Ex  $\Delta G = \frac{\Delta d}{s} = \frac{0.633}{750} = 8.44 \times 10^{-4}$   
 @  $\theta = 50^\circ$

R radius of curvature

Pitch of fringes  $\Lambda = R \frac{\lambda}{s}$   
 > physical

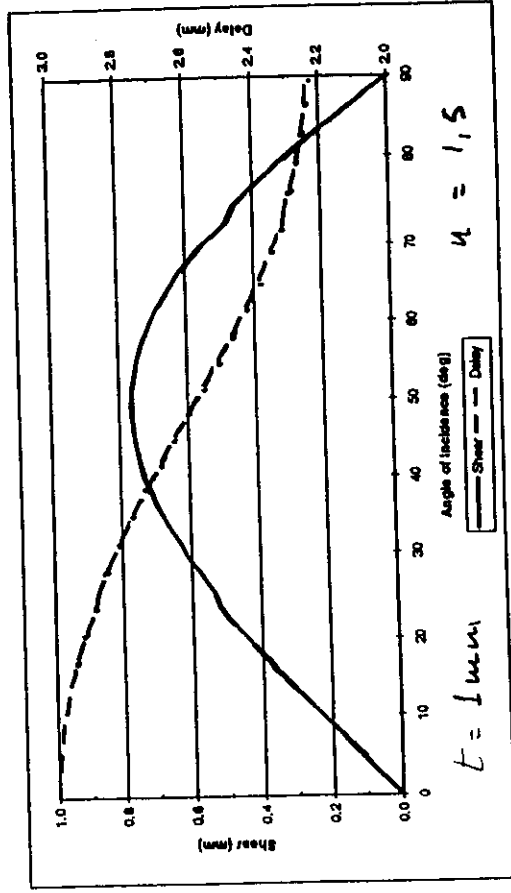


Figure 2. Shear and delay of a 1 mm thick etalon with index of refraction of 1.5 as a function of incidence angle. Note that the maximum shear is at an incidence angle of about  $50^\circ$ .

$$s = \frac{t \sin \theta}{\sqrt{n^2 - \sin^2 \theta}}$$

$$d = 2t \sqrt{n^2 - \sin^2 \theta}$$