

SMR.1148 - 37

*COLLEGE ON MEDICAL PHYSICS
AND
WORKSHOP ON
NUCLEAR DATA FOR SCIENCE AND TECHNOLOGY:
MEDICAL APPLICATIONS
(20 SEPTEMBER - 15 OCTOBER 1999)*

**"Overview of Nuclear Reaction Models Used in
Nuclear Data Evaluation"
Parts I & II**

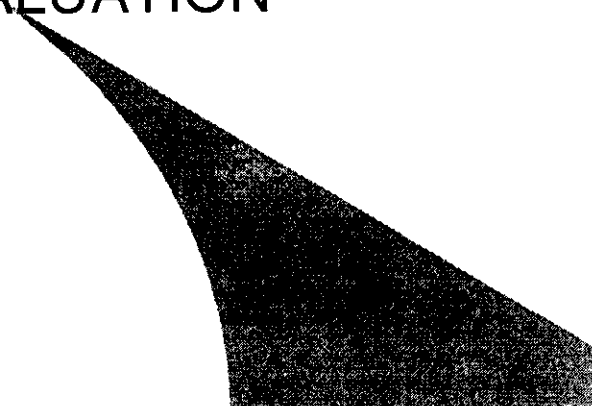
**Michal W. HERMAN
International Atomic Energy Agency (IAEA)
Nuclear Data Section
P.O. Box 100
A-1400 Vienna
AUSTRIA**

These are preliminary lecture notes, intended only for distribution to participants



OVERVIEW OF NUCLEAR REACTION MODELS USED IN NUCLEAR DATA EVALUATION

Mike Herman
IAEA-NDS



AGENDA

- Introduction
- Optical model
- Direct reaction models
- Preequilibrium models
 - exciton model
 - hybrid model
 - quantum models: Multistep Direct & Compound

AGENDA cont.

- Statistical model
- Connections among nuclear models
- Some codes

Why nuclear models?

- Provide data if experimental data are not available
- Fill gaps in experimental data
- Discriminate between discrepant measurements
- Reveal wrong data
- Ensure internal consistency of evaluation

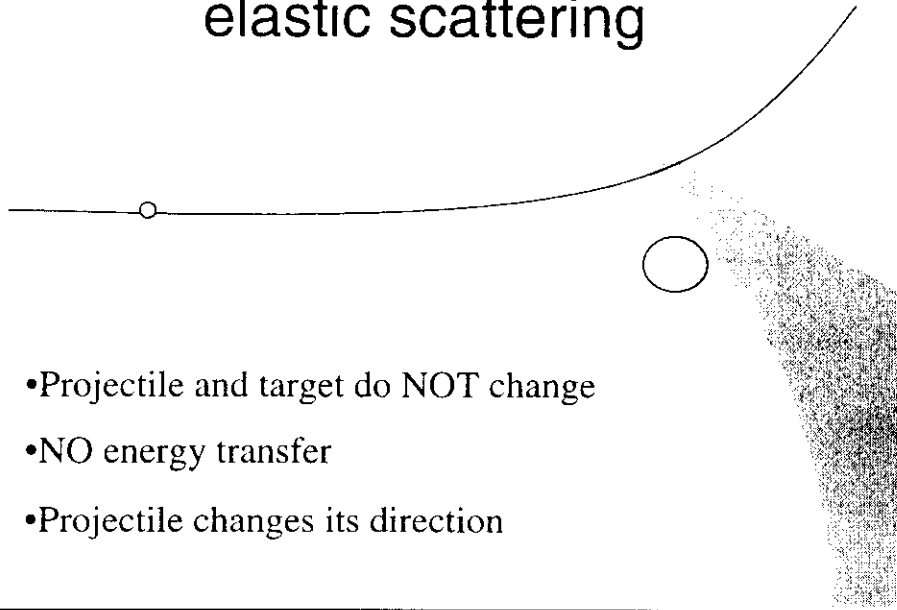
Two rules of evaluation methodology

- Never trust a **single** experiment!
- If **two** experiments agree - they **both** might be wrong!

INTRODUCTION

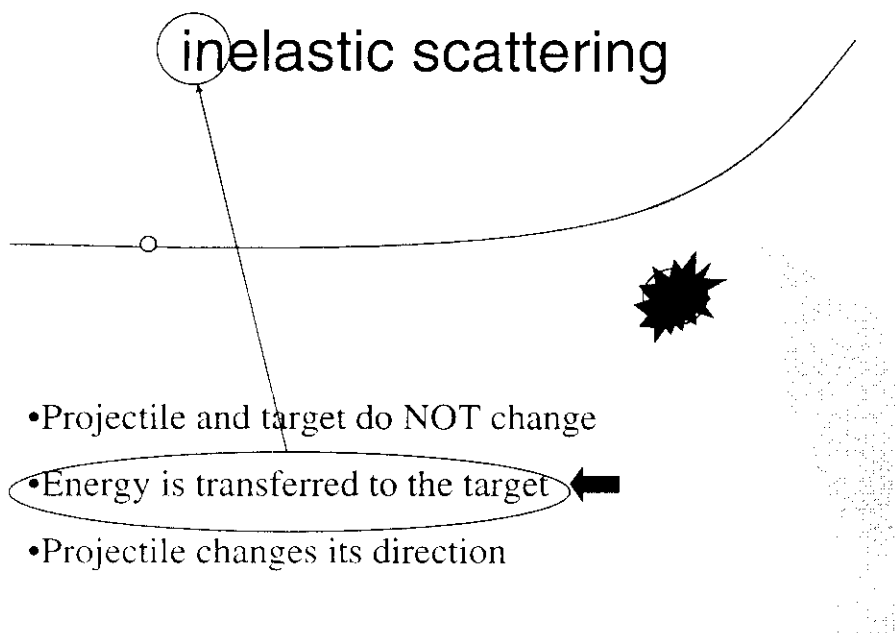
- What happens when a projectile strikes a target?
 - can be elastically scattered
 - can be inelastically scattered
 - can induce direct reaction
 - can be absorbed (composite nucleus is formed)

elastic scattering



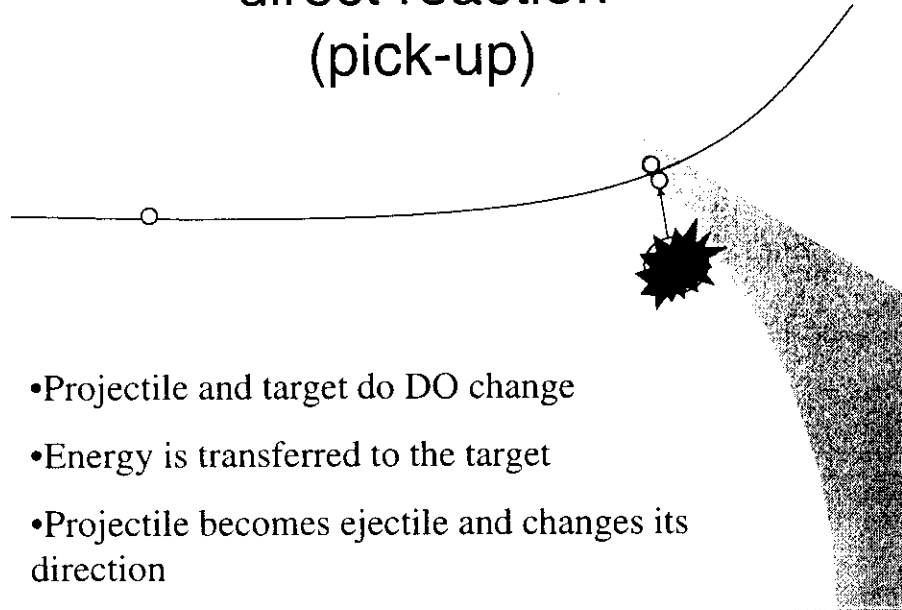
- Projectile and target do NOT change
- NO energy transfer
- Projectile changes its direction

inelastic scattering

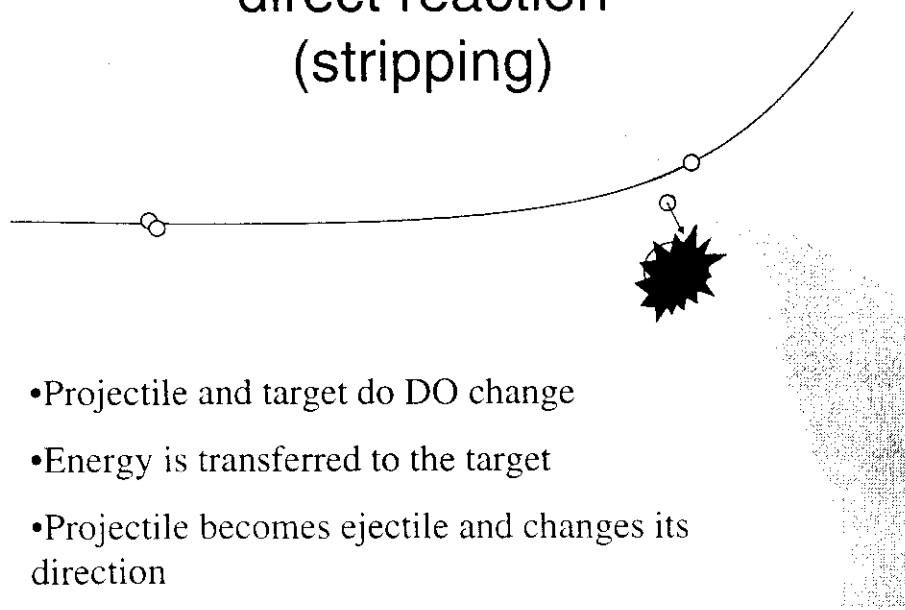


- Projectile and target do NOT change
- Energy is transferred to the target
- Projectile changes its direction

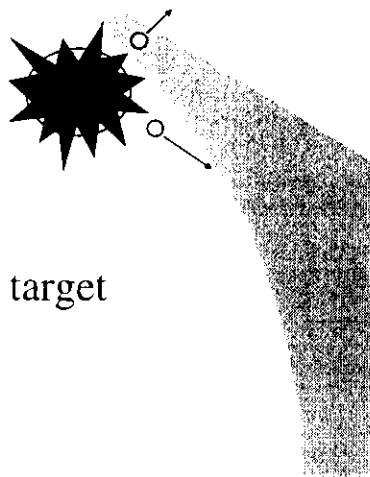
direct reaction (pick-up)



direct reaction (stripping)

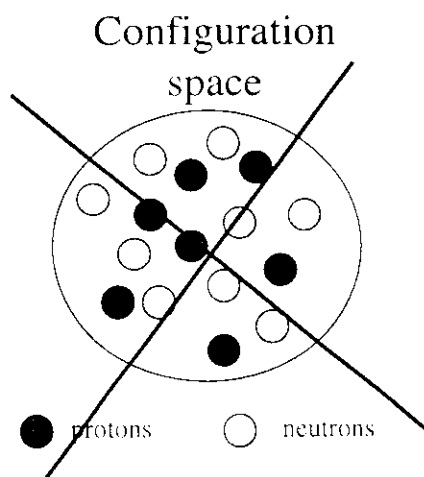


composite nucleus formation (Compound Nucleus & Preequilibrium)

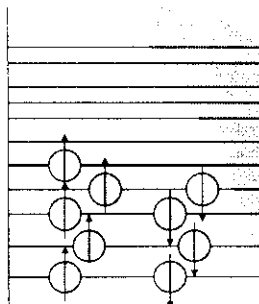


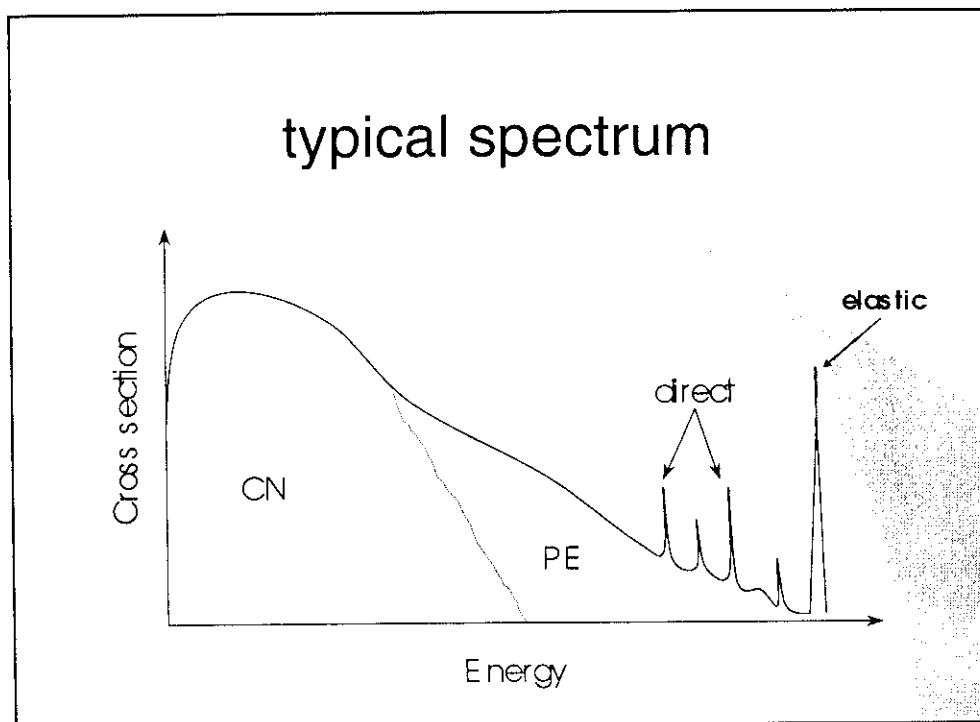
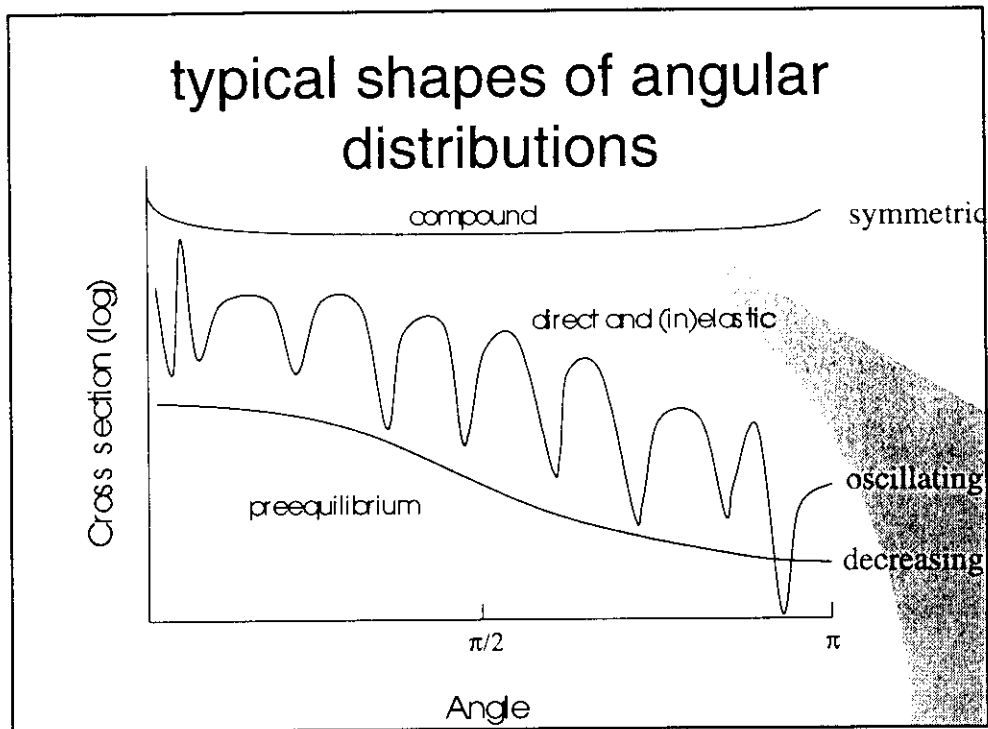
- Projectile disappears
- Energy is transferred to the target
- Ejectiles are emitted

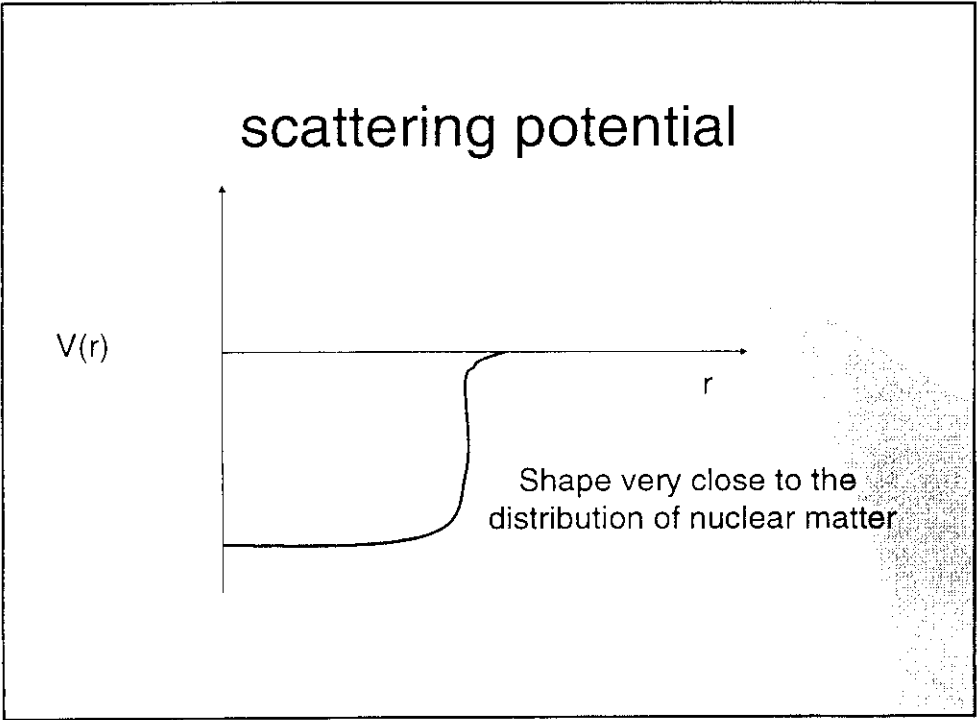
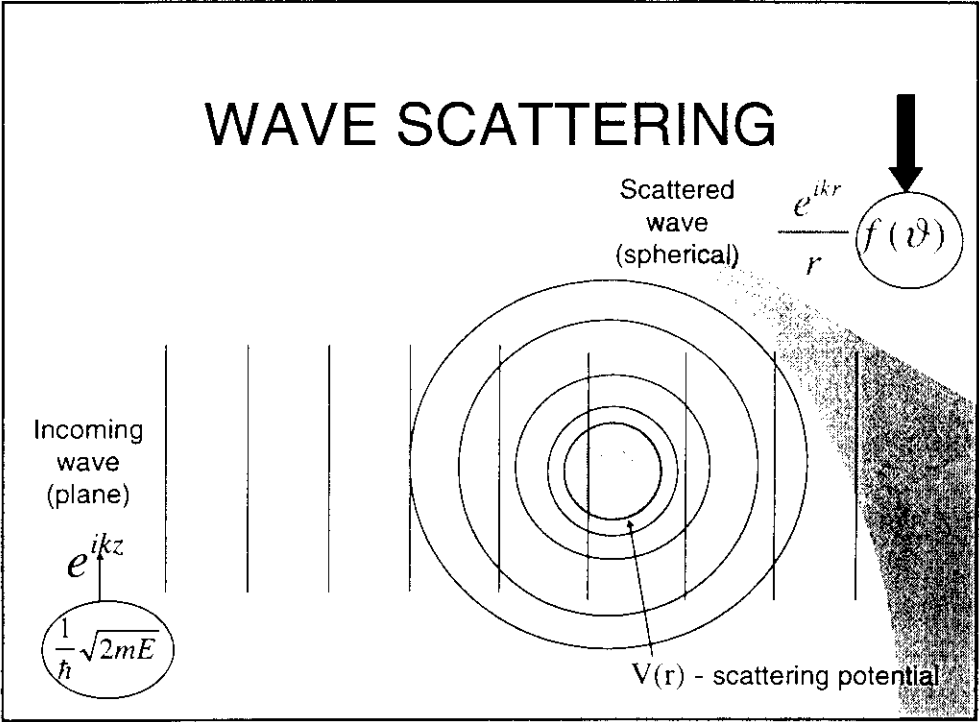
representation of the nucleus



Phase space (shell model)







Schroedinger equation (spinless and neutral particle)

$$\nabla^2 \Psi + \frac{2m}{\hbar^2} (E - V) \Psi = 0 \quad \text{Schroedinger equation}$$

$$\Psi \cong e^{ikz} + \frac{e^{ikr}}{r} f(\vartheta) \quad \text{asymptotic wave function}$$

$$\frac{d\sigma}{d\Omega} = |f(\vartheta)|^2 \quad \text{differential cross section}$$

partial wave expansion

$$\Psi(\mathbf{r}) = \sum_l \frac{U_l(r)}{r} P_l(\cos \vartheta)$$

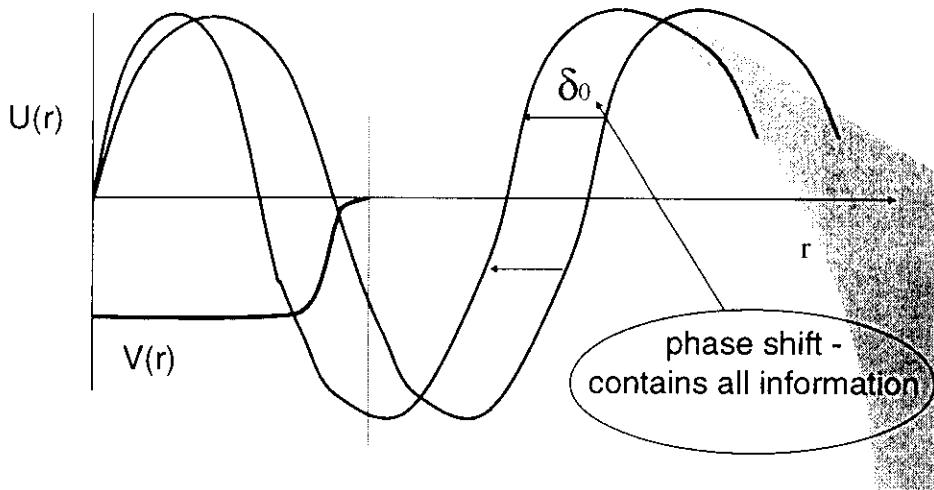
angular momentum

Schroedinger equation can be reduced to

$$\frac{d^2 U_l(r)}{dr^2} + \left\{ \frac{2m}{\hbar^2} (E - V(r)) + \frac{\cancel{l(l+1)}}{r^2} \right\} U_l(r) = 0$$

$l=0$ case

graphical representation (radial wave function)



elastic cross section

$$f(\vartheta) = \frac{1}{2ik} \sum_{l=0}^{\infty} (2l+1) (e^{2i\delta_l} - 1) P_l(\cos \vartheta)$$

$$\frac{d\sigma_E}{d\vartheta} = |f(\vartheta)|^2$$

Integrating over ϑ

$$\sigma_E = \frac{4\pi}{k^2} \sum_{l=0}^{\infty} (2l+1) \sin^2 \delta_l$$

S-function

OPTICAL MODEL (introducing absorption)

- Analog of light scattering and absorption by a cloudy crystal ball

Light scattering:
complex refractive
index

Nuclear scattering:
complex scattering
potential

- Imaginary potential removes flux from the elastic channel (simulation of absorption)

Optical Model potential

$$U_{opt}(r) =$$

$+V_C(r)$	a Coulomb term
$-V f_V(r)$	a real volume term
$+V_s g_V(r)$	a real surface term
$+iW_s g_W(r)$	an imaginary surface term
$-iW_V f_W(r)$	an imaginary volume term
$+d_{so} \vec{l} \cdot \vec{s} V_{so} h_{V_{so}}(r)$	a real spin orbit term
$+id_{so} \vec{l} \cdot \vec{s} W_{so} h_{W_{so}}(r)$	an imaginary spin orbit term

Coulomb potential (uniformly charged sphere)

$$V_C(r) = \left(\frac{3}{2} - \frac{r^2}{2R_C^2} \right) \frac{Z_p Z_T e^2}{R_C} \quad \text{for } r \leq R_C$$

$$= \frac{Z_p Z_T e^2}{r} \quad \text{for } r > R_C$$

Charge radius

projectile charge

target charge

Optical potential shape

Volume $f_i = \frac{1}{1 + \exp\left(\frac{r - R_i}{a_i}\right)}$ $i = V, W$

Surface $g_i = -4a_i \frac{d}{dr} f_i(r)$ $i = V, W$

Spin-orbit $h_i = -\frac{1}{r} \frac{d}{dr} f_i(r)$ $i = V_{so}, W_{so}$

Optical potential parameters

- Depths: $V, V_s, V_{so}, W_s, W_v, W_{so}$
- Radii: $R_i = r_i A^{1/3}$
- diffusivities: a_i
- these are fitted to reproduce experimental results (elastic scattering)
- global parameters => valid for a range of energies and nuclei

Optical model (how do we proceed?)

- Fix optical model potential
- Solve Schroedinger equation (numerically!) to obtain phase shifts (S-matrix elements)
- calculate physical observables from phase shifts (cross sections, angular distributions, polarizations)

cross section formulae

total $\sigma_{tot} = \frac{2\pi}{k^2} \sum_l (2l+1) (1 - \text{Re } S_l)$

elastic $\sigma_{el} = \frac{\pi}{k^2} \sum_l (2l+1) |S_l - 1|^2$

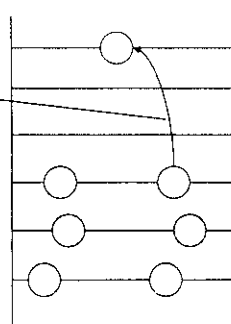
reaction $\sigma_r = \frac{\pi}{k^2} \sum_l (2l+1) (1 - |S_l|^2)$

OPTICAL MODEL (inelastic scattering)

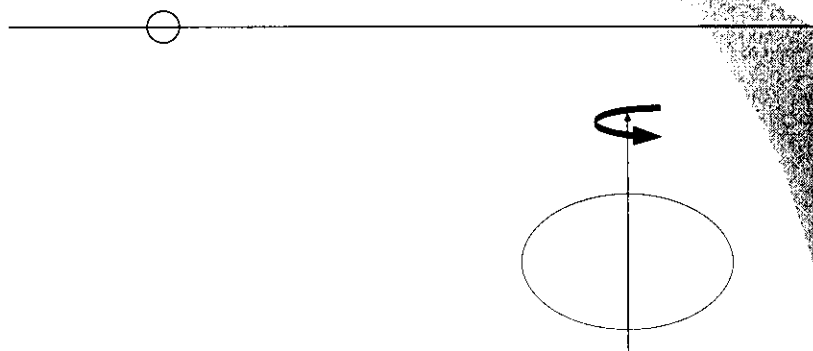


$$M = \langle \psi_f | V | \psi_i \rangle$$

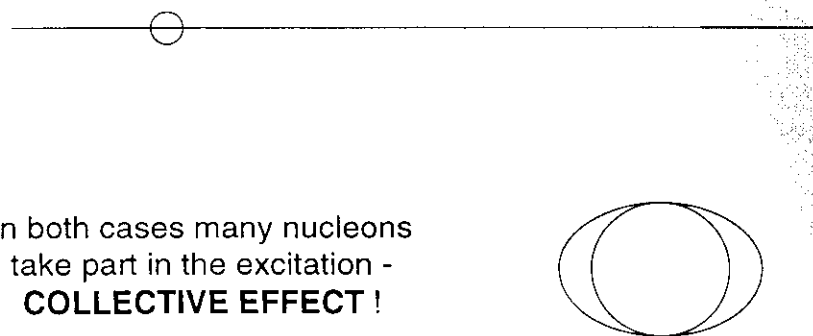
In most cases calculated
cross sections are
TOO SMALL !



inelastic scattering to collective states (rotation)



inelastic scattering to collective states (vibration)



In both cases many nucleons
take part in the excitation -
COLLECTIVE EFFECT !

generalized (deformed) optical potential

For both rotational and vibrational nuclei the radius can be expressed in terms of spherical harmonics $Y_{\lambda\mu}$

$$R(\hat{r}) = R_0 \left(1 + \sum_{\lambda\mu} a_{\lambda\mu} Y_{\lambda\mu}(\hat{r}) \right) \quad \text{vibrational}$$

angular momentum \rightarrow $\lambda\mu$ \leftarrow vibrational parameters

$$R(\hat{r}) = R_0 \left(1 + \sum_{\lambda} \beta_{\lambda} Y_{\lambda 0}(\hat{r}) \right) \quad \text{rotational}$$

internal coordinates \rightarrow λ \leftarrow deformation parameters

generalized (deformed) optical potential (cont.)

- Potential depends on the distance from nuclear surface
- In first order Taylor expansion:

$$U_{opt}(r, \hat{r}) = U_{opt}(r - R(\hat{r})) =$$

$$= U_{opt}(r) + R_0 \frac{dU_{opt}(r)}{dr} \sum_{\lambda} \beta_{\lambda} Y_{\lambda 0}$$

spherical o.m.p. \rightarrow $U_{opt}(r)$ \leftarrow deformation term \rightarrow $\sum_{\lambda} \beta_{\lambda} Y_{\lambda 0}$

for rotational nuclei and similar for the vibrational ones

Key ingredient

Distorted wave Born approximation (DWBA)

Lippmann-Schwinger equation (integral representation of the Schroedinger equation)

$$\Psi_J(r) = \Psi_{0J}^+(r) + \int_0^\infty dr' G_{0J}^+(r, r') U_J(r') \Psi_{0J}(r')$$

incoming wave

1-st order DWBA

Green function

$$G_{0J}^+(r, r') = \frac{1}{(2\pi)^3} \int dk' \frac{\Psi_{0J}(k', r) \Psi_{0J}^*(k', r')}{E - E' + i\epsilon}$$

DWBA (in practice)

We have to evaluate matrix element

$$M = \langle f | U | i \rangle = \int \chi_f^*(\xi) U(\xi) \chi_i(\xi) d\xi$$

final state wave function


initial state wave function

interaction potential

Cross section is proportional to M^2

What if deformation is LARGE?

COUPLED CHANNELS

$$T = -\frac{\hbar^2}{2m} \nabla_r^2$$


$$H(\xi)$$


Schroedinger equation

$$\{T - V(r, \xi) + H(\xi)\} \Psi(r, \xi) = E \Psi(r, \xi)$$

Internal nuclear states

$$H(\xi) \chi_a(\xi) = \varepsilon_a \chi_a(\xi)$$

Coupled Channels (cont.)

Total wave function can be expanded

$$\Psi(r, \xi) = \sum_a \psi_a(r) \chi_a(\xi)$$

Relative motion wave function

Rewrite Schroedinger equation

$$\int \chi_b^*(\xi) \{T - V(r, \xi) + H(\xi)\} \sum_a \psi_a(r) \chi_a(\xi) d\xi = \int \chi_b^*(\xi) E \sum_a \psi_a(r) \chi_a(\xi) d\xi$$

orthonormality: $\int \chi_b^*(\xi) \chi_a(\xi) d\xi = \delta_{ab}$

Coupled Channels (cont.)

using orthonormality of internal wave functions

$$(T - E + \varepsilon_b) \Psi_b(r) = \sum_{a=1}^N V_{ba}(r) \Psi_a(r)$$

where

Set of coupled equations

$$V_{ba}(r) = \int \chi_b^*(\xi) V(r, \xi) \chi_a(\xi) d\xi$$

Coupled Channels (interaction potential)

IMPORTANT NOTE:

- if all channels were included in the CC calculations => **NO** imaginary part of the potential would be needed
- practically it is impossible and imaginary optical potential is added to account for the excluded channels
- however, inclusion of some channels leads to the **decreased** imaginary optical potential

Coupled Channels (input required)

- Input channel specification: $A_p, Z_p, A_T, Z_T, E_{cm}$,
- optical model potential parameters
 - depths: $V, W, W_s, V_{so},$ and W_{so}
 - geometry: reduced radii r_i and diffusivities a_i
- states of the target: energy, spin, parity
- oscillation amplitudes for vibrational or deformation parameters for rotational excited states
 - nature of vibrational states (one phonon, two phonon or mixture of the two)

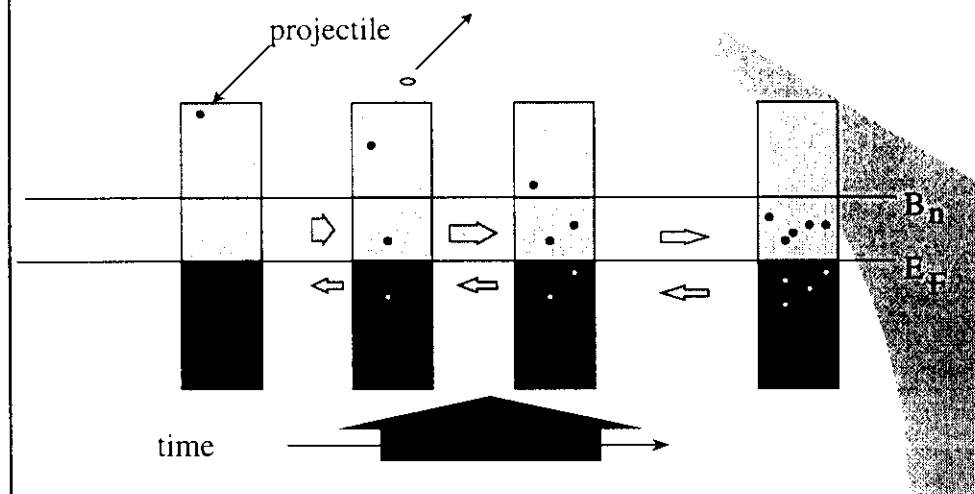
OM

CC

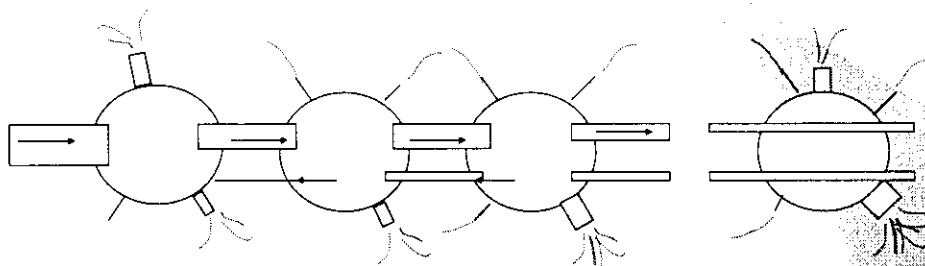
What happens to the absorbed flux?

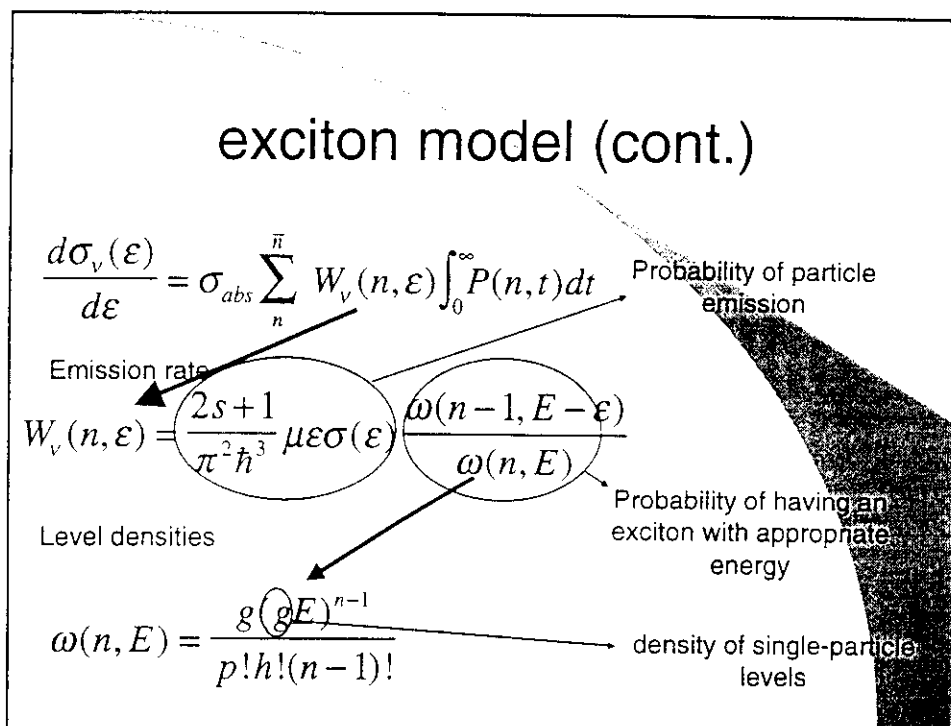
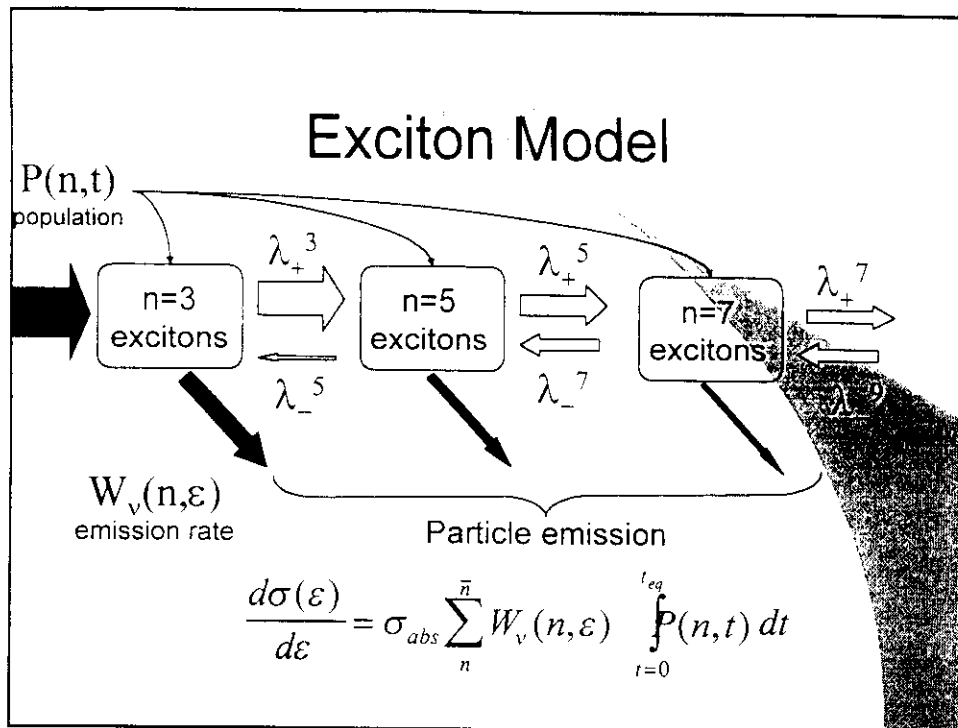
- Preequilibrium
- Compound Nucleus
(Statistical Model)

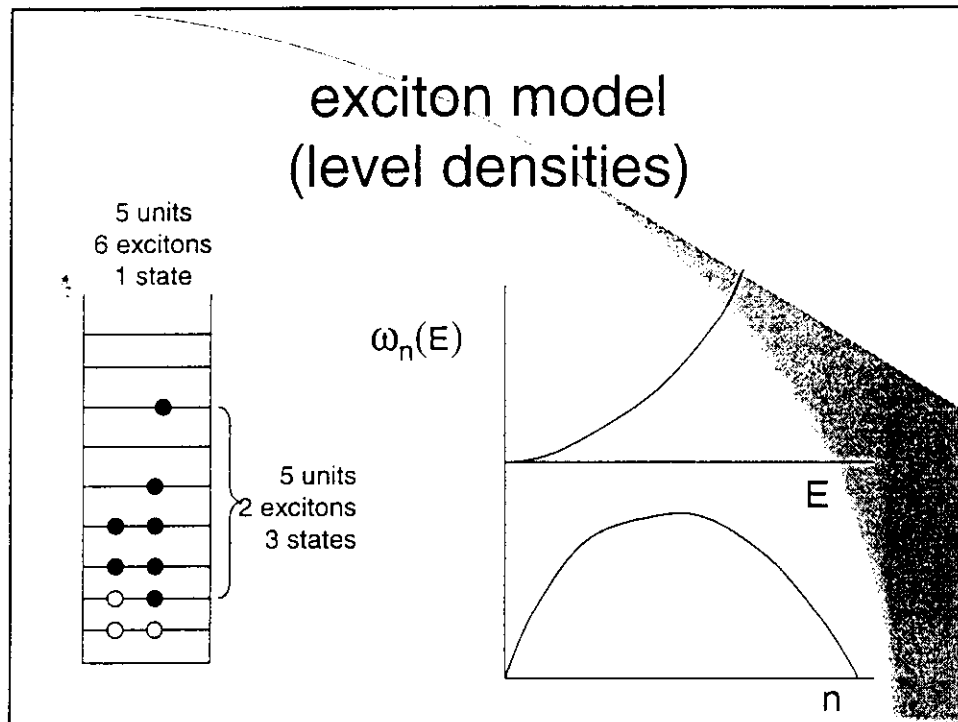
PREEQUILIBRIUM how does absorption happen?



hydrodynamical analog
(water pumped into a chain of
connected tanks)







exciton model (cont.)

$$\frac{d\sigma_v(\varepsilon)}{d\varepsilon} = \sigma_{abs} \sum_n^{\bar{n}} W_v(n, \varepsilon) \left(\int_0^\infty P(n, t) dt \right)$$

Master equation approach

$$\begin{aligned} \frac{P(n, t)}{dt} = & P(n-2, t) \lambda_-(n-2, E) \\ & + P(n+2, t) \lambda_-(n+2, E) \\ & - P(n, t) [\lambda_-(n, E) + \lambda_+(n, E) + W(n)] \end{aligned}$$

exciton model (cont.)

Transition rates

$$\lambda_+(n, E) = \frac{\pi}{\hbar} |\overline{M}^2| \omega_{acc}^+ = \frac{\pi}{\hbar} |\overline{M}^2| \frac{g^3 E^2}{n+1}$$

$$\lambda_-(n, E) = \frac{\pi}{\hbar} |\overline{M}^2| \omega_{acc}^- = \frac{\pi}{\hbar} |\overline{M}^2| g \rho h(n-2)$$

Square of the averaged
matrix element
(PARAMETER)

Accessible state density

exciton model (cont.)

- Set of Master Equations solved numerically
- Never-come-back approximation ($\lambda_- = 0$)
=> closed form expression
- Parameters:
 - g - density of single particle states
 - $|\overline{M}^2|$ - square of matrix element
 - n_0 - initial number of excitons

Hybrid model (M. Blann)

Never come-back approximation

$$\frac{d\sigma(\varepsilon)}{d\varepsilon} = \sigma_{abs} \sum_{n_0}^{\bar{n}} D_n \frac{\omega(n-1, E-\varepsilon)}{\omega(n, E)} \frac{\lambda_n^c(\varepsilon)}{\lambda_n^c(\varepsilon) + \lambda_n^+(E)}$$

Depletion factor

Probability of having an exciton with appropriate energy

Branching ratio for particle emission

λ_n^+ proportional to OM imaginary potential depth or to nuclear matter free path
no $|M^2|$ parameter !

Exciton \Leftrightarrow Hybrid

- Similar mathematical form but different physical background!

- Exciton - strong mixing of internal states
- Hybrid - no mixing of internal states



● Exciton

- exclusive cross sections
- $n_{i+1} = n_i + 2$

● Hybrid

- inclusive cross sections
- $n_{i+1} = 3n_i$

Other classical PE models

- Geometry Dependent Hybrid Model
- Random Walk Model
- Boltzmann Master Equation
- Monte Carlo Preequilibrium Model

Extensions of classical PE models

- Treatment of angular momentum
- Angular distributions
 - leading particle model (Agasi, Weidenmueller and Mantzouranis)
 - linear momentum model (Chadwick and Oblozinsky)
 - Kalbach parameterization
- Two-gas model (neutron-proton distinction)

Common features of classical PE models

- Based on semi-classical considerations
- Easy to use (fast!)
- Flexible enough to provide good description of experimental data
- Fail to predict spectra at backward angles
- Ignore nuclear structure and collective effects

Quantum preequilibrium MSD & MSC

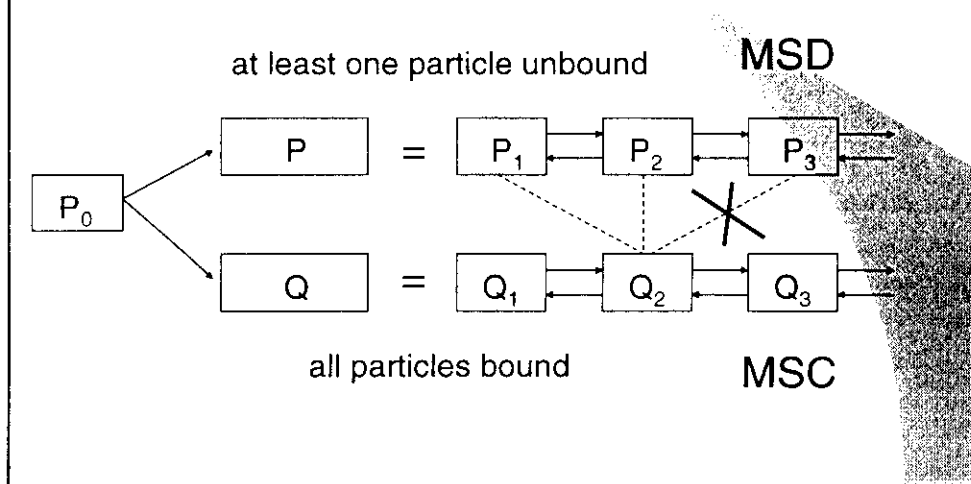
● Multistep Direct (MSD)

- **FKK** - Feshbach, Kerman, Koonin
- **TUL** - Tamura, Udagawa, Lenske
- **NWY** - Nishioka, Weidenmueller, Yoshida

● Multistep Compound (MSC)

- **FKK** - Feshbach, Kerman, Koonin
- **NVWY** - Nishioka, Verbaarschot, Weidenmueller, Yoshida

Quantum preequilibrium (P & Q spaces)



Quantum preequilibrium (FKK-MSD)

- One-step cross section

$$\left(\frac{d^2\sigma}{dU d\Omega} \right)_1 = \sum_L (2L+1) \omega(U, L) \left\langle \left(\frac{d^2\sigma}{dU d\Omega} \right)_{DWBA} \right\rangle_L$$

- M-step cross section

$$\left(\frac{d^2\sigma}{dU d\Omega} \right)_M = \sum_n \sum_m \int \frac{dk_1}{(2\pi)^3} \int \frac{dk_2}{(2\pi)^3} \cdots \int \frac{dk_n}{(2\pi)^3} \frac{d^2W_{m,n}(k_f, k_n)}{dU d\Omega} \\ \times \frac{d^2W_{n,n-1}(k_n, k_{n-1})}{dU_n d\Omega_n} \cdots \frac{d^2W_{21}(k_2, k_1)}{dU_2 d\Omega_2} \left(\frac{d^2\sigma(k_1, k_i)}{dU d\Omega} \right)_1$$

Quantum preequilibrium (FKK-MSD cont.)

Transition probability

$$\frac{d^2 W_{n,n-1}(k_n, k_{n-1})}{dU_n d\Omega_n} = 2\pi^2 \omega(k_n) \omega_2(U) \langle |v_{n,n-1}(k_n, k_{n-1})|^2 \rangle$$

Density of states
of the particle in
the continuum

Density of particle-hole
states in the residual
nucleus at energy U

DWBA matrix element for
the transition from a
state n-1 to a state n
with change of the
momentum from
 k_{n-1} to k_n

Quantum preequilibrium (FKK-MSD - how to do it?)

- Calculate DWBA double differential cross sections for the first step for all possible pairs of initial and final states and angular momentum transfers L
- make average of over these states for each L
- use these averages to define $W_{n,n-1}(k_n, k_{n-1})$
- perform integrals one by one treating the result of the previous integration as a source term for the subsequent one (convolution)

Quantum preequilibrium (FKK-MSD - practical remarks)

- calculations are lengthy but feasible
- standard DWBA codes can be used
- quantum treatment results in a proper reproduction of backward scattering
- due to convolution structure arbitrary number of steps can be considered

Quantum preequilibrium (FKK-MSD - drawback)

- Independent-particle model (no configuration mixing in residual system) => no collective effects
- never come-back approximation
- one free parameter - V_0 interaction strength
- difficult treatment of cluster emission

Quantum preequilibrium (TUL-MSD - highlights)

- configuration mixing in residual nucleus => collective effects (vibrations) taken into account
- non-convolution form => limited to 2 or 3 steps only
- never come-back approximation
- cluster emission and charge exchange reactions difficult to treat
- due to averaged form factors calculations are faster than with FKK

Quantum preequilibrium (FKK-MSD)

- proceeds through Q-space
- chaining hypothesis => transitions between neighboring stages only
- never come-back approximation
- memory of the projectile direction is lost => angular distributions symmetric around 90°

Quantum preequilibrium (FKK-MSC cont.)

Schematic cross section formula

$$\sigma_{MSC} \equiv \frac{2\pi\Gamma_1^{\alpha}}{D_1} \left(\sum_n \frac{\Gamma_1^{\alpha}}{\Gamma_n} \right) \left(\prod_{k=1}^{n-1} \frac{\Gamma_k^{\uparrow}}{\Gamma_k} \right)$$

Stage-1 formation cross section

Emission probability

Depletion factor

$\Gamma_n = \Gamma_n^{\uparrow} + \Gamma_n^{\downarrow}$

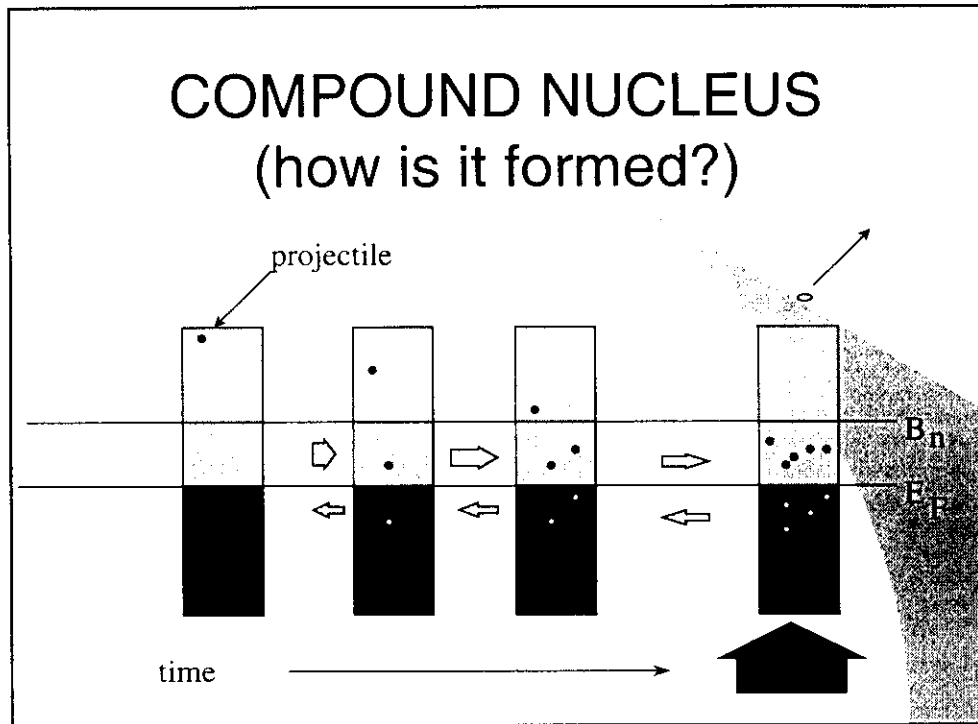
total width

emission width

spreading width

Quantum preequilibrium (FKK-MSC cont.)

- All widths can be expressed through OM transmission coefficients and level densities
- level densities are calculated in the frame of the independent particle model (equidistant) with binding energy limit
- spin coupling is performed strictly
- if OM is used to calculate absorption cross section there is no free parameter in the model (except 'g' and OM parameters)



Compound Nucleus features

- long life time (10^{-18} s compared to 10^{-22} s for direct reactions)
- Factorisation of CN formation and decay (Borh's hypothesis)
- Symmetric angular distributions
- Time independence

formal development

Assumptions:

- many open channels
- huge number of resonances
- decay width vary widely
- low energy \Rightarrow reduced phase space \Rightarrow rapid energy dependence \Rightarrow averaged cross sections
- high energy \Rightarrow larger phase space \Rightarrow smooth cross sections \Rightarrow selfaveraging

formal development

S-matrix

$$\langle S_{ab}^{fl} \rangle = 0$$

energy averaged cross section

$$\langle \sigma_{ab} \rangle = |\delta_{ab} - \langle S_{ab} \rangle|^2 + \langle |S_{ab}^{fl}|^2 \rangle = \sigma_{ab}^{dir} + \sigma_{ab}^{fl}$$

(kinematical factors neglected)

$\langle S_{ab} \rangle$ - given by optical model

THE AIM OF THE STATISTICAL THEORY
OF NUCLEAR REACTIONS IS TO EXPRESS:

$$\langle |S_{ab}^{fl}|^2 \rangle \quad \text{in terms of} \quad \left| \langle S_{ab}^{fl} \rangle \right|^2$$

Hauser-Feshbach formula

- transmission coefficient (optical model)

$$T_a = 1 - |\langle S_{aa} \rangle|^2$$

- unitarity $SS^\dagger = 1$ (flux conservation) implies

$$T_a = \sum_{b=1}^{\Lambda} \sigma_{ab}^{fl}$$

- Bohr hypothesis: $\sigma_{ab}^{fl} = \xi_a \xi_b$ $a \neq b$



Hauser-Feshbach formula

cont.

using unitarity

$$\sigma_{ab}^{fl} = \frac{T_a T_b}{\sum_c T_c} \quad \text{Hauser-Feshbach formula !}$$

However, compound elastic cross section is wrong !

Correlation of the identical entrance and exit channel is not taken into account.

Should be enhanced by factor 2 - 3.

fix to Hauser-Feshbach

several formulae of the type

$$\sigma_{ab}^{fl} = \frac{V_a V_b \underbrace{W_{ab}}_{\text{width fluctuation correction}}}{\sum_{c=1}^{\Lambda} V_c}$$

have been proposed (Moldauer, HRTW).
Relevant at low energies only!

Final solution (Heidelberg triple integral)

$$\begin{aligned}
 & \langle S_{ab}(E_1) S_{cd}^*(E_2) \rangle = \langle S_{ab}(E_1) \rangle \langle S_{cd}^*(E_2) \rangle + \\
 & + \frac{1}{8} \int_0^\infty d\lambda_1 \int_0^\infty d\lambda_2 \int_0^1 d\lambda \frac{(1-\lambda)\lambda|\lambda_1-\lambda_2|}{((1+\lambda_1)\lambda_1(1+\lambda_2)\lambda_2)^{1/2}(\lambda+\lambda_1)^2(\lambda+\lambda_2)^2} \\
 & \times \exp\left\{-i\pi(E_2^*-E_1)(\lambda_1+\lambda_2+2\lambda)/d\right\} \prod_{e=1}^{\Lambda} \frac{(1-T_e\lambda)}{((1+T_e\lambda_1)(1+T_e\lambda_2))^{1/2}} \\
 & \times \left\{ \delta_{ab}\delta_{cd} \langle S_{aa} \rangle \langle S_{cc} \rangle T_a T_c \left[\frac{\lambda_1}{1+T_a\lambda_1} + \frac{\lambda_2}{1+T_a\lambda_2} + \frac{2\lambda}{1-T_a\lambda} \right] \right. \\
 & \times \left[\frac{\lambda_1}{1+T_c\lambda_1} + \frac{\lambda_2}{1+T_c\lambda_2} + \frac{2\lambda}{1-T_c\lambda} \right] + (\delta_{ac}\delta_{bd} + \delta_{ad}\delta_{bc}) T_a T_c \\
 & \times \left[\frac{\lambda_1(1+\lambda_1)}{(1+T_a\lambda_1)(1+T_b\lambda_1)} + \frac{\lambda_2(1+\lambda_2)}{(1+T_a\lambda_2)(1+T_b\lambda_2)} + \frac{2\lambda(1+\lambda)}{(1+T_a\lambda)(1+T_b\lambda)} \right] \Bigg\}
 \end{aligned}$$

application of Hauser- Feshbach formula

$$\sigma_{ab}^{fl} = \frac{T_a T_b}{\sum_c T_c}$$

What is a channel?

channel definition

x - particle type
 ε - particle energy
 l - angular momentum
 s, I, J - spin
 π - parity

$$a = (x, \varepsilon, l, s, \pi, I_T, \pi_T; J_{CN}, \pi_{CN})$$

projectile/
ejectile

target/
residue

Compound
Nucleus

coupling

$$\vec{s} + \vec{l} + \vec{I}_T = \vec{J}_{CN} \quad \text{angular momentum}$$

$$\pi \pi_T (-1)^l = \pi_{CN} \quad \text{parity}$$

$$\varepsilon + B_x = E_{exc} \quad \text{energy conservation}$$

experimental channel

incoming channel

$$A = \sum_l \sum_s \sum_{J_{CN}} \sum_{\pi_{CN}} (x, \varepsilon, l, s, I_T, \pi_T; J_{CN}, \pi_{CN})$$

outgoing channel

$$B = \sum_\varepsilon \sum_l \sum_s \sum_{J_{CN}} \sum_{\pi_{CN}} (x, \varepsilon, l, s, I_R, \pi_R; J_{CN}, \pi_{CN})$$

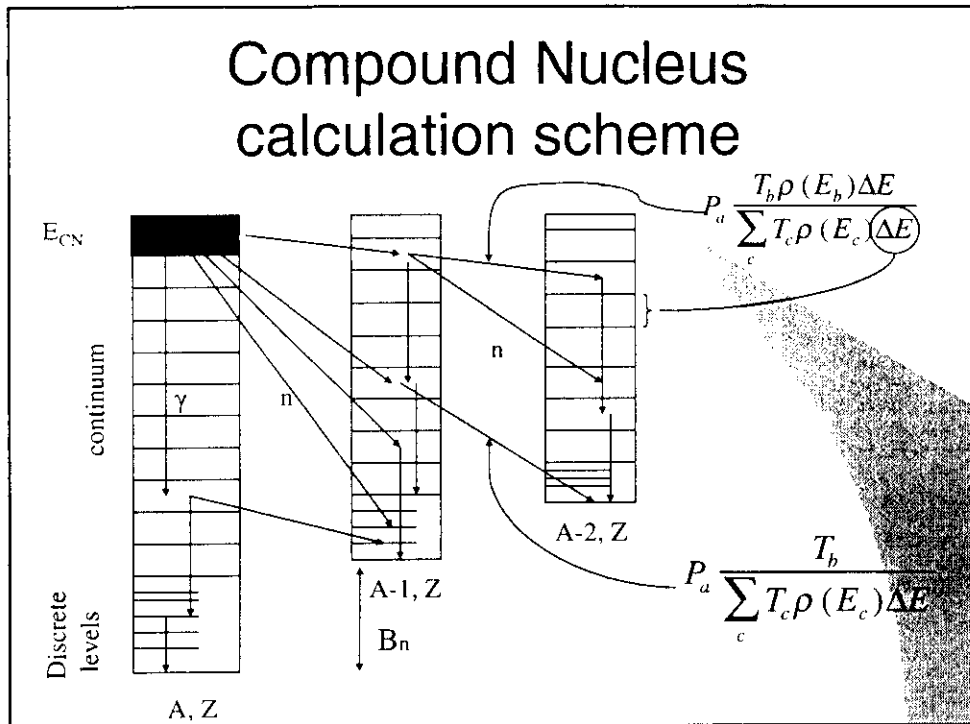
sum over the energy must be dropped if we look for the spectra

moreover:

apart of the discrete region, the levels are so many that we have to use level densities

$$\rho(E_{exc}, J, \pi)$$

and replace sum by the integral over energy.



Compound Nucleus (comments to the figure)

- Spin and parity is not shown, thus the figure refers to the Weisskopf-Ewing model rather than to the Hauser-Feshbach one
- Spin and parity add additional two dimensions to the plot
- Emission of other particles (in addition to neutrons) should be included

Compound Nucleus model parameters

- optical model potential \Rightarrow transmission coefficients
- level densities
- nuclear masses \Rightarrow binding energies
- Giant Multipole Resonance parameters \Rightarrow transmission coefficients for photons
- discrete levels and branching ratios
- fission barriers
- shell corrections \Rightarrow level densities and fission barriers

LEVEL DENSITIES

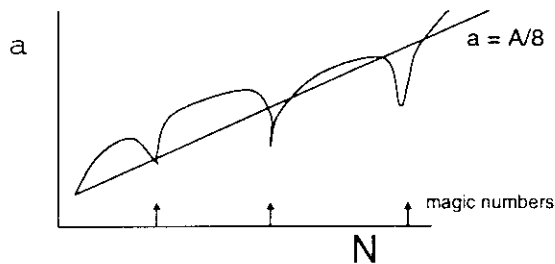
- describe continuum where nuclear levels are so many that can not be treated individually
- in principle, total level density should be a sum of partial level densities (over all exciton numbers)
- collective effects make them usually much higher than that

level densities (cont.)

- Most striking feature - strong increase with excitation energy
- simplest formula:

$$\rho(E) \approx \frac{\exp(2\sqrt{aE})}{a^{1/4} E^{5/4}}$$

level density parameter



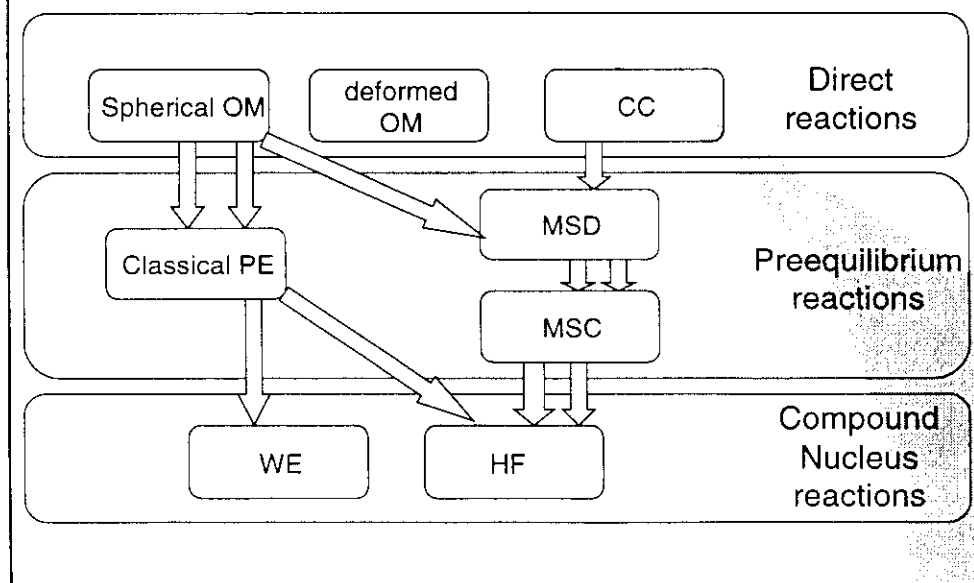
CONNECTIONS BETWEEN NUCLEAR MODELS

- Optical/Direct Model - provide essential ingredients for PE and CN
- Preequilibrium Models - describe CN formation
- Shell Model - provides bases for the formal CN development
- Fermi Gas and BCS Models - provide level densities

connections between nuclear models (cont.)

- Nuclear Structure Models - provide discrete levels, gamma transition probabilities
- Liquid Drop + Shell Model - provide binding energies, shell corrections, fission barriers, and nuclear shape

EVALUATION FLOW-CHART



SOME NUCLEAR REACTION MODEL CODES

- **ECIS** - CEN Saclay (J. Raynal)

OM, DWBA, and CC, parameter search to fit experimental data, a range of models (e.g. first or second order harmonic or anharmonic vibrational model, symmetric or asymmetric rotational model), statistical model including width fluctuation corrections (Moldauer).

THE STATE OF ART CODE !

- **DWUCK** - Boulder, Colorado, (P. D.Kunz)

DWBA, scattering differential cross sections for spin 0, 1/2 or 1 particles.

Standard DWBA code

- **SCAT-2** - Bruyeres le Chatel (O. Bersillon)

OM - total cross sections, elastic scattering and angular distributions, and transmission coefficients for a spherical nucleus. Incident particles: neutron, proton, deuteron, ^3H , ^3He or α .

Often used for calculation of transmission coefficients for Compound Nucleus

- **ALICE** - LLNL, Livermore (M. Blann)

Weisskopf-Ewing evaporation, Hybrid model preequilibrium, Monte Carlo preequilibrium (recent version), multiple particle emission, fission, double differential spectra.

Very useful at high energies, oversimplified at low ones.

- **CASTHY** - JAERI, Tokaj-mura, Japan

OM+HF, Moldauer correction, binary reactions, gamma cascade, postprocessing into ENDF/B format.

Code extensively used for nuclear data evaluations in Japan.

- **HAUSER 5** - Westinghouse (F.M. Mann)

HF+PE+(n,a) pick up, binary and tertiary reactions, fission, double differential cross sections, discrete levels but no gamma cascade.

Designed for calculations up to 50 MeV.

● **TNG** - Oak Ridge (C.Y. Fu)

OM+HF+PE, Moldauer correction, binary and tertiary reactions, gamma cascade, angular distributions, output in ENDF/B format.

Designed for calculations up to 20 MeV.

Code used for nuclear data evaluation.

● **STAPRE** - IRK, Vienna (M. Uhl)

HF+PE (random walk), Moldauer correction, multiparticle emission (6), gamma cascade, fission.

Code extensively used in many laboratories.

- **GNASH** - LANL, Los Alamos,
(P.G. Young, M.B. Chadwick)

HF+PE+MSC(FKK)+MSD(FKK), Moldauer correction, second chance preequilibrium, multiparticle emission, fission, gamma cascade, double-differential cross sections, discrete levels, variable dimensions, input library, output postprocessing into ENDF/B format. Energy range up to ~200 MeV. The most important code for nuclear data evaluation !

- **EMPIRE II** - IAEA, Vienna (M. Herman)

OM+HF+MSC(NVWY)+MSD(TUL), multiparticle emission, fission, gamma cascade, double-differential cross sections, discrete levels, neutron or any nucleus as a projectile, dynamical effects, variable dimensions, input library, output postprocessing into ENDF/B format.

Extremely easy to use, applied in nuclear data evaluations, to be released.

CONCLUSIONS

- Optical model, direct reaction, preequilibrium and statistical theories account for a major part of nuclear reaction
- Theory is in a good shape
- Predictive capability:
 - about 10% for strong reaction channels
 - can be an order of magnitude for weak reaction channels

CONCLUSIONS (cont.)

- Hauser-Feshbach model is a key ingredient but alone it is never enough,
 - at low energies it must be supplemented with width fluctuation correction
 - at incident energies above few MeV preequilibrium emission must be taken into account
 - above ~50 MeV second chance preequilibrium is needed

CONCLUSIONS (cont.)

- reaction channels to collective discrete levels must be treated within DWBA or CC approaches
- New generation of codes is being prepared (EMPIRE -2, new GNASH)
- There is a tendency to use more advanced modeling (CC, MSD&MSC)

