

**School on "Exploring the Atmosphere by
Remote Sensing Techniques"
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1151-20

"Aerosol Lidar"

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Please note: These are preliminary notes intended for internal distribution only.

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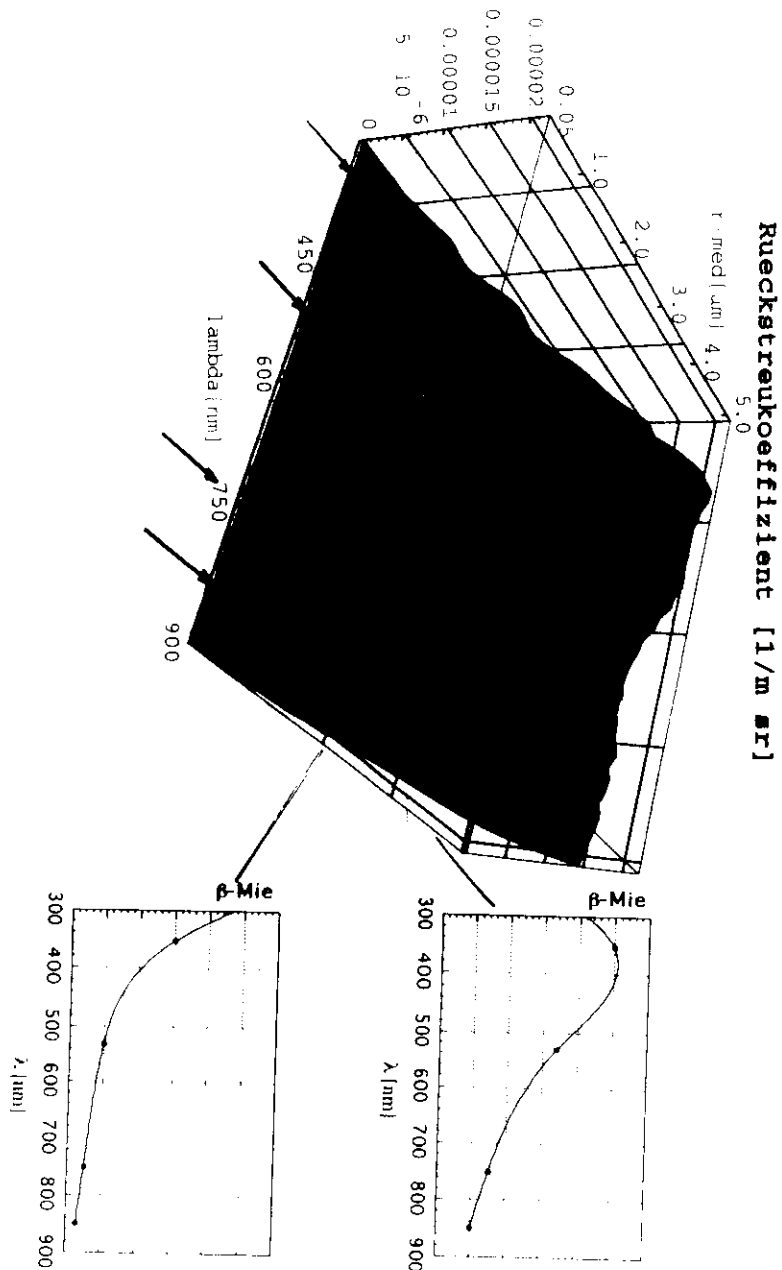
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Aerosol Lidar

1. Retrieval of aerosol size distributions (ASD) from multiwavelength lidar measurements:
 - spectral signature, volcanic aerosol, PSCs
2. The Raman lidar technique:
 - 2.1 Aerosol
 - what is extinction + how can we measure $\alpha(\lambda)$
 - Raman scattering characteristics
 - lidar equation for Raman scattering
 - experimental setup
 - measurement examples
 - 2.2 Trace gas measurements
 - Multicomponent analysis
 - H₂O measurements
 - 2.3 Temperature measurements
 - Rayleigh scattering method
 - Rotational Raman scattering method

Spektrales Verhalten von logarithmischen Größenverteilungen

$\sigma=1.105$ (Breite=0.1 * r-mean)

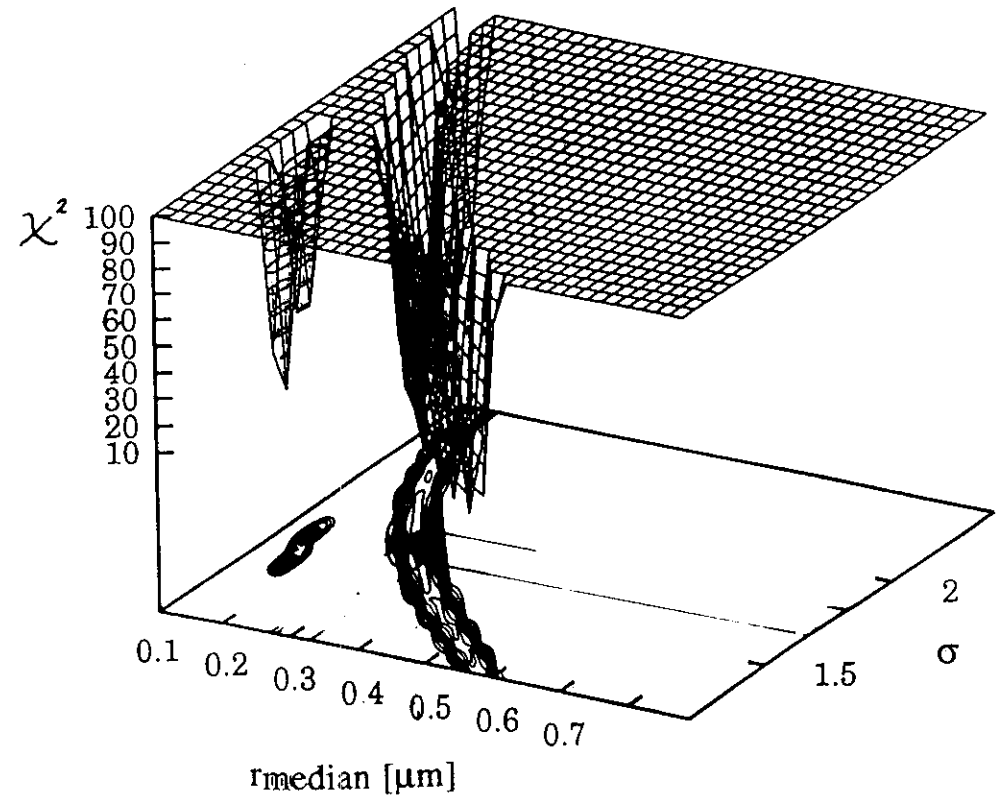


Fit-Parameter χ^2

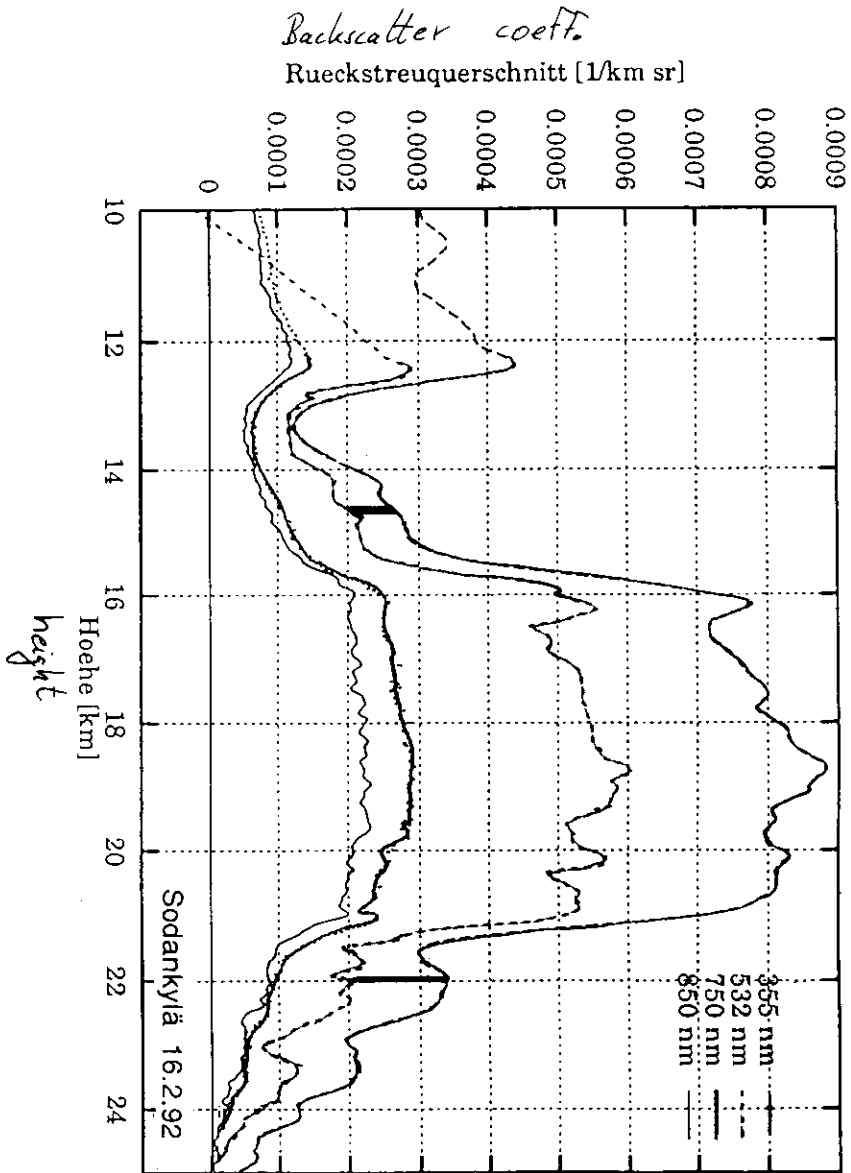
measured at λ_j β of size distribution $\rho_s(r, \sigma)$

$$\chi^2 = \sum_{j=1}^4 \left(\frac{\beta_j - \beta_{sj}[\rho_s(r, \sigma)]}{\Delta\beta_j} \right)^2$$

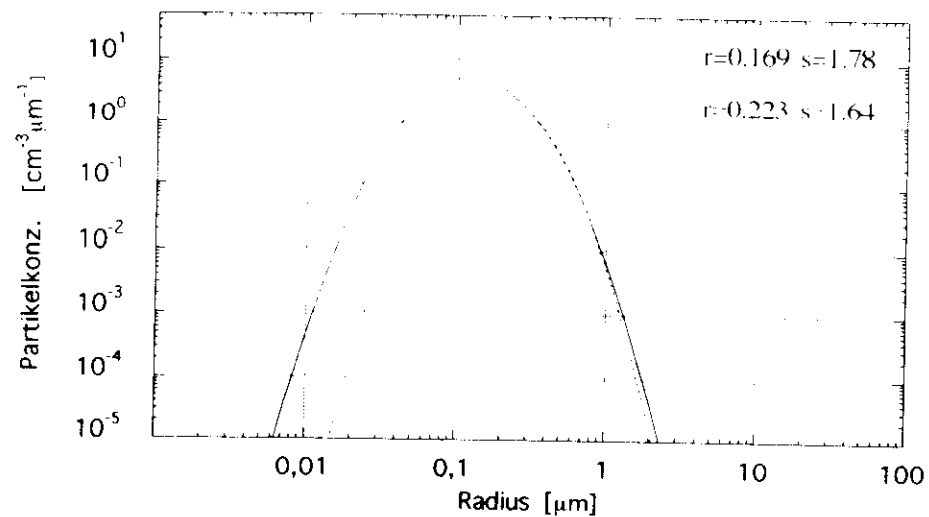
uncertainty at λ_j



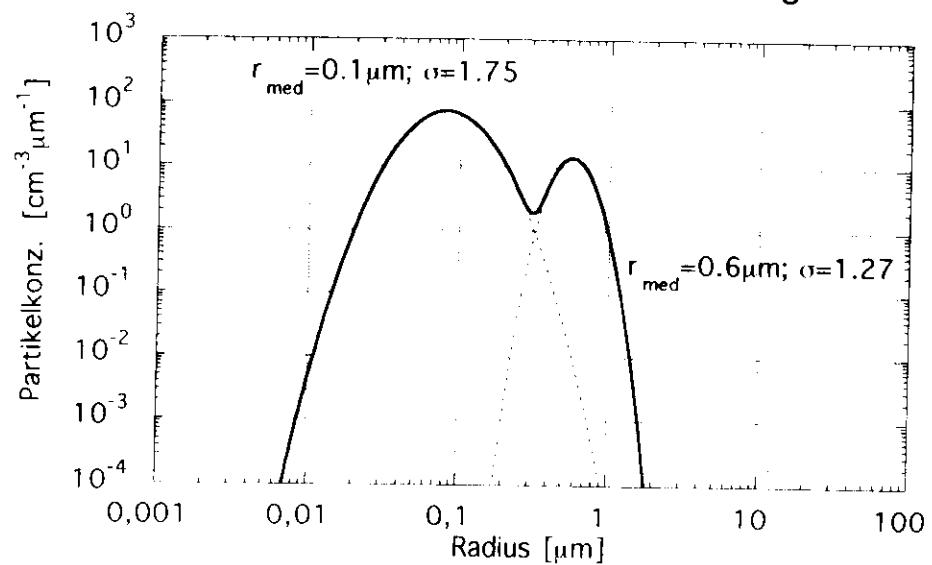
4- λ Mie Rückstreuprofile



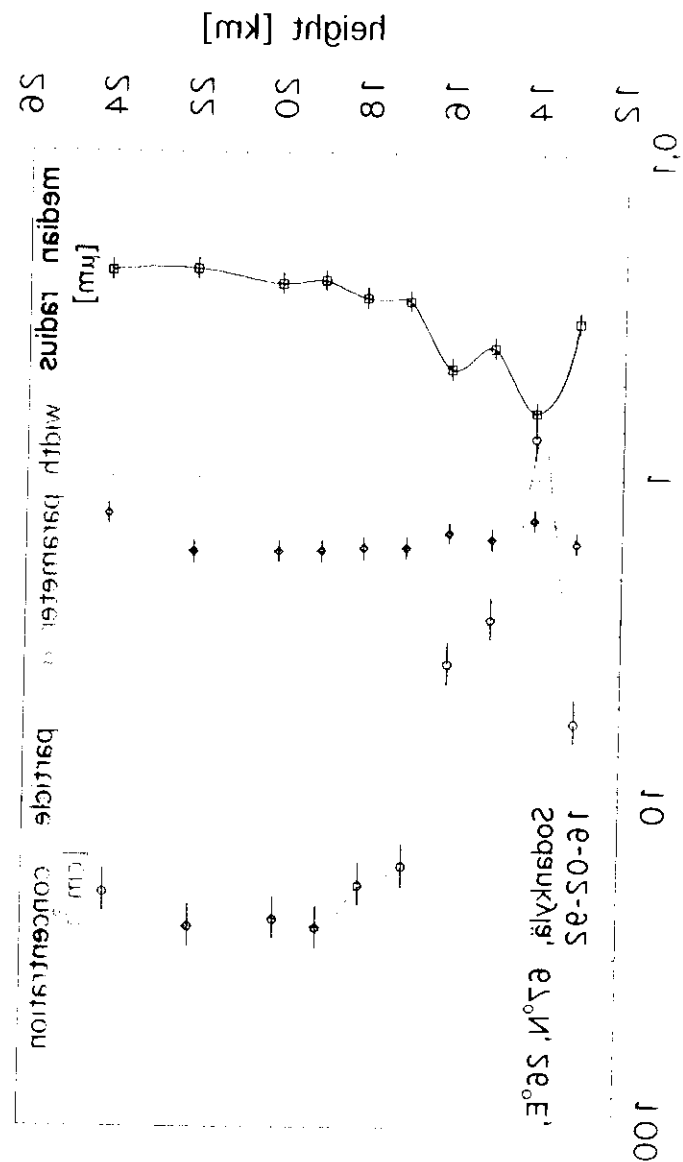
Variation der Fit-Resultate

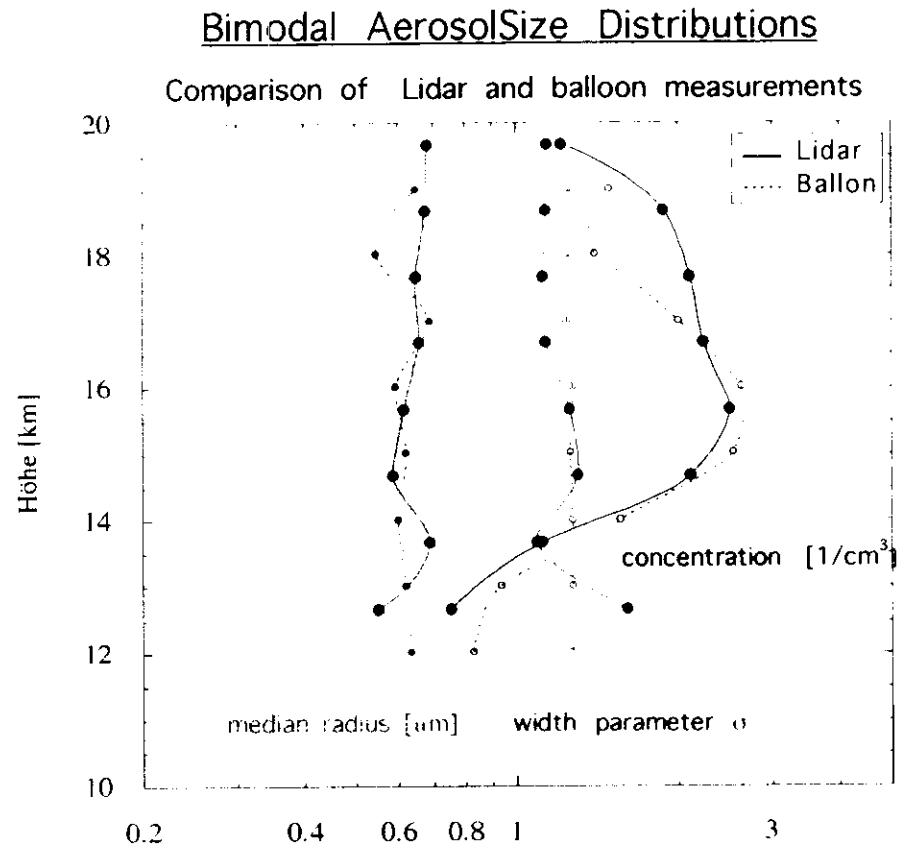
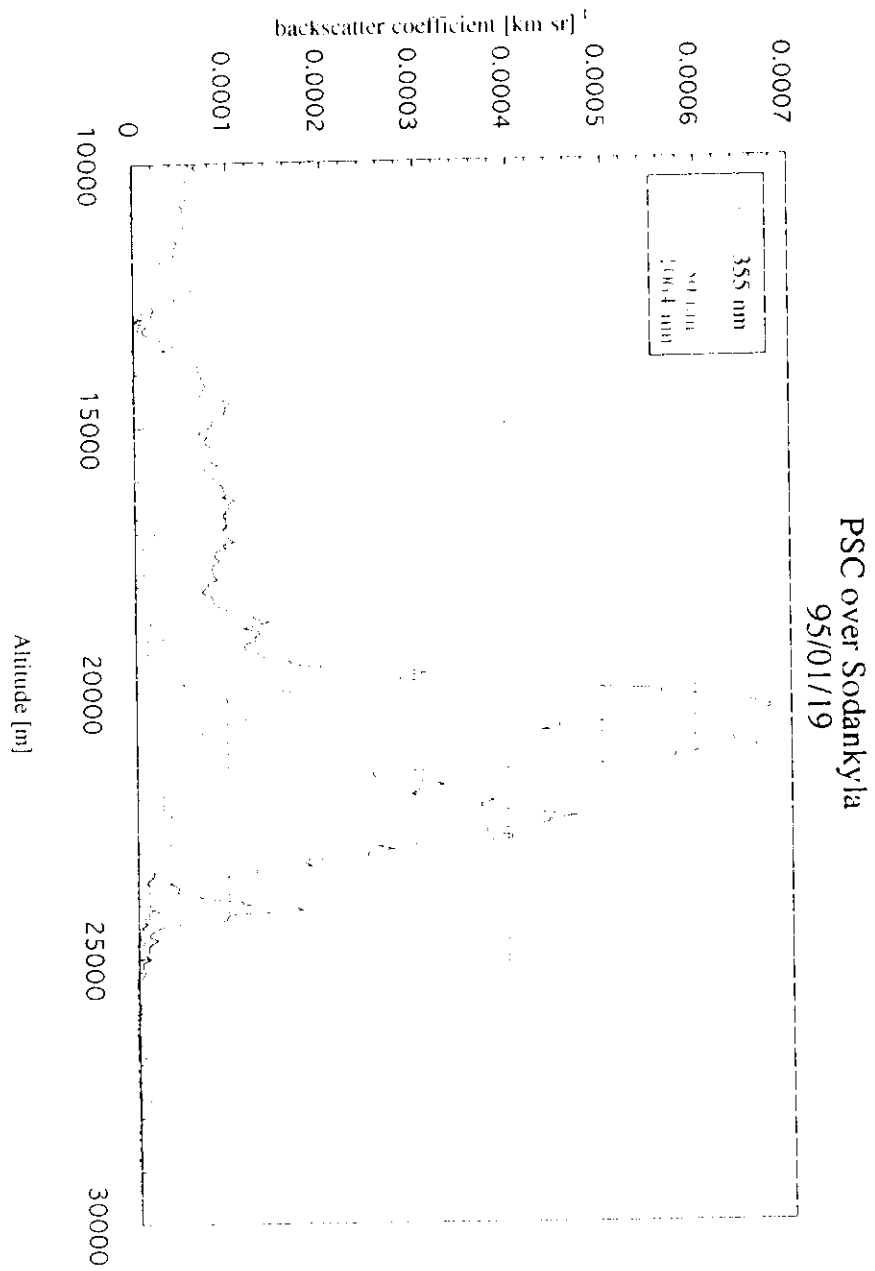


Bimodale Größenverteilung



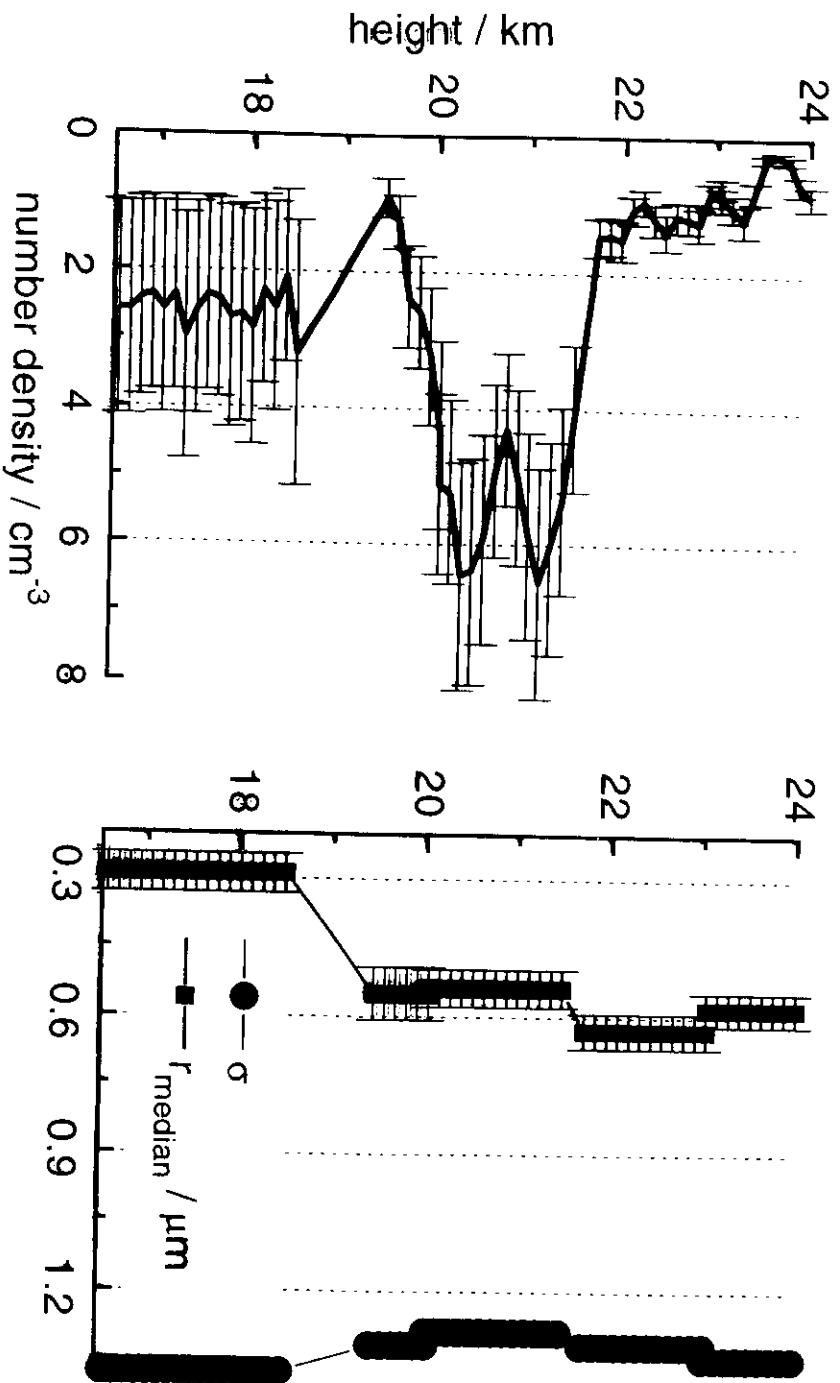
Height profile of unimodal lognormal size distributions



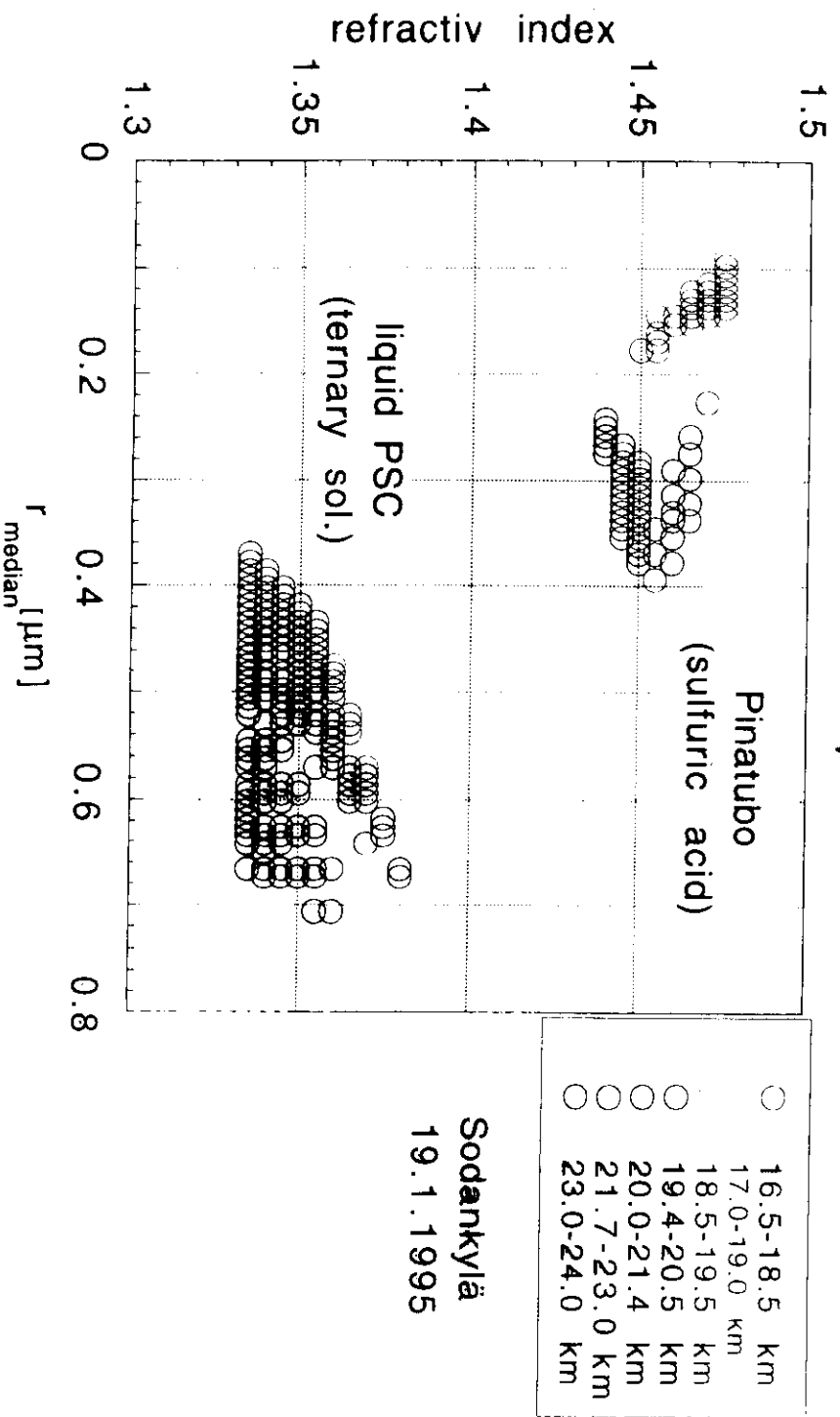


Size distribution of liquid PSC

Sodankylä, 19.1.1995 17:00-18:00 GMT



Refractive index of liquid PSC



Extinction

$$\alpha(\lambda) = \text{absorption} + \text{scattering} (360^\circ)$$

Why is height resolved aerosol extinction an important parameter?

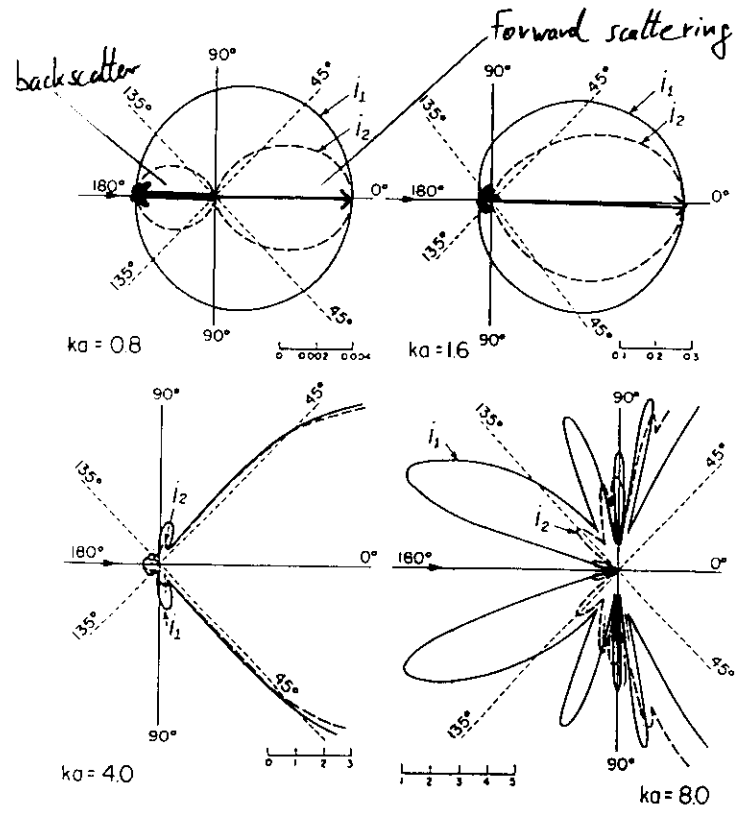
- aerosol radiative forcing is the major uncertainty to predict longterm temperature trends
- the height (and temperature) of an aerosol layer influences the climate effect
- the "lidar ratio" $\alpha(\lambda)/\beta(\lambda)$ contains information about the aerosol composition and size distribution

A conclusion about aerosol size distribution retrieval by multispectral lidar:

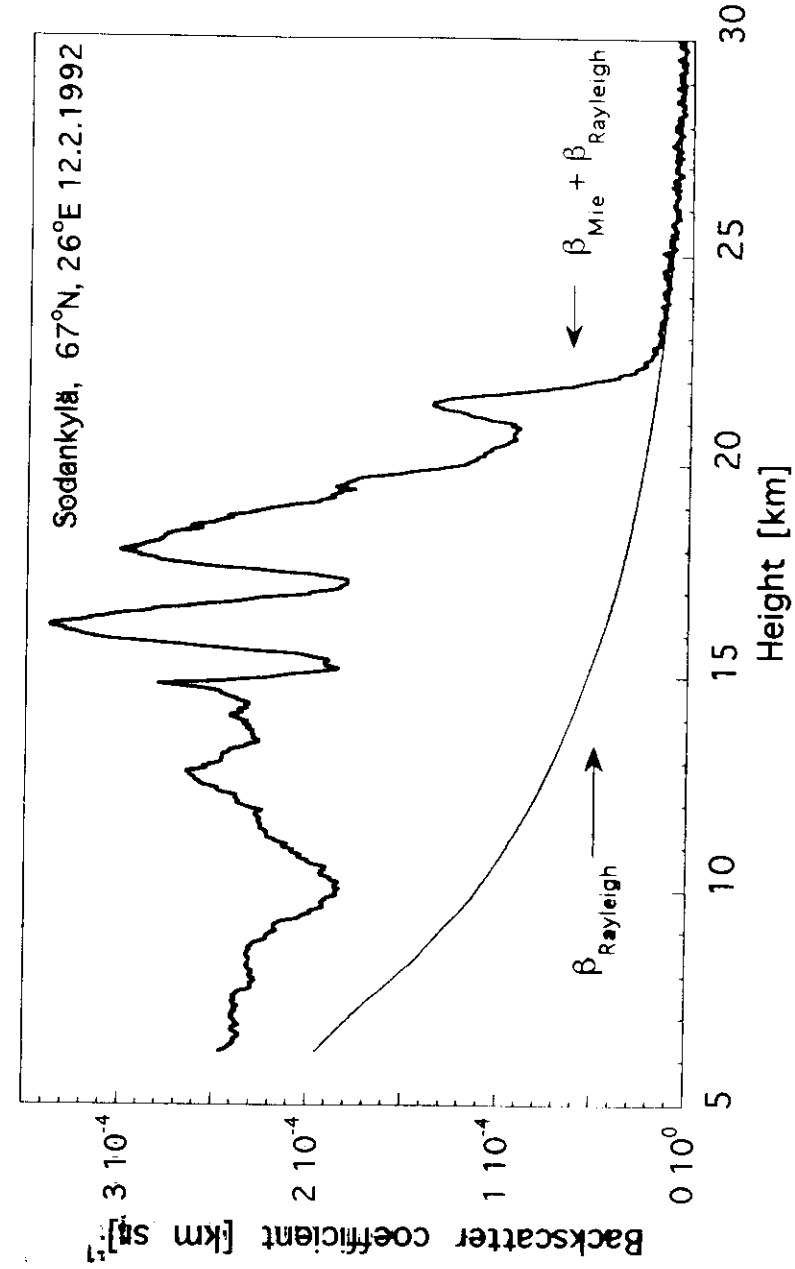
- is possible to retrieve ASD parameters (size, refr. index) when
 - particles are spherical
 - an assumption about the shape of the ASD can be justified
- backscatter coefficients at 3 (better 4) wavelengths can be measured (error < 10%)
- no relevant absorption occurs (transparent particles)

Mie-scattering at spherical particles

with different size parameter $ka = \frac{2\pi r}{\lambda}$



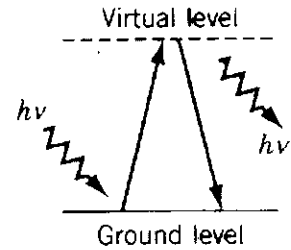
Ti:Sapphire Lidar @ 750 nm



Scattering Processes

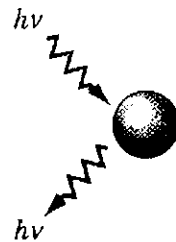
Rayleigh scattering
all molecules

$$\lambda_{in} = \lambda_{out}$$



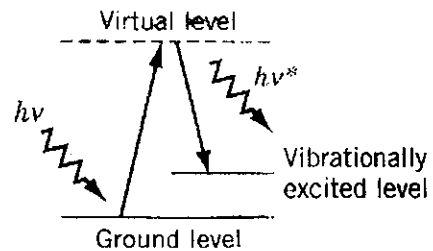
Mie scattering
all spherical particles

$$\lambda_{in} = \lambda_{out}$$



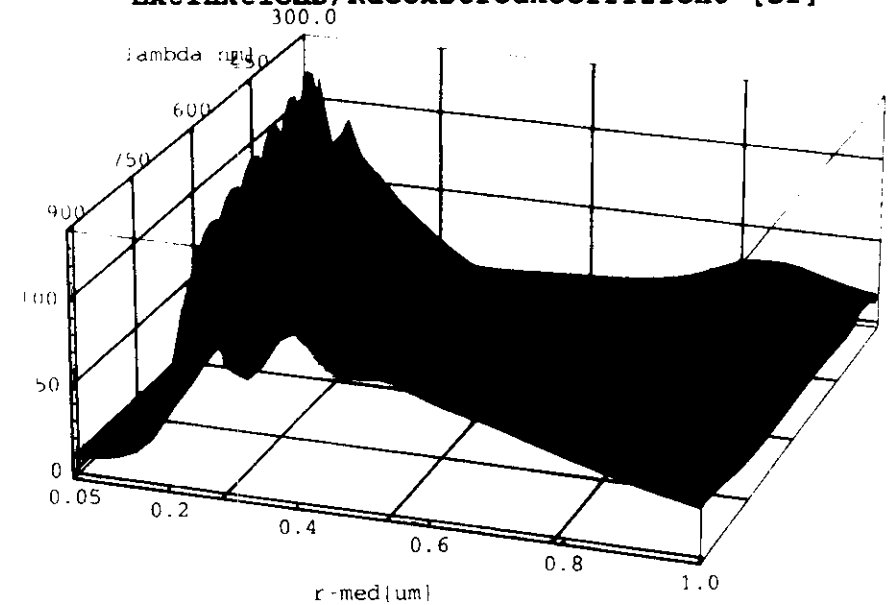
Raman scattering
molecules

$$\lambda_{in} \neq \lambda_{out}$$

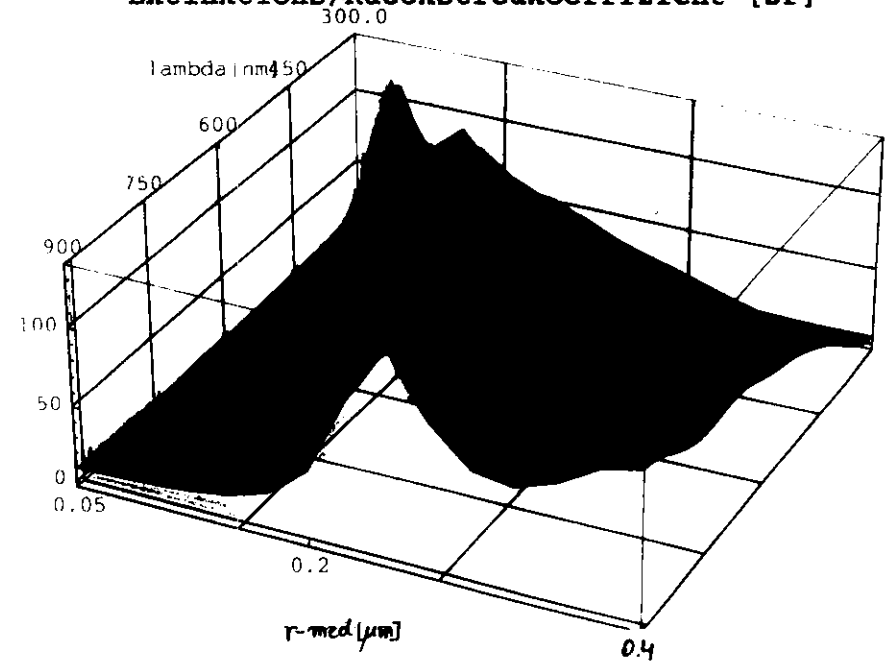


Extinction coefficient
 $\sigma = 1.105 \text{ (Breite} = 0.1 * r\text{-mean)}$

Extinktions/Rueckstreuoeffizient [sr]



Extinktions/Rueckstreuoeffizient [sr]



Properties of Raman scattering

frequency of incident light frequency of j'th vibrational mode

zero point vibrational amplitude = $(\hbar/8\pi^2\nu)^{1/2}$

$$\frac{d\sigma_j}{d\Omega} \approx \left(\frac{2\pi}{c}\right)^4 \frac{b_j^2(\nu_0 - \nu_j)^4}{[1 - \exp(-\hbar\nu_j/kT)]} g_j \left(\alpha_j'^2 + \frac{7}{45} \gamma_j'^2 \right)$$

trace and anisotropy of the polarizability tensor

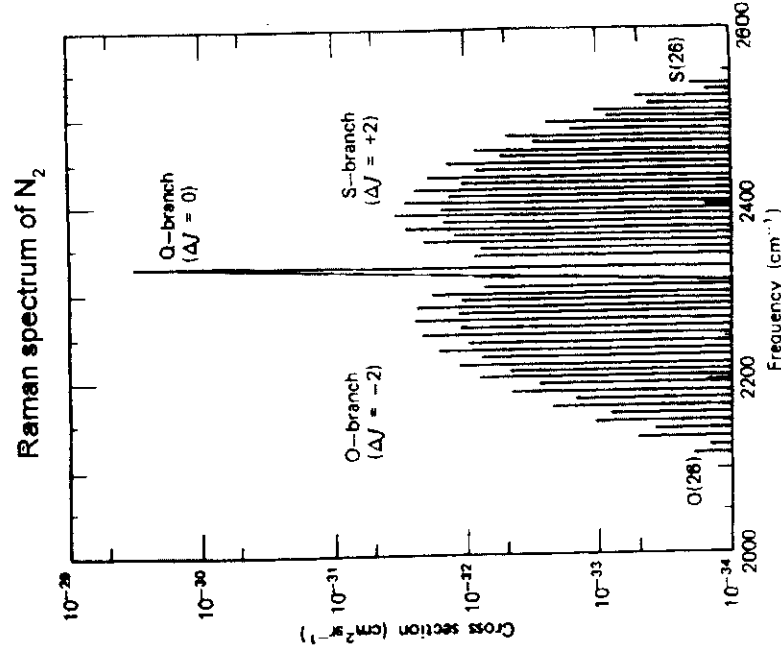
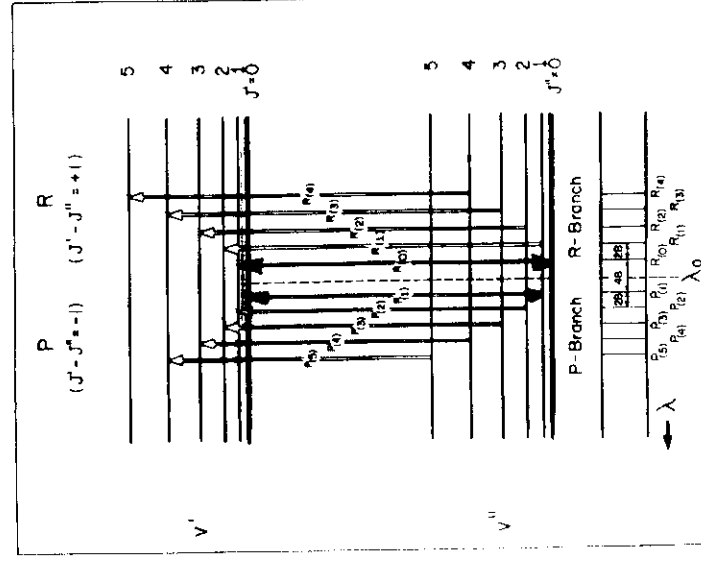
- Raman scattering cross section is about 1/1000 of Rayleigh cross section

- no angular dependence

- Raman shift is element specific

Raman Scattering

Vibrational-Rotational energy levels





Calculation of the backscatter coefficient β^{ae}

$$P(\lambda, z) = P_0 \cdot C(\lambda, z) \cdot A \cdot \frac{1}{z^2} \beta(\lambda, z) e^{-\int_0^z 2\alpha(\lambda, z) dz}$$

1. elastic Lidar signal:

$$P_{\lambda_0} = \frac{\beta_{\lambda_0}^{mol} + \beta_{\lambda_0}^{ae}}{z^2} T_{\lambda_0} T_{\lambda_0} \quad \text{with} \quad T_{\lambda_0} = \exp \left\{ - \int_z \alpha_{\lambda_0}^{ae} + \alpha_{\lambda_0}^{mol} dz \right\} \quad (1)$$

2. Raman Lidar signal:

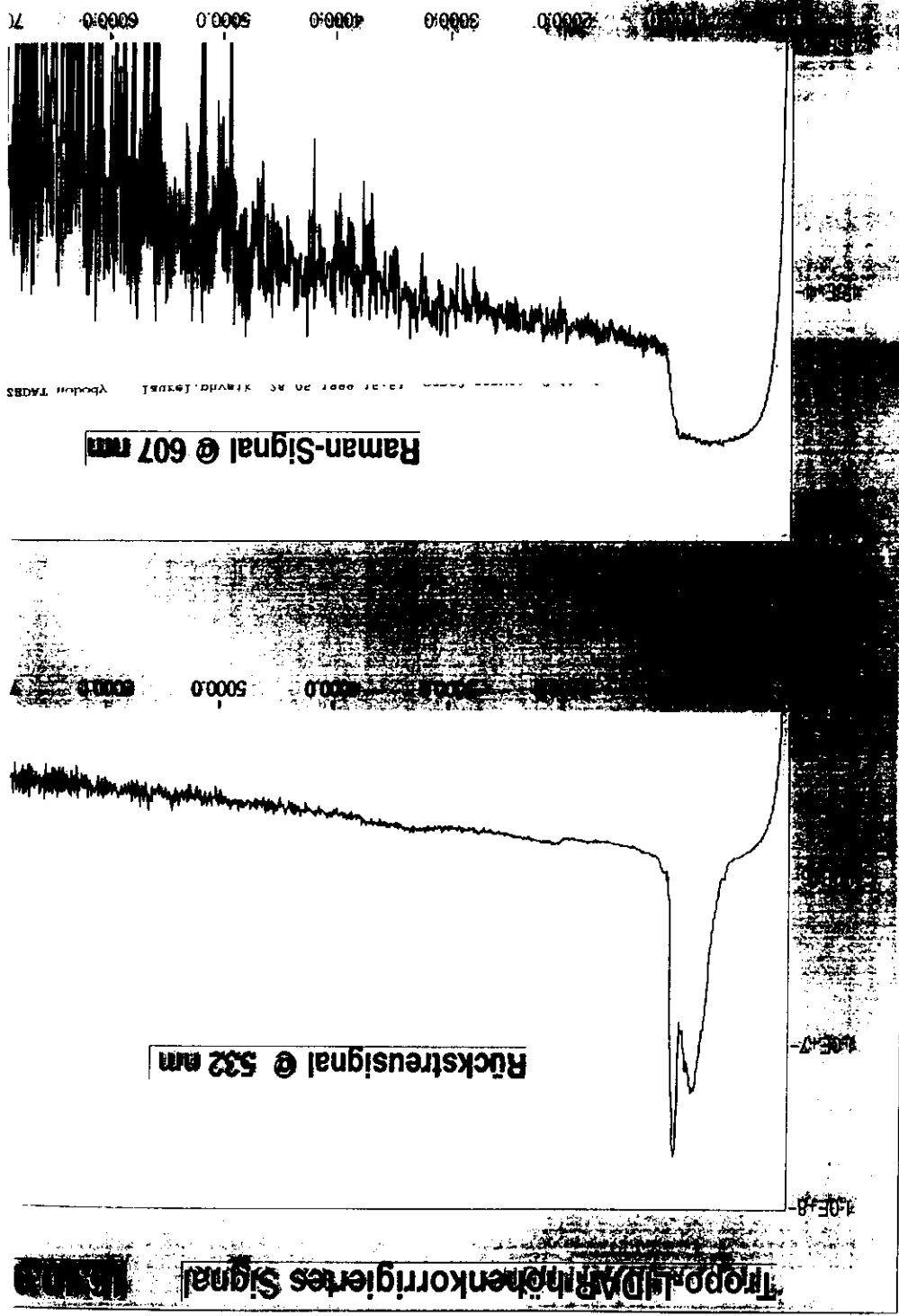
$$P_{\lambda_R} = \frac{\beta_{\lambda_R}^{N_2}}{z^2} T_{\lambda_0} T_{\lambda_R} \quad \text{with} \quad T_{\lambda_R} = \exp \left\{ - \int_z \alpha_{\lambda_R}^{ae} + \alpha_{\lambda_R}^{mol} dz \right\} \quad (2)$$

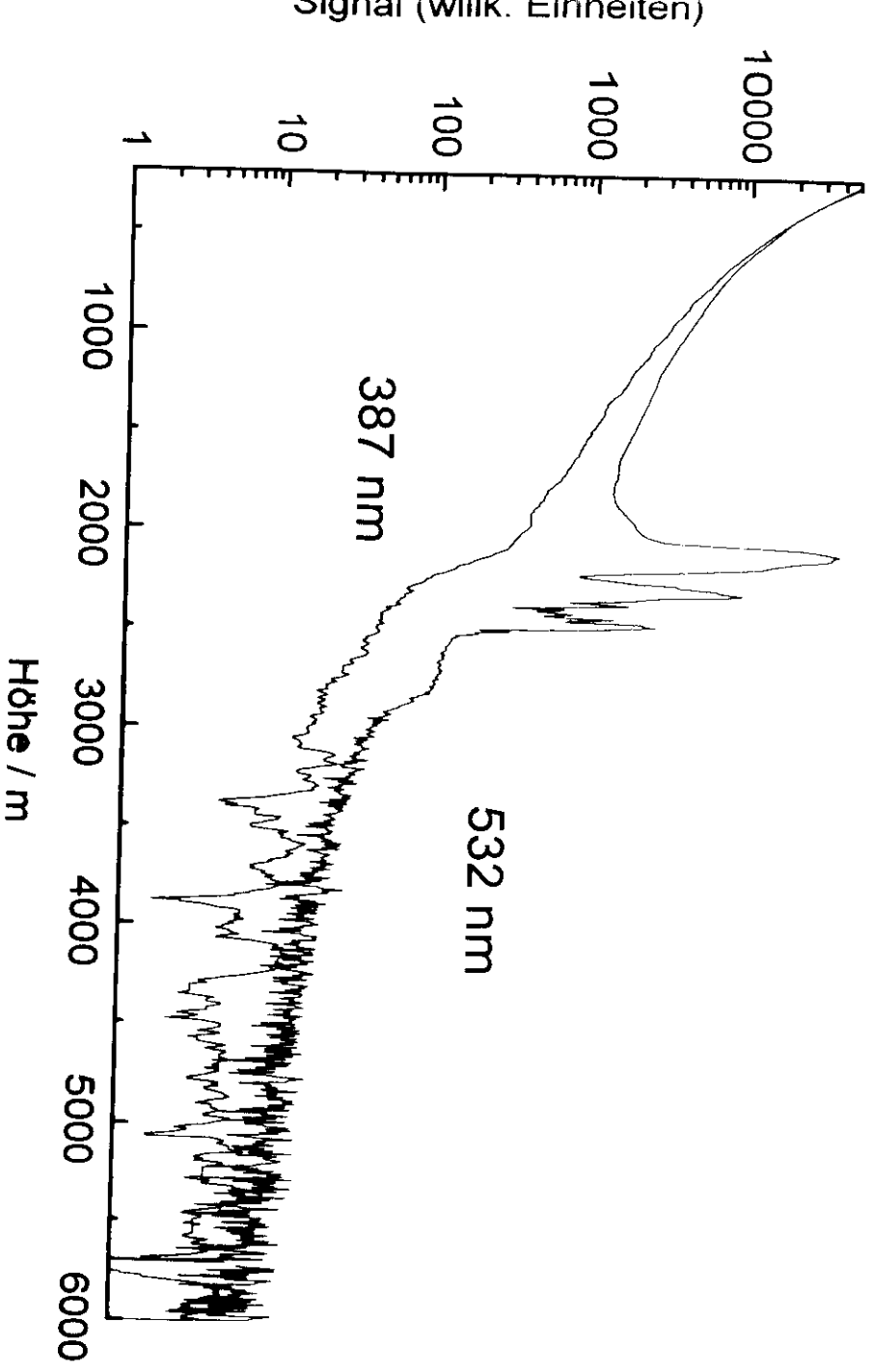
3. definitions and assumptions:

$$\beta^{N_2} \sim \beta^{mol} \Rightarrow R = \frac{\beta^{ae} + \beta^{mol}}{\beta^{mol}} \sim \frac{\beta_{\lambda_0}^{ae} + \beta_{\lambda_0}^{mol}}{\beta_{\lambda_R}^{N_2}}, \quad \alpha_{\lambda_R}^{ae} = \alpha_{\lambda_0}^{ae} \quad (3)$$

4. backscatter ratio:

$$R = \frac{\beta^{ae} + \beta^{mol}}{\beta^{mol}} \sim \frac{P_{\lambda_0} T_{\lambda_R}}{P_{\lambda_R} T_{\lambda_0}} = \left(\frac{P_{\lambda_0}}{P_{\lambda_R}} \right) \exp \left\{ \int_z (\alpha_{\lambda_0}^{mol} - \alpha_{\lambda_R}^{mol}) dz \right\} \sim \frac{\beta^{ae}}{\beta^{mol}} \quad (4)$$





Theory II - Extinction calculation



1. Raman extinction profile

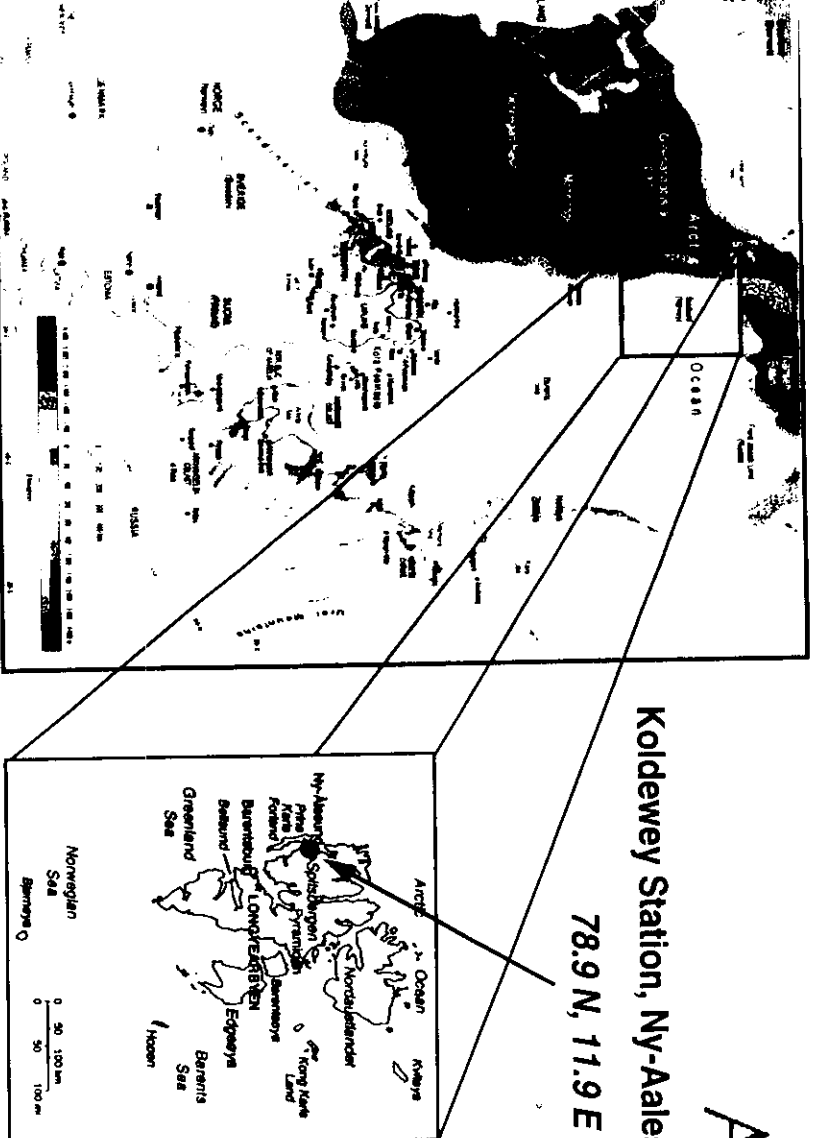
$$\alpha_{\lambda_0}^{aer}(z) = \frac{\left(\frac{d}{dz}\right) \left[\ln \frac{N(z)}{S_{Ram}(z)} \right] - \alpha_{\lambda_0}^{mol}(z) - \alpha_{\lambda_R}^{mol}(z)}{1 + \left(\frac{\lambda_0}{\lambda_R}\right)^k} \quad (\text{Ansmann, 1992})$$

2. Raman optical depth:

$$\tau(z_b, z_t) = \frac{1}{2} \ln \left(\frac{S(z_b)N(z_t)}{S(z_t)N(z_b)} \right) - \frac{1}{2} \int_{z_b}^{z_t} (\alpha_{\lambda_0}^{mol}(z) + \alpha_{\lambda_R}^{mol}(z)) dz$$

Koldewey Station, Ny-Aalesund, Svalbard

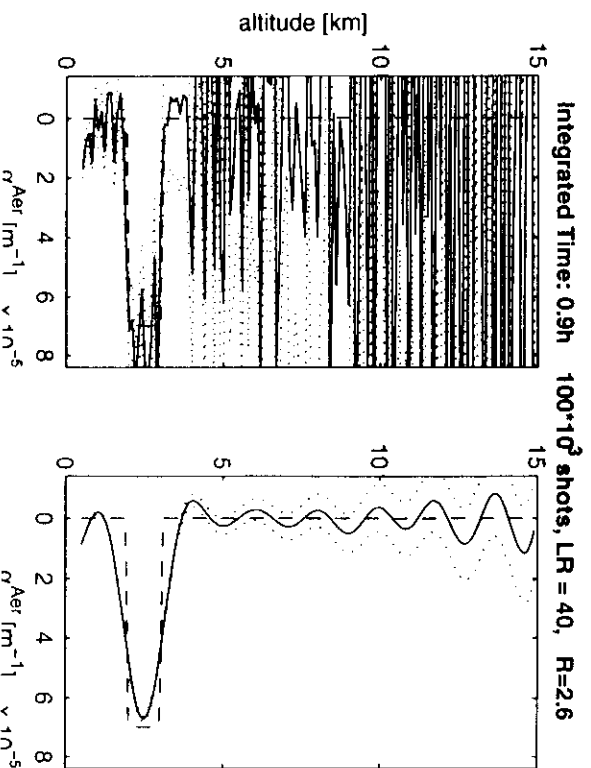
78.9 N, 11.9 E



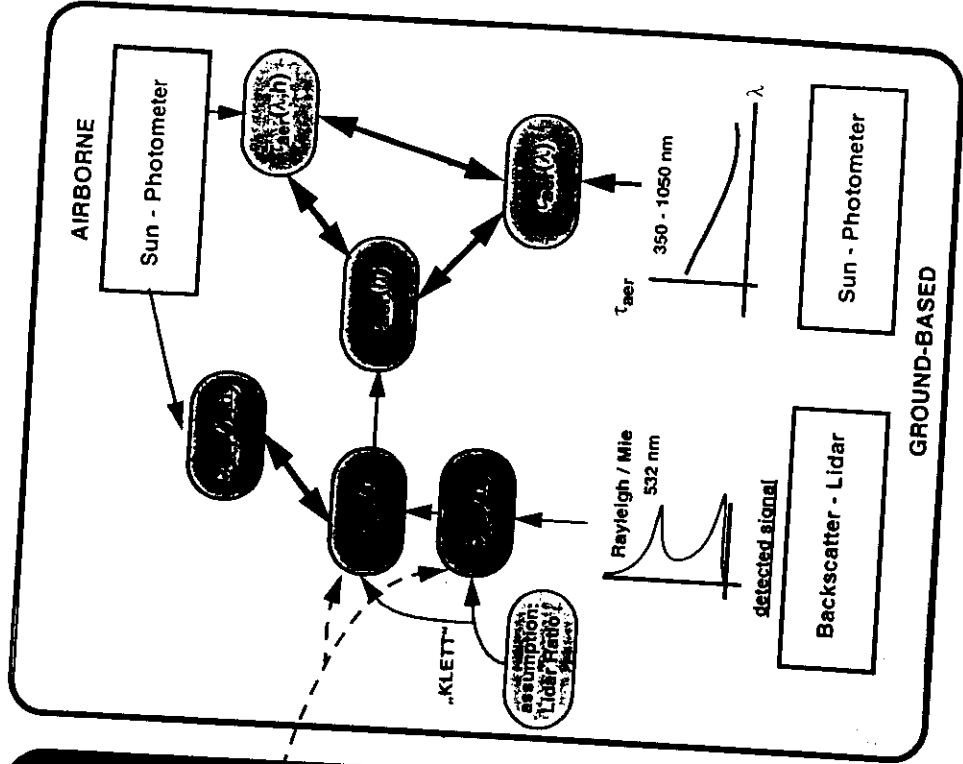
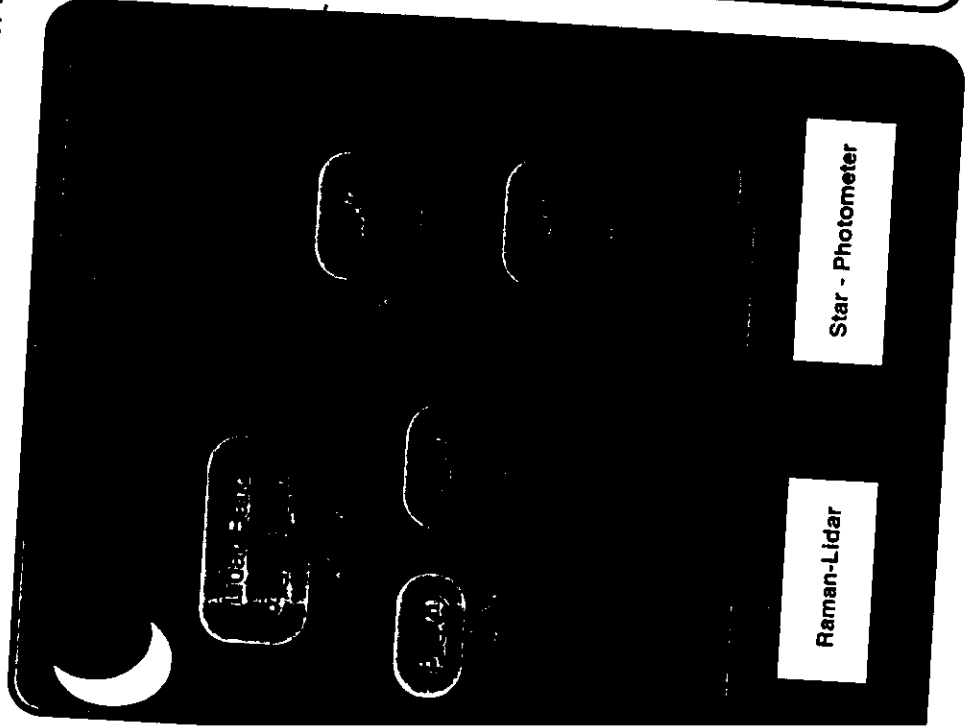
Sensitivity I - realistic example



haze layer: 2-3 km
 integrated time: 1 h
 Kaiser Filter
 $\alpha^{Aer} = 7 \cdot 10^{-5} m^{-1}$
 Lidar Ratio: 40sr

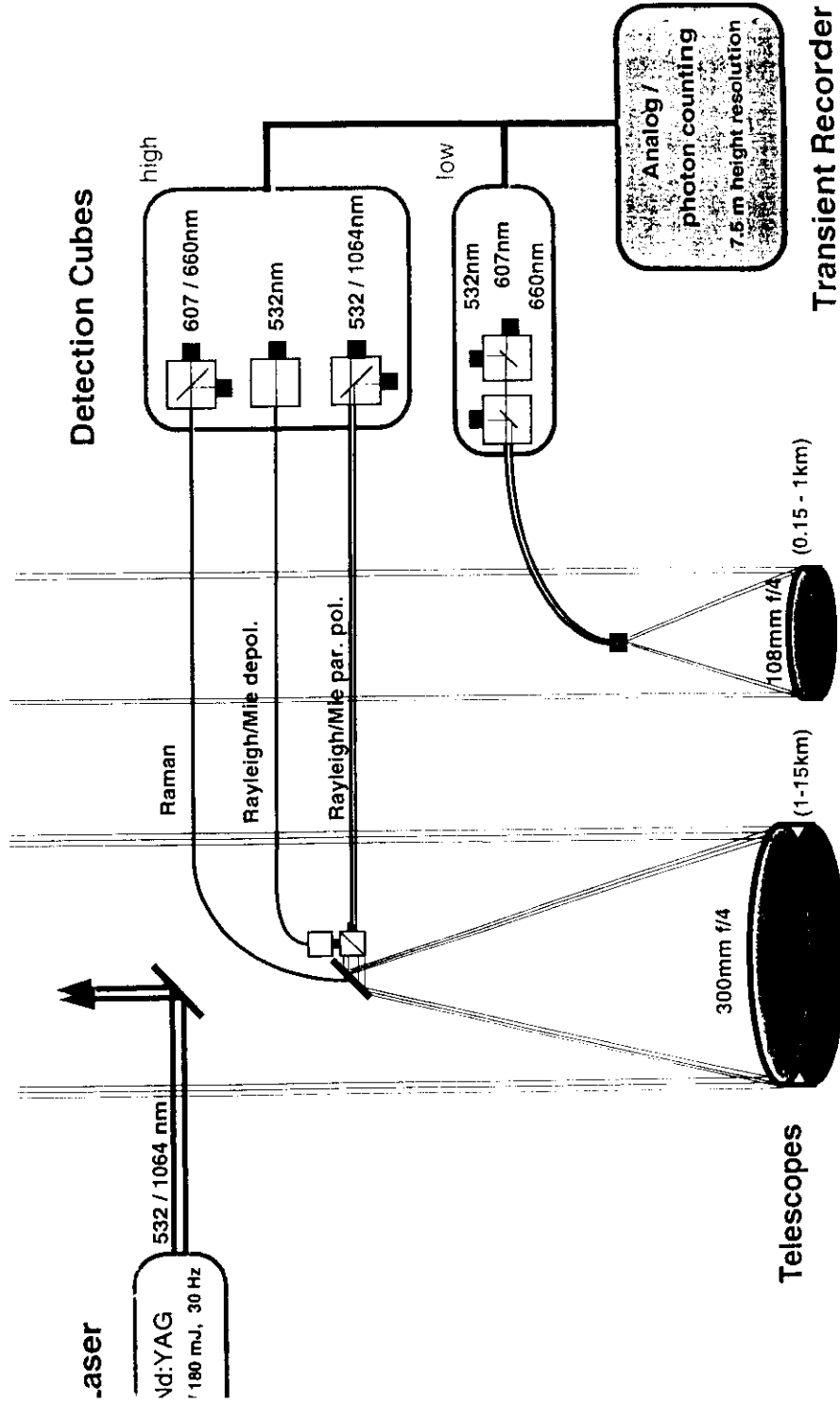


Principle of Aerosol Extinction measurement during ASTAR2000



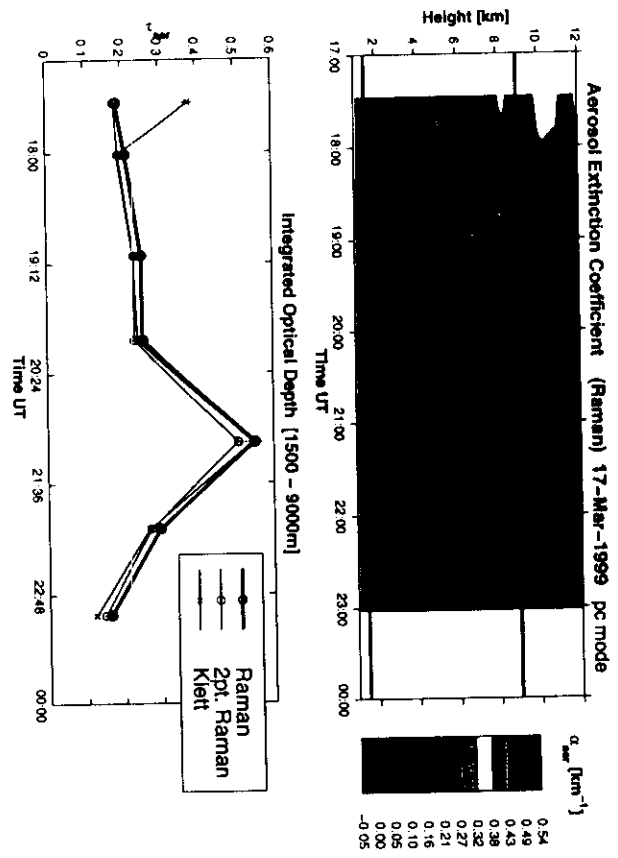
AWI Tropospheric Aerosol Raman Lidar

Experimental Setup 1999/2000, Ny-Aalesund, Spitsbergen 78.9 N, 11.9 E





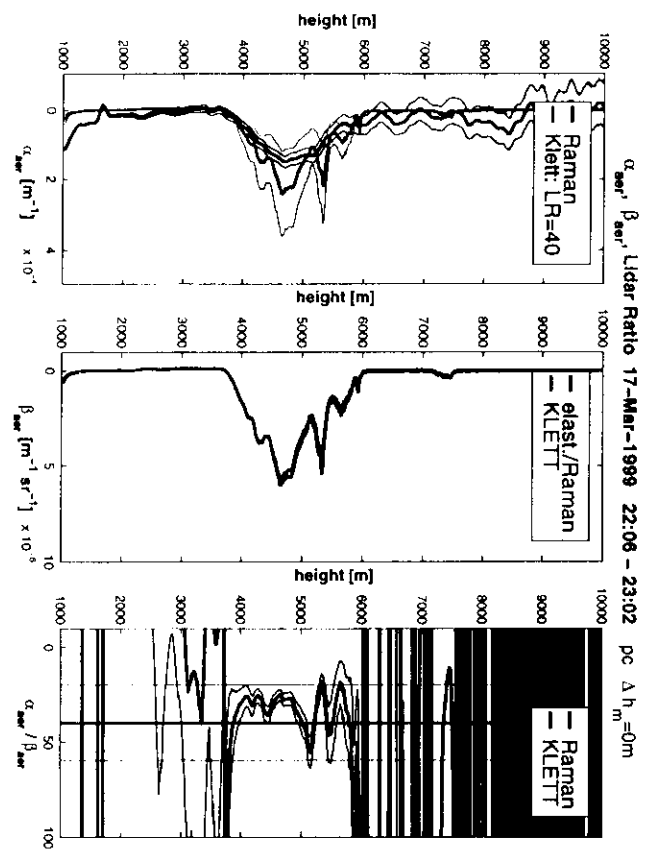
extinction coefficient



6

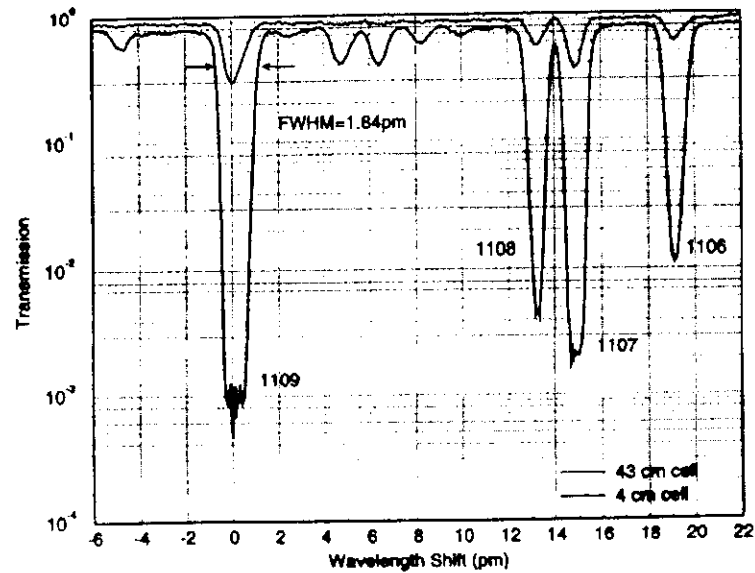
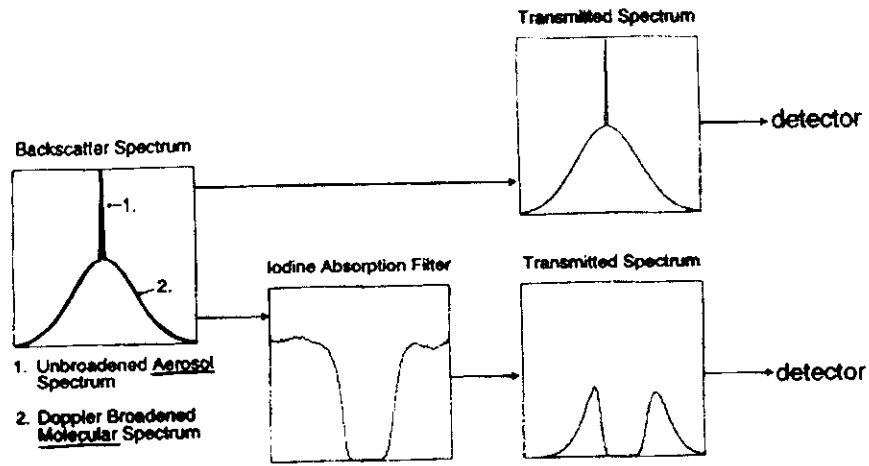


profiles

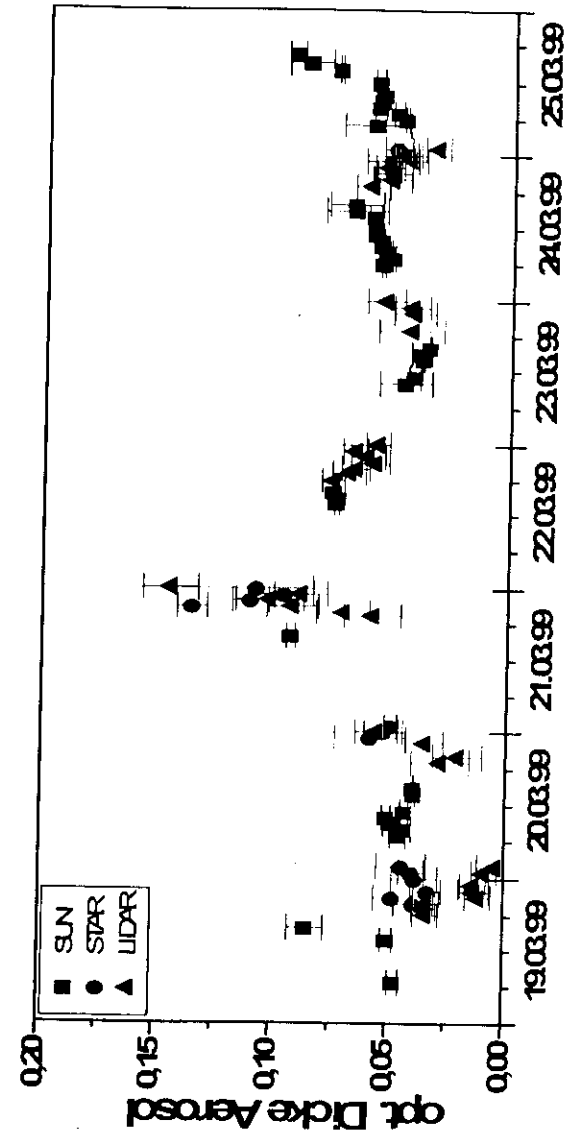


5

High spectral resolution lidar

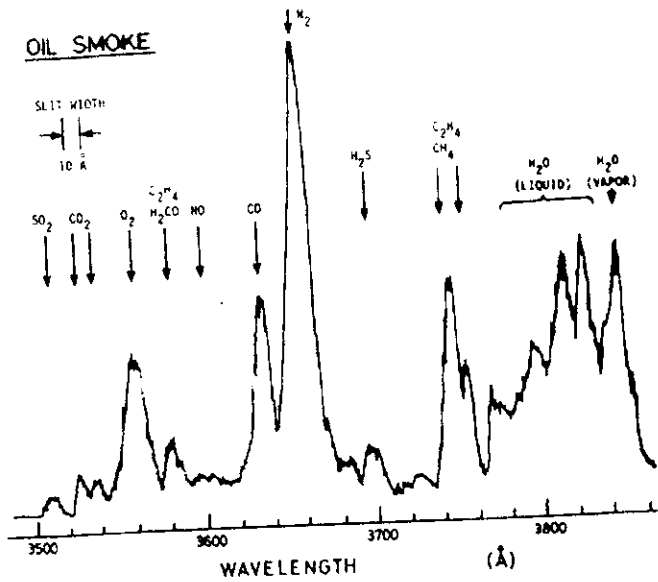


Optical depth: LIDAR - Photometer

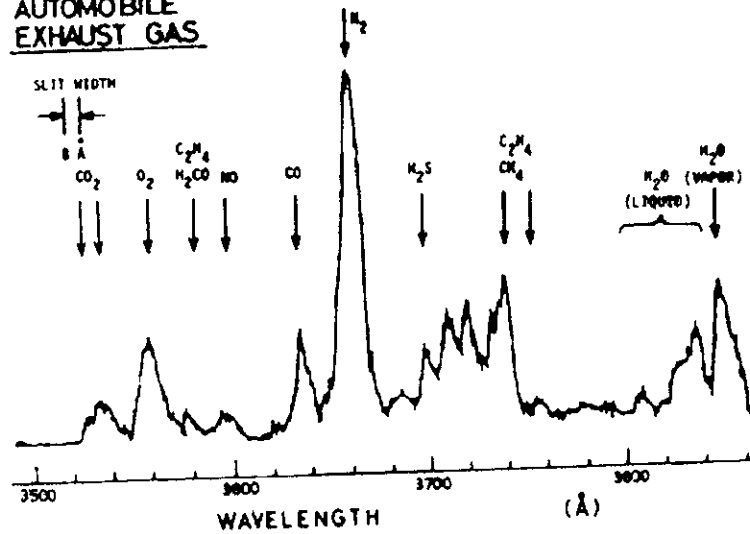


Multicomponent Analysis by Raman Lidar

OIL SMOKE

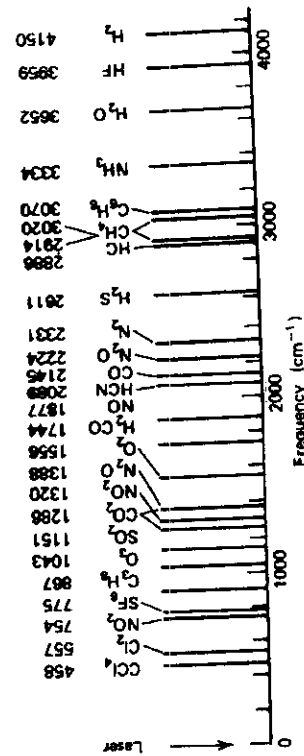


AUTOMOBILE EXHAUST GAS

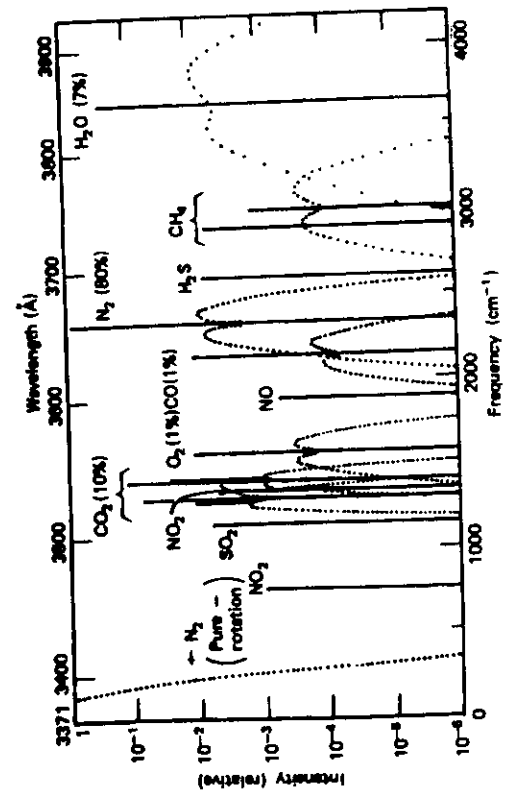


Multicomponent Analysis using Raman Lidar

Frequency shifts of vibrational Raman lines



Multicomponent Raman spectrum



Temperature Measurement by Rayleigh Lidar

above 25-30 km:

Lidar signal depends on air density only

$$n_R(r) \propto P(r)r^2$$

in hydrostatic equilibrium:

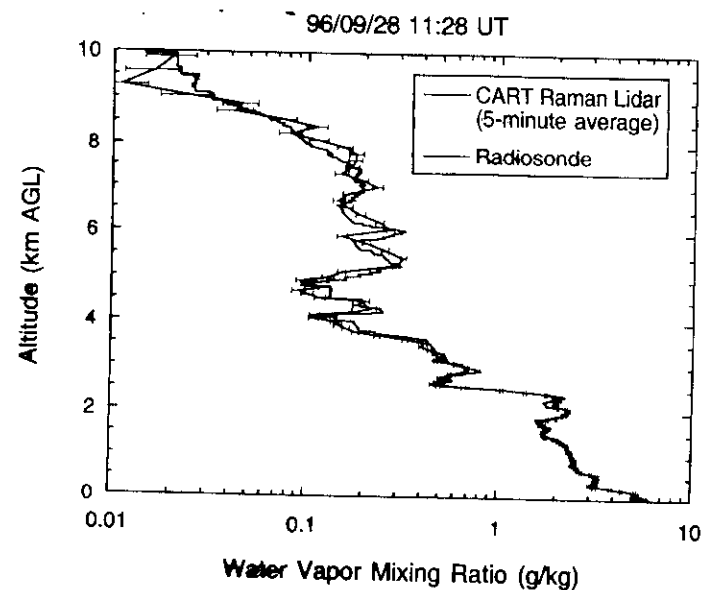
integration of density profile yields a (relative) pressure profile

$$p(r) = p(r_o) - \int_r^{r_o} n_R(r') M g(r') dr'$$

Using $pV = NRT$ the temperature can be retrieved by starting at r_o (typ. 55-65 km) and downward integration:

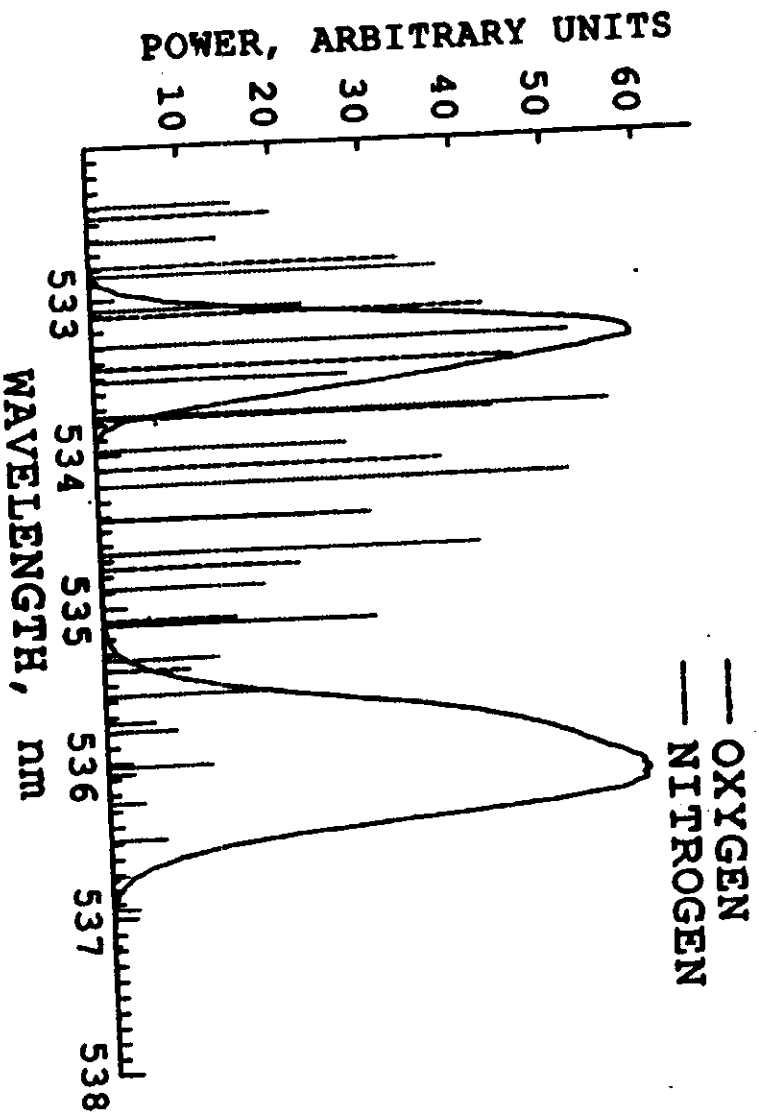
$$T(r) = T(r_o) \frac{r_o^2 P(r_o)}{r^2 P(r)} + \frac{M}{k_B} \frac{\int_{r_o}^r r'^2 P(r') g(r') dr'}{r^2 P(r)}$$

H₂O-Raman Profile



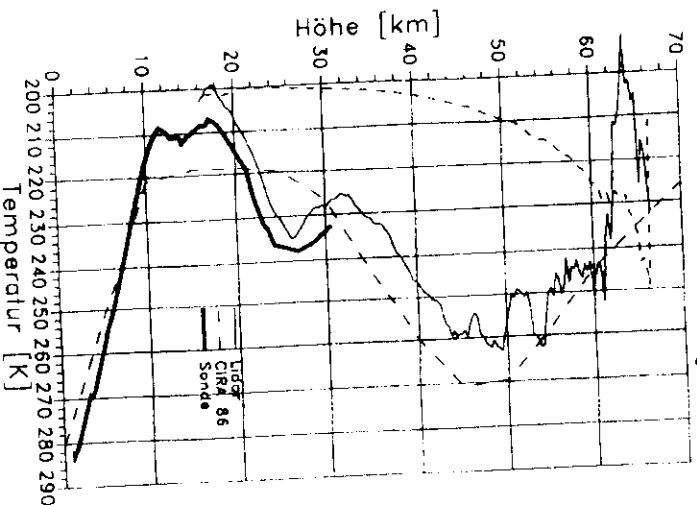
Molecule	ν_i (cm ⁻¹)	λ_r (Å)	$d\sigma/d\Omega$ (cm ² str ⁻¹)	References
CCl ₄	459	3424	26×10^{-30}	[23]
NO ₂ (ν_2)	754	3457	24	[27]
SF ₆	775	3461	12	[23]
C ₂ H ₄ (ν_2)	991	3487	44	[23, 27]
SO ₂	1151.5	3508	17	[24-26]
CO ₂ (2 ν_2)	1285	3525	3.1	[23, 26]
NO ₂ (ν_1)	1320	3528	51	[27]
CO ₂ (ν_1)	1388	3537	4.2	[23-26]
O ₃	1556	3559	4.6	[23, 26]
C ₂ H ₄ (ν_2)	1623	3566	3.3(Q)	[23-26]
NO	1877	3600	5.4(Q)	[23]
CO	2145	3635	1.5	[24, 26]
N ₂	2330.7	3659	3.6	[23-26]
			3.5	[23, 25]
H ₂ S	2611	3697	2.8(Q)	[23-25]
CH ₃ OH(ν_1)	2846	3728	19	[23, 24]
CH ₄ (ν_1)	2914.2	3739	14	[23, 27]
C ₂ H ₅ OH	2943	3742	21	[23, 25, 27]
CH ₃ OH(2 ν_2)	2955	3744	19	[23, 27]
CH ₄ (ν_2)	3017	3752	7.5	[23, 27]
C ₂ H ₄ (ν_1)	3020	3753	14	[23, 27]
C ₂ H ₄ (ν_1)	3070	3760	16(Q)	[23]
NH ₃	3334	3798	30	[23, 27]
H ₂ O	3651.7	3844	11	[23]
H ₂	4160.2	3922	7.8(Q)	[25, 27]
			8.7	[23, 26]

Rotations-Raman Spektrum

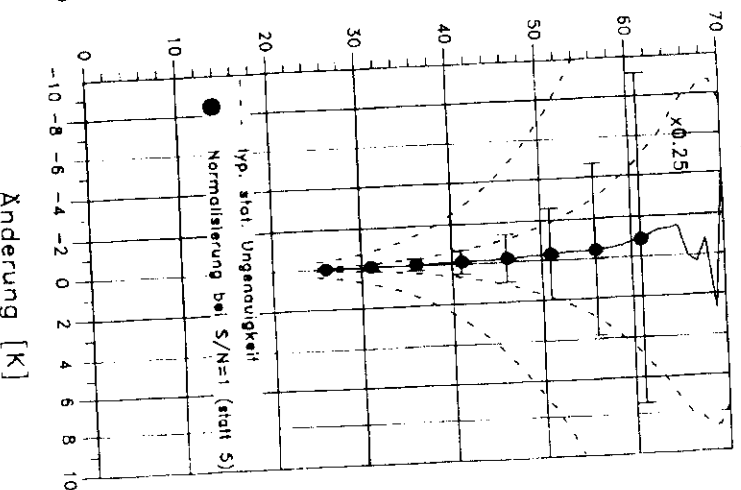


Temperature Measurement by Rayleigh Lidar

Comparison of balloon and lidar measurement



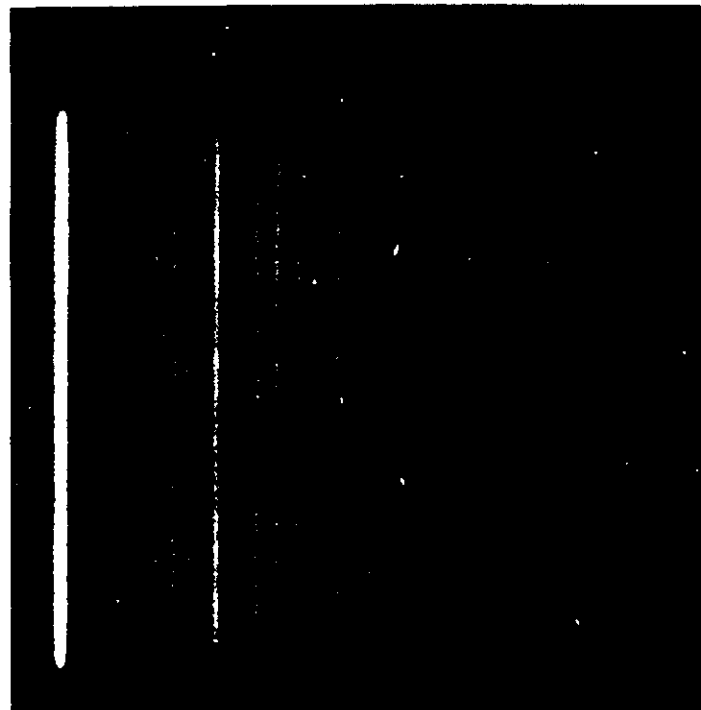
Temp. error (start height r_0)



height/km	Total temp. error Temp/K
25	0.4
30	0.7
35	1.4
40	2.5
45	4.2
50	6.7
55	11
60	18
65	30

Rotations-Raman Spektrum

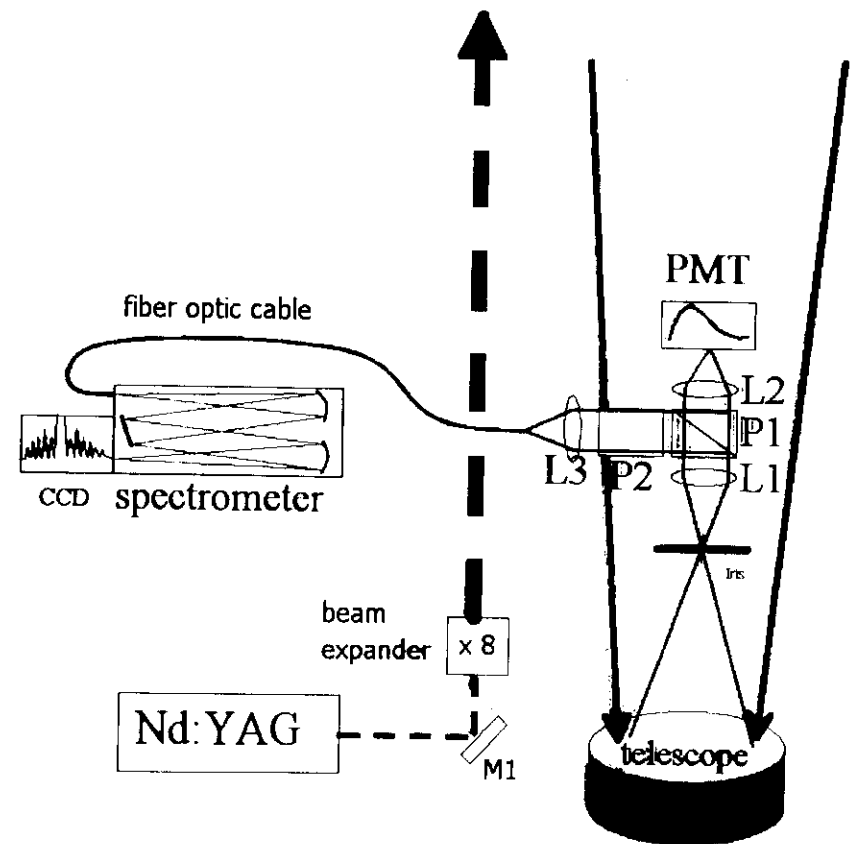
Rohdaten der CCD Kamera



532.05nm

536.2 nm

Hochaufgelöste Messung der Rotations-Raman Linien



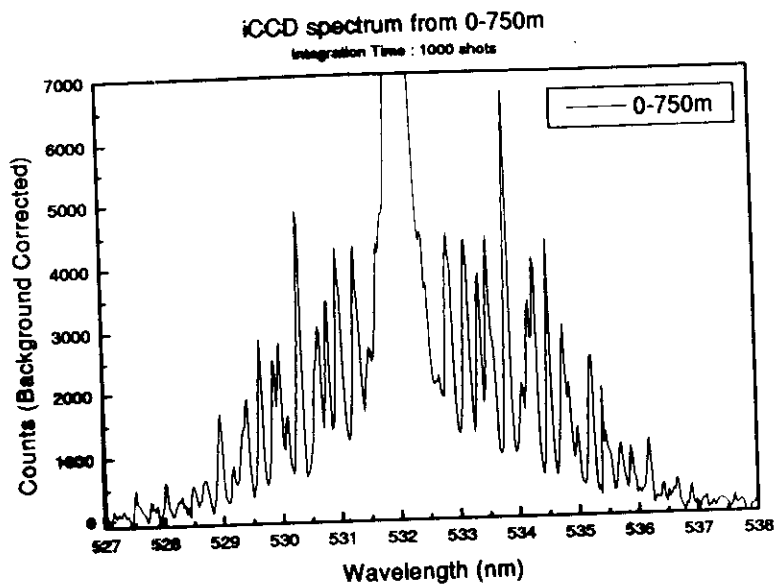
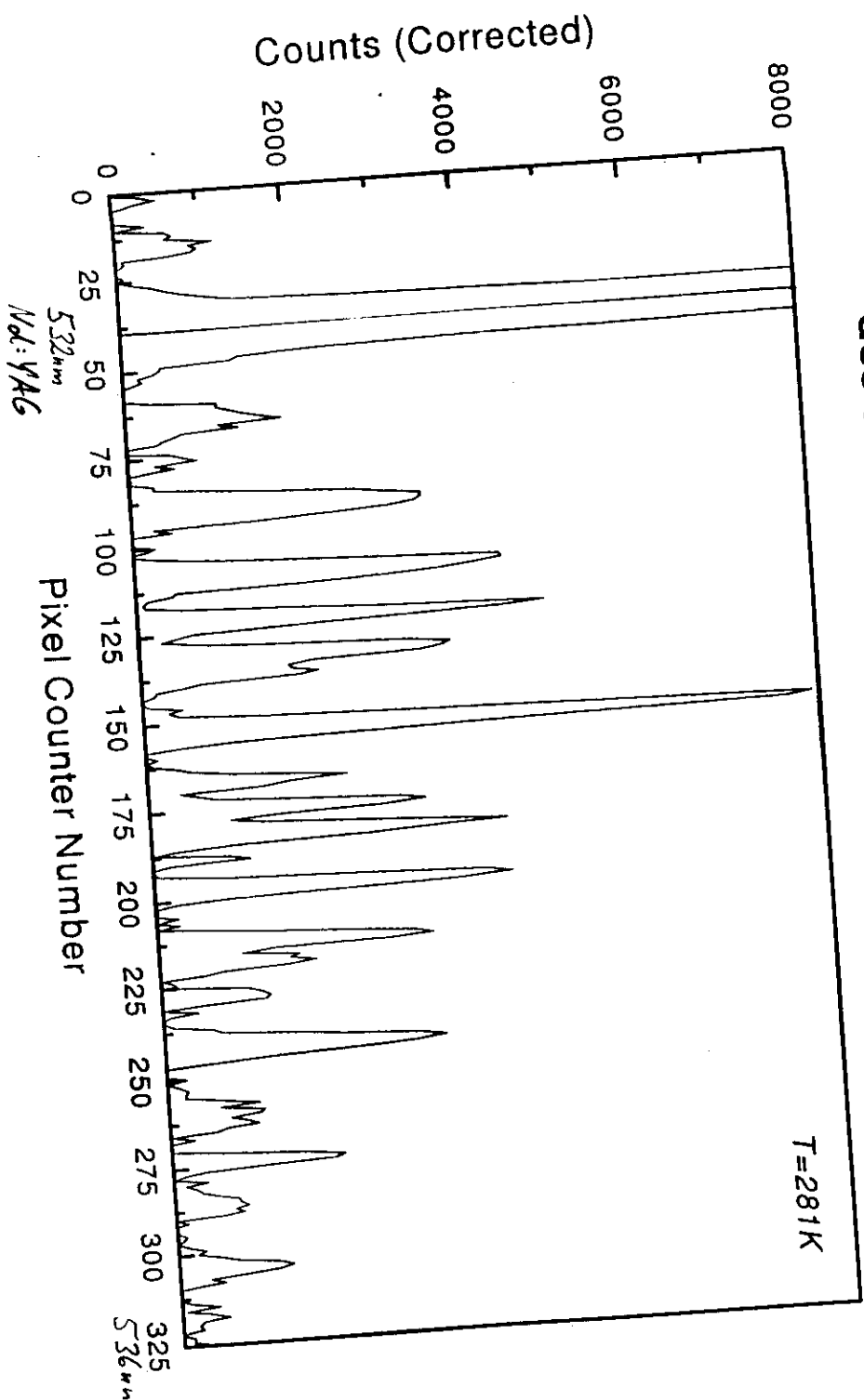
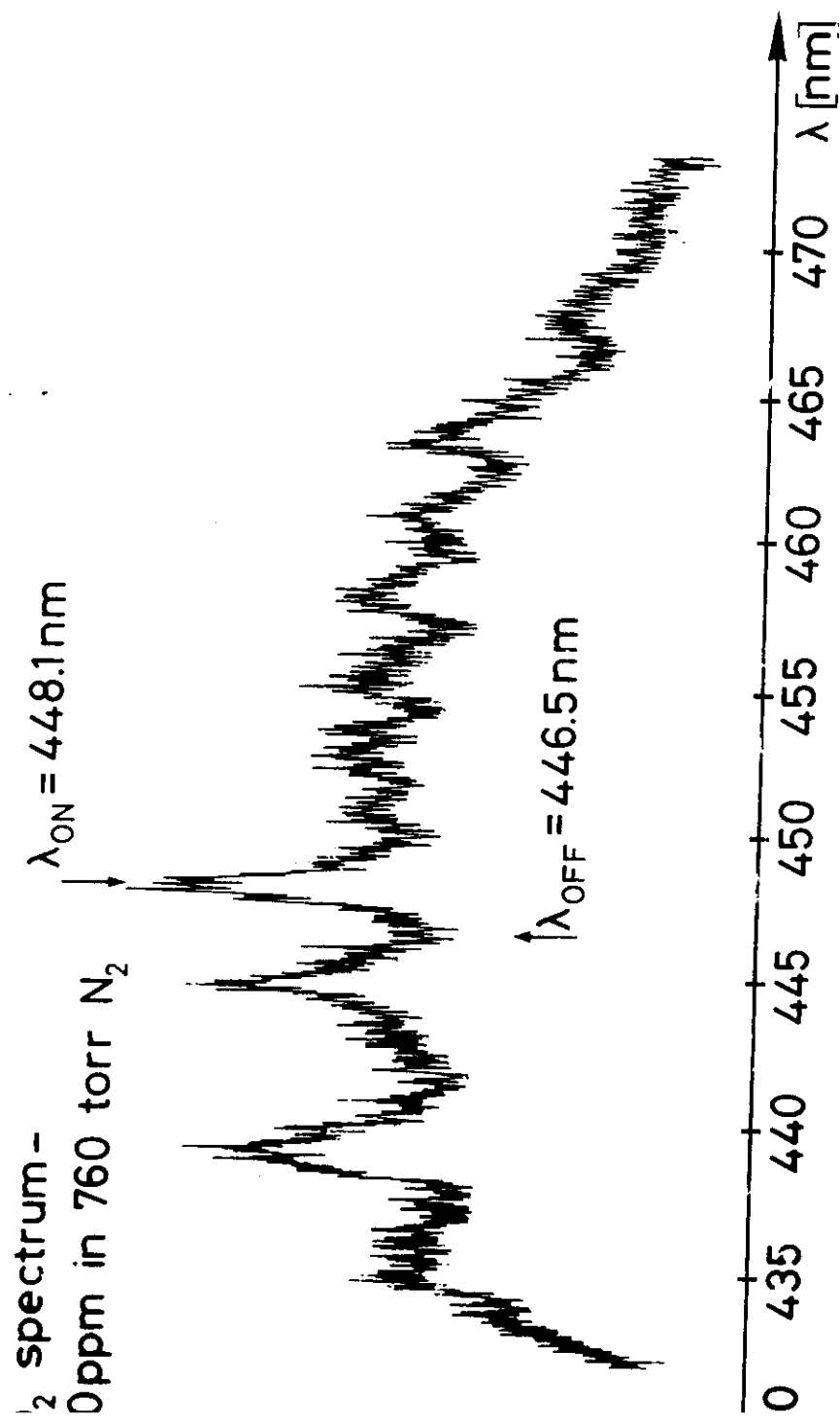


Figure 4 : View of spectrum from 0-750 m showing the wings of the elastic scattering as a baseline underneath the Raman spectrum.

Atmosphärische Messung des Rotations-Raman Spektrums





Trace gas measurements by lidar

1. The DIAL technique
 - derivation of the DIAL equation
 - detectable species/usable wavelengths
 - cross sensitivity
 - experimental examples/measurements
2. Wind lidar
 - Heterodyne technique
 - edge technique
 - volume scanning lidar
3. Femtosecond „white light“ lidar
 - generation of white light in the atmosphere
 - nonlinear effects
 - experimental setup
 - aerosol and trace gas measurements

Derivation of the DIAL equation

divide signals of λ_{on} und λ_{off} :

$$\frac{P(\lambda_{on})}{P(\lambda_{off})} = \frac{C(\lambda_{on}, R) \cdot P_L(\lambda_{on}) \cdot \beta(\lambda_{on}, R) \cdot \exp\left(-2 \int_0^R \alpha(\lambda_{on}, r) \cdot dr\right)}{C(\lambda_{off}, R) \cdot P_L(\lambda_{off}) \cdot \beta(\lambda_{off}, R) \cdot \exp\left(-2 \int_0^R \alpha(\lambda_{off}, r) \cdot dr\right)}$$

"simple solution": $\frac{P(\lambda_{on})}{P(\lambda_{off})} = C \cdot e^{-2 \int_0^R \alpha(\lambda_{on}, r) - \alpha(\lambda_{off}, r) dr}$

$$\ln\left(\frac{P(\lambda_{on})}{P(\lambda_{off})}\right) = \ln C + \left(-2 \int_0^R \alpha(\lambda_{on}, r) - \alpha(\lambda_{off}, r) dr\right)$$

$$\frac{\partial}{\partial R} \ln\left(\frac{P(\lambda_{on})}{P(\lambda_{off})}\right) = -2 \left(\alpha(\lambda_{on}, r) - \alpha(\lambda_{off}, r) \right)$$

complete solution:

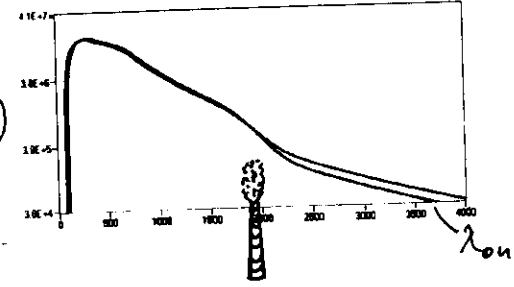
$$N(R) = -\frac{1}{2 \cdot \Delta\sigma} \left[\underbrace{\frac{\partial}{\partial R} \ln \frac{P(R, \lambda_{on})}{P(R, \lambda_{off})}}_A - \underbrace{\frac{\partial}{\partial R} \ln \frac{\beta(\lambda_{on}, R)}{\beta(\lambda_{off}, R)}}_B + \underbrace{2(\alpha_{m,a}(\lambda_{on}, R) - \alpha_{m,a}(\lambda_{off}, R))}_C \right]$$

DIAL- Methode

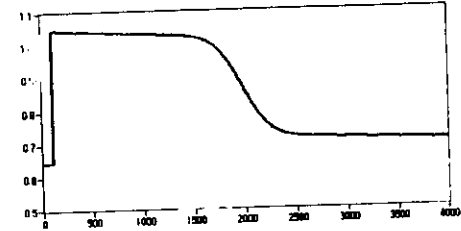
LIDAR-Gleichung: $P(\lambda, r) = C \frac{A}{r^2} \beta(r) \exp\left[-2 \int_0^r \alpha(r) dr\right]$

$$N(r) = -\frac{1}{2\Delta\sigma} \left[\frac{d}{dr} \ln\left(\frac{P(\lambda_{on}, r)}{P(\lambda_{off}, r)}\right) - \frac{d}{dr} \ln\left(\frac{R(\lambda_{on}, r)}{R(\lambda_{off}, r)}\right) \right]$$

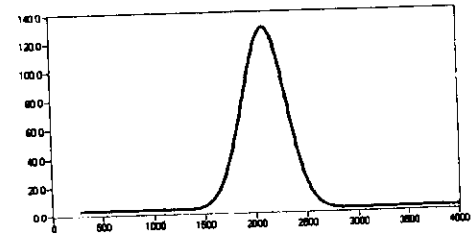
$\ln(\text{signal})$



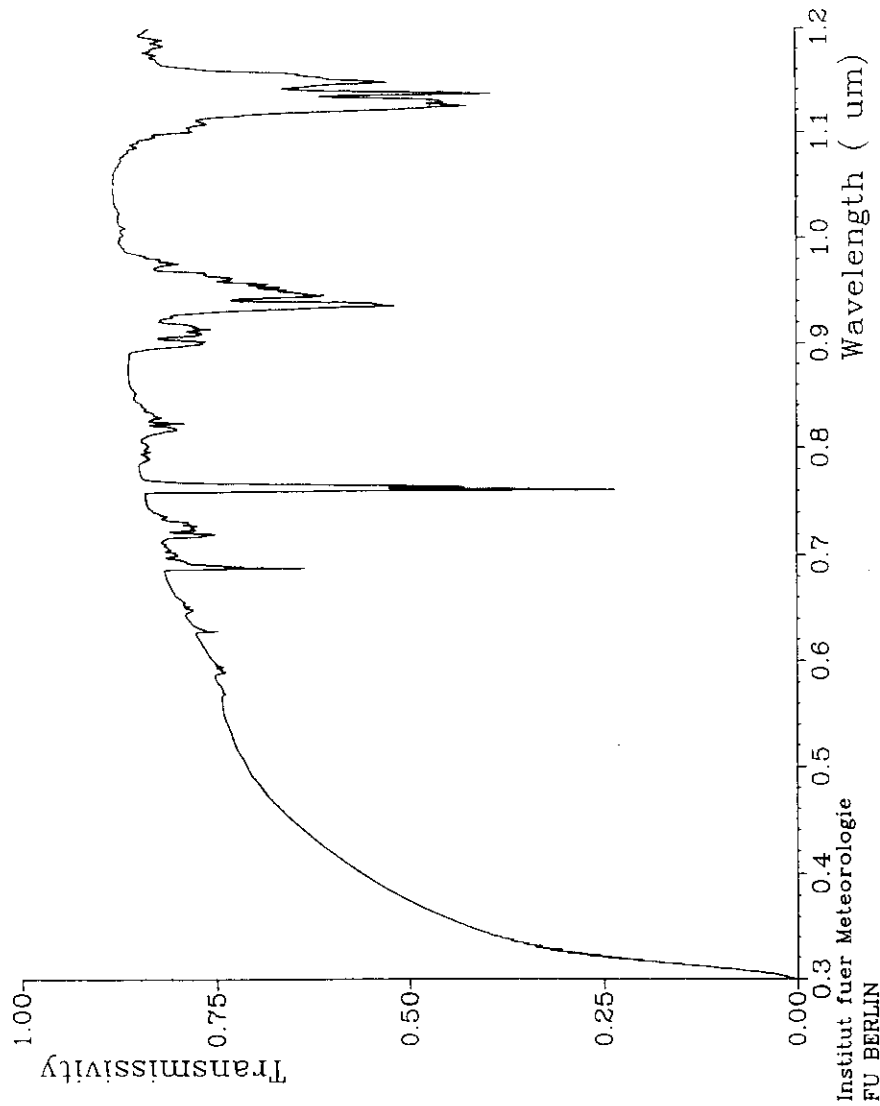
$\frac{\ln(\lambda_{on})}{\ln(\lambda_{off})}$



$-\frac{\partial}{\partial r} \frac{\ln(\lambda_{on})}{\ln(\lambda_{off})}$



SUBARCTIC WINTER
 Sensor Height: 100. km, Target Height: 0. km, Obs. Angle: 180.
 T(target)= 270K VIS= 40 km



DIAL absorption cross section for different trace gases

Molecule	Wavelength	Laser (Examples)	Absorption cross s. 10^{-28} cm^2
Nitric oxide, NO	226.8 nm	Dye	4.6
Benzene, C_6H_6	252.9 nm	Dye	5.7
Mercury, Hg	253.65 nm	Dye	3.3×10^4
Toluene, C_7H_8	266.9 nm	Dye, Ti:Sa	2.8
Ozone, O_3	266.0 nm	Dye, KrF, Nd:YAG-	
	289.0 nm	IV + Raman cell	9.49
	299.0 nm	Ti:Sa	1.59
		Ce:LiSAF	0.42
Formaldehyde, CH_2O	286.5	Dye, Ti:Sa	0.068
Sulfur dioxide, SO_2	300.0 nm	Dye, Ti:Sa	1.3
Chlorine, Cl_2	330 nm	Dye, Ti:Sa	0.26
		XeCl + Raman cell	
Nitrous acid, HONO	354.0 nm	Dye, Ti:Sa	0.50
Nitrogen dioxide, NO_2	448.1 nm	Dye	0.69
Methane, CH_4	3.270 μm	OPO	2.0
	3.391 μm		0.6
Propane, C_3H_8	3.391 μm	OPO	0.8
Hydrogen chloride, HCl	3.636 μm	DF, OPO	0.20
Methane, CH_4	3.715 μm	DF, OPO	0.002
Sulfur dioxide, SO_2	3.984 μm	DF, OPO	0.42
Carbon monoxide, CO	4.709 μm	CO_2	2.8
	4.776 μm		0.8
Nitric oxide, NO	5.215 μm	CO	0.67
	5.263 μm	CO_2	0.6
Propylene, C_3H_6	6.069 μm	CO	0.09
1,3-Butadiene, C_4H_6	6.215 μm	CO	0.27
Nitrogen dioxide, NO_2	6.229 μm	CO	2.68
Sulfur dioxide, SO_2	9.024 μm	CO_2	0.25
Freon-11, CCl_3F	9.261 μm	CO_2	1.09
Ozone, O_3	9.505 μm	CO_2	0.45
	9.508 μm		0.9
Fluorocarbon-113, $\text{C}_2\text{Cl}_3\text{F}_3$	9.604 μm	CO_2	0.77
Benzene, C_6H_6	9.621 μm	CO_2	0.07
MMH, $\text{CH}_3\text{N}_2\text{H}_3$	10.182 μm	CO_2	0.06
Ethyl-mercaptan, $\text{C}_2\text{H}_5\text{SH}$	10.208 μm	CO_2	0.02
Chloroprene, $\text{C}_4\text{H}_5\text{Cl}$	10.261 μm	CO_2	0.34
Monochloroethane, $\text{C}_2\text{H}_5\text{Cl}$	10.275 μm	CO_2	0.12
Anamonia, NH_3	10.333 μm	CO_2	1.0
Ethylene, C_2H_4	10.533 μm	CO_2	1.19
Sulfur hexafluoride, SF_6	10.551 μm	CO_2	30.3
Trichloroethylene, C_2HCl_3	10.591 μm	CO_2	0.49
1,2-Dichloroethane, $\text{C}_2\text{H}_4\text{Cl}_2$	10.591 μm	CO_2	0.02
Hydrazine, N_2H_4	10.612 μm	CO_2	0.18
Vinyl chloride, $\text{C}_2\text{H}_3\text{Cl}$	10.612 μm	CO_2	0.33
UDMH, $(\text{CH}_3)_2\text{N}_2\text{H}_2$	10.696 μm	CO_2	0.08
Fluorocarbon-12 (Freon-12), CCl_2F_2	10.719 μm	CO_2	1.33
Perchloroethylene, C_2Cl_4	10.742 μm	CO_2	0.18
1-Butene, C_4H_8	10.787 μm	CO_2	0.13
Perchloroethylene, C_2Cl_4	10.834 μm	CO_2	1.14
Fluorocarbon-11 (Freon-11), CCl_3F	11.806 μm		4.4
Acetylene, C_2H_2	13.890 μm		9.2

Difficulties of DIAL measurements

- cross sensitivity of different trace gases especially in the UV

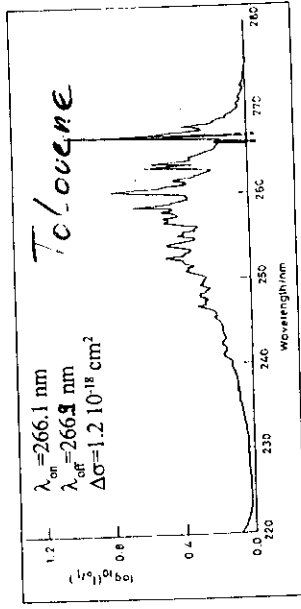
- inhomogenous aerosol loading induce errors

due to $\frac{\partial}{\partial R} \ln \frac{\beta(\lambda_{on}, R)}{\beta(\lambda_{off}, R)}$

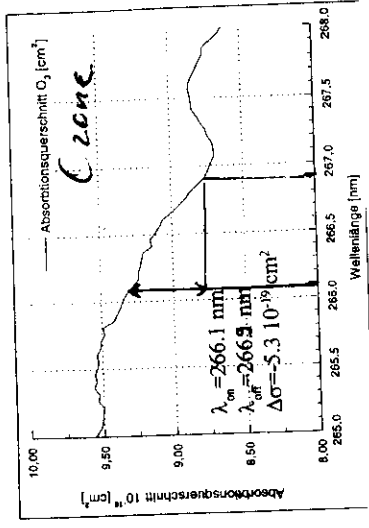
- very good signal to noise ratio and signal smoothing required to avoid fluctuations of the derivative

$$\frac{\partial}{\partial R} \ln \frac{P(R, \lambda_{on})}{P(R, \lambda_{off})}$$

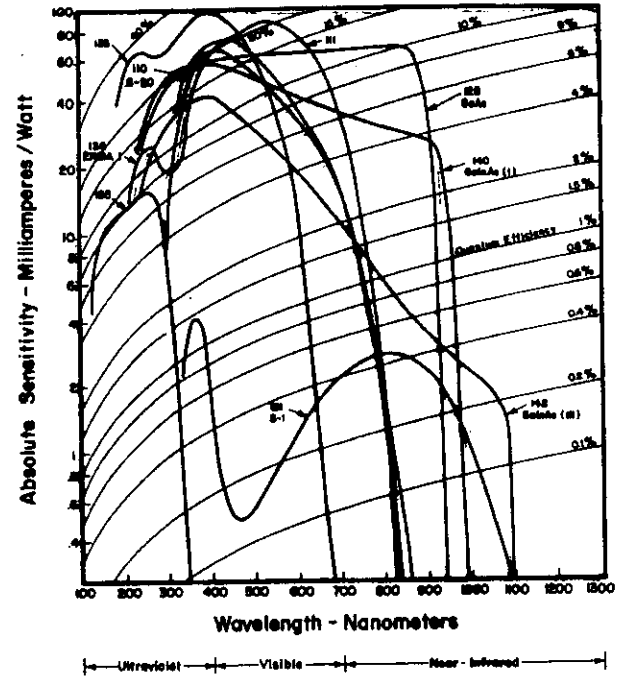
- very sensitive to misalignment, especially in the geometrical overlap region



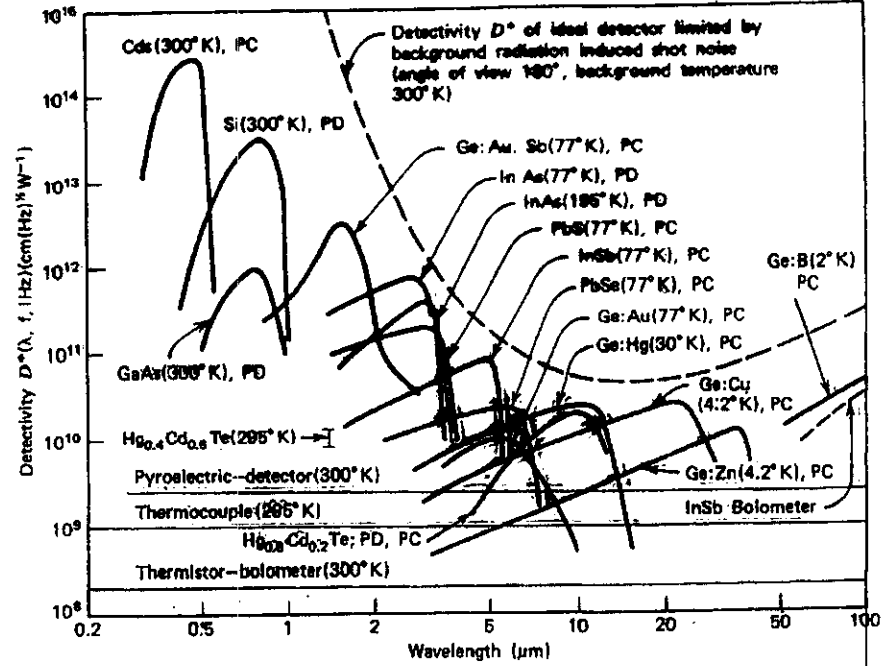
112 10: Absorptionsspektrum von Toluol [Milion 1992]



Photomultipliers



Photoconductors and photodiodes



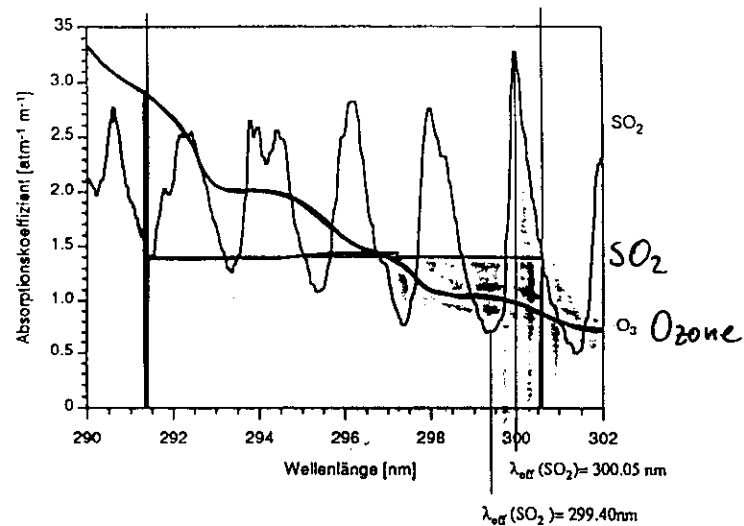


Abb. 3.2.3: Absorptionspektren von SO_2 und Ozon im Vergleich. Bei den ausgewählten Wellenlängen für Ozon hat SO_2 den gleichen Absorptionskoeffizient. Ozon-Spektrum von M. Griggs [11], SO_2 -Spektrum von D.J. Brassington [8]

3.1. Verwendete Absorptionsbanden SO_2

Für den Nachweis des SO_2 wird eine zentrale Vibrationsbande aus den sogenannten ersten erlaubten Absorptionsband benutzt, das sich von 240 bis 330 nm erstreckt. Die Vergleichswellenlänge λ_{off} ist ein Minimum zwischen benachbarten Absorptionsbanden. Das 1. erlaubte Band wird nach

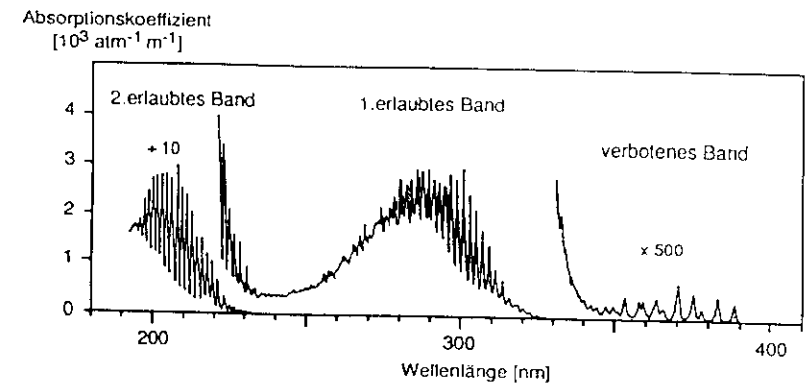


Abb. 3.1.2: Absorptionsspektrum von SO_2 im UV-Bereich. Im sichtbaren Bereich gibt es keine Absorption. Quelle: S.J. Streickler & Daniel B. Howell, 1968 [9]

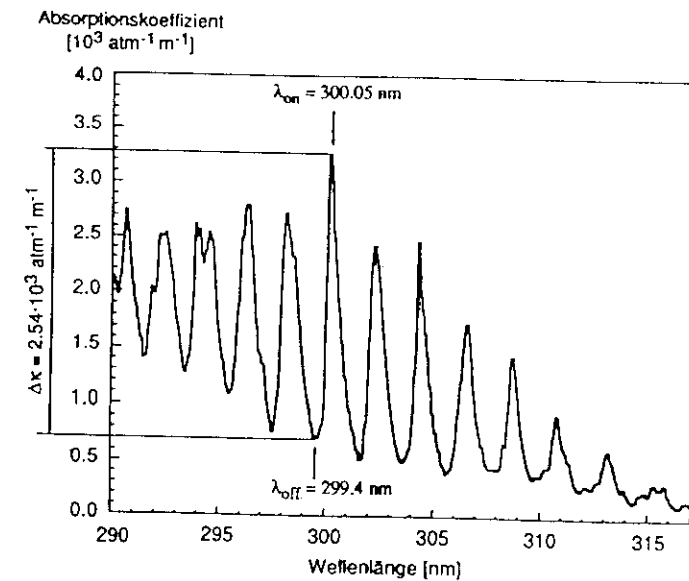
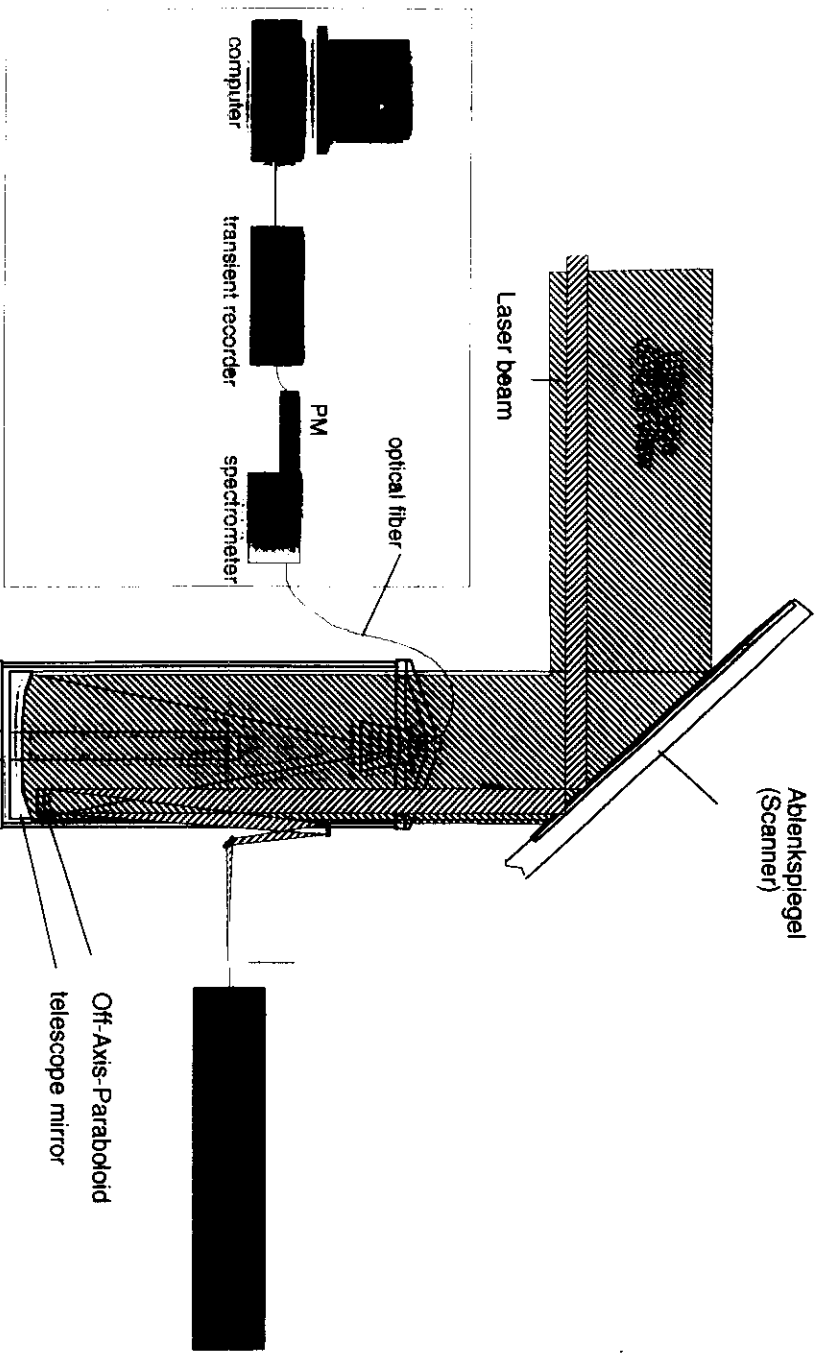
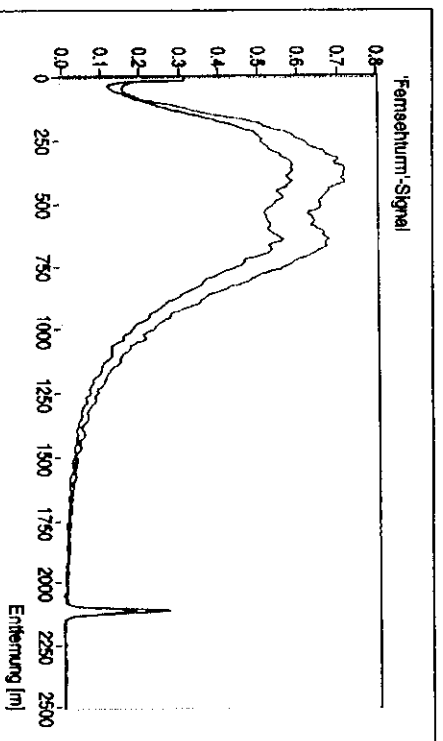


Abb. 3.1.2: Ausschnitt aus dem 1. erlaubten Band von SO_2 und die vom LIDAR benutzten Wellenlängen. Quelle: D.J. Brassington 1981 [8]

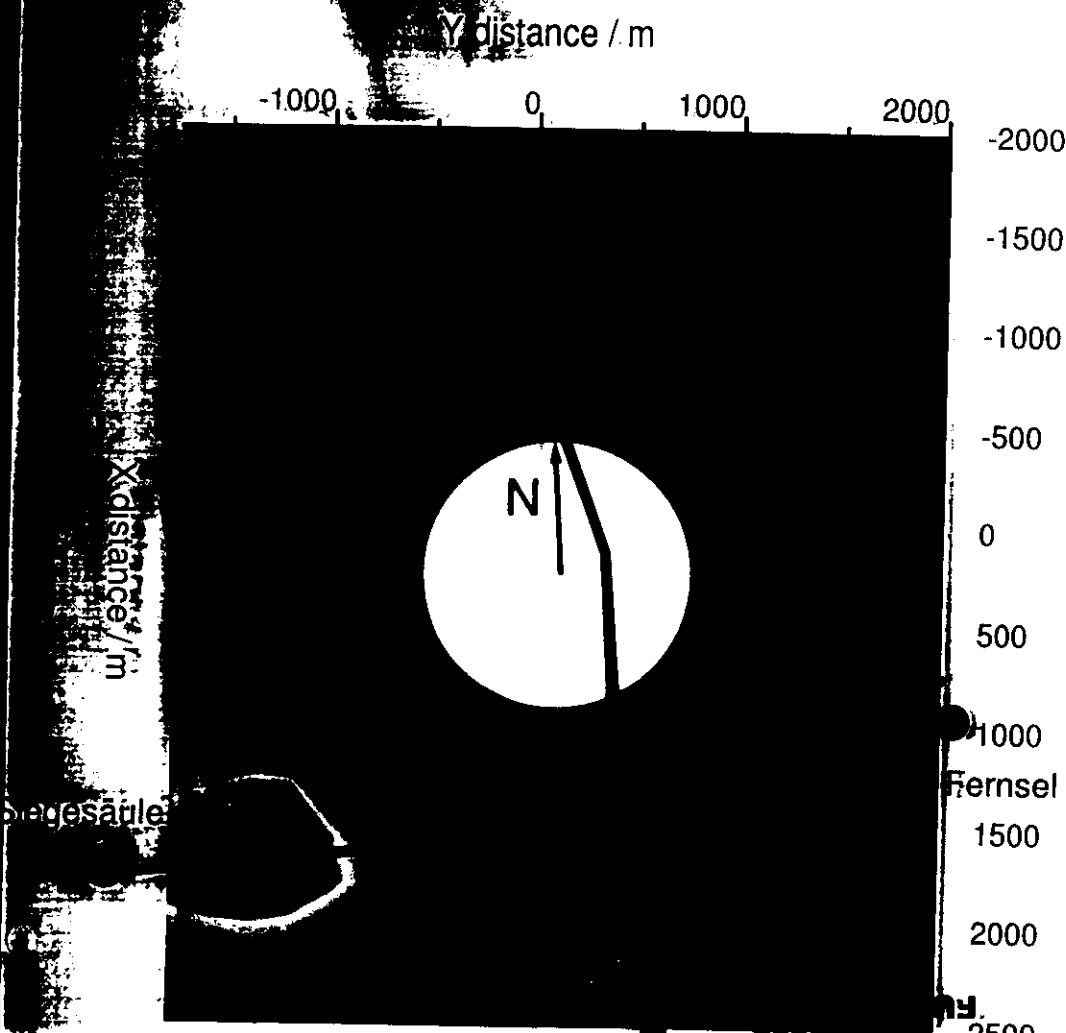
Tropo-LIDAR Charité, Berlin: Set-Up



Hard Target DIAL Method



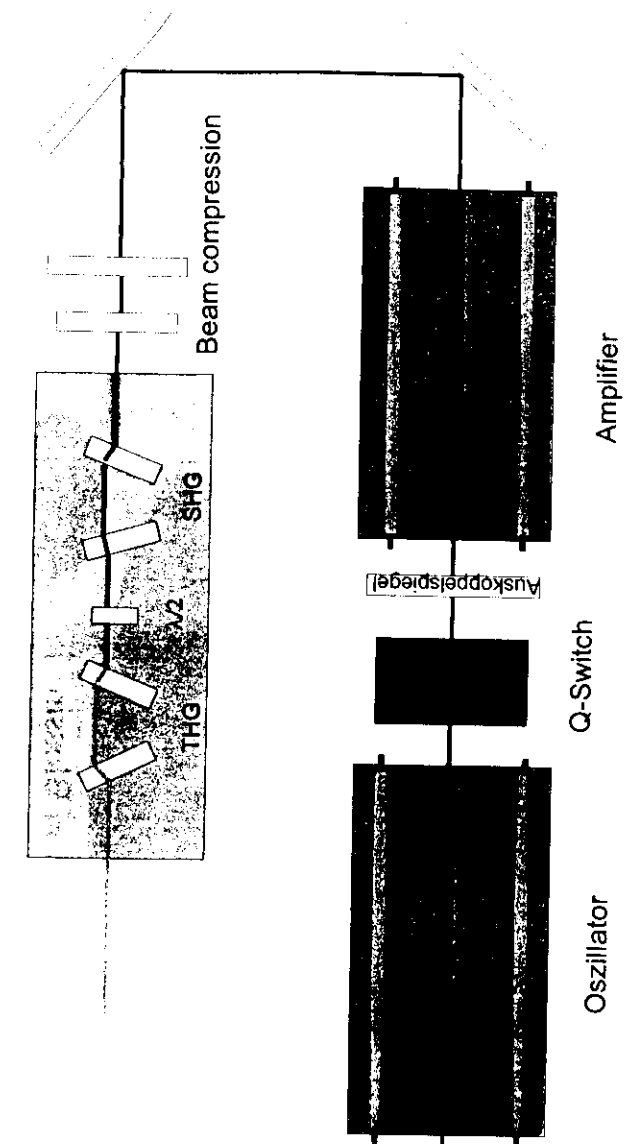
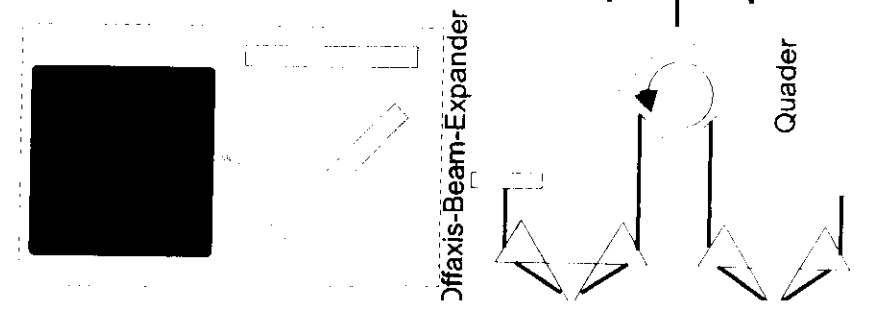
$$\ln \frac{P(\lambda_{\text{off}}, R_{\text{PT}})}{P(\lambda_{\text{on}}, R_{\text{PT}})} = 2\Delta R(\alpha(T_{\text{H}_2\text{O}}) + \alpha(O_3) + \alpha(O_2))$$



Ozön horizontal mapping
 Berlin-Charité Lidar Station
 9:16:97 18:00Uhr
 100m above ground

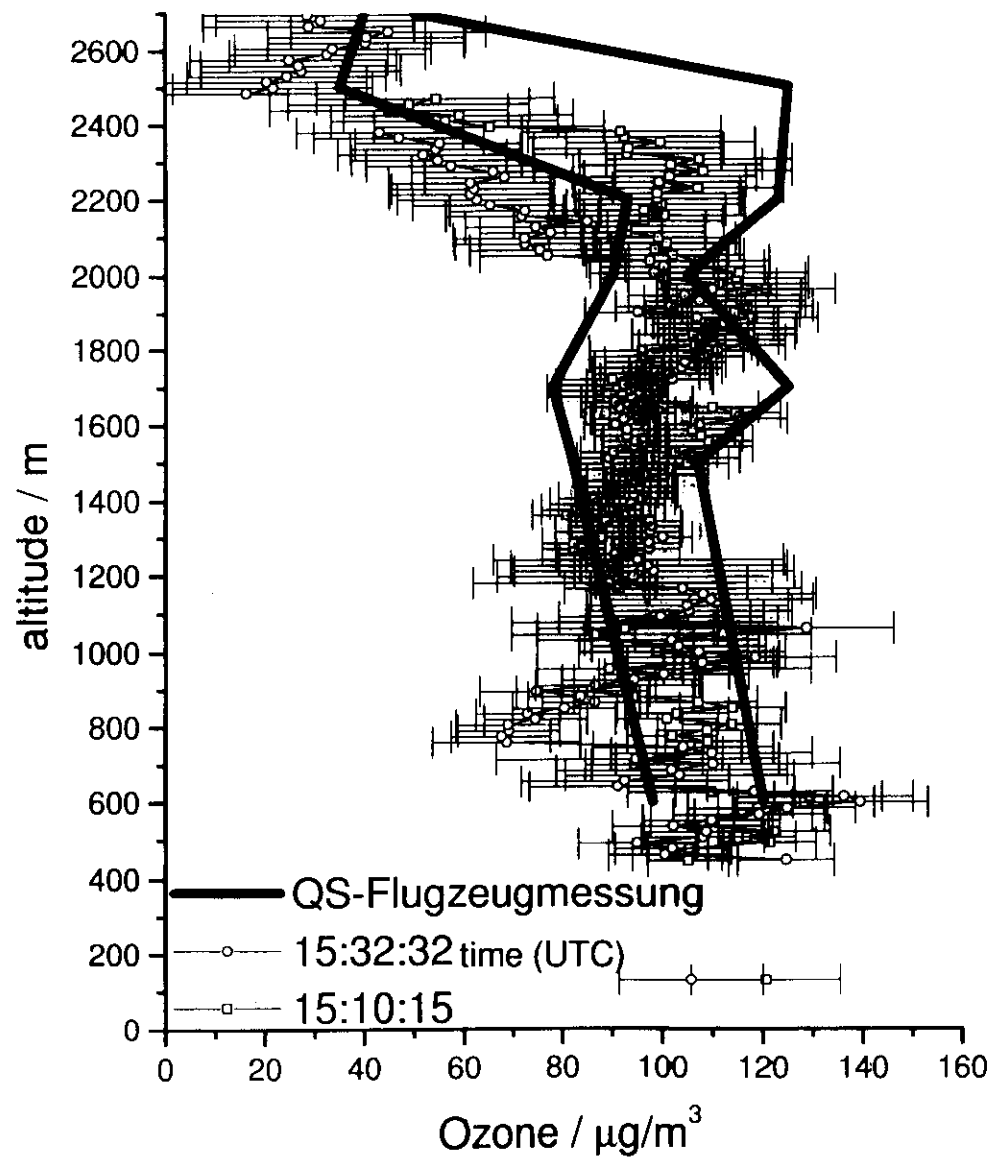
280 $\mu\text{g}/\text{m}^3$
 215 $\mu\text{g}/\text{m}^3$
 150 $\mu\text{g}/\text{m}^3$
 85 $\mu\text{g}/\text{m}^3$
 20 $\mu\text{g}/\text{m}^3$

Ti:Sa Dual wavelength Oscillator



LIDAR Station 0(Ch), FU Berlin

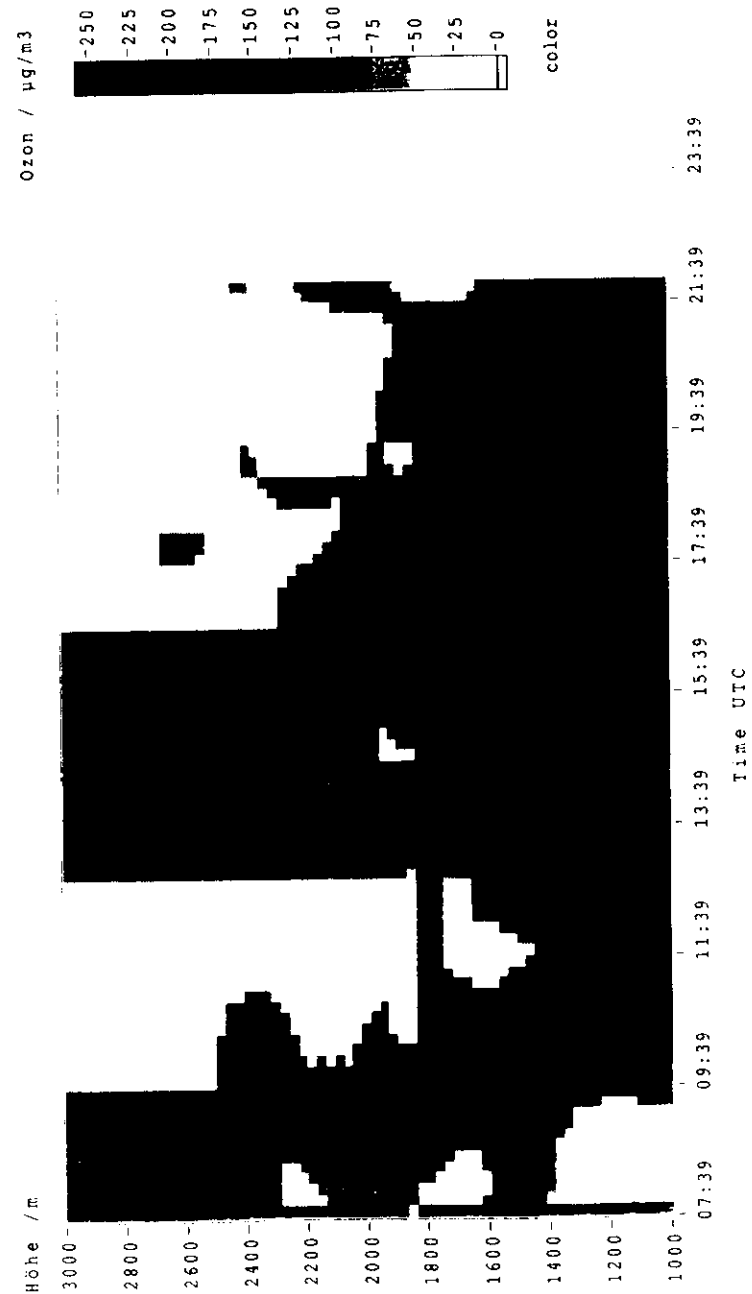
vertical scans



LIDAR Station Charité 0(CH), FU Berlin

Ozon Messung, BERLIO2

Samstag, 8. August 1998



An aerial, high-angle photograph of a city street. A dark-colored car is visible in the middle of the road, moving away from the viewer. The street is flanked by buildings with visible windows and balconies. The image is in black and white, with high contrast.

Atmospheric Remote Sensing

FTIR / DOAS

- Uses sun, moon or lamps as lightsource
- Many atmospheric trace gases can be measured simultaneously
- Course or no range resolution

LIDAR (DIAL)

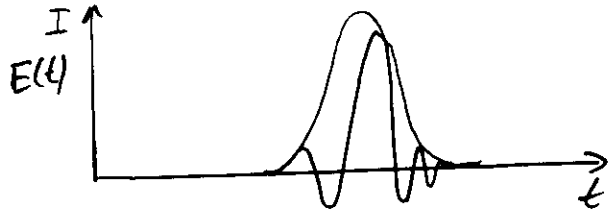
- Uses lasers as light source
- Only a few atmospheric species can be measured (O_3 , NO, NO_2 , CH_4 , SO_2 , water vapour, aerosols)
- No simultaneous measurement
- High spatial and temporal resolution

GOAL:

Combine the advantages of Lidar and FTIR / DOAS

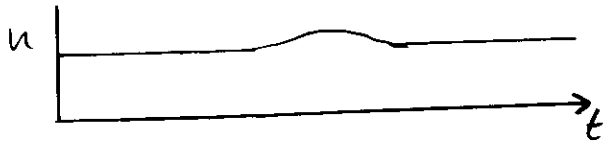
White light Generation I: Self phase modulation

at high intensities: $n = n_0 + n_2 \cdot I$
 $5.6 \cdot 10^{-13} \frac{\text{cm}^2}{\text{W}}$

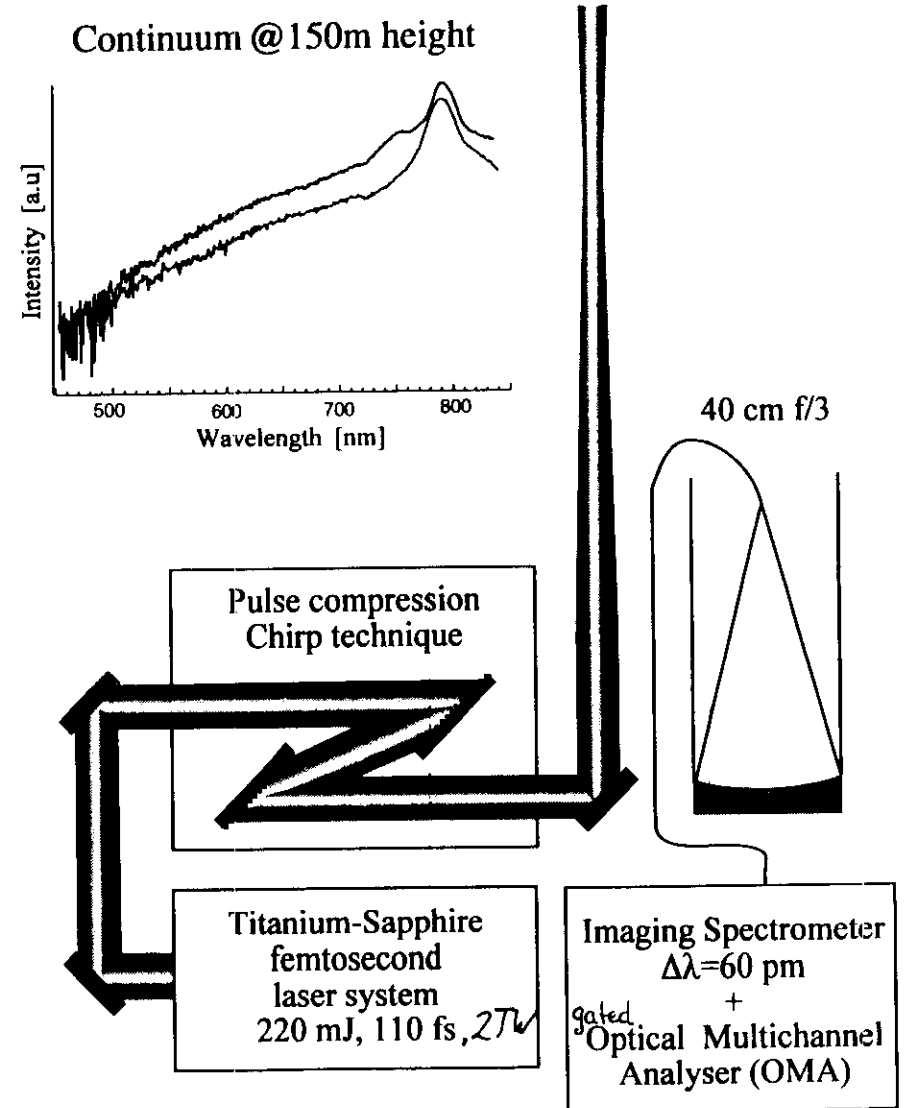


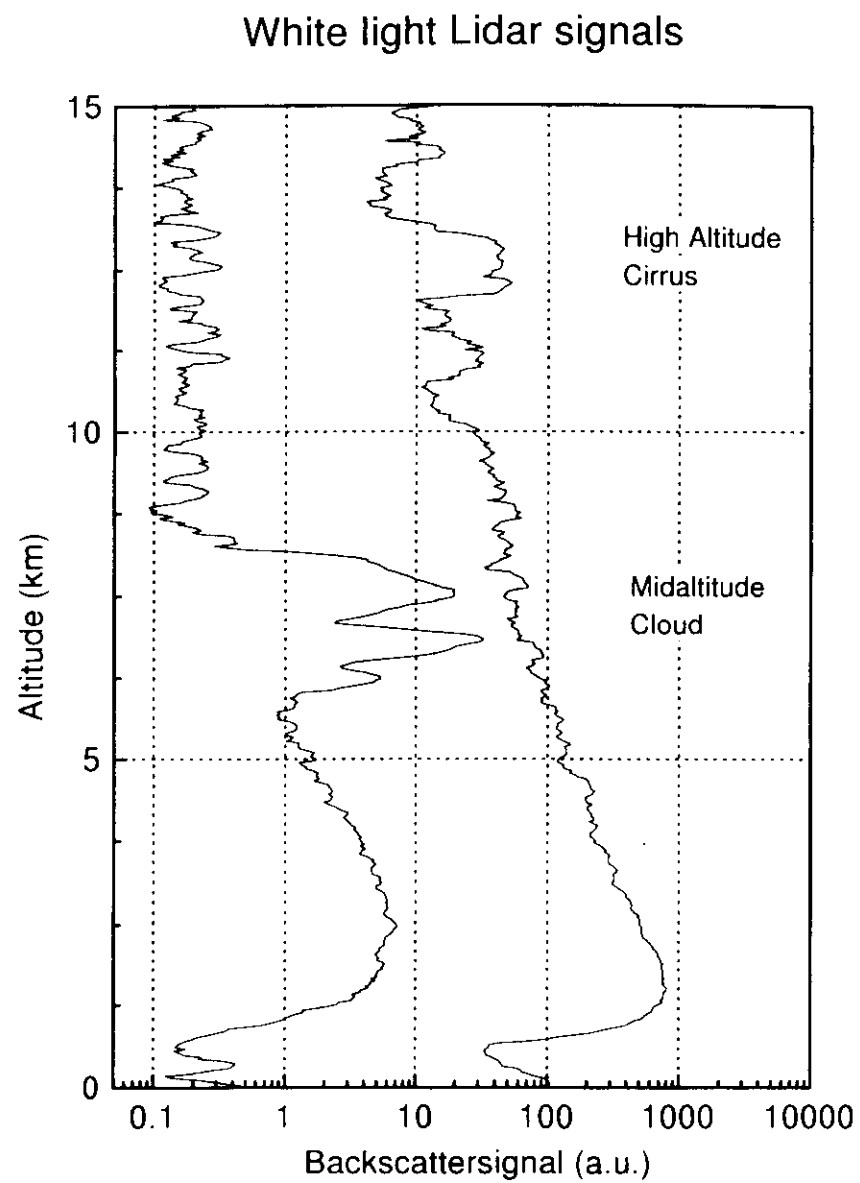
$$\omega_m(t) = \omega_0 \left(1 - \frac{L}{c} \frac{d\omega(t)}{dt} \right)$$

$$\Delta\omega = \frac{\omega_0}{c} n_2 \frac{I L}{\Delta t}$$

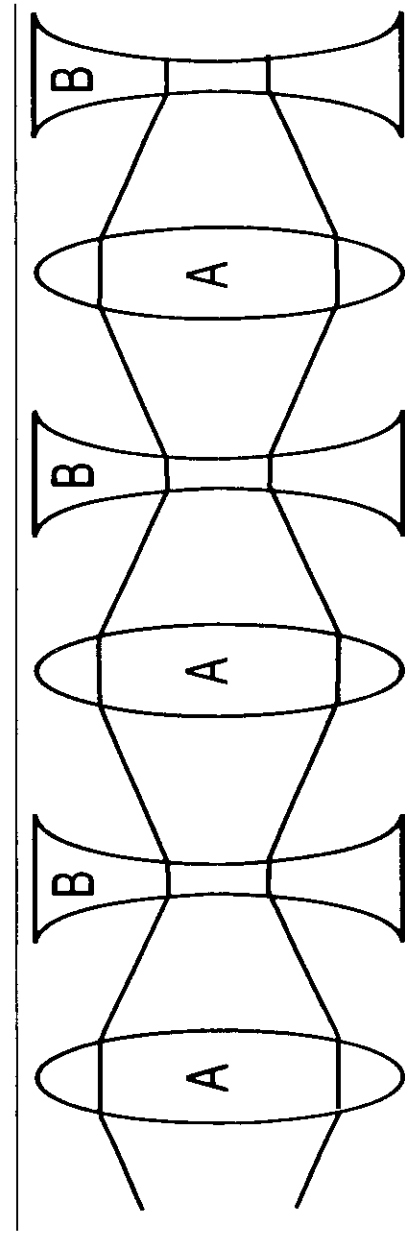


Femtosecond Lidar Setup





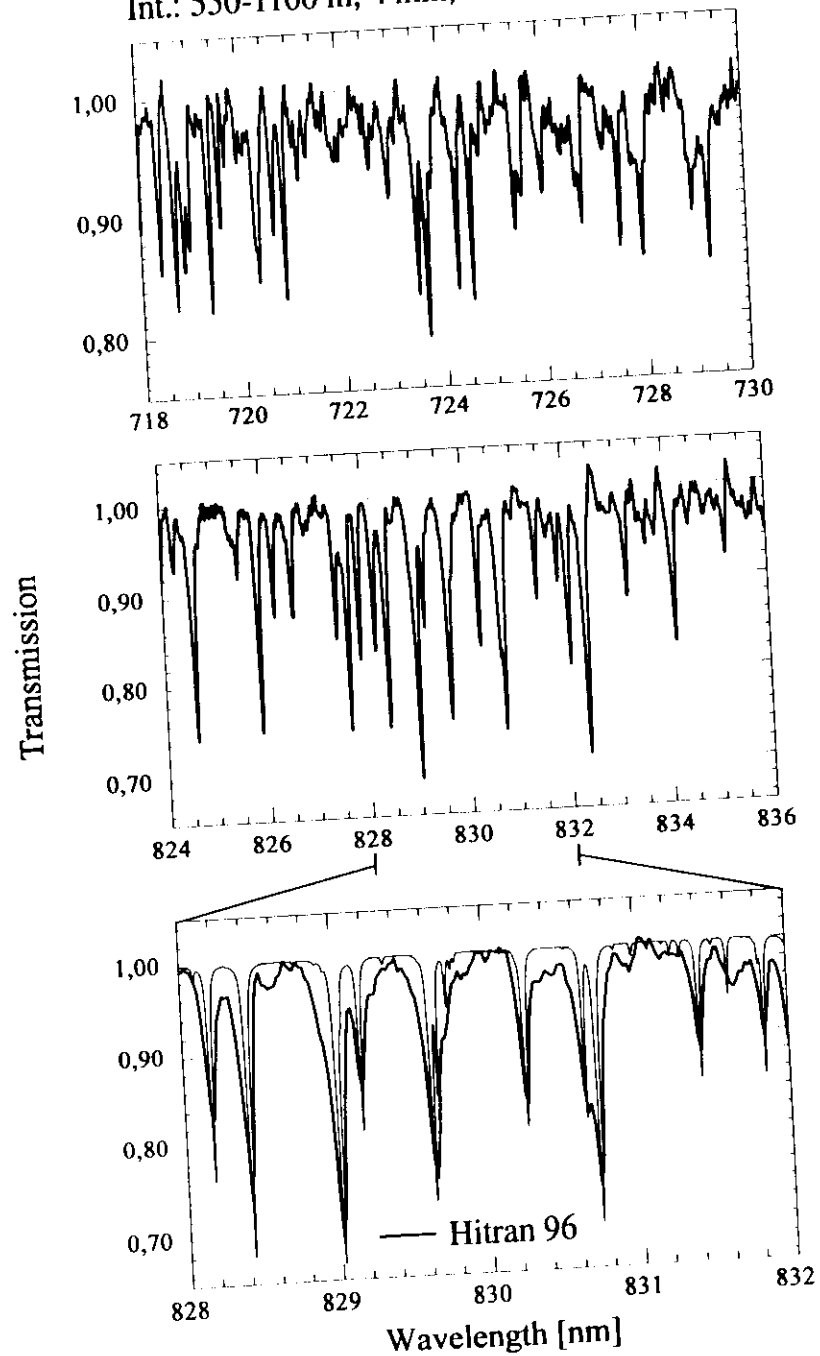
Self-channeling of high power laserbeams



- A) Self-focussing
- B) Refraction and Diffraction due to Plasma generation

Water Vapor

Int.: 550-1100 m, 4 min, Mix. Ratio= 0.6 %



Oxygen O₂

Int.: 600-800 m, 4 min

