

## AUTUMN COLLEGE ON PLASMA PHYSICS

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# MHD Stability Limits of Advanced Tokamak Regimes

**J. MENARD**

Princeton University  
Plasma Physics Laboratory  
Princeton, U.S.A.

These are preliminary lecture notes, intended only for distribution to participants.



# MHD Stability Limits of Advanced Tokamak Regimes

Presented by J. Menard

Autumn College on Plasma Physics  
ICTP

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# What is an advanced tokamak?

The advanced tokamak concept  
aims at simultaneously achieving:

- High  $\beta_N = \beta_{(\%)B_{(T)}a_{(m)}/I_{P(MA)}}$  above the Troyon limit
- High bootstrap fraction  $f_{BS} = I_{BS}/I_P$
- Steady state operation
- High energy confinement factor  $H = \tau_E / \tau_{E-ITER89P}$

This talk will focus on theoretical/numerical  
methods of optimizing the first 2 parameters  
above continuously from low to high aspect ratio

# Brief review of terminology/methodology

- Step 1: Compute equilibrium by solving Grad-Shafranov equation:

$$\mathbf{J} \times \mathbf{B} = \nabla p \Rightarrow \Delta^* \Psi = \mu_0 R J_\phi = -[\mu_0 R^2 dp/d\Psi + F dF/d\Psi]$$

- $\Delta^* \Psi = R^2 \nabla \cdot (\nabla \Psi / R^2)$
- $\Psi$  is the poloidal flux function  $= R A_\phi$
- $p(\Psi)$  is the plasma pressure
- $F(\Psi) = R B_\phi$  where  $B_\phi$  is the toroidal magnetic field
- Solve in flux coordinates where  $\Psi$  is a radial coordinate and is constant on the plasma boundary defined by:
  - $R = R_0 + a \cos(\theta + \delta \sin \theta)$        $R_0$  = plasma major radius
  - $Z = Z_0 + \kappa a \sin \theta$        $a$  = plasma minor radius
  - $\kappa$  is plasma elongation (height/width)
  - $\delta$  is triangularity ( $\delta > 0 \Rightarrow$  D-shaped plasma)

# Brief review of terminology/methodology

- Step 1 has been routine for many years, but...
  - A semi-recent advance has been to constrain the current density profile to self-consistently match the neoclassical bootstrap current (here  $\langle A \rangle$  denotes a flux surface average):

$$\langle \frac{J_\phi}{R} \rangle = -p' \left( 1 - \frac{\langle B_\phi^2 \rangle}{\langle B^2 \rangle} \right) + \langle \frac{1}{R^2} \rangle \frac{\langle \vec{J} \cdot \vec{B} \rangle_{BS+CD} \langle B_\phi^2 \rangle}{\langle \vec{B} \cdot \nabla \phi \rangle \langle B^2 \rangle}$$

- This constraint can slow the calculation considerably since the bootstrap current - through the trapped particle fraction - depends on the magnetic field structure of the solution itself.
- Since the bootstrap current is (to lowest order) proportional to the pressure gradient, the solution is now predominantly determined by the magnitude and shape of the pressure profile

# Brief review of terminology/methodology

- Step 2: Compute ideal linear stability
  - Energy principle  $\Rightarrow$  perturb equilibrium fluid elements with a small displacement  $\xi$  searching for displacement vectors which reduce the potential energy  $\delta W$  of the system.
  - 1. High toroidal mode number/short radial wavelength  $\Rightarrow$  ballooning modes  
1/n expansion reduces  $\delta W$  minimization to ODE.
  - 2. Low to intermediate toroidal mode number ( $n < 10$ )  $\Rightarrow$  kink modes
    - need full 3D displacement,
    - can analytically remove parallel  $\mathbf{b}$  and normal ( $\mathbf{b} \times \nabla \psi$ ) components of  $\xi$ ,  
a.k.a. Bineau reduction:
      - correct marginal stability
      - non-physical eigenvalues.
  - All this is done in the PEST-II code.

# Brief review of terminology/methodology

- Step 2: Also, these calculations have been routine for at least a decade, but...
  - A significant recent improvement (J. Manickam) to PEST-II is the ability to compute the number of unstable eigenvalues without searching for the eigenvalues themselves.
  - This allows for fast high-resolution scans of marginal stability with varied pressure ( $\beta$ ) and/or stabilizing wall position
  - Both features are particularly useful for stability limit scans.



# Question to Address:

- How are the ideal MHD stability properties of advanced high and low aspect ratio (spherical) tokamaks linked?
- Are there any stability invariants?
- Which parameters are not invariants?
- What are the optimal aspect ratios for normal and super-conducting reactors?
- What about neoclassical tearing modes?

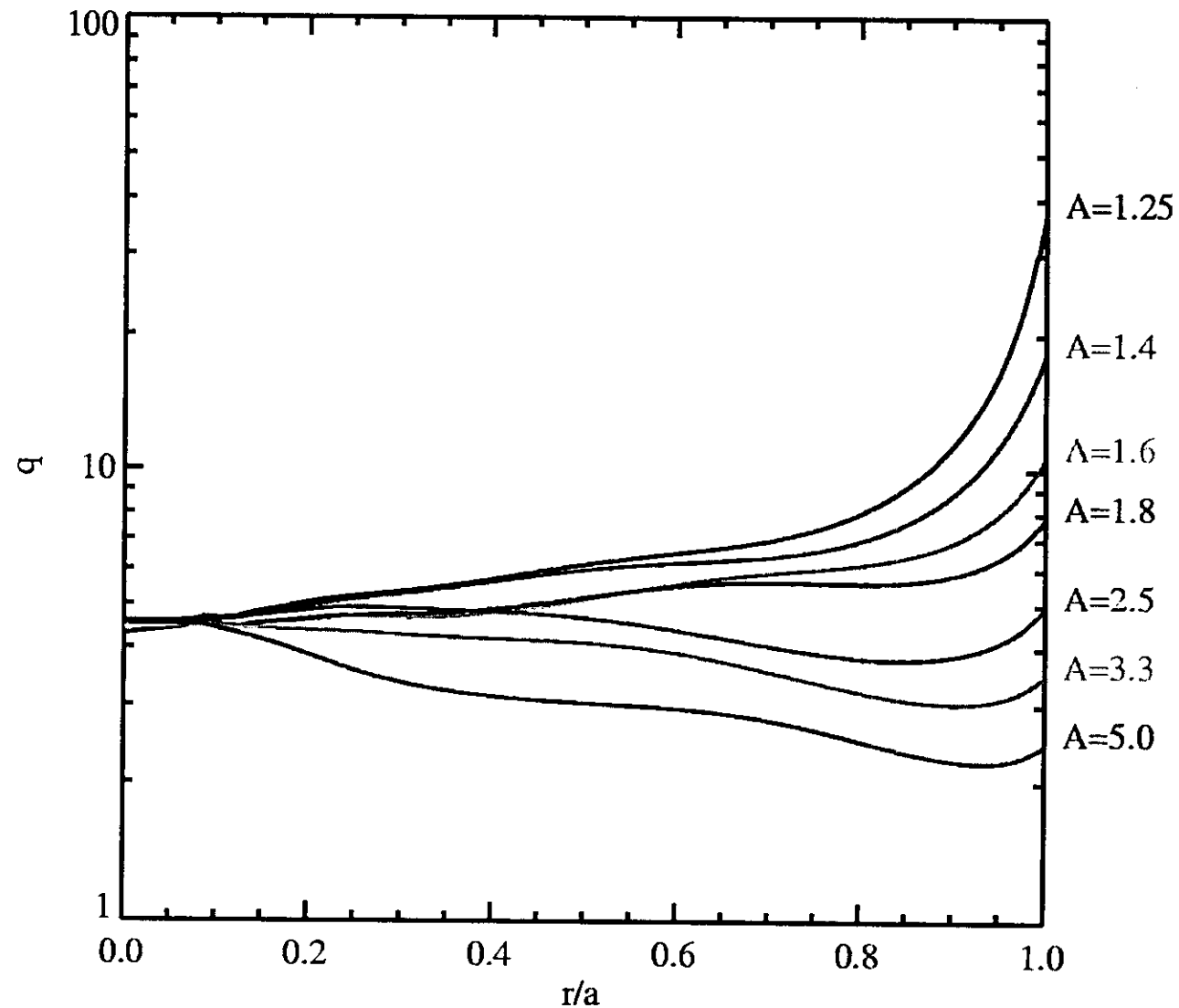
# Methodology:

- For a range of aspect ratios, maximize  $\beta$  by optimizing the pressure profile and  $\kappa$  keeping the following fixed:
  - $\delta \approx 0.65$
  - $f_{BS} \approx 99\%$
  - Kink marginal wall position  $r_{WALL}/a \geq 1.1$
- Must distinguish between wall+feedback stabilized scenarios and those with no wall
  - **HERE, WALL STABILIZATION IS ASSUMED TO WORK**

# Codes Used:

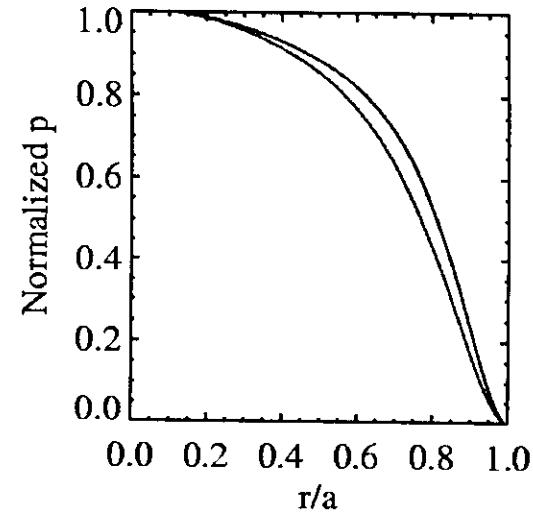
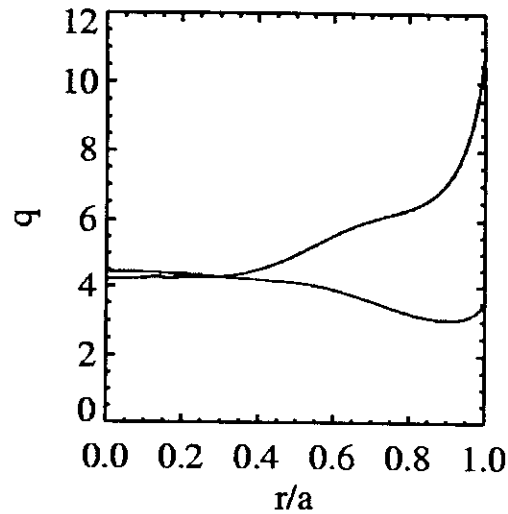
- JSOLVER - fixed boundary equilibria
- BALLOON & CAMINO - high- $n$  ballooning
- PEST-II - low to intermediate- $n$  kink modes

# Reverse shear in q profile occurs for $A > 2$



q profiles of optimized equilibria

# Typical high and low-A optimal profiles



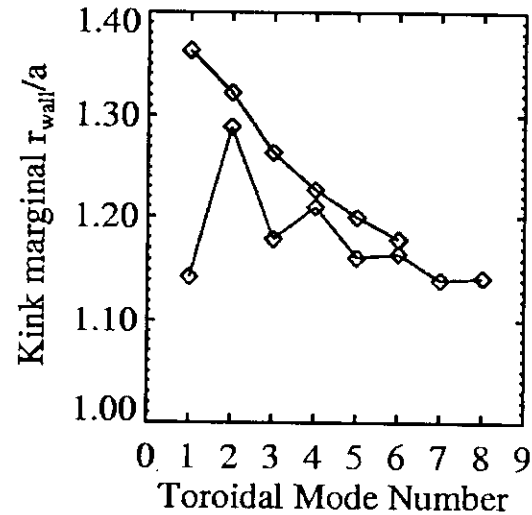
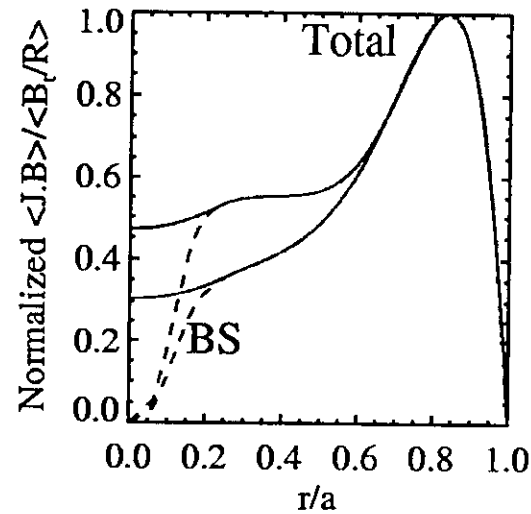
**A=1.6**

$\kappa=3.6$

$\delta=0.64$

$\beta=56\%$

$\beta_N=8.2$



**A=3.3**

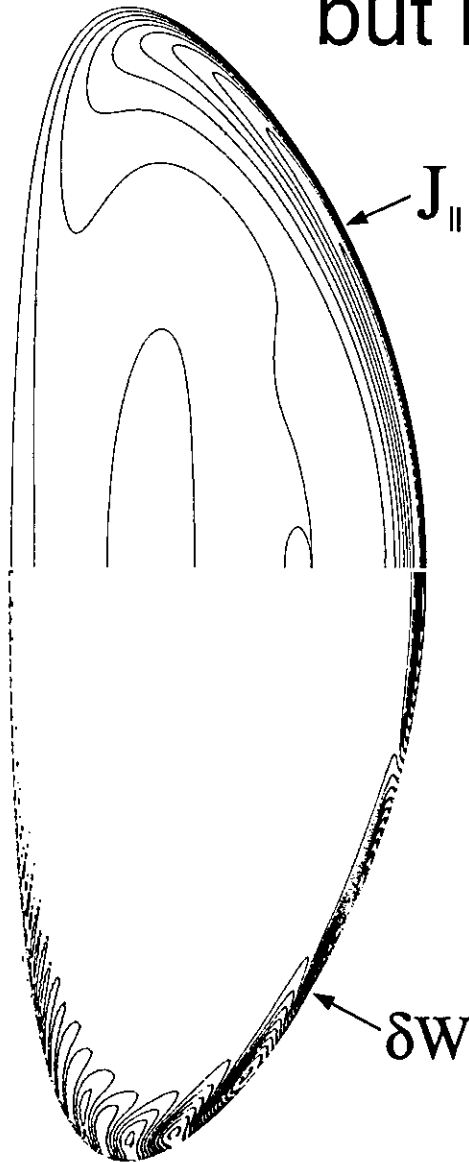
$\kappa=2.5$

$\delta=0.6$

$\beta=14\%$

$\beta_N=6$

Instability mode structure is ballooning-like  
but has large poloidal extent



$$\delta W = \delta W_{\text{Fluid}} + \delta W_{\text{Surface}} + \delta W_{\text{Vacuum}}$$

$$\delta W_F = 1/2 \mu_0 \int dV |Q_{\perp}|^2 \quad \text{Shear Alfvén waves}$$

$$+ B^2 |\nabla \cdot \xi_{\perp} + 2 \xi_{\perp} \cdot \kappa|^2 \quad \text{Compressional Alfvén}$$

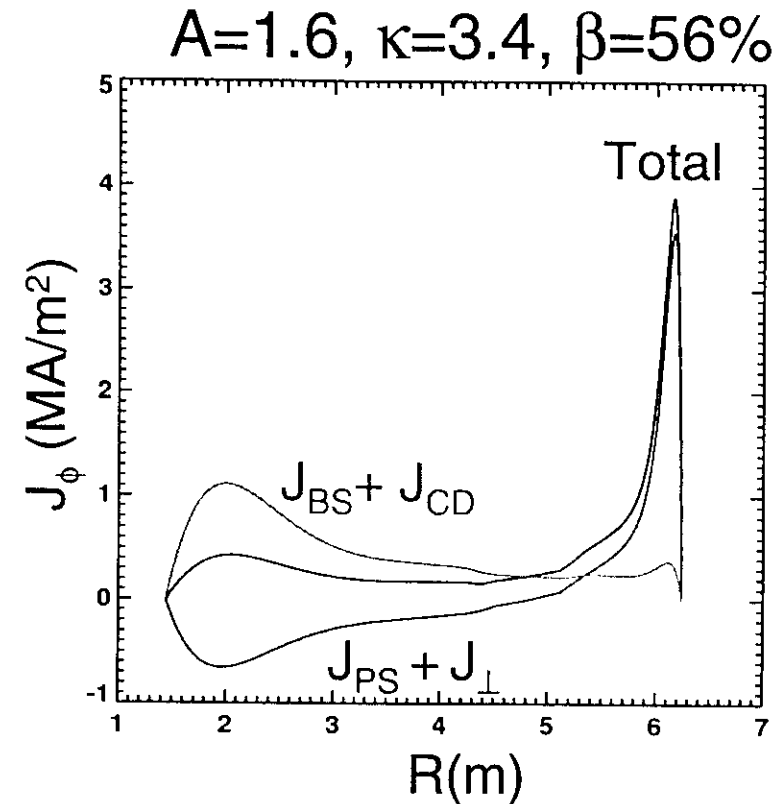
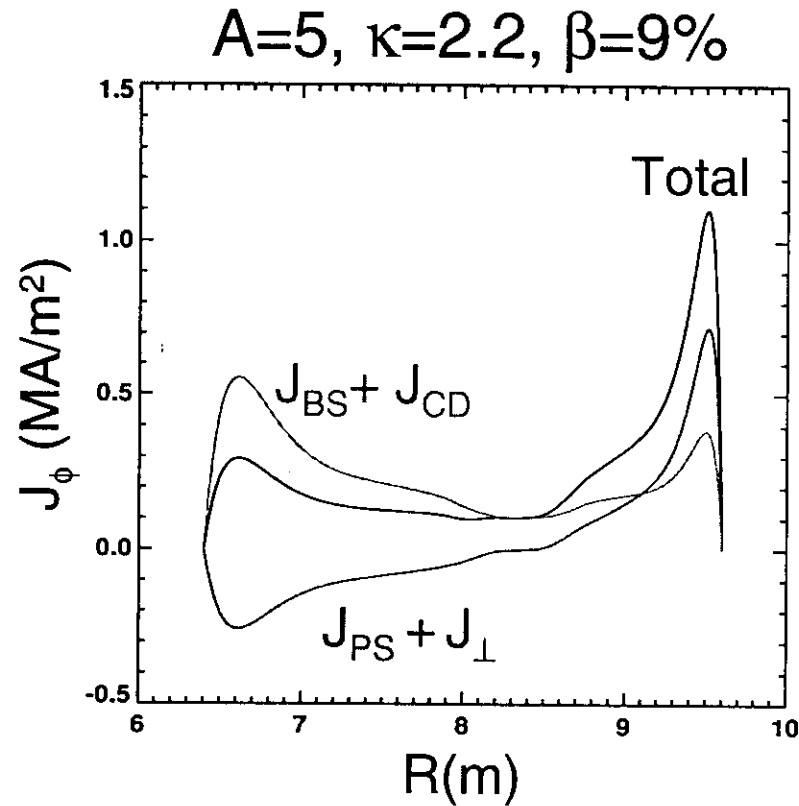
$$+ \mu_0 \gamma p |\nabla \cdot \xi|^2 \quad \text{Sound waves}$$

$$- 2 \mu_0 (\xi_{\perp} \cdot \nabla p) (\kappa \cdot \xi_{\perp}^*) \quad \text{pressure driven instabilities}$$

$$- \mu_0 J_{\parallel} (\xi_{\perp}^* \times \mathbf{b}) \cdot \mathbf{Q}_{\perp} \quad \text{current driven instabilities}$$

n=4 kink mode at A=1.4,  $\beta=40\%$

High  $\beta$  equilibria with broad pressure profiles generate large, destabilizing, Pfirsch-Schluter currents



$$\vec{J}_\perp \cdot \nabla \phi = -p' \left( 1 - \frac{B_\phi^2}{B^2} \right)$$

$$\vec{J}_{PS} \cdot \nabla \phi = -p' \left( \frac{B_\phi^2}{B^2} - \frac{B_\phi^2}{\langle B^2 \rangle} \right) \leftarrow \text{Dominant}$$

Mode observed prior to ELM on DIII-D has similar structure  
 $\Rightarrow$  Is the high- $\beta$   $n=4$  mode simply ELMy, or more destructive?

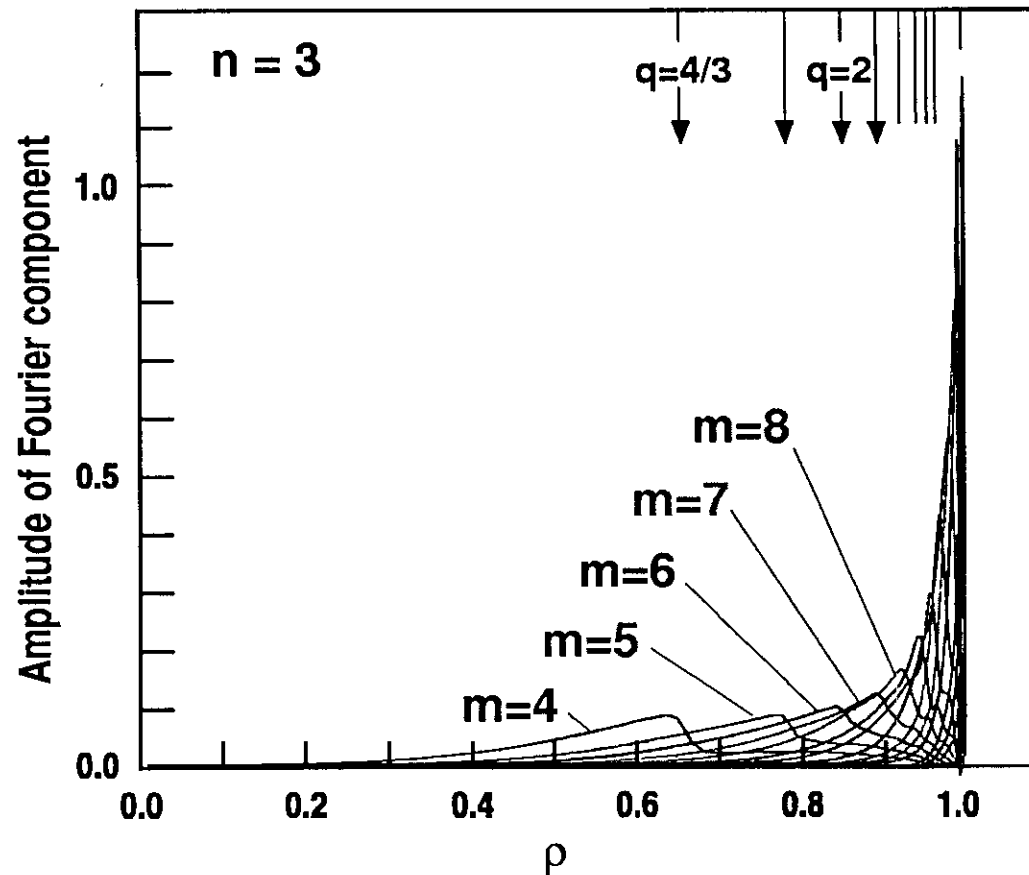


Fig. 1. Radial structure of poloidal Fourier components of the unstable ideal  $n=3$  mode, just before an ELM. Plotted is  $\xi \cdot \nabla \Psi$ , where  $\xi$  is the plasma displacement and  $\Psi$  is the equilibrium poloidal flux.

Data reproduced from:

**DIII-D TECHNICAL BULLETIN**

Number 2 March 25, 1999

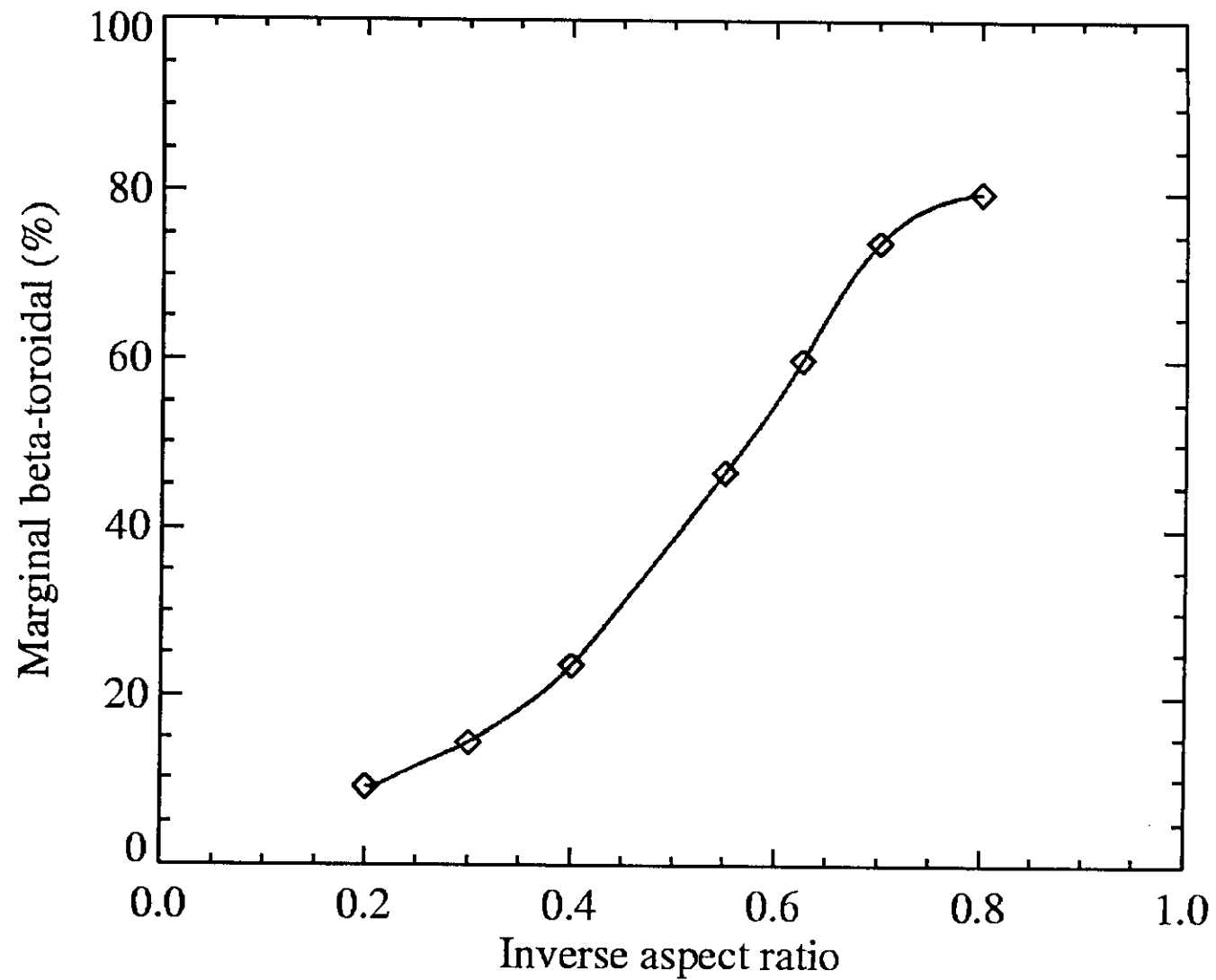
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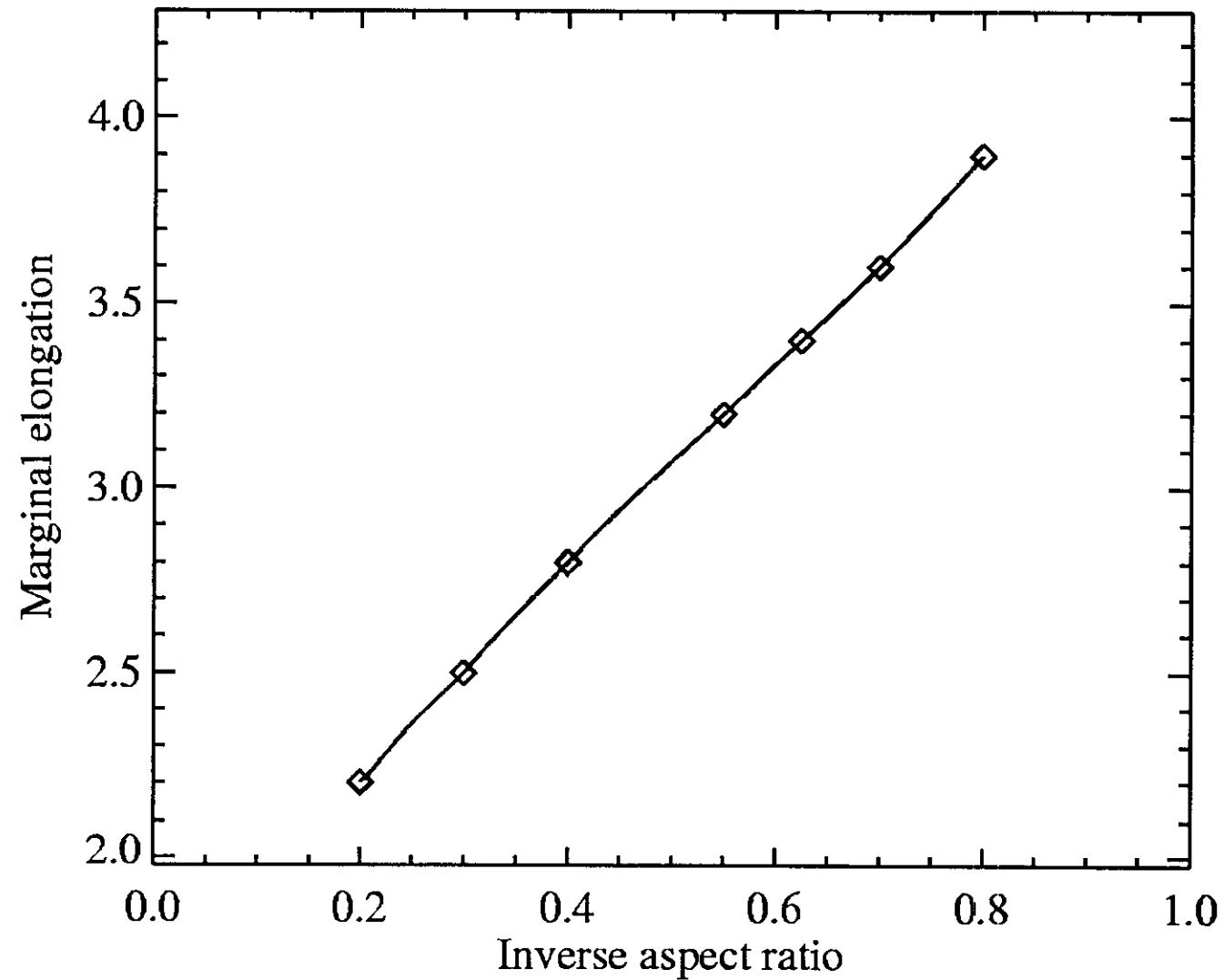
Ideal MHD Kink-Peeling Modes and Their Relation to ELMs  
A.D. Turnbull, GA



Maximum  $\beta$  saturates for  $\varepsilon > 0.7$

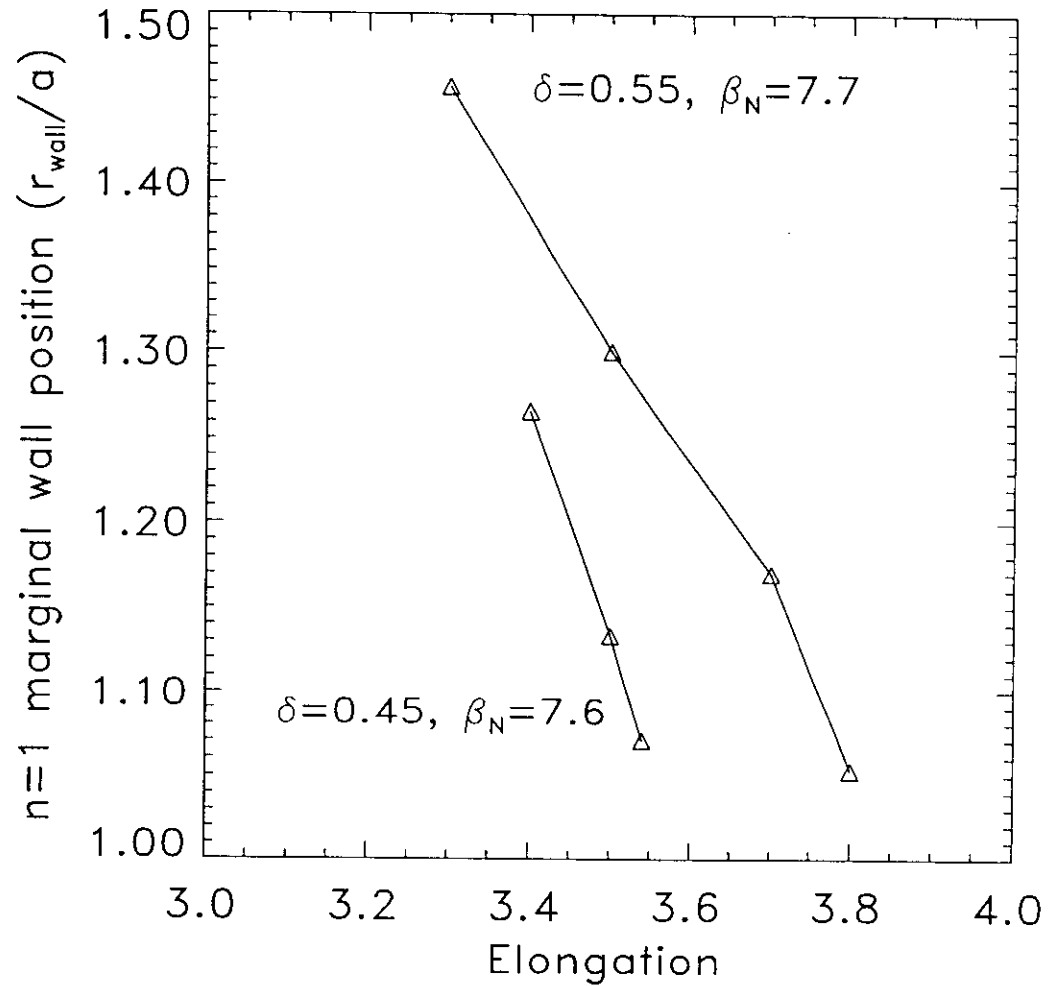


Max. elongation is a linear function of  $\varepsilon$

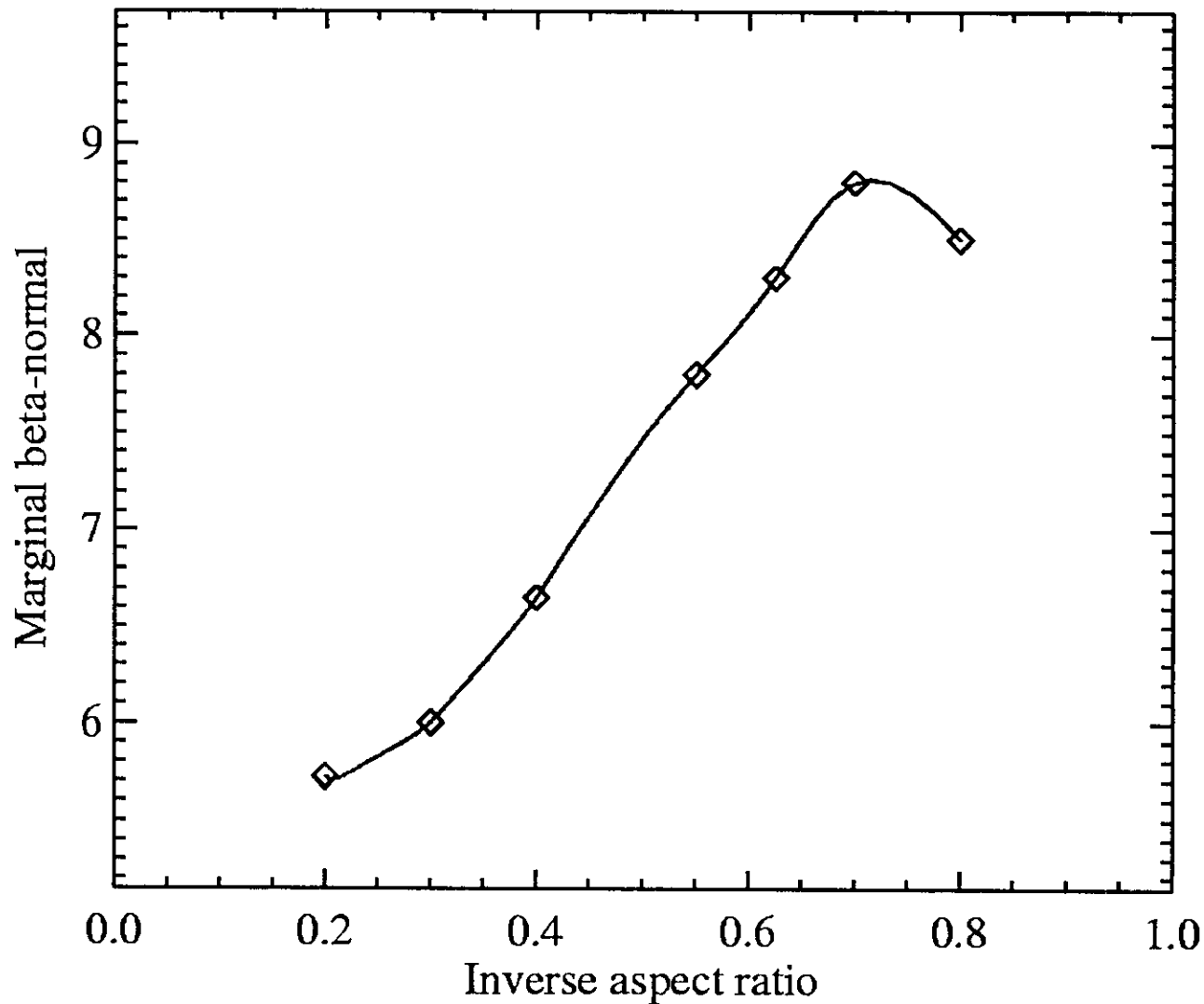


# $n=1$ kink mode limits maximum $\kappa$

$n=1$  kink  
behavior  
for high- $\beta$   
 $A=1.4$   
equilibrium

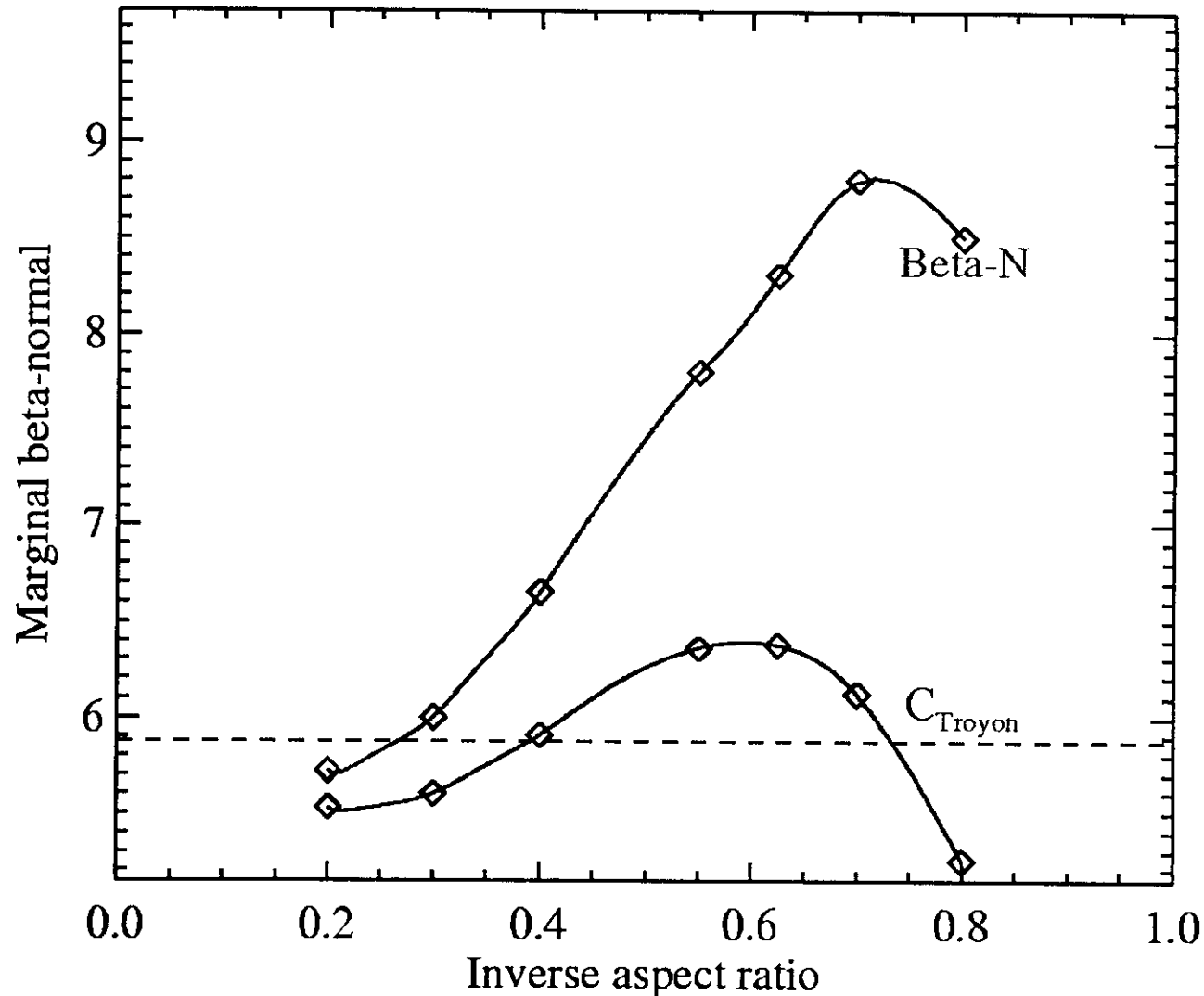


$\beta_N \equiv \beta_{t0} a B_{t0} / I_P$  increases 50% as  $\varepsilon \rightarrow 1$



$$\beta_{t0} = \frac{2\mu_0 \langle p \rangle}{B_{t0}^2}$$

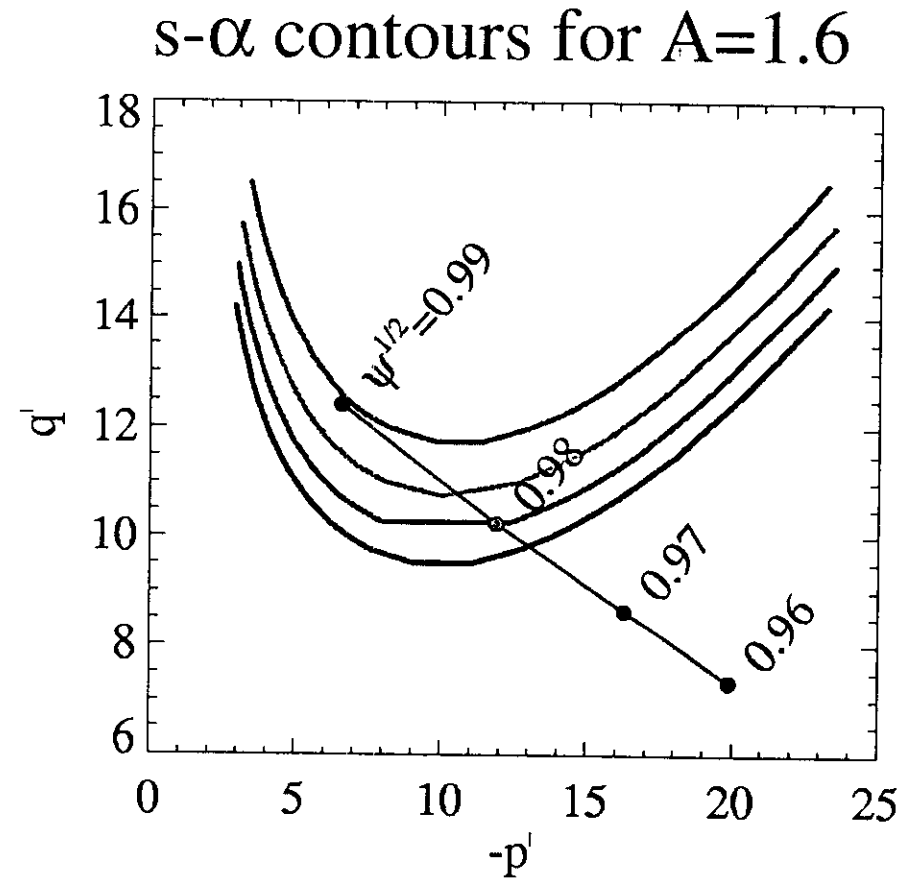
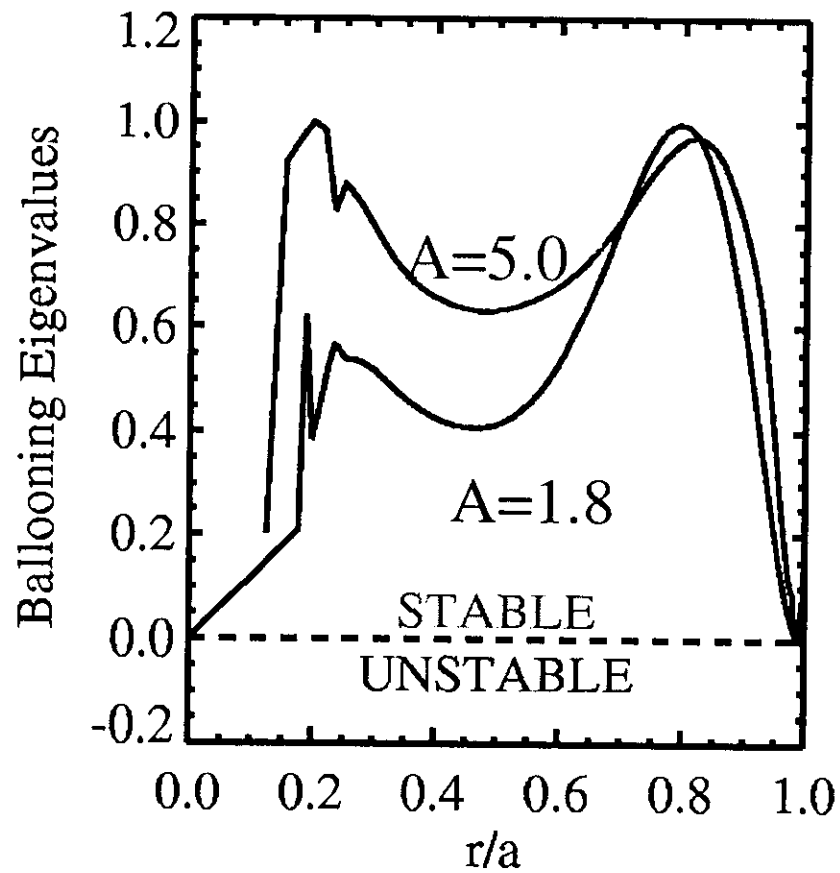
$C_T \equiv \langle \beta \rangle a B_{t0} / I_P$  is nearly invariant



$$\langle \beta \rangle = \frac{2\mu_0 \langle p \rangle}{\langle B^2 \rangle}$$

This is Troyon's original definition

# Ballooning stability limited by edge for all aspect ratios for $f_{BS} \approx 1$



# Implications for optimal reactor A:

- $P_{\text{fusion}} \propto \beta^2 B^4 V_{\text{plasma}}$  where  $\beta = 2\mu_0 \langle p \rangle / B^2$
- Standard Troyon scaling:  $\beta(\%) < \beta_N I_P(\text{MA}) / aB$   
 $\Rightarrow \beta(\%) < 5 \beta_N \varepsilon (1+\kappa^2)/2q^*$ 
  - $\beta_N$  = normalized  $\beta$ ,  $\varepsilon$  = inverse aspect ratio  $a/R$
  - $\kappa$  = elongation,  $q^*$  = kink safety factor
- Self driven (bootstrap) current fraction:  
 $f_{\text{BS}} = I_{\text{BS}}/I_P \approx C_{\text{BS}} \varepsilon^{1/2} \beta_P$

# $P_{\text{fusion}}$ scalings for fixed $R_0$

- Combining Troyon and BS scalings  $\Rightarrow$   
$$\beta(\%) < \varepsilon^{1/2} C_{\text{BS}} (1+\kappa^2) (\beta_N)^2 / 8 f_{\text{BS}}$$
- $B_{t0} = B_{\text{MAX}}(1 - \varepsilon - \Delta_{\text{SHIELD}}/R_0)$   
 $\Delta_{\text{SHIELD}}$  = inboard shield thickness
- $V_{\text{plasma}} \propto R_0^3 \varepsilon^2 \kappa$
- $P_{\text{TF-Coil}} \propto B_{\text{MAX}}^2 \varepsilon \kappa R_0$

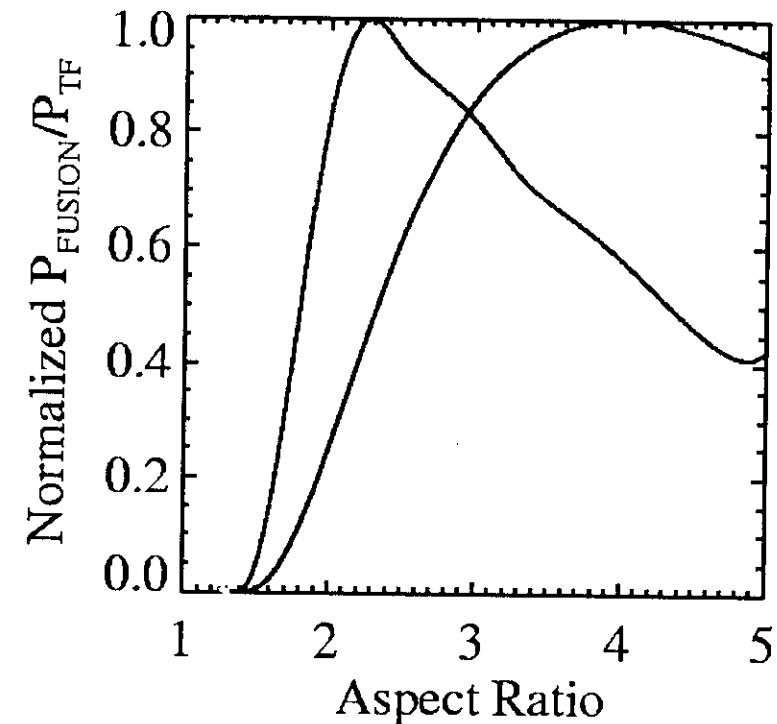
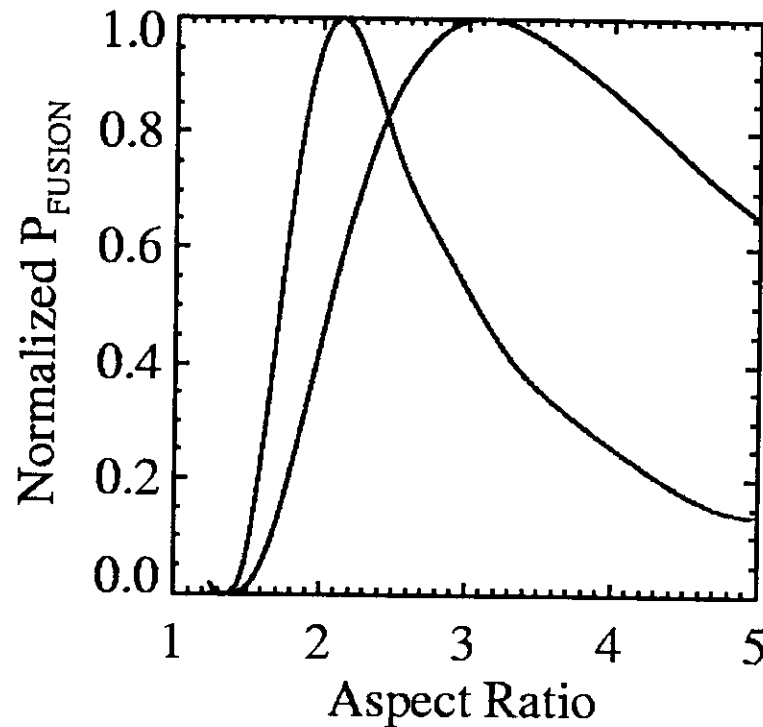
How do  $P_{\text{fusion}}$  and  $P_{\text{fusion}}/P_{\text{TF}}$  vary with aspect ratio and inboard shield thickness?



Optimal  $A = 2-2.5$  for  $\Delta_{\text{SHIELD}}/R_0 = 0.25$

Red  $\Rightarrow$  Stability optimized  $\beta_N$  and  $\kappa$

Blue  $\Rightarrow \beta_N$  and  $\kappa$  assumed constant

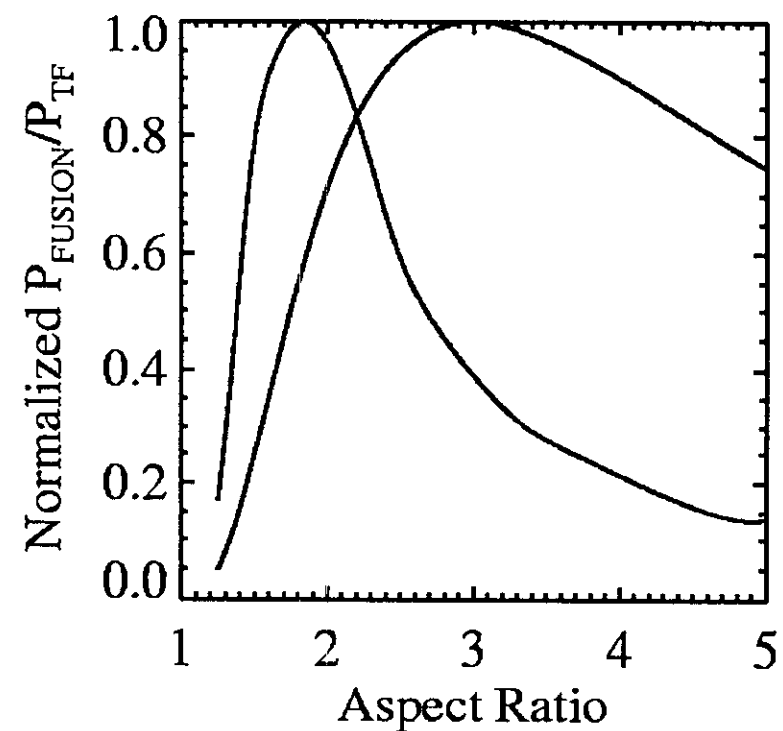
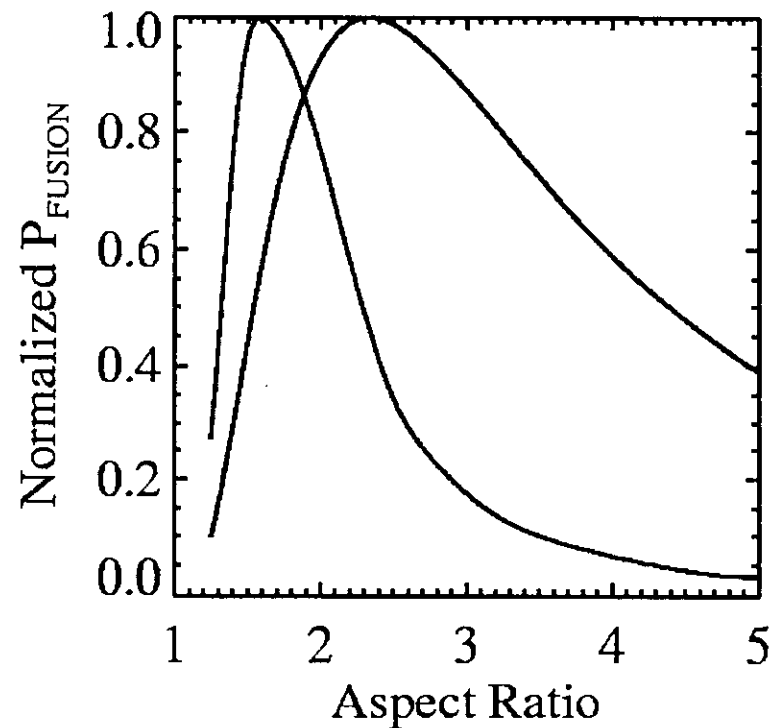


If  $\beta_N$  and  $\kappa$  are assumed to be constant, optimal  $A = 3-4$

Optimal  $A = 1.6-1.8$  for  $\Delta_{\text{SHIELD}}/R_0 = 0$

Red  $\Rightarrow$  Stability optimized  $\beta_N$  and  $\kappa$

Blue  $\Rightarrow \beta_N$  and  $\kappa$  assumed constant



If  $\beta_N$  and  $\kappa$  are assumed to be constant, optimal  $A = 2.5-3$

# Optimization consistent with ARIES-ST reactor design

$$A = 1.6$$

$$\kappa = 3.4$$

$$\delta = 0.64$$

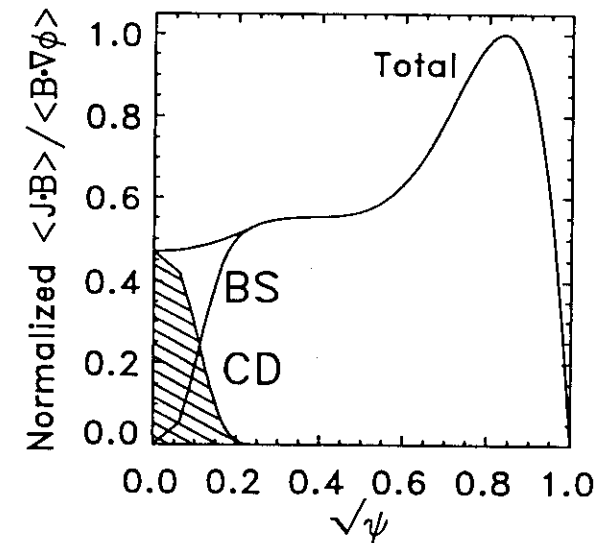
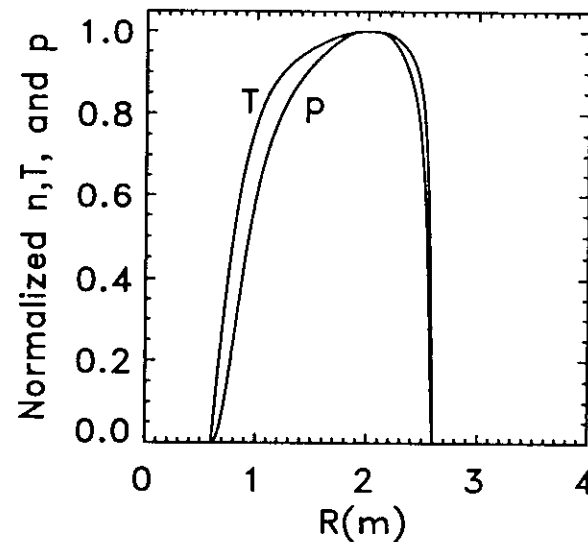
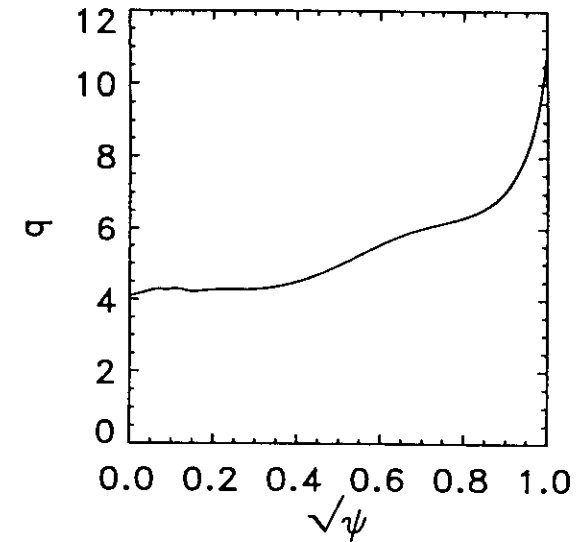
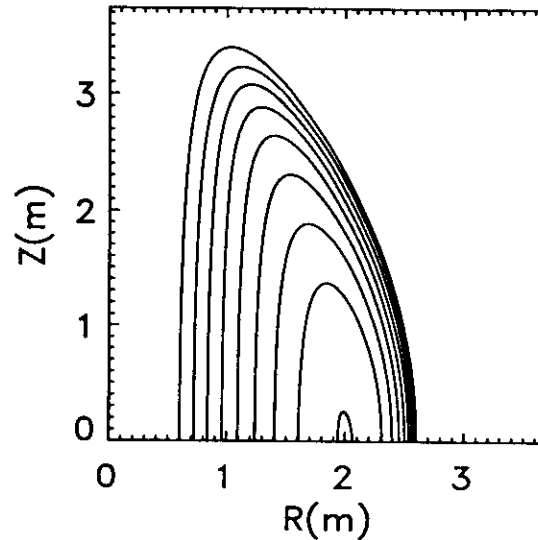
$$\beta = 56\%$$

$$\beta_N = 8.2$$

$$f_{BS} = 99\%$$

$$I_p = 35\text{MA}$$

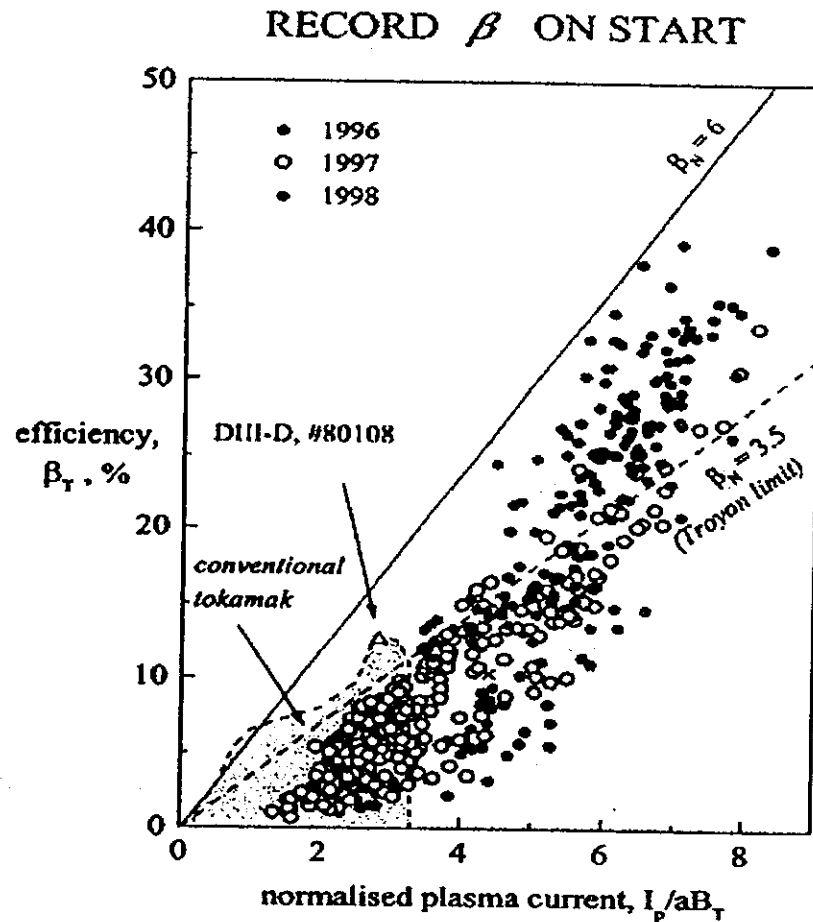
$$p(0)/\langle p \rangle = 1.4$$



# Again, several simultaneous MHD constraints determine optimal equilibria

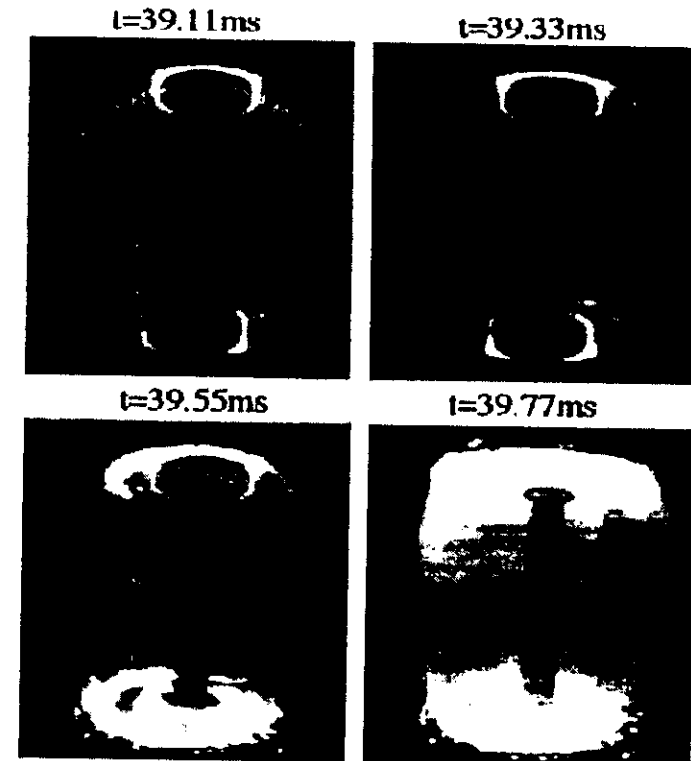
- Maximum  $\kappa$  limited by  $n=0$  mode and  $n=1$  pressure driven kink mode
- High  $\delta$  helps stabilize low- $n$  pressure-driven external kink modes
- Maximum  $\beta_N$  limited by edge-localized ballooning modes
- High  $f_{BS}$  minimizes re-circulating power and is essential for economical reactor design
- $\beta \propto (1+\kappa^2) (\beta_N)^2$  for fixed  $f_{BS}$ , and maximum  $\kappa$  and  $\beta_N$  are aspect ratio dependent  $\Rightarrow$  aspect ratio  $A=1.6$  maximizes  $P_{\text{fusion}}/P_{\text{TF}}$
- Broad pressure profile places region of high pressure gradient near conducting wall to aid kink stabilization
- Wall stabilization and/or active feedback is essential for high  $\beta$

# START record $\beta_N$ is 5-6 at high $\beta$



(Figure taken from the START web page)

High  $q$  operation may help the ST, as low  $q^* \approx 2-3$  discharges from START can terminate from  $n=1$  external kinks

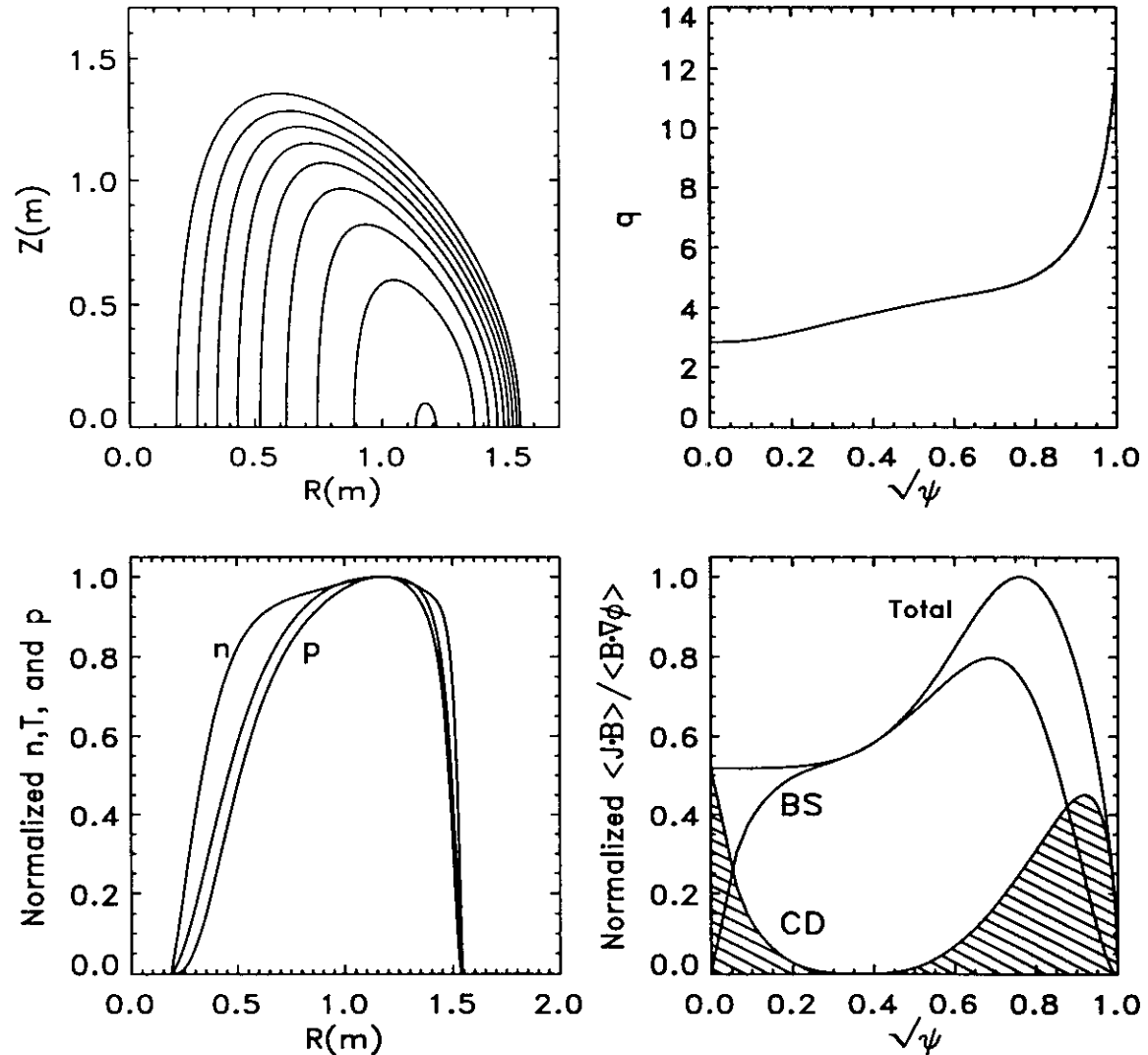


(Figure taken from D. Gates, et al., Phys. Plasmas, May 1998)

# NSTX optimized case has $\beta_N = 8$

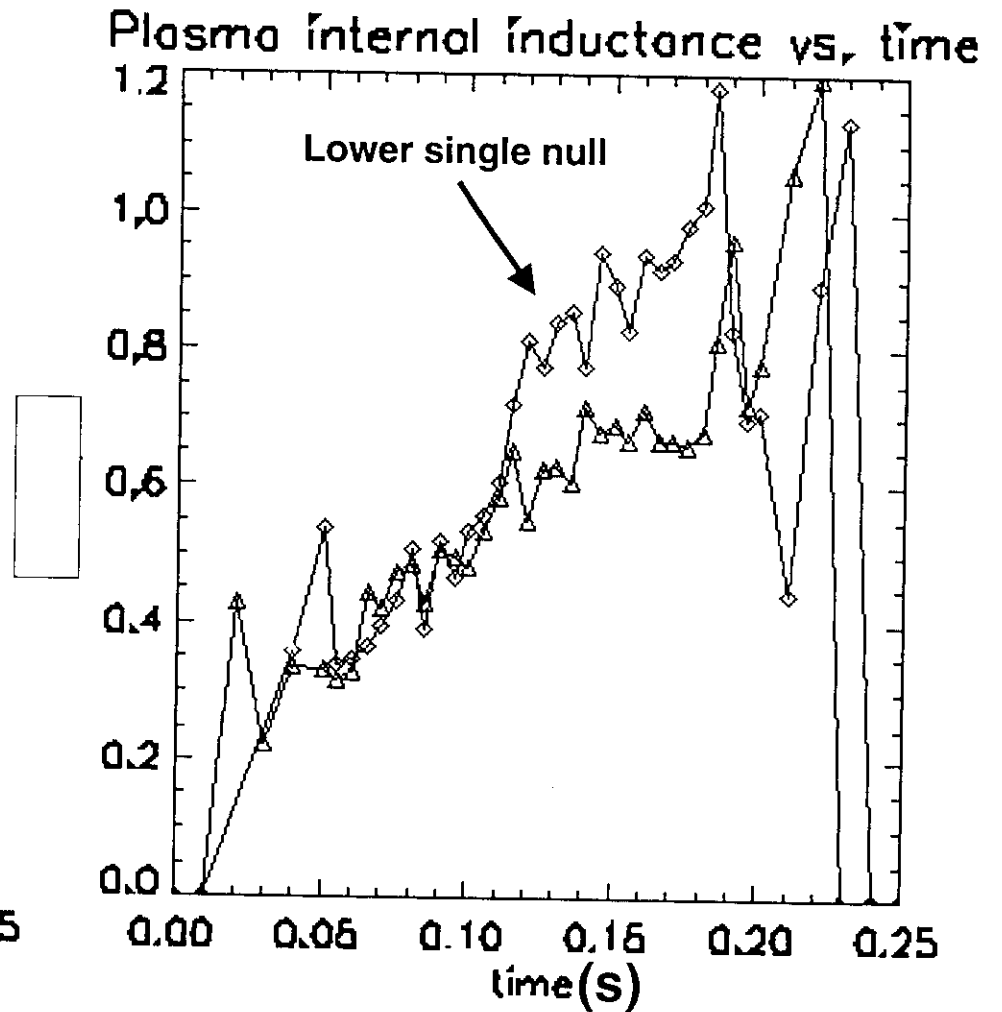
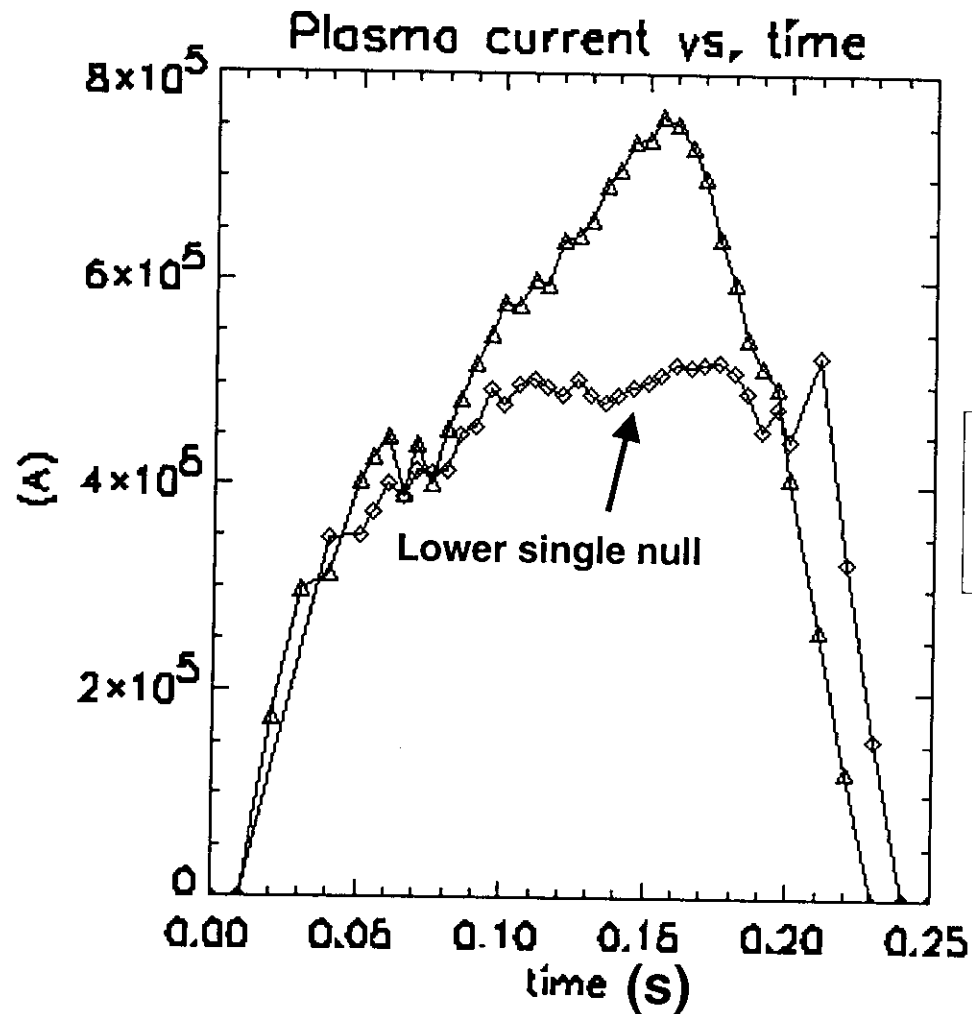
$R = 0.86 \text{ m}$   
 $a = 0.67 \text{ m}$   
 $k = 2.0$   
 $\delta = 0.45$   
 $A = 1.27$   
 $I_p = 1 \text{ MA}$   
 $B_T = 0.3 \text{ T}$   
 $\beta_N = 8.1$   
  
 $\beta = 40.4\%$   
 $f_{BS} = 70\%$

Ideally stable to ballooning  
and  $n=1-6$  kinks with NSTX  
passive plate structure

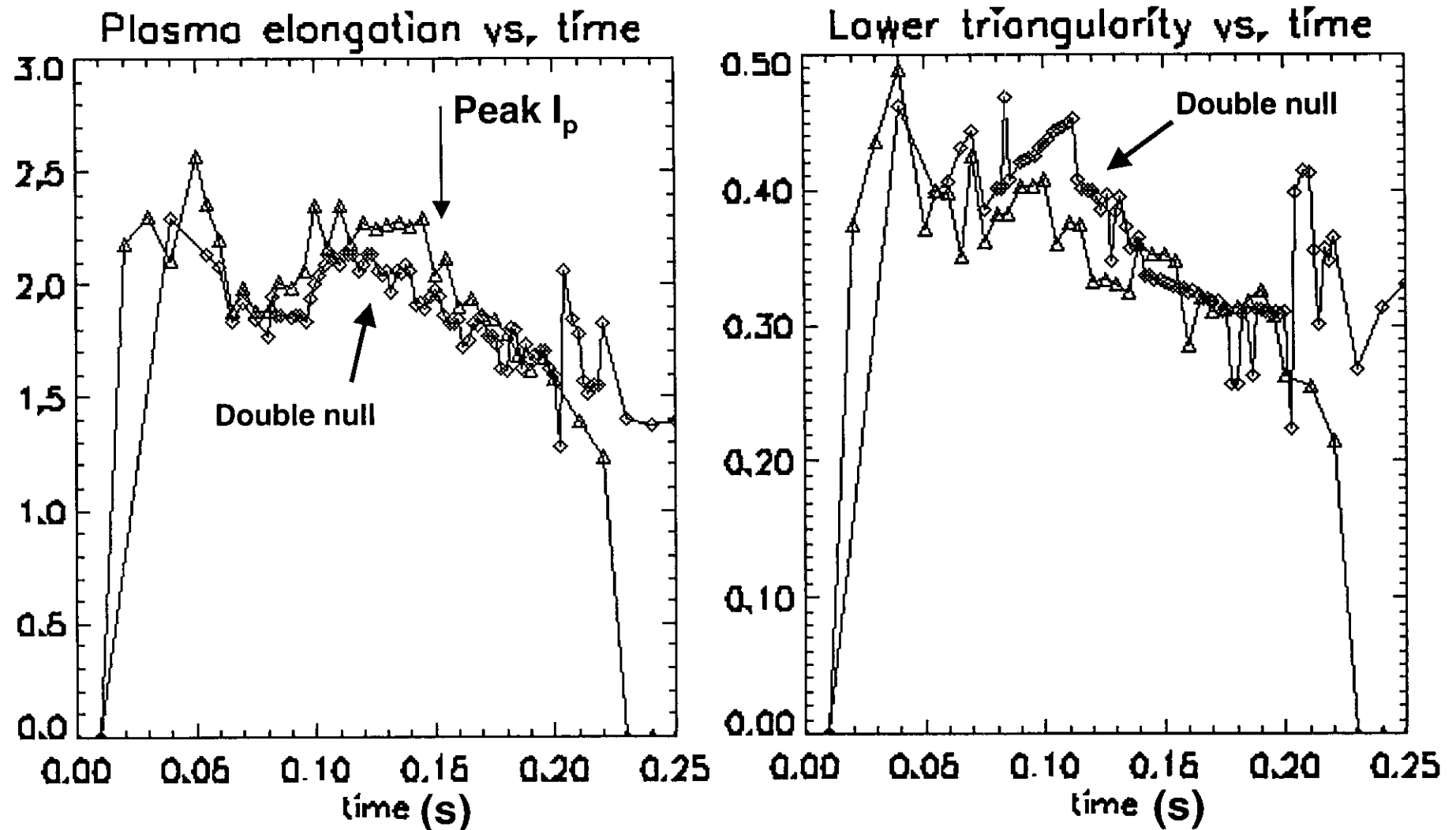


This case has very low  $I_i \approx 0.2$

# Internal inductance relatively low in 0.8 MA plasma

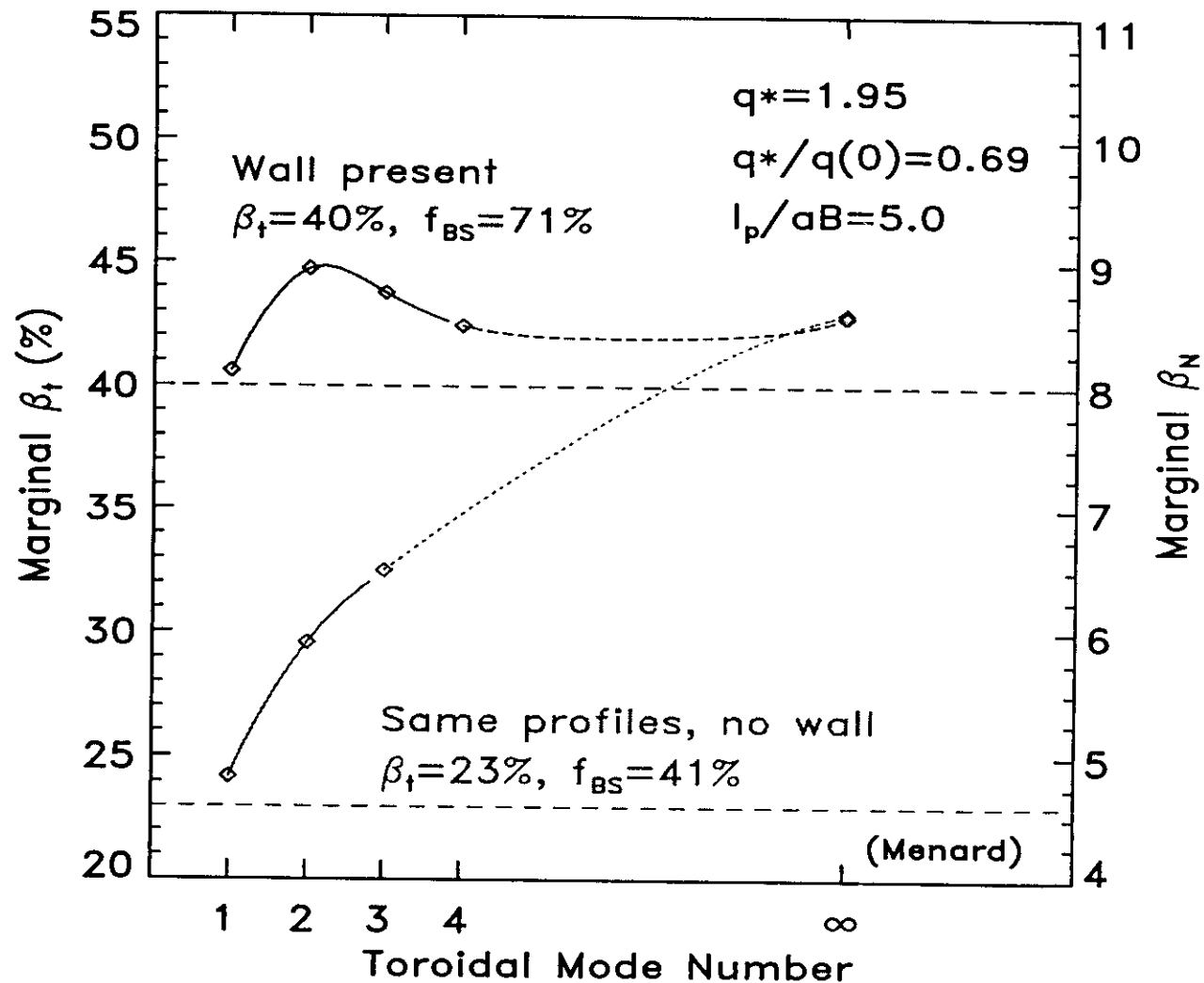


# Elongation remains high in 0.8 MA plasma





$\beta$  drops to 23% without wall stabilization  
Can recover to 30% without wall with re-optimization



# Operation above no-wall $\beta$ limit slows plasma rotation in DIII-D

Can active feedback compensate?

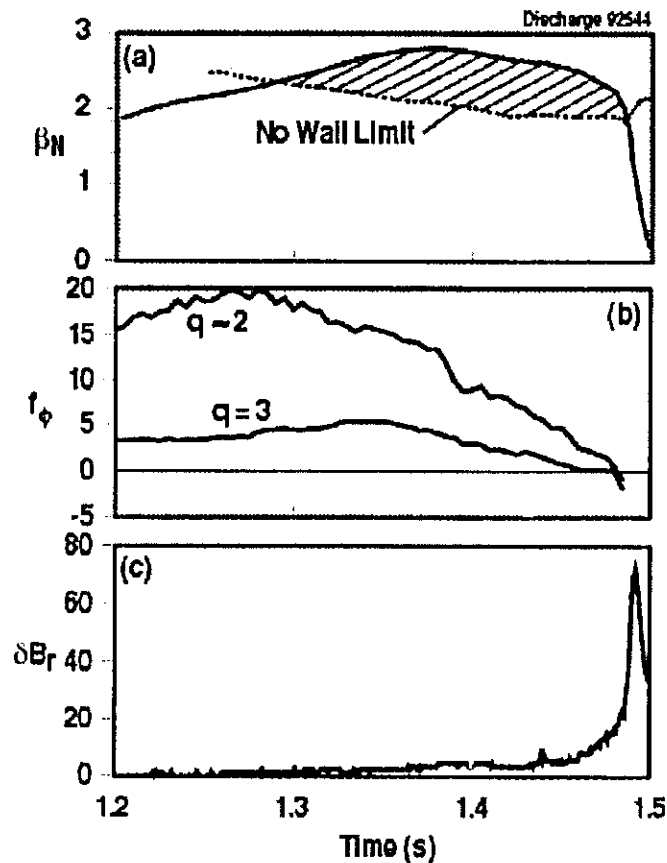


Fig. 1. Time history of a wall-stabilized discharge showing (a) normalized beta; (b) toroidal plasma rotation frequency at two radial locations; (c) and radial magnetic field at the wall due to the RWM.

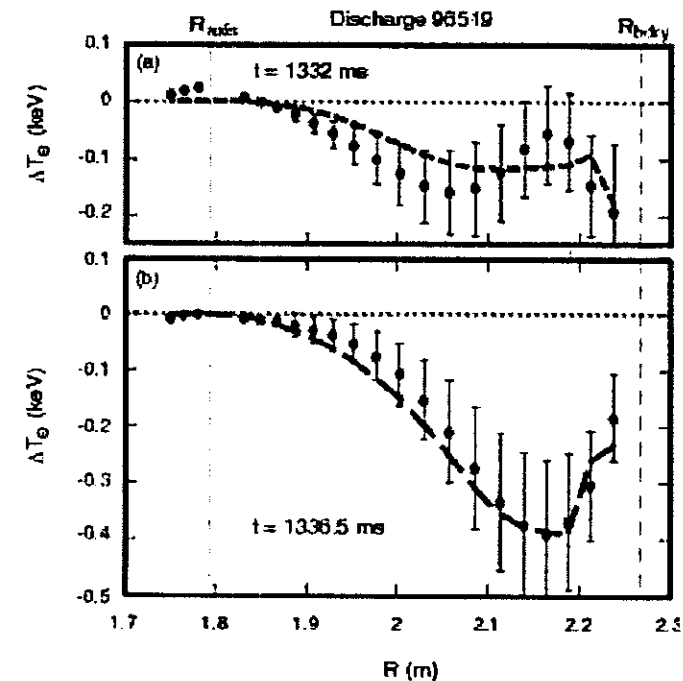


Fig. 2. Comparison of the radial profiles of the measured (data points) and predicted (dashed lines) electron temperature perturbation caused by the  $n=1$  RWM in discharge 96519. The predicted change  $\Delta T_e$  is determined from the displacement  $\xi$  by  $\Delta T_e \propto \xi \cdot \nabla T_e$ .

Data reproduced from:

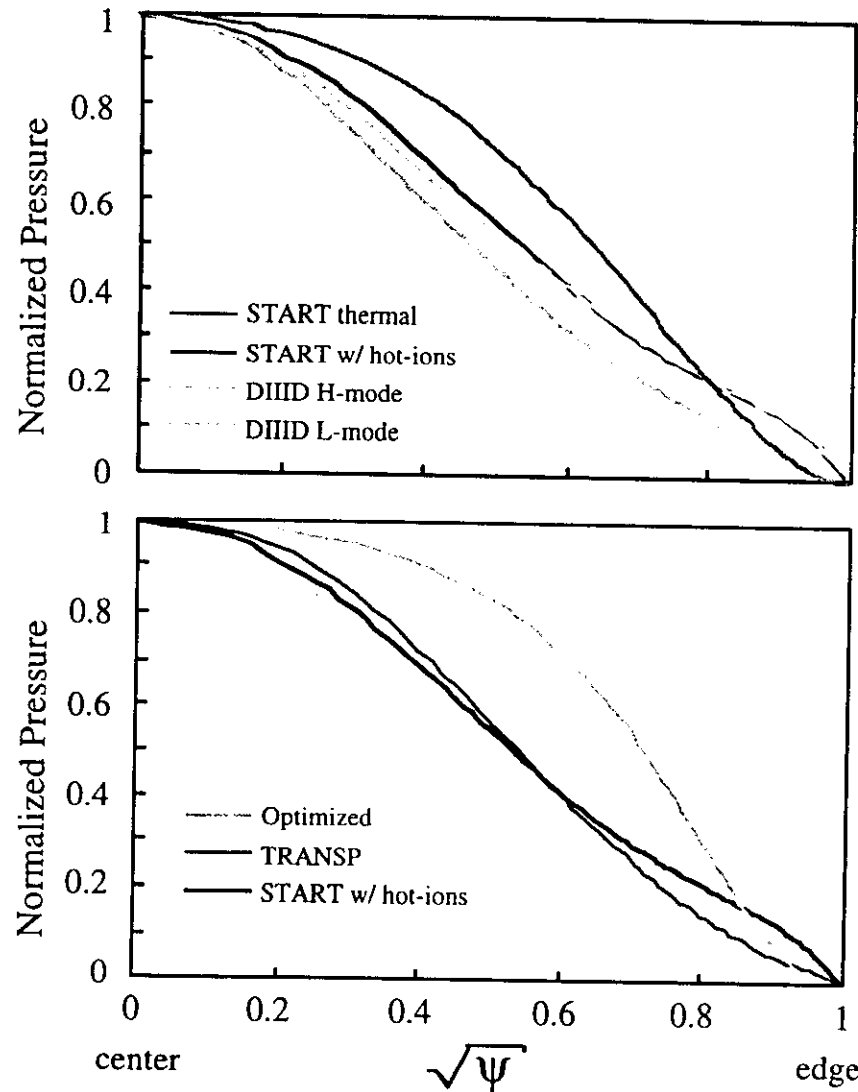
DIII-D TECHNICAL BULLETIN

Number 9 August 11, 1999

A23064

Observation of the Resistive Wall Mode  
A.M. Garofalo, Columbia U.

# Beam-heated plasma pressure profile may be incompatible with optimal stability properties



**START thermal pressure profile looks very similar to optimized 40%  $\beta$  equilibrium pressure profile:**

Off-axis auxiliary heating may yield optimal wall-stabilized kink stability  $\Rightarrow$  **use HHFW to broaden p profile?**

**F. Paoletti, S. Sabbagh**

# Neoclassical Tearing Modes

(follows Kruger, et al., Phys. Plasmas, Vol. 5, No. 2, February 1998)

Island evolution:  
(large island limit)

$$\frac{d}{dt} w = 1.22 \frac{\eta_{nc}}{\mu_0} \left( \Delta' + 4.6 \frac{D_{nc} + D_R}{w} \right)$$

$$\Delta' \sim -2m/r_s \propto m \quad \Rightarrow \text{Instability favors low poloidal mode number } m$$

$$D_{nc} = - \frac{1.5f_t/f_c}{1 + 1.5f_t/f_c} \frac{q dp/dq}{\langle B_\theta^2 \rangle / 2\mu_0}$$

$$D_R = D_I + (H - 1/2)^2,$$

$$D_I = E + F + H - 1/4,$$

$$E = C_1(p_\psi/q_\psi^2) + C_2(p_\psi/q_\psi)$$

$$F = C_3(p_\psi/q_\psi)^2,$$

$$H = C_4(p_\psi/q_\psi),$$

$$C_1 = \left( \frac{V_\psi}{2\pi} \right)^2 \left( \frac{I_\psi}{I} - \left\langle \frac{d}{d_\psi} \ln(R^2) \right\rangle \right),$$

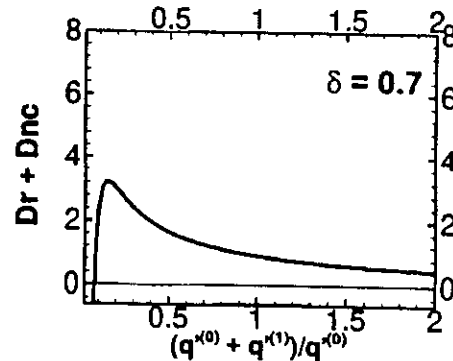
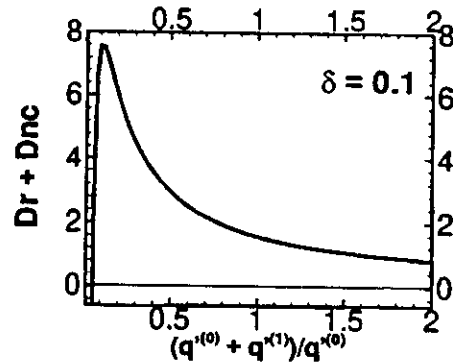
$$C_2 = \frac{V_\psi}{2\pi} \left( \frac{I}{\langle B^2 \rangle} \left\langle \frac{B^2}{|\nabla \psi|^2} \right\rangle - \frac{V_\psi}{2\pi q} \right),$$

$$C_3 = \left( \frac{V_\psi}{2\pi} \right)^2 \left( \left\langle \frac{R^2}{|\nabla \psi|^2} \right\rangle \left\langle \frac{B^2}{|\nabla \psi|^2} \right\rangle - I^2 \left\langle \frac{1}{|\nabla \psi|^2} \right\rangle^2 \right)$$

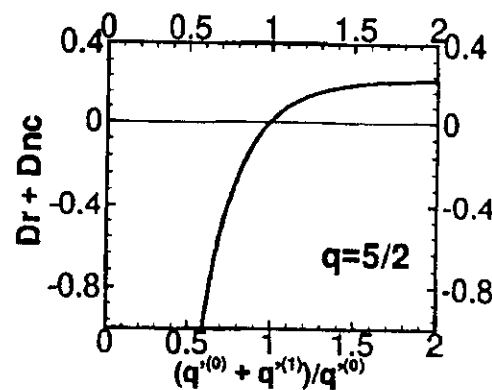
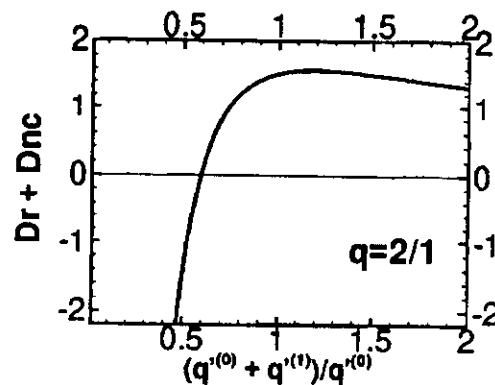
$$C_4 = \frac{V_\psi}{2\pi} I \left( \left\langle \frac{1}{|\nabla \psi|^2} \right\rangle - \frac{1}{\langle B^2 \rangle} \left\langle \frac{B^2}{|\nabla \psi|^2} \right\rangle \right),$$

# Neoclassical Tearing Modes

(from Kruger, et al., Phys. Plasmas, Vol. 5, No. 2, February 1998)



DIII-D  $\beta=3\%$



Pegasus  $\beta=15\%$

$$D_{nc} \sim \frac{\sqrt{\epsilon} \beta_{\theta}}{\hat{s}}; \quad D_R \sim \frac{-\beta}{\hat{s}^2}$$

$$\hat{s} \equiv 2V/q(dq/dV)$$

Larger  $\beta$  at low A  
increases range of  
stable positive shear  
and decreases  
unstable drive

# Summary

- MHD stability of high- $\beta$ , high  $f_{BS}$  tokamak equilibria is a smoothly varying function of  $A$
- $\kappa$  and  $\beta_N$  increase significantly as  $\varepsilon \rightarrow 1$
- Troyon's original definition  $C_T \equiv \langle \beta \rangle a B_{t0} / I_P$  is a good stability invariant for these equilibria.
- Results validate the design point for ARIES-ST
- Wall stabilization is important for NSTX and the ST reactor concept - will it work?
- Neoclassical tearing mode scalings look favorable for low aspect ratio.