

AUTUMN COLLEGE ON PLASMA PHYSICS

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Trapped Nonneutral Plasmas, Liquids and Crystals

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These are preliminary lecture notes, intended only for distribution to participants.

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three lectures:

- (1) Trapped Nonneutral Plasmas, Liquids, and Crystals (The thermal equilibrium states)
- (2) Thermodynamics of Trapped Nonneutral Plasmas
- (3) Collisional Transport and 2D Vortex Dynamics in Nonneutral Plasmas

references:

1. Thomas O'Neil, Trapped Plasmas with a Single Sign of Charge, Physics Today, February 1999
2. D.H.E. Dubin and T.M. O'Neil, Trapped Nonneutral Plasmas, Liquids, and Crystals (the thermal equilibrium states), Rev. Mod. Phys. 71 87 (1999)

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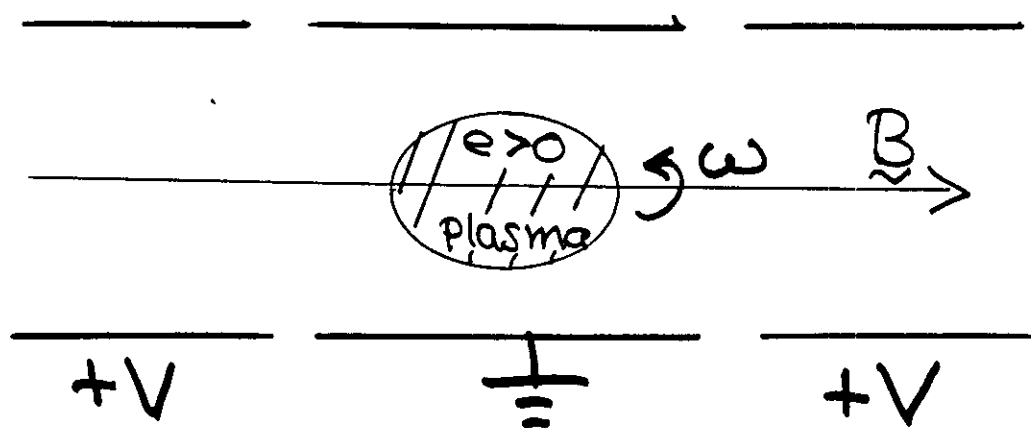
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Malmberg-Penning Trap



- radial force balance

$$-\frac{nmv_{\theta}^2}{r} = ne \left[E_r + \underbrace{\frac{v_{\theta} B}{c}}_{\text{balances other terms}} \right] - \frac{\partial p}{\partial r}$$

- rotation through a magnetic field is like neutralization by background charge.

Constants of the Motion

For an ideal trap, the N -particle Hamiltonian is characterized by two symmetries:

- (1) H does not depend explicitly on t .
- (2) H is invariant under rotation.

$\therefore H = \text{const.}$

$$P_{\theta} = \sum_{j=1}^N m v_{\theta j} r_j + \frac{e}{c} A_{\theta}(r_j) r_j = \text{const.}$$

Total Canonical Angular
Momentum

Confinement Theorem

For sufficiently large V , the axial confinement is guaranteed on energetic grounds (since $H = \text{const.}$).

To understand the radial confinement, we use the constancy of the total canonical angular momentum

$$P_\theta = \sum_{j=1}^N m v_{\theta j} r_j + \frac{e}{c} A_\theta(r_j) r_j$$

for uniform axial B field $\longrightarrow \frac{B r_j}{2}$

For sufficiently large B , the vector potential term dominates

$$P_0 \approx \sum_{j=1}^N \frac{eB}{2c} r_j^2 = \frac{eB}{2c} \sum_{j=1}^N r_j^2$$

$$\therefore P_0 = \text{const.} \Rightarrow \sum_{j=1}^N r_j^2 = \text{const.}$$

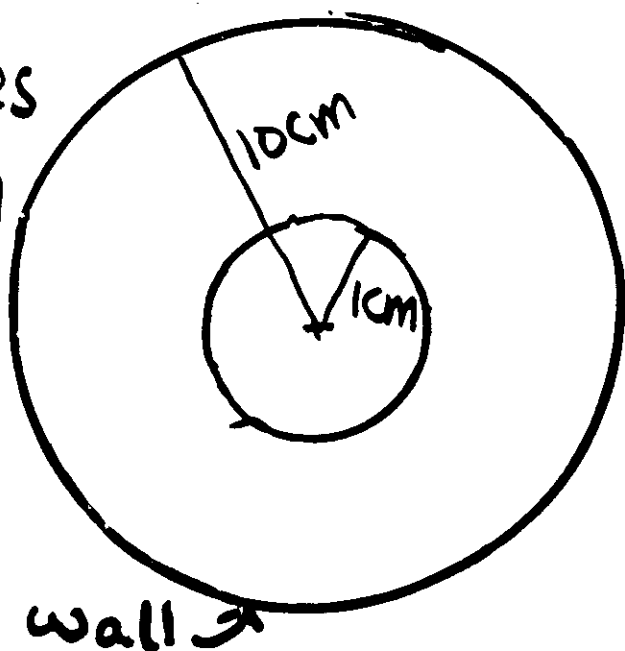
This is powerful constraint.

Let $r_j(t=0) = 1\text{cm}$ for $j=1 \dots N$.

Only 1% of particles can ever reach wall at $r_j = 10\text{cm}$,

provided that

$$\sum_j r_j^2(t) = \text{const.}$$



$\therefore$ 99% of particles remain confined forever, provided that

$$\frac{eB}{2c} \sum_j r_j^2 \approx P_0 = \text{const.}$$

For a neutral plasma, the constraint is replaced by

$$\sum_j e_j r_j^2 = \text{const.},$$

so electrons and ions can go to the wall together.

Of course, for a real plasma in a real trap, H and P_θ are not conserved exactly.

- (1) The charges slowly radiate energy and angular momentum.
- (2) Collisions with neutrals cause slow change in values of H and P_θ .
- (3) Small field errors break the cylindrical symmetry of the confinement apparatus (i.e., of H) and allow P_θ to change slowly.

However, with care (good vacuum, high degree of cylindrical symmetry, etc.) a trap can be constructed for which H and P_θ are nearly constant on time scale for Coulomb collisions to bring charges into state of global thermal equilibrium.

We discuss thermal equilibrium states using model of ideal trap ($H, P_\theta = \text{const.}$).

Return later to include effect of slow changes in H and P_θ .

Boltzmann distribution for a system characterized by the two constants of the motion H and P_θ :

$$f = \frac{N \exp\left[-\frac{1}{T}(H + \omega P_\theta)\right]}{\int d^3r d^3v \exp\left[-\frac{1}{T}(H + \omega P_\theta)\right]}$$

$$H = \frac{mv^2}{2} + e\Phi_T(r, z) + e\Phi_p(r, z)$$

$\nearrow$
 trap potential

$\nearrow$
 plasma
 space charge
 potential

$$P_\theta = mv_\theta r + eBr^2/2c$$

T is temperature

ω is rotation frequency

$$(H, P_\theta) \Rightarrow (T, \omega)$$

Substituting for h and P_θ yields:

$$f = n(r, z) \left(\frac{m}{2\pi T} \right)^{3/2} \exp \left[-\frac{m}{2T} (\underline{v} + \omega r \hat{\theta})^2 \right]$$

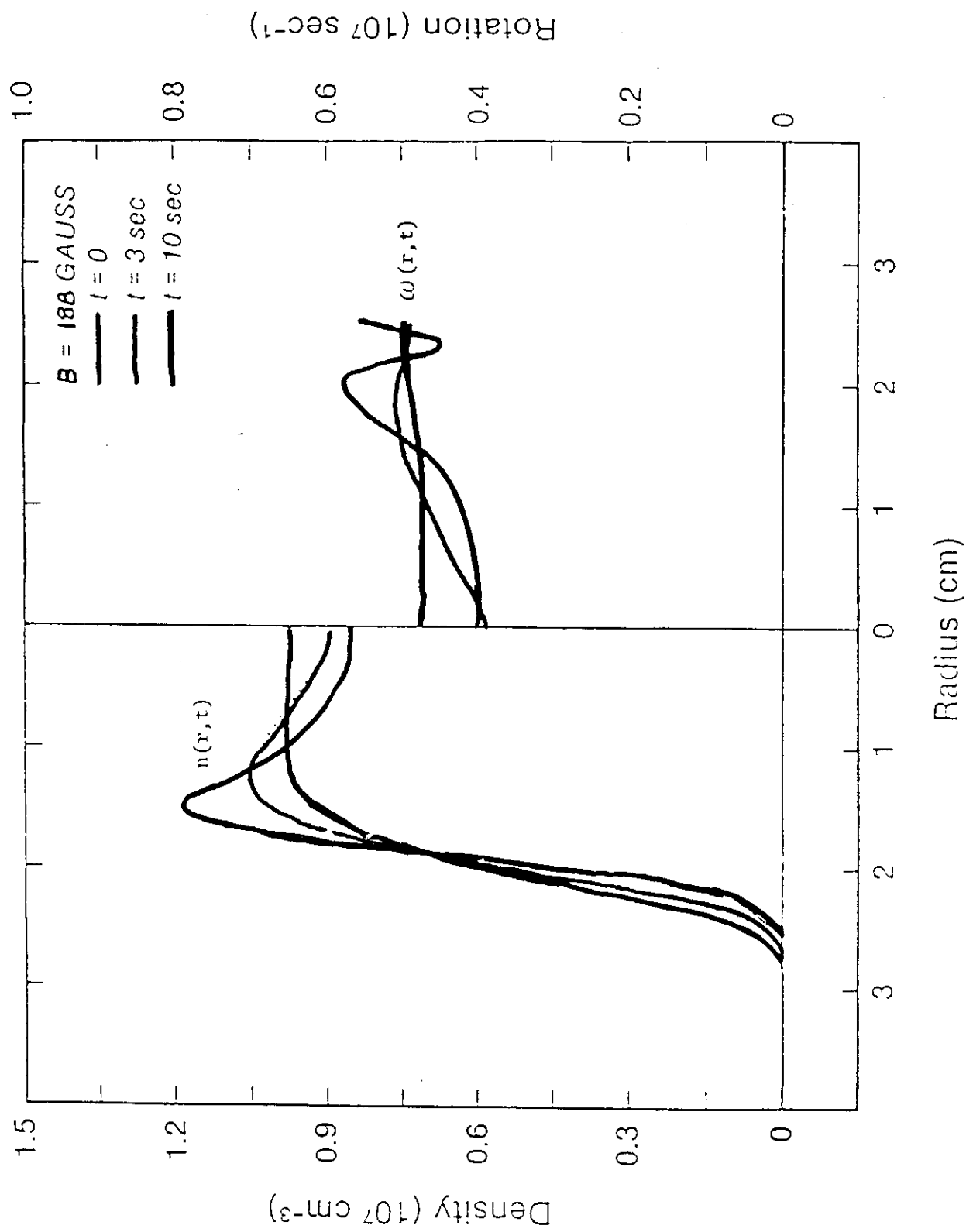
$$n(r, z) = \frac{N \exp \left\{ -\frac{1}{T} [e\Phi_p(r, z) + e\Phi_R(r, z)] \right\}}{\int d^3r \exp \left\{ \right\}}$$

where

$$e\Phi_R(r, z) = e\Phi_T(r, z) + m\omega(\Omega_c - \omega) \frac{r^2}{2}$$

↑ effective trap potential in the rotating frame

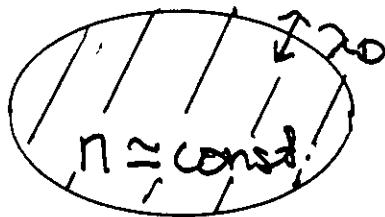
- shear-free (rigid rotor) flow
- plasma is confined for sufficiently large V and B



Self-consistent density + potential

$$\nabla^2 \Phi_p(r, z) = -4\pi e n(r, z)$$

$$\Phi_p(r=R, z) = 0 \quad \text{on wall}$$



- Debye shielding (in rotating frame) forces

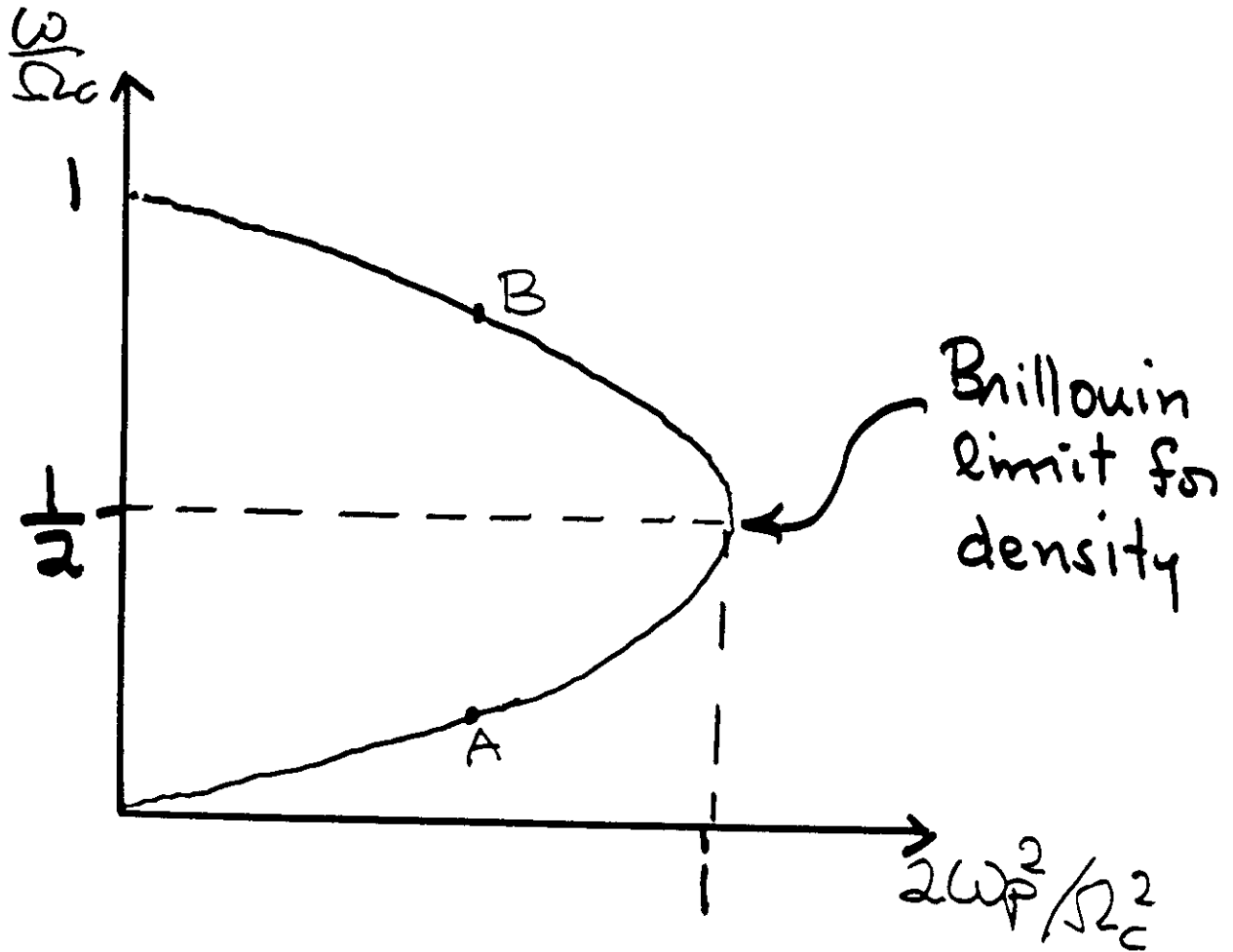
$$\Phi_p(r, z) + \Phi_R(r, z) \approx \text{const.} \quad \left. \begin{array}{l} \text{inside} \\ \text{plasma} \end{array} \right\}$$
$$\therefore n(r, z) \approx \text{const.}$$

- Imaginary neutralizing charge

$$-4\pi e^2 n_- = \nabla^2 e \Phi_R = \underbrace{\nabla^2 e \Phi_T}_{=0} + \underbrace{\nabla^2 m \omega (R - \omega) \frac{r^2}{2}}_{2m\omega(R-\omega)}$$

$$n \simeq n_-$$

$$\omega_p^2 = 2\omega(\Omega_c - \omega)$$



- $\Phi_R(r, z; \omega = \omega_A) = \Phi_R(r, z; \omega = \omega_B)$
- vortex frequency : $\Omega_v = \Omega_c - 2\omega$
 $\Omega_v^{(A)} = -\Omega_v^{(B)}$

Small plasma in quadratic trap potential

$$e\phi_T(r, z) = C + \frac{m\omega_z^2}{2}(z^2 - \frac{r^2}{2}) + -$$

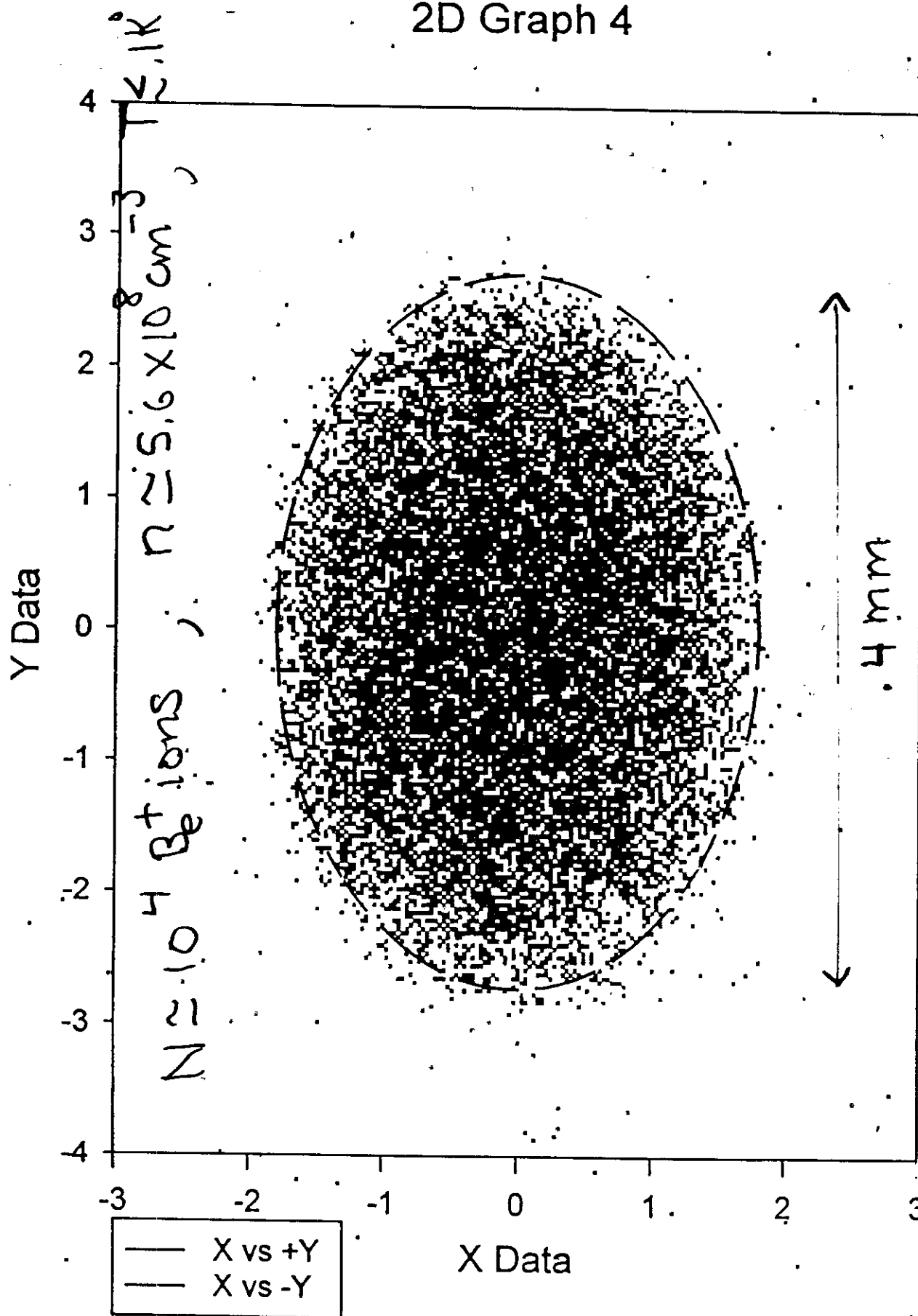
$$e\phi_R(r, z) = e\phi_T(r, z) + m\omega(R_c - \omega)\frac{r^2}{2}$$

also is quadratic.

$\phi_p(r, z) + \phi_R(r, z) = \text{const.}$ inside plasma implies that $\phi_p(r, z)$ is quadratic inside plasma.

$\therefore$ Plasma is uniform density spheroid (ellipse of revolution)

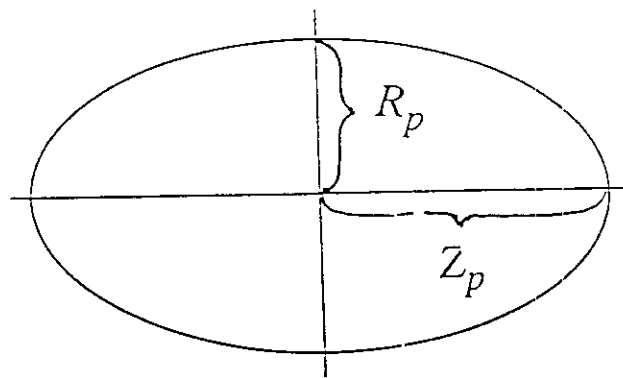
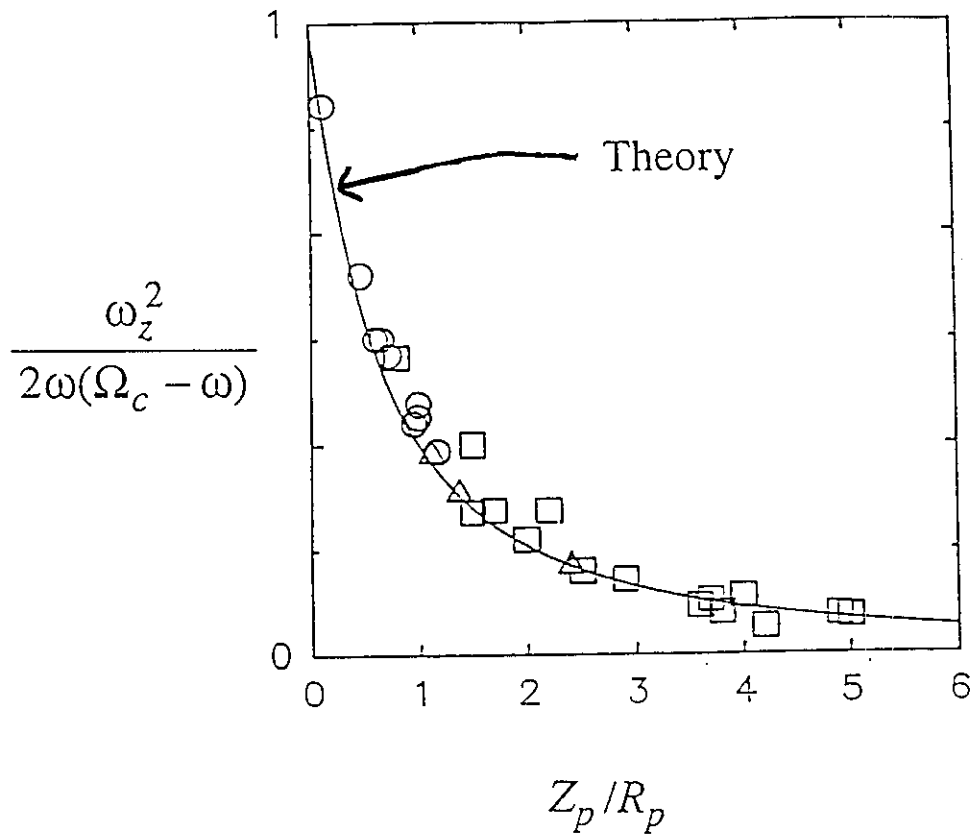
2D Graph 4



Tan, Bollinger, Wineland (NIST)

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$$e\phi_T = \frac{m\omega_z^2}{2} \left(z^2 - \frac{r^2}{2} \right)$$

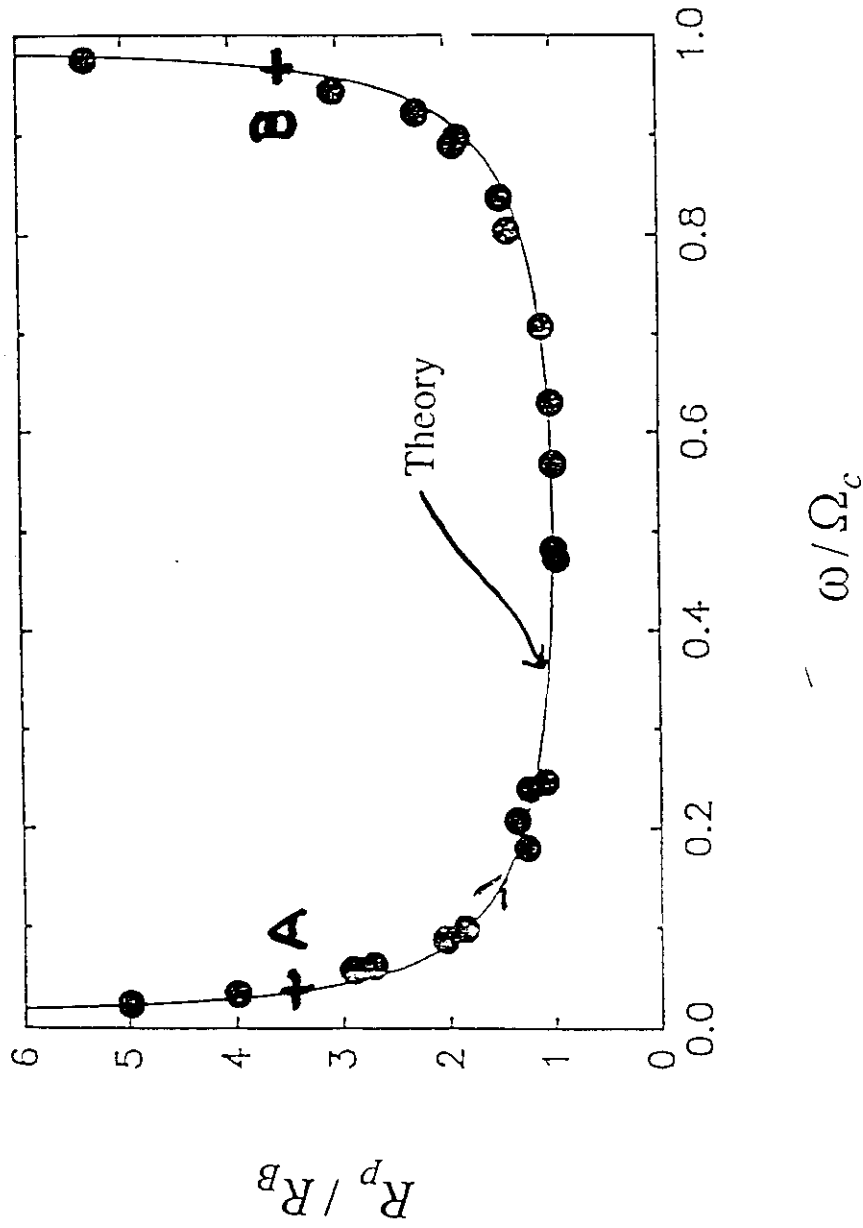


Brewer, Prestage, Bollinger, Itano, Larson and Wineland, Phys. Rev. A 38, 859 (1988).

6998

$$e\phi_R = e\phi_T + m\omega(\Omega_c - \omega) \frac{r^2}{2} = e\phi_T + m \left[\left(\frac{\Omega_c}{2} \right)^2 - \left(\frac{\Omega_c}{2} - \omega \right)^2 \right] \frac{r^2}{2}$$

$(\Omega_c)_R = \Omega_c - 2\omega$ ← due to Coriolis force



Bollinger, Heinzen, Moore, Itano, Wineland and Dubin, Phys. Rev. A **48**, 525 (1993).

Modes for a small spheroidal plasma

$$\delta\phi(x, y, z, t) = \delta\phi(x, y, z) e^{-i\omega t}$$

↑
mode freq

$$\nabla \cdot \underline{\underline{\epsilon}}(\underline{r}, \omega) \cdot \nabla \delta\phi = 0$$

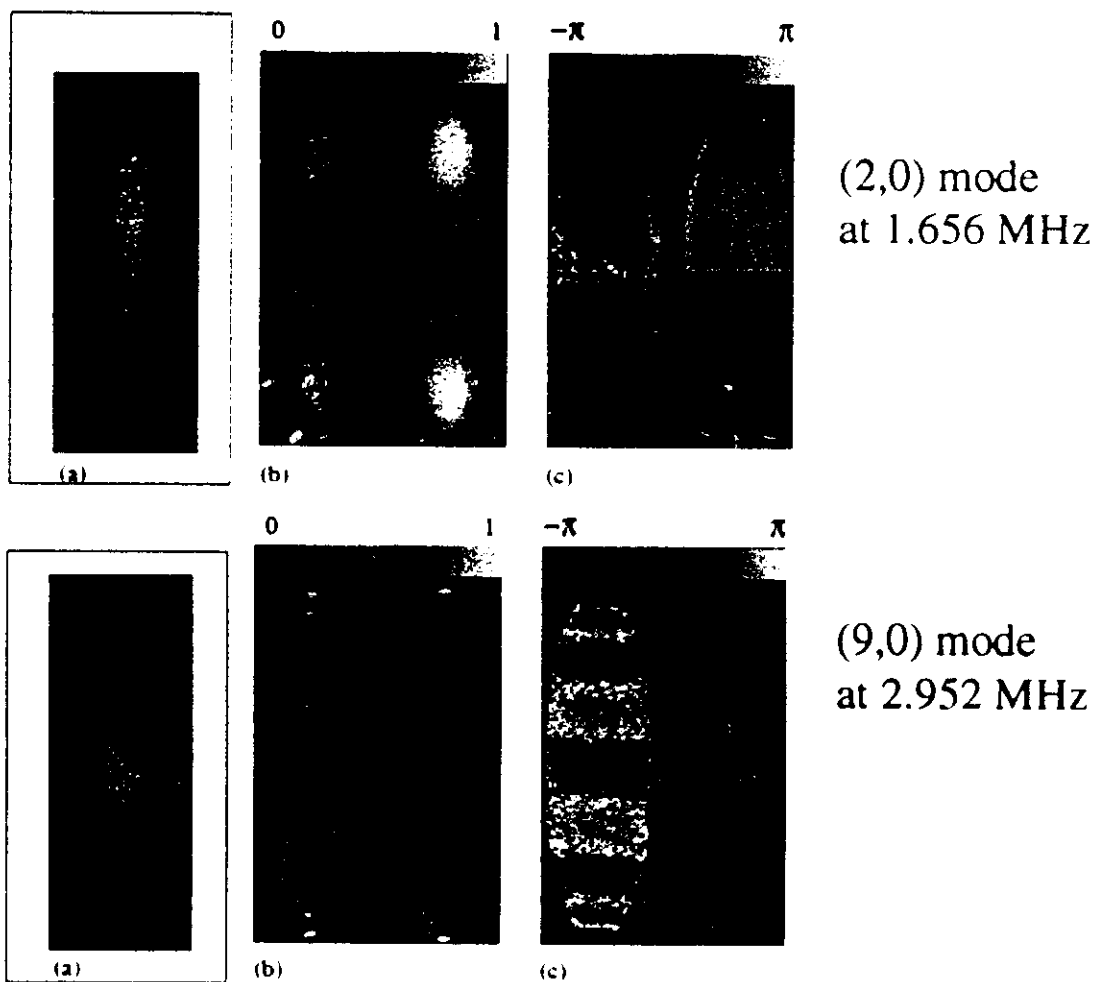
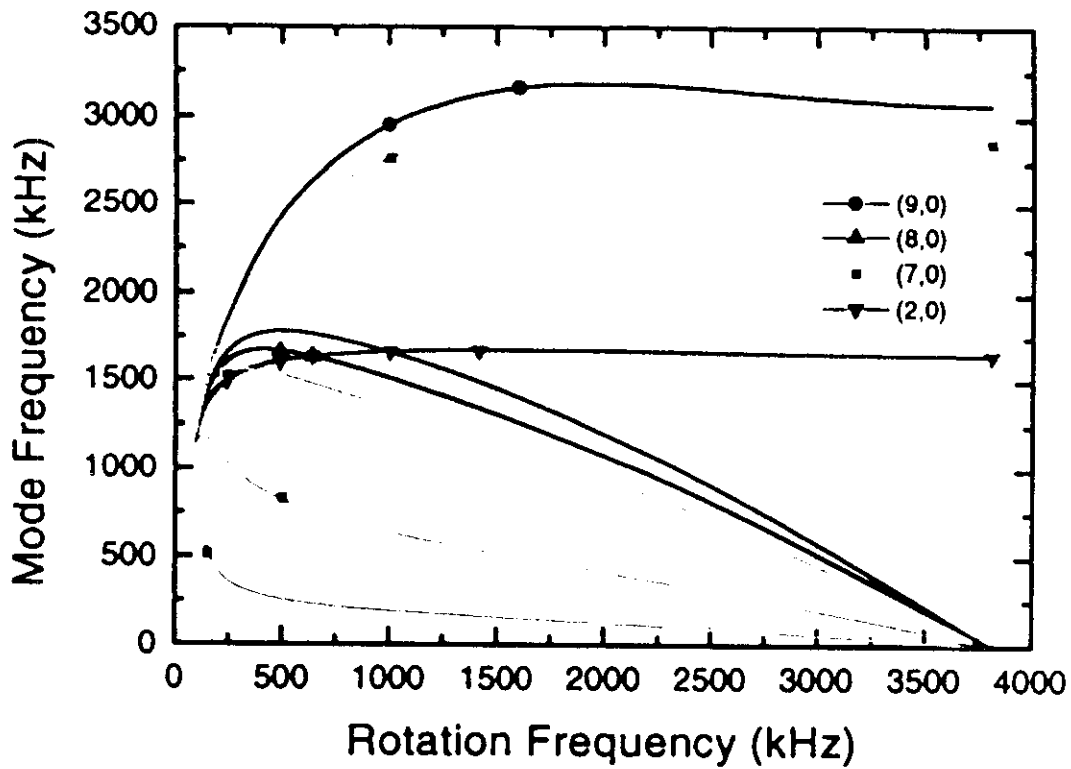
$$\delta\phi = 0 \quad \text{on wall (at } \underline{r} \rightarrow \infty \text{)}$$

$$\underline{\underline{\epsilon}} = \begin{bmatrix} \epsilon_1 & -i\epsilon_2 & 0 \\ i\epsilon_2 & \epsilon_1 & 0 \\ 0 & 0 & \epsilon_3 \end{bmatrix}$$

$$\epsilon_1 = 1 - \frac{\omega_p^2(\underline{r})}{(\omega - \omega_R)^2}, \quad \epsilon_2 = \frac{\Omega_c \omega_p^2(\underline{r})}{\omega(\omega^2 - \omega_R^2)}$$

$$\epsilon_3 = 1 - \omega_p^2 / \omega^2 \quad \text{rotation freq.}^{\circ}$$

Dubin found exact sol'n using
spheroidal coordinates [Phys. Rev.
Lett, 66 2076 (1991)]



Plasmas with a single sign of charge can be cooled to the cryogenic temperature range without the occurrence of recombination.

Correlation strength

$$\Gamma = \frac{e^2/a}{T} \quad , \quad \frac{4}{3} \pi a^3 n = 1$$

For an infinite homogeneous one component plasma (OCP):

$\Gamma \ll 1$ weakly correlated plasma

$\Gamma \gtrsim 2$ fluid

$\Gamma > 174$ b.c.c. crystal

To describe correlated plasma we need the Gibbs distribution:

$$\mathcal{P} = C \exp \left[-\frac{1}{T} (H + \omega P_\theta) \right]$$

The Gibbs distribution for a magnetically confined plasma differs only by rotation from the Gibbs distribution for a plasma that is confined by a cylinder of uniform neutralizing background charge (i.e., an OCP).

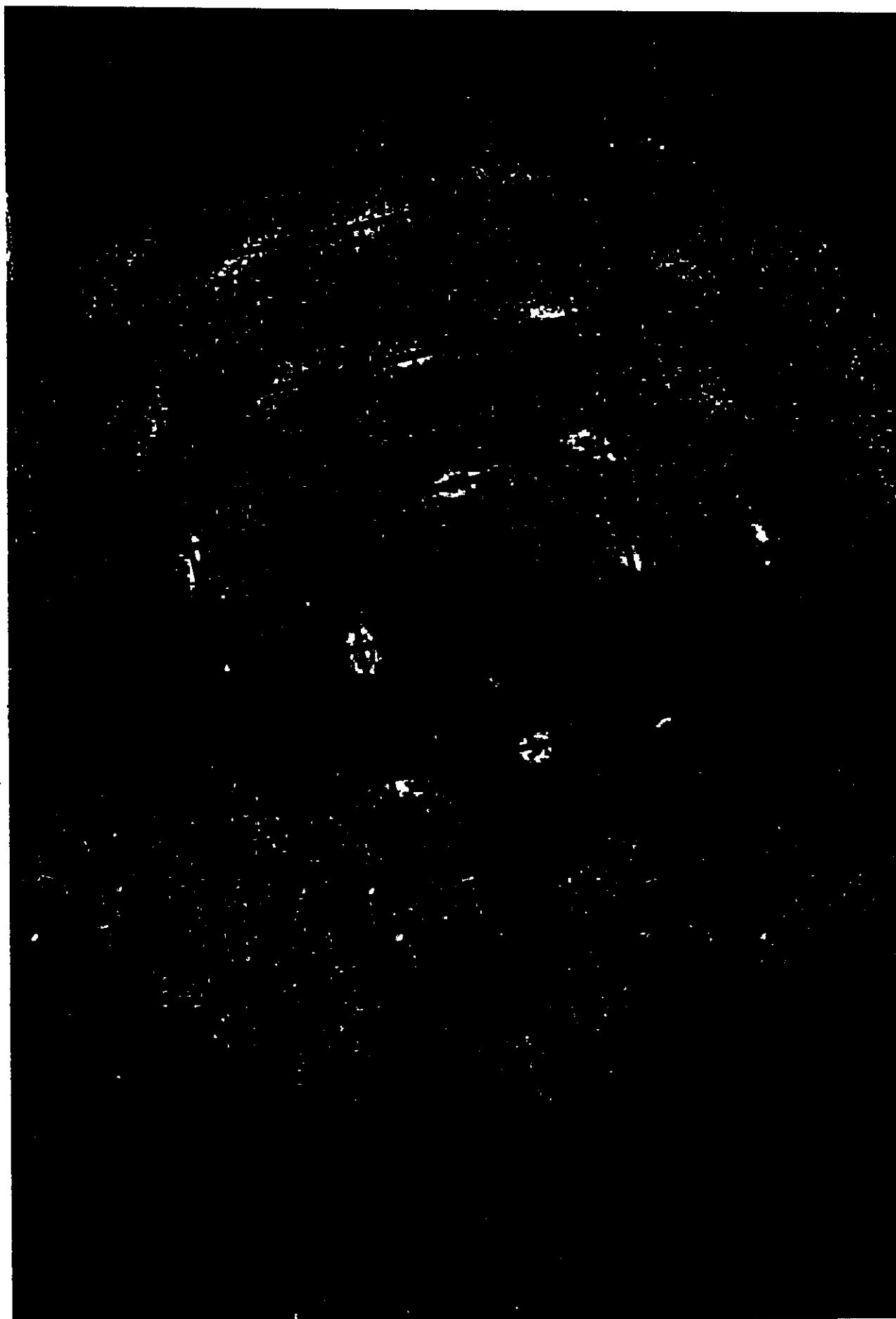
∴ For sufficiently large plasma, can use previous results obtained for infinite homogenous OCP.

Bragg scattering from $N \approx 5 \times 10^5$ ^{136}Xe ions



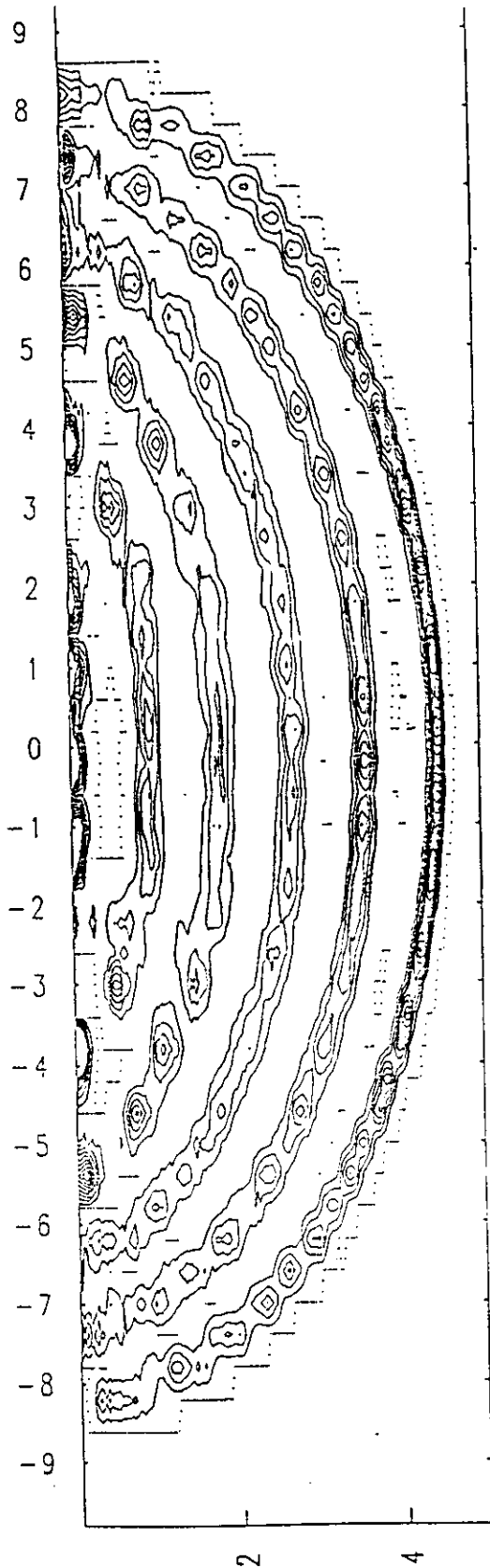
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Tan, Bollinger, Jelenkovic, and Wineland (NIST)



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Ray Bollinger, Jelenkovic, Itano, and Wineland (N)



Density contours

$\Gamma = 120$, $N = 1028$

2163

Dubin and O'Neil

