

SMR 1216 - 7

Joint INFM - the Abdus Salam ICTP School on
"Magnetic Properties of Condensed Matter Investigated by Neutron
Scattering and Synchrotron Radiation Techniques"

1 - 11 February 2000

THE THEORY OF MAGNETIC X-RAY SCATTERING

Martin BLUME
Brookhaven National Laboratory
Upton, New York, 11973, U.S.A.

These are preliminary lecture notes, intended only for distribution to participants.

THE THEORY OF MAGNETIC X-RAY SCATTERING

M. Blume

The American Physical Society

and

Brookhaven National Laboratory

Introduction - neutrons
and x-rays.

General Theory of x-ray scattering

Big Formula for all phenomena

Bragg scattering (charge and
magnetic)

Correlation functions

Resonant scattering.

Synchrotron RadiationNeutronsEnergy

$$h\nu = hc/\lambda$$

$$p^2/2m_0 = h^2/2m_0\lambda^2$$

$$= 1.44 \times 10^8 (\text{K})/\lambda(\text{\AA})$$

$$= 0.95 \times 10^3 (\text{K})/\lambda^2(\text{\AA}^2)$$

 kT/E

$$= 1.04 \times 10^{-6}$$

$$= 0.237$$

$$(\underline{T \approx 100\text{K}, \lambda = 1.5\text{\AA}})$$

Interactions

Electromagnetic

Nuclear, Magnetic

Cross section/atom 10^{-6} - 500 barns ≈ 1 barnNeutron Magnetic Moment

$$= -1.91 \text{ eh}/4\pi m_0 c$$

MAGNETIC PROPERTIES

- Magnetic Structure
- Magnetization Density (orbital and spin)
- Temperature Dependence of Sublattice Magnetization
-
- Magnetic Excitations (crystal fields and spin-waves)
- Magnetic Short Range Order and Critical Scattering (elastic and inelastic)
- Excited and Filled Magnetic Electronic States
- Momentum Density of Magnetic Electrons
- Magnetic Domain Structure

Polarization effects are especially important in magnetic scattering

Magnetic moments are vector quantities

TECHNIQUES FOR THE STUDY OF MAGNETISM

Synchrotron Radiation

Magnetic X-ray Scattering

Resonant Magnetic Scattering

Reflectometry

Magnetic Circular and Linear Dichroism

Faraday Effect

Spin Polarized Photoemission

Magnetic Compton Scattering

Neutrons

Magnetic Neutron Scattering (elastic and inelastic)

Magnetic Diffuse Scattering

Neutron Reflectometry

Polarization Dependent Transmission

"In the 1920's we used to joke that good physicists, once passed to their heavenly rewards, would find apparatus in paradise which, with a twist of some knobs, would give electromagnetic radiation of any desired frequency, intensity, polarization, and direction of propagation."

E. Segrè - "From X-Rays to Quarks"

MAGNETIC X-RAY SCATTERING

BRAGG SCATTERING

X-RAY SCATTERING MEASURES THE FOURIER TRANSFORM OF

$$\rho(r) = \sum_i \delta(r - r_i),$$

the electronic charge density.

NEUTRON SCATTERING MEASURES THE FOURIER TRANSFORM OF

$$S(r) = \sum_i \mathbf{s}_i \delta(r - r_i),$$

the electronic spin magnetization density,
as well as $L(r)$, the orbital magnetization density.

There are smaller terms in the x-ray cross section that depend on $S(r)$ and $L(r)$.

MAGNETIC X-RAY SCATTERING

GELL-MANN and GOLDBERGER; LOW

PLATZMAN and TZOAR

deBERGEVIN and BRUNEL

KRIPLOVICH and ZHIZHIMOV

GIBBS, D'AMICO, MONCTON, BOHR

MB; GIBBS and MB; HANNON, TRAMMELL, MB, GIBBS

NAMIKAWA, ANDO

MCWHAN, VETTIER, ISAACS, HARSHMAN, MILLS

DURBIN

....

SPIN DEPENDENT COMPTON SCATTERING (CIRCULAR POLARIZATION)

GOLDHABER

SAKAI and ONO

COOPER

MILLS

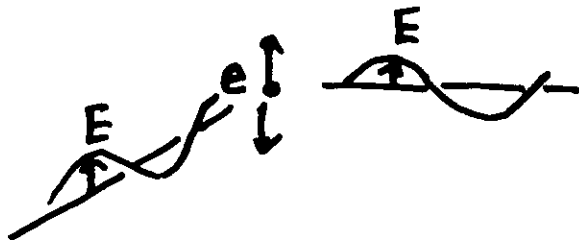
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ABSORPTION

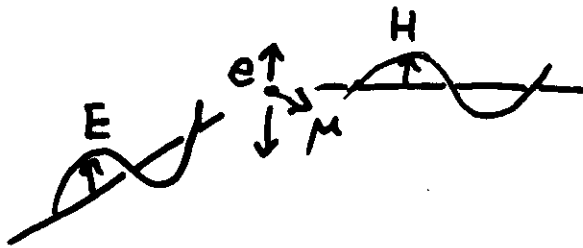
STERN

SCHÜTZ

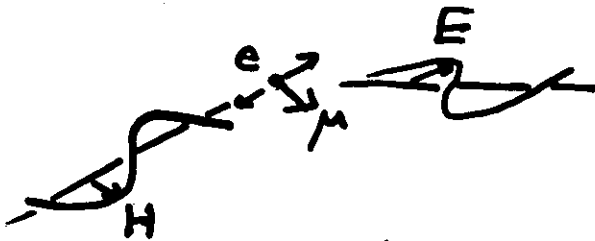
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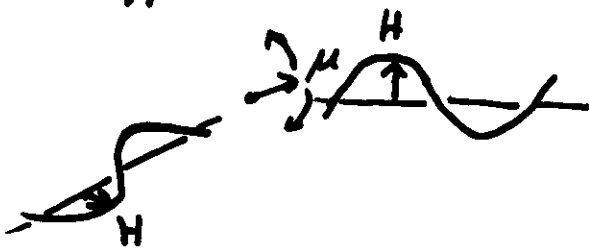
Electric Dipole -
Electric Dipole
(Charge Scattering)



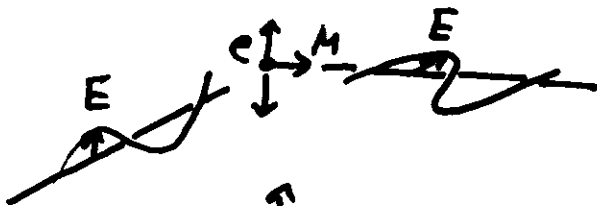
Electric Dipole -
Magnetic Quadrupole



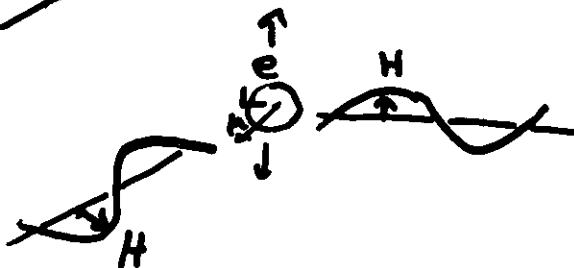
Magnetic Quadrupole -
Electric Dipole



Magnetic Dipole -
Magnetic Dipole



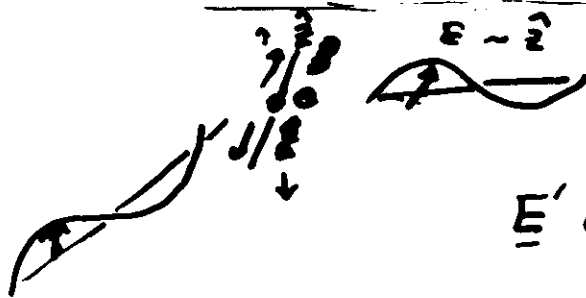
Electric Dipole -
Electric Dipole
(Moving magnetic dipole)



Lorentz Force
(Moving Mag. dipole -
orbital scattering)

(After de Bergerin and Brune)

Bound Electrons



electric dipole
- electric
dipole.

E' along $\hat{z}$

$$E' \sim \hat{z} \frac{e \cdot \hat{z} \cdot r - \omega' t}{\omega - \omega_r + i \frac{\Gamma}{2}} (\hat{z} \cdot \hat{z})$$

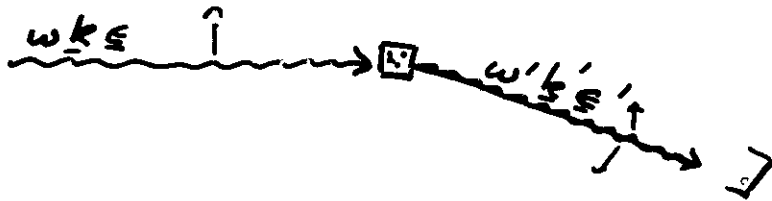
$$\rightarrow (\hat{z} \cdot \hat{z}) (\hat{z} \cdot \hat{z})$$

Interactions of X-Rays with Matter

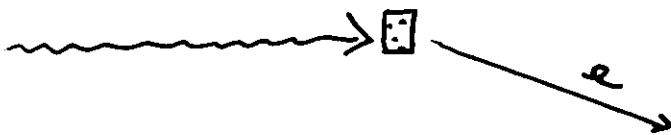
Absorption



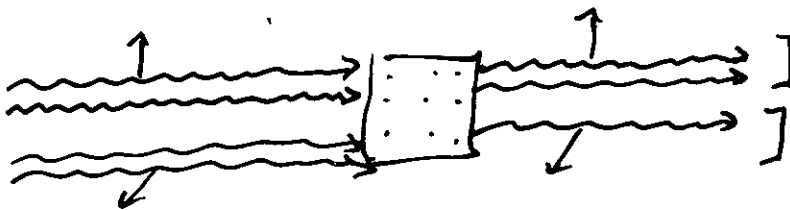
Scattering (Fluorescence)



Photoeffect



Dichroism:



Faraday; Kerr; etc.



We use the Golden Rule to calculate the scattering cross-sections:

The transition probability per unit time is

$$W = \frac{2\pi}{\hbar} \left| \langle \underline{k}'\lambda'; b | \mathcal{H}' | \underline{k}\lambda; a \rangle + \sum_n \frac{\langle \underline{k}'\lambda'; b | \mathcal{H}' | n \rangle \langle n | \mathcal{H}' | \underline{k}\lambda; a \rangle}{E_a + \hbar\omega_k - E_n} \right|^2 \times \delta(E_b - E_a + \hbar\omega' - \hbar\omega).$$

$\mathcal{H}'$ is the interaction between the photons and the electrons in the scatterer.

References:

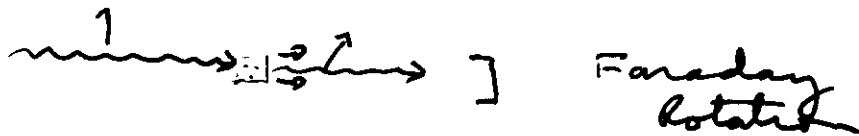
- M Blume, Magnetic Scattering of X-Rays, J. Appl. Phys. 57, 3615 (1985)
- M. Blume, Magnetic Effects in Anomalous Dispersion, in "Resonant Anomalous X-Ray Scattering," G Matulic, C.J Sparks, and K Frithor, eds, Elsevier (1994) p. 495.

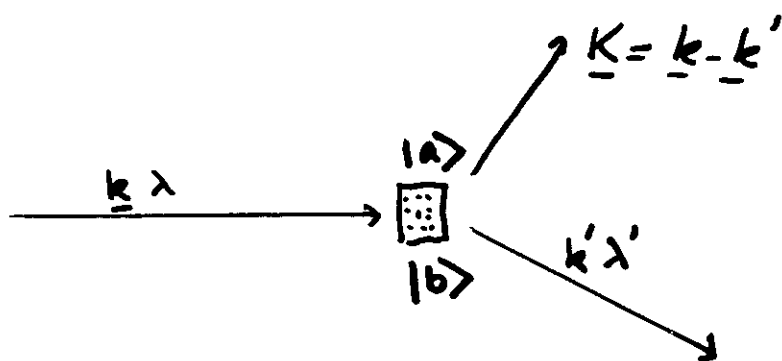
Index of Refraction

$$n = 1 + \frac{2\pi}{k^2} \frac{1}{V} A(k_0 \lambda_1, k_0 \lambda_0)$$

↑
"Forward" scattering

Imaginary part gives absorption;
real part gives rotation of polarization,
etc.





Electrons in Condensed Matter:

$$\mathcal{H}_0 = \frac{1}{2m} \sum_j \underline{p}_j^2 + \sum_{i \neq j} \varphi(\underline{r}_i, \underline{r}_j) - \frac{e\hbar}{2(mc)^2} \sum_j (\underline{E}(\underline{r}_j) \times \underline{p}_j) \cdot \underline{S}_j$$

$$\underline{E}(\underline{r}_j) = -\nabla_j \varphi.$$

Introducing the Vector Potential $\underline{A}$ of the Electromagnetic Field:

$$\mathcal{H}_0 \rightarrow \mathcal{H}$$

$$= \frac{1}{2m} \sum_j \left(\underline{p}_j - \frac{e}{c} \underline{A}(\underline{r}_j) \right)^2 + \sum_{i \neq j} \varphi(\underline{r}_i, \underline{r}_j) - \frac{e\hbar}{4mc} \sum_j \underline{S}_j \cdot \nabla \times \underline{A}(\underline{r}_j) \\ - \frac{e\hbar}{2(mc)^2} \sum_j (\underline{E}(\underline{r}_j) \times (\underline{p}_j - \frac{e}{c} \underline{A}(\underline{r}_j))) \cdot \underline{S}_j$$

$$+ \sum_{\underline{q}, \lambda} c^\dagger(\underline{q}, \lambda) c(\underline{q}, \lambda) \cdot \hbar \omega_{\underline{q}}$$

$$\underline{E}(\underline{r}_j) = -\nabla_j \varphi - \frac{1}{c} \dot{\underline{A}}(\underline{r}_j) \leftarrow$$

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_R + \mathcal{H}'$$

$$\mathcal{H}_0 = \frac{1}{2m} \sum_i \mathbf{p}_i^2 + \sum_{i \neq j} \varphi(\mathbf{r}_i, \mathbf{r}_j) - \frac{e\hbar}{2(mc^2)^2} \sum_i (-\nabla \varphi_i \times \mathbf{p}_i)$$

$$\mathcal{H}_R = \sum_{\mathbf{q}, \lambda} \hbar \omega_{\mathbf{q}} (c^\dagger(\mathbf{q}, \lambda) c(\mathbf{q}, \lambda))$$

$$\begin{aligned} \mathcal{H}' = & \frac{e^2}{2mc^2} \sum_i A^2(\mathbf{r}_i) - \frac{e}{mc} \sum_i \mathbf{A}(\mathbf{r}_i) \cdot \mathbf{p}_i \\ & - \frac{e\hbar}{mc} \sum_i \mathbf{s}_i \cdot (\nabla_i \times \mathbf{A}(\mathbf{r}_i)) \\ & - \frac{e^2}{2mc^2} \frac{\hbar}{mc^2} \sum_i \mathbf{s}_i \cdot (\dot{\mathbf{A}}(\mathbf{r}_i) \times \mathbf{A}(\mathbf{r}_i)) \end{aligned}$$

$$\mathbf{A}(\mathbf{r}_i) = \sum_{\mathbf{q}, \lambda} \left(\frac{2\pi\hbar c^2}{V\omega_{\mathbf{q}}} \right)^{1/2} \left(\epsilon_{\mathbf{q}, \lambda} c_{\mathbf{q}, \lambda} e^{i\mathbf{q} \cdot \mathbf{r}_i} + \epsilon_{\mathbf{q}, \lambda}^* c_{\mathbf{q}, \lambda}^\dagger e^{-i\mathbf{q} \cdot \mathbf{r}_i} \right)$$

$$\frac{d^2\sigma}{d\Omega dE} = W(k\lambda \rightarrow k'\lambda') \times \rho(E_f)$$

← density of states

$$\begin{aligned}
\frac{d^2\sigma}{d\Omega d\omega_1} &= \left(\frac{e^2}{mc^2}\right)^2 \frac{\omega_1}{\omega_0} \left\{ \epsilon_1^{\alpha*} \epsilon_0^\beta \right\} \langle b | \sum_j e^{i\mathbf{K} \cdot \mathbf{r}_j} | a \rangle \delta^{\alpha\beta} \\
&\quad - i \frac{\hbar \bar{\omega}}{mc^2} \langle b | \sum_j e^{i\mathbf{K} \cdot \mathbf{r}_j} s_j^x | a \rangle \epsilon^{\alpha\beta x} \\
&\quad + \frac{1}{m} \sum_c \frac{\langle b | O^{\alpha\dagger}(\mathbf{k}_0) | c \rangle \langle c | O^\beta(\mathbf{k}_0) | a \rangle}{E_a - E_c + \hbar\omega_0 + i \frac{\hbar\Gamma_c}{2}} \\
&\quad + \frac{1}{m} \sum_c \left| \frac{\langle b | O^\beta(\mathbf{k}_0) | c \rangle \langle c | O^{\alpha\dagger}(\mathbf{k}_1) | a \rangle}{E_b - E_c - \hbar\omega_0 + i \frac{\hbar\Gamma}{2}} \right|^2 \\
&\quad \times \delta\left(\frac{E_b - E_a}{\hbar} + \omega_1 - \omega_0\right) \quad \bar{\omega} = \frac{\omega_1 + \omega_0}{2}
\end{aligned}$$

$$\begin{aligned}
O^\beta(\mathbf{k}_0) &= \sum_i e^{i\mathbf{k}_0 \cdot \mathbf{r}_i} (p_i^\beta + i(\mathbf{k}_0 \times \mathbf{r}_i)^\beta) \\
&\equiv \frac{m}{e} \mathbf{j}^\beta(\mathbf{k}_0) \quad [\text{current density}]
\end{aligned}$$

$$\sum_j e^{i\mathbf{K} \cdot \mathbf{r}_j} \equiv \frac{1}{e} \rho(\mathbf{K}) \quad [\text{charge density}]$$

$$\sum_j e^{i\mathbf{K} \cdot \mathbf{r}_j} \mathbf{s}_j \equiv \frac{mc}{e} \mathbf{M}_s(\mathbf{K}) \quad [\text{spin magnetization density}]$$

Using the Golden Rule, we obtain for the amplitude of scattering

$$\begin{aligned}
 & - \frac{e^2}{mc^2} \epsilon_{\lambda'}^{\alpha*} \epsilon_{\lambda}^{\beta} \left\{ \langle b | \sum_i e^{i\mathbf{k} \cdot \mathbf{r}_i} | a \rangle \right. \\
 & \quad - i \epsilon^{\alpha\beta\gamma} \frac{\hbar\omega}{mc^2} \langle b | \sum_i s_i^{\gamma} e^{i\mathbf{k} \cdot \mathbf{r}_i} | a \rangle \\
 & \quad + \frac{1}{m} \sum_c \frac{\langle b | O^{\alpha\dagger}(\mathbf{k}') | c \rangle \langle c | O^{\beta}(\mathbf{k}) | a \rangle}{E_a - E_c + \hbar\omega - i\frac{\Gamma}{2}} \\
 & \quad \left. + \frac{1}{m} \sum_c \frac{\langle b | O^{\beta}(\mathbf{k}) | c \rangle \langle c | O^{\alpha\dagger}(\mathbf{k}') | a \rangle}{E_a - E_c - \hbar\omega} \right\}
 \end{aligned}$$

$$O^{\beta}(\mathbf{k}) = \sum_i e^{i\mathbf{k} \cdot \mathbf{r}_i} (p_i^{\beta} - i\hbar(\mathbf{k} \times \mathbf{s}_i)^{\beta})$$

$$\begin{aligned}
 \frac{1}{E_a - E_c + \hbar\omega} &= \left(\frac{1}{E_a - E_c + \hbar\omega} - \frac{1}{\hbar\omega} \right) + \frac{1}{\hbar\omega} \\
 &= - \left(\frac{E_a - E_c}{\hbar\omega} \cdot \frac{1}{E_a - E_c + \hbar\omega} \right) + \frac{1}{\hbar\omega}
 \end{aligned}$$

Combining these terms gives the magic expression for x-ray scattering:

$$\begin{aligned}
 \frac{d^2\sigma}{d\Omega'dE'} = & \left(\frac{e^2}{mc^2}\right)^2 \frac{1}{k} \left| \epsilon^{\alpha\mu} \epsilon^\beta \left\{ \langle b | \sum_j e^{i\mathbf{K}\cdot\mathbf{r}_j} | a \rangle \delta^{\alpha\beta} \right. \right. \\
 & - i \frac{\hbar\omega_0}{mc^2} \langle b | \sum_j e^{i\mathbf{K}\cdot\mathbf{r}_j} \left(-i \frac{(\mathbf{K} \times \mathbf{p}_j)^T}{K^2} A^{\alpha\beta T} + \mathbf{s}_j^T B^{\alpha\beta T} \right) | a \rangle \\
 & + \frac{1}{m} \sum_c \left(\left(\frac{E_c - E_a}{\hbar\omega_0} \right) \frac{\langle b | O^\alpha(\mathbf{k}') | c \rangle \langle c | O^\beta(\mathbf{k}) | a \rangle}{E_a - E_c + \hbar\omega_0 + i\Gamma/2} \right. \\
 & \left. \left. + \left(\frac{E_b - E_c}{\hbar\omega_0} \right) \frac{\langle b | O^\beta(\mathbf{k}) | c \rangle \langle c | O^\alpha(\mathbf{k}') | a \rangle}{E_b - E_c - \hbar\omega_0 + i\Gamma/2} \right) \right|^2 \\
 & \times \delta(E_b - E_a + \hbar\omega_0' - \hbar\omega_0)
 \end{aligned}$$

$$O^\beta(\mathbf{k}) = \sum_j e^{i\mathbf{k}\cdot\mathbf{r}_j} (p_j^\beta + i\hbar(\mathbf{k} \times \mathbf{s}_j)^\beta)$$

$A^{\alpha\beta T}, B^{\alpha\beta T}$ are polarization factors.

Bragg, Thermal Diffuse, Compton, Thompson
(phonons)

Orbital magnetic, spin magnetic, spin-dependent
Compton

Anomalous Dispersion, Resonant Magnetic
(resonant exchange)

Raman, Resonant Raman, Rayleigh

+ "Index of refraction" for elastic
scattering

$$n_{\lambda} = \delta_{\lambda} + \frac{2\pi}{k^2} \frac{1}{V} A(\mathbf{k}, \lambda)$$

A "Dynamical"
Scattering
effect!

(gives absorption, dichroism, Faraday
rotation, optical activity, Kerr effect,
Cotton-Mouton effect, etc., all as a fn.
of initial polarization)

$$n = n' + in''$$

Example: dichroism

$$D = \frac{e^{-n''_+ kz} - e^{-n''_- kz}}{e^{-n''_+ kz} + e^{-n''_- kz}}$$

Magnetic Bragg Scattering

X-Ray

$$\frac{d\sigma}{d\Omega} = \left(\frac{e^2}{mc^2}\right)^2 \left| \langle a | \sum_j e^{i\mathbf{K} \cdot \mathbf{r}_j} | a \rangle \mathbf{E}_{\lambda_1}^* \cdot \mathbf{E}_{\lambda_0} \right. \xleftarrow{\text{charge scattering}} \\ \left. - i \frac{\hbar \omega_0}{mc^2} \langle a | \sum_j e^{i\mathbf{K} \cdot \mathbf{r}_j} \left(-i \frac{\mathbf{K} \times \mathbf{p}_j}{K^2} \cdot \mathbf{A}_{\lambda_0} + \mathbf{S}_j \cdot \mathbf{B}_{\lambda_0} \right) | a \rangle \right. \xleftarrow{\text{orbital mag.}} \xleftarrow{\text{spin mag.}} \\ \left. + \frac{1}{m} \sum_c \left(\frac{E_c - E_a}{\hbar \omega_0} \right) \frac{\langle a | \sum_i e^{-i\mathbf{k}_i \cdot \mathbf{r}_i} \mathbf{p}_i \cdot \mathbf{E}_{\lambda_1}^* | c \rangle \langle c | \sum_j e^{i\mathbf{k}_0 \cdot \mathbf{r}_j} (\mathbf{p}_j \cdot \mathbf{E}_{\lambda_0}) | a \rangle}{E_a - E_c + \hbar \omega_0 - i \frac{\Gamma}{2}} \right|^2$$

resonance: anomalous dispersion and magnetic.

$$\mathbf{A}_{\lambda_1, \lambda_0} = - \frac{K^2}{k_0^2} \mathbf{E}_{\lambda_1}^* \times \mathbf{E}_{\lambda_0};$$

$$\mathbf{B}_{\lambda_1, \lambda_0} = \mathbf{E}_{\lambda_1}^* \times \mathbf{E}_{\lambda_0} + (\hat{\mathbf{k}}_1 \times \mathbf{E}_{\lambda_1}^*) (\hat{\mathbf{k}}_1 \cdot \mathbf{E}_{\lambda_0}) - (\hat{\mathbf{k}}_0 \times \mathbf{E}_{\lambda_0}) \hat{\mathbf{k}}_0 \cdot \mathbf{E}_{\lambda_1}^* \\ - (\hat{\mathbf{k}}_1 \times \mathbf{E}_{\lambda_1}^*) \times (\hat{\mathbf{k}}_0 \times \mathbf{E}_{\lambda_0})$$

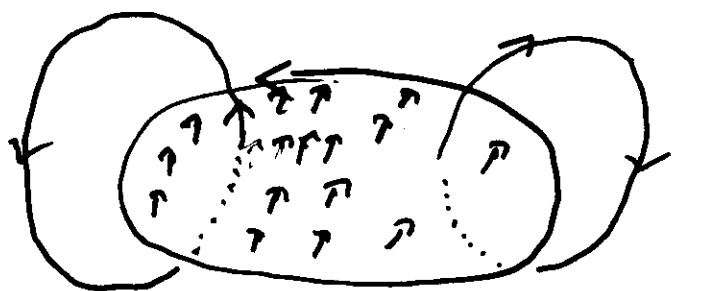
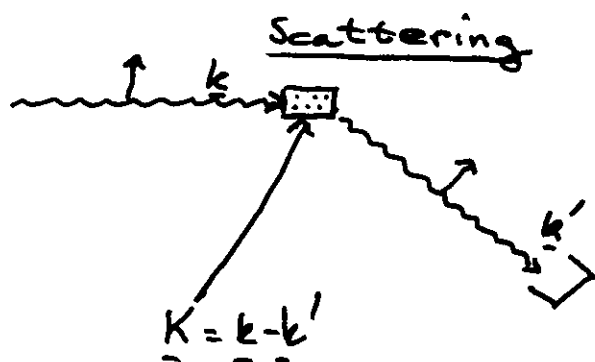
Neutrons:

$$\frac{d\sigma}{d\Omega} = \left(\frac{\hbar^2 e^2}{mc^2}\right)^2 \left| \langle a, \lambda_1 | \sum_j e^{i\mathbf{K} \cdot \mathbf{r}_j} \left(-i \frac{\mathbf{K} \times \mathbf{p}_j}{K^2} + \mathbf{S}_j \right) \hat{\mathbf{k}}_0 \cdot \hat{\mathbf{k}}_1 | a, \lambda_0 \rangle \right|^2$$

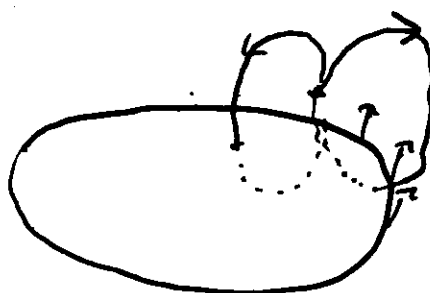
$\nwarrow$ orbit $\nwarrow$ spin

$\mathbf{S}_j$ = neutron spin

Note! Same polarization factor multiplies orbit and spin for neutrons, but not for x-ray

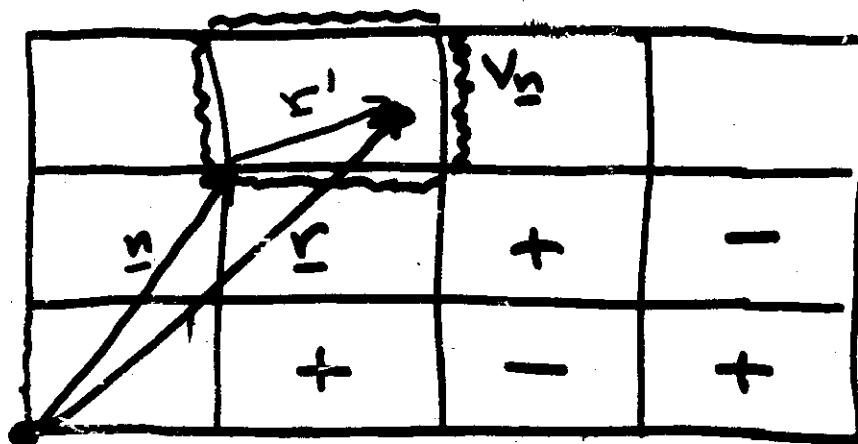


Orbital Magnetization
Density



Spin Magnetization
Density

Bragg Scattering



$$\langle g(\underline{r} + \underline{n}) \rangle = \langle g(\underline{r}) \rangle$$

$$\int e^{i\mathbf{K} \cdot \underline{r}} \langle g(\underline{r}) \rangle d\underline{r} = \sum_{\underline{n}} \int_{V_n} e^{i\mathbf{K} \cdot \underline{r}} \langle g(\underline{r}) \rangle d\underline{r}$$

$$= \sum_{\underline{n}} \int_{V_n} e^{i\mathbf{K} \cdot \underline{n}} e^{i\mathbf{K} \cdot \underline{r}'} \langle g(\underline{r}') \rangle d\underline{r}'$$

$$= \sum_{\underline{n}} e^{i\mathbf{K} \cdot \underline{n}} F(\mathbf{K})$$

$$[F(\mathbf{K}) = \int_{V_0} e^{i\mathbf{K} \cdot \underline{r}'} \langle g(\underline{r}') \rangle d\underline{r}']$$

(Structure Factor)

$$\sum_{\underline{n}} e^{i\mathbf{K} \cdot \underline{n}} = 0 \text{ unless } \mathbf{K} \cdot \underline{n} = 2\pi \times \text{integer}$$

$$[\mathbf{K} = 2\pi \times \text{reciprocal lattice vector}]$$

How large is ^(non-resonant) magnetic scattering?

$$\frac{d\sigma}{d\Omega} = \left(\frac{e^2}{mc^2} \right)^2 \left| g(\underline{K}) \underline{\epsilon}' \cdot \underline{\epsilon} - i \frac{\hbar\omega}{mc^2} (M_L(\underline{K}) \cdot \underline{A} + M_S(\underline{K}) \cdot \underline{B}) \right|^2$$

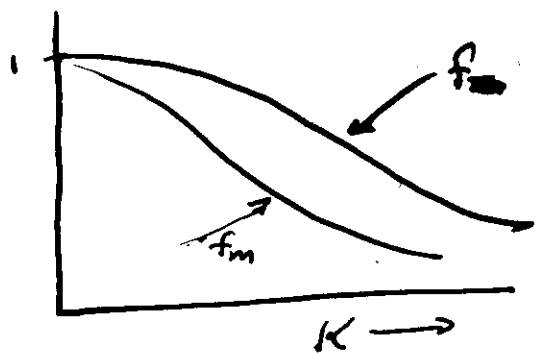
Ratio of scattering probabilities:

$$\frac{\sigma_{\text{mag}}}{\sigma_{\text{charge}}} \approx \left| \frac{\hbar\omega}{mc^2} \frac{M_S(\underline{K})}{g(\underline{K})} \right|^2$$

$$\approx \left| \frac{\hbar\omega}{mc^2} \frac{N_m}{N} \cdot \frac{f_m}{f} \langle S \rangle \right|^2$$

For 10 keV x-rays: $\frac{\hbar\omega}{mc^2} \approx 0.02$

For Iron: $\frac{N_m}{N} \approx \frac{2}{26}$



$$\frac{\sigma_{\text{mag}}}{\sigma_{\text{charge}}} \approx 10^{-6}$$

Comparison of x-rays and neutrons:

x-rays:

$$\frac{d\sigma}{d\Omega} = \left(\frac{e^2}{mc^2}\right)^2 \left| \rho(\underline{k}) \underline{\epsilon}' \cdot \underline{\epsilon} - i \frac{\hbar\omega}{mc^2} (\underline{M}_L(\underline{k}) \cdot \underline{A} + \underline{M}_S(\underline{k}) \cdot \underline{B}) \right|^2$$

Neutrons

$$\frac{d\sigma}{d\Omega} = \left(\frac{\hbar e^2}{mc^2}\right)^2 \left| (\underline{M}_L(\underline{k}) + \underline{M}_S(\underline{k})) \cdot \underline{C} \right|^2$$

↖ neutron polarization

Ratio of magnetic x-ray and neutron scattering:

$$\frac{\frac{I_0^x}{I_0^n} \frac{\sigma_{mag}^x}{\sigma_{mag}^n}}{\frac{I_0^x}{I_0^n} \frac{\sigma_{mag}^x}{\sigma_{mag}^n}} \approx \frac{1}{4} \left(\frac{\hbar\omega}{mc^2}\right)^2 \frac{I_0^x}{I_0^n} \approx 10^{-4} \frac{I_0^x}{I_0^n}$$

'Charge, Spin, and Momentum Densities'

Charge, ^(orbit + spin) Magnetization, ^(polarization) Current, Momentum Densities

WHY AND HOW DO WE MEASURE AND CALCULATE THEM?

$$\rho(\underline{r}) = e \sum_i \delta(\underline{r} - \underline{r}_i) \quad e = -|e| < 0 \text{ for electrons}$$

$$\tilde{\rho}(\underline{k}) = \int d\underline{r} e^{i\underline{k} \cdot \underline{r}} \rho(\underline{r}) = e \sum_i e^{i\underline{k} \cdot \underline{r}_i} \quad (\langle \rho(\underline{r}) \rangle \rightarrow e |\psi(\underline{r})|^2)$$

$$\underline{M}_s(\underline{r}) = \frac{e\hbar}{mc} \sum_i \underline{s}_i \delta(\underline{r} - \underline{r}_i)$$

$$\underline{\tilde{M}}_s(\underline{k}) = \frac{e\hbar}{mc} \sum_i \underline{s}_i e^{i\underline{k} \cdot \underline{r}_i}$$

$$\underline{j}(\underline{r}) = \frac{e}{m} \sum_i \underline{p}_i \delta(\underline{r} - \underline{r}_i) + c \nabla \times \underline{M}_s(\underline{r})$$

$$\underline{j}(\underline{r}) = \underbrace{\dot{\underline{P}}(\underline{r})}_{\text{"Polarization"}} + c \nabla \times (\underbrace{\underline{M}_L(\underline{r}) + \underline{M}_s(\underline{r})}_{\text{Orbital Magnetization}})$$

$$\underline{\tilde{j}}(\underline{k}) = \underline{\tilde{P}}(\underline{k}) - ic \underline{k} \times (\underline{\tilde{M}}_L(\underline{k}) + \underline{\tilde{M}}_s(\underline{k}))$$

$$\underline{f}(\underline{p}) = \sum_i \delta(\underline{p} - \underline{p}_i); \quad (\text{momentum density})$$

$$\underline{f}_s(\underline{p}) = \sum_i \underline{s}_i \delta(\underline{p} - \underline{p}_i)$$

Orbital Magnetization and Polarization Densities

$$\frac{e}{m} \sum_i \mathbf{p}_i e^{i\mathbf{k} \cdot \mathbf{r}_i} = \mathbf{\tilde{P}}(\mathbf{k}) - ic \mathbf{k} \times \mathbf{\tilde{M}}_L(\mathbf{k})$$

consider:

$$e \frac{d}{dt} \sum_i \mathbf{r}_i \frac{e^{i\mathbf{k} \cdot \mathbf{r}_i} - 1}{i\mathbf{k} \cdot \mathbf{r}_i} = e \frac{d}{dt} \sum_i \int_0^1 d\lambda \mathbf{r}_i e^{i\mathbf{k} \cdot \mathbf{r}_i \lambda}$$

$$= \frac{e}{m} \sum_i \int_0^1 d\lambda \left\{ \mathbf{p}_i e^{i\mathbf{k} \cdot \mathbf{r}_i \lambda} + \lambda \mathbf{r}_i e^{i\mathbf{k} \cdot \mathbf{r}_i \lambda} (i\mathbf{k} \cdot \mathbf{p}_i) \right\}$$

integrate by parts

$$= \frac{e}{m} \sum_i \left\{ \lambda \mathbf{p}_i e^{i\mathbf{k} \cdot \mathbf{r}_i \lambda} \Big|_0^1 + \lambda \underbrace{(-\mathbf{p}_i (i\mathbf{k} \cdot \mathbf{r}_i) + \mathbf{r}_i (i\mathbf{k} \cdot \mathbf{p}_i))}_{+i\mathbf{k} \times (\mathbf{r}_i \times \mathbf{p}_i)} e^{i\mathbf{k} \cdot \mathbf{r}_i \lambda} \right\}$$

$$= \frac{e}{m} \sum_i \mathbf{p}_i e^{i\mathbf{k} \cdot \mathbf{r}_i} + i\mathbf{k} \int_0^1 d\lambda \lambda \sum_i \mathbf{r}_i e^{i\mathbf{k} \cdot \mathbf{r}_i \lambda}$$

$$\frac{e}{m} \sum_i \mathbf{p}_i e^{i\mathbf{k} \cdot \mathbf{r}_i} = e \frac{d}{dt} \sum_i \int_0^1 d\lambda e^{i\mathbf{k} \cdot \mathbf{r}_i \lambda} \mathbf{r}_i - ic \mathbf{k} \times \frac{e}{m} \int_0^1 d\lambda \sum_i \mathbf{r}_i e^{i\mathbf{k} \cdot \mathbf{r}_i \lambda}$$

Trammell (1953)

$$\mathbf{P}(\mathbf{r}) = e \sum_i \mathbf{r}_i \int_0^1 d\lambda \delta(\mathbf{r} - \mathbf{r}_i \lambda)$$

$$\mathbf{M}_L(\mathbf{r}) = \frac{e}{mc} \sum_i \mathbf{r}_i \int_0^1 d\lambda \lambda \delta(\mathbf{r} - \mathbf{r}_i \lambda)$$

classical calculation of $\langle \underline{M}_L(\underline{r}) \rangle$

for a charge moving in a circle:

$$\langle \underline{M}_L(\underline{r}) \rangle = \frac{e}{mc} \langle \underline{r}_j(t) \times \underline{p}_j(t) \int_0^1 d\lambda \lambda \delta(\underline{r} - \underline{r}_j(t)\lambda) \rangle$$

$$\underline{r}_j(t) \times \underline{p}_j(t) = m r_0^2 (\hat{e}_x \cos \omega t + \hat{e}_y \sin \omega t) \times (-\hat{e}_x \omega \sin \omega t + \hat{e}_y \omega \cos \omega t)$$

$$= m \omega r_0^2 \hat{e}_z = l_0 \hat{e}_z$$

$$\langle \underline{M}_L(\underline{r}) \rangle = \frac{e}{mc} l_0 \hat{e}_z \int_0^1 d\lambda \lambda \left\langle \frac{\delta(\underline{r} - \lambda \underline{r}_0)}{\lambda r_0} \delta(z) \delta(\vartheta - \omega t) \right\rangle$$

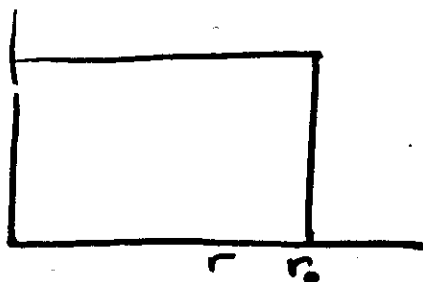
$$\langle \delta(\vartheta - \omega t) \rangle = \frac{\omega}{2\pi} \cdot \int_0^{2\pi/\omega} \delta(\vartheta - \omega t) dt = \frac{1}{2\pi}$$

$$\langle \underline{M}_L(\underline{r}) \rangle = \frac{e}{mc} l_0 \hat{e}_z \cdot \frac{1}{2\pi r_0^2} \delta(z) \epsilon(1 - \frac{r}{r_0})$$

$$\langle \int \underline{M}_L(\underline{r}) d\underline{r} \rangle = \frac{e}{2mc} l_0 \hat{e}_z$$

why not

$$\underline{M}_L(\underline{r}) \propto \sum_i \underline{l}_i \delta(\underline{r} - \underline{r}_i)? \quad \underline{M}_L(\underline{r})$$



$$\frac{d\sigma}{d\Omega} = \left(\frac{e^2}{mc^2} \right)^2 \left| \rho(\underline{K}) \underline{\epsilon}' \cdot \underline{\epsilon} - i \frac{\hbar \omega}{mc^2} (\underline{M}_L(\underline{K}) \cdot \underline{A}' + \underline{M}_S \cdot \underline{B}) + \sum_{\underline{c}} \frac{\underline{\epsilon}' \cdot \underline{P}_{ac}(\underline{k}') \underline{\epsilon} \cdot \underline{P}_{ac}(\underline{k})}{\omega - \omega_{ac} - i \frac{\Gamma}{2}} \right|^2$$

Charge Density

Orbital mag. density

Charge Polarization density

Spin mag. density

Resonant scattering; anomalous dispersion and magnetic

$$\tilde{\rho}(\underline{k}) = e \sum_i e^{i\underline{k} \cdot \underline{r}_i}$$

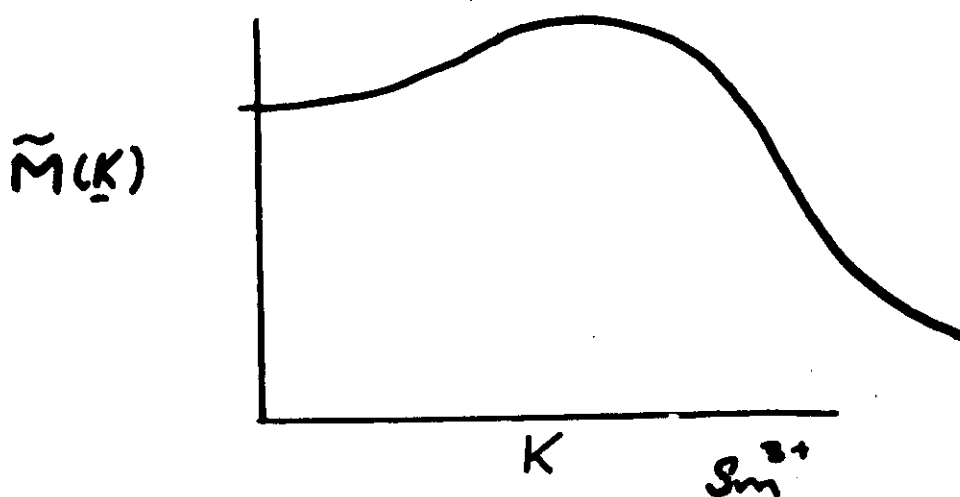
$$= e \sum_i 4\pi \sum_{lm} i^l j_l(kr_i) Y_{lm}^*(\hat{r}_i) Y_{lm}(\hat{k})$$

$$\tilde{M}_S(\underline{k}) = \frac{e\hbar}{mc} \sum_i \underline{s}_i e^{i\underline{k} \cdot \underline{r}_i}$$

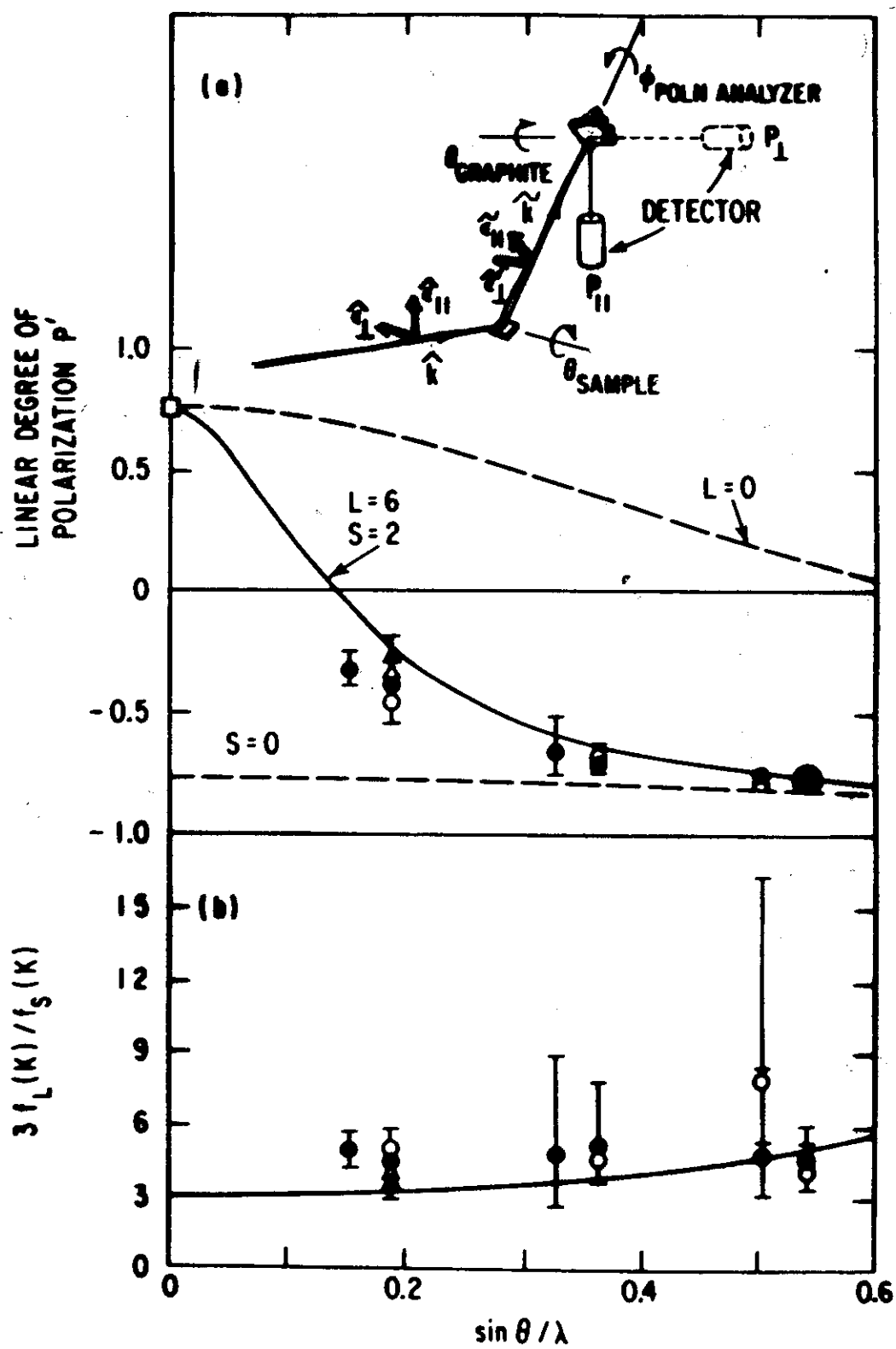
$$= \frac{e\hbar}{mc} \sum_i 4\pi \sum_{lm} i^l \underline{s}_i j_l(kr_i) Y_{lm}^*(\hat{r}_i) Y_{lm}(\hat{k})$$

$$\tilde{M}_L(\underline{k}) = \frac{e}{mc} \sum_i \underline{l}_i \int_0^1 d\lambda \lambda e^{i\underline{k} \cdot \underline{r}_i \lambda}$$

$$= \frac{e}{mc} \sum_i 4\pi \sum_{lm} i^l \underline{l}_i \underbrace{\left[\int_0^1 d\lambda \lambda j_l(kr_i \lambda) \right]}_{\equiv g_l(kr_i)} Y_{lm}^*(\hat{r}_i) Y_{lm}(\hat{k})$$



$\tilde{M}_L(\underline{k})$ and $\tilde{M}_S(\underline{k})$ are of opposite sign.



Charge Density

Best measured by elastic x-ray scattering

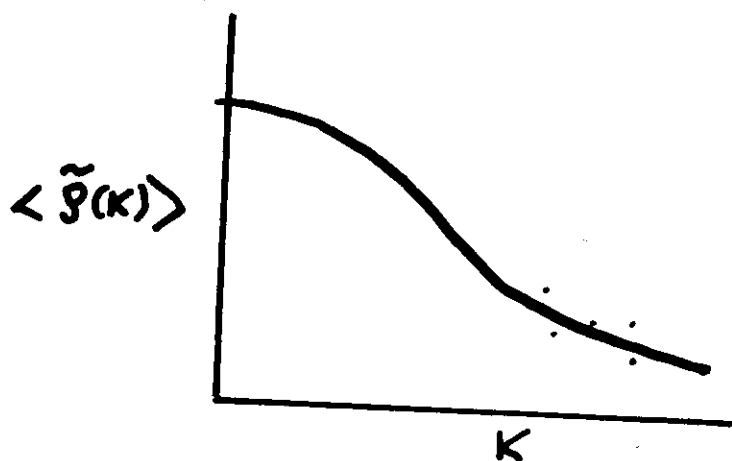
$$\frac{d\sigma}{d\Omega} = \left(\frac{e^2}{mc^2}\right)^2 |\underline{\epsilon}' \cdot \underline{\epsilon}|^2 \left| \left\langle \sum_i e^{i\underline{K} \cdot \underline{r}_i} \right\rangle \right|^2$$

$$\underline{K} = \underline{k} - \underline{k}'$$

$$\langle \dots \rangle = \sum_a p_a \langle a | \dots | a \rangle \quad \begin{array}{l} (= |a\rangle \text{ for} \\ \text{coherent scattering}) \end{array}$$

$$\frac{d\sigma}{d\Omega} = \left(\frac{e^2}{mc^2}\right)^2 |\underline{\epsilon}' \cdot \underline{\epsilon}|^2 \left| \langle \tilde{\rho}(\underline{K}) \rangle \right|^2$$

measured by Bragg scattering



Aspects of Charge density :

a) Core electrons unaffected by bonding
Anisotropy of form factor contains this information.

b) $\langle \tilde{\rho}(\mathbf{k}) \rangle$ decreases with $|\mathbf{k}|$:

$$|\langle \tilde{\rho}(\mathbf{k}) \rangle| = \left| \int e^{i\mathbf{k} \cdot \mathbf{r}} \langle \tilde{\rho}(\mathbf{r}) \rangle d\mathbf{r} \right|$$
$$\leq \int |\langle \tilde{\rho}(\mathbf{r}) \rangle| d\mathbf{r} = |\langle \tilde{\rho}(0) \rangle|$$

provided $|\langle \rho(\mathbf{r}) \rangle| = \langle \rho(\mathbf{r}) \rangle$
also provided only electronic + not nuclear charge density
(This is particularly important for included magnetization densities)

Observation of Magnetic Scattering

- a) Interference with charge scattering
- b) Observation of Bragg peak at a point where no charge scattering occurs!!
spiral structure and antiferromagnets
- Resonance effects (taking electron binding into account).

Advantages

High resolution of synchrotron experiments

Use of polarization to distinguish orbital, spin, and charge scattering.

Elemental specificity thru resonance; surface magnetism.

Correlation Functions

$$\frac{d^2\sigma}{d\Omega_1 d\omega_1} = \left(\frac{e^2}{mc^2}\right)^2 \frac{\omega_1}{\omega_0} |\langle b|U|a\rangle|^2 \delta\left(\frac{E_b - E_a}{\hbar} + \omega_1 - \omega_0\right)$$

$a \rightarrow b$
 $\omega_0 \rightarrow \omega_1$
 $\lambda_0 \rightarrow \lambda_1$
 $k_0 \rightarrow k_1$

$$U = \frac{1}{\hbar} \mathcal{P}(\underline{k}) (\underline{\epsilon}_1^* \cdot \underline{\epsilon}_0 - \frac{i\hbar\omega}{mc^2} \cdot \frac{mc}{\hbar} \underline{M}_S(\underline{k}) \cdot (\underline{\epsilon}_1^* \times \underline{\epsilon}_0))$$

$$+ \frac{m}{e^2} \sum_c \frac{(\underline{\epsilon}_1^* \cdot \underline{j}^+(k_1)) \langle c| \langle c| (\underline{\epsilon}_0 \cdot \underline{j}(k_0))}{E_a - E_c + \hbar\omega_0 + i\frac{\hbar\Gamma}{2}}$$

$$+ \frac{m}{e^2} \sum_c \frac{(\underline{\epsilon}_0 \cdot \underline{j}(k_0)) \langle c| \langle c| (\underline{\epsilon}_1^* \cdot \underline{j}^+(k_1))}{E_b - E_c - \hbar\omega_0 + i\frac{\hbar\Gamma}{2}}$$

$$\delta\left(\frac{E_b - E_a}{\hbar} + \omega_1 - \omega_0\right) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dt e^{i \frac{E_b - E_a}{\hbar} t + i(\omega_1 - \omega_0)t}$$

$$\frac{d^2\sigma}{d\Omega_1 d\omega_1} = \left(\frac{e^2}{mc^2}\right)^2 \frac{\omega_1}{\omega_0} \langle a|U^\dagger|b\rangle \langle b|U|a\rangle \delta\left(\frac{E_b - E_a}{\hbar} + \omega_1 - \omega_0\right)$$

Sum over final states $|b\rangle$; average over initial states $|a\rangle$.

$$\frac{d^2\sigma}{d\Omega_1 d\omega_1} = \sum_{a,b} P_a \frac{d^2\sigma}{d\Omega_1 d\omega_1} \Big|_{b \leftarrow a}$$

$$\frac{d^2\sigma}{d\Omega d\omega_1} = \left(\frac{e^2}{mc^2}\right)^2 \frac{\omega_1}{\omega_0} \sum_{ab} P_a \int_{-\infty}^{\infty} dt e^{\frac{i(\omega_1 - \omega_0)t}{2\pi}} \\ \times \underbrace{\langle a | U^\dagger | b \rangle \langle b | e^{\frac{i}{\hbar}(\epsilon_b - \epsilon_a)t} U | a \rangle}_{= U(t)}$$

$$\frac{d^2\sigma}{d\Omega d\omega_1} = \left(\frac{e^2}{mc^2}\right)^2 \frac{\omega_1}{\omega_0} \cdot \frac{1}{2\pi} \int_{-\infty}^{\infty} dt e^{-i(\omega_0 - \omega_1)t} \langle U^\dagger U(t) \rangle$$

$$\text{where } \langle \dots \rangle = \sum_a P_a \langle a | \dots | a \rangle$$

Example: $\rho(\underline{k})$ term in U :

$$\frac{d^2\sigma}{d\Omega d\omega_1} = \left(\frac{e^2}{mc^2}\right)^2 \frac{\omega_1}{\omega_0} \cdot \frac{1}{2\pi\epsilon^2} \int_{-\infty}^{\infty} e^{-i\omega t} \langle \rho^\dagger(\underline{k}) \rho(\underline{k}^\dagger) \rangle |\epsilon_1^* \cdot \epsilon_0|$$

$\hbar\omega = \hbar(\omega_0 - \omega_1) \equiv \text{energy transfer.}$

Just like Van Hove correlation fn. for neutron scattering, but with electron density instead of nuclear scattering density!

Separation of "fluctuation" (inelastic) scattering from "coherent" scattering:

$$\frac{d^2\sigma}{d\Omega d\omega_1} = \left(\frac{e^2}{mc^2}\right)^2 \frac{\omega_1}{\omega_0} \cdot \frac{1}{2\pi} \int_{-\infty}^{\infty} dt e^{-i\omega t} \langle u^\dagger(0) u(t) \rangle$$

Consider

$$\frac{1}{2\pi} \int_{-\infty}^{\infty} dt f(t) e^{-i\omega_0 t}$$



write $f(t) = \underbrace{(f(t) - f(\infty))}_{\rightarrow 0 \text{ as } t \rightarrow \infty} + f(\infty)$

$$\frac{1}{2\pi} \int_{-\infty}^{\infty} dt f(t) e^{-i\omega t} = \frac{1}{2\pi} \int_{-\infty}^{\infty} dt e^{-i\omega t} (f(t) - f(\infty))$$

$$+ \frac{1}{2\pi} f(\infty) \int_{-\infty}^{\infty} dt e^{-i\omega t}$$

$$= \frac{1}{2\pi} \int_{-\infty}^{\infty} dt e^{-i\omega t} (f(t) - f(\infty)) + f(\infty) \delta(\omega)$$

↑
"coherent" elastic scattering

For $f(t) = \langle u^\dagger(0) u(t) \rangle$,

$$f(\infty) \rightarrow \langle u^\dagger(0) u(\infty) \rangle \rightarrow \langle u^\dagger \rangle \langle u \rangle$$

$$\begin{aligned}
 f(t) - f(\infty) &\rightarrow \langle u^\dagger(t) u(t) \rangle - \langle u^\dagger \rangle \langle u \rangle \\
 &\equiv \langle (u^\dagger - \langle u^\dagger \rangle) (u(t) - \langle u \rangle) \rangle \\
 &\equiv \langle \delta u^\dagger(t) \delta u(t) \rangle
 \end{aligned}$$

$$\begin{aligned}
 \frac{d^2 \sigma}{d\Omega d\omega_1} &= \left(\frac{e}{mc^2} \right)^2 \frac{\omega_1}{\omega_0} \cdot \frac{1}{2\pi} \int_{-\infty}^{\infty} dt e^{-i\omega t} \langle \delta u^\dagger(t) \delta u(t) \rangle \\
 &\quad + \left(\frac{e^2}{mc^2} \right)^2 \frac{\omega_1}{\omega_0} \cdot |\langle u \rangle|^2 \underbrace{\delta(\omega)}_{\equiv \delta(\omega_1 - \omega_0)}
 \end{aligned}$$

Back to example of $\rho(\underline{k})$ term:

$$\begin{aligned}
 \frac{d^2 \sigma}{d\Omega d\omega_1} &= \left(\frac{e^2}{mc^2} \right)^2 \frac{\omega_1}{\omega_0} \cdot \frac{1}{2\pi e^2} \int_{-\infty}^{\infty} dt e^{-i\omega t} \langle \delta \rho^\dagger(\underline{k}) \delta \rho(\underline{k}, t) \rangle \\
 &\quad + \left(\frac{e^2}{mc^2} \right)^2 \frac{1}{e^2} |\langle \rho^\dagger(\underline{k}) \rangle|^2 |\epsilon_1^* \cdot \epsilon_0|^2 \delta(\omega)
 \end{aligned}$$



 Bragg Scattering

Coherent elastic scattering:

$$\begin{aligned}
 \langle u \rangle = & \frac{1}{e} \langle \rho(K) \rangle \epsilon_i^* \epsilon_o - i \frac{\hbar \omega_o}{mc^2} \frac{mc}{e} M_s(K) (\epsilon_i^* \times \epsilon_o) \\
 & + \frac{1}{me^2 \hbar \omega_o} \langle [j^{\alpha+}(k_1), j^{\beta}(k_o)] \rangle \leftarrow \text{contributes to mag. scatt} \\
 & - \frac{1}{me^2 \hbar \omega_o} \int_{-\infty}^{\infty} dt_1 e^{-i\omega_o t_1 - \frac{\Gamma}{2}|t_1|} \\
 & \langle j^{\alpha+}(k_1) j^{\beta}(k_o t_1) \rangle \epsilon_i^* \epsilon_o^{\beta}
 \end{aligned}$$

$$\left. \frac{d\sigma}{d\Omega} \right|_{coh} = \left(\frac{e^2}{mc^2} \right)^2 |\langle u \rangle|^2$$

$$\frac{d^2\sigma}{d\Omega d\omega_1} = \left(\frac{e^2}{mc^2}\right)^2 \frac{\omega_1}{\omega_0} \left| \epsilon_i^{\alpha\gamma} \epsilon_0^\beta \left\{ \langle b | \sum_j e^{i\vec{K} \cdot \vec{r}_j} | a \rangle \delta^{\alpha\beta} \right. \right.$$

$$- i \frac{k\bar{\omega}}{mc^2} \langle b | \sum_j e^{i\vec{K} \cdot \vec{r}_j} \vec{r}_j | a \rangle \epsilon^{\alpha\beta\gamma} \left. + \frac{1}{m} \sum_c \frac{\langle b | O^{\alpha\dagger}(k_1) | c \rangle \langle c | O^\beta(k_0) | a \rangle}{E_a - E_c + \hbar\omega_0 + i\frac{\hbar\Gamma}{2}} \right.$$

$$+ \frac{1}{m} \sum_c \frac{\langle b | O^\beta(k_0) | c \rangle \langle c | O^{\alpha\dagger}(k_1) | a \rangle}{E_b - E_c - \hbar\omega_0 + i\frac{\hbar\Gamma}{2}} \left. \right\} \left| \delta\left(\frac{E_a - E_b}{\hbar} + \omega_0 - \omega_1\right) \right|^2$$

Resonant Elastic Scattering

$$\propto \left| \sum_{\vec{n}_3} e^{i\vec{K} \cdot \vec{n}_3} \epsilon_i^{\alpha*} \epsilon_0^\beta \underbrace{\sum_c \frac{\langle a | R_{\vec{n}_3}^\alpha | c \rangle \langle c | R_{\vec{n}_3}^\beta | a \rangle}{E_a - E_c + \hbar\omega_0 - i\frac{\Gamma}{2}}}_{\equiv C^{\alpha\beta} \text{ (a tensor)}} \right|^2$$

$$C^{\alpha\beta} = C_0 \delta^{\alpha\beta} + C_a^{\alpha\beta} + C_s^{\alpha\beta} \quad (\text{all have resonance energy denominators})$$

$$\begin{cases} C_0^{\alpha\beta} = \frac{1}{3} \hbar C \delta^{\alpha\beta} \\ C_a^{\alpha\beta} = \frac{1}{2} (C^{\alpha\beta} - C^{\beta\alpha}) \\ C_s^{\alpha\beta} = \frac{1}{2} (C^{\alpha\beta} + C^{\beta\alpha}) - \frac{1}{3} \hbar C \delta^{\alpha\beta} \end{cases}$$

If there is only a magnetic moment,

$$C_0^{\alpha\beta} \propto \delta^{\alpha\beta} \rightarrow \underline{\epsilon}' \cdot \underline{\epsilon}$$

$$C_a^{\alpha\beta} \propto i \epsilon^{\alpha\beta\gamma} m_\gamma \rightarrow i \underline{\epsilon}' \times \underline{\epsilon} \cdot \underline{m}$$

$$C_s^{\alpha\beta} \propto m^\alpha m^\beta - \frac{1}{3} m^2 \delta^{\alpha\beta} \rightarrow (\underline{\epsilon}' \cdot \underline{m})(\underline{\epsilon} \cdot \underline{m}) - \frac{1}{3} m^2 \underline{\epsilon}' \cdot \underline{\epsilon}$$

With no magnetic moment, but uniaxial local symmetry

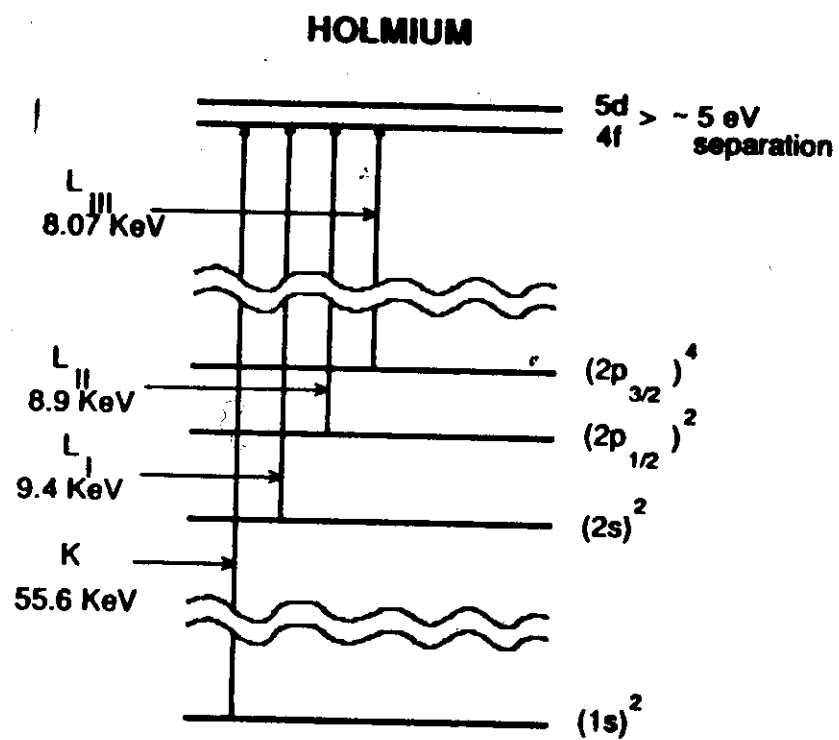
$$C_0^{\alpha\beta} \propto \delta^{\alpha\beta} \rightarrow \underline{\epsilon}' \cdot \underline{\epsilon}$$

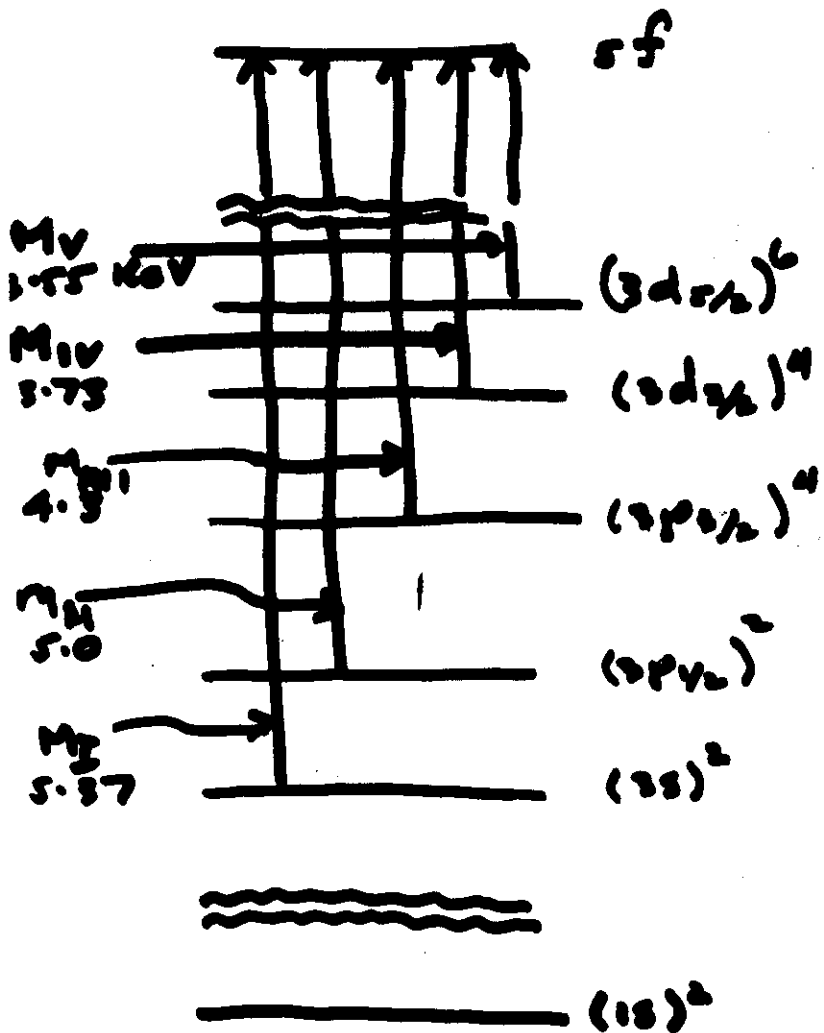
$$C_a^{\alpha\beta} = 0$$

(Templeton scattering)

$$C_s^{\alpha\beta} = \hat{n}^\alpha \hat{n}^\beta - \frac{1}{3} \delta^{\alpha\beta} \rightarrow (\underline{\epsilon}' \cdot \hat{n})(\underline{\epsilon} \cdot \hat{n}) - \frac{1}{3} \underline{\epsilon}' \cdot \underline{\epsilon}$$

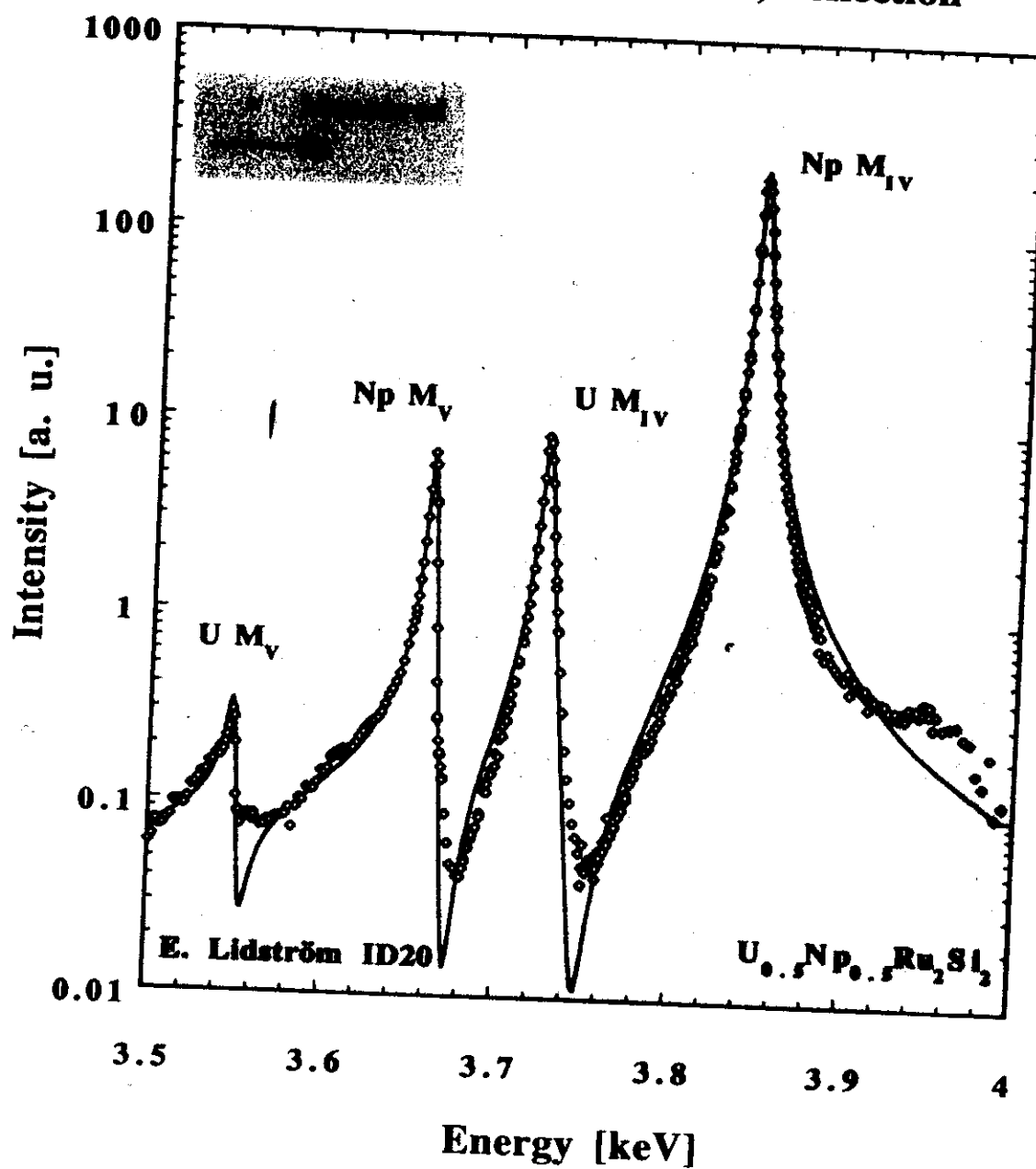
Resonant Scattering





URANIUM

Energy scan of the (0 0 3) reflection



CONCLUSIONS

- Synchrotron radiation has made magnetic x-ray scattering, absorption, and photoemission important tools for the study of magnetic material, and an important complement to neutron scattering.
- Magnetic effects in resonant scattering and absorption can be very large. They make possible the use of x-rays for magnetic structure analysis and for studies of surface phenomena.
- Absorption and polarization measurements provide information about excited electronic states and magnetic structure. Magnetic circular dichroism (MCD), Faraday rotation, magnetic extended fine structure (MEXAFS), and linear dichroism all give such information.