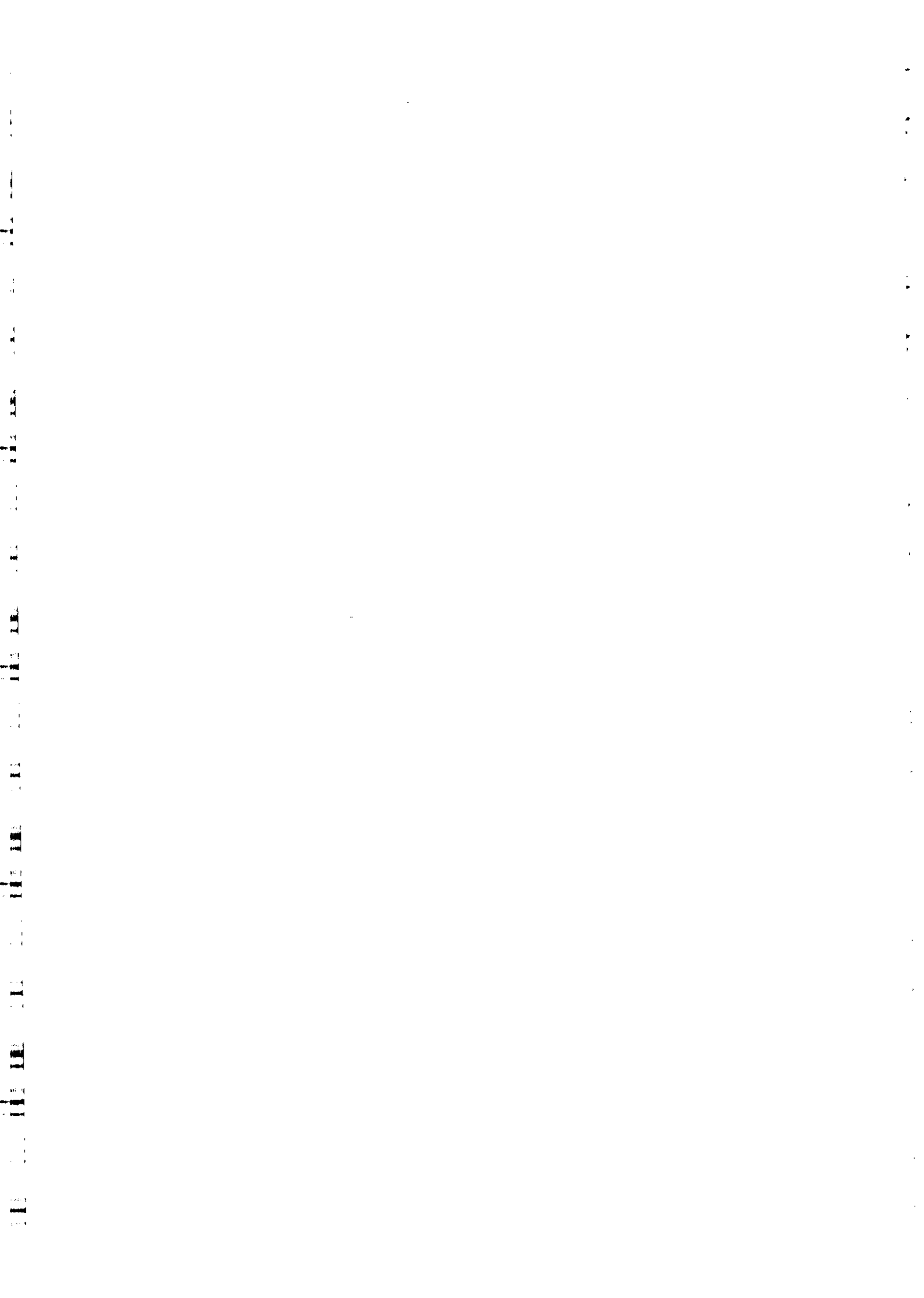


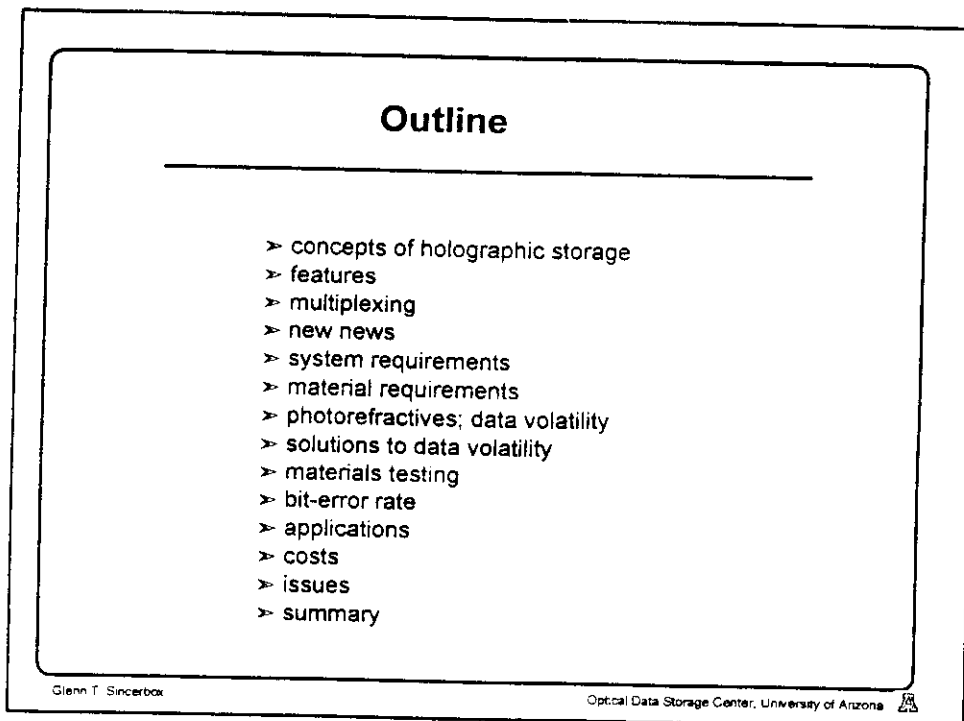
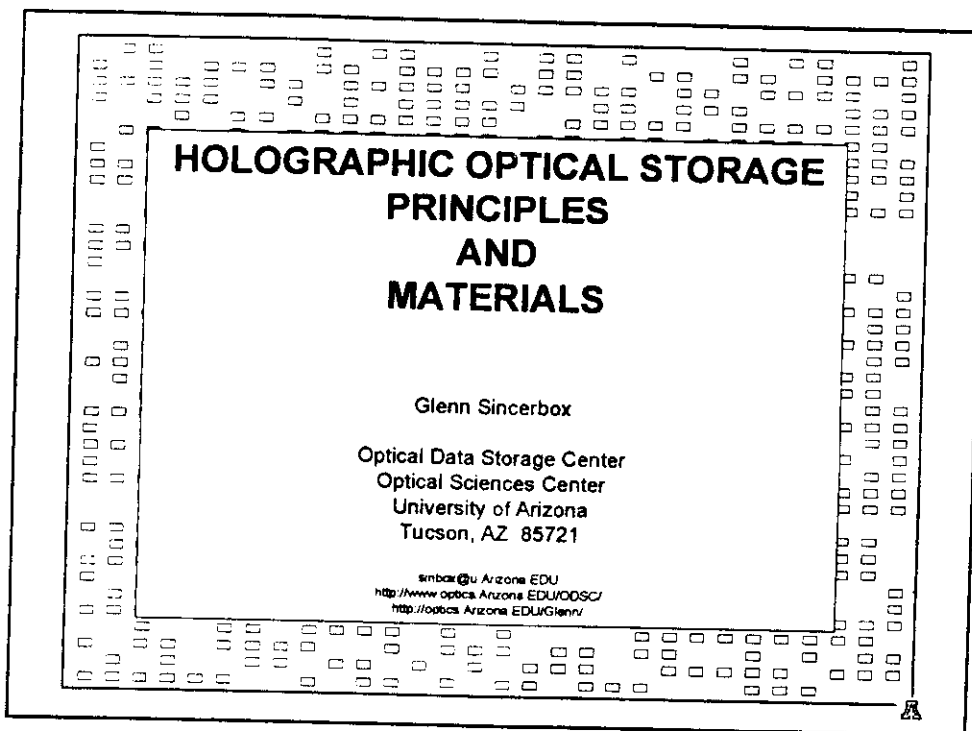
**Winter College on Optics and Photonics
7 - 25 February 2000**

1218-3

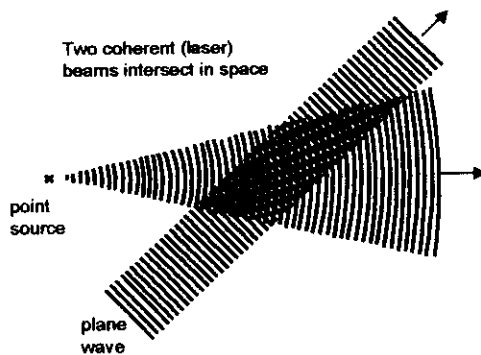
"Holographic Optical Storage Principles & Materials"

**G. SINCERBOX
Optical Sciences Center
University of Arizona
USA**





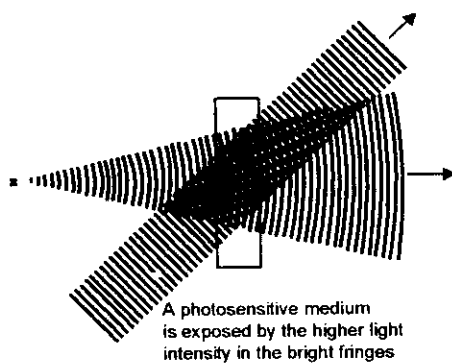
THE PHYSICS OF HOLOGRAPHY: INTERFERENCE



Glenn T. Sincerbox

Optical Data Storage Center, University of Arizona

THE PHYSICS OF HOLOGRAPHY: RECORDING




Glenn T. Sincerbox

Optical Data Storage Center, University of Arizona

THE PHYSICS OF HOLOGRAPHY: MECHANISMS

The photosensitive medium replicates the fringes as a change in:

- absorption
- refractive index
- thickness
- combination



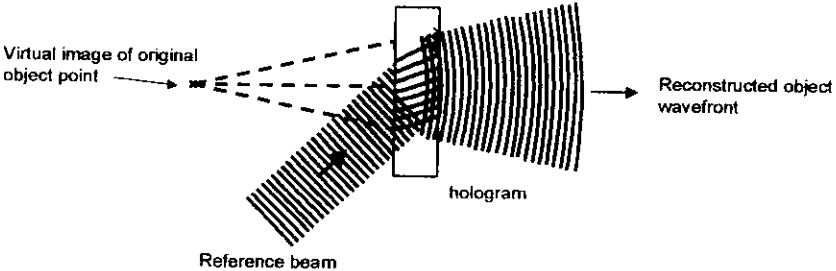
hologram

Processing may or may not be required

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THE PHYSICS OF HOLOGRAPHY: RECONSTRUCTION OF THE OBJECT BEAM

Light from one beam is diffracted by the structure to exactly replicate the other beam



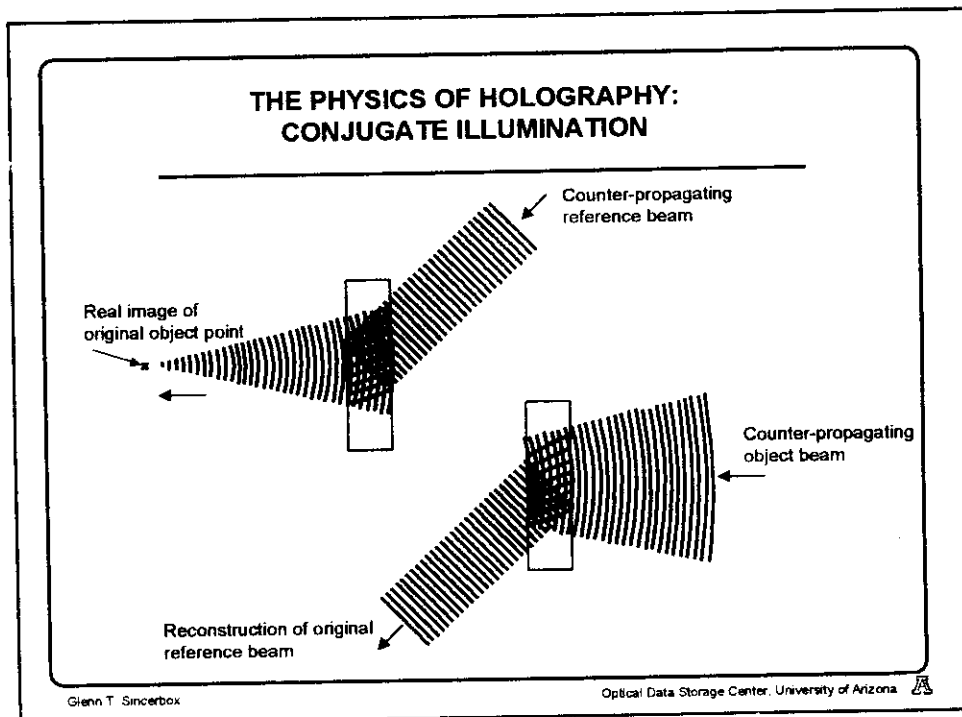
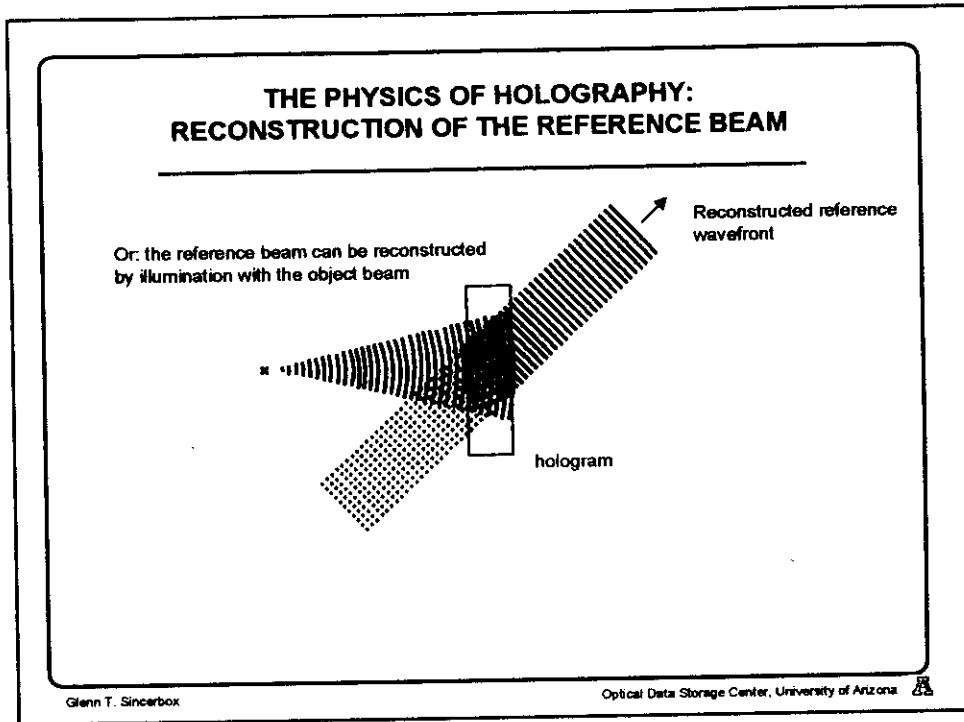
Virtual image of original object point

Reference beam

hologram

Reconstructed object wavefront

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FUNDAMENTALS OF HOLOGRAPHY - Recording

- Object wavefront: $\tilde{A}_o = A_o(x, y)e^{i\Phi_o(x, y)}$
- Reference wavefront: $\tilde{A}_r = A_r(x, y)e^{i\Phi_r(x, y)}$
- Interference: $A_o(x, y)e^{i\Phi_o(x, y)} + A_r(x, y)e^{i\Phi_r(x, y)}$

- Recording medium responds to intensity:

$$\begin{aligned} I(x, y) &= (A_o(x, y)e^{i\Phi_o(x, y)} + A_r(x, y)e^{i\Phi_r(x, y)}) (A_o^*(x, y)e^{-i\Phi_o(x, y)} + A_r^*(x, y)e^{-i\Phi_r(x, y)}) \\ &= I_o + I_r + A_o A_r^* e^{i(\Phi_o - \Phi_r)} + A_o^* A_r e^{i(\Phi_r - \Phi_o)} \\ &= I_o + I_r + \tilde{A}_o \tilde{A}_r^* + \tilde{A}_o^* \tilde{A}_r \end{aligned}$$

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FUNDAMENTALS OF HOLOGRAPHY - Playback

- Transmission of exposed medium proportional to exposure:

$$t(x, y) = \beta E(x, y) = \beta I(x, y) \quad t = \beta' I(x, y)$$

$$t(x, y) = t_b + \beta' \tilde{A}_o \tilde{A}_r^* + \beta' \tilde{A}_r \tilde{A}_o^* = \text{Hologram}$$

- Illuminate hologram with arbitrary wavefront:

$$\tilde{B} = B(x, y)e^{i\Phi_B(x, y)}$$

- Transmitted wavefronts are:

$$\tilde{T}(x, y) = \tilde{B}(x, y)t(x, y) = t_b \tilde{B} + \beta \tilde{A}_o \tilde{A}_r^* \tilde{B} + \beta \tilde{A}_r \tilde{A}_o^* \tilde{B}$$

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FUNDAMENTALS OF HOLOGRAPHY- Special cases

When: $\tilde{\mathbf{B}} = \tilde{\mathbf{A}}_r$ (illuminate with the reference beam)

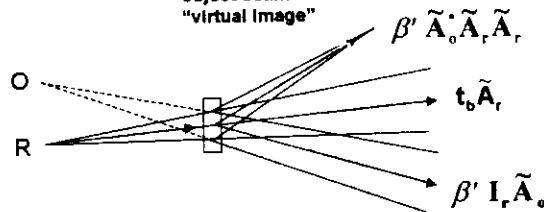
$$\text{Then: } \tilde{\mathbf{T}}(x, y) = t_b \tilde{\mathbf{A}}_r + \beta' I_r \tilde{\mathbf{A}}_o + \beta' \tilde{\mathbf{A}}_o^* \tilde{\mathbf{A}}_r \tilde{\mathbf{A}}_r$$

Reference beam
modulated by
background
exposure

Constant

reconstructed
object beam
"virtual image"

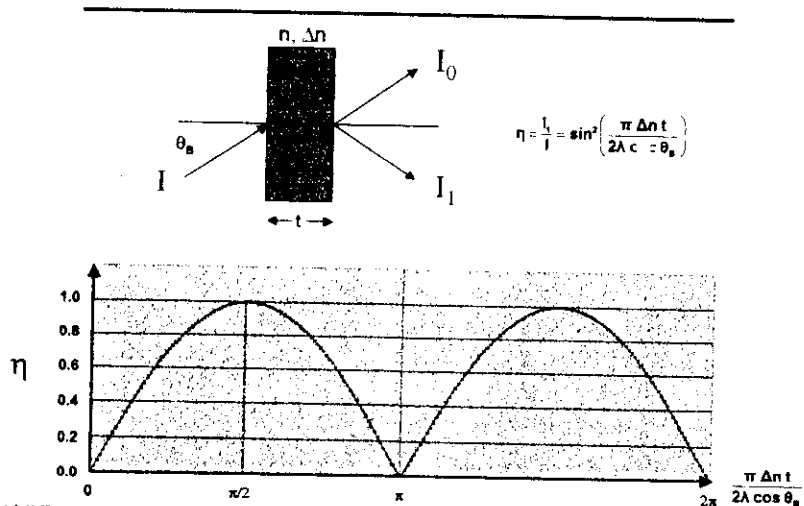
Object wavefront focusing
to a real image at twice
the angle



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COUPLED WAVES

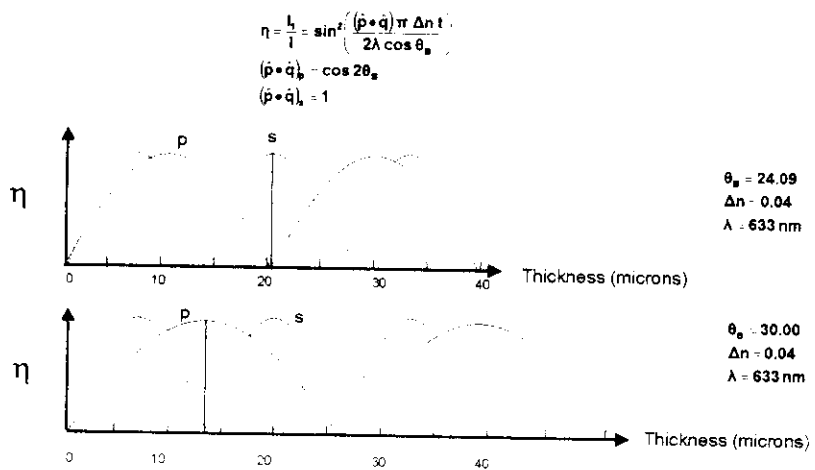


Kogelnik, H., "Coupled wave theory for thick hologram gratings," Bell System Tech. J., 48(9), 2909, 1969

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POLARIZATION EFFECTS



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Any complex 3-D object can be treated as an assembly of points, each interfering with the reference beam and with each other

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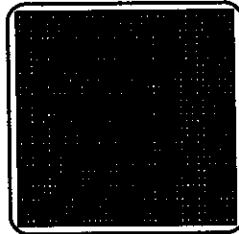
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THE DIFFERENCE

Display & security-emblem holography records an image of the scene or object

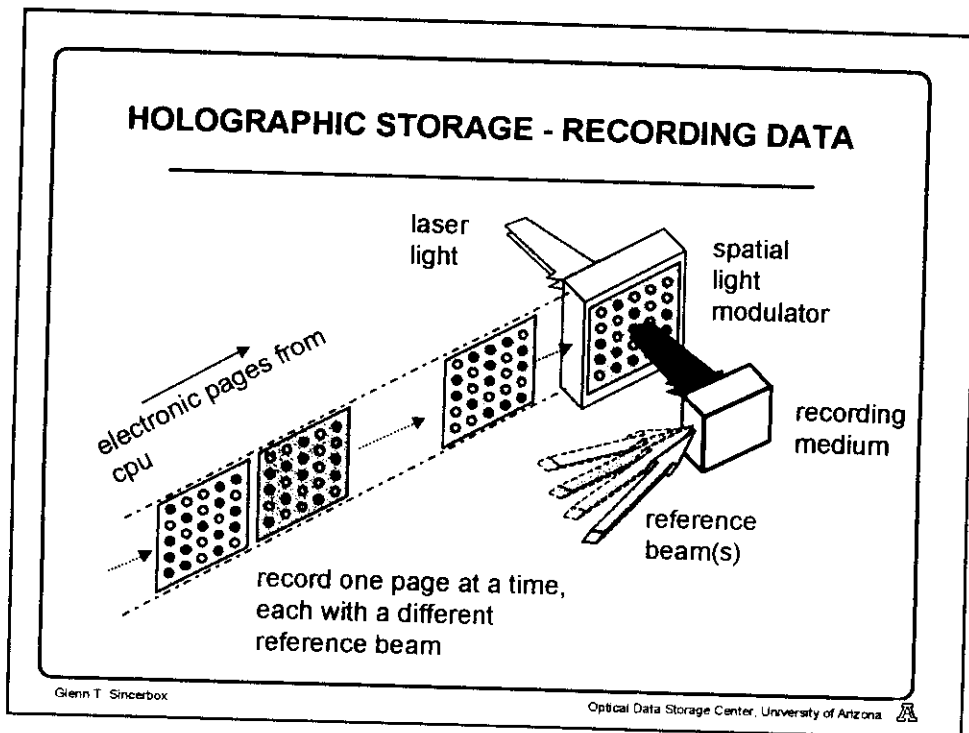
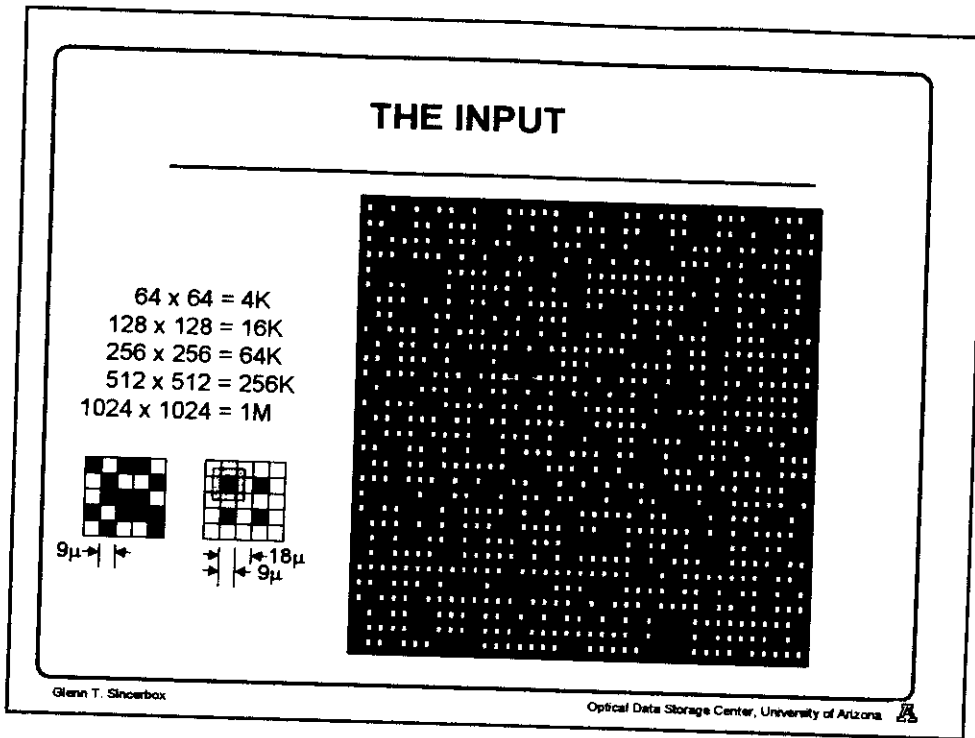


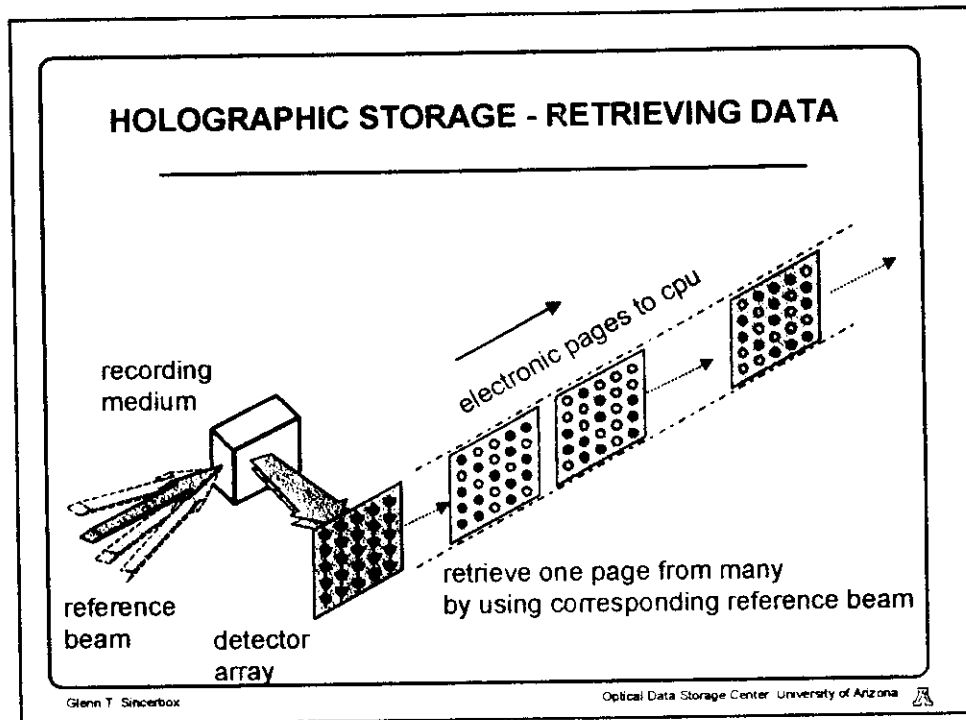
Holographic storage records a digital representation of the scene, object or data



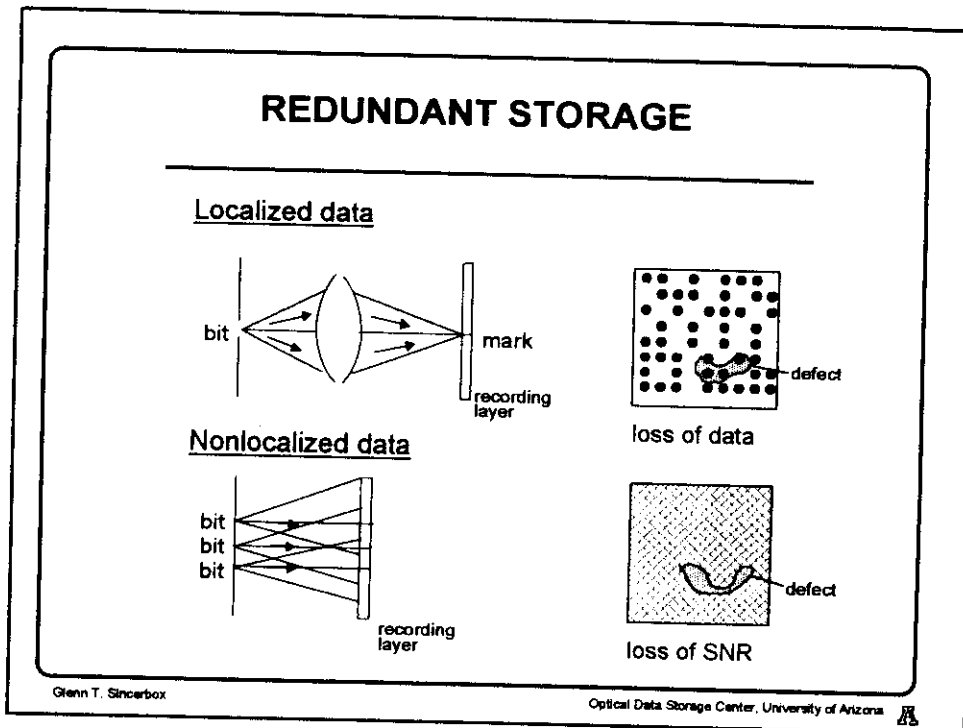
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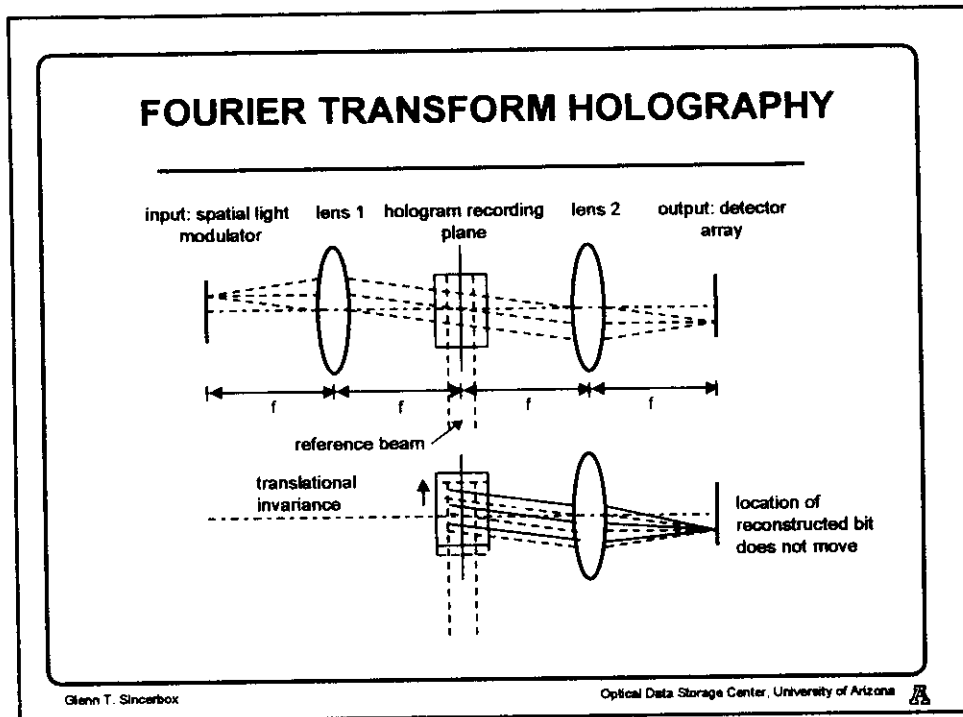
- ### FEATURES
- ➔ Redundant (distributed) storage
 - less sensitive to local defects
 - ➔ Page (block) oriented
 - parallel data transfer
 - no data tracking
 - ➔ Volume storage
 - increased capacity by multiplexing
 - ➔ Beam addressable
 - random access - low latency
 - ➔ Novel function
- Glenn T. Sincerbox Optical Data Storage Center University of Arizona



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MULTIPLEXING - THE KEY TO CAPACITY

Utilize the entire recording volume, not just the surface

- each "image" occupies a portion of the entire recording range
- create unique, interleaved 3-D structures
- not to be confused with multilayer storage

Utilize some attribute(s) of a light beam that can be independently controlled

- direction
- wavelength
- phase
- polarization

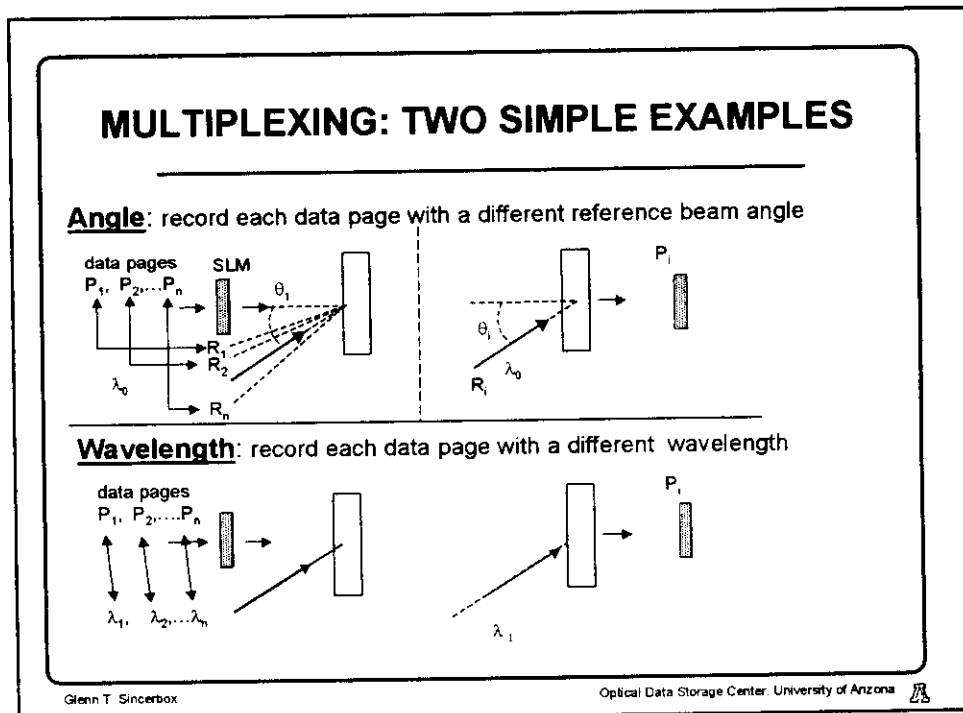
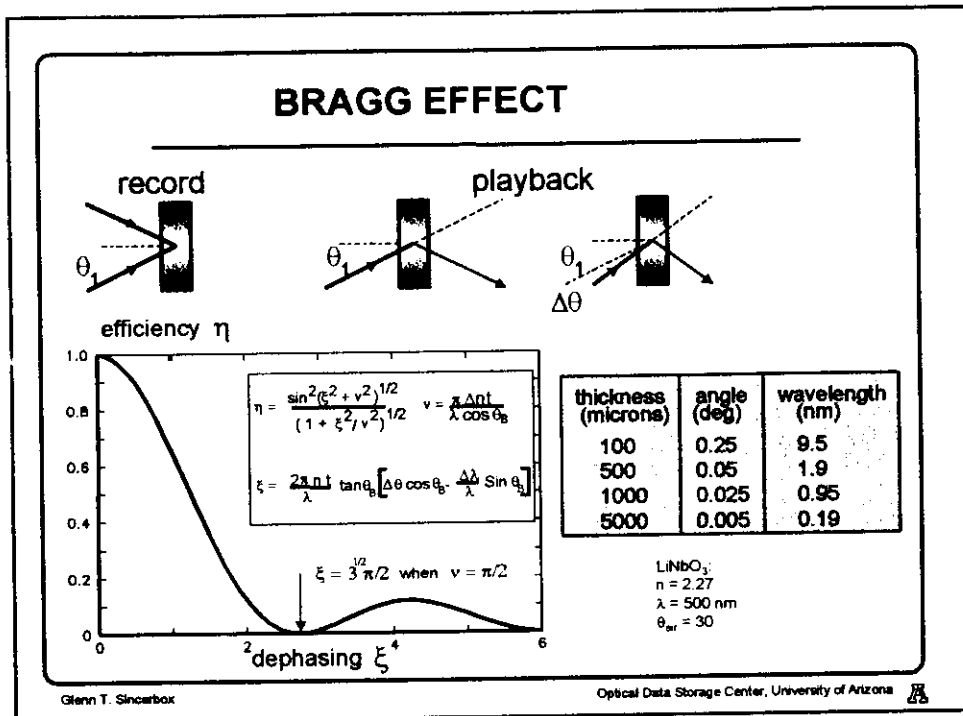
Multiplexing can also be used to improve access time

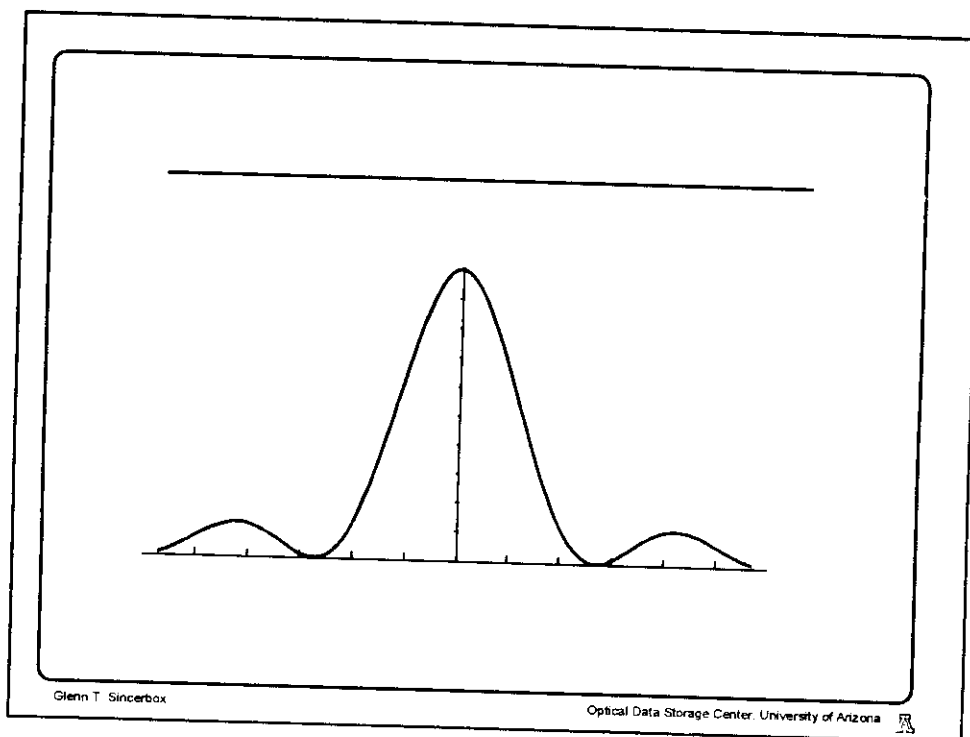
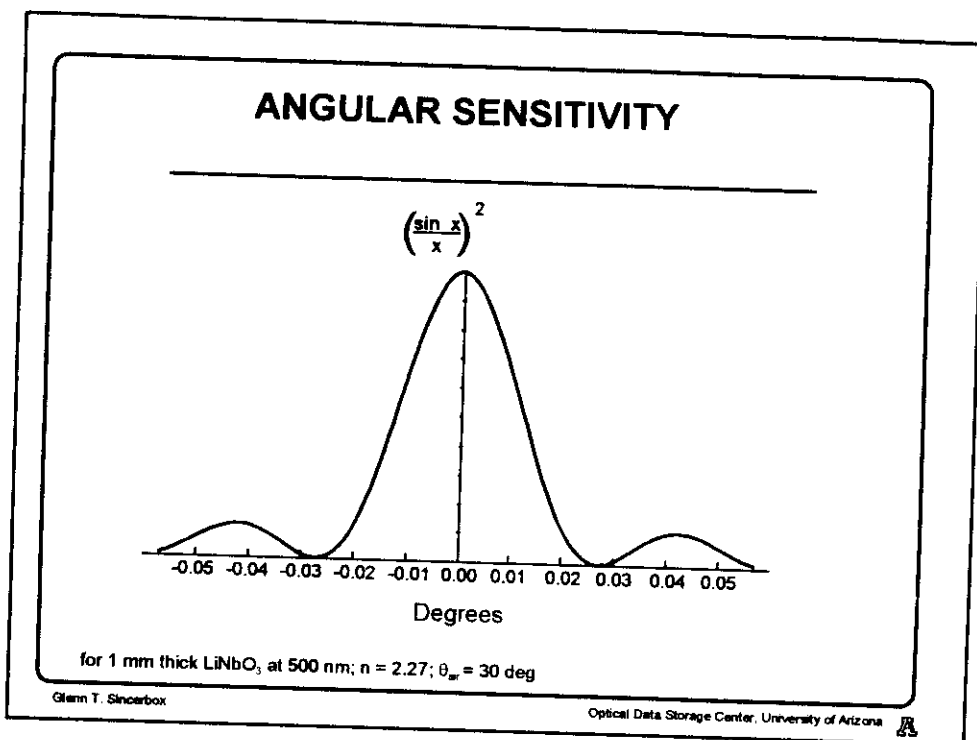
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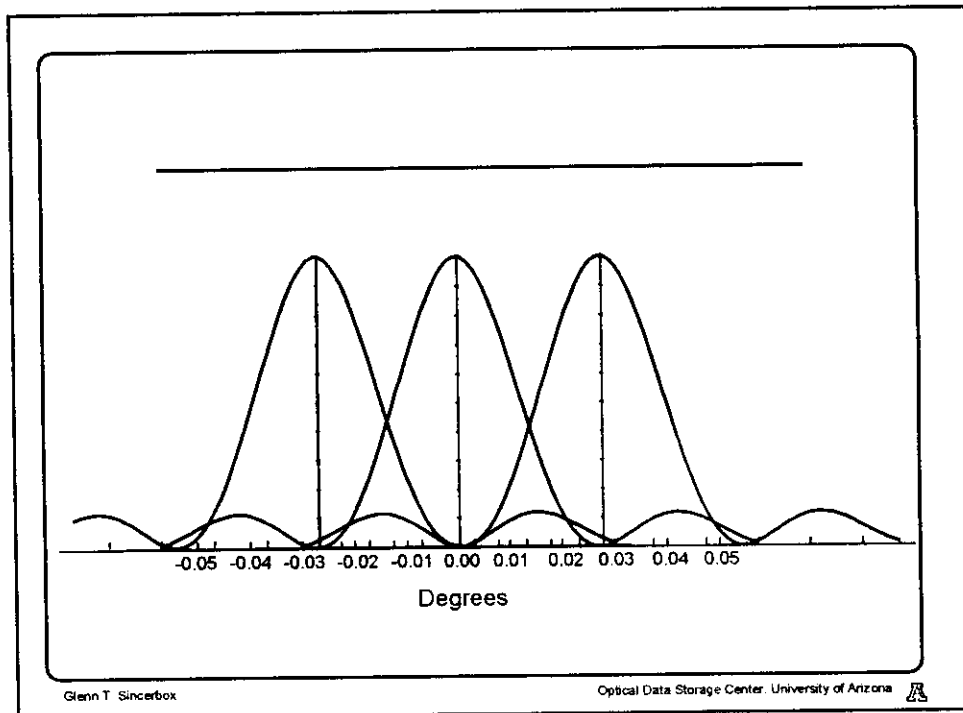
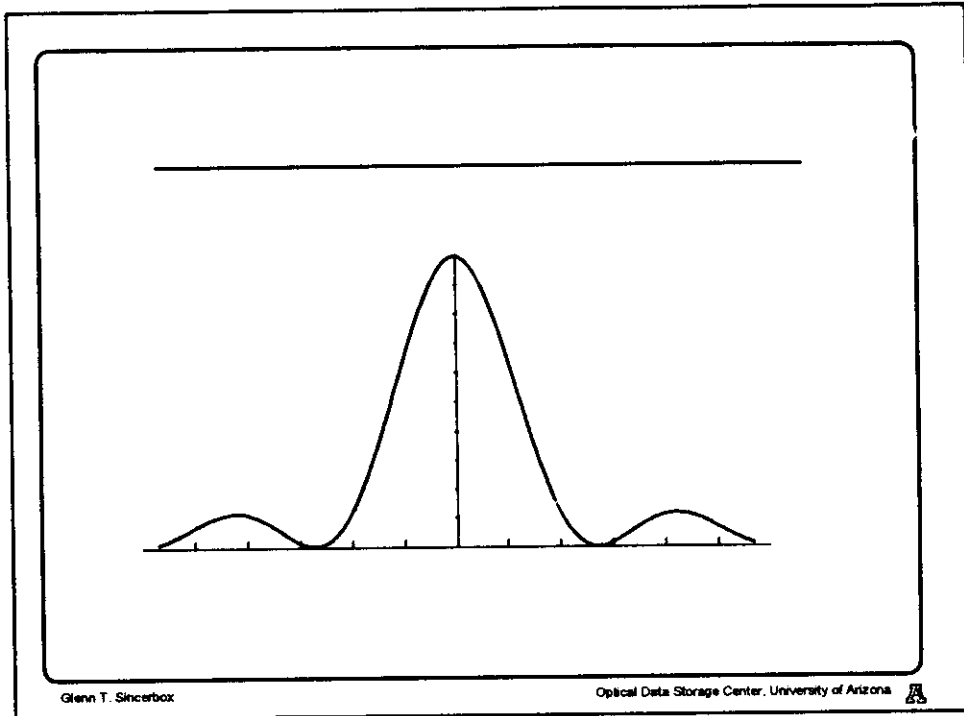
MULTIPLEXING TECHNIQUES

Angle:	van Heerden, 1963
Wavelength:	van Heerden, 1963
Random phase:	LaMacchia, et. al., 1968
Rotation:	Staebler, et. al., 1975
Deterministic phase:	Denz, et. al., 1991
Peristrophic:	Curtis, et. al., 1994
Shift:	Psaltis, et. al., 1995

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MULTIPLEXING WITH PHASE-ENCODED REFERENCE BEAMS

Use all reference beam angles simultaneously with 0° or π° phase encoding

data pages $P_1, \dots, P_k, \dots, P_n$

K^{th} page recorded with phase codes ψ

$$A(k) = \frac{1}{N} \sum_{m=1}^N \sum_{l=1}^N e^{i k_m} e^{i \psi} = 1 \text{ for } m = k$$

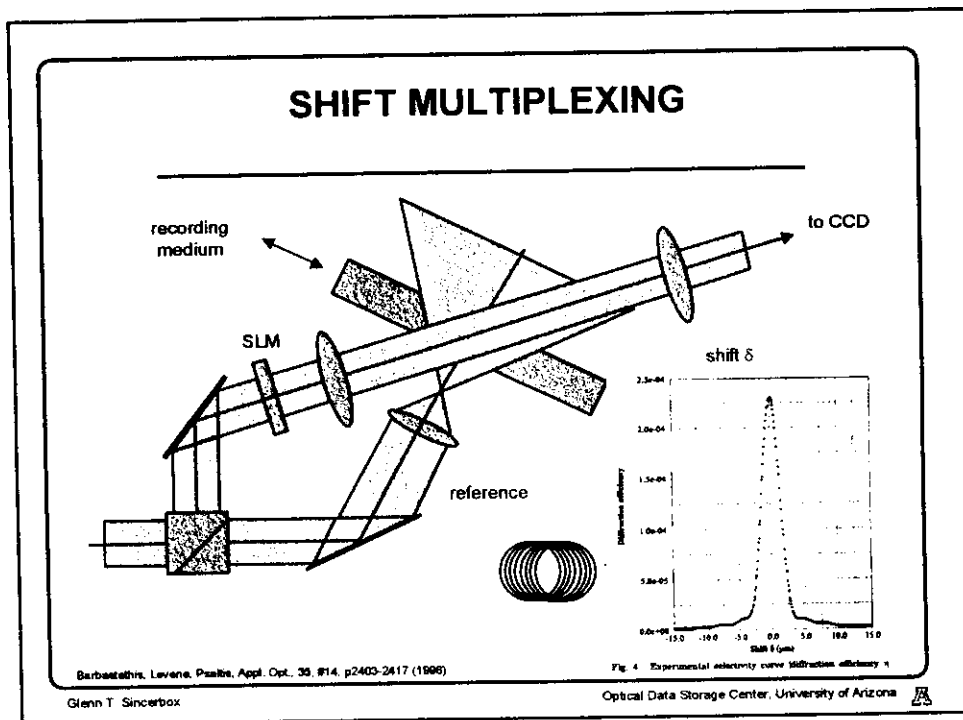
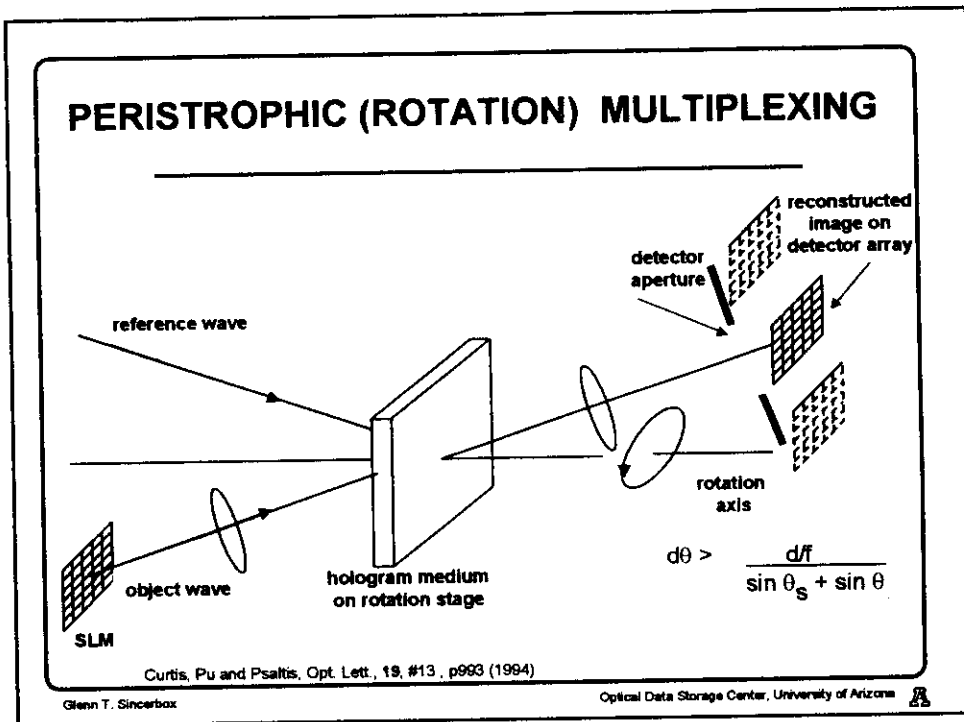
$$= 0 \text{ for } m \neq k$$

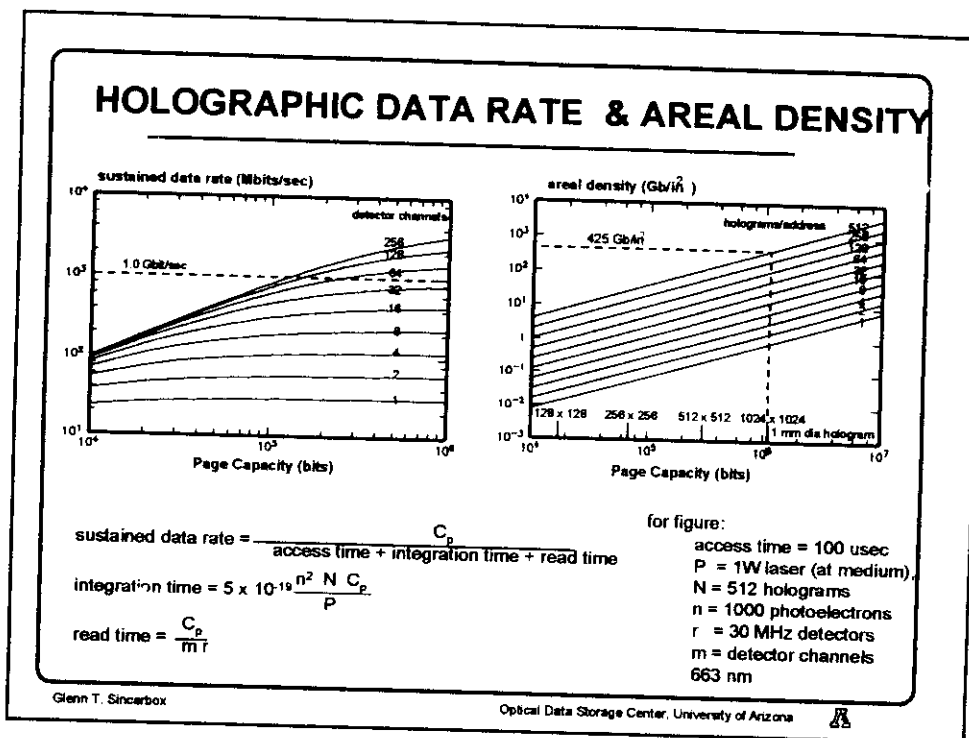
C. Dertz, G. Peulnet & G. Roosen, Opt. Com., **96**, 177 (1991)

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PHASE ENCODING OPTICS - ONE POSSIBILITY -

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BEAM ADDRESSABILITY

Galvanometer (mirrors - mechanical motion)

- > millisecond speed at best
- > not true random access
- > large aperture; large angle
- > broad spectral bandwidth

Acousto-optic (nonmechanical motion)

- > 10's of microsecond
- > random access
- > small aperture: small angles
- > Bragg-type; diffraction

Phase multiplexing (nonmechanical motion)

- > requires a phase SLM
- > limited to SLM response times
- > cannot do x-y addressing

All

- > suited for addressing a stack
- > complex optics for x-y addressing

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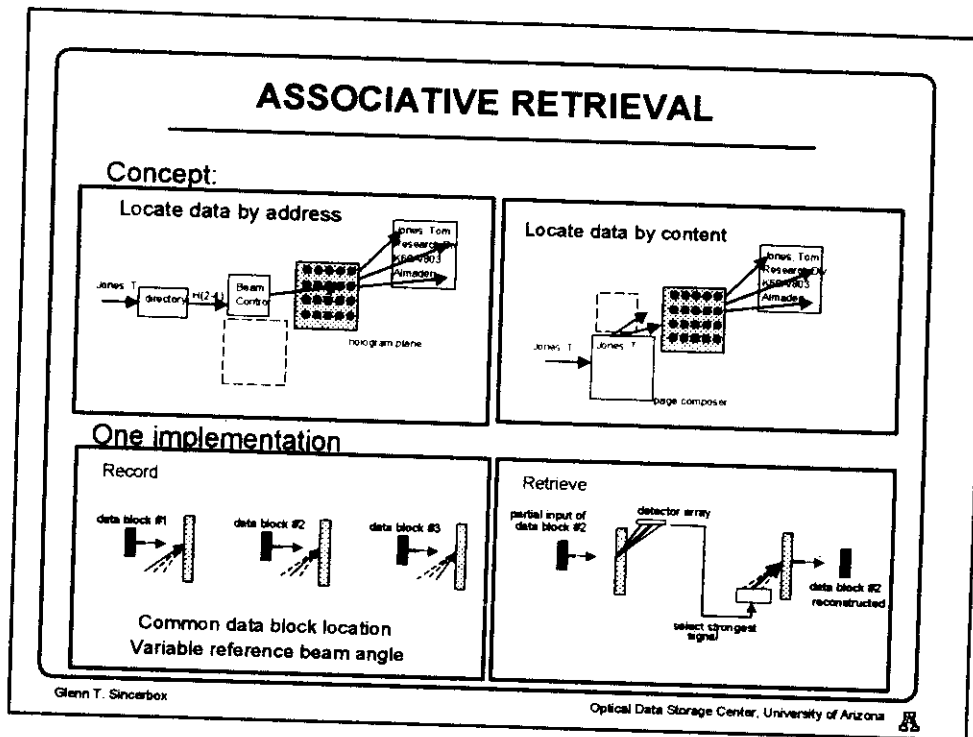
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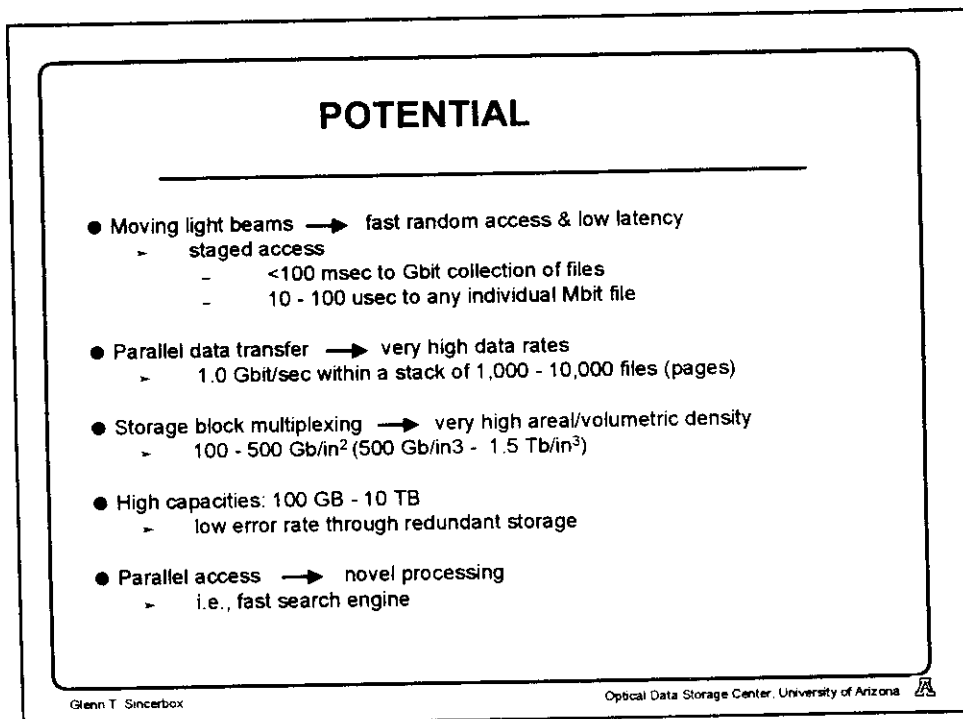
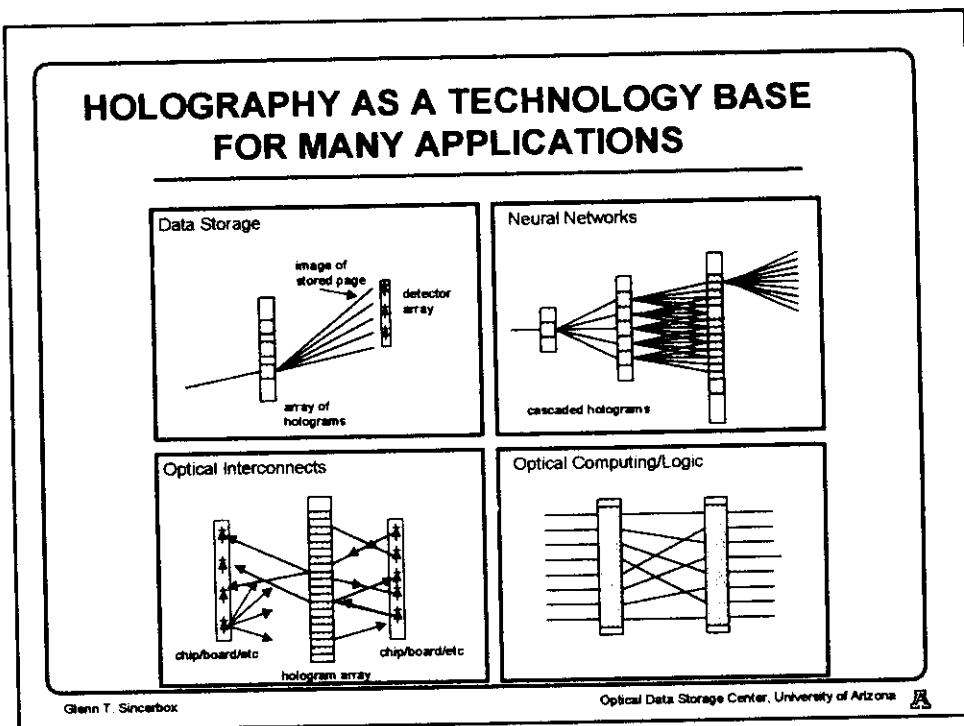




REFERENCES ON ASSOCIATIVE RETRIEVAL


- B. J. Goertzen and P. Mitkas, "Volume holographic storage for large relational databases," *Optical Engineering*, 35(7), 1847-1853, (1995).
- L. J. Irakliotis, G. Betzos and P. A. Mitkas, "Optical associative processors" in A. Krikelis and C. Weems, editors, *Associative Processing and Processors*, 155-179, IEEE Computer Society Press, (1997).
- G. W. Burr, S. Kobras H. Hanssen and H. Coufal, "Content-addressable data storage using volume holograms," submitted to *Applied Optics*, 1999.

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HISTORICAL HIGHLIGHTS

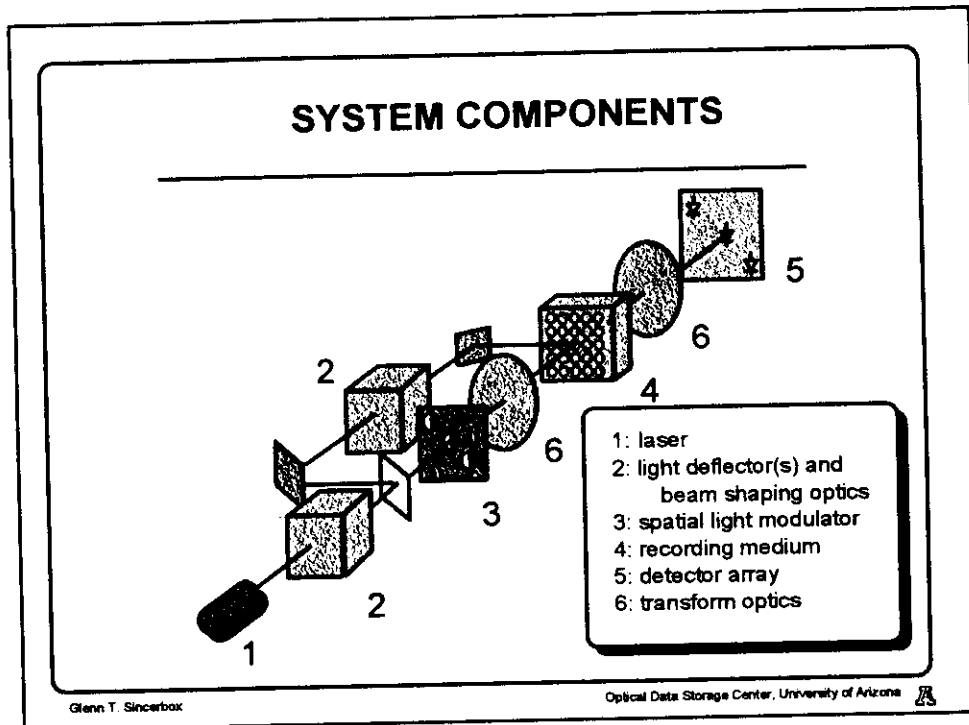
1948	Invention of holography by Gabor
1962-1964	IBM SDD Poughkeepsie Ad. Tech; Standing Wave Read-only Memory
1962	Invention of the laser
1963	Holographic storage principles developed by Van Heerden
1964-1968	IBM SDD Poughkeepsie Ad. Tech; Holographic Beam-addressable memory
1964-1968	Bell Labs photorefractive write-once memory
1966-1968	IBM SDD San Jose Ad. Tech; Computer-generated 1-D memory
1985-1988	IBM GPD Tucson; erasable holographic memory
1988-1991	MCC Bobcat project
1992- present	New companies appear; Tamarack, Accuwave, Holoplex, Optitek
1994	Formation of PRISM (PhotoRefractive Information Storage Materials) Consortium
1995	Formation of the HDSS (Holographic Data Storage Systems) Consortium

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WHAT HAS CHANGED RECENTLY?

- **Development of the enabling technologies**
 - > spatial light modulators
 - > detector arrays
 - > compact laser sources
- **Advances in materials**
 - > progress in nondestructive readout
 - > lithium niobate doping
 - > Two-color recording
 - > polymeric materials
- **Novel architectures**
 - > phase-encoded multiplexing
 - > engineering solutions to hologram volatility
 - > peristrophic multiplexing
 - > shift multiplexing
- **Better understanding of applications**
 - > increasing demand for capacity
 - > potential for WORM applications

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SYSTEM REQUIREMENTS

<ul style="list-style-type: none"> ● Laser <ul style="list-style-type: none"> ✓ near-ir ✓ 500 mW cw, TEM 	<ul style="list-style-type: none"> ● Beam shaping optics <ul style="list-style-type: none"> ✓ low cost, compact ✓ efficient
<ul style="list-style-type: none"> ● Spatial light modulator <ul style="list-style-type: none"> ✓ 1024 x 1024 ✓ matched in pixel spacing to CCD ✓ high contrast ✓ fast, 1000 frames/sec <ul style="list-style-type: none"> ➢ multi-channel 	<ul style="list-style-type: none"> ● Recording medium <ul style="list-style-type: none"> ✓ idealium
<ul style="list-style-type: none"> ● Random phase mask 	<ul style="list-style-type: none"> ● Detector array <ul style="list-style-type: none"> ✓ 1024 x 1024 ✓ matched in pixel spacing to SLM ✓ low noise ✓ fast, 1000 frames/sec <ul style="list-style-type: none"> ➢ multi-channel
<ul style="list-style-type: none"> ● Light deflection/access method <ul style="list-style-type: none"> ✓ hybrid, rugged <ul style="list-style-type: none"> ➢ acousto optic ➢ galvanometer ➢ mechanical motion 	<ul style="list-style-type: none"> ● Transform optics <ul style="list-style-type: none"> ✓ low cost, compact ✓ diffractive optics?

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ENABLING TECHNOLOGIES

Spatial Light Modulator

	Number of pixels	Pixel Spacing (um)	Speed (frames/sec)	Data rate (Mb/sec)	Manuf.
Today	640 x 480	42	60	20	Epson (LC)
Future	1024 x 1024	15.6	100	100	IBM (LC)
Requirement	1024 x 1024	Match detector array	2000	1000	Ti (DMD)

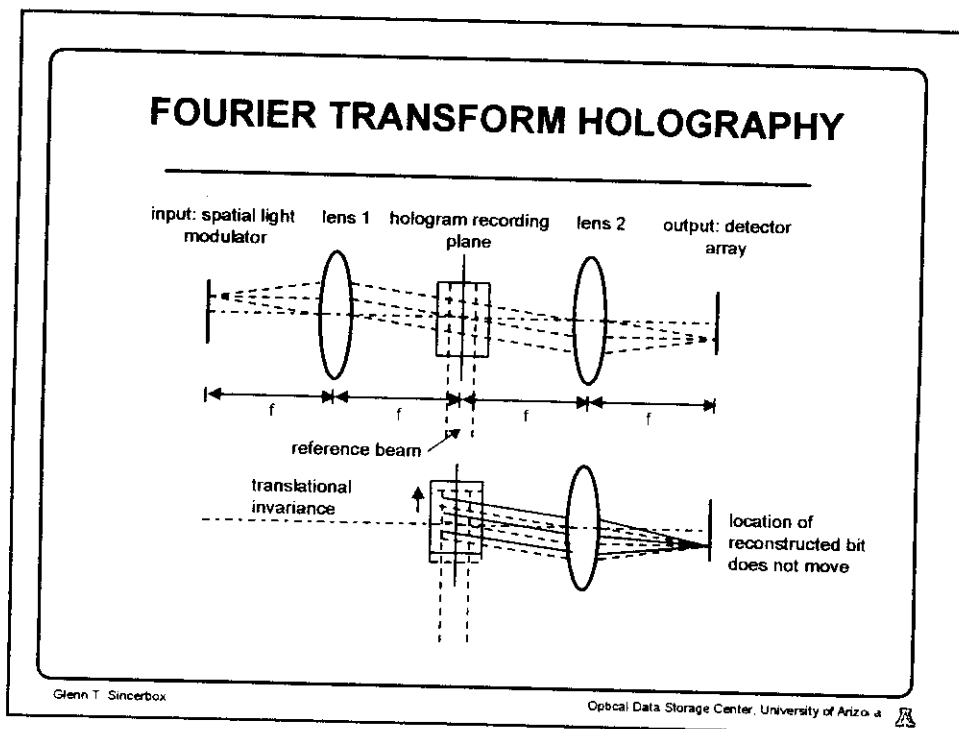
Detector Arrays

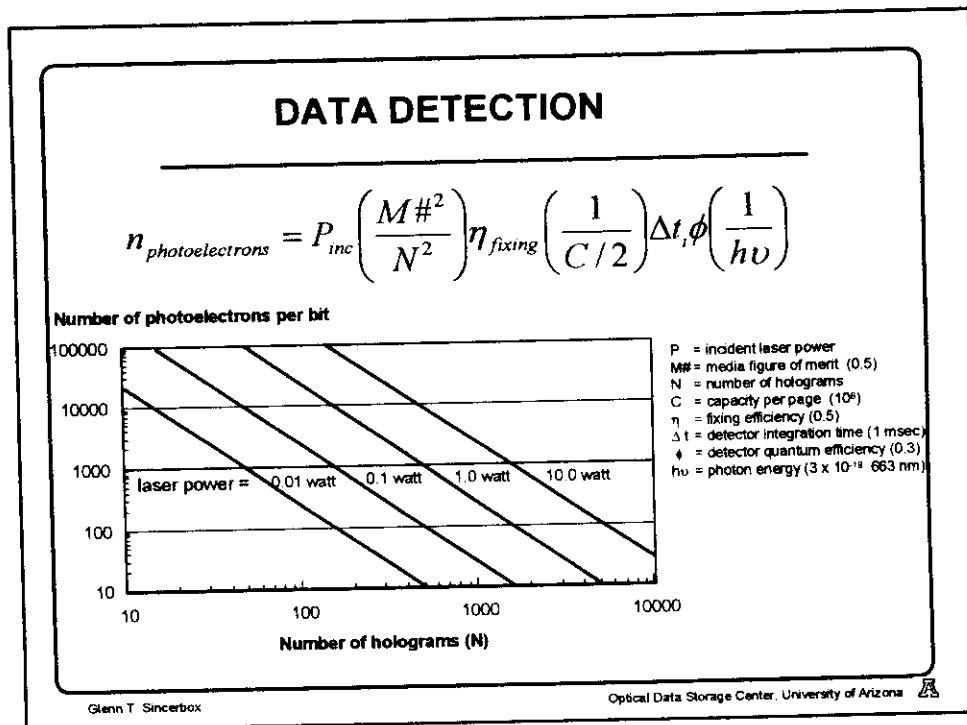
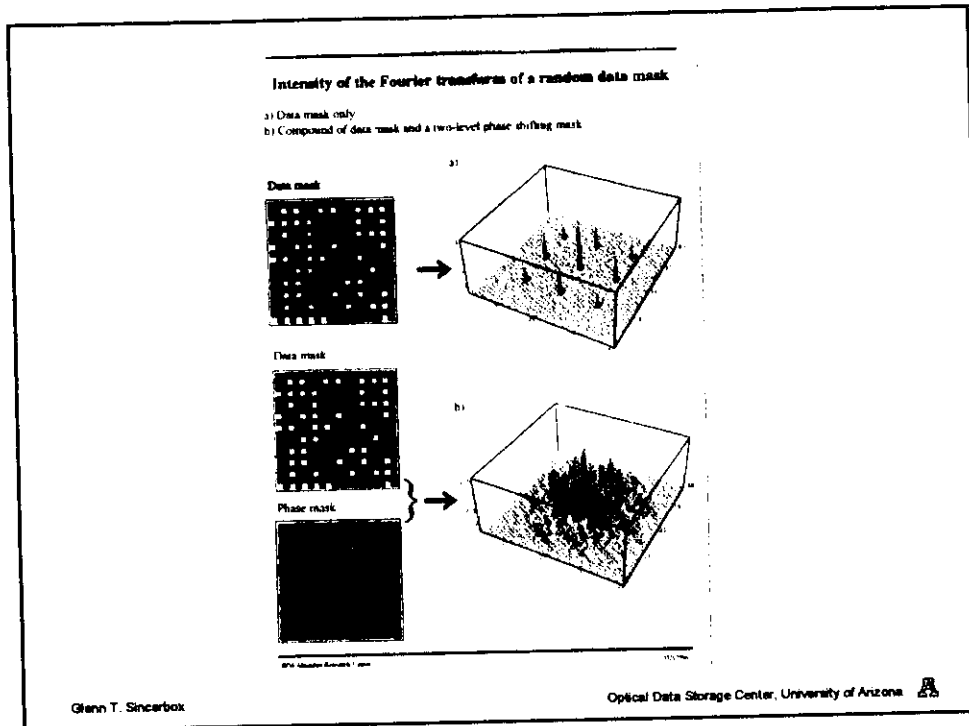
	Number of pixels	Pixel spacing	Speed (frames/sec)	Channels	Data rate (Mb/sec)	Manuf.
Today	1024 x 1024	14	60	4	80	Thomson
Future	1024 x 1024	12.8	1000	64	1000	Kodak
Requirement	1024 x 1024	Match SLM	1000	64	1000	TBD

Lasers: TEM₀₀





	Wavelength (nm)	Power (cw watts)	Technology
Today	530	4	SHG/NdYAG
Future	680	0.3	AlGaAs, M-MOPA
Requirement	TBD	TBD	TBD

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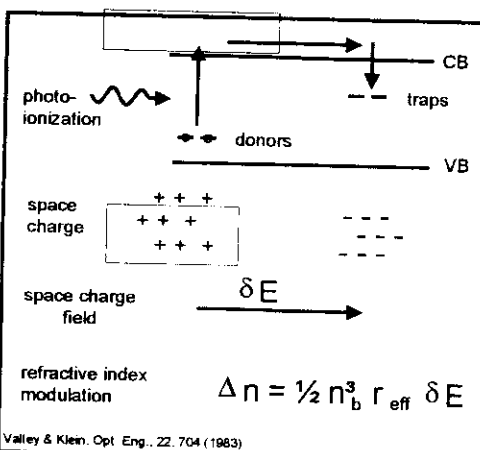
MATERIAL REQUIREMENTS

Mechanisms:	Candidates:	Requirements:
 <p>refractive index change</p>  <p>absorption change</p>  <p>change in thickness or surface relief</p>  <p>combination</p>	<ul style="list-style-type: none"> • Photographic • Photoresist • Photopolymer • Ablative thin films • Thermoplastic • Photochromic • Phase change • Photorefractive • Magneto-optic • Layered systems 	<ul style="list-style-type: none"> • High optical quality • Thick: 500μm - 10 mm • large $\Delta n \sim 10^{-2} - 10^{-3}$ • large M# • Sensitivity 10⁻² cm²/joule • Self-processing • Fixable • Near-ir sensitive • Long shelf life • Inert • Cheap

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Photorefractive Materials

- ◆ Charge generation & trapping = f(dopants)
- ◆ Electro-optics & charge transport = f(host)



$$\Delta n = \frac{1}{2} n_b^3 r_{eff} \delta E$$

Valley & Klein, Opt. Eng., 22, 704 (1983)

	index change 10 ⁻⁶	sensitivity 10 ⁻³ cm ² /joule
BSO	35	14
BaTiO ₃	1000	5
SBN	200	0.083
LiNbO ₃	100	0.050
PVK/TNF/ F-DEANST	150	0.019

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Photorefractive Sensitivity 4 ways to measure

1. Change in refractive index per unit of energy absorbed: $S_{a1} = \frac{dn_1}{d(\alpha W_0)}$
 2. Change in refractive index per unit of energy incident: $S_{i1} = \frac{dn_1}{dW_0} = \alpha S_{a1}$
 3. Change in diffraction efficiency per unit of absorbed energy: $S_{\eta1} = \frac{d(\eta^{(1)})}{d(\alpha W_0)} \frac{1}{d}$
 4. Change in diffraction efficiency per unit of incident energy: $S_{i2} = \frac{d(\eta^{(1)})}{dW_0} \frac{1}{d} = \alpha S_{\eta1}$
- In the early stages of hologram formation:
- $$\eta = \sin^2 \left(\frac{\pi \Delta n_1 d}{\lambda \cos \theta_1} \right) \approx \left(\frac{\pi \Delta n_1 d}{\lambda \cos \theta_1} \right)^2$$
- Hence:
- $$S_{\eta1} = \frac{\pi}{\lambda \cos \theta_1} S_{a1}$$

from: Gunter & Hugiard, *Photorefractive Materials and Their Applications I*, Springer-Verlag, 1988

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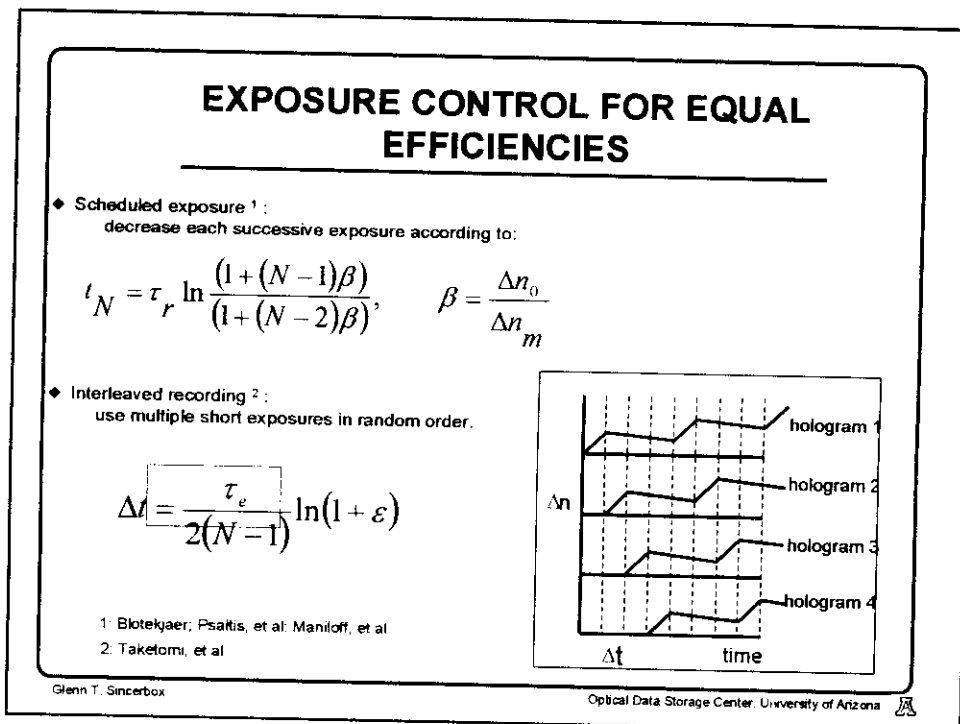
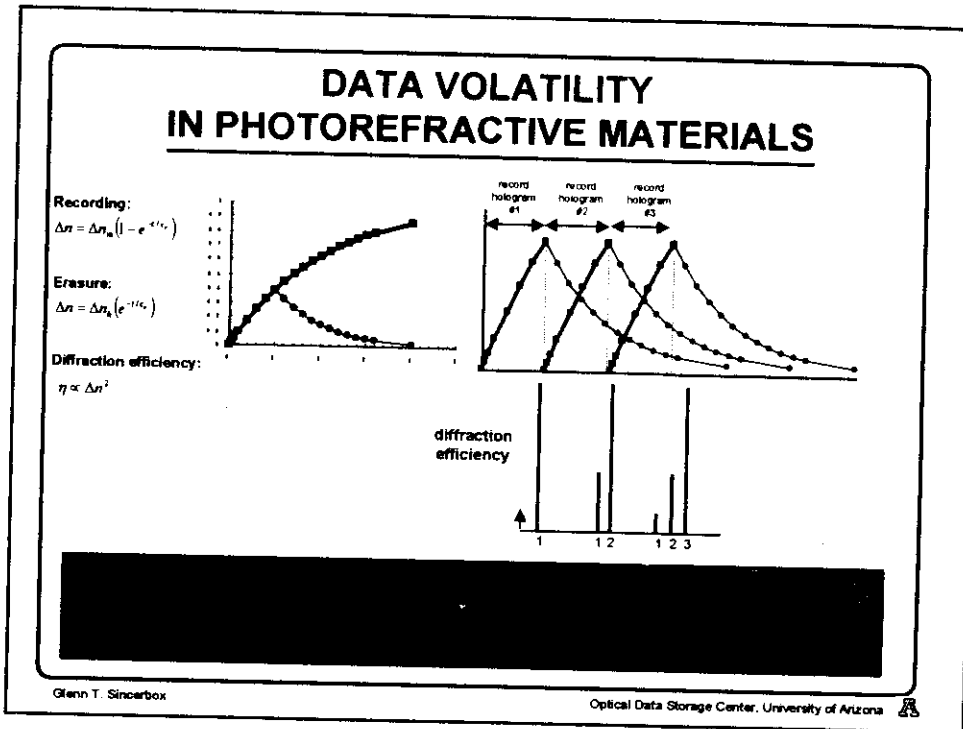
Typical Sensitivities

	$S_{a1} [10^3 J cm^{-2}]$	$S_{i1} [10^3 J cm^{-2}]$	$S_{\eta1} [mJ cm^{-1}]$	$S_{i2} [mJ cm^{-1}]$
LiNbO ₃	20 - 200	---	1000	300
LiTaO ₃	---	---	50	10
KNbO ₃	6 - 60	0.1	---	---
BaTiO ₃	.2	---	50 - 1000	---
Sr _{0.4} Ba _{0.6} Nb ₂ O ₆ :Ce	12 - 75	7.2 - 30	2.5 - 15	1.6 - 6
Bi ₁₂ SiO ₂₀	0.07 - 0.5	---	5	---

> Gunter & Hugiard, *Photorefractive Materials and Their Applications I*, Springer-Verlag, 1988
 > Valley & Klein, *Opt. Eng.*, Vol. 22(6), p704-711, (1983)

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EXAMPLE OF DATA VOLATILITY

- Equal exposure times

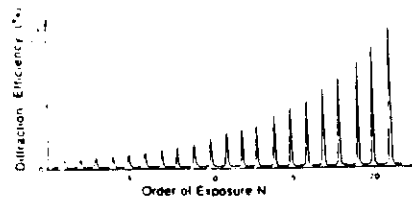


Fig. 2. Diffraction efficiency versus the order of exposure, N , before compensation. The reference-to-object beam ratio $K = 1$.

- Scheduled exposure

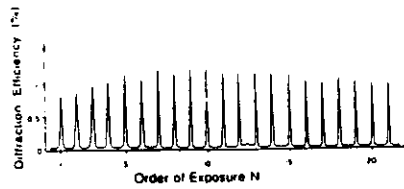


Fig. 3. Diffraction efficiency versus the order of exposure after compensation, with $K = 1$.

From Manloff et al

Glenn T. Sincerbox

Optical Data Storage Center, University of Arizona



Diffraction Efficiency Considerations

diffraction efficiency: $\eta = \sin^2 \frac{\pi \Delta n d}{\lambda \cos \theta}$

refractive index growth: $\Delta n_0 = \Delta n_m \left(1 - e^{-\frac{t}{\tau_r}} \right)$

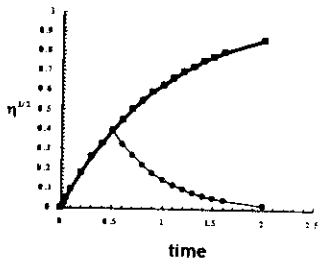
recording schedule: $t_N = \tau_r \ln \left(\frac{1 + (N-1)\beta}{1 + (N-2)\beta} \right)$

combining: $\eta = \sin^2 \frac{\pi \Delta n_m d}{\lambda \cos \theta} \left(\frac{\beta}{1 + (N-1)\beta} \right) \propto \frac{1}{N^2}$

i.e., efficiency for 1,000 holograms = efficiency for 1 hologram / 1,000,000

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M-NUMBER



growth: $\left(1 - e^{-\frac{t}{\tau_r}} \right)$

decay: $e^{-\frac{t}{\tau_r}}$

Typical M/#

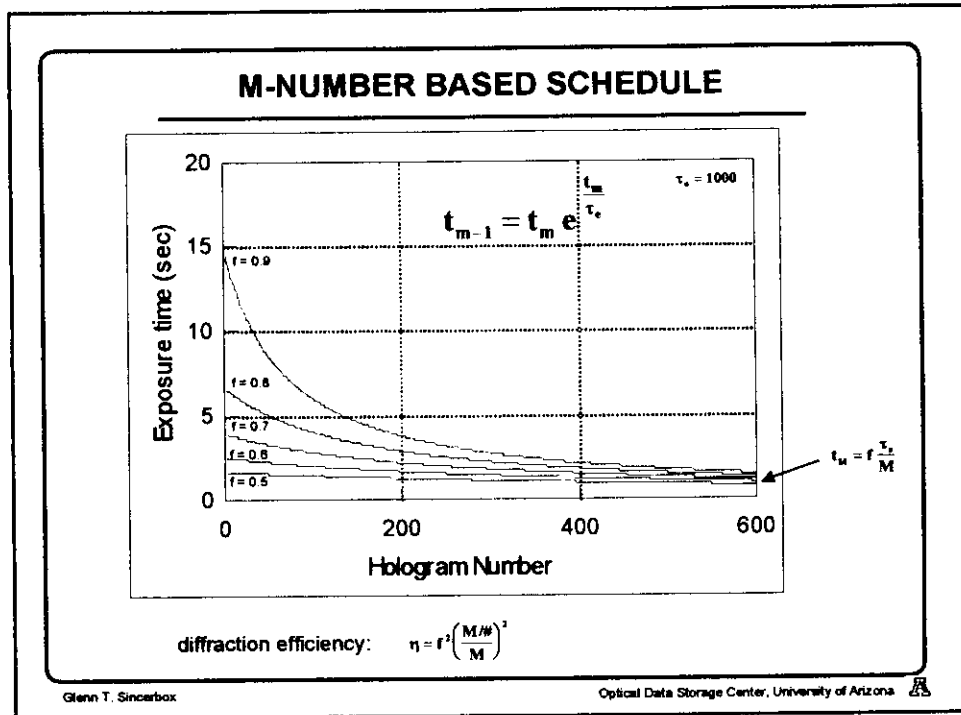
	Data	Plane waves
LiNbO ₃	0.5 - 1.0	1.5 - 20
KLTN		4 - 25
SBN		70
Polymer		15

$$\eta = \left[\left(\frac{A_0}{\tau_r} \right) \frac{1}{M} \right]^2 = \left(\frac{M/\#}{M} \right)^2$$

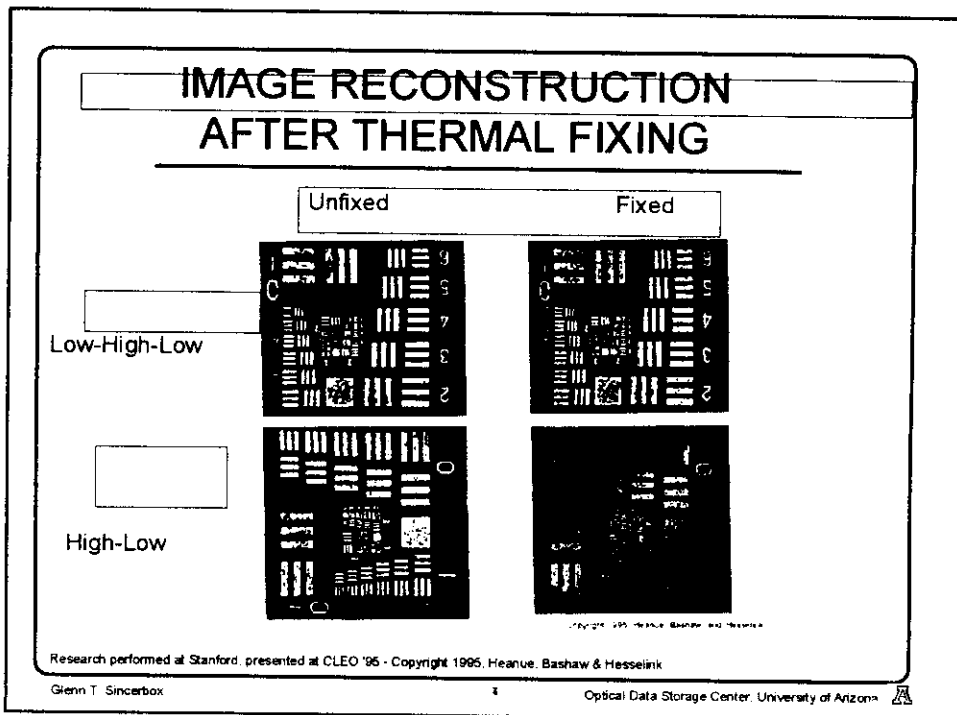
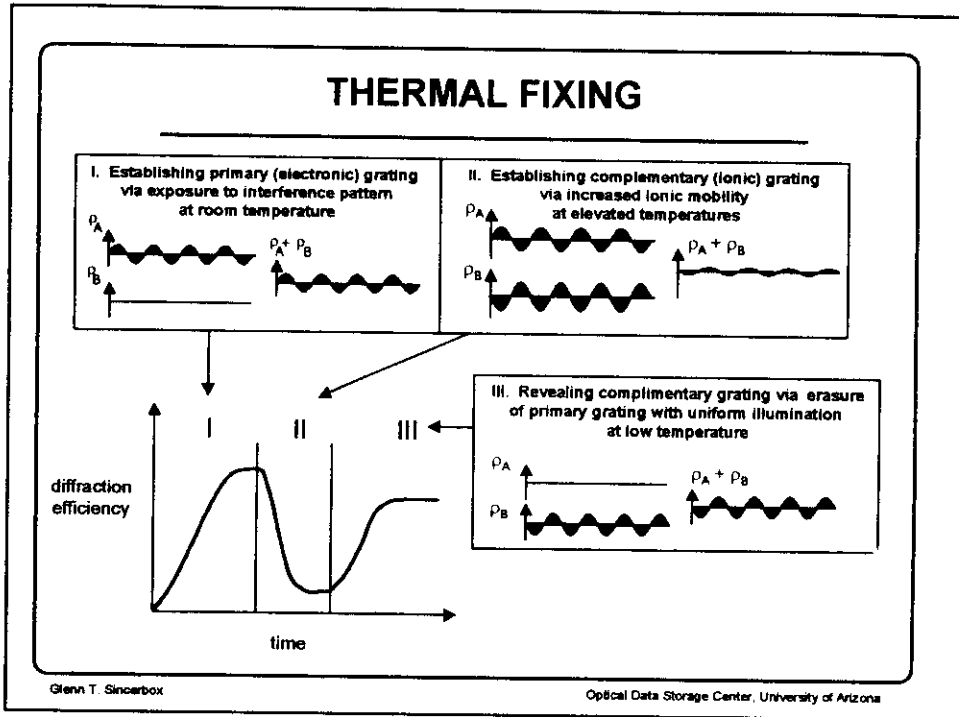
$$M/\# = \left(\frac{A_0}{\tau_r} \right) \tau_r$$

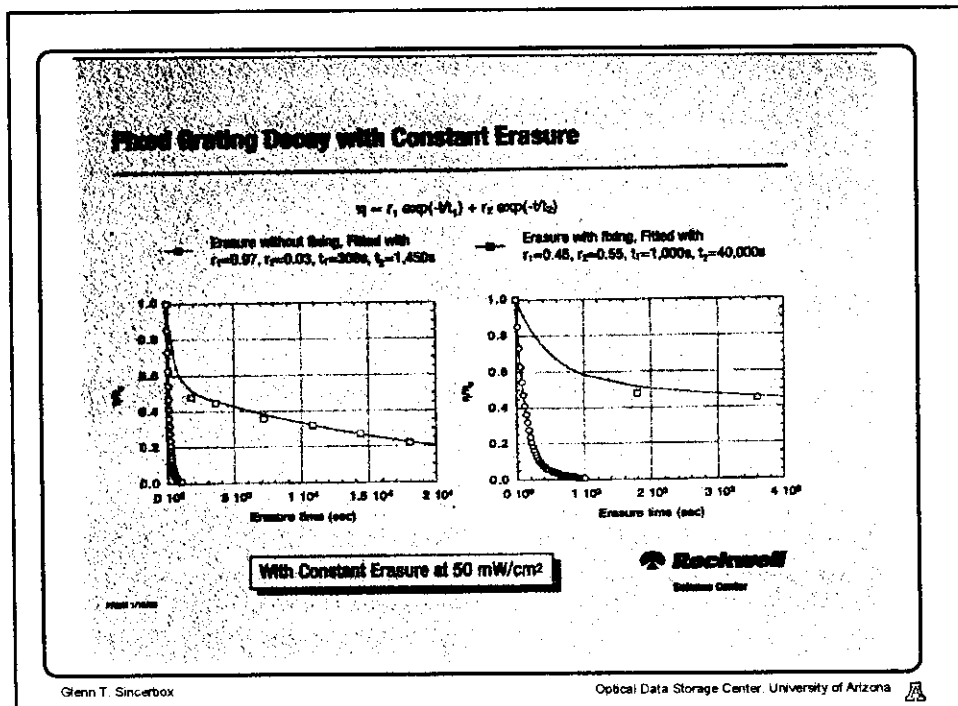
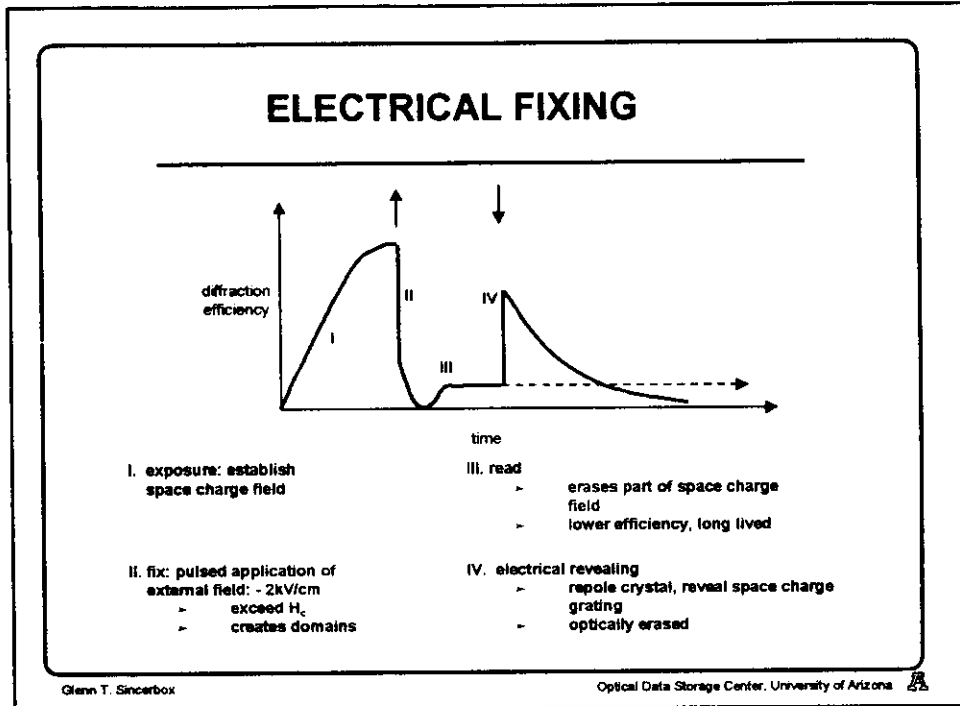
Mok, Burr and Psaltis, Opt. Lett., Vol 21 (12), p898 (1996)

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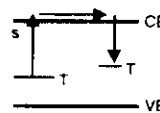
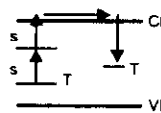
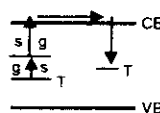
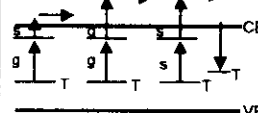


- ### SOLUTIONS TO DATA VOLATILITY
- Conversion to a less-mobile species (fixing)**
 - > thermal (ions)
 - > electrical (domains)
 - Gated recording/reading**
 - > 2-color
 - Novel engineering solutions**
 - > optical, electrical feedback
 - Irreversible photochemistry**
 - > read-only
 - > write-once-read-many (WORM)
- Glenn T. Sincerbox
- Optical Data Storage Center, University of Arizona





RECORDING OPTIONS

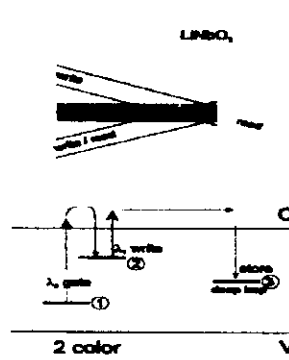
1-photon	2-photon $\omega_1 = \omega_2$	2-color $\omega_1 > \omega_2$	mixed $\omega_1 < \omega_2$
			
no gating	no gating	true gating	gating bkgnd no gating

Gated recording:

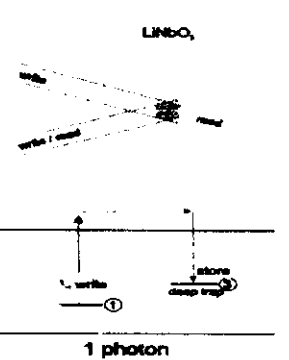
- pump material with light at first wavelength
 → light carries no information - serves only as a gate
- form hologram at second wavelength
- read hologram at second wavelength without gate
- erase hologram with both wavelengths

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Two-color holographic gratings



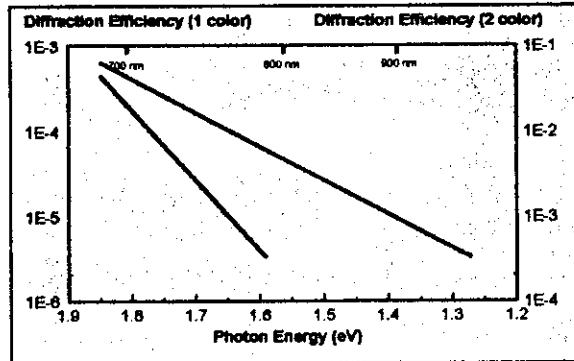
2 color



1 photon

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TWO-COLOR RECORDING



research performed at SRI

Glenn T. Sincerbox

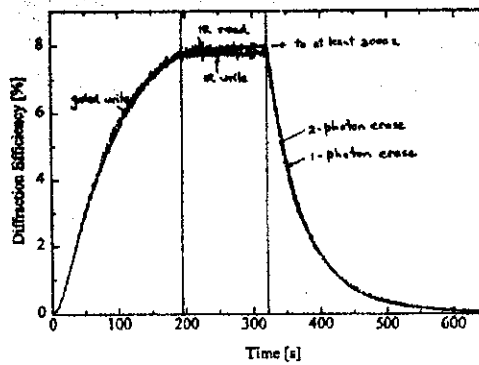
Optical Data Storage Center, University of Arizona

2 - COLOR GATING

$\text{LiNbO}_3:\text{Pr}$

write: 800 nm, 25+25 W/cm²

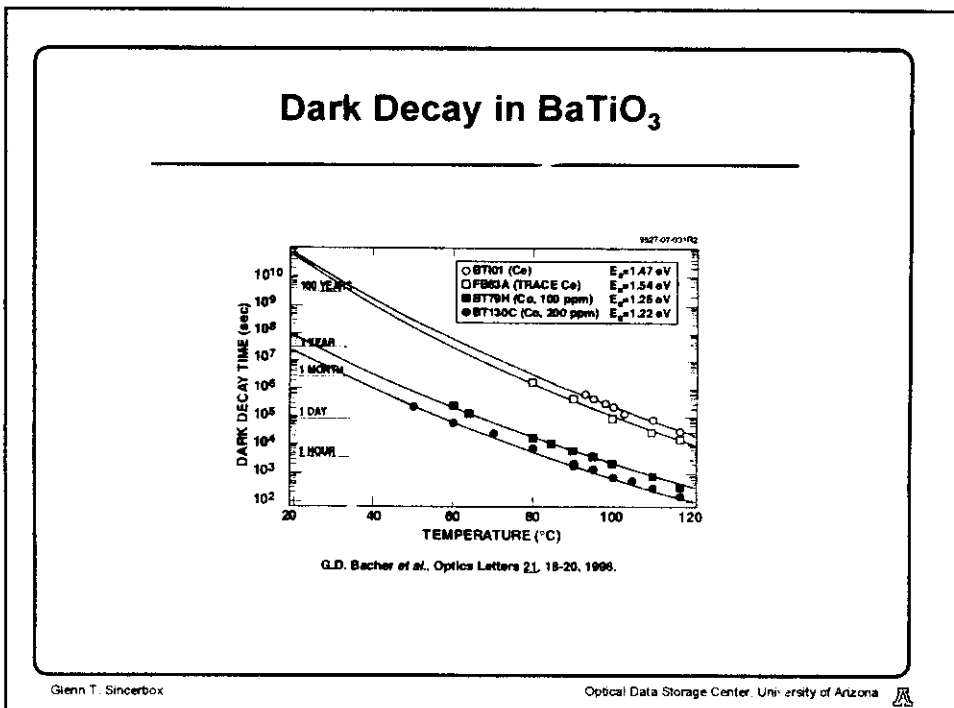
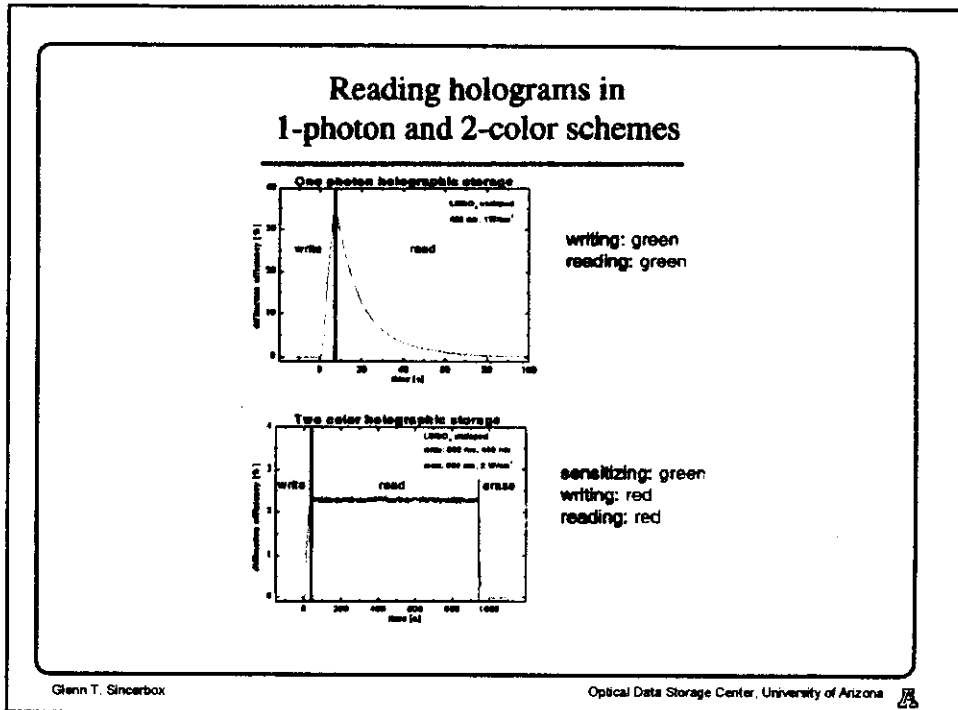
gate: 488 nm, 25 W/cm



research performed at IBM

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NONDESTRUCTIVE READOUT AT ANOTHER WAVELENGTH

Bragg Effect - plane waves:

$d = \frac{\lambda_1}{n \sin \theta_1}$ Read at λ_1 $\frac{\lambda_1}{\sin \theta_1} = \frac{\lambda_2}{\sin \theta_2}$ Read at λ_2

Extended object can only be Bragg-matched for one ray:

Read at λ_1 Read at λ_2

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CONTROL OF DESTRUCTIVE READOUT

- ◆ Buffer data and feedback
- ✦ Electronic feedback

Bq, Paulat & Roosen, Opt. Lett., 17, 438 (1992).

- ✦ Opto-optic feedback

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ENGINEERING SOLUTIONS TO VOLATILITY

Fig. 1. Schematic diagram of the sampled dynamic holographic network.

(a) record 10 holograms, equal exposure
 (b) after dynamic copying for 27 cycles
 (c) erasure of 5th hologram with 180 reference beam phase shift
 (d) dynamic copying to rejuvenate other 9 holograms
 (e) writing of new hologram in 5th position with dynamic equalization

Y. Qiao & D. Psaltis, Opt. Lett. 17 (19), 1992

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PHOTOREFRACTIVE POLYMER DESIGN

Requirements:

- photosensitivity
- photoconductivity
- trapping
- electro-optic properties

Guest/Host approaches

Low T_g

Fully functionalized approach

High T_g

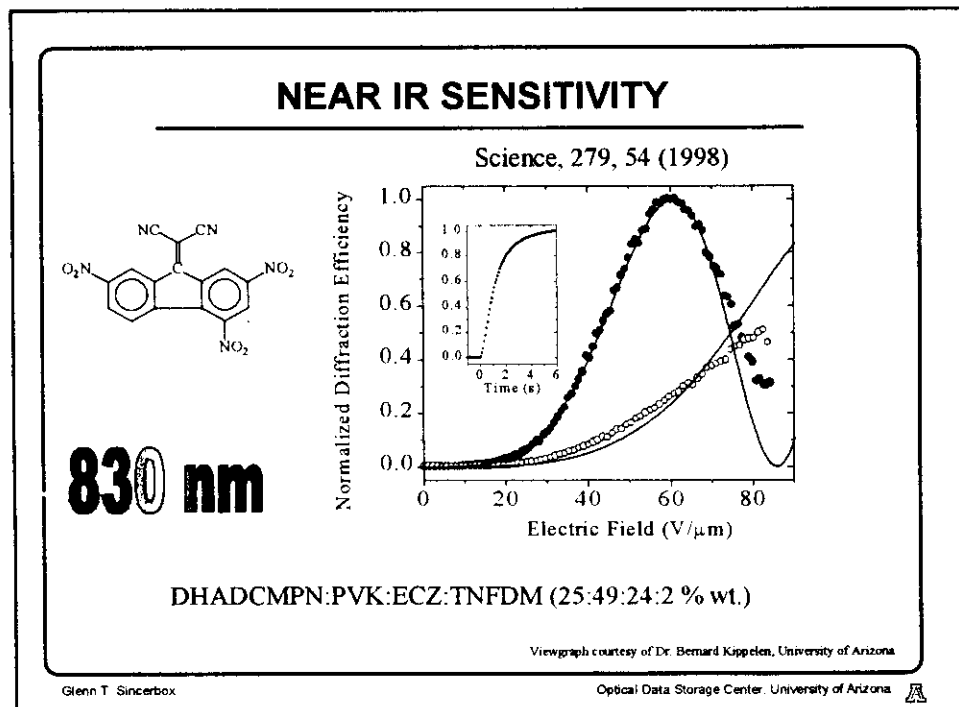
Viewgraph courtesy of Dr. Bernard Kippelen, University of Arizona

Glenn T. Sincerbox Optical Data Storage Center, University of Arizona

Holographic Storage	
Material requirements	Current status
☐ > 1 mm thick	📖 100 - 200 μm
☐ high Δn	📖 $\Delta n \approx 0.01$
☐ 670-850 nm	📖 488 - 830 nm
☐ high optical quality	📖 phase stability
☐ low scattering	📖 zero birefringence matrix
☐ 1 ms response time	📖 > 100 ms
☐ non-destructive read-out	📖 TPA photorefractive polymers

Viewgraph courtesy of Dr. Bernard Kippelen, University of Arizona

Glenn T. Sincerbox Optical Data Storage Center, University of Arizona



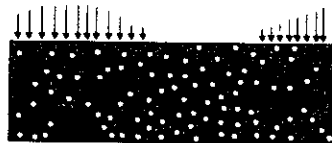
HOLOGRAPHY VIA PHOTOPOLYMERIZATION

A) monomer dispersed in a host matrix



○ monomer ● polymer

B) light-induced polymerization



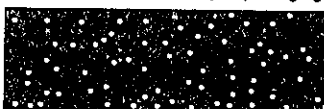
○ monomer ● polymer

C) monomer diffusion by concentration gradient



○ monomer ● polymer

D) continued polymerization by exposing light



○ monomer ● polymer

E) hologram fixing by uniform illumination

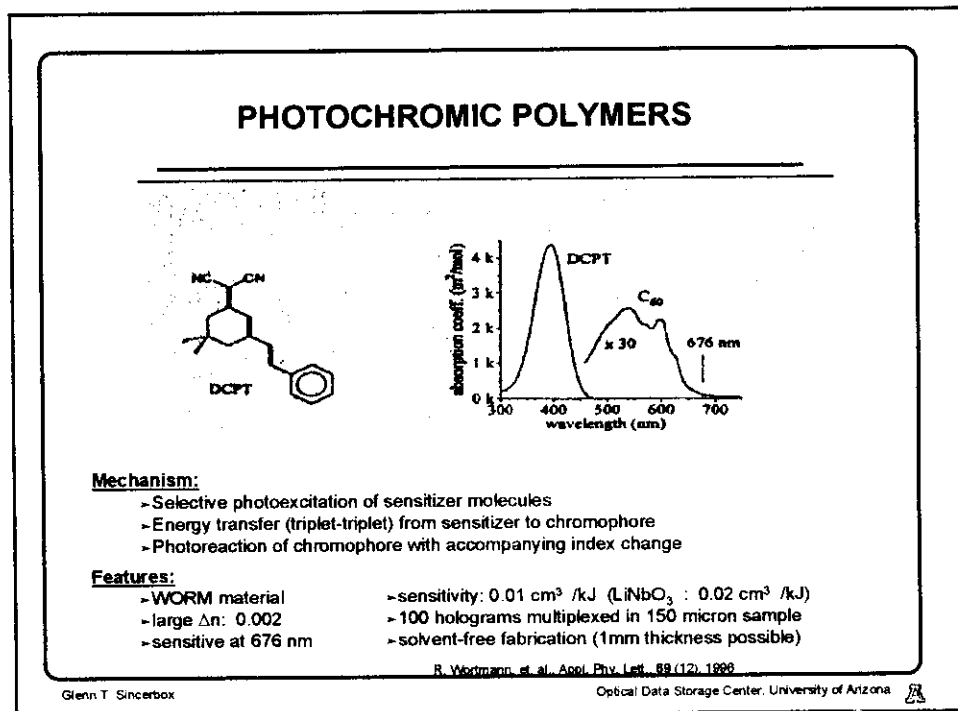
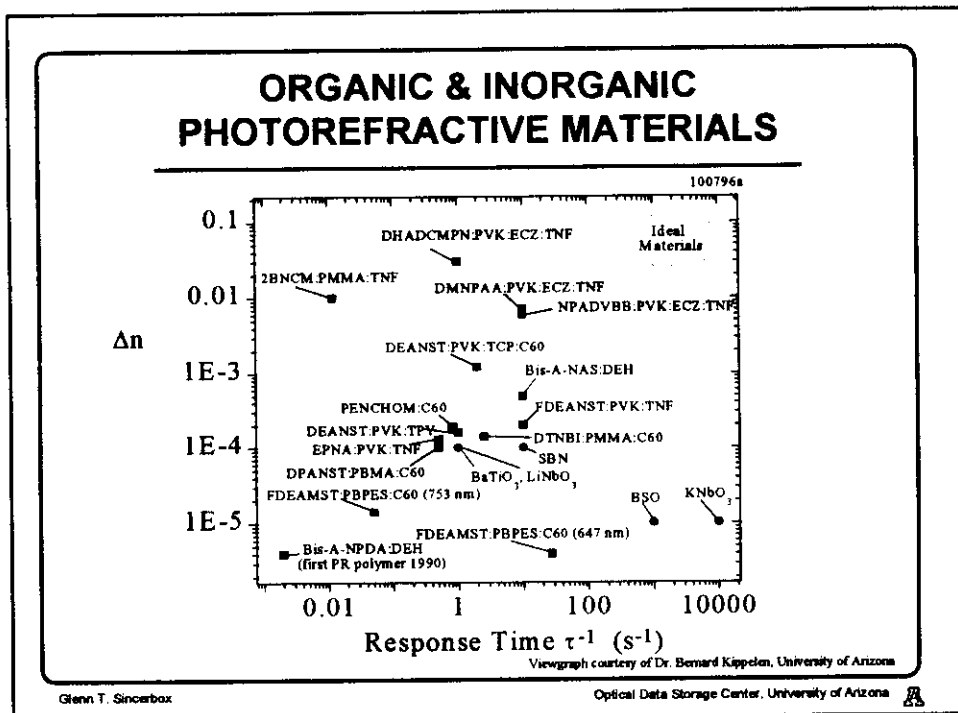


○ monomer ● polymer

Glenn T. Sincerbox

Optical Data Storage Center, University of Arizona





Lucent photopolymer strategy



Matrix

- In situ matrix formation (thick media, flatness)
- Cross-linked matrix (stable support)
- Compatibility with monomer (low scatter)
- Robust polymer backbone (environmental)

Monomer Photochemistry

- Chemistry independent from matrix formation (Δn contrast)
- High contrast with low shrinkage
- Efficient photochemistry (sensitivity)
- Compatible with matrix (low scatter)

07/02/99

A. Harris/L. Dhar

Lucent Technologies
Bell Labs Innovations



Holographic WORM Media Requirements

Performance

- Dynamic Range - High storage density with rapid read rate
 - Refractive Index Contrast (Δn)
 - Thickness
 - Low scatter
- Media Thickness - Low crosstalk of multiplexed holograms
- Photosensitivity (visible - near-IR) - High write rate
- Optical Quality - Low BER imaging of digital data pages

} M/#

Cost

- Low Cost

Stability

- Dimensional Stability - High fidelity data recovery
- Nonvolatile Readout
- Solvent/Heat-Free Processing
- Long Archival Life (5-10 years)
- Environmental and Thermal Stability

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A. Harris/L. Dhar

Lucent Technologies
Bell Labs Innovations



Lucent Holographic WORM Media Status, mid-1999

Performance	Goal	Status
<u>Key performance parameters met</u>		
o Dynamic Range (M#)	15	~20
o Chemical shrinkage.....	0.1%	0.1%
o Thickness	1 mm	1 mm
o Scatter	~1e-6	>~1e-6
o Photosensitivity (532 nm) (total exposure dose)		
.....	~500 mJ/cm ²	~350 mJ/cm ²
o Optical Quality	<(λ/2)/cm	<(λ/4)/cm

Stability

Key stability issues under investigation

- o Tested shelf life >Months
- o Archival life of high density digital data ... Under investigation
- o Environmental Sealed cell
- o Thermal Dimensional Stability Temp control drive

07/02/99

A. Harris/L. Dhar

Lucent Technologies
 Bell Labs Innovations



Lucent Holographic Photopolymer Data Storage Status

Photopolymer material meeting key performance requirements for high density digital storage

- dynamic range
- writing-induced dimensional change
- scatter and optical quality
- sensitivity

Demonstration of Digital Data Storage & Recovery
 >3000 Digital Data Pages @ 48 bits/μm²

Next Steps

- Demonstrate higher density in newest materials (100 bits/um2)
- Systematic investigation of thermal/environmental stability
- Demonstrate archival stability of high density digital data
- Manufacturable low-cost media strategy

07/02/99

A. Harris/L. Dhar


Lucent Technologies
 Bell Labs Innovations




IBM HOST TESTSTAND

Computer-controlled precision teststand

- > Fourier transform (4f) optics
- > 1024 x 1024 bit masks (random)
- > 1:1 imaging onto detector array
- > 14 bit image capture
- > 25-335 degree reference angles
- > interferometric stability (20 nm)
- > multi-wavelength (Ar, Kr lasers)
- > menu-driven writing & reading
- > 15 translation/rotation stages
- > laser power, polarization control
- > multiple sample configurations
- > extremely low intrinsic bit error rate

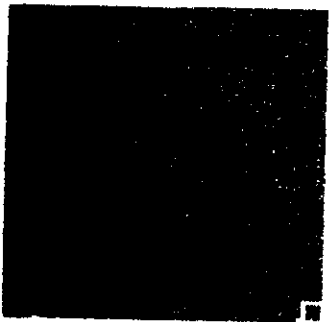


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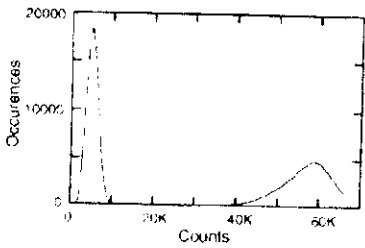
IBM HOST
IBM Holographic Optical Storage Team

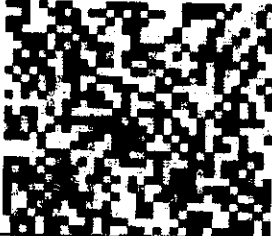
High Resolution Image of 256 kbit Data Page



Shown above is a 512 x 512 pixel data mask, imaged through the HOST tester.
 At right, a 1kb portion is expanded to show individual data bits.

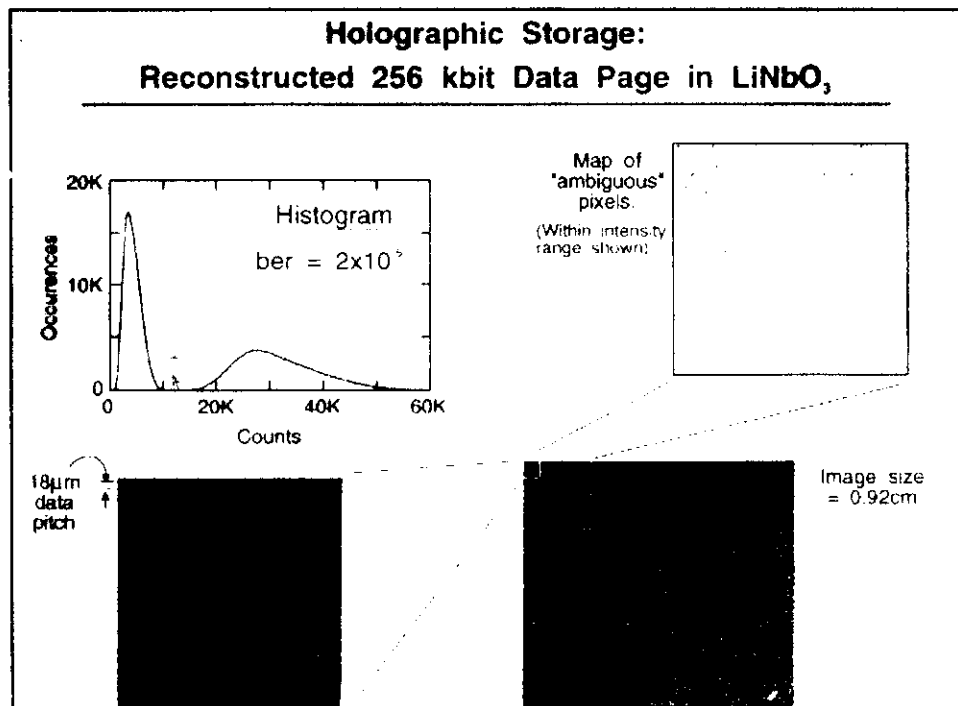
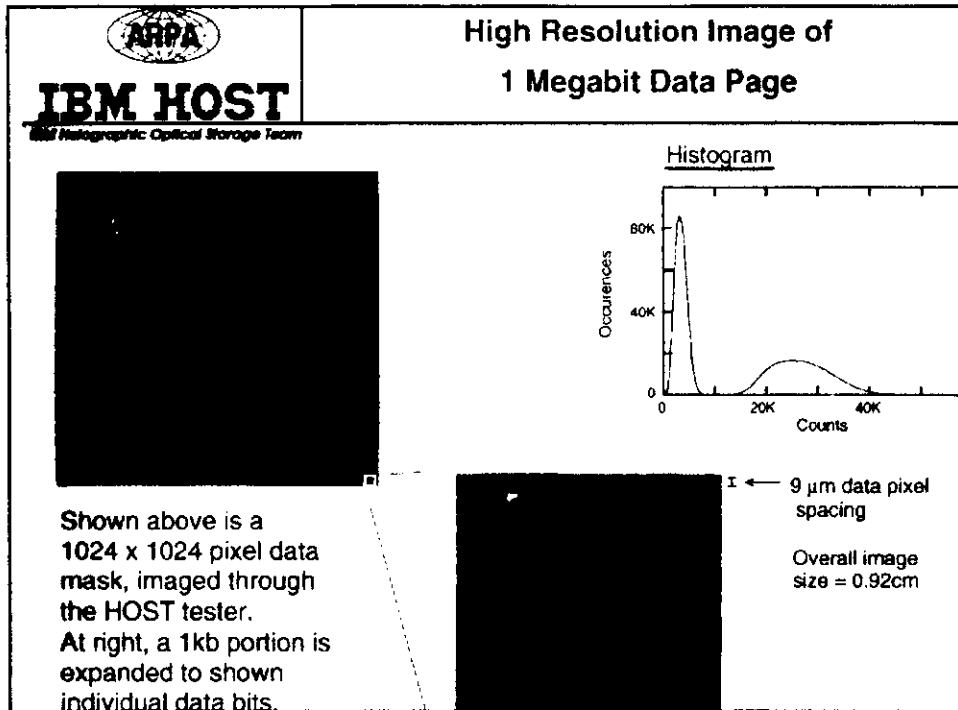
Histogram

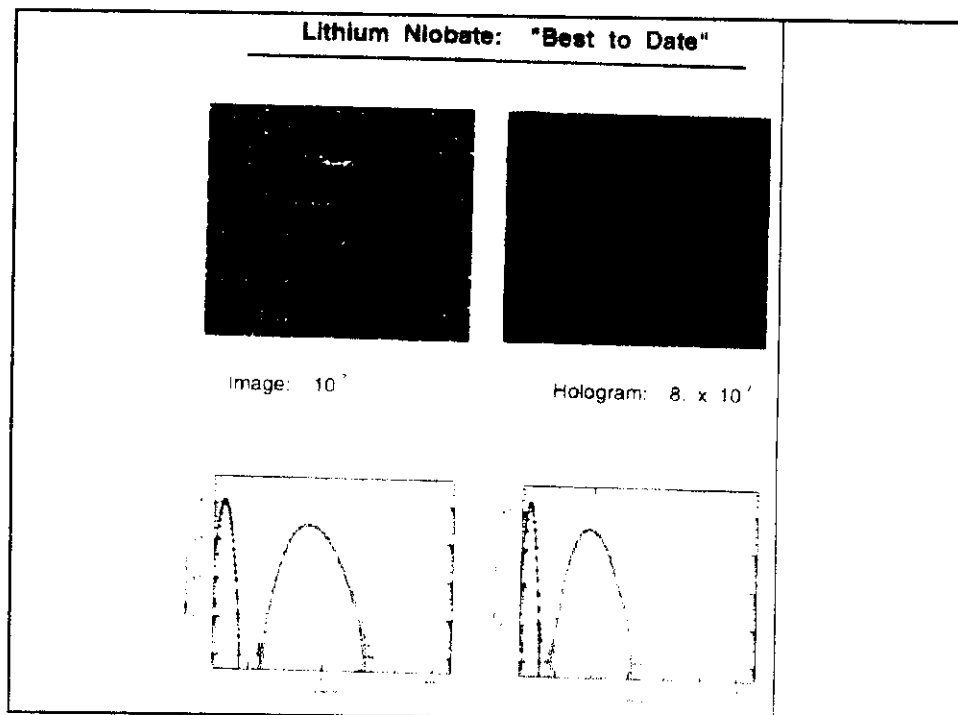
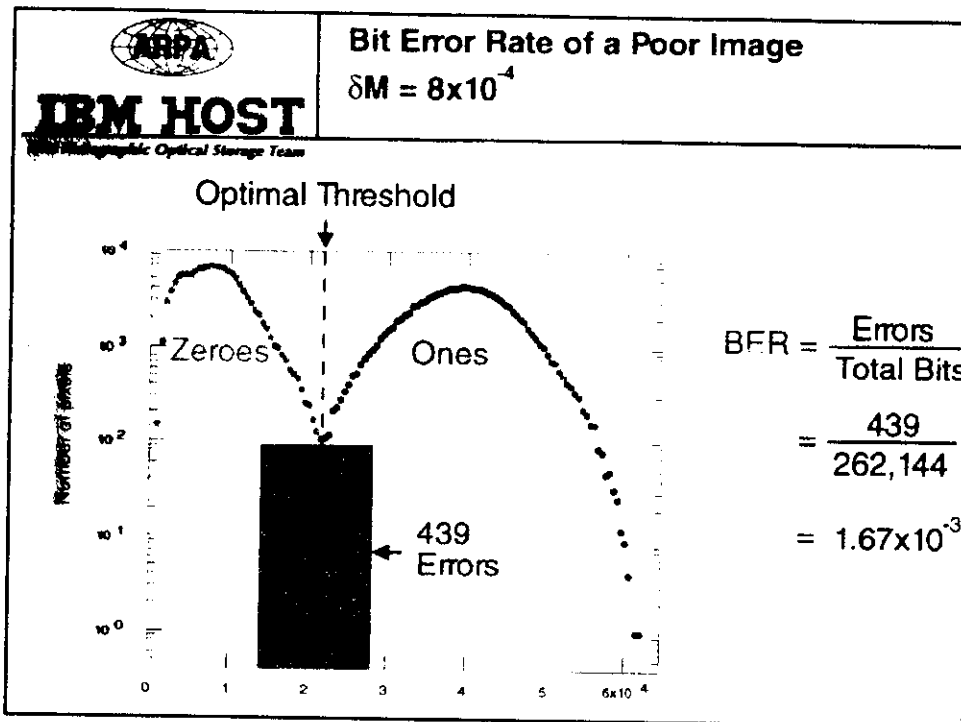


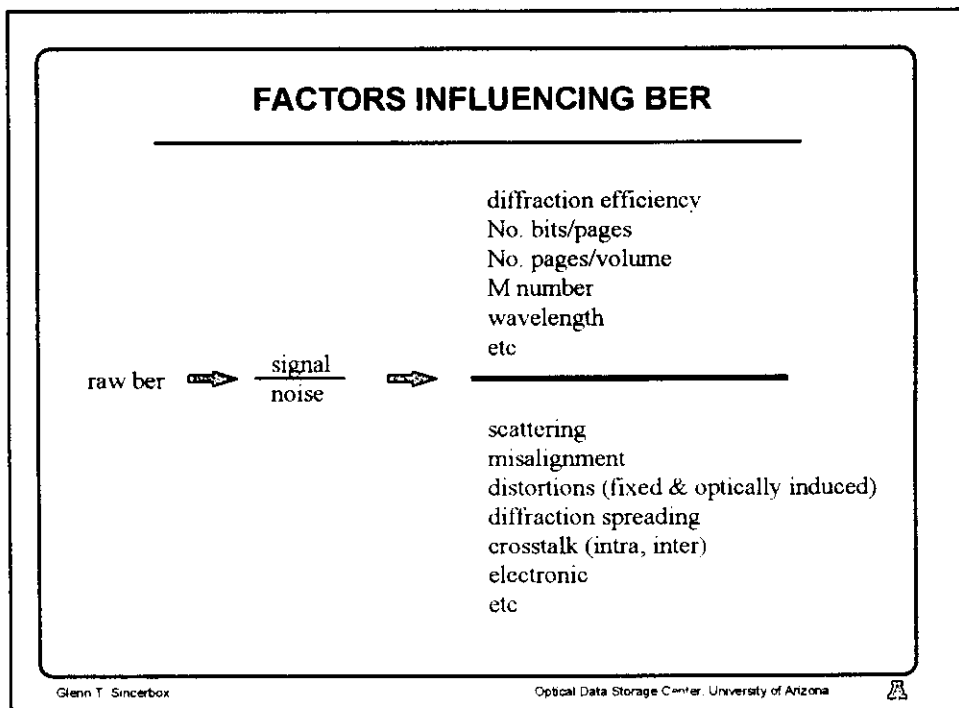
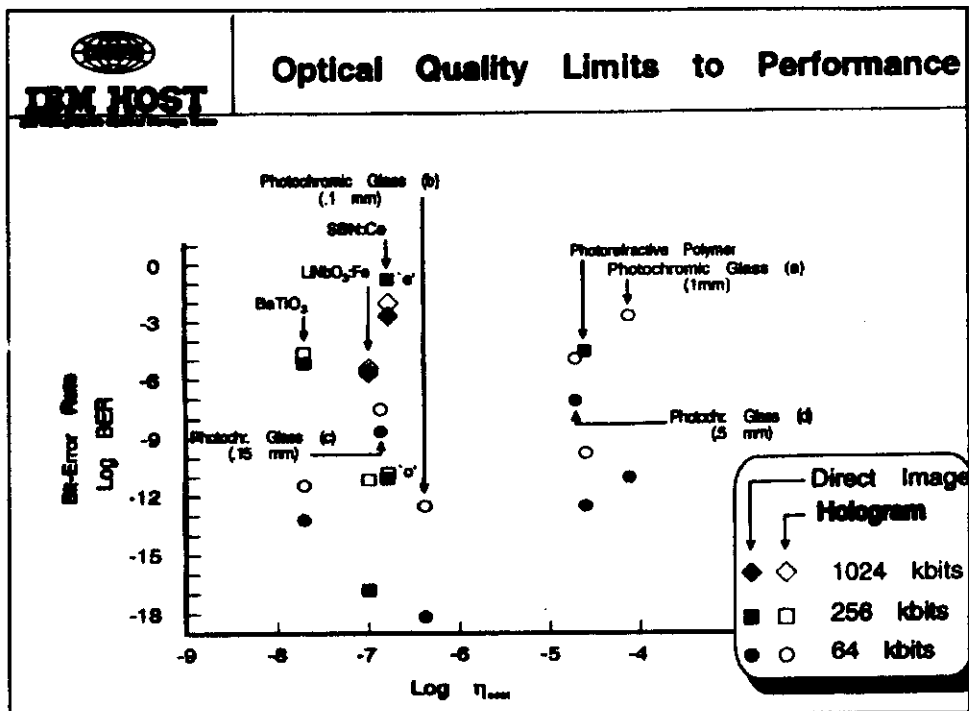


← 18 μm data pixel spacing

Overall image size = 0.92cm







**If you recover data with no errors
 then you are not trying hard enough
 to optimize storage capacity**

raw ber 10^{-5} $\xrightarrow[\text{error-correcting codes}]{\text{modulation codes}}$ corrected ber 10^{-12}

ber is a measure of the utility of the technology

$\frac{\text{cost}}{\text{Mbyte}} \rightarrow \frac{\text{margins}}{\text{capacity}}$

BER & SNR

Combination of Rician (optical) & Gaussian (electrical) statistics:

$$ber = \frac{1}{2} \operatorname{erfc}\left(\frac{v_n}{\sqrt{2}\sigma_e}\right) + \frac{1}{2} \operatorname{erfc}\left(\frac{\sigma_e}{2\sqrt{2}\sigma_A} - \frac{v_n}{\sqrt{2}\sigma_e}\right) \exp\left(-\frac{v_n}{2\sigma_A} + \frac{\sigma_e}{8\sigma_A}\right)$$

for pure electrical noise: $\sigma_A = 0, v_n = 1/2, snr = 1/\sigma_e$

$$ber = \frac{1}{2} \operatorname{erfc}(.354 snr)$$

for pure optical noise: $\sigma_e = 0, v_n = 1/4, snr = 1/2\sigma_A$

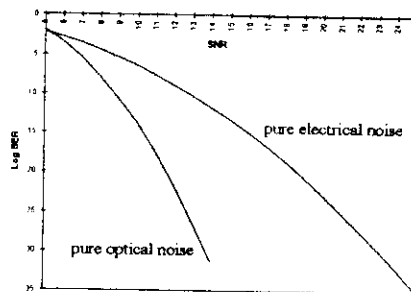
$$ber = \exp\left(-\frac{snr^2}{2}\right)$$

$\sigma_A^2 =$ variance of complex noise amplitude

$\sigma_e^2 =$ variance of electrical noise

$$v_n = \frac{2\sigma_A + 4 + \sigma_e/2}{2\sigma_A + \sigma_e}$$

$$snr = \frac{1}{\sqrt{4\sigma_A^2(1 + \sigma_A^2) + \sigma_e^2}}$$



from C. Gu, F. Dai, and J. Hong, Electronics Letters, Vol. 32, No. 15, p1400, (1996)

ber and margins

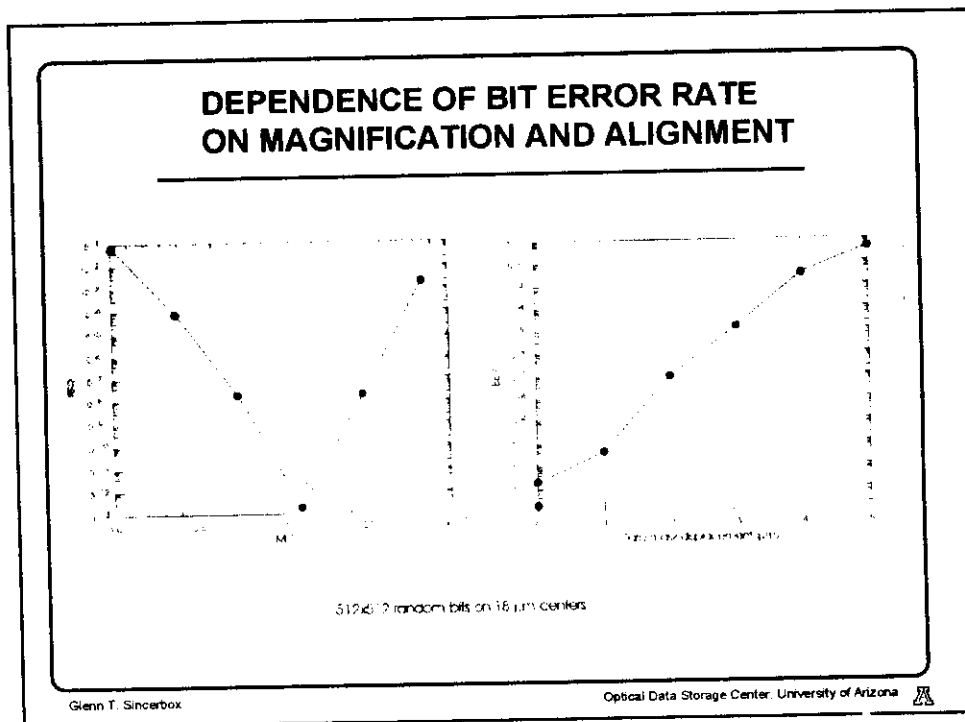
•ber is a measure of the utility of the technology

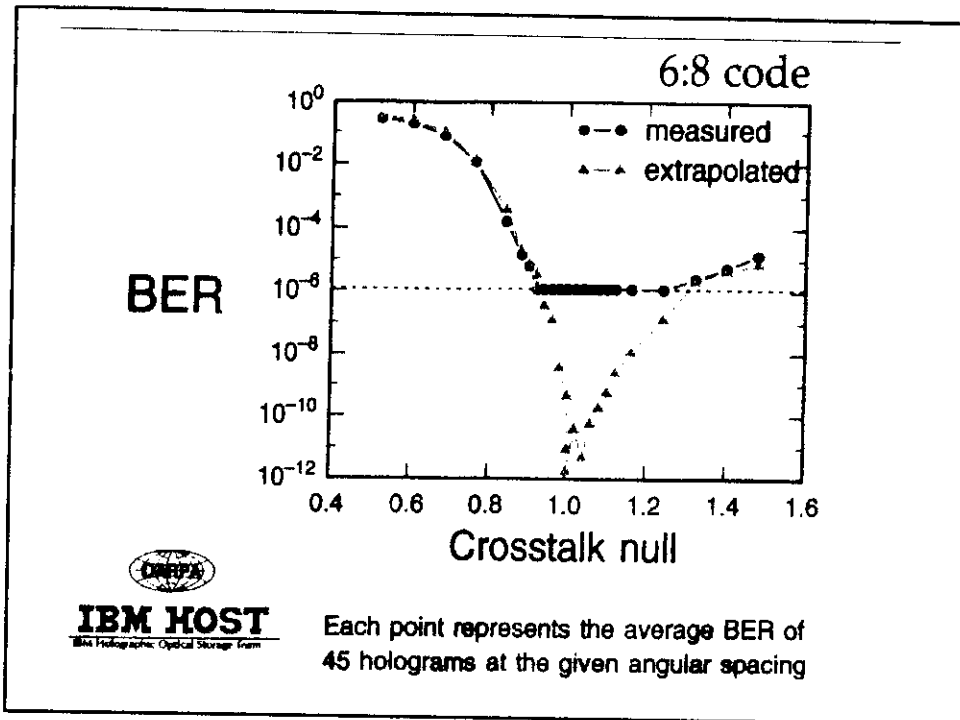
$$\frac{\text{cost}}{\text{Mbyte}} \quad \longrightarrow \quad \frac{\text{margins}}{\text{capacity}}$$

•how difficult is it to achieve desired performance?

margins \longrightarrow mechanical tolerances
 optical tolerances
 electrical tolerances

•the bathtub curve





PATHWAYS TO IMPROVING THE RAW BER

- ↔ Increased signal
 - ✓ more photons/bit
- ↔ Reduced noise
 - ✓ better optical quality
- ↔ Better (or more forgiving) alignment
 - ✓ over-sampling(?)
- ↔ More uniform illumination of SLM
 - ✓ top hat beams
 - ✓ exposure compensation
- ↔ More uniform illumination of hologram
 - ✓ phase mask



Novel properties of a holographic image - a coding opportunity -

- Error asymmetry:
 - 0 -> 1 more likely than 1 -> 0
 - 1 -> 0 requires exact interference of light
 - 0 -> 1 can happen with any stray light

- The light on a detector depends on the number of 1's in a data page

$$S_d \propto \frac{\eta}{N_1} \propto \frac{(\Delta M)^2}{N_1} \propto \frac{\left(\frac{N_1 I_1}{I_R}\right)^2}{N_1} \propto N_1$$

Use a code that has a constant number of 1's and 0's

Simple case: 1 =  0 = 

better choice: 6 user bits can be coded as 8 channel bits
so that there are always 4 bits on (1's) and 4 bits off (0's) $N! / R!(N-R)! = 70$



- Count the 1's for initial error detection

POTENTIAL APPLICATIONS

Key: exploit the attributes of holographic storage

- > read data rate
- > fast, random access
- > capacity through multiplexing
- > novel function

Enable new applications

- > Image libraries/servers
 medical, on-line catalogs, video-on-demand
 satellite, geophysical,.....
- > Rapid search engines

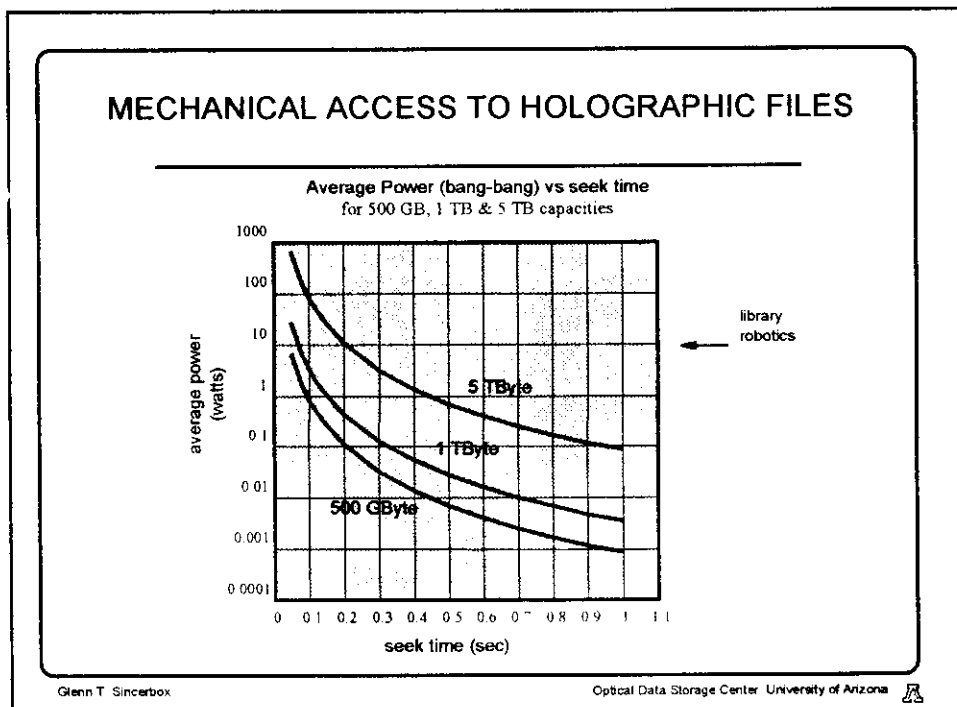
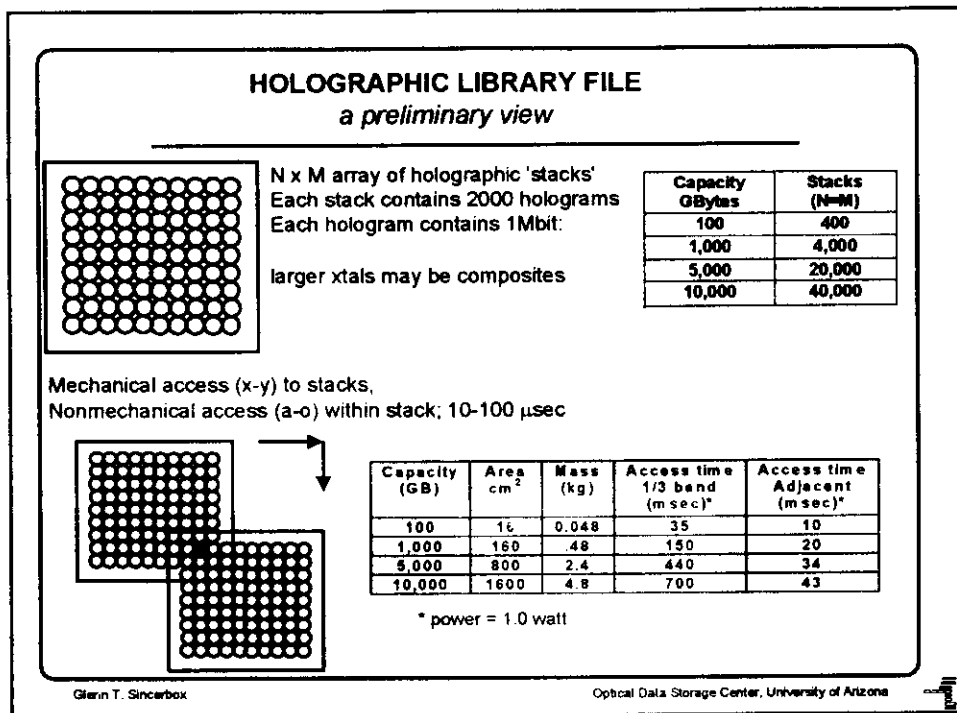
Glenn T. Sincerbox Optical Data Storage Center, University of Arizona

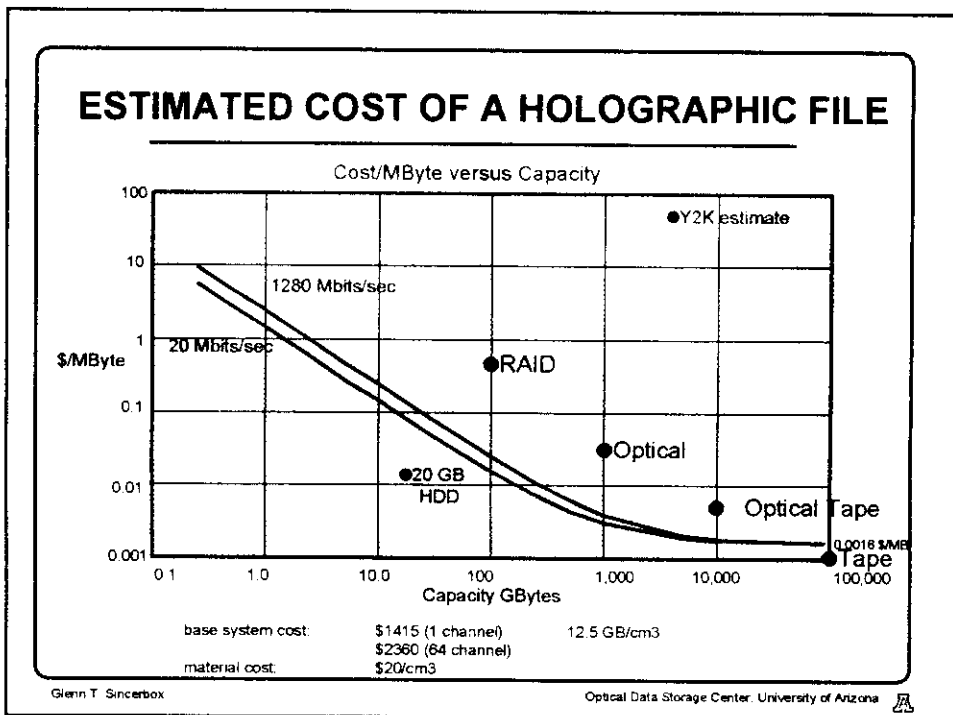
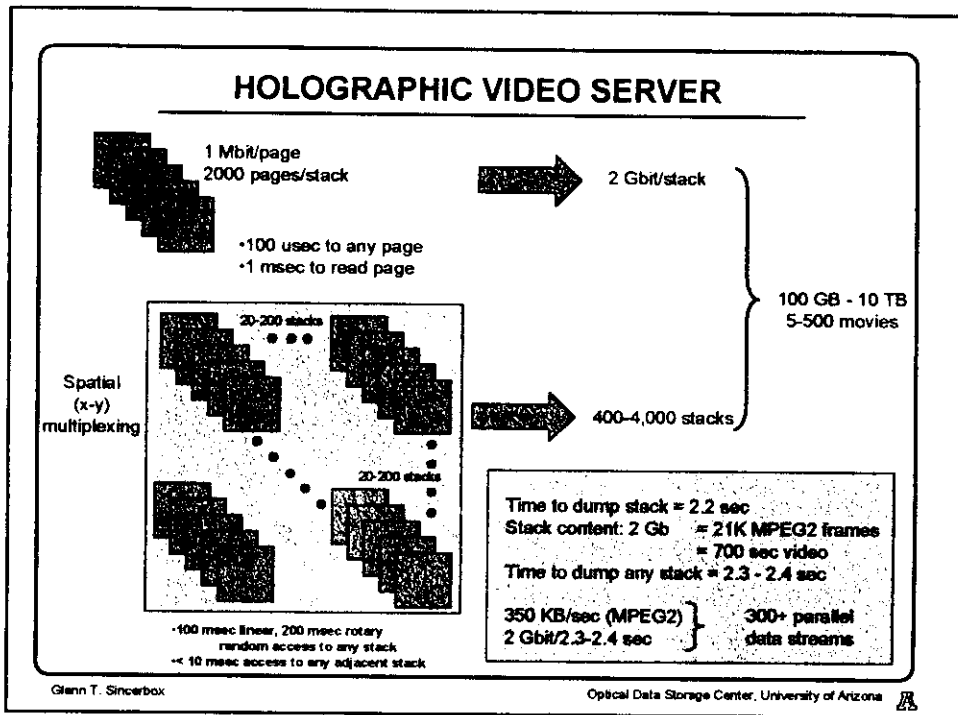
HDSS Applications
*rapid, random access to large databases
of very high quality digital images and data*

- Remote sensing - time evolution of images
medical images - x-ray, CAT, MRI
environmental sensing
resource exploration
satellite & terrestrial surveillance
military situation maps
intelligence gathering
space exploration

- Sensor & system logs
automotive & aircraft systems
process plants
pharmaceutical testing

Glenn T. Sincerbox Optical Data Storage Center, University of Arizona





HOLOGRAPHIC STORAGE CAPACITY ENHANCEMENT

- ✦ 2 & 3 dimensional nature of stored pages provides unique opportunity for the development of novel:
 - ⇒ modulation codes
 - ⇒ error-correcting codes

- ✦ Develop through an understanding and characterization of noise sources:
 - ⇒ inter-symbol interference
 - ⇒ inter and intra-page crosstalk
 - ⇒ data retrieval asymmetry
 - ⇒ burst/block errors
 - ⇒ SLM/detector alignment
 - ⇒ forbidden data patterns
 - ⇒ code constraints

Glenn T. Sincerbox

Optical Data Storage Center, University of Arizona 

MORE NEW NEWS

- Signs that the technology is maturing**
- increased activity beyond the proof-of-concept
- measurement of bit error rate
- development of modulation/demodulation codes
- development of error-correcting codes
- local thresholding
- precompensation/predistortion
 - ✦ removal of deterministic spatial variations
 - ✦ shaping/apodizing reading wavefront
 - ✦ grey-scale considerations

Glenn T. Sincerbox

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HOLOGRAPHIC STORAGE ISSUES

Technology Issues

- ◆ WORM materials need more development
shrinkage, scattering, thickness
- ◆ Erasable material systems still not optimized
develop better methods for nondestructive read
scale-up, lifetime, stability, cost, optical quality not quantified
- ◆ Enabling component technologies drive by video requirements
CCD & SLM use serial I/O
block transfer needs parallel I/O
- ◆ Nonmechanical accessing methods yet to be developed
- ◆ Optics must be simplified

System Issues

- ◆ know trade-offs between capacity, data rate, BER, etc.
- ◆ identifying the initial markets and the right window of opportunity
- ◆ configuring a cost-competitive design


Glenn T. Sincerbox

Optical Data Storage Center, University of Arizona 

SUMMARY

- * Storage requirements are exploding
- * Renewed interest in holographic storage
 - ◆ supported by new news
 - ◆ place in storage hierarchy
- * Government funding encourages formation of industry/university consortia
- * High risk - potentially high payoff

Glenn T. Sincerbox

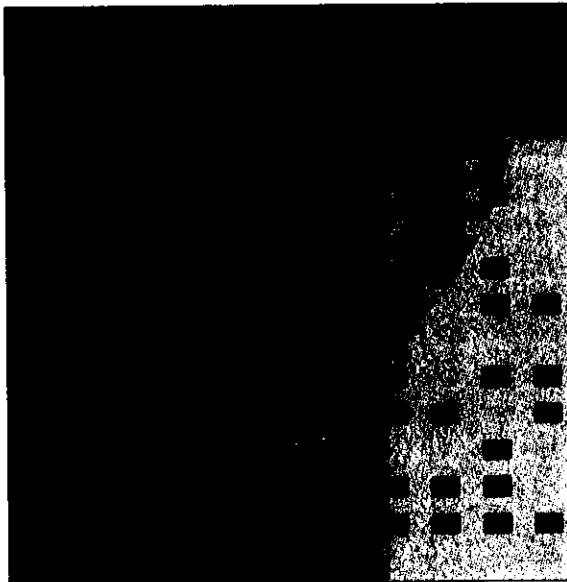
Optical Data Storage Center, University of Arizona 

Holographic storage: Ready for prime time?

Glenn Sincerbox, University of Arizona

Storage requirements are exploding. By the year 2000, our storage needs will exceed 12 exabytes (10²⁰ bits). At 1¢ per megabyte, that translates into a \$120 billion business! The amazing advances that have been made in storage technology have abetted this enormous appetite for storage. New storage devices have entered the market place, from ZIP drives to DVD.

These technologies share some common attributes: they are disk-based and hence rely on mechanical rotation and linear storage density to provide adequate data rates; access time also depends on a combination of disk rotation (latency) and linear translation of the head assembly (seek); and finally the data is recorded as localized, discrete marks on a medium that is thinner than one micron. Faster access, higher data rates, and redundant stor-



age of data within the volume of a thicker medium requires a new paradigm in storage. Optics and the basic principles of holography are a particularly attractive way to meet these requirements and may well represent the storage solution of the future.

Most technologies spend decades in research labs before they enter the market. Holography will be no exception to the rule. Invented in 1948 by Dennis Gabor as a way to magnify x-ray images, it wasn't until the invention of the laser in the early 1960s, that holography became practical for storing and retrieving images. But, despite considerable effort, holographic storage never made the business impact

that was expected. However, new materials coupled with a better understanding of how to leverage holographic storage may renew interest in this 30-year-old technology.

In magnetic and conventional optical recording, an individual data bit is represented by a localized change in some physical property such as the reversal of a magnetic domain. Holographic recording, in contrast, distributes a single bit throughout the recording volume; thus, there's no correlation between an information bit and a microscopic element in the medium. Light from a signal beam interferes with light in a reference beam to create a three-dimensional pattern of high and low intensity. The recording medium samples this pattern to produce a similar variation in an optical property, such as absorption or refractive index. Recorded structures contain information about the beams' amplitude and phase. When illuminated with a duplicate of the reference beam, the light becomes diffracted, recreating the signal beam. Stored data can be viewed directly or imaged onto a detector array.

In contrast to conventional holography, where scattered light from a three-dimensional scene or object is recorded and subsequently reconstructed for viewing, holographic storage employs a spatial-light modulator (SLM) as a light source. The modulator displays a two-dimensional pattern of 'ones' and 'zeros' that acts like miniature shutters, which represent the stored data. Because each data bit is distributed in three dimensions

WITH BETTER
MATERIALS AND LASERS,
HOLOGRAPHIC STORAGE
NO LONGER NEEDS
TO RELY ON SMOKE
AND MIRRORS

throughout the recording media, holographic media is less sensitive to material defects than conventional media.

THE BRAGG EFFECT

Holographic storage can significantly increase areal density by using a thick layer to record multiple pages of data. A common misconception of holographic recording is that each page occupies a different depth in the recording volume. In reality, the holographic structures for multiple pages are mixed together, which is a process referred to as multiplexing. Meanwhile, the "Bragg effect" allows retrieving an individual page of data, while minimizing crosstalk from other pages—a feature of the highly tuned holographic structure. The diffraction efficiency varies according to mismatches in angle or wavelength between recording and playback. To attain 100% efficiency, an identical beam is used for both record-

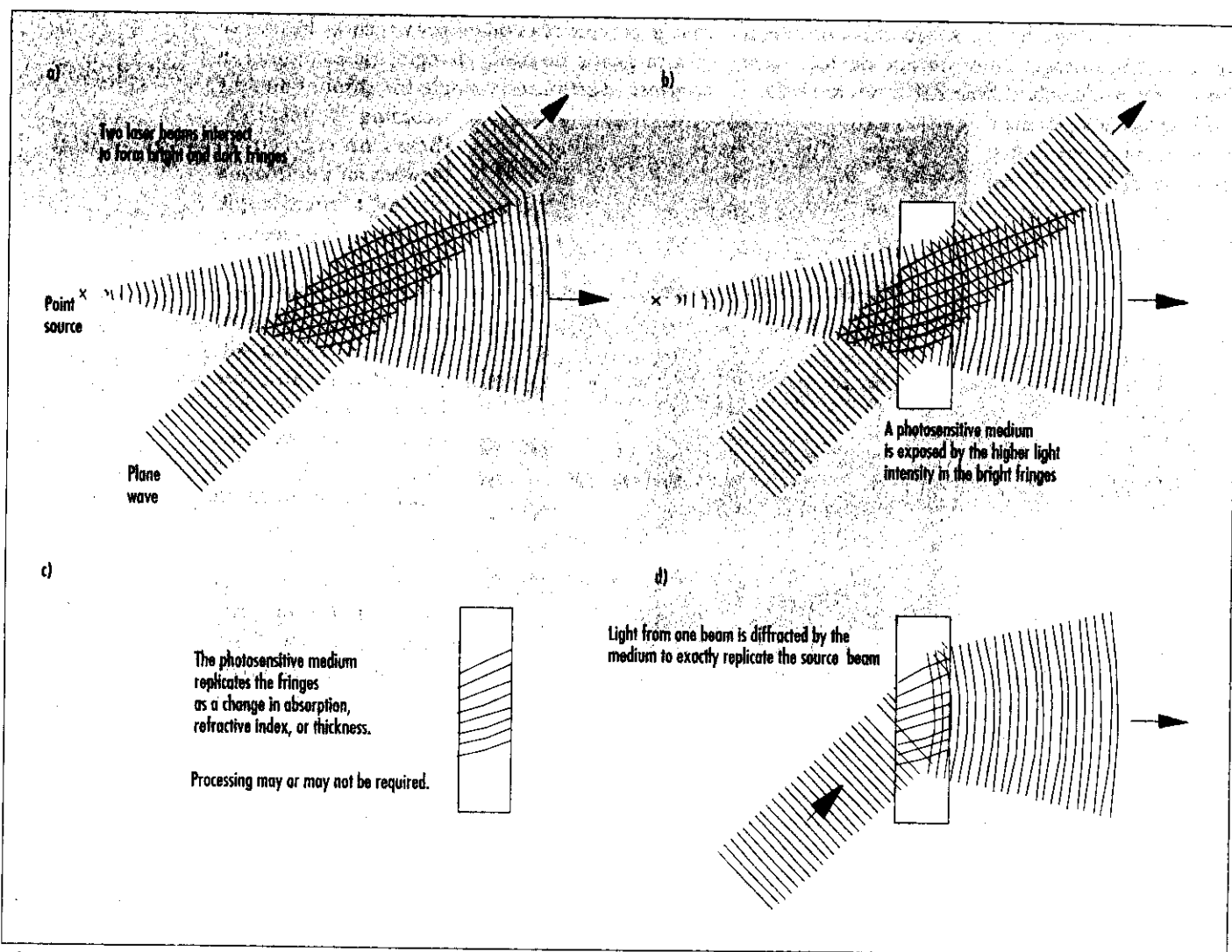
ing and playback. If, however, the illuminating beam is slightly off in direction or wavelength, the reconstruction efficiency will be less than 100%, falling to zero as this deviation increases, at which point another hologram can be recorded since it won't interact with existing holograms. At zero efficiency, the thicker the medium, the lower the mismatch angle. A controlled mismatch in the recording or playback angle of only a few thousandths of a degree allows many holograms to be recorded in the same volume. For example, multiple holograms can be stored in a 1.0-mm thick recording medium in angular increments of 0.025 degrees or wavelength increments of 9.5 nm.

Using angular multiplexing, 10,000 holograms have been stored in one location of a 6-mm-thick lithium niobate crystal [1]. Angular multiplexing requires complex optics, making it more difficult to implement than wavelength multiplex-

ing. However, wavelength multiplexing is highly dependent on the range over which lasers can be tuned. Today's tuning range reaches 20 nm at most, which is not wide enough to store many holograms simultaneously. Density can be improved with hybrid systems that combine angular and wavelength multiplexing, or that reposition the recording medium [2,3].

Another multiplexing technique, called "deterministic phase encoding", uses the phase of many reference beams to distinguish one hologram from another. Individual reference beams are simultaneously incident on the recording medium so that each hologram is recorded with a set of beams that differ only in phase. Altering the phase of the beams, rather than their direction, is a faster and potentially simpler process.

This multiplexing feature distinguishes holographic from conventional storage



Physics of holography. When two laser beams intersect, they form an interference pattern. By placing a photosensitive material in this area, its optical properties are changed so it mimics the interference pattern to form a hologram. Subsequent illumination of the hologram with one beam will recreate the pattern of the alternate beam.

HOLOGRAPHY DRAWS FROM MANY DISCIPLINES

...at the required wavelength (typically a few hundred milliwatts in a continuous wave) be small enough to fit into a reasonable sized system, and, of course, be fairly inexpensive. An argon-ion laser satisfies the first two requirements, but falls short on size and cost.

...light modulator (SLM), which acts like an array of miniature shutters. Modulators have been fabricated from many different materials, but the most common are liquid crystals. For holographic storage, they require high contrast and rapid switching between "on" and "off" states. On a pixel basis, contrast levels of 5:1 are acceptable. While a frame rate of a few hundred

...neon-ion laser...
...and covers...
...Several design parameters...
...of the array, which...
...typically...
...charge-coupled device (CCD)...
...include detection efficiency, pixel size, wavelength range, spectral response, readout detector elements, and scan rate. The quantum efficiency of most commercially available CCDs falls rapidly at longer wavelengths. Many have no sensitivity beyond 700 nm, which influences the choice of recording material and laser. Data rates approaching 1.0 Gbit/s are achieved by segmenting the array into sub-areas and reading out in parallel. A 64-channel device is in the prototype stage.

methods. Two-dimensional storage allows data to be recorded and retrieved in parallel (a page at a time) rather than serially. Extremely high data rates are limited only by the I/O devices and electronic channels. For example, detector arrays available today run at giga-Hertz speeds by using multiple output taps, whereas massively parallel arrays can conceivably run at rates exceeding 1.0 GB/s.

Another distinguishing feature of holography is the speed at which data can be accessed. By relying on movement of light beams rather than a mechanical mass, access times of 10 μ s to 1 ms are achieved. True random access can be performed since it is not necessary to pass through other storage locations to reach a target location.

Latency is another factor that delays data in rotating storage systems, but which doesn't apply to holographic storage. Spatial and angular multiplexing also provides an opportunity to perform novel functions, such as "associative retrieval", in which holograms that are recorded at a given location are illuminated with a partial data page, rather than a particular reference beam. The complete data page that contains this partial input is then retrieved. Similar to pattern recognition, associative retrieval provides a very fast search mechanism, locating data by content rather than address.

The ideal material for holographic storage should be of high optical quality, with little scatter to insure that the signal-

bearing waverfront doesn't become distorted and that the noise level from scattered light is manageable. It should be fairly thick (greater than 500 microns) to fully exploit the Bragg effect. A large refractive index change will insure that there is sufficient dynamic range to multiplex many holograms. The most common inorganic materials are ones that exhibit photo-refractivity, such as lithium niobate (LiNbO₃), strontium barium niobate (SBN), and barium titanate (BaTiO₃).

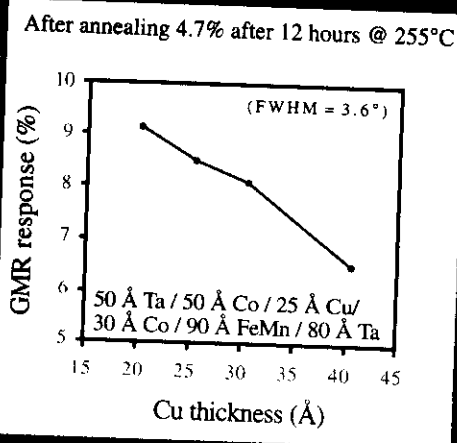
Lithium niobate has been available for many decades and can be fabricated in large crystals of high optical quality. The photorefractive effect occurs when the bright regions of the interference pattern excite electrons into the conduction band.

Production Proven Platforms

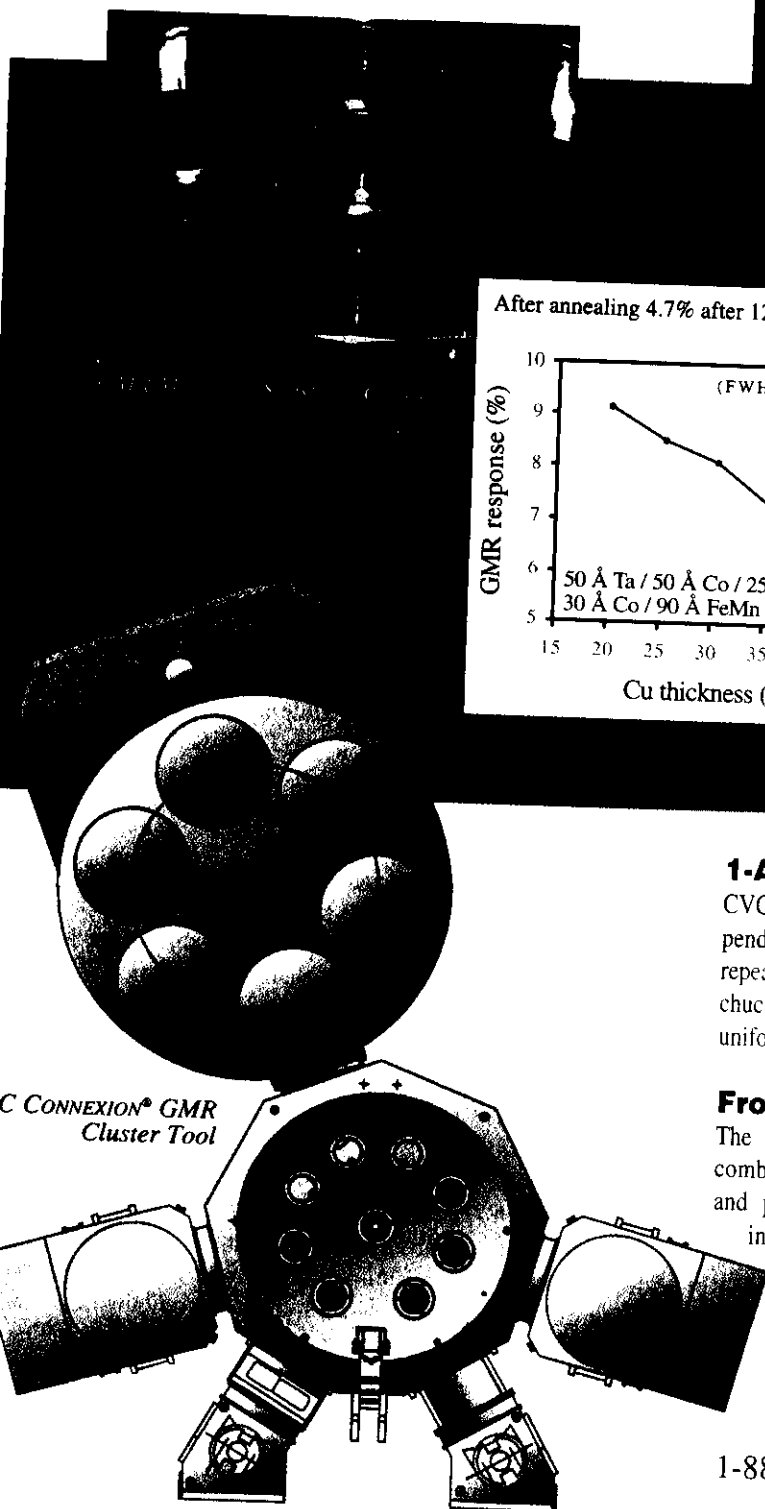
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PHYSICS OF HOLOGRAPHY

...the original
...now illuminating
...with one of the original light
...the grating in the hologram
...bend the light so it is
...to form the interference pattern.

Materials store holographic images by changing an optical property in response to exposure to light.

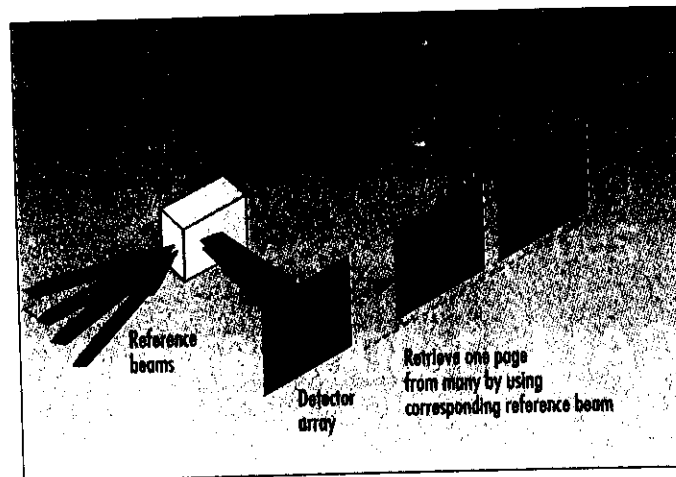
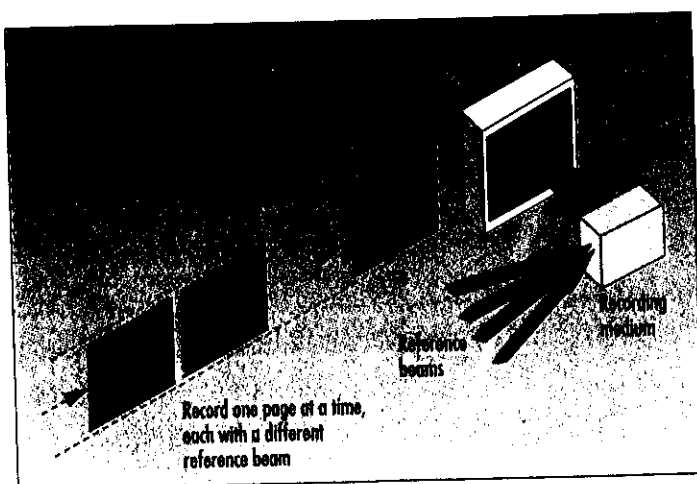
The electrons subsequently drift into the dark regions and become trapped. The local fields that result interact with the host material to alter its index of refraction through an electro-optic effect. The resulting phase hologram can have up to 100% diffraction efficiency. Since these materials are made as thick as one centimeter, they can multiplex many holograms in the same volume. However, subsequent illumination, which occurs when additional holograms are recorded or when one is read, easily redistributes the

electronic charge. Light from a second hologram rearranges some of the space charge field, partially erasing the first hologram. A third hologram exposure partially erases the first two. In effect, each hologram recorded partially erases all previously recorded holograms.

To counteract this affect, a recording schedule of decreasing exposure times is needed to equalize the diffraction efficiency of each hologram. An unwanted side effect is the efficiency of each hologram falls off as $1/N^2$ rather than $1/N$. This effect can be

avoided by reducing the number of holograms in a common volume or by reducing the number of bits on a page. However, the number of photons per bit must be large enough so that the bit error rate does not increase to an unacceptable level. This is the major limiting factor in holographic storage capacity. And, unfortunately, this is not the whole story. The illumination required to read a single hologram partially erases the other holograms in that volume. Thus, holograms decay in efficiency with each reading until the bit error rate becomes unacceptable. The volatility of stored data requires a fixing process of some kind to render the holograms insensitive to subsequent illumination.

Thermal fixing is one such process where holograms are heated to around 120 C. The electronic space field charge interacts with ions, typically protons, that are more mobile at higher temperatures. Ions move to compensate for the charge differential and are locked in place when the temperature is reduced. Ionic charges reveal their own index variations when the readout illumination erases the electronic-space charge. This process works quite well, creating a permanent set of holograms with only a small loss in diffraction efficiency. However, there are some performance issues that need to be addressed with this method since it takes a long time to heat and cool the system. Thermal fixing is best suited for read-only applications where the holograms are recorded at a central facility and then distributed. If reasonable delays between recording and retrieving are allowed thermal fixing also may be suitable for write-once storage mode.



Holographic recording (left) involves transferring a two-dimensional pattern of "ones" and "zeros" to a spatial light modulator—which acts like an array of miniature shutters. By passing a laser beam through the modulator, this data pattern interferes with a reference beam to form the hologram. Retrieving holographic data (right) is done by illuminating the recording medium with the reference beam to create a pattern that is converted to an electrical signal.

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