

SMR/1238-12

ADRIATICO RESEARCH CONFERENCE on
LASERS IN SURFACE SCIENCE

11–15 September 2000

Miramare – Trieste, Italy

*Vibrational Energy Transfer and Reactivity of Highly
Vibrationally Excited Molecules at Solid Surfaces*

Daniel J. Auerbach
I.B.M. Almaden Research Center
San Jose - CA, United States of America

Coworkers:

IBM Research: H. Hou, C.T. Rettner

UCSB: Y. Huang, S.J. Guldin, A.M. Wodtke

Themes

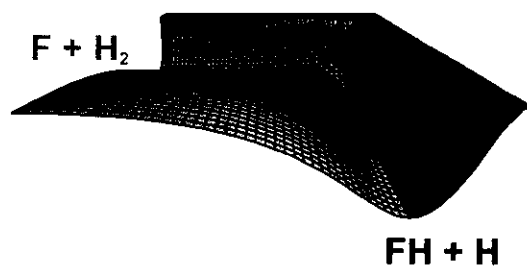
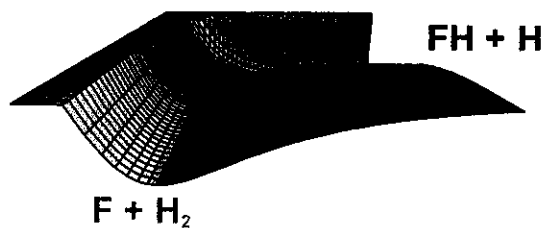
- Interactions of highly vibrationally excited molecules at surfaces are largely unknown
 - ✓ how will vibrational relaxation change as we go to very high vibrational states?
 - ✓ novel surface chemistry?
- Energy requirements / energy disposal for surface chemical reactions
 - ✓ experimentally, put vibrational and translational energy on an equal footing
 - ✓ probe the terrain of the PES -- Polanyi rules
 - ✓ Effects that are beyond the Polanyi rules

- Introduction
 - role of vibrational energy in chemical reactions
 - Polanyi rules and beyond
 - preparing vibrationally excited molecules
- Vibrational energy transfer at Metals
 - some history
 - ✓ electronically mediated
 - new data for NO($v=2$)
- Studies at high levels of vibrational excitation
 - NO($v=12,15$) scattering from Au(111) and O/Cu(111)
 - ✓ vibrational enhancement of electron transfer
 - ✓ vibrational enhancement of dissociative adsorption

Potential Energy Surface for a Chemical Reaction

Goal: Determine the Interatomic Interactions / Motions that are a Chemical Reaction

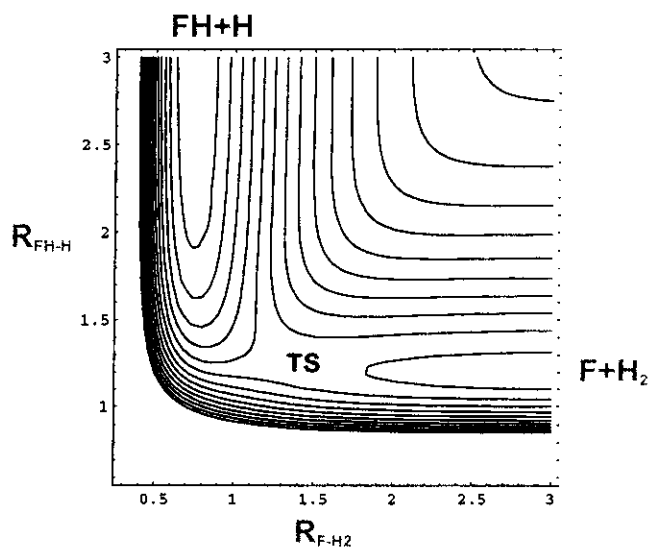
- ✓ Molecular Beam Experiments
Nobel Prize in Chemistry 1986
- ✓ Theoretical Methods
Nobel Prize in Chemistry 1998
- ✓ Femto Chemistry
Nobel Prize in Chemistry 1999



Early or Late?

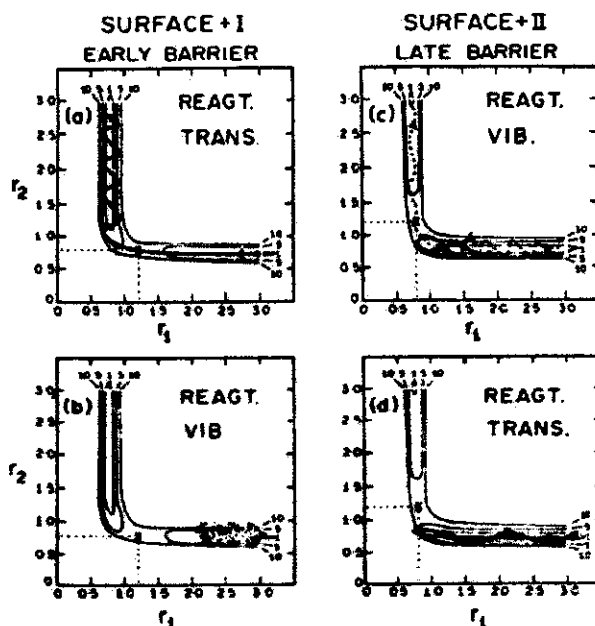
Transition State has similar structure to $\text{F} + \text{H}_2$

- ✓ Early Barrier for $\text{F} + \text{H}_2$
- ✓ Late Barrier for $\text{H} + \text{HF}$



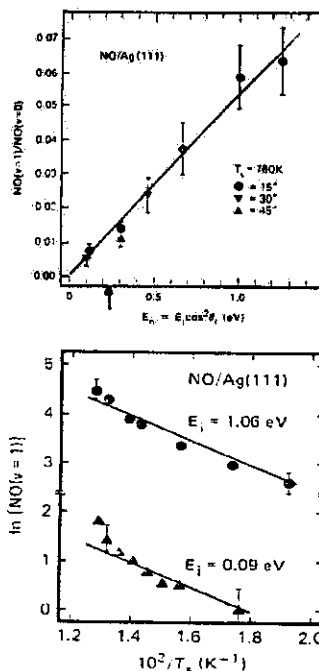
- Translational energy is most effective at overcoming an early barrier
- Vibrational energy is most effective at overcoming a late barrier

"Some concepts in reaction dynamics",
John C. Polanyi, *Accounts of Chemical Research*, 5 (1972)



- Thermal
 - ✓ seeded supersonic beams
 - ✓ non specific
- Overtone Pumping
 - ✓ good for hydrides, NO, ...
 - ✓ low lying vibrational levels
- Chemical Activation
 - ✓ non specific
- Stimulated emission pumping (SEP)
 - ✓ more about this later

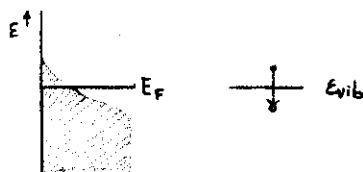
- Observations for NO($v=0$) +
 - ✓ Ag(111) Rettner, Fabre, Kinman, Auerbach, PRL 55, 1904, (1985)
 - ✓ Cu(111) Watts, Siders, Sitz, Surf. Sci. 374, 191 (197x)
- excitation probability increases rapidly with
 - ✓ kinetic energy
 - ✓ surface temperature
- scattering similar for O→O and O→1 channels
 - ✓ angular distributions
 - ✓ velocity distributions
- energy required for excitation comes from the surface



- 1. D.M. Newns, "Electron-Hole Pair Mechanism for Excitation of Intramolecular Vibrations in Molecule Surface Scattering" Surf. Sci. (Netherlands), 1986. 171(3): p. 600-614.
- 2. W. Yicheng, "On O $^+$ emission from cesium-coated surfaces" J. Chem. Phys., 1995. 102(1): p. 525-8.
- 3. N. Chakrabarti, V. Balasubramanian, N. Sathyamurthy, and J.W. Gadzuk, "Photoinduced Desorption in NO/Pt - a Time-Dependent Quantum-Mechanical Study". Chem. Phys. Lett., 1995. 242(4-5): p. 490-498.
- 4. J.W. Gadzuk, "Resonance-assisted hot electron femtochemistry at surfaces". Phys. Rev. Lett., 1996. 76(22): p. 4234-4237.
- 5. J.W. Gadzuk and S. Holloway, "On the Dissociation of Diatomic-Molecules at Metal-Surfaces". Chem. Phys. Lett., 1985. 114(3): p. 314-317.
- 6. S. Holloway and J.W. Gadzuk, "Energy Redistribution and Dissociation in Molecule Surface Collisions Involving Charge-Transfer Surface Hopping". Surf. Sci. (Netherlands), 1985. 152(APR): p. 838-850.
- 7. J.W. Gadzuk and S. Holloway, "Charge-Transfer and Vibrational-Excitation in Molecule-Surface Collisions - Trajectory-Quantum-Theory". Phys. Scr., 1985. 32(4): p. 413-422.
- 8. J.W. Gadzuk and S. Holloway, "Vibrational excitation in gas-surface collisions". Phys. Rev. B, Condens. Matter (USA), 1986. 33(6): p. 4298-300.
- 9. Z. Kirson, R.B. Gerber, A. Nitzan, and M.A. Ratner, "Dynamics of metal electron excitation in molecular dipole-surface collisions". Surf. Sci. (Netherlands), 1985. 151(2-3): p. 531-42.
- 10. R.E. Palmer, "Electron-molecule dynamics at surfaces", Progress in Surface Science, vol 41, p51, 1992.
- 11. J. Lee and J.J.V. Georlings, "Charge exchange in atom-surface collisions", Physics Reports, vol.190, p.133 (1990).

Electronic Mechanism for Vibrational Excitation

Model



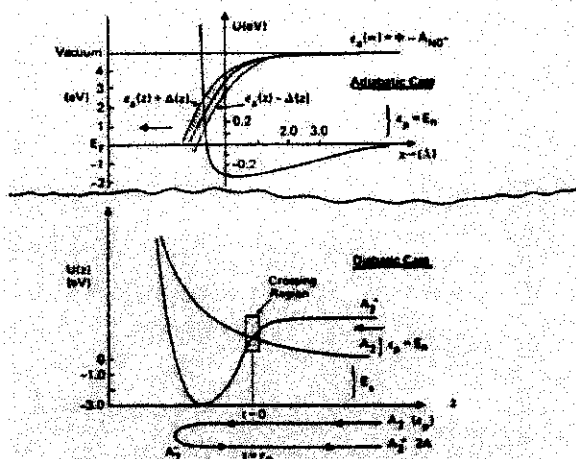
$$P \sim \int \{D(\epsilon) f(\epsilon)\} \{D(\epsilon - E_{vib})(1 - f(\epsilon - E_{vib}))\} d\epsilon$$

OCCUPIED STATES UNOCCUPIED STATES

$$\sim \exp\left[-\frac{E_{vib}}{kT_b}\right] \cdot \left[E_{vib} - kT_b \ln 2\right]$$

D. NEWNS

Surf. Sci. 121, 600 (1983)



J. M. Goss and S. Holloway
Phys. Rev. B 25 4740 (1982)

Mechanism Controversial

Various theories reproduce this behavior

- Electron Transfer: non-adiabatic models
 - Holloway and Gadzuk
 - ▶ harponing, T→V JCP 82 (1985) 5203
 - Rettner et. al.
 - ▶ electron hole pair decay PRL 55 (1986) 1904
 - Gadzuk and Holloway
 - ▶ extensive calculation e-h pair PRB 33 (1986) 429
 - Newns
 - ▶ e⁻ transfer to NO π* Surf. Sci. 171 (1986) 600
- Or Maybe Not: adiabatic models
 - Gross and Brenig
 - ▶ T→V Surf. Sci. 289 (1993) 335
 - Gates and Holloway
 - ▶ bond softening Surf. Sci. 307 (1994) 132

Incidence energy dependence is probe of mechanism

Gross and Brenig, Surf. Sci. 289 (1993) 335

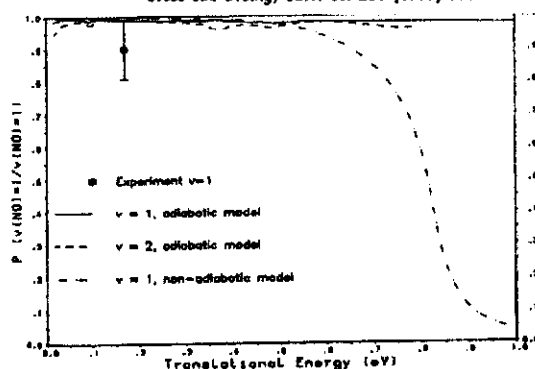


Fig. 3. Survival probability versus incident energy for $T_s = 300$ K of the $v=1$ and $v=2$ molecules according to our model and of the $v=1$ state according to the purely non-adiabatic model. Experimental value from ref. [22].

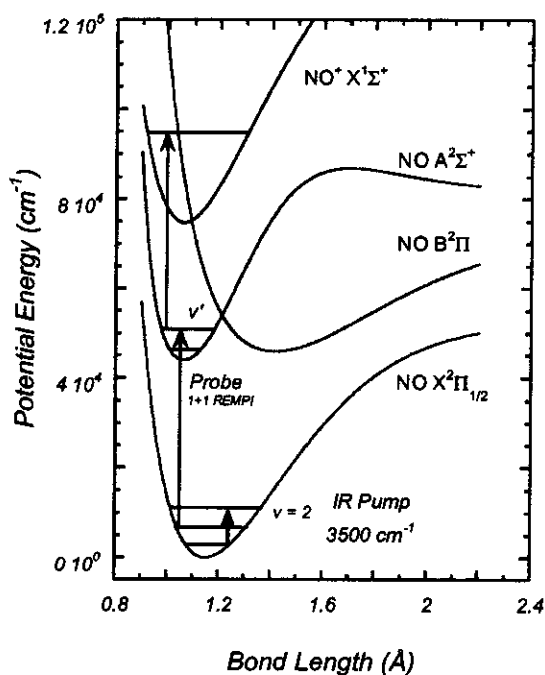
Observing Survival Probability: Optical Preparation of NO($v=2$)

Problems

- Observing Survival of $v=1$
 - ✓ Prepare low J-states in beam
 - ✓ $v=1$ thermal background a big problem
- Observing de-excitation of $v=1 \rightarrow 0$
 - ✓ NO($v=0$) background a huge problem

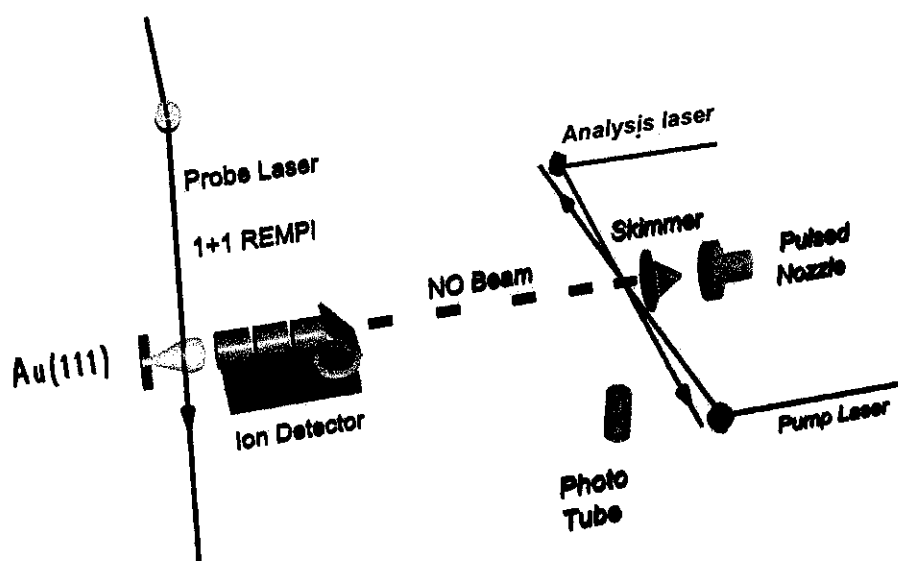
Solutions

- Overtone excitation of NO($v=0 \rightarrow 2$)
 - ✓ Difference frequency generation
 - ✓ 5 mJ/pulse
 - ✓ 0.05 cm^{-1}
- REMPI Probe
 - ✓ 1+1 REMPI
- Advantages of this experiment
 - ✓ Probe excitation to $v=3$
 - ✓ Probe de-excitation to $v=1$



vg008c.qpc

Schematic of Experimental Apparatus



Principle Observations**De-excitation**

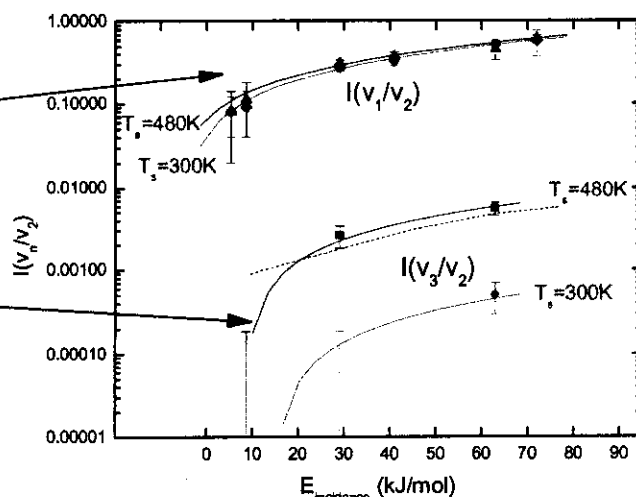
- ✓ Strong E_i dependence
- ✓ Weak T_s dependence

Excitation

- ✓ Strong E_i dependence
- ✓ Strong T_s dependence

At lowest kinetic energy

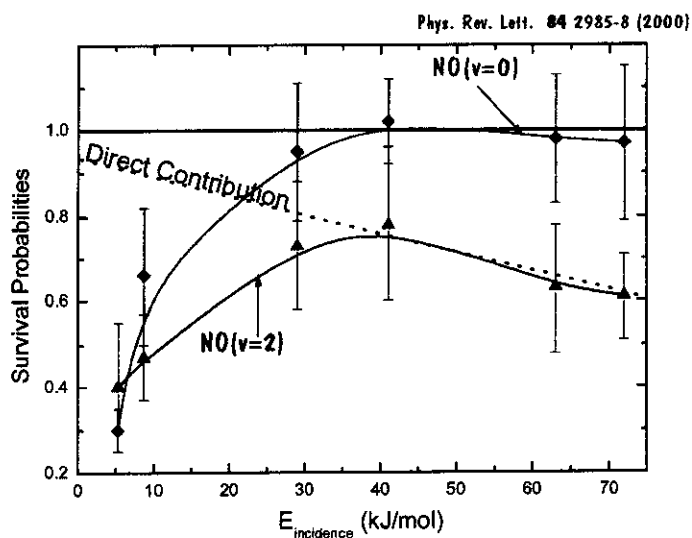
- ✓ apparently vibrationally elastic
- ✓ what about trapping?



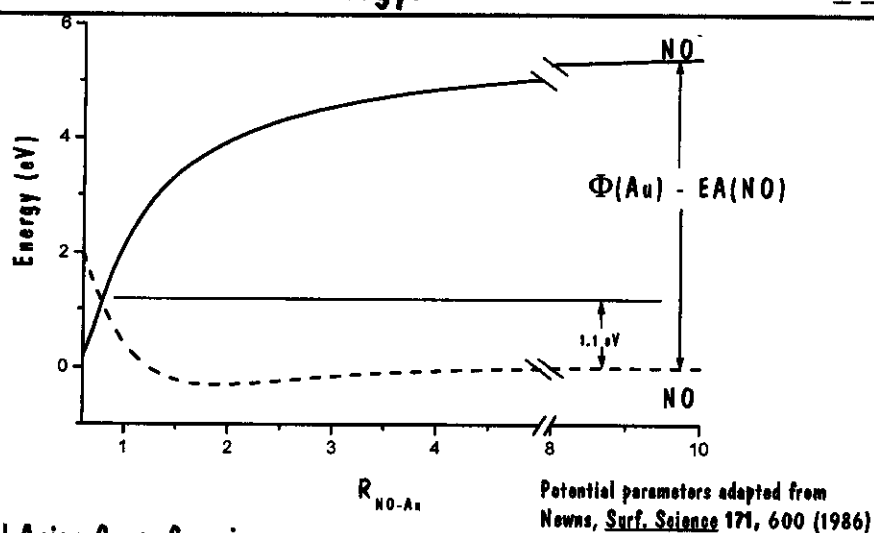
Phys. Rev. Lett. 84 2985-8 (2000)

Two vibrational relaxation mechanisms: trapping and direct

- ✓ both $v=0$ and $v=2$ trap at low incidence energy
- ✓ direct mechanism removes $v=2$ at higher incidence energies



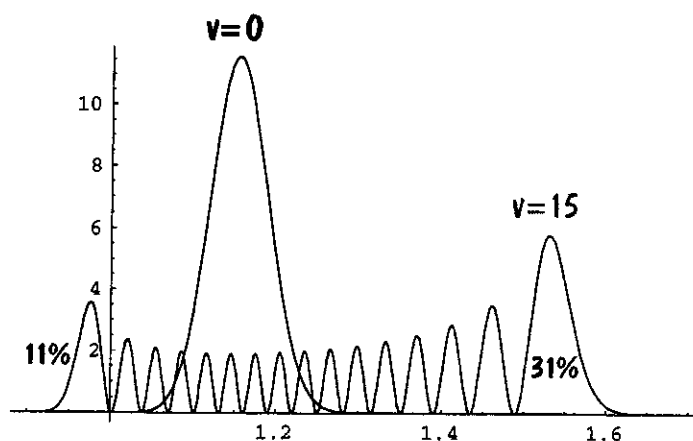
Why such a large influence of translational energy?



Neutral Anion Curve Crossing

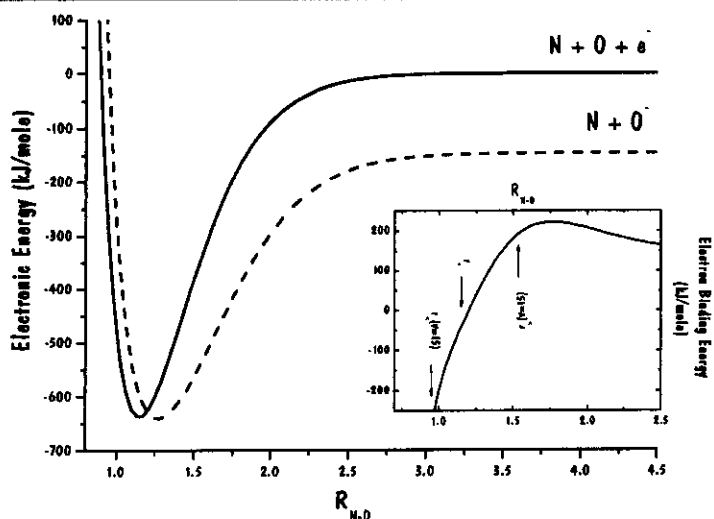
- Neutral physisorption interaction is repulsive
- Anion/Image Charge Coulombic interaction is attractive
- $\Phi(\text{Au}) \gg \text{EA}(\text{NO})$ leads to barrier

Making Stretched Molecules: Preparation of high vibrational states



Numerical Solution to the Vibrational Schrodinger Equation for NO

Large Amplitude Motion: Electronic Coupling to Nuclear Motion

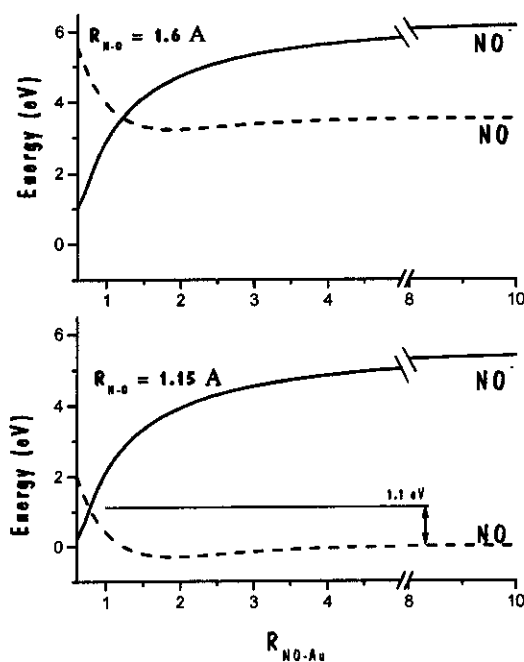


Can we vibrationally activate electron transfer Chemistry?

Effect of Vibrational Enhancement of electron Affinity on Charge Transfer

Neutral Anion Curve Crossing

- Neutral physisorption interaction is repulsive
- Anion/Image Charge interaction is attractive
- Enhanced EA of "stretched" NO causes barrier to curve crossing to disappear and to move out.



Pump Step

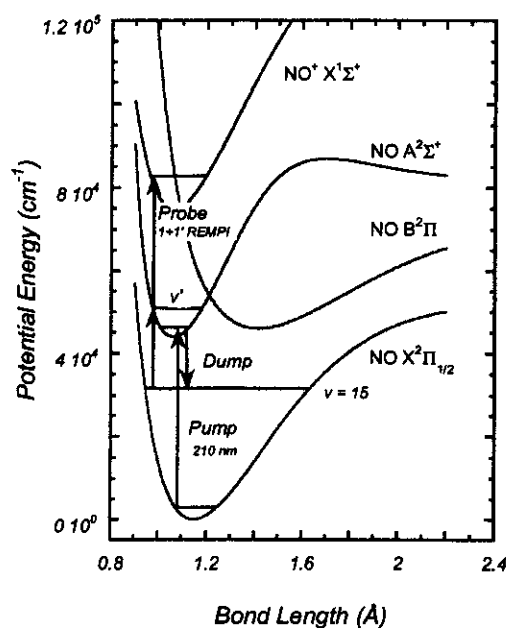
- ✓ allows transition to excited electronic state

Dump Step

- ✓ allows transition back to the ground state

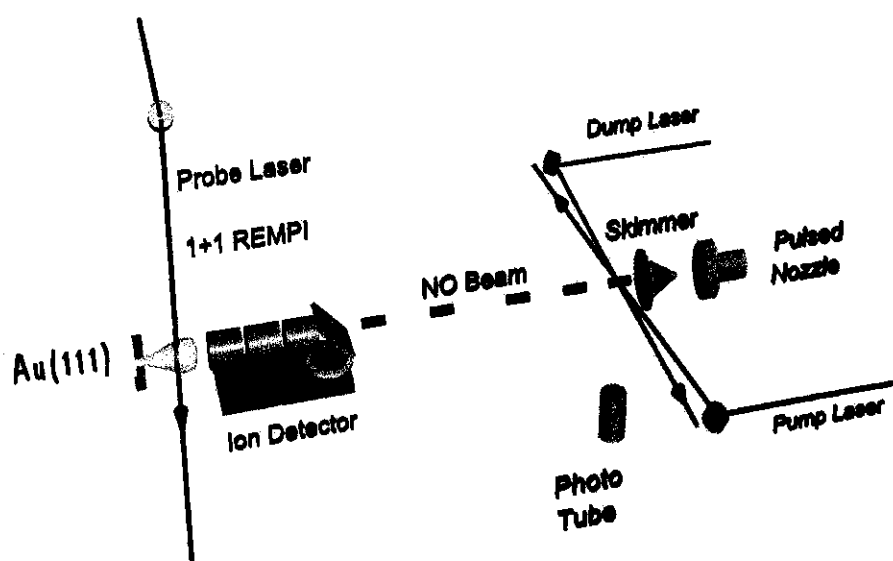
Probe Step

- ✓ detects outcome



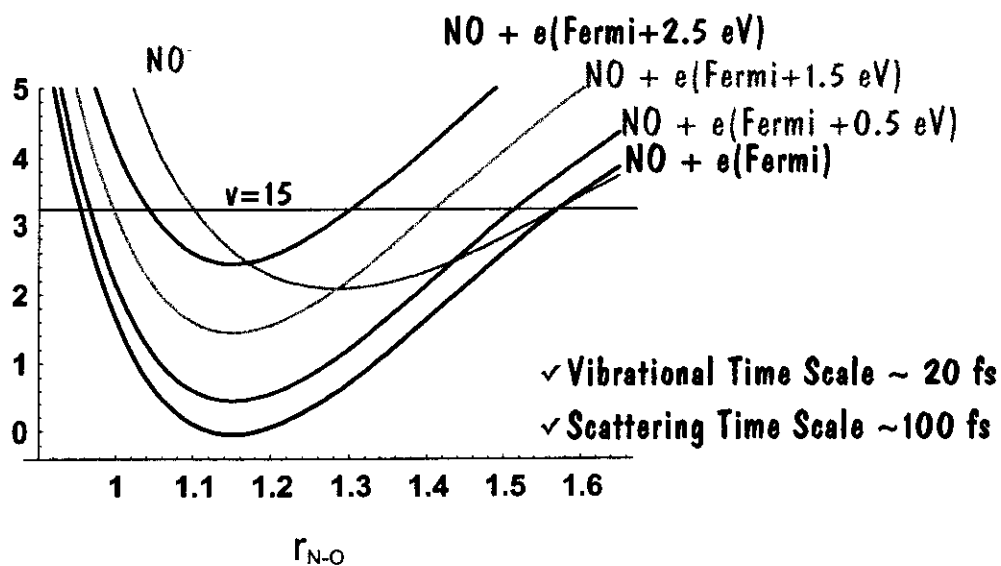
vg000b.gpc

Schematic of Experimental Apparatus

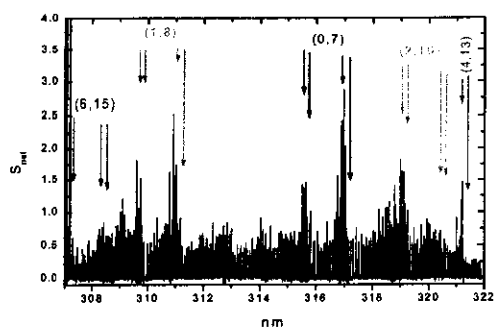


Vibration to electronic coupling possible over 2 eV energy range

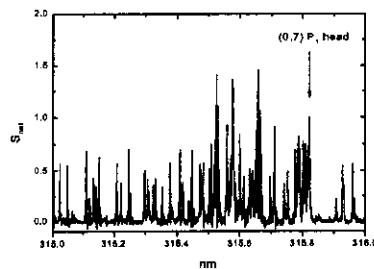
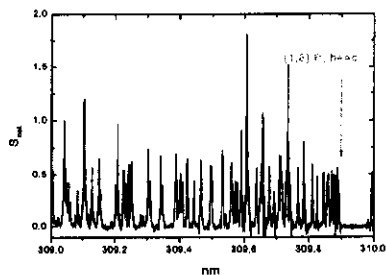
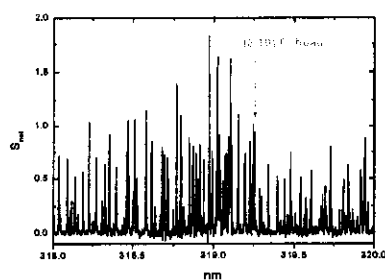
$R_{\text{NO-Au}} = 1.5$ Angstroms



NO($v=15$) + Au(111): Overview spectrum and vibrational Assignments



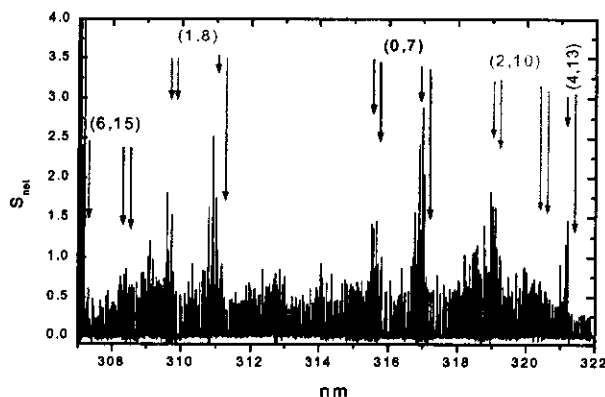
$E_i = 0.05$ eV, $T_s = 300$ K



Vibrational Distribution for NO($v=15$) + Au(111) at $E_i=0.05$ eV

■ Converting signals to vibrational populations

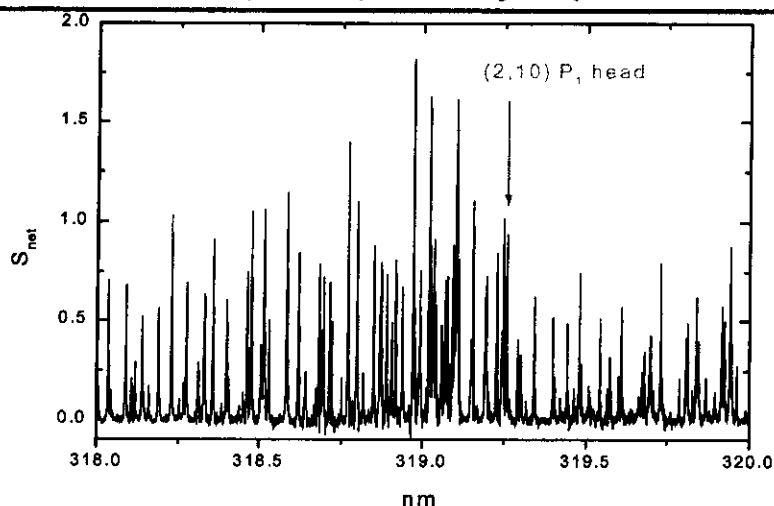
- Assign lines...
- Measure vibrational state specific
detectivity using FCP
 - ✓ All lines are saturated
 - ✓ Power dependence is linear
- Measure
 - ✓ Rotational Temperatures
 - ✓ Angular Distributions
 - ✓ Translational Energy
Distributions



■ Vibration Induced electron transfer Reaction?

- should be a direct reaction
- vibrational energy results in
electronic excitation

Rotational Excitation is Low: NO($v=15$) + Au(111)

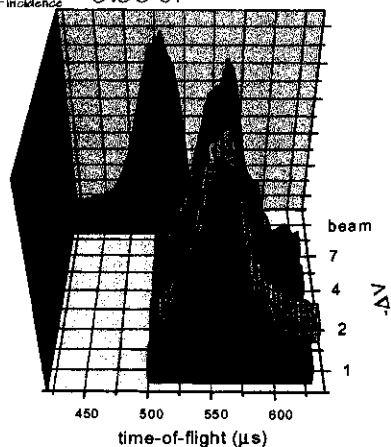


preliminary analysis

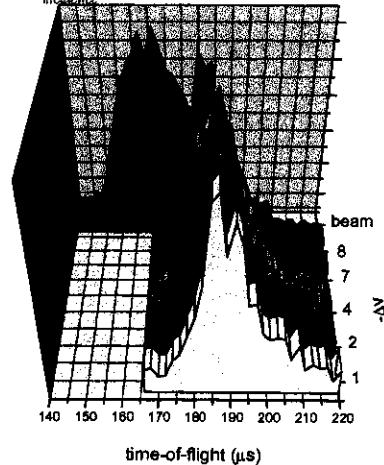
- ✓ rotational temperatures of ~275-300K
- ✓ independent of Δv

TOF spectra vs. Δv : NO($v=15$) + Au(111)

$E_{\text{incidence}} = 0.05 \text{ eV}$



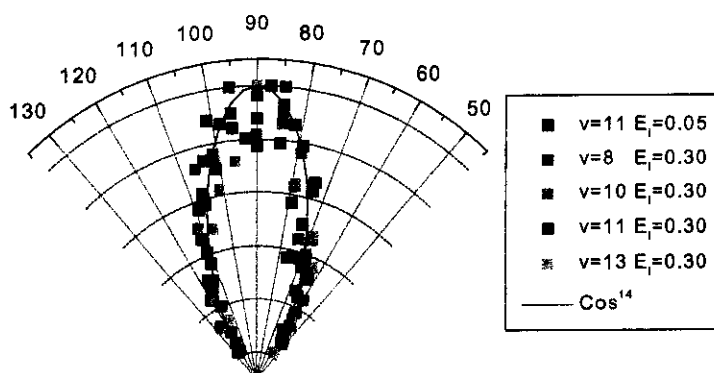
$E_{\text{incidence}} = 0.45 \text{ eV}$



• Translation is a Spectator!

- ✓ Shift from beam TOF due to difference in Flight Path
- ✓ Translational energy transfer small
- ✓ Approximately independent of Δv

Angular Distributions: More Evidence for Direct Scattering



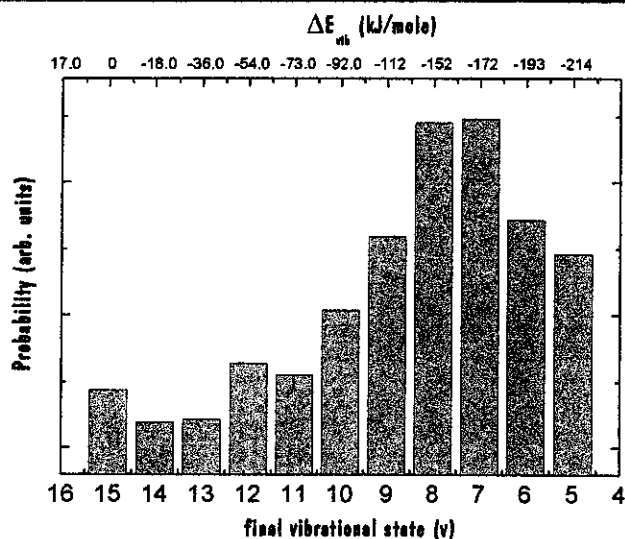
Important Points

- ✓ highly peaked angular distributions
- ✓ independent of $E_{\text{incidence}}$
- ✓ Independent of Δv
- ✓ classical scattering on 100 fs time scale

Remarkable multiquantum vibrational relaxation on Au(111)

Conditions

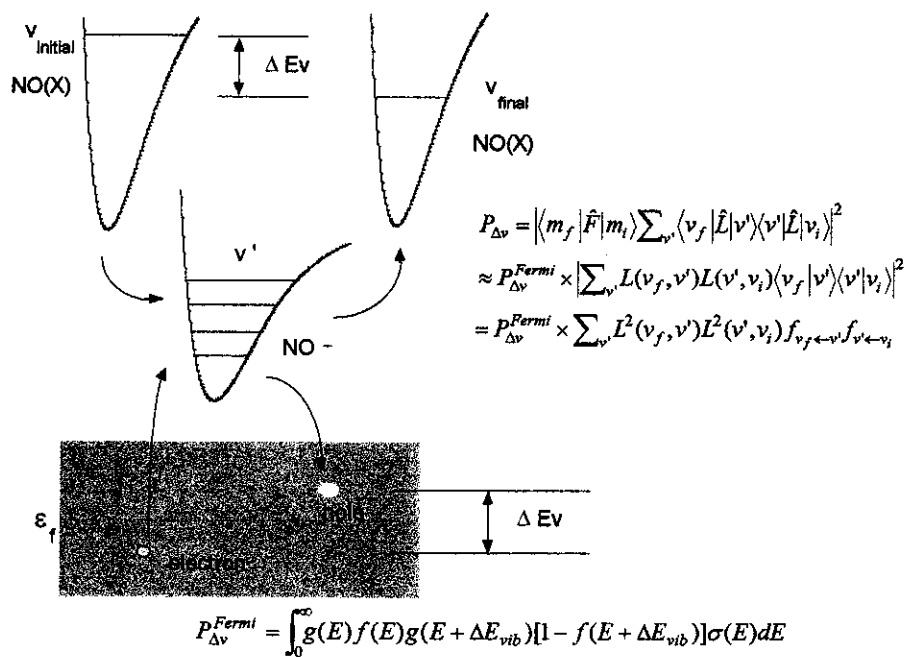
- Prepare NO($v=15$) with SEP
- $E_i = 0.05$ eV
- $T_s = 300$ K
- Clean Au(111)



Important Points

- ✓ <1% survival in $v=15$
- ✓ $\Delta v = -8$ likely vibrational exchange

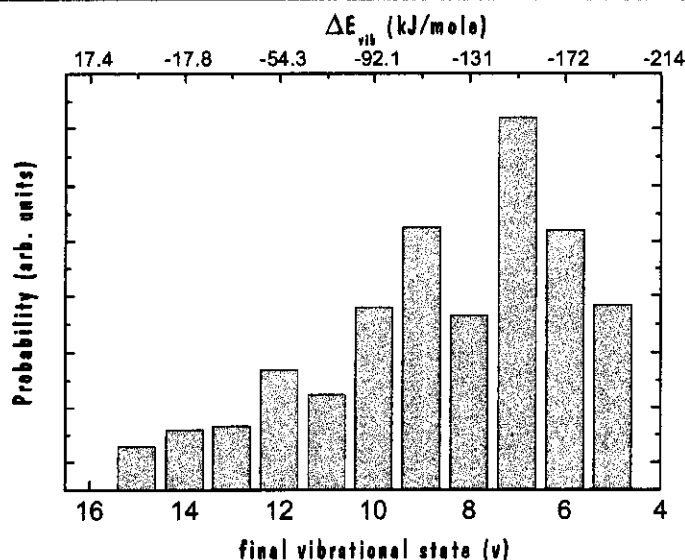
Electron transfer induced vibrational relaxation



Remarkable multiquantum vibrational relaxation on Au(111)

Conditions

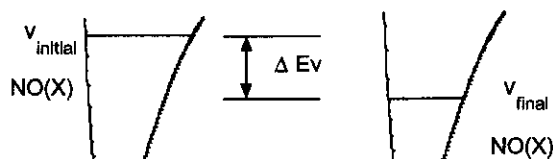
- Prepare NO($v=15$) with SEP
- $E_f = 0.05$ eV
- $T_s = 300$ K
- Clean Au(111)



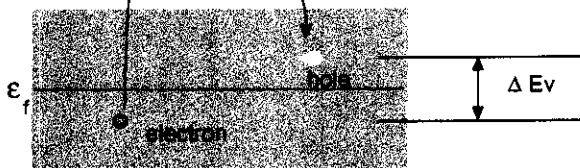
Important Points

- ✓ <1% survival in $v=15$
- ✓ 150 kJ/mol most likely vibrational exchange

Electron transfer induced vibrational relaxation

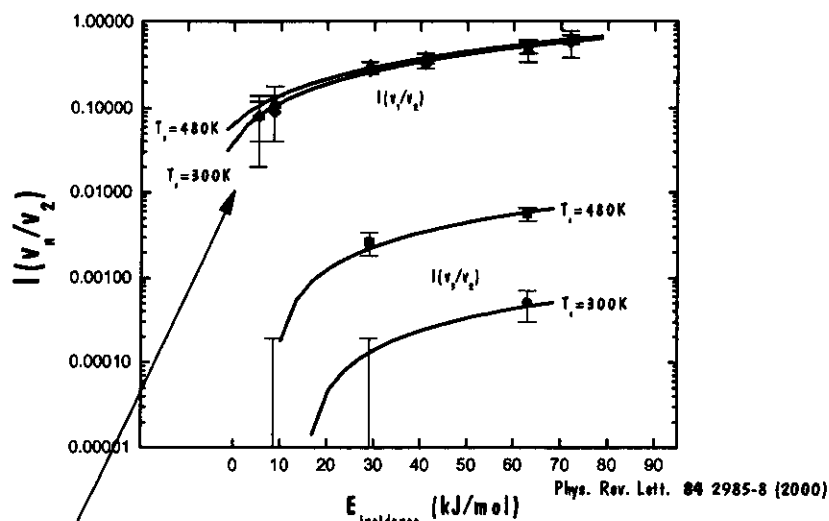


$$\begin{aligned}
 P_{\Delta v} &= \left| \langle m_f | \hat{F} | m_i \rangle \sum_{v'} \langle v_f | \hat{L} | v' \rangle \langle v' | \hat{L} | v_i \rangle \right|^2 \\
 &\approx P_{\Delta v}^{\text{Fermi}} \times \left| \sum_{v'} L(v_f, v') L(v', v_i) \langle v_f | v' \rangle \langle v' | v_i \rangle \right|^2 \\
 &= P_{\Delta v}^{\text{Fermi}} \times \sum_{v'} L^2(v_f, v') L^2(v', v_i) f_{v_f \leftarrow v'} f_{v' \leftarrow v_i}
 \end{aligned}$$



$$P_{\Delta v}^{\text{Fermi}} = \int_0^\infty g(E) f(E) g(E + \Delta E_{vib}) [1 - f(E + \Delta E_{vib})] \sigma(E) dE$$

Comparison: Scattering of NO($v=2$) from Au(111)



$E_i = 50 \text{ meV}$

■ >95% survives in $v=2$

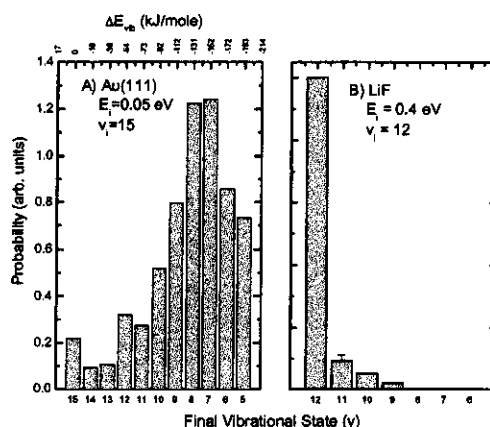
This is not a Franck-Condon Effect

■ $Q[\text{NO}(2)/\text{NO}(0)] \sim 0.5$

Comparison: Scattering of NO($v=12$) from LiF

Principle Observation

- Relaxation Inefficient
- Vibrational relaxation inversely related to E_i



Data Consistent with:

- Little relaxation unless trapping occurs
- NO($v=12$) can survive trapping/desorption

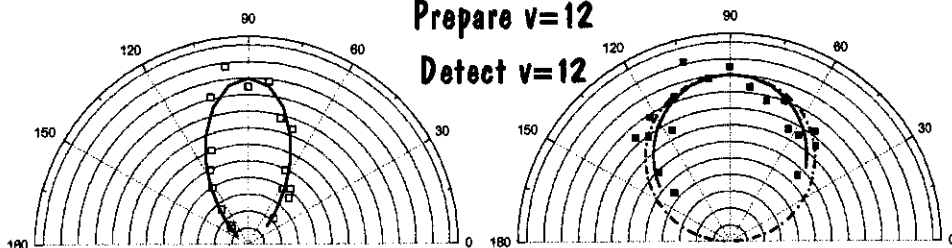
Scattering from LiF: Angular Distribution depends on E_i

$$E_i = 700 \text{ meV}, \cos^{5.2 \pm 0.4}(\theta)$$

$$E_i = 50 \text{ meV}, \cos^{1.2 \pm 0.2}(\theta)$$

Prepare $v=12$

Detect $v=12$



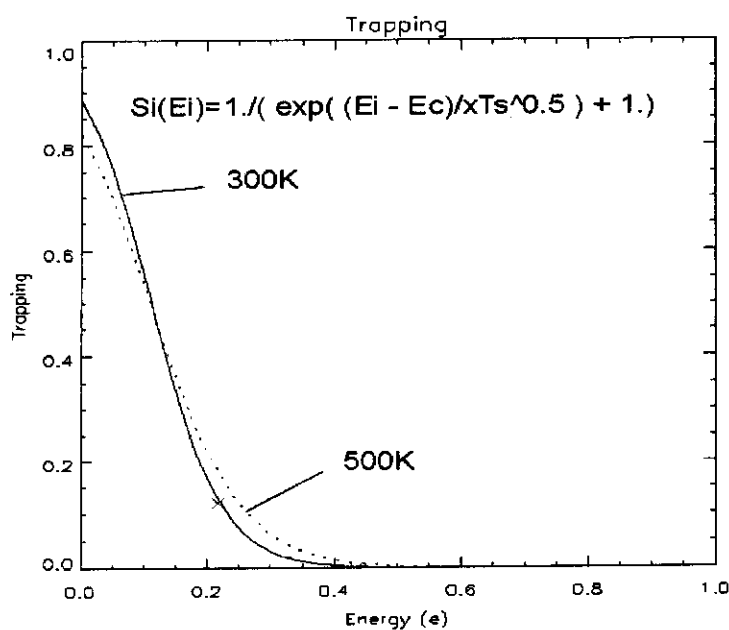
Principal Observation

- Angular distribution narrows with increasing incidence energy

Data Consistent with:

- Little relaxation unless trapping occurs
- NO($v=12$) can survive trapping/desorption

Trapping as a function incidence energy



Vibrational Promotion of Electron Transfer Chemistry

UCSB

IBM

- **Observation of Multiquantum Vibrational relaxation on Au(111)**
 - ✓ <1% survival probability at 0.05 eV incidence energy
 - ✓ 150 kJ/mol most probable exchange
 - ✓ Direct Scattering, narrow angular distribution
 - ✓ circa 100 fs time scale
 - ✓ rotational energy spectator
 - ✓ translation energy spectator
- **Vibrational Energy Transferred to Surface Electronic Excitation**
 - ✓ NO($v=12$) LiF scattering is "vibrationally elastic"
 - ✓ NO($v=2$) from Au(111) at $E_i = 50$ meV is vibrationally elastic in direct channel; energy transfer increases with incidence energy.

Conclusions

UCSB

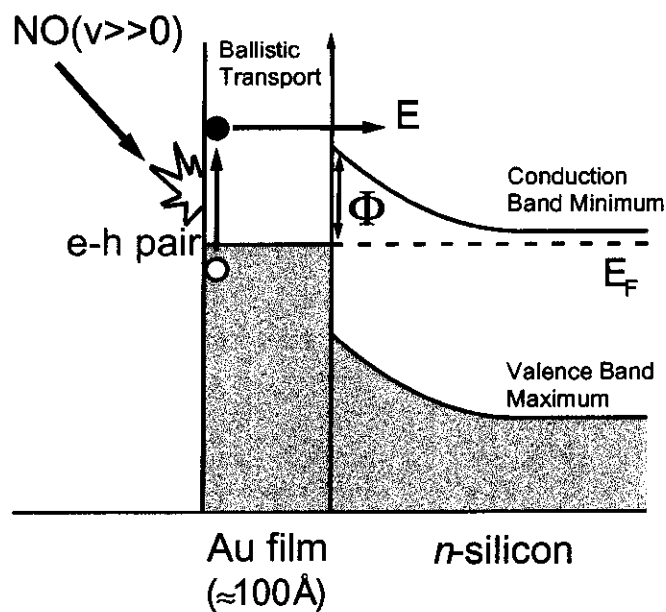
IBM

- For high vibrational excitation, the fundamental change in the electronic nature and the resulting influence on Chemistry cannot be ignored
 - ✓ e.g. triggering electron transfer reactions
- At Metal Surfaces there can be a strong coupling between molecular vibration and surface bound electrons
 - ✓ Is this true for semiconductors?
 - ✓ vibrational excitation from valence to conduction band?

Future Work: Shottky Barrier Diode detection of hot electrons

UCSB

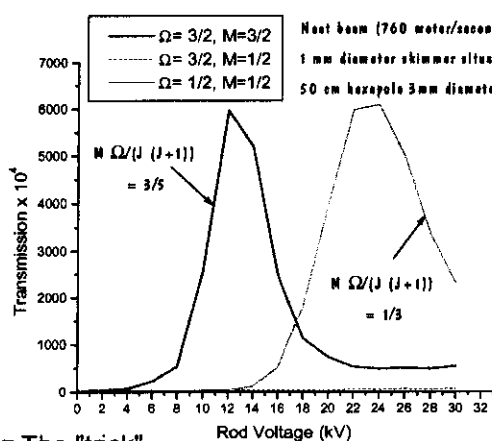
IBM



Hexapole Focusing of NO

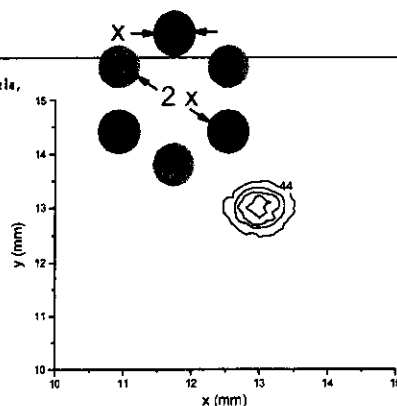
UCSB

IBM



■ The "trick"

- ▶ NO($v=0$) all $\Omega = 1/2$
- ▶ Pump NO($v \gg 0$) to $\Omega = 3/2$
- ▶ Stark shift $\sim M \Omega / (J (J+1))$



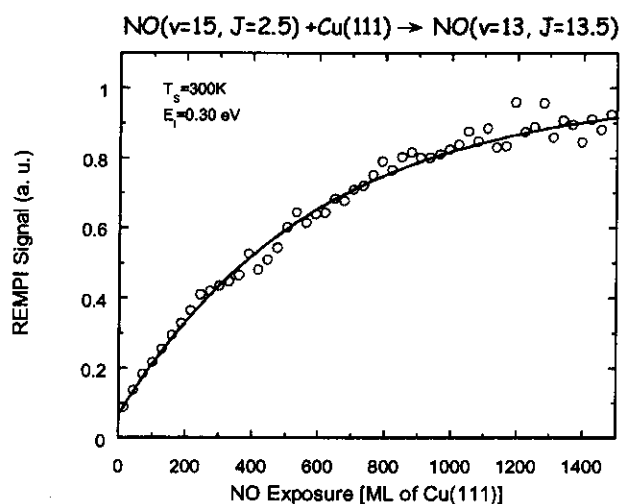
■ Key features to note

- ▶ 60% transmission
- ▶ Neat NO beam
- ▶ Tight focus possible

Classical Trajectory Calculations: Roger W. Anderson (Modifications by Marcel Drabbels), J. Phys. Chem. A 101, 7671 (1997)

Question

→ Given that vibrational relaxation occurs with unprecedented efficiency, Is it reasonable to expect vibrational promotion of chemical reactivity?

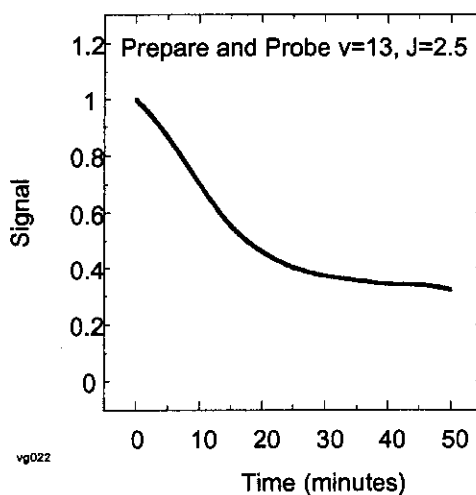


■ Hypothesis:

- ✓ vibrational relaxation strong only for oxygen covered surface

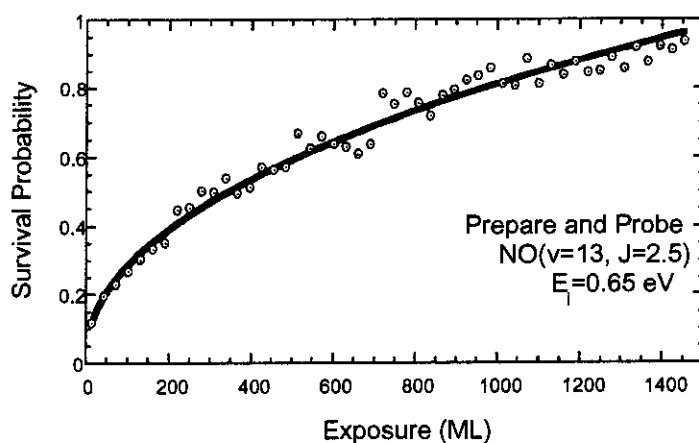
■ Prediction

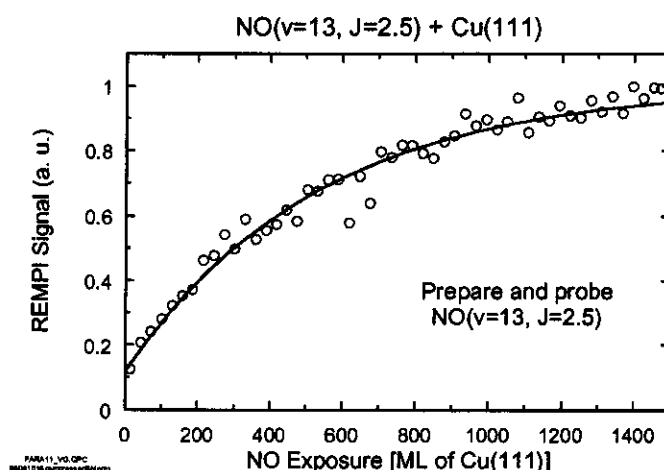
- ✓ vibrationally elastic scattering should be large on clean surface
- ✓ decrease as surface becomes covered
- ✓ total loss should calibrate absolute fraction of vibrational relaxation!



Survival Probability vs Exposure

NO($v=13, J=2.5$) + Cu(111)





- The translational and vibrational energy dependence of surface reactions provides an effective probe of PES topography and reaction mechanisms
 - Translation promotes activated reactions
 - Vibration can also be effective -- generally less
 - early and late barriers
- Electronic effects are important in vibrational energy transfer for NO at metal surfaces
- High degrees of vibrational excitation produce new phenomena
 - fundamental change in the electronic nature and resulting influence on chemistry
 - surprisingly efficient vibrational relaxation
 - indirect evidence for dramatic enhancement of dissociative adsorption.
 - triggering electron transfer reactions

Thanks:

- ✓ H. Hou, C.T. Rettner (IBM)
- ✓ S.J. Gulding, Y. Huang, A.M. Wodtke (UCSB)
- ✓ U.S. DOE, AFOSR, UCSB Laser Pool