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SMR 1273 - 6

**WORKSHOP ON PLASMA DIAGNOSTICS AND
INDUSTRIAL APPLICATIONS OF PLASMAS**

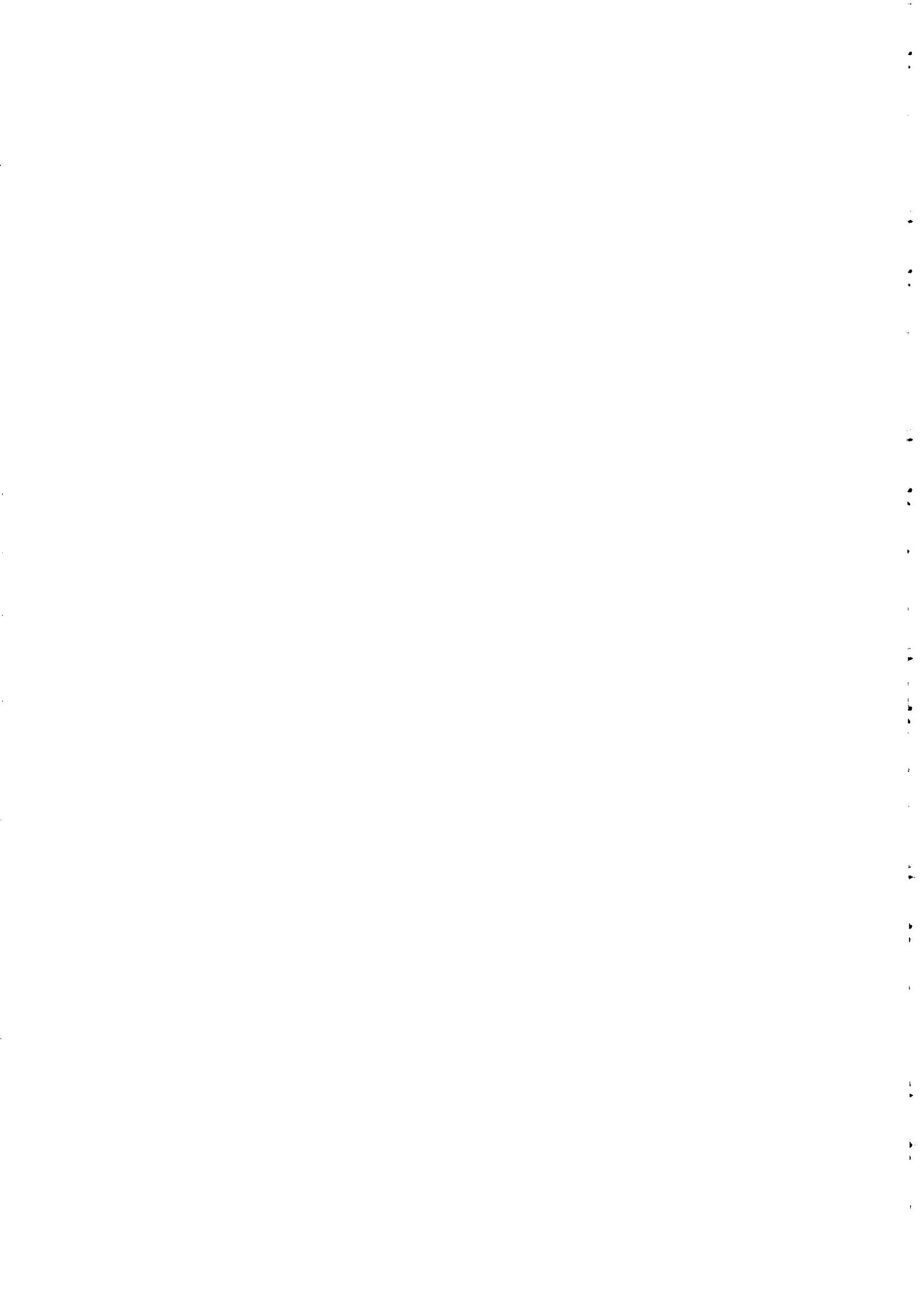
12 - 13 OCTOBER 2000

***INDUSTRIAL APPLICATIONS OF SMALL PLASMA
DEVICES AND RELATED DIAGNOSTICS***

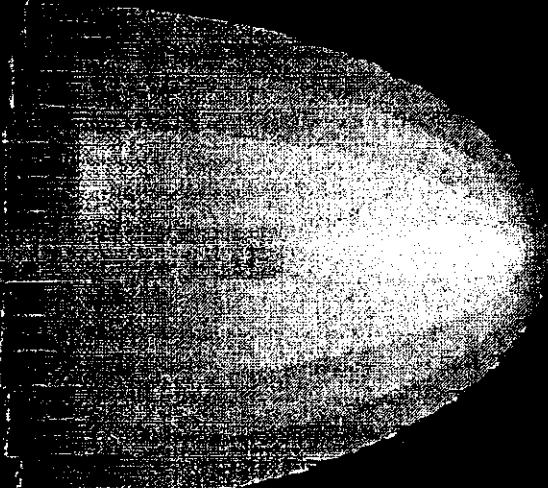
Part II

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These are preliminary lecture notes, intended only for distribution to participants.



INDUSTRIAL APPLICATIONS OF SMALL PLASMA DEVICES AND RELATED DIAGNOSTICS



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**(Interinstitutional Program of Dense
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GROUP OF RESEARCH

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(Graduate Student (PhD), Laboratory)
- **Lucas Nachez, Licenciado in Physics**
(Graduate Student (PhD), Laboratory)
- **Horacio Merayo**
(Technician, Laboratory)
- **Andrés Devooght**
(Technician, Enterprise)

FINANTIAL SUPPORT

GRANTS (Today)

- National Council of Research (CONICET)
- Secretary of Science and Technology
- International Atomic Energy Agency (IAEA)
- University of Rosario

GRANTS (Past)

- ICTP-TWAS, Trieste (Italy)
- Antorchas Foundation (Argentina)
- Vitae Foundation (Brazil)
- Fulbright Foundation (U.S.A.)

OTHER SUPPORTS

- Inter-institutional Program of Dense Plasmas (Argentina)
- Enterprise Galplast S.R.L. (Rosario, Argentina)

COLLABORATION WITH OTHER GROUPS OF RESEARCH

IN ARGENTINA

- National Atomic Energy Comission (CNEA)
- Argentine Institute of Siderurgy (IAS), San Nicolas
- Institute of Technology (INTEC), Santa Fe
- CITEFA, Buenos Aires

OTHER COUNTRIES

- National Laboratory of Synchrotron Radiation (LNLS), Campinas, Brazil
- COPPE, Rio de Janeiro, Brazil
- Escola de Minas, Ouro Preto, Brazil
- Universite Paul Sabatier, Toulouse, France
- Laboratoire de Mécanique de Lille, URA, CNRS, Lille, France

FIELD OF RESEARCH: "Surface treatment by means of techniques that use plasmas"

HIGH ENERGY PLASMAS

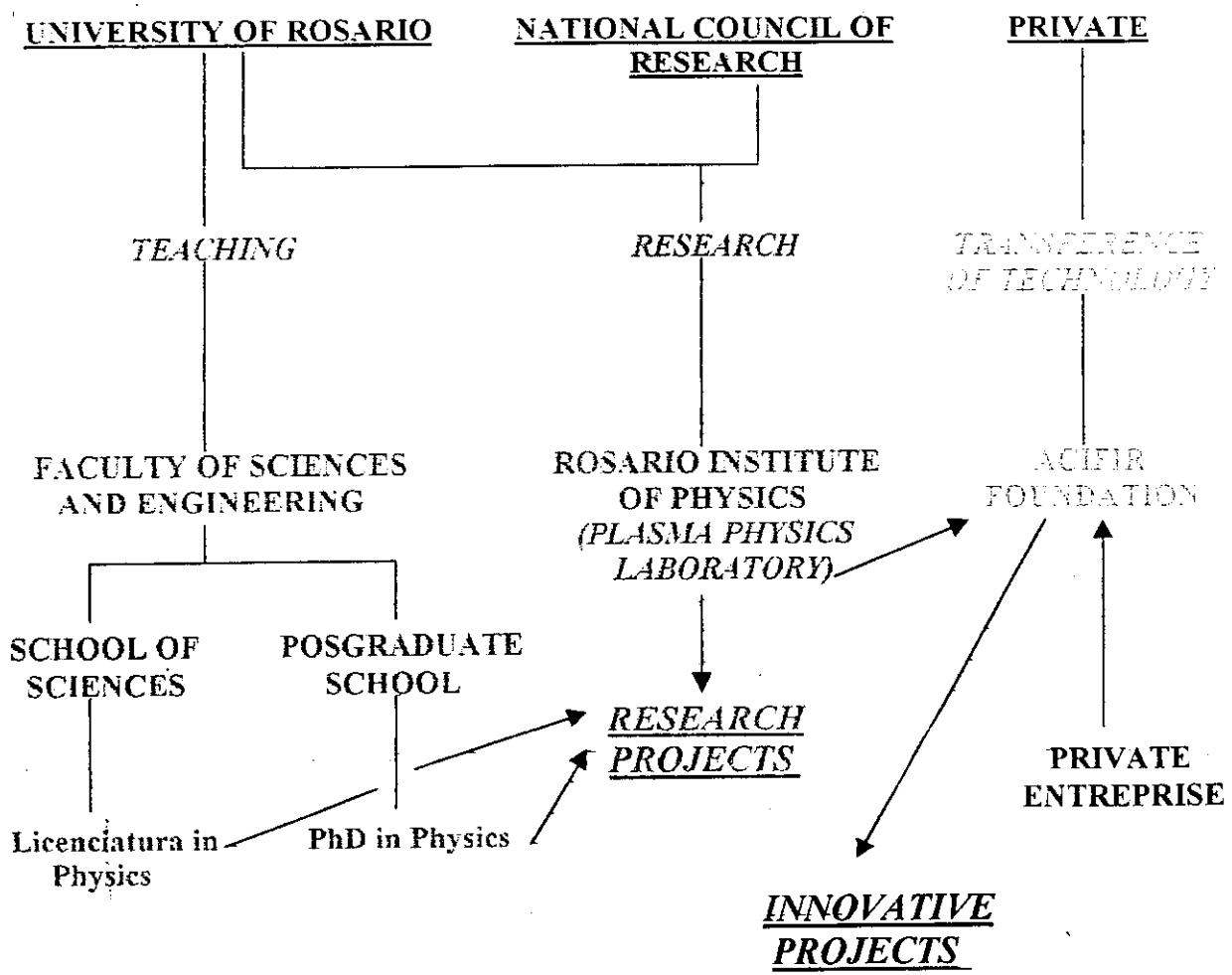
- Surface treatment by thermal shock.

High energy, short duration pulses of plasmas generated in Z-Pinch experiments.

TEMPERATURE PLASMAS

- Ion nitriding
- Ion nitrocarburizing
- Plasma Assisted Physical Vapor Deposition

DC and pulsed Glow discharges, combined with pure metal evaporation.



CHARACTERISTICS OF THE PROJECT-ENTERPRISE

TYPE OF ENTERPRISE

- Small and medium size enterprises (<80 employees).
- Field of activity (manufacturing or services) with strong tradition in the region.
- Inserted in the internal and external (export) market.

This type of enterprises have strong dependence of the region.

Big companies in general buy new technology in developed countries.

CHARACTERISTICS OF PROJECTS

- Low investment.
- Short term benefits.
- Relatively short duration (<2 years)
- Possibility of active participation of part of the professionals and technicians of the enterprise.
- Mostly developed inside the enterprise (confidentiality).

ELEMENTS THAT HELP TO CAPT PROJECTS OF TRANSFERENCE OF TECHNOLOGY

- **Detection of the necessities of the enterprises.**
It is more important to detect necessities than to know how to communicate to the managers the results of our research.
- **Helping to solve (directly or indirectly) any problems of the enterprises, even those that do not require an important professional (or intellectual) effort.**
This activity contributes to create confidence and break the concept of the existence of a strong gap between the small enterprise necessities and the creation of knowledge in the academic and scientific research groups.
- **Knowledge of possible financial help (credits, subsidies, etc.) available in financial market, and from official institutions.**
This help to present realistic solutions even under the economic point of view.
- **To have a good knowledge of the state of the art of the application fields related with our specific field of research.**

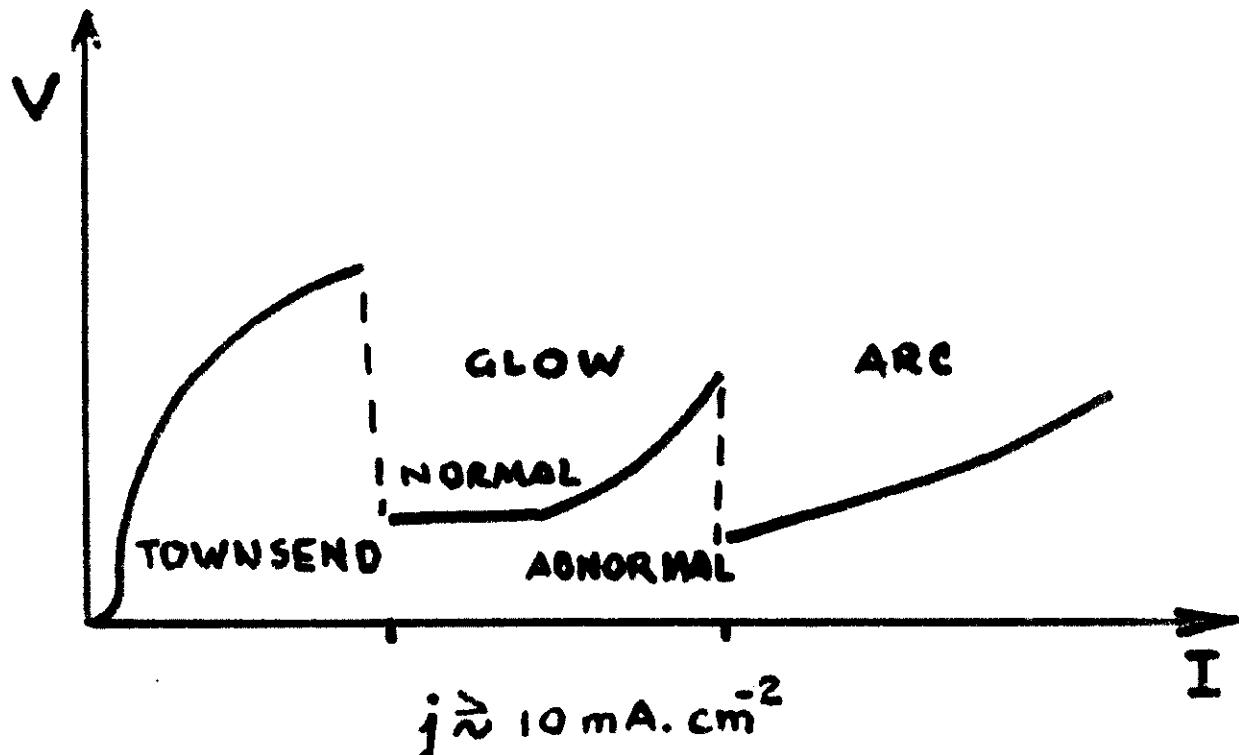
PLASMA PHYSICS

Plasma physics is a discipline which has developed rapidly during the last 50 years. The efforts made in this field, principally in nuclear fusion strongly push the research in many directions that left important knowledge that was fully used in many other fields. Applications of plasma physics in diagnostics, production and surface treatment were developed in abundance.

The strong exigency in quality in microelectronics also create an important number of sophisticated methods of surface treatment, mostly of them based on plasma physics, which were rapidly applied in other fields.

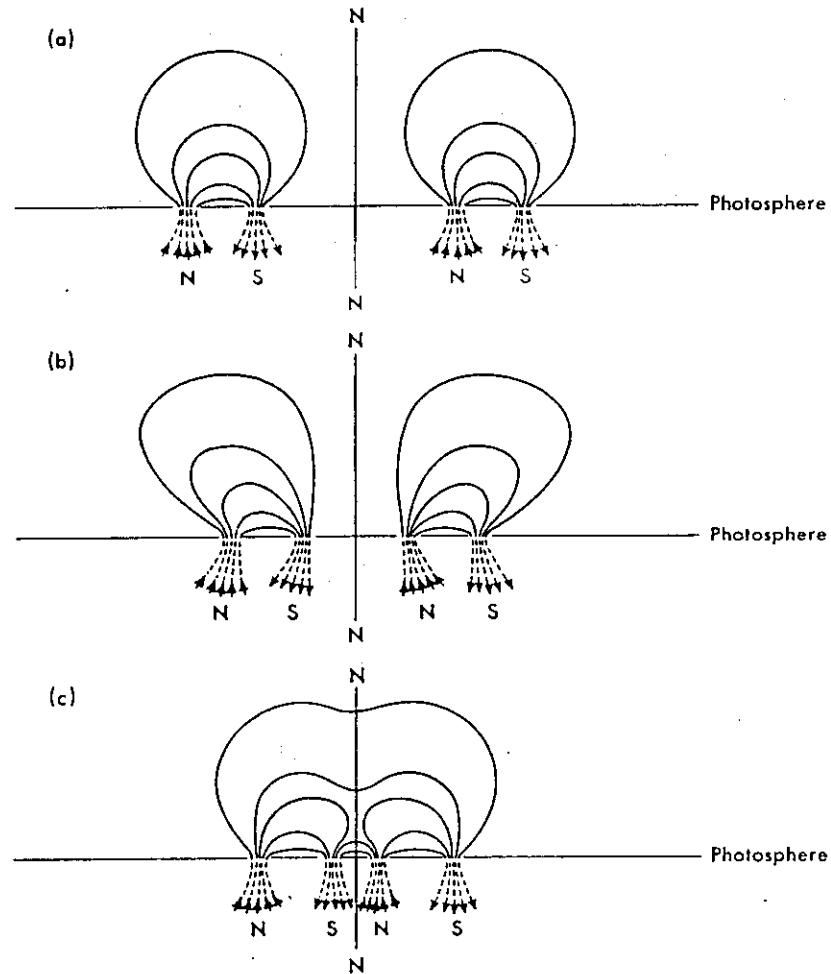
Today there are many applications in which plasma physics play an important role.

Many of the applications of plasma physics and the related diagnostic techniques are of relative low cost, making possible their development with modest budgets.



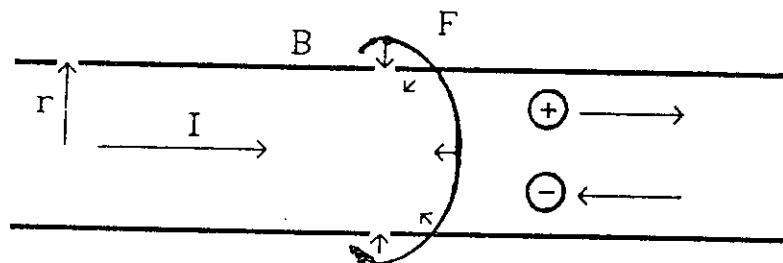
SOLAR FLARES

Annihilation of magnetic fields (Sweet's mechanism)



ION ACCELERATION DURING RAYLEIGH TAYLOR INSTABILITY ($M=0$)
DEVELOPMENT, IN A HIGH CURRENT PLASMA COLUMN

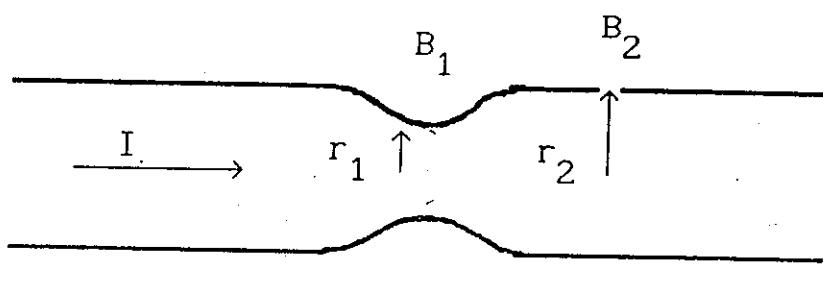
A- PLASMA COLUMN



$$B(t) \sim I(t)/r$$

$$F(t) \sim I(t) \times B(t)$$

B- INSTABILITY DEVELOPMENT ("PINCH" EFFECT)

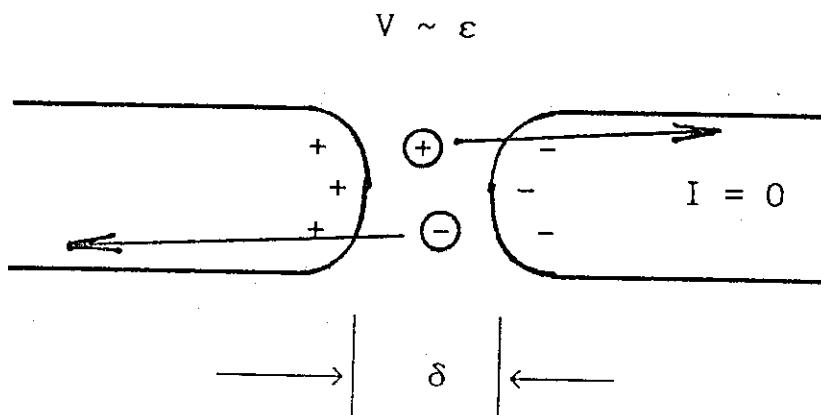


$$F_1 \sim I \times B_1$$

$$F_2 \sim I \times B_2$$

$$F_1 > F_2$$

C- DIODE LIKE FORMATION



$$\epsilon = -\partial\Phi/\partial t$$

$$\epsilon \sim \Delta(B.A)/\Delta t$$

$$B_i \sim I_i/\delta$$

$$B_f = 0$$

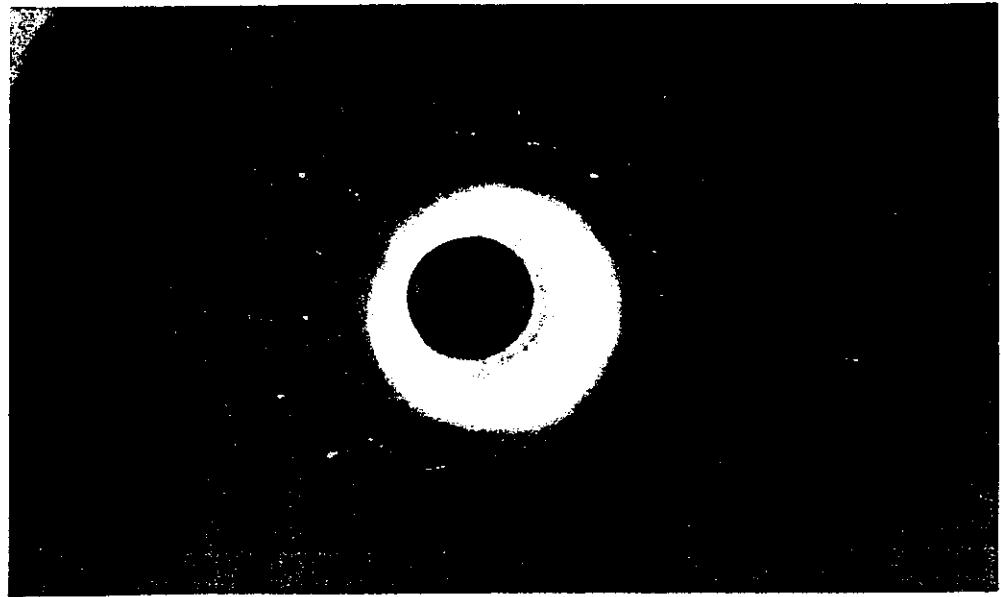
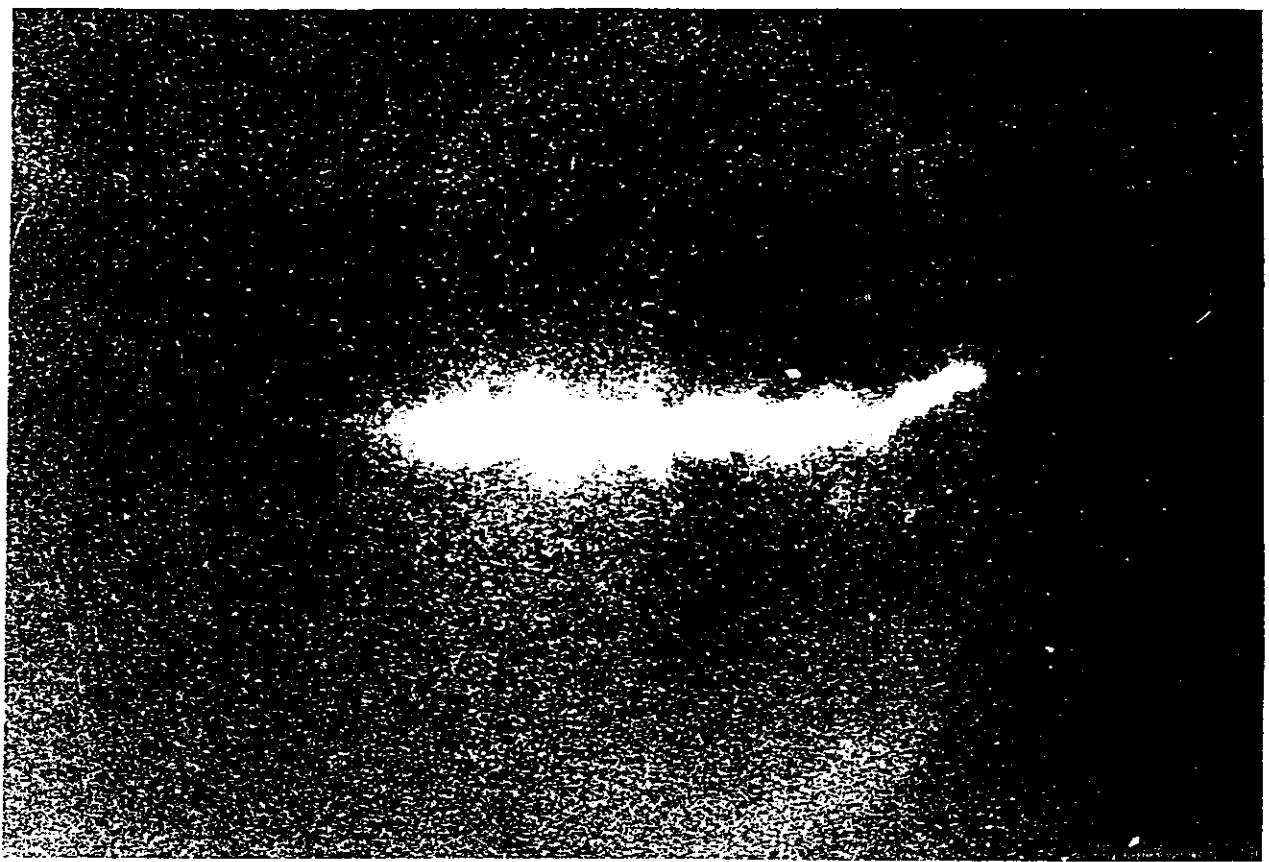
$$\epsilon \sim (B_i \cdot A)/\Delta t$$

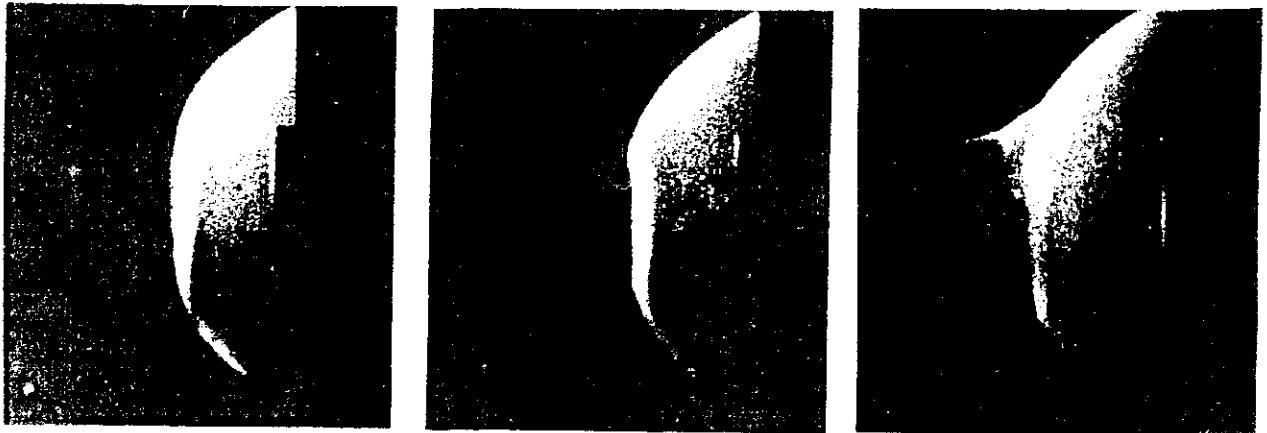
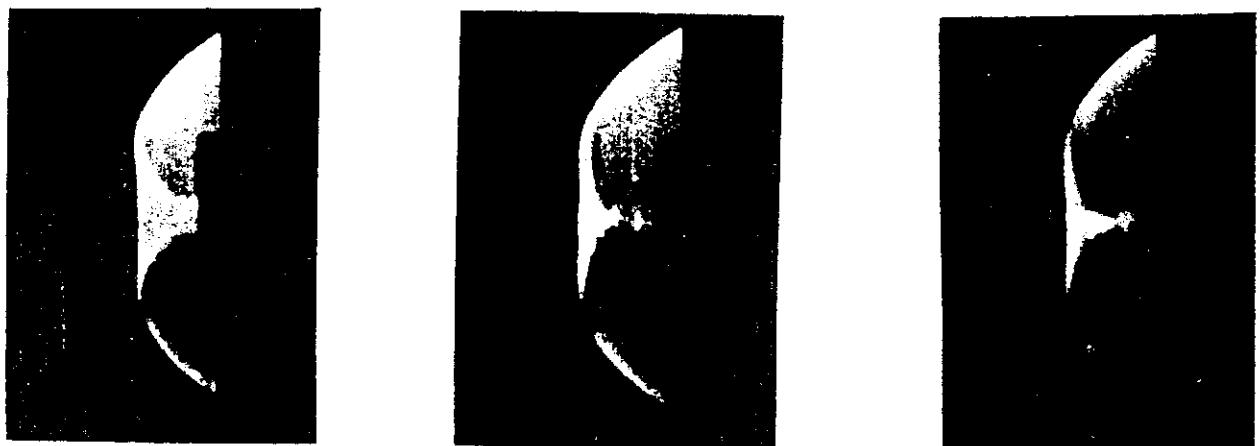
ESTIMATED FIELD

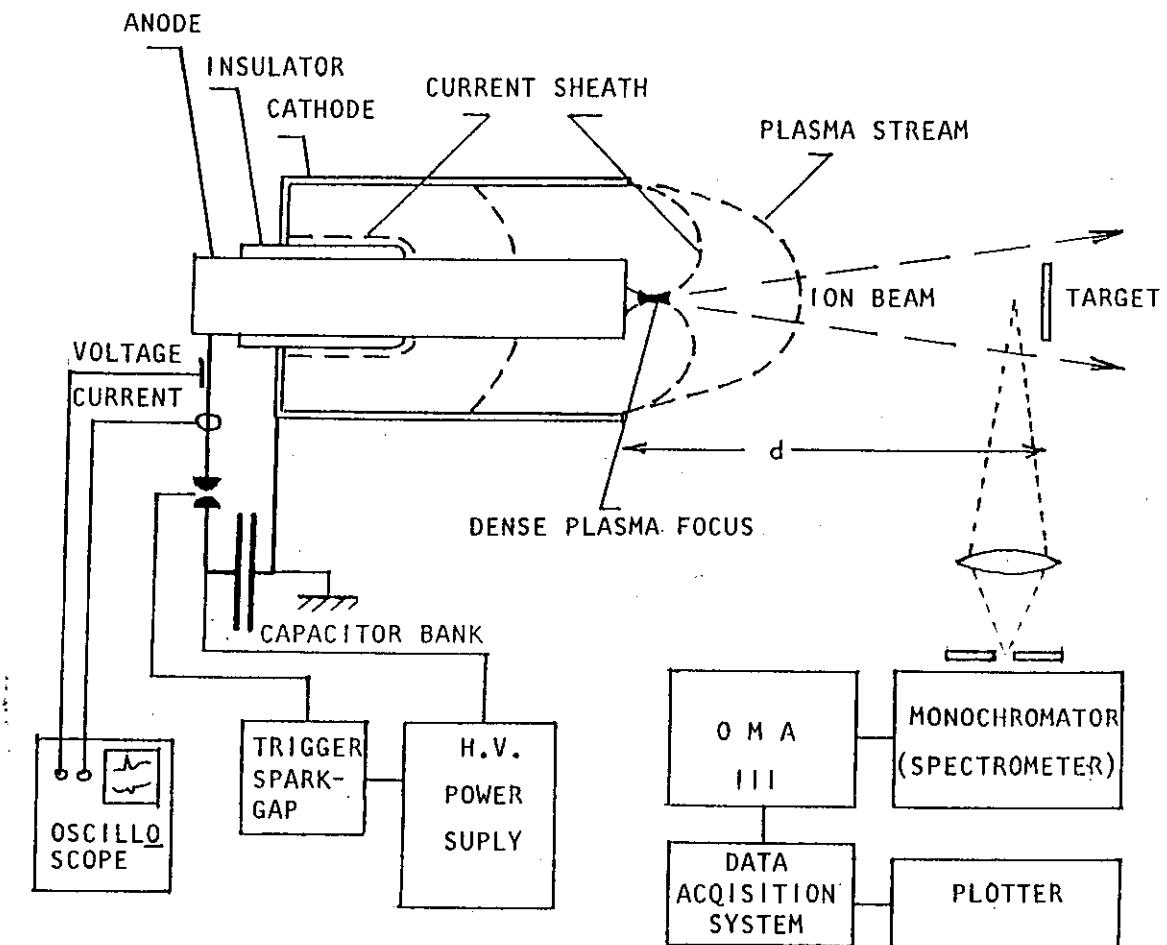
$$\Delta I \sim I_m \sim 100\text{kA} ; \quad \Delta t \sim 0,1\text{ns} ; \quad A \sim \delta^2 ; \quad \delta \sim 10\mu\text{m}$$

$$\epsilon \sim [(\mu_0 I_m / 2\pi\delta) \cdot \delta^2] / \Delta t$$

$$\epsilon \sim 200 \text{ kV}$$







PLASMA GUN: CHARACTERISTICS

GEOMETRY:

Anode: $\Phi = 17\text{mm}$; $l = 70\text{mm}$

Cathode: $\Phi = 50\text{mm}$; $l = 70\text{mm}$

Insulator: $\Phi = 21\text{mm}$; $l = 25\text{mm}$

PHYSICAL PARAMETERS:

Capacitor Bank: $C = 4\mu\text{f}$

$V = 25\text{kV}$

$L = 0.01\mu\text{H}\gamma$

Operating Pressure: 0.35 Torr.

ION BEAM: CHARACTERISTICS

Fluence per shot: $f < 2 \times 10^{14} \text{ cm}^{-2}$

Pulse time duration: $\delta t > 200 \text{ ns}$

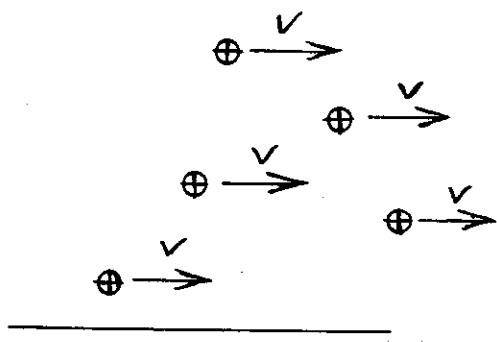
Ion beam energy (continuous spectral law): $N \sim E^{-2.4}$

N number of ions with E energy, and $E > 2.0\text{keV}$ per ion.

Higher (F) fluences can be obtained by accumulation of n single shots.

PENETRACION DE IONES ENERGETICOS
EN SOLIDOS - RANGE (P)

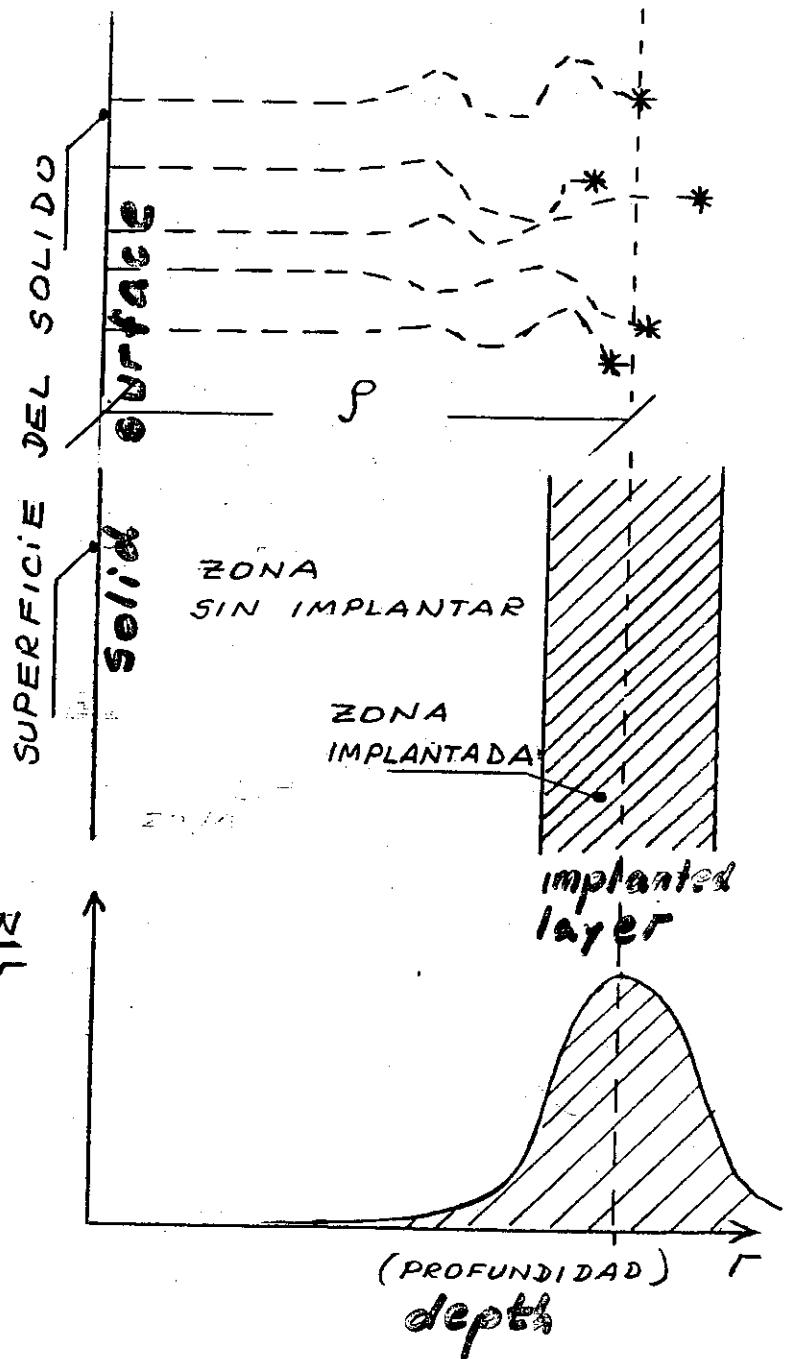
ION PENETRATION IN SOLIDS - RANGE (P)



$$E = \frac{1}{2} m_i v^2$$

$$P = f(E, Z)$$

(Z: N° atómico)



SURFACE TEMPERATURE EVOLUTION

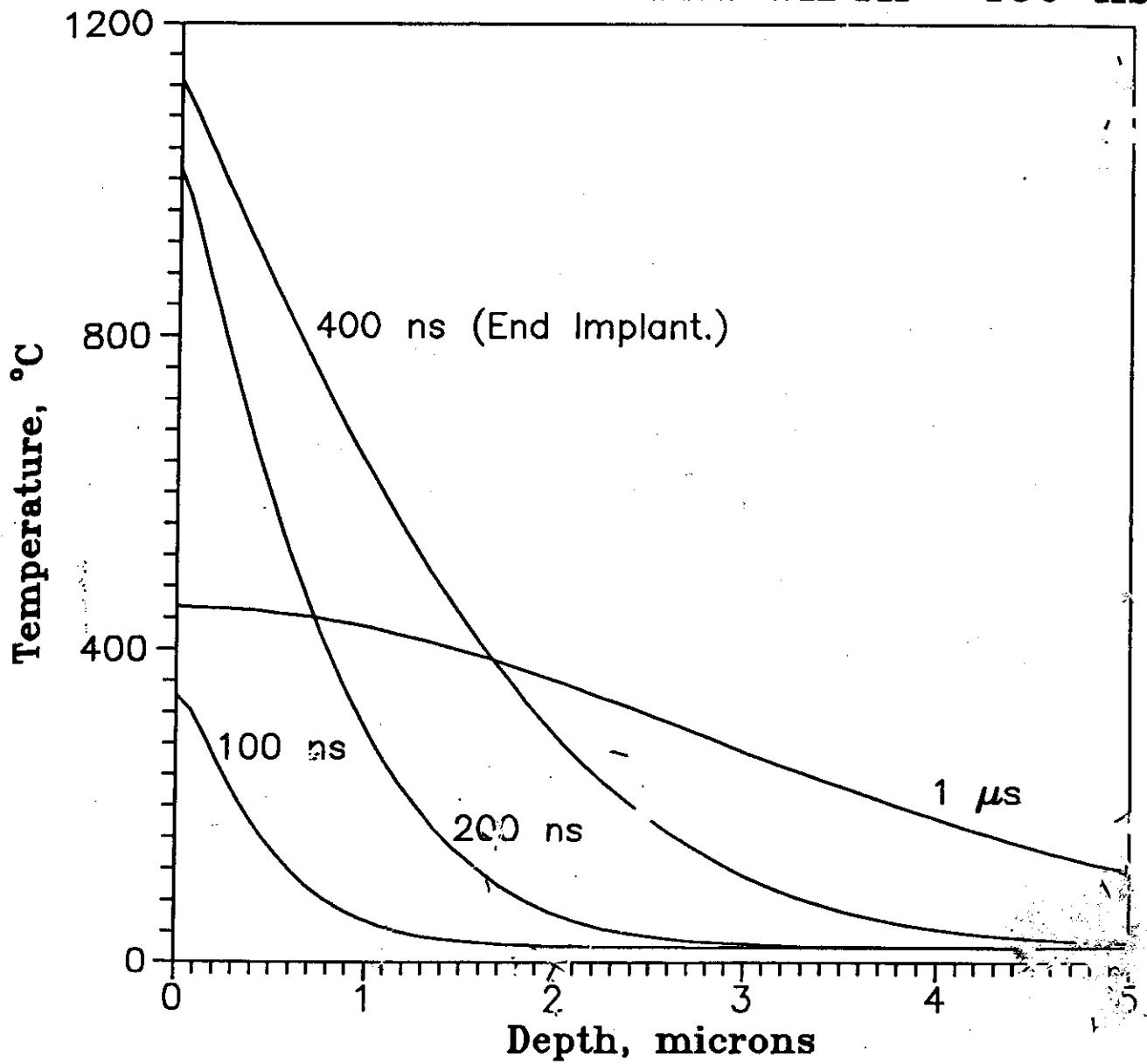
The temperature evolution due to the interaction of pulsed nitrogen beams was studied using finite differences calculations.

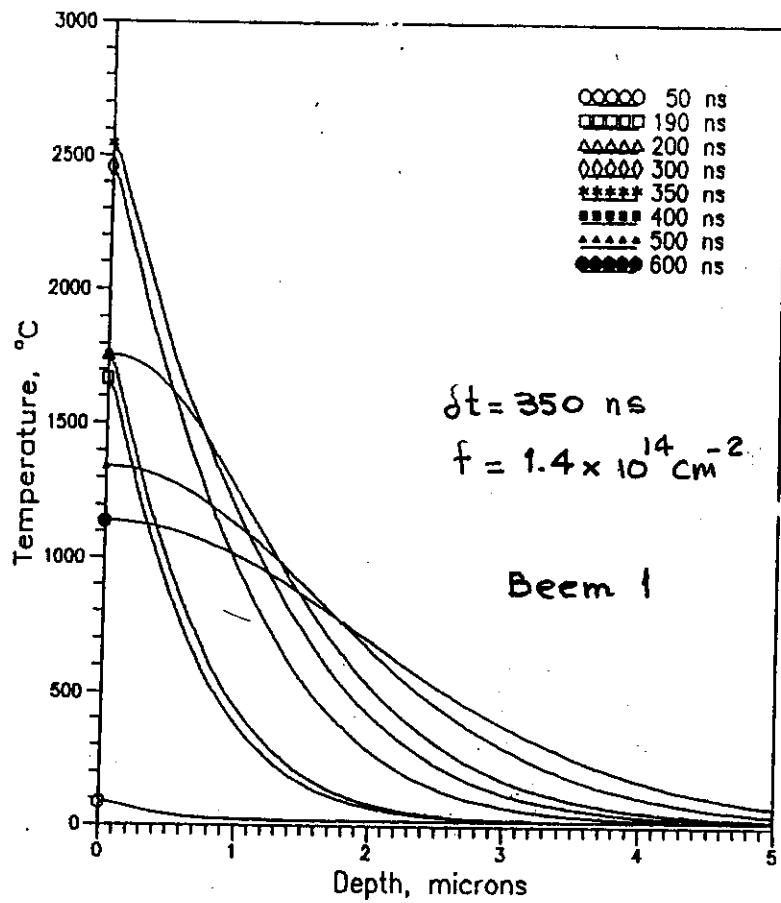
Calculations were done assuming the following:

- **During penetration, the ions loose their kinetic energy gradually and uniformly**
- **Every ion stops at a depth equal to the RANGE corresponding to its kinetic energy E**
- **The ion energy is totally converted into thermal energy in the affected layer**
- **The only cause of cooling is the thermal conduction at the bulk of the sample**
- **The thermal constants of the implanted material (bulk) were used**
- **No boundary conditions were used**

The calculations were done for different ion beam pulses characteristics

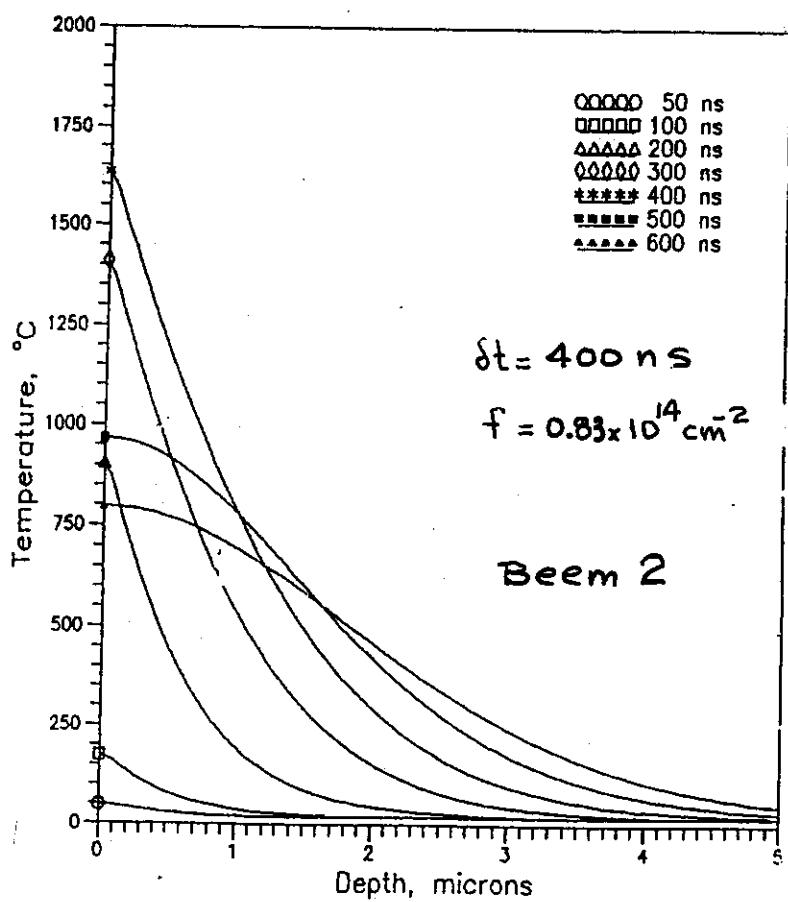
NITROGEN IMPLANTED STAINLESS STEEL
FLUENCE = 10^{13} cm^{-2}
IMPLANTATION TEMPORAL WIDTH = 400 ns



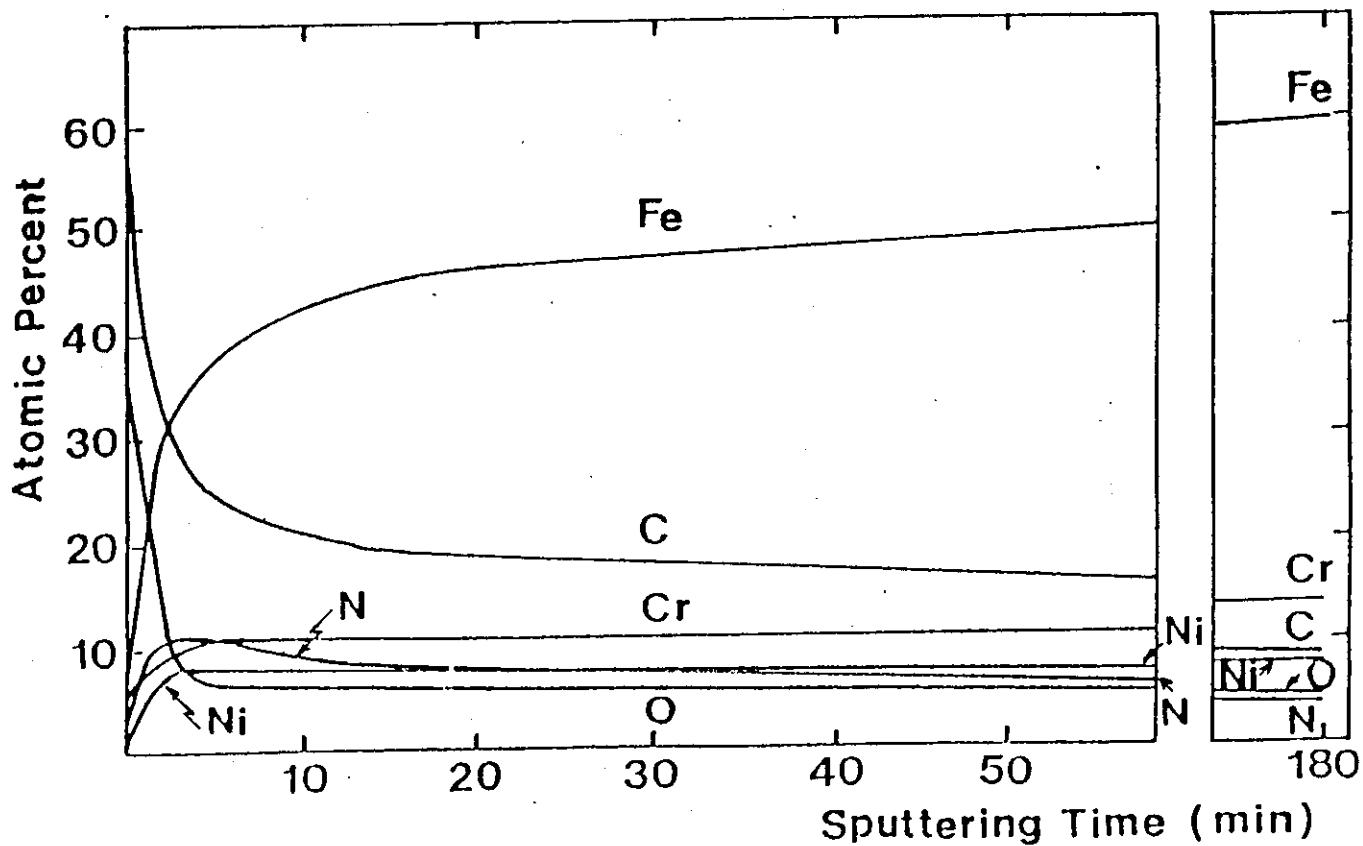
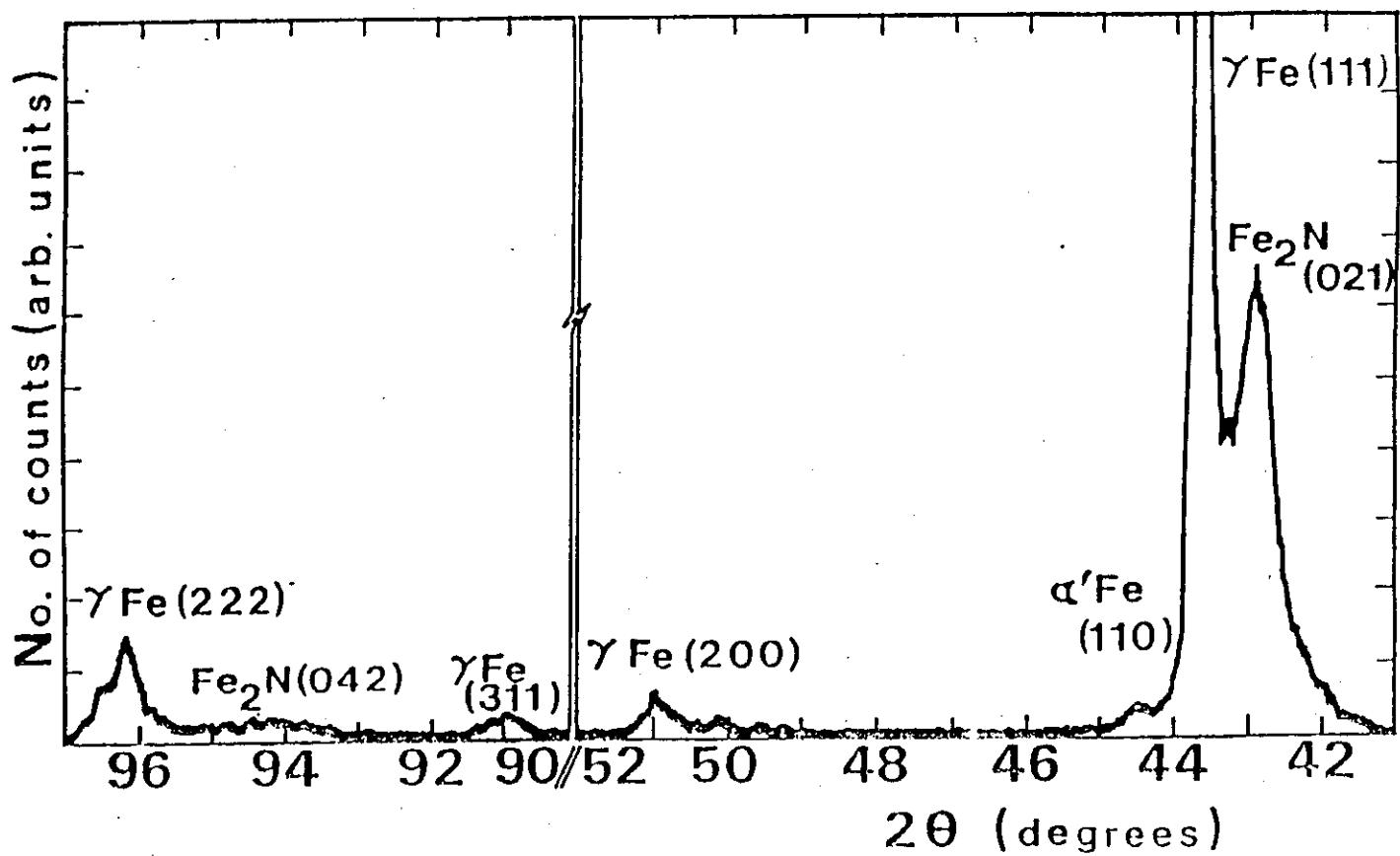


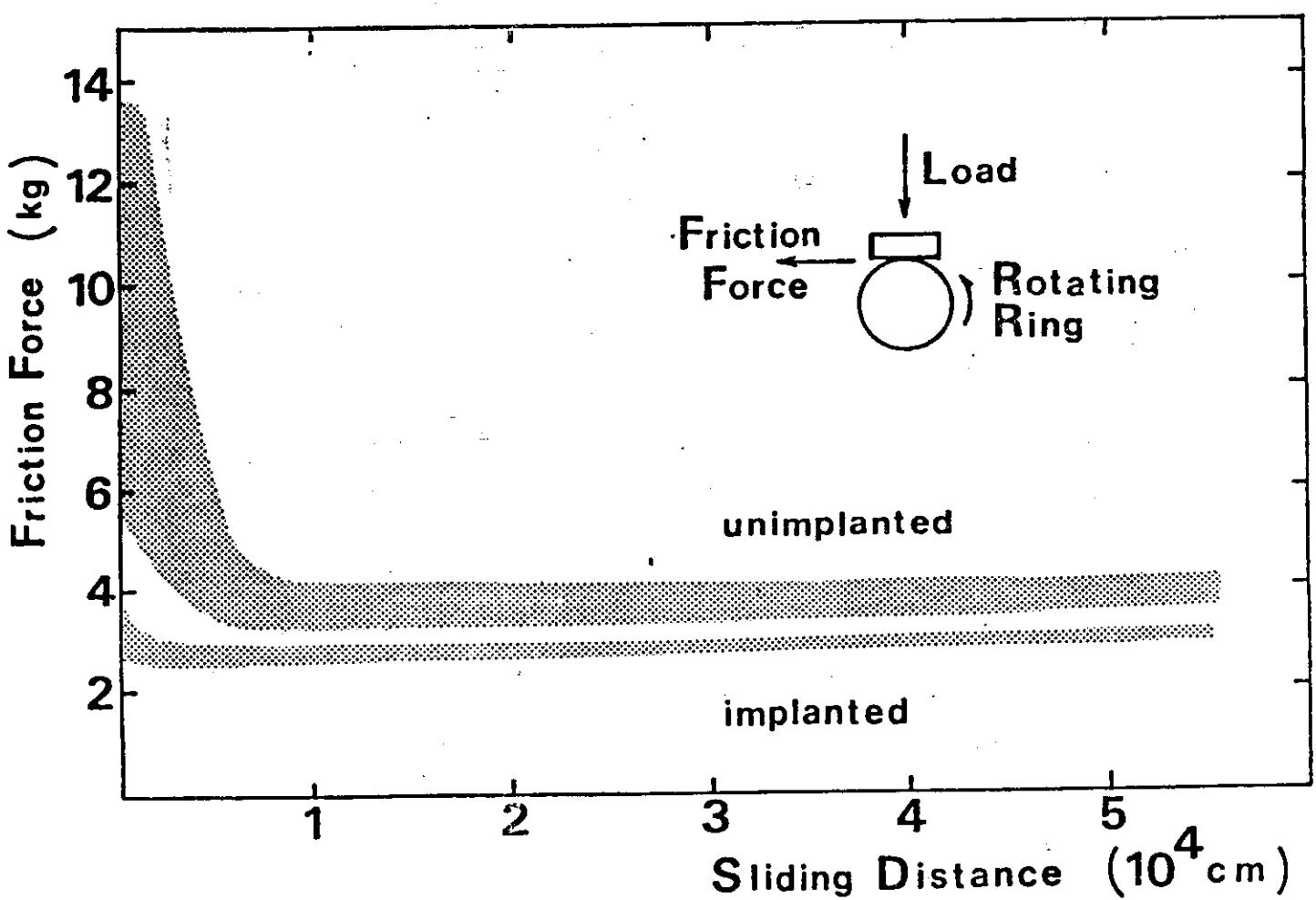
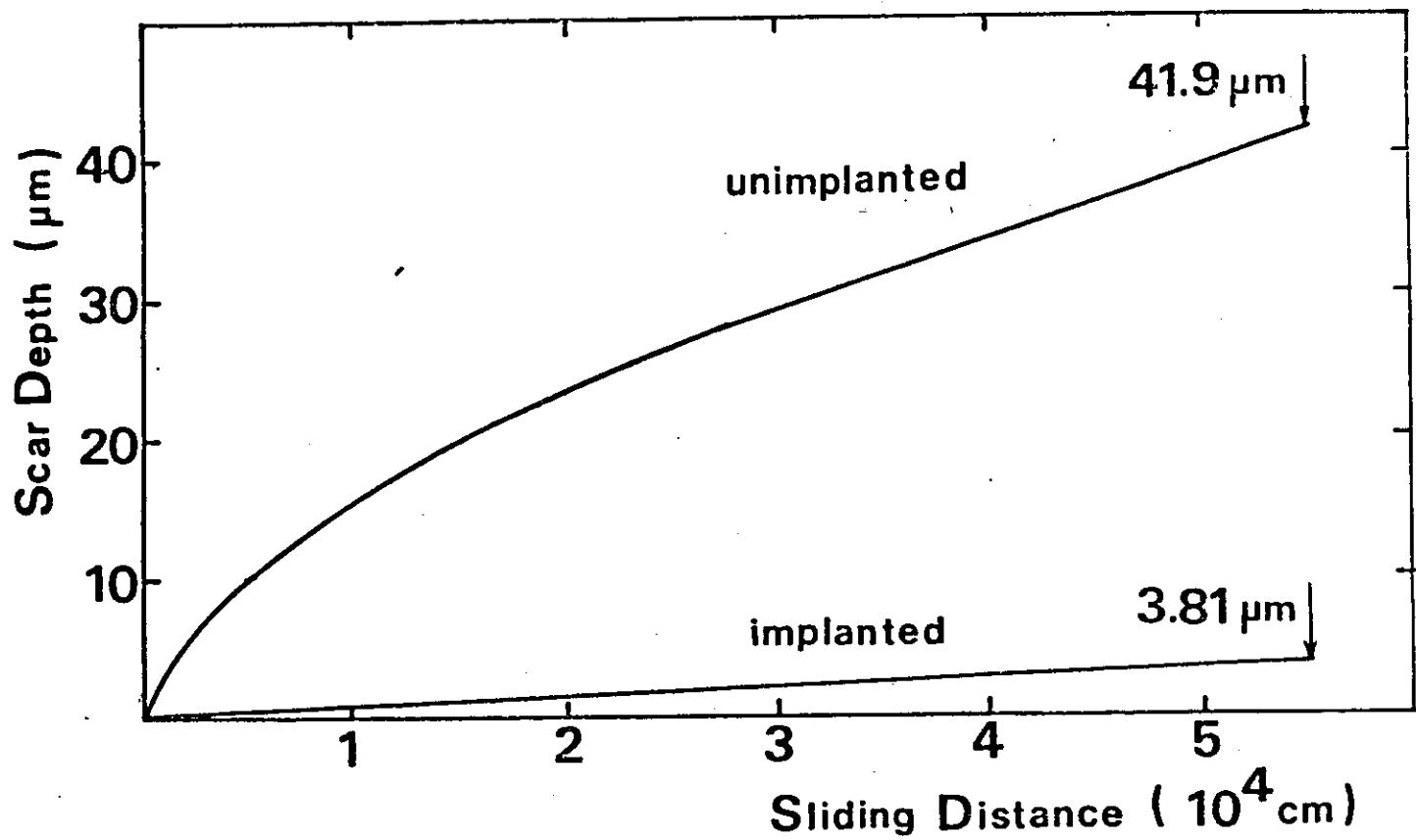
Temp. slope
 $\sim 1500 \text{ K}/\mu\text{m}$

Heating speed
 $\sim 15 \text{ K}/\text{ns}$



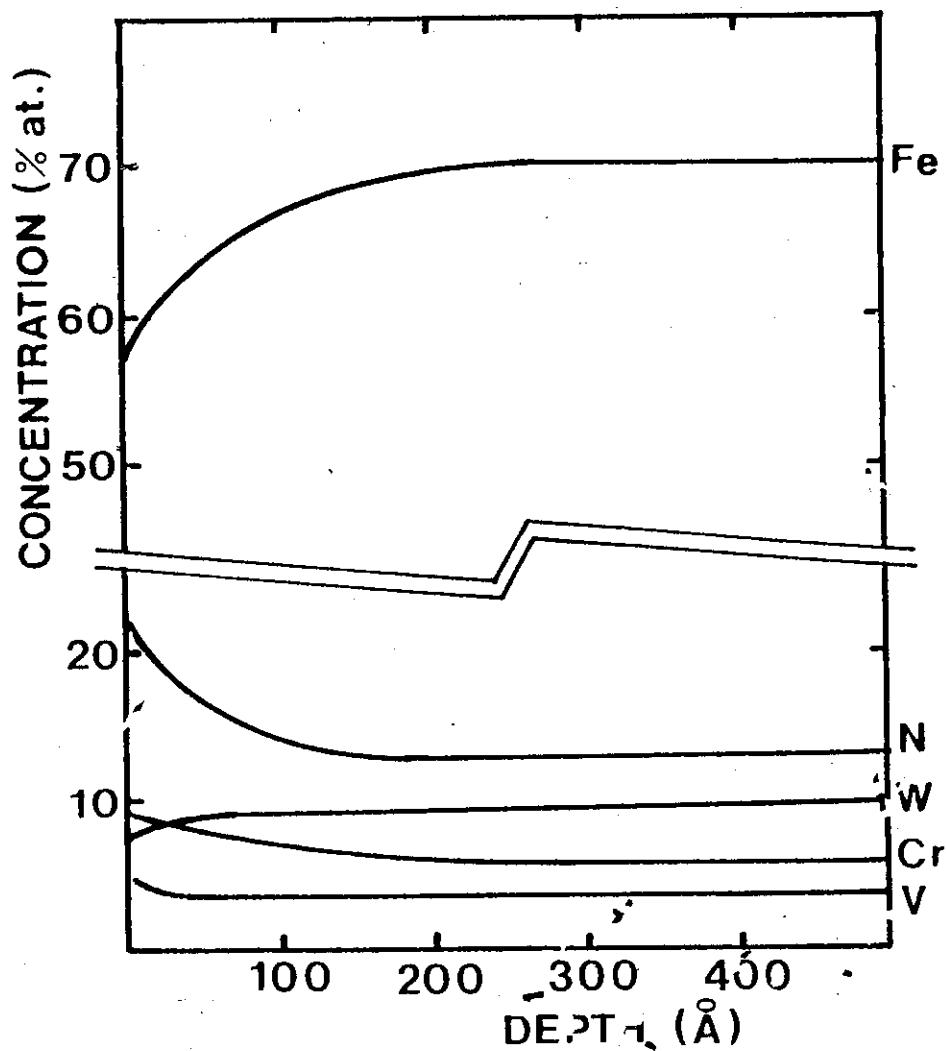
STAINLESS STEEL (AISI 304)

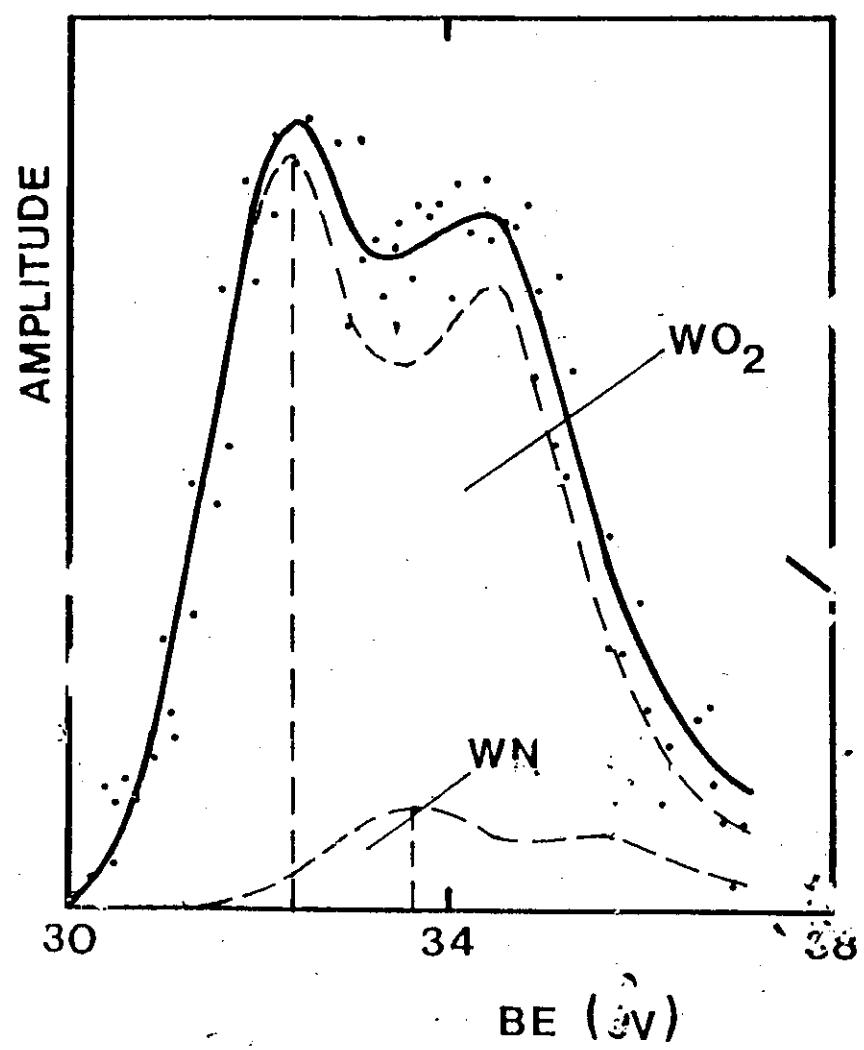




HIGH SPEED (M2) STEEL

XPS



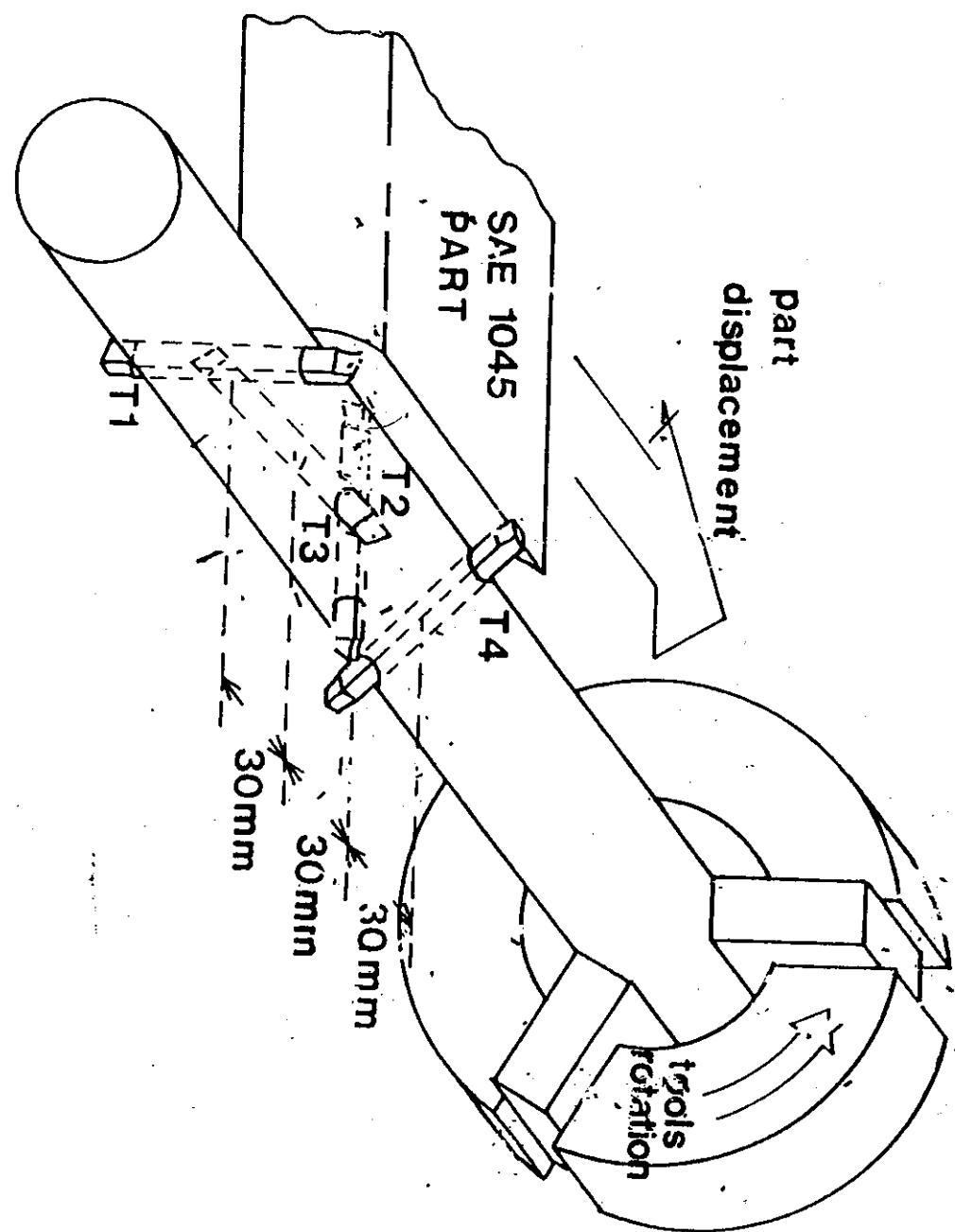


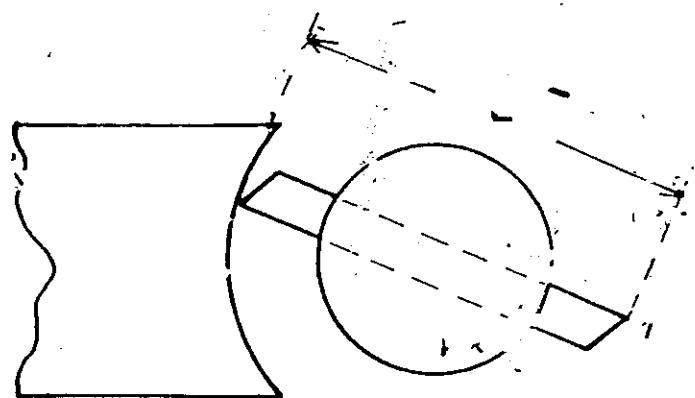
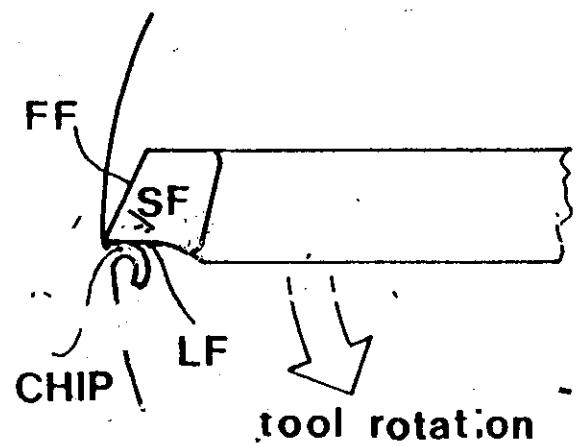
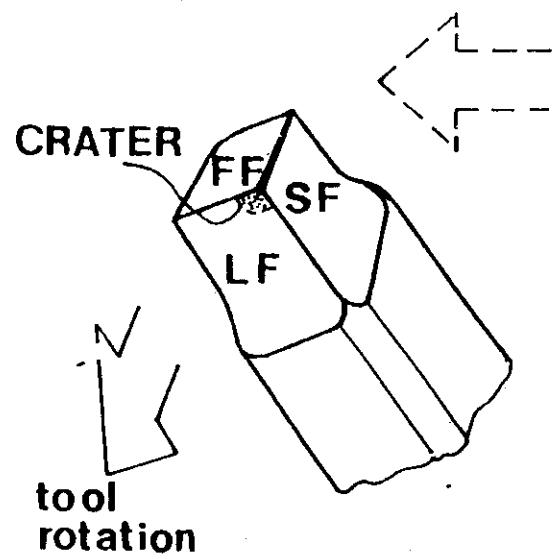
Steel: 0.53% C , 3.8% Ni , 1,65% Cr

MICROHARDNESS VICKERS (25 gr.)

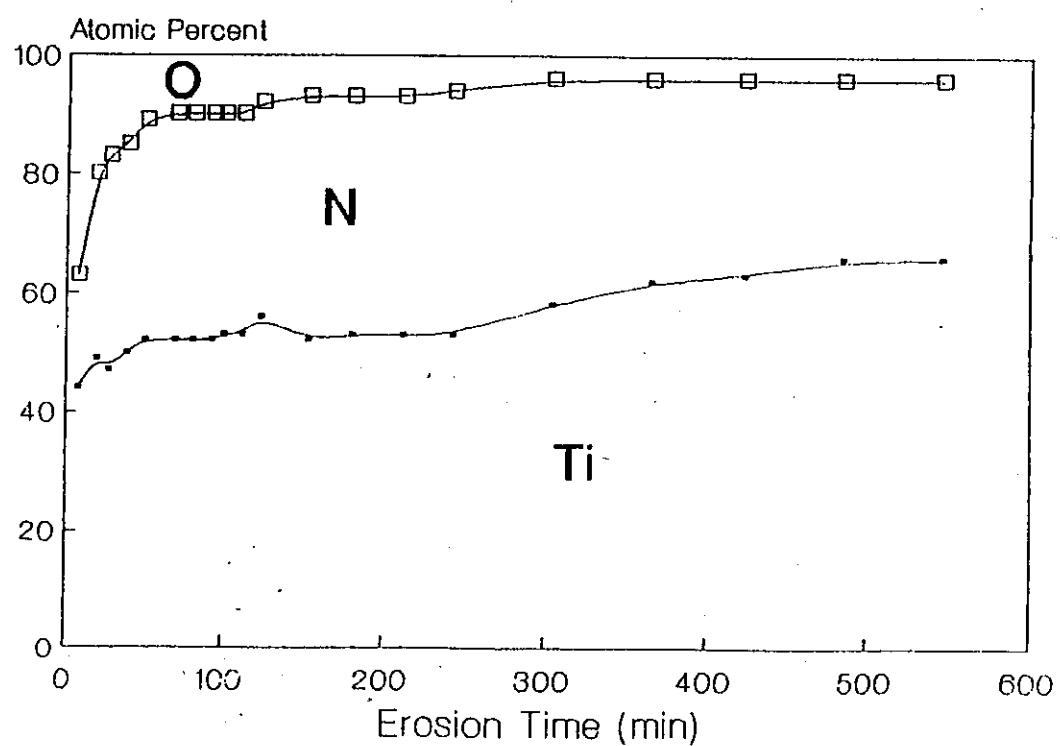
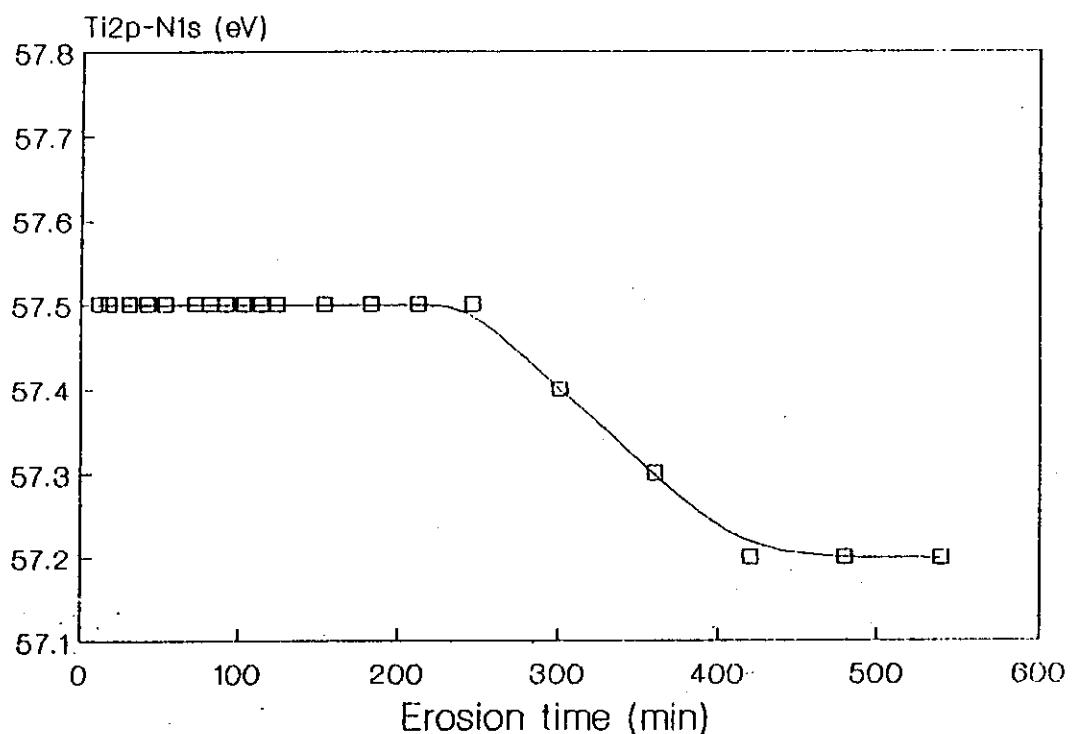
IMPLANTATION CONDITIONS		MICROHARDNESS
fluence [cm ⁻²]	Δt [ns]	HVN
non implanted	-----	270 ± 10
5×10^{15}	400	260 ± 10
5×10^{15}	350	270 ± 20
5×10^{15}	300	550 ± 70

(In collaboration with Dr. A.R. da Costa,
Escola de Minas, Univ. Fed. Ouro Preto,
Brasil).





TiN_x ($1.1 > x > 0.7$)



MICROHARDNESS

HV (Vickers microhardness)

Load: 5 g.

Penetration depth: ~ 0.6 μ m.

RESULTS:

Pure Titanium: HV = 270 kg/mm²

Implanted in conditions

A and B: HV = 655kg/mm²

RESIDUAL STRESSES

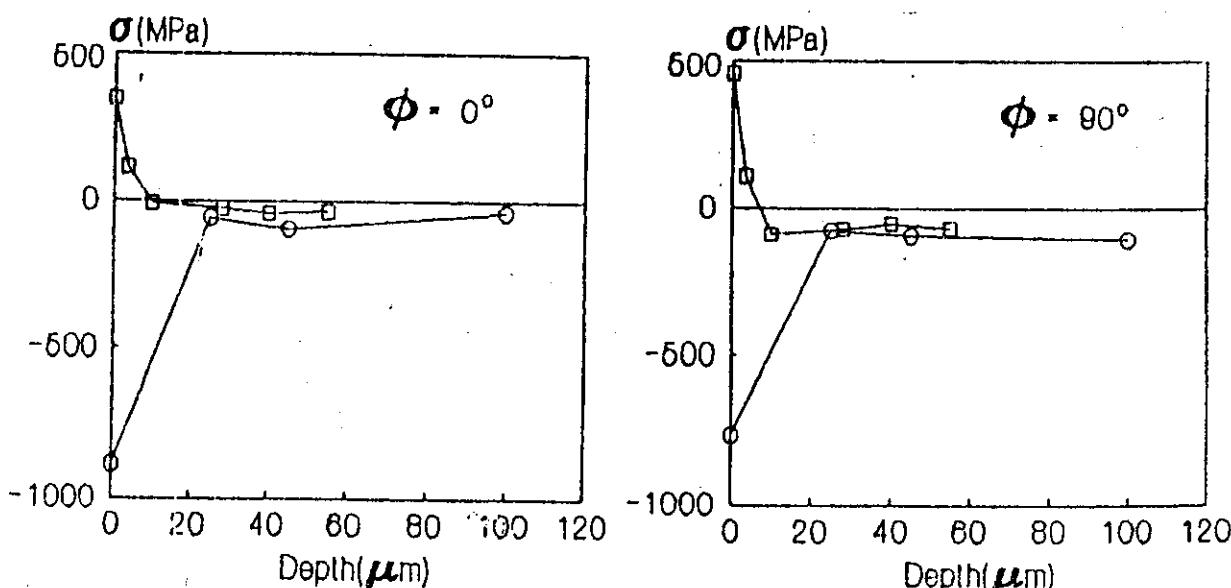
X-Ray diffraction Techniques
 {211} peaks, Cr K_α radiation

TABLE I
 Surface residual stress evolution with Nitrogen ions
 implantation in the AISI 1075 ferritic steel.

Sample	Rolling Dir.	Transv. Dir.
non-implanted		
1.5 10 ¹⁶ Ions.cm ⁻²	232±40 MPa -286±24 MPa	245±15 MPa ----
1.5 10 ¹⁷ Ions.cm ⁻²	-261±50 MPa	-280±37 MPa

TABLE II
 Surface Residual stresses in the M2 Steel implanted with
 Nitrogen Ions.

Sample	Rolling Dir.	Transv. Dir.
non-implanted		
1.5 10 ¹⁶ Ions.cm ⁻²	-880±67 MPa -304±25 MPa	-768±35 MPa -358±52 MPa
2.5 10 ¹⁶ Ions.cm ⁻²	342±51 MPa	454±87 MPa

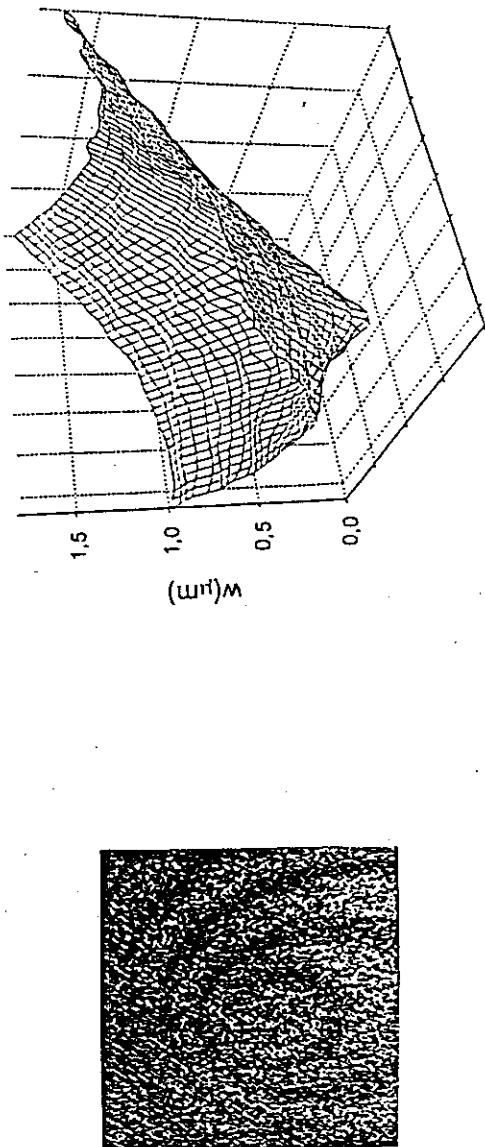


Residual Stress evolution with depth in M2 steel.
 Nitrogen implantation dose: 3.5 10¹⁶ Ions cm⁻².

6.5 Evaluación de deformaciones y tensiones residuales

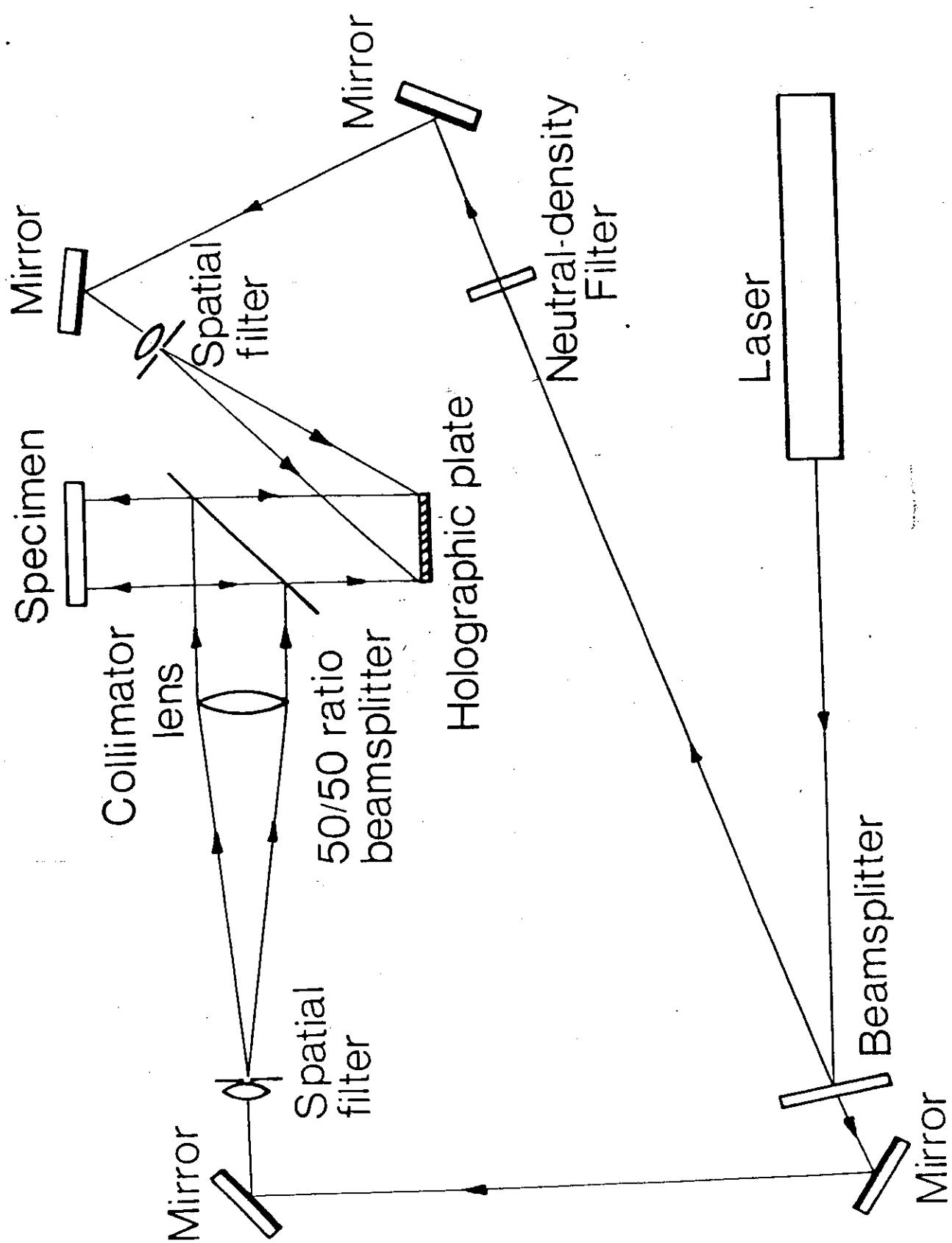
Medición de tensiones residuales (relajación mediante calentamiento local).

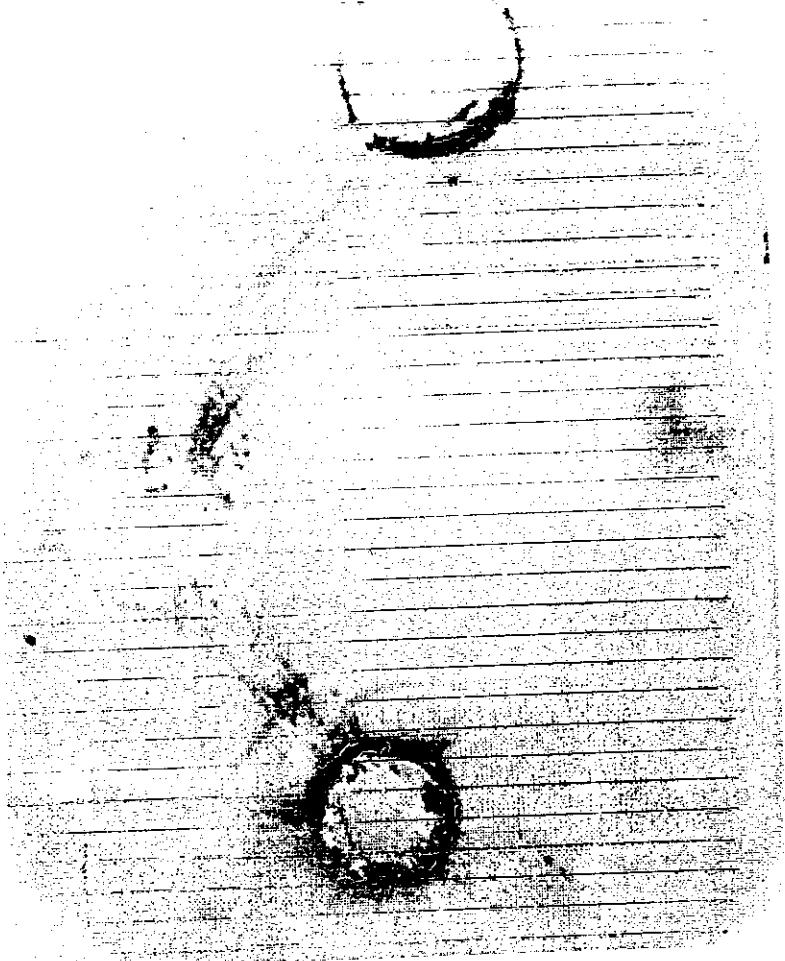
Determinación de deformaciones residuales generadas por implantación iónica.



7. Conclusiones

Se espera que el desarrollo de nuevos tipos de lasers compactos y componentes electro-ópticas permitirá en los próximos años la aplicación de la técnica de DSPI a la solución de distintos problemas industriales.

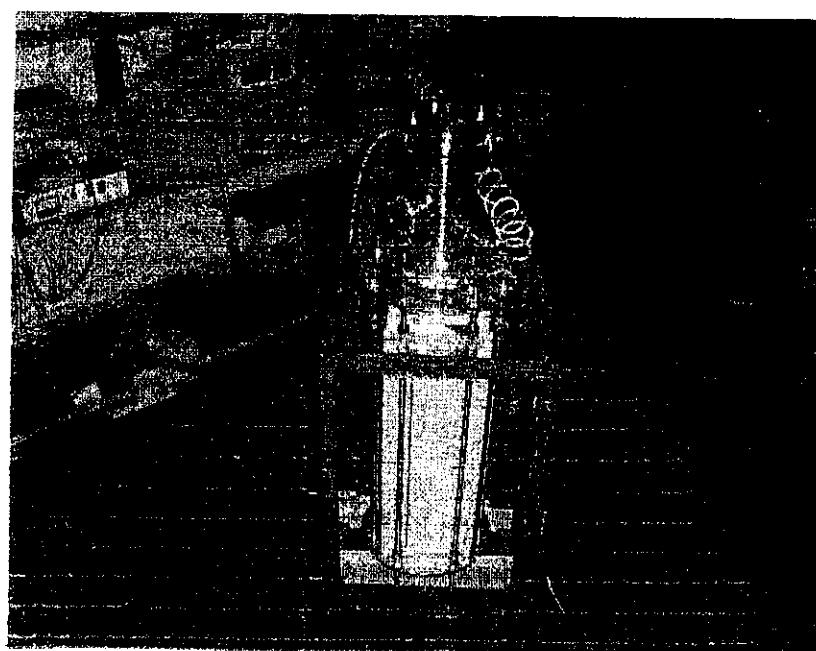
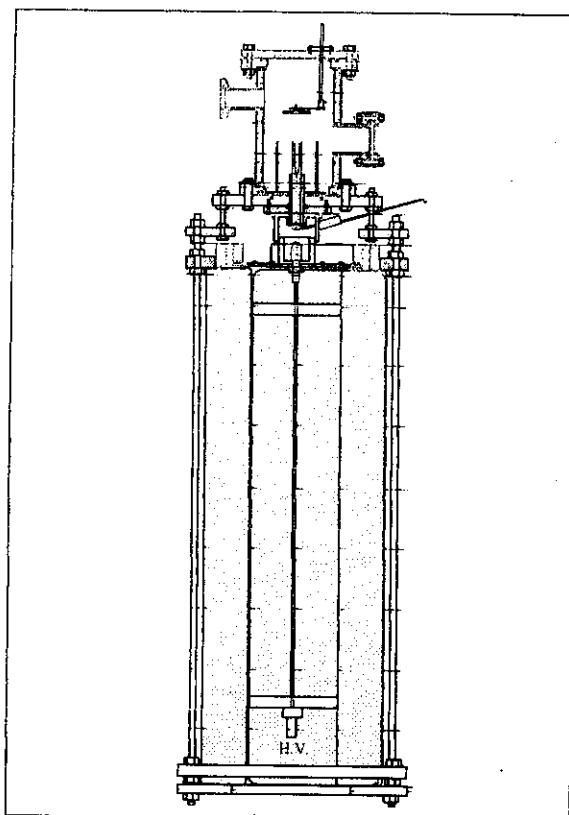


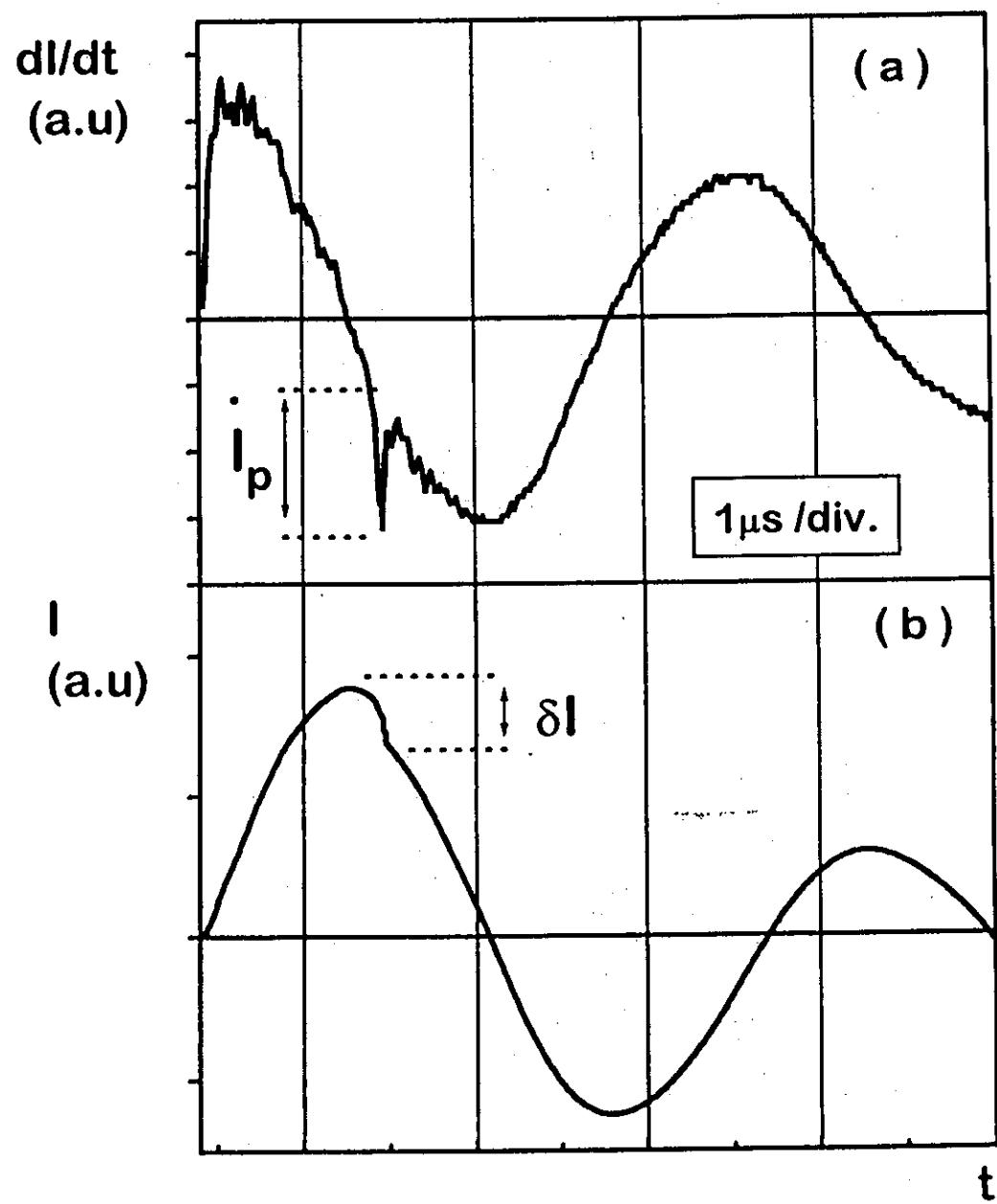


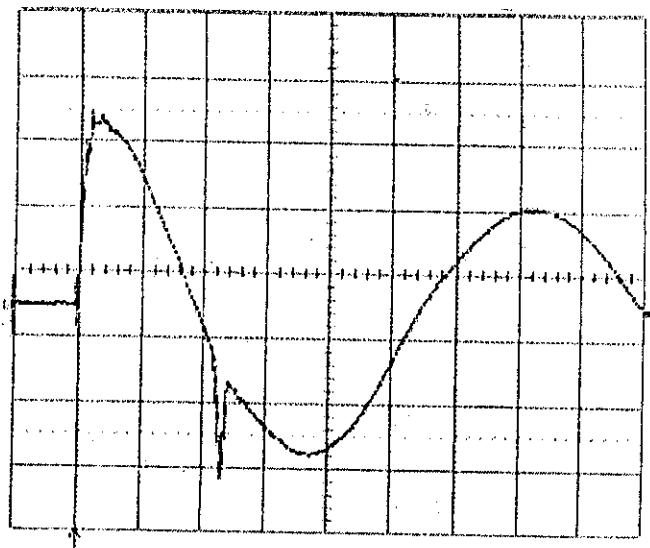
CONCLUSIONS

- **Plasma Focus is an efficient plasma accelerator**
- **High energy, ultra short time duration pulsed plasmas can be generated several times per second**
- **Low cost (\$100.000), portable (1 meter, 30kg) accelerator can be constructed**
- **Surface modification of steels and other alloys are induced, with important tribological and mechanical properties improvement**
- **These changes can be mainly attributed to the thermal effect (thermal shock), in addition to other effects like ion implantation when, for example nitrogen is used as the carrier gas in the discharge**

En la Figura 3 se puede ver el sistema completo, incluyendo la cámara de descarga (**CD**), y en la Figura 4 una fotografía con el módulo terminado.

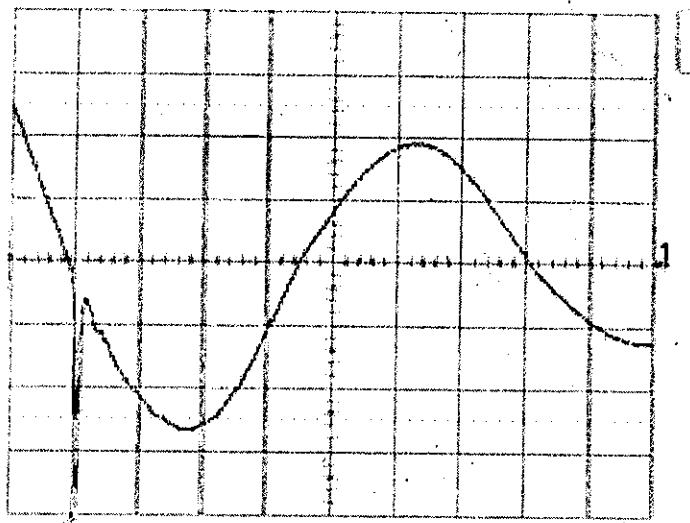






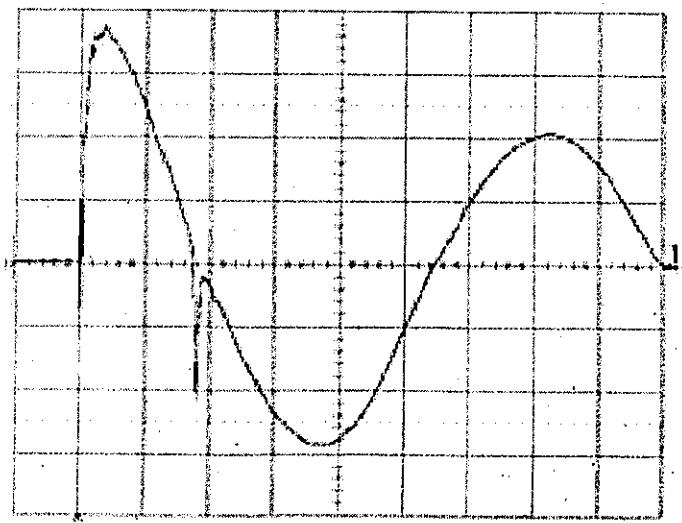
$V = 17.4 \text{ kV}$

$P = 230 \text{ mbar}$



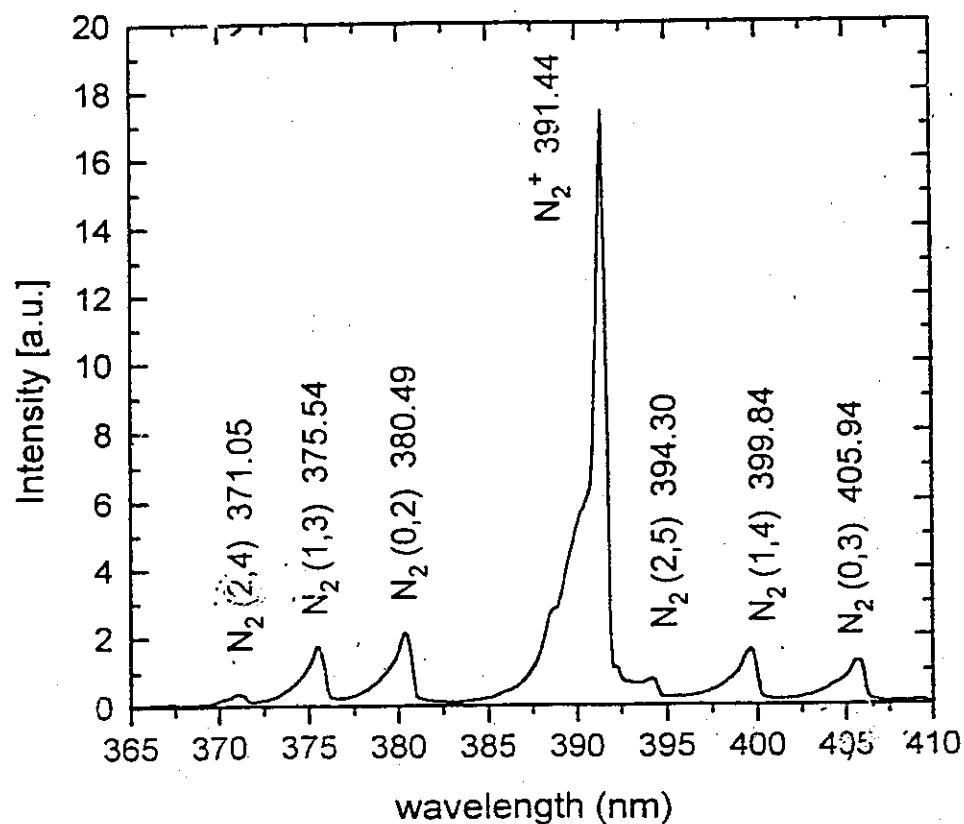
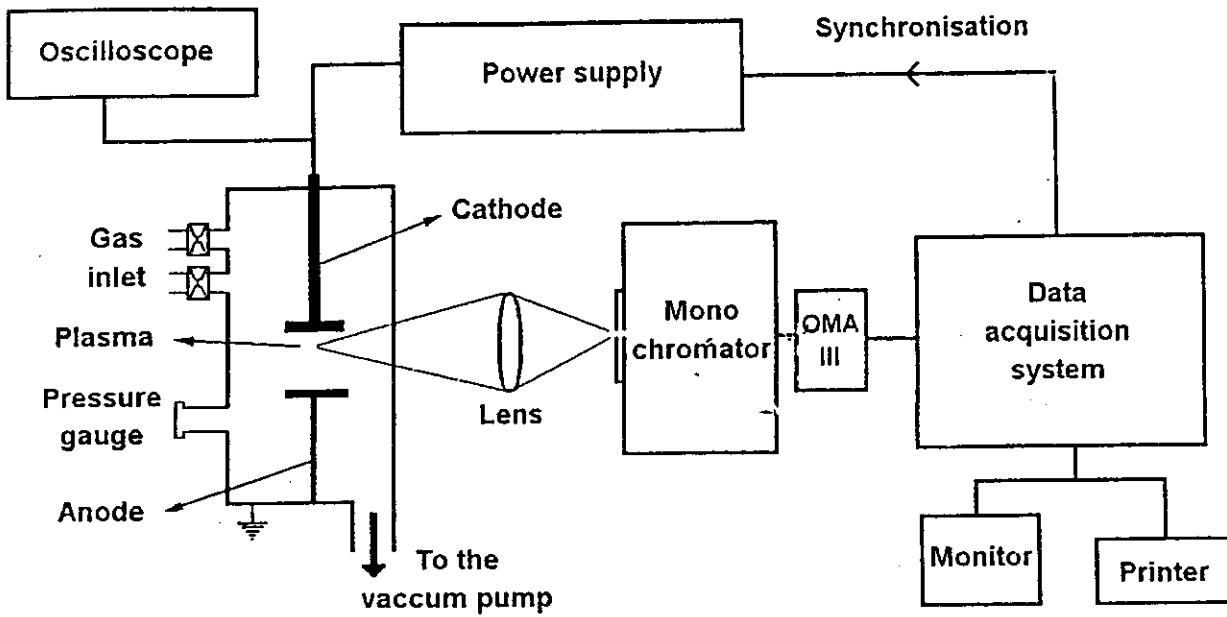
$V = 20.0 \text{ kV}$

$P = 230 \text{ mbar}$

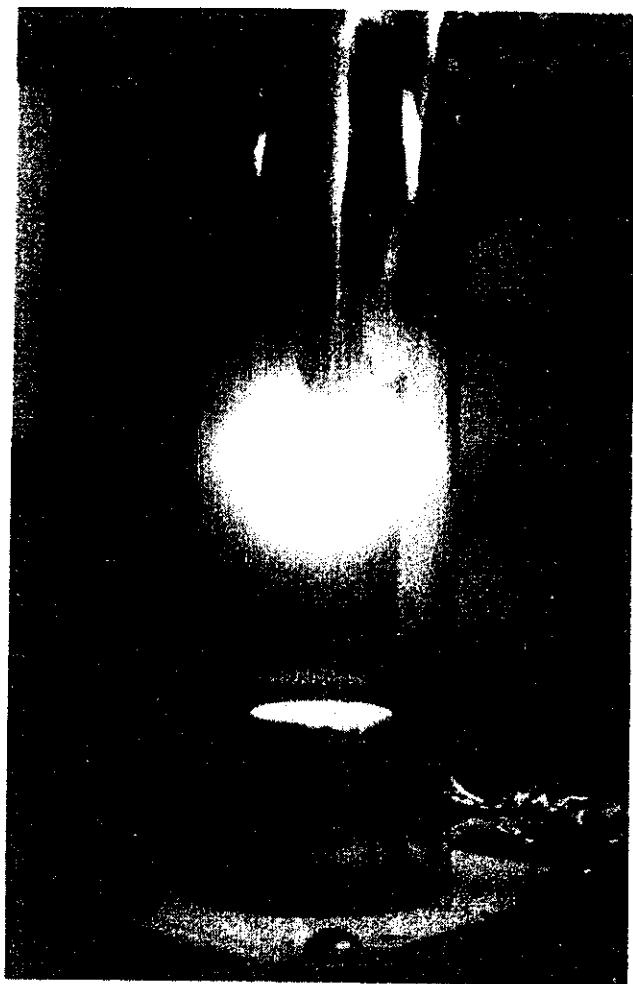


$V = 23.2 \text{ kV}$

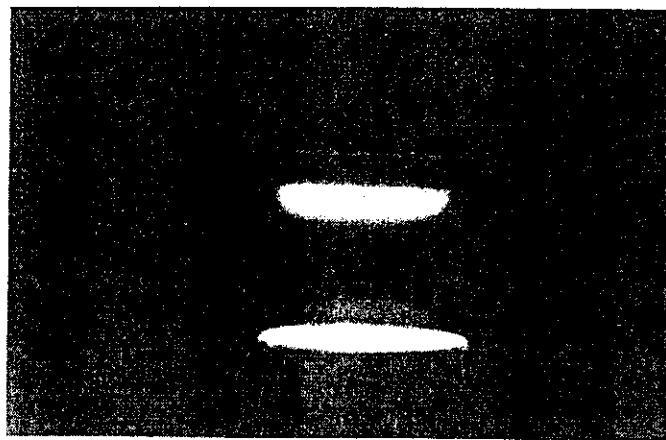
$P = 230 \text{ mbar}$

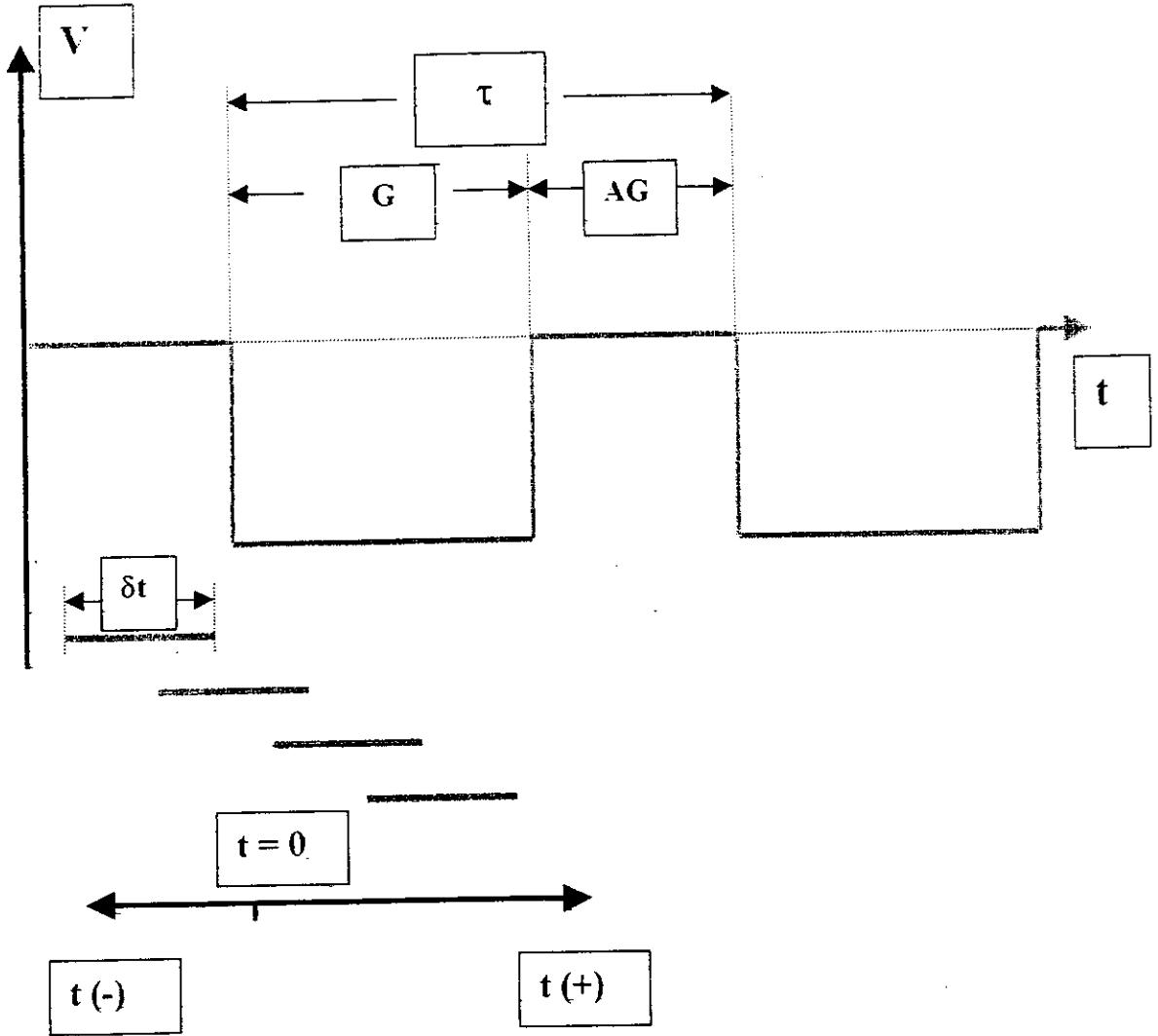


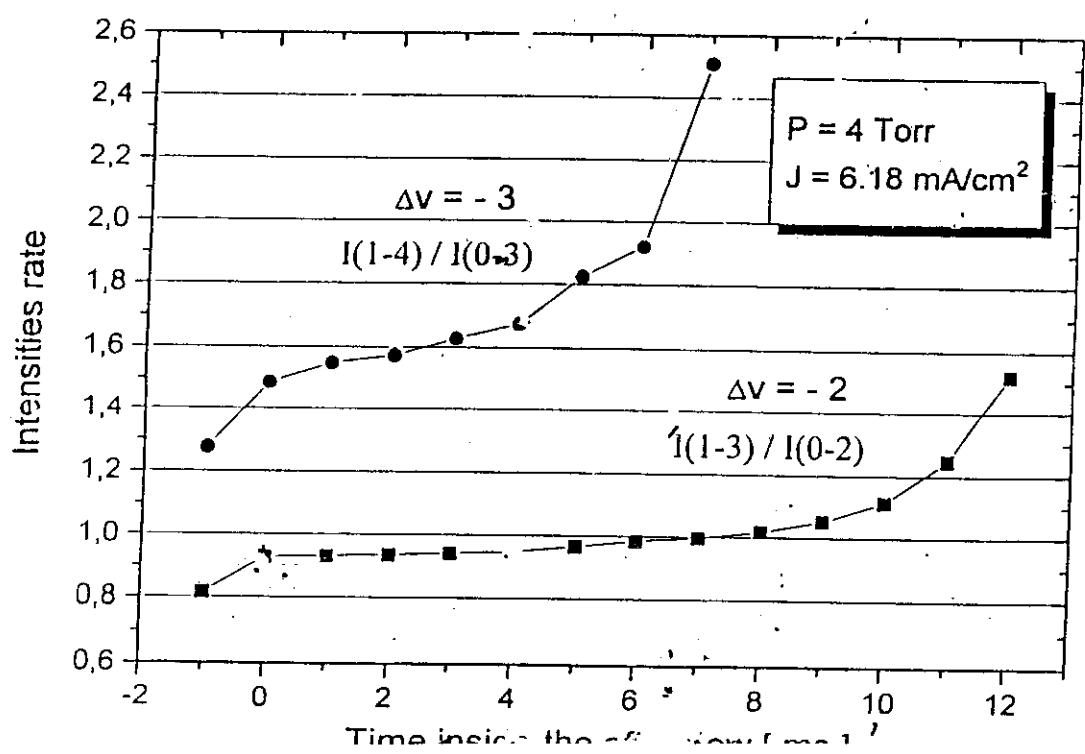
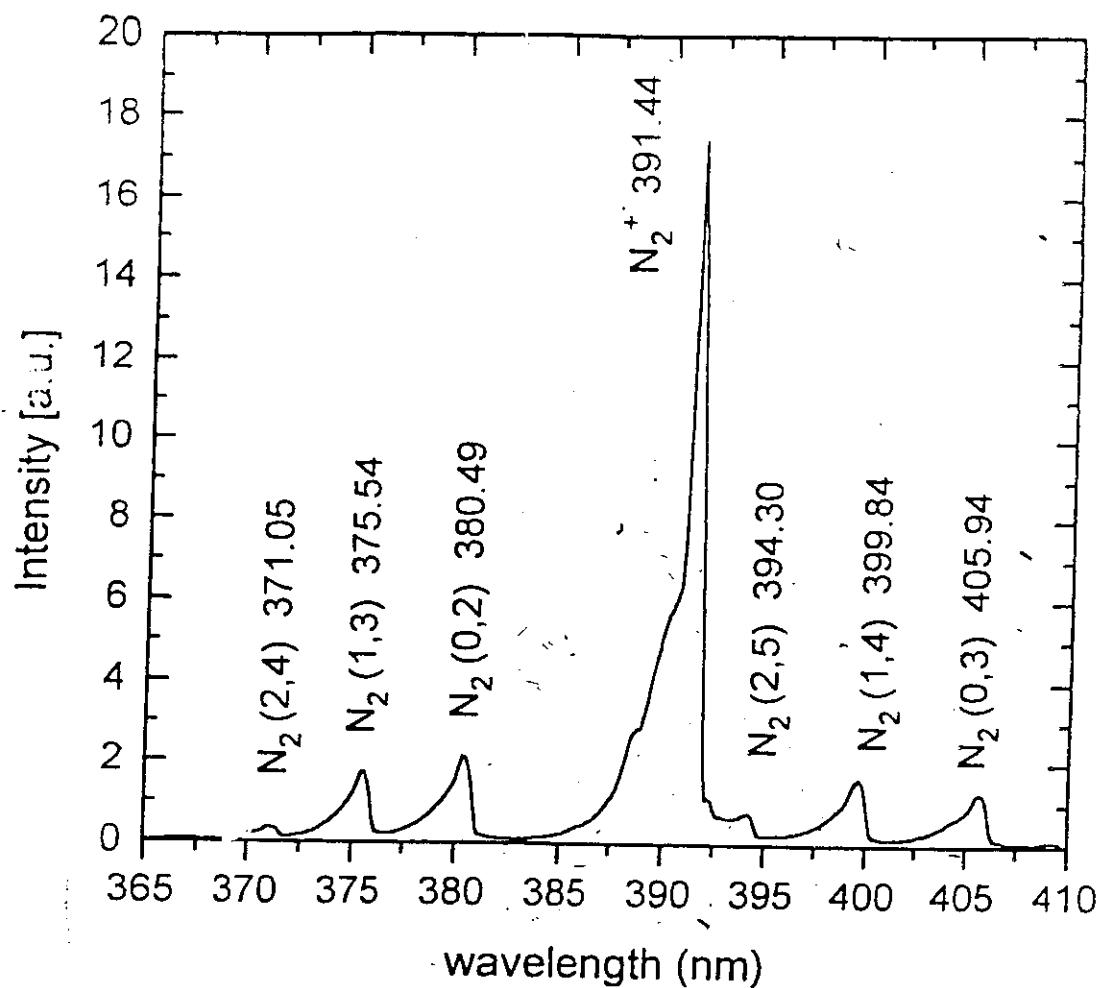
REACTOR CHAMBER DURING AN ION NITRIDING PROCESS



NEGATIVE GLOW AROUND THE CATHODE







$N_2^+(B, 0-X, 0)$ 1st. negative

$N_2(C, \nu' - B, \nu'')$ $\Delta\nu = -2$ 1st. positive

$N_2(C, \nu' - B, \nu'')$ $\Delta\nu = -3$ 2nd. positive

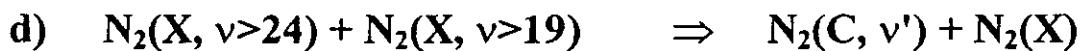
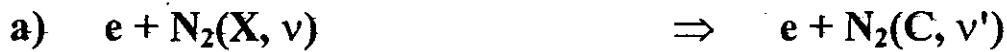
$$I_{CB}(\nu' - \nu'') = k(\lambda)[C, \nu] A_{CB}(\nu', \nu'') \cdot \lambda^{-1}$$

* $N_2(C, \nu')$ state density [C, ν']

* $k(\lambda)$ spectral response of the instr.

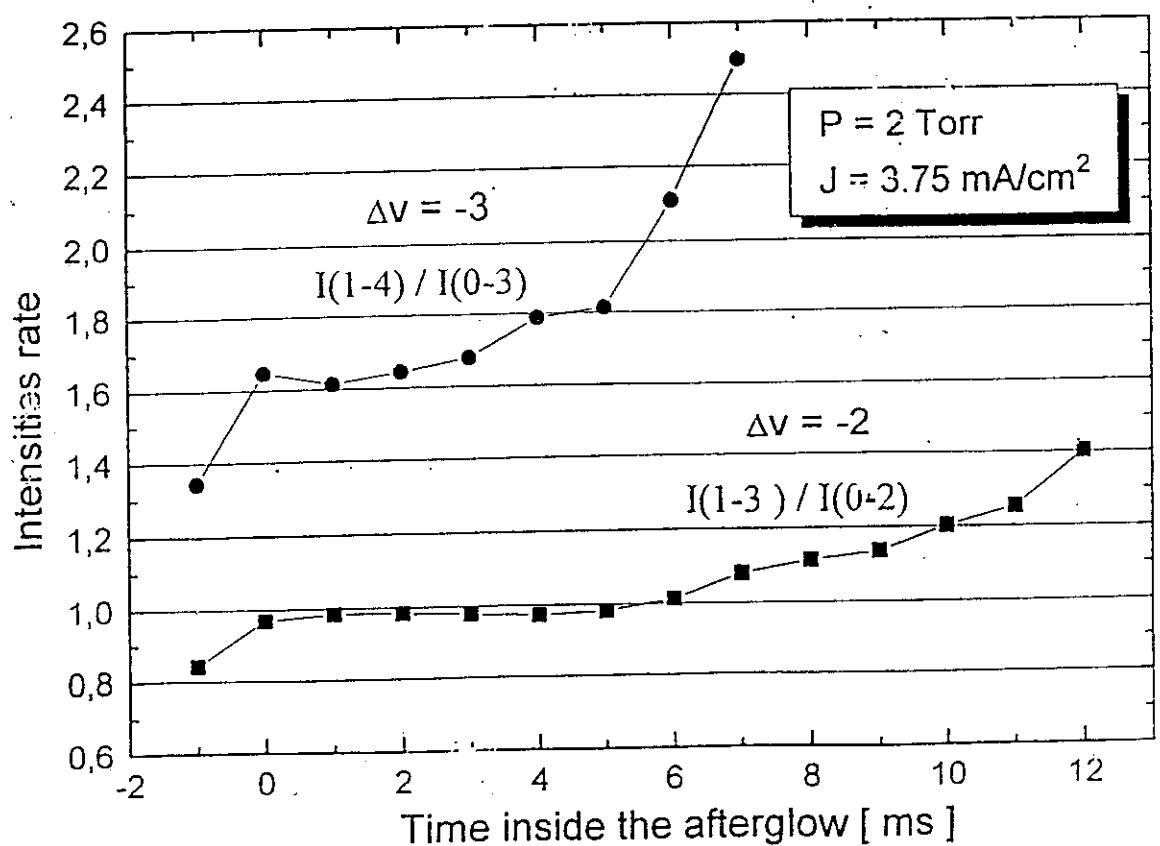
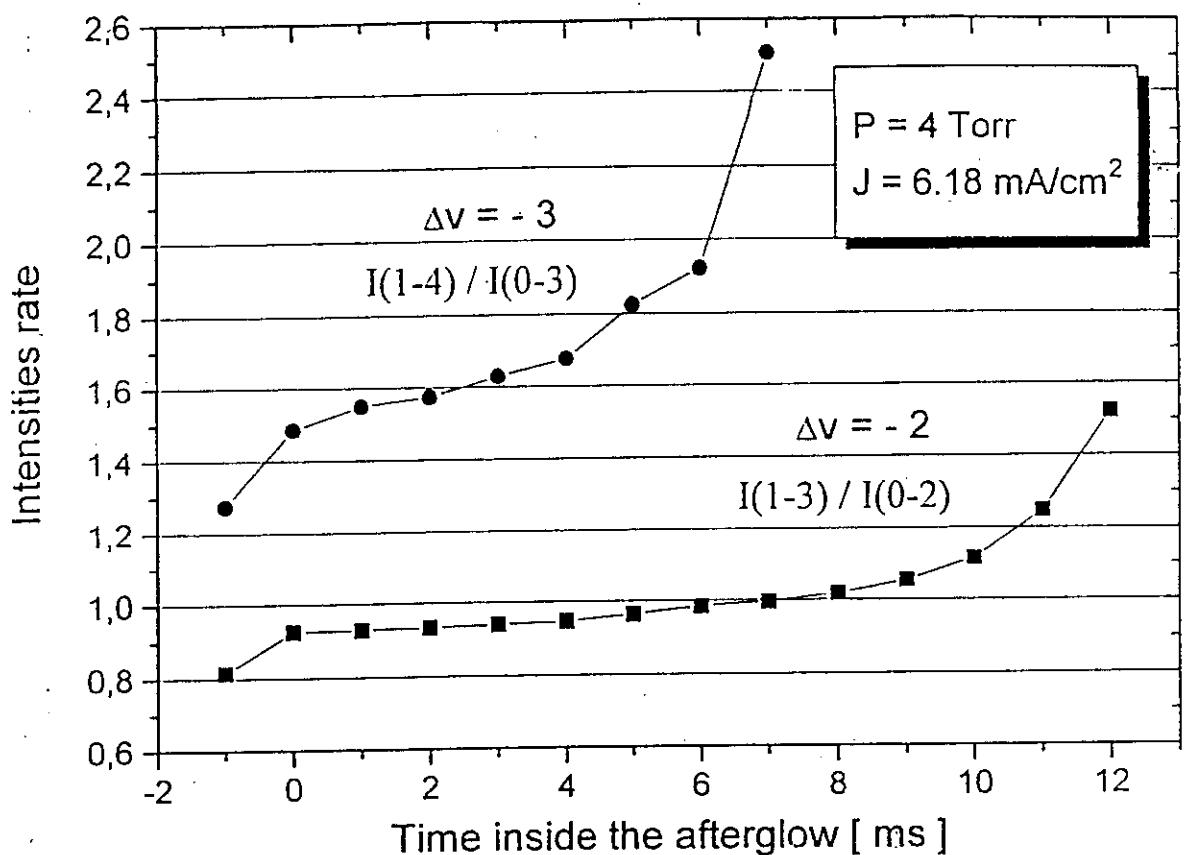
* A_{CB} radiative freq. of (C, $\nu' - B, \nu''$)

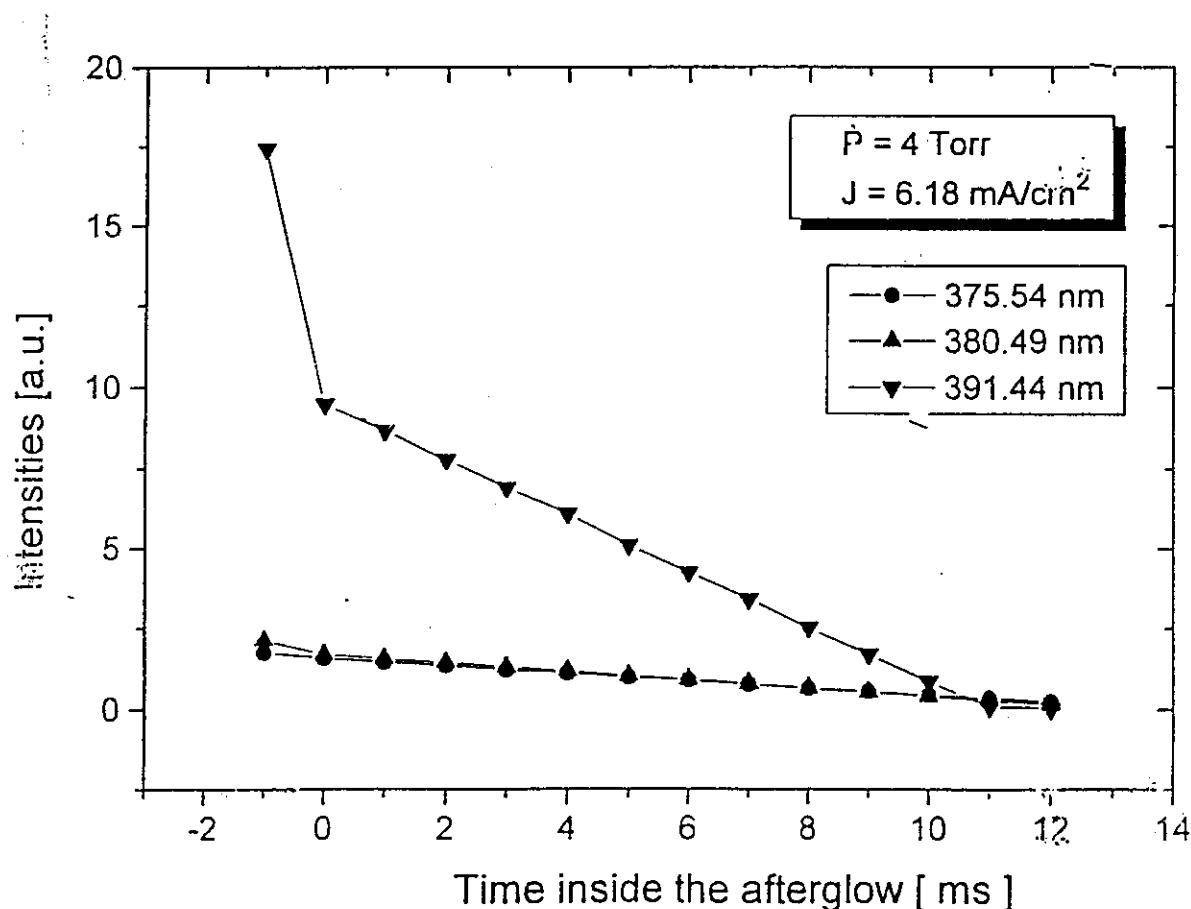
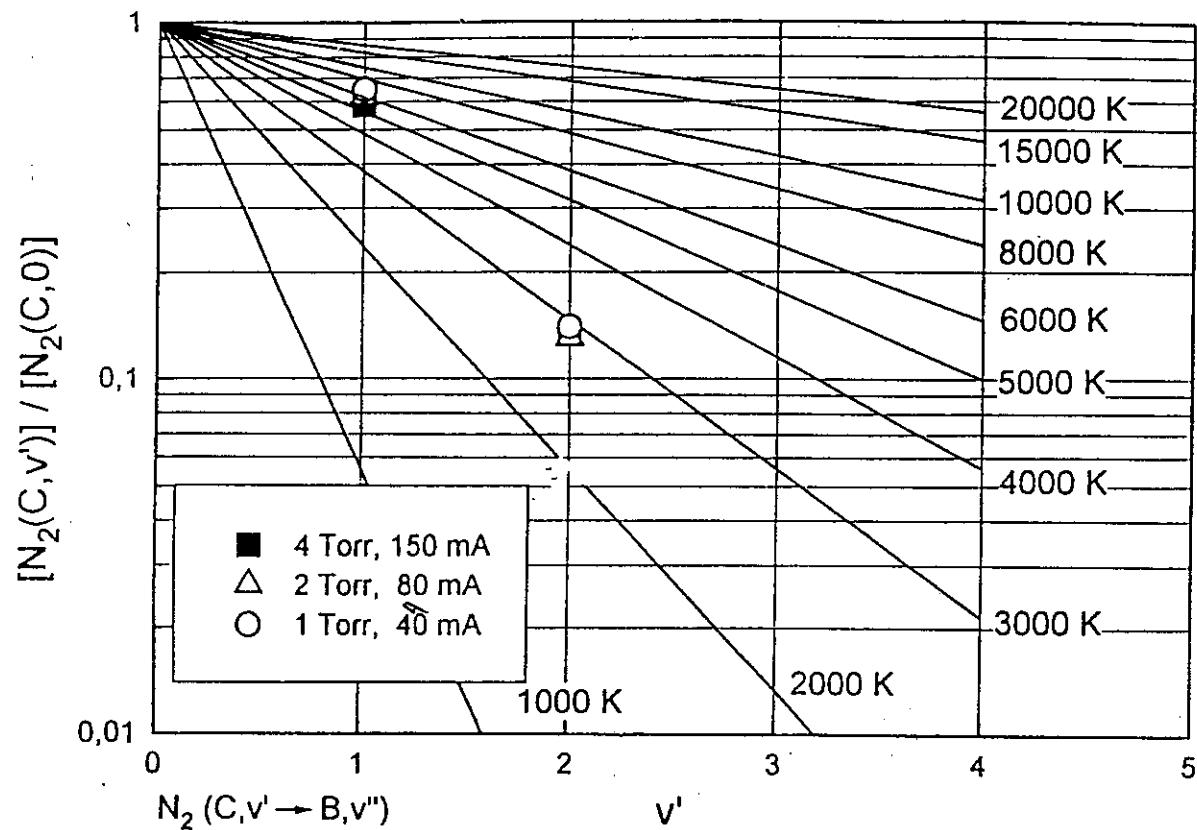
$N_2(C, \nu')$ are produced by:



When in a new cycle the glow begins:







CONCLUSIONS

- Higher vibrational excitation of $N_2(C, J=1)$ in the afterglow ^{was} observed.
- This increase can be explained by a decrease in the vibrational quenching of $N_2(X, J)$ (neutral gas temperature decreasing).
- An increase in $N_2(C, J=1)$ is related ~~with~~ to an increase in the $N_2(X, J)$ vibrational population.
- When a new discharge begins, the $N_2(X, J)$ gives rise to N_2^+ and N production by eq. (g) and (h)
- + Maintenance voltage is lowered by the step-wise ionization process
 - power yield of the reactor increases
 - Arcing process is reduced.

CONCLUSION II

The excitation of $N_2(C,v)$ and $N_2^+(B,0)$ has been analyzed by emission spectroscopy in negative glow of pulsed N_2-H_2 discharges and afterglows.

- For constant current discharge, the substrate temperature and operation voltage decreases when the H/N concentration ratio increases.
- In the afterglow the vibrational excitation of $N_2(C,v)$ increases, as happens for pure N_2 experiments and can be interpreted by collisions of $N_2(X,v)$ metastables molecules.
- For mixtures with H_2 , although the $N_2(C,v')$ species are efficiently destroyed by H atoms, they are still sufficiently populated to excite the $N_2(C,v')$ vibrational states in the afterglow.

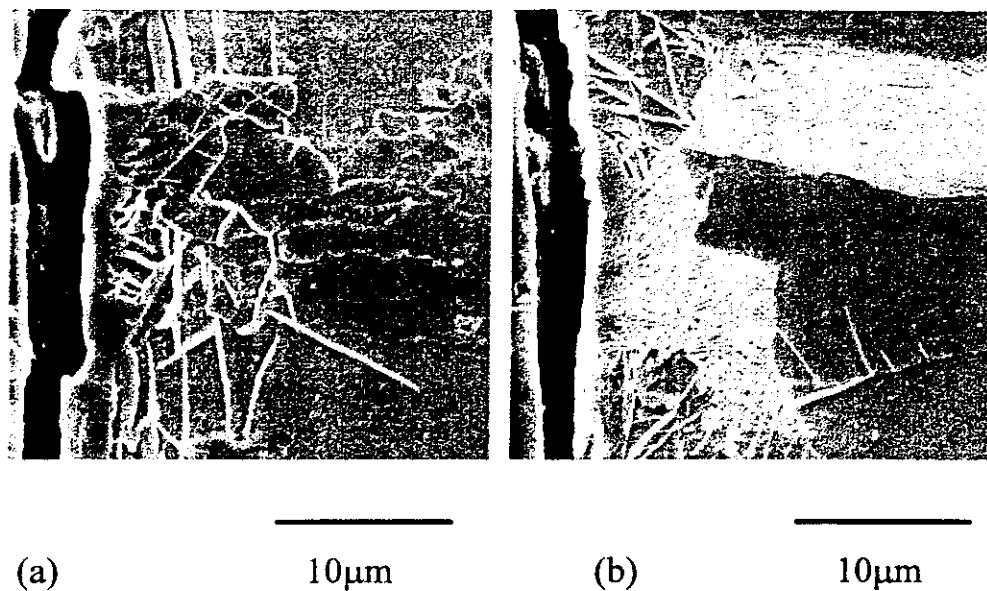


Figure 4 Scanning electron micrograph of a transverse section adjacent to the treated face of the API 5L X-65 6h plasma nitrided steel showing that the intragranular acicular phase ($Fe_{16}N_2$) is present up to a depth of around $20\mu m$.

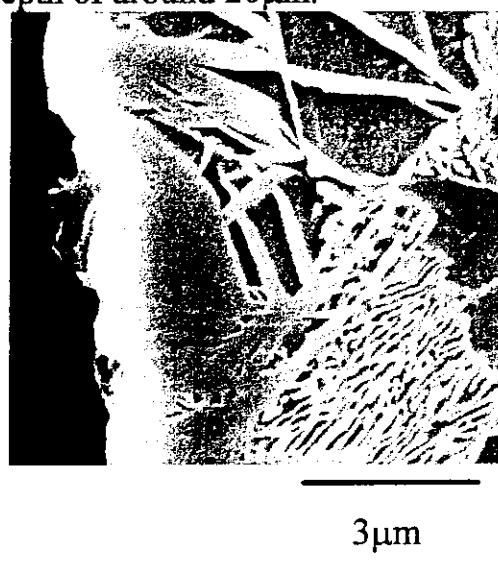


Figure 5 Scanning electron micrograph of a transverse section adjacent to the treated face of the API 5L X-65 6h plasma nitrided steel showing, the interaction between the surface (γ' e ϵ) nitride layer and the cementite (Fe_3C) of a pearlite colony.

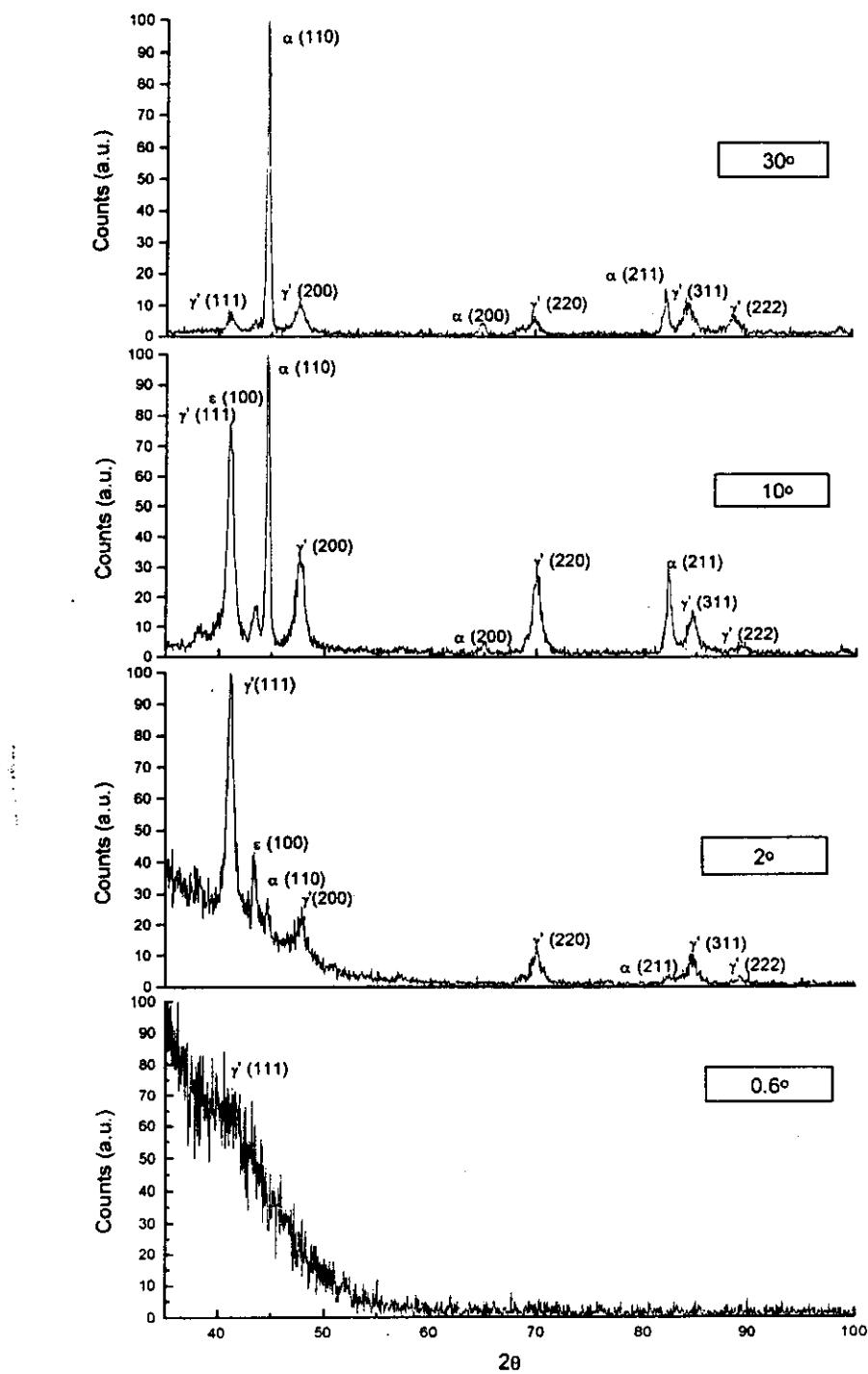


Figure 1 X-ray diffractograms of the 6h plasma nitrided API 5L X-65 steel; for incident beam angles of; 0.6° , 2° , 10° and 30°



After-glow conditions

Electron temperature ≈ 0.5 eV

Eq. a): electronic threshold of ≈ 11 eV \rightarrow eq. a) in the afterglow disappears.

$N_2(C)$ are produced by eq. b) \rightarrow d)

$$i) \frac{d[N_2(C)]}{dt} = [N_2(A)]^2 k_b + [N_2(A)][N_2(x, v>19)] k_c + [N_2(x, v>24)]^2 k_d - N_2(C) v' c(v)$$

$N_2(A)$ are quickly destroyed by the walls

$N_2(x, v)$ are not, and are more populated than $N_2(A)$.

In equation i) the $[N_2(x, v>24)]^2 k_d$ can explain the almost constancy of the $N_2(C)$ state ($d[N_2(C, v')]/dt = 0$)

The $N_2(x, v)$ high vibrational levels from the afterglow favor eq. g) and h) (at the beginning of the discharge in each cycle)

Low excitation threshold (2.5 eV) for the reaction e) may permit electronic excitation of $N_2^+(B)$ in the afterglow.

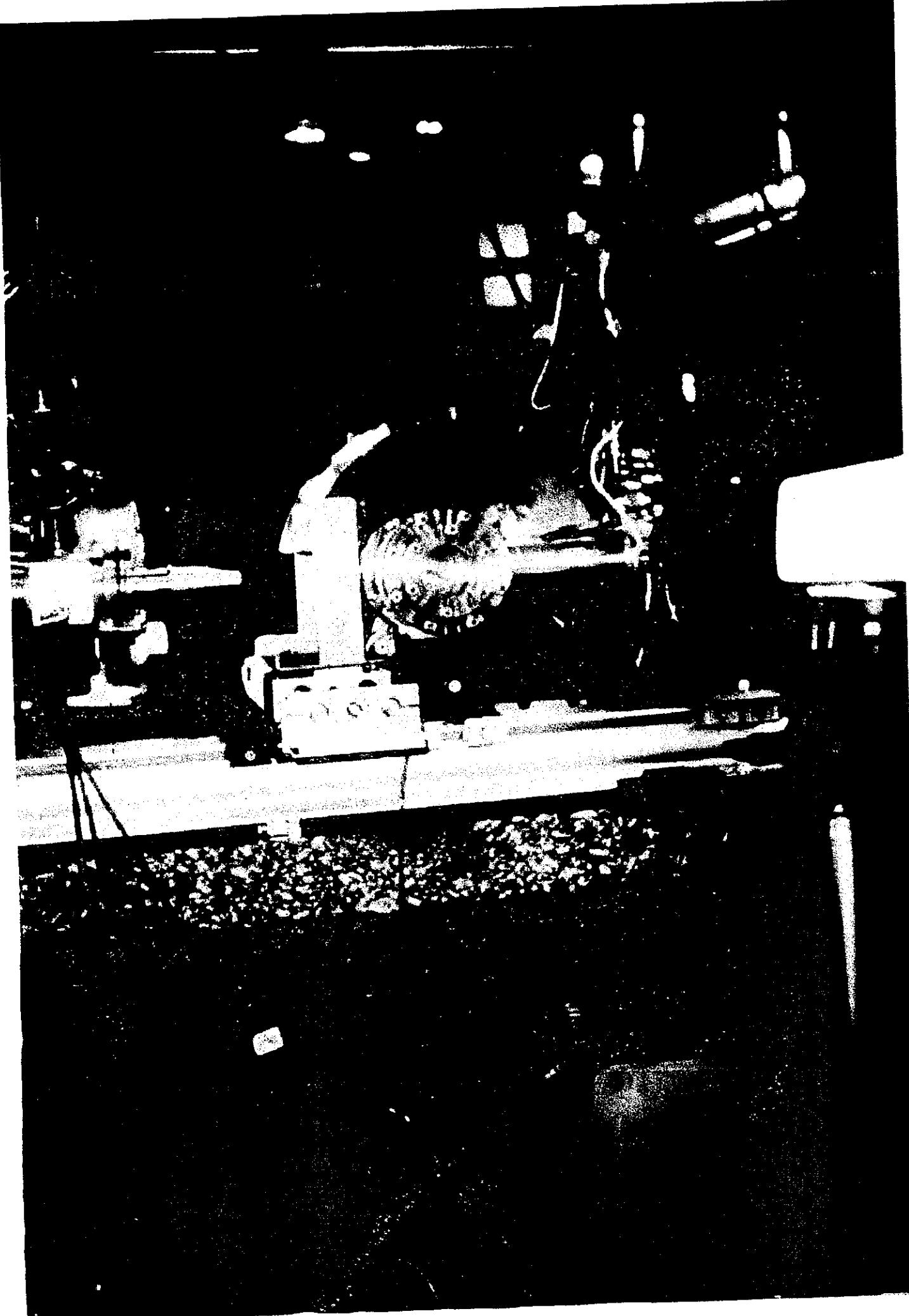


Table 1. Angular position (Bragg angles) of the $\text{Fe}_3\text{N}-\epsilon$ (44.82°) and $\text{Fe}_{3.17}\text{N}_{0.83}-\epsilon$ phases for different treatment times

PHASES	2 θ / d_{hkl} Å									
	a-1	a-2	a-3	a-4	a-5	a-6	a-13	
$\text{Fe}_3\text{N} (100)$	---	---	43.77	43.91	44.98	44.98	43.91	
	---	---	2.3609	2.3537	2.3005	2.3005	2.3537	
	---	---	44.82	44.75	44.89	44.75	44.68	
$\text{Fe}_{3.17}\text{N}_{0.83} (100)$	---	---	2.3083	2.3117	2.3049	2.3117	2.3152	
	---	---	49.79	49.93	50.07	50.14	50.07	...	50.14	
	---	---	2.0905	2.0850	2.0795	2.0768	2.0795	...	2.0768	
$\text{Fe}_3\text{N} (101)$	---	---	65.88	66.02	66.16	66.16	66.23	
	---	---	1.6184	1.6153	1.6123	1.6123	1.6108	
	---	---	---	---	---	---	---	---	67.28	
$\text{Fe}_{3.17}\text{N}_{0.83} (102)$	---	---	80.08	80.29	80.36	80.50	1.5885	
	---	---	1.3679	1.3649	1.3639	1.3620	80.50	
	---	---	---	---	---	---	---	---	1.3620	
$\text{Fe}_3\text{N} (110)$	---	---	---	---	---	---	---	---	---	

3.2.2- Hydrogen permeation modification of steel by surface ion nitriding

Using the same parameter of ion nitriding that the ones described in 3.2.2.1., some API 5L X-65 steel were treated for hydrogen permeation tests. The permeation was performed in the Hydrogen Lab. of the Metallurgical Department of the University of Janeiro, Brazil.

Hydrogen permeation parameters were determined using electing a TAI test, the necessary cathodic charging potentials being obtained resistance electrochemical tests.

CONCLUSION III

The kinetic of phase development during ion nitriding with glow discharge was studied by In situ/Real Time/X ray diffraction, using Synchrotron radiation.

- The Fe-alpha phase peak intensity reduction during the process is a consequence of the formation of different iron nitrides at the top of the layer.
- The observed systematic increase in the interplanar distances during the first minutes of the process agree with the expected thermal expansion.
- There were observed the formation of three different nitrides: Fe_4N -gamma and Fe_3N -epsilon during the first minutes and $\text{Fe}_{3.17}\text{N}_{0.83}$ later on.
- During the process under isothermal conditions the interplanar spacing for all phases was constant, except for the Fe_3N one, that exhibit a decreasing trend probably due to the action of stresses.

→ Célula de Permeação:

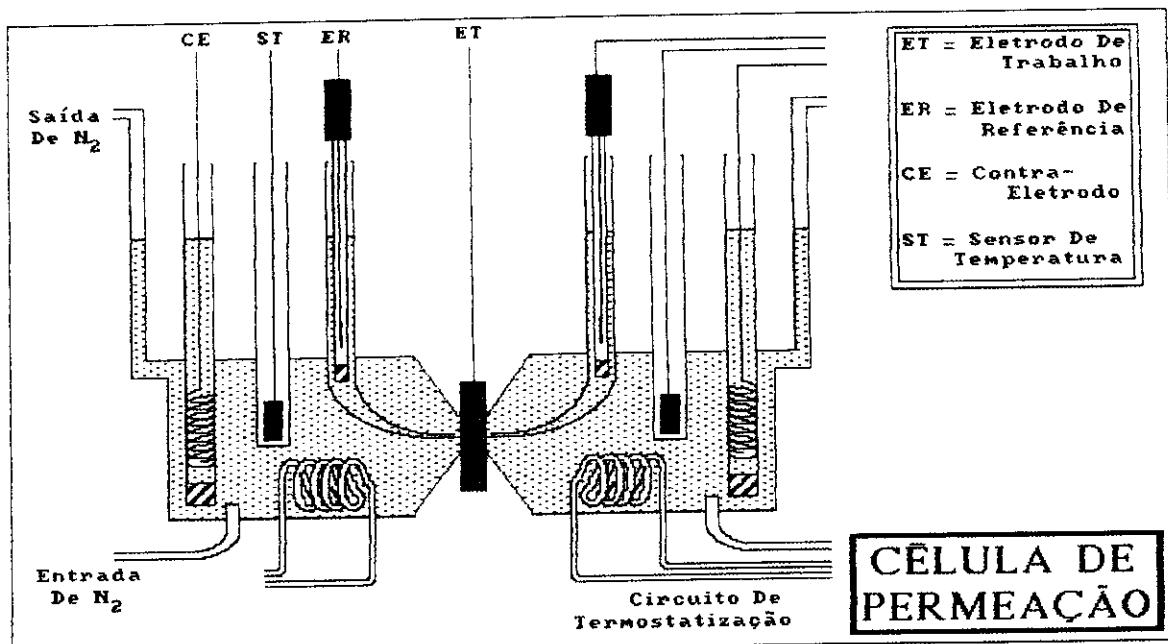


Figura 3.6.1- Diagrama esquemático da célula utilizada para testes eletroquímicos de permeação do hidrogênio.

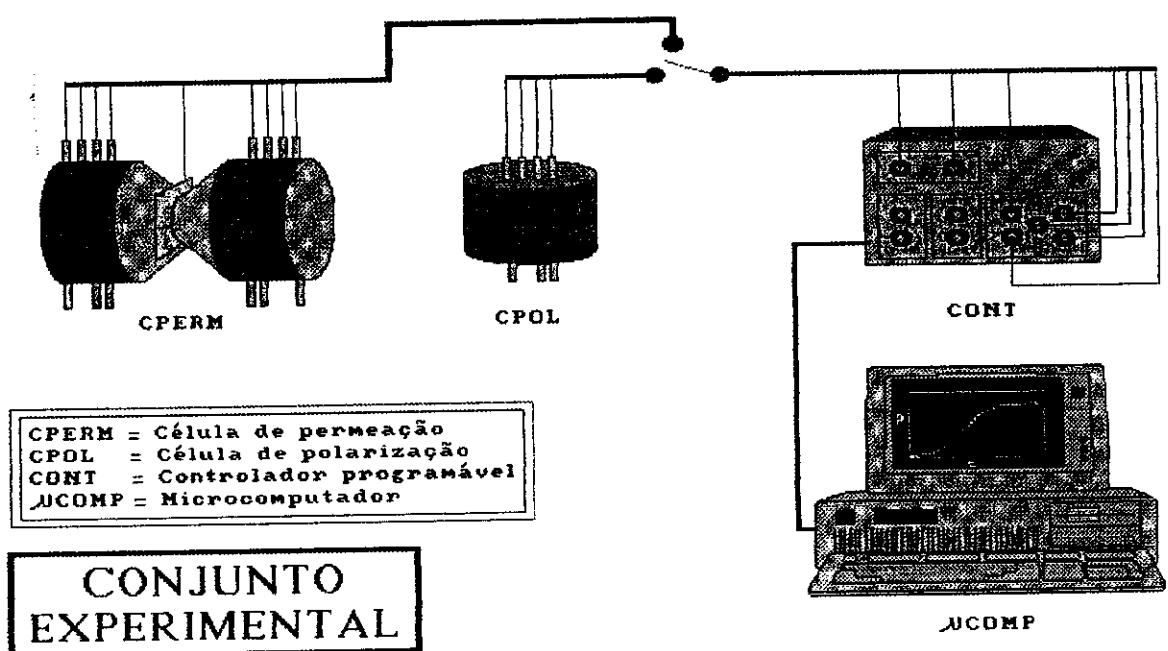


Figura 3.6.2- Diagrama esquemático da aparelhagem utilizada nos testes de permeação do hidrogénio

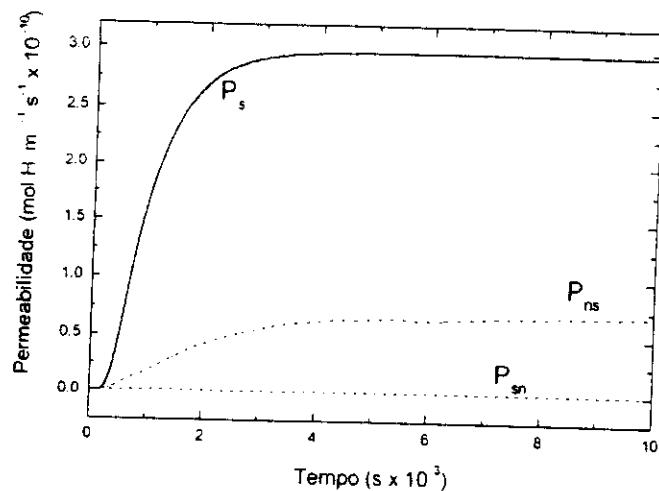
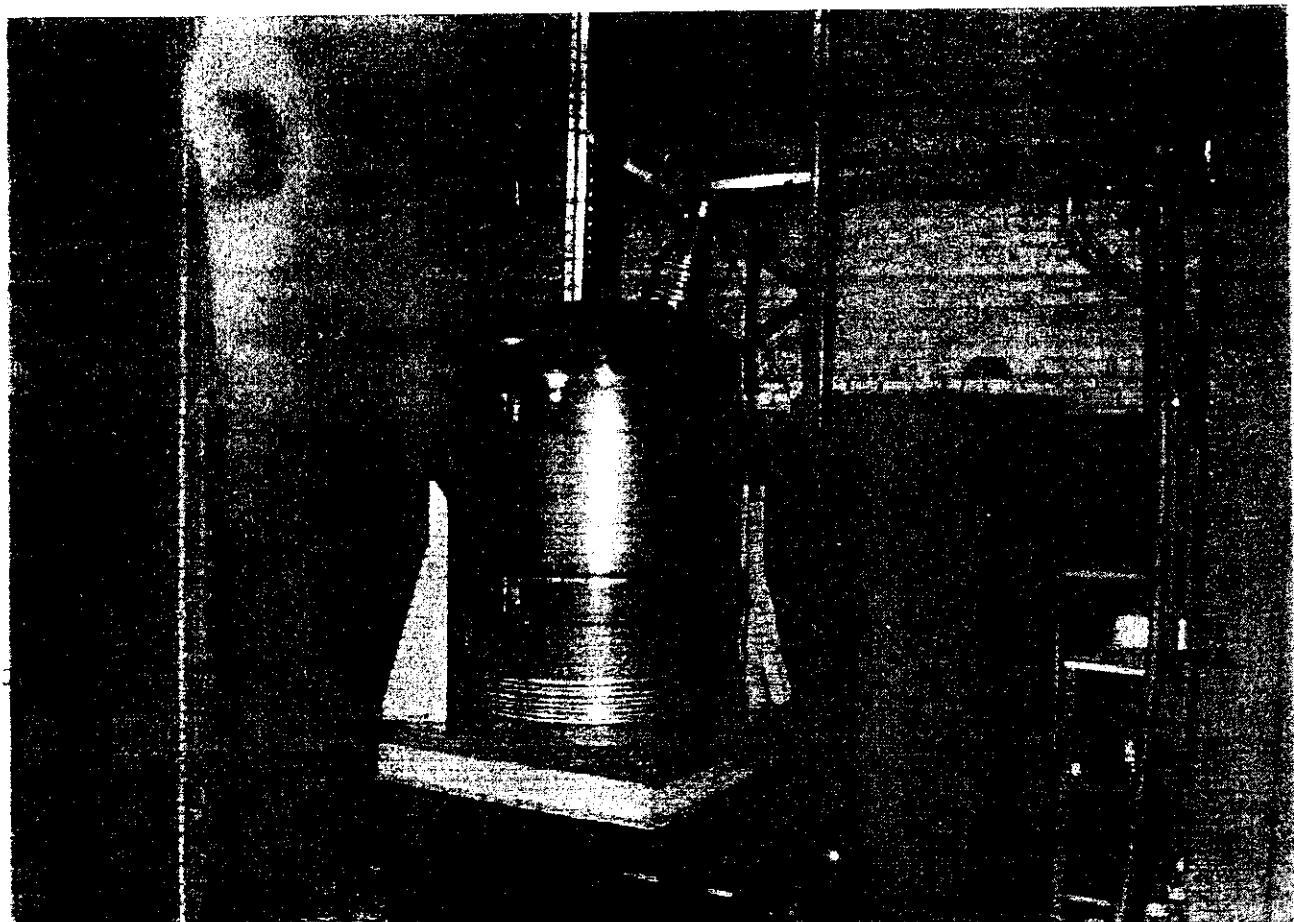
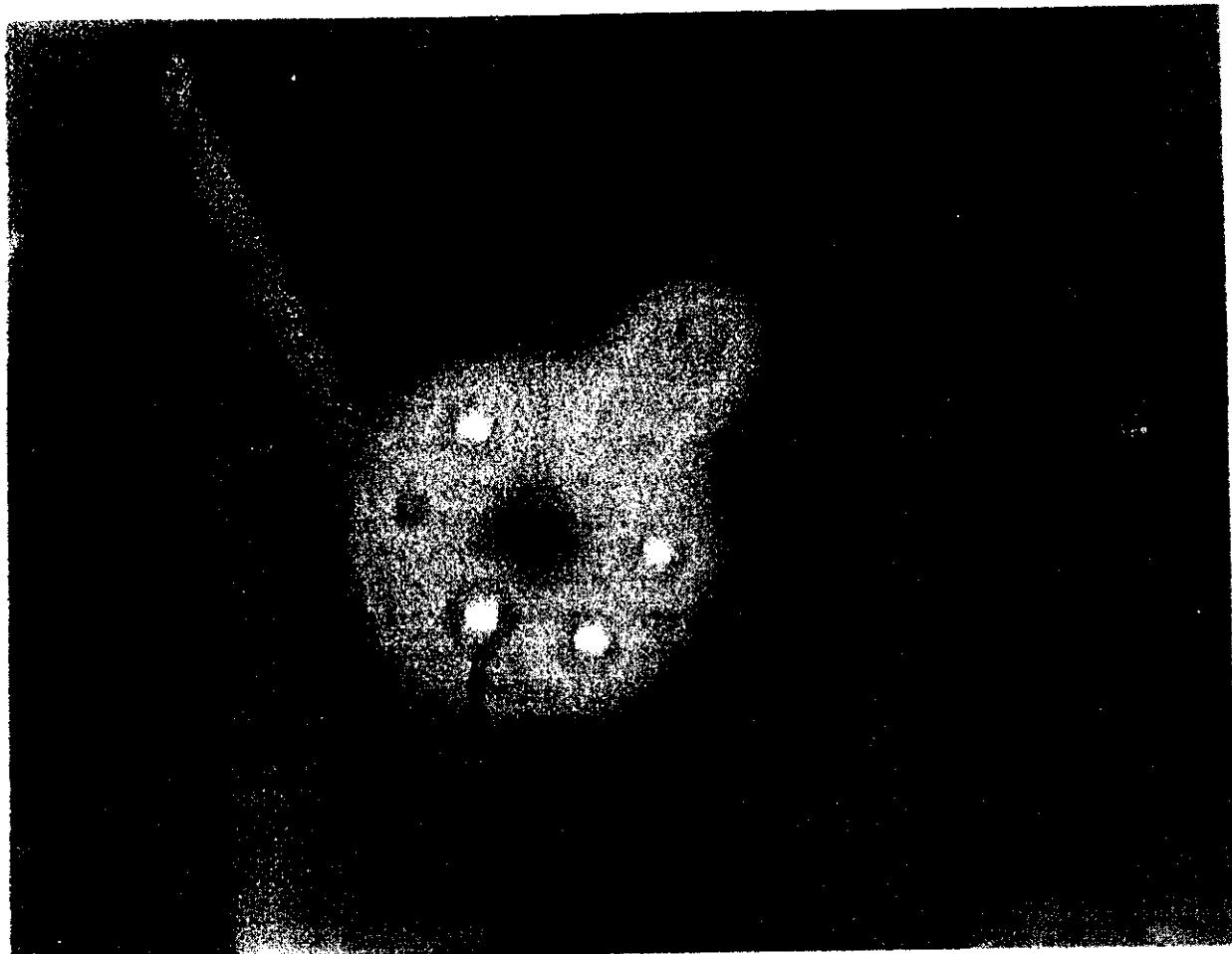
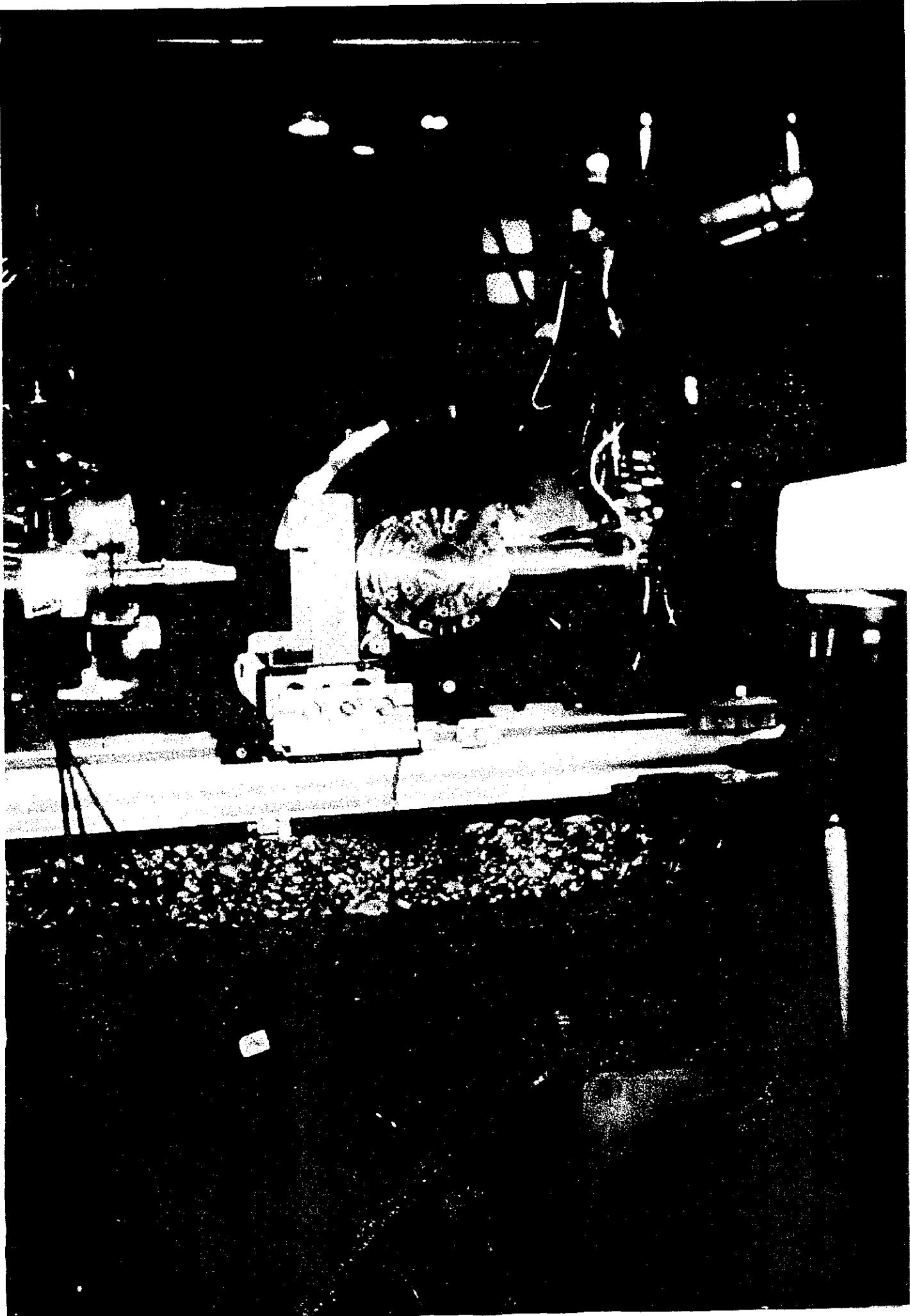
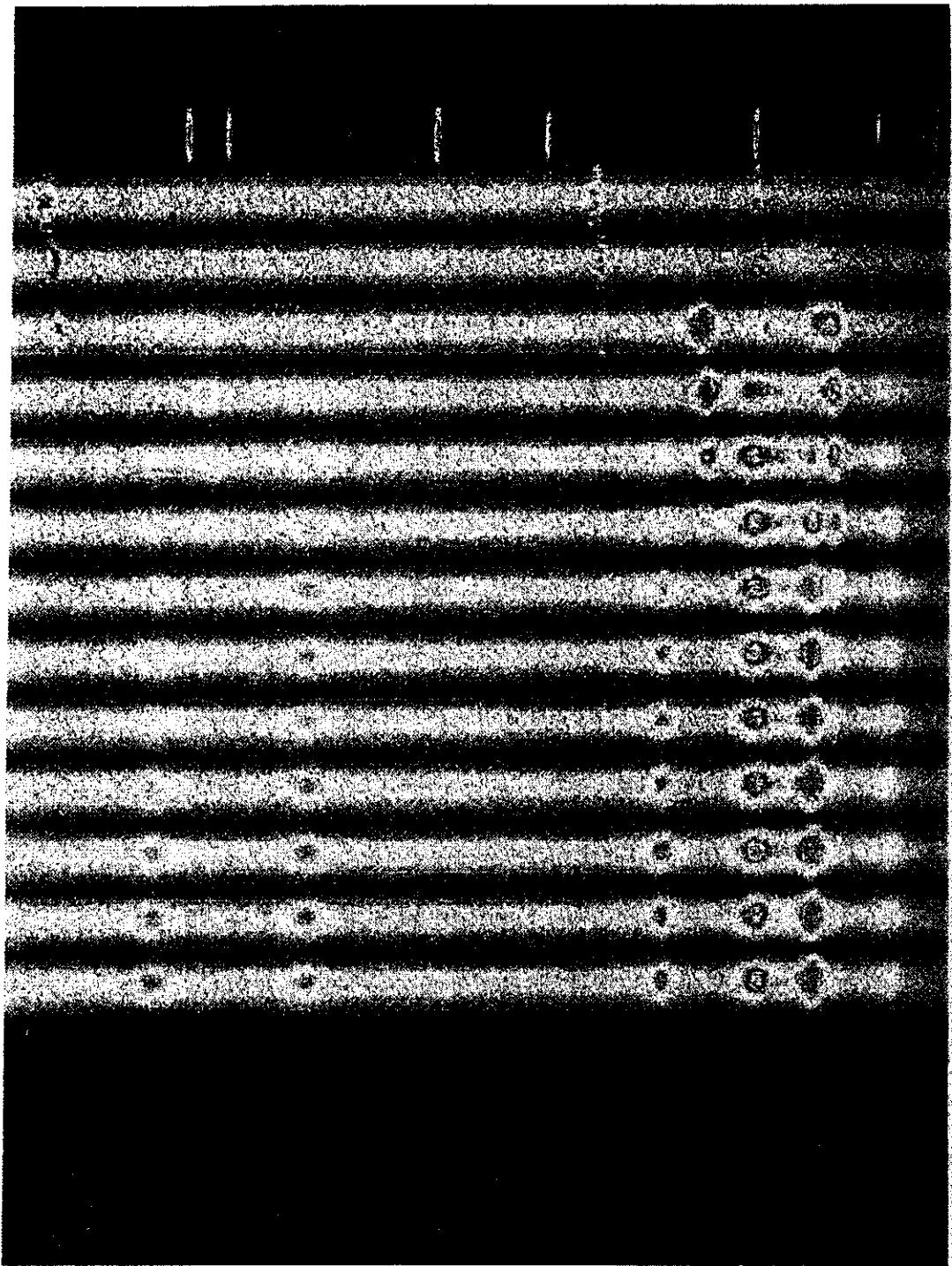


Figure 8 Hydrogen permeation curves for the API 5L X-65 steel; (PS) an untreated sample, (Pns) a 6h plasma nitrided sample tested with hydrogen generation at the nitrided face, (Psn) a 6h plasma nitrided sample tested with hydrogen generation at the non-treated face.





C:\ARQ\saxs\Jorge Feugeas\jorge13.gel , Range = 0.000-699.9 Counts, 100%



1010

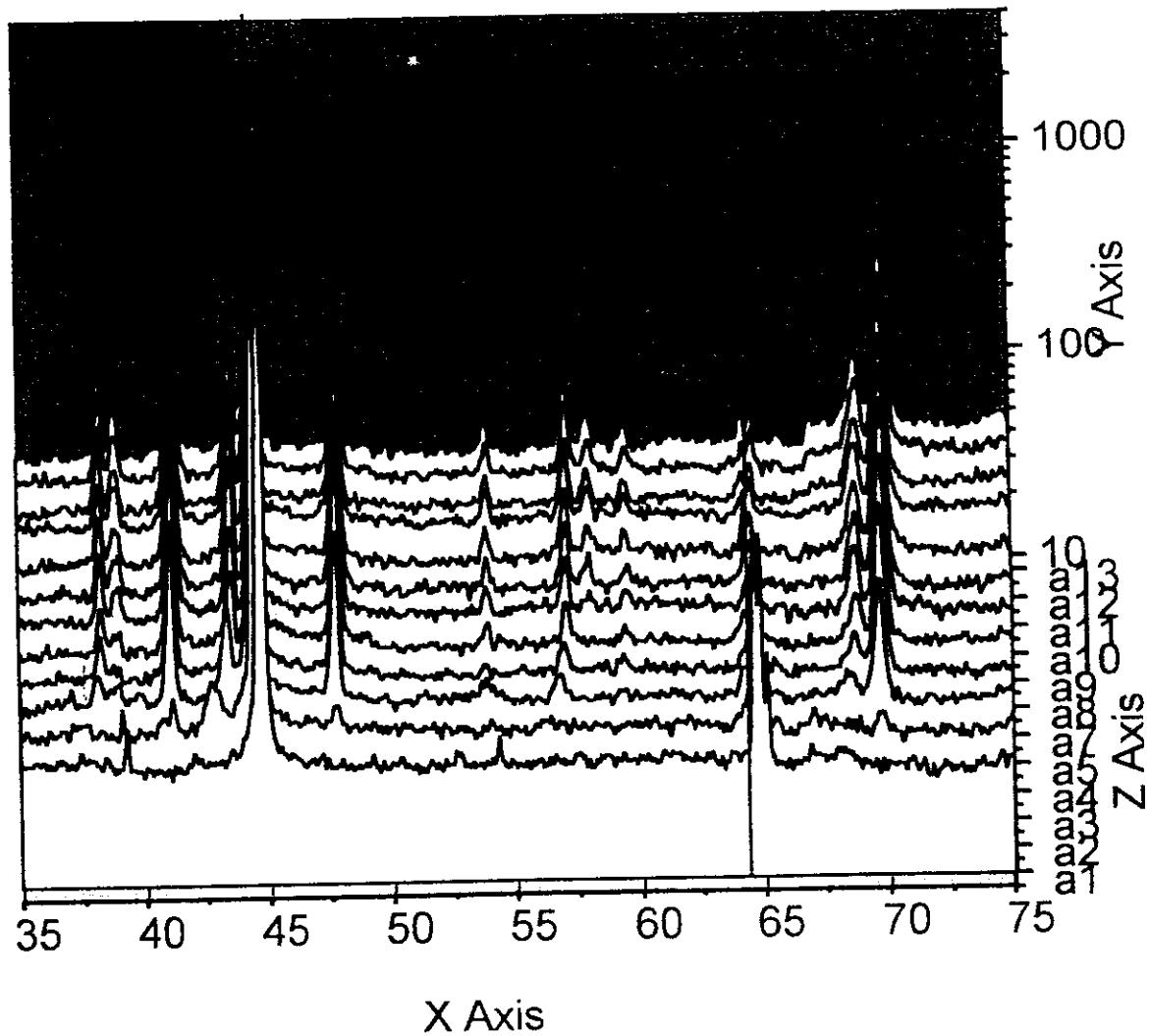


Table I. Angular position (Bragg angles) of the Fe₃N-ε (44.82°) and Fe_{3.17}N_{0.83}-ε phases for different treatment times

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			2.3609	2.3537	2.3005	2.3005		2.3537
Fe_{3.17}N_{0.83} (100)	----	----	44.82°	44.75°	44.89°	44.75°	...	44.68°
			2.3083	2.3117	2.3049	2.3117		2.3152
Fe₃N (101)	----	49.79°	49.93°	50.07°	50.14°	50.07°	...	50.14°
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Fe₃N (102)	----	----	65.88°	66.02°	66.16°	66.16°	...	66.23°
			1.6184	1.6153	1.6123	1.6123		1.6108
Fe_{3.17}N_{0.83} (102)	----	----	----	----	----	----	...	67.28°
								1.5885
Fe₃N (110)	----	----	80.08°	80.29°	80.36°	80.50°	...	80.50°
			1.3679	1.3649	1.3639	1.3620		1.3620

3.2.2- Hydrogen permeation modification of steel by surface ion nitriding

Using the same parameter of ion nitriding that the ones described in 3.2.2.1-, samples of API 5L X-65 steel were treated for hydrogen permeation tests. The permeation tests were performed in the Hydrogen Lab. of the Metallurgical Department of the University of Rio de Janeiro, Brazil.

Hydrogen permeation parameters were determined using electrochemical hydrogen permeation tests, the necessary cathodic charging potentials being obtained from the results of prior potentiodynamic polarisation scans. All electrochemical tests were performed using a TA1 GP-201H programmable electrochemical interface (galvanostat/potentiostat/zero resistance