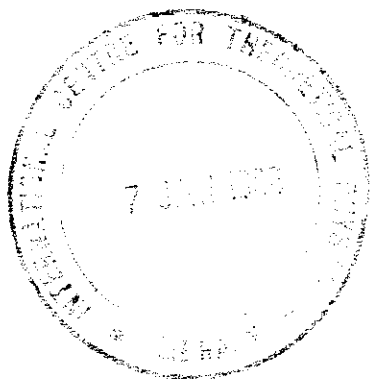




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COLLEGE ON
REPRESENTATION THEORY OF LIE GROUPS
(4 November - 6 December 1985)

BASIC STRUCTURE THEORY OF COMPACT LIE GROUPS AND SEMISIMPLE LIE ALGEBRAS
OVER $\mathbb{R}, \mathbb{C}$ (E. CARTAN)

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These are preliminary lecture notes, intended only for distribution to participants.

Go compact connected abelian Lie group. A compact connected abelian Lie group is a torus is isomorphic to a direct product of a finite number of circle groups. A maximal torus H of G is a torus at if $H \subseteq A \subseteq G$ and A is a torus, then $H=A$. Any subtorus is contained in a maximal one ($H_1 \subset H_2 \subset \dots$ gives $H_1 = H_2 = \dots$)

Lemma

- (i) The maximal tori in G are the maximal connected abelian subgroups.
 (ii) H is a maximal torus of G iff $\mathfrak{h}$ is a maximal abelian subalgebra of $\mathfrak{g}$.

Proof

(i) Let H be a maximal torus of G . If A is connected abelian containing H , $H \subseteq A \subseteq \bar{A}$ the closure of A ; then as $\bar{A}$ is closed, connected and abelian we have $H = \bar{A}$. Also if A is maximal connected abelian then $A = \bar{A}$ so A is compact.

(ii) Follows from (i) using the correspondence $G \leftrightarrow \mathfrak{g}$
 connected subgroups, subalgebras $\square$

Proposition

- (i) Any $g \in G$ lies in a maximal torus.
 (ii) Let A be a torus in G and $g \in G$ with $gag^{-1} = a$ for all $a \in A$ then there is a maximal torus in G containing A and g .

Proof

(i) Every $g \in G$ lies on a 1-parameter subgroup and therefore lies in the torus which is the closure of this one parameter subgroup.

(ii) Let B be the closure of the subgroup of G generated by A and g (this is compact abelian) and B_0 the identity component of B . $A \subseteq B_0$ and B_0 is a torus so if $g \in B_0$ we are done. So suppose $g \notin B_0$. Now B/B_0 is a finite abelian group (since it is compact discrete) therefore $g^k \in B_0$ for some $k \in \mathbb{N}$. Also $g^k = \exp \xi$ for some $\xi \in \mathfrak{g}_0$. Let $h = \exp(1/k \xi) \in B_0$ and put $h_1 = gh^{-1}$; then $h_1^k = e$. Let $t \in B_0$ be a generator (i.e. the cyclic subgroup generated by t is dense in B_0) $t = \exp \gamma$ for some $\gamma \in \mathfrak{g}_0$. Set $h_2 = h, \exp(1/k \gamma)$ and let B_1 be the closure of the cyclic subgroup generated by h_2 . Then $B \subseteq B_1$, hence the result follows from (i). $\square$

Cor

For any torus A the centralizer of A in G , $C_G(A)$ is the union of all maximal tori containing A . If H is a maximal torus then $C_G(H) = H$ i.e. H is a maximal abelian subgroup.

Proof

Clearly if H is a max torus containing A then $H \subseteq C_G(A)$. Also if $g \in C_G(A)$ then A and g lie in a max torus. $\square$

NB A maximal abelian subgroup need not be a torus eg in $SO(3)$ the matrices of the form $\begin{pmatrix} \pm 1 & 0 \\ 0 & \pm 1 \end{pmatrix}$ Klein 4-group form a max abelian subgroup which is not a torus.

$SU(n)$ A maximal torus is $\text{diag}(e^{i\theta_1}, \dots, e^{i\theta_n})$,

$$\sum_i \theta_i = 0.$$

$$SO(3) \begin{pmatrix} \cos b & \sin b & 0 \\ -\sin b & \cos b & 0 \\ 0 & 0 & 1 \end{pmatrix}, b \in \mathbb{R}$$

$$SO(4) \begin{pmatrix} \cos b & \sin b & 0 & 0 \\ -\sin b & \cos b & 0 & 0 \\ 0 & 0 & \cos c & \sin c \\ 0 & 0 & -\sin c & \cos c \end{pmatrix}, b, c \in \mathbb{R}.$$

The adjoint action and its orbits.

c.f. R. Bott

Recall that for G linear $\text{Ad}_g \mathfrak{z} = g \mathfrak{z} g^{-1}$, $\mathfrak{z} \in \mathfrak{g}$
eg in $SO(3)$ taking the basis of infinitesimal rotations about the x, y, z axes $\mathfrak{z}_1, \mathfrak{z}_2, \mathfrak{z}_3$ with $[\mathfrak{z}_1, \mathfrak{z}_2] = \mathfrak{z}_3$ and cyclic permutations, the adjoint representation becomes $g\mathfrak{x}$, $g \in SO(3)$, $\mathfrak{x} \in \mathbb{R}^3$. The non-trivial orbits are the 2-spheres centred at the origin, and every sphere cuts a line through 0 in two points.

G a compact, connected Lie group. Take an inner product $(,)$ on the Lie algebra $\mathfrak{g}$ s.t the adjoint repr of G is orthogonal. For $\mathfrak{z} \in \mathfrak{g}$ let

$\mathfrak{g}_{\mathfrak{z}} = \{\mathfrak{y} \in \mathfrak{g}; [\mathfrak{z}, \mathfrak{y}] = 0\} = \text{Ker ad } \mathfrak{z}$ the centralizer of $\mathfrak{z}$ in $\mathfrak{g}$,
and $\mathfrak{g}^{\mathfrak{z}} = [\mathfrak{z}, \mathfrak{g}] = \text{Im ad } \mathfrak{z}$. $\mathfrak{g}_{\mathfrak{z}}$ is the Lie algebra of $G_{\mathfrak{z}} = \{g \in G; \text{Ad}_g \mathfrak{z} = \mathfrak{z}\}$ the stabilizer of $\mathfrak{z}$.

$$\mathfrak{g} = \mathfrak{g}_{\mathfrak{z}} \oplus \mathfrak{g}^{\mathfrak{z}} \text{ an orthogonal direct sum.}$$

An element $\mathfrak{z} \in \mathfrak{g}$ is said to be regular if $\dim \mathfrak{g}_{\mathfrak{z}} \leq \dim \mathfrak{g}_{\eta}$ for all $\eta \in \mathfrak{g}$ ie if the centralizer of $\mathfrak{z}$ has minimum dimension.

Lemma

(i) If $\mathfrak{z} \in \mathfrak{g}$ is a regular element then $\mathfrak{g}_{\mathfrak{z}}$ is a maximal abelian subalgebra.

(ii) If $\mathfrak{h}$ is maximal abelian then there is $\mathfrak{z} \in \mathfrak{h}$ with $\mathfrak{h} = \mathfrak{g}_{\mathfrak{z}}$.

Proof

(i) Let $\xi \in \mathfrak{g}$ and $\eta \in \mathfrak{g}_\xi$, then for small t , $\text{ad}(\xi + t\eta)$ is a linear isomorphism on $\mathfrak{g}^\xi$; thus $\mathfrak{g}^\xi \subseteq \mathfrak{g}^{\xi+t\eta}$ and taking

orthogonal complements $\mathfrak{g}_{\xi+t\eta} \subseteq \mathfrak{g}_\xi$. Now if $\xi \in \mathfrak{g}_\eta$ with $[\xi, \eta] \neq 0$ then $\dim \mathfrak{g}_{\xi+t\eta} < \dim \mathfrak{g}_\xi$ then $\mathfrak{g}_\xi$ is

thus $\mathfrak{g}_\xi$ is abelian for ξ regular.

Also if $\mathfrak{g}_\xi \subseteq \mathfrak{h}$ with $\mathfrak{h}$ abelian, then $[\xi, \eta] = 0$ for $\eta \in \mathfrak{h}$.

(ii) Take $\mathfrak{g}_\xi$, $\xi \in \mathfrak{h}$ of minimal dimension, and $\eta \in \mathfrak{h}$ in the proof of (i).

Conjugacy theorem.

Let $\mathfrak{h}$ be a maximal abelian subalgebra of $\mathfrak{g}$, then for each $\xi \in \mathfrak{g}$ the adjoint orbit $O(\xi) = \text{Ad } G \xi$ intersects $\mathfrak{h}$.

Proof

$\mathfrak{h} = \mathfrak{g}_\xi$ for some $\xi \in \mathfrak{h}$. Define $f: O(\xi) \rightarrow \mathbb{R}$ by $f(\eta) = (\eta, \xi)$. Each orbit is compact so f attains a critical value at $\xi \in O(\xi)$ say. For each $\eta \in \mathfrak{g}$ take the curve $\gamma_\eta(t) = f(\text{Ad}(\exp t\eta)\xi)$ through $f(\xi)$, then

$$\gamma'_\eta(0) = 0 \text{ i.e. } 0 = ([\eta, \xi], \xi) = (\xi, \eta^\perp) \text{ so } \xi \in \mathfrak{g}_\eta. \quad \square$$

We call $\mathfrak{g}_\xi$, ξ regular a Cartan subalgebra of $\mathfrak{g}$.

Corollary 1

Let $\mathfrak{h}_1$ and $\mathfrak{h}_2$ be maximal abelian subalgebras then there exists $g \in G$ s.t. $\text{Ad } g \mathfrak{h}_1 = \mathfrak{h}_2$. The Cartan subalgebras in $\mathfrak{g}$ are the maximal abelian subalgebras (all have the same dimension)

Corollary 2

Any two maximal tori are conjugate i.e. given H_1, H_2 there exists $g \in G$ s.t. $gH_1g^{-1} = H_2$.

Proof

Conjugacy is transitive so we may take $\mathfrak{h}_1 = \mathfrak{g}_{\xi_1}$ with ξ_1 regular. There exists $g \in G$ with $\text{Ad } g \xi_1 \in \mathfrak{h}_2$, then as $\text{Ad } g$ is an automorphism $\mathfrak{h}_2 = \text{Ad } g \mathfrak{h}_1$ (if $\xi \in \mathfrak{g}_{\xi_1}$ then $[\text{Ad } g \xi, \text{Ad } g \xi_1] = \text{Ad } g [\xi, \xi_1] = 0$ i.e. $\text{Ad } g \xi \in \mathfrak{g}_{\text{Ad } g \xi_1}$ and $\text{Ad } g \xi_1$ is regular)

From $g \exp \xi g^{-1} = \exp \text{Ad } g \xi$ one sees that $gH_1g^{-1} \subseteq H_2. \quad \square$

The normalizer of a maximal torus H in G , $N_G(H) = \{g \in G \mid gHg^{-1} \subseteq H\}$ contains $C_G(H) = H$ as a normal subgroup. This is also the normalizer of $\mathfrak{h}$ in G i.e. all $g \in G$ s.t. $\text{Ad } g \mathfrak{h} \subseteq \mathfrak{h}$, then $\text{Ad } g$ depends only on the coset gH and $\text{Ad } g|_{\mathfrak{h}} = I$ iff $g \in H$. Let $\mathfrak{n}$ be the Lie algebra of $N_G(H)$, then $[\mathfrak{n}, \mathfrak{h}] \subseteq \mathfrak{h}$ which implies that $\mathfrak{n} = \mathfrak{h}$. Hence, because it is compact discrete, $W(G, H) = N_G(H)/H$ is a finite group called the Weyl group, which is a group of linear transformations of $\mathfrak{h}$ (independent of H , by conjugacy, up to isomorphism)

$W(H) = N(H)/H$ is a finite group called the Weyl group, which can be identified with a group of linear transformations of $\mathfrak{h}$. $O(3) \cap \mathfrak{h}$ is an orbit of the Weyl group. (Note that $W(H)$ is independent of choice of conjugate, up to isomorphism.)

Example: $U(n)$ the group of unitary matrices with Lie algebra $\mathfrak{u}(n)$ the skew-Hermitian matrices. Take H the diagonal matrices $Z = i\sqrt{2} \text{diag}(z_1, \dots, z_n)$ in $\mathfrak{u}(n)$. Let E_{ij} be the (i,j) elementary matrix, we compute $[Z, E_{ij}] = \sqrt{2}(z_i - z_j)E_{ij}$. Put $\tilde{E}_{ij} = (E_{ij} - E_{ji}) + i(E_{ij} + E_{ji})$ instead. $\tilde{E}$ with $\tilde{E}_{ij}$ form a basis, then we see that:

A diagonal matrix Z is in H iff $z_i = z_j$ for some $i \neq j$. So if all the diagonal elements are distinct, then Z is regular. So the regular elements of $\mathfrak{h}$ are all the elements of $\mathfrak{h}$ with distinct eigenvalues. The Weyl group is the permutations of the diagonal elements. If Z is not regular, then we can change the z_i values so that Z is regular. So we can put Z into diagonal form.

$G = \bigcup_{g \in C_H} gHg^{-1}$ a disjoint union over the conjugacy classes of a maximal torus H in G . Hence any class function on G is determined by its restriction to H , in particular any character is so determined.

Example $SU(2)$, let $h = \begin{pmatrix} e^{\sqrt{-1}a} & 0 \\ 0 & e^{-\sqrt{-1}a} \end{pmatrix}$ $a \in \mathbb{R}$, these form a maximal torus.

Consider the polynomial $P(X, Y) = X^{n-j}Y^j$ in U_n . Then $(\Pi_n(h)P)(X, Y) = P(h(X, Y)) = P(e^{\sqrt{-1}a}X, e^{-\sqrt{-1}a}Y) = e^{\sqrt{-1}(n-2j)a}P(X, Y)$.

Let χ_n be the character of Π_n , then on H it is given by

$$\begin{aligned} \chi_n(h) &= \text{trace } \Pi_n(h) = \sum_{j=0}^n e^{\sqrt{-1}(n-2j)a} = e^{\sqrt{-1}na} \frac{(1 - e^{-\sqrt{-1}2(n+1)a})}{1 - e^{-\sqrt{-1}2a}} \\ &= \frac{e^{\sqrt{-1}(n+1)a} - e^{-\sqrt{-1}(n+1)a}}{e^{\sqrt{-1}a} - e^{-\sqrt{-1}a}} \end{aligned}$$

Lie algebra $\mathfrak{g}$ over $\mathbb{R}$ or $\mathbb{C}$. $\mathfrak{g}$ is semi-simple if the radical is zero i.e. no non-zero solvable ideals. $\mathfrak{g}$ is simple if no non-trivial ideals and not of dimension 0 or 1; simple implies semi-simple. $\mathfrak{g}$ is reductive if the adjoint rep is completely reducible. Using the

correspondence $G \leftrightarrow \mathfrak{g}$
 connected subgroups $\leftrightarrow$ subalgebras
 normal $\leftrightarrow$ ideals

but definitions for G e.g. G is semi-simple if no connected solvable normal subgroups.

Examples

$(-L(\mathfrak{sl}(n, \mathbb{R})), GL(n, \mathbb{R}), U(n))$ are reductive but not semi-simple.

$SL(n, \mathbb{R}), SL(n, \mathbb{C}), SU(n), SO(n)$ are semi-simple.

The Killing form of $\mathfrak{g}$ is defined by

$$B(X, Y) = \text{tr}(\text{ad } X \cdot \text{ad } Y), \quad X, Y \in \mathfrak{g}.$$

this is invariant under automorphisms of $\mathfrak{g}$.

Cartan's Criterion:

$\mathfrak{g}$ is semi-simple iff $B(\cdot, \cdot)$ is non-degenerate.

then $\mathfrak{g}$ is s.s. iff it is a direct sum of simple ideals.

$\mathfrak{g}$ is reductive iff $\mathfrak{g} = \mathfrak{z} \oplus \mathfrak{g}'$ where $\mathfrak{z}$ is the center and

$\mathfrak{g}' = [\mathfrak{g}, \mathfrak{g}]$ the derived algebra with $\mathfrak{g}'$ semi-simple.

A Cartan subalgebra $\mathfrak{h}$ of $\mathfrak{g}$ is a nilpotent subalgebra that is its own normalizer. ~~Any two~~ If $\mathfrak{g}$ is complex any two are conjugate by an inner automorphism; if $\mathfrak{g}$ is real there are finitely many conjugacy classes.

$\text{Aut}(\mathfrak{g})$ the automorphisms is a closed subgroup of $GL(\mathfrak{g})$ with Lie algebra $\text{Der}(\mathfrak{g})$ the derivations of $\mathfrak{g}$ ($D[X, Y] = [D(X), Y] + [X, D(Y)]$) $\text{ad } \mathfrak{g}$ is a subalgebra of $\text{Der}(\mathfrak{g})$, and to it there corresponds the ~~Every derivation~~ inner automorphisms $\text{Int } \mathfrak{g}$. If G is connected, $\text{Int } \mathfrak{g} = \text{Ad } G$.

Every derivation of a semi-simple Lie algebra is inner, then $\text{Int } \mathfrak{g}$ is the identity component of $\text{Aut } \mathfrak{g}$.

~~For~~ For $\mathfrak{g}$ semi-simple, a Cartan subalgebra $\mathfrak{h}$ is a maximal abelian subalgebra consisting of semi-simple elements. $\mathfrak{h}$ is a Cartan subalgebra of $\mathfrak{g}$.

Let $\mathfrak{g}$ be real reductive with Cartan subalgebra $\mathfrak{h}$. Then $\mathfrak{g} = \mathfrak{z} \oplus \mathfrak{h}$, with $\mathfrak{h}$ a Cartan subalgebra of $\mathfrak{g}'$. The adjoint rep of $\mathfrak{g}$ restricted to $\mathfrak{h}$ has the dec

$$\mathfrak{g}_{\mathbb{C}} = \mathfrak{h}_{\mathbb{C}} \oplus \sum_{\alpha \in R} \oplus \mathfrak{g}_{\alpha}^{\pm}$$

where $\mathfrak{g}_{\alpha}^{\pm} = \{X \in \mathfrak{g}; [X, H] = \pm \alpha(H)X, \forall H \in \mathfrak{h}\}$

the root system $R = \{\alpha \in \mathfrak{h}^*; \alpha \neq 0 \text{ and } \mathfrak{g}_{\alpha}^{\pm} \neq 0\}$. Define $\mathfrak{g}^{\pm} = 0$ if $\pm \notin R$. Then

(i) If $\alpha, \beta \in R$ with $\alpha + \beta \neq 0$, then $[\mathfrak{g}_{\alpha}^{\pm}, \mathfrak{g}_{\beta}^{\pm}] = \mathfrak{g}_{\alpha+\beta}^{\pm}$

(ii) $\mathfrak{g}_{\alpha}^{\pm} \perp \mathfrak{g}_{\beta}^{\pm}$ w.r.t $B(\cdot, \cdot)$. $\mathfrak{h} \perp \mathfrak{g}_{\alpha}^{\pm}$ for all α .

(iii) $B(X, Y) = \sum_{\alpha} \eta_{\alpha} \alpha(X) \alpha(Y)$, $\eta_{\alpha} = \dim \mathfrak{g}_{\alpha}^{\pm}$

(iv) Each root α defines an $\mathfrak{s}$. If all roots vanish on an element $s \in \mathfrak{h}_{\mathbb{R}}$, then s is in the center. The roots span $\mathfrak{h}^*$.

(v) The Killing form is non-degenerate on $\mathfrak{h}$ iff $\alpha \notin R$ then α is a root.

If $\alpha \in R$ from (V) there is a unique β_α in $\mathfrak{h}_\mathbb{C}$ such that $B(\beta_\alpha, \beta) = \alpha(\beta)$ for all $\beta \in \mathfrak{h}_\mathbb{C}$.

Lemma

$[\mathfrak{g}^\alpha, \mathfrak{g}^{-\alpha}] = \mathbb{C}\beta_\alpha$ in fact if $\beta \in \mathfrak{g}^\alpha, \gamma \in \mathfrak{g}^{-\alpha}$ then $[\beta, \gamma] = B(\beta, \gamma)\beta_\alpha$.

Proof $[\beta, \gamma] \in \mathfrak{h}_\mathbb{C}$. If $\beta \in \mathfrak{h}$, $B([\beta, \gamma], \beta) = B(\beta, [\gamma, \beta]) = \alpha(\beta)B(\beta, \gamma)$ $\square$

Choosing $\varepsilon_\alpha \in \mathfrak{g}_\alpha^\mathbb{C}, \varepsilon^{-\alpha} \in \mathfrak{g}^{-\alpha}$ with $B(\varepsilon_\alpha, \varepsilon^{-\alpha}) = 1$ we see that with $\tau = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ the set $\{\tau_\alpha, \varepsilon_\alpha, \varepsilon^{-\alpha}\}$ spans a subalgebra of $\mathfrak{g}_\mathbb{C}$ isomorphic to $A_1 = \mathfrak{sl}(2, \mathbb{C})$. Recall that with $\tau = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \varepsilon_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \varepsilon_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ $[\tau, \varepsilon_+] = 2\varepsilon_+, [\tau, \varepsilon_-] = -2\varepsilon_-$ and $[\varepsilon_+, \varepsilon_-] = \tau$. The eigenvalues of τ in any representation form a chain of integers running in steps of 2 from a maximum $+r$ to a minimum $-r$. The α string of roots through β is $\beta + t\alpha$ $t \in \mathbb{R}$, only finitely many occur $\alpha, \beta \in R$; denote by $\mathfrak{g}_\beta^\alpha$ the direct sum of the $\mathfrak{g}^{\beta+t\alpha}$.

Prop

(i) The values $\beta(\tau_\alpha)$ for $\alpha, \beta \in R$ are integers (called Cartan integers) and are denoted by $a_{\beta\alpha}$. There are non-negative integers t', t'' s.t. the α -string of roots through β is unbroken with $t' \leq t \leq t'', t$ integer.

$$a_{\beta\alpha} = \frac{2\langle \beta, \alpha \rangle}{\langle \alpha, \alpha \rangle} = t' - t''$$

(ii) For $\alpha, \beta \in R$, $\tau_\alpha(\beta) = \beta - a_{\beta\alpha}\alpha$ again lies in R .

(iii) If $\beta - \alpha \notin R$ then $a_{\beta\alpha} \leq 0$.

(iv) For each $\alpha \in R$, $\dim \mathfrak{g}_\alpha^\mathbb{C} = 1$. A multiple $r\alpha$ with $r \in \mathbb{Z}$ belongs to R iff $r = \pm 1$.

Proof

(i) A_1 acts on $\mathfrak{g}_\beta^\alpha$ via the adjoint rep. The eigenvalues of $\text{ad } \tau_\alpha$ are $\beta(\tau_\alpha) + 2t$.

(ii) From (i)

(iii) $t' = 0$ in (i)

(iv) Consider the vector space spanned by $\varepsilon_{-\alpha}, \tau_\alpha$ and all $\mathfrak{g}_{t\alpha}^\alpha$ for $t \geq 1$; this is invariant under $\text{ad } \varepsilon_\alpha, \text{ad } \varepsilon_{-\alpha}$. Then $\text{ad } \tau_\alpha = \text{ad}[\varepsilon_+, \varepsilon_{-\alpha}] = [\text{ad } \varepsilon_+, \text{ad } \varepsilon_{-\alpha}]$ has zero trace, but the trace is $\alpha(\tau_\alpha)(-1 + n_\alpha + 2n_{2\alpha} + \dots)$. Hence $n_\alpha = 1, n_{2\alpha} = n_{3\alpha} = \dots = 0$.

If $\beta = r\alpha$ is a root, integrality of $\beta(\tau_\alpha)$ etc. implies that r is ± 1 or ± 2 ; but ± 2 has just been excluded. $\square$

Let $\mathfrak{h}_{\mathbb{R}, \alpha}$ be the real span of the $\beta_\alpha, \alpha \in R$; this is a real form of $\mathfrak{h}_\mathbb{C}$ on which the Killing form is true definite and we have an isomorphism

$$(\mathfrak{h}_{\mathbb{R}, \alpha}, \langle, \rangle) \xrightarrow{\lambda} (\mathfrak{h}_{\mathbb{R}, \alpha}, B(\cdot, \cdot))$$

$\lambda \longmapsto \beta_\alpha$

Vector Figure

Let V be a real finite-dim vector space with an inner product $\langle, \rangle$. A vector figure is a finite non-empty subset R in V not containing 0, with $V = \text{span}(R)$ and satisfying:

(i) For $\alpha, \beta \in R$ $a_{\beta\alpha} = \frac{2\langle \beta, \alpha \rangle}{\langle \alpha, \alpha \rangle}$ is an integer.

(ii) $\tau_\alpha(\beta) = \beta - a_{\beta\alpha}\alpha$ is also in R .

(iii) If $r\alpha$ is a root multiple $r\alpha$ is also in R then $r = \pm 1$.

The rank of R is the dimension of V . The reflection of V in the hyperplane orthogonal to α is given by

$$W_\alpha(\lambda) = \lambda - \frac{2\langle \lambda, \alpha \rangle}{\langle \alpha, \alpha \rangle} \alpha$$

The ^(finite) group W of isometries of V generated by the $W_\alpha, \alpha \in R$ is called the Weyl group of R . R is simple if it is not the union of two non-empty subsets that are orthogonal to each other. Any R is expressed uniquely as the union of simple ones.

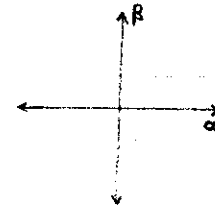
Vector figures of rank 2.

Let R be a vector figure and take $\alpha, \beta \in R$. Now $a_{\alpha\beta} a_{\beta\alpha} = \frac{4\langle \alpha, \beta \rangle^2}{|\alpha|^2 |\beta|^2} = 4 \cos^2 \theta$ where θ is the angle between α & β . The $a_{\beta\alpha}$ being integers, the possible values of $4 \cos^2 \theta$ are 0, 1, 3, 4. We suppose that $a_{\beta\alpha} \leq 0$ (or replace β by $W_\alpha(\beta)$) and $|\alpha| \leq |\beta|$; note that $a_{\beta\alpha} / a_{\alpha\beta} = |\beta|^2 / |\alpha|^2$. We obtain the following table

$a_{\alpha\beta}$	$a_{\beta\alpha}$	θ	$ \beta ^2 / \alpha ^2$
-1	-1	$2\pi/3$	1
-1	-2	$3\pi/4$	2
-1	-3	$5\pi/6$	3
0	0	$\pi/2$	?

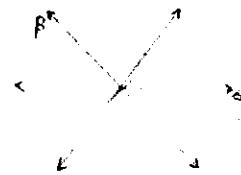
Then there exist up to congruence exactly the following lower ranks of rank 2

$A_1 \oplus A_1$



$\alpha \perp \beta$ any ratio $|\alpha|:|\beta|$

A_2



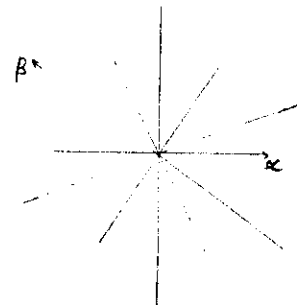
All 6 vectors have the same norm
angle between adjacent vectors is $\pi/3$.

B_2



$|\beta|:|\alpha| = \sqrt{2}$, angle between adjacent vectors is $\pi/4$.
8 roots

G_2



$|\beta|:|\alpha| = \sqrt{3}$, angle is $\pi/6$. 12 roots.

Let R be a vector figure. Choose an element β in V^* that doesn't vanish at any α in R and define an order $\lambda \geq \mu$ if $\beta(\lambda) \geq \beta(\mu)$, $\lambda, \mu \in V$. This defines divides R into the two subsets R^+, R^- of positive and negative elements. α in R^+ is simple if it is not the sum of two roots in R^+ . Let $F = \{\alpha_1, \dots, \alpha_l\}$ be the set of simple roots in R .

Prop.

- (i) If α, β are distinct in F then $\langle \alpha, \beta \rangle \leq 0$.
- (ii) F is a linearly independent set.
- (iii) Every vector of R^+ is a linear combination of the simple vectors with non-negative integral coefficients, therefore $l = \text{rank } R$.

Proof. Exercise

Fundamental system

in V with $\langle, \rangle$ is a basis F if for any two α_i, α_j in F ,

$$\frac{2\langle \alpha_j, \alpha_i \rangle}{\langle \alpha_i, \alpha_i \rangle} = a_{ji} \text{ is a non-positive integer (can only be } 0, -1, -2, -3)$$

(a_{ij}) Cartan matrix. $a_{ii} = 2$.

F splits uniquely into mutually orthogonal simple (not decomposable ones). Let $W(F)$ be the Weyl group of F . F determines R by $R = W(F) \cdot F$, then $W(R) = W(F)$.

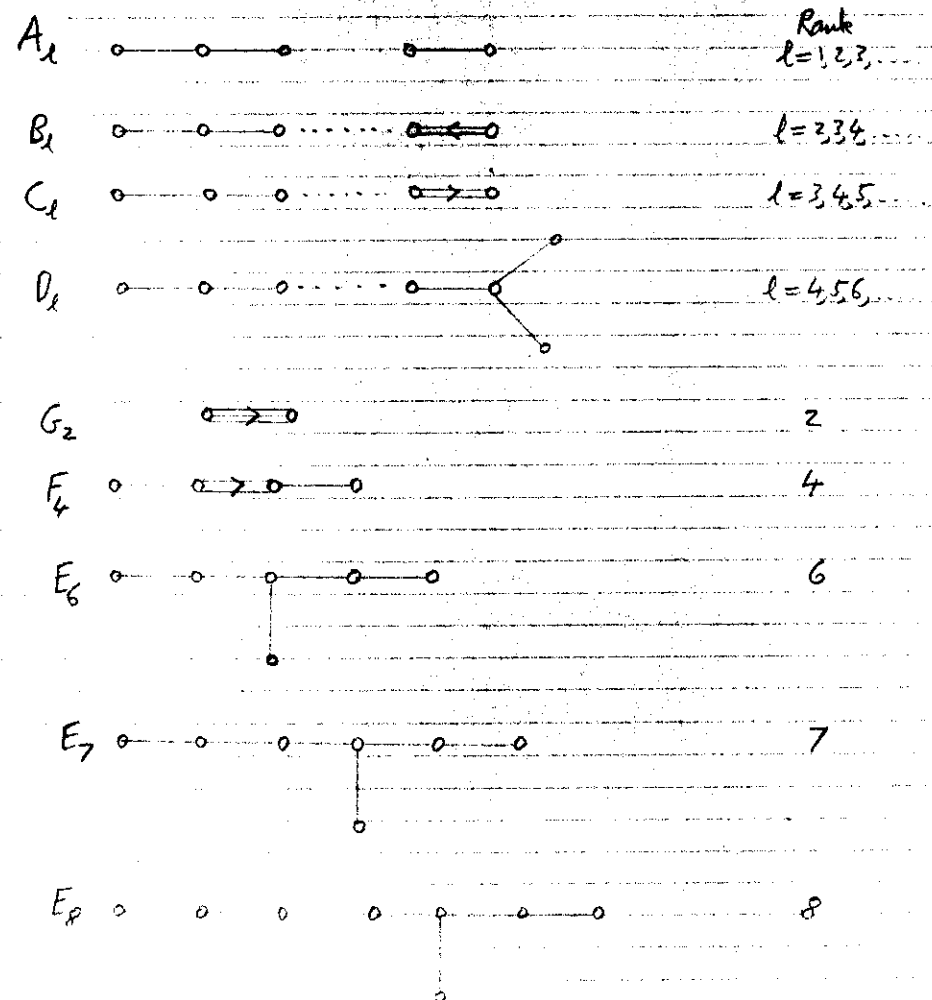
Theorem

Classification of Lie algebras over $\mathbb{C}$ $\longleftrightarrow$ Classification of vector figures $\longleftrightarrow$ Classification of fundamental systems

simple $\mathfrak{g}$ is a Cartan subalgebra simple R simple F

A fundamental system F is determined by a Cartan-Dynkin diagram: for any two vectors α_i, α_j the corresponding vertices are connected by this $a_{ji} (=0, 1, 2, 3)$ edges. In particular if $\langle \alpha_i, \alpha_j \rangle = 0$ then there is no edge. If there are 2 or 3 edges, the arrows point from the smaller of $|\alpha_i|, |\alpha_j|$ to the larger one.

The simple fundamental systems F (up to congruence) are the following:



For $\lambda \in \mathfrak{h}^*$ from (V) there is a unique J_λ in $\mathfrak{h}_\mathbb{C}$ s.t. $B(J_\lambda, J) = \lambda(J)$ for all $J \in \mathfrak{h}$. With $\langle J_\lambda, J_\mu \rangle = (J_\lambda, J_\mu)$ we have an isometry

$$(\mathfrak{h}_\mathbb{R}^*, \langle, \rangle) \xrightarrow{\quad} (\mathfrak{h}_\mathbb{R}, B(\cdot, \cdot))$$

$$\lambda \xrightarrow{\quad} J_\lambda$$

where $\mathfrak{h}_\mathbb{R}$ is the real span of the J_λ .

		Rank	Dimension
A_l	$sl(l+1, \mathbb{C})$	$l \geq 1$	$l(l+2)$
B_l	$so(2l+1, \mathbb{C})$	$l \geq 2$	$l(2l+1)$
C_l	$sp(l, \mathbb{C})$	$l \geq 3$	$l(2l+1)$
D_l	$so(2l, \mathbb{C})$	$l \geq 4$	$l(2l-1)$
G_2		2	14
F_4		4	52
E_6		6	78
E_7		7	133
E_8		8	248

$\mathfrak{g}$ real semi-simple. To find a Cartan subalgebra of $\mathfrak{g}_\mathbb{C}$, take the compact real form $\mathfrak{h}$ of $\mathfrak{g}_\mathbb{C}$ then a maximal abelian subalgebra $\mathfrak{h}$ of $\mathfrak{h}$ to get $\mathfrak{h}_\mathbb{C}$.

$A_l = sl(l+1, \mathbb{C})$. Compact real form is $su(l+1)$.

Take $\mathfrak{h}$ consisting of $J = \sqrt{-1} \text{diag}(a_1, \dots, a_{l+1})$ the roots are α_{ij} , $i \neq j$ with $\alpha_{ij}(J) = \sqrt{-1}(a_i - a_j)$, $J \in \mathfrak{h}$.

Define an order by the element $-\sqrt{-1} \text{diag}(l+1, l, \dots, 2, 1)$ is the positive roots are α_{ij} , $i < j$ and the fundamental system is $\{\alpha_{12}, \alpha_{23}, \dots, \alpha_{l, l+1}\}$. Consider 'simple' root chains, the only

non-trivial ones are obtained by adding two adjacent simple roots, the Cartan integer is -1. Hence we obtain the C-D diagram A_l . The reflection w_{ij} is $(\alpha_{i,j}, \alpha_{i,i})$. $W(R)$ is generated by the 2-cycles $(i, i+1)$ $i=1, \dots, l-1$ is is Symm $(l+1)$.

$B_l = so(2l+1, \mathbb{C})$. Compact real form is $so(2l+1)$

Take $\mathfrak{h}$ consisting of $J = \text{diag}\left(\begin{pmatrix} 0 & b_1 \\ -b_1 & 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 & b_l \\ -b_l & 0 \end{pmatrix}\right)$, $b_i \in \mathbb{R}$

Roots are $\pm \alpha_i$, $\pm \beta_{ij}$, $i < j$, $\pm \gamma_{ij}$, $i < j$ where $\alpha_i(J) = -\sqrt{-1}b_i$, $\beta_{ij}(J) = -\sqrt{-1}(b_i - b_j)$, $\gamma_{ij}(J) = -\sqrt{-1}(b_i + b_j)$

Define order by $\sqrt{-1}J$ with $b_1 = 1, b_2 = 1, \dots, b_{l-1} = 2, b_l = 1$.

Maximal root, $\gamma_{12} = \beta_{12} + 2(\beta_{23} + \dots + \beta_{l-1, l} + \alpha_l)$

Positive roots are α_i , β_{ij} , $i < j$, γ_{ij} , $i < j$. $F = \{\beta_{12}, \beta_{23}, \dots, \beta_{l-1, l}, \alpha_l\}$.

Consider non-trivial strings of simple roots. Can add two consecutive simple roots $\beta_{i, i+1}$ and $\beta_{i+1, i+2}$ Cartan integer -1 or can add α_i to $\beta_{i-1, i}$ twice Cartan integer is -2. These are the only possibilities. $w_{i-1, i} : \alpha_i \mapsto \alpha_{i-1}$

$W(R)$ is the group of sign changes and permutations on $\{1, \dots, l\}$; order is $2^l l!$ $w_i : \beta_{i, i+1} \mapsto \alpha_i$

Propn
 G compact Lie group. Then $\mathfrak{g}$ is reductive. The Killing-form is negative semi-definite and negative definite on $\mathfrak{g}'$.

Proof
 Recall that there is an inner product $(\cdot, \cdot)$ on $\mathfrak{g}$ s.t. the adjoint rep of G is orthogonal; then ad is skew thus if $\mathfrak{a}$ is an ideal in $\mathfrak{g}$ so is $\mathfrak{a}^\perp$. Hence $\mathfrak{g} = \mathfrak{a}_1 \oplus \dots \oplus \mathfrak{a}_p$ with $\mathfrak{a}_i$ simple or 1-dim.

If $Z \in \mathfrak{g}$, then $(\text{ad } Z)^2$ is symmetric with non-positive eigenvalues thus $\text{tr}(\text{ad } Z)^2 \leq 0$. If $\text{tr}(\text{ad } Z)^2 = 0$, then $\text{ad } Z = 0$. $\square$

$\mathfrak{g}$ complex. A real form is a real subalgebra $\mathfrak{g}_\mathbb{R} \subset \mathfrak{g}_\mathbb{C} = \mathfrak{g}$.

A real Lie algebra $\mathfrak{g}$ is said to be compact if the Killing-form is -ve definite (cannot be true definite). Reason for def: is:

Propn
 Let $\mathfrak{g}$ be compact and G a connected Lie group with Lie algebra $\mathfrak{g}$ then G is compact.

Proof
 $\mathfrak{g}$ is semi-simple so $\text{Ad } G = \text{Int } \mathfrak{g}$. $\mathfrak{g}$ is isomorphic to $\text{Der } \mathfrak{g}$ (by $Z \mapsto \text{ad } Z$) so $\text{Ad } G$ also has Lie algebra $\mathfrak{g}$.

Recall that given a real Lie algebra $\mathfrak{g}$ there is a unique connected, simply-connected Lie group $\tilde{G}$ with Lie algebra $\mathfrak{g}$. If G also has Lie algebra $\mathfrak{g}$ then $G \cong \tilde{G}/D$ where D is discrete normal (so lies in the center). Now if G is compact and has Lie algebra $\mathfrak{g}$, then $\tilde{G}$ is compact; hence D is finite and G is compact. $\square$

A compact connected Lie group is semi-simple iff it has finite center.

If $\mathfrak{g}$ is real semi-simple, $\mathfrak{h}$ a Cartan subalgebra, then there is a compact real form $\mathfrak{g}_\mathbb{C}$ of $\mathfrak{g}_\mathbb{C}$ containing $\sqrt{-1}\mathfrak{h}_\mathbb{R}$. Any two compact real forms are conjugate by an inner automorphism. Then from conjugacy of Cartan subalgs in the compact case get conjugacy in ~~complex~~ case. Hold in real case eg $SL(2, \mathbb{R})$

Weyl's Theorem

Every finite-dimensional representation of a semi-simple Lie group G is completely reducible.

Proof

Use the fact that $G_\mathbb{C}$ has a compact subgroup K with $\mathfrak{k}$ a real form of $\mathfrak{g}_\mathbb{C}$. $\square$

Structure of compact, connected Lie groups:

Theorem (J. Poincaré)

Every compact connected Lie group G is isomorphic to $Z_0 \times \tilde{G}_1 \times \dots \times \tilde{G}_m / E$ where the $\tilde{G}_i$ are compact simple, simply-connected Lie groups and E is a finite subgroup of the center of the product.

Need to find the compact simple simply-connected Lie groups and

their centres: G compact simple connected, $G \cong \tilde{G}/E$

$\mathfrak{g}_\mathbb{C}$	A_1	B_1	C_1	D_1	G_2	F_4	E_6	E_7	E_8
Center of $\tilde{G}$	$\mathbb{Z}_{11}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2 \oplus \mathbb{Z}_2$ (even)	$\mathbb{Z}_3$	$\mathbb{Z}_2$	$\mathbb{Z}_3$	$\mathbb{Z}_2$	$\mathbb{Z}_2$

$\tilde{G}$ $SU(n)$ $SO^*(2n+1)$ $Sp(n)$ $SO^*(2n)$

$Spin(2n+1)$ $Spin(2n)$

$U(1) \times \dots \times U(1)$

A compact connected Lie group is semi-simple iff it has finite center.

If $\mathfrak{g}$ is real semi-simple, $\mathfrak{h}$ a Cartan subalgebra, then there is a compact real form $\mathfrak{g}_c$ of $\mathfrak{g}_c$ containing $\sqrt{-1}\mathfrak{h}_\mathbb{R}$. Any two compact real forms are conjugate by an inner automorphism. Then from conjugacy of Cartan subalgebras in the compact case get conjugacy in ~~real~~ ^{complex} case. Hold in real case eg $SL(2, \mathbb{R})$

Weyl's Theorem

Every finite-dimensional representation of a semi-simple Lie group G is completely reducible.

Proof

Use the fact that G_c has a compact subgroup K with $\mathfrak{k}$ a real form of $\mathfrak{g}_c$. $\square$

Structure of compact, connected Lie groups:

Theorem of J. Price

Every compact connected Lie group G is isomorphic to ^{one of the form} $Z_0 \times \tilde{G}_1 \times \dots \times \tilde{G}_m / E$ where the $\tilde{G}_i$ are compact simple, simply-connected Lie groups and E is a finite subgroup of the center of the product.
 Z_0 a torus

Need to find the compact simple simply connected Lie groups and

their centres: G compact simple connected, $G \cong \tilde{G}/E$

$\mathfrak{g}_c$	A_l	B_l	C_l	D_l	G_2	F_4	E_6	E_7	E_8
center of $\tilde{G}$	$\mathbb{Z}_{l+1}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2 \oplus \mathbb{Z}_{l-1}$ $\mathbb{Z}_4$ odd	$\{0\}$	$\{0\}$	$\mathbb{Z}_3$	$\mathbb{Z}_2$	$\{0\}$

$\tilde{G}$	$SU(l+1)$	$\tilde{SO}(2l+1)$ $Spin(2l+1)$	$SP(l)$ $Sp(l)$	$\tilde{SO}(2l)$ $Spin(2l)$	$P_n(SO(n)) = \mathbb{Z}_2, n \geq 3$				
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