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SECOND WORKSHOP ON MATHEMATICS IN INDUSTRY

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CONSTRUCTION OF OUTPUT REGULATORS

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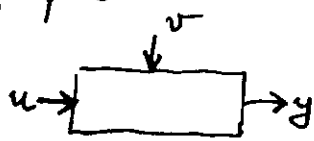
These are preliminary lecture notes, intended only for distribution to participants.
Missing or extra copies are available from the Workshop secretary.

2. Construction of output regulators

Given a system $\dot{x} = Ax + Bu + Dv, y = Cx$

Total input: (u, d)

u = control, d = (external) disturbance



Output control (output regulation) + strategy for specifying u to a control action $u(t)$ in each moment t depending on past observations $y(s), s \leq t$, only. Objective: To reduce the influence of v on z .

Typical example of an achievable strategy: A feedback/observer structure. The control action is generated by a linear system where the output of the given system serves as input:

$$u = -L - F\hat{x}, \quad \dot{\hat{x}} = \hat{A}\hat{x} + \hat{B}u + \hat{K}y.$$

1. Stabilization of a system by means of output feedback.

Theorem 1. If the matrices F, K are chosen such

that $\sigma(A-BF) \subset \mathbb{C}^-$, $\sigma(A-KC) \subset \mathbb{C}^-$ then the closed loop defined in terms of the relations

$$\dot{x} = Ax + Bu, \quad \dot{\hat{x}} = A\hat{x} + Bu + K(y - C\hat{x}), u = -F\hat{x}, y = Cx$$

is asymptotically stable

Proof Put $e := x - \hat{x}$, regard (x, e) as state variable of the closed loop. (x, e) satisfies the d.e.
 $\dot{x} = (A-BF)x + BFe, \quad \dot{e} = (A-KC)e.$

2. Problem: Stabilization plus disturbance decoupling

Definition 1. Given $\dot{x} = Ax + Dv, y = Cx$. The output y is decoupled from the input v if the following holds. The solution of $\dot{x} = Ax + Dv(t), x(0) = 0$, for any $v(t)$, satisfies $y(t) = Cx(t) = 0$ for all t .

Remark on some results and definitions of Sec. 1:

$\mathcal{V}^-$ largest subspace of $\ker C$ with this property

$$(A-BF)\mathcal{V} \subseteq \mathcal{V}, \quad \sigma(A-BF) \subset \mathbb{C}^- \text{ for some } F.$$

Later: $\mathcal{I}^-$ smallest space $\supseteq \text{Im}(D)$: $(A-KC)\mathcal{I} \subseteq \mathcal{I}, \sigma(A-KC) \subset \mathbb{C}^-.$

2-3
Lemma 2. Assume that the system is stabilizable and that $\mathcal{D} := \text{Im}(D) \subseteq \mathcal{V}^- (\subseteq \ker C)$. Then one can stabilize the system and decouple simultaneously by from v by state feedback

Proof. Use the input/output normal form with instead of $\mathcal{V}^*$, i.e. write the system in the form

$$\begin{pmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \end{pmatrix} = \begin{pmatrix} A_{11} & A_{12} & A_{13} \\ 0 & A_{22} & A_{23} \\ 0 & 0 & A_{33} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} + \begin{pmatrix} B_1 \\ 0 \\ B_3 \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} + \begin{pmatrix} * \\ * \\ 0 \end{pmatrix} v, \quad y = C_3 x_3$$

B.: The disturbance range is contained in $\mathcal{V}^-$

$(x_1, x_2, x_3) : x_3 = 0$

$$(A-BF)\mathcal{V}^- \subseteq \mathcal{V}^- \Leftrightarrow A-BF = \begin{pmatrix} \tilde{A}_{11} & \tilde{A}_{12} & * \\ 0 & \tilde{A}_{22} & \tilde{A}_{23} \\ 0 & 0 & \tilde{A}_{33} \end{pmatrix}$$

Input/output behavior of the equivalent system:

$$\dot{x}_3 = \tilde{A}_{33} x_3, \quad y = C_3 x_3.$$

Theorem 2. Hypotheses. (i) System is stabilizable and detectable. (ii)

$\text{Im}(D) \subseteq \mathcal{V}^- (\subseteq \mathcal{V}^- \subseteq \ker C)$
 Then one can stabilize and decouple simultaneously by means of state feedback.

2-4.
 under the additional hypothesis $\mathcal{V}^- = \mathcal{V}^-$ and with the help of

Lemma 1 $(A-BF)\mathcal{V}^- \subseteq \mathcal{V}^-$ and $(A-KC)\mathcal{V}^- \subseteq \mathcal{V}^- \Rightarrow \exists L$ such that $(A-BLC)\mathcal{V}^- \subseteq \mathcal{V}^-$.

Proof of Theorem 2 Feedback/observer model for the controller:

$$\left. \begin{aligned} u &= -Ly - (F-LC)\hat{x} \\ \dot{\hat{x}} &= A\hat{x} + Bu + K(y-C\hat{x}) \end{aligned} \right\} (*)$$

plant:

$$\dot{x} = Ax + Bu + Dv, \quad y = Cx$$

Consider (*) as a system with input v , output $y = Cx$

State x , $e := \hat{x} - x$. Dynamics

$$\begin{aligned} \dot{x} &= Ax - BF\hat{x} + BLCe + Dv = (A-BF)x + B(LC-F)e + Dv \\ \dot{e} &= (A-KC)e - Dv \end{aligned} \quad (2)$$

To be shown: $x(0)=0, e(0)=0 \Rightarrow y(t)=Cx(t)=0$ irrespective of $v(t)$.

Follows from $e(t) \in \mathcal{V}^-$, for all t , Lemma 1, Sec. 1, applied to (2)

$B(LC-F)e(t) \in (A-BLC)\mathcal{V}^- + (A-BF)\mathcal{V}^- \subseteq \mathcal{V}^-$ (hypothesis)

$x(t) \in \mathcal{V}^-$: Lemma 1, Sec. 1, applied to (1).

