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INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS  
34100 TRIESTE (ITALY) - P.O.B. 586 - MIRAMARE - STRADA COSTIERA 11 - TELEPHONE: 2240-1  
CABLE: CENTRATOM - TELEX 460382-1

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Surface Analytical Techniques II

Renzo Rosei

Dipartimento di Fisica

Università degli Studi di Trieste

I-34127 Trieste, Italy

WORKSHOP ON  
"SURFACE SCIENCE AND CATALYSIS"  
(4 - 8 May 1987)

15 MAY 1987

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Outline

1) Adsorption and desorption phenomena

Thermal Desorption spectroscopy

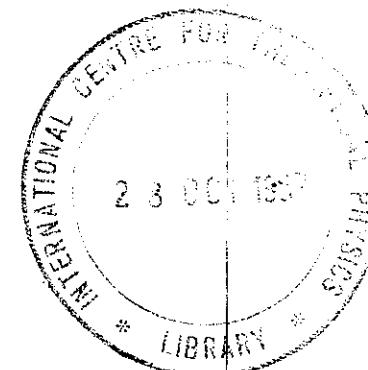
Molecular Beam Techniques

SURFACE ANALYTICAL TECHNIQUES II

R. ROSEI  
Dipartimento di Fisica  
Università degli Studi di Trieste  
34127 Trieste  
Italy

2) Examples of applications of XPS and Auger spectroscopy for studying  
simple surface reactions

Synchrotron radiation: present and future applications in Surface  
Science



These are preliminary lecture notes, intended only for distribution to participants.



MECHANISMS OF THE CARBON MONOXIDE OXIDATION AND NITRIC OXIDE REDUCTION  
REACTIONS OVER SINGLE CRYSTAL AND SUPPORTED RHODIUM CATALYSTS:  
HIGH PRESSURE RATES EXPLAINED USING ULTRAHIGH VACUUM SURFACE SCIENCE

Galen B. Fisher

Physical Chemistry Department, General Motors Research Laboratories,  
Warren, Michigan 48090-9055

The demonstration that surface parameters obtained in UHV experiments are applicable to high pressure catalytic reactions has long been a goal of surface science studies. We will attempt to show directly in these lectures that, for an important class of reactions, the strongly-bound species present under the conditions of UHV studies are the same surface species reacting at high pressures. We have chosen two test reactions, CO oxidation ( $2\text{CO} + \text{O}_2 \rightarrow 2\text{CO}_2$ ) and NO reduction ( $2\text{CO} + 2\text{NO} \rightarrow 2\text{CO}_2 + \text{N}_2$ ) over rhodium, which are important in automotive exhaust catalysis. We will also discuss the water formation reaction ( $2\text{H}_2 + 2\text{O}_2 \rightarrow \text{H}_2\text{O}$ ) on Rh when appropriate. An outline of the content of the lectures is as follows:

I. Motivation

II. Approach

- A. Measure High Pressure Reaction Rates
- B. Measure appropriate surface properties in ultrahigh vacuum
- C. Develop kinetic model based on UHV results
- D. Compare high pressure results with predictions based on UHV work

III. Equipment and Methods

- A. High Pressure Reaction Measurements
- B. Ultrahigh Vacuum Measurements
  - a. Temperature Programmed Desorption (TPD)
  - b. High resolution ( $\Delta E \sim 40 \text{ cm}^{-1}$ ) Electron Energy Loss Spectroscopy (EELS)
  - c. Other surface science tools (XPS, UPS, ...)
- C. Example of determining an activation energy: NO(a) dissociation on Rh(111) or OH(a) formation on Rh(100)

IV. Carbon Monoxide Oxidation Reaction over Rhodium

- A. High pressure (1-100 Torr) kinetics over single crystal and supported Rh
- B. Low pressure ( $10^{-4}$ - $10^{-6}$  Torr) kinetics over single crystal Rh
- C. UHV determination of activation energies of desorption and dissociation
- D. UHV determination of sticking coefficients for oxygen in the presence of CO(a)
- E. Derivation of a steady state reaction model based on UHV results
- F. Comparison of agreement between model results and reaction data
- G. What is the Rh surface like during CO oxidation?

V. Nitric Oxide Reduction Reaction over Rhodium

- A. High pressure (1-100 Torr) kinetics over single crystal and supported Rh
- B. Low pressure ( $10^{-4}$ - $10^{-6}$  Torr) kinetics over single crystal Rh
- C. UHV determination of activation energies of desorption and dissociation
- D. Discussion of the steady state reaction model based on UHV results
- E. Comparison of agreement between model results and reaction data
- F. What is the Rh(111) surface like during the NO-CO reaction?
- G. How is the reaction different on supported Rh?

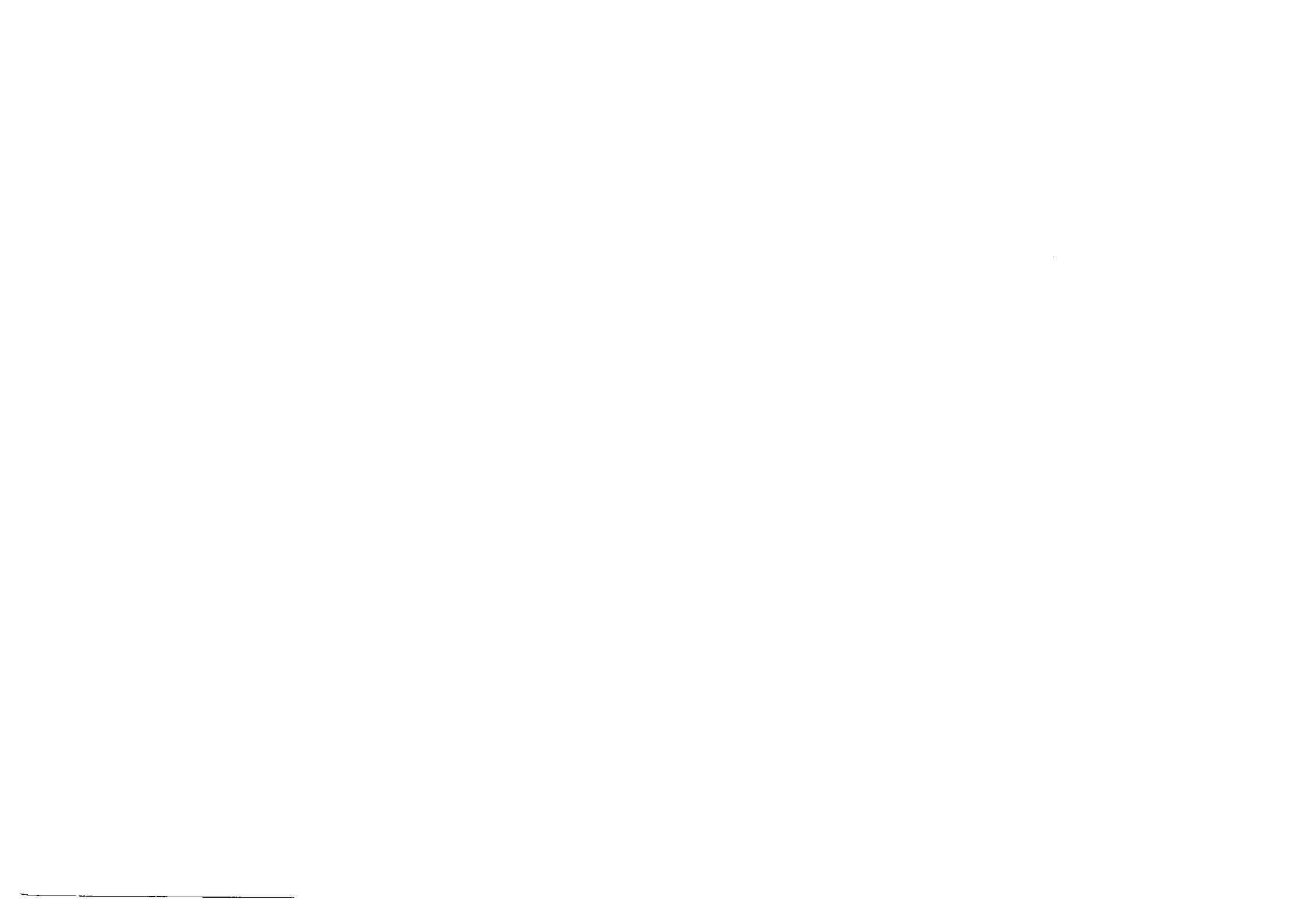
VI. The NO-CO-O<sub>2</sub> Reaction Over Rhodium

- A. High pressure (1-100 Torr) kinetics over single crystal and supported Rh
- B. Manifestations of NO inhibition of CO oxidation

VII. Conclusions

The similarity in the coverages of strongly-bound adsorbed species like NO and CO under UHV and high pressure conditions allows us to use UHV-obtained data to usefully explain high pressure kinetic data. We find that rate expressions based on UHV-determined intermediate steps using UHV-determined rate constants quantitatively predict the rates at high pressures for both the CO-O<sub>2</sub> and NO-CO reactions over single crystal Rh and supported Rh catalysts. Understanding the relative importance of intermediate steps in all of these reactions clarifies which steps need modification to improve overall reaction rates.

A good reference to begin with concerning these lectures is by S. H. Oh, G. B. Fisher, J. E. Carpenter, and D. W. Goodman in the Journal of Catalysis, Vol. 100 (1986), pp. 360-376.



LECTURE SUMMARY FOR ICTP WORKSHOP ON  
SURFACE SCIENCE AND CATALYSIS

RICHARD W JOYNER

LEVERHULME CENTRE FOR INNOVATIVE CATALYSIS  
UNIVERSITY OF LIVERPOOL  
PO BOX 147, LIVERPOOL, UK.

LECTURE 1. Introduction, The ELECTRONIC FACTOR in Catalysis

Definitions, The electronic factor and its dominance in chemistry.  
The electronic factor and the geometric factor as historical concepts in catalysis.

The electronic factor as a local phenomenon: the importance of density of states at the Fermi level.

The importance of charge mobility in catalysis.

The electronic factor in alloy catalysis.

Lectures 2 and 3. The FISCHER-TROPSCH SYNTHESIS

The Fischer-Tropsch synthesis:-



The Fischer-Tropsch synthesis as a polymerisation process: variations in product selectivity and the Schultz-Flory alpha number, (1).

Suitable catalysts: iron, cobalt, nickel and ruthenium.

The mechanism of the reaction and the role of surface science in its elucidation:-

- 1) The molecular adsorption of carbon monoxide and hydrogen.
- 2) The dissociation of carbon monoxide: dissociation as an activated process, the role of promoters and poisons, (briefly).
- 3) The polymerisation steps, the mechanism of McCandlish, (2) and the possible role of metallocycles. A challenge for surface science.
- 4) Reaction rates on real and model catalysts: methanation studies of Goodman et al, (3).
- 5) Alcohol synthesis: CO insertion as a chain terminator.

pressure ammonia synthesis by potassium and water, respectively. Quantitatively for the observed promotion and poisoning of the high temperatures is to stabilize or destabilize the adsorbing molecules can account picture of poisoning and promotion whereby the effect of surface impurities on overall reaction are discussed. We also show that the microscopic changes of the reactor bed and the origin of the activation enthalpy of pressure of the working catalyst. Variations in surface coverages down to 1% can be bridged. Further, the present description gives a detailed analysis of the pressure gap, between surface science and heterogeneous catalysis conditions (1-300 atm, 375-500°C). This provides a direct verification kinetics for an industrial catalyst over a very broad range of conditions on single-crystal surfaces is able to describe the observed amounts, intermediates, and product on individual surface sites. The kinetic expression with parameters taken from ultra-high vacuum for the reaction over a few based catalysts. The description is based on a statistical mechanical treatment of the competitive adsorption of the reactants, intermediates, and product on different crystal surfaces. The reaction over a few based catalysts. The description is based on a statistical mechanical treatment of the competitive adsorption of the reactants, intermediates, and product on different crystal surfaces. The reaction over a few based catalysts. The description is based on a statistical mechanical treatment of the competitive adsorption of the reactants, intermediates, and product on different crystal surfaces. The reaction over a few based catalysts. The description is based on a statistical mechanical treatment of the competitive adsorption of the reactants, intermediates, and product on different crystal surfaces.

## A DESCRIPTION OF THE HIGH-PRESSURE AMMONIA SYNTHESIS REACTION BASED ON SURFACE SCIENCE

The Fischer-Tropsch synthesis under industrial conditions:-

- 1) The role of oxides and other bulk phases in catalyst deactivation.
- 2) The role of carbide and other bulk phases in catalyst deactivation.

and

DK-2800 Lyngby, Denmark

P. Stoltze

Haldor Topsøe Research Laboratories

NORDITA

DK-2100 Copenhagen Ø, Denmark

## ABSTRACT

- 2) L. E. Mcandliss, J. Catalysts, 83, (1983) 362.
- 3) see e. g. D. W. Goodman, Acc. Chem. Res. 17, (1984) 194.

## REFERENCES

- 1) M. E. Dry, in "CATALYSIS, Science and Technology", Volume 1, Ed. J. R. Anderson and M. Boudart, Pub. Springer-Verlag, Berlin, 1981.

J.K. Nørskov  
Haldor Topsøe Research Laboratories

and

DK-2800 Lyngby, Denmark

NORDITA

DK-2100 Copenhagen Ø, Denmark

## ABSTRACT

- 2) L. E. Mcandliss, J. Catalysts, 83, (1983) 362.
- 3) see e. g. D. W. Goodman, Acc. Chem. Res. 17, (1984) 194.

## SURFACE ANALYTICAL TECHNIQUES I

### I. Introduction to fundamentals of surface analysis

A. A brief review of interactions of photons and charged particles with the surface region of materials

B. Surface analytical techniques developed using:

1. Photons
2. Electrons
3. Atoms and molecules
4. Thermal and other means of excitation

C. Basic concepts of energy and momentum analyzers for charged particles and photons

D. Sample preparation

### II. Surface analytical techniques commonly used for the study of catalysis and related phenomena

A. Angular resolved photoelectron spectroscopy (ARPES)

1. Instrumentation
2. Application of ARPES for determining the surface and bulk valence band electronic structure
3. Polarization selection rules

B. Inverse photoemission spectroscopy (IPS), a complementary technique to ARPES

1. Basic concepts and instrumentation
2. Application to determination of unoccupied states

C. X-ray photoelectron spectroscopy (XPS)

1. Core level photoemission and chemical shifts
2. Auger transitions
3. Quantitative analysis

D. (Surface) Extended X-ray absorption fine structure ((S)EXAFS) and photoelectron diffraction (PD)

1. Basic concepts
2. Local order ( $< 6 \text{ \AA}$ )

E. Low energy electron spectroscopies ( $< 200 \text{ eV}$ )

1. Electron energy loss spectroscopy (EELS)

- a. Instrumentation
- b. Energy loss mechanisms and dipole selection rules
- c. Application to chemical analysis of adsorbate species

2. Low energy electron diffraction (LEED), and surface symmetry in reciprocal space

F. High energy electron spectroscopies ( $> 1000 \text{ eV}$ )

1. Auger electron spectroscopy (AES)

2. Scanning electron microprobe (SEM)

- a. Instrumentation
- b. Quantitative analysis
- c. Depth profiles
- d. Small spot size analysis

G. Secondary ion mass spectroscopy (SIMS), and elemental depth profile analysis

### III. Closing remarks

#### REFERENCES ON SURFACE ANALYTICAL TECHNIQUES

1. L.I.C., Feldman, J.W., Meyer, Fundamentals of Surface and Thin Film Analysis, North-Holland, New York (1986).

2. D. Brügel, M.P. Seah (Eds.), Practical Surface Analysis by Auger and X-Ray Photoelectron Spectroscopy, John Wiley & Sons (1983).

3. R. Vanassouw, W. Englund (Eds.), Chemistry and Physics of Solids Surfaces, Vol. I, III, CRC Press (1979, 1982).

4. D.A. King, D.P. Woodruff (Eds.), The Chemical Physics of Solid Surfaces and Heterogeneous Catalysis, Vols. 1, 2, 3, 4, Elsevier, Amsterdam (1981-1984).

5. E.W. Piumetti, W. Ebberhardt, Angle-Resolved Photoemission as a Tool for Study of Surfaces, In: I. Prigogine and S.A. Rice (Eds.), Advances in Chemical Physics, Wiley-Interscience, New York (1982), p. 533.

6. P.D. Johnson, S.L. Hubbert, R.F. Garrett, Mr. R. Howell, Normal Incidence Brattain Spectrometer Designed for Inverse Photoemission Studies in the Range 10-30 eV, Rev. Sci. Inst. 57 (1986), and references therein.

7. R. Goerner (Ed.), Interactions on Metal Surfaces, Springer-Verlag, Berlin (1975).

8. H. Böach, Electron Spectroscopy for Surface Analysis, Springer-Verlag, Berlin (1977).

9. H. Böach, D.L. Mills, Electron Energy Loss Spectroscopy and Surface Vibrations, Academic Press (1982).

10. O.C. Wetli, Scanning Electron Microscopy, McGraw-Hill, New York (1974).

III. Influence of the alkali metal additives on the coadsorption of other gases

- CO coadsorption as a model system

influence of the alkali metal on: the electron core and valence level binding energy (XPS, UPS, IPS, MDQS), C-O stretch frequency and vibrational properties (EELS, IRS), adsorption kinetics and adsorption energy (TDS), dissociation probability, molecular orientation and bond lengths (XPD, ESDIAD, NEXAFS, PARUPS), work function changes ( $\Delta\phi$ ).

- physical picture of the CO-alkali metal interaction

long range versus short range interaction, atomistic models e.g., charge transfer, hybridization, electrostatic interaction.

- special aspects on the coadsorption of other gases: NO, N<sub>2</sub>, O<sub>2</sub>, H<sub>2</sub>O, hydrocarbon.

- comparison of submonolayer and massive alkali metal layer influences on the surface reactivity.

IV. Influence of the alkali metal on surface reactions

UHV model experiments related to the Fischer/Tropsch and Ammonia Synthesis.

V. Outlook

Catalysis, and its relation to the surface chemistry of metals.

V.Ponec, Gorlaeus Laboratories

State University of Leiden  
P.O.Box 9502, 2300 RA Leiden  
The Netherlands

1st hour - Definitions - rate, catalyst, support, promoter;  
which reactions on metals are important in practice;  
characterization of clean metal surfaces (remarks on)  
i) powders, ii) flat surfaces

Characterization of catalytic intermediates

i) physical methods (just a list ...) and their pitfalls  
(example - a study on hydrocarbons by IR)

ii) indirect use of physical methods  
(example - ethylene oxidation)

iii) chemical methods (just a list )

2nd hour Characterization of catalytic intermediates by chemical methods  
Isotopes: <sup>13</sup>C labelling and D<sub>2</sub>-exchange reactions of hydrocarbons  
Archetype molecules: neohexane, methylcyclopentane, substituted pentanes - for a use in hydrogenolysis, isomerization and dehydrocyclization reactions.

Transient state techniques

Pulse techniques

Displacement reactions

## K. Christmann:

### Hydrogenation and Hydrogenotysis: Model Studies

#### 1. Introduction

- Definition of hydrogenation/dehydrogenation and hydro-

- genolysis reactions.

- Some important hydrogenation/dehydrogenation and hy-

- drolysis reactions in practical chemistry

(hydrocracking, metathanation, hydrogenation of oils,

(activity, selectivity, deactivation, regeneration

of catalyst materials).

- The concept of heterogeneous catalysts

(activity, selectivity, deactivation, regeneration

(catalyst materials).

- Brief description of spectroscopic methods to monitor

hydrogenation and hydrogentysis reactions and to de-

termine intermediate species (LTS, EELD, UPS, TDS,

LEED, EELS).

2. The adsorption of hydrogen as an elementary step

- Sticking and dissociation of H<sub>2</sub>, binding energies,
- Electronic states, geometrical requirements,
- Long-range order effects (lateral interactions).
- Some selected typical results for transition metal

surfaces.

3. The coadsorption of hydrogen and other molecules on

#### Singlet crystal surfaces

- General principles of coadsorption
- Competitive, cooperative adsorption
- Hydrogen and carbon monoxide.
- Hydrogen and hydrocarbons.
- Hydrogen and nitrogen.

- Hydrogen and nitrogen.

LECTURES FOR TRIESTE : DAVID KING, LIVERPOOL.

Lecture 1 :  
Classification of Chemisorption structures at metal surfaces:

In this lecture, I plan to talk about the electronic and crystallographic structure, first of all of Clean Single Crystal Metal Surfaces, and secondly, of adsorbate structures on these surfaces. The classification would be in terms of chequerboard structures, in which substrate atoms do not change their symmetry; displacive structures, in which substrate atoms are displaced by a small amount compared with the unit cell dimensions, induced by chemisorption; and reconstructed structures, in which there is a gross reconstruction of the substrate induced by adsorption. There will be some discussion on order/disorder phenomena within these three categories.

Lecture 2 :  
Kinetic and Dynamic Processes at Metal Surfaces:

In this lecture, I plan to present an analysis of the current understanding of Adsorption, desorption and diffusion kinetics for chemisorbed species on metal surfaces. An attempt will be made to relate these processes to their surface structure within the chemisorbed overlayer.

Lecture 3 :

A continuation of lecture 2, developing the lecture to include a discussion of the influence of surface crystallography on kinetic processes; and developing the discussions to include recent work from reaction dynamics, including state-selected molecular beam studies. There will be a few examples chosen of catalytic processes at metal single crystal surfaces to illustrate the importance of surface crystallography in relation to catalysis involving simple molecules.

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DAK/JE  
12th January 1987.

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CHARACTERIZATION OF SUPPORTED CATALYSTS BY SOLID STATE NMR

Gary L. Haller  
Department of Chemical Engineering  
Yale University  
New Haven, CT 06520 USA

I. Introduction: Some relevant spectroscopic considerations

Transient techniques, relaxation processes, dipolar interaction, chemical shift anisotropy, quadrupolar interaction, magic angle spinning, cross-polarization. 'Transient Techniques in NMR of Solids', by G. C. Gerstein and C. R. Dybowski.

II. Solid-state NMR of zeolites.

$^{29}\text{Si}$  and  $^{27}\text{Al}$  NMR, precursors in zeolite synthesis, composition of the aluminosilicate framework, Si-Al ordering, interaction with adsorbed species. J. M. Thomas and J. Klinowski, *Adv. Catal.*, **33**, 199 (1985).

III. Structure of aluminas. C. S. John et al., *Applied Catal.*, **§**, 341 (1983).

IV. Characterization of silica-alumina gels.

Homogeneity of Si-Al interaction in cracking catalysts, effect of silica on alumina porosity and acidity.

V. Surface phases of Ni-Mo/ $\text{Al}_2\text{O}_3$  hydrodesulfurization catalysts.

$^{95}\text{Mo}$ ,  $^{27}\text{Al}$  and  $^{31}\text{P}$  NMR, deactivating surface phases. M. McMillan et al. *J. Catal.*, **97**, 243 (1986).

VI. CO and ethylene adsorption on supported metal particles.

$^{199}\text{Pt}$  and  $^{13}\text{C}$  NMR, bonding of CO to group VIII metals, structure of adsorbed  $\text{C}_2$  hydrocarbons, observation of chemical reaction and reaction products. P.-K. Wang et al., *Science*, **234**, 35 (1986).

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Fourier transform infrared spectroscopy of adsorbates, M.A. Chesters

4 May 1987 - 9 May 1987 - Trieste

Fourier transform infrared spectroscopy of adsorbates, M.A. Chesters

2. infrared Spectroscopy  
excitation mechanism  
selection rules  
sensitivity - of routine analytical instruments  
- limitations on

2. Infrared Spectroscopy  
excitation mechanism  
selection rules  
sensitivity - of routine analytical instrument  
limitations on

1. Molecular Vibrational Spectroscopy
2. Simple harmonic oscillator models of vibration
3. Characteristic group frequencies

1. Molecular Vibratory Spectroscopy  
simple harmonic oscillator  
normal modes of vibration  
characteristic group frequencies

2. infrared Spectroscopy  
excitation mechanism  
selection rules  
sensitivity - of routine analytical instruments  
- limitations on

2. Infrared Spectroscopy  
excitation mechanism  
selection rules  
sensitivity - of routine analytical instrument  
limitations on

3. Basic principles of FIR spectroscopy  
general advantages over dispersive spectroscopy  
particular advantages for surface studies

3. Basic principles of FIR spectroscopy  
general advantages over dispersive spectroscopy  
particular advantages important for surface studies

4. History of application of infrared spectroscopy to heterogeneous catalysts and surface studies.

4. History of application of infrared spectroscopy to heterogeneous catalysts and surface studies.

5. Reflection-absorption infrared spectroscopy  
sample size and sensitivity comparison with EELs

5. Reflection-absorption infrared spectroscopy  
metals surface selection rule  
sample size and sensitivity  
comparison with EELs

6. Examples of applications of infrared spectroscopy

Ethylene on platinum surfaces - 20 years of vibrational spectroscopy

Methane - methanol decomp. at 1111

6. Examples of applications of infrared spectroscopy

ethylene on platinum surfaces - 20 years of vibrational spectra

metallocenes - mathematical description of C(111)

5. Reflection-absorption infrared spectroscopy  
metal surface selection rule  
sample size and sensitivity  
comparison with EELS

5. Reflection-absorption infrared spectroscopy  
metals surface selection rule  
sample size and sensitivity  
comparison with EEL's

7. Future developments  
improvements in sensitivity  
improvements in spectral range  
application of synchrotron radiation sources

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application of synchrotron radiation sources

DYNAMICS OF CHEMISORPTION AND SURFACE REACTION: NON-EQUILIBRIUM ENERGY DISTRIBUTION IN REACTANTS AND PRODUCTS

Unit 10: Food Safety

Gary L. Haller  
Department of Chemical Engineering  
Yale University  
New Haven, CT 06520 USA

III. Effects of different kinds of energy on reactivity of  
aqueous, ionic, and solid phases, interrelationships,  
and applications.

II. CO oxidation on Pt and Pd  
Chem. Phys., **84**, 6488 (1986);  
Chem. Lett., **54**, 2716 (1985); S. L. Tang et al., J.  
Phys. Chem., **90**, 2593 (1986); K. Matsumoto et al., Chem.



### II. CO oxidation on Pt and Pd

- Pulsed molecular beams, time resolved intrased emmission, comparison of CO oxidation on Pt and Pd, D. A. Mantell et al., Chem. Phys. Lett. 102, 37 (1983).

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**TITLE: THE EFFECT OF ELECTRONEGATIVE ADATOMS ON THE CHEMISORPTIVE  
AND CATALYTIC BEHAVIOR OF METALS**

M.Kiskinova

**I. INTRODUCTION**

Reasons for deactivation of heterogeneous catalysts and the relevance of the surface science studies to the fundamental understanding of the mechanism involved in the poisoning of metal surfaces.

**II. ADSORPTION OF ELECTRONEGATIVE ELEMENTS (S, Cl, P, C, O, N, Se)  
ON SINGLE METAL SURFACES**

Structures, coverages, segregation and bulk diffusion, charge transfer, bond lengths, binding energies and nature of the adatom-surface bonding as measured by means of LEED, AES, ESCA, TPD, work function.

**III. EFFECT OF THE ELECTRONEGATIVE ADATOMS ON THE CHEMISORPTIVE PROPERTIES OF THE METAL SURFACES TOWARDS CO, H<sub>2</sub>, NO.**

Comparison between the strength of the effect and the nature of the poison.

**IV. EFFECT OF ELECTRONEGATIVE ADATOMS ON THE SURFACE REACTIONS  
ON METAL SURFACES AND CORRELATION BETWEEN THE KINETIC DATA AND THE  
CHEMISORPTIVE PROPERTIES.**

Examples : CO + H<sub>2</sub> on Ni(100)

CO + O<sub>2</sub> on Pt(110)

CO + NO on Pt(100)

**V. POSSIBLE MECHANISMS OF THE POISONING EFFECT**

Geometric, electronic (long-range and short range) effects.

Influence of the local geometry, atomic size and Pauling electronegativity factors.

**Time-Resolved Electron Energy Loss Spectroscopy**

**I. Introduction to high resolution electron energy loss spectroscopy [1]**

a) Overview and history

- HREELS in relation to other electron spectroscopies
- basic principles of HREEL spectrometers [2]
- overview of various HREEL spectrometers from a historical perspective
- design limitations of electron monochromators, intensity vs. energy spread

b) Recent developments

- dispersion compensation, concept and implementation [3]
- dispersive detection [4]
- performance of TREEL spectrometers [5]
- time-resolved vs. low signal experiments
- the future? (new electron sources)

**II. Techniques used to study surface dynamics**

a) Overview [6]

b) Established techniques

- temperature programmed desorption (HCOOH/Cu(110) [7])
- molecular beam scattering [8] (NO/Pt(111))

c) Other new time-resolved techniques

- FTIR [9]
- Auger, UPS, XPS, laser induced desorption, helium scattering
- pico- and femto-second studies

**III. TREELS results**

a) CO/Cu(100) [10]

- adsorption kinetics (mobile precursor vs. Langmuir)
- calibration of HREELS intensities
- desorption kinetics
- combined TREELS/pulsed molecular beam measurements
- activation energies and pre-exponential factors (TST)

b) CO/Ni(111) [11]

c) CH<sub>3</sub>OH/Ni(110) [12]

- combined TREELS/TPD measurements

NO/Rh(100) [13]

d) post-deadline results!?

**References**

[1] For an overview of HREELS see:

- H. Ibach and D.L. Mills, "Electron Energy Loss Spectroscopy and Surface Vibrations", Academic Press (1982)
- W.H. Weinberg in "Methods of Experimental Physics", Vol.22, R.L. Park and M.G. Legally, eds., Academic Press (1985)

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Analyses", H.Ibach, ed., Springer-Verlag (1977)
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