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INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
34100 TRIESTE (ITALY) - P.O. B. 589 - MIRAMARE - STRADA COSTIERA 11 - TELEPHONE: 0462-81
CABLE: CENTRATOM - TELEX 400892-1

SMB/208 - 36

SPRING COLLEGE IN MATERIALS SCIENCE

ON

"METALLIC MATERIALS"

(11 May - 19 June 1987)

DISLOCATION DYNAMICS

C.E. BOTTANI
Istituto di Ingegneria Nucleare
Centro Studi Nucleare "Enrico Fermi" - CESNEF
Via Ponsio, 34/3
20133 Milano
Italy

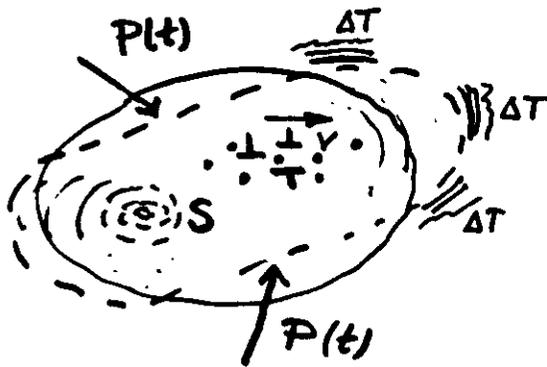
These are preliminary lecture notes, intended only for distribution to participants.

Dislocation Dynamics :

(Some topics of a dislocation mean-field theory of plastic deformation and acoustic emission.)

by C.E. Bottani - Politecnico di Milano

GOAL



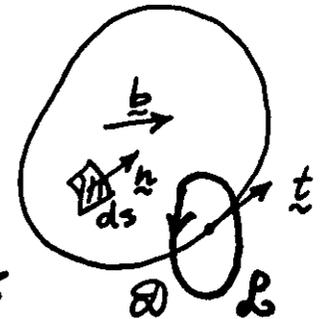
INPUT : EXTERNAL LOADS, ...

RESPONSE : plastic deformation
radiation of acoustic waves (AE)
 temperature variations (TE)
 ...

MODEL : Dislocation motion, Dislocation-Dislocation and Dislocation-Defects interactions, Dislocation-Elastic field interactions (static and dynamic) in a "crystal".

Burgers circuit

$$\oint_L du_i^e = \oint_L \frac{\partial u_i^e}{\partial x_j} dx_j = -b_i$$



Elastic distortion tensor

$$w_{ji}^e = \left(\frac{\partial u_i^e}{\partial x_j} \right)$$

$$\oint_L w_{ji}^e dx_j = -b_i$$

Elastic strain tensor

$$\epsilon_{ij}^e \cong \frac{1}{2} \left(\underbrace{\frac{\partial u_i^e}{\partial x_j} + \frac{\partial u_j^e}{\partial x_i}}_{\text{only for discrete loops}} \right) = \frac{1}{2} \left(\underbrace{w_{ji}^e + w_{ij}^e}_{\text{general}} \right)$$

meaningless on D-lines !!!

$$\underline{\underline{W}}^e = \text{grad } \underline{u}^e \quad (\text{not general})$$

$$\underline{\underline{\epsilon}}^e = \text{Sym } \underline{\underline{W}}^e \quad (\text{general for small strains})$$

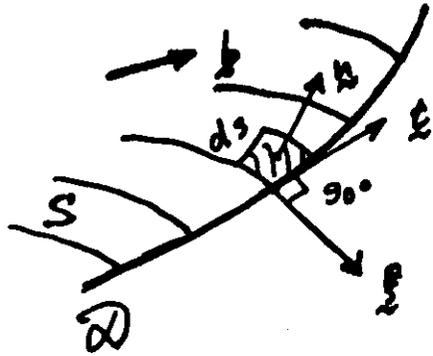
Differential form of the Burgers definition

- Stokes theorem for tensors

$$-b_k = \oint_{\partial} w_{ik}^e dx_i = \iint_S \epsilon_{ilm} \frac{\partial w_{mk}^e}{\partial x_l} n_i dS$$

ϵ_{ilm} : Ricci tensor $\begin{cases} +1 & \text{even perm. (123)} \\ -1 & \text{odd perm. (132)} \\ 0 & \text{in all other cases} \end{cases}$

$$b_k = \iint_S t_i b_k \delta(\underline{\xi}) n_i dS$$



$$\epsilon_{ilm} \frac{\partial w_{mk}^e}{\partial x_l} = -t_i b_k \delta(\underline{\xi})$$

$$\text{rot } \underline{w}^e = -\underline{t} b \delta(\underline{\xi})$$

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The theory of continuously distributed dislocations is the natural theoretical frame to start a quantitative description of yield and plastic flow in terms of a non-linear field theory, playing the role of Navier-Stokes eqs. in fluid dynamics.

Crystal dislocations in the physics of continua



\underline{u}^e ambiguous

∂ singular line

$$\oint_{\partial} d\underline{u}^e = -\underline{b}$$

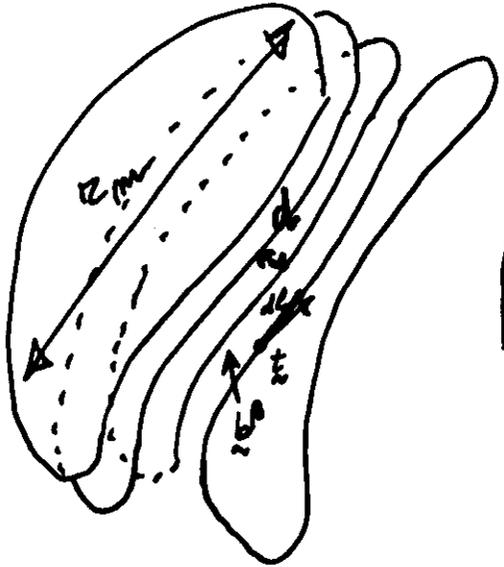
\underline{u}^e single valued

∂ boundary of a singular surface \underline{S}

$$(\underline{u}^{e+} - \underline{u}^{e-})_{\partial \underline{S}} = \underline{b}$$

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A "coarse-grained" treatment is necessary -

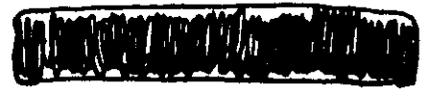
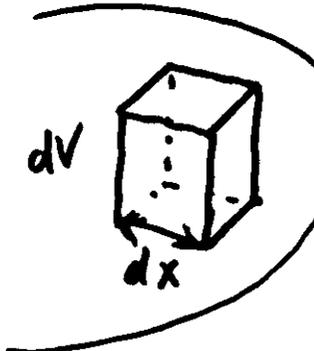


$$r_m \gg \bar{d}$$

$$\bar{d} \ll dx \ll r_m$$

The "segment" core

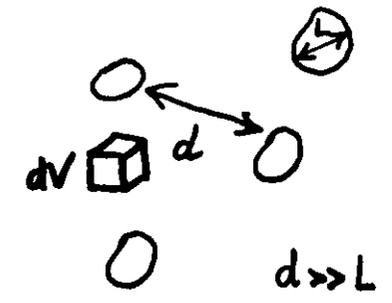
$$d(\underline{t}, \underline{b}^A)$$



$$dx \gg r_m, \bar{d}$$



The "loop" core

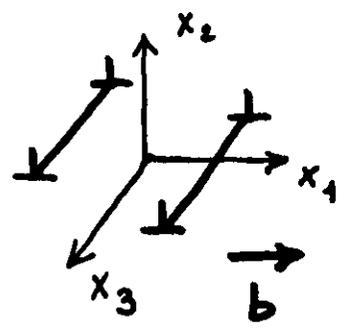


$$b_k^{(s)} = \iint_S \alpha_{ik} n_i dS$$

$$\frac{db_k}{dS_S} = \alpha_{ik} n_i$$

$$(n_i \equiv \cos \hat{n} x_i)$$

The dislocation density tensor $\underline{\alpha}$



$$\alpha_{31}$$

$$\begin{cases} \underline{n} \equiv \underline{u}_3 = -\underline{t} \\ \underline{b} = b \underline{u}_1 \end{cases}$$

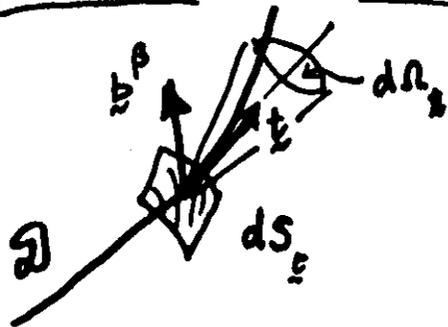
$$\epsilon_{ilm} \frac{\partial W_{mk}^e}{\partial x_l} = -\alpha_{ik}$$

$$\dot{\epsilon}_{ik}^e = \frac{1}{2} (W_{ik}^e + W_{ki}^e)$$

✓ The material is densely filled by singular lines so that no elastic displacement vector can be defined:

$$\text{if } W_{ik}^e = \frac{\partial u_{ik}^e}{\partial x_i} \Rightarrow \alpha_{ik} \equiv 0!$$

$$\alpha_{ik} = \sum_{\rho} \int d\Omega_{\rho} t_i b_{\kappa}^{\rho} \rho^{\rho}(\underline{x}; \underline{z})$$



$$\frac{dN^{\rho}(\underline{x})}{dS_i} = \rho^{\rho}(\underline{x}; \underline{z}) dS_i$$

$$\rho = \sum_{\rho} \int d\Omega_{\rho} \rho^{\rho}(\underline{x}; \underline{z})$$

!: ρ is not directly connected with the strains !!!

because $\text{rot } \underline{w}^e = -\underline{\alpha} \Rightarrow \text{div } \underline{\alpha} = 0$

$$\frac{\partial \alpha_{ik}}{\partial x_i} = 0$$

$$\underline{v} \equiv \frac{\partial \underline{k}^T}{\partial t}$$

velocity of the total geometrical displacement

$$\underline{k}^T = \underline{z}(\underline{B}) - \underline{R}$$

If dislocations are in motion

$$\frac{\partial v_i}{\partial x_k} = \frac{\partial W_{ki}^e}{\partial t} + \frac{\partial W_{ki}^p}{\partial t}$$

$$J_{ik} \equiv -\frac{\partial W_{ki}^p}{\partial t}$$

$$\dot{\epsilon}_{ik}^p = -\frac{1}{2} (J_{ik} + J_{ki})$$

Dislocation flux density tensor \underline{J}
(neglecting disl.-disl. reactions)

$$J_{ik} = \epsilon_{ilm} \sum_{\rho} \int d\Omega_{\rho} t_i b_{\kappa}^{\rho} v_m^{\rho}(\underline{x}; \underline{z}, t) \rho^{\rho}(\underline{x}; \underline{z}, t)$$

$$\dot{\gamma}^p = \bar{m} \rho b v$$

Orowan equation



$$\frac{\partial \alpha_{ik}}{\partial t} + \epsilon_{ilm} \frac{\partial J_{mk}}{\partial x_l} = 0$$

$$\frac{\partial \underline{J}}{\partial t} + \text{rot } \underline{J} = 0$$

"Conservation of Burgers Vector"

$$\epsilon_{ipq} \epsilon_{qlm} = \delta_{il} \delta_{pm} - \delta_{im} \delta_{pl}$$

$$\sum_p \int d\Omega_s \left\{ t_i b_k^p \frac{\partial p^p}{\partial t} + t_i b_k^p \text{div}(p^p \underline{V}^p) - b_k^p t_i \cdot \text{grad}(p^p \underline{V}_i^p) \right\} = 0$$

$$\frac{\partial p}{\partial t} + \text{div}(p \underline{V}) = 0 \quad \text{"peculiar" flux term}$$

The above equations can be generalized to allow for dislocation reactions and to find a precise relation between dislocation sources and plastic strains.

Kosevich's theory can be easily improved taking into account dislocation production and an exact relationship between dislocation sources and plastic strains (C.E. Botani, proceedings of 1986 Varenna school on nonlinear eqn. phase transitions) (XCIX)

$$\begin{cases} \frac{\partial v_i}{\partial x_k} = \frac{\partial w_{ik}^d}{\partial t} - J_{ik} \approx S_{ik} & [a] \\ P_{ik} = \sum_p \int d\Omega_s P^p(\underline{x}; \underline{z}, t) t_i b_k^p \\ P^p = \left(\frac{d\Omega^p}{dt} \right)_{s=1} \end{cases}$$

$$-P_{ik} = \epsilon_{ilm} \frac{\partial S_{mk}}{\partial x_l} \leftrightarrow -\underline{P} = \text{rot } \underline{S}$$

take the curl of [a] and use

$$\text{rot } \underline{w}^{(d)} = -\underline{\alpha}$$

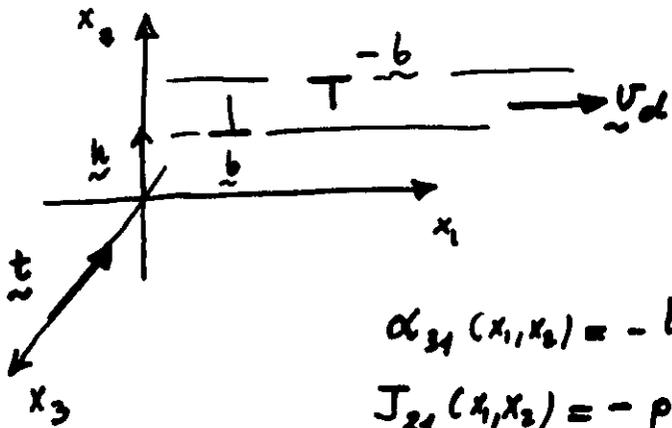
Burgers vector Balance

$$\frac{\partial \underline{J}}{\partial t} + \text{rot } \underline{J} = \underline{P}$$

$$\underline{P} = -\text{rot } \underline{S}$$

$$\text{DIV } \underline{P} = 0 \quad \text{N.B.}$$

Plane strain in an isotropic solid



$$\alpha_{21}(x_1, x_2) = -b\rho(x_1, x_2)$$

$$J_{21}(x_1, x_2) = -\rho b v_d(x_1, x_2)$$

$$P_{31}(x_1, x_2) = P_3(x_1, x_2, \tau, T_0)$$

$$\frac{\partial \alpha_{21}}{\partial t} + \frac{\partial j_{21}}{\partial x_1} = P_{31}$$

$$\dot{\epsilon}_{ij} = \text{sym}(w_{ij}')$$

$$\frac{\partial \rho}{\partial t} + \frac{\partial}{\partial x_1}(\rho v_d) = P_3$$

$$\frac{\partial w_{21}^{pl}}{\partial t} = -j_{21} + S_{21} = \rho b v_d + S_{21}$$

$$\frac{\partial w_{11}^{pl}}{\partial t} = S_{11}$$

Orowan

$$\frac{\partial S_{21}}{\partial x_1} - \frac{\partial S_{11}}{\partial x_2} = P_{31}$$

$$\dot{\epsilon}_{21}^{pl} = \rho b v_d$$

$$\frac{\partial^2 w_{21}^{pl}}{\partial t \partial x_1} - \frac{\partial^2 w_{11}^{pl}}{\partial t \partial x_2} = P_{31} + \frac{\partial}{\partial x_1}(\rho b v_d)$$

$$\sigma_{ik} = 2\mu w_{ik}^e + \lambda \delta_{ik} w_{kk}^e$$

Hooke Law

$$\rho_m \frac{dv_i}{dt} = \frac{\partial \sigma_{ik}}{\partial x_k}$$

Linear momentum balance

We have a closed system of equations provided α and J are known!

Equation of motion of continuously distributed dislocations described by $\rho^p(\underline{x}; \underline{x}, t)$.

$$\epsilon_{ilm} t_l b_k^p \sigma_{mk} - B^p(\underline{x}) v_i^p(\underline{x}; \underline{x}, t) - F_i^p(\underline{x}) = 0$$

$$\sigma_{mk} = \sigma_{mk}^e + \sigma_{mk}^{dd} \{ \alpha, J \} + O\left(\left(\frac{v_d}{v_s}\right)^2\right)$$

dislocation inertia is negligible

$$\sigma^{dd}(x) = \frac{-\rho b^2}{\pi(1-\nu)} \int \frac{\rho(x') dx'}{x' - x}$$

Basic Equations

$$\left\{ \begin{array}{l} \text{rot } \hat{W}^{el} = -\hat{\alpha} \\ \hat{\sigma} = \hat{C} \hat{W}^{el} \quad (\text{Hooke law}) \\ \rho_m \frac{d\underline{v}}{dt} = \text{Div } \hat{\sigma} \\ \text{grad } \underline{v} = \frac{\partial \hat{W}^{el}}{\partial t} + \frac{\partial \hat{W}^{pl}}{\partial t} \end{array} \right.$$

$$\left\{ \begin{array}{l} \text{Div } \hat{\alpha} = 0 \\ \frac{\partial \hat{\alpha}}{\partial t} - \text{rot} \left(\frac{\partial \hat{W}^{pl}}{\partial t} \right) = 0 \quad \text{compatibility conditions} \end{array} \right.$$

$$\frac{\partial \hat{W}^{pl}}{\partial t} = \underbrace{-\hat{J}}_{\substack{\text{flux} \\ \text{strain} \\ \text{rates}}} - \underbrace{\hat{S}}_{\substack{\text{sources and sinks} \\ \text{strain rates}}} = -\hat{R}$$

$$\text{rot } \hat{S} = -\hat{P} \quad ; \quad \text{Div } \hat{P} = 0$$

net dislocation ($\frac{P}{b}$)
production rates

$$\text{rot} [\hat{W}^{pl}(\underline{z}, t) + \hat{W}^{pl}(\underline{z}, 0)] = \hat{\alpha}(\underline{z}, t) + \hat{\alpha}(\underline{z}, 0)$$

\hat{W}^{pl} is not a state function

$$\frac{dv_i}{dt} = \frac{\partial v_i}{\partial t} + v_k \frac{\partial v_i}{\partial x_k} \approx \frac{\partial v_i}{\partial t} - v_k R_{ki}$$

Linear theory

$$\frac{\partial \sigma_{ki}}{\partial x_k} = \rho_m \frac{\partial v_i}{\partial t} - \rho_m v_k (j_{ki} + s_{ki})$$

$j \propto \nabla d$
with these terms no electromagnetic analogy is possible

Small deformation, isotropic theory

$$\left\{ \begin{array}{l} \rho_m \frac{\partial v_i}{\partial t} = \mu \nabla_k (W_{ik}^{el} + W_{ki}^{el}) + \lambda \nabla_i W_{kk}^{el} \\ \epsilon_{ilm} \nabla_l W_{mk}^{el} = -\alpha_{ik} \\ \nabla_i v_k = \frac{\partial W_{ik}^{el}}{\partial t} - R_{ik} \end{array} \right.$$

for the moment

$$R_{ik} \equiv j_{ik}$$

flux only

The problem can be solved by
generalized potentials, $\varphi, \hat{A}, \hat{B}$

$$\begin{cases} W_{ik}^d = \nabla_i (\varphi_k + \chi_k) - \epsilon_{ilm} \nabla_l A_{mk} + \rho_m \frac{\partial B_{ik}}{\partial t} \\ v_i = \frac{\partial}{\partial t} (\varphi_i + \chi_i) + \mu \nabla_k B_{ki} \quad ; \quad \chi_i \equiv \epsilon_{ikl} A_{kl} \end{cases}$$

$$\rho_m \frac{\partial^2 \varphi}{\partial t^2} - \mu \nabla^2 \varphi - (\kappa + \lambda) \nabla \nabla \cdot \varphi = -2\mu \underline{D}$$

$$D_i = \epsilon_{ikl} \alpha_{kl} \\ (\underline{0} \text{ for screw dislocations})$$

$$\nabla^2 \hat{A} - c_t^2 \frac{\partial^2 \hat{A}}{\partial t^2} = - \frac{\hat{\alpha}(\underline{r}, t)}{\mu}$$

$$\nabla^2 \hat{B} - c_t^2 \frac{\partial^2 \hat{B}}{\partial t^2} = - \frac{\hat{j}(\underline{r}, t)}{\mu} \quad \left(c_t^2 \equiv \frac{\kappa}{\rho} \right)$$

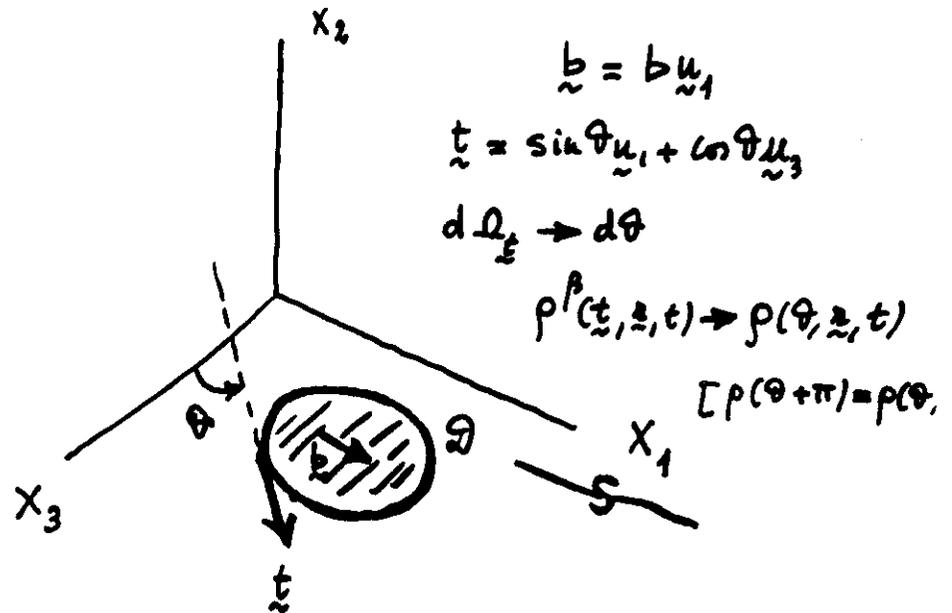
screw sound speed

with

$$\begin{cases} \text{Rot } \hat{B} + \frac{1}{\mu} \frac{\partial \hat{A}}{\partial t} = 0 \\ \text{Div } \hat{A} = 0 \end{cases}$$

$$\begin{cases} \hat{A}(\underline{r}, t) = \frac{1}{4\pi R} \int \frac{dv'}{R} \hat{D}(\underline{r}', t - \frac{R}{c_t}) \\ R = |\underline{r} - \underline{r}'| \end{cases}$$

A special case: planar loops



$$\alpha_{11} = \int_0^\pi d\theta \sin \theta b \rho(\theta, \underline{z}, t)$$

$$\alpha_{31} = \int_0^\pi d\theta \cos \theta b \rho(\theta, \underline{z}, t)$$

The simplest case is
 when $\rho(\theta, \underline{z}, t) = \rho(\underline{z}, t) \frac{1}{2} \Rightarrow \alpha_{31} \equiv 0$

the Screw case: $\underline{t} \equiv \underline{u}_1$

$$\begin{cases} \alpha_{11} = b \rho(x_2, x_3, t) \\ j_{21} = -b \rho \bar{V}_3 \\ j_{31} = -b \rho \bar{V}_2 \end{cases}$$

straight screw dislocation

$$\frac{\partial W_{31}^{el}}{\partial x_2} - \frac{\partial W_{21}^{el}}{\partial x_3} = -\alpha_{11}$$

$$\sigma_{31} = \mu W_{31}^{el}$$

$$\sigma_{21} = \mu W_{21}^{el}$$

$$\frac{\partial \sigma_{21}}{\partial x_2} + \frac{\partial \sigma_{31}}{\partial x_3} = \rho_m \frac{\partial v_1}{\partial t}$$

$$\frac{\partial W_{21}^{el}}{\partial t} - J_{21} - S_{21} = \frac{\partial v_1}{\partial x_2}$$

$$\frac{\partial W_{31}^{el}}{\partial t} - J_{31} - S_{31} = \frac{\partial v_1}{\partial x_3}$$

$$\frac{\partial S_{31}}{\partial x_2} - \frac{\partial S_{21}}{\partial x_3} = -\rho_u$$

$$\rho_u = b(\dot{\rho})_s$$

$$\frac{\partial \rho}{\partial t} = -\operatorname{div}(\rho \bar{V}) + (\dot{\rho})_s$$

$$\frac{\partial v_1}{\partial t^2} - c_t^2 \nabla^2 v_1 = c_t^2 \left\{ (\operatorname{div} \hat{S})_1 + (\operatorname{div} \hat{J})_1 \right\}$$

$$W_{21}^{el} = -\frac{\partial \varphi}{\partial x_3} + \frac{\partial B_{21}}{\partial t} \rho_m \quad \begin{cases} \varphi \\ \underline{B} = \begin{pmatrix} B_{21} \\ B_{31} \end{pmatrix} \end{cases}$$

$$W_{31}^{el} = +\frac{\partial \varphi}{\partial x_2} + \frac{\partial B_{31}}{\partial t} \rho_m$$

$$v_1 = \mu \operatorname{div} \underline{B} \quad (\operatorname{rot} \underline{B})_1 = -\frac{1}{\mu} \frac{\partial \varphi}{\partial t}$$

$$\begin{cases} \nabla^2 \varphi - c_t^{-2} \frac{\partial^2 \varphi}{\partial t^2} = -\alpha_{11} \\ \nabla^2 B_{ii} - c_t^{-2} \frac{\partial^2 B_{ii}}{\partial t^2} = -\frac{1}{\mu} (J_{i1} + S_{i1}) \quad (i=2,3) \end{cases}$$

if $\bar{V} \ll c_t$

$$\varphi(x_2, x_3, t) \approx \frac{1}{4\pi} \int \alpha_{11}(z') \frac{dV'}{R} + \frac{1}{4\pi} \left\{ \frac{1}{2c_t^2} \frac{\partial^2}{\partial t^2} \int \alpha_{ii}(z') R dV' \right\} + \dots$$

$$B_{i1} = \frac{1}{4\pi\mu} \int J_{i1}(z') \frac{dV'}{R} + \left(\text{analog with } \frac{1}{3} \right)$$

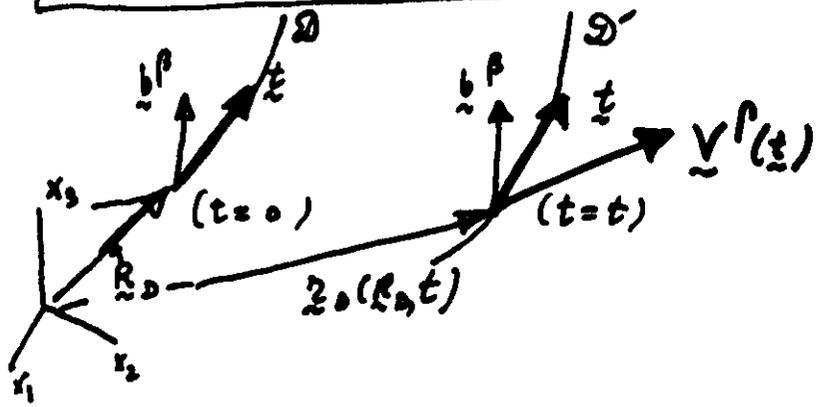
Lagrangian density

$$\mathcal{L} = \frac{1}{2} (\sigma_{ik} \epsilon_{ik} - \rho_m v^2) - \alpha_{ik}^0 \varphi_{ik} + J_{ik}^0 \psi_{ik}$$

($\underline{\alpha}^0 \Rightarrow \text{Rot } \underline{\alpha}^0 = \underline{d}^0, \underline{J}^0 = \text{Sym } \underline{J}^0$)

$$\begin{cases} \underline{\sigma} = \text{curl } \underline{\varphi} + \frac{\partial \underline{\psi}}{\partial t} \\ \underline{v} = \frac{1}{\rho_m} \text{div } \underline{\psi} \end{cases} \quad (\text{for a single segment,})$$

$$\epsilon_{ikl} t_k \sigma_{lp}(\underline{z}_0(R_0, t)) b_p^p + \rho_m (\underline{v} \cdot \underline{b}^p) (\underline{t} \times \underline{v})_i = 0$$



if $\bar{h} \ll dx \ll \lambda_{ef} \ll \bar{z}_{ent}$

Equation of motion of continuously distributed dislocations (in the "segment" case)

elastic	inelastic
$m_{ik}^*(\lambda) a_k = \epsilon_{ilm} t_l b_k^p \sigma_{mk}^T$	$- B^p(\underline{t}) V_i f(v_i)$

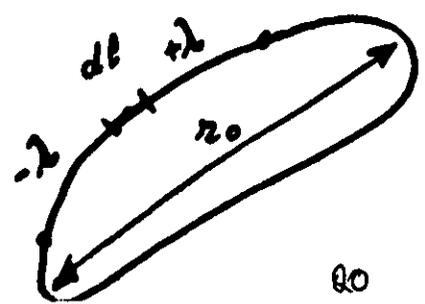
$$\sigma_{mk}^T = \sigma_{mk}^e + \sigma_{mk}^o + \sigma_{mk}^1 + \dots \mathcal{O}\left(\frac{v^2}{v_s^2}\right)$$

$$m_{ik}^*(\lambda) = \frac{\rho_m b^2}{4\pi} (\delta_{ik} - t_i t_k) (1 + \gamma^+ \sin^2 \theta) \ln\left(\frac{\lambda}{z_0}\right)$$

$$\sigma_{ik}^o = \frac{\mu}{4\pi} \int \gamma_{ikln}(\underline{z}) \alpha_{ln}(\underline{z}', t) \frac{dv'}{R^2} \quad \text{quasi-static}$$

$$(R \equiv \underline{z}' - \underline{z}, \underline{n} \equiv \frac{R}{|R|})$$

$$\sigma_{mk}^1 = \frac{\rho_m}{4\pi} \int \beta_{ikln}(\underline{z}) \frac{\partial J_{ln}}{\partial t} \frac{dv'}{R^3}$$



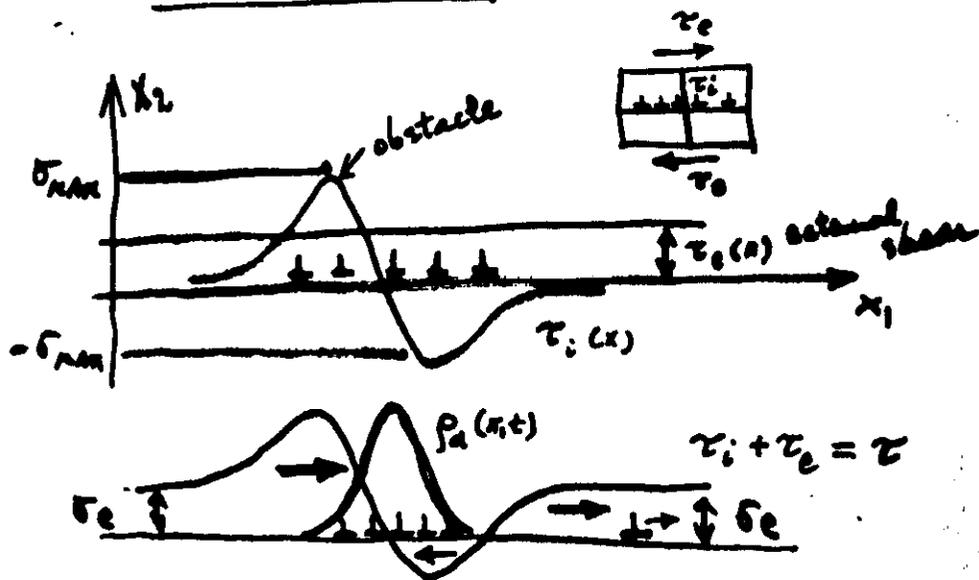
$$E_{self} \approx m^* v_s^2$$

Confinement - deconfinement transitions in a model of interacting dislocations.

(V. Balakrishnan, C.E. Bottani, Phys. Rev. B, ~~11~~ 33, 7 1986)

$$\alpha_{ij} = \begin{cases} \alpha_{21}(x_1, t) \delta(x_2) \\ \alpha_{33}(x_1, t) \delta(x_2) \end{cases}$$

Interaction of a system of dislocations with a finite internal barrier and an external shear.



→ force on a positive dislocation


 trapped dislocations
 due to repulsion → $\sigma_{critical} < |\sigma_{max}|$

Problems

- 1) can a compact distribution of dislocations be sustained by a stress barrier that is finite everywhere?
- 2) what is the effect of an applied shear on such a distribution?
- 3) when do bifurcations occur between different kinds of configurations?
- 4) are the found configurations stable?

Model

(isotropic elastic medium)

$$\left\{ \begin{aligned} m \frac{\partial v}{\partial t} + Bv &= b(\tau_e + \tau_i(x)) - \frac{\mu b^2}{2\pi(1-\nu)} \int_L \frac{\rho(x,t)}{x^2-x} dx \\ \frac{\partial p}{\partial t} + \frac{\partial}{\partial x}(pv) &= P[p, \tau_e, T_0] \quad (L \equiv [a_1, a_2]) \end{aligned} \right.$$

if m^* is negligible the problem is much easier. If P is zero it is solvable analytically for some specific forms of $\tau_i(x)$.

Time independent states

no flow : $v \equiv 0$

$$S(x) = \frac{1}{\pi} \int_{a_1}^{a_2} dx' (x'-x)^{-1} m(x')$$

$$S(x) = \bar{\sigma} - \frac{x}{x^2 + \epsilon^2}$$

$$\left\{ \begin{array}{l} \int_{a_1}^{a_2} dx h(x) = 2\pi (N_+ - N_-) \equiv 2\pi N \\ \int_{a_1}^{a_2} dx \frac{S(x)}{\sqrt{(a_2-x)(x-a_1)}} = 0 \end{array} \right. \quad N_+, N_- > 0$$

$$\lim_{\rho \rightarrow \infty} \bar{\sigma}(\rho) \approx \frac{1}{\rho}$$

Muskhelishvili's theorem

$$h(x) = \frac{1}{\pi} \sqrt{(a_2-x)(x-a_1)} \int_{a_1}^{a_2} \frac{S(x') dx'}{(x-x') \sqrt{(a_2-x')(x'-a_1)}}$$

$$\text{Define } \begin{cases} \theta_1 = \pi - \tan^{-1}\left(\frac{a_1}{\epsilon}\right) \\ \theta_2 = \tan^{-1}\left(\frac{a_2}{\epsilon}\right) \\ \varphi = \frac{1}{2}(\theta_1 - \theta_2) \end{cases}$$

$$h(x) = 2 \left[\frac{(a_2-x)(x-a_1)}{(a_1^2 + \epsilon^2)^{1/2} (a_2^2 + \epsilon^2)^{1/2}} \right]^{1/2} \left(\frac{\epsilon \cos \varphi - x \sin \varphi}{x^2 + \epsilon^2} \right)$$

over $L = (a_1 \leq x \leq a_2)$

$h(x) \equiv 0$ outside

$$\begin{cases} (\sin \theta_1 \cdot \sin \theta_2)^{1/2} \sin \varphi = \epsilon \bar{\sigma} \\ 1 - (\sin \theta_1 \cdot \sin \theta_2)^{1/2} \cos \varphi = \frac{1}{2} \bar{\sigma}(a_1 + a_2) = N \end{cases}$$

a) if $N = 0$ only one solution

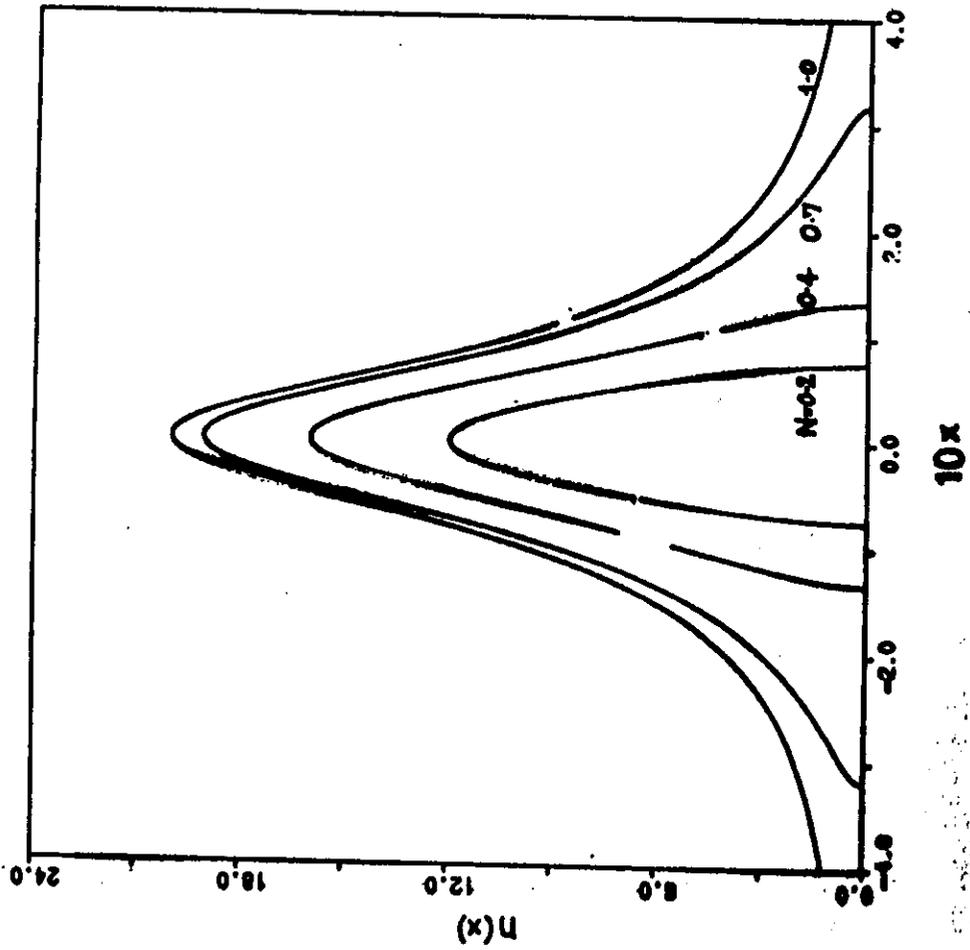
b) if $N > 0$ $\begin{cases} \nearrow N^+, N^- = 0 \\ \searrow N^+, N^- \neq 0 \text{ but } N^+ > N^- \end{cases}$

a) recombination $\bar{\sigma}_{cr} = \bar{\sigma}_{max}$

b) \rightarrow b.1 breakaway at $\bar{\sigma}_{cr} = \bar{\sigma}(N, \epsilon) < \bar{\sigma}_{max}$

\searrow b.2 recombination and then breakaway

Fig. 1



No external shear

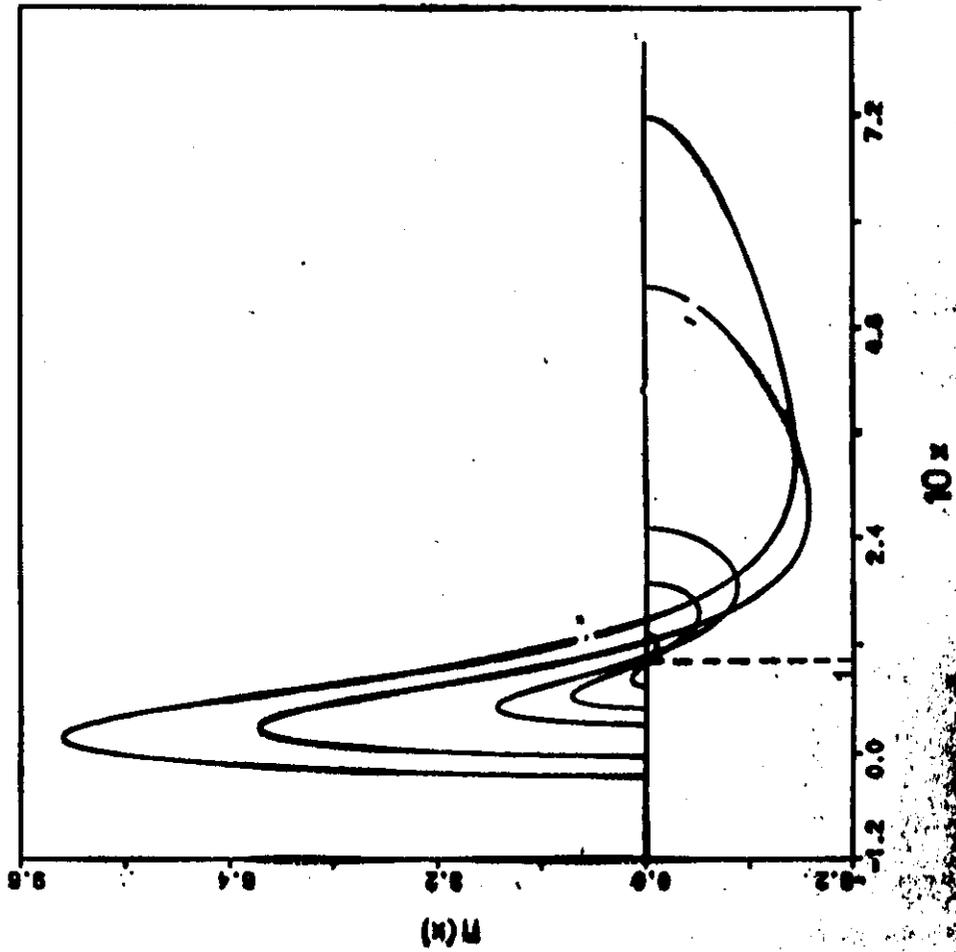
$$\bar{\sigma} = 0$$

The effect of increasing N .

When $N \rightarrow 1$

$$q_1, q_2 \rightarrow \pm \infty$$

Fig. 2



$$N^+ = N^- \rightarrow N = 0$$

The effect of increasing $\bar{\sigma}$



Fig. 3

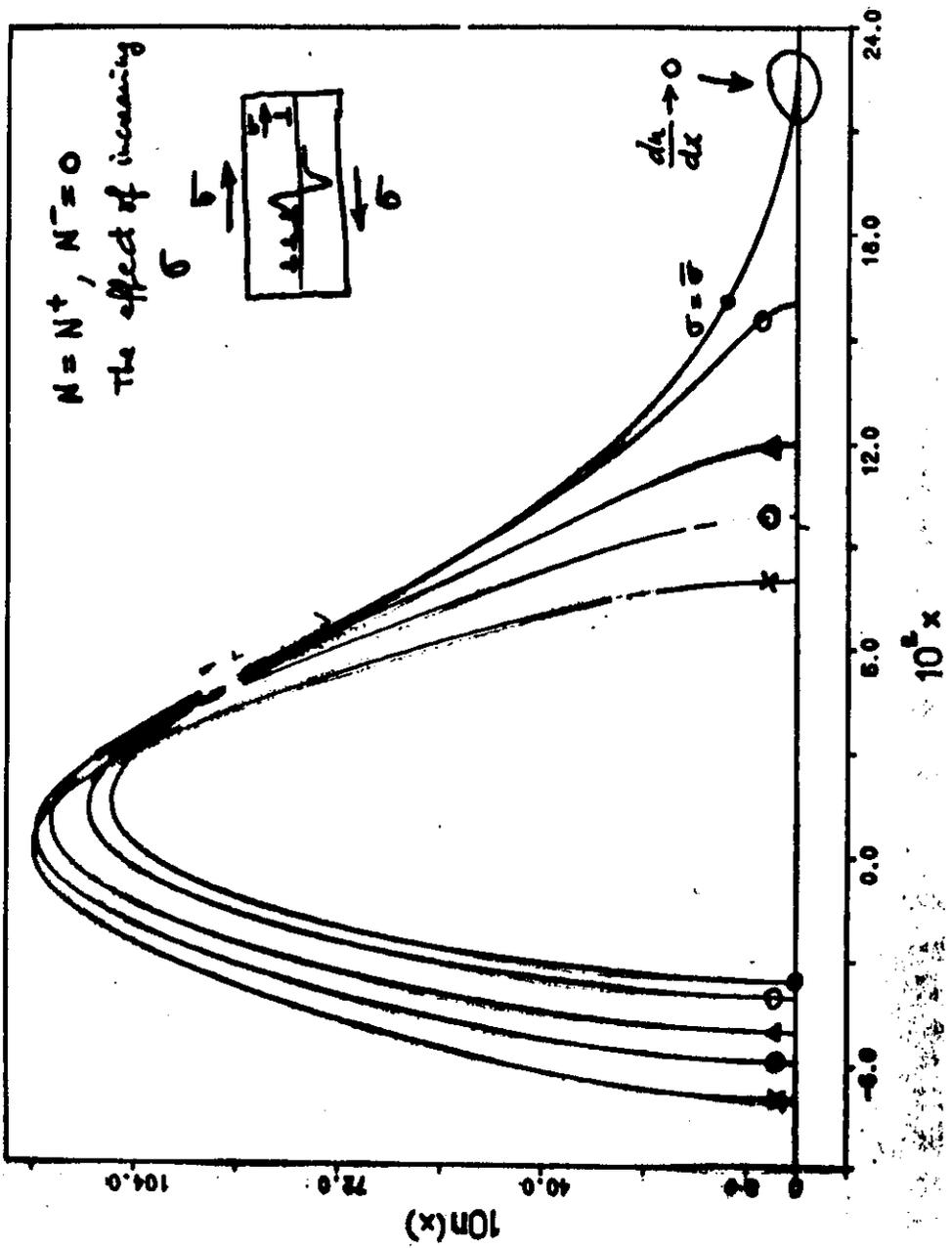


Fig. 4

The "phase" diagram

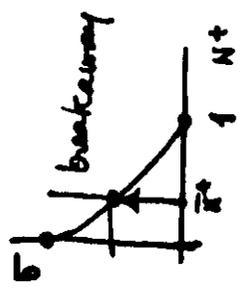
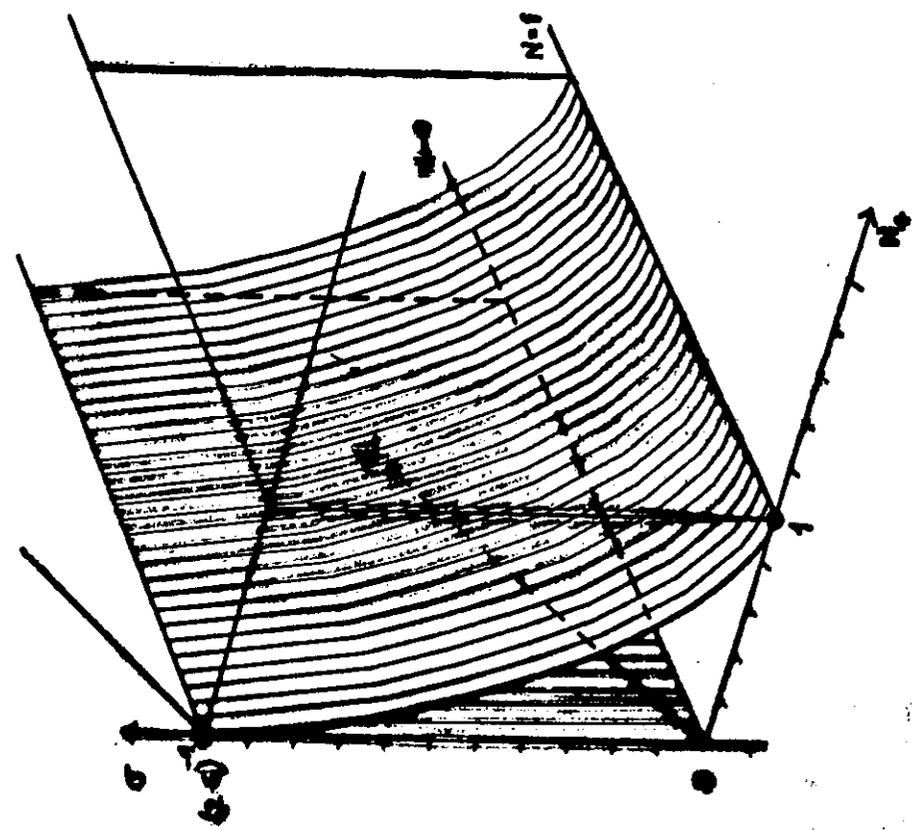


fig. 9

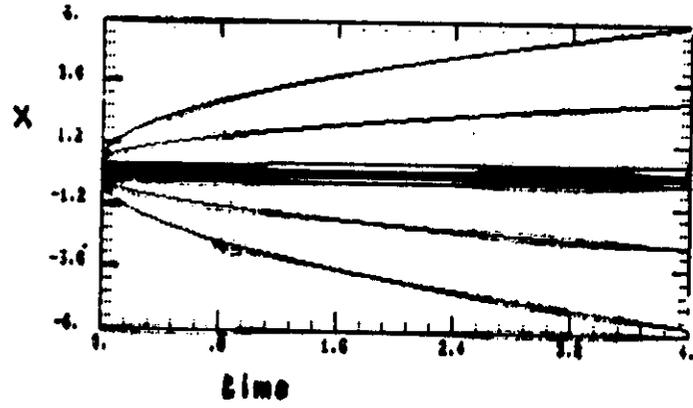
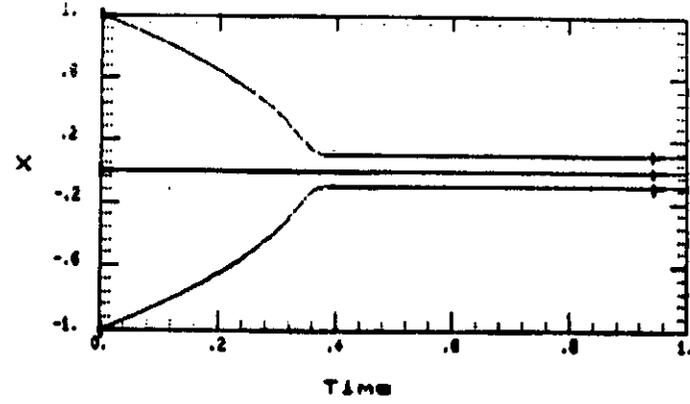
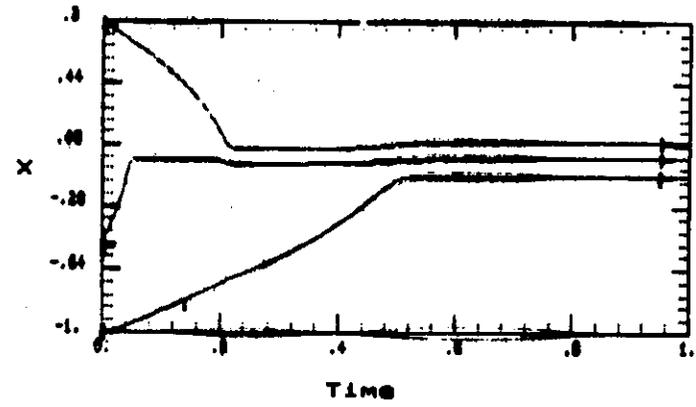


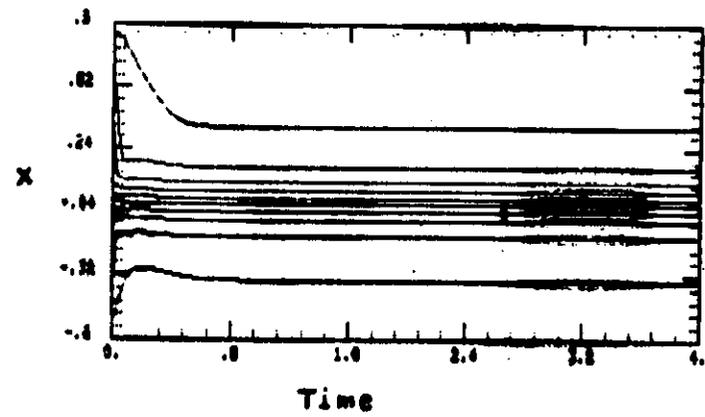
fig. 8



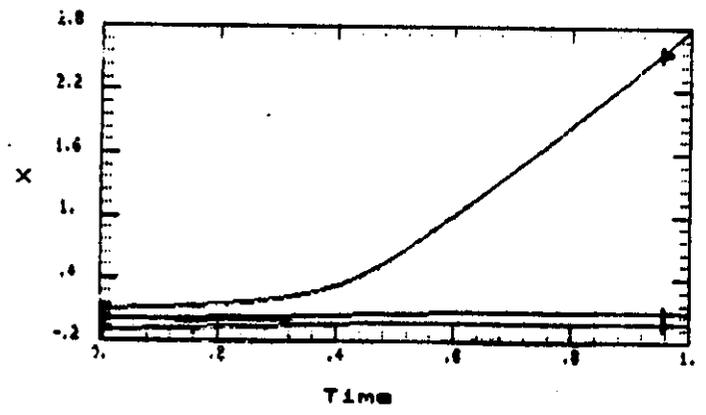
(a)



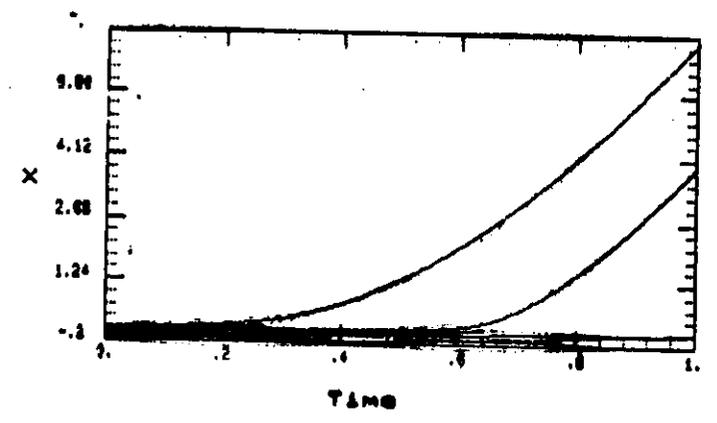
(b)



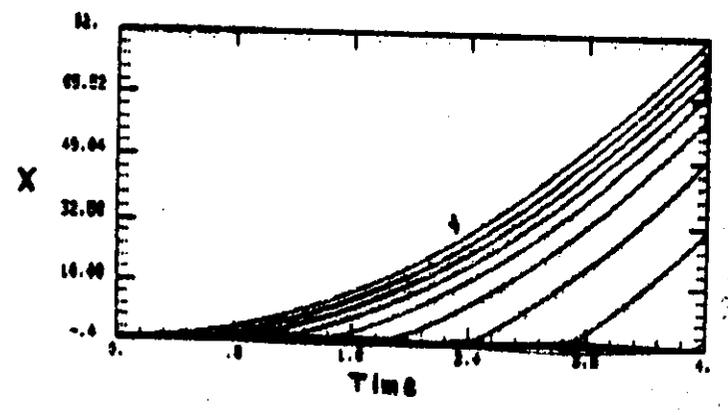
(c)



(a)



(b)



(c)

