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AUTUMN COURSE ON APPLICATIONS OF ANALYSIS TO MECHANICS

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THEORY OF PLATES

- Part V - Some boundary value problems in the theory of elastic plates
- Part VI - Variational formulations
- Part VII - Functional operators and their properties

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These are preliminary lecture notes intended for participants only.
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room 112.

V- Some boundary value problems.

The virtual work principle (or Green's formulas) suggests various boundary problems, associated with plate theory. In this section, we describe some such problems, that we will study in the following.

If only bending problems can be considered in the Love-Kirchhoff theory, in the von Karman's one, it is necessary to consider simultaneously tension and bending problems; in this theory, we meet boundary conditions for horizontal displacements and vertical ones.

A) Horizontal displacements. On a part $\Sigma_1 \subset \partial\Omega$, we assume

$$u = 0 \quad \text{on } \Sigma_1$$

and on the complementary, we have

$$\sigma_{\alpha\beta} n_\beta = \lambda t_\alpha,$$

where $t = (t_\alpha)$ is a density of horizontal forces at the boundary, defined on $\partial\Omega$, and λ is a real parameter which characterizes the intensity of these external forces.

For $\lambda = 0$, we have to consider only bending problems. But if $\lambda \neq 0$, we can have buckling phenomena: the plate can bend if it is subjected to only horizontal forces, with λ sufficiently large.

B) Vertical Displacement.

1- Bilateral problems.

- $y = 0, \frac{\partial y}{\partial n} = 0$ on Γ_1 : The plate is clamped on Γ_1 ,
- $y = 0, M = 0$ on Γ_2 : The plate is simply supported on Γ_2 ,
- $F = 0, M = 0$ on Γ_3 : The plate is free on Γ_3 .

2- Problems with unilateral conditions

We use some examples ~~for~~ to introduce general situations we will consider.

a) Unilateral conditions on Ω ; classical conditions on Γ .

Example 1. on Γ , we suppose, for ~~example~~ ^{instance}, that the plate is clamped:
 $y = 0, \frac{\partial y}{\partial n} = 0$ on Γ .

In Ω , exterior body forces f are not known ; we assume that

$$(1) \quad \begin{cases} f = p + R & (x \mapsto p(x) \text{ is given}) \\ y \geq 0, R \geq 0, y \cdot R = 0 \end{cases}$$

This is the situation of the plate placed on a rigid support :

$y \geq 0$: vertical displacement is restricted

R is the reaction of the support on the plate : $R \geq 0$

$y \cdot R = 0$: $R = 0$ where the plate is not at the contact of the support.

b) Unilateral conditions on the boundary Γ (classical ones in Ω).

In this case, f is given as function defined in Ω .

We can find many examples ; for ~~example~~ ^{instance}, the vertical displacement y (or the rotation $\frac{\partial y}{\partial n}$) is restrained by an abutment (as example 1)



Give other examples :

$$(2) \quad \text{Example 2} \quad \left\{ \begin{array}{l} |M| \leq \alpha \\ |M| < \alpha \Rightarrow \frac{\partial y}{\partial n} = 0 \\ M = -\alpha \Rightarrow \frac{\partial y}{\partial n} \leq 0 \\ M = \alpha \Rightarrow \frac{\partial y}{\partial n} \geq 0 \end{array} \right\} \text{ on } \Gamma$$

and the classical condition $y = 0$.

That is the situation where the plate is clamped at its boundary. On a technical drawing

Example 3. It is comparable with the previous:

$$s) \quad \begin{cases} |F| \leq \alpha \\ |F| < \alpha \Rightarrow g = 0 \\ F = -\alpha \Rightarrow g \geq 0 \\ F = \alpha \Rightarrow g \leq 0. \end{cases} \quad \text{on } \Gamma_1 \subset \Gamma$$

and classical condition for g on $\Gamma - \Gamma_1$ and for $\frac{\partial g}{\partial n}$ on Γ ,
for example

$$g = 0 \quad \text{on } \Gamma - \Gamma_1$$

$$M = 0 \quad \text{on } \Gamma$$

Here, we have the conditions of a vertical displacement with friction on Γ_1 .

Convexity

To describe general situations, we must use the
Convexity theory -

We recall the following (to see lectures notes of Mth D. Coranescu)

We have to consider ^(function) $f : \mathbb{R} \rightarrow (-\infty, +\infty]$

with f is convex,

proper ($f \not\equiv +\infty$),

and, in addition, l. s. c. (lower semi-continuous).

Définition. Let be ξ in $\mathbb{R}$.

We define the subdifferential of f at the point ξ ,
noted $\partial f(\xi)$:

$\partial f(\xi)$ is a part of $\mathbb{R}$ such that

$$y \in \partial f(\xi) \Leftrightarrow f(\eta) \geq f(\xi) + y(\eta - \xi) \quad \forall \eta \in \mathbb{R}$$

Now, y is a subgradient

Interpretation.

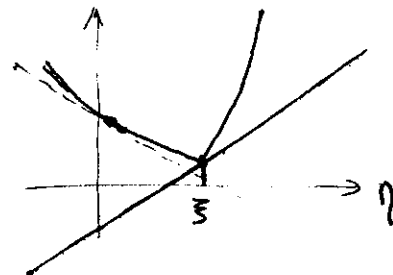
The function $\eta \mapsto j(\xi) + y(\eta - \xi)$ is ~~an~~ affine ~~one~~
(ξ, y fixed).

y is a subgradient of j if this affine function is
a minorant function of j , 'exact' at the point ξ

Observe that, if j is differentiable
at the point ξ ,

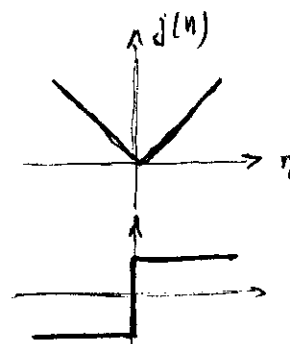
$$\partial j(\xi) = \{j'(\xi)\}.$$

Remark $\partial j(\xi) \neq \emptyset \rightarrow j(\xi) < +\infty$.

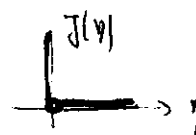


Example 1. $j(\eta) = |\eta|$

$$\text{Then } \partial j(\eta) = \begin{cases} -1 & \text{if } \eta < 0 \\ [-1, 1] & \text{if } \eta = 0 \\ 1 & \text{if } \eta > 0 \end{cases}$$



Example 2. $j(\eta) = \begin{cases} +\infty & \text{if } \eta < 0 \\ 0 & \text{if } \eta \geq 0 \end{cases}$



j is the indicator of $\mathbb{R}^+$ $\otimes$

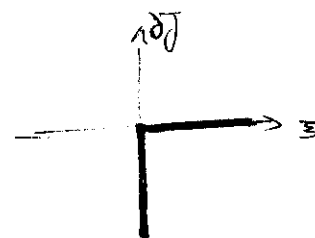
$\partial j(\eta) = \emptyset$ if $\eta < 0$ (because $j(\eta) = +\infty$; see previous remark)

Let be $\xi \geq 0$; $y \in \partial j(\xi) \Leftrightarrow 0 \geq y(\eta - \xi), \forall \eta \geq 0$

Then this relation implies $y = 0$ if $\xi > 0$
and $y \leq 0$ if $\xi = 0$

Therefore, we obtain:

$$\partial j(\xi) = \begin{cases} \emptyset & \text{if } \xi < 0 \\ \mathbb{R}^- & \text{if } \xi = 0 \\ 0 & \text{if } \xi > 0 \end{cases}$$



Or, it is the same: $y \in \partial j(\xi) \Leftrightarrow \begin{cases} y \leq 0 & \text{if } \xi = 0 \\ y = 0 & \text{if } \xi > 0 \end{cases}$

$\otimes$ Let be K a closed convex set in an Hilbert space Z
The function χ_K defined on Z by $\chi_K(z) = \begin{cases} 0 & z \in K \\ +\infty & z \notin K \end{cases}$

Now, let be Z an Hilbert space and ψ a convex proper l.s.c. function from Z into $(-\infty, +\infty]$.

We define as previous the ~~subgradient~~ ^{subdifferential} $\partial\psi(y)$ by:

$\partial\psi(y) \subset Z$ and

$$u \in \partial\psi(y) \iff \psi(z) \geq \psi(y) + (u, z-y) \quad \forall z \in Z$$

In the following, we will use the property:

Monotonicity of the subdifferential. (see. lectures Notes of D. Cioranescu)

Let be $u_1 \in \partial\psi(y_1)$, $u_2 \in \partial\psi(y_2)$; Then :

$$(u_1 - u_2, y_1 - y_2) \geq 0$$

(When ψ is differentiable, we have
 $(\psi'(y_1) - \psi'(y_2), y_1 - y_2) \geq 0$).

We use convexity notions to formulate unilateral problems; we begin by the examples

Example 1. Let be J the indicator of $\mathbb{R}^+$.
We put $j(\xi) = -\xi p + J(\xi)$; j is a convex function
and $\partial j(\xi) = -p + \begin{cases} \mathbb{R}^+ & \text{if } \xi = 0 \\ 0 & \text{if } \xi > 0 \end{cases}$, $\partial j(\xi) = \emptyset$ if $\xi < 0$

The conditions (1) can be written :

$$y \geq 0, \quad p - f \leq 0, \quad (p - f)y = 0$$

Therefore $p - f \in \partial j(y)$, or

$$\boxed{-f \in \partial j(y)} \quad \text{on } \Omega$$

Example 2. Let be $k(\xi) = \alpha |\xi|$;

The conditions (2) can be written

$$\boxed{M \in \partial k\left(\frac{\partial y}{\partial n}\right)} \quad \text{on } \Gamma$$

Example 3. We put $l(\xi) = \alpha |\xi|$; the conditions (3) can be written

$$\boxed{-F \in \partial l(y)} \quad \text{on } \Gamma_1$$

From these examples, we deduce the general form of the problem which will be studied in the following.

Problem 1 Let be j a proper, l.s.c., convex function from $\mathbb{R}$ into $(-\infty, +\infty]$. We assume that:

$$-f \in \partial j(y) \text{ in } \Omega$$

In addition, boundary conditions are classical; for instance:

$$\begin{aligned} y &= 0 \\ \frac{\partial y}{\partial n} &= 0 \end{aligned} \quad \text{on } \Gamma$$

Problem 2. Let be k a proper, l.s.c., convex function from $\mathbb{R}$ into $(-\infty, +\infty]$. We assume that

$$M \in \partial k\left(\frac{\partial y}{\partial n}\right) \text{ on } \Gamma$$

In addition f is given (as function of x) ; and the boundary condition on y is classical ; we assume that

$$y = 0 \text{ on } \Gamma$$

Problem 3. Let be l a proper, l.s.c., ---
---. We assume that

$$-F \in \partial l(y) \text{ on } \Gamma$$

In addition f is given (as function of x) , and the boundary condition on the rotation $\frac{\partial y}{\partial n}$ is classical ; we assume that

$$M(y) = 0 \text{ on } \Gamma.$$

Remark 1. $j(k, \text{ or } l)$ can depend on x in Ω (or Γ), according to the case. Especially, in the third problem, we will have to suppose that $y = 0$ on a part Γ_1 , with Γ_1 non rectilinear and $\text{meas}(\Gamma_1) > 0$; that is possible by choosing

$$f + \infty \text{ if } y \neq 0,$$

Remark 2. For instance, consider the condition

$$-f \in \partial j(s)$$

If we suppose that j is differentiable, this relation can be written $-f = -j'(s)$: external forces f possess a potential j . In unilateral case, we have a

comparable situation : j is called a superpotential :

This terminology has been introduced by J.J. MOREAU

Obviously, this remark is valid for problems 2 and 3 -

V. Variational formulations.

Variational formulations are directly arising from Green's formulas.

We write these formulations only for the non linear case; we can obtain the linear one, putting down the nonlinear term from the von Karman's equation.

Formal aspect. First, we give only the ideas of variational formulation; for this, we consider, for instance, the case of the problem 1, in the linear case:

$$\begin{aligned} y &= 0 \\ \frac{\partial y}{\partial n} &= 0 \\ -f &\in J(y). \end{aligned}$$

The Green's formula can be written

$$a(y, z-y) - (f, z-y) = (F, z-y)_\Gamma - \left(M, \frac{\partial z}{\partial n} - \frac{\partial y}{\partial n} \right)_\Gamma \quad \forall z-y$$

F and M are unknown: they are the reactions of the support ~~which~~ which clamps the plate; the second member of Green's formula is the work of these reactions in the displacement $z-y$. It is usual ~~to~~ only to consider displacements for which this work is zero: They are the "kinematically admissible displacements"

$$Z_{ad} = \left\{ z \mid z=0, \frac{\partial z}{\partial n}=0 \text{ on } \Gamma \right\}$$

Green's formula gives:

$$\forall z \in Z_{ad}, a(y, z-y) = (f, z-y) \quad \forall z \in Z_{ad}.$$

Now, we have

$$j(z) \geq j(y) + (f, z-y).$$

and, by integrating:

$$\int_{\Omega} j(z) dx \geq \int_{\Omega} j(y) dx - (f, z-y)$$

We put

$$\psi(z) = \int_{\Omega} j(z) dx.$$

and we have

$$\psi(z) - \psi(y) \geq - (f, z-y).$$

From Green's formula, we obtain:

$$a(y, z-y) + \psi(z) - \psi(y) \geq 0 \quad \forall z \in Z_{ad}.$$

That is the formal variational formulation of our problem; we have a variational inequality.

Why formal?

It is necessary to specify

- Z_{ad} : integrations (in the bilinear form a) must be possible.
- the sense of $\psi(z)$: ^{for instance} j can take the value $+\infty$; the definition of $\psi(z)$ is not clear.

Accurate variational formulations ^{Functional spaces} In fact, the choice of functional spaces is clear, and will be specified in each case.

Horizontal displacements must be chosen in

$$V \subset (H^1(\Omega))^2,$$

$$L^1(\Omega)^2.$$

Vertical displacement must be chosen in

$$Z \subset H^2(\Omega),$$

Z being a closed subspace of $H^2(\Omega)$.

Boundary conditions on the horizontal displacement are

$$\sigma_{\alpha\beta} n_\beta = \lambda t_\alpha \text{ on } \Sigma_1$$

$$u_\alpha = 0 \text{ on } \Sigma_2$$

With $\Sigma_1 \cap \Sigma_2 = \emptyset$, $\Sigma_1 \cup \Sigma_2 = \Gamma$; t_α is a density of horizontal exterior forces given on Σ_1 and λ is a real parameter measuring the intensity of these loads. Then

$$V = \left\{ v = (v_\alpha) \in (H^1(\Omega))^2 \mid v = 0 \text{ on } \Sigma_2 \right\}.$$

Boundary conditions on the vertical displacement. According to the situations, we have:

- The plate is clamped on $\Gamma \Rightarrow Z = H_0^2(\Omega)$
- The plate is simply supported on $\Gamma \Rightarrow Z = H^2(\Omega) \cap H_0^1(\Omega)$
- a more general situation is: the plate is clamped on Γ_1 , simply supported on Γ_2 , free on Γ_3 ; then

$$Z = \left\{ z \in H^2(\Omega) \mid y = 0 \text{ on } \Gamma_1 \cup \Gamma_2; \frac{\partial y}{\partial n} = 0 \text{ on } \Gamma_3 \right\}.$$

We exclude some situations (discussed in the Book of G. Duvaut & J. L. Lions): we want (except for buckling problems) that the considered problem are coercive. The used bilinear form $a(y, z)$, is continuous on $H^2(\Omega)$. In addition

$$\begin{aligned} a(z, z) &= D \int_{\Omega} \left\{ \nu z_{,\alpha\beta} z_{,\alpha\beta} + (1-\nu) z_{,\alpha\beta} z_{,\alpha\beta} \right\} dx \\ &= D \int_{\Omega} \left\{ \nu (\Delta z)^2 + (1-\nu) z_{,\alpha\beta} z_{,\alpha\beta} \right\} dx \end{aligned}$$

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because $0 < \nu < \frac{1}{2}$, $a(z, z) = 0$ implies $z_{,\alpha\beta} = 0$ in Ω .
 therefore $z = a + b_1 x_1 + b_2 x_2$: z is a polynomial of degree 1 in Ω . Then $\{x / z(x) = 0\}$ is a straight line.
 If the plate is simply supported on a part Γ_S of Γ , non rectilinear there is not any polynomial of degree 1 in Z , and

Hyp. $z \in Z, z \neq 0 \Rightarrow a(z, z) \neq 0$

In the following, we suppose this property. (In other words, the plate is sufficiently fixed and cannot possess rigid motions).

* $\left\{ \begin{array}{l} \text{We admit the coercivity property} \\ a(z, z) \geq \alpha \|z\|^2 \quad (1) \quad \forall z \in Z \text{ (with } \alpha > 0) \end{array} \right.$
 when the last hypothesis is true. (to see G. Duvaut & J.-L. Lions ; Michlin, for instance).

We do the second, and third

Hyp $t_\alpha = 0$ on part of Γ where I is free.

Hyp $p_\alpha = 0$ (there is no horizontal body force)

(1) $\| \cdot \|$ is the natural norm on $H^2(\Omega)$

Classical problems. (bilateral situations)

We assume that given external forces f are (for instance) in $L^2(\Omega)$.

The variational formulation is :

To find $y \in Z$, $u \in V$ such that

$$a(y, z) + h \int_{\Omega} \sigma_{\alpha\beta} y_{,\beta} z_{,\alpha} dx = (f, z) \quad \forall z \in Z$$

$$\int_{\Omega} \sigma_{\alpha\beta} \varepsilon_{\alpha\beta}(v) dx = \int_{\Sigma_1} \lambda t_{\alpha} v_{,\alpha} d\sigma \quad \forall v \in V$$

The last relation can be written too

$$\int_{\Omega} \sigma_{\alpha\beta} \left\{ \varepsilon_{\alpha\beta}(u) + \frac{1}{2} y_{,\alpha} y_{,\beta} \right\} \varepsilon_{\alpha\beta}(v) dx = \int_{\Sigma_1} \lambda t_{\alpha} v_{,\alpha} d\sigma \quad \forall v \in V$$

For the linear problem, (1) is reduced to

$$a(y, z) = (f, z) \quad \forall z \in Z.$$

Remark. For converses (here and in the following) we can follow the same way as in lecture Notes of Missis D. Cioranescu -

Problems with unilateral conditions

Problem 1 $Z = H_0^2(\Omega)$. (because classical boundary conditions)

If $z \in Z$, we put

$$\psi(z) = \begin{cases} \int_{\Omega} j(z) dx & \text{if } j(z) \in L^1(\Omega), \\ +\infty & \text{otherwise} \end{cases}$$

As $-j \in \partial j(y)$, we have $j(z) \geq j(y) - j(z-y)$, and after integration :

$$\int_{\Omega} j(z-y) dx \geq \psi(y) - \psi(z)$$

Then, the variational formulation of the problem 1 introduces a variational inequality :

Problem 1 : To find $u \in V$ and $y \in Z$ such that

$$a(y, z-y) + h \int_{\Omega} \sigma_{\alpha\beta} \gamma_{,\beta}(z, x-y, x) dx + \psi(z) - \psi(y) \geq 0 \quad \forall z \in Z,$$

$$\int_{\Omega} \sigma_{\alpha\beta}(u) \varepsilon_{\alpha\beta}(v) dx = \int_{Z_1} \lambda_{\alpha} v_{,\alpha} d\sigma \quad \forall v \in V$$

$$\text{with } \sigma_{\alpha\beta}(u) = a_{\alpha\beta\gamma\delta} u_{,\gamma\delta} = a_{\alpha\beta\gamma\delta} (\varepsilon_{\gamma\delta}(u) + \frac{1}{2} y_{,\gamma} y_{,\delta})$$

Problem 2. (If the plate is simply supported, we choose) $Z = H^2(\Omega) \cap H_0^1(\Omega)$ 15

For $z \in Z$, we put

$$\psi(z) = - \int_{\Omega} f z \, dx + \begin{cases} \int_{\Gamma} k \left(\frac{\partial z}{\partial n} \right) d\sigma & \text{if } k \left(\frac{\partial z}{\partial n} \right) \in L^1(\Omega), \\ + \infty & \text{otherwise.} \end{cases}$$

here, f are given exterior forces; we assume $f \in L^2(\Omega)$.

As previously, we have

$$+ \int_{\Gamma} M \left(\frac{\partial z}{\partial n} - \frac{\partial y}{\partial n} \right) d\sigma - \int_{\Omega} f (z - y) \, dx \leq \psi(z) - \psi(y).$$

We deduce the variational formulation of ~~the problem~~

Problem 2. To find $u \in V$ and $y \in Z$ such that:

$$a(y, z - y) + b \int_{\Omega} \sigma_{\alpha\beta} y_{,\beta} (z_{,\alpha} - y_{,\alpha}) \, dx + \psi(z) - \psi(y) \geq 0 \quad \forall z \in Z,$$

$$\int_{\Omega} \sigma_{\alpha\beta}(u) \varepsilon_{\alpha\beta}(v) \, dx = \int_{\Gamma_2} \lambda t_{\alpha} v_{\alpha} \, d\sigma \quad \forall v \in V$$

where $\sigma_{\alpha\beta}(u) = a_{\alpha\beta\gamma\delta} (\varepsilon_{\gamma\delta}(u) + \frac{1}{2} y_{,\delta} y_{,\gamma})$

Problem 3 It is here that the previous hypothesis is used:

$$\begin{cases} \text{on } \Gamma_1, & \Gamma_1 \text{ non rectilinear and measure } (\Gamma_1) > 0, \text{ we suppose} \\ & y = 0 \quad (\text{the plate is simply supported on } \Gamma_1) \end{cases}$$

Then $Z = \{ z \in H^2(\Omega) / z = 0 \text{ on } \Gamma_1 \}$

Let be Γ_2 the complementary part; we have $-F \in \mathcal{DL}(Y)$

on Γ_2 . We put

$$\text{then } \psi(z) = \int_{\Omega} \ell(z) \, dx + \int_{\Gamma_2} \ell(z) \, d\sigma \quad \text{if } \ell(z) \in L^1(\Gamma_2),$$

where f are given external forces; we assume $f \in L^2(\Omega)$

As above, we obtain the variational formulation of the

Problem 3. To find $u \in V$, $\lambda \in Z$, such that

$$a(y, z - y) + h \int_{\Omega} \sigma_{\alpha\beta} y_{,\beta} (z_{,\alpha} - y_{,\alpha}) dx + \psi(z) - \psi(y) \geq 0 \quad \forall z \in Z,$$

$$\int_{\Omega} \sigma_{\alpha\beta} \varepsilon_{\alpha\beta}(u) dx = \int_{\Gamma_1} \lambda t_{\alpha} u_{,\alpha} d\sigma$$

$$\sigma_{\alpha\beta} = a_{\alpha\beta\gamma\delta} \left(\varepsilon_{\gamma\delta}(u) + \frac{1}{2} y_{,\gamma} y_{,\delta} \right)$$

We are going to study the previous problem, following this scheme.

Recall the variational formulation: $\forall \zeta \in Z, u \in V$ such that

$$(1) \quad a(y, z-y) + h \int_{\Omega} \sigma_{\alpha\beta} y_{,\beta} (z_{,\alpha} - y_{,\alpha}) dx + \psi(z) - \psi(y) \geq 0 \quad \forall z \in Z,$$

$$(2) \quad \int_{\Omega} \sigma_{\alpha\beta} \varepsilon_{\alpha\beta}(v) dx = \lambda \int_{\Gamma} t_{\alpha} v_{\alpha} d\sigma \quad \forall v \in V,$$

$$(3) \quad \sigma_{\alpha\beta} = a_{\alpha\beta\gamma\delta} \left(\varepsilon_{\gamma\delta}(u) + \frac{1}{2} y_{,\gamma} y_{,\delta} \right)$$

1- For each fixed $y \in Z$, (3) and (4) is a classical problem of linear elasticity in the plane of the plate. The solution of this problem can be written

$$(4) \quad \begin{cases} u = \lambda u^0 + w(y) \\ \sigma_{\alpha\beta} = \lambda \sigma_{\alpha\beta}^0 + \Delta_{\alpha\beta}(y) \end{cases}$$

2- (1) and (4) give

$$(5) \quad \begin{cases} a(y, z-y) + \lambda h \int_{\Omega} \sigma_{\alpha\beta}^0 y_{,\beta} (z_{,\alpha} - y_{,\alpha}) dx + h \int_{\Omega} \Delta_{\alpha\beta}(y) y_{,\beta} (z_{,\alpha} - y_{,\alpha}) dx + \\ + \psi(z) - \psi(y) \geq 0 \quad \forall z \in Z. \end{cases}$$

That is a problem only for y .

3- It is necessary to study underlined terms. By Riesz Theorem, we can write

$$h \int_{\Omega} \sigma_{\alpha\beta}^0 y_{,\beta} z_{,\alpha} dx = a(Ly, z)$$

$$h \int_{\Omega} \Delta_{\alpha\beta}(y) y_{,\beta} z_{,\alpha} dx = a(Cy, z)$$

where L (resp. C) is a linear (resp. non linear) operator on Z . We must study properties of L and C .

5- Because the coercivity, we can choose $a(z, z)$ as the scalar product on Z , and the problem is reduced to

$$(6) \quad | (I - \lambda L)y + Cy, z - y) + \psi(z) - \psi(y) \geq 0 \quad \forall z \in Z |$$

VII - Functional operators and their properties.

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1. Elimination of u .

In various considered problems, we have two variational (in) equations; the unknown are u and y . The purpose of the study is to eliminate u . For this, we consider the second equation

$$(1) \quad \begin{cases} u \in V, \quad \int_{\Omega} \sigma_{\alpha\beta} \varepsilon_{\beta\gamma}(v) dx = \lambda \int_{\Gamma} t_{\alpha} v_{\alpha} d\sigma \quad \forall v \in V \\ \sigma_{\alpha\beta} = \varepsilon_{\beta\gamma}(u) + \frac{1}{2} y_{,\alpha} y_{,\beta} \end{cases}$$

This is a plane elasticity problem that we separate into two such problems.

First problem.

To find $w^0 \in V$ such that

$$(2) \quad \begin{cases} \int_{\Omega} \sigma_{\alpha\beta}^0 \varepsilon_{\beta\gamma}(v) dx = \int_{\Gamma} t_{\alpha} v_{\alpha} d\sigma \quad \forall v \in V \\ \sigma_{\alpha\beta}^0 = a_{\alpha\beta\gamma\delta} \varepsilon_{\gamma\delta}(w^0) \end{cases}$$

Second problem. Let y fixed y in Z (or in $H^2(\Omega)$). To find

$w = w(y) \in V$ such that

$$(3) \quad \begin{cases} \int_{\Omega} \sigma_{\alpha\beta}(y) \varepsilon_{\beta\gamma}(v) dx = 0 \quad \forall v \in V \\ \sigma_{\alpha\beta} = a_{\alpha\beta\gamma\delta} \left(\varepsilon_{\gamma\delta}(w) + \frac{1}{2} y_{,\alpha} y_{,\beta} \right) \end{cases}$$

It is clear that the solution of (1) is :

$$\begin{cases} u = \lambda w^0 + w(y) \\ \sigma_{\alpha\beta} = \lambda \sigma_{\alpha\beta}^0 + \sigma_{\alpha\beta}(y) \end{cases}$$

After the resolution of (2) and (3), we reintroduce $u = u(y)$ in the first variational inequality (for y); we obtain a new formulation ^{only} for y , which is :

$$(4) \quad \int_{\Omega} \phi(y, z - y) + \lambda h \int_{\Omega} \sigma_{\alpha\beta}^0 y_{,\beta} (z_{,\alpha} - y_{,\alpha}) dx +$$

Resolution of (2) and (3).

The problem (2) is a classical problem of linear elasticity, without body forces, but with non homogeneous boundary conditions. The problem (3) have homogeneous boundary conditions, but body forces are

$$-\frac{1}{2} \alpha_{p\beta\gamma\delta} \mathcal{J}_{,\gamma\delta} \mathcal{J}_{,\beta\gamma}$$

We can apply general results for problems of linear elasticity (see the book of G. Duvaut and J. L. Lions), if $\mathcal{J}_{,\gamma\delta} \mathcal{J}_{,\beta\gamma}$ is in $L^2(\Omega)$ (because $\alpha_{p\beta\gamma\delta}$ are constant).

This result is true via the embedding theorem

1) $\left\{ \begin{array}{l} \text{Thm.}^{(1)} \text{ In the plane (dimension = 2) } H^1(\Omega) \subset L^4(\Omega) ; \\ \text{in addition, the injection from } H^1(\Omega) \text{ into } L^4(\Omega) \text{ is compact.} \end{array} \right.$

By this theorem, $\mathcal{J}_{,\gamma\delta} \mathcal{J}_{,\beta\gamma}$ is in $L^2(\Omega)$; $\alpha_{p\beta\gamma\delta} \mathcal{J}_{,\gamma\delta} \mathcal{J}_{,\beta\gamma} \varepsilon_{\alpha\beta}(v)$ is in $L^1(\Omega)$ for each v in V , and

$$v \mapsto \int_{\Omega} \alpha_{p\beta\gamma\delta} \mathcal{J}_{,\gamma\delta} \mathcal{J}_{,\beta\gamma} \varepsilon_{\alpha\beta}(v) dx$$

is a continuous linear form on V .

The unknowns are:

(6) $\left\{ \begin{array}{l} w^0, w(3) \text{ exist, and are unique (except for an additive rigid } \text{displacement}) \\ \sigma_{\alpha\beta}^0, \sigma_{\alpha\beta}(3) \in L^2(\Omega), \text{ and are unique.} \end{array} \right.$

In addition

$$(7) \left\{ \begin{array}{l} \sigma_{\alpha\beta}(t3) = t^2 \sigma_{\alpha\beta}(3) \\ w(t3) = t^2 w(3) \end{array} \right. \quad \forall t \in \mathbb{R}.$$

(1) To see, for instance, the book
J. NEČAS - Les méthodes directes dans la théorie des

Lemma 1 $z \mapsto \Delta_{\alpha\beta}(z)$ is compact from Z into $L^2(\Omega)$.

Proof. We have, for all $v \in V$:

$$\int_{\Omega} a_{\alpha\beta\gamma\delta} \varepsilon_{\gamma\delta}(u) \varepsilon_{\alpha\beta}(v) dx = - \int_{\Omega} a_{\alpha\beta\gamma\delta} z_{,\gamma} z_{,\delta} \varepsilon_{\alpha\beta}(v) dx = L(v),$$

L is in V' , dual of V ; L is associated with z .

Let be z^n in Z , $z^n \rightarrow z$ in Z weak.

u^n , the corresponding solution; L^n the corresponding linear form. If we can prove that $L^n \rightarrow L$ in V' , then $u^n \rightarrow u$ in V (via continuity with respect to the data) and $\varepsilon_{\alpha\beta}(u^n) \rightarrow \varepsilon_{\alpha\beta}(u)$ in $L^2(\Omega)$ (strong).

Then, with (5),

$$\Delta_{\alpha\beta}(z^n) \rightarrow \Delta_{\alpha\beta} \text{ in } L^2(\Omega) \text{ strong.}$$

Therefore, we study $z(L^n - L)$. We have

$$z_{,\gamma} z_{,\delta} - z_{,\gamma} z_{,\delta} = z_{,\gamma} (z_{,\delta} - z_{,\delta}) + z_{,\delta} (z_{,\gamma} - z_{,\gamma});$$

then:

$$2|(L^n - L)(v)| \leq c \left\{ \|z_{,\gamma}^n\|_{L^4} \|z_{,\delta} - z_{,\delta}\|_{L^4} + \|z_{,\delta}\|_{L^4} \|z_{,\gamma}^n - z_{,\gamma}\|_{L^4} \right\} \|v\|_V$$

and

$$2|(L^n - L)v| \leq c \underbrace{\left\{ \|z^n\|_{W^{1,4}(\Omega)} + \|z\|_{W^{1,4}(\Omega)} \right\}}_{\text{bounded}} \underbrace{\|z^n - z\|_{W^{1,4}(\Omega)}}_{\downarrow 0} \underbrace{\|v\|_V}_{\text{fixed.}}$$

Therefore

$$\|L^n - L\|_{V'} = \sup_{\|v\|=1} |(L^n - L)v| \rightarrow 0.$$



Elimination of u gives the variational formulation of the problem for y :

$$\begin{cases} a(y, z-y) + \lambda h \int_{\Omega} \sigma_{\alpha\beta}^0 y_{,\beta} (z_{,\alpha} - y_{,\alpha}) dx + \\ + h \int_{\Omega} \Delta_{\alpha\beta}(y) y_{,\beta} (z_{,\alpha} - y_{,\alpha}) dx + \psi(z) - \psi(y) \geq 0 \quad \forall z \in Z. \end{cases}$$

2- Functional operators.

Previously, we have observed that the bilinear form $a(z_1, z_2)$ is coercive (it is an hypothesis; to see p. 13), and continuous on Z . Therefore, $a(z, z)$ is a scalar product on Z , in each situation we consider; in addition, $a(z, z)^{1/2}$ is a norm equivalent to the natural norm on Z . In the following, we take $a(z, z)$ as scalar product on Z , and we put

$$a(z_1, z_2) = (z_1, z_2)$$

The related norm is noted $\| \cdot \|$.

Now, consider the applications from Z , with fixed y in Z :

$$z \mapsto \int_{\Omega} \sigma_{\alpha\beta}^0 y_{,\beta} z_{,\alpha} dx$$

and

$$z \mapsto \int_{\Omega} \Delta_{\alpha\beta}(y) y_{,\beta} z_{,\alpha} dx.$$

We have

$$\left| \int_{\Omega} \sigma_{\alpha\beta}^0 y_{,\beta} z_{,\alpha} dx \right| \leq |\sigma^0|_{(L^2(\Omega))^6} \|y\|_{W^{1,4}} \|z\|_{W^{1,4}} \leq c_1 \|z\|_Z$$

$$\left| \int_{\Omega} \Delta_{\alpha\beta}(y) y_{,\beta} z_{,\alpha} dx \right| \leq |\Delta|_{(L^2(\Omega))^6} \|y\|_{W^{1,4}} \|z\|_{W^{1,4}} \leq c_2 \|z\|_Z$$

Via Riesz Theorem, there exists $Ly \in Z$ and $Cy \in Z$ such that

$$(8) \quad \begin{cases} h \int_{\Omega} \sigma_{\alpha\beta}^0 y_{,\beta} z_{,\alpha} dx = - (Ly, z) \\ h \int_{\Omega} \Delta_{\alpha\beta}(y) y_{,\beta} z_{,\alpha} dx = - (Cy, z) \end{cases} \quad \forall z \in Z.$$

With these notations, the formulation (4) can be written in the form :

$$(g) \quad \forall z \in Z, ((I - \lambda L)z + Cz, z - z) + \psi(z) - \psi(z) \geq 0, \forall z \in Z$$

In the following, the problems are studied from this form.

Remark. In the bilateral case, we have only

$$(I - \lambda L)z + Cz = f$$

When ψ is differentiable, we have

$$(I - \lambda L)z + Cz + \psi'(z) = 0$$



Obviously, it is necessary to study the properties of functional operators L and C .

Theorem 1 (properties of L).

L is a bounded, self adjoint, and compact linear operator on Z .

Theorem 2 (properties of C).

$$(i) \quad C(\tau z) = \tau^3 C(z) \quad \forall z \in Z, \tau \in \mathbb{R};$$

(ii) C is continuous ;

(iii) C is compact ;

(iv) $\{ z \mapsto (Cz, z) \}$ is differentiable on Z ; its differential is the application $h \mapsto 4(Cz, h)$;

(v) $(Cz, z) \geq 0$;

(vi) $\{ (Cz, z) > 0, \forall z \neq 0 \}$ (in fact, this is an assumption which will be analysed in the proof)

Proofs.

Proof of the theorem 1. We have, from (8), $(LY, Z) = (LZ, Y)$, and L is self adjoint. The non trivial result is the compactness of L : we have

$$\left| \int_{\Omega} \sigma_{\alpha\beta} y_{,\beta} z_{,\alpha} dx \right| = |(LY, Z)| \leq c \|y\|_{W^{1,4}(\Omega)} \|z\|_{W^{1,4}(\Omega)};$$

then

$$\|LY\| = \sup_{\|Z\|=1} |(LY, Z)| \leq c \|Y\|_{W^{1,4}(\Omega)}.$$

The compactness follows, via (5) (if $y^n \rightarrow 0$ in Z weak, then $y^n \rightarrow 0$ in $W^{1,4}$ strong and $LY \rightarrow 0$ in Z strong).

Proof of Theorem 2.

(i) from $\Delta_{\alpha\beta}(cz) = c^2 \Delta_{\alpha\beta}(z)$.

(ii) and (iii) are proved simultaneously (then, C is completely continuous = continuous + compact).

We must prove that

if $z^n \rightarrow z$ in Z weak, then $Cz^n \rightarrow Cz$ in Z strong.

We put $\Delta_{\alpha\beta}(z^n) = \Delta_{\alpha\beta}^n$, and we write

$$\Delta_{\alpha\beta}^n y_{,\beta} - \Delta_{\alpha\beta} y_{,\beta} = (\Delta_{\alpha\beta}^n - \Delta_{\alpha\beta}) y_{,\beta} + \Delta_{\alpha\beta} (y_{,\beta}^n - y_{,\beta}).$$

We have

$$\begin{aligned} |(Cz^n - Cz, w)| &= \left| \int_{\Omega} (\Delta_{\alpha\beta}^n y_{,\beta} - \Delta_{\alpha\beta} y_{,\beta}) w_{,\alpha} dx \right| \\ &\leq \|\Delta_{\alpha\beta}^n - \Delta_{\alpha\beta}\|_{L^2(\Omega)} \|y^n\|_{W^{1,4}(\Omega)} \|w\| + \|\Delta_{\alpha\beta}\|_{L^2(\Omega)} \|y^n - y\|_{W^{1,4}(\Omega)} \|w\| \end{aligned}$$

Now

$$\|Cz^n - Cz\| = \sup_{\|w\|=1} |(Cz^n - Cz, w)|$$

$$\leq \underbrace{\|\Delta_{\alpha\beta}^n - \Delta_{\alpha\beta}\|_{L^2(\Omega)}}_{\downarrow \text{by (i)}} \underbrace{\|y^n\|_{W^{1,4}}}_{\downarrow \text{bounded in } Z} + \underbrace{\|\Delta_{\alpha\beta}\|_{L^2(\Omega)}}_{\downarrow \text{by (5) (b. 20)}} \|y^n - y\|_{W^{1,4}}$$

(iv) We must compute

$$(C(y+h), y+h) - (Cy, y),$$

or, it is the same

$$\int_{\Omega} \{ \Delta_{\alpha\beta}(y+h)(y_{,\beta+h,\beta})(y_{,\alpha+h,\alpha}) - \Delta_{\alpha\beta}(y)y_{,\beta}y_{,\alpha} \} dx$$

Previously, it has been observed that $\Delta(y)$ is quadratic. Now, it is useful to bilinearize this quadratic function. For this, we consider the intermediate problem:

$$\left\{ \begin{array}{l} f, g \text{ are given in } Z; \text{ to find } w = w(f, g) \in Z \text{ such that;} \\ \Delta_{\alpha\beta} = \Delta_{\alpha\beta}(f, g) = a_{\alpha\beta\gamma\delta} \left(\varepsilon_{\gamma\delta}(w) + \frac{1}{2} f_{,\gamma} g_{,\delta} \right) \\ \Delta_{\alpha\beta,\beta} = 0 \\ \Delta_{\alpha\beta} n_{\beta} = 0 \text{ on the part } \Sigma_1 \end{array} \right.$$

(Compare with (3), p. 19) - Obviously,

$$w(z) = w(z, z) \quad \text{and} \quad \Delta_{\alpha\beta}(z) = \Delta_{\alpha\beta}(z, z).$$

By the symmetry relations $a_{\alpha\beta\gamma\delta} = a_{\alpha\beta\delta\gamma} = a_{\gamma\delta\alpha\beta}$, we have

$$\Delta_{\alpha\beta}(f, g) = \Delta_{\alpha\beta}(g, f)$$

Using the classical reciprocity theorem of linear elasticity, we obtain the

Lemma. $\int_{\Omega} \Delta_{\alpha\beta}(f, g) \bar{f}_{,\alpha} \bar{g}_{,\beta} dx = \int_{\Omega} \Delta_{\alpha\beta}(\bar{f}, \bar{g}) f_{,\alpha} g_{,\beta} dx \quad \forall f, g, \bar{f}, \bar{g} \in Z.$

Proof of Lemma. The reciprocity theorem says:

$$\int_{\Omega} \bar{F}_i U_i dx + \int_{\partial\Omega} \bar{f}_i U_i d\sigma = \int_{\Omega} F_i \bar{U}_i dx + \int_{\partial\Omega} f_i \bar{U}_i d\sigma$$

We consider the same elastic material in two states:

	first state	second state
body forces	F_i	$\bar{F}_i$
boundary forces	f_i	$\bar{f}_i$
displacements	U_i	$\bar{U}_i$

and the reciprocity theorem says that the work of forces (F_i, f_i) in the displacements field $\bar{U}_i$ is the same as the work of $(\bar{F}_i, \bar{f}_i)$ in the displacement field U_i .

The previous problem is :

$$(a_{\alpha\beta\gamma\delta} \varepsilon_{\gamma\delta}(w))_{,\beta} = -\frac{1}{2} (a_{\alpha\beta\gamma\delta} f_{,\gamma} g_{,\delta}) = F_\alpha$$

and we have

$$\int_{\Omega} F_\alpha \bar{w}_\alpha dx = \int_{\Omega} w_\alpha \bar{F}_\alpha dx$$

let

$$\int_{\Omega} (a_{\alpha\beta\gamma\delta} \bar{f}_{,\gamma} \bar{g}_{,\delta})_{,\beta} w_\alpha dx = \int_{\Omega} (a_{\alpha\beta\gamma\delta} f_{,\gamma} g_{,\delta})_{,\beta} \bar{w}_\alpha dx$$

or

$$\int_{\Omega} a_{\alpha\beta\gamma\delta} \bar{f}_{,\gamma} \bar{g}_{,\delta} w_{\alpha,\beta} dx = \int_{\Omega} a_{\alpha\beta\gamma\delta} f_{,\gamma} g_{,\delta} \bar{w}_{\alpha,\beta} dx$$

but, by symmetry properties of elasticity coefficients a , we have

$$\int_{\Omega} a_{\alpha\beta\gamma\delta} \varepsilon_{\gamma\delta}(\bar{w}) \varepsilon_{\alpha\beta}(w) dx = \int_{\Omega} a_{\alpha\beta\gamma\delta} \varepsilon_{\gamma\delta}(w) \varepsilon_{\alpha\beta}(\bar{w}) dx$$

by addition of these two last relations, we obtain the result.
and the lemma is proved. $\square$

Now, we return to the proof of the property (iv).

We compute $(C(y+h), y+h) - (Cy, y)$; for this, we write the integrand, ordering the terms according to the "powers" of h using

$$\Delta(y+h) = \Delta(y) + 2 \Delta(y, h) + \Delta(h)$$

We have

$$\begin{aligned} & \Delta_{\alpha\beta}(y+h) (y_{,\beta} + h_{,\beta}) (y_{,\alpha} + h_{,\alpha}) - \Delta_{\alpha\beta} y_{,\beta} y_{,\alpha} = \\ & \Delta_{\alpha\beta}(y) (y_{,\alpha} h_{,\beta} + y_{,\beta} h_{,\alpha}) + \Delta_{\alpha\beta}(h) y_{,\alpha} y_{,\beta} + \\ & + \Delta_{\alpha\beta}(y) h_{,\alpha} h_{,\beta} + 2 \Delta_{\alpha\beta}(y, h) (y_{,\beta} h_{,\alpha} + y_{,\alpha} h_{,\beta}) + \Delta_{\alpha\beta}(h) y_{,\alpha} y_{,\beta} + \end{aligned}$$

(this term is $2 \Delta_{\alpha\beta}(y) y_{,\alpha} h_{,\beta}$ by symmetry)

We conserve only the terms of first order in h (because the differentiability is not a problem); this term is:

$$\begin{aligned} & 2 \int_{\Omega} \left\{ \Delta_{\alpha\beta}(y) y_{,\alpha} h_{,\beta} + \Delta_{\alpha\beta}(y, h) y_{,\alpha} y_{,\beta} \right\} dx \\ &= 4 \int_{\Omega} \Delta_{\alpha\beta}(y) y_{,\alpha} h_{,\beta} dx \quad (\text{by previous lemma}) \\ &= 4 (Cy, h), \end{aligned}$$

and the property (iv) is proved. $\square$

(v) First $(Cy, y) \geq 0$, or, it is the same: $\int_{\Omega} \Delta_{\alpha\beta}(z) z_{,\alpha} z_{,\beta} dx \geq 0$.

We have (from $\Delta_{\alpha\beta} = 0$, $\Delta_{\alpha\beta} n_{\beta} = 0$ on Γ_1)

$$\int_{\Omega} \Delta_{\alpha\beta} \varepsilon_{\alpha\beta}(w) dx = 0 \quad \forall w \in V.$$

By addition, we have

$$\begin{aligned} \frac{1}{2} \int_{\Omega} \Delta_{\alpha\beta} z_{,\alpha} z_{,\beta} dx &= \int_{\Omega} \Delta_{\alpha\beta}(z) \left(\varepsilon_{\alpha\beta}(w) + \frac{1}{2} z_{,\alpha} z_{,\beta} \right) dx \\ &= \int_{\Omega} \Delta_{\alpha\beta} \Delta_{\alpha\beta} dx \geq 0. \end{aligned}$$

Proof of M. Poincaré-Ferry -

Second. $Cz \neq 0$ implies $(Cz, z) \neq 0$: because if $(Cz, z) = 0$, then z is solution of the variational problem $0 = (Cz, z) = \inf_Z (Cw, w)$, by the first,

and Cz (which is the differential of (Cz, z)) = 0.

Third. By the last, it is sufficient to prove

$$z \neq 0 \Rightarrow Cz \neq 0$$

$$\text{But } Cz = 0 \Leftrightarrow (Cz, h) = 0 \quad \forall h \in Z$$

$$\Leftrightarrow \int_{\Omega} \Delta_{\alpha\beta}(z) z_{,\beta} h_{,\alpha} dx = 0 \quad \forall h \in Z$$

and, since $\int_{\Omega} \Delta_{\alpha\beta}(z) \varepsilon_{\alpha\beta}(w) dx = 0$, we obtain by addition:

Therefore, we have

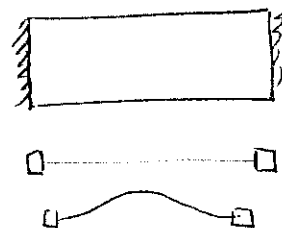
$$C_3 = 0 \Leftrightarrow \exists u \in V \text{ such that } \text{Exp}(u) + \frac{1}{2} g_{,\alpha} g_{,\beta} = 0$$

But $U_{\alpha\beta} = \text{Exp}(u) + \frac{1}{2} g_{,\alpha} g_{,\beta}$ is the metric tensor of the plate, after deformation, and

⊗ To say $\exists (u, g) \neq 0$, with $U_{\alpha\beta} = 0$ is equivalent to say: "the plate can bend without variation of length"

It is clear that, if the plate is sufficiently fixed at its boundary, the event ⊗ is not possible. For example, that is not possible if the plate is clamped

On the contrary, there exists some situations where ⊗ is possible. For example, a rectangular plate, clamped on two opposite bases, and free on other ones



For more accurate study, to see

M. Polier-Ferry, C.R.A.S., Paris,

A, 281, p 1317 - 1319

and p. 1385 - 1387

For us, we assume in the following that

$$(C_3, 3) > 0 \quad \forall 3 \in \mathbb{Z}, 3 \neq 0$$

(or, it is the same, ⊗ is not possible) -

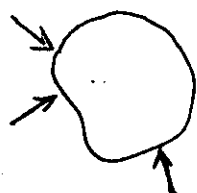
Hyp

In addition, we assume that

$$\text{Hyp} \quad \begin{cases} (Lz, z) \geq 0 & \text{on } \mathbf{Z}; \\ (Lz, z) > 0 & \text{if } z \neq 0 \end{cases}$$

this hypothesis (not indispensable) simplify the study.

For example, consider a plate with uniform pressure p at the edge.



We have

$$\begin{cases} \sigma_{\alpha\beta}^0 = 0 \\ \sigma_{\alpha\beta}^0 = \alpha_{\alpha\beta\gamma\delta} \varepsilon_{\gamma\delta}(w^0) \\ \sigma_{\alpha\beta}^0 n_{\beta} = -p n_{\alpha}. \end{cases}$$

$\sigma_{\alpha\beta}^0 = -p \delta_{\alpha\beta}$ is the solution. For this, we have

$$-(Lz, z) = -p \int_{\Omega} z_{,\alpha} z_{,\alpha} d\alpha = -p \int_{\Omega} \text{grad} z \cdot \text{grad} z \, dx.$$

$$\text{Then } (Lz, z) = p \int_{\Omega} |\text{grad} z|^2 d\alpha \geq 0,$$

and, for example, $(Lz, z) > 0$ if $z \in H_0^1(\Omega)$, $z \neq 0$.

=

Now, we summarize previous results; it is the same to put an abstract problem, with assumptions which are satisfied for the previous concrete situations.

29

Z is an Hilbert space, with scalar product $(\cdot, \cdot)$ and related norm $\|\cdot\|$.

I is the identity on Z .

L is a compact self adjoint linear operator on Z .

C is a non linear operator on Z , with following properties:

$$C(\alpha z) = \alpha^3 C z \quad \forall z \in Z, \alpha \in \mathbb{R};$$

C is continuous and compact;

$\begin{cases} (Cz, z) = \Phi(z) \text{ is Frechet-differentiable on } Z; \\ \text{its differential is } h \mapsto h(Cz, h) \end{cases}$

$$(Cz, z) \geq 0; \quad (Cz, z) > 0 \quad \text{if } z \neq 0$$

ψ is a proper convex function on Z , lower semi continuous.

=

We put the

Problem To find $y \in Z$ such that

$$((I - \lambda L)y + Cy, z - y) + \psi(z) - \psi(y) \geq 0 \quad \forall z \in Z$$

Remark For $\lambda = 0$ (or λ sufficiently small) this problem is "coercive"; it is a bending problem. We can hope to use classical methods in coercive situations (Galerkin method, for example), although there is a non linear term Cy .

But for λ sufficiently large, the coercivity is missed; we have a buckling problem.

