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INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
34100 TRIESTE (ITALY) - P.O.B. 500 - MIRAMARE - STRADA COSTIERA 11 - TELEPHONE: 5540-1
CABLE: CENTRATOM - TELEX 460892-1

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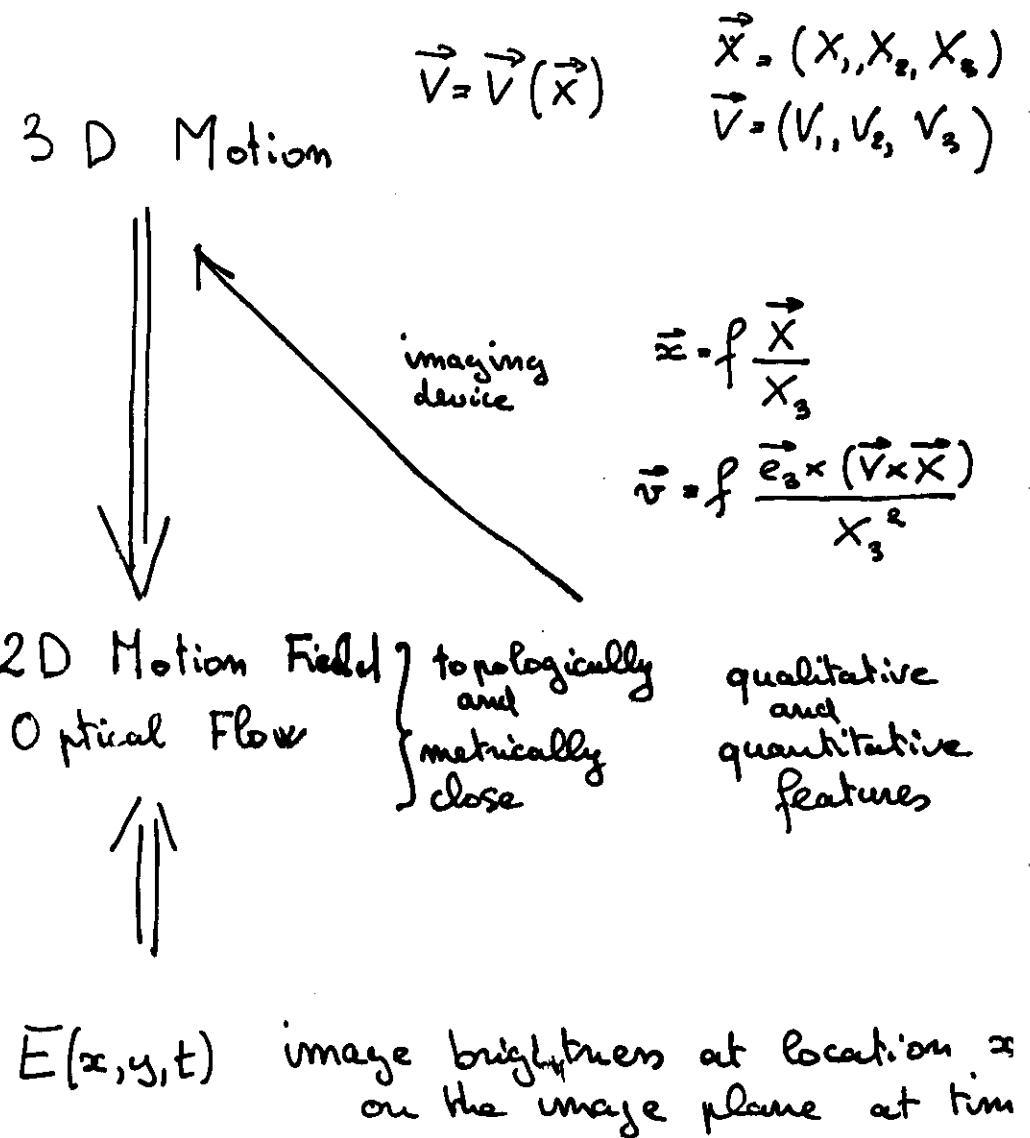
"Computation of Optical Flow"

Alessandro VERRI
Università degli Studi di Genova
Dipartimento di Fisica
Genova, Italy

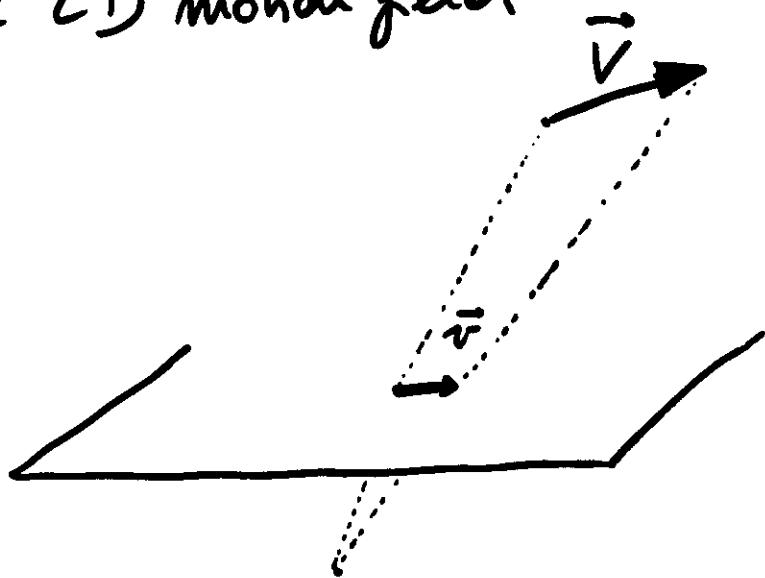
Please note: These are preliminary notes intended for internal distribution only.

Computation of Optical Flow

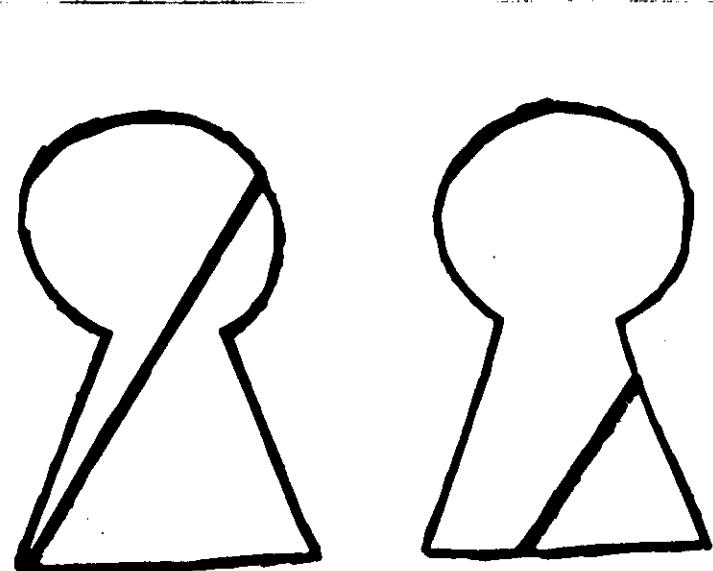
- Preliminaries
 - { Definitions
 - Limitations
- Aperture Problem Revisited
- A simple solution to the aperture problem
- Implementation and error analysis
- Need of a "stable" description of optical flow
- Discontinuities of optical flow



The 2D motion field



The "aperture" problem



It can be recovered by means of matching techniques

It can be estimated by means of differential techniques

The Optical Flow is the estimate of the 2D motion field according to a given differential technique

$$\frac{dE}{dt} = 0 \quad 1 \text{ equation for 2 unknowns}$$



Underconstrained problem

Regularization Theory:

add constraints to the problem so that
its solution becomes unique

(and depends continuously upon changes
on data)

The theory of deformable objects says that
an infinitesimal deformation can always
be decomposed in:

- a rotation
- an expansion.
- shear 1.
- shear 2.

A linear 2D vector field can be
always decomposed in

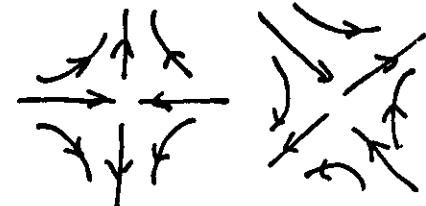
$$\alpha \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \beta \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} + \gamma \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + \delta \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$$



exp.



rot



shear 1



shear 2

$$\frac{d}{dt} \frac{E_x}{E_y} = 0 \Rightarrow F^* = \text{const}$$

direction of
gradient

if any two of F^*, G^*, H^*, I^* are stationary

$$\frac{d}{dt} (E_x^2 + E_y^2) = 0 \Rightarrow G^* = \text{const}$$

amplitude of
gradient

$\tilde{u} E_{xx} + \tilde{v} E_{xy} + E_{xv} = 0$

$$\frac{d}{dt} (E_x E_y) = 0 \Rightarrow H^* = \text{const}$$

shear I

$$\frac{d}{dt} (E_x^2 - E_y^2) = 0 \Rightarrow I^* = \text{const}$$

shear II

to solve the aperture problem E^* and F^* must intersect transversally

↓

$$\det H = \det \text{Hess } E(x, y, t) = E_{xx} E_{yy} - E_{xy}^2 \neq 0$$

$$\frac{d}{dt} \text{grad } E = 0$$

$$\tilde{u} E_{xx} + \tilde{v} E_{xy} + E_{xv} = 0$$

$$\tilde{u} E_{xy} + \tilde{v} E_{yy} + E_{yv} = 0$$

$$\tilde{\vec{v}} = \vec{v} + H^{-1} \left(M^T \text{grad } E + \text{grad } \frac{dE}{dt} \right)$$

$$H = \begin{pmatrix} E_{xx} & E_{xy} \\ E_{xy} & E_{yy} \end{pmatrix} \quad M^T = \begin{pmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ \frac{\partial v}{\partial x} & \frac{\partial v}{\partial y} \end{pmatrix}$$

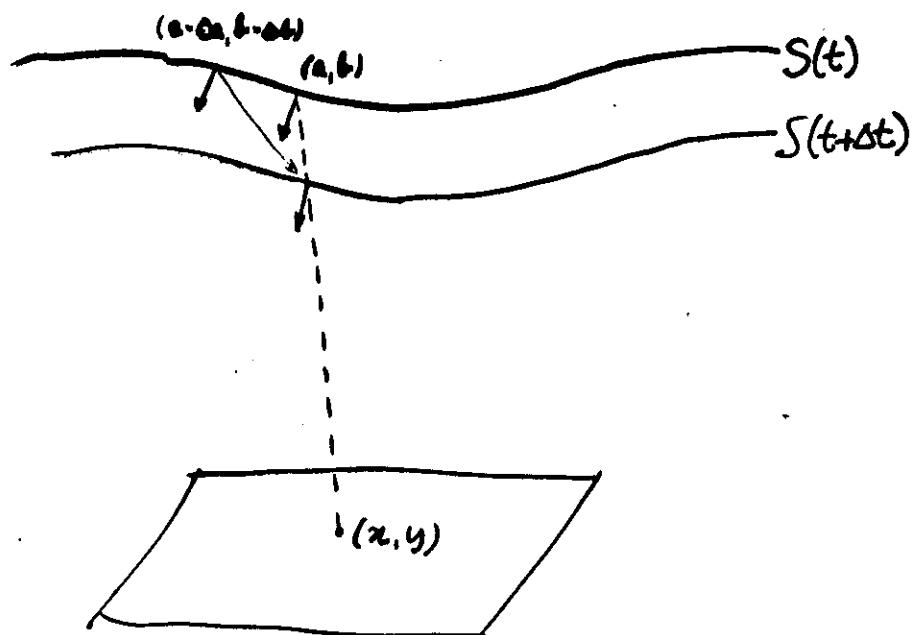
$$\text{if } \frac{dE}{dt} = 0$$

$$\tilde{\vec{v}}_1 = \vec{v} - H^{-1} \left((\text{jacobian } \tilde{\vec{v}})^T \text{grad } E \right)$$

$$\tilde{\vec{v}}_2 = \dots$$

Numerical implementation

- Smooth the image brightness over space and time
- Compute derivatives
- Solve $\frac{d}{dt} \bar{\nabla} E = 0$ where $|\det \text{Hess } E| > \epsilon > 0$
- "Regularize" the obtained vector field



SCENE \Leftrightarrow IMAGE
RADIANCE \Leftrightarrow IRRADIANCE

$$L = \rho \frac{\vec{I} \cdot \vec{N}}{I} + \sigma \left(\frac{\vec{R} \cdot \vec{D}}{RD} \right)^n$$

↑ ↑
Lambertian term specular term

Phong model (1975)

Variables which depend on
intrinsic parameters (texture)

Variables which depend on
position in space (illumination,
point of view, direction of reflection)

Lambertian Translation

$$V_L = O_F$$

Lambertian Rotation

$$V_L - O_F = \rho \frac{\vec{N} \cdot \vec{I} \times \vec{\omega}}{\|\vec{D}\|}$$

Specular Translation

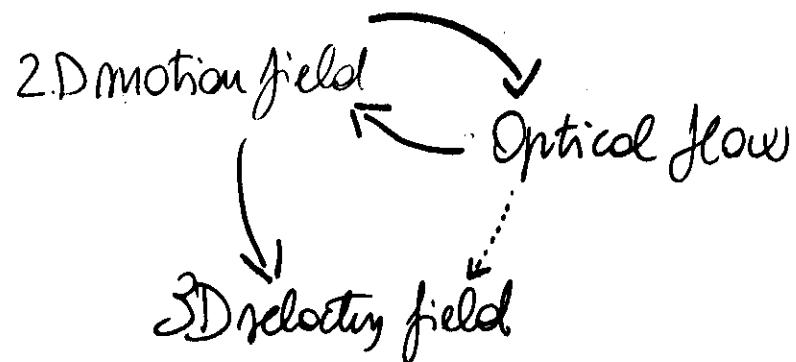
$$V_L - O_F = \frac{\sigma n}{D^3} \left(\frac{\vec{D} \cdot \vec{R}}{DR} \right)^{n-1} \frac{(\vec{r} \times \vec{D}) \cdot (\vec{R} \times \vec{D})}{\|\vec{D}\|}$$

Specular Rotation

$$V_L - O_F = g(\sigma, n, \vec{D}, \vec{R}, \vec{\omega}, \vec{x}_s, \vec{N}, \vec{m}, \vec{D}\vec{E})$$

$$\lim_{\|\vec{D}\| \rightarrow \infty} |v_i - o_r| = 0$$

It might be that OPTICAL FLOW
and MOTION FIELD allow the
same Qualitative description
(Theory of structural stability)



3D motion estimation

- Mathematical properties of the Motion field / Structural Stability
 - { Limit sets
- Characteristic Curve and qualitative description
- 3D motion from singular points:
pure translation, pure rotation,
and general motion.

The motion field is
a planar vector field usually
continuous and with continuous first
spatial derivatives

It is the "phase portrait" of a
dynamical system

What about its limit sets?

What about its singular points?

The 2D motion field is a planar
vector field (flow or dynamical
system)



- 1 - Peixoto Theorem
structurally stable dynamical systems are dense (generic, typical, ...). Therefore they do not show chaotic behaviour and have nice properties.
- 2 - Structurally stable planar vector fields have:
 - a finite number of singular points which are elementary (isolated) and non degenerate.
 - have a finite number of limit cycles.
 - do not have saddle-saddle points separatrix.
- 3 - Bendixon - Poincaré Theorem.
- 4 - Index of points.
- 5 - Well established theory of bifurcations.

Structural Stability

$$\begin{aligned}\dot{x} &= f(x, y, \lambda) \\ \dot{y} &= g(x, y, \lambda)\end{aligned}$$

(\tilde{x}, \tilde{y}) is a singular point if
 $f(\tilde{x}, \tilde{y}, \lambda) = 0$
 $g(\tilde{x}, \tilde{y}, \lambda) = 0$

a vector field X is structurally stable if all vector fields Y close to X are topologically equivalent to X .

$$M = \begin{pmatrix} \frac{\partial f}{\partial x} & \frac{\partial f}{\partial y} \\ \frac{\partial g}{\partial x} & \frac{\partial g}{\partial y} \end{pmatrix}_{(\tilde{x}, \tilde{y})}$$

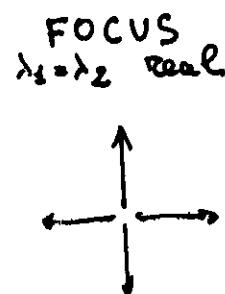
λ_1 eigenvalues
 λ_2 of M

- we need a topology

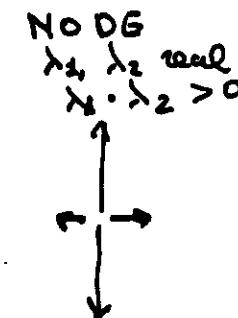
$$\begin{aligned}\dot{x} &= f(x, y) \\ \dot{y} &= g(x, y)\end{aligned}$$

$$\parallel \parallel = \sqrt{f_x^2 - f_y^2}$$

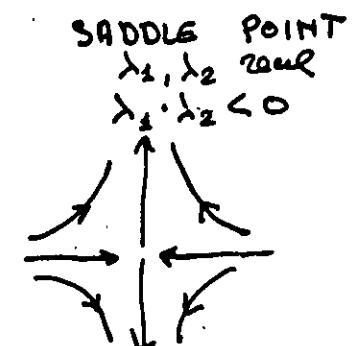
... ..



FOCUS
 $\lambda_1 = \lambda_2$ real



NO DG
 λ_1, λ_2 real
 $\lambda_1 \cdot \lambda_2 > 0$



SADDLE POINT

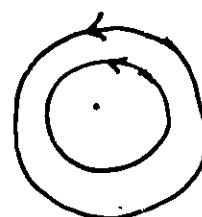
λ_1, λ_2 real

$\lambda_1 \cdot \lambda_2 < 0$

- we need a definition of equivalence

if there is an homeomorphism from trajectories of X to trajectories of Y which preserves singularities.

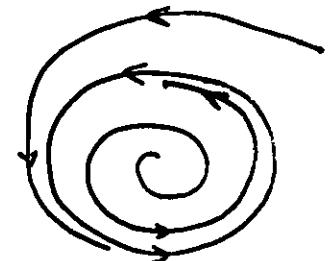
CENTER
 $\lambda_{1,2} = \pm i\alpha$



SPIRAL
 $\lambda_{1,2} = \beta \pm i\alpha$



LIMIT CYCLE



General Motion

$$\vec{V} = \vec{\omega} \wedge \vec{R} + \vec{T}$$

at a given instant we can always reduce this motion to an equivalent such that $\vec{\omega} \wedge \vec{T} = 0$ and $\vec{\omega} \cdot \vec{A} = 0$

so that

$$\vec{V} = \vec{\omega} \wedge \vec{x} - \vec{\omega} \wedge \vec{A} + \vec{T}$$

because $\vec{V} = \vec{T}_\omega + \vec{T}_\perp + \vec{x}\vec{\omega} \wedge \vec{x} - \vec{\omega} \wedge \vec{A}$
and \vec{T}_\perp $\vec{\omega} \wedge \vec{A}$ are both orthogonal to $\vec{\omega}$.

the characteristic curve Γ_m is the intersection of

$$x_A^2 - Ax_A + x_{\omega A}^2 = 0$$

cylinder

$$\omega x_\omega x_{\omega A} + Tx_A = 0$$

hyperbolic paraboloid

$$\text{Translation} \quad \vec{V} = \vec{T}$$

the locus of points Γ_T such that

$$\vec{e}_3 \wedge (\vec{T} \wedge \vec{x}) = 0$$

is a straight line parallel to \vec{T} through the optical focus.

$$\text{Rotation}$$

$$\vec{V} = \vec{\omega} \wedge \vec{R} - \vec{\omega} \wedge \vec{A}$$

let consider

$$(\vec{\omega} \wedge \vec{x} - \vec{\omega} \wedge \vec{A}) \wedge \vec{x} = 0$$

by projecting along $\vec{\omega}$, \vec{A} and $\vec{\omega} \wedge \vec{A}$

$$X_\omega (X_A - A) = 0$$

$$x_A^2 - Ax_A + x_{\omega A}^2 = 0$$

$$X_{\omega A} X_\omega = 0$$

solutions are

$$(X_\omega, A, 0)$$

i.e. of rotation

$$(0, X_A, X_{\omega A})$$

such that $x_A^2 - Ax_A + x_{\omega A}^2 = 0$

$$\therefore \text{f. H. e.g. } \begin{pmatrix} c & c & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Characteristic Curve

The characteristic curve of a motion Γ is the locus of points in the space \mathbb{R}^3 such that their perspective projection is a singular point, that is a point \vec{x} such that

$$\vec{v}(\vec{x}) = 0$$

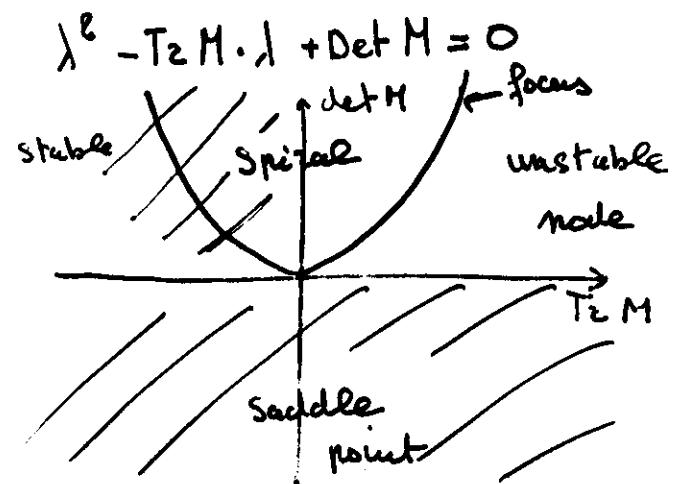
It is possible to define for each motion a characteristic curve $\Gamma_T, \Gamma_R \in \Gamma_{gm}$ independently of the shape of the object.

The singular points for a given motion and a given object are the projections of the intersection of Γ with the visible surface of the moving object.

Properties of singular points

- 1 - $P(\Gamma_T)$ is a point
- 2 - $P(\Gamma_R)$ is a pair of two lines
- 3 - $P(\Gamma_{gm})$ is rather arbitrary

The nature of a singular point is described by the behaviour of the linear approximation of the field around it. The qualitative nature is described by the eigenvalues of the matrix $M_{ij} = \frac{\partial v_i}{\partial x_j}$ | singular point



We have

$$M_{ij} = \frac{1}{\vec{\alpha} \cdot \vec{\omega}} ((\vec{\alpha} \cdot \vec{e}_i)(\vec{\omega} \cdot \vec{\omega}) \vec{e}_j \wedge \vec{\omega} \cdot \vec{e}_j - (\vec{\alpha} \cdot \vec{e}_j)(\vec{\omega} \cdot \vec{\omega}))$$

$$\vec{e}_j \wedge \vec{\omega} \cdot \vec{e}_j - (\vec{\alpha} \cdot \vec{\omega})(\vec{\omega} \cdot \vec{e}_i) \vec{e}_j \wedge \vec{\omega} \cdot \vec{e}_j +$$

$$+ (\vec{\alpha} \cdot \vec{\omega})(\vec{\omega} \cdot \vec{\omega}) \vec{e}_j \wedge \vec{e}_i \cdot \vec{e}_j) - \frac{V_3}{X_3} \delta_{ij}$$

and also

$$\text{Tr } M = -\epsilon \frac{V_3}{X_3} + \frac{\vec{\alpha} \wedge \vec{\omega} \cdot \vec{\omega}}{\vec{\alpha} \cdot \vec{\omega}}$$

$$\text{Det } M = \frac{V_3^3}{X_3^3} - \frac{V_3}{X_3} \frac{\vec{\alpha} \wedge \vec{\omega} \cdot \vec{\omega}}{\vec{\alpha} \cdot \vec{\omega}} + \frac{(\vec{\alpha} \cdot \vec{\omega})(\vec{\omega} \cdot \vec{\omega})}{\vec{\alpha} \cdot \vec{\omega}}$$

where $\vec{\alpha}$ is the unit normal vector to the tangent plane to the moving surface at the point \vec{x} which projects onto the image plane in $\vec{\omega}$.

$(\vec{e}_1, \vec{e}_2, \vec{e}_3)$ are the three unit vectors parallel to X, Y, Z axis

Recovering Translation

1 - The point $P(T) = f\left(\frac{T_1}{T_3}, \frac{T_2}{T_3}\right)$

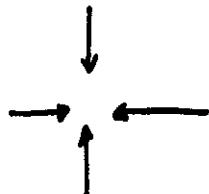
2 - The eigenvalues of $P(T)$ are equal and real

$$\lambda = -\frac{T_3}{X_3}$$

$$\frac{1}{\lambda} \text{ time to crash}$$

Computation of time to crush

for pure translation the singular point (the focus of expansion) is a pure node



Locally the 2-D vector field is

$$v_x = \lambda x + \dots$$

$$v_y = \lambda y + \dots$$

The analysis of singularities of the 2-D motion field says

$$\text{Time to crush } T = \frac{1}{\lambda}$$

$$\lambda = \frac{v_x}{x} = \frac{v_y}{y}$$

Accuracy of more than 90%

Recovery of rotation

A - immobile point $\vec{V} = 0$
does not move on the image plane

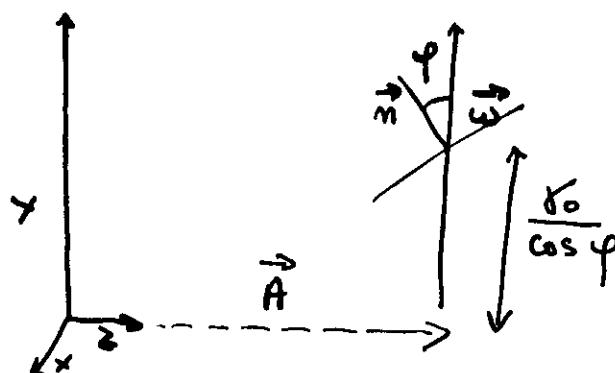
$$T_2 M = \frac{\vec{\alpha} \wedge \vec{x} \cdot \vec{\omega}}{\vec{\alpha} \cdot \vec{x}}$$

$$\text{Det } M = \frac{(\vec{\alpha} \cdot \vec{\omega})(\vec{x} \cdot \vec{\omega})}{\vec{\alpha} \cdot \vec{\omega}}$$

we obtain in the $T_2 M, \text{Det } M$ plane

$$(\det M - \frac{g^2 \omega^2}{g^2 - 1})^2 + \frac{g^2 \omega^2}{g^2 - 1} T_2^2 M = \frac{g^2 \omega^4}{(g^2 - 1)^2}$$

$$\text{where } g = \frac{\delta_0}{A \sin \varphi}$$



φ is the angle between the normal to the surface and the rotation axis.

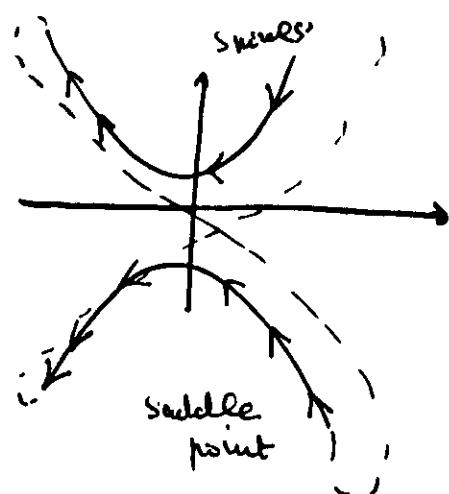
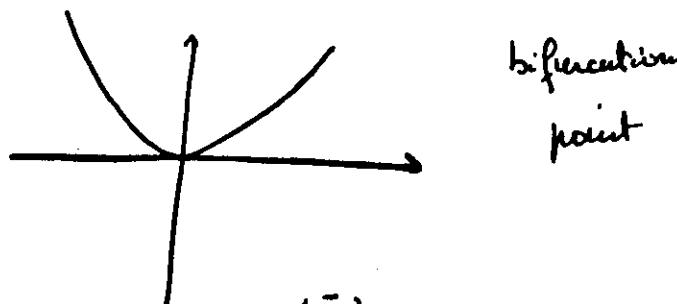
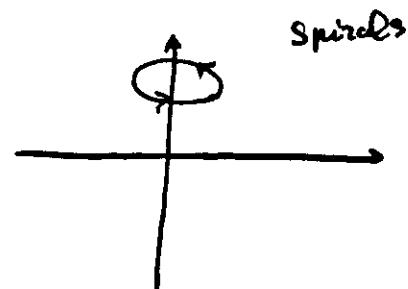
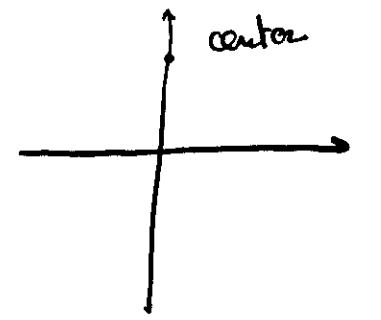
$$g = \infty$$

$$\varphi = 0$$

$$g > 1$$

$$g = 1$$

$$g < 1$$



Computation of angular velocity

for pure rotation when the axis of rotation is perpendicular to the surface, the immobile point is a pure center



Locally the 2-D vector field is

$$v_x = ax + by + \dots$$

$$v_y = cx - ay + \dots$$

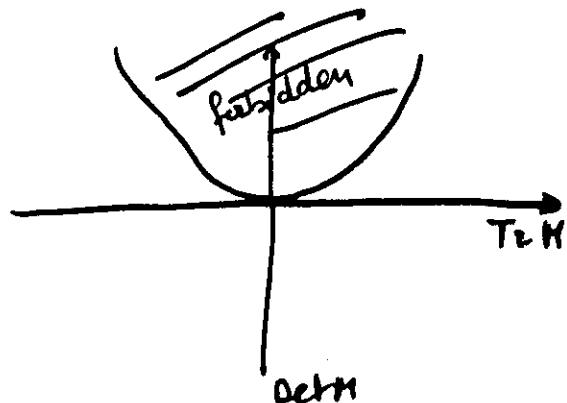
The analysis of singularities of the 2-D motion field says

$$\omega = \det \begin{vmatrix} a & b \\ c & -a \end{vmatrix}$$

accuracy $\sim 90\%$

B- Singular points $\vec{V} = \vec{\omega} \wedge \vec{x} - \vec{\omega} \wedge \vec{A}$

$$\text{Det } M = \frac{T_2^2 M}{4} - \frac{1}{4} \left(\frac{\vec{\alpha} \wedge \vec{\omega} \cdot \vec{x}}{\vec{\alpha} \cdot \vec{v}} \right)$$



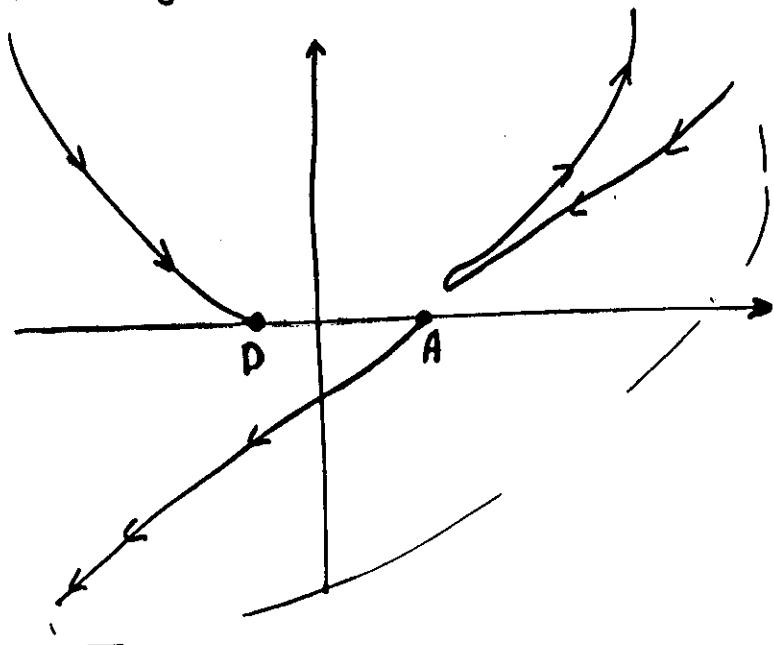
- cannot be Spirals
- They move always on a straight line in the image plane.

When the object is a plane we have

$$T_2 M = \frac{\omega}{2} \frac{\cos \omega t \mp 3\sqrt{1-g^2(b)}}{g - \sin \omega t}$$

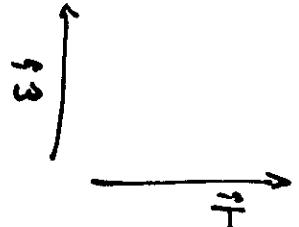
$$\text{Det } M = \frac{\omega^2}{2} \frac{1-g^2(b) \mp \cos \omega t \sqrt{1-g^2(b)}}{(g - \sin \omega t)^2}$$

$$g(b) = 2g - \sin \omega t \quad \pm \text{ for the 2 S.P.}$$



General Motion

$$A = \vec{\omega} \cdot \vec{T} = 0 \quad \text{orthogonal rotation}$$



We can rewrite as

$$\vec{v} = \vec{\omega} \wedge \vec{x} - \vec{\omega} \wedge \vec{A}'$$

where \vec{A}' depends on time

$$\vec{A}' = \vec{A}_0 + \vec{T} b$$

$$|\vec{A}'| = |\vec{A}_0| \cdot \sqrt{1 + 2 \frac{\vec{A}_0 \cdot \vec{T}}{A_0^2} t + \vec{T}^2 t^2}$$

formally similar to rotation but with variable \vec{A} .

The "immobile" point moves on the image plane along a straight line.

B - axial rotation

$$\vec{T} \parallel \vec{\omega}$$

$$\vec{v} = \vec{\omega} \wedge \vec{x} - \vec{\omega} \wedge \vec{A} + \vec{T}$$

at every time

the characteristic curve T_{gm} does not move with time.

We have

$$\det M = \frac{1}{4} T^2 M - \left(\frac{\vec{\omega} \wedge \vec{v} \cdot \vec{\omega}}{\vec{\omega} \cdot \vec{v}} \right) + \frac{(\vec{\omega} \cdot \vec{\omega})(\vec{x} \cdot \vec{\omega})}{\vec{\omega} \cdot \vec{x}}$$

when $T \rightarrow \infty$

$$\downarrow \\ 0$$

and we have only spirals.

