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**WORKSHOP
GLOBAL GEOPHYSICAL INFORMATICS WITH APPLICATIONS TO
RESEARCH IN EARTHQUAKE PREDICTIONS AND REDUCTION OF
SEISMIC RISK**

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**FOCAL DEPTHS AND SOURCE PARAMETERS
OF THE ROCKY MOUNTAIN HOUSE EARTHQUAKE SWARM
FROM DIGITAL DATA AT EDMONTON**

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**Focal depths and source parameters of the Rocky Mountain House earthquake swarm
from digital data at Edmonton**

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Seismic records at Edmonton (EDM) and Suffield (SES) between January 1976 and February 1980 show 220 events with magnitudes less than 4 originating near Rocky Mountain House. Many of these events show well defined S_w , S_p , and P_s phases and a small variation in the difference of $S_w - S_p$ and $S_p - P_s$. Analysis of the theoretical travel times using a structure determined for central Alberta yields an average focal depth of 20 ± 5 km and an average epicentral distance of 175 ± 5 km southwest of Edmonton for 40 of these events. Because S_w was not clear on the remainder, it was not possible to get focal depths for all the events.

Seismic moments of 80 events with local magnitudes from 1.6 to 3.5 were found to be in the range of $6.6 \pm 2 \times 10^{10}$ to $7.9 \pm 2 \times 10^{10}$ dyn·cm ($6.6 \pm 2 \times 10^{11}$ to $7.9 \pm 2 \times 10^{11}$ N·cm). A relationship between local magnitude and seismic moment was $\log(M_0) = 1.3M_L + 16.6$. This is similar to that determined for California. Source radii, where they could be determined, were 500 ± 50 m and stress drops were 0.75 ± 0.75 bar (75 ± 75 kPa).

The energy release of 263 events recorded at EDM from the Rocky Mountain House area was 5.6×10^{17} erg (5.6×10^{10} J). The b value for this earthquake swarm was 0.8, similar to that observed in other parts of western Canada.

The depths of focus, the low stress drops, and the statistical similarity to other natural earthquake sequences suggest that at least part of the swarm is of a natural origin.

Les enregistrements sismiques à Edmonton (EDM) et à Suffield (SES) effectués entre janvier 1976 et février 1980 signalent 220 événements de magnitudes inférieures à 4 et dont l'origine se situe près de Rocky Mountain House. Plusieurs de ces événements sismiques présentent des phases bien définies de S_w , S_p et P_s et une faible variation de la différence $S_w - S_p$ et $S_p - P_s$. L'analyse de la durée des temps théoriques de propagation fondée sur une structure définie pour le centre de l'Alberta fournit pour 40 de ces événements une profondeur moyenne de foyer à 20 ± 5 km et une distance moyenne de l'épicentre à 175 ± 5 km au sud-ouest d'Edmonton. Vu que S_w n'est pas défini clairement pour les autres événements, il est impossible de déterminer leurs profondeurs de foyer.

Les moments sismiques pour 80 événements de magnitudes locales variant de 1.6 à 3.5 sont compris entre $6.6 \pm 2 \times 10^{10}$ et $7.9 \pm 2 \times 10^{10}$ dyn·cm ($6.6 \pm 2 \times 10^{11}$ à $7.9 \pm 2 \times 10^{11}$ N·cm). La relation de la magnitude locale avec le moment sismique était $\log(M_0) = 1.3M_L + 16.6$. Cette relation est analogue à celle trouvée en Californie. Le rayon de la source, quand il fut possible de le déterminer, était entre 500 ± 50 m et les chutes de contrainte étaient de 0.75 ± 0.75 bar (75 ± 75 kPa).

L'énergie libérée par les 263 événements enregistrés à EDM provenant de la région de Rocky Mountain House était 5.6×10^{17} erg (5.6×10^{10} J). La valeur b de ce groupe de tremblement de terre était 0.8, semblable à celle observée pour d'autres régions de l'ouest du Canada.

Les profondeurs de foyer, les faibles chutes de contrainte et les données statistiques analogues à d'autres séquences de tremblements de terre naturels indiquent qu'au moins une partie du groupe étudié ici est d'origine naturelle.

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Introduction

Since 1976 the digital seismic station at Edmonton (EDM) has been detecting unusually numerous small seismic events apparently originating near Rocky Mountain House. This is a discussion of these data, augmented by some observations from other analog stations in western Canada. Regional epicentre locations for these events are near 52.26°N and 115.13°W (Fig. 1).

As a consequence of the fact that no destructive earthquakes have occurred, or appear likely to occur, in Alberta and Saskatchewan, the distribution of seismic stations in space and time in this area is not well suited to the study of small activity with local magnitudes of less than three (Milne *et al.* 1978). The best review of western Canadian seismicity (Milne *et al.* 1978) is a general survey. The activity near Bengough (Horner

et al. 1973) has been well documented, but is not relevant to the Foothills of the Rocky Mountains. Significant earthquakes have occurred west of Rocky Mountain House (Rogers and Ellis 1979; Rogers *et al.* 1980) and north of Rocky Mountain House in Willmore Provincial Park (Nyland and Rebollar, in preparation), but these events are a considerable distance from the subject of this paper, an apparently localized swarm of seismic activity near Rocky Mountain House.

All of the activity discussed here is small. Much of it was not routinely archived anywhere except in Edmonton. We rely on $S-P$ times at Edmonton, some locations based on regional stations, and pronounced similarities in wave form to isolate events to the Rocky Mountain House region. We then examine the digital records maintained at Edmonton to identify S_w and P_s phases and analyse these. These S_w and P_s phases are not

Layer thickness (km)	V_p (km/s)	V_s (km/s)
2.0	3.6	1.81
1.5	6.1	3.07
28.5	6.2	3.51
13.0	7.2	4.15
Half space	8.2	4.73

Layer thickness (km)	V_p (km/s)	V_s (km/s)
1.3	2.68	1.35
1.3	4.59	2.31
29.4	6.20	3.57
13.0	7.20	4.15
Half space	8.20	3.75

165 km	α	Distance	α	180 km
0.1 km	α	Depth	α	40 km
5.0 km/s	α		α	6.5 km/s
30.0 km	α	Layer 4	α	35.0 km
7.0 km/s	α		α	7.6 km/s
10.0 km	α	Layer 2	α	20.0 km
7.9 km/s	α	α ball space	α	8.3 km/s

H	V_n	V_s	H	V_n	V_s
34.14	6.25	3.45	30.83	6.25	3.45
14.10	7.30	4.01	10.80	7.50	4.15
Half space	8.10	4.48	Half space	8.23	4.55

The data at EDM do not contradict the existence of shallow activity at Rocky Mountain House. Only 41 of the 220 observed events in the digital system showed positive $S_2 - S_1$ times. Small $S_2 - S_1$ or negative values of this phase difference would lead to masking of S_2 in the S_1 wave train. Those events for which S_2 cannot be picked can be explained as occurring at depths of less than 10 km. The sharpness of the observed distribution of $S_2 - S_1$ suggests a concentration of activity at 20 ± 5 km depth.

It is possible with a computer search algorithm (ZXSRCH from the International Mathematical Statistical Libraries (IMSL, 1979)) to determine N possible heptads of numbers contained within a seven-dimensional rectangle such that the N heptads are evenly distributed in the possible range (Table 4) of the solutions. For no particularly good reason, other than the desire to limit computation, we set N to 1000 and let the computer find those heptads that define solutions to the inverse problem; only two models fit (Table 5). They both require local depths in excess of 10 km.

ED (km)	$P_c - P_n$	$S_c - S_n$	$S_c - P_c$
170	-1.06	-1.71	20.90
185	-0.47	-0.68	22.68
170	-0.51	-0.75	20.92
185	0.07	0.27	22.70
170	0.06	0.23	20.95
185	0.64	1.25	22.73
170	0.65	1.27	21.00
185	1.23	2.28	22.78

a distance corresponding to that between Rocky Mountain and Edmonton, the $S_2 - P_1$ time does not depend on the choice of focus. It is thus possible to adjust this depth to satisfy observations of refracted S (S_n) that we obtained from digital archives at Edmonton. It was, however, not always possible to match refracted P (P_n) as well. This is not surprising, since P_n is not well observed even on the records we used. We did find that the seismicity was associated with depths in excess of 10 km.

Obviously such depth calculations are model dependent. One way to explore the degree of this dependency is to determine the effect of small changes in the model. Another plausible structure (Table 2) also yields the result that the average depth of the activity is greater than 10 km. The predicted values for the time differences for various phases (Table 3) for this model are such that the average of the observed values indicates depths of the order of 20 km and epicentral distances in the range 170–180 km. Again $P_r - P_s$ does not fit well. On the other hand, time plots for events at various depths with a dipping interface and a flat Riel discontinuity, we note that only once

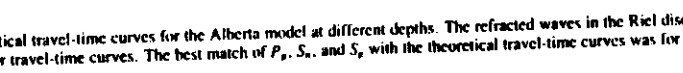


FIG. 4. Theoretical travel-time curves for the Alberta model at different depths. The best match of the observed travel-time curves was for a source depth of 20 km. The difference between the observed and theoretical travel-time curves was not apparent in our travel-time curves. The best match of P_s , S_n , and S_g with the theoretical travel-time curves was for a source depth of 20 km.

There is also shallow seismicity at Rocky Mountain House. One week of observations with a Sprengnether DR100 recording system (Rebollar *et al.*, 1982) found mainly shallow activity, but one event showed an $S-P$ time of 2.1 s. Unfortunately, this event cannot be located for the Earth Physics Branch portable analog stations (R. Wetmiller, personal communication, 1981), and the Edmonton digital station was not operating. We are continuing a program of recording at Rocky Mountain House and hope soon to acquire data for several swarm events recorded both at Rocky Mountain House and EDM. This would provide final confirmation of deep seismicity at Rocky Mountain House and raise the very interesting problem of its cause.

A definitive proof would consist of a simultaneous observation at EDM and at Rocky Mountain House of a deep event. We suggest this may be difficult. In 5 years there were 48 events on which S_0 could be picked at EDM. Although we are continuing to observe, it may take some time to establish the cause for seismic activity in the basement structures of the Rocky Mountain Foothills.

Spectral analysis

Digital data provide other information on source conditions. For spectral analysis of the body waves becomes feasible without tedious digitization on doubtful records. These digital data

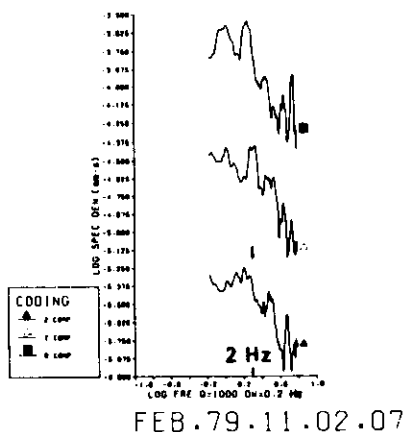


FIG. 5. Spectra of a typical event. The amplitude of radial and tangential components has been increased in order to plot them together. The spectra were smoothed with a Daniel window (DW) of 0.2 Hz.

can yield source parameters. In order to extract good source information, a high sampling rate, a high dynamic range, and a broad band system are desirable. Unfortunately, EDM was designed to detect teleseismic activity. It has a sampling rate of 18 samples per second and a reliable band of frequencies between 0.5 and 7 Hz.

Our basic data are the digitized components of the S phases of the signals identified as originating in the Rocky Mountain House area. These were always band-pass filtered in the range 0.5–7 Hz. By suitable rotations, S_H was isolated on the transverse component and was combined with the vertical and radial components to isolate S_V .

Clowes and Kanasevich (1970), studying the attenuation in southern Alberta, found a Q of 300 in the sedimentary layers

and a Q of 1500 for the basement. Therefore, we approximate the attenuation with a Q of 1000 in order to take into consideration the sediments. The signal was corrected for attenuation, instrument response, and distance. We use a sample length of 20 s in which we included S_H , S_V , and scattered waves near the station. Corner frequencies (Fig. 5) were difficult to recognize in many cases because of the narrow bandwidth.

Source parameters can be derived from these spectra (Brune 1970, 1971). As only one station was used in this study, the radiation pattern was approximated as the root-mean-square (rms) average over the focal sphere for S waves (0.63). We calculate the correction for amplification at the free surface for S_V waves using Nuttli's (1961) formulation. The correction for free surface amplification is 1.04 for the S_V vertical component

$$\begin{aligned} M_0 &= 1.81 \times 10^{19} \text{ dyn} \cdot \text{cm} \\ r &= 588 \text{ m} \\ \Delta\sigma &= 0.03 \text{ bar} \\ M_L &= 2.3 \end{aligned}$$

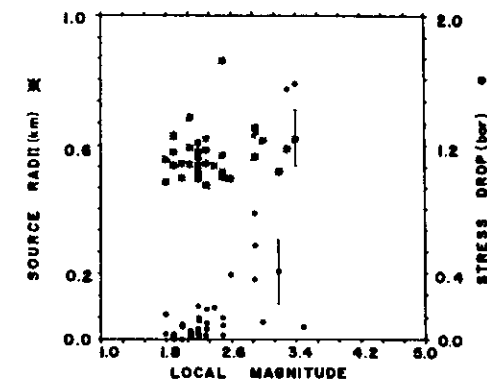
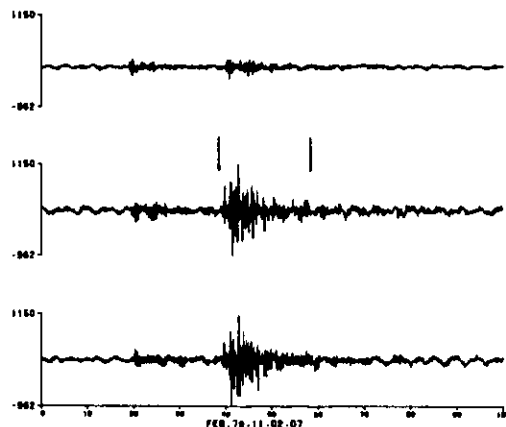


FIG. 6. Source radii and stress drops derived from S_H spectra plotted against local magnitude. Error bars were calculated according to the propagation errors of corner frequency and seismic moment.

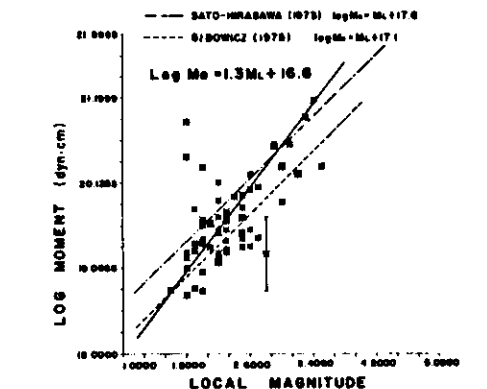


FIG. 7. Comparison of theoretical relationship between M_0 and M_L for a circular fault (Sato and Hirasawa 1973 model) and Gibowicz (1975) relationships with our experimental relationship.

interval of 0.65 ± 0.35 times the shear-wave velocity seems reasonable. Similar bounds on the shear-wave velocity are 3.53 ± 0.1 km/s. Those corner frequencies we have obtained suggest that the source radius will be 0.6 ± 0.2 km. Lacking any orientation information, we took an average value for the radiation pattern correction of 0.6 and an azimuth of 90° . The source function B depends on the model and the angular frequency at which we do the calculation (by definition at 0.8 Hz). We set the rise time to be the ratio of the rupture size to the rupture velocity.

If we assume a rupture velocity of 0.99β , an S -wave velocity of 3.52 km/s, a density of 2.9 gm/cm³, and a source dimension $2r$ of 1 km we get

$$\log(M_0) = M_L + 17.6$$

This relationship seems to fit our data. The intercept (17.6) of the relationship was modelled for a wide variety of rupture

and 1.75 for the S_V radial component considering an angle of incidence of 24.5° .

The source parameters, moment M_0 (dyn-cm), source radius r (km), and stress drop (bar) are related to the spectral characteristics (Brune 1970, 1971) by

$$M_0 = 4\pi\rho R\beta^3\Omega/R_{\omega}A$$

$$r = 0.37/f$$

$$\Delta\sigma = 106\rho R\Omega f^3/10^6$$

where $\beta = 3.37$ km/s is the S wave velocity; $\rho = 2.9$ g/cm³ is the density; Ω is spectral amplitude at zero frequency; f is corner frequency; R is epicentral distance; R_{ω} is radiation pattern correction; and A is free surface amplification correction.

Computations were made on the spectra of 78 events. Usually the seismic moment calculated from the S_H wave was higher than that calculated from S_V . Their average was used. The error in seismic moments is 2 SD (standard deviations). The corner frequency was identified (between 1.8 and 2.9 Hz) for 34 events. Those corner frequencies gave source radii of 500–600 m and stress drops of 0.01–1.5 bar (1–150 kPa) (Fig. 6). Obviously at this epicentral distance and with this narrow band system, we sampled only a restricted number of events with similar source parameters.

It should be noted that the stress drops reported here are at best lower bounds of the actual values of the stress drops.

Seismic moment and local magnitude

Because we deal here with a relatively large collection of data, average behaviour is probably the best representation of the spectral information. In addition to seismic moment, local magnitude is relatively easy to determine, and we have calculated local magnitudes for all events for which we obtained seismic moments. Seismic moments range from $6.39 \pm 2 \times 10^{18}$ ($M_L = 1.6$) to $2.21 \pm 2 \times 10^{20}$ ($M_L = 3.5$) dyn-cm ($6.39 \pm 2 \times 10^{11}$ to $2.21 \pm 2 \times 10^{13}$ N-cm). Our data show more scatter (Fig. 7) than those on similar plots (Wyss and Brune 1969; Thatcher and Hanks 1973), but our linear trend is similar. The best fit to our data is

$$\log(M_0) = 1.3M_L + 16.6$$

If we are to interpret the two numbers that seem to represent the observations to some degree we have to assume some model of the earthquake source. Such models are legion, but they all have some features in common. Therefore, we constructed a relation between local magnitude and seismic moment assuming that the Sato and Hirasawa (1973) circular model is representative of the source processes at Rocky Mountain House.

If we assume that all events of the swarm have the same orientation, rupture velocity, and rupture area, we can calculate the predicted spectral amplitude at 0.8 Hz (and hence the predicted local magnitude) as a function of seismic moment. Then the S -wave amplitude at frequency 0.8 Hz will have the form (Sato and Hirasawa 1973)

$$A(\omega) = M_0 R_{\omega} B(\omega, \nu, \zeta, \phi, \tau) 4\pi\rho\beta^3$$

where β is S -wave velocity; ν is rupture velocity; ζ is rise time; r is source radius; R_{ω} is radiation pattern; ϕ is azimuth; M_0 is seismic moment; B is source function; and ω is angular frequency.

The parameters of this relation are of varying uncertainty. Clearly the rupture velocity is hard to define a priori other than by bounds. To say that the rupture velocity must be in the

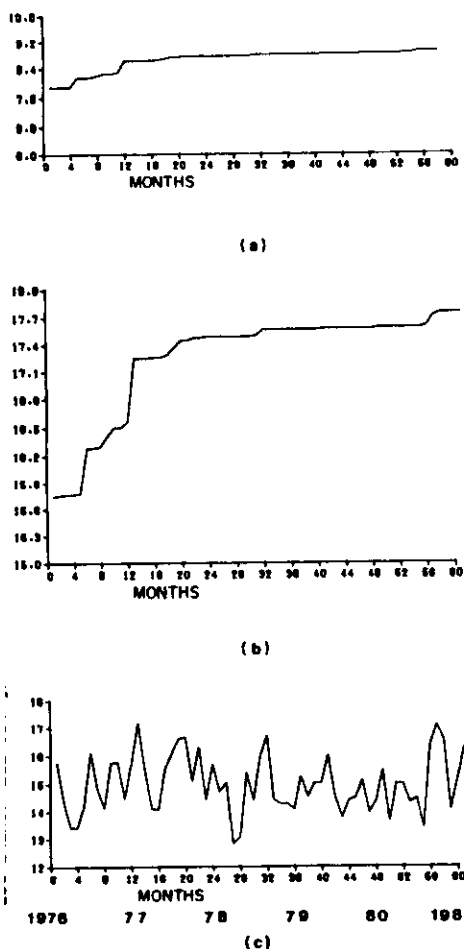


FIG. 8. Strain release (a), cumulative energy release (b), and energy release (c) from the Rocky Mountain House earthquake swarm calculated at EDM, 1976–1980.

velocities, shear-wave velocities, densities, source dimensions, radiation pattern, and azimuths, using again the sub-routine ZXSRCR (IMSL 1979). The best fit was found to be $\log(M_0) = M_L + 17.1$, assuming a shear and rupture velocity of the same magnitude (3.5 km/s), a density of 2.8 gm/cm³, a source dimension of 100 m, a radiation pattern of 0.5, and an azimuth of 71°. Therefore, this search for the best fit tends to give smaller source dimensions than those observed in our spectral analysis. Gihowicz (1975) calculated a similar theoretical relation using the Randall (1973) graphical relation given by

$$\log(M_0) = M_L + 17.16$$

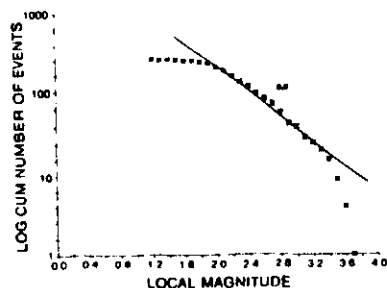


FIG. 9. Evaluation of the b value for the Rocky Mountain House earthquake swarm.

for events with source dimensions less than 500 m. This is essentially the same relationship that we found.

Energy release and b value

We used Gutenberg and Richter's (1942, 1956) energy–magnitude empirical relationship in order to calculate the seismic energy release of the Rocky Mountain House earthquake swarm: this is given by $\log_{10} E_s = 9.4 + 2.1 M_L - 0.024 M_L^2$, where E_s is seismic energy and M_L is local magnitude.

This empirical relationship depends on the theoretical study of seismic radiation at short epicentral distances; therefore, it can give a reasonable estimate of the seismic energy for small earthquakes from the Rocky Mountain House earthquake swarm.

An average of 10^{11} erg/month (10^8 J/month) was released during 58 months since 1976. The contribution of small earthquakes to the total seismic energy release is negligible (Fig. 8). The total seismic energy released during this period was 5.6×10^{17} erg (5.6×10^{10} J), equivalent to a single earthquake of magnitude (M_L) 3.9. The total seismic energy release of possible deep events, i.e., earthquakes that show clear S_n refracted phases, was 5.49×10^{17} erg (5.49×10^{10} J). This means that 98% of the total seismic energy release was released by possible deep events (depths greater than 10 km). The cumulative seismic strain release (Fig. 8a) yields a maximum strain release of 7.5×10^4 erg^{1/2} (75 J^{1/2}).

The strain release as a function of time (Fig. 8b) shows a maximum release of strain energy during the first year of the swarm (1976). After that the strain is accumulated and represented by the flat part of the plot. However, whether the strain energy is released in small earthquakes or is accumulated cannot be answered from this short period of observations.

Using 242 events we calculated the cumulative number of events versus local magnitude (Fig. 9) or the Gutenberg and Richter (1956) frequency–magnitude relation given by $\log_{10} N = a - b m_L$, where N is the number of earthquakes for unit time, a and b are constants, and m_L is local magnitude. We used some of the magnitudes calculated by the Earth Physics Branch (EPB), Department of Energy, Mines and Resources Canada, and reported in their bulletin. For events not reported by EPB, we calculated the local magnitude according to Richter (1958).

There are three main factors that limit the accuracy of the evaluation of the b value. The magnitudes are uncertain, small events are undetected, and there are few events because of the short time of observations (Milne *et al.* 1978). Uncertainties in the evaluation of magnitudes are difficult to estimate if the

magnitudes are calculated at a single station. The Edmonton station detects events from the Rocky Mountain House area with magnitudes greater than 1.2, as can be seen from the frequency–magnitude relationship. However, some events in the range from 1 to 2 are undetected. The b value for the Rocky Mountain House earthquake swarm is 0.8 and lies in the range of values (between 0.6 and 1.5) found by Everden (1970) using worldwide seismic data, and is similar to the values found by Milne *et al.* (1978) in western Canada (those values range from 0.65 to 0.82).

Conclusions

Events detected at Edmonton from the Rocky Mountain House earthquake swarm appear to have a source depth greater than 10 km. This conclusion is based on the match of refracted phases with theoretical travel-time curves for a model of central Alberta. A portable digital seismic station was operated during August of 1980 at 52.26°N and 115.13°W, approximately 155 km southwest of EDM. Events detected during this period of time indicate mainly shallow activity (less than 6 km). Nevertheless, there is one event with an S – P time greater than 2 s, indicating a distant or deep event. Therefore, two kinds of activity may exist: deep activity possibly associated with thrust faults of the basement of the Foothills of the Rocky Mountains, and shallow activity possibly induced by secondary and tertiary recovery methods in the Strachan gas field.

Seismic moments calculated for events with a local magnitude of 1.6–3.5 vary from $6.39 \pm 2 \times 10^{16}$ to $2.21 \pm 2 \times 10^{19}$ dyn·cm ($6.39 \pm 2 \times 10^{11}$ to $2.21 \pm 2 \times 10^{14}$ N·cm). A relation between seismic moment and local magnitude was found to be $\log(M_0) = 1.3 M_L + 16.6$ for magnitudes from 1.6 to 3.5. This relationship suggests average properties of the source that, in terms of the Sato and Hirasawa (1973) model, give small source dimensions, approximately 100 m, and near-sonic rupture velocities.

The total seismic energy release for a period of 58 months was 5.6×10^{17} erg (5.6×10^{10} J); 98% of this energy was probably released by deep events (events with clear refracted phases at Edmonton). The b value of this earthquake swarm was 0.8, similar to that observed in other parts of western Canada.

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