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UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION



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WORKSHOP  
GLOBAL GEOPHYSICAL INFORMATICS WITH APPLICATIONS TO  
RESEARCH IN EARTHQUAKE PREDICTIONS AND REDUCTION OF  
SEISMIC RISK

(15 November - 16 December 1983)

THE BLOCK MODEL OF EARTHQUAKE SEQUENCES:  
INSTABILITY AND PREDICTION

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## The Block Model of Earthquake Sequences:

### Instability and Prediction

**Objective:**  
To simulate an artificial catalog  
of seismicity for long-term  
earthquake prediction studies

## COMPUTER SIMULATION:

**Generation of earthquakes by interaction of lithospheric blocks.**

**Blocks are divided by thin layers, elastic or with more complicated rheology.**

### GOALS:

- Imitate real earthquake country.
- Find basic regularities on the model with simple geometry.

### RELEVANCE TO PREDICTION:

- Internal parameters are known, unobservable in reality. This promises physical interpretation of observed "external" phenomena.
- Long catalog can be generated (hundreds of strong earthquakes) to test algorithms

## Seismotectonic process:

**Constant influx of energy released by discrete events**

**Stochastic behaviour:  
main shock sequence is close to Poissonian**

**Stationary process:  
No noticeable trend in behaviour**

**Earthquake sequences:**

**frequency of occurrence law**

**clustering, aftershocks,  
foreshocks**

**migration, long-range interaction**

**seismic cycle**

**premonitory seismicity patterns**

**Lithosphere:**

**spatial heterogeneity**

**hierarchical block structure**

**nonlinear rheology**

**thermodynamical processes**

**physico-chemical and phase  
transitions**

**fluid migration, stress corrosion**

## NONLINEAR SYSTEMS

### Instability:

- strong dependence on initial values and external disturbances
- pseudostochastic behaviour

### Self organisation:

- stable statistical characteristics
- attractors
- spatial-temporal correlations

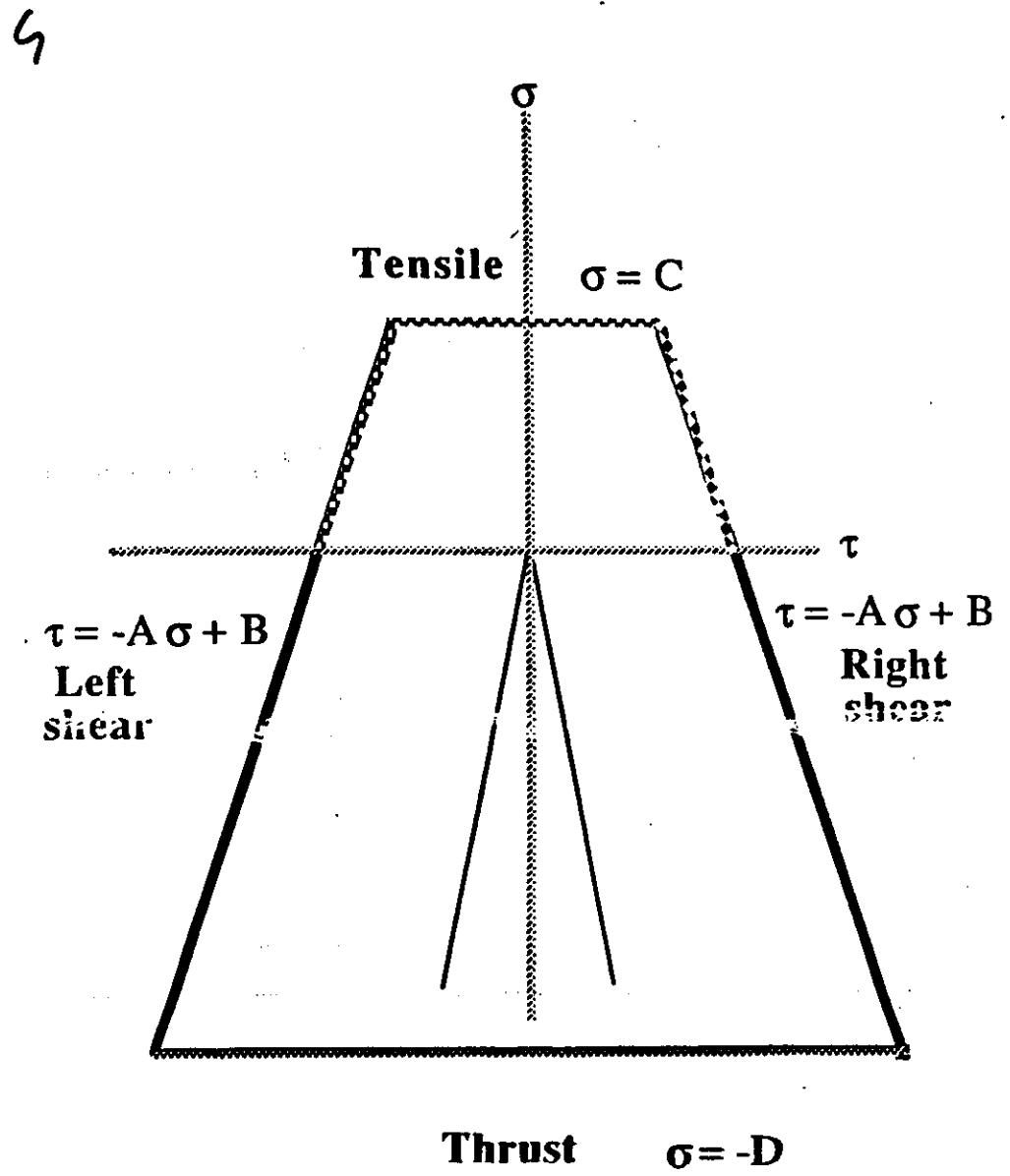
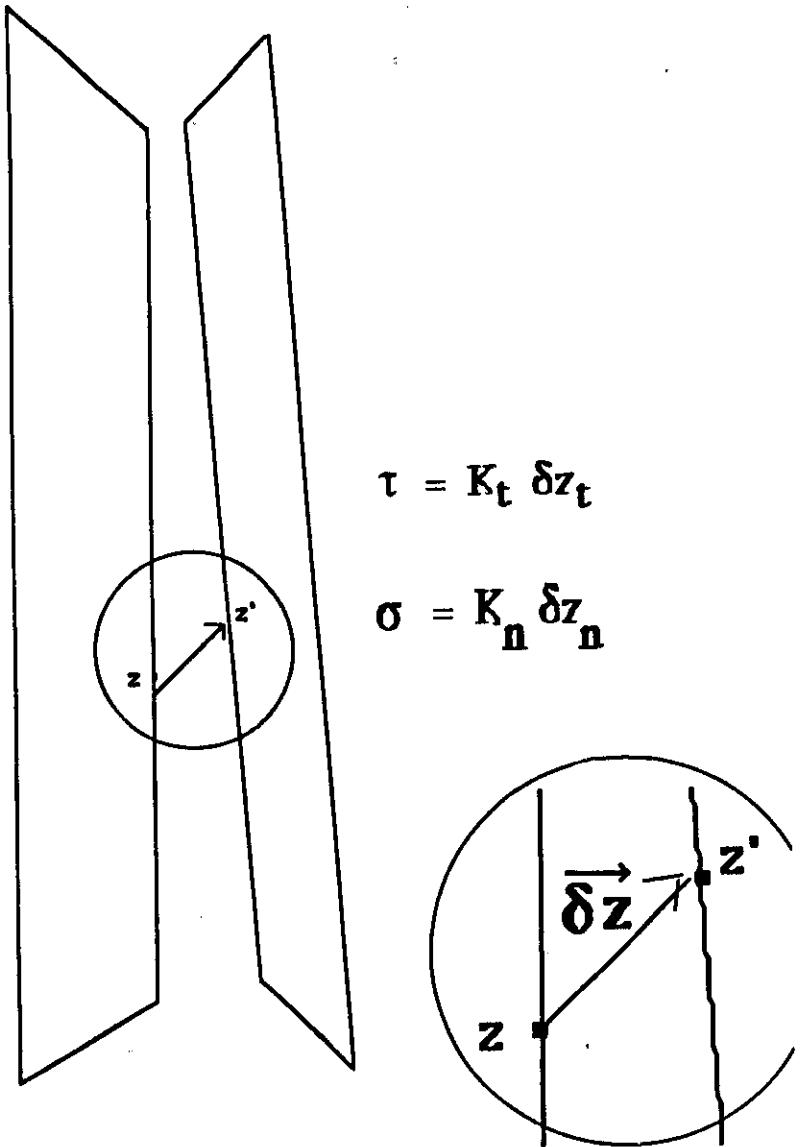
### Block model:

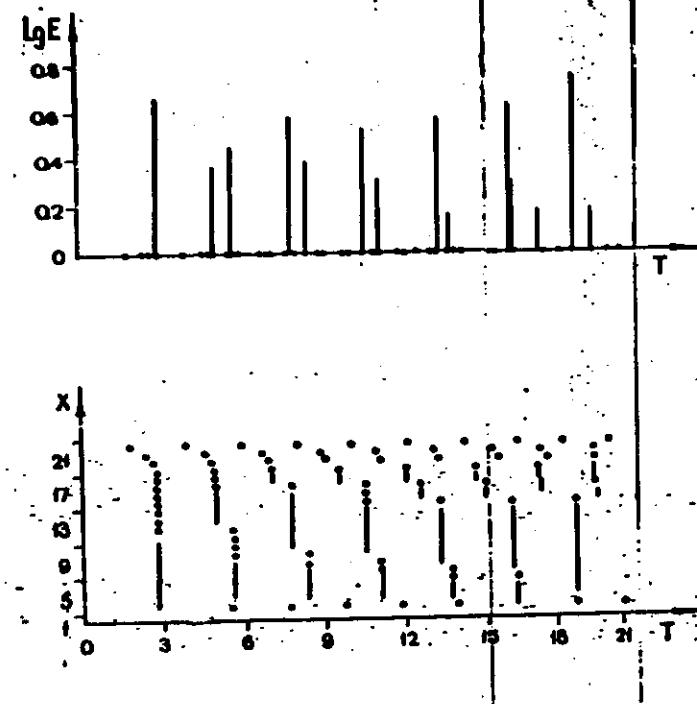
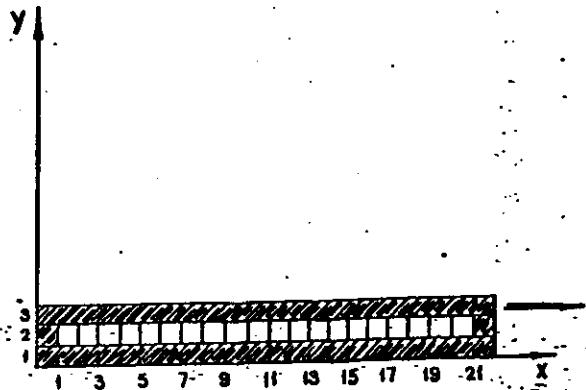
- two-dimensional
- rigid blocks
- thin boundary layers
- linear elastic interaction
- dry friction law for failure
- instant healing

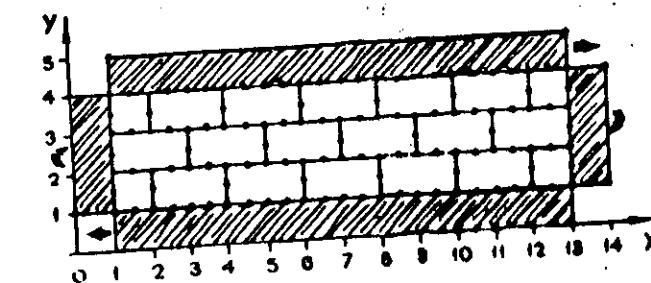
all displacements are small relative to geometric size of blocks

slow motion of boundary blocks  
 → quasistatic equilibrium

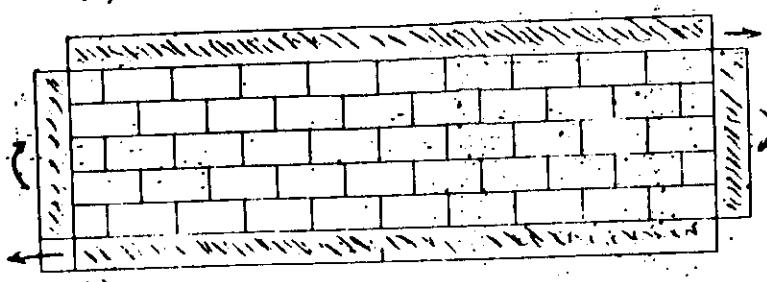
two time scales:  
 slow - tectonic  
 fast - elastic stress-drop







a)



b)

Fig. 1 System of blocks a) 3-layer model, b) 5-layer model

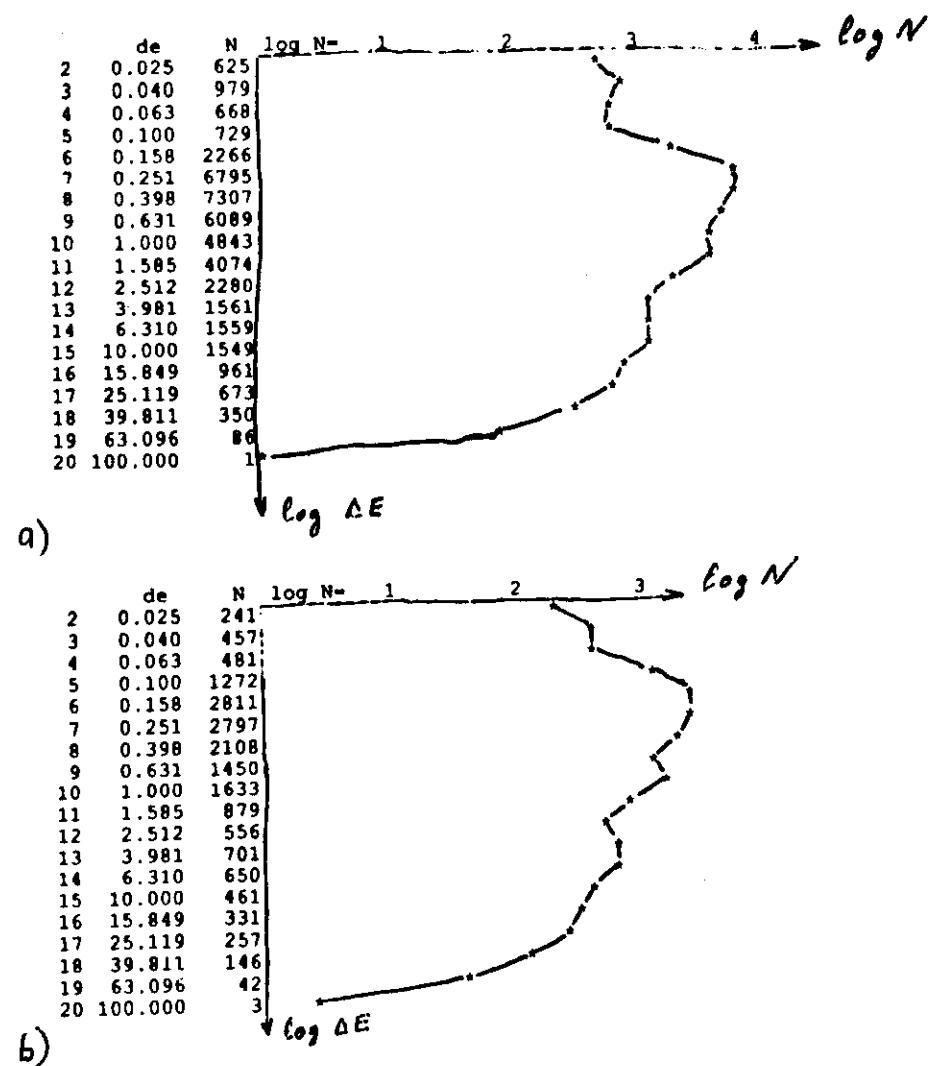
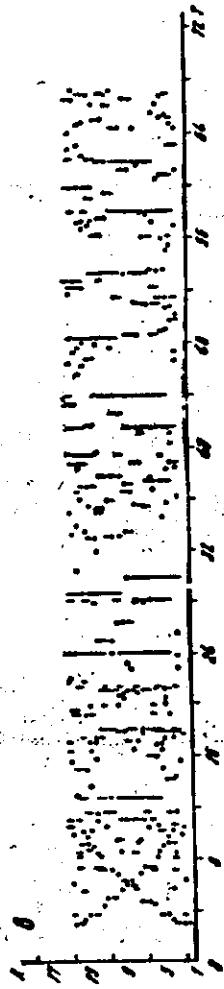
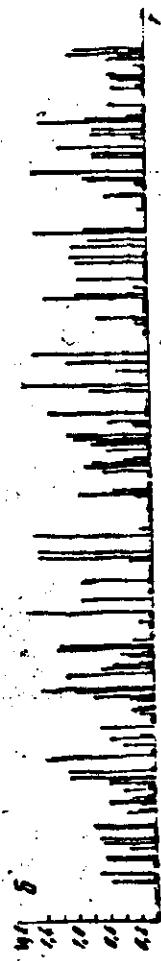
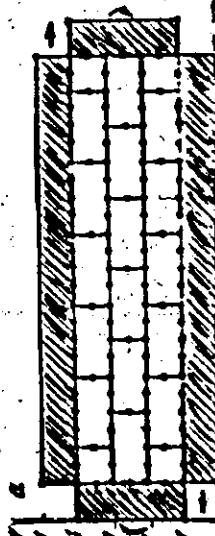
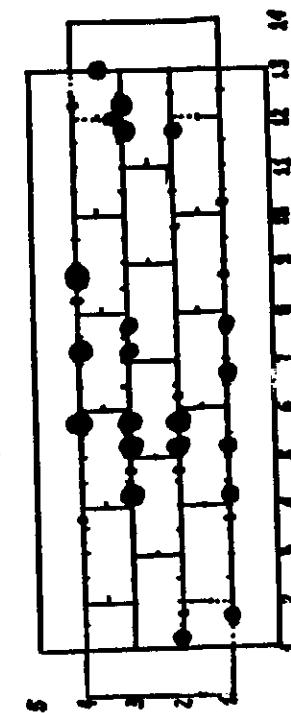
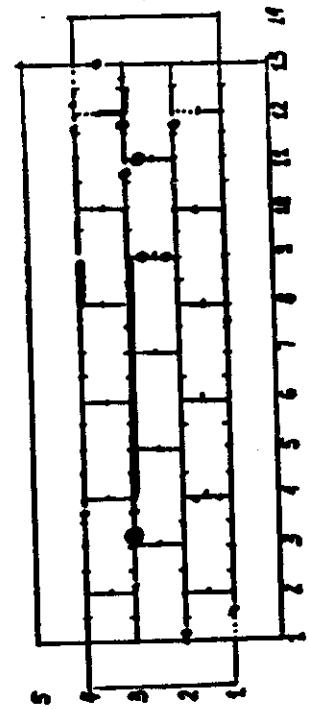


Fig. 2. Energy / frequency distribution

a) 3-layer model, b) 5-layer model



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# PREDICTION IN MODEL CATALOGS

## CN ALGORITHM

Model	Time period	Strong earthquakes		Alarm time
		Total	Predicted	
3-layer	720 yr	92	43 (48%)	32.6%
5-layer	720 yr	89	42 (47%)	31.4%

## M8 ALGORITHM

Model	Time period	Strong earthquakes		Alarm time
		Total	Predicted	
3-layer	1800yr	219	62 (28.3%)	20.4%

Total number of alarms - 50  
 Number of false alarms - 9

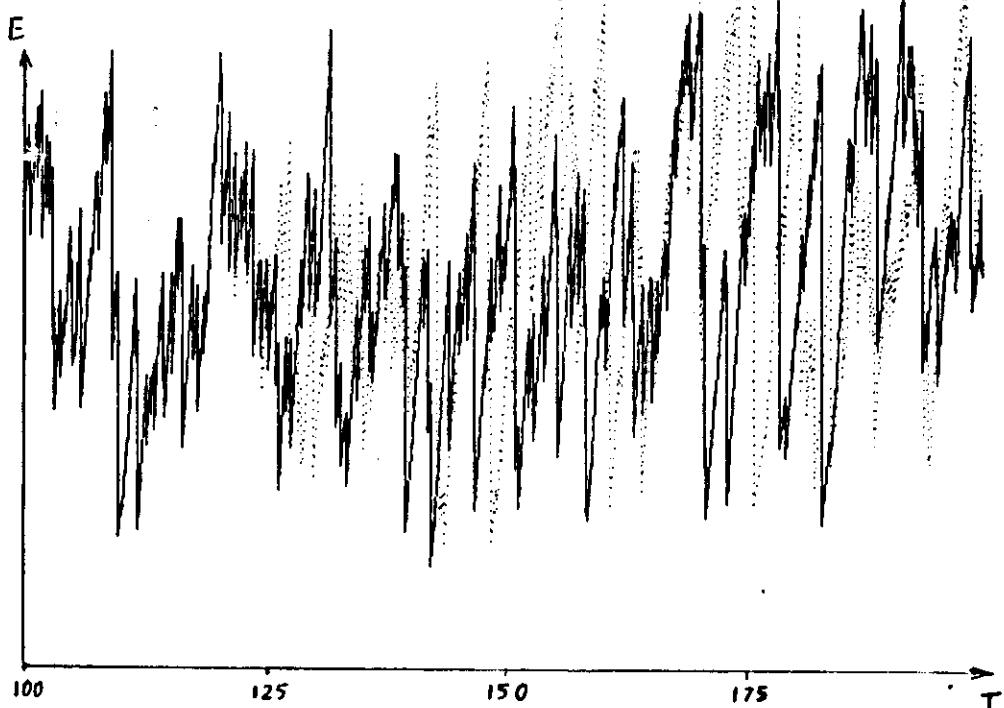


Fig. 3. Energy as function of time (100  $\leq$  T  $\leq$  200):

— undisturbed system

.... - disturbance  $10^{-2}$  at T=100.

# MODEL OF ENERGY REDISTRIBUTION

## SYSTEM OF ELEMENTS

(e.g. fault patches)

HAVING THE ABILITY  
TO ACCUMULATE ENERGY IN  
SLOW (tectonic) TIME SCALE  
AND  
TO BREAK, RELEASING  
ACCUMULATED ENERGY IN FAST (elastic  
rupture) TIME SCALE, PART OF RELEASED  
ENERGY BEING REDISTRIBUTED BETWEEN  
OTHER ELEMENTS.

SERIES OF BREAKS IN FAST TIME  
SEPARATED BY PERIODS OF ENERGY  
ACCUMULATION IN SLOW TIME  
CONSTITUTE A CATALOG OF  
EARTHQUAKES

EACH EARTHQUAKE IS CHARACTERIZED  
BY:

THE (slow) TIME MOMENT  
SOURCE (set of broken elements,  
first of them considered as epicenter)  
ENERGY RELEASE

$e_i$  - energy of i-th element

$$0 \leq e_i \leq E_i$$

$\varepsilon_i$  - state of i-th element

$\varepsilon_i=0$  - whole,  $\varepsilon_i=1$  - broken

i-th element  
breaks when its energy exceeds  $E_i$   
and  
heals when its energy becomes 0

Slow (tectonic) time:  
all elements are whole

$e_i = 1$  for all i

Fast (rupture) time:  
at least one element is broken

$$e_i = -\varepsilon_i + \sum_{j \neq i} \varepsilon_j p_{ji}$$

ENERGY - FREQUENCY DISTRIBUTIONS  
FOR A MODEL WITH 30x30 PERIODIC LATTICE

VARIANT 1: p=0.15 (weak interaction)

TIME from 0 to 20, 14023 records

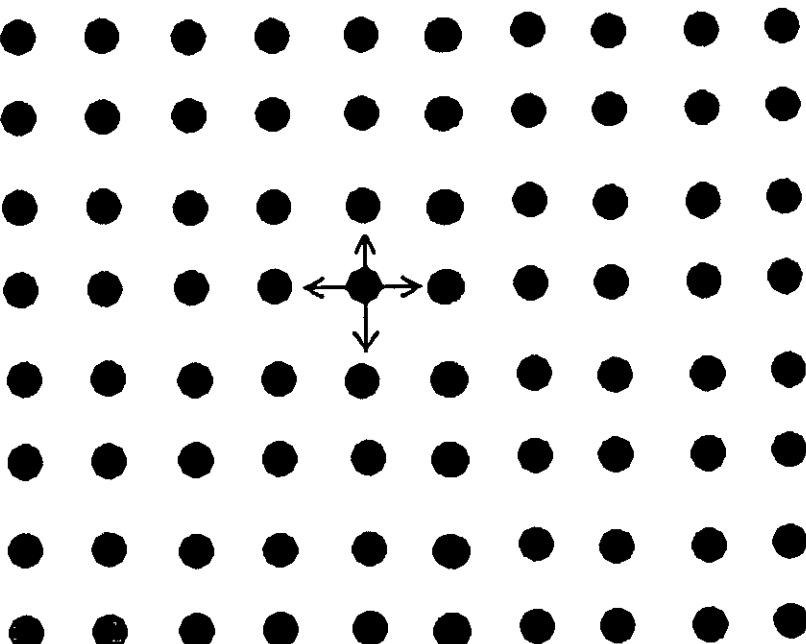
$\Delta E$	$N$	Log $N$
.398	5496	.....
1.000	3491	.....
1.585	2693	.....
2.512	1302	.....
3.981	817	.....
6.310	269	.....
10.000	54	.....
15.849	1	.....

VARIANT 2: p=0.23 (strong interaction)

TIME from 0 to 16, 12096 records

$\Delta E$	$N$	Log $N$
.100	4735	.....
.158	1574	.....
.251	1074	.....
.398	868	.....
.631	818	.....
1.000	744	.....
1.585	579	.....
2.512	565	.....
3.981	417	.....
6.310	347	.....
10.000	214	.....
15.849	98	.....
25.119	38	.....
39.811	5	.....

**EXAMPLE:**  
two-dimensional periodic lattice



$E_j=1$  for all  $j$   
 $p_{ij}=p$  for neighboring elements  
 $p_{ij}=0$  otherwise

The same, TIME from 0 to 1, 863 records

AK	N	Log N
.100	539	0
.156	114	1
.251	64	2
.398	63	3
.631	56	4
1.000	56	
1.585	33	
2.512	41	
3.981	23	
6.310	26	
10.000	16	
15.849	9	
25.119	1	

The same, TIME from 10 to 11, 724 records

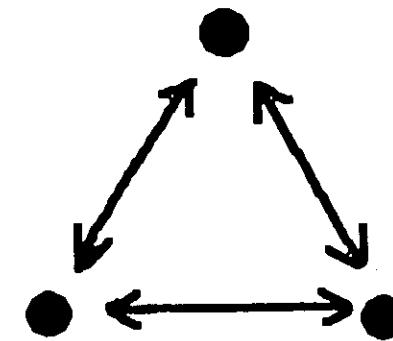
AK	N	Log N
.100	276	0
.156	97	1
.251	61	2
.398	52	3
.631	53	4
1.000	46	
1.585	35	
2.512	31	
3.981	24	
6.310	18	
10.000	17	
15.849	7	
25.119	4	
39.811	1	

The same, TIME from 14 to 15, 642 records

AK	N	Log N
.100	325	0
.156	121	1
.251	76	2
.398	58	3
.631	48	4
1.000	59	
1.585	40	
2.512	45	
3.981	25	
6.310	27	
10.000	10	
15.849	4	
25.119	5	

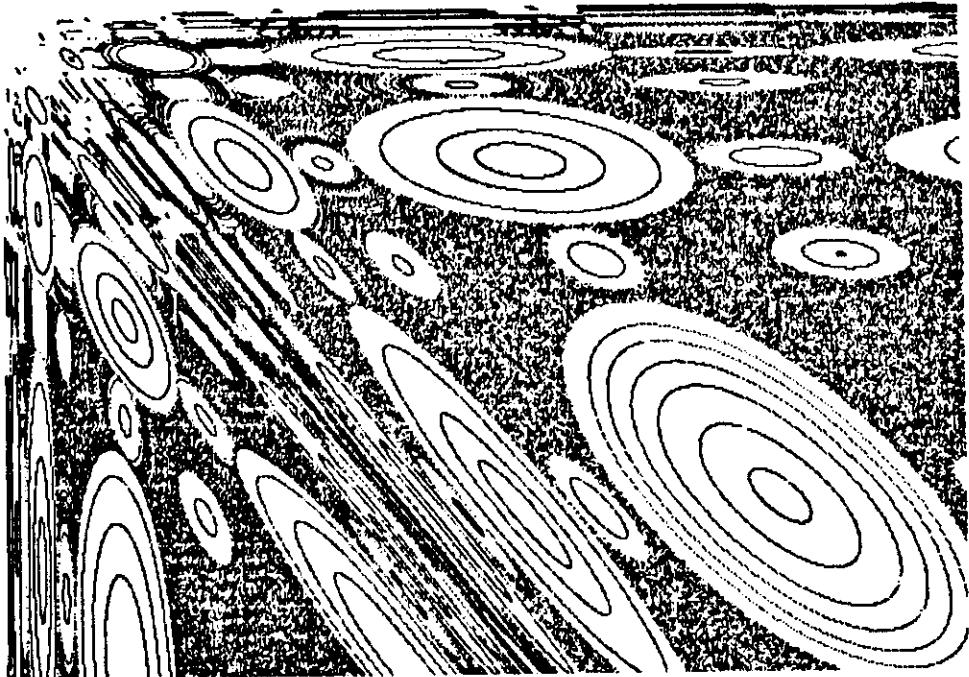
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## Example: 3 elements



$$E_j = 1 \text{ for all } i \\ p_{ij} = p \text{ for } i \neq j$$

This system may be considered as a billiard (non-standard) in 3D unit cube with the following rule of reflection from the boundary:



POINCARÉ MAPPING FOR 3-ELEMENT MODEL

**IF  $x_i=1$  THEN**  
 if  $x_j=1$  for all  $j$  then  
 $\dot{x}_i=-1, \dot{x}_j=p$  for  $j \neq i$   
 (break in slow time, fast time begins)  
 else  
 $\dot{x}_i=\dot{x}_i-1, \dot{x}_j=\dot{x}_j+p$  for  $j \neq i$   
 (break in fast time)

**IF  $x_i=0$  THEN**  
 $\dot{x}_i=\dot{x}_i+1, \dot{x}_j=\dot{x}_j-p$  for  $j \neq i$   
 (healing in fast time)  
 if  $x_j=0$  for all  $j$  then  
 $\dot{x}_j=1$  for all  $j$   
 (end of fast time, slow time begins)

ENERGY - FREQUENCY DISTRIBUTIONS  
FOR A MODEL WITH TIME DELAY

TIME from 0 to 24, 16963 records

TIME DELAY MECHANISM:

TIME-DEPENDENT STRENGTH (FATIGUE)

ENERGY DEFICIT: DIFFERENCE BETWEEN  
STRENGTH OF AN ELEMENT AND ITS  
ACCUMULATED ENERGY

IF ENERGY OF AN ELEMENT INCREASES  
IN FAST TIME BY HALF OF ITS  
ENERGY DEFICIT OR MORE (ACTIVATION  
IN FAST TIME)

THEN STRENGTH OF THIS ELEMENT  
BEGINS TO DECREASE WITH CONSTANT  
RATE IN SLOW TIME

