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UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION



INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
34100 TRIESTE (ITALY) - P.O. B. 589 - MIRAMARE - STRADA COSTIERA 11 - TELEPHONE: 3240-1
CABLE: CENTRATOM - TELEX 460392 - I

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SCHOOL ON
NON-ACCELERATOR PHYSICS
25 April - 6 May 1988

SUPERSTRINGS IMPLICATIONS TO PARTICLE PHYSICS & COSMOLOGY

by

D. V. Nanopoulos
U.W. - Madison
U. S. A

- Superstrings:
 - i) Why (?)
 - ii) What (?) Structure...

SUPERSTRING IMPLICATIONS TO PARTICLE PHYSICS/COSMOLOGY

D.V. Nanopoulos

UW-Madison

U.S.A.

.. Super Phenomenology:

General Characteristics

New Gauge Interactions
New "observables"

FCNC
Proton decay
Neutrino masses

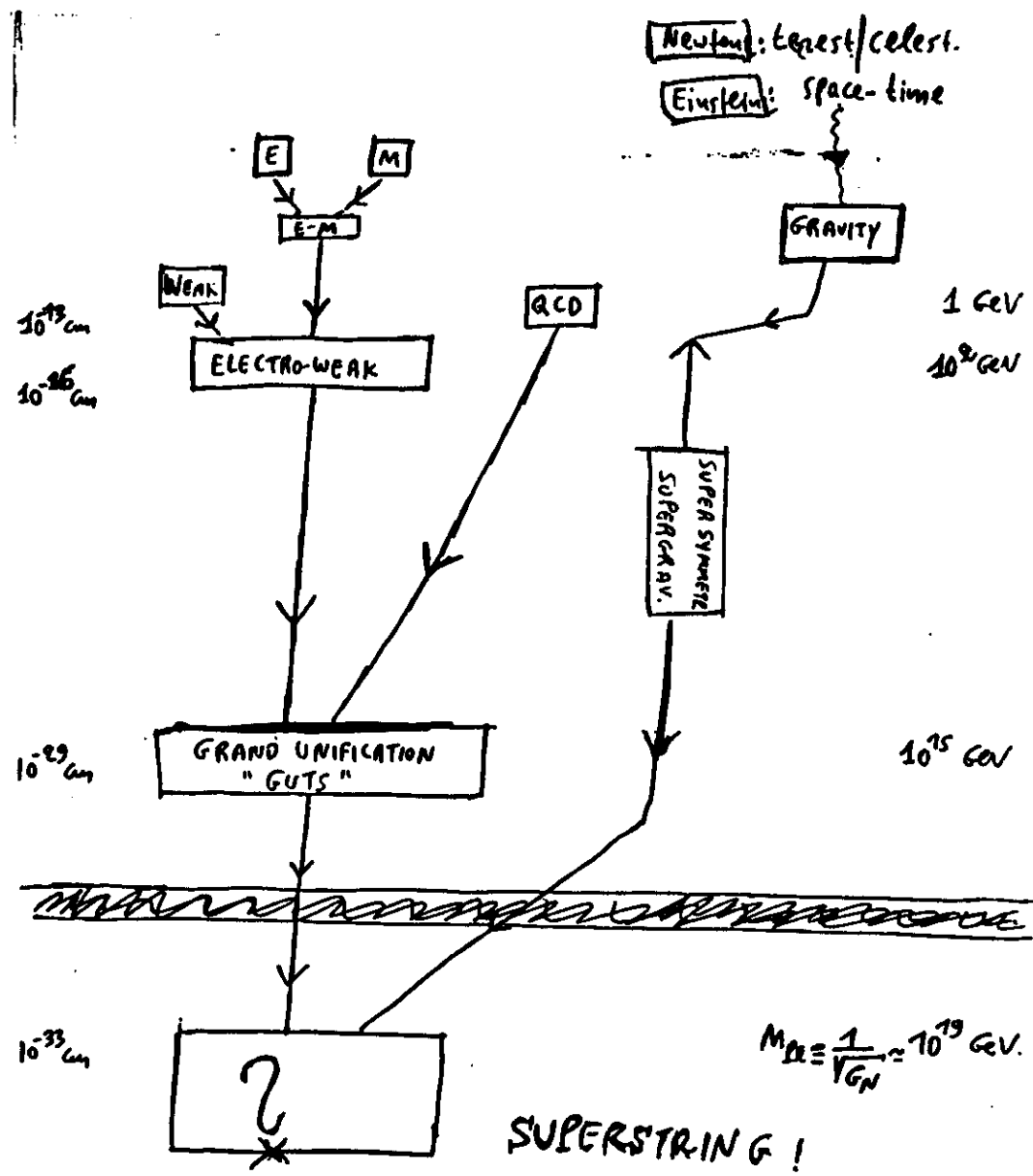
"Photinos" as Dark Matter

... "FLIPPED" PHENOMENOL

ICTP

3-5/5/88

SCHOOL ON NON-ACCEL.
PHYSICS.



WHY Stun. ?

Standard Model:

$$SU(3) \times SU(2) \times U(1) \quad [(3, 2, 1)]$$

Great but:

UNIFICATION
 GAUGE HIERARCHY ?
 FLAVOUR
 Q. GRAVITY

GUTS :

$$G > (3, 2, 1)$$

Grand but:

GAUGE HIER. ?
 FLAVOUR
 Q. GRAVITY

SUSY/SUGRA GUTS:

$$N=1 \otimes G$$

Supergrand but:

FLAVOUR ?
 Q. GRAVITY

$$N > 1 \dots 8 \rightarrow \text{CHIRALITY PROBLEMS}$$

$$K-K: D > 4$$

space-time dimen.

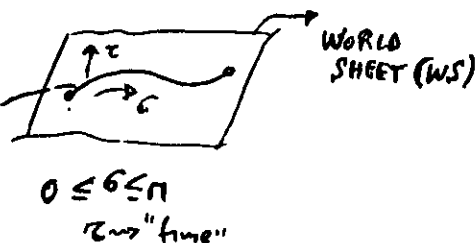
(+) QUANTUM PROBLEMS

CONSISTENT QUANTUM FIELD THEORY THAT UNIFIES ALL INTERACTIONS.

DESPERATELY ~~was~~ SEEKING FOR ...

Superstrings $\rightarrow$ CONSISTENT "QUANTUM" THEORY OF GRAVITY
UNIFICATION

1-D extended objects living
in D-dimensions



$$X^{\mu}(\sigma, \tau) \quad \mu = 1, 2, \dots, D-2, (D-1, D)$$

transverse

World-sheet physics $\rightarrow$ 2-dim. physics!

• Reparametrization Invariance (GCT): $g_{\alpha\beta}(\sigma)$
($\alpha, \beta = 1, 2$)...

• "Matter" fields: $X^{\mu}(\sigma), \psi^A(\sigma), \dots$
two-dim. $\downarrow$ $\downarrow$
Real bosons $\downarrow$ fermions

$\rightarrow$ INFINITE # 2-d THEORIES!
SYMMETRIES

- 1) $X^{\mu}(\tilde{\sigma}) \rightarrow X^{\mu}(\sigma) + \alpha^{\mu}$; $g_{\alpha\beta}(\tilde{\sigma}) = g_{\alpha\beta}(\sigma)$ TRANSLAT. INV. VAR.
- 2) $g_{\alpha\beta}(\tilde{\sigma}) \rightarrow f(\sigma) g_{\alpha\beta}(\sigma)$; $X^{\mu}(\tilde{\sigma}) = X^{\mu}(\sigma)$ WEYL INV. VAR.

World Sheet Particle Content:

Bosons $\rightarrow$ i) tachyons.
ii) $\neq$ fermions (space-time)

$\oplus$ Fermions $\rightarrow$ i) $\neq$ tachyons
ii) $\exists$ fermions (space-time) $\oplus$ SUSY

$\rightarrow$ 2-d SUPERCONFORMAL THEORY

$$S = -\frac{1}{2} \int d^2\sigma \left[\partial_{\alpha} X^{\mu} \partial^{\alpha} X_{\mu} - i \bar{\psi}^{\mu} \rho^{\alpha} \partial_{\alpha} \psi_{\mu} \right]$$

$\downarrow$ vector repres. of SO(D-1,1)

$$p^0 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}; p^1 = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \rightarrow i \rho^{\alpha} \partial_{\alpha} \text{ real} \rightarrow \psi = \begin{pmatrix} \psi_+^{\mu} \\ \psi_-^{\mu} \end{pmatrix} \text{ real}$$

$\downarrow$ Majorana Spinor

$$\{p^{\alpha}, p^{\beta}\} = -2\eta^{\alpha\beta}$$

$$\partial_{\pm} \equiv \frac{1}{2} (\partial_{\tau} \pm \partial_{\sigma})$$

$$S = -\frac{1}{2} \int d^2\sigma \left[\partial_{\alpha} X^{\mu} \partial^{\alpha} X_{\mu} - \psi_+^{\mu} \partial_+ \psi_-^{\mu} - \psi_-^{\mu} \partial_- \psi_+^{\mu} \right]$$

Major-Weyl $\uparrow$ $\uparrow$ M-W
 $\downarrow$ R-mov $\downarrow$ L-mov Decoupled

• World Sheet $N=1$ SUSY!

• Easy " $N=1$ SUPERGRAVITY $\left\{ \begin{array}{l} \text{"gravitino"} \\ \text{"gravitino"} \end{array} \right. \left(\begin{array}{l} \text{non-propagating...} \\ \text{fermionic} \end{array} \right)$
 $\rightarrow$ See Rds: topol invar; Euler charact.

... Extended SUSY: $N=2, 4$ possible

→ UNIQUE THEORY: 2-d CONFORMAL THEORY

$$S[g, X] = -\frac{1}{2} \int d^2\sigma \sqrt{\det g_{\alpha\beta}} g^{\alpha\beta} \left[\partial_\alpha X^\mu \partial_\beta X^\nu \eta_{\mu\nu} + \dots \right]$$

SYMMETRIES COMPLETELY DETERMINE DYNAMICS ← Weyl invar. [only in 2-d] Transl. Invar.

Reparametriz. invar: $g_{\alpha\beta} = \eta_{\alpha\beta} \cdot \eta_{\alpha\beta}$
 decouples by conform. invar. → $g_{\alpha\beta} = \eta_{\alpha\beta} = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$

$\eta_{\mu\nu}$: const. symmetr. real matrix → $\eta_{\mu\nu} = \begin{bmatrix} 1 & & \\ & 1 & \\ & & \ddots \\ & & & \pm 1 \end{bmatrix}$
 Lorentz invariance COME OUT OF TRANS. INVARI.!!!

QUANTUM THEORY:

"dry operator"

$$\langle F[X, g] \rangle = \int [dX][dg] F[X, g] \exp S[X, g]$$

"easy" free field theory: quadratic...
 "complicated"

Simplified: Wick rotation $\tau \rightarrow i\tau \rightarrow$ Euclidean...
 → 2-d RIEMANN SURFACES

→ Classified: (g=genus)

	$g=0$ sphere	# Compl. paramet.
	$g=1$ torus	1
$\vdots$	$g = \# \text{ handles}$	$3g-3$ $g \geq 2$
		Teichmüller Paramet.

$$\sum_g \int d\tau_g$$

"Physicist" in space-time?

$X^\mu(\sigma) \rightarrow X^\mu(\sigma) + d^\mu \rightarrow$ action invar: $X^\mu \rightarrow X^\mu + \Lambda^\mu_\nu X^\nu$
 Lorentz-group

Vertex operator $\hat{V}$ "particle" Λ

$$V[X, g; p^\mu, \sigma] = \int d^2\sigma \sqrt{\det g_{\alpha\beta}} e^{i p_\mu X^\mu(\sigma)} (W_\Lambda^\mu(\sigma, \tau))$$

spin ↑
 moment ↓
 2-d scalar
 Lorentz-Q.N
 $W_\Lambda = W_\Lambda(X, g, \sigma, \tau)$

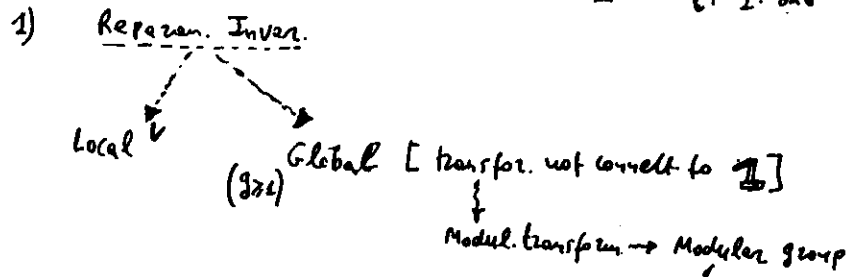
Usual Summation in $(X^\mu, \dots)$

$$S'_{12 \dots \rightarrow 34 \dots} = \langle V_1 V_2 \dots V_3 V_4 \dots \rangle$$

★ ★ ★ WE NEED THEM ★ ★ ★

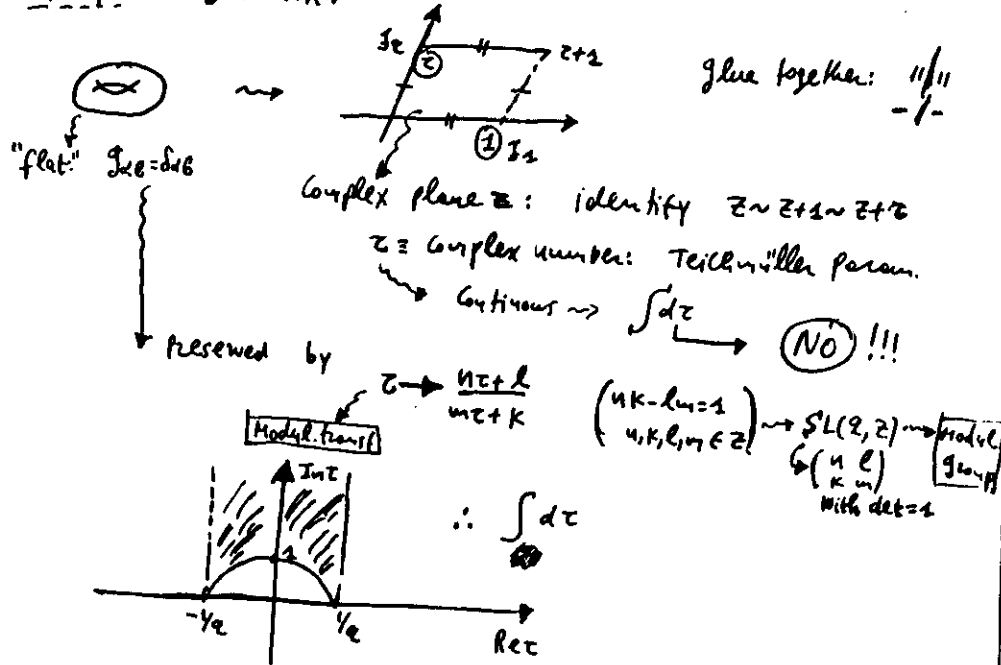
- Lorentz Invariant ↔ Lorentz Invar. $\{X^\mu \rightarrow X^\mu + d^\mu\}$
- Unitary ↔ Reparam. Invar. $\{g_{\alpha\beta} \rightarrow g_{\alpha\beta} + \dots\}$
 Weyl Invar. $\{g_{\alpha\beta} \rightarrow g_{\alpha\beta} f(\sigma)\}$

2-d Reparametrization Invariance $\oplus$ Weyl Invar.
CRUCIAL {keep: $g_{\alpha\beta} = \eta_{\alpha\beta}$!!!}



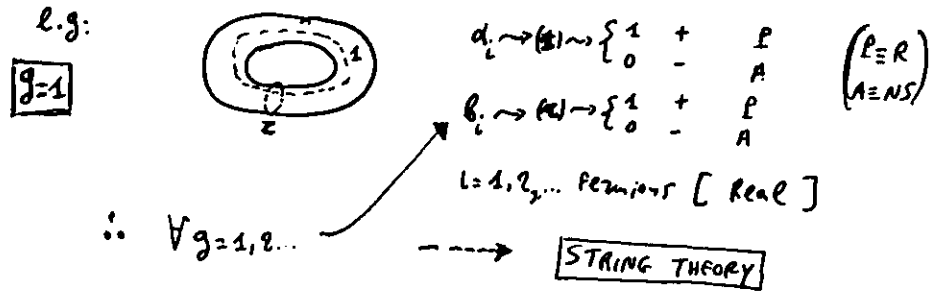
We need also:
MODULAR INVARIANCE

Example: $g=1$ TORUS

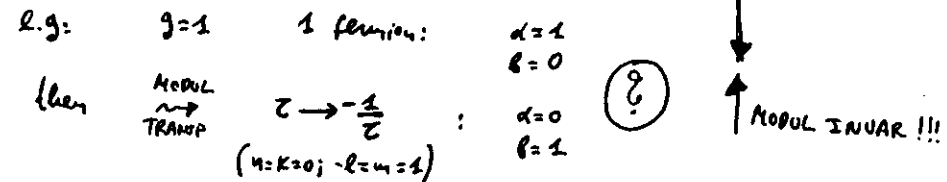


Furthermore: WS fermions present

$\rightarrow$ we should determine **SPIN-STRUCTURE**



BUT: Imagine we fixed our Spin-structure



$\therefore$ SPIN-STRUCTURE **NOT** SINGLETS OF MODUL. GROUP

MODUL. INVAR. $\rightarrow$ **ENTAILS** SUM OVER ^{ALL} SPIN-STRUCTURES WITH WELL-DEFINED COEFFICIENTS !!!

STRING THEORY SHOULD BE:
 ① MULTILoop-MODUL. INVARIAN
 ② FACTORIZABLE



2) Conformal Invariance

Classical level $\rightarrow$ Quant. level (?)

ANOMALIES!

$$(\tau, \sigma) \xrightarrow[\text{Wick}]{\text{Wick}} \begin{matrix} z = \tau + i\sigma \\ \bar{z} = \tau - i\sigma \end{matrix}$$

Energy-Mom. tensor: $T_{\alpha\beta} \rightarrow T_{z\bar{z}} = 0 \rightarrow$ Scale Invariance

$$T_{zz}(z) = T(z)$$

$$T_{\bar{z}\bar{z}}(\bar{z}) = \bar{T}(\bar{z})$$

generates conform. transf:

$$z \rightarrow z + f(z)$$

by

$$T_f = \oint \frac{dz}{2\pi i} f(z) T_{zz}(z) \dots$$

infinitesimal

conf. dim

A 2-d field: $\phi(z) \rightarrow \left(\frac{\partial z'}{\partial z}\right)^h \phi(z')$ when $z' = z'(z)$ (conf. transf)

$$\text{OPE: } T(z)\phi(w) \sim \frac{L\phi(w)}{(z-w)^2} + \frac{\partial_w \phi(w)}{z-w} + \text{finite}$$

$$(\phi=T) \rightarrow T(z)T(w) \sim \frac{\boxed{C}}{2(z-w)^4} + \frac{\boxed{2h} \phi(w)}{(z-w)^2} + \frac{\partial_w T(w)}{z-w} + \text{finite}$$

BAD: ANOMALY!!!

In another way: $T(z) = \sum_{-\infty}^{\infty} \frac{L_n}{z^{n+2}}$

$$[L_m, L_n] = (m-n)L_{m+n} + \frac{\boxed{C}}{12} (m^3 - m) \delta_{m+n}$$

VIRASORO ALGEBRA
C: central charge
 $\begin{cases} C=26 \\ 0 \text{ otherwise} \end{cases}$

Keep Conformal Invariance at quantum level:

$$\boxed{C_{tot} = 0}$$

Contribution of anything that is on WS ~~that~~:

1) Scalars ϕ : $-\frac{1}{2} \partial_z \phi \partial_{\bar{z}} \phi \rightarrow T(z) \rightarrow C=1$
($\hbar=0$)

2) fermions ψ : $\frac{1}{2} \psi \partial_z \psi \rightarrow T(z) \rightarrow C=1/2$
($\hbar=1/2$)

3) "ghosts": F-L analysis choice of ^(super) conform. gauge
($\lambda=2$, $\lambda=3/2$) $\rightarrow$ part of ghost fields on WS

$$C = C_{gh}(E, \lambda) = -2E(6\lambda^2 - 6\lambda + 1)$$

$N=0$ $\begin{matrix} E=1 \\ \lambda=2 \end{matrix} \rightarrow \boxed{C_{gh} = -26} (N=0)$
 $N=1$ $\begin{matrix} \oplus \\ E=-1 \\ \lambda=3/2 \end{matrix} \rightarrow \boxed{C_{gh} = -15} (N=1)$

ALWAYS THERE

AND HERE STARTED A

TWENTY-YEARS JOKE !!!

EXTRA DIMENSIONS!

STRING THEORIES

$$C_{tot}=0$$

$N=0$
(Bosonic String)

$C_{gh}=-26$; $\exists$ only bosons ($X^\mu \dots \mu=1,2,\dots,D$)
Each boson: $c=1$
 $D \cdot 1 - 26 = 0 \rightarrow \boxed{D_c = 26}$

$N=1$
(Ferm. String)

$C_{gh}=-15$; $\exists$ bosons + fermions
 $\# X^\mu = \# \psi^\mu \rightarrow (SUSY)$
 $\downarrow \quad \downarrow$
 $c=1 \quad c=1/2$
 $D + \frac{D}{2} - 15 = 0 \rightarrow \boxed{D_c = 10}$

~~$N=2 \rightarrow D_c=2$~~
 ~~$N=4 \rightarrow D_c=-2$~~

AVAILABLE THEORIES:
[$\exists$ at least $N=1$ in L or R] L-R decoupled
L $\leftrightarrow$ R

- 1) Open Strings:  $N=1$; $D=10$ $N_{10}=1$ $SO(32)$ non-chiral
 - 2) Closed Strings:  $N_L=N_R=1$; $D=10$; $N_{10}=2$ $\begin{matrix} \uparrow \downarrow \text{II A} \\ \uparrow \uparrow \text{II B} \end{matrix}$ No gauge group
- and

3) Heterotic String

Idea: i) L-R decoupled modes.
ii) N_L^L or $N_L^R=1$ enough for presence of ~~each boson~~ ^{each boson} presence of fermions (D)

L-sector: $(N_L)_L=1 \rightarrow C_L=-15 \rightarrow D=10: X^\mu, \psi^\mu$
R-sector: $(N_R)_R=0 \rightarrow C_R=26 \rightarrow D=10$
 $\therefore (C_{tot})_L = (C_{tot})_R = 0 \checkmark$
 $\downarrow$
 $\oplus (26-10) \times 2$
Major fermions
32 fermions λ^A
 $A=1,2,\dots,32$

$$S = -\frac{1}{2} \int d\tau \left(\underbrace{\sum_{\mu=0}^9 \partial_\alpha X^\mu \partial^\alpha X_\mu}_{SO(9,1) \text{ "vectors" Inter. Sym. Singlets}} - 2 \underbrace{\psi_+^\mu \partial_- \psi_{\mu+}}_{(Super) \text{ "space-time" gauge structure}} - 2 \sum_{A=1}^{32} \underbrace{\lambda^A \partial_- \lambda^A}_{SO(9,1) \text{ singlets Carrier Intern. Quant. numbers !!!}}$$

$\lambda^A: 1 \dots 32$ same bound. condn. $\rightarrow SO(32)$ gauge
 $\lambda^A: \begin{cases} 1 \dots 16 (\pm) \\ 17 \dots 32 (\mp) \end{cases} \rightarrow E_8 \times E_8 \oplus N_{10}=1$
 $\rightarrow (O(16)/XO(16)) \oplus N_{10}=0$

ALWAYS START WITH $D=10$ FLAT DIMEN $\rightarrow$ COMPACTIFY...

Up to now: take for granted:

$$\text{SUSY} \leftrightarrow \# \text{ bosons} = \# \text{ fermions} \quad \boxed{\text{explicitly}}$$

What about Non-linear realizations of SUSY?

↓ (VGS) !!!

ANTONIADIS-BACHAS-KOUNIKOS
- WIDWIDEY
Phys. Lett. 171 (1986)

$$S = \frac{1}{2} \int d^2\sigma \, \lambda^A \partial_+ \lambda^A \quad ; \quad \lambda^A: \text{2-d, M-W, free fermions} \\ A=1, 2, \dots, N$$

Invariant under:

$$\delta \lambda^A = \eta^{ABC} \lambda^B \epsilon^C \quad \rightarrow \text{infinite Grassmann parameters} \\ (\text{totally arbitrary in its indices})$$

$$\text{SUSY} \leftrightarrow \eta^{ABC} = [\mathfrak{g}(G)]^{-1/2} f^{ABC} \\ \mathfrak{g}(G) = \frac{f^{ABC} f^{ABC}}{\dim(G)}$$

∀ G: semisimple group

complete classification

$$T_F = \frac{1}{3} \eta^{ABC} \lambda^A \lambda^B \lambda^C$$

$$\text{demand: } [T_F(z), T_F(w)]_+ = 2 T_F(z) \delta(z-w) + \text{c-number} \\ \downarrow \\ \frac{1}{2} \lambda^A(z) \partial_z \lambda^A(z)$$

OPENED THE WAY FOR 4-D Sum.

Idea:

- i) L-R decoupled model
- ii) N_L^L or $N_L^R = 1$ enough $\left\{ \begin{array}{l} \# \text{ tachyons} \\ 3 \text{ fermions}_{(D)} \end{array} \right\}$ HETEROTIC

⊕

- iii) Use (N-L) SUSY fermions to reduce (space-time) dimensionality

(ABKW)
ABK

Nucl. Phys. B289 (1987) 87

$$\text{L-sector: } (N_L)_L = 1 \rightarrow C_L = -15 \rightarrow D=4 \quad (\chi^A, \psi^A) \quad n=0 \rightarrow 3$$

$$C_L = -15 + (4+2) + \frac{18}{2} = 0 \quad \checkmark$$

⊕
18 fermions: $\chi^A, \psi^A, \psi^{\bar{A}}$
~ adjoint of semisimple group

only: $SU(2)_L, SU(2)_R \times SU(4);$
 $SUSY \times SO(5)$
(for real fermions!)

$$\text{R-sector: } (N_L)_R = 0 \rightarrow C_R = -26 \rightarrow D=4$$

$$C_R = -26 + (4) + \frac{44}{2} = 0$$

⊕
44 fermions: χ^A
 $A=1 \dots 44$

$$\therefore (C_{tot})_L = (C_{tot})_R = 0 \quad \checkmark$$

After 20 years!

$$S_{4-D} = -\frac{1}{2} \int d^D x \left(\sum_{\mu=0}^3 \partial_\alpha X^\mu \partial^\alpha X_\mu - 2 \psi_+^\mu \partial_- \psi_{\mu+} - 2 \left(\sum_{i=1}^{18} \dot{X}_+^i \partial_- X_+^i \right) - 2 \sum_{A=1}^{44} \lambda_-^A \partial_+ \lambda_-^A \right)$$

• (X^μ, ψ_μ) : $SO(3,1)$ vectors; Inter. Symm. Singlets $\rightarrow$ (SUPER) V SPACE-TIME

• $\chi_+^i = (X^i, \psi^i, \omega^i)$ with $(X^i, \psi^i, \omega^i) \sim \mathbb{3}$ of $SU(3)^I$
 λ_-^A ; $A=1 \dots 44$ $\rightarrow$ Inter. Symmetry

$\therefore$ ALWAYS $\boxed{D=4}$!!!

(e.g. If all λ_-^A same
 B.C. treatment
 $\rightarrow SO(44) \rightarrow \dots$)

• These "new" 5m theories. consistent... at the same level of the $D=10$ heterotic string V

• "New" $\rightarrow$ (2) still "very simple" V

• $D=4$ not unique (?): same story: $\forall D \leq 10$!

"PHYSICS" (?)

IV 4-D Superstrings:
 (ABK)



Susy: $C_L = 15 = D + \frac{D}{2} + \frac{N_{FL}}{2} \xrightarrow{D=4} N_{FL} = 18$

No Susy: $C_R = 26 = D + \frac{N_{FR}}{2} \xrightarrow{D=4} N_{FR} = 44$

$\{SO(44) \dots\}$

• $N=1; D=10; G \in E_8 \times G_2 \text{ or } SO(32); \dots$; $[N=4; D=4; G \in SO(44)]$

COMPACTIFICATION ON C-Y ORBIFOLDS.
 (CHWS')

AUTOMATIC TRUNCATION

(EFKN
 ELNT
 EKN (1983))

$N=1$ NO-SCALE SUPERGRAVITY; $D=4$; $G' \subseteq G$

At M_{Planck}

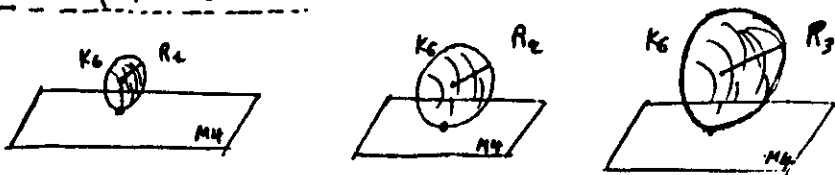
{ i) $M_{\text{soft}}=0$ tree-level NATURALLY
 ii) $M_{\text{min}} \sim e^{-\frac{1}{14} M_{\text{Pl}}}$ }

$N=1$ SUSY $SO(3) \times SU(2) \times U(1) \times \dots$
 At M_{W}

∴ At least an extra $U(1)_F$ [gauge boson Z_F] at low energies! (2)

*Comment: Recent suggestions for $O(40)$ or $SU(5)$ problematic (2)
(W) (EENQZ)

6) Form of $N=1$ SUGRA.



tree level:

$$V=0$$

$$V=0$$

$$V=0$$

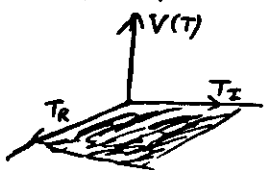
excitations of dim. of manifold → complex field T

(W) (EN)

tree level:

$$V(T)=0$$

for 1-field



UNIQUELY DETERMINES FORM OF $N=1$ SUGRA → NO-SCALE TYPE

$$V = e^G [G_i G_j (G^i)^2 - 3] \quad \text{with: } G = -3 \ln(T + T^*)$$

generalized to N-fields

$$G = -3 \ln(T + T^* - \phi_i \phi_i^*)$$

(C-F-K-N)
(E-L-N-T)
(E-K-N)
(E-EN)
(1983)

Characteristics

(FEN)

i) local SUSY: spontan. broken → gauge force of $Q < G$

$$\langle (\tilde{g} \tilde{g})_Q \rangle \neq 0$$

(like $\langle q \bar{q} \rangle_{q_0} \neq 0$ breaks $SU(3)_{SU(2)} \rightarrow SU(3)_{SU(2)}$)

ii) global SUSY: unbroken tree level:
(N=1 SUGRA)

$$m_0 = 0; \quad m_{1/2} = 0 \rightarrow m_g = m_{\tilde{g}} \quad !!!$$

7) Dynamical determination of everything!

(CEGN)
(CEEN)
(EENZ)

i) Higher orders { Grant. Sum Non-perturbative

Fix values of T_i

$$\langle T_i \rangle \sim M_{Pl}$$

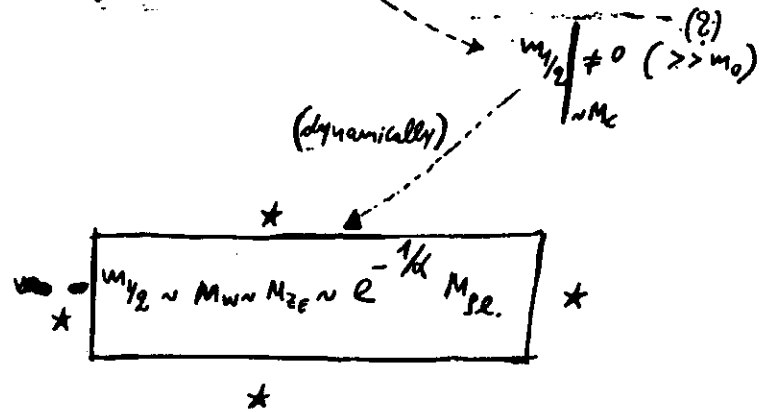
$$M_{comp}, M_X; \Lambda_Q; m_{3/2} \propto f_i(T_i) \rightarrow \text{dynamically determined}$$

$$ii) \alpha_{GUT} \propto \frac{M_{Pl}}{\langle T_i \rangle} \rightarrow \text{dynamically determined}$$

$$\text{If } \alpha_{GUT}, M_X \text{ dynam. determ.} \rightarrow \alpha_{E.M.}; \Lambda_{QCD}; \sin^2 \theta_W$$

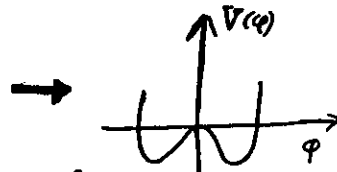
IN THE RIGHT BALLPARK! ← dynam. determ. ✓

iii) (Non) gravitat. Radiat. Corrections



Let's elaborate a bit:

$$V(\phi) = \frac{\Theta}{2} \mu^2(\phi) \phi^2 + \frac{\lambda(\phi)}{4} \phi^4$$



$$\therefore \langle \phi \rangle = \sqrt{\frac{\mu^2}{\lambda}}; \quad m_H^2 = 2\lambda \langle \phi \rangle^2; \quad M_c^2 \sim g^2 \langle \phi \rangle^2$$

BY HAND!

IMAGINE:

At $M_{Pl.}$: all scalars: Higgs, $s_l, s_q, \dots$

DEMOCRATIC:

$$m_i^2 = m_0^2 \gg 0$$

LET DYNAMICS
DECIDE

WHICH

$$m_i^2 / M_W < 0 \rightsquigarrow \text{Corresponding symmetry broken}$$

$$\hat{M} = \frac{m}{m_0}$$

$$SU(2)_c \quad \frac{\partial \hat{m}_2^2}{\partial \ell_{4f}} \alpha = \alpha_2 \hat{m}_2^2 + \alpha_1 \hat{m}_1^2 \quad d_2 \gg d_1$$

$$U(1)_{c.m.} \quad \frac{\partial \hat{m}_2^2}{\partial \ell_{4f}} \alpha = \alpha_2 \hat{m}_2^2 + \alpha_1 \hat{m}_1^2 \quad d_2 \gg d_1$$

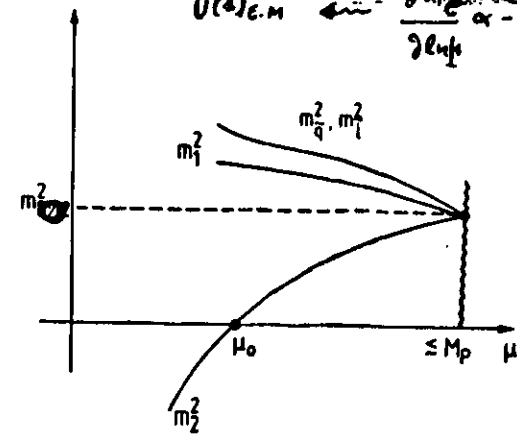


Fig. 12

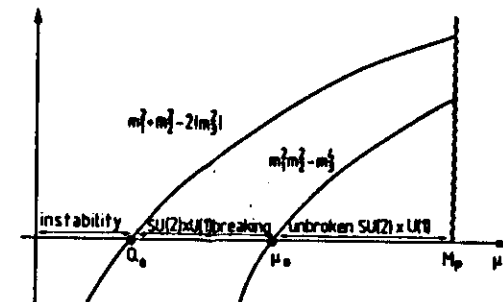


Fig. 13

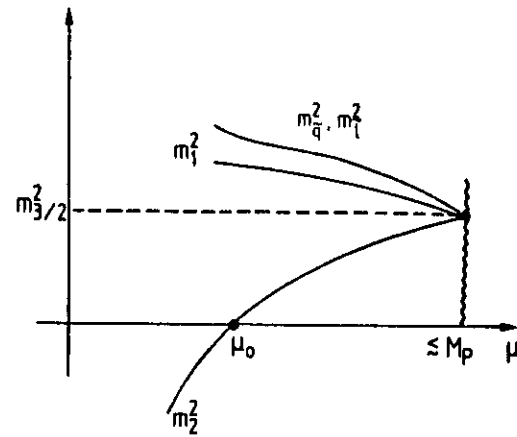


Fig. 12

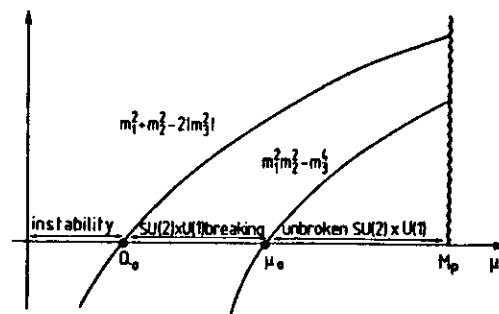


Fig. 13

