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EXPERIMENTAL WORKSHOP ON
HIGH TEMPERATURE SUPERCONDUCTORS
(11 - 22 April 1988)

JOSEPHSON AND RELATED MACROSCOPIC QUANTUM PHENOMENA - I

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These are preliminary lecture notes, intended only for distribution to participants.

JOSEPHSON EFFECT

- ★ PHASE OF THE ORDER PARAMETER
- ★ FLUX QUANTIZATION
- ★ DC & AC JOSEPHSON EFFECTS
- ★ JOSEPHSON INTERFERENCE IN MAGNETIC FIELDS

PHYSICS OF CUO BASED CERAMIC SUPERCONDUCTORS

★ SUMMARY OF IMPORTANT & ANOMALOUS EXPERIMENTAL RESULTS

- ★ ELECTRONIC STRUCTURE
- ★ MOTT INSULATOR & ORIGIN OF ANTIFERROMAGNETISM
- ★ QUANTUM PARAMAGNET OR RESONATING VALENCE BOND STATE
- ★ RVB CONDUCTOR
- ★ NOVEL QUASI-PARTICLES & SUPERCONDUCTIVITY
- ★ UNDERSTANDING EXPERIMENTAL RESULTS BASED ON RVB THEORY.

PHASE OF THE ORDER PARAMETER IN SUPERCONDUCTIVITY

one particle plane wave state: $e^{i\vec{k}\cdot\vec{r}}$: $\phi(\vec{r}) = \vec{k}\cdot\vec{r}$

$$\vec{\nabla}_{\vec{r}}\phi(\vec{r}) = \vec{k} \rightarrow \text{momentum}$$

$$e^{iE_k t} e^{i\vec{k}\cdot\vec{r}} = e^{i\phi(\vec{r},t)} \quad \phi(\vec{r},t) = E_k t + \vec{k}\cdot\vec{r}$$

$$\partial_t \phi = E_k \rightarrow \text{Energy}$$

$$\vec{j}(\vec{r}) = \frac{e}{m} \frac{i\hbar}{2} (\psi^* \vec{\nabla} \psi - \psi \vec{\nabla} \psi^*)$$

$$I_f \psi(\vec{r}) = e^{i\phi(\vec{r})} j_f(\vec{r}), \quad \vec{j}(\vec{r}) = \vec{\nabla} \phi(\vec{r}) \psi^*(\vec{r}) \psi(\vec{r})$$

How does a macroscopic phase appears in superconductivity?

$$\psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_n) = e^{i\phi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_n)} \prod_{i=1}^n \psi(\vec{r}_i)$$

Bosons: $\phi(\vec{r}_1, \dots, \vec{r}_n) = \text{const. } \phi$

(CURRENT CARRYING)
simple excited state: $\phi(\vec{r}_1, \dots, \vec{r}_n) = \sum_i \phi(\vec{r}_i)$

current density

$$\vec{j}(\vec{r}) = \rho(\vec{r}) \vec{\nabla} \phi(\vec{r})$$

Fermion.

$$\begin{aligned} \psi(\vec{r}_1, \dots, \vec{r}_N) &= A \left[\chi(\vec{r}_1 - \vec{r}_2) \chi(\vec{r}_2 - \vec{r}_3) \dots \chi(\vec{r}_{N-1} - \vec{r}_N) \right] \\ &= e^{i\phi_F(\vec{r}_1, \dots, \vec{r}_N)} \rho(\vec{r}_1, \dots, \vec{r}_N) \end{aligned}$$

$\phi_F(\vec{r}_1, \dots, \vec{r}_N)$ is VERY COMPLICATED

Since ρ is positive, $\phi_F(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_N) = \phi_F(\vec{r}_2, \vec{r}_1, \vec{r}_3, \dots, \vec{r}_N) - \pi$

Current carrying states:

$$\begin{aligned} \psi(\vec{r}_1, \dots, \vec{r}_N) &= A \left[\chi(\vec{r}_1 - \vec{r}_2) e^{i\phi(\frac{\vec{r}_1 + \vec{r}_2}{2})} \chi(\vec{r}_2 - \vec{r}_3) e^{i\phi(\frac{\vec{r}_2 + \vec{r}_3}{2})} \dots \right. \\ &\quad \left. \dots \chi(\vec{r}_{N-1} - \vec{r}_N) e^{i\phi(\frac{\vec{r}_{N-1} + \vec{r}_N}{2})} \right] \end{aligned}$$

MEISSNER EFFECT & LONDON RIGIDITY AMOUNTS TO THE STATEMENT THAT THE MODIFICATION OF THE GROUND STATE WAVE FUNCTION OCCURS ONLY THROUGH CHANGE IN ϕ AND THAT TOO AT THE BOUNDARY OF THE SAMPLE OVER THE LONDON PENETRATION DEPTH.

(2)

ANDERSONS PSEUDO SPIN FORMALISM AND PHASE OF THE ORDER PARAMETER

$$H_{\text{Bas}} = \sum_k (\epsilon_k - \mu) c_k^\dagger c_k - \sum_{kk'} V_{kk'} c_k^\dagger c_{k'}^\dagger c_k c_{k'}$$

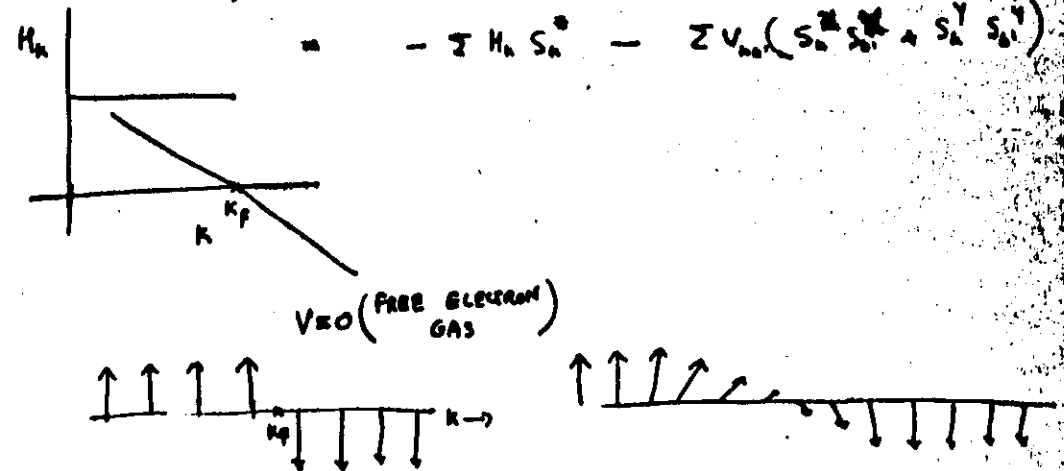
The subspace containing $k, -k$ pairs were empty or pairwise occupied are important

$$|1_k, 1_{-k}\rangle \rightarrow \begin{pmatrix} 1 \\ 0 \end{pmatrix} \equiv \alpha_k$$

$$|0_k, 0_{-k}\rangle \rightarrow \begin{pmatrix} 0 \\ 1 \end{pmatrix} \equiv \beta_k$$

$$c_k s_k |1_k, 1_{-k}\rangle = |0_k, 0_{-k}\rangle \Rightarrow \underbrace{\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}}_{s_k^-} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\begin{aligned} H_{\text{Bas}} &= \sum_k (\epsilon_k - \mu) S_k^z - \sum_{kk'} V_{kk'} S_k^+ S_{k'}^- \\ &= - \sum_k H_k S_k^z - \sum_{kk'} V_{kk'} (S_k^x S_{k'}^x + S_k^y S_{k'}^y) \end{aligned}$$



(4)

S_x and S_y component of the pseudo spin



The rotational symmetry is spontaneously broken in the x-y plane.

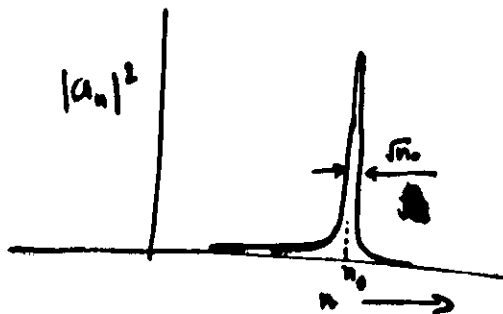
The ground state wave function:

$$|G\rangle = \prod_k (|u_k| \alpha_k + |v_k| e^{i\theta_k} \beta_k)$$

$$\alpha_k = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \beta_k = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$|BCS\rangle = \prod_k (|u_k| + |v_k| e^{i\theta} c_k^\dagger c_{-k}^\dagger) |0\rangle$$

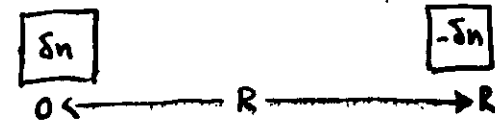
$$= \sum_{n=0,1,\dots} e^{i2n\theta} |a_n| |2n\rangle$$



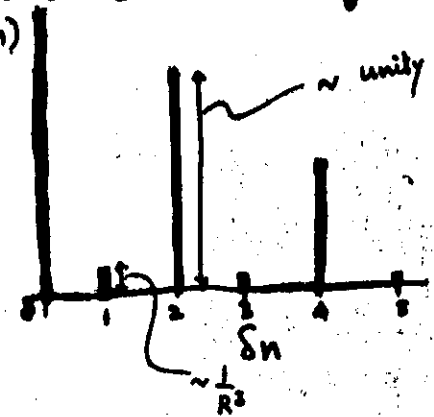
(5)

PHASE COHERENT
GRAND CANONICAL ENSEMBLE

CORRELATION IN THE LOCAL NUMBER FLUCTUATION



Probability amplitude for the above to occur in the ground state.
i.e. $P_R(\delta n)$



in a current carrying ground state

$$P_R(\delta n) \rightarrow e^{i(\theta(0) - \theta(R))} P_R(\delta n)$$

Thus in the bulk of a superconductor coherent quantum mechanical number fluctuations occur.

Supercurrent flow indicates the tendency of the system to maintain this coherent fluctuation.

(6)

The phase discussed until now is of pure quantum mechanical origin. It becomes ill defined and does not exist when $\hbar \rightarrow 0$.

This is not true of any other order parameter in condensed matter physics.

phase of the spin density wave

" " " charge density wave

⋮

* it has no reference to any spatial pattern.

- Quantum mechanics on a macroscopic scale
- Macroscopic quantum tunnelling
- Nature of dissipation
- Quantum theory of measurement.

TIME EVOLUTION OF A BCS STATE

Eigen state: $e^{iE_n t} |n\rangle$

$$\text{BCS: } e^{iHt} |\text{BCS}\rangle = \sum_n e^{iE_n t} |a_n| e^{iE_n t} |n\rangle$$

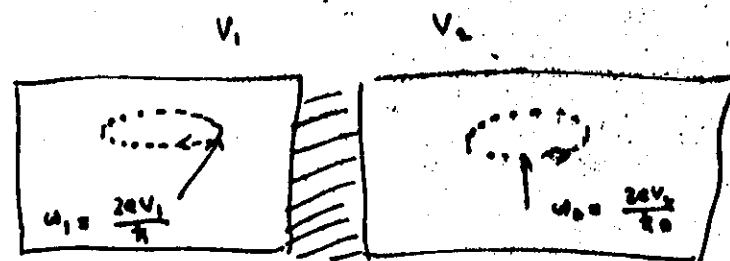
$$= \sum_n e^{i(E_n + \frac{2\mu + eV}{\hbar})t} |n\rangle$$

$$\Downarrow$$

$$\prod_k (|u_k| + |v_k| e^{\frac{i}{\hbar}(\mu + eV)t} e^{i\phi_k^\dagger \phi_k}) |0\rangle$$

$$\text{thus } \Delta \rightarrow |\Delta| e^{i\phi} e^{\frac{i}{\hbar}(\mu + eV)t}$$

This is the origin of a.c. Josephson effect.



$$\text{Josephson frequency: } \omega_1 - \omega_2 = \frac{2e(V_1 - V_2)}{\hbar}$$

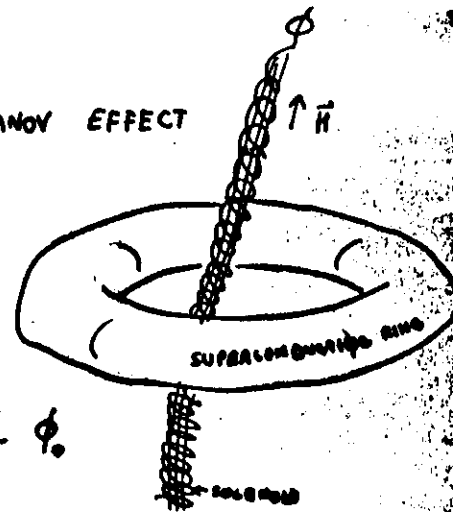
L1-8

FLUX QUANTISATION - BOHM-AHARANOV EFFECT

IN EQUILIBRIUM (SUPER CURRENT
INSIDE THE BULK OF THE RING IS
ZERO) THE FLUX ENCLOSED

$$\text{IN THE RING } \phi = n \left(\frac{hc}{2e} \right) \phi_0$$

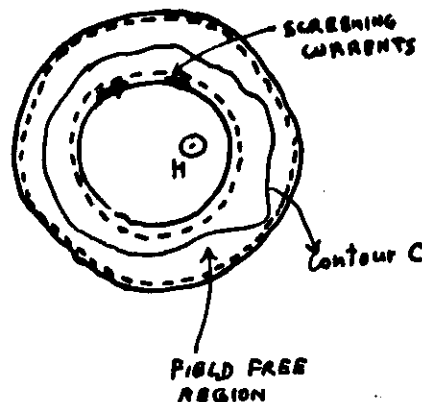
WHERE n IS AN INTEGER



$$\psi(r_1, \dots, r_n) = \psi_0(r_1, \dots, r_n) e^{i[s(r_1) + \dots + s(r_n)]}$$

$$\vec{j}(\vec{r}) = \frac{ne}{m} \left(\hbar \vec{\nabla} s - \frac{e\vec{A}}{c} \right)$$

Deep inside the superconductor $\vec{j}(\vec{r}) = 0 \Rightarrow \vec{A} = \frac{c\hbar}{e} \vec{\nabla} s$



$$\oint \vec{A} \cdot d\vec{l} = \frac{c\hbar}{e} \oint \vec{\nabla} s \cdot d\vec{l} = \frac{c\hbar}{e} \oint ds$$

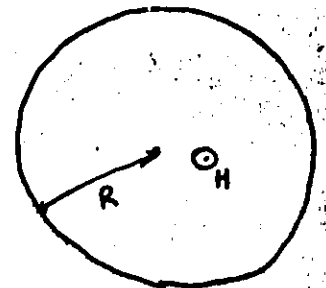
$\oint ds$ is the increase in s when one goes around one complete circuit along the curve C .

(9)

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ANOTHER EXAMPLE

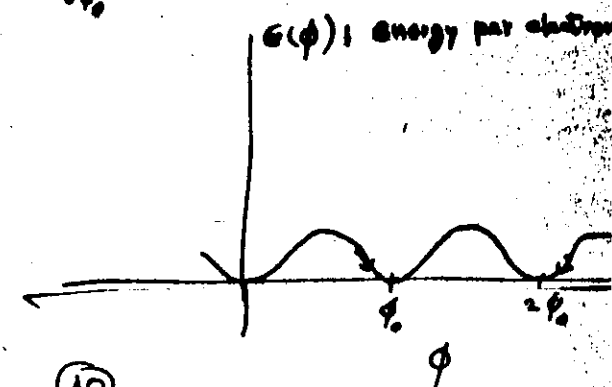
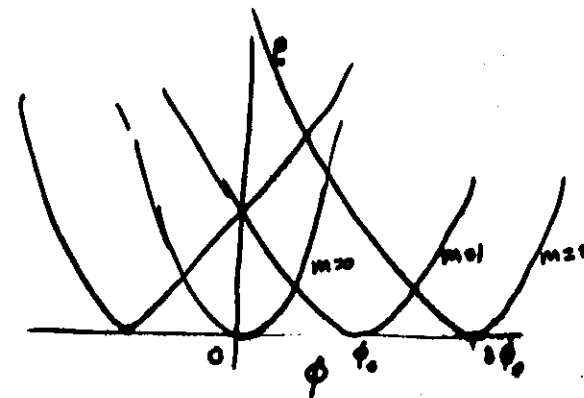
ELECTRON CONFINED TO MOVE
ON A RING. THE RING
ENCLOSES A SOLENOID



$$H = \frac{p^2}{2m} = \frac{\hbar^2}{2mR^2} \frac{d^2}{d\phi^2} \text{ for zero field}$$

$$H = \frac{\hbar^2}{2mR^2} \left(\frac{d}{d\phi} + i\phi_0 \right)^2$$

$$H\psi_m = E\psi_m \quad \psi_m = e^{im\phi} \quad E_m = \frac{\hbar^2}{2mR^2} (m + \alpha)^2$$



(10)