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SPRING COLLEGE IN MATERIALS SCIENCE
ON
'CERAMICS AND COMPOSITE MATERIALS'
(17 April - 26 May 1989)

THEORETICAL DEVELOPMENTS IN Hi-Tc SUPERCONDUCTIVITY

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THEORETICAL DEVELOPMENTS
IN
Hi-Tc SUPERCONDUCTIVITY

* Introduction
  - Basic Experimental Facts
  - Questions to Be Answered
* CuO_2 Plane and Electronic States
* Weak Coupling Theories and Related Models
* Experiments in Favour of Strong-Coupling Models
* One-Band vs Two-Band Hubbard Models
* The Resonance Valence Bond (RVB) States
* Interplay between Quantum Antiferromagnetism and Superconductivity
* Concluding Remarks

Classes of Hi-Tc Superconductors

$La_{2-x}Sr_xCuO_4$ (214) $T_c \sim 40K$

$YBa_2Cu_3O_{7-y}$ (123) $T_c \sim 90K$

$X_mBa_2Ca_{n-1}Cu_nO_{2(n+1)+m}$

$X =$ Bi, Tl. $n = 1, 2, 3,$ $m = 1$ (Tl), 2

(2212) $T_c = 110K$

(2223) $T_c = 125K$

Others $Ln_{2-x}Ce_xCuO_{4-y}$ $T_c = 24K$

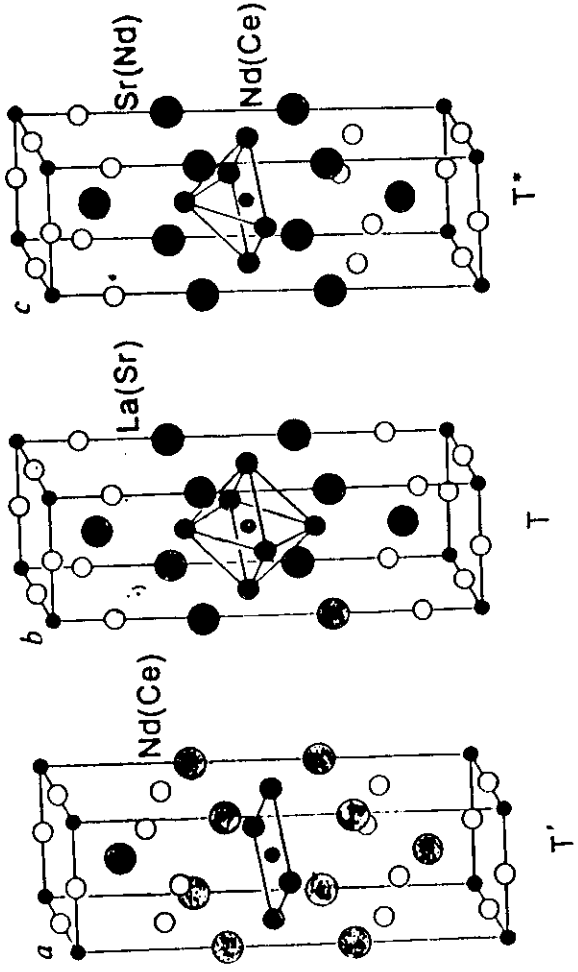
$Ln =$ Nd, Pr. $x = 0.15$

Y. Tokura et al.

Cubic

$BaBiO_3$ → $BaPb_xBi_{1-x}O_3$ 13K

$BaBiO_3$ → $Ba_xK_{1-x}BiO_3$ 30K



$Nd_{2-x-z}Ce_xSr_zO_4$

$La_{2-x}Sr_xCuO_4$

$Nd_{2-x}Ce_xCuO_4$

Y. Tokura et al. Nature 337, 345 (89)

Basic facts

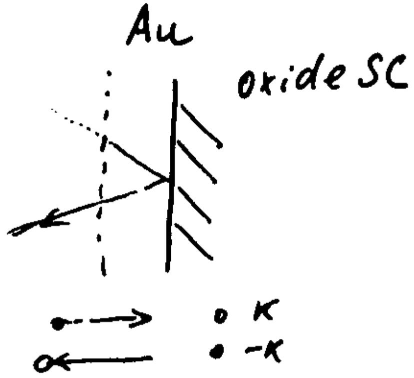
What is common?

* Zero resistance (?)
* Meissner effect (complete?)
* Flux quantization 2e
* Energy gap in spectrum

What is different?

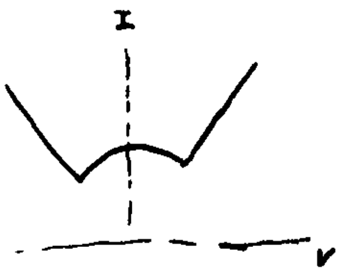
* sensitivity to sample preparation and so on
* poor conductors and "strange" normal state properties
* interplay with magnetism
* "almost no" isotope effect
* Very short correlation length extreme type II superconductor
* Non-exponential low-temperature specific heat

Andreev Scattering



$eV < E_g$

ballistic scattering
anti gap. current is
enhanced. additional
"hole" current.



In favor of
$k, -k$
pairing

no direct evidence. S. p. d?

Questions to be answered

1. Scenario for SC

   BCS pairing? Bose condensation?
   Bipolarons? Something entirely new?

2. What is the interaction responsible for SC?

   Electron-phonon? Coulomb interaction in terms of charge or magnetic fluctuations

3. What is the appropriate model?

   Charge transfer? Hubbard?
   one, two, or three bands?

4. What is the theoretical basis?

   Weak-coupling theory based on Landau Fermi-Liquid theory
   or
   Strong-coupling theory with entirely new concepts?

Attempts in constructing Hi-Tc theory

- Phonon
  - Strong coupling
  - Bipolaron
  - Jahn-Teller
- Electronic
  - charge fluctuations
    - charge transfer exciton $[Cu^{2+}O^{-}] \to [Cu^{+}O^{-}]$
    - plasmon
    - two band (localized vs extended) model
  - Magnetic fluctuations
    - weak coupling SDW
    - strong coupling two (three) band models
    - One-band Hubbard model ⇓ **RVB**; t-J model ⇘ **Spin wave theory**

CuO_2 plane is responsible for SC

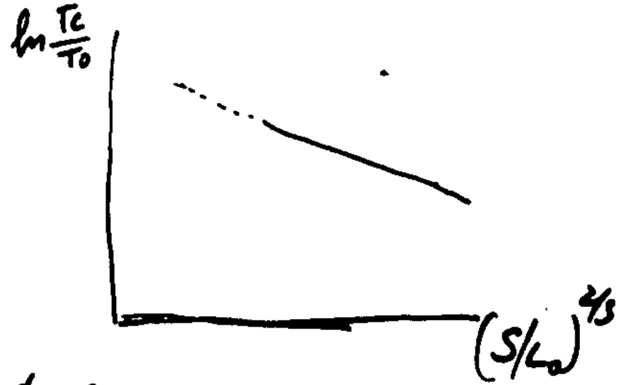
1) "Common" structure

2) Anisotropy

ρ , $\rho_{\parallel} \sim T$

$\rho_{\perp}/\rho_{\parallel} \sim 10^{-5}$, $\rho_{\perp} \sim 1/T$

3) Strong dependence of Tc upon interlayer distance of CuO_2

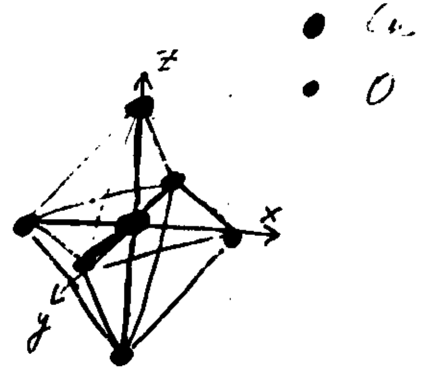


4) Band structure calculation

5) 2D behavior . like Kosterlitz - Thouless transition

Mattheiss. Phys. Rev. Lett. 58, 1028 (1987)

$La_2^{6+} Cu^{2+} O_4^{8-}$



Cu^{2+}, $3d^9$

Cubic harmonics:

T_{2g}, xy, xz, yz

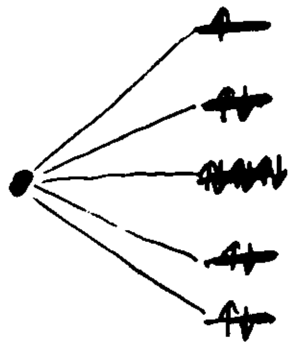
E_g, x^2-y^2, $3z^2-r^2$

Jahn-Teller splitting

Cu-O 2.4 Å ∥z

1.9 Å xy plane

Cu-O hybridization



Cu^{2+} $3d^9$

E_g^* (antibonding) x^2-y^2 ⇔ Op, $3z^2-r^2$ ⇔ Op

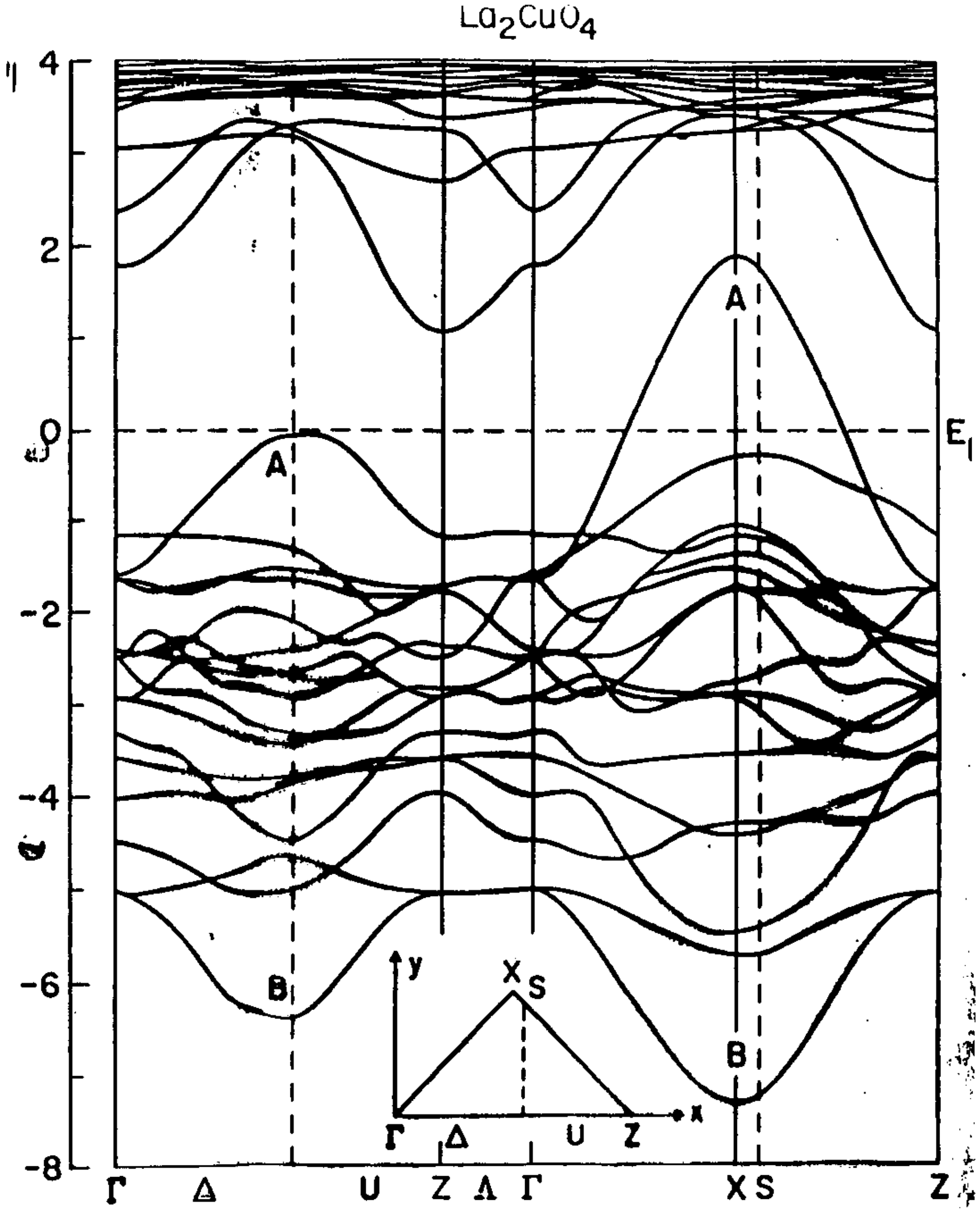
T_{2g} (nonbonding) xy, xz, yz

E_g (bonding) Op ⇔ $3z^2-r^2$, Op ⇔ x^2-y^2

Half-filled, should be a METAL

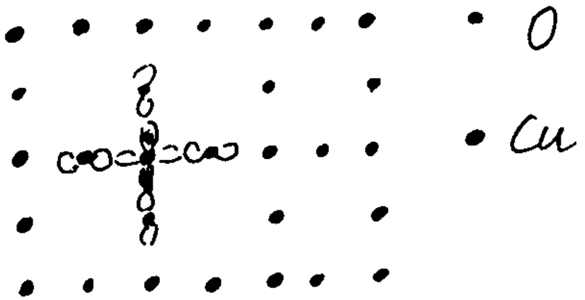
but it is not!

lower symmetry? AF order? Mott insulator?



CuO_2 layers

½ filled n.n. tight binding band



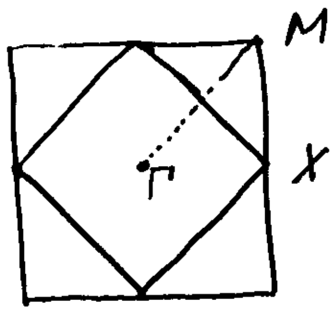
$$\varepsilon(\vec{k}) = 2t(\cos k_x a + \cos k_y a)$$

$t \simeq 0.5$ eV

Fermi Surface

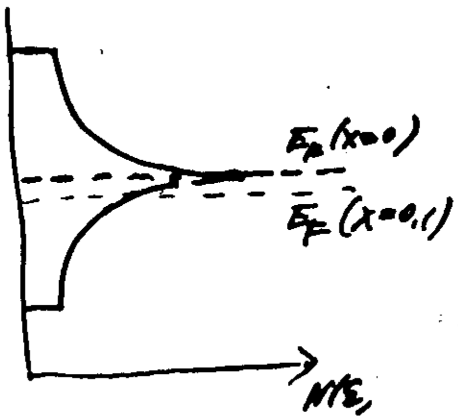
a) Saddle Points

b) Perfect Nesting



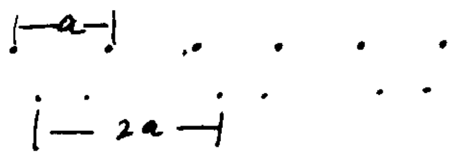
favor distortion with wavevector ΓM

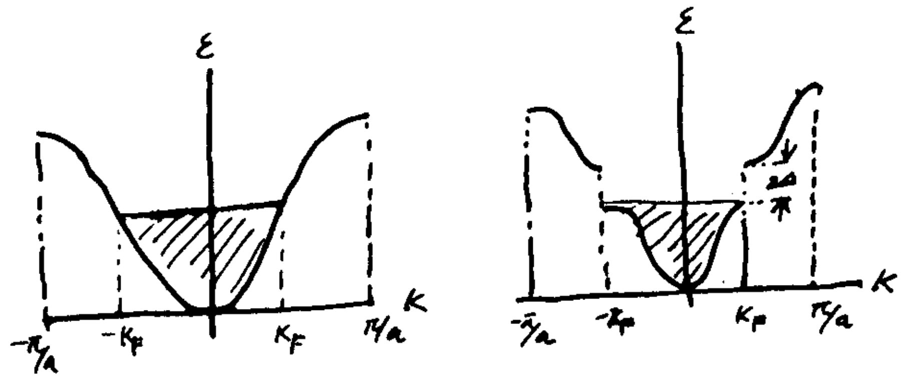
Saddle-points give a Van Hove Logar. Singularity at $\varepsilon = E_F$



Peierls instability

One-dimensional metal is unstable — due to coupling to lattice, a charge modulation (CDW) is formed and an energy gap opens at Fermi level

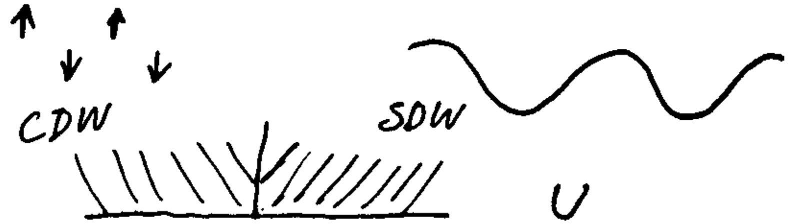




In general, the distortion is incommensurate.

* Spin density wave due to Coulomb repulsion

spin modulation



General Analysis in the weak-coupling limit.

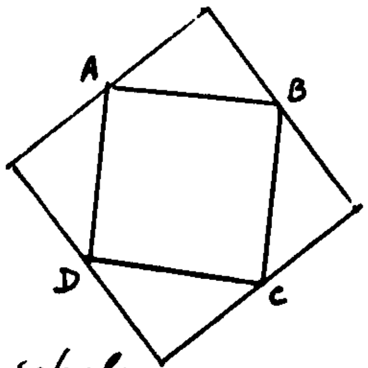
1D: Nesting: $g \ln \frac{t}{T} \sim 1$

$$T_c \sim e^{-1/g}$$

2D:

Neighborhood of four points

A(C). B(D)



Renormalization Group (scaling) Schulz

Parquette diagram Dzyaloshinsky

$$g \ln^2 t/T \sim 1 , \quad T_c \sim e^{-\frac{1}{\sqrt{g}}}$$

PP → SS TS

Ph → CDW SDW

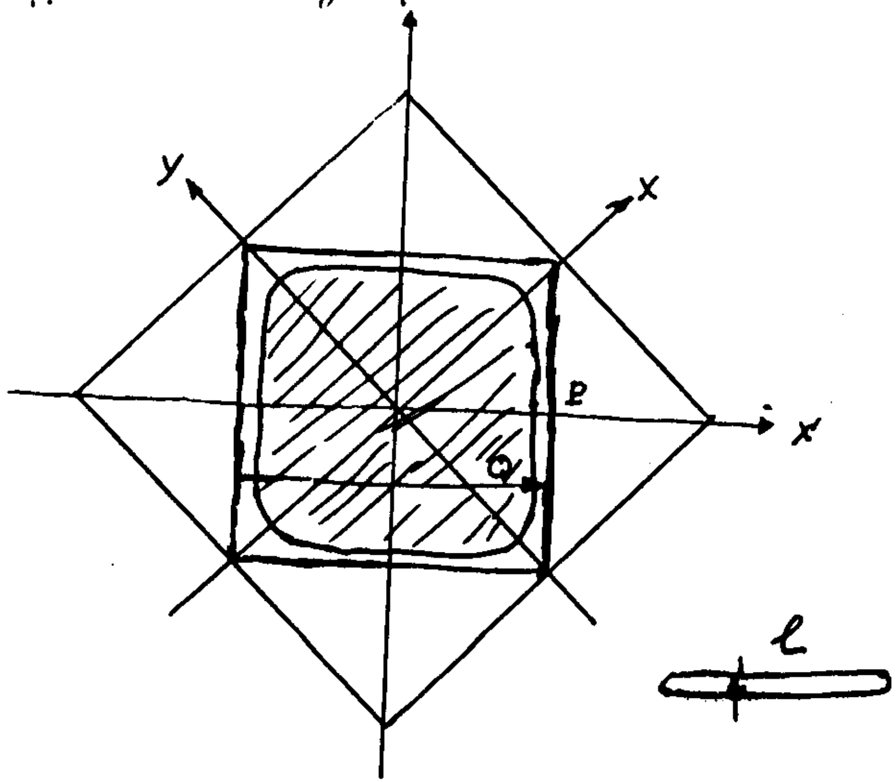
1/2 filling SDW

away — d-wave SC.?

phase transition?

"Spin Bag" model of J.R. Schrieffer, X.G. Wen, S.C. Zhang

1. SDW background. Δ_{SDW}
2. Nesting vector is fixed
3. Doping reduces local gap Δ_B
4. "sharing bag" lowers energy



$$l \sim \xi_{SDW} = \frac{\hbar v_F}{\pi \Delta_{SDW}} , \qquad \Delta_0 \approx \Delta_{SDW} e^{-\frac{t}{\alpha U}}$$

Hole Pairing induced by AF Fluctuations

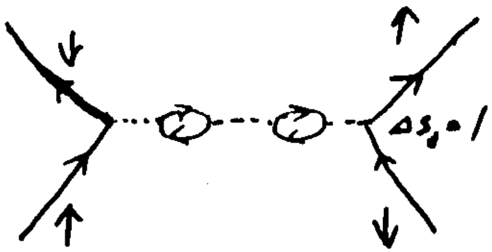
Z.B. Su, L. Yu, J.M. Dong & E. Tosatti

1) AF or SDW background.

2) AF coupling of hole to SDW

3) Separation of longitudinal and transverse modes, RPA

4) Effective attraction for triplet state



$$V(\vec{q}) \propto J \frac{1}{q^2}$$

5) P-wave pairing SC.

Strong Electron-phonon coupling

Phenomenological

Eliashberg equation

W. Weber phonon spectrum

$La_{2-x}Sr_xCuO_4$ 30-40K

marginal.

Consequences of very strong coupling $\lambda \gg 1$

Bulaevskii et al. J. Rammer et al.

J.P. Carbotte et al

$\Delta C / \gamma(T_c)$ and other thermodynamic quantities — λ non-monotonic

$2\Delta / kT_c$ — increasing with λ

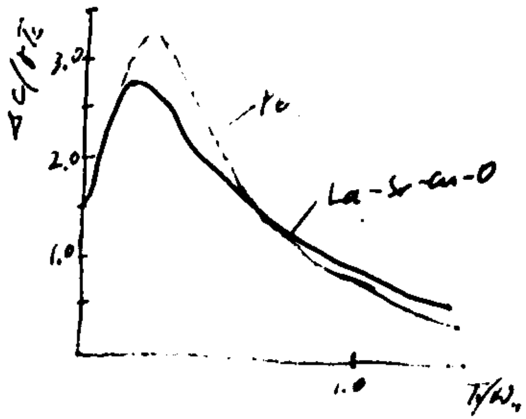
Check of the theory.

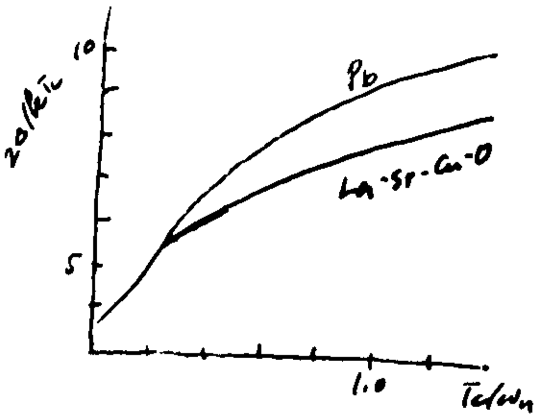
1) Measuring $\alpha^2 F(\omega)$ from tunneling

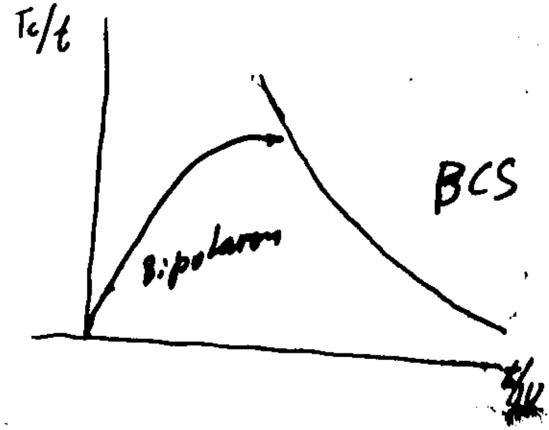
2) Thermodynamic quantities

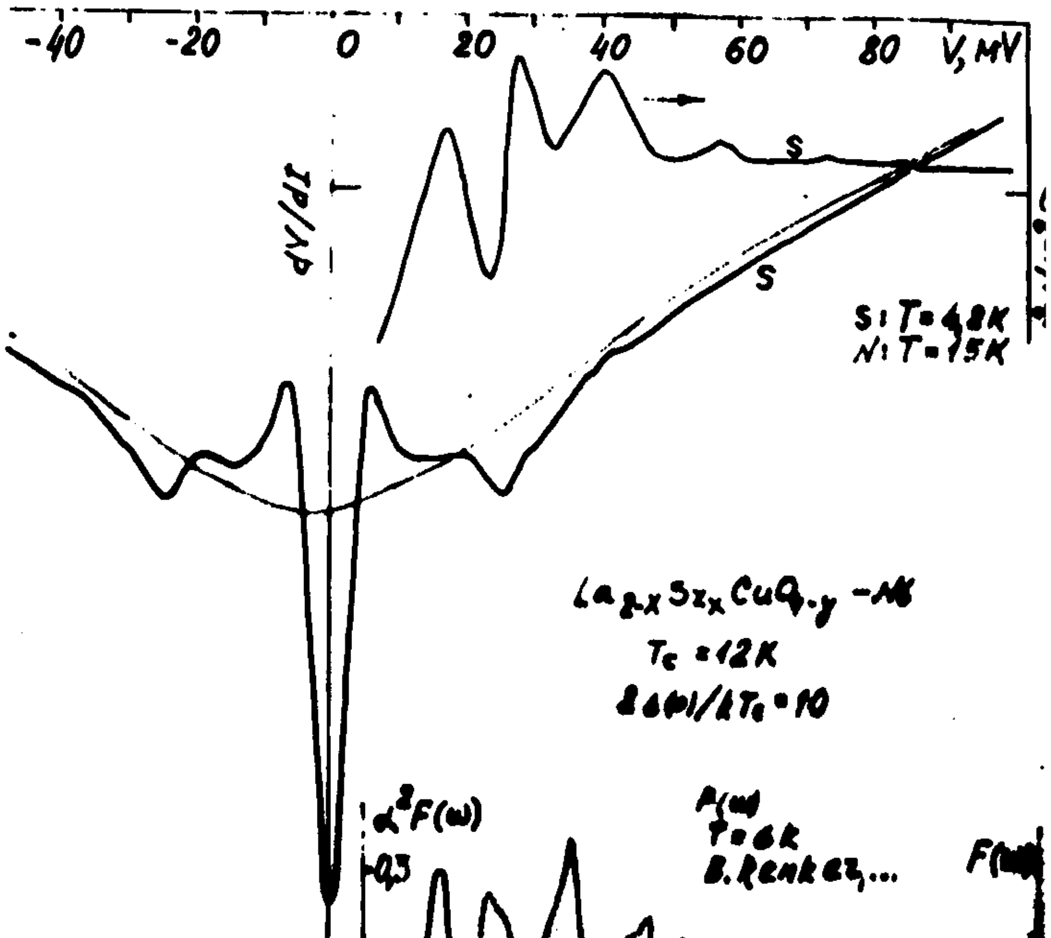
3) First-principle calculation of $\alpha^2 F(\omega)$

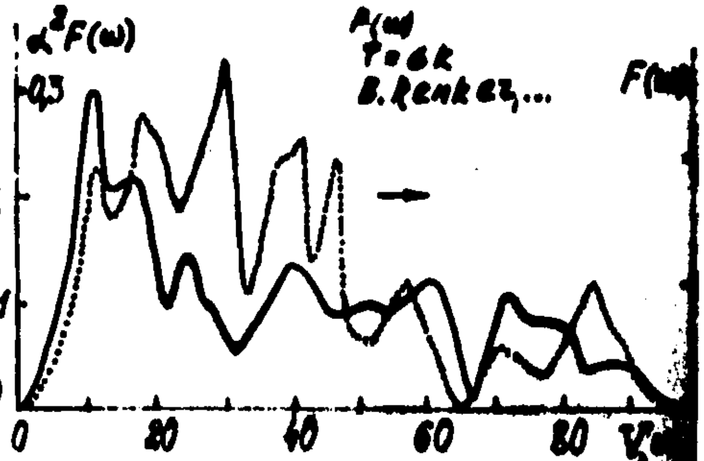
F. Marsiglio et al.
PR B 36, 5245, (87)











$\mu^* = -0.16$
$\lambda = 1.86$

Bipolaron model

possible relevance for $Ba_xK_{1-x}BiO_3$

B.K. Chakraverty ...

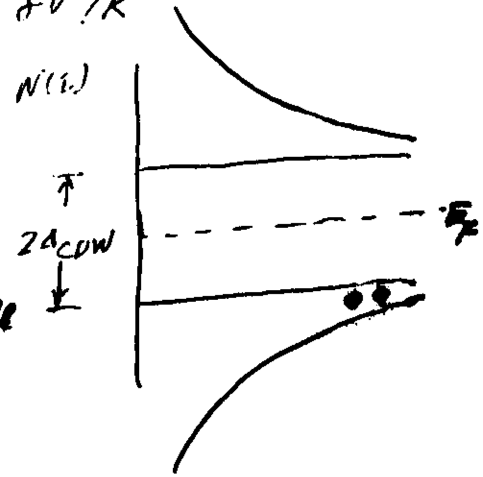
A. Alexandrov & J. Ranninger

Prelovsek, Rice, Zhang J. Phys C Lett 20, L 229 (87)

coexistence with CDW

$U < U_c = 8V^2/K$

$$\Delta_{CDW} = \begin{cases} t\, e^{-\pi/2\sqrt{\lambda}}, & t \text{ large} \\ 8V^2/K - U/2, & t \text{ small} \end{cases}$$



$$\lambda = (V^2/K - U/16)/t$$

Energy to remove 1 el:

- Δ_{CDW} [illegible]
- $\Delta_{CDW} - \varepsilon_p$ [illegible]
- $(\Delta_{CDW} - \varepsilon_p) - \frac{1}{2}\varepsilon_{bp}$ Bipolaron [illegible]

Superconductivity as Bose condensation

$m_{eff} \simeq 20\, m_e \Rightarrow T_c \simeq 80K$ for $x = .1$

Evidence in favor of Large U

in Cu oxides

1) La_2CuO_4 is an Antiferromagnet
   magnetic moment $\sim 0.5\,\mu_B/Cu$
   $T_N \sim 200K$

2) No CDW distortion was found
   Ortho - Tetra transition is not M-I

3) La_2CuO_4 has optical gap $\gtrsim 3$ eV

4) Spectroscopic data — no states at Fermi Level

5) $YBa_2Cu_3O_{7-\delta}$ has no isotope effect

6) Hall conductivity - Holes!
   $\sim$ carrier concentration
   Electrons! for new $Nd_{2-x}Ce_xCuO_4$

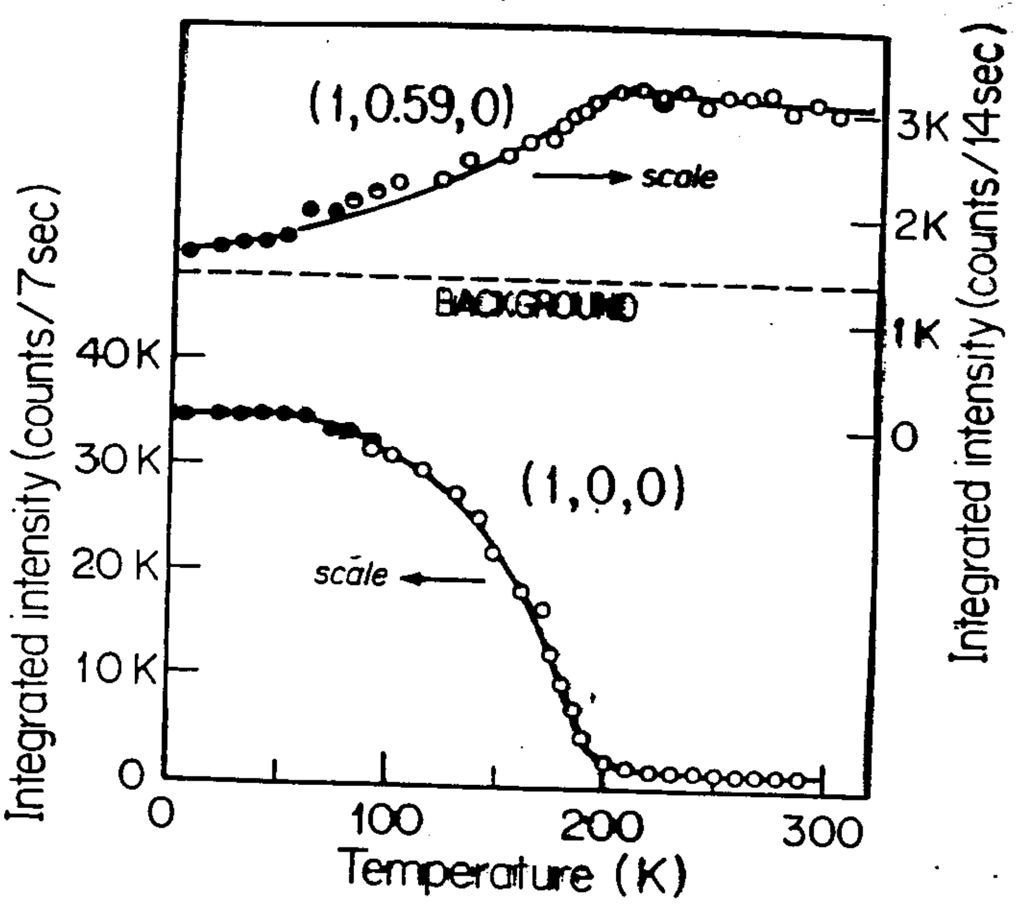
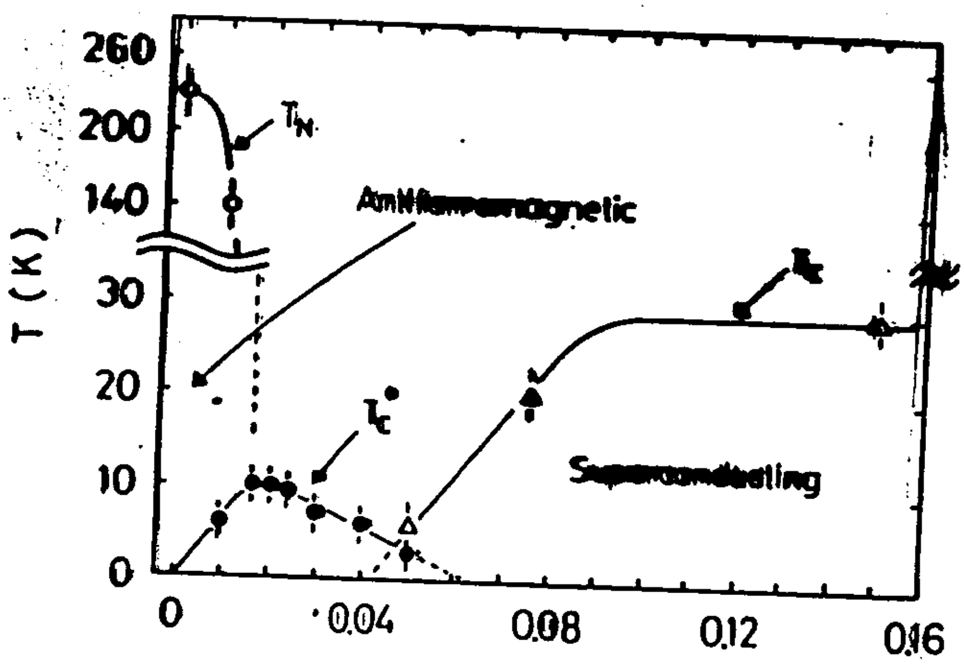
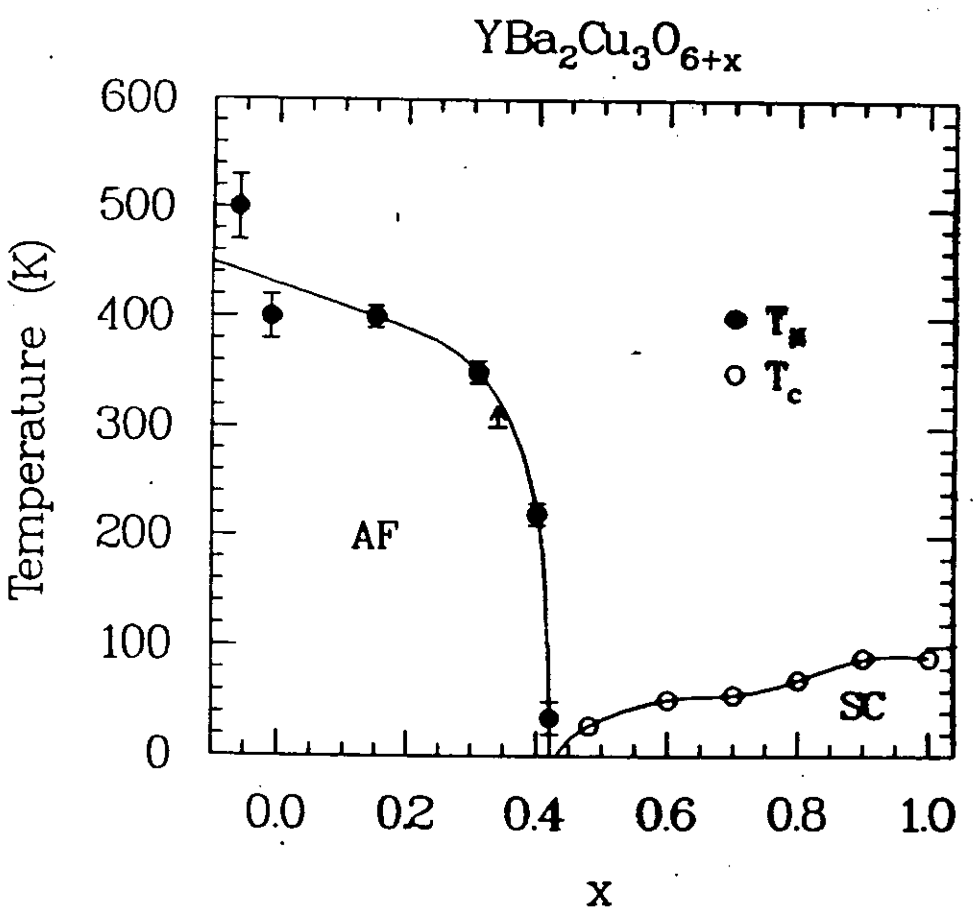


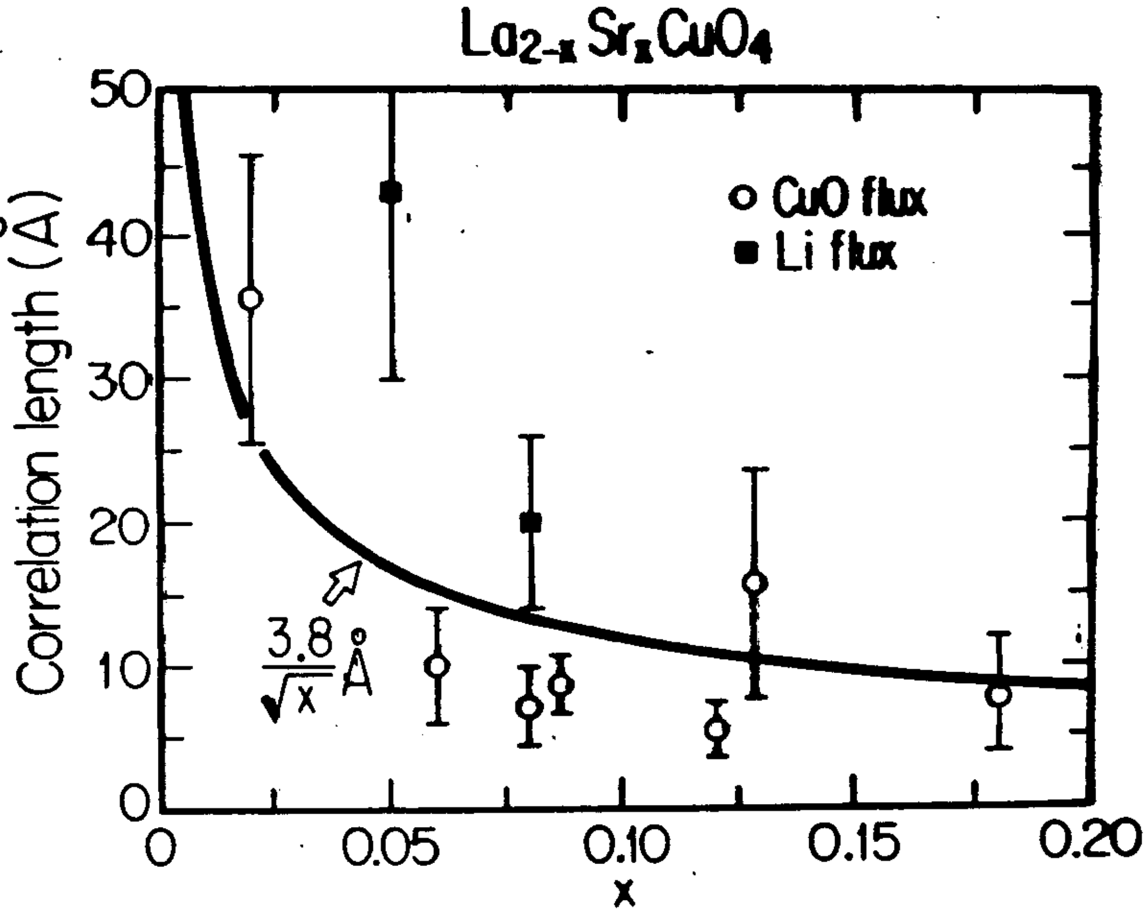
FIGURE 2



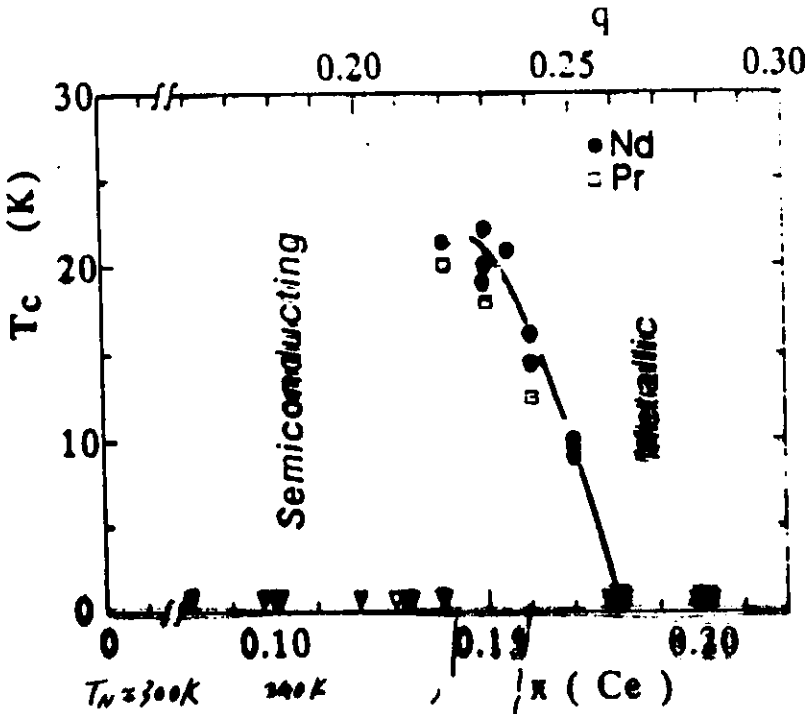
Low Temp. Phase diagram for $(La_{1-x}Ba_x)_2CuO_4$
Kitagawa et al. Physica 151-153, 12/1988



YBa2Cu3O6+x
Temperature (K)
600
500
400
300
200
100
0
0.0
0.2
0.4
0.6
0.8
1.0
x
AF
SC
Tx
Tc



La2-x Srx CuO4
Correlation length (Å)
50
40
30
20
10
0
0
0.05
0.10
0.15
0.20
x
CuO flux
Li flux
3.8/√x Å

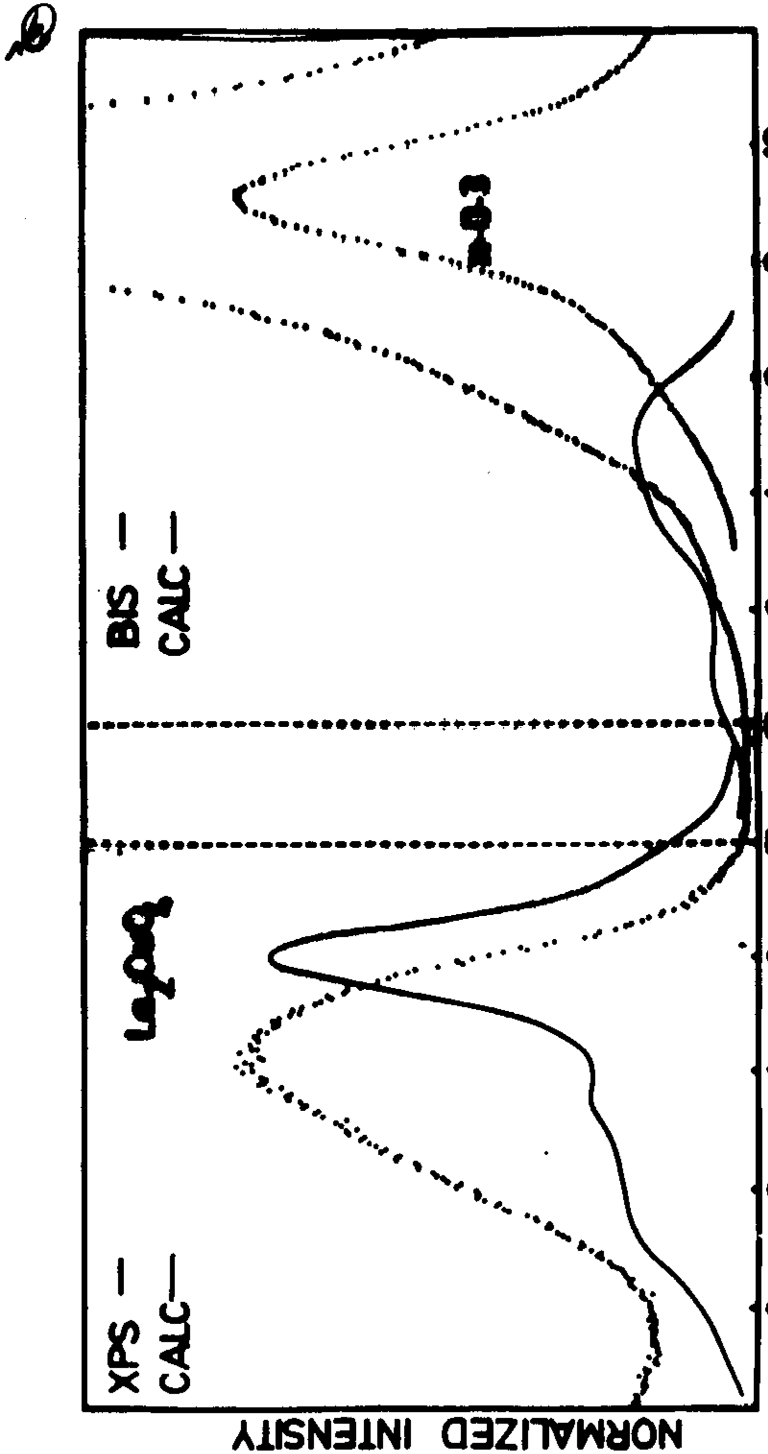


$T_N \approx 300K$ 240K

Not magnetic above 4K

$Ln_{2-x}Ce_x CuO_{4-y}$

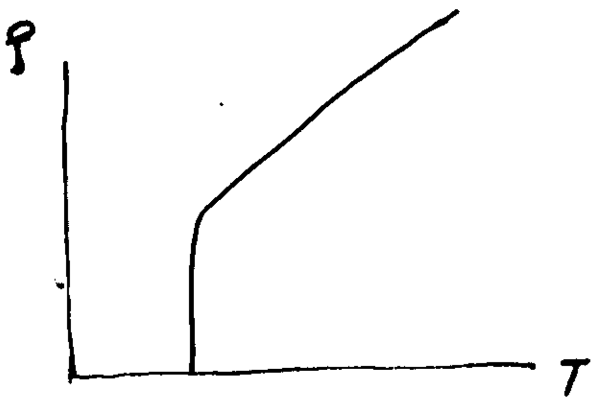
H. Takagi et al. PRL 62, 1197(89)



photoemission and inverse photoemission spectra at hν 6.7 eV for La_2CuO_4

J. C. Fuggle et al. P.R.B

linear Temperature dependence of resistivity



El-ph. interaction ?

But why down to 0K (for some compounds with very low T_c)

N.P. Ong et al.

Hubbard Model

Anderson's initiative

Strong on-site Coulomb repulsion

$$H_H = -t \sum_{\langle ij \rangle, \sigma} c^{\dagger}_{i\sigma} c_{j\sigma} + h.c. + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

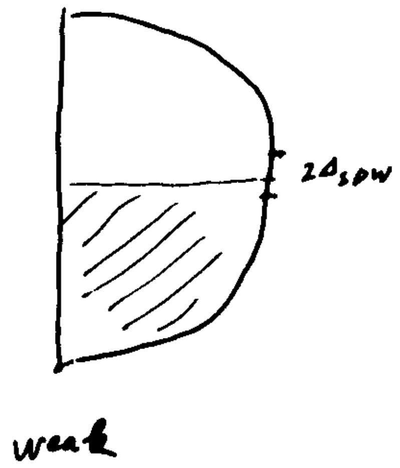
Exactly solvable only in 1D (Lieb & Wu)

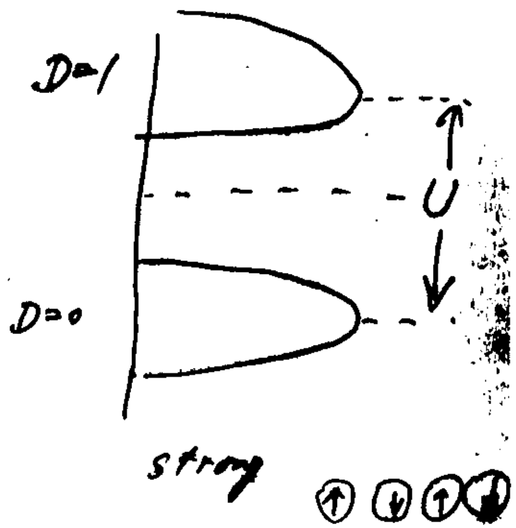
Strong Coupling. $U \gg W = 2zt$

Mott insulator. localized states

Weak coupling $U \ll W = 2zt$

Bloch states are good starting point



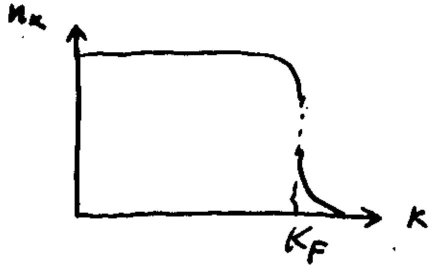


$U=0$ fixed point, weak coupling

* Landau Fermi-Liquid Theory is valid

well-defined quasiparticles

Luttinger theorem - jump in the momentum distribution at Fermi Level

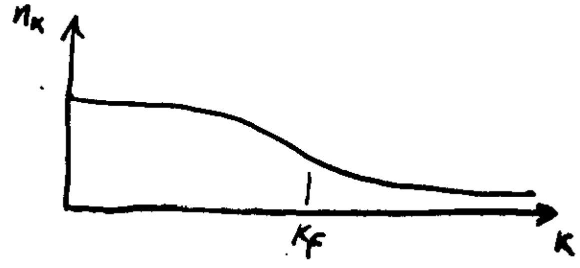


$U=\infty$ fixed point, strong coupling

* Fermi-liquid behavior breaks down

No well-defined quasiparticles

No jump in the momentum distribution



Effective Hamiltonian in strong coupling limit

$U \gg t$, $\delta = 1 - n \ll 1$

Canon. transform. $H_H \rightarrow H_{eff} = e^{iS} H_H e^{-iS}$

$T \rightarrow T_{hole} + T_{mix} + T_{double\ occ.}$

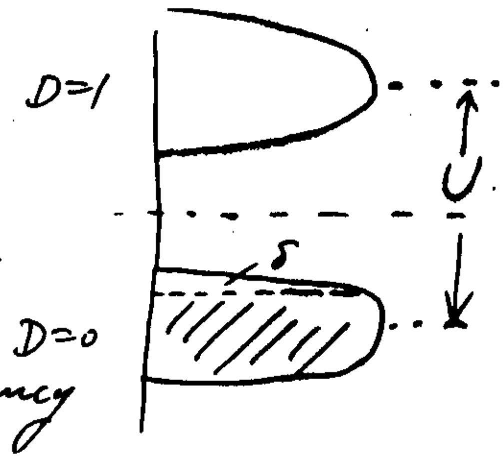
$T_{mix} = 0$, $T_{double\ occ.}$ = project out

$$H_{eff} = P_{D=0} (-t) \sum_{\langle ij \rangle \sigma} c_{i\sigma}^{\dagger} c_{j\sigma} P_{D=0} + h.c. + J \sum_{\langle ij \rangle} (\vec{S}_i \cdot \vec{S}_j - \tfrac{1}{4}) + O(\delta^2, t^3/U^2, \delta t^2/U)$$

t-J model

$J = 4t^2/U$

H_{eff} operates in Hilbert subspace without double occupancy



Hubbard Model

1 D   Lieb-Wu   Bethe ansatz exact Solution

n=1 .   singlet state, no LRO

n≠1   non-Fermi Liquid behavior
⇒ Luttinger theorem is not valid

2 D   No exact solution

Large U → Heisenberg model

n=1 .   LRO ?   Yes, probably.

3 D   LRO   rigorous proof

What happens if one dopes?
M-I transition?

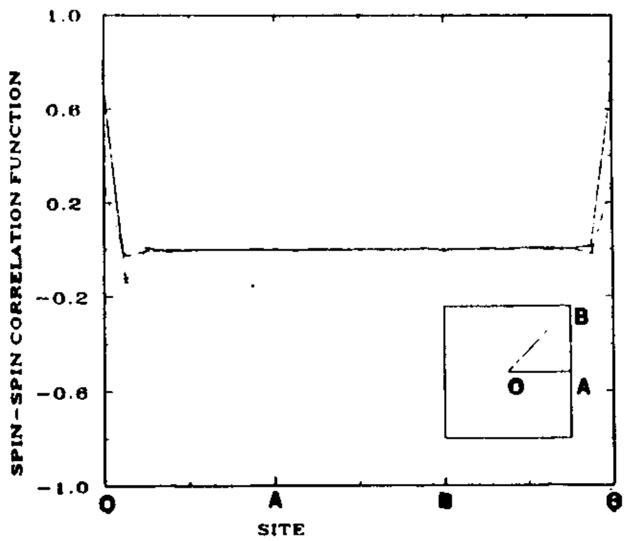


Figure 5. Spin-spin correlation function of a 2D 16×16 Hubbard model for $U=4$, $\nu=$[illegible] (continuous line) and $\nu=$[illegible] (dashed line). The path in the unit cell is shown in the inset.

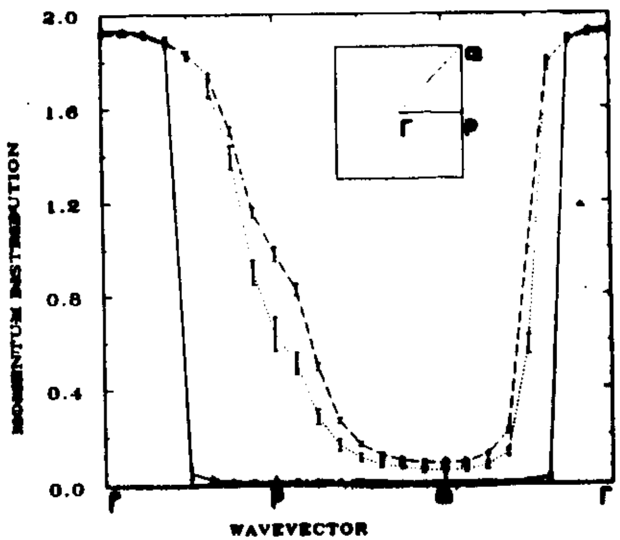


Figure 6. Momentum distribution of the 2D 16×16 Hubbard model with $U=4$, for $\nu=$[illegible] (dashed line), $\nu=$[illegible] (dotted line), and $\nu=$[illegible] (continuous line). The path in the Brillouin zone is shown in the inset. The imaginary time was $T=12$ (half filled) and $T=$[illegible] (less than half filled).

the momentum distribution $n(\mathbf{k})$ for the three values of δ considered above. Our

S. Sorella et al.

One band vs Two band Hubbard models

Anderson: One band H. model contains the basic Hi-Tc Physics

However: Holes on oxygen:

High-Energy spectroscopy,

Hall measurements, wet chemistry

...

different NMR relaxation & Knight shift results

...

Emery et al:

At least two bands.

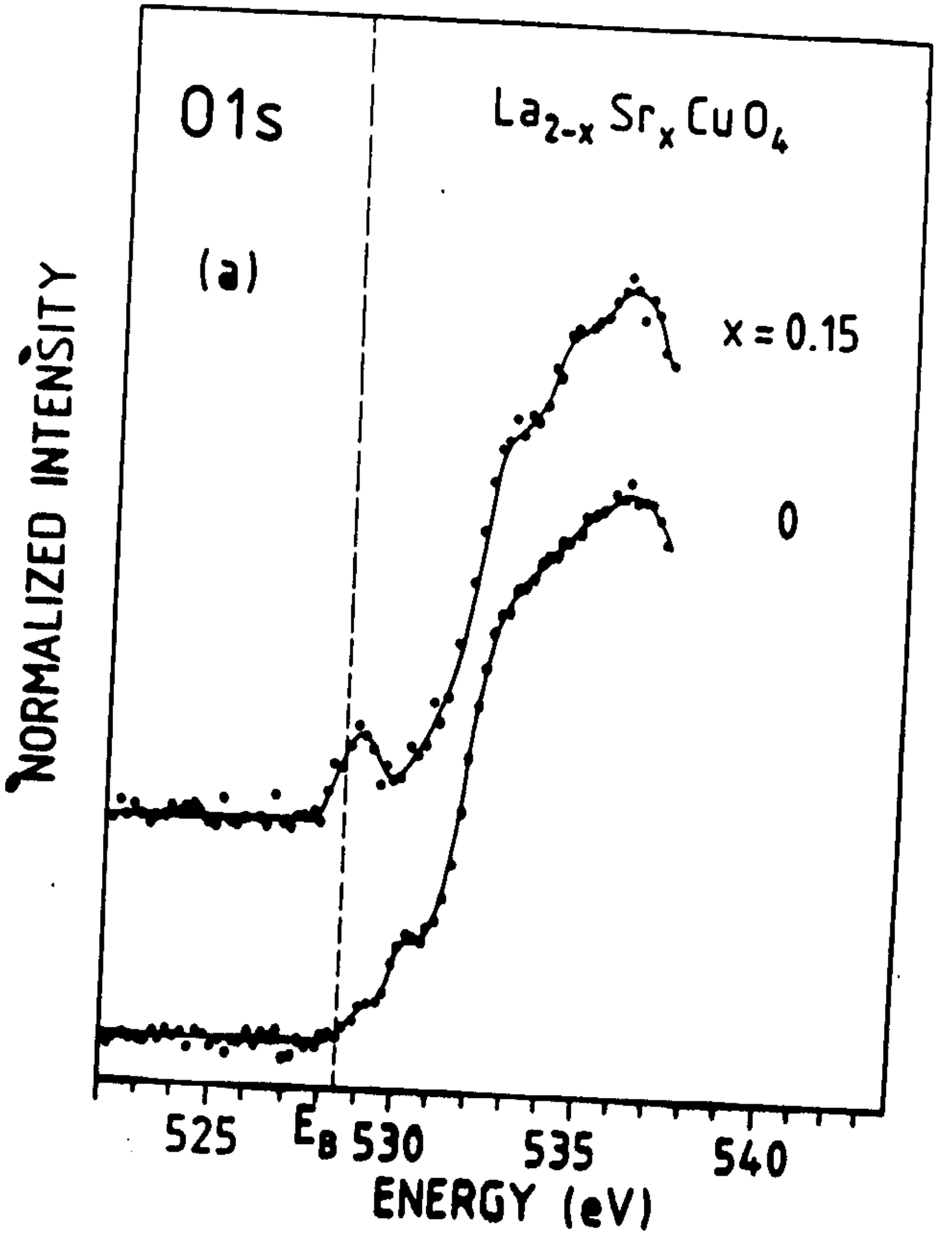
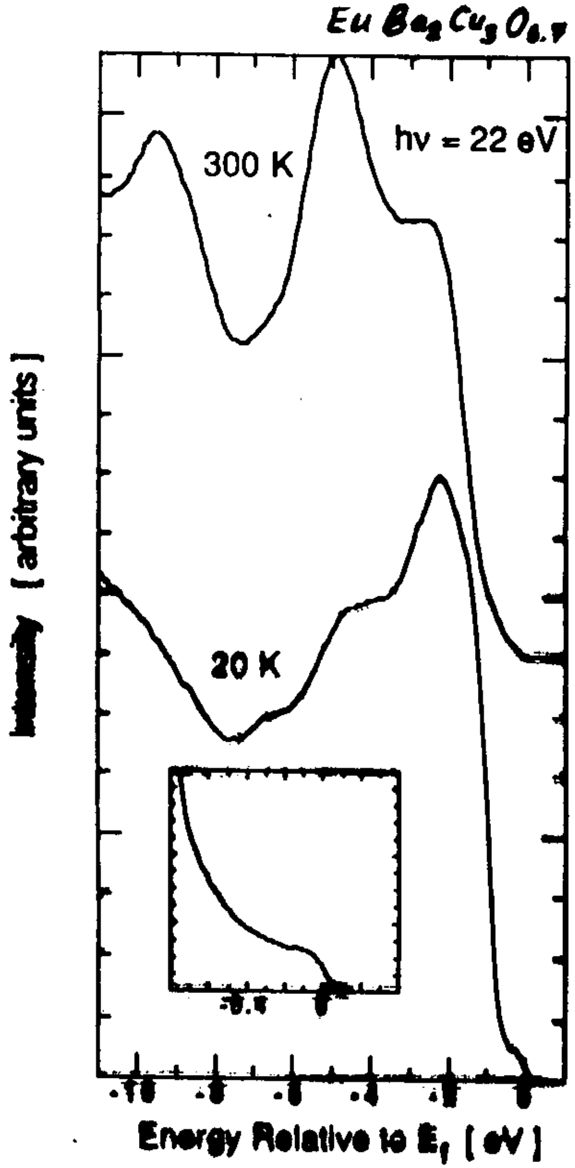


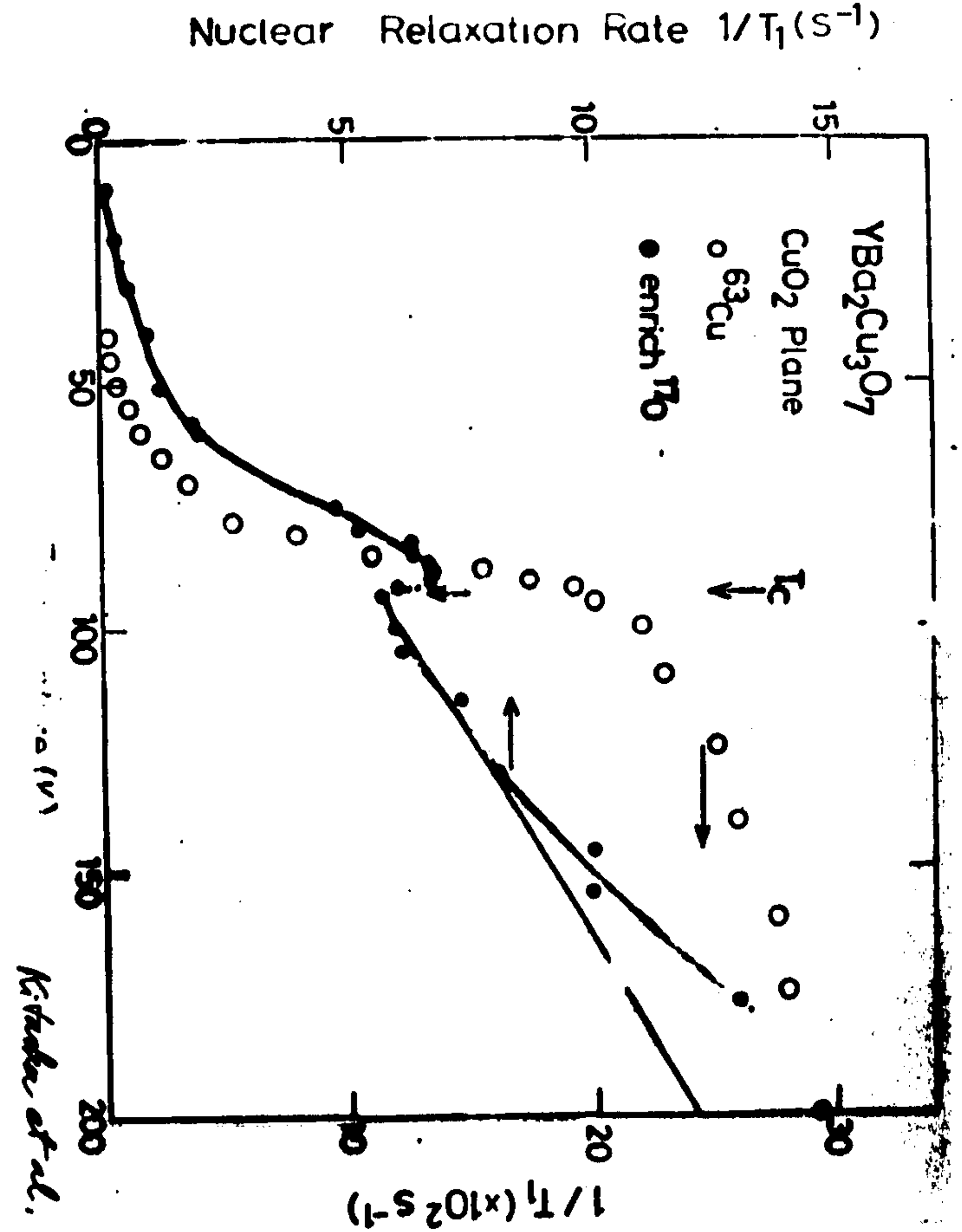
Fig. 1a

N. Nücker et al. EELS

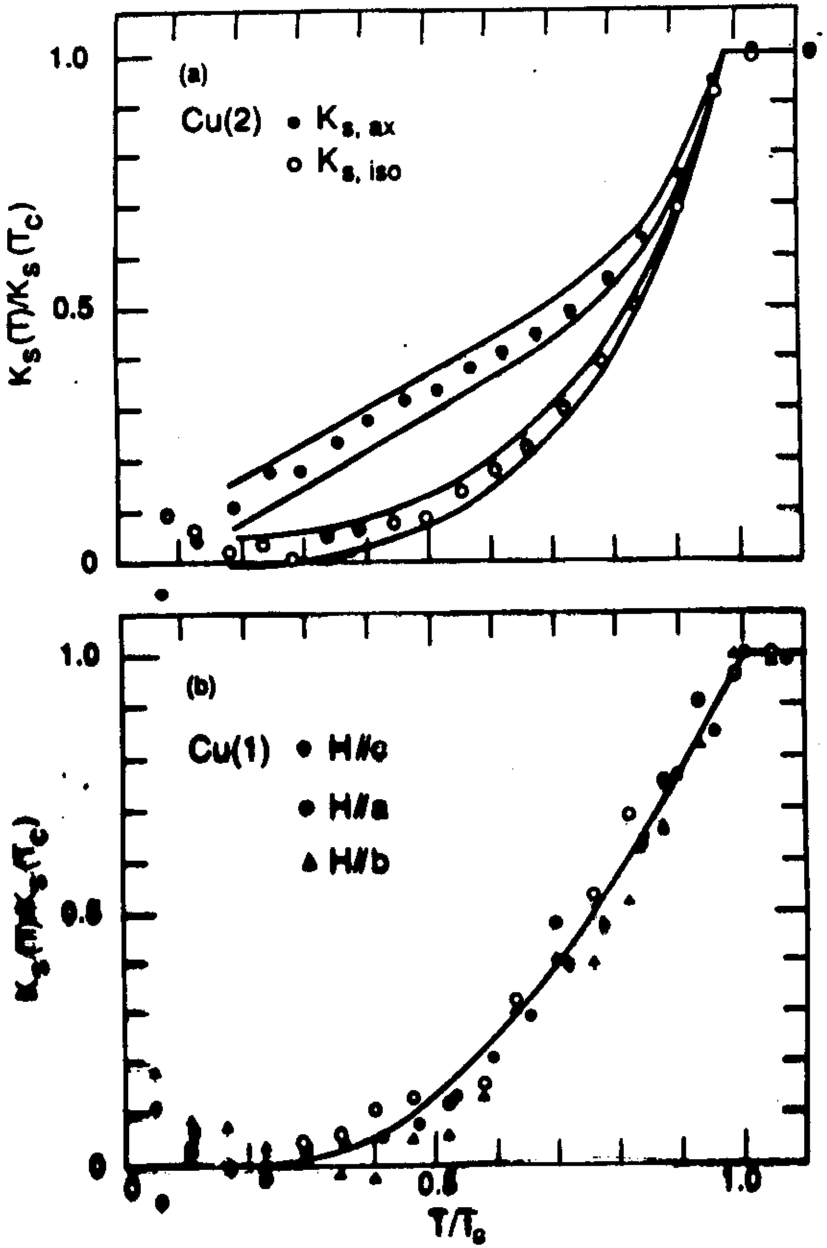
Photoemission data: evidence of Fermi edge

R. S. List et al, Los Alamos





Kitaoka et al.

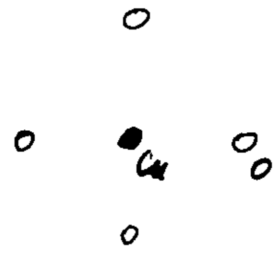


M. Tanigawa
P.C. Hammel
R.N. Heffner
Z. Fisk
Los Alamos

Knight shift (spin susceptibility) in superconducting $YBa_2Cu_3O_7$. Axial part shows T-linear behavior at low temperatures, suggesting nodes in the gap realized for example in d-wave pairing

-33-

Model Hamiltonian for CuO_2 plane



$$H = -\sum_{\langle i,l\rangle\sigma} t_{il}\left(d^{+}_{i\sigma} p_{l\sigma} + c.c.\right) - \sum_{\langle ll'\rangle\sigma} t'_{ll'}\left(p^{+}_{l\sigma} p_{l'\sigma} + c.c.\right) + \epsilon_d \sum_{i,\sigma} d^{+}_{i\sigma} d_{i\sigma} + \epsilon_p \sum_{l,\sigma} p^{+}_{l\sigma} p_{l\sigma} + U_d \sum_i n_{di\uparrow} n_{di\downarrow} + U_p \sum_l n_{pl\uparrow} n_{pl\downarrow} + V \sum_{\langle il\rangle,\sigma,\sigma'} n_{di\sigma} n_{pl\sigma'}$$

$U_d = 5 \div 10$ eV, $U_p = 3 \div 6$ eV, $t = 1 \div 1.5$ eV

$t' = 0.5$ eV, $V \leq 1.5$ eV, $\Delta \equiv \epsilon_p - \epsilon_d \approx 3$ eV

Energy scales and effective Hamiltonian

P.R.L. 58, 2794 (1987)

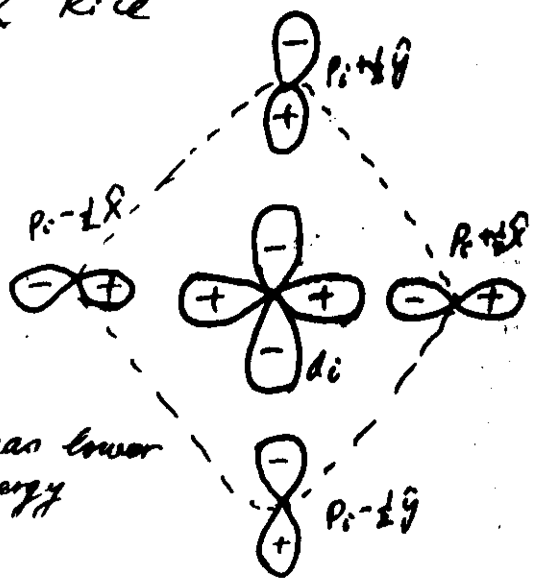
Exp. hole on O.

Single band model is OK ?

d-like pairing of O(2p) hole, induced by strong coupling to local sp. configuration on Cu

Zhang & Rice

Start from 2 bands. Strong coupling to Cu^{++} to form a singlet. Effective Ham. as for a single band



* Sym. comb. of O has lower energy
* Singlet state

Symmetrized orbit

$$\tilde{P}_i = \frac{1}{2}\sum_l \zeta_{il} P_l \qquad \zeta_{il} = \begin{cases} 1 \\ -1 \end{cases}$$

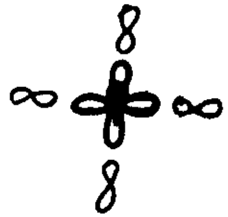
$$\phi^s = \frac{1}{\sqrt{2}}\left(d_{i\uparrow}\tilde{P}_{i\downarrow} - d_{i\downarrow}\tilde{P}_{i\uparrow}\right)$$

$$\phi^t_\alpha = \begin{cases} d_{i\uparrow}\tilde{P}_{i\uparrow}, \\ \frac{1}{\sqrt{2}}\left(d_{i\uparrow}\tilde{P}_{i\downarrow} - d_{i\downarrow}\tilde{P}_{i\uparrow}\right), \\ d_{i\downarrow}\tilde{P}_{i\downarrow} \end{cases}$$

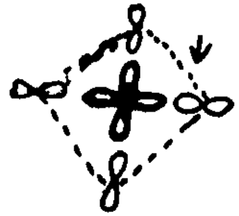
$$E^t - E^s \sim 16 \; t^2/U$$

Discovery of "electronic" superconductors — electron-hole symmetry — in favor of t-J model

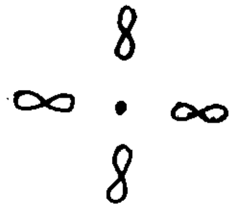
One hole per square



additional hole forming singlet



One hole missing



[illegible] constituent particle

Aspects of hole motion in CuO_2 plane

Z.B. Su & L. Yu

Singlet state is a composite particle with binding energy $E_b \sim 1$ eV.

* It appears as a single particle in "Low" energy phenomena, when $\hbar\omega \ll E_b$, like transport IR absorption, but virtual excitation $\phi^s \to d$, p plays an important role. In particular, $\rho \sim T$

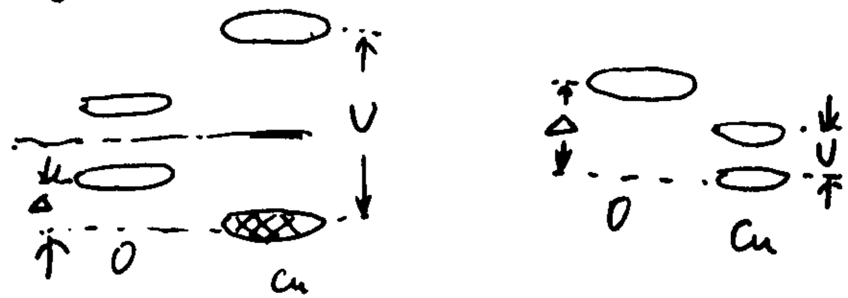
* It appears as constituent particles in "High" energy phenomena, when $\hbar\omega \gg E_b$, like EELS etc.

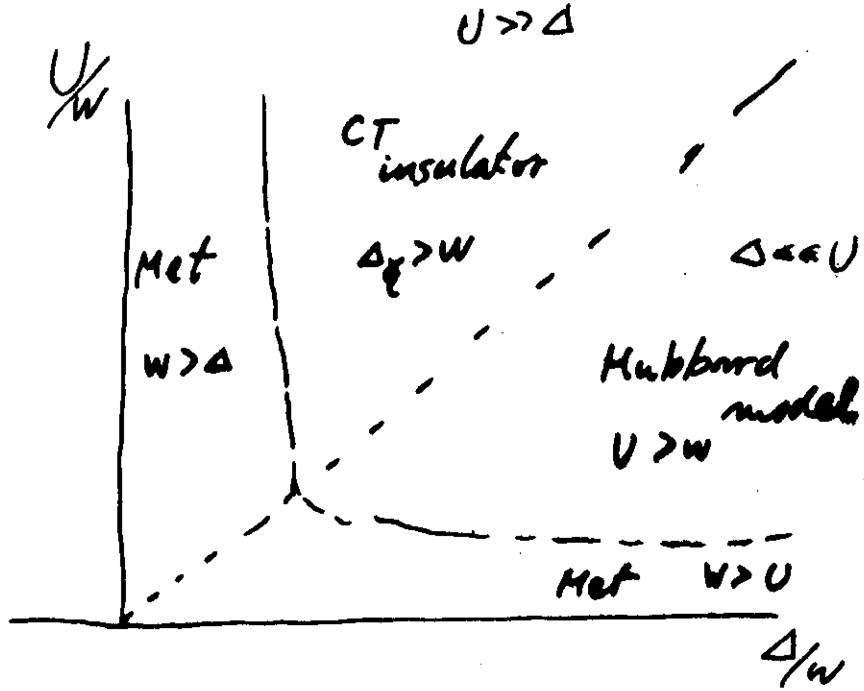
* Consistent with available experiments.

Hubbard vs Charge-transfer

$U \gg \Delta = \varepsilon_p - \varepsilon_d$ CT

$U \ll \Delta$ H





Sawatzky et al. 1984

Resonant Valence Bond RVB

P.W. Anderson
1973, 1987

No (or very few) $S=1/2$ AF

Maybe Neel state is not the ground state

Heisenberg AF

$$\mathcal{H} = J\sum_{\langle ij\rangle} \vec{S}_i\cdot\vec{S}_j \quad , \quad J>0$$

1D case:

Néel state, ↑ ↓ ↑ ↓ ↑ ↓ ↑ ↓

$$\langle \mathcal{H} \rangle = -\frac{1}{4}NJ$$

Singlet pairs:

$$|\,\rangle = \frac{1}{\sqrt{2}}(\alpha_i\beta_j - \alpha_j\beta_i)$$

$$\langle\,|\mathcal{H}|\,\rangle = -\frac{3}{4}J\cdot\frac{N}{2} = -\frac{3}{8}NJ$$

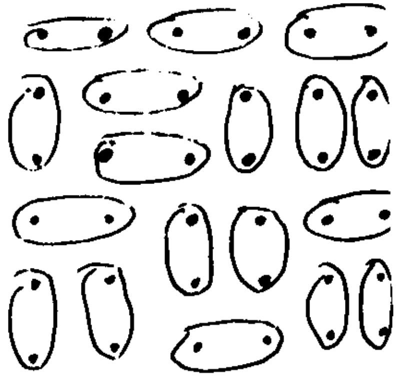
Exact Bethe Ansatz solution

$$\boxed{E_g = -0.443\ NJ}$$

15% better

Linear combination of all possible singlet pairs

$$|\Psi\rangle = \sum_P (ij)(kl)(mn)\cdots$$



No spontaneous magnetization

All possible (including well-separated) pairs

Quantum Spin Liquid as opposed to "spin crystal" – Néel state

Triangular lattice. Yes

Square lattice. ? frustration

What happens upon doping

Excitations:

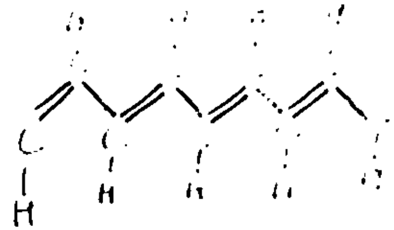
SPINON, $S=1/2$, $Q=0$

Holon, $S=0$, $Q=e$

Hole = spinon + holon

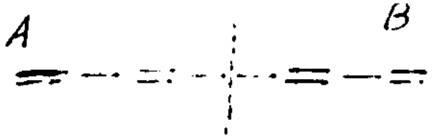
Polyacetylene [illegible]

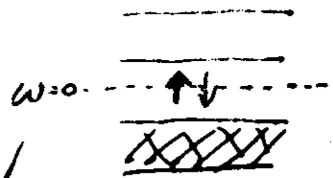
... Schrieffer, Heeger



alternating short and long bonds

Mismatch — defect — domain wall — Soliton





non bonding state

DIMER PICTURE

Neutral soliton $S = 1/2$, $Q = 0$

charged soliton $S = 0$, $Q = \pm e$

There is a topological constraint in this problem $A \to B$

Is this necessary?

Fractional Quantum Hall effect

Elementary excitations in RVB

One approach: Kivelson et al.

Spinons (fermion) spin 1/2 charge 0

holons (boson) spin 0 charge e

Another approach: Laughlin et al.

spinon neutral

holon charged e

both of fractional statistics

$$\psi(1,2) = e^{i\theta/\pi}\,\psi(2,1)$$

$\theta = \pi/2$

As in FQHE fractional charge $e/3$

here fractional spin $1/2$

Mean Field Approximation

Baskaran, Zou, Anderson
Ruckenstein, Hirschfeld, Appel
Kotliar ...
Zhang, Rice, Gros, Shiba

1) No double occupancy constraints

Tholes → $-t\delta \sum_{\langle ij \rangle} c_{i\sigma}^{\dagger} c_{j\sigma}$

Gutzwiller Approximation

$H = g_t H_t + g_s H_s$

$g_t = 2\delta/(1+\delta)$, $g_s = 4/(1+\delta)^2$

2) PP and ph condensation

$b_{ij}^{\dagger} = \frac{1}{2}(c_{i\uparrow}^{\dagger} c_{j\downarrow}^{\dagger} - c_{i\downarrow}^{\dagger} c_{j\uparrow}^{\dagger})$

$\xi_{ij} = \sum_{\sigma} c_{i\sigma}^{\dagger} c_{j\sigma}$

$\Delta_x = \langle b_{i,i+\hat{x}} \rangle$, $\Delta_y = \langle b_{i,i+\hat{y}} \rangle$

$\xi_x = \langle \xi_{i,i+\hat{x}} \rangle$, $\xi_y = \langle \xi_{i,i+\hat{y}} \rangle$

s-wave pairing $\Delta_x = \Delta_y = C$, $\xi_x = \xi_y = 0$

d-wave pairing $\Delta_x = -\Delta_y = C$, $\xi_x = \xi_y = 0$

d-wave density matrix $\Delta_x = \Delta_y = C$, $\xi_x = -\xi_y = C$

chiral state $\Delta_x = -i\Delta_y = C$, $\xi_x = \xi_y = 0$

For 1/2 filling all these states are degenerate (apart from the first).

There is a local SU(2) gauge symmetry.

$c_{i\uparrow}^{\dagger} \to \alpha_i c_{i\uparrow}^{\dagger} + \beta_i c_{i\downarrow}$

$c_{i\downarrow} \to -\beta_i^{*} c_{i\uparrow}^{\dagger} + \alpha_i^{*} c_{i\downarrow}$

$|\alpha_i|^2 + |\beta_i|^2 = 1$

electron-hole transformation with conservation of spin.

Physical origin: redundancy in fermion representation.

Spin: ↑ , ↓

fermion: 0 ↑ ↓ ↑↓

After Gutzwiller projection, they are the same state.

This symmetry is broken by doping.

(The d-wave pairing has the lowest energy)

Numerical Simulations

1) Q. M. C. J.E. Hirsch et al.

Hubbard model itself does not give rise to SC. This question is still open!

spin [illegible] extended Hubbard n.n. repulsion V

2) Variational M.C. Yokoyama, Shiba
Gros, Joynt, Rice.

"BCS" type var. function with Gutzwiller proj.

$$\psi = P_{\rho=0} P_{N=N} \prod_k (u_k + v_k a^+_{k\uparrow} a^+_{-k\downarrow}) |0\rangle$$

* AF and RVB have almost the same energy — Regar, Young, Liang, Doucot, [illegible]

* d-wave pair "condensation"

  $\Delta \sim t$

* Is there true ODLRO (off-diagonal long-range order)?

  Gros: Yes . open

Possible coexistence of AF & SC (or RVB)

SHEN, SU, DONG, YU
INUI, DONIACH, Hirschfeld, Ruckestein
CHEN, SU, YU

In the earlier MF studies two order parameters: $\Delta \sim \langle b_{ij} \rangle = \langle c_{i\uparrow} c_{j\downarrow} - c_{i\downarrow} c_{j\uparrow} \rangle$

$$\xi_{ij} \sim \langle c^+_{i\sigma} c_{j\sigma} \rangle$$

What happens, if one includes spontaneous magnetization.

$$S = \langle S_i^z \rangle (-1)^i$$

The absolute value of Δ, ξ is reduced, but the free energy is lower.

* Confirmation by VMC calculation — T.K. Lee & S.P. Feng

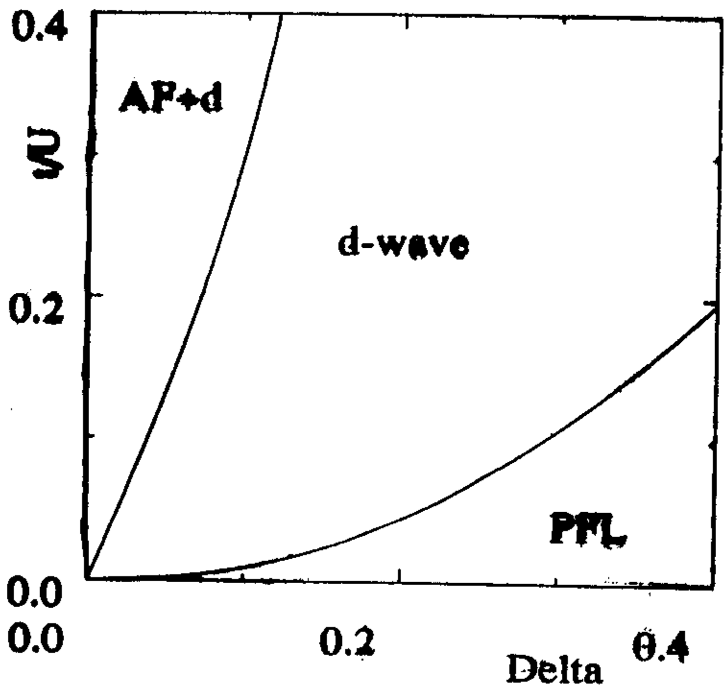
  $E_g = -0.319\ J/\text{bond}$ without s

  $E_g = -0.332\ J/\text{bond}$ $s \neq 0$

* μSR experiments. Weidlinger et al.

  AF order below 5K in $La_{1.92}Sr_{0.08}CuO_{4-\delta}$

Fig.1



Spin - phonon coupling

$$H \propto J_0 \left(1 + \alpha (u_i - u_j) \right) \vec{S}_i \cdot \vec{S}_j$$

Anderson
Kivelson, Rokhsar, Sethna
Zhang, Prelovsek
Tang, Hirsch

* What is the ground state? AF, SP
* Will this coupling favor RVB or SC ?

1) 1 D always SP

2 D α_c, $\alpha > \alpha_c$ SP

$\alpha < \alpha_c$ AF

first order transition

2) Kivelson et al. Yes

Anderson negligible

second order in p...

Tosatti & Yu probably dis...

Possible scenarios for SC

* Doping release pre-existing pairs (Anderson)

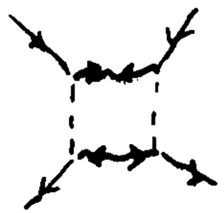
* "Holon" condensation

* Holon pair condensation

  in-plane

  inter plane

  (Anderson, Hsu, Wheatley)



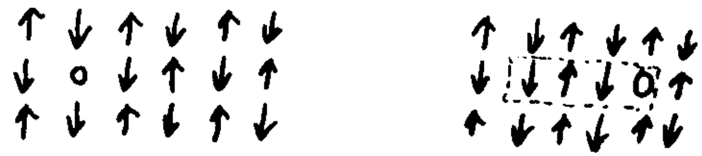
* Holon condensation due to long-range gauge field (Laughlin)

II. Alternative Description

— 2D Quantum Antiferromagnet

First to understand the motion of single holes on a QAFM background.

Néel state.



"Wrong" spin alignment

Confinement potential $\propto l$

Bulaevskii et al

Brinkman & Rice

Shraiman & Siggia

Retraceable path approximation

$J/t \to 0$

No quasiparticle propagation

diffusive type motion

Spin wave theory   Kane, Lee, Read

schw.: Y-Rink, Varma, Kuckenstein

t - J model

$$H = -t \sum_{\langle ij \rangle} (c_{i\sigma}^{+} c_{j\sigma} + h.c) + J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j$$

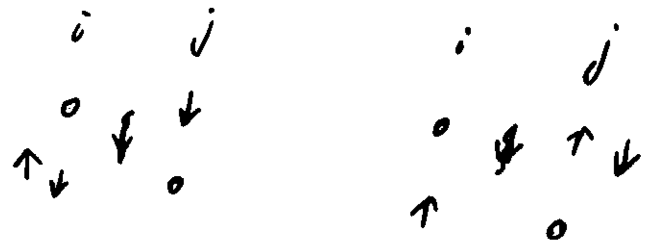
Sub lattice A ↑ $c_{\uparrow} \to h^{+}$

" B ↓ $c_{\downarrow} \to h^{+}$

Hard-core Boson (spin wave)

$b_i |N\rangle = 0$ $b_i^{+} = S_i^{-}$ on A

$b_i^{+} = S_i^{+}$ on B



$$H_t = t \sum_{\langle ij \rangle} h_j^{+} b_i (b_i^{+} + b_j)$$

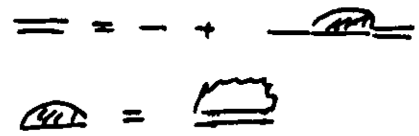
$$H_J = -\frac{NJ}{2} + \frac{J}{2} \sum_{\langle ij \rangle} \left[(b_i^{+} b_j^{+} + b_i b_j) + 2 b_i^{+} b_i \right]$$

$t << J$ perturbation works

$t >> J$ diverges

QAFM $|\tilde{0}\rangle = \prod e^{-\frac{1}{2}\lambda_k b_k^{+} b_{-k}^{+}} |N\rangle$

Renormalization:



$$G = \frac{a_k}{\omega - \omega_k} + G_{inc}(\vec{k}, \omega)$$

coherent part   incoherent part

$a_k \sim J/t$ effective mass enhanced

* Self-consistent Spin Polaron motion

Quantum Bogoliubov de-Gennes formalism. Z.B. Su, Y.M. Li, W.Y. Lai & L. Yu

Analogy with polarons
↑
electron + phonon cloud (lattice distortion)

Here spin polaron
↑
hole + spin wave cloud (spin background distortion)

Generalized model:

$\langle b \rangle \neq 0$

$$H_J = \frac{J}{2} \sum_{\langle ij \rangle} \left(b_i^{+} b_i + \frac{\alpha}{2} (b_i^{+} b_j^{+} + b_i b_j) \right)$$

$\alpha = 0$ Ising, $\alpha = 1$. Heisenberg

Some results:

1. Hole propagation is possible even in the Ising limit. $m_{eff} \neq \infty$

2. The propagating state is also well defined in the Heisenberg limit $\alpha = 1$. Although there is no gap in the spin wave spectrum

$\omega \propto k$.

3. The wave function and effective mass can be calculated explicitly.

$m_{eff} \to \infty$ in both limits

$t/J \to 0$ and ∞.

Concluding Remarks:

1) The theoretical understanding of HTc SC is still lacking

2) More experimental clues are emerging and the range of acceptable theoretical models is narrowing

3) Probably the generalized pairing picture is valid.

4) Corrections due to strong correlations are needed.