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H4-SMR 393/22

## **SPRING COLLEGE ON PLASMA PHYSICS**

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### **LARGE AMPLITUDE PLASMA WAVES**

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# INTRODUCTION

Lecture Notes for  
 Trieste ICTP College on  
 "Large Amplitude Plasma Waves"  
 by W.B. Mori May, 1989

• For accelerators

"What is max. longitudinal  $\vec{E}$ ?"

• Simplest estimate:

$$\nabla \cdot \vec{E} \rightarrow ikE \approx 4\pi en_i \quad (\text{GAUSS' LAW})$$

$$n_i^{\text{max}} \lesssim n_0$$

$$\Rightarrow E^{\text{MAX}} \approx \frac{4\pi en_0}{k} = \frac{m\omega_p V_\phi}{e}$$

• For plasma accelerator  $V_\phi = \frac{\omega_p}{k} \rightarrow c$

$$\Rightarrow E^{\text{MAX}} \approx \frac{m\omega_p c}{e} \approx 1 \text{ GeV/cm} \times \left(\frac{n_0}{10^{18} \text{ cm}^{-3}}\right)^{1/2}$$

compared to  $E^{\text{MAX}} < 1 \text{ MeV/cm}$  for RF linac

# WAVEBREAKING OF PLASMA WA

Nonlinear Treatments:

Non-Relativistic

Relativistic

Cold	$\frac{eE}{m\omega_p V_\phi} = 1$	$\frac{eE}{m\omega_p c} = \sqrt{2}(\gamma_\phi - 1)^{1/2}$
Warm ( $\beta \approx 3T$ ) $\frac{\beta}{mV_\phi^2}$	$= (1 - \frac{1}{3}\beta - \frac{2}{3}\beta^2 + 2\beta^3)^{1/2}$	?

For  $\gamma_\phi \gg 1$

$$\frac{eE}{m\omega_p c} = \beta^{-1/4} [\ln 2 \gamma_\phi^{1/2} \beta^{1/4}]^{1/2}$$

If Thermal Effects - but no kinetic effects - are included, how does one proceed?

Need equation of state

In the absence of kinetic effects then for high-frequency oscillations the motion is adiabatic

To see this we resort to a waterbag distribution function - for such a distribution function the heat flux is zero - or in other words it provides a fluid description

Let's start

Vlasov equation  $\left( \frac{\partial}{\partial t} + v \frac{\partial}{\partial x} + \frac{F}{m} \frac{\partial}{\partial v} \right) f = 0$

Maxwell's Equations  $\frac{\partial E}{\partial t} + 4\pi j = 0$   $\frac{\partial E}{\partial x} + 4\pi e(n_+ - n_-)$

Waterbag

$f$  is constant between  $v_0$  and  $-v_0$  in equilibrium

$$\int dv f = n_0 = 2v_0 f \quad f = \frac{n_0}{2v_0}$$

choose  $v_0$  so that  $\int dv v^2 f$  is the

same as for Maxwellian

$$\int dv v^2 f = \frac{2}{3} v_0^3 f = \frac{1}{2} kT_0 n_0$$

$$v_0^2 = 3kT_0$$

To obtain fluid equations we take moments of Vlasov equations

$$V = \frac{\int dv v f}{\int dv f} \quad n = \int dv f = (v_+ - v_-) f$$

$$= \frac{(v_+^2 - v_-^2) f}{2} \bigg/ \frac{(v_+ - v_-) f}{2v_0} = \frac{v_+ + v_-}{2}$$

$$= \frac{v_+ + v_-}{2}$$

$$2V = v_+ + v_-$$

$$2v_0 \frac{n}{n_0} = v_+ - v_-$$

$$\Rightarrow \begin{cases} v_+ = V + v_0 \frac{n}{n_0} \\ v_- = V - v_0 \frac{n}{n_0} \end{cases}$$

$$\int dv \left( \frac{\partial}{\partial t} + v \frac{\partial}{\partial x} - \frac{eE}{m} \frac{\partial}{\partial v} \right) f = \frac{\partial n}{\partial t} + \frac{\partial nV}{\partial x} = 0$$

$$\int dv v \left( \frac{\partial}{\partial t} + v \frac{\partial}{\partial x} - \frac{eE}{m} \frac{\partial}{\partial v} \right) f$$

$$\frac{\partial}{\partial t} n v + \frac{\partial}{\partial x} \left( \frac{v_+^3 - v_-^3}{3} \right) \frac{n_0}{2v_0} = -\frac{eE n}{m}$$

$$\frac{\partial}{\partial t} n v + \frac{\partial}{\partial x} \left( \frac{(v + v_0 \frac{n}{n_0})^3 - (v - v_0 \frac{n}{n_0})^3}{3} \right) \frac{n_0}{2v_0} = -\frac{eE n}{m}$$

$$\frac{\partial}{\partial t} n v + \frac{\partial}{\partial x} \left( n v^2 + \frac{1}{3} v_0^2 \frac{n^3}{n_0^2} \right) = -\frac{eE n}{m}$$

$$v \frac{\partial}{\partial t} v + v \frac{\partial}{\partial x} v = -\frac{eE}{m} - v_0^2 \frac{n}{n_0^2} \frac{\partial n}{\partial x} \quad (1)$$

$$\frac{\partial}{\partial t} E + 4\pi J = \frac{\partial E}{\partial t} - 4\pi e n v = 0 \quad (2)$$

$$\frac{\partial}{\partial x} E + 4\pi e (n - n_0) = 0 \quad (3)$$

Assume Wave Like Solutions  $t - \frac{x}{v_\phi} = \xi$

$$\frac{\partial}{\partial t} = \frac{\partial}{\partial \xi} \quad \frac{\partial}{\partial x} = -\frac{1}{v_\phi} \frac{\partial}{\partial \xi}$$

This gives  $(v_0^2 = 3v_{th}^2)$

$$\left(1 - \frac{v}{v_\phi}\right) \frac{\partial v}{\partial \xi} = -\frac{eE}{m} + \frac{v_0^2}{2v_\phi} \frac{1}{n_0^2} \frac{\partial n^2}{\partial \xi}$$

$$\frac{\partial}{\partial \xi} \left( v - \frac{v^2}{2v_\phi} - \frac{v_0^2 n^2}{2v_\phi n_0^2} \right) = -\frac{eE}{m} = \frac{e}{m} \frac{\partial \phi}{\partial x} = -\frac{e}{m v_\phi} \frac{\partial \phi}{\partial \xi}$$

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$$\frac{\partial}{\partial \xi} \left( v - \frac{v^2}{2v_\phi} - \frac{v_0^2 n^2}{2v_\phi n_0^2} + \frac{e}{m v_\phi} \phi \right) = 0$$

$$\frac{e\phi}{m v_\phi^2} = -\frac{v}{v_\phi} + \frac{v^2}{2v_\phi^2} + \frac{v_0^2 n^2}{2v_\phi^2 n_0^2} + C_1$$

$$-\frac{\partial E}{v_\phi \partial \xi} + 4\pi e (n - n_0) = 0 \quad \frac{\partial E}{\partial \xi} - 4\pi e n v = 0$$

$$v_\phi (n - n_0) - n v = 0$$

$$n(v_\phi - v) = n_0 v_\phi$$

$$n = \frac{n_0}{1 - \frac{v}{v_\phi}}$$

$$\frac{e\phi}{m v_\phi^2} = \bar{\phi} = -\frac{v}{v_\phi} + \frac{v^2}{2v_\phi^2} + \frac{v_0^2}{2v_\phi^2} \frac{1}{\left(1 - \frac{v}{v_\phi}\right)^2} + C_1$$

$$x = \frac{v}{v_\phi} \quad \bar{\phi} = -x + \frac{x^2}{2} + \frac{v_0^2}{2} \frac{1}{(1-x)^2} + C_1$$

from

$$\frac{\partial}{\partial \xi} \left( x - \frac{x^2}{2} - \frac{v_0^2}{2} \frac{1}{(1-x)^2} \right) = -\frac{eE}{m v_\phi}$$

$$\frac{\partial^2}{\partial \xi^2} \left( x - \frac{x^2}{2} - \frac{v_0^2}{2} \frac{1}{(1-x)^2} \right) = -\frac{e}{m v_\phi} \frac{\partial E}{\partial \xi} = -\frac{e}{m v_\phi} \frac{4\pi e n_0 v}{1 - \frac{v}{v_\phi}}$$

$$= -4\pi^2 \frac{x}{1-x}$$

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Normalize time to  $\omega_p^{-1}$

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$$\frac{\partial^2}{\partial \bar{t}^2} \left( x - \frac{x^2}{2} - \frac{\bar{v}_0^2}{2} \frac{1}{(1-x)^2} \right) = \frac{x}{1-x}$$

$$\frac{\partial}{\partial \bar{t}} \left( x - \frac{x^2}{2} - \frac{\bar{v}_0^2}{2} \frac{1}{(1-x)^2} \right) = -\bar{E} \quad \bar{E} = \frac{eE}{m\bar{v}_0\omega_p}$$

$$\frac{\partial}{\partial \bar{t}} \left( \frac{\partial}{\partial \bar{t}} \left( \dots \right) \right) = \frac{x}{1-x} \frac{\partial}{\partial \bar{t}} \left( \dots \right)$$

$$\frac{1}{2} \frac{\partial}{\partial \bar{t}} \left( \frac{\partial}{\partial \bar{t}} \left( \dots \right) \right)^2 = \frac{1}{2} \frac{\partial}{\partial \bar{t}} \bar{E}^2 = \frac{x}{(1-x)} \left( \frac{\partial x}{\partial \bar{t}} - x \frac{\partial x}{\partial \bar{t}} - \frac{\bar{v}_0^2}{(1-x)^3} \frac{\partial x}{\partial \bar{t}} \right)$$

$$\frac{\partial}{\partial \bar{t}} \frac{\bar{E}^2}{2} = x \frac{\partial x}{\partial \bar{t}} - \frac{\bar{v}_0^2}{(1-x)^4} \frac{\partial x}{\partial \bar{t}}$$

$$\int dx \frac{x}{(1-x)^4} = \int dx \left\{ \frac{(x-1)}{(1-x)^4} + \frac{1}{(1-x)^4} \right\}$$

$$= -\frac{1}{2} \frac{1}{(1-x)^2} + \frac{1}{3} \frac{1}{(1-x)^3}$$

$$\frac{\partial}{\partial \bar{t}} \frac{\bar{E}^2}{2} = \frac{\partial}{\partial \bar{t}} \left( \frac{x^2}{2} - \frac{\bar{v}_0^2}{2} \left( \frac{1}{3} \frac{1}{(1-x)^3} - \frac{1}{2} \frac{1}{(1-x)^2} \right) \right)$$

$$\frac{\bar{E}^2}{2} = \frac{x^2}{2} - \frac{\bar{v}_0^2}{2} \left( \frac{1}{3} \frac{1}{(1-x)^3} - \frac{1}{2} \frac{1}{(1-x)^2} \right) + C_2$$

+

Need to evaluate  $C_2$

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Note: In order to calculate  $E$  it is not necessary to evaluate  $C_1$ .

$E=0$  when  $\phi$  is an extremum i.e.,

$$\frac{\partial \phi}{\partial \bar{t}} = 0 \quad \frac{\partial \phi}{\partial \bar{t}} = \frac{\partial x}{\partial \bar{t}} \frac{\partial \phi}{\partial x}$$

For the moment lets assume  $\frac{\partial \phi}{\partial \bar{t}} = 0 \Rightarrow \frac{\partial \phi}{\partial x} = 0$

Then

$$\frac{\partial \phi}{\partial x} = 0 \Rightarrow (1-x) - \bar{v}_0^2 \frac{1}{(1-x)^3} = 0$$

$$(1-x)^4 = \bar{v}_0^2 \equiv \beta$$

$$(1-x) = \beta^{1/4} \quad x = 1 - \beta^{1/4}$$

so

$$0 = \frac{(1-\beta)^{1/4}}{2} - \frac{\beta}{2} \left( \frac{1}{3} \beta^{-3/4} - \frac{1}{2} \beta^{-1/2} \right) + C_2$$

so

$$\bar{E} = \pm \left( x^2 - 2\beta \left( \frac{1}{3} \frac{1}{(1-x)^3} - \frac{1}{2} \frac{1}{(1-x)^2} \right) - (1-\beta)^{1/4} \right)^2 + 2\beta \left( \frac{1}{3} \beta^{-3/4} - \frac{1}{2} \beta^{-1/2} \right)$$

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Ampere's Law and Gauss' Law give

$$\frac{\partial \bar{E}}{\partial t} = 0 \Rightarrow V = 0 \Rightarrow X = 0$$

Hence

(choose - sign)

$$\begin{aligned} E_{\max} &= \left( (1 - \beta^4)^2 - 2\beta \left( \frac{1}{3}\beta^{-3/4} - \frac{1}{2}\beta^{-1/2} \right) + 2\beta \left( \frac{1}{3} - \frac{1}{2} \right) \right)^{1/2} \\ &= \left( 1 - 2\beta^{1/4} + \beta^{1/2} - \frac{2}{3}\beta^{1/4} + \beta^{1/2} - \frac{\beta}{3} \right)^{1/2} \end{aligned}$$

$$\bar{E}_{\max} = \left( 1 - \frac{8}{3}\beta^{1/4} + 2\beta^{1/2} - \frac{\beta}{3} \right)^{1/2}$$

Coffey 1971

# OUTLINE OF FLUID/WATERBAG THEORETICAL MODEL

- ① Start from Energy-Momentum Tensor with unspecified  $\bar{E}$  and  $\bar{p}$
- ② Derive Euler's equation and a wave equation for  $\phi$  from conservation equations and Maxwell's equations
- ③ Derive expressions for  $\bar{p}$  and  $\bar{E}$  as functions of  $n_p$  and  $T$  for Waterbag f.c.
- ④ Obtain a wave equation in the single variable  $V$  (Numerically integrate)
- ⑤ Let  $V\phi \rightarrow C$  and Analytically integrate
- ⑥ Evaluate integration constant from trapping argument and solve for  $E_{\max}$

# STARTING POINT IS THE ENERGY-MOMENTUM TENSOR (Momentum Flux)

In Fluids Rest Frame:

$$\begin{bmatrix} \bar{p} & 0 \\ 0 & \bar{e} \end{bmatrix} \quad \begin{array}{l} \bar{p} \text{ is pressure} \\ \bar{e} \text{ is internal energy} \end{array}$$

Lorentz Transform to Lab Frame.

$$\begin{bmatrix} \bar{p} + \frac{(\bar{e} + \bar{p})v^2}{1-v^2} & \frac{(\bar{e} + \bar{p})v}{1-v^2} \\ \frac{(\bar{e} + \bar{p})v}{1-v^2} & -\bar{p} + \frac{\bar{e} + \bar{p}}{1-v^2} \end{bmatrix}$$

$$T^{\alpha\beta} = \bar{p} g^{\alpha\beta} + (\bar{e} + \bar{p}) U^\alpha U^\beta$$

$$U^1 = \frac{v}{(1-v^2)^{1/2}} \quad U^0 = \frac{1}{(1-v^2)^{1/2}}$$

"

# CONSERVATION EQUATIONS AND MAXWELL'S EQUATIONS

$$\frac{\partial}{\partial t} T^{01} + \frac{\partial}{\partial x} T^{11} + \frac{enE}{m} = 0$$

$$\frac{\partial}{\partial t} T^{00} + \frac{\partial}{\partial x} T^{01} + \frac{envE}{m} = 0$$

$$\frac{\partial}{\partial t} E - 4\pi env = 0$$

$$\frac{\partial}{\partial x} E + 4\pi e(n - n_0) = 0$$

The first two equations are identical to:

$$\int dp p \left\{ \frac{\partial}{\partial t} f + v \frac{\partial f}{\partial x} - \frac{eE}{m} \frac{\partial f}{\partial p} \right\}$$

$$\int dp \chi \left\{ \right\}$$

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## RELATIVISTIC EULER'S EQUATION

$$\textcircled{1} \frac{\partial}{\partial t} T^{01} + \frac{\partial}{\partial x} T^{11} + nE = 0$$

$$\textcircled{2} \frac{\partial}{\partial t} T^{00} + \frac{\partial}{\partial x} T^{01} + n\nu E = 0$$

$\textcircled{1} - \nu \textcircled{2}$ , the identities

$$T^{01} - \nu T^{00} = \bar{p} \nu$$

$$T^{11} - \nu T^{01} = \bar{p}$$

and some algebra gives:

$$\left( \frac{\partial}{\partial t} + \nu \frac{\partial}{\partial x} \right) \gamma \nu = \frac{-eEn c^2}{\gamma(\bar{e} + \bar{p})} - \frac{\gamma c^2}{\bar{e} + \bar{p}} \left( \frac{\partial}{\partial x} + \frac{\nu \partial}{c^2 \partial t} \right)$$

$$\bar{e} + \bar{p} = n\gamma^{-1} m c^2 \text{ for } c \rightarrow \infty \text{ or } \bar{p} \rightarrow 0$$

## WAVE EQUATION (Second order differential equation for )

Assume Wave-like solutions

$(\psi) \rightarrow \psi \equiv \chi - \nu \xi$ . The conservation laws become:

$$\textcircled{1} -\nu \frac{\partial}{\partial \xi} T^{01} + \frac{\partial}{\partial \xi} T^{11} = -nE$$

$$\textcircled{2} -\nu \frac{\partial}{\partial \xi} T^{00} + \frac{\partial}{\partial \xi} T^{01} = -n\nu E$$

$$\textcircled{1} - \frac{1}{\nu} \textcircled{2} \text{ gives}$$

LHS:

$$\frac{\partial}{\partial \xi} \left\{ T^{00} + T^{11} - \nu T^{01} - \frac{1}{\nu} T^{01} \right\}$$

$$= \frac{\partial}{\partial \xi} \left\{ \frac{\bar{p} + \bar{e}}{1 - \nu^2} \left[ 1 + \nu^2 - \frac{\nu}{\nu} (1 + \nu^2) \right] \right\}$$



RHS:

$$-n \left(1 - \frac{v}{v_d}\right) E$$

To simplify we utilize

Ampere's Law and Gauss' Law

$$-v_d \frac{\partial E}{\partial \xi} - n v = 0 \quad \text{Ampere's}$$

$$\frac{\partial E}{\partial \xi} + n - 1 = 0 \quad \text{Gauss'}$$

$\frac{1}{v_d}$  Ampere's + Gauss' gives

$$n = \left(1 - \frac{v}{v_d}\right)^{-1} \quad \text{or} \quad n \left(1 - \frac{v}{v_d}\right) = 1$$

Hence:

$$\frac{\partial}{\partial \xi} \left\{ \underbrace{\frac{\bar{p} + \bar{e}}{1 - v^2} \left[ 1 + v^2 - \frac{v}{v_d} (1 + v_d^2) \right]}_{\phi + \text{constant}} \right\} = -E$$

To obtain the nonlinear wave equation we differentiate this last equation:

$$\frac{\partial^2}{\partial \xi^2} \left\{ \frac{\bar{p} + \bar{e}}{1 - v^2} \left[ 1 + v^2 - \frac{v}{v_d} (1 + v_d^2) \right] \right\} = -\frac{\partial}{\partial \xi} E = \frac{v}{v_d - v} \left\{ \begin{array}{l} \text{From Ampere's} \\ \text{and Gauss' Law} \end{array} \right.$$

To close this equation we need  $\bar{p} + \bar{e}$  as a function of  $v$

# HOW TO EVALUATE $\bar{p}$ AND $\bar{e}$

Use the definitions of the components of the energy-momentum tensor (momentum tensor)

$$T^{ij} = \int dp \frac{p^i p^j}{\gamma} f(p)$$

$$T^{00} = \int dp \gamma f(p)$$

(comes from Vlasov equation as well)

Recall that:

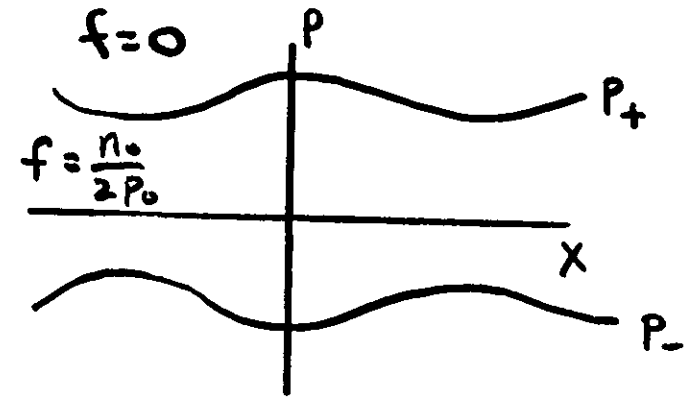
$$\bar{p} = T^{11} \text{ in rest frame } |v=0$$

$$\bar{e} = T^{00} \text{ in rest frame } |v=0$$

It is hopeless to evaluate the integrals for arbitrary-dynamical  $f(p)$ . However for the special case of a Waterbag the integrals become possible.

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# WHAT IS A WATERBAG?

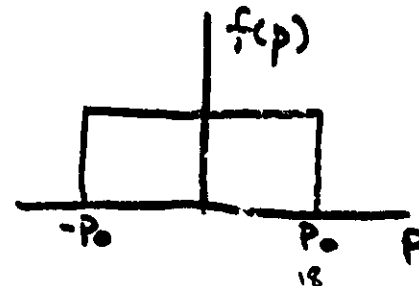


$$f(p) = \frac{n_0}{2P_0} \quad \text{for } P_- < p < P_+$$

$$= 0 \quad \text{otherwise}$$

$$P_0 = \sqrt{3Tm}$$

Unperturbed  $f(p)$



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AS A RESULT

$$T''_{T00} = \frac{1}{2P_0} \left\{ \frac{P}{2} (1+P^2)^{1/2} + \sinh^{-1} P \right\} \Big|_{P_-}^{P_+}$$

In addition

$$V = \frac{\int dp \frac{p}{(1+p^2)^{1/2}} f(p)}{\int dp f(p)} = \frac{(1+P_+^2)^{1/2} - (1+P_-^2)^{1/2}}{P_+ - P_-}$$

$$\therefore V=0 \Rightarrow P_+ = -P_-$$

Hence

$$\bar{P} = \frac{1}{2P_0} \left\{ P_+ (1+P_+^2)^{1/2} + \sinh^{-1} P_+ \right\}$$

Furthermore

$$n(v=0) \equiv n_p = \int dp f(p) \Big|_{v=0} = \frac{P_+}{P_-}$$

SO FINALLY

$$\bar{P} = \frac{1}{2P_0} \left\{ n_p P_0 (1+(n_p P_0)^2)^{1/2} + \sinh^{-1} n_p P_0 \right\}$$

Note for  $n_p P_0 \ll 1$  this gives

$$\bar{P} \approx \frac{1}{3} P_0^2 n_p^3 \quad \text{and} \quad \bar{e} = n_p + \frac{1}{2} \bar{P}$$

1-D adiabatic gas Law

We needed

$$\bar{P} + \bar{e} = n_p (1 + \beta n_p^2)^{1/2}$$

$$\text{where } \beta \equiv \frac{3T}{mc^2}$$

Note that  $n_p$  is

$$n_p = \frac{n}{\gamma} = \frac{(1-v^2)^{1/2}}{1 - v/c}$$

# VALIDITY OF 1-D DESCRIPTION

$$T^{xx} = \int dP_y dP_z dP_x \frac{P_x^2}{(1+P_x^2+P_y^2+P_z^2)} f(\vec{p})$$

If  $P_y^2 + P_z^2 \ll P_x^2$  then  
the integrations are decoupled

Non-relativistic isotropic temperature  
and relativistic 1-D bulk fluid motion

$$P_y = P_z = P_0 \quad \text{while}$$

$$P_x \gg P_0$$

THE EXACT NONLINEAR WAVE  
EQUATION IS THEREFORE

$$\frac{\partial^2}{\partial \phi^2} \left\{ \frac{1 - vV\phi}{(1 - v^2)^{1/2}} \left( 1 + \beta \frac{(1 - v^2)}{(1 - v/v_4)^2} \right)^{1/2} \right\} \\ = \frac{v}{v_4 - v}$$

Can be solved numerically

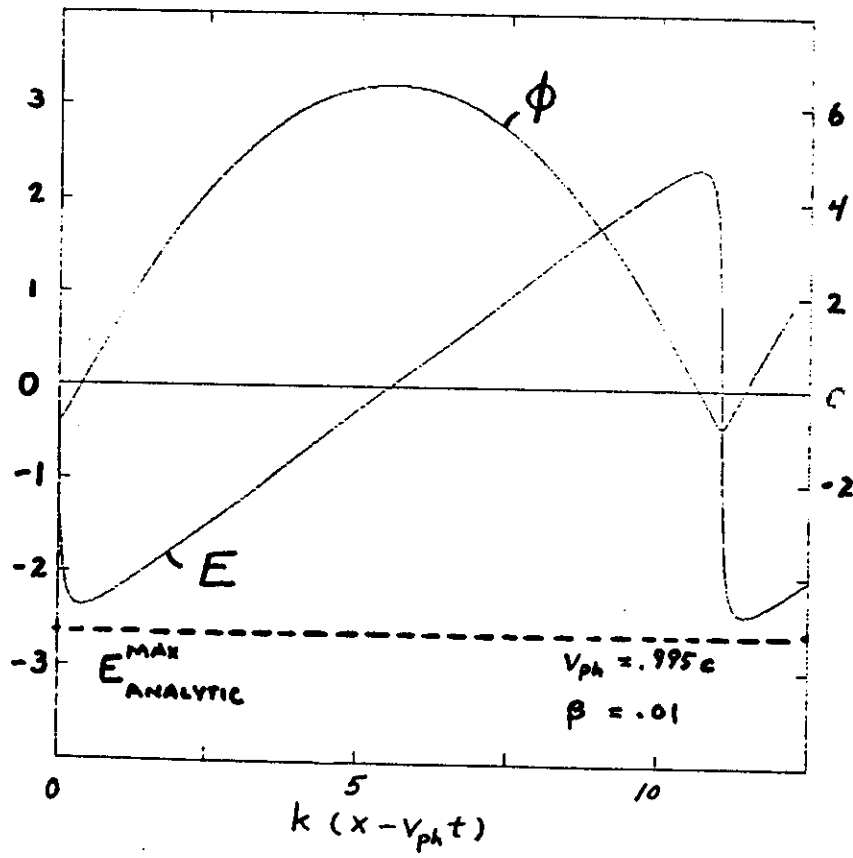
$$\frac{\partial^2}{\partial \phi^2} \phi = \frac{v(\phi)}{v_4 - v(\phi)}$$

To proceed further analytically we  
let  $v\phi = c$  identically in the wave  
equation and get

$$\frac{\partial^2}{\partial \phi^2} (x^2 + f)^{1/2} = \frac{1}{2} \left( \frac{1}{x^2} - 1 \right)$$

where  $x \equiv \left( \frac{1 - v}{1 + v} \right)^{1/2}$  and

$$\frac{\partial}{\partial \phi} (x^2 + f)^{1/2} = -E$$



THE FIRST INTEGRAL TO THE APPROXIMATE WAVE EQUATION BY MULTIPLYING BOTH SIDES BY

$$\frac{\partial}{\partial \xi} (x^2 + \beta)^{1/2} = -E$$

Resulting in

$$\frac{1}{2} \left[ \frac{\partial}{\partial \xi} (x^2 + \beta)^{1/2} \right]^2 = \frac{1}{2} E^2$$

$$= C_1 - \frac{1}{2} (x^2 + \beta)^{1/2} - \frac{1}{2\sqrt{\beta}} \ln \left| \frac{\sqrt{\beta} (x^2 + \beta)^{1/2} + \beta}{x} \right|$$

Need to evaluate  $C_1$

Evaluate  $C_1$  at an extremum of  $\phi$  ( $E=0$ ) by the following physical arguments

THE MOST NEGATIVE VALUE  
OF  $\phi$  IS ACHIEVED WHEN  
THE UPPER WATERBAG SURFACE  
IS TRAPPED

Go to wave frame

$$-e\phi'$$

$$-e\phi'_{tr} \geq (\gamma' - 1)mc^2$$

$$\gamma' = \gamma_{\phi} \left( \gamma - v_{\phi} \frac{p}{mc} \right)$$

Since  $p = \beta^{1/2}$ ,  $\gamma = (1 + \beta)^{1/2}$  and  
 $\phi'_{tr} = \gamma_{\phi} \phi_{tr}$ , it follows that

$$-\phi_{tr} = \left[ (1 + \beta)^{1/2} - \beta^{1/2} v_{\phi} \right] - \frac{1}{\gamma_{\phi}}$$

EQUATE  $\phi_{tr}$  TO 0 AND  
SOLVE FOR CRITICAL  $v_0$

Recall:

$$\phi = \frac{1 - v v_{\phi}}{(1 - v^2)^{1/2}} \left[ 1 + \beta \frac{(1 - v^2)}{(1 - v/v_{\phi})^2} \right]^{1/2} - (1 + \beta)^{1/2}$$

Setting  $\phi = \phi_{tr}$  gives

$$v_0 = v_{\phi} - \frac{2\beta^{1/2}}{\gamma_{\phi}} \quad \left[ \text{Same result is obtained from } \frac{\partial \phi}{\partial v} = 0 \right]$$

for  $\gamma_{\phi} \beta^{1/2} \gg 1$ . Hence

$$v_0 \equiv \left[ \frac{1 - v_0}{1 + v_0} \right]^{1/2} \approx \frac{\beta^{1/4}}{\gamma_{\phi}^{1/2}}$$

# ANALYTIC EXPRESSION FOR E

$$E^2 = (x_0^2 + \beta)^{1/2} - (x + \beta)^{1/2} + \frac{1}{\sqrt{\beta}} \ln \frac{x}{x_0} \frac{(x_0^2 + \beta)^{1/2} + \sqrt{\beta}}{(x^2 + \beta)^{1/2} + \sqrt{\beta}}$$

Maximum of E occurs when  
 $v=0$  or equivalently  $x=1$  as  
 seen from Ampere's and Gauss' Law

$$E_{\max}^2 = (x_0^2 + \beta)^{1/2} - (1 + \beta)^{1/2} + \frac{1}{\sqrt{\beta}} \ln \frac{1}{x_0} \frac{(x_0^2 + \beta)^{1/2} + \sqrt{\beta}}{(1 + \beta)^{1/2} + \sqrt{\beta}}$$

or

$$E_{\max} = \frac{mc\omega_p}{e} \beta^{-1/4} \left[ \ln 2\gamma \gamma^{1/2} \beta^{1/4} \right]^{1/2}$$

So long as

$$E_{\max} < \sqrt{2} (\gamma - 1)^{1/2} \Rightarrow \gamma \gg \frac{1}{2\beta^{1/2}}$$

or

