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INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS  
34100 TRIESTE (ITALY) - P.O.B. 500 - MIRAMARE - STRADA COSTIERA 11 - TELEPHONE: 2240-1  
CABLE: CENTRATOM - TELEX 460392-1 H4-SMR 393/27

## SPRING COLLEGE ON PLASMA PHYSICS

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### THE MODULATIONAL INSTABILITY OF COLINEAR WAVES

C.J. McKinstrie

Department of Mechanical Engineering  
College of Engineering and Applied Science  
University of Rochester  
New York  
Rochester 14627  
U.S.A.

The Modulational Instability  
of Colinear Waves

by

C. J. McKinstrie

University of Rochester

In collaboration with

G.G. Luther (UR)

R. Bingham (RAL)

Brief Derivation of Equations

Consider  $\omega(k, |A|^2) A = 0$

Expand about linear frequency  $\omega_0$

$$\begin{aligned}\omega \approx \omega_0(k_0) + \left(\frac{\partial\omega}{\partial k}\right)_0 (k-k_0) + \frac{1}{2} \left(\frac{\partial^2\omega}{\partial k^2}\right)_0 (k-k_0)^2 \\ + \left(\frac{\partial\omega}{\partial |A|^2}\right)_0 |A|^2\end{aligned}$$

Let  $\omega - \omega_0 \rightarrow i\partial_t$ ,  $k - k_0 \rightarrow -i\partial_x$  and obtain the nonlinear Schrödinger equation

$$[i(\partial_t + v\partial_x) + \mu\partial_{xx}^2 + 2|A|^2] A = 0$$

$$\mu = \frac{1}{2} \left(\frac{\partial^2\omega}{\partial k^2}\right)_0, \quad v = -\left(\frac{\partial\omega}{\partial |A|^2}\right)_0$$

For multidimensional waves,

$$\frac{1}{2} \left(\frac{\partial^2\omega}{\partial k_i \partial k_j}\right)_0 \frac{\partial^2}{\partial x_i \partial x_j}$$

## Colinear Waves

Consider  $\omega_1(k_1, |A_1|^2, |A_2|^2) A_1 = 0$

Proceed as before.

$$[i(\partial_t + v_1 \partial_x) + \mu_1 \partial_{xx}^2 + \lambda_{11} |A_1|^2 + \lambda_{12} |A_2|^2] A_1 = 0$$

$$[i(\partial_t + v_2 \partial_x) + \mu_2 \partial_{xx}^2 + \lambda_{21} |A_1|^2 + \lambda_{22} |A_2|^2] A_2 = 0$$

## Two Coupling Mechanisms

$$\omega_p^2 = \frac{4\pi n_0 e^2}{m}$$

$$\omega = (\omega_p^2 + c^2 k^2)^{1/2}$$

$$\omega \approx \omega_p (1 + \frac{1}{2} k^2 k_0^2)$$

relativistic nonlinearity

$$m = \frac{m_0}{(1 - v^2/c^2)^{1/2}}, \quad v = v_1 + v_2$$

$$\delta\omega_1 = -\frac{3}{16} \left| \frac{v_1}{c} \right|^2$$

ponderomotive nonlinearity

$$\delta n \propto I \propto |A_1|^2 + |A_2|^2$$

$$(\partial_t^2 - c_s^2 \nabla^2) \delta n = \frac{2 m_e}{m_i} \nabla^2 \langle A^2 \rangle; \quad \delta n_1 = -\left| \frac{v_1}{v_0} \right|^2$$

## Single Wave

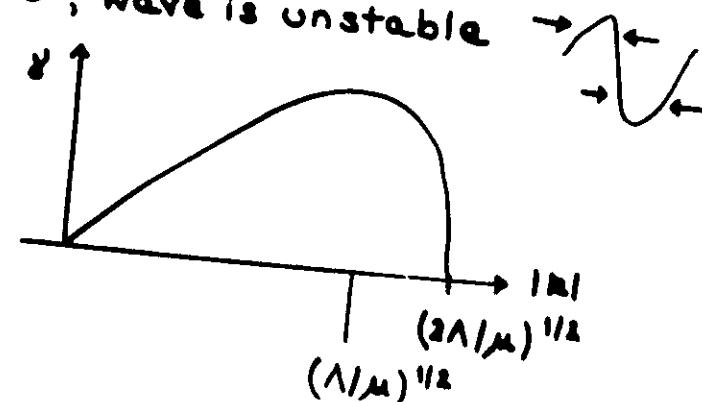
Linearise NS equation around a plane-wave equilibrium and assume an amplitude perturbation of the form

$$A_1^{(1)} \propto e^{i(kx - \omega t)} e^{ikz}$$

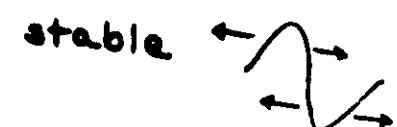
The dispersion relation is

$$\delta^2 - \mu k^2 (2\Lambda - \omega k^2) = 0; \quad \Lambda = 2|A|^2$$

If  $\mu \lambda > 0$ , wave is unstable



If  $\mu \lambda < 0$ , wave is stable



## Coupled Waves

Proceed as before :

$$\begin{cases} [\gamma^2 - k^2(2\Lambda_{11} - k^2)] A_1^{(1)} = 2\Lambda_{12} k^2 A_2^{(1)} \\ [\gamma^2 - k^2(2\Lambda_{22} - k^2)] A_2^{(1)} = 2\Lambda_{12} k^2 A_1^{(1)} \end{cases}$$

which can be rewritten as

$$[\gamma^2 - k^2(2\Delta_+ - k^2)][\gamma^2 - k^2(2\Delta_- - k^2)] A_{1,2}^{(1)} = 0,$$

where

$$2\Delta_{\pm} = (\Lambda_{11} + \Lambda_{22}) \pm \sqrt{(\Lambda_{11} - \Lambda_{22})^2 + 4\Lambda_{12}^2}$$

Suppose  $\Lambda_{11} \gg \Lambda_{22} > 0$ . Then

$$\Delta_+ \approx \Lambda_{11} + \Lambda_{12}^2 / \Lambda_{11}$$

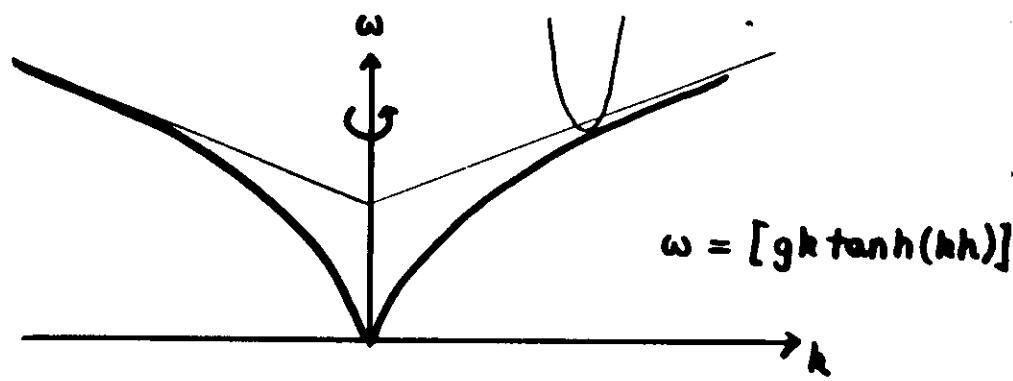
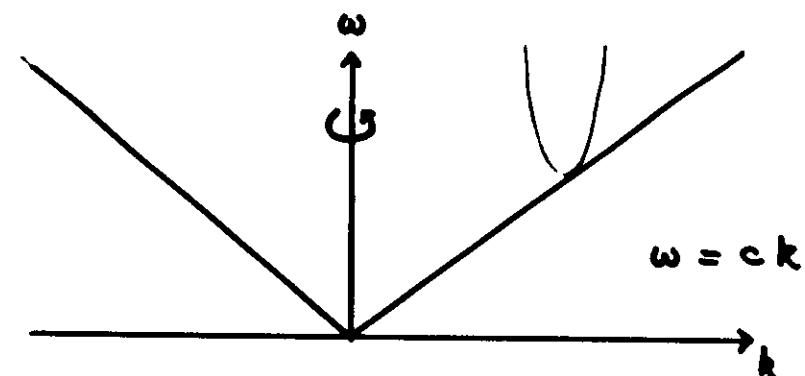
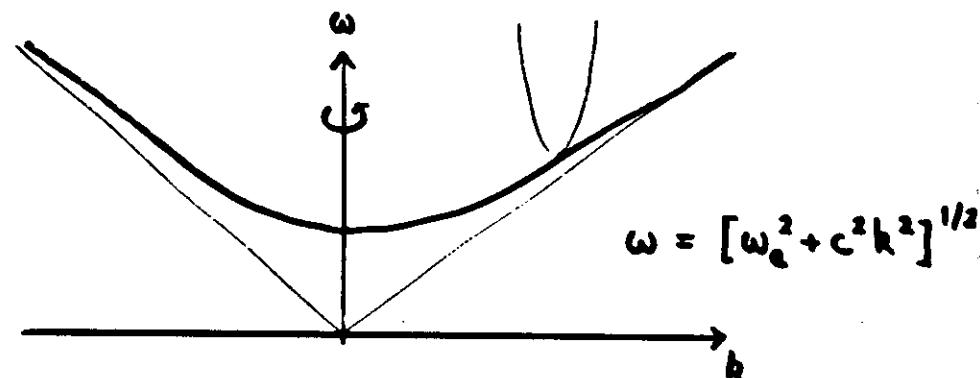


i.e. larger wave drives smaller wave unstable.

Moreover,  $\Delta_+ > 0$  whenever  $|\Lambda_{12}| > |\Lambda_{11}\Lambda_{22}|^{1/2}$

even if both waves are stable by themselves!

NB independent of sign ( $\Lambda_{12}$ ) and size of  $|\Lambda_1|, |\Lambda_2|$



## Two Spatial Dimensions

$$\begin{aligned} \mu \frac{\partial^2}{\partial x^2} &\rightarrow \mu_x \frac{\partial^2}{\partial x^2} + \mu_y \frac{\partial^2}{\partial y^2} ; \quad \mu_x = \left( \frac{\partial^2 \omega}{\partial k_x^2} \right)_0 \\ &\rightarrow \sigma_x \frac{\partial^2}{\partial x^2} + \sigma_y \frac{\partial^2}{\partial y^2} ; \quad \sigma_x = \text{sign}(\mu_x) \end{aligned}$$

linear group velocity  $\vec{v} \parallel \vec{k}$

repeat linear analysis with

$$A_{1,2} \propto e^{\delta(t-x/v)} e^{i(k_x x + k_y y)}$$

$\vec{k} \perp \vec{v}$  corresponds to filamentation

1-wave  $\gamma^2 - \sigma_j k_j^2 (2\Delta - \sigma_j k_j^2) = 0$

$\gamma$  depends on direction of  $\vec{k}$

## 2-waves

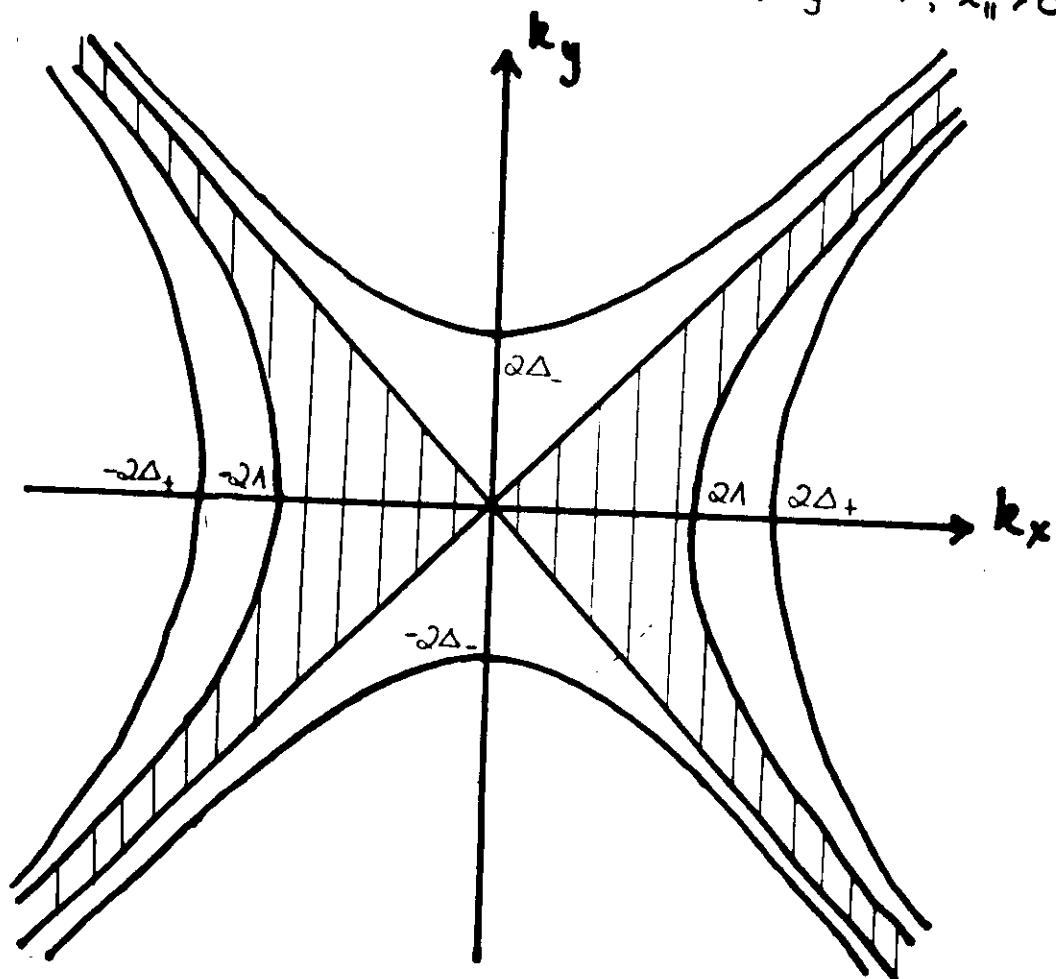
$$[\gamma^2 - \sigma_j k_j^2 (2\Delta_+ - \sigma_j k_j^2)] [\gamma^2 - \sigma_j k_j^2 (2\Delta_- - \sigma_j k_j^2)] = 0$$

$$\Delta_- < 0 < \Delta_+ \text{ whenever } |\lambda_{12}| > |\lambda_{11} \lambda_{22}|^{1/2}$$

Instability for arbitrary direction of  $\vec{k}$

## Mixed Dispersion

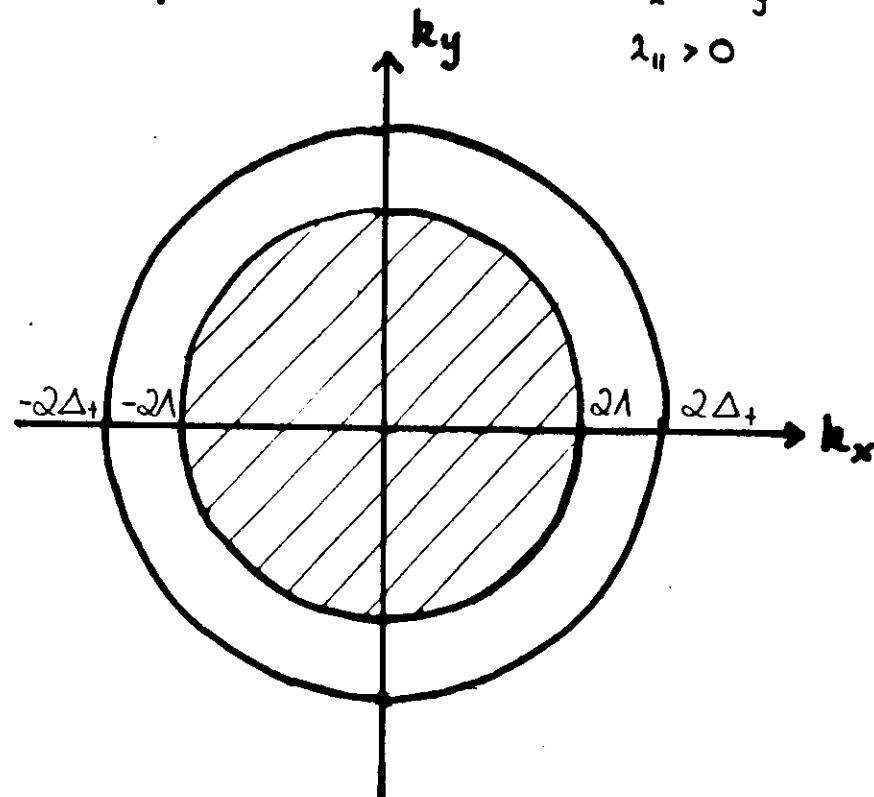
$$\sigma_x = 1; \sigma_y = -1; \lambda_{11} > 0$$



— one wave

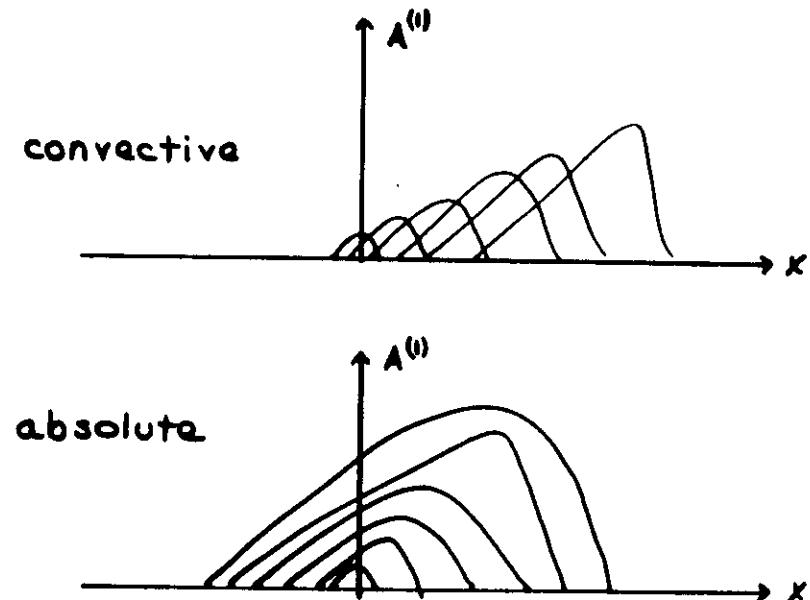
— two waves

## Normal Dispersion

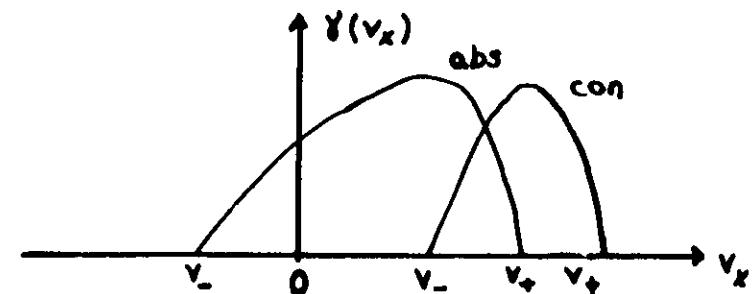


- boundary of unstable region for one wave
- boundary of unstable region for two waves

## Absolute & Convective Instabilities



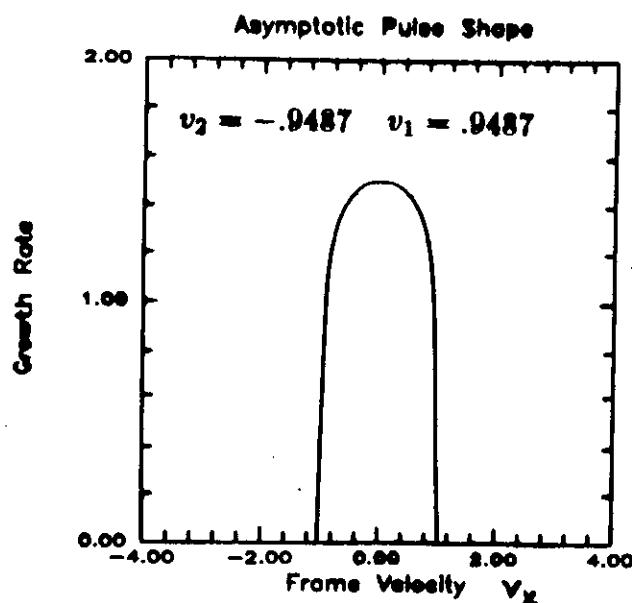
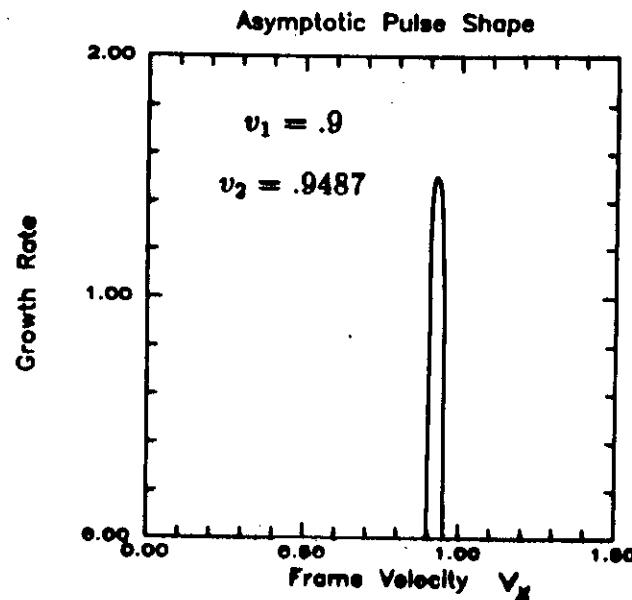
There is a convenient way to represent the unstable pulse shape



$\gamma(v_x)$  is growth rate in moving frame

$R = 1$

## Filamentation



Coupled Modulational Instabilities have been discovered independently by many authors:

Berkhoer & Zakharov, Sov. Phys. JETP 31, 486 (1970)

Inoue, J. Phys. Soc. Japan 43, 243 (1977)

Das & Saha, J. Plasma Phys. 21, 183 (1979)

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Gupta, Soni & Dasgupta, J. Plasma Phys. 25, 499 (1981)

Manyuk, IEEE Trans. J. Quant. Electron. QE-23, 174 (1987)

Agrawal, Phys. Rev. Lett. 59, 880 (1987)

Gosh & Das, J. Plasma Phys. 39, 215 (1988)

McKinstry & Bingham, Phys. Fluids B1, 230 (1989).

An application of current interest in nonlinear optics is Wabnitz, Phys. Rev. A 38, 2018 (1988)

A related paper on nonlinear focusing of coupled waves is McKinstry & Russell, Phys. Rev. Lett. 61, 2929 (1988).

