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INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
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H4-SMR 393/53

SPRING COLLEGE ON PLASMA PHYSICS

15 May - 9 June 1989

RADIO FREQUENCY HEATING IN TOKAMAKS (III)

RF Current Drive

D. F. Start

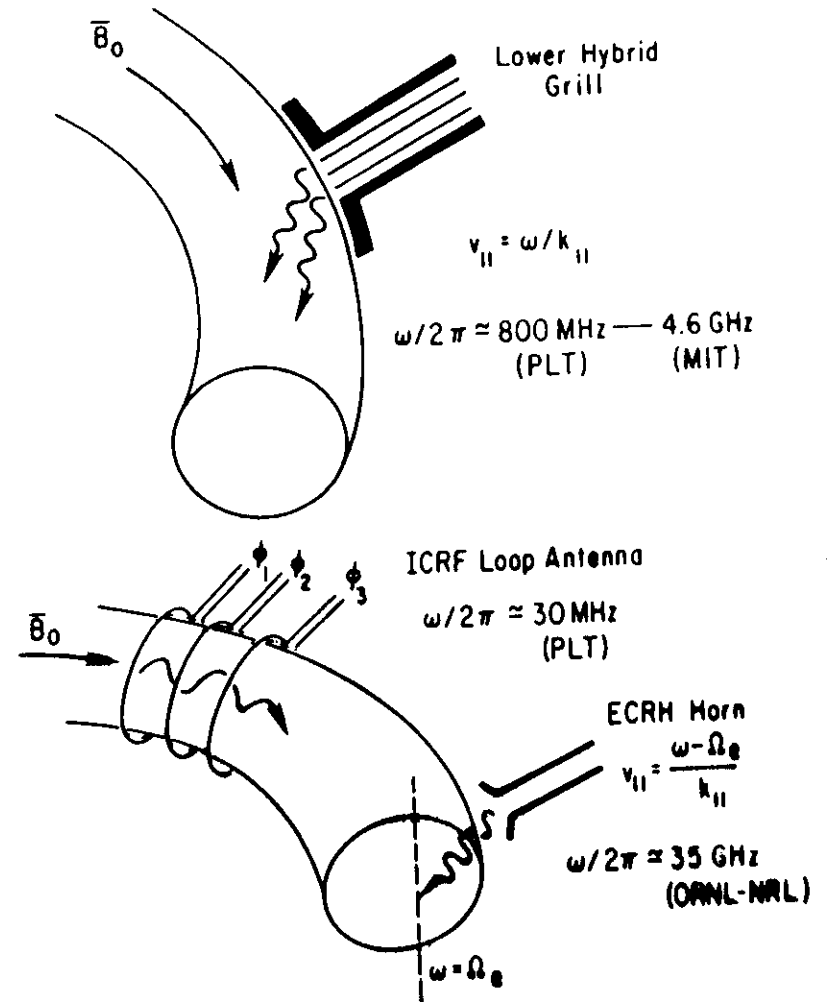
Jet Joint Undertaking
Oxfordshire
Abingdon OX14 3EA
U. K.

RF Current Drive

#84T0258

- Lower Hybrid Waves - Landau damping
- Electron Cyclotron Waves - Asymmetric Heating
- ICRH Minority Ion Current Drive

RF METHODS OF CURRENT DRIVE



Lower Hybrid Waves

L-H frequency $\omega_{LH} \approx \frac{\omega_{ci}}{\sqrt{1 + \omega_{pe}^2/\omega_{ci}^2}}$

Experiments range from 0.8 GHz $\rightarrow$ 8 GHz

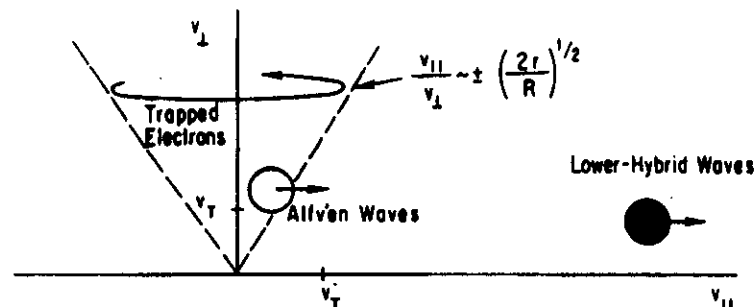
Slow wave launched $E = E_H$

Absorption by Landau damping on electrons
with $v_H = v_{phase}$

Resonance condition

$$\omega - k_H v_H = 0$$

LOWER HYBRID CURRENT DRIVE



- current is driven by // acceleration of superthermal electrons with LH waves
- efficiency is a balance between
 - collisions which tend to drive the electrons to thermal equilibrium
 - injected waves which produce the required asymmetry
- incremental energy input ΔE produces incremental current ΔI

$$\Delta I = \Delta E \frac{q}{2\pi m v_H}$$

(γ collision frequency)

$$P_{HF} = \gamma \Delta E$$

$$I/P_{HF} = \frac{q}{2\pi m v_H \gamma}$$

$$\text{for } v_H \gg v_L \rightarrow \sim \frac{q}{m v_H}$$

$$I/P_{HF} \sim \frac{q}{m v_H}$$

$$I/P_{HF} \sim \frac{1}{R} \frac{q}{m}$$

- damping of LH waves when $v_{LH} \sim v_T$ ($k_H = \frac{q}{v_{LH}}$)
- interest to use the lowest possible M// waves
limitation by accessibility conditions

$$N_D = \frac{c k_H}{\omega}$$

Fokker-Planck Calculations

L-H wave essentially electrostatic - $\tilde{E} = \tilde{E}_{||}$

Damping produces diffusion in $v_{||}$

Electron F-P eqn

$$\frac{\partial f}{\partial t} = \frac{\partial}{\partial v_{||}} \left[D \delta \left(\frac{\omega}{k_{||}} - v_{||} \right) \frac{\partial f}{\partial v_{||}} \right] + C_{ei} + C_{ee}$$

$\nearrow$ e-i collisions $\nwarrow$ e-e collisions

Analytic sol possible if C_{ee} neglected

$$\left(\frac{J}{P_d} \right)_n = \frac{1.93}{Z} \frac{v_e}{v_{phase}} \quad \text{as } v_{phase} \rightarrow 0$$

Corley et al
Plasma Phys.
24(1982)73

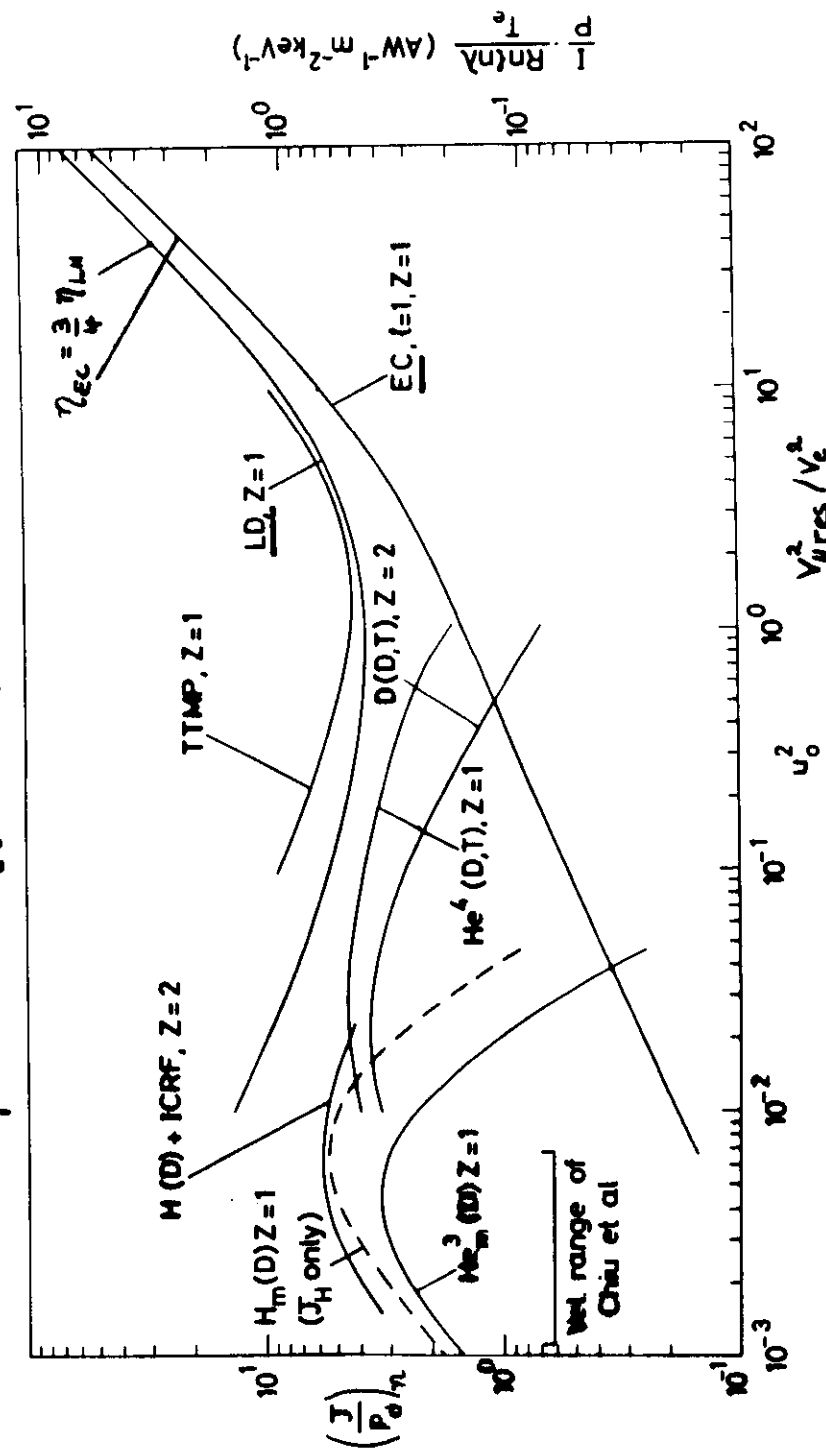
$$\left(\frac{J}{P_d} \right)_n = \frac{4}{Z} \left(\frac{v_{phase}}{v_e} \right)^2 \quad \text{as } v_{phase} \rightarrow \infty$$

$$J_n = J / n e v_e \quad P_{dn} = P_d / n m_e v_e^2 v_0$$

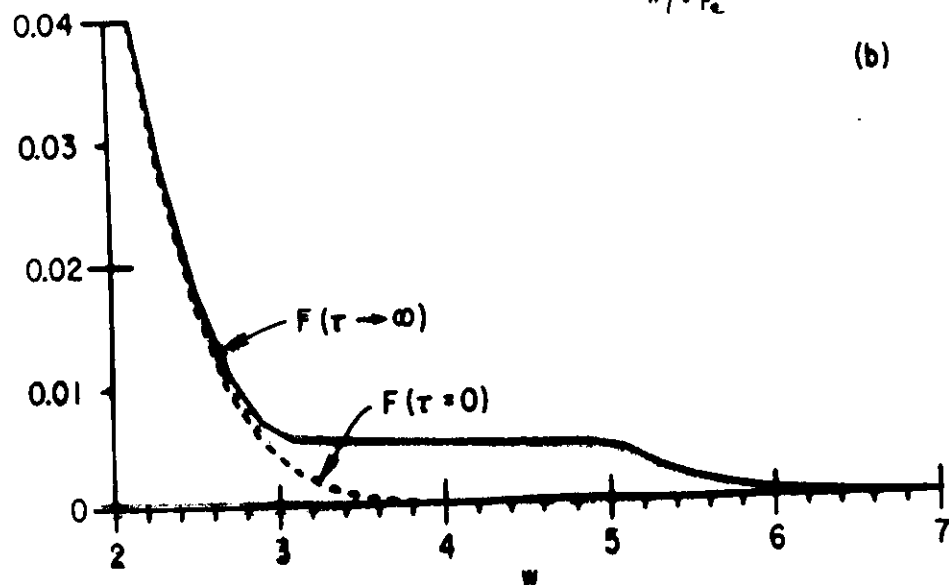
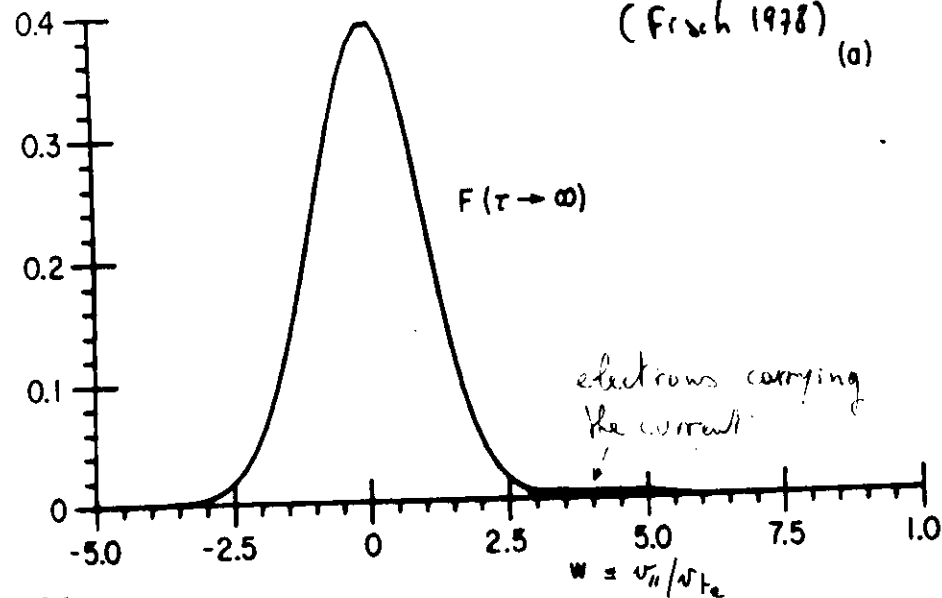
$$v_0 - \text{coll freq.} = 4\pi / \ln \lambda n e^4 / v_e^2 m_e^2$$

$$\frac{I(\text{amps})}{P(\text{watts})} = 0.122 \frac{T_e(\text{keV})}{R(m) n_e (10^{20} \text{m}^{-3}) \ln \lambda} \cdot \left(\frac{J}{P_d} \right)_n$$

Current Drive Efficiencies
perturbat'n theory, uniform plasma, B.



1. dimensional model
(Fisch 1978)



- Current Drive is a balance between effects due to injected waves which tend to produce the asymmetry necessary for current drive and effects due to collisions which tend to drive the electrons to thermal equilibrium

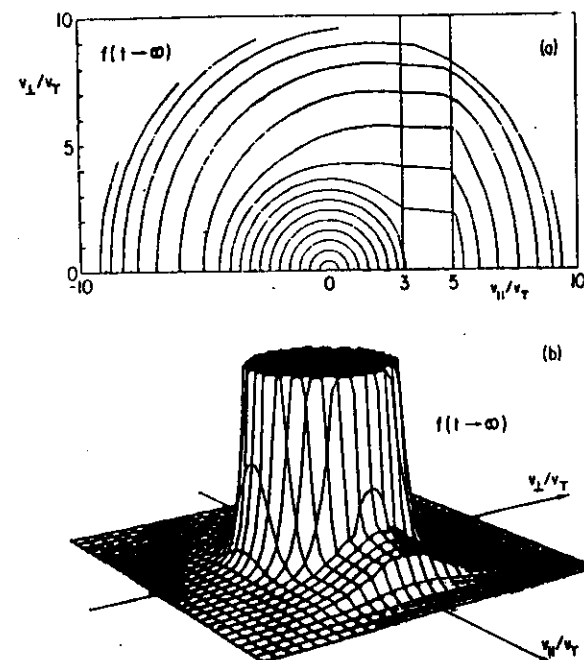
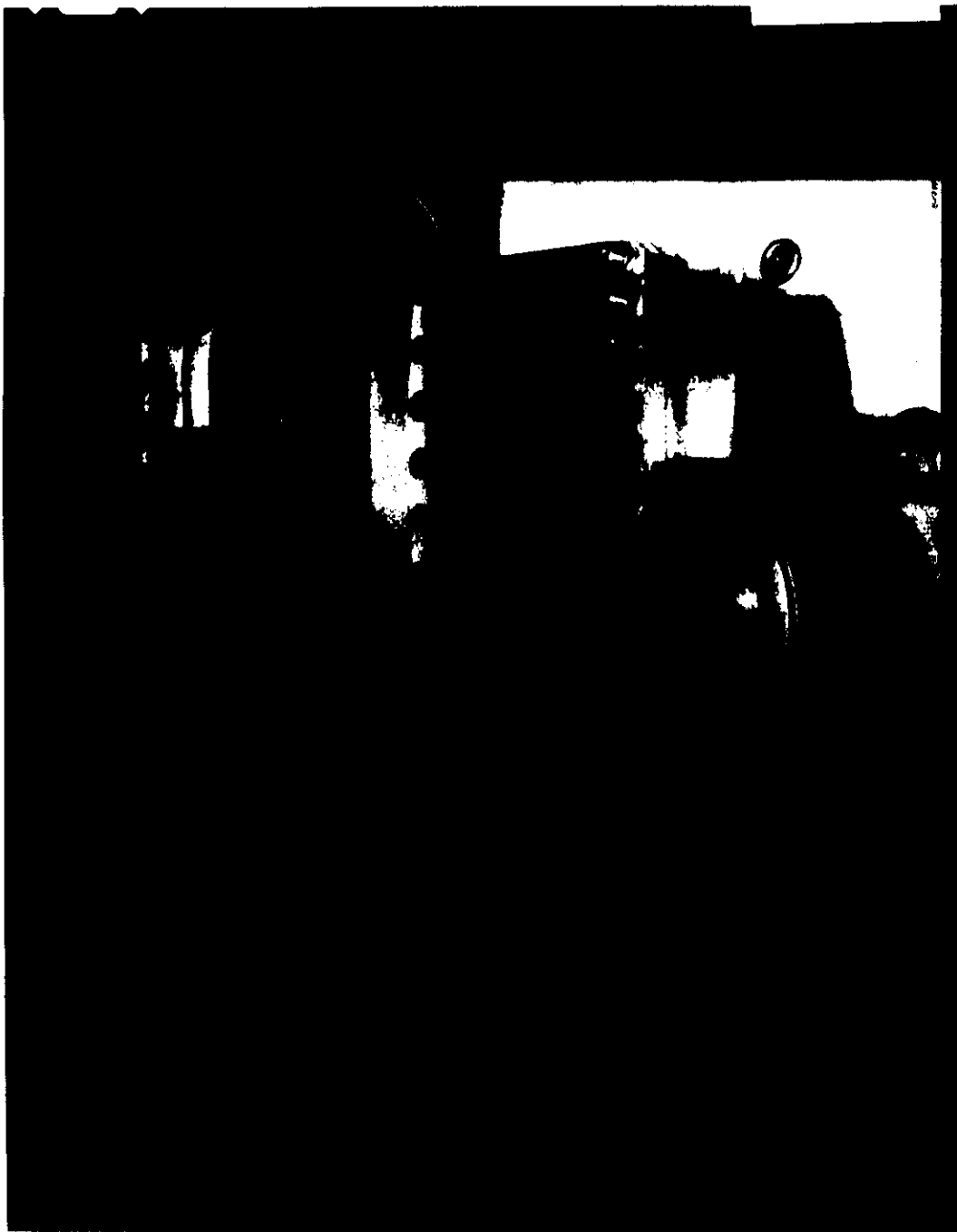


FIG. 5. (a) Contours of steady-state electron velocity distribution f when lower-hybrid waves are injected with parallel-phase velocities between 3 and 5 times thermal velocity v_T . (b) Surface of f , truncated at low speeds (Karney and Fisch, 1979).

Rev. Mod. Phys., Vol. 59, No. 1, January 1987

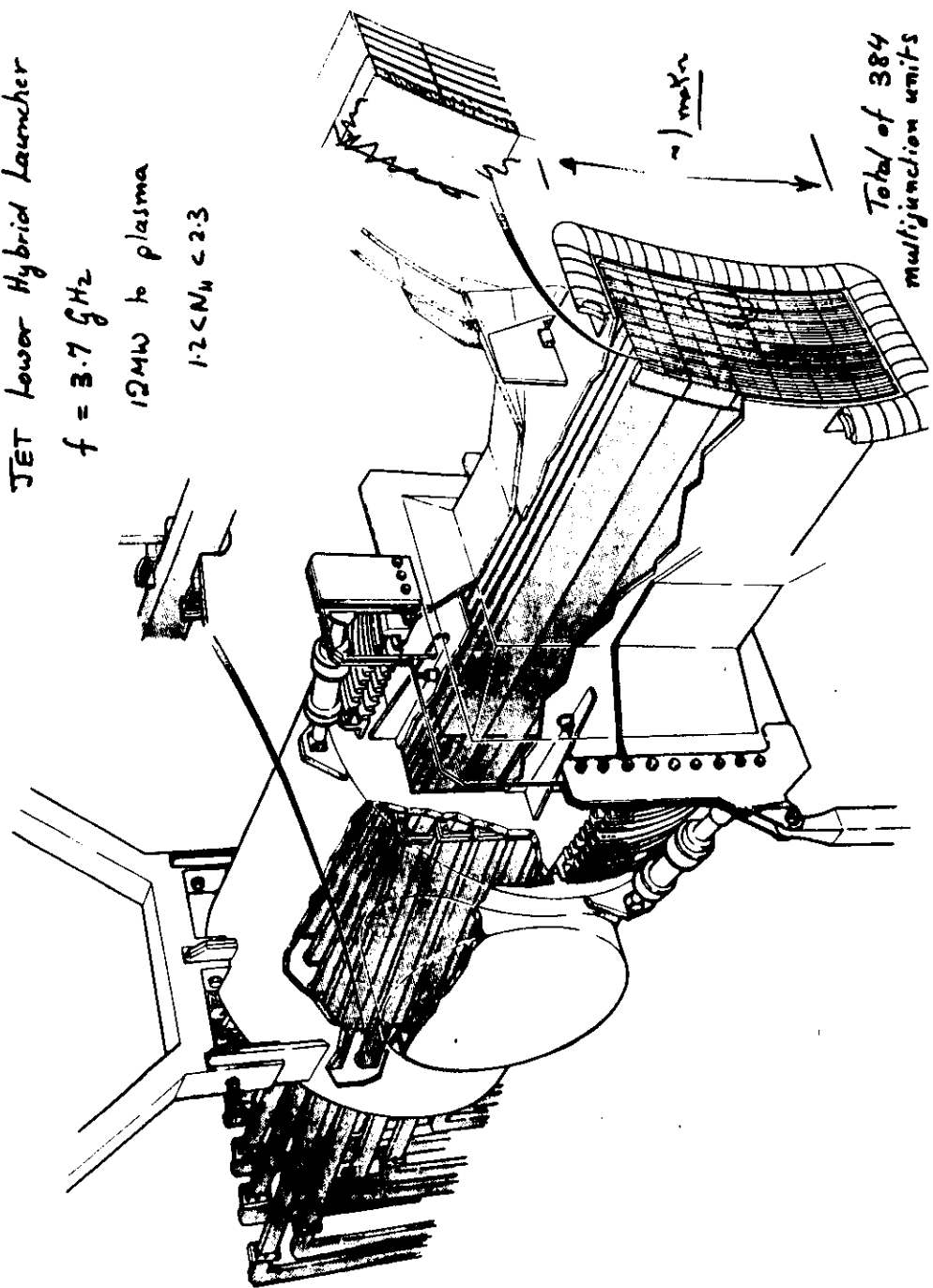


JET Lower Hybrid Launcher

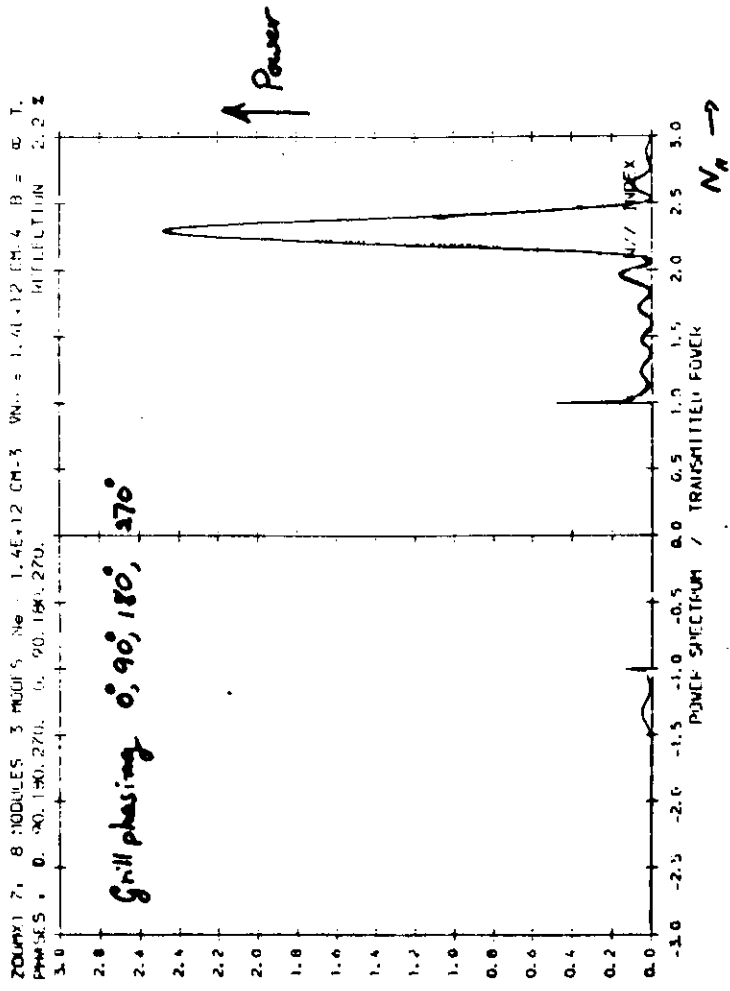
$$f = 3.7 \text{ GHz}$$

12MW to plasma

$$1.2 < N_u < 2.3$$



Calculated Launched wave $N_{||}$ Spectrum - JET launcher



Lower Hybrid Current Drive in PLT

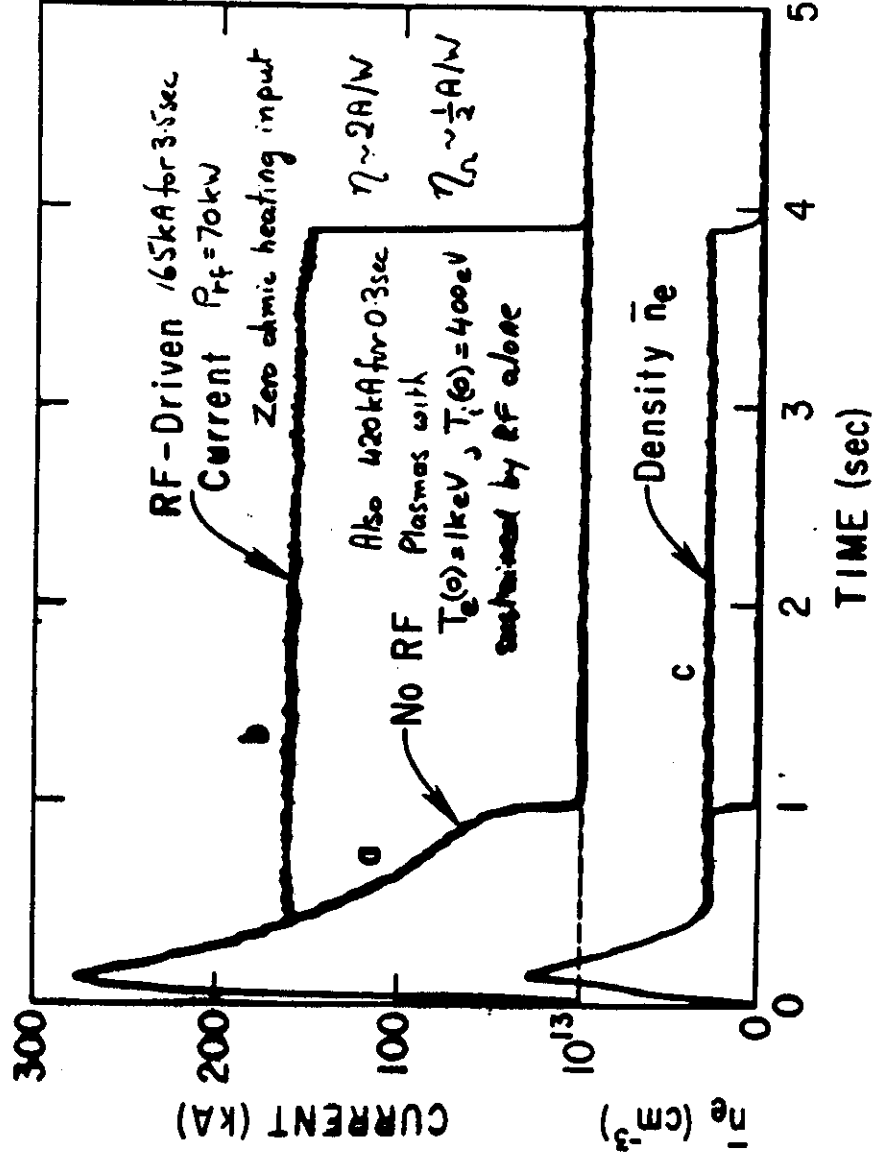


Fig. 1

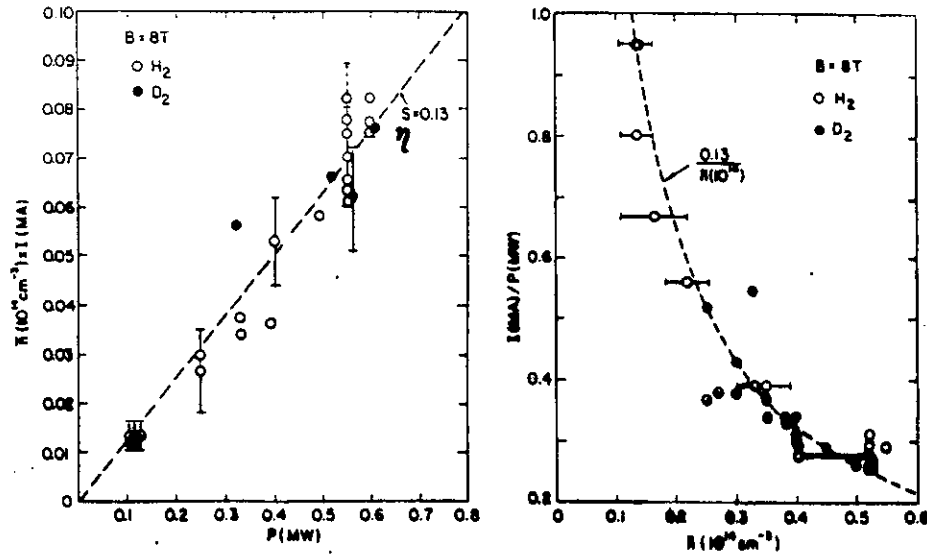
Present Status

Scaling Experiments

$$\frac{I}{P} \sim \frac{v_p^2}{nR}$$

Define efficiency $\eta = n_e R I / P \propto v_p^2$

For given N_H spectrum expect $\eta = \text{const}$ for good accessibility
Alcator C, Lloyd et al IAEA Tech Committee on Non Inductive C-D
Culham 1983 p250



$f = 4.6 \text{ GHz}$, $P \leq 650 \text{ kW}$

(a)

(b)

FIGURE 4

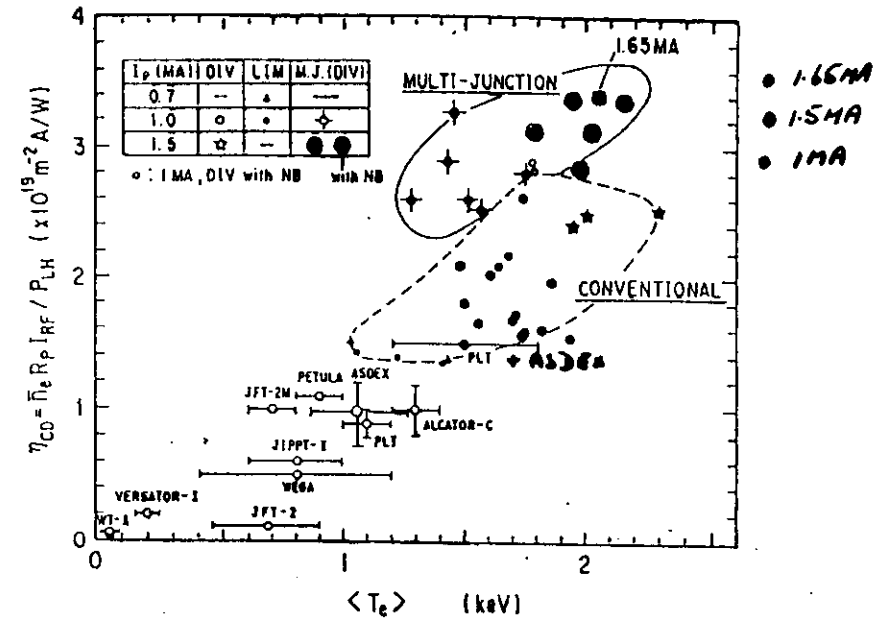


Fig.5 η_{CD} vs. $\langle T_e \rangle$

LOWER HYBRID CURRENT DRIVE IN PLT Hard X-rays from discharge centre

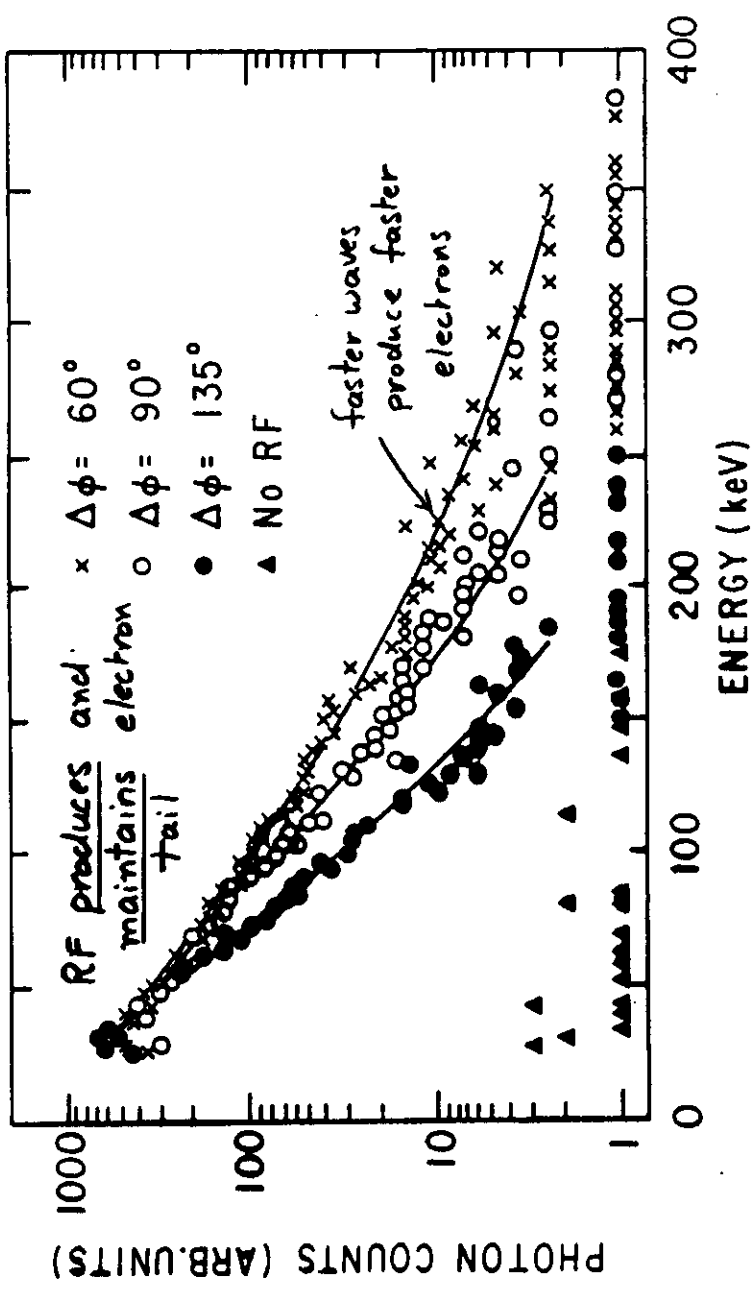
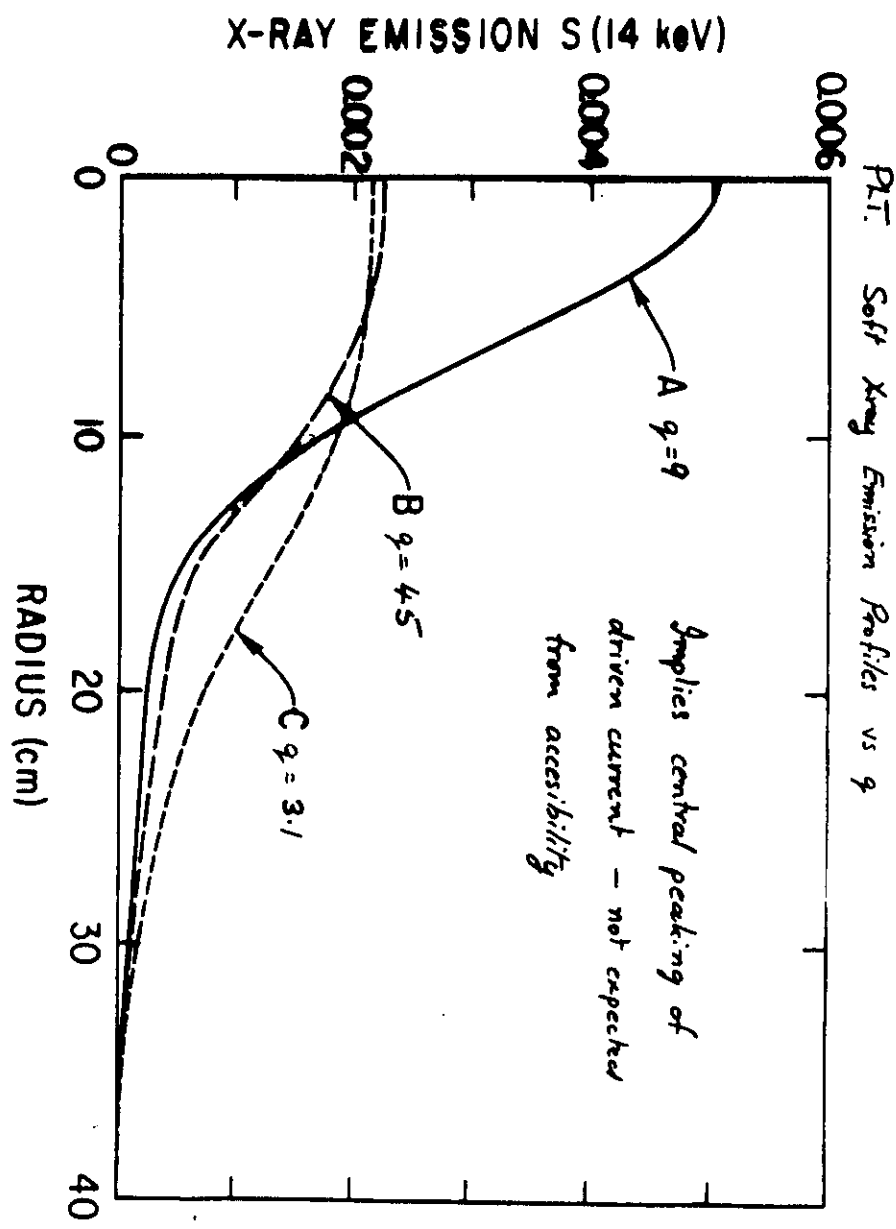
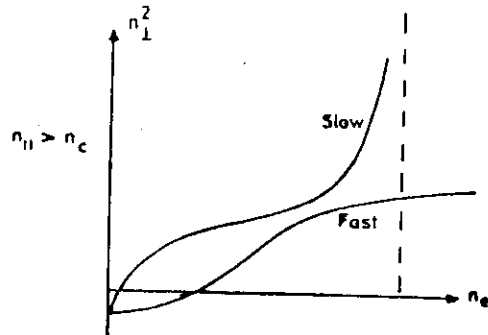
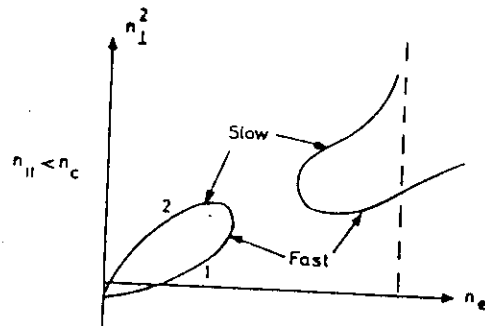


Fig. 3



PLT. Soft X-ray Emission Profiles vs q

Accessibility Criteria

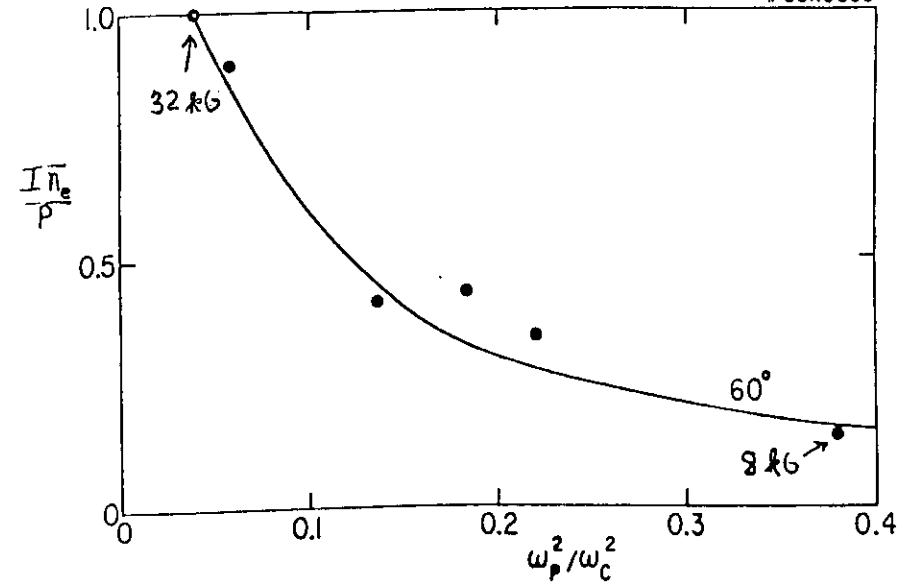


$$N_H > N_{Hacc} = \frac{\omega_{pe}}{\omega_{ce}} + \sqrt{1 + \frac{\omega_{pe}^2}{\omega_{ce}^2} - \frac{\omega_{pi}^2}{\omega^2}}$$

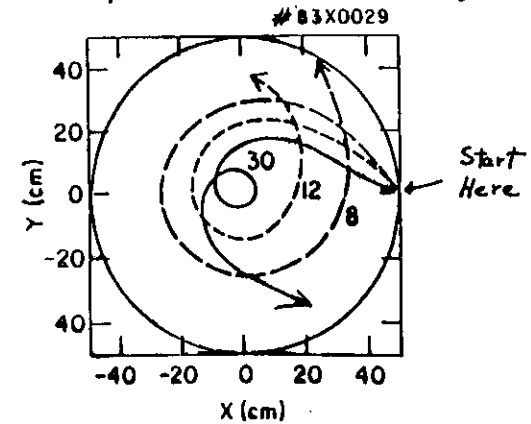
$\therefore$ low N_H gain access to plasma centre
at high B
a low n_e

Golant

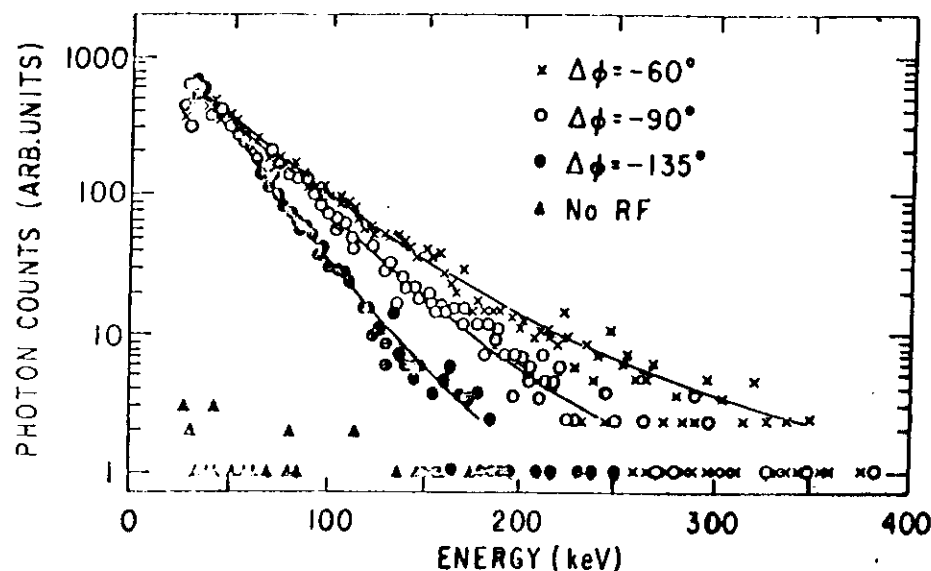
Evidence of improved accessibility giving
higher efficiency - central damping of low N_H components.



However, even when acc. criteria fulfilled, 'whispering gallery' modes can be problematic in toroidal geometry.



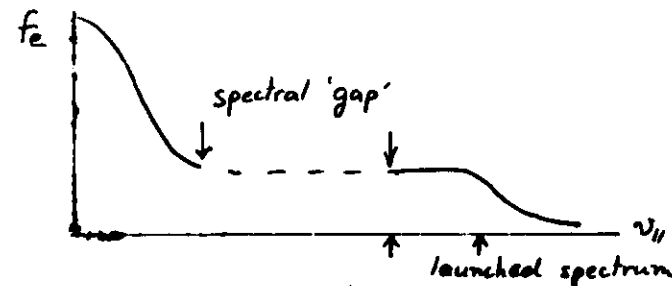
Spectral Gap Problem



1. For $N_h \approx 2$, wave drives 50 keV electrons
2. Observed J/P_d agrees with Fisch theory
3. However J and P much larger than given by even non-perturbation FP theory
4. Many more electrons in resonance than theory predicts
5. What mechanism pushes electrons from bulk distribution ($T \sim 1$ keV) to tail?

"THE GAP PROBLEM"

- Theoretical values for I/P in quite a good agreement with experimental values (within a factor of 2)
- But large discrepancy between theoretical and experimental values of the HF current

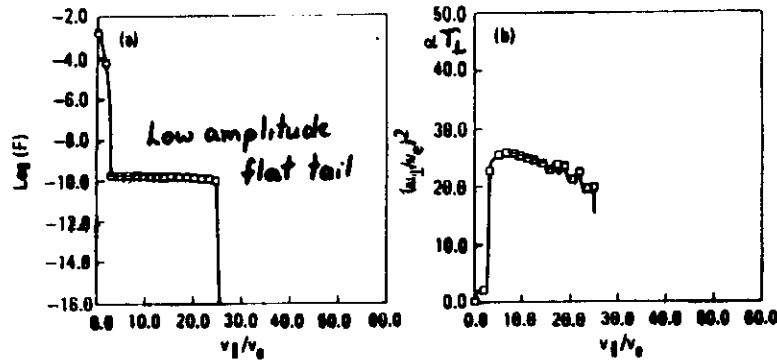


Possible explanations:

- scattering of LH waves by low frequency fluctuations + magnetic shear effects
- non-linear broadening via ray focussing
- * anomalous Doppler electron excitation of waves (Parail-Pogutse fast electron instability)
- non-linear effects due to parametric instabilities
- $N_{//}$ broadening due to magnetic field ripple
- multiple reflections of the wave trajectories which enhance $N_{//}$ via toroidal effects
- (to be noted that only 10% of the launched power is dissipated in such processes)
- The latest effect is used in :
 - the Bonoli code (Fokker-Planck absorption)
 - global propagation (Moreau, Samain)

2-D F-P calculations. LH waves + plasma waves
destabilised by tail T_H/T_L
anisotropy via anomalous Doppler
resonance $\omega + \Omega_{ce} = k_{\parallel} v_{\parallel}$

1) No plasma waves



2) Plasma waves on - absorb little energy but large
// momentum by pitch angle scattering

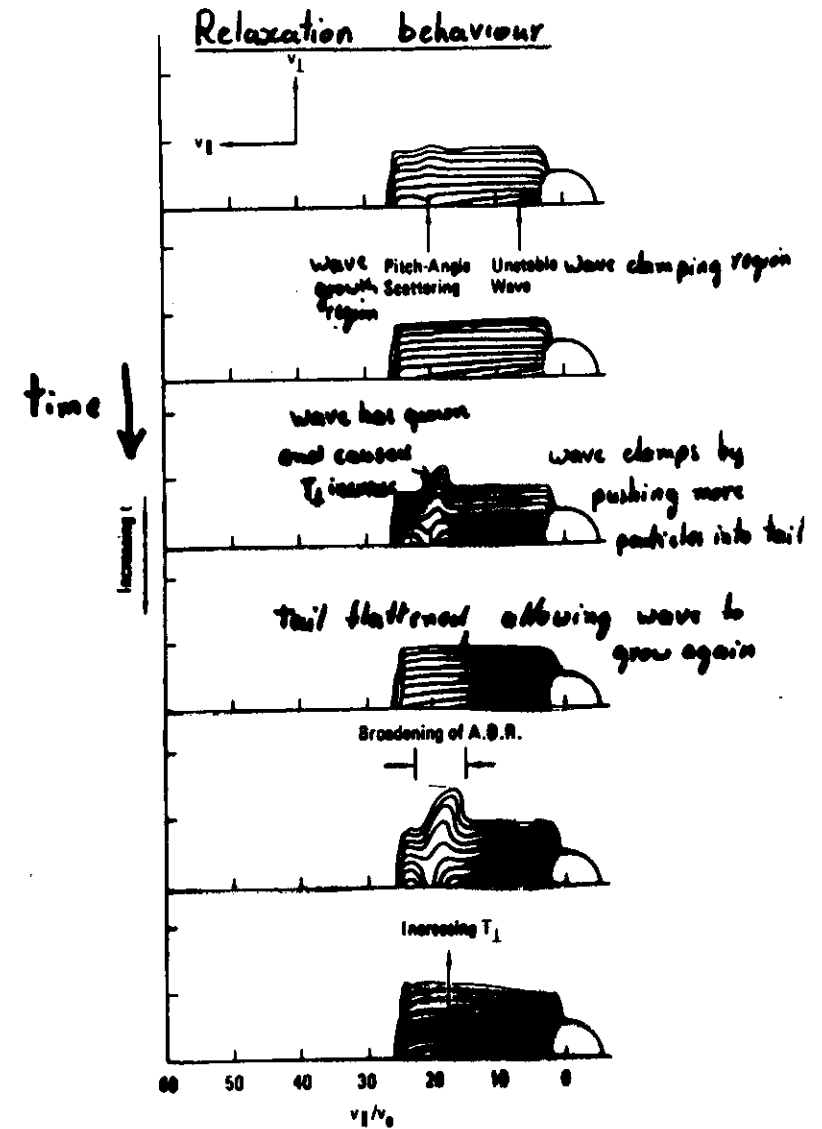
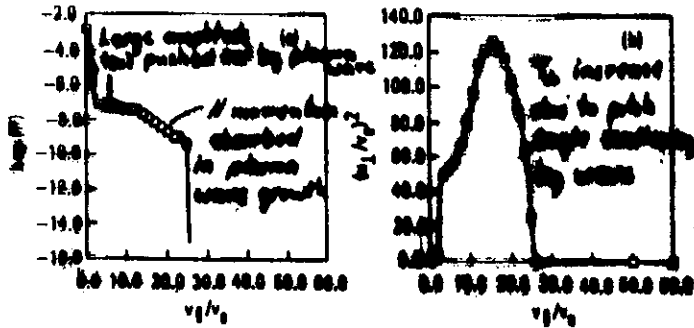


Fig. 4. Behavior of electron distribution during turbulent phase.

VERSATOR II LH Current Drive

Anisotropy-driven instability suppressed by ECRH

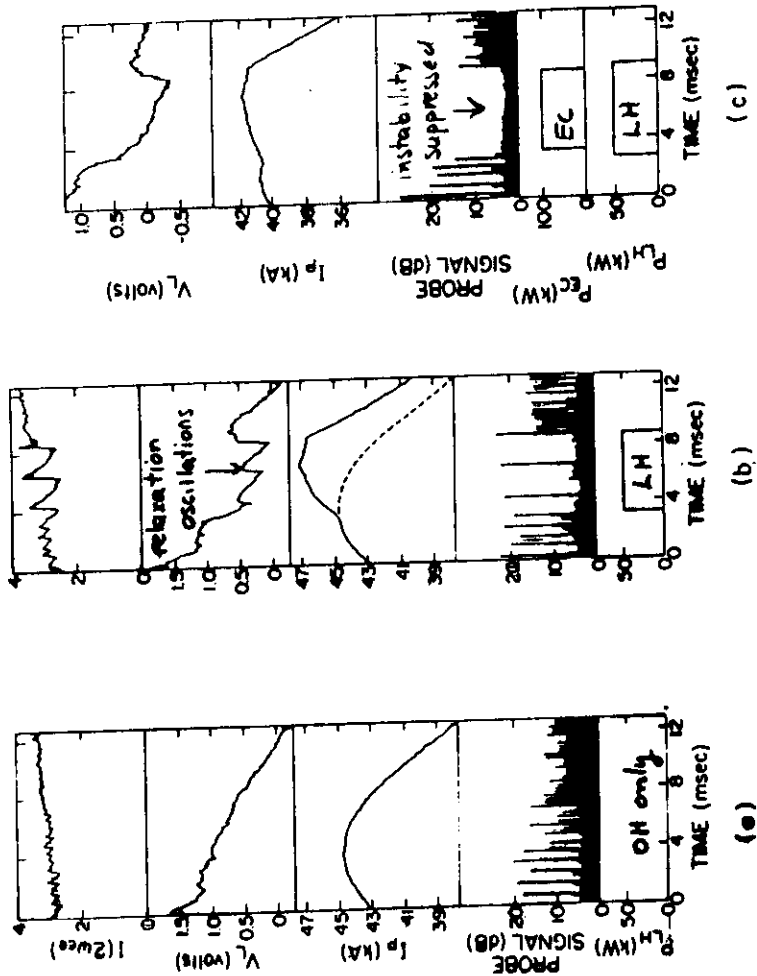


FIG. 5 2ω_{ce} emission I(2ω_{ce}), V_L, I_p, and RF probe signal at f = 500 MHz at $\bar{n} = 3 \times 10^{12}$ without RF (a), with LHCD power (b) and LHCD and ECRH added (c).

MHD EFFECTS

Suppression of sawteeth with partial replacement of the plasma current depends upon density (ASDEX)

Effective in all experiments (up to a given density)
substantial increase and peaking of Te (PLT, ASDEX, JT-60)

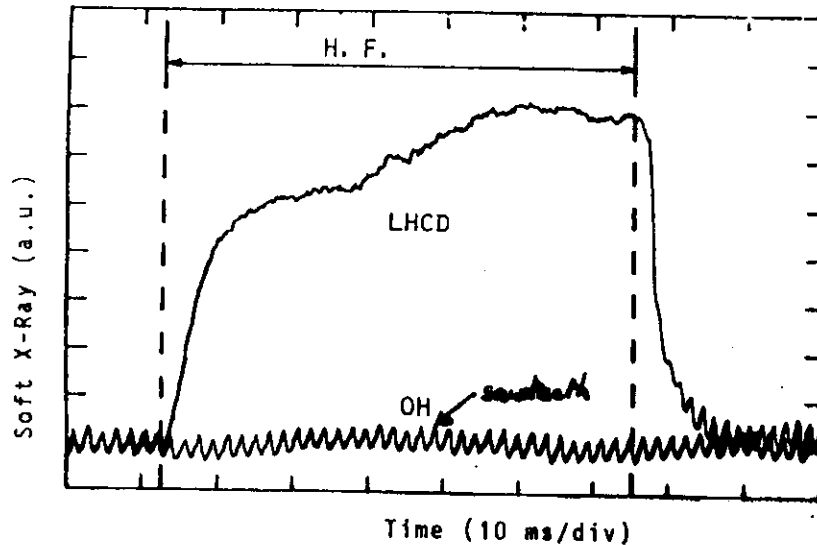
Effective in LHCD + ICRH (PLT)
LHCD + NBI (ASDEX, JT-60)
(no accumulation of impurities)

WHY ?

Current broadening leading to an increase of $q(0)$ above unity

Control of the m=1 mode by fast electrons (change of resistivity, local current)

Sawtooth Stabilization by LHCD on Petula



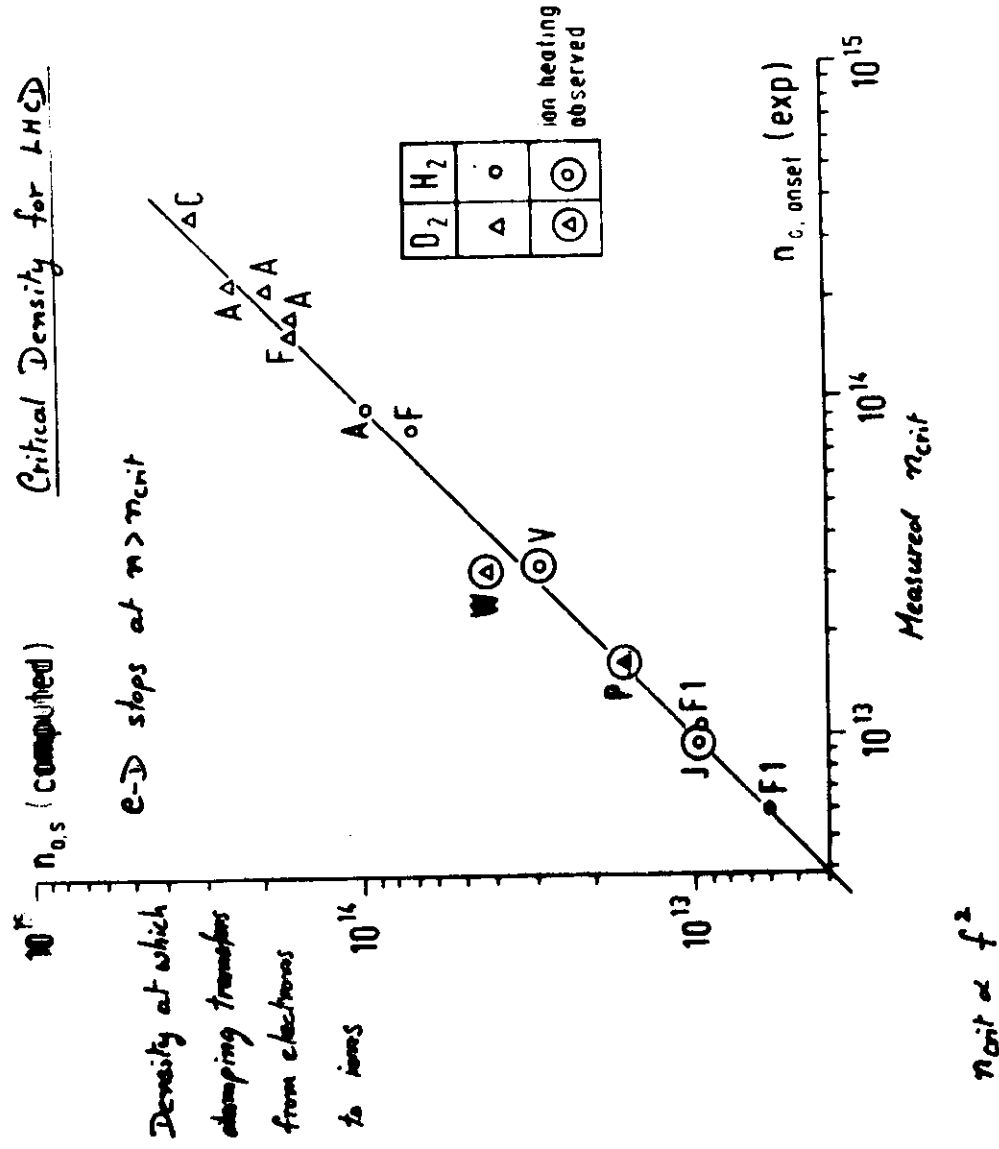
$$\frac{\Delta V}{V} \sim 30-50\%$$

- Observation of $q(0)$ above 1 during LHCD
(ASDEX at low density)
- Current broadening is observed in JT-60 at high $N//$
but effective stabilisation is obtained at low $N//$
- $m=1$ mode stabilised but $m=2$ mode triggered
depends upon
time duration of LHCD pulse (ASDEX)
density (PETULA)
- Stabilisation of sawteeth but the $m=1$ mode still
present. Depends upon
power density (PLT)
density (PETULA)

Both stabilising effects can occur depending upon
the accessibility of the fast electrons to the
 $m=1$ island



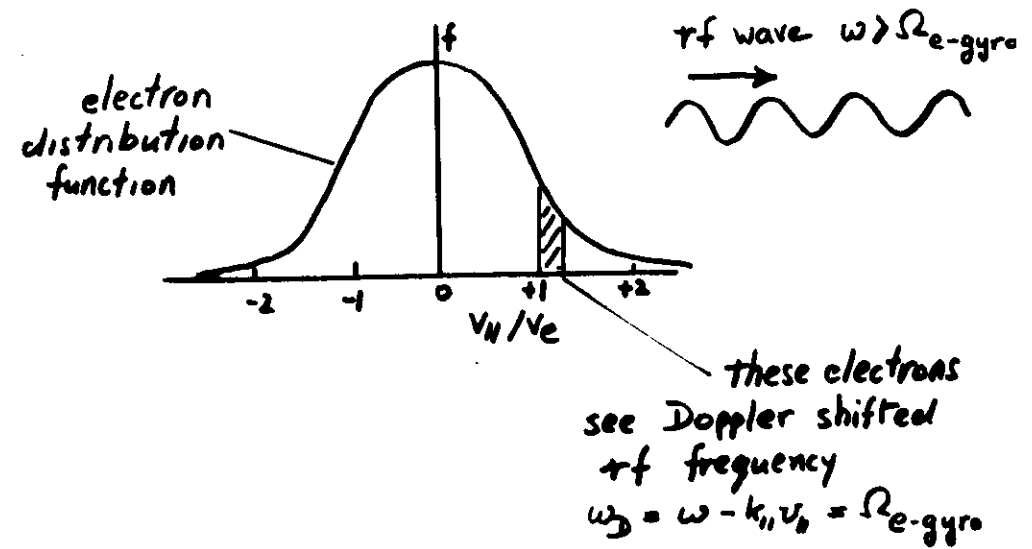
FIG. 2



Current Drive by Electron Cyclotron Waves

Asymmetric heating of electrons using travelling EC waves

→ energy increase — no net momentum input



- 1) Electrons moving to right see $\omega_D = \Omega_{e-gyro}$ and gain $E_{\perp}$
- 2) $\therefore$ Collisions with ions less frequent than for electrons moving to left
- 3) Electron distribution gains net parallel momentum to right, ions gain momentum to left — No net momentum transferred by cyclotron wave
- 4) Resonance condition $\omega - \Omega_{e-gyro}(r) = k_{\parallel} v_{\parallel}$ implies current reversal on opposite sides of resonance in the inhomogeneous field of a tokamak. Need strong absorption to prevent runaway.

Fokker-Planck Theory

electron eqn

$$\frac{\partial f}{\partial t} = \frac{1}{v_\perp} \frac{\partial}{\partial v_\perp} \left[D v_\perp^{2l-1} \left(\frac{\omega - l\Omega}{k} - v_\parallel \right) \frac{\partial f}{\partial v_\perp} \right] + C_{ei} + C_{ee}$$

Neglect C_{ee}

$$\left(\frac{J}{P_d} \right)_n = \frac{3}{Z} \left(\frac{v_{phase}}{v_e} \right)^2 \quad v_{phase} \rightarrow \infty$$

cf LHCD $\left(\frac{J}{P_d} \right)_n = \frac{4}{Z} \left(\frac{v_{phase}}{v_e} \right)^2 \quad v_{phase} \rightarrow \infty$

$$\eta_{LHCD} = \frac{4}{3} \eta_{ECCD}$$

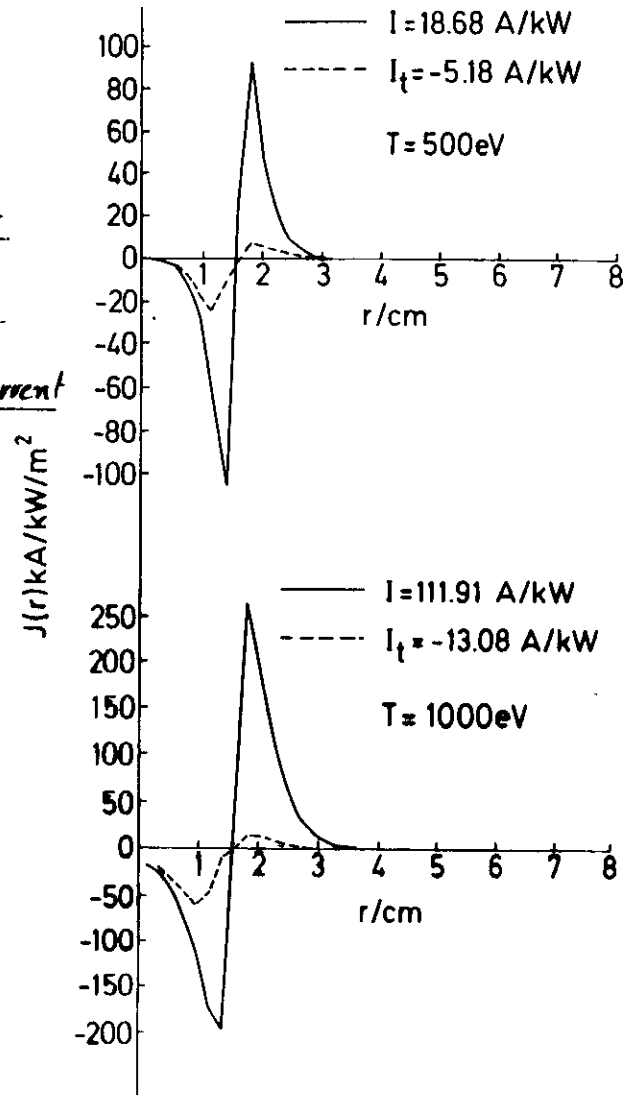
LHCD efficiency largely due to heating effect

• only 25% due to momentum input

(15)

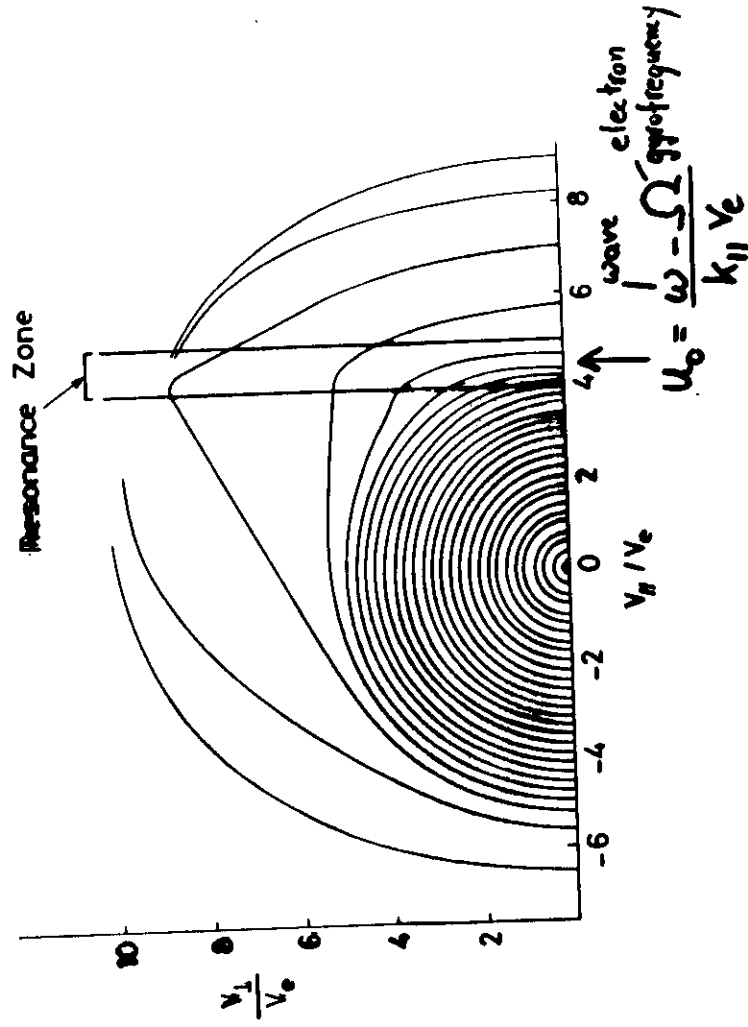
Fig. 8

Ray tracing +
Fokker Planck
calculation of current
density profiles,
TOSCA Tokamak
2D_{ce}

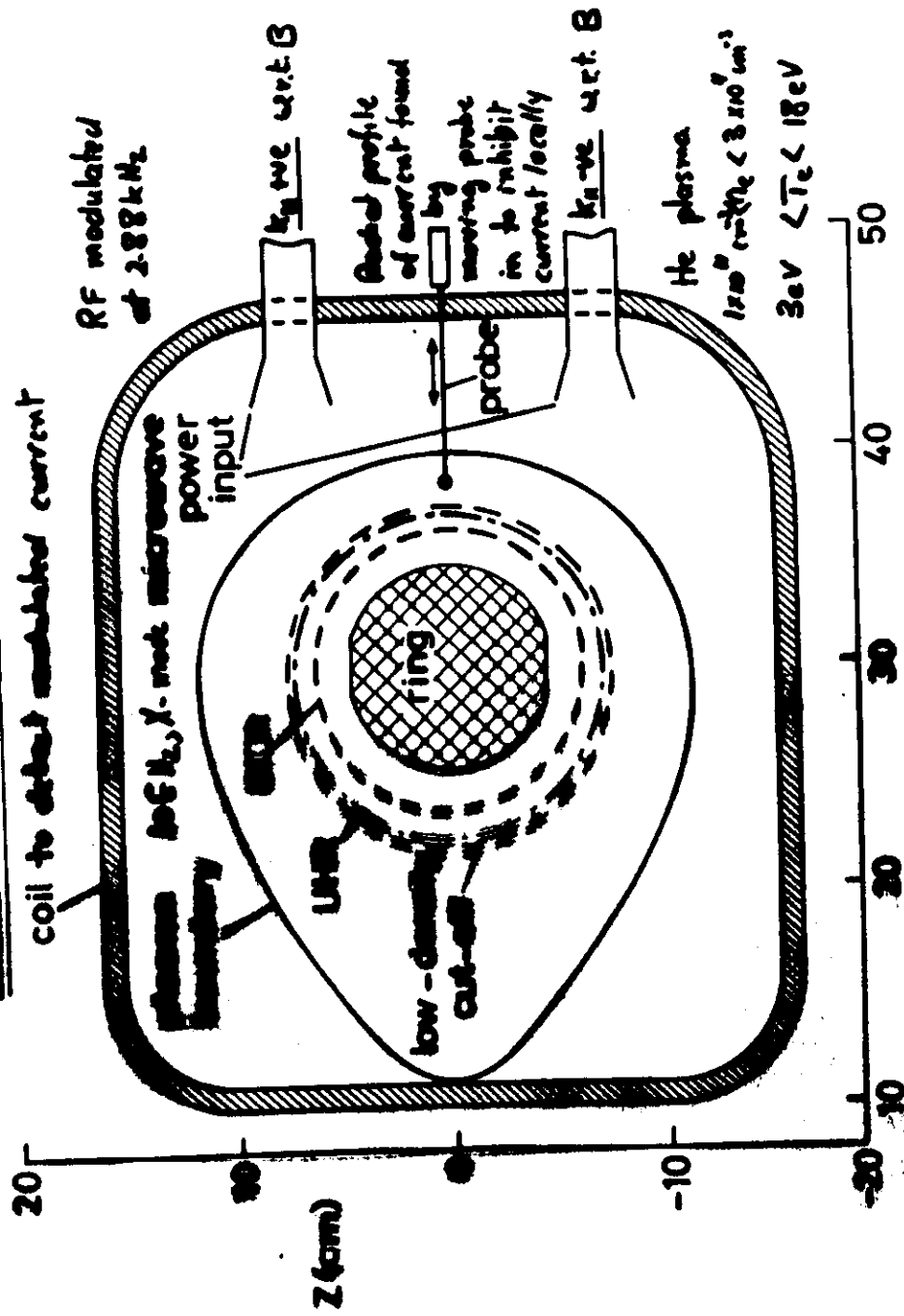


Asymmetric ECRH

Electron distribution function contours



Quicker Ionium ECRD Experiment



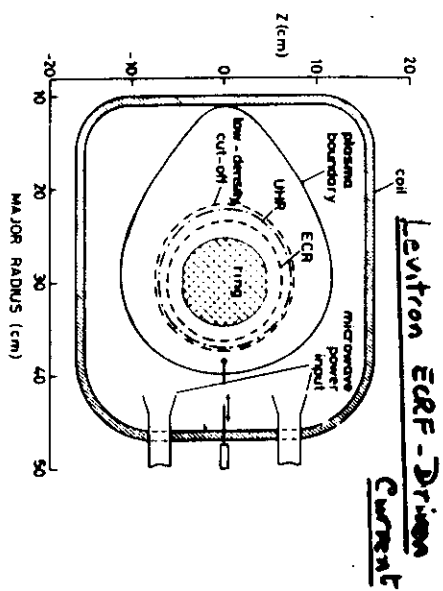
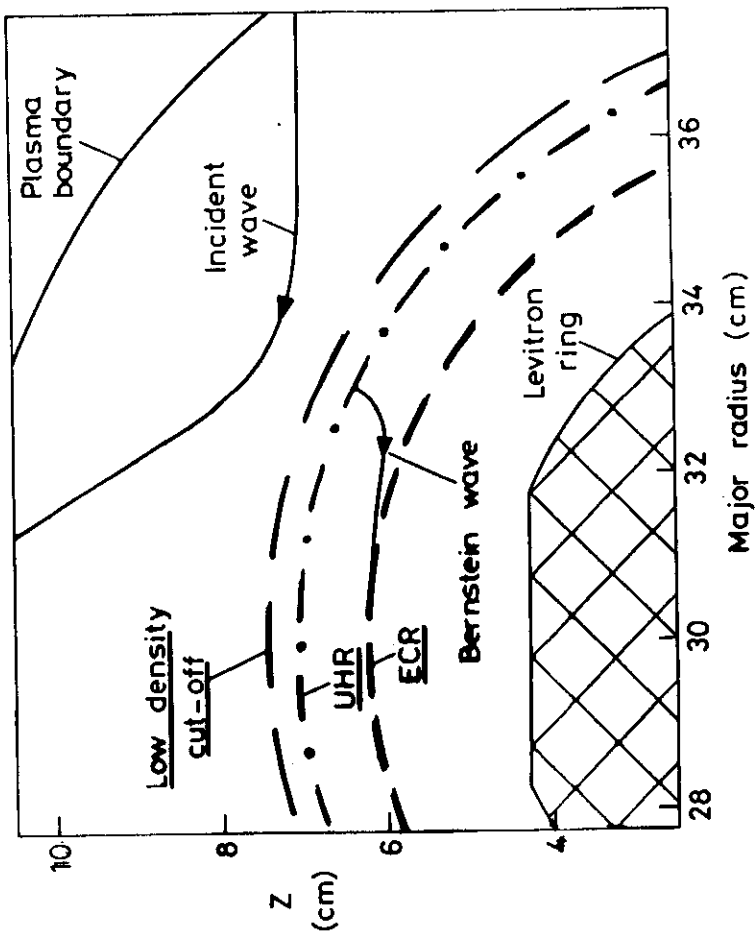


Fig. 1

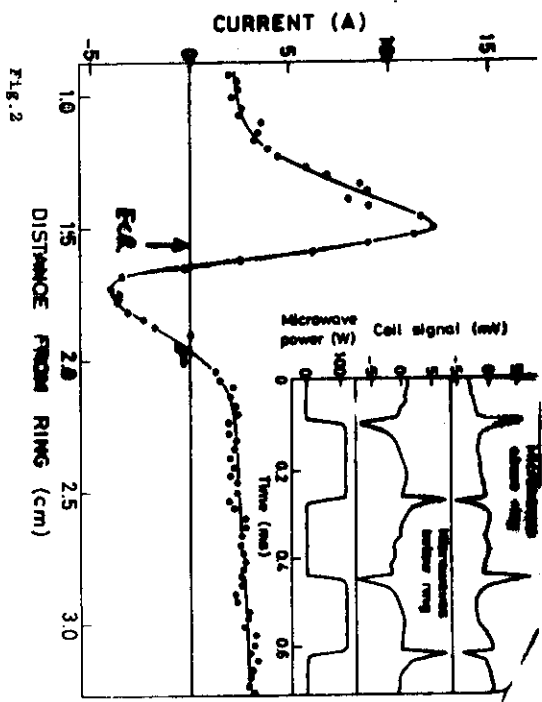
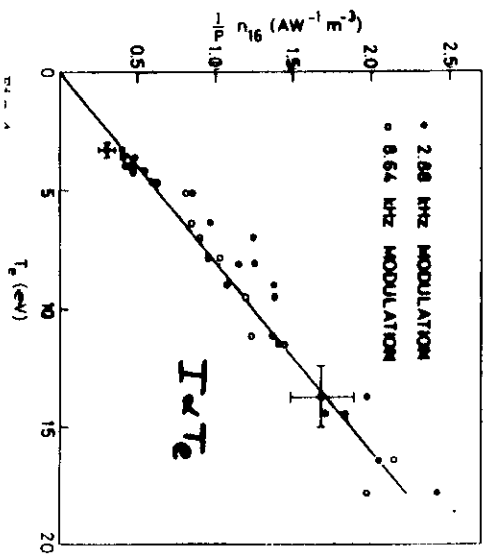
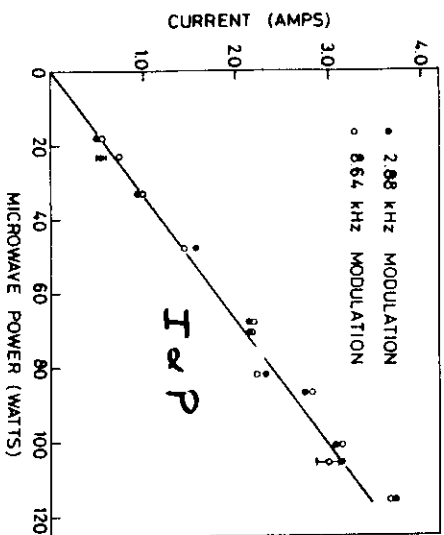


Fig. 2



Budden analysis for cut-off/resonance pair

Power absorbed from Bernstein wave

$$P_a = \eta(1-\eta)P_{\text{injected}}$$

$$\eta = \exp(-\pi \sqrt{\Omega^2 \chi L / c^2}), \quad \chi = \text{distance from cut-off to UHR}$$

$$L = \omega_p^2 \frac{d}{dr} (\omega_p^2 + \Omega^2)$$

Present case

$$T_e = 7.5 \text{ eV}, \quad n_e = 3 \times 10^{11} \text{ cm}^{-3}$$

$$\chi = 0.35 \text{ cm} \quad L = 0.5 \text{ mm}$$

$$P_a / P_{\text{inj}} \sim 10\%$$

Predicted $I/P \sim 0.05 \text{ A/W}$ for $v_{\text{res}}/v_e = 1$
 Experiment $I/P \sim 0.03 \text{ A/W}$

105cm Tokamak

E.C.R.H. Induced Current Drive

$r_L = 50 \text{ cm}$

$f = 28 \text{ GHz}$

$2\omega_{ce}$ outside laum

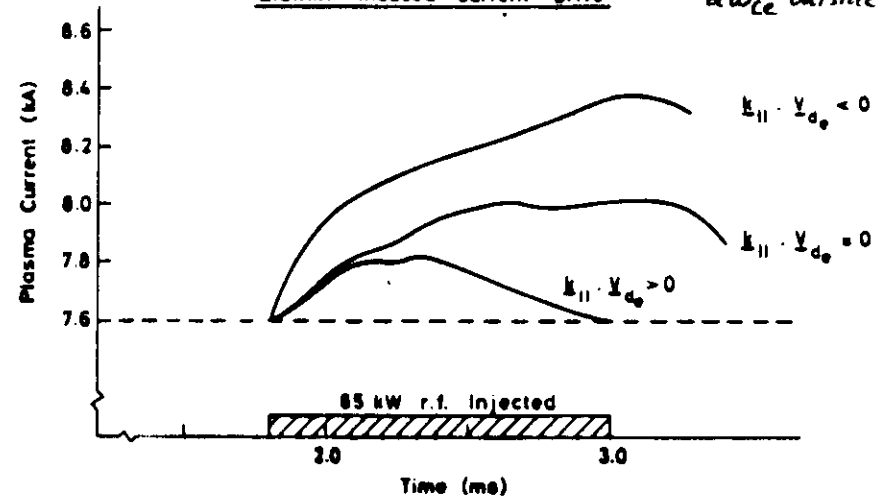


Fig. 5

Dependence on resonance position

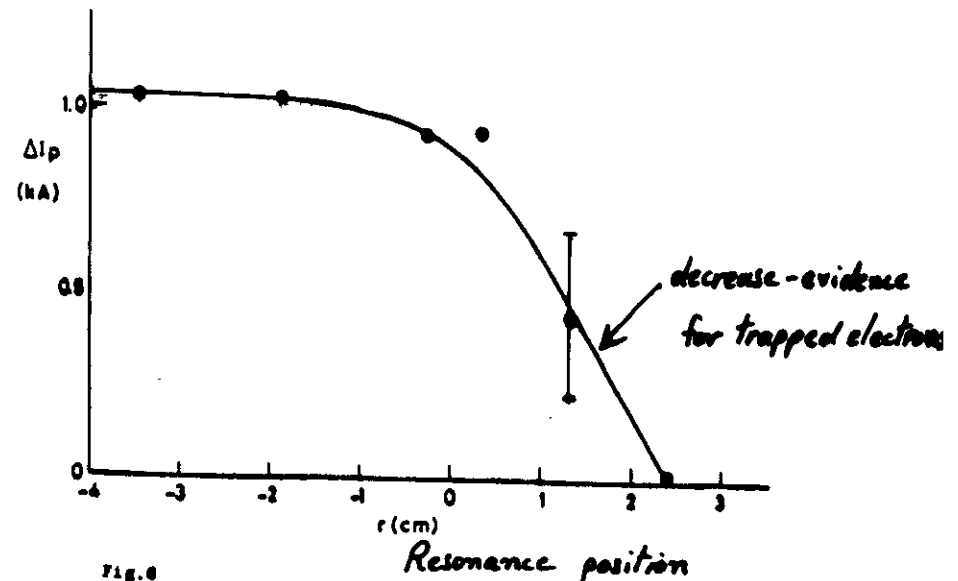
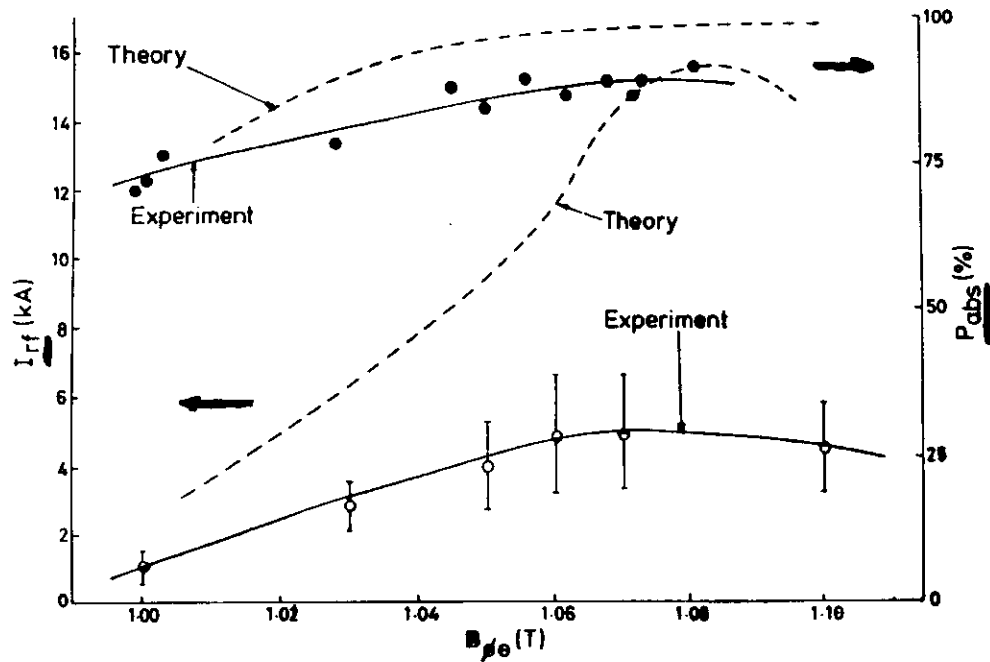


Fig. 6

CLEO



Theory based on ray tracing and current drive model including trapping effects and weakly relativistic resonance condition.

Start et al. (1984)

Asymmetric Heating of Minority Ions

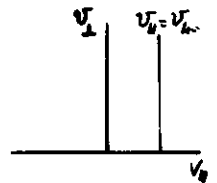
+ collisions with majority ions and electrons

Rest frame of majority ions

$$J = J_m + J_e$$

NJ Fisch

Analytic theory - uniform B
- $v_{Hres} = \delta(v_H - v_{H0})$



Current Drive efficiency

$$\left(\frac{J}{P_d}\right)_{\text{norm}} = 3 \frac{(1 - \frac{Z_i}{Z_m})}{(1 + \frac{M_m}{M_i})} \frac{v_{Hres}^2}{(1 + \gamma v_{Hres}^3)^2}$$

Max when $v_{Hres} = (2\gamma)^{-1/3}$ - switch-over from collisions with majority ions to collisions with electrons as $v_{Hres} \uparrow$

$J=0$ if $Z_m = Z_i$ (eg H_{min}^+, D_{maj}^+) $\rightarrow J_e = -J_m$

Tokamak B - electron trapping allows net J.

Example

$$\begin{aligned} n_e &= 3 \times 10^{19} \text{ m}^{-3} \\ T_e &= 5 \text{ keV} \\ R &= 2.96 \text{ m} \\ (H)D \text{ scheme} \end{aligned}$$

$$\left(\frac{J}{P_d}\right)_{\max} = 55, \quad \frac{I}{P} = 0.25 \text{ A/W} \quad (J_H \text{ only})$$

Electron trapping $J_E = J(1 - 1.46 A(Z_i) \epsilon^{1/2})$

$$\begin{aligned} A &= 1.7 \quad Z_i = 1 \\ &= 1.4 \quad Z_i = 2 \end{aligned}$$

$$\epsilon = r/R = 0.1 \quad (r = 0.3 \text{ m, JST})$$

$$Z_i = 1$$

$$I/P = 0.19 \text{ A/W}$$

Features not treated by simple theory

- Resonance broadening
- Minority ion trapping
- Directivity of travelling wave

Resonance broadening

$$\omega - \Omega_{cz}(R) = k_{\parallel} v_{\text{res}}$$

Spread in v_{res} due to $\Delta\Omega$ - flux surface averaging, Ω variation along B

$$\Delta k_{\parallel} \sim \frac{1}{W_{\text{beam}}} \quad (\text{small for phased array})$$

Antenna Array 8 housings, 2 antennae/housing

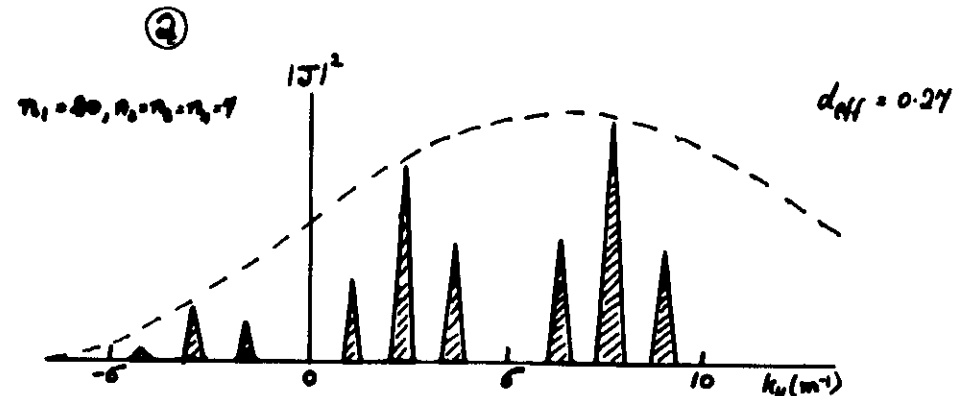
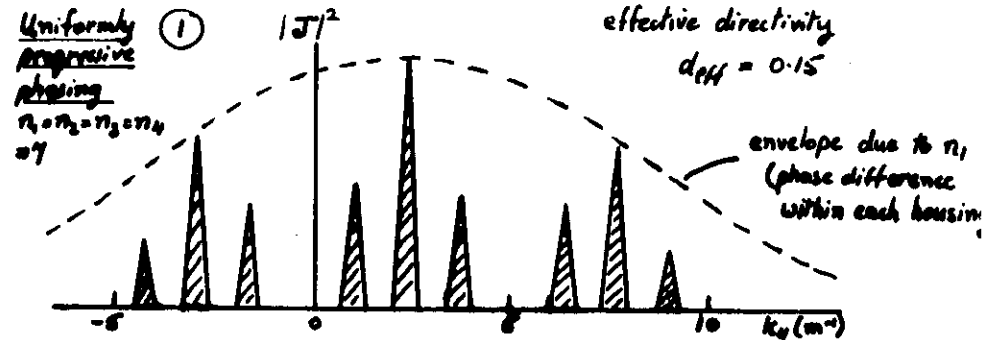


Current spectrum

$$|J(n)|^2 = \frac{I}{N} \cos^2 \frac{\pi}{84} (n-n_1) \cos^2 \frac{\pi}{16} (n-n_2) \cos^2 \frac{\pi}{4} (n-n_3) \cos^2 \frac{\pi}{2} (n-n_4)$$

$$\times \frac{\sin^2(nW/R)}{(nW/R)^2}$$

$n = k_{\parallel} R$, $2W = \text{antenna width}$



$$\left(\frac{J}{P}\right)_{\text{net}} = \sum_i d(k_{\parallel i}) \frac{J}{P}(k_{\parallel i}); \quad \left(\frac{J}{P}\right)_{\text{net}} (2) \approx 1.8 \left(\frac{J}{P}\right)_{\text{net}} (1) \quad \text{Fig 6}$$

H_{min} in J

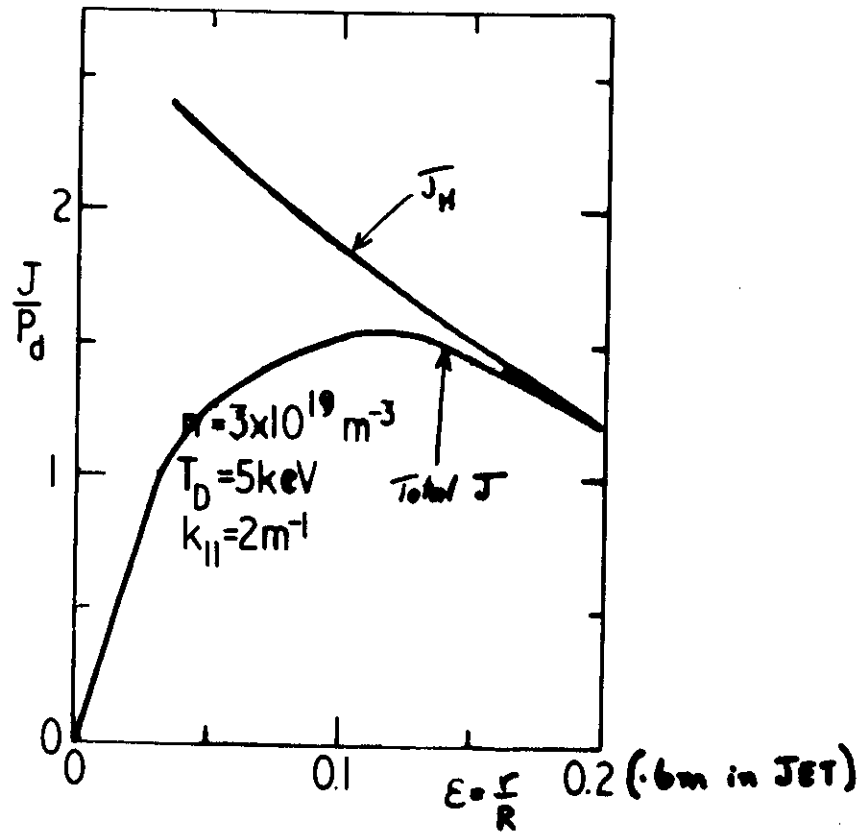


Fig 3

2% H^+ minority in D^+ plasma

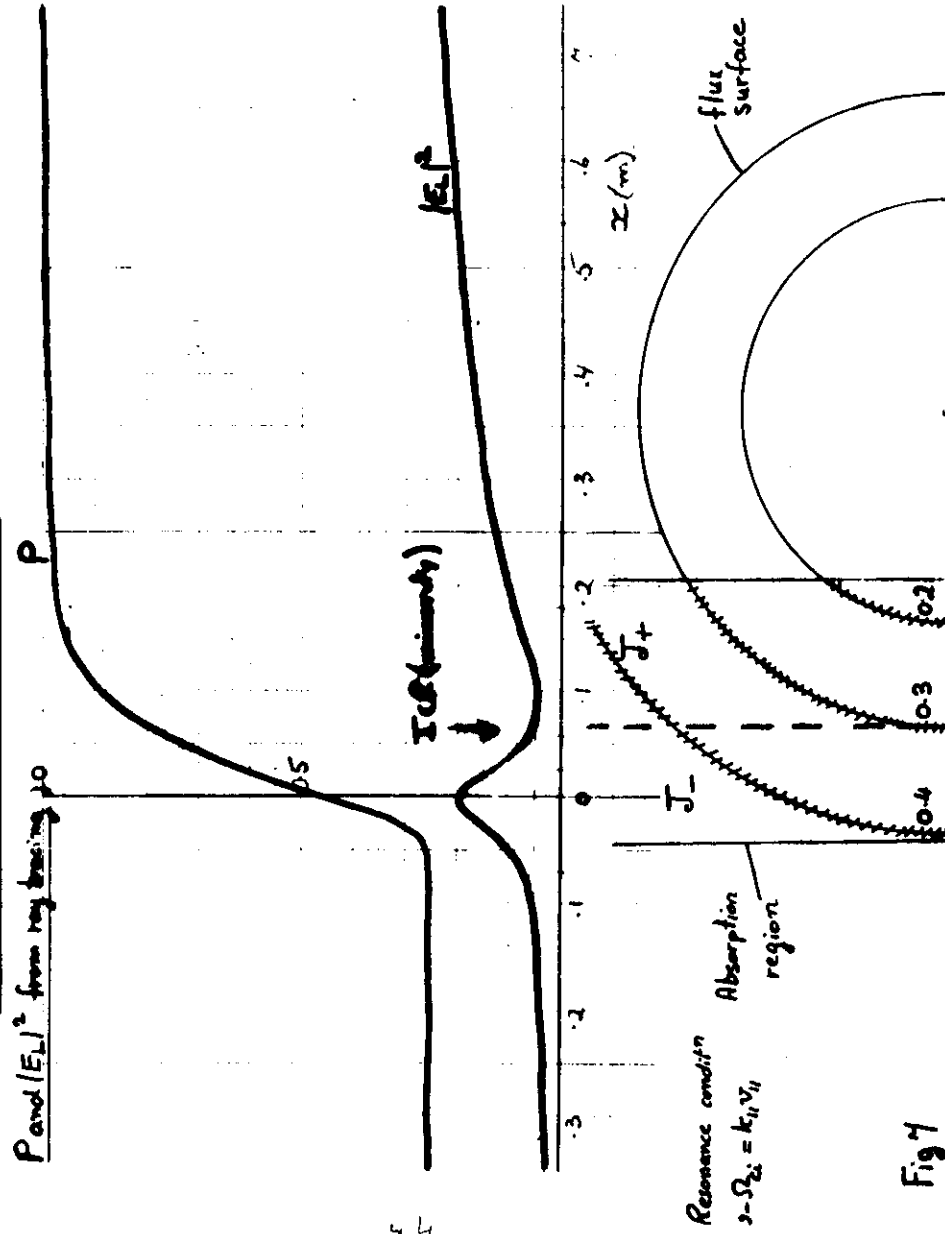


Fig 4

Minority Ion Current Drive

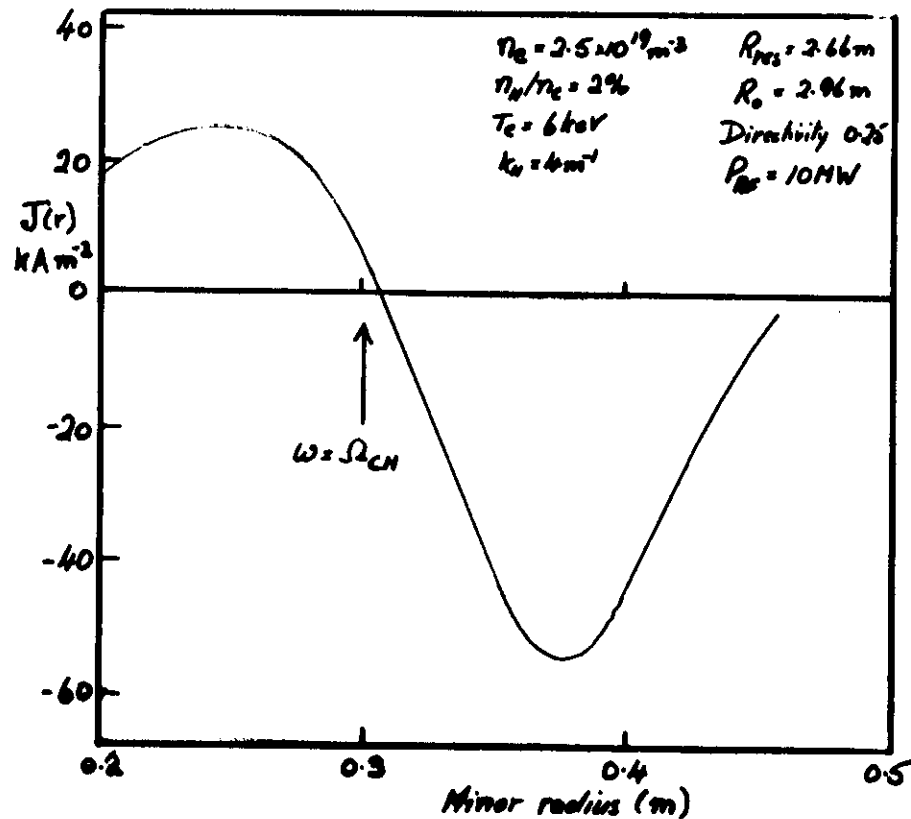


Fig 9 Current density profile produced by asymmetric minority heating current drive scheme

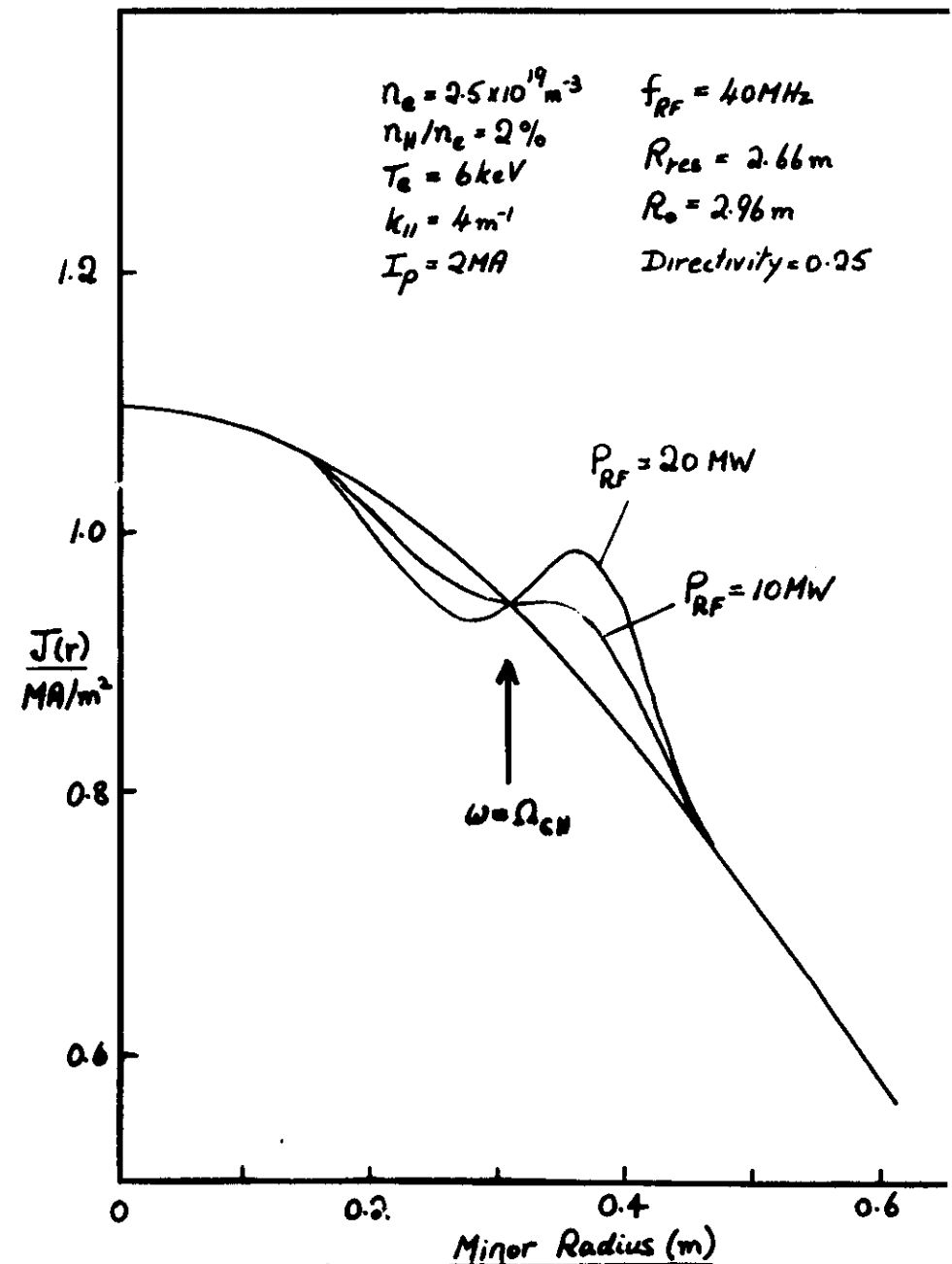


Fig 10 Effect of current drive