



INTERNATIONAL ATOMIC ENERGY AGENCY  
UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION



INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS  
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SMR/455 - 24

EXPERIMENTAL WORKSHOP ON HIGH TEMPERATURE  
SUPERCONDUCTORS & RELATED MATERIALS  
(BASIC ACTIVITIES)

12 - 30 MARCH 1990

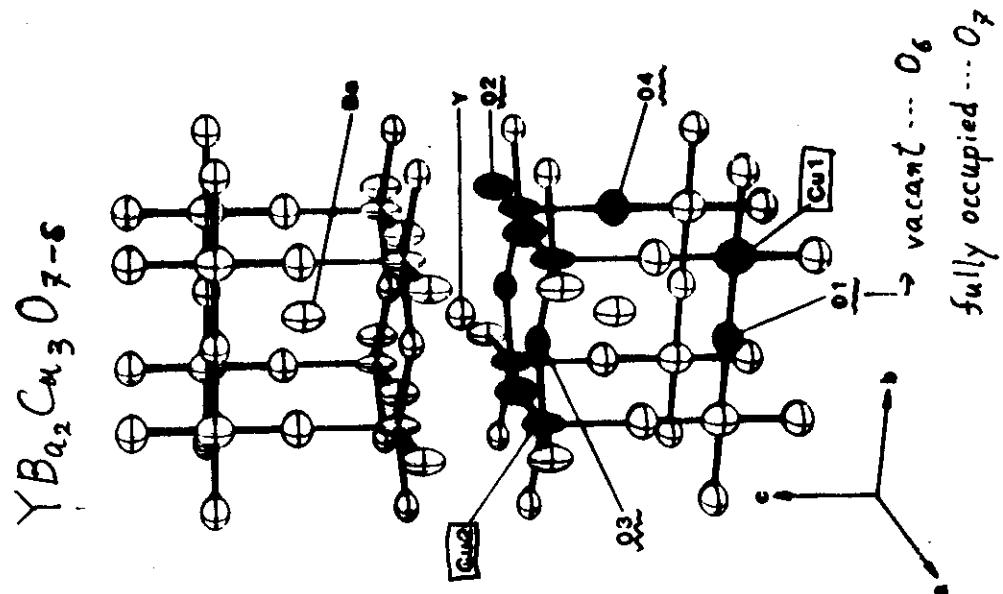
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MAGNETIC NUCLEAR RESONANCE

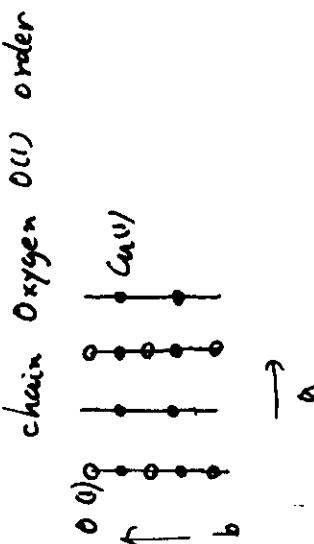
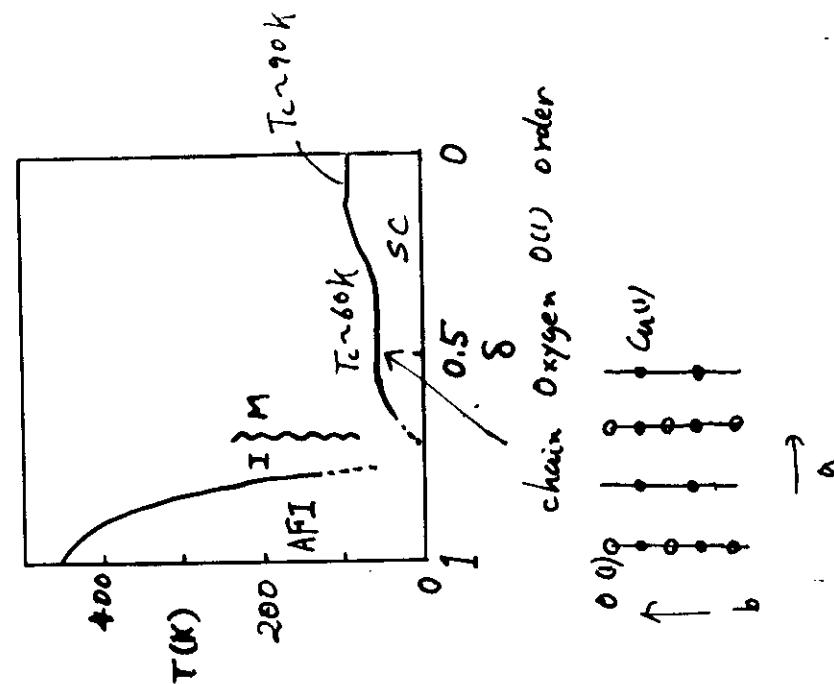
Part II

MASASHI TAKIGAWA

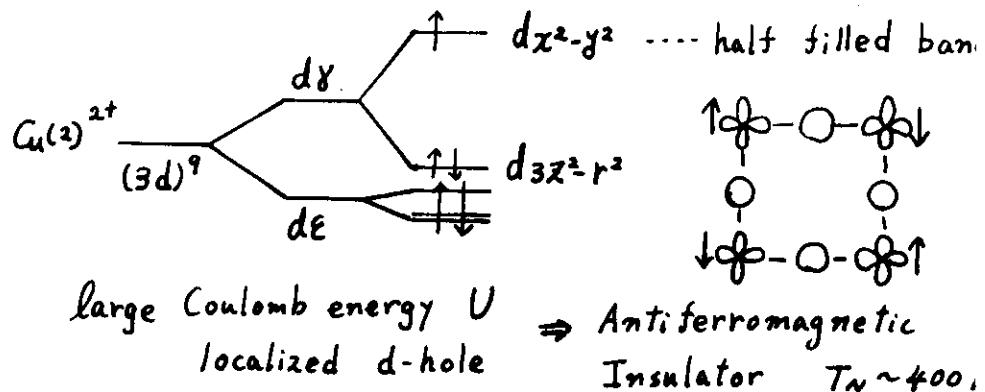
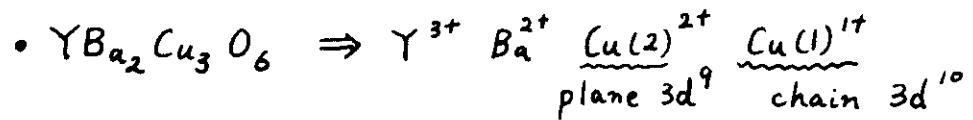
Los Alamos National Laboratory  
Group P-10, MS-K764  
New Mexico  
Los Alamos 87545  
U.S.A.



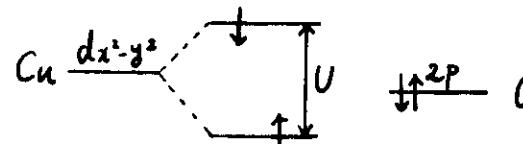
phase diagram:



### Electronic Structure



- upon doping  $0 \xrightarrow{\delta} 1$  ( $\text{Cu}(1) \rightarrow 2+$ )
- Holes are doped also in the plane.  $\cdots \text{Cu}(2) \text{O}_2^{(2-)}$  destroy antiferro. order  
metallic conduction  $\rightarrow$  superconductivity
- Doped hole states are mainly of  $O-2p$  charac



[ X-ray absorption  
electron energy loss  
spectroscopy (EEL)

3

magnetic susceptibility

S

4

[1] single or two component(s) spin in the CuO<sub>2</sub> planes?

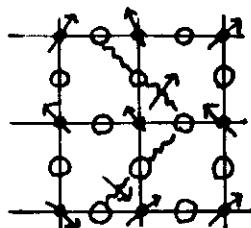
$$\chi_{\text{spin}} = \chi_{\text{Cu}} + \chi_{\text{hole}}$$

(Johnston: 2D localized Pauli-like)

$$\chi_{\text{spin}} = \chi_{\text{Cu}-\text{o}}$$

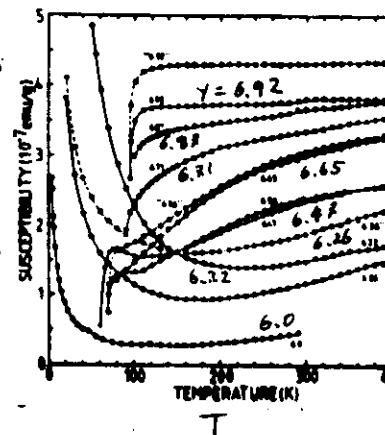
(ref 17)

a) two band picture (Aharony et al., Emery et al.)



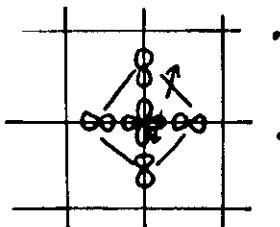
- 0 hole spins destroy Cu-Cu AF order. (frustration)
- $\uparrow - \downarrow - \uparrow - \downarrow -$   $J_{\text{Cu}-\text{o}} > 0$  ...  $p_n$   
(↑) ...  $p_o$
- Mobil holes (quasi particles)  
carry spin, moving in the Cu d-spin background

• two distinct spin degrees of freedom

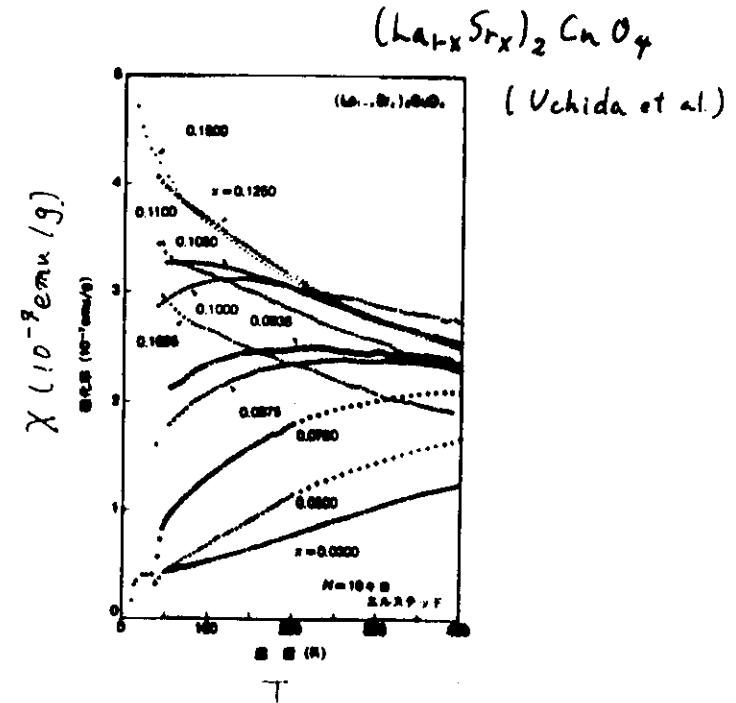


(Nakazawa and Ishikawa)  
(ref. 19)

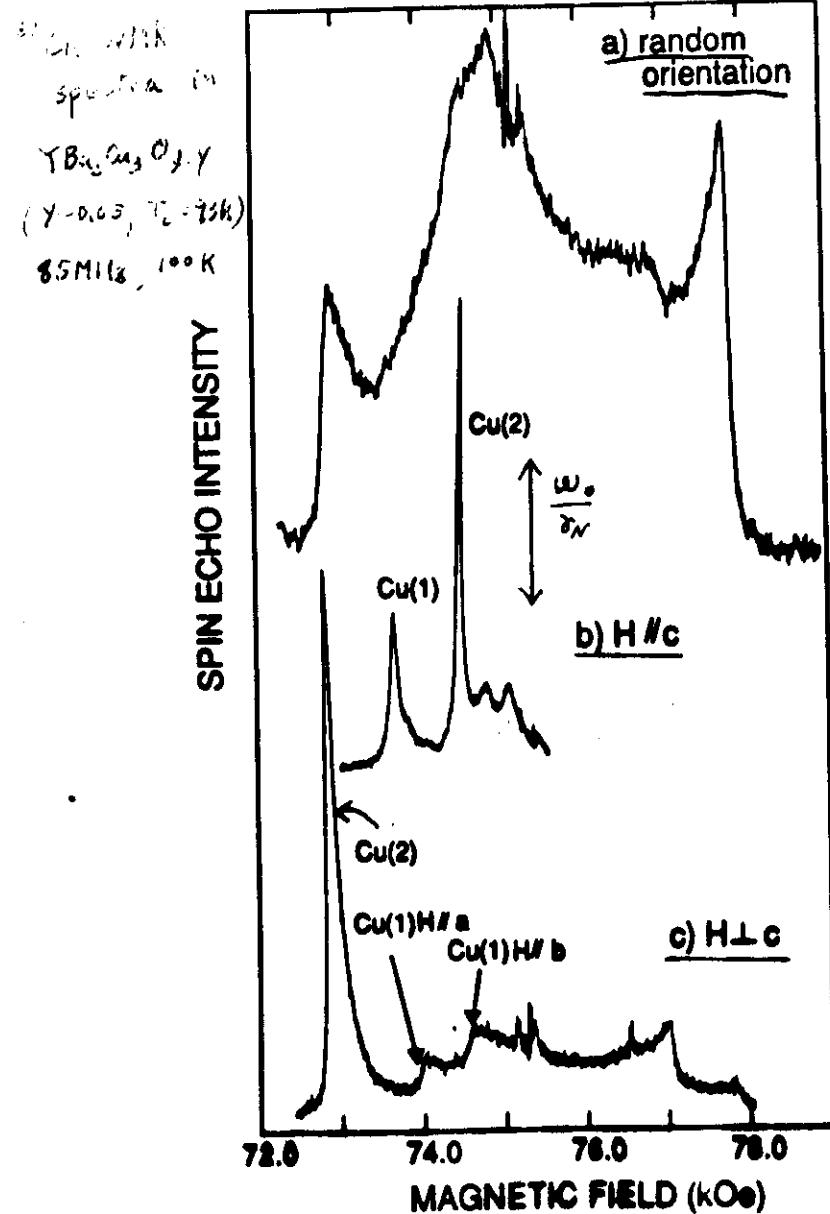
b) single band picture (Zhang and Rice, Sawatzky)  
local singlet formation (ref. 18)



- oxygen holes make local singlet with Cu spin.
- Mobil holes do not carry spin  
⇒ equivalent to single band model.  
(t-J model)



(Uchida et al.)



Takigawa, Hama

Hettler, Flük

Phys. Rev.

B 39, 360 ('89)

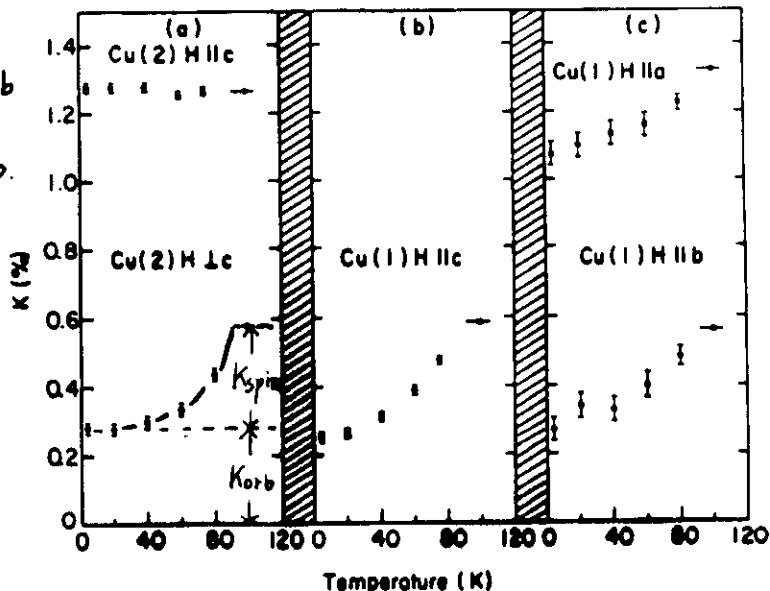
(Ref. 23)

6

Cu Knight shift in  $\text{YBCO}_7$  (Barrett et al, 90) (Ref. 23)

$$K = K_{\text{spin}} + K_{\text{orb}}$$

$\left\{ \begin{array}{l} K_{\text{orb}}: T\text{-indep.} \\ K_{\text{spin}}(T \rightarrow 0) = 0 \end{array} \right.$



|                   | $K(T > T_c)$ (%) | $K(4.2\text{K})_{\text{orb}}$ (%) | $K_{\text{spin}}$ (%) |
|-------------------|------------------|-----------------------------------|-----------------------|
| $\text{Cu}(1) c$  | 0.59             | $0.25 \pm 0.01$                   | $0.33 \pm 0.01$       |
| $\text{Cu}(1) a$  | 1.32             | $1.08 \pm 0.08$                   | $0.25 \pm 0.04$       |
| $\text{Cu}(1) b$  | 0.56             | $0.27 \pm 0.04$                   | $0.29 \pm 0.04$       |
| <hr/>             | <hr/>            | <hr/>                             | <hr/>                 |
| $\text{Cu}(2) c$  | 1.27             | $1.28 \pm 0.01$                   | $-0.01 \pm 0.01$      |
| $\text{Cu}(2) ab$ | 0.58             | $0.28 \pm 0.02$                   | $0.30 \pm 0.02$       |

Fig 1

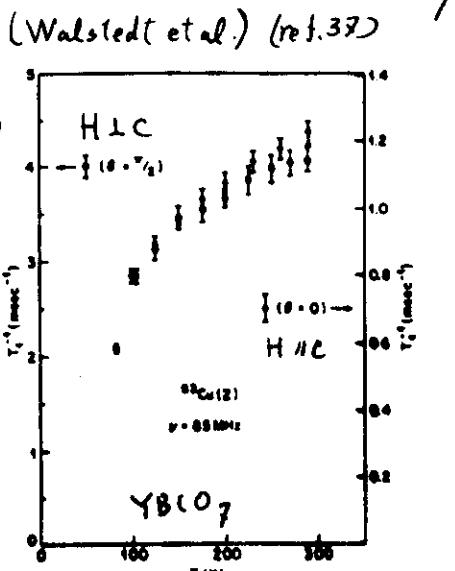
Anisotropy of  $1/T_1$

$$\frac{1/T_1}{H_{\text{Hz}}} \propto [(A_x)^2 + (A_y)^2] \sum_{\ell} \frac{\text{Im} \chi(\ell, \omega)}{\omega}$$

simple expectation

$$\frac{(1/T_1)_{ab}}{(1/T_1)_c} = \frac{\{K_S(c)\}^2 + \{K_S(a, b)\}^2}{2 \{K_S(a, b)\}^2} = \frac{1}{2}$$

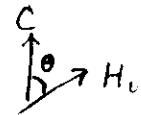
$$\text{experiment: } \frac{(1/T_1)_{ab}}{(1/T_1)_c} = 3.6 \quad (\text{at } 100 \text{ K})$$



Cu Knight shift

$$K_{\text{spin}}(\theta) = K_{\text{iso}} + K_{\text{ax}}(3 \cos^2 \theta - 1)$$

$$\begin{cases} K_{\text{iso}} = 0.23 \pm 0.02 \% \\ K_{\text{ax}} = -0.13 \pm 0.01 \% \end{cases}$$



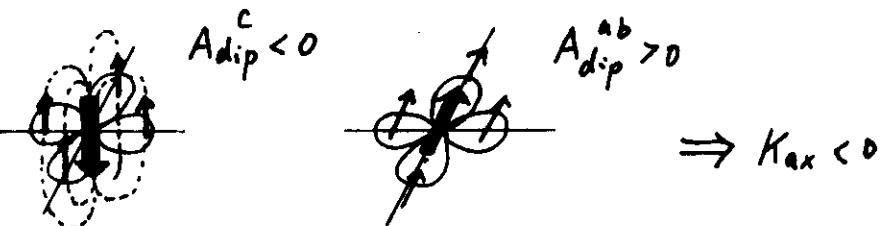
9

- negative  $K_{\text{ax}}$ : consistent with the hyperfine field from a spin in  $d_{x^2-y^2}$  orbital

$$\tilde{A}_d = A_{\text{cp}} + \tilde{A}_{\text{dip}}$$

$(A_{\text{cp}} < 0)$  modified by spin-orbit coupling

dipolar field



- positive  $K_{\text{iso}}$ : incompatible with  $\langle \tilde{A}_d \rangle = A_{\text{cp}} < 0$   
 $\Rightarrow$  transferred hyperfine field  
from neighboring Cu sites. (Mila, Rice)

$$K_{\text{ax}} = \frac{1}{3} (A_d^c - A_d^{ab}) \chi_d / N_A \mu_B$$

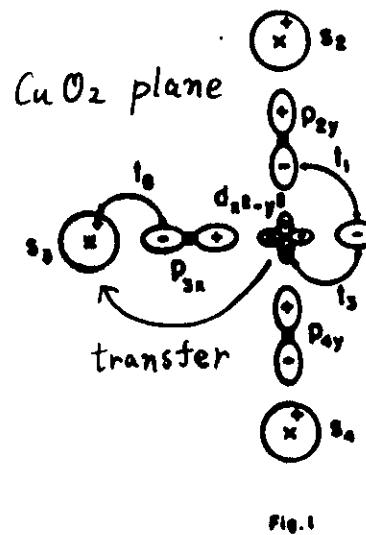
$$A_d^c - A_d^{ab} \approx 230 \text{ koe/}\mu_B : (\pm 20\% \text{ characteristic of Cu}^{3+} \text{ d-charge state})$$

$$\chi_d = 9.2 \times 10^{-5} \text{ emu/mole.Cu}$$

spin susceptibility due to planar Cu d-holes.

Positive Kiso

Mila and Rice (Physica L157, 561 ('89))



$$|\phi\rangle = \alpha_d |dx^2-y^2\rangle + \alpha_p |p\rangle + \alpha_s |s\rangle$$

$$\vec{H}_{ht}(i) = \underbrace{\vec{A}_d \cdot \vec{S}_i}_{\text{on site}} + \sum_j \underbrace{\vec{B} \cdot \vec{S}_j}_{\text{transfer}}$$

$$K_d = (A_d^a + 4B) \chi_d$$

it no pair spin correlations.

$$1/T_1)_d \propto \frac{1}{2} (A_d^{a2} + A_d^{b2}) + 4B^2 \quad (\text{add incoherently})$$

hyperfine parameters  $A_d^c = -170$ ,  $A_d^{ab} = 35$ ,  $B = +2$  kHz

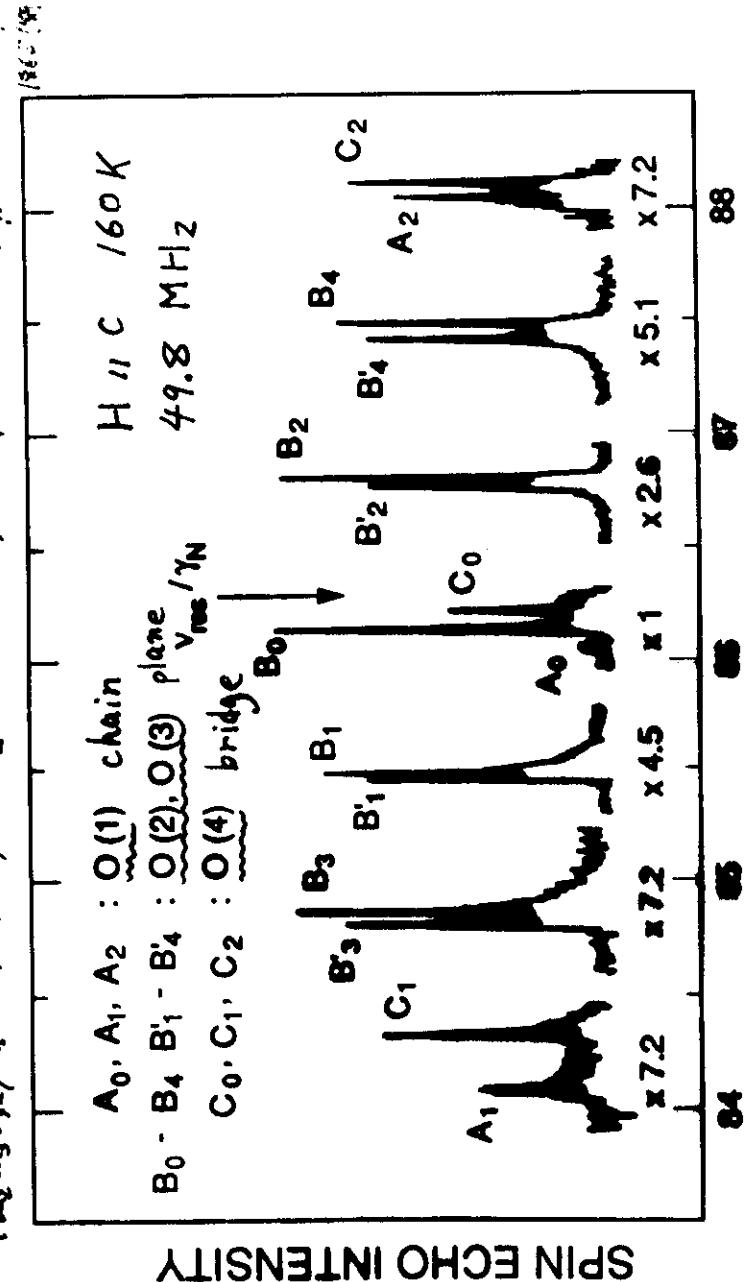
$$\Rightarrow K_c = 0$$

$$\frac{(1/T_1)_{ab}}{(1/T_1)_c} = 2.7$$

better agreement by considering  
the AF correlations

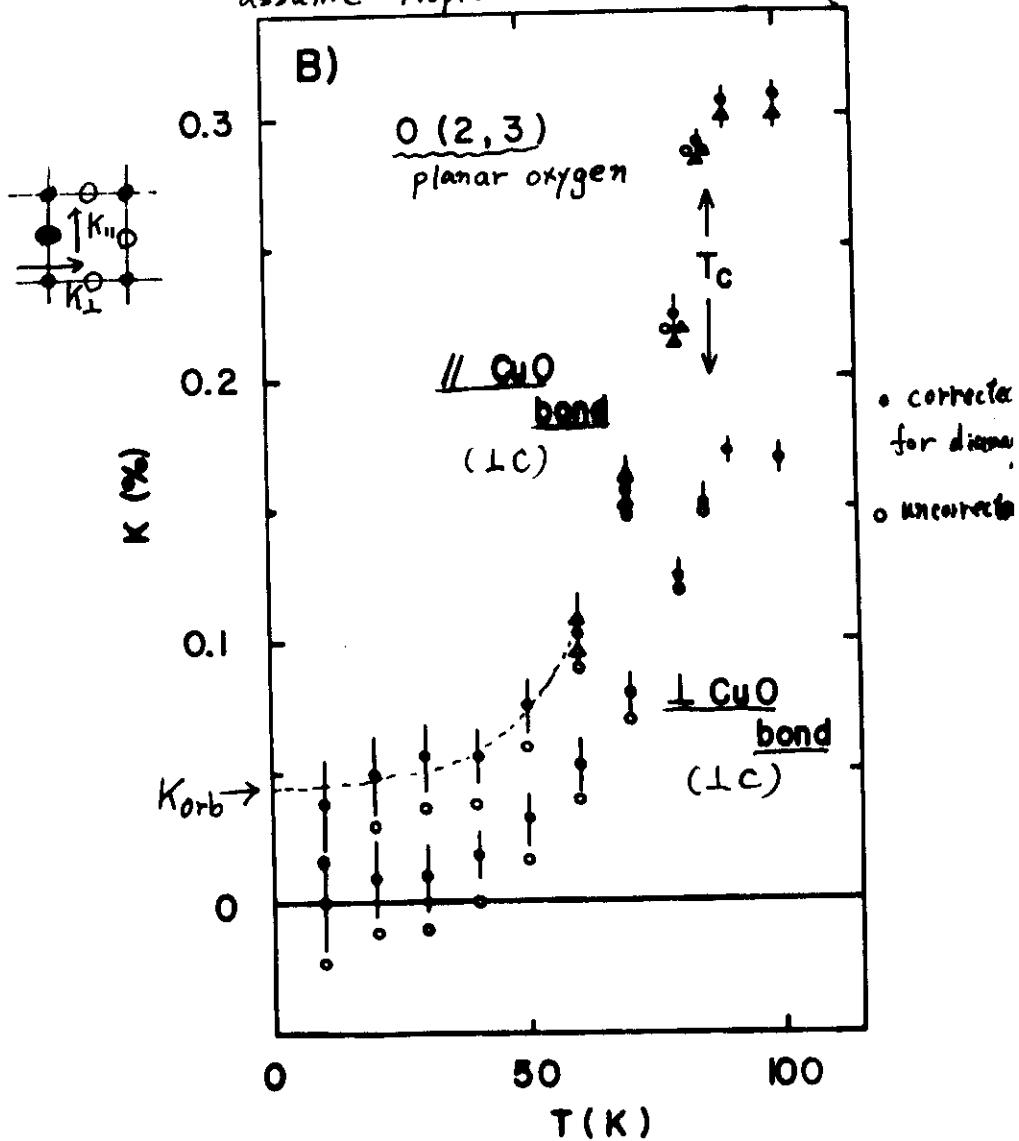
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<sup>13</sup>O NMR spectra in YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7-Y</sub> (Y=0.05) 160K, 49.8 MHz



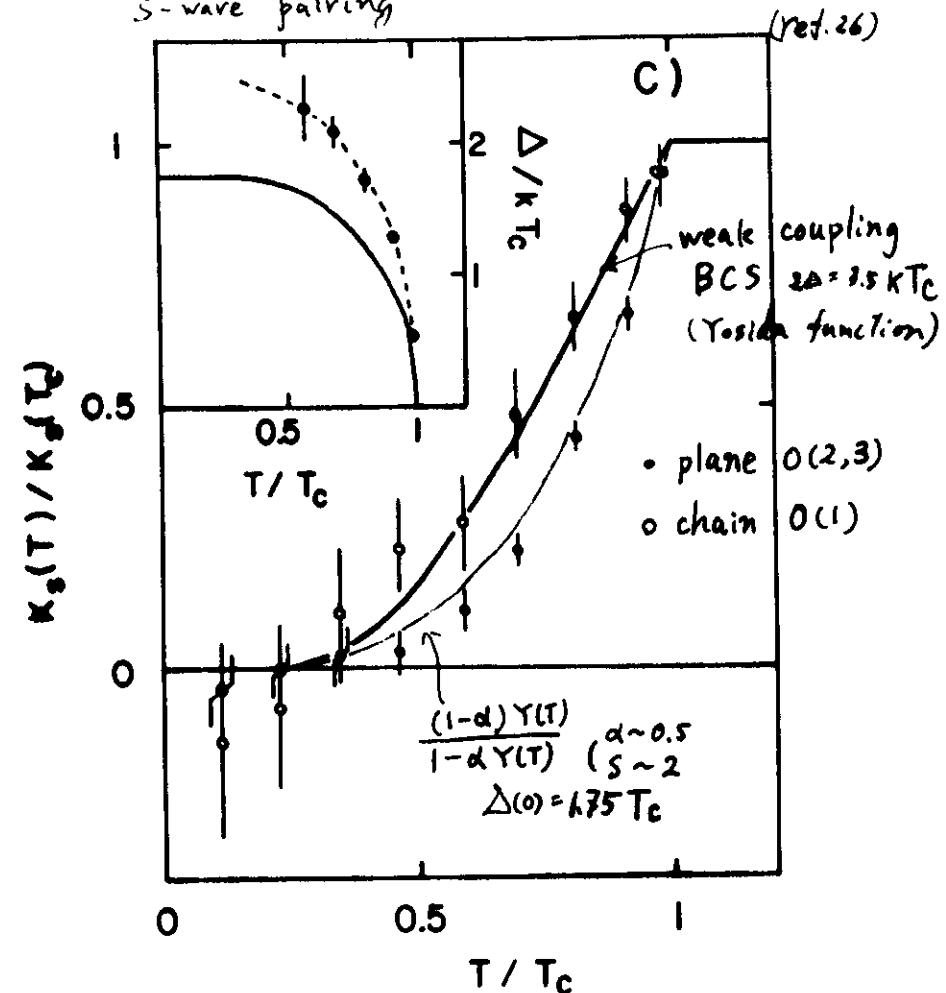
$$K = K_{\text{spin}} + K_{\text{orb}} = \alpha \chi_{\text{spin}} + \beta \chi_{\text{orb}}$$

assume  $K_{\text{spin}} \rightarrow 0$  as  $T \rightarrow 0$ . (ref. 26)



M. Takigawa  
Fig. 2B)

spin susceptibility in the superconducting state  
more rapid decrease of planar  $\chi_s$  than chain  $\chi_s$   
 $S$ -wave pairing



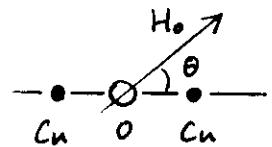
M. Takigawa  
Fig. 2C)  
reduced by 45°

Oxygen Knight shift ( $O(2,3)$  sites)

(red. 15)

13

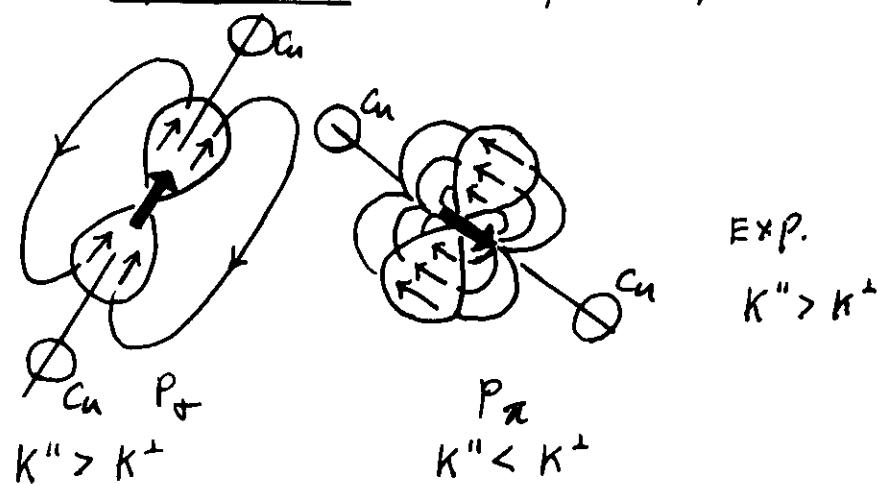
$$K_{\text{spin}}(\theta) = K_{\text{iso}} + K_{\text{ax}}(3\cos^2\theta - 1)$$



$$\left\{ \begin{array}{l} K_{\text{iso}} = 0.19 \pm 0.02 \% \\ K_{\text{ax}} = 0.033 \pm 0.003 \% \end{array} \right.$$

$K_{\text{iso}}$  : Fermi contact field from  $2s$  state spin.

$K_{\text{ax}}$  : dipolar field from a spin in  $2p$  state.



spin density resides on  $2p_\sigma$  orbitals.

Spin susceptibility from Oxygen  $2p$ -state.

$$\left[ \chi_p = \frac{K_{\text{ax}}}{A_p} N_A \mu_B = 2.1 \times 10^{-5} \text{ emu/mole O} \right. \\ \left. (A_p = 90 \text{ kOe}/\mu_B) \right]$$

$$- \chi_d = 9.2 \times 10^{-5} \text{ emu/mole. Cu}$$

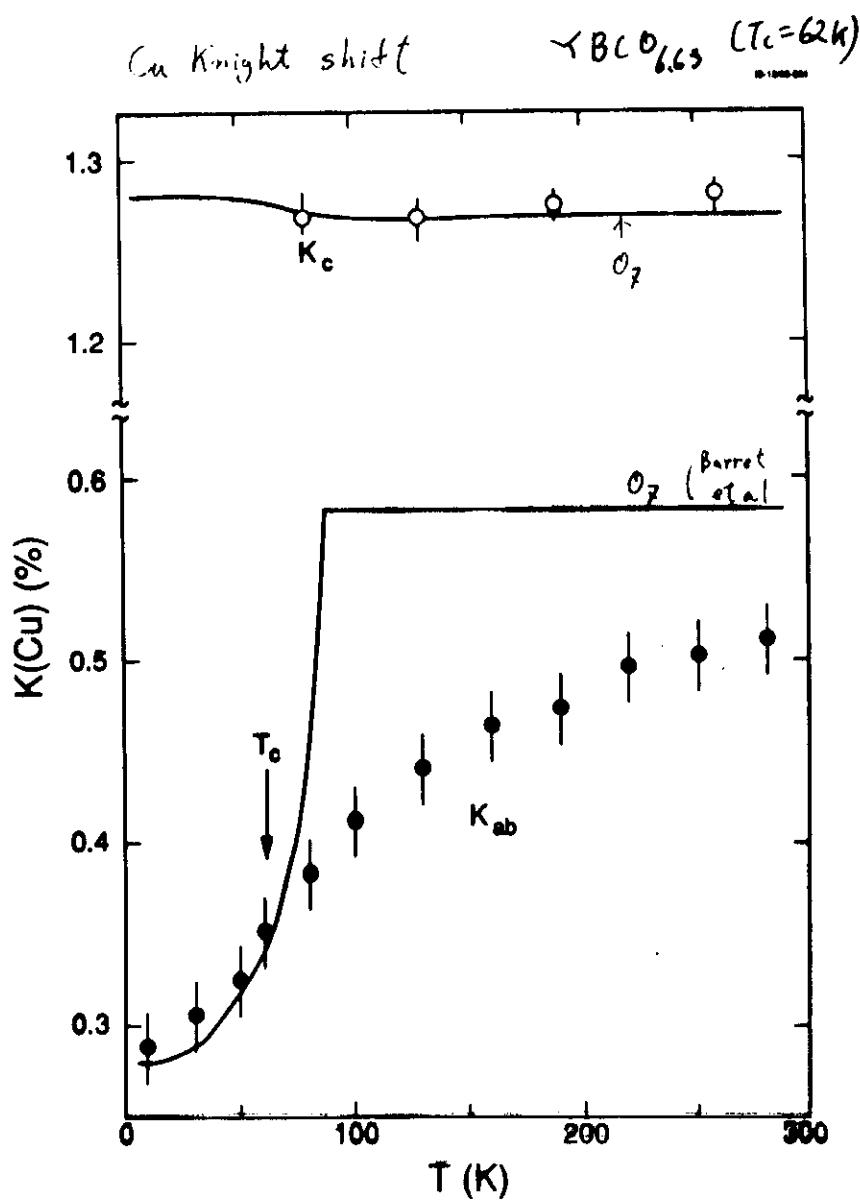
$$\boxed{\chi_{\text{Cu}} : \chi_o = \chi_d : 2\chi_p \approx 7 : 3}$$

- How much of  $\chi_p$  is due to mobile doped holes?

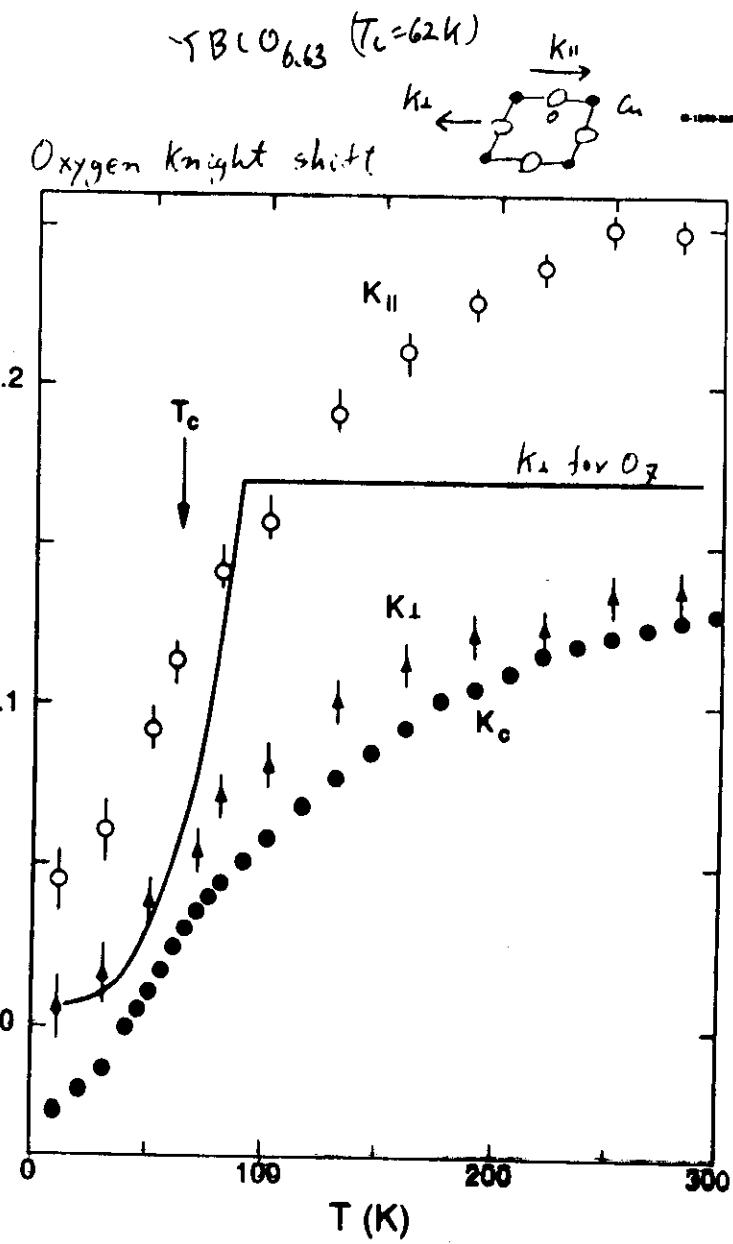
$$\chi_p = \underbrace{\chi_{\text{hole}}}_{\text{mobile holes}} + f_o \chi_{\text{Cu}}$$

*covacency effect.*  
A part of Cu-d spin density is transferred to neighboring O  $2p$  state.  
 $\chi_{\text{hole}} = 0$  in the single band picture.  
(local singlet)

15



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spin density  
on

$$\text{oxygen} \left\{ \begin{array}{l} {}^{17}K_c \\ {}^{17}K_{ax} = (K_{||} - K_{\perp}) / 3 \\ {}^{17}K_{iso} = (K_{||} + K_{\perp} + K_c) / 3 \end{array} \right. \Rightarrow O - 2p_{\sigma}$$

$$\text{Copper } {}^{63}K_{ab} \Rightarrow Cu - 3d$$

All Knight shift components show the same T-dependence.

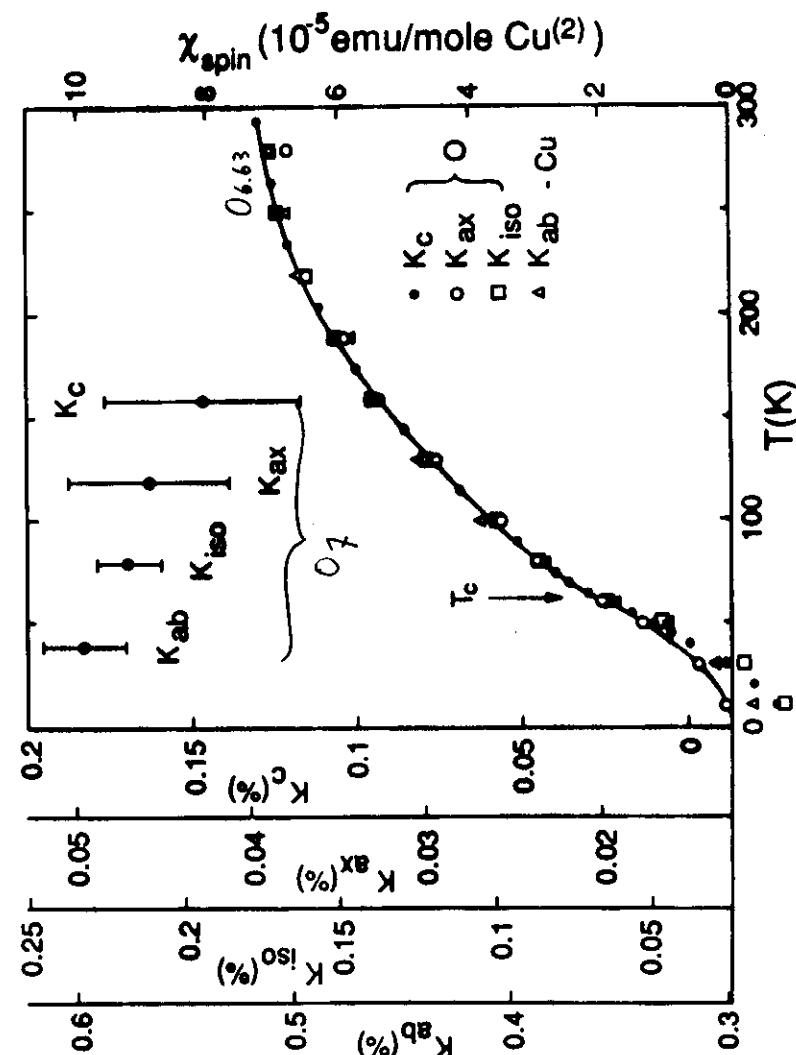
$$K_i(T) = A_i \chi_{\text{spin}}(T) + K_i(T=0)$$

orbital

$A_i, K_i(T=0)$  : independent of  $y$

- single spin component in the  $CuO_2$  planes  
 $\Rightarrow$  unique spin susceptibility.  $\chi_{\text{spin}}(T)$
- $y$ -independence of  $A_i$  (Allons' Y knight shift)  
supports Zhang-Rice singlet ?

$\frac{\chi_p}{\chi_d} \dots$  independent of  $T$  and  $y$ .



Significant reduction of  $\chi_{\text{spin}}$  with decreasing temperature.

speculations.

- T-dependent density of state  $N(E_F)$   
(pseudo-gap in  $N(E)$  due to short range AF correlations, (Kampt, Schrieffer))
- preformed singlet pair (Warren et al.)
- spin-gap : a general property of a disordered quantum spin system.

$$\chi_{\text{spin}} \rightarrow 0 \text{ as } T \rightarrow 0$$

similar behavior

- $(\text{La Sr})_2 \text{Cu}_3 \text{O}_6$  (Kitaoaka et al)  $K(0)$
- $\text{La}_2 \text{Cu}_3 \text{O}_{6+\delta}$  (Hammel et al)  $K(\text{Cu})$
- $(T \text{Ph}) \text{Ba}_2 \text{Cu}_3 \text{O}_7$  (Reyes et al)  $K(\text{Cu})$

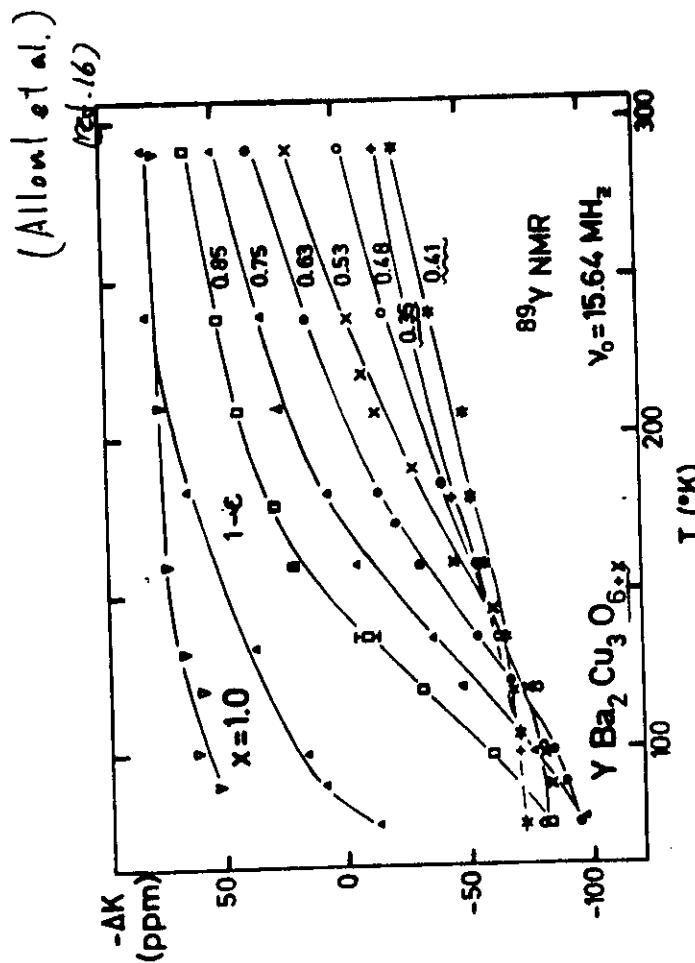
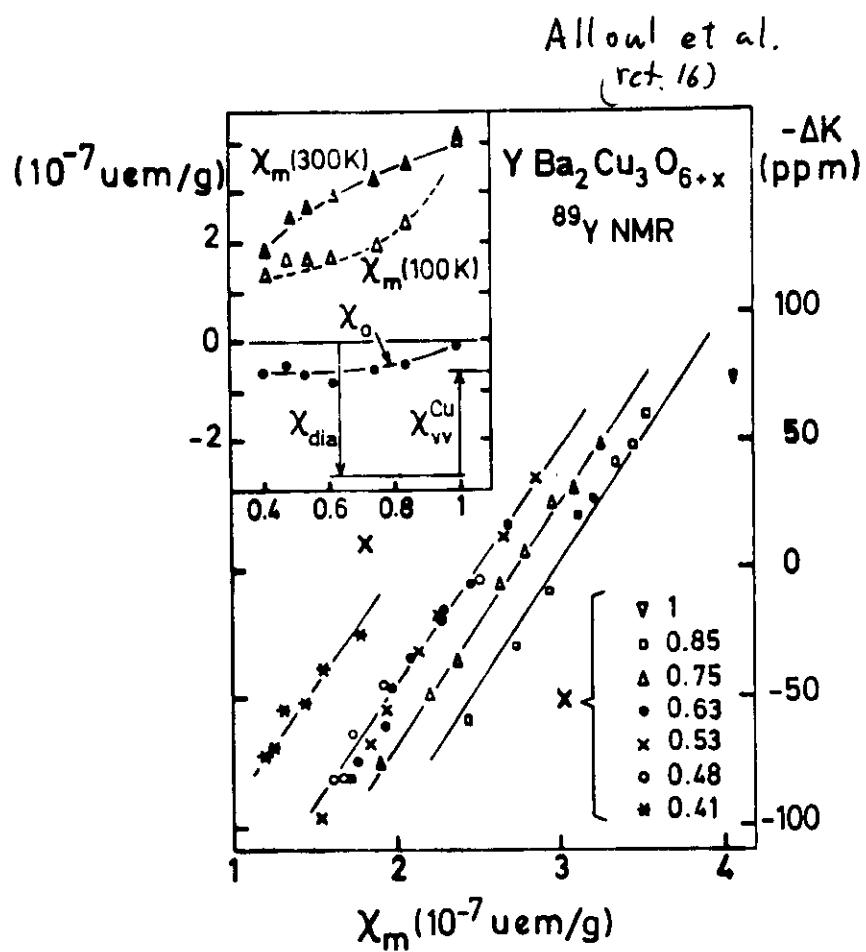


Fig. 1



$\frac{\chi_p}{\chi_d}$  : constant from  $O_{6.4}$  to  $O_{7.0}$

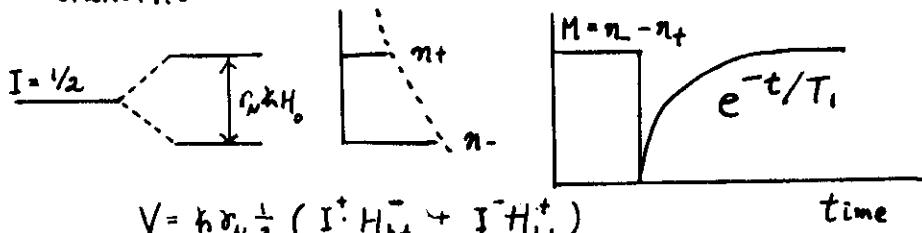
$\tilde{\omega}_g$  :

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## [2] spin dynamics

nuclear spin relaxation: caused by fluctuations of  $\vec{H}_A$

transition between Zeeman levels



$$V = \hbar \sigma_N \frac{1}{2} (I^+ H_{hf}^- + I^- H_{hf}^+)$$

$$\begin{aligned} 1/T_1 &\propto |H_{hf}^+(w_0)|^2 \quad (\vec{H}_{hf} = A \vec{S}_i) \\ &\propto A^2 \int_{-\infty}^{\infty} \langle S_i^+(t) S_i^-(0) \rangle e^{i w_0 t} dt \end{aligned}$$

generally.

$$\frac{1}{T_1} = \frac{\sigma_N^2 k_B T}{2 \mu_B^2} \sum_i |A_g|^2 \frac{\text{Im } \chi(g, \omega)}{\omega_0}$$

$$A_g = \sum_i A_i e^{i \vec{g} \cdot \vec{r}_i}$$

$g$ -dependent hyperfine coupling (form factor)

$$\vec{H}_{hf} = \sum_i A_i \vec{S}_i = \sum_i A_g \vec{S}_g$$

$$\langle H_{hf}^+(t) H_{hf}^-(0) \rangle = \sum_i |A_g|^2 \langle S_g^+(t) S_g^-(0) \rangle$$

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- Lorentzian spin fluctuation spectrum

$$\frac{\text{Im } \chi(q, \omega)}{\omega} = \pi \frac{\chi_q F_q}{\omega^2 + F_q^2} \xrightarrow{\omega \rightarrow 0} \pi \frac{\chi_q}{F_q}$$

- Fermi liquid without strong magnetic correlation.

$$\chi_q/\mu_B^2 \sim 1/kFq \sim S(E_F)$$

$$\sum_q \text{Im } \chi(q, \omega_0)/\omega_0 \sim \pi k \mu_B^2 S(E_F)^2 = \pi k \chi_s^2 / \mu_B^2$$

↑  
uniform ( $q=0$ ) spin susceptibility

for non-interacting electron:

$$\sum_q \text{Im } \chi(q, \omega_0)/\omega_0 = \pi k \chi_s^2 / (2 \mu_B^2) \quad (\text{exact})$$

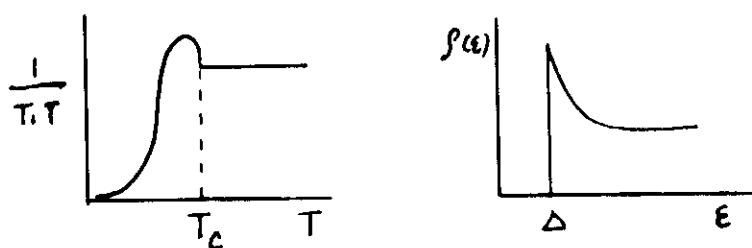
- If  $A_q$  is local (i.e.  $q$ -independent) and isotropic,

$$\frac{1}{T_f \cdot T \cdot K^2} = \frac{\pi k n_N^2 \hbar e}{\mu_B^2} \equiv S \quad (\text{Korringa relation})$$

universal constant.

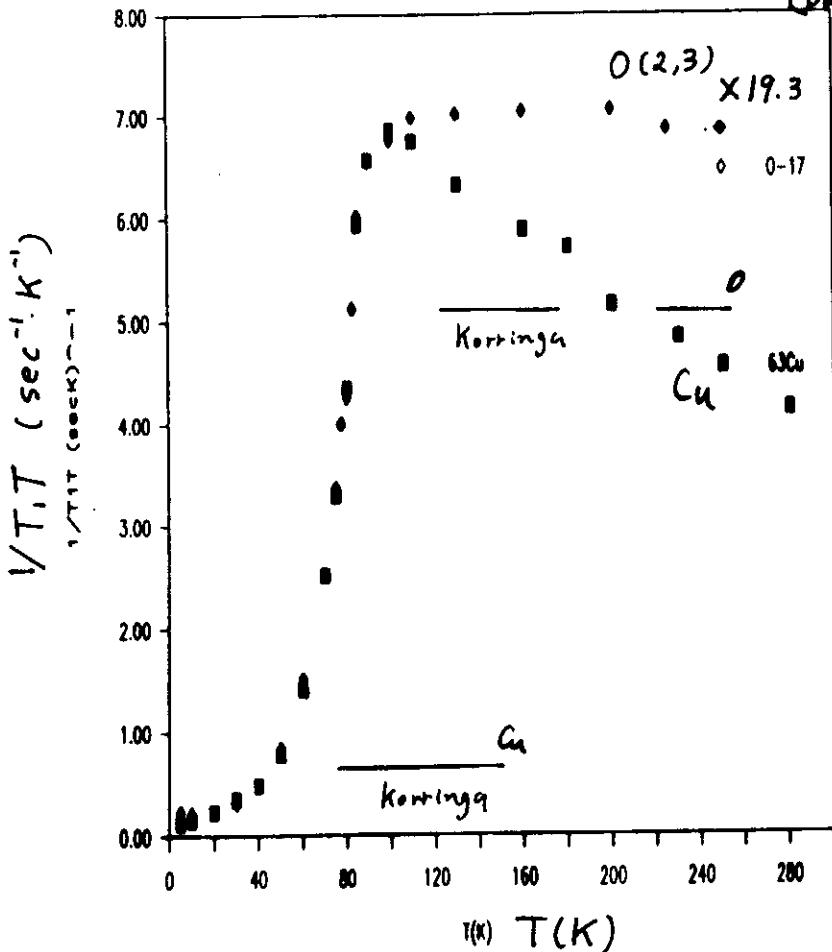
- superconducting state

BCS (Hebel-Slichter) peak



$\gamma_{BCO_{7.2}}$  (ref. 35)  
 $\gamma \approx 0.05$  ( $T_c = 92$  K)  
 $63\text{Cu}$  &  $170$   $1/T_1 T$  vs Temperature  
 YBCO-6.91

Cu  $1/T_1 T$   
 Warren et al.  
 Kitaoka et al.  
 Imai et al.  
 Bartol et al.



Absence of Hebel-Slichter peak  
(coherence)

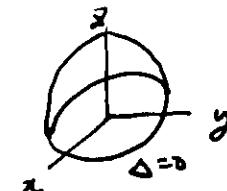
25

89073614 2 AND

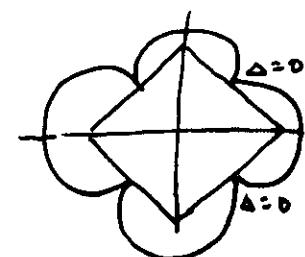
possibilities

1) anisotropic superconducting gap

$$\begin{aligned} 3\text{-dim. } \Delta &= \Delta(\vec{k}) \\ &= \Delta_0 \cos \theta \end{aligned}$$



$$\begin{aligned} 2\text{-dim. } \Delta(\vec{k}) &= \Delta_0 (\cos \alpha_k - \cos \beta_k) \end{aligned}$$



polar state.

d-wave pairing

quasi-particle density of state

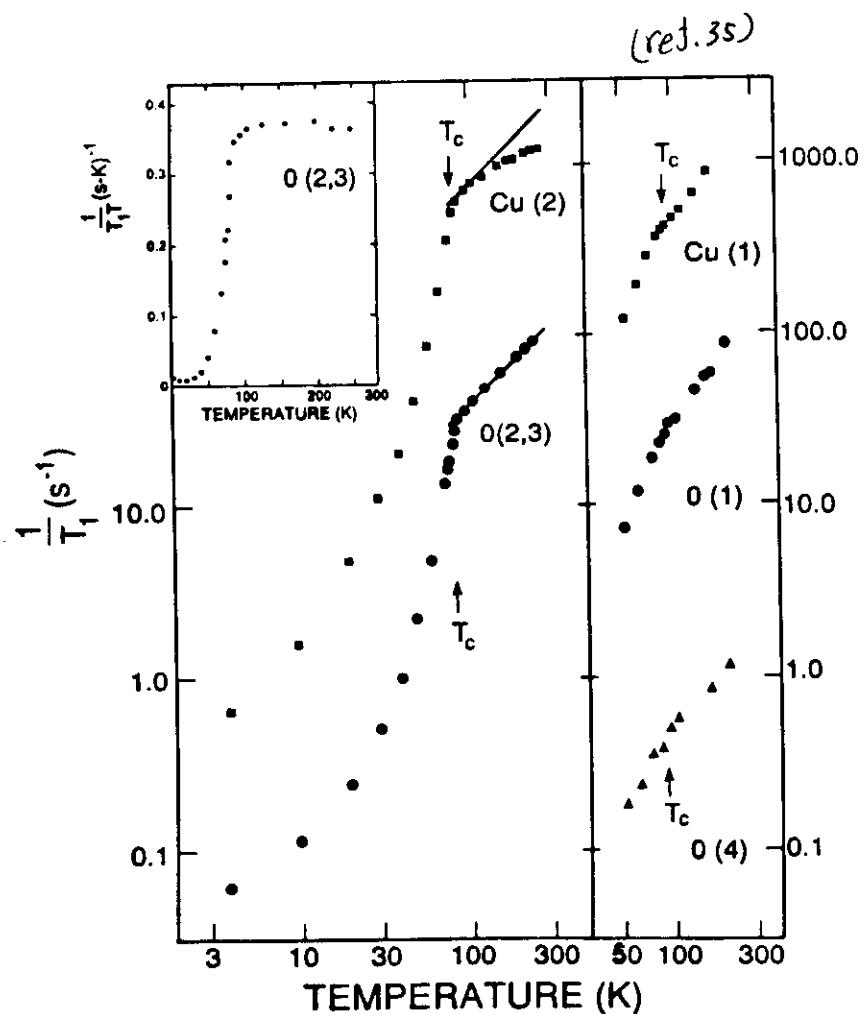
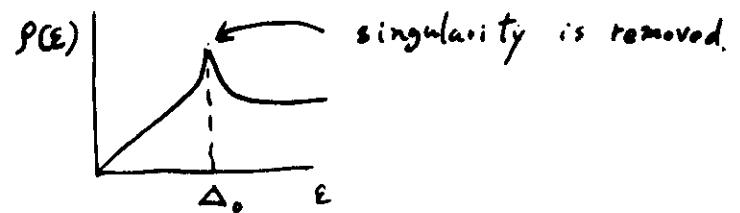


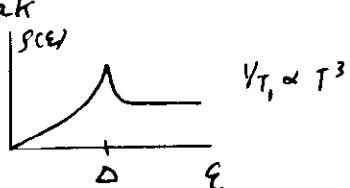
Fig. 2

Absence of Hebel-Slichter peak

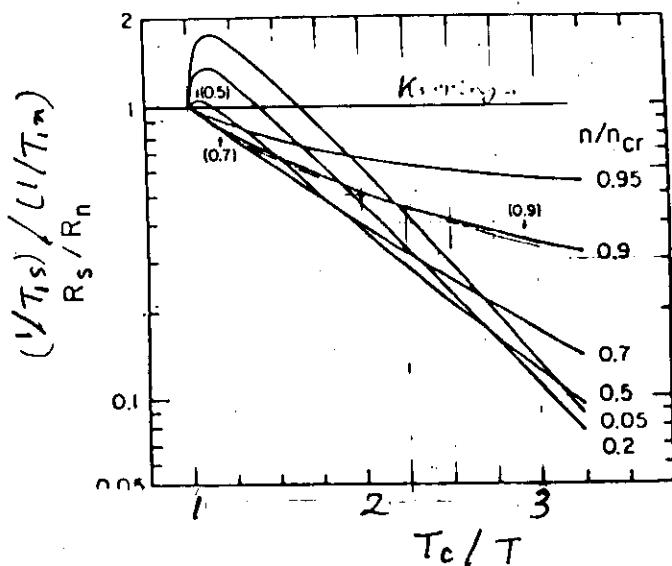
1) anisotropic (d-wave) pairing



(penetration depth, photoemission etc.)



2) pair breaking (magnetic impurity)



(Griffith and Ambegaokar '64)

$$\Delta = -J \vec{G} \cdot \vec{S}$$

↑↑

impurity spin

break time reversal symmetry

T-dependent pair breaking (by spin fluctuations)

(inelastic magnetic scattering, spin fluctuations)

significant pair breaking effect only near  $T_c$

(L. Coffey, Kuroda and Varma)

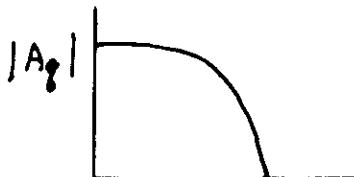
Enhancement of the Korringa product.

$$\frac{1}{T_c T k^2 S} \sim 11 \stackrel{\text{Cu}}{(15)} \left\{ \begin{array}{l} \\ \end{array} \right\} 1.4 \stackrel{\text{O}}{(2.5)}$$

(Millis, Monien, Pines)

- large enhancement at Cu  $\Rightarrow \chi(q)$  has a peak at  $Q_0 \neq 0$

- oxygen relaxation

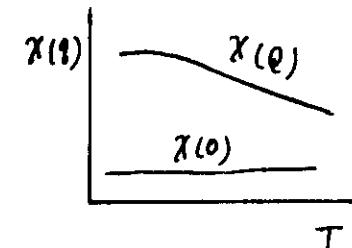


$$Q(\pi, \pi) \quad \vec{g}$$

small enhancement at O

$$\Downarrow$$

$\chi(q)$  must have a peak at  $Q = (\pi, \pi)$



$$T$$

- Identical T-dependence of  $\frac{1}{T_c T}$  at Cu and O below  $T_c$ .

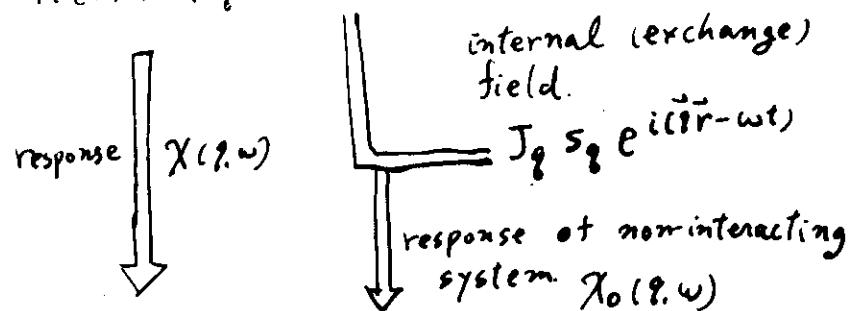


$\chi_0$  becomes T-independent below  $T_c$   
AF correlations persist below  $T_c$

# Random Phase Approximation (RPA)

(Dynamical Hartree-Fock method)

external field.  $H(\vec{r}, t) = H_g e^{i(\vec{q}\cdot\vec{r} - \omega t)}$



magnetization  $S(\vec{r}, t) = S_g e^{i(\vec{q}\cdot\vec{r} - \omega t)}$

$$\left[ \begin{array}{l} S_g = X_0(q, \omega) (H_g + J_q S_g) \\ X(q, \omega) = S_g / H_g \end{array} \right] \Rightarrow \frac{1}{X_0(q, \omega)} = \frac{1}{X(q, \omega)} + J_q$$

$$X(q, \omega) = \frac{X_0(q, \omega)}{1 - J_q X_0(q, \omega)}$$

Hubbard model

$$H = \sum_{\mathbf{k}} E_{\mathbf{k}} a_{\mathbf{k}\sigma}^{\dagger} a_{\mathbf{k}\sigma} + \sum_i U n_{i\uparrow} n_{i\downarrow}$$

$$\frac{1}{2} N U - \frac{1}{2} \frac{U}{N} \sum_{\mathbf{q}} (S_{\mathbf{q}}^{\dagger} S_{-\mathbf{q}} + S_{-\mathbf{q}}^{\dagger} S_{\mathbf{q}})$$

$$S_{\mathbf{q}}^{\dagger} = \sum_{\mathbf{k}} a_{\mathbf{k}\uparrow}^{\dagger} a_{\mathbf{k+q}\downarrow}$$

Effect of magnetic correlations. (Ref. 14)

RPA (Moriya '63)

$$X(q, \omega) = \frac{X_0(q, \omega)}{1 - J X_0(q, \omega)}$$

$$\frac{1}{T_1 T} \propto \sum_q \frac{\text{Im } X(q, \omega_0)}{\omega_0} = \sum_q \frac{\text{Im } X(q, \omega_0)/\omega_0}{(1 - J X_0(q))^2} = \sum_q \left( \frac{X(q)}{X_0(q)} \right)^2 \frac{\text{Im } X(q)}{\omega_0}$$

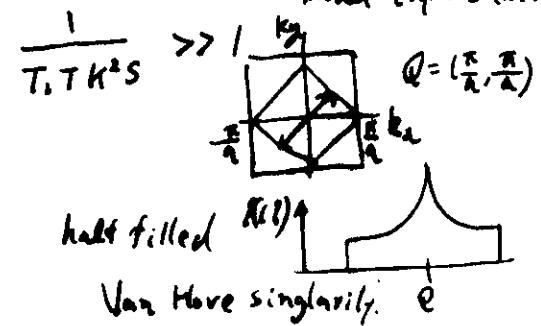
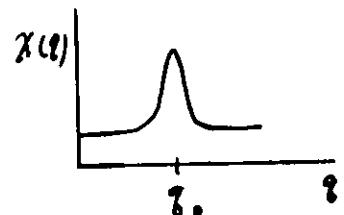
$$K \propto X_s = \left( \frac{X_s}{X_0(0)} \right) X_0(0)$$

$$\Rightarrow \frac{1}{T_1 T k^2 S} = \frac{\langle (X(q)/X_0(q))^2 \rangle}{(X_s/X_0(0))^2}$$

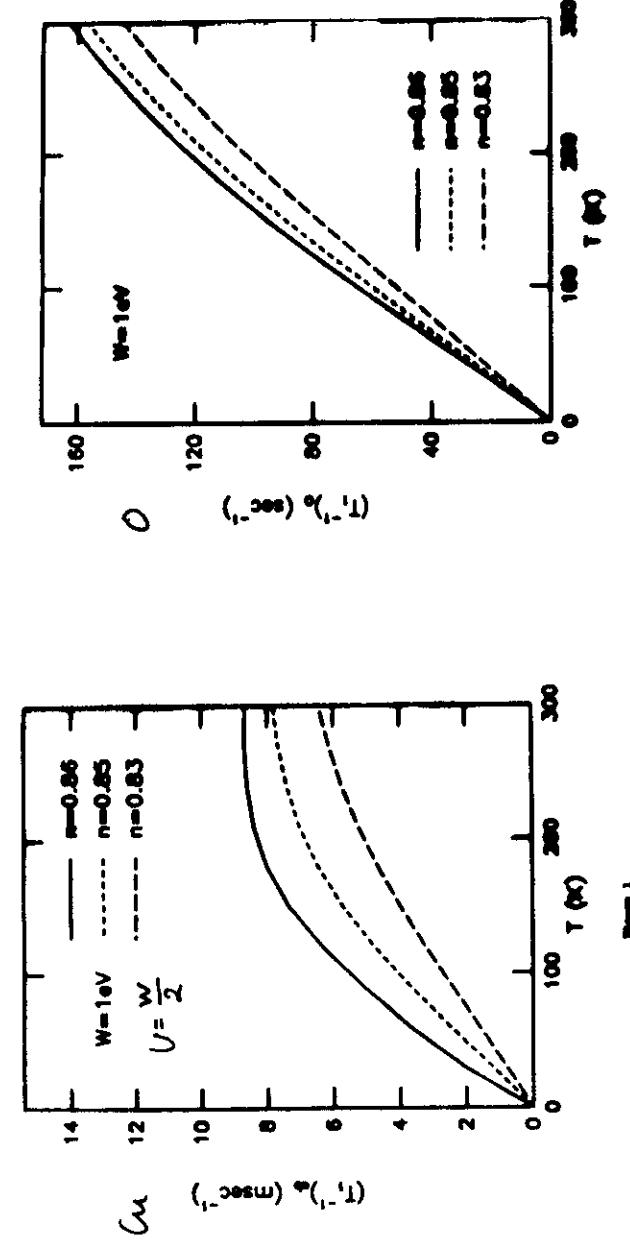
nearly ferromagnetic



nearly antiferromagnetic



Bulut, Horne, Scalapino, Sictars  
RPA single band Hubbard model  
(tight binding) (ref. 25)

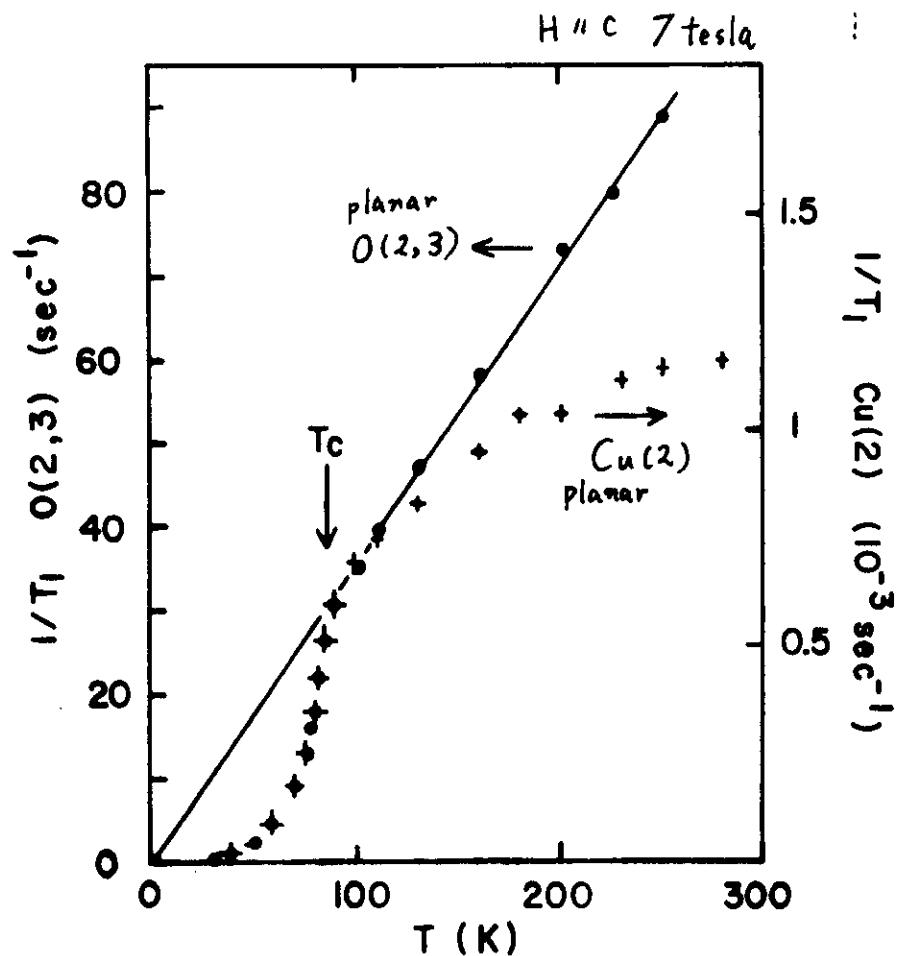


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$\text{Cu}(2)$  data : same as NQR  $1/T_1$  by  $\left\{ \begin{array}{l} \text{Warren et.al} \\ \text{Kitacka et.al} \\ \text{Imai et.al} \end{array} \right.$



$\gamma$  similar to 0

(Market et al.)  
(Alloul et al.)

M. Takigawa

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Phenomenology (Millis, Monien and Pines) (ref. 24)

$$\text{RPA expression } \chi(q, \omega) = \frac{\chi_0(q, \omega)}{1 - J\chi_0(q, \omega)}$$

$$\chi''_{\text{AF}}(q, \omega) = \frac{\chi''(q, \omega)}{\{(1 - J\chi'_0(q, \omega))^2 + J\chi''_0(q, \omega)\}^2} \quad \chi''_0(q, \omega) = \pi \frac{\omega}{P} \chi_0$$

$$J\chi'_0(q, \omega=0) = F_q$$

strong AF correlation  $\Rightarrow 1 - F_q \ll 1 \quad Q = (\pi/2, \pi/2)$

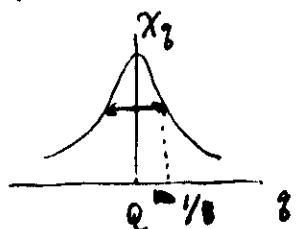
expansion around  $Q$

$$F_q = F_Q - g^2 \xi_0^2$$

static susceptibility

$$\begin{aligned} \chi'(q, \omega=0) &= \chi_q = \frac{\chi_0(q)}{1 - F_q} \simeq \frac{\chi_0(Q)}{1 - F_q} = \frac{\chi_0(Q)}{1 - F_Q} \frac{1 - F_q}{1 - F_Q} \\ &= \chi_0 \frac{1 - F_q}{1 - F_Q + g^2 \xi_0^2} = \chi_0 \frac{1}{1 + g^2 \xi_0^2 / (1 - F_Q)} \end{aligned}$$

definition of AF correlation length



$$\chi_q = \frac{\chi_0}{1 + g^2 \xi^2} \Rightarrow 1 - F_q = (\xi/\xi_0)^2$$

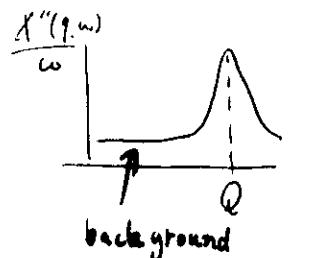
dynamical susceptibility.

$$\chi''_{\text{AF}}(q, \omega) = \frac{\pi \frac{\omega}{P} \chi_0}{(1 - F_q)^2 + \pi^2 \omega^2 J^2 \chi_0^2 / P} \quad (J\chi_0)^2 \sim 1$$

$$\begin{aligned} (1 - F_q)^2 &= 1 - F_Q + F_Q - F_q \\ &= (\xi_0/3)^2 + g^2 \xi_0^2 \\ &= (\xi_0/3)^2 (1 + g^2 \xi_0^2) \end{aligned}$$

$$\chi''_{\text{AF}}(q, \omega) = \frac{\pi \omega \chi_0}{P} \frac{(\xi_0/3)^2}{(1 + g^2 \xi_0^2)^2 + \frac{\pi^2 \omega^2}{P^2} \left(\frac{\chi_0}{P}\right)^2}$$

$$\omega \rightarrow 0 \quad \frac{\chi''(q, \omega)}{\omega} = \frac{\pi \chi_0}{P} \frac{(\xi_0/3)^2}{(1 + g^2 \xi_0^2)^2}$$



difference for  $\text{Cu}$  and  $\text{O}$  --- hyperfine coupling

