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**SPRING COLLEGE IN CONDENSED MATTER
ON
'PHYSICS OF LOW-DIMENSIONAL SEMICONDUCTOR STRUCTURES'
(23 April - 15 June 1990)**

TRANSMISSION PROBABILITIES AND THE QUANTUM HALL EFFECT

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These are preliminary lecture notes, intended only for distribution to participants.

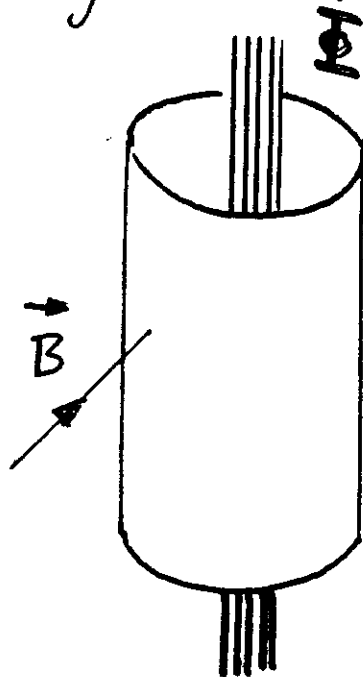
Transmission Probabilities and the Quantum Hall Effect

M. Büttiker

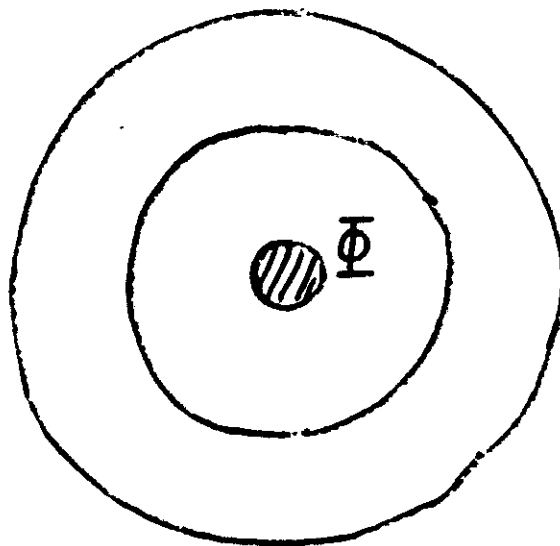
IBM

Closed Conductors

Laughlin (1981);

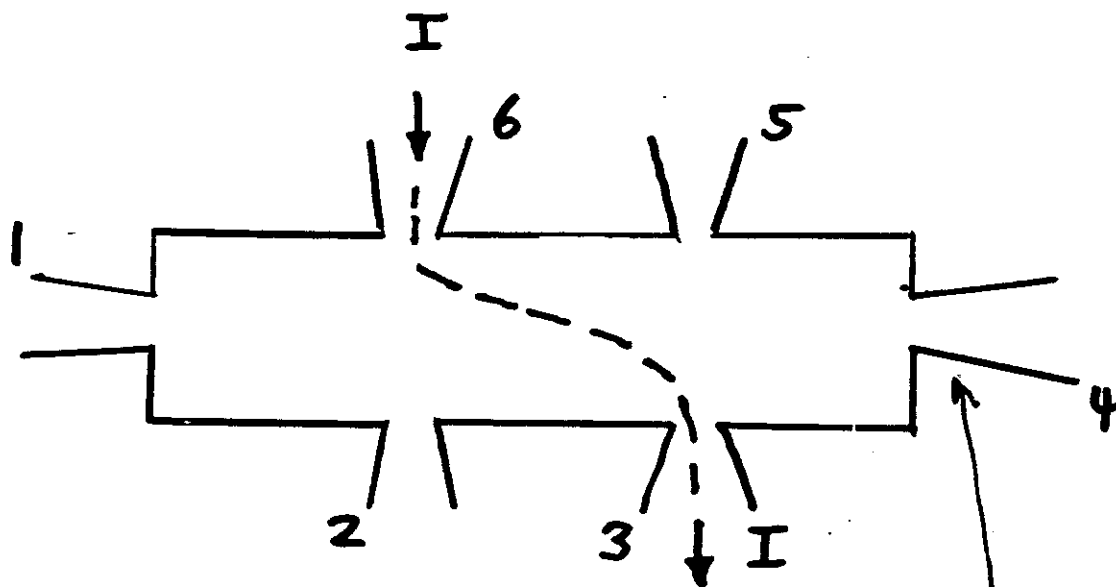


Halperin (1982);



$$l_y \leq 2\pi R$$

Open Conductors



phase breaking
(dissipative)

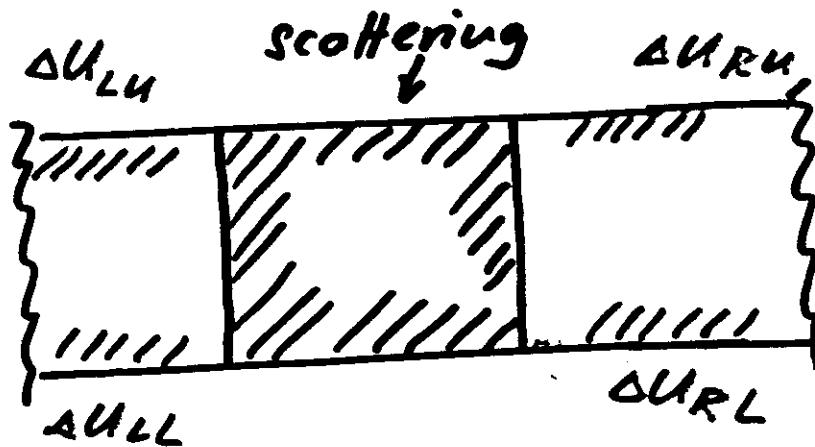
$$R_{mn,kl} = \begin{cases} 0 \\ \pm \frac{h}{e^2 N} \end{cases}$$

$$l_y \approx 1 \mu m$$

$$l_{in} \gg 50 \mu m$$

Motivation

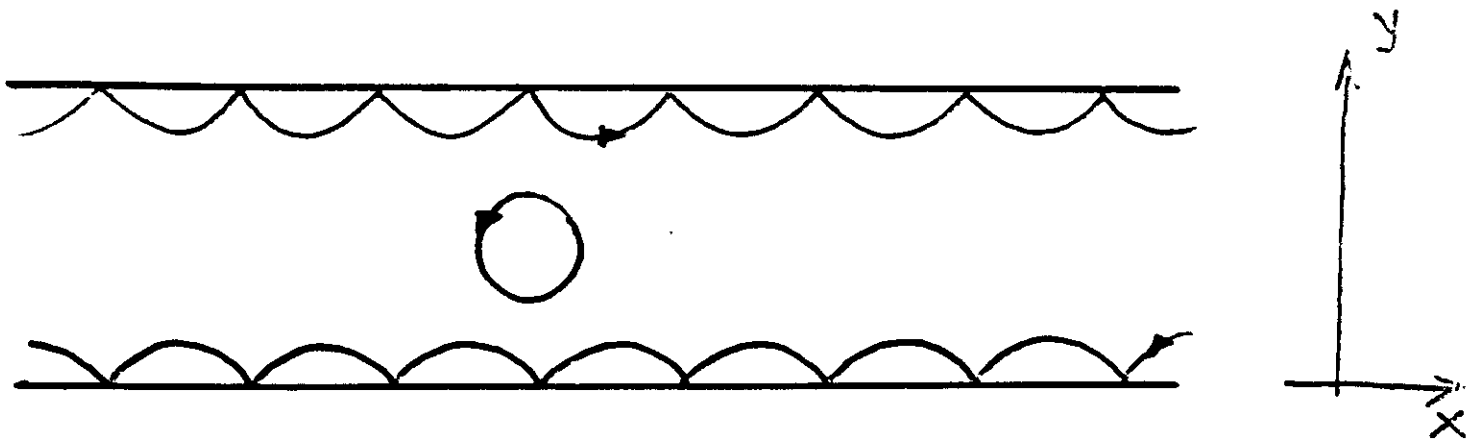
Streda, Kucera, Mac Donald (1987)



calculate potentials from piled up charges

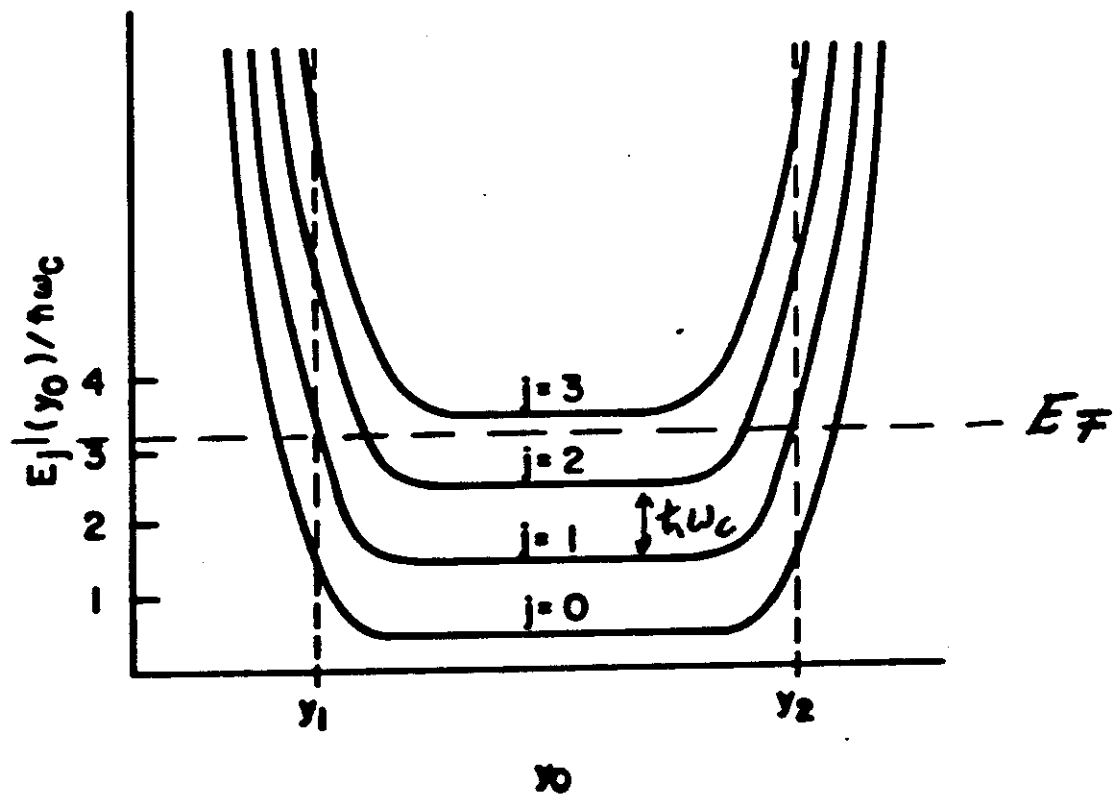
[Jain, Kivelson, 1988]

Transport in High Magnetic Fields



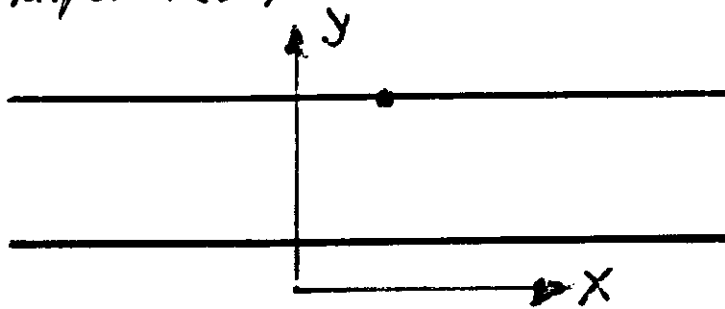
$$r_c = v_F / \omega_c \quad \omega_c = eB / mc$$

Halperin (1981)



Motion in High Field Perfect Conductors

Halperin (82)



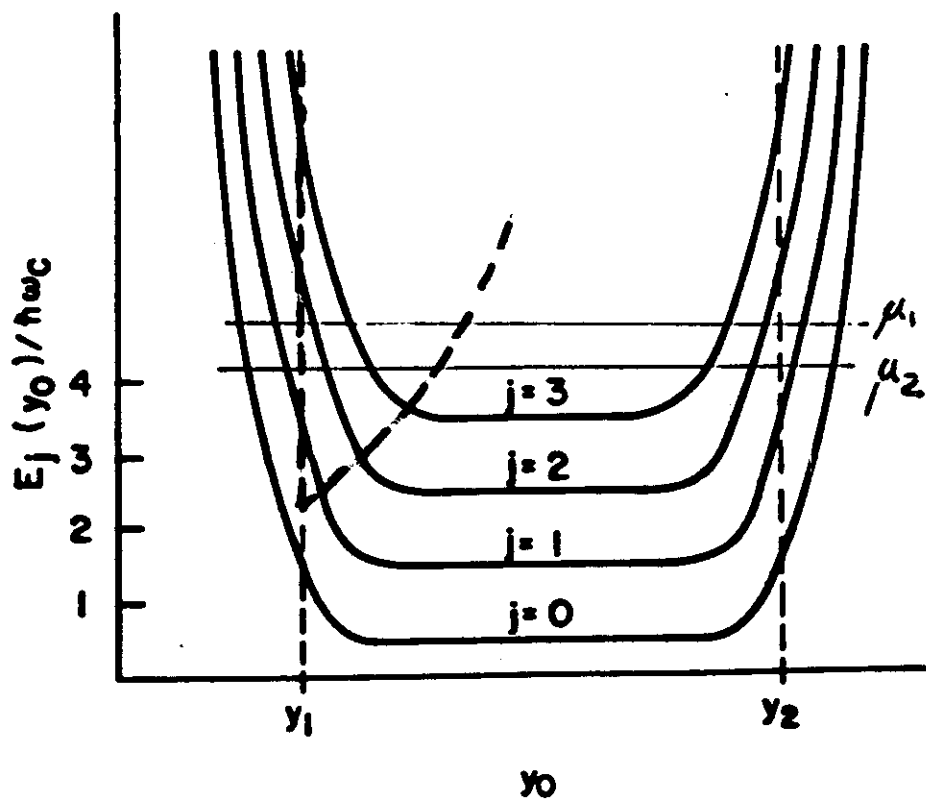
$$\vec{A} = (By, 0, 0)$$

$$H = \frac{1}{2m} ([p_x + \frac{e}{c} By]^2 + p_y^2) + V(y)$$

$$\psi_k = e^{ikx} f_k(y)$$

$$E f_k = \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial y^2} + \frac{m}{2} \omega_c^2 (y_0 - y)^2 + V(y) \right) f_k$$

$$\omega_c = |eB|/mc, \quad y_0 = \frac{\hbar k}{m \omega_c} = k \ell_B^2; \quad \ell_B = (\hbar c / |eB|)^{1/2}$$



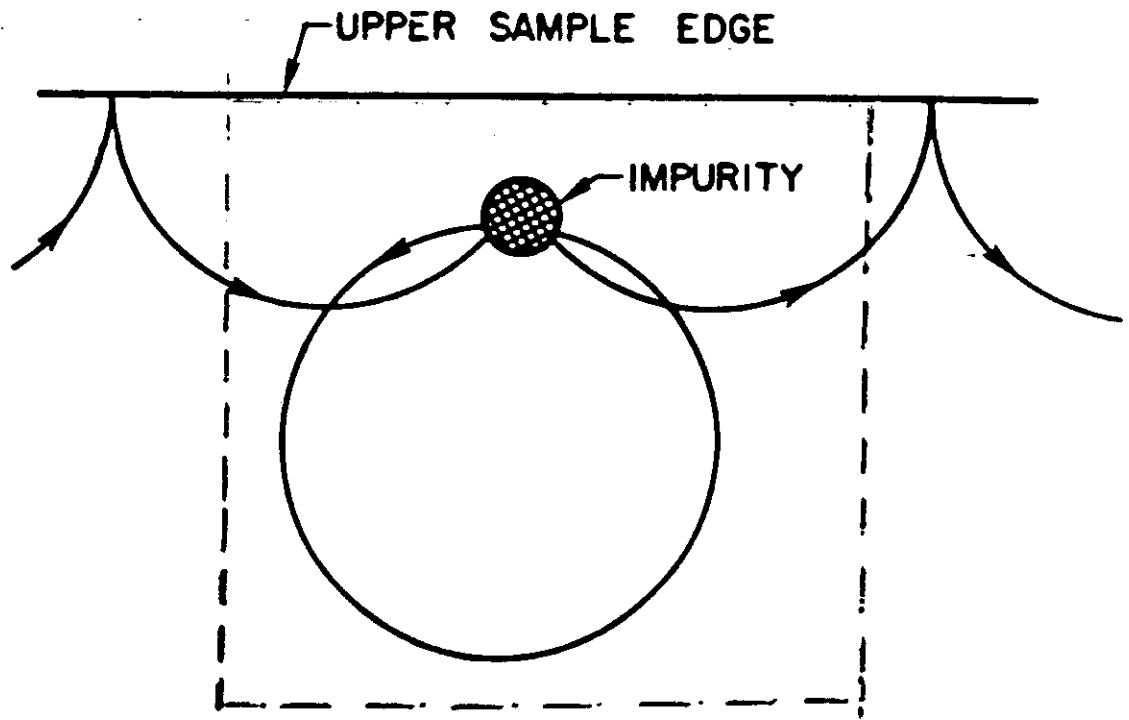
$$v_j = \frac{1}{\hbar} \frac{dE}{dk} = \frac{1}{\hbar} \frac{dE}{dy_0} \frac{dy_0}{dk}$$

$$\frac{dn}{dE} = \frac{1}{2\pi\hbar v_j}$$

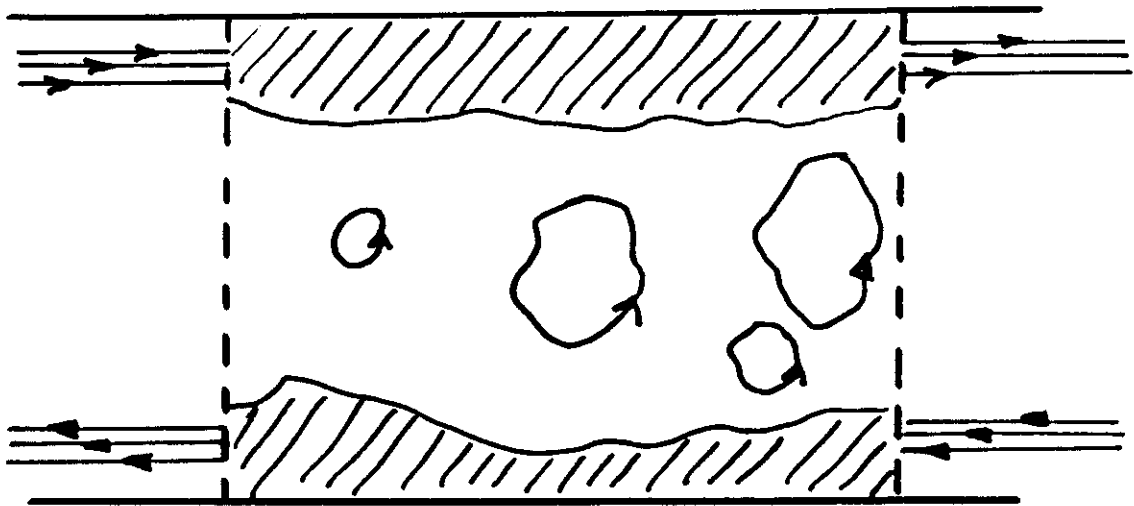
$$I_j = e v_j \left(\frac{dn}{dE} \right)_j (\mu_1 - \mu_2)$$

$$= \frac{e}{h} (\mu_1 - \mu_2)$$

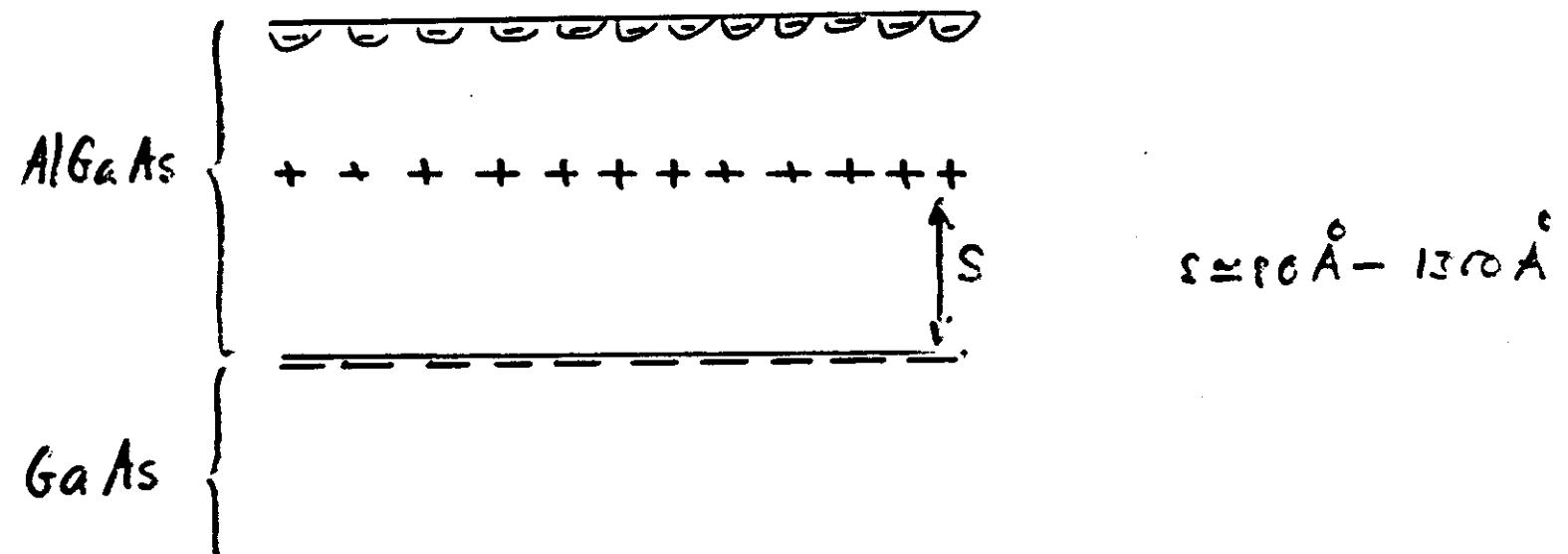
Suppression of Backscattering in High Magnetic Fields



$$l_e > r_c$$



GaAs - $Al_x Ga_{1-x} As$ - Heterostructure



$$\langle V(r) V(r+d) \rangle = V_0^2 e^{-|d|^2/\xi^2} ; \quad \xi \approx S$$

$$\ell_B = \left(\frac{\hbar c}{e B} \right)^{1/2} \quad \left[2\pi B \ell_B^2 = \Phi_0 = \frac{hc}{e} \right]$$

$$\ell_B \approx 125 \text{ \AA} \text{ at } 4 \text{ Tesla}$$

High Field Transport in Weakly Fluctuating Potential

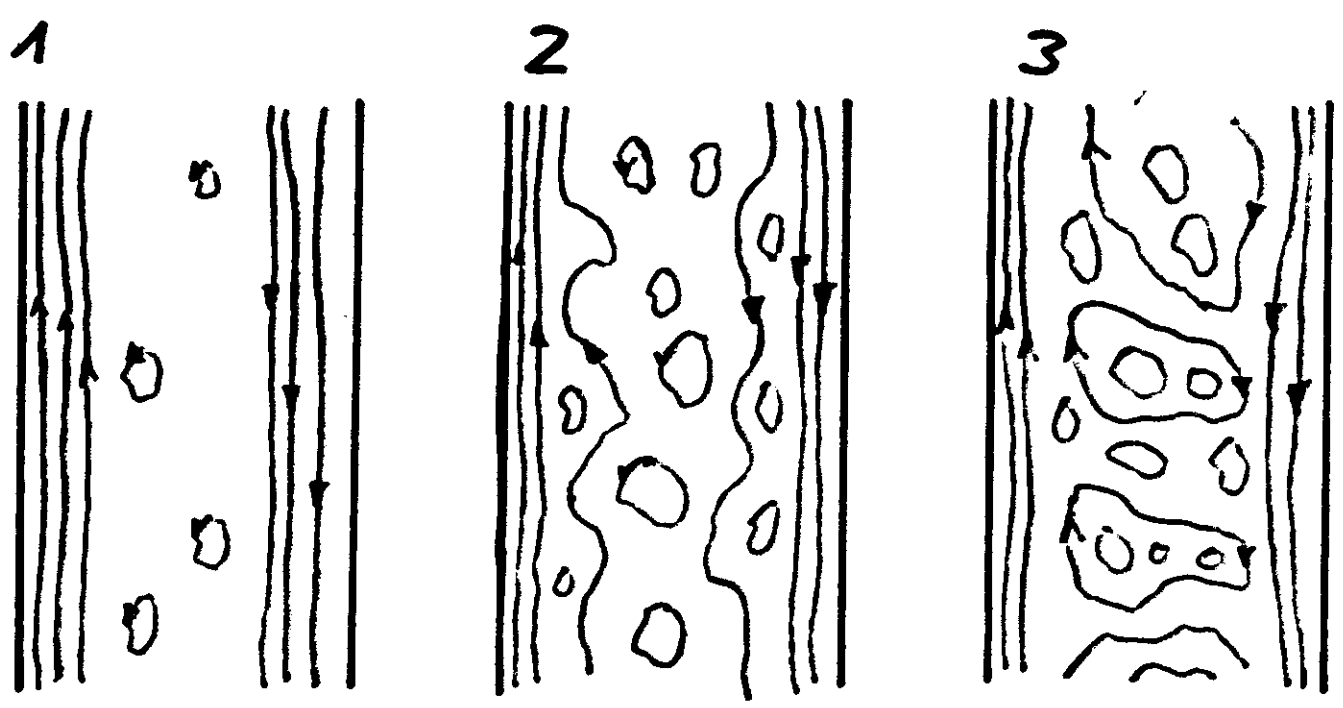
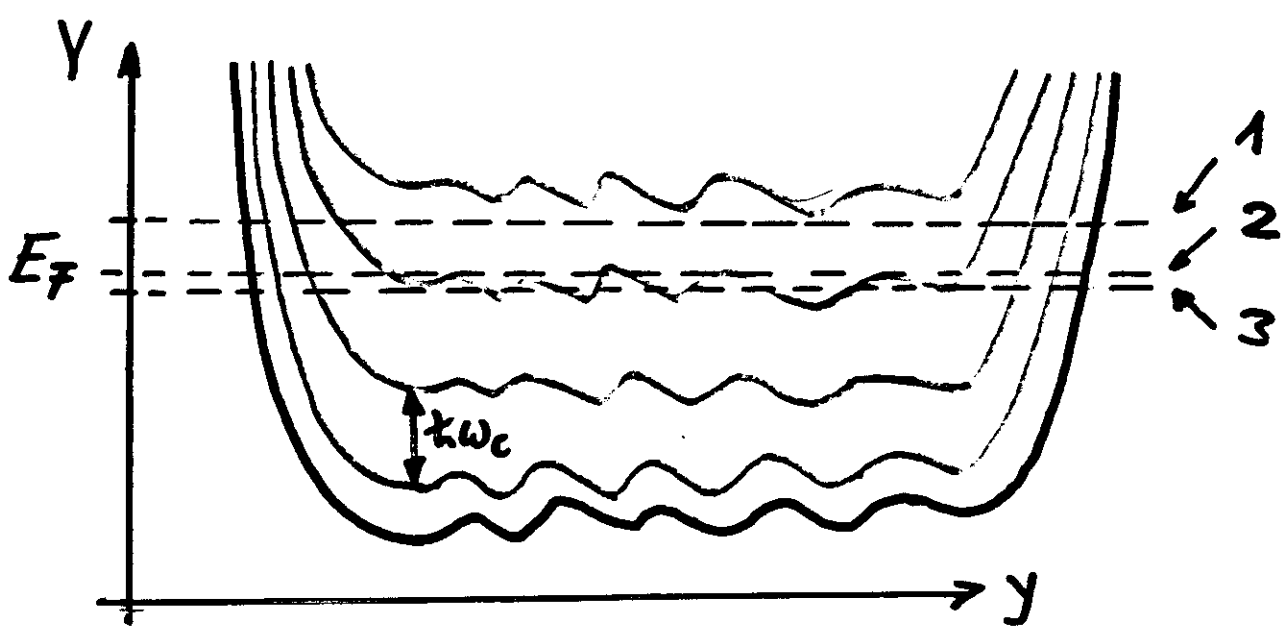
Potential $V(x,y)$
Landau levels $\hbar\omega_c(n+1/2)$

$$|V(x,y)| \ll \hbar\omega_c$$

$$\omega_c = \frac{eB}{mc}, \quad \ell_B = (\hbar c / eB)$$

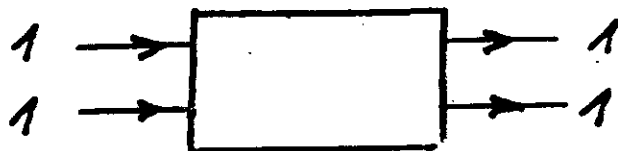
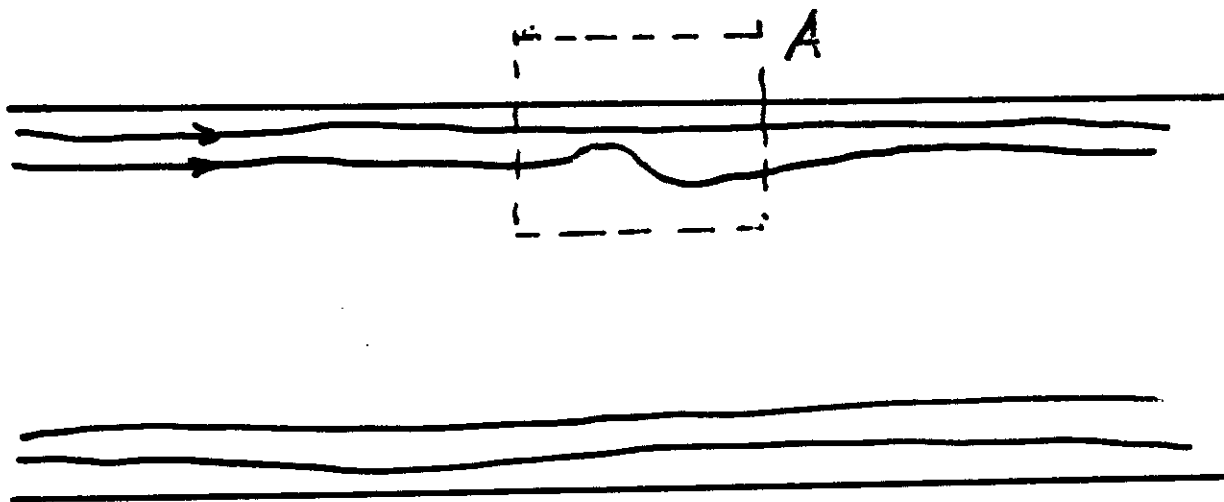
$$E_F = \hbar\omega_c(n+1/2) + V(x,y)$$

$$v_d = c \frac{E}{B}, \quad E = -\nabla V$$

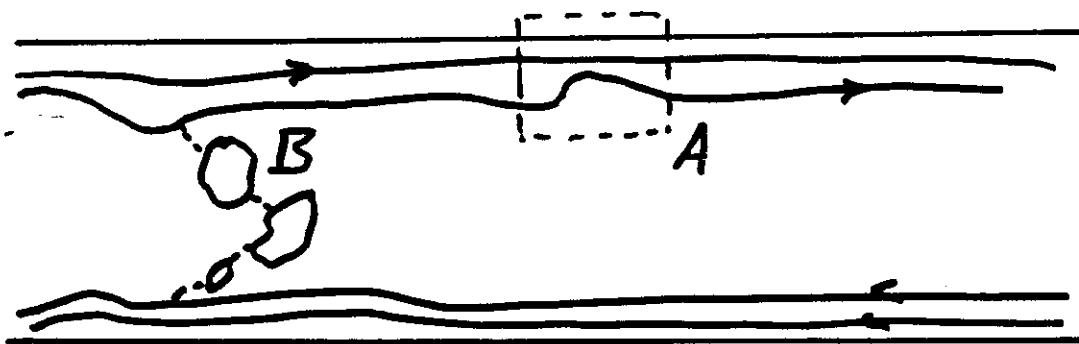


Immunity of Edge States to Disorder

Halperin (82), Büttiker (1988)

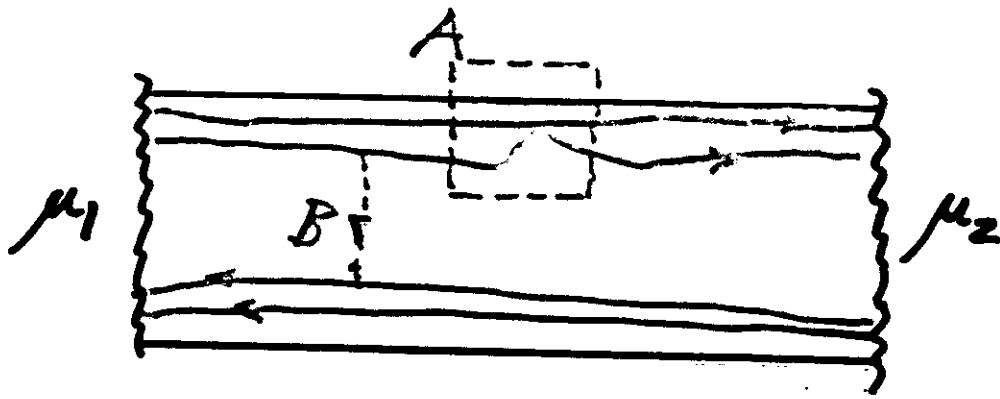


$$T = T_{11} + T_{12} + T_{21} + T_{22} = 2$$

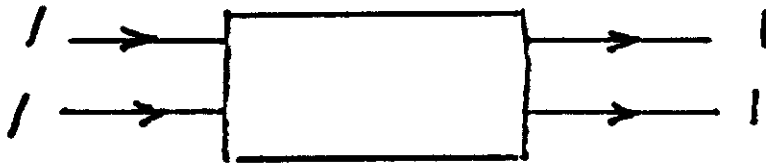


- if edge states are populated equally and in the absence of backscattering the transmission probabilities are integers.

Two Terminal Conductance



- (1) equilibrium filling
- (2) absence of backscattering



$$T = T_{11} + T_{21} + T_{12} + T_{22} =$$

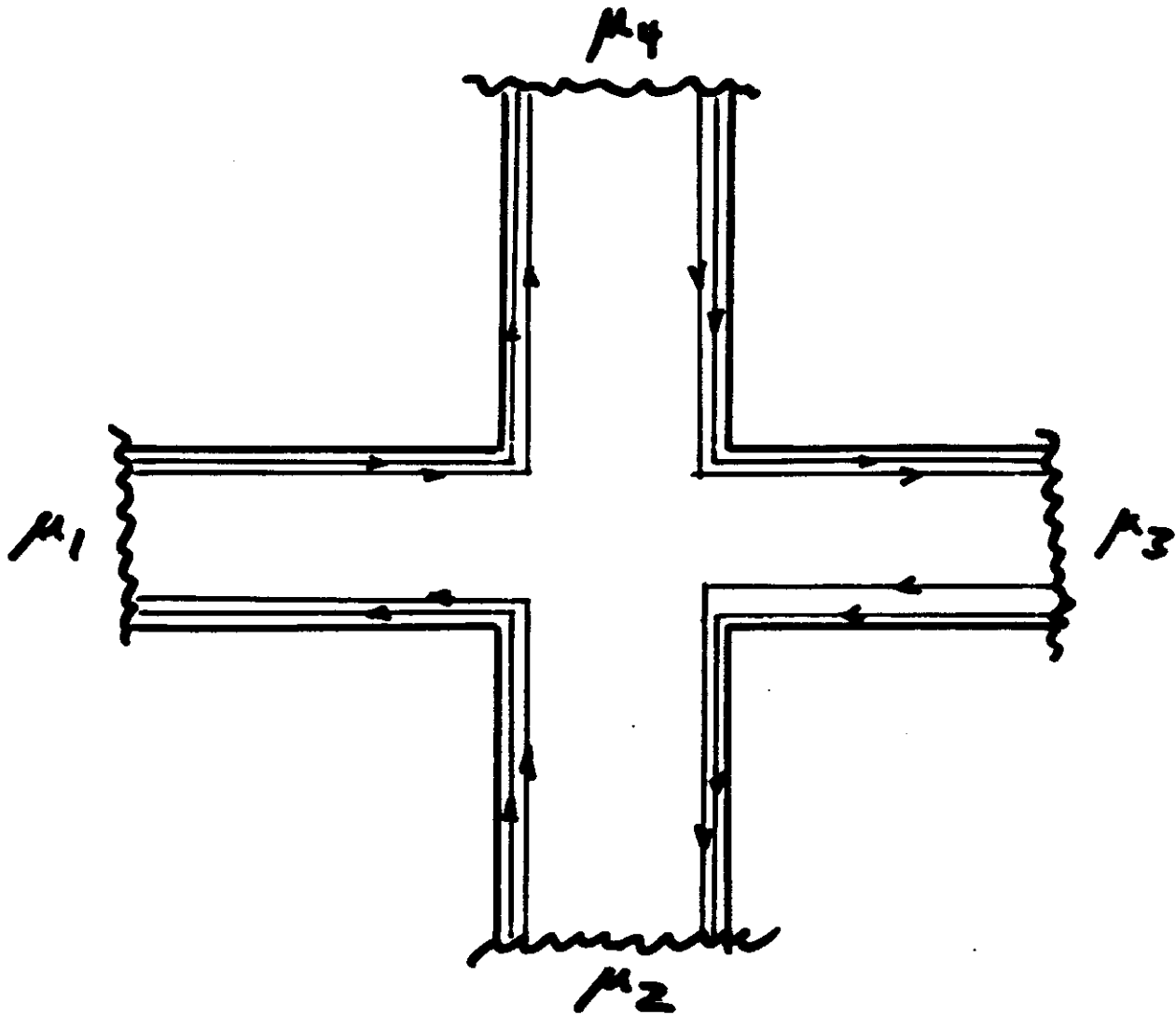
$\Rightarrow$ perfect transmission along edge channels
(independent of residual impurities, surface roughness,)

$\Rightarrow$

$$G = \frac{e^2}{h} T = \frac{e^2}{h} N$$

Quantum Hall Effect in Open Conductors

Büttiker, 1988



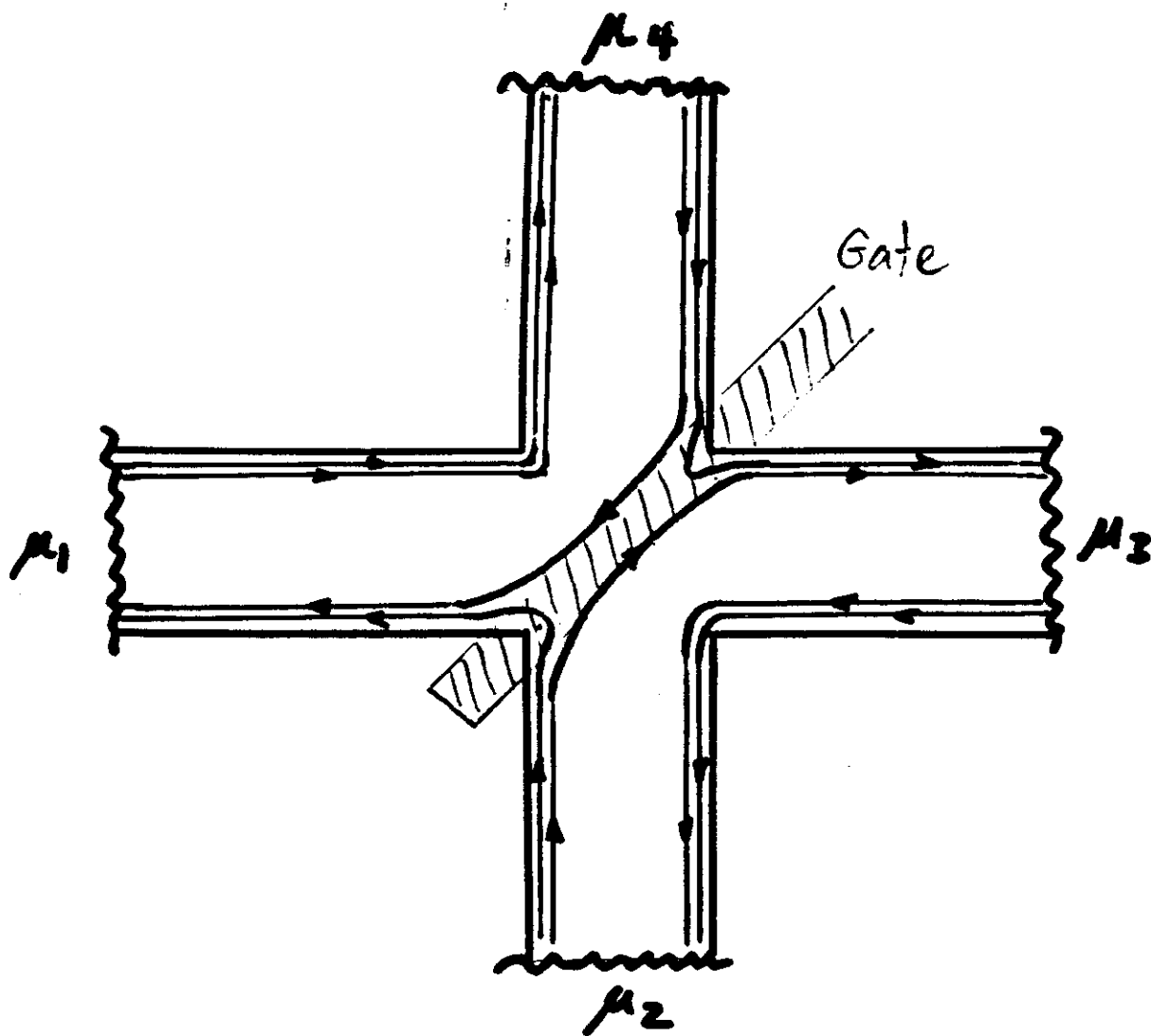
$$T_{41} = N, \quad T_{34} = N, \quad T_{23} = N, \quad T_{12} = N,$$

$$R_{13,42} = \frac{h}{e^2} \frac{T_{41} T_{23} - T_{43} T_{21}}{D} = \frac{h}{e^2} \frac{NN - 0 \cdot 0}{N^3} = \frac{h}{e^2} \frac{1}{N}$$

$$R_{42,13}(B) = R_{13,42}(-B) = -\frac{h}{e^2} \frac{1}{N}$$

$$R_{14,23} = \frac{h}{e^2} \frac{T_{31} T_{34} - T_{24} T_{21}}{D} = \frac{h}{e^2} \frac{0 \cdot N - 0 \cdot 0}{N^3} = 0$$

Scattering along a Diagonal Path



● Hall resistances and

$$R_{13,42}(B) = \frac{h}{e^2} \frac{1}{N-K} \quad R_{13,42}(-B) = R_{42,13}(B) = -\frac{h}{e^2} \frac{N-2K}{N(N-K)}$$

Longitudinal resistances are simultaneously quantized

$$R_{12,43}(B) = R_{12,43}(-B) = \frac{h}{e^2} \frac{K}{N(N-K)} = \frac{h}{e^2} \left(\frac{1}{N-K} - \frac{1}{N} \right)$$

$$R_{13,42} - R_{12,43} = \frac{h}{e^2} \frac{1}{N}$$

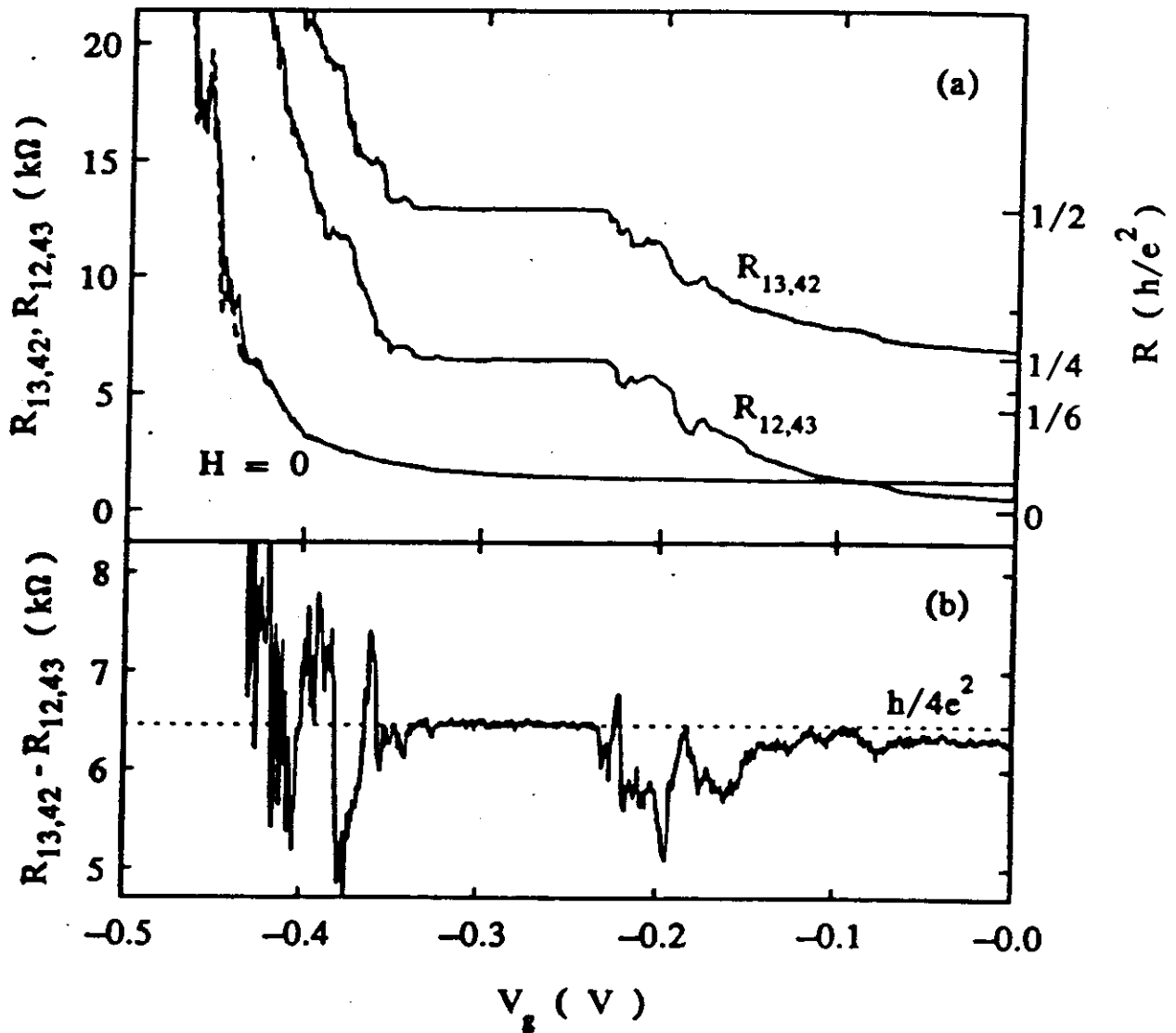
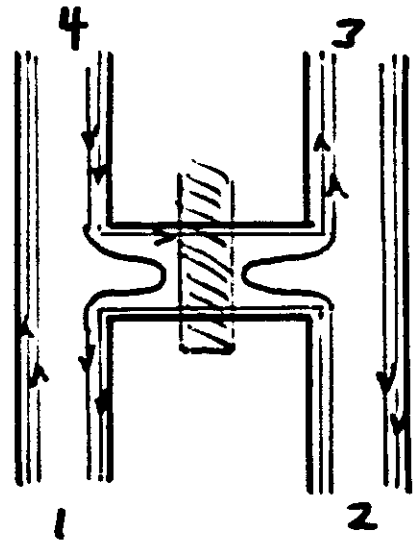
Washburn, Fowler, Schmid, Kern (1988)
Haug, MacDonald, Streda, von Klitzing, (1988)

$$N=4, \quad K=0, \quad K=2$$

$$R_{13,42} = \frac{h}{e^2} \frac{1}{N-K}$$

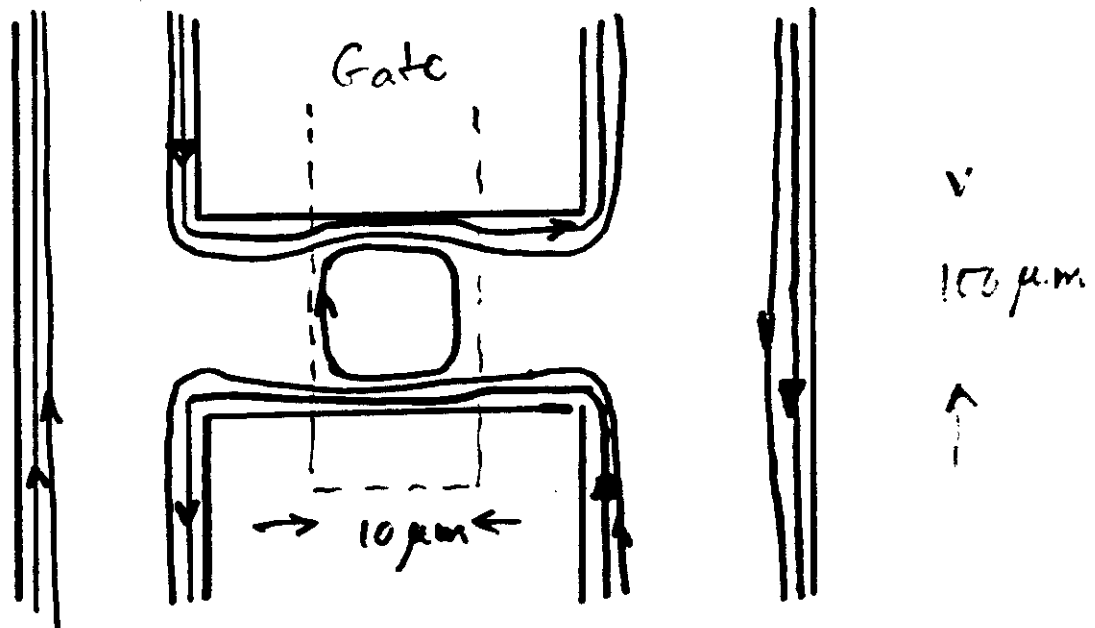
$$R_{12,43} = \frac{h}{e^2} \frac{K}{N(N-K)} \quad \frac{2}{4 \cdot 2} = \frac{1}{4}$$

$$R_{13,42} - R_{12,43} = \frac{h}{e^2} \frac{1}{N}$$



Reverse Biased Gate

Haug et al. (Surface Science)



$\nu > \nu_g$

$$R_L = \frac{h}{e^2} \frac{\nu - \nu_g}{\nu \nu_g}$$

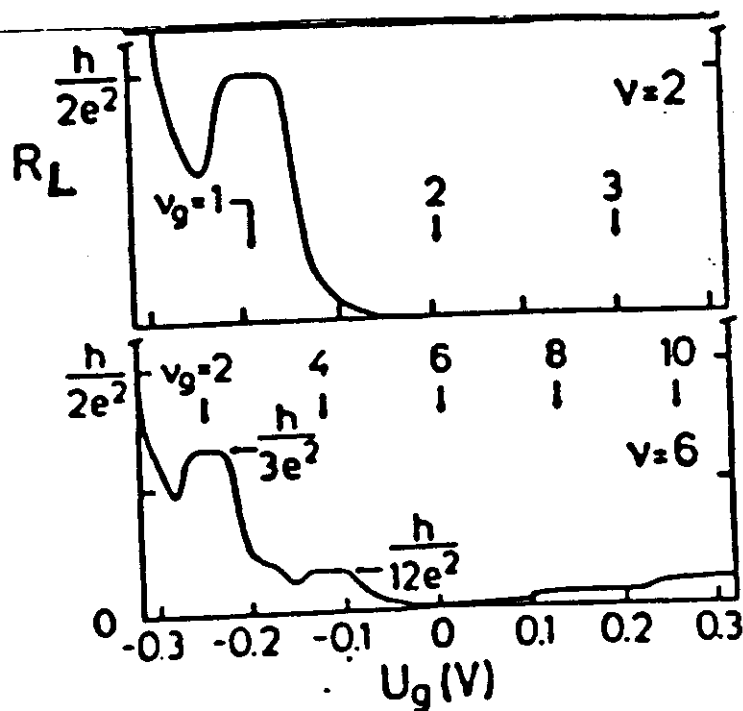
$\nu < \nu_g$

if coupled

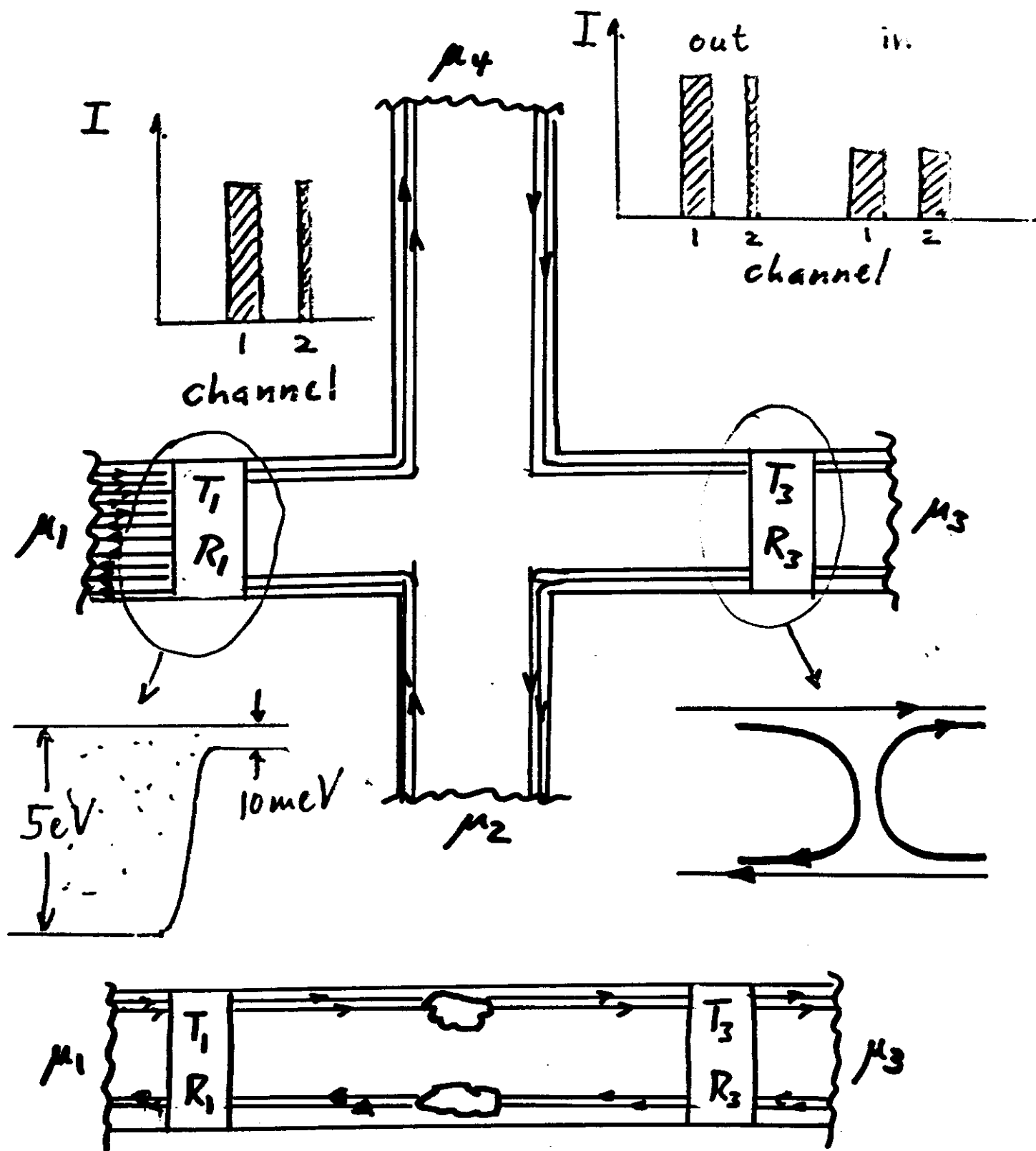
$$R_L = \frac{h}{e^2} \frac{1}{\nu(\nu-1)} \stackrel{\nu=2}{=} \frac{h}{e^2} \frac{1}{2}$$

or

$$R_L = \frac{h}{e^2} \frac{2}{\nu(\nu-2)} \stackrel{\nu=6}{=} \frac{h}{e^2} \frac{1}{2}$$

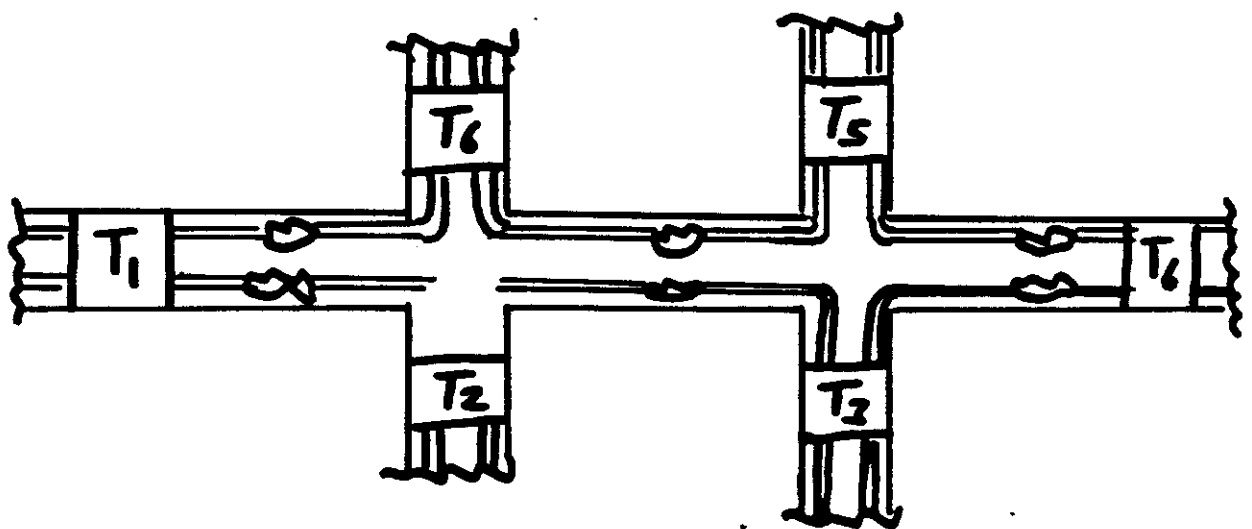
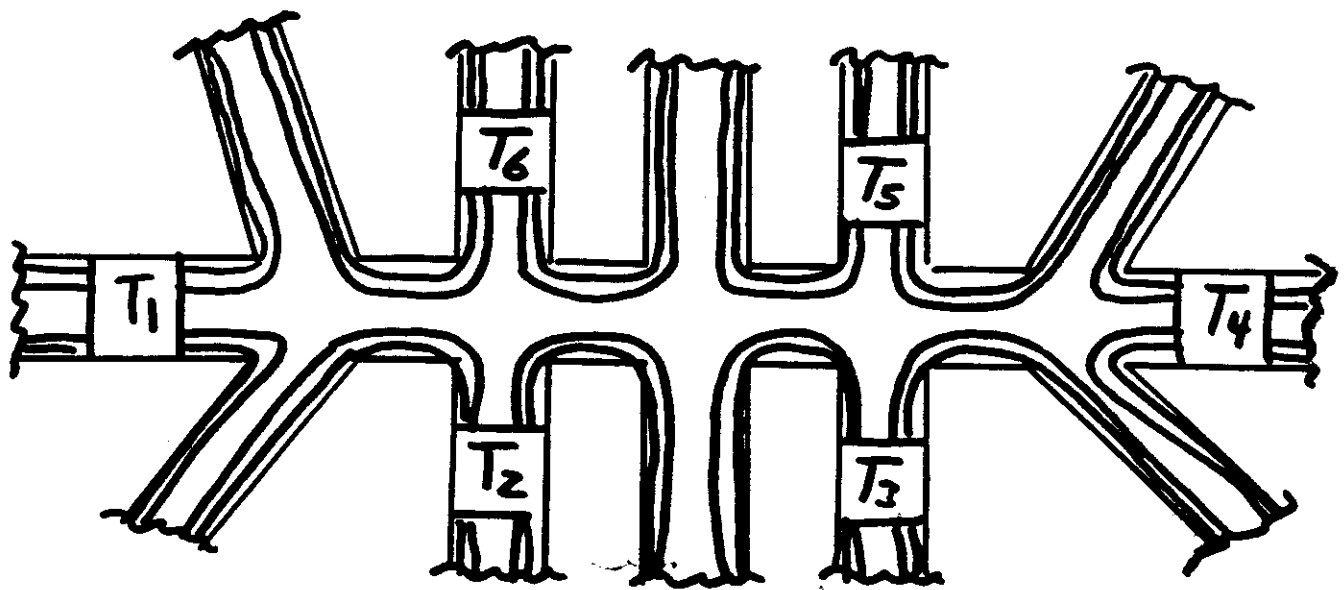


Contacts with Internal Reflection



$$R_{13,13} = \frac{h}{e^2} \left(\frac{N-T_1}{NT_1} + \frac{N-T_2}{NT_2} + \frac{1}{N} \right)$$

Contacts with Internal Reflection Alternating with Ideal Contacts

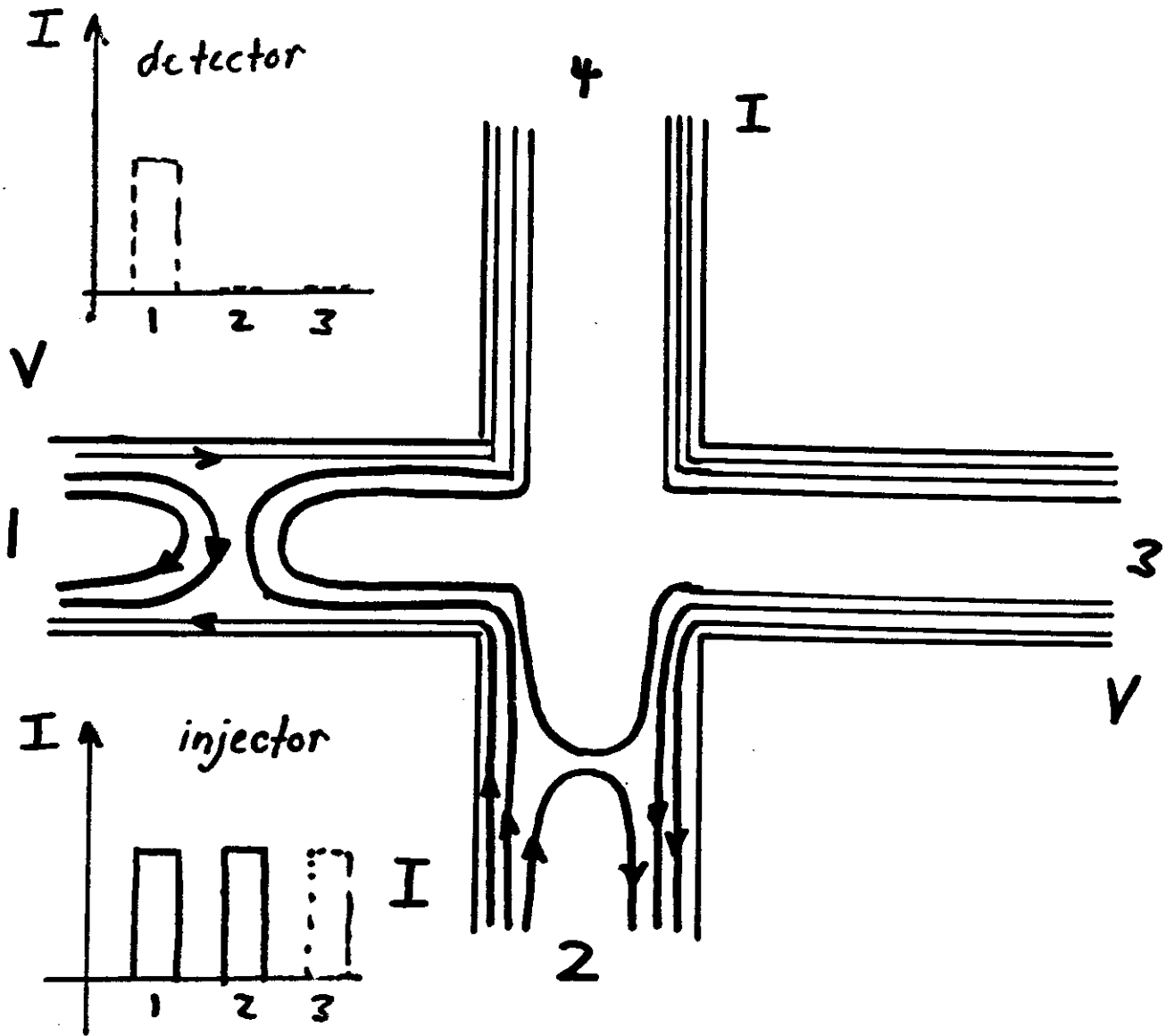


$\Rightarrow$

We have an ordinary QHE whenever edge states are equilibrated

Adjoining Contacts with Internal Reflection

van Wees et al. (1989)



$$R_{24,13} = \frac{h}{e^2} \frac{T_{12}}{T_1 T_2}$$

if T_1, T_2 , quantized, i.e. $T_1 = K, T_2 = L, \Rightarrow T_{12} = \min(T_1, T_2)$

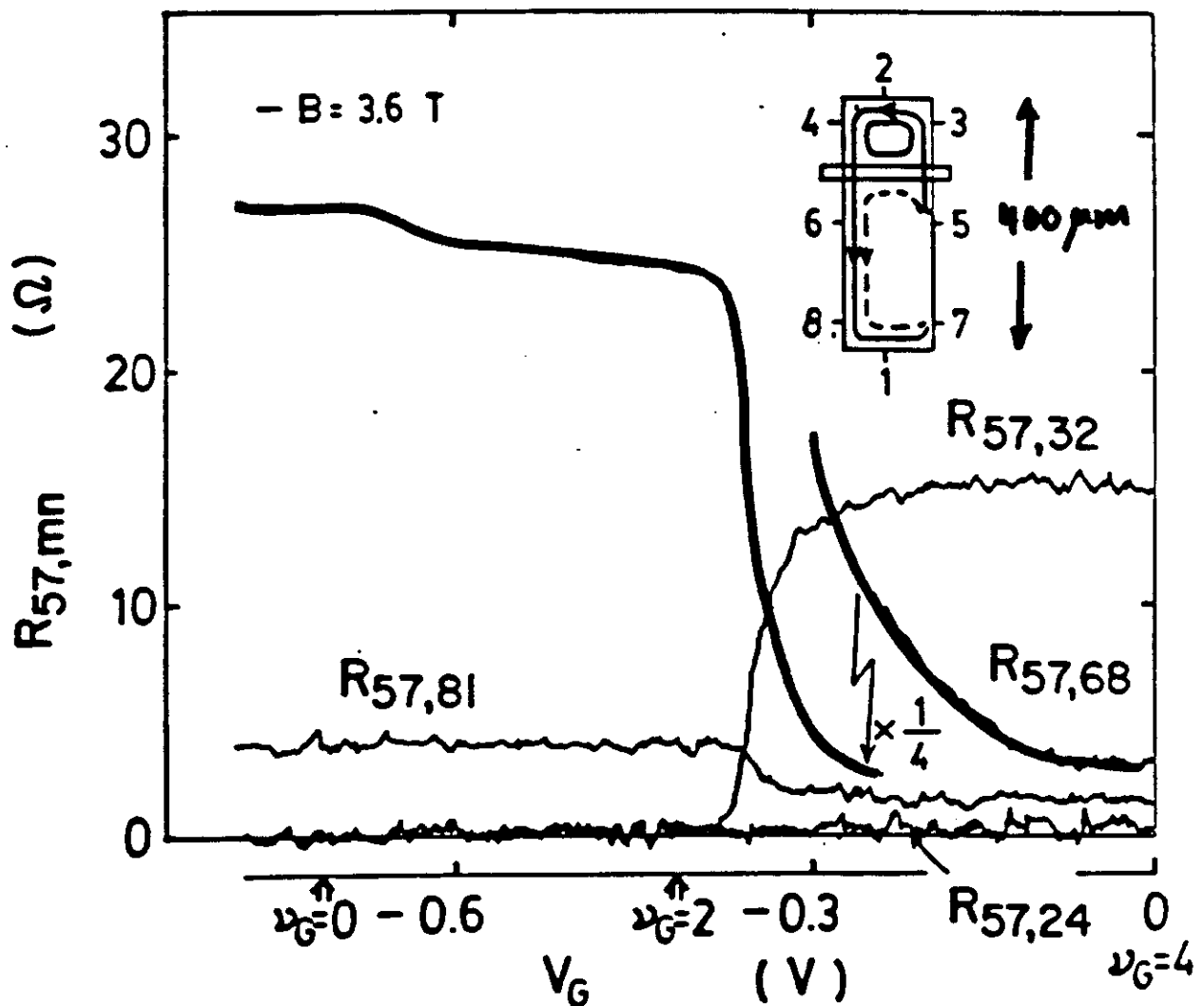
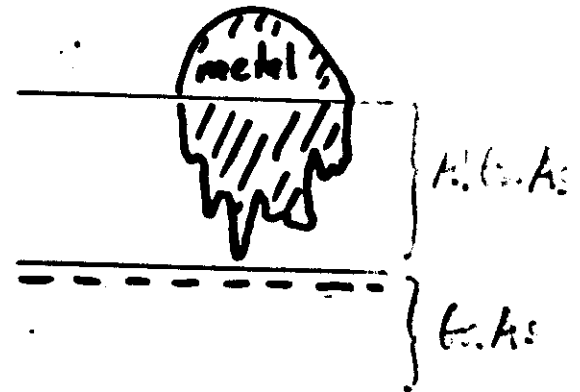
$$\Rightarrow R_{24,13} = \frac{h}{e^2} \frac{1}{\max(T_1, T_2)}$$

$$d = 1.5 \mu m$$

Mesoscopic Effects on Macroscopic Length Scales

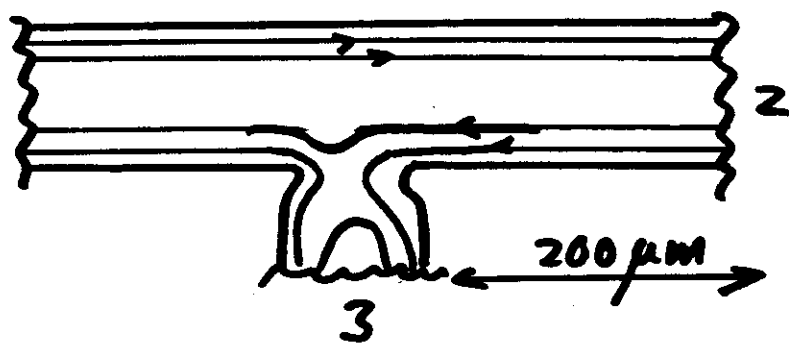
Komiyama et al., Solid State Commun., 73, 91 (1990)

$$R_{\text{contact}} \approx 0.5 \sim 2.7 \text{ k}\Omega$$



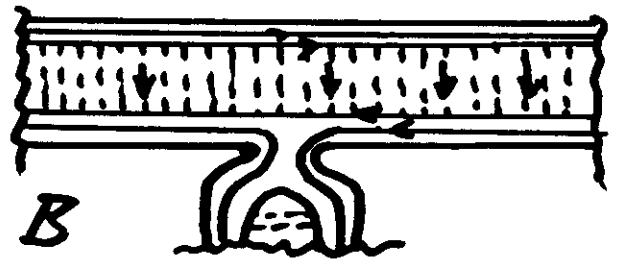
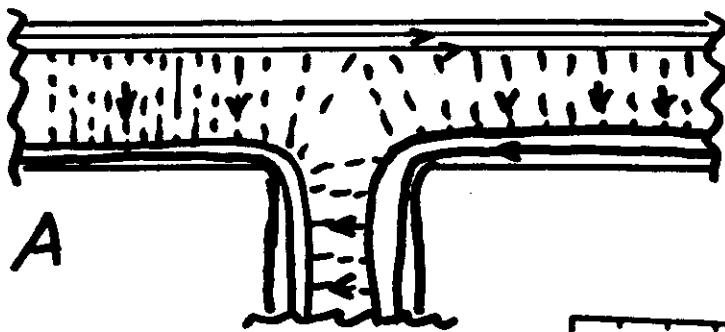
$$\mu = 1.3 \cdot 10^6 \text{ cm}^2/\text{Vs}, \quad n \approx 3.5 \cdot 10^{11} / \text{cm}^2$$

Suppression of Shubnikov-de Haas Resistance Oscillation van Wees et al. (1989)



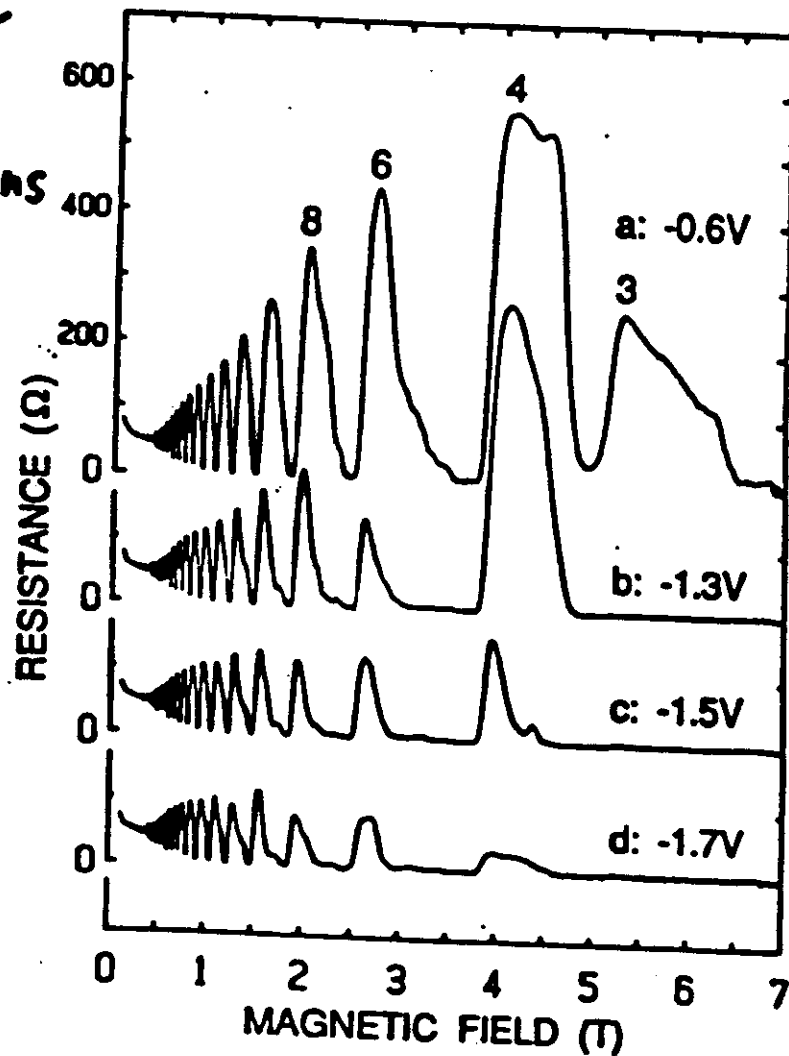
$$R_{12,13} = \frac{h}{e^2} = \frac{1}{N}$$

$$R_{12,32} = 0$$



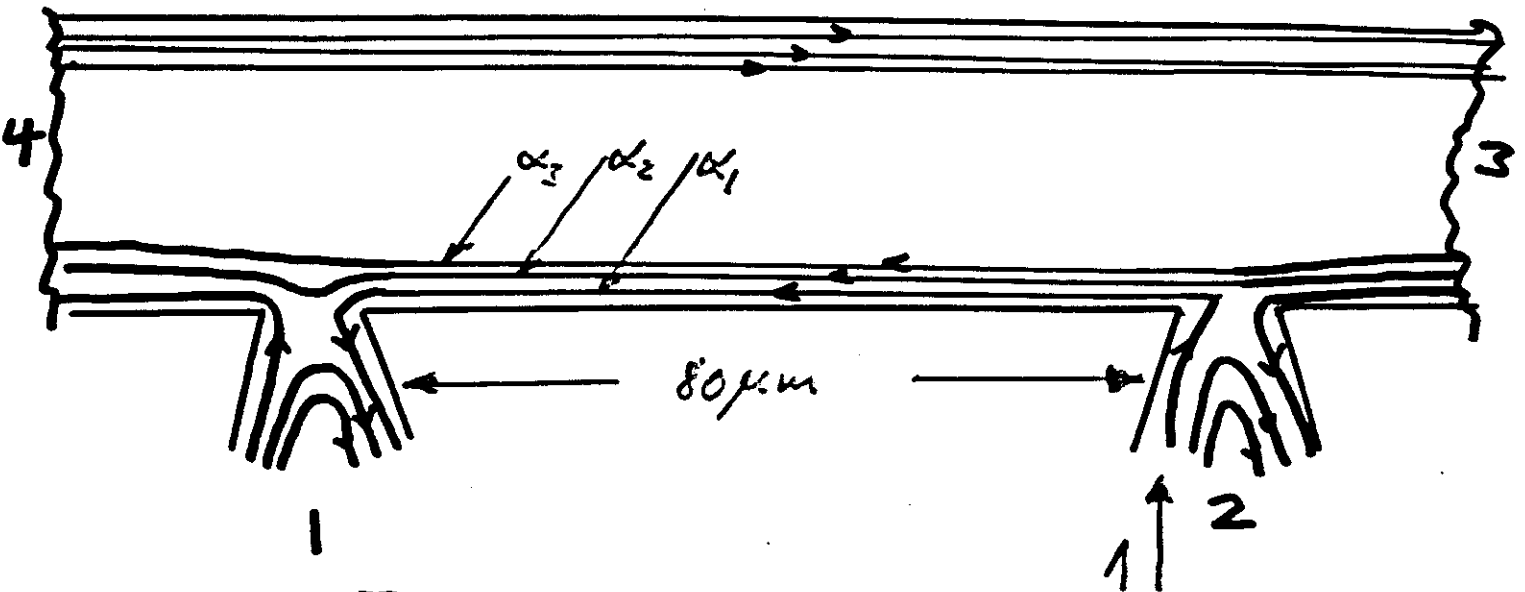
A $\Rightarrow$ SdH oscillations

B $\Rightarrow$ no SdH oscill.



Selective Equilibration of Edge States

Alphenaar et al (90)



$$R_{24,13} = \frac{h}{e^2} \frac{T_{12}}{T_1 T_2} ;$$

$$T_1 = N_1, \quad T_2 = 1, \quad T_{12} = \sum_{k=1}^{N_1} \alpha_k ;$$

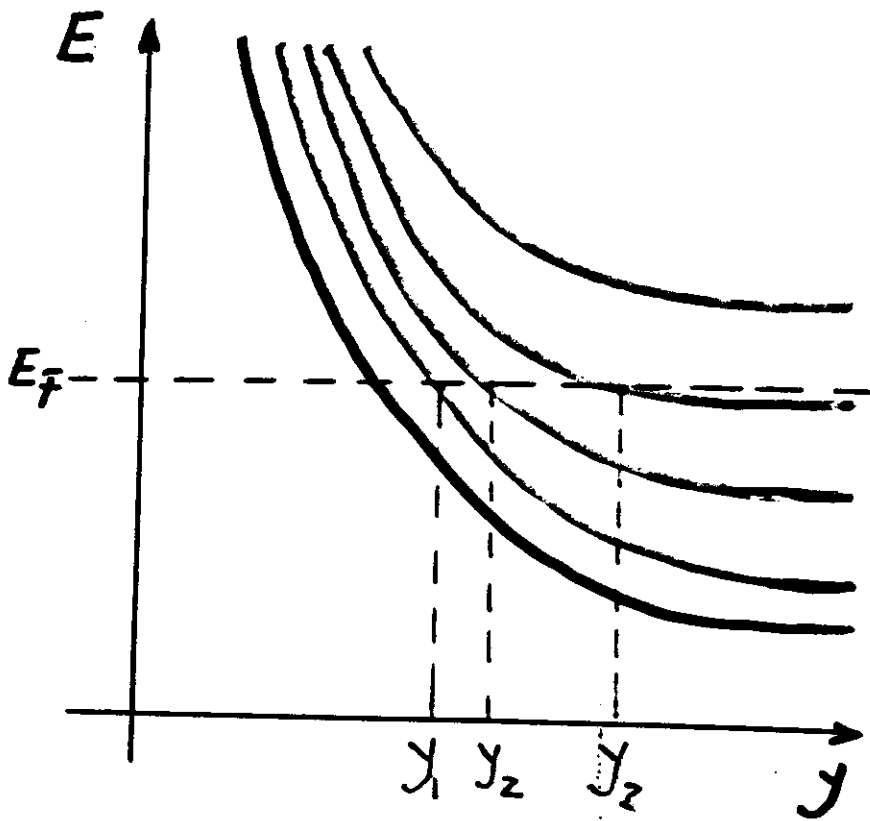
$$R_{24,13} = \frac{h}{e^2} \frac{1}{N_1} \sum_{k=1}^{N_1} \alpha_k$$

$$d = 80 \mu m, \quad T = 0.45 K$$

$$\alpha_1 = 0.48, \quad \alpha_2 = 0.44, \quad \alpha_3 = 0.08$$



Suppression of Elastic Inter-Edge Scattering



$$\delta V(x, y) = \frac{V_0}{\sqrt{\pi}} \frac{1}{S} e^{-\frac{1}{2S^2}(x-x_0)^2} e^{-\frac{1}{2S^2}(y-y_0)^2}$$

$$\frac{\tau_{n,n+1}}{\tau_0} = \frac{1}{\tau_0} e^{-\frac{1}{2\ell_g^2}(y_n - y_{n+1})^2} e^{-\frac{S^2}{2\ell_g^2}(y_n - y_{n+1})^2}$$

Martin & Feng

Ohtsuki and Ono (89); Glazman and Jonson (89)

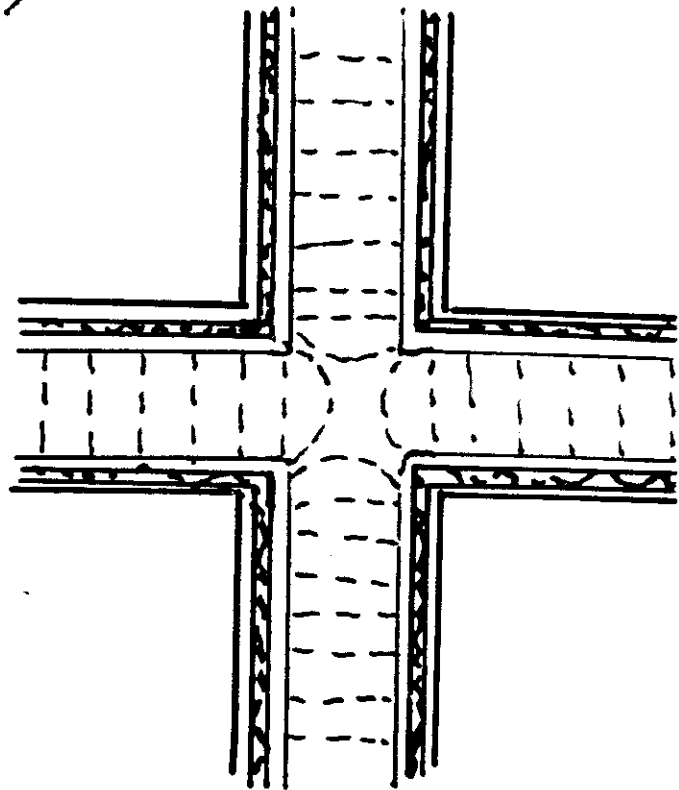
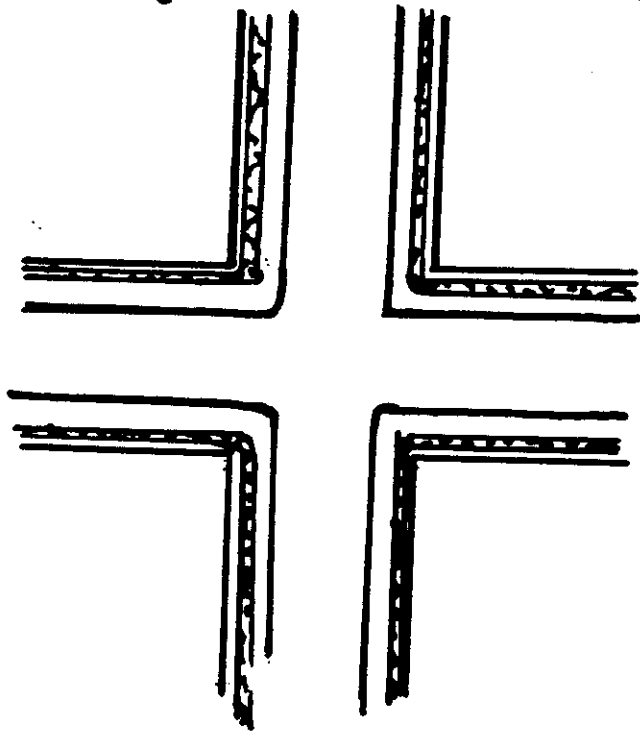
if $\sqrt{S}(y_n - y_{n+1}) > 1.7\ell_g \Rightarrow \tau_{n,n+1} \sim 10^2 \tau_0$ on

$\ell_{n,n+1} \sim 10^2 \ell_0$, $\ell_0 \approx 10 \mu\text{m} \Rightarrow \ell_{n,n+1} \sim 0.1 \text{ mm}$

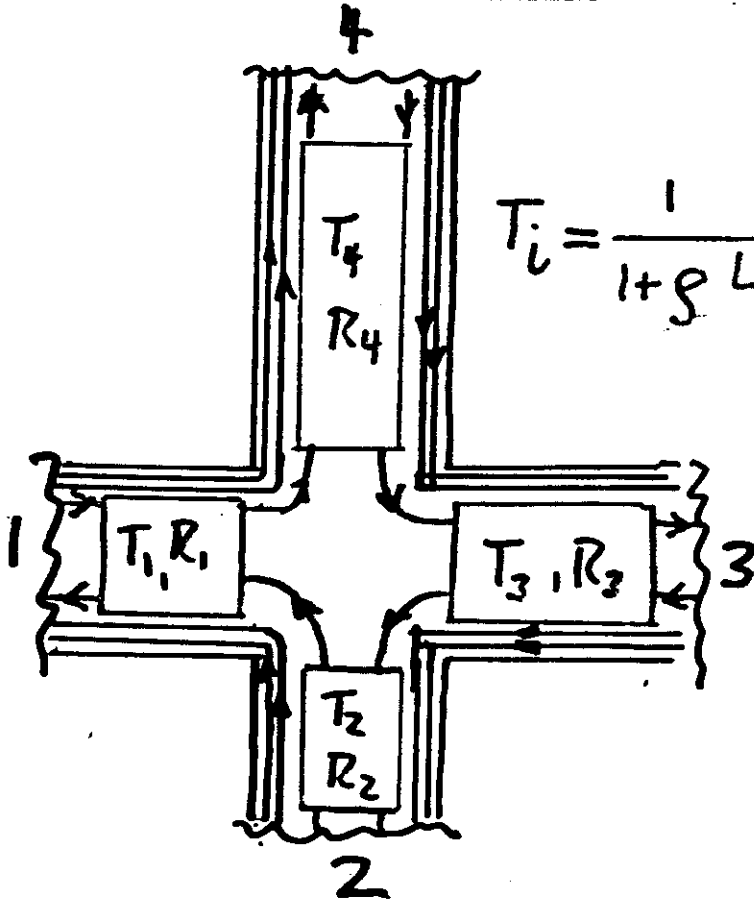
Consequences

- van Houten et al,
- Haug and von Klitzing, (89)

$$R_{xx} \neq S_{xx} L/W$$

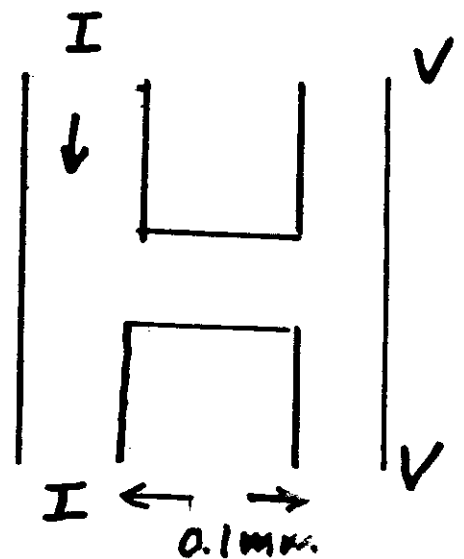


Model of Szafer et al.

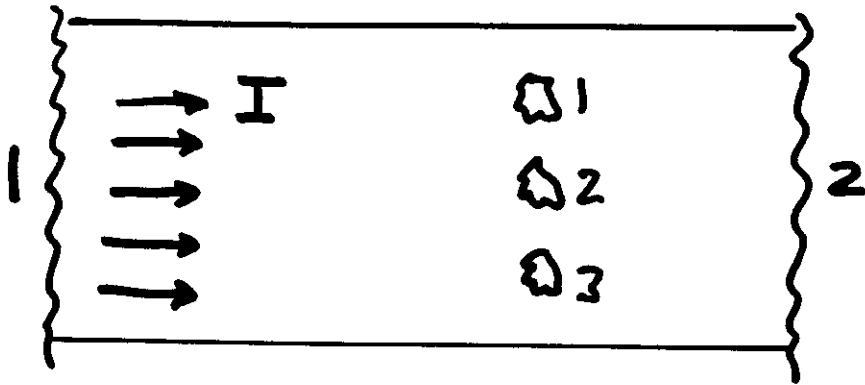


$$T_i = \frac{1}{1 + S L_i / W_i}$$

McEuen et al.

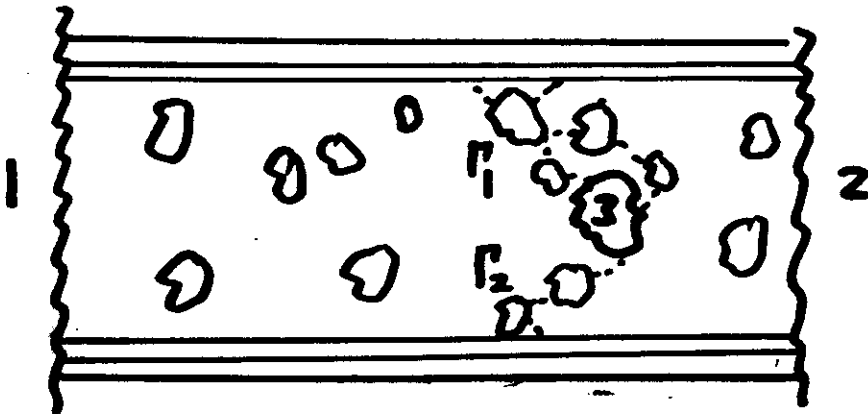


Interior Contacts



$$\Delta I = \sigma_{xy} \Delta V \quad \sigma_{xy} = \frac{e^2}{h} N$$

Why wrong?



$$\mu_3 = \frac{\Gamma_1 \mu_1 + \Gamma_2 \mu_2}{\Gamma_1 + \Gamma_2}$$

$$\Gamma_1 = 10^{-7}, \Gamma_2 = 10^{-8} \Rightarrow \mu_3 \approx \mu_1$$

$$\Gamma_2 = 10^{-8}, \Gamma_1 = 10^{-7} \Rightarrow \mu_3 \approx \mu_2$$

can change potential
of an interior contact
with changing
current pattern
appreciably