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SPRING COLLEGE IN CONDENSED MATTER
ON
'PHYSICS OF LOW-DIMENSIONAL SEMICONDUCTOR STRUCTURES'
(23 April - 15 June 1990)

TWO-DIMENSIONAL ELECTRON CRYSTALS

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These are preliminary lecture notes, intended only for distribution to participants.

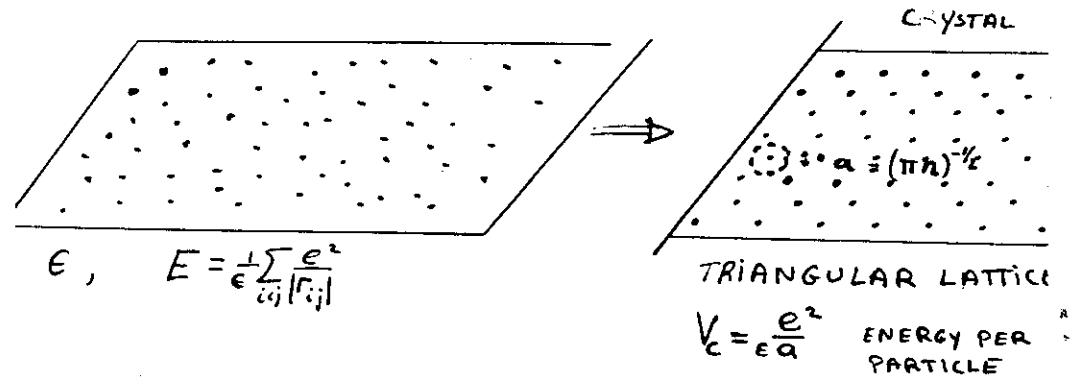
2-Dimensional Wigner Crystals

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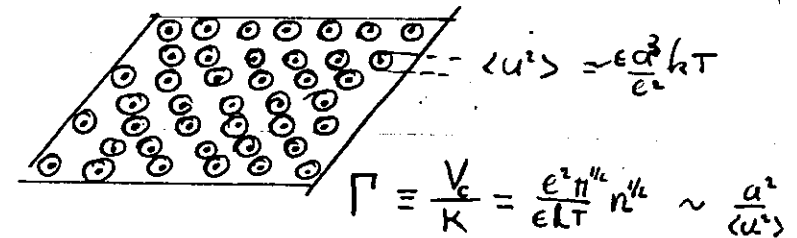
1. The phase diagram (n, T, B)
2. Experimental realizations of 2-D electron layers
3. R.F. detection techniques
4. Propagation of shear in the 2-D electron crystal in the classical regime.
5. Specific and latent heat of the classical 2-D electron crystal
6. The Quantum Solid - Observation of the magnetically induced Wigner crystal.

collaborators: F.B. Williams, D.C. Glattli, G. Deville, A. Valdez V
B. Etienne, E. Paris - Bagneux
J.J. Foxon, C. Harris - Philips
B. Clark P. Wright - Oxford
M. Heiblum - I.B.M.

$T=0$, POINT PARTICLES OF CHARGE e , DENSITY n



$T \neq 0$ THERMAL KINETIC ENERGY $K = kT$



CRYSTAL MELTS WHEN LONG RANGE ORDER IS LOST

$$\Gamma^m = 127 \pm 3$$

(EXPERIMENTS ON ELECTRONS ON He)

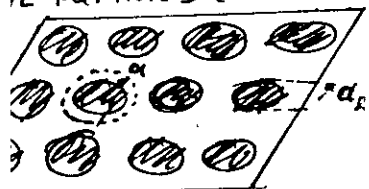
FOR $n = 10^8 \text{ cm}^{-2}$, $\epsilon = 1$ $T_m = 250 \text{ m}^\circ \text{K}$

REAL PARTICLES

1 particle $\psi(r) = e^{i\vec{k}\cdot\vec{r}}$



n particles (low density)



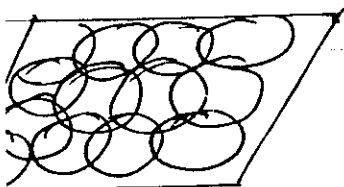
$$K_a = \frac{\hbar^2 \pi n}{m^*}$$

ZERO POINT ENERGY

$$V_c = \frac{e^2}{\epsilon} (\pi n)^{1/2}$$

$$\Gamma_s = \frac{V_c}{K_a} = \frac{e^2 m^*}{\epsilon \hbar^2} \cdot \frac{1}{(\pi n)^{1/2}} = \frac{a}{a_B}$$

low density $\Rightarrow$ MELTING $\Gamma_s \leq 33$ (CEDERLEY 77)



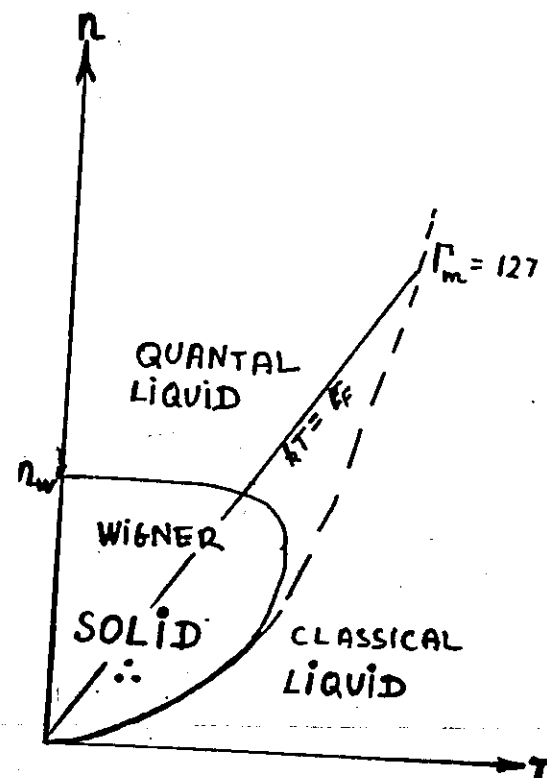
MELTING UPON COMPRESSION

WIGNER TRANSITION (E. WIGNER 1930)

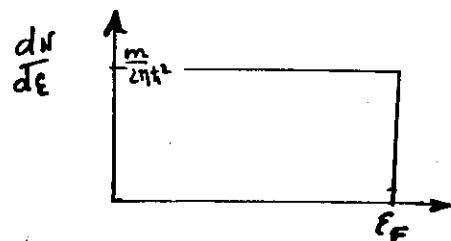
$$n_w = \left(\frac{1}{33 \pi a_B} \right)^2 = \left(\frac{e^2 m^*}{33 \pi \epsilon \hbar^2} \right)^2$$

DRIVEN BY QUANTUM FLUCTUATIONS

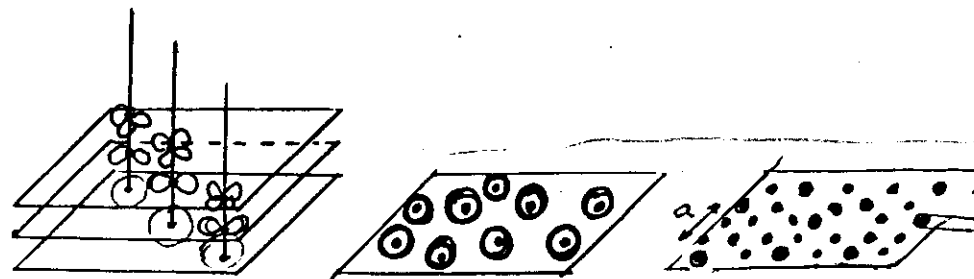
PHASE DIAGRAM



$\gamma \gg 1$ DEEP QUANTUM REGIME: $a \ll a_B$, $\langle u^2 \rangle^{1/2}$



ψ_1 (wavy line)
 $\psi_2 = e^{ikr}$ (wavy line)



$\nu=3$

$\nu=1$

$\nu=1/2$

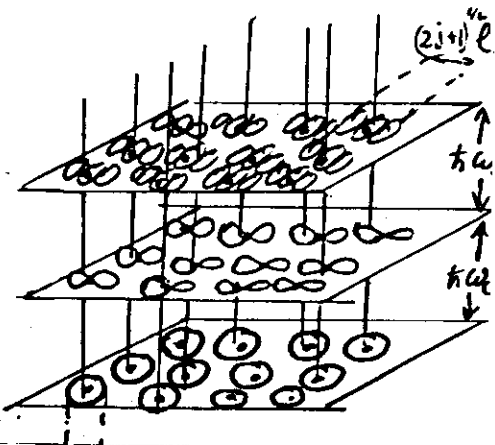
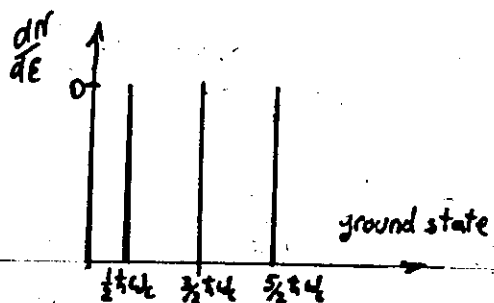
ν_c

IRHE

FAHE

MAGNETIC SOLID

$B_1 \neq 0$



MAGNETIC LENGTH:

$l_c = \sqrt{\frac{\phi_0}{2\pi B}}$

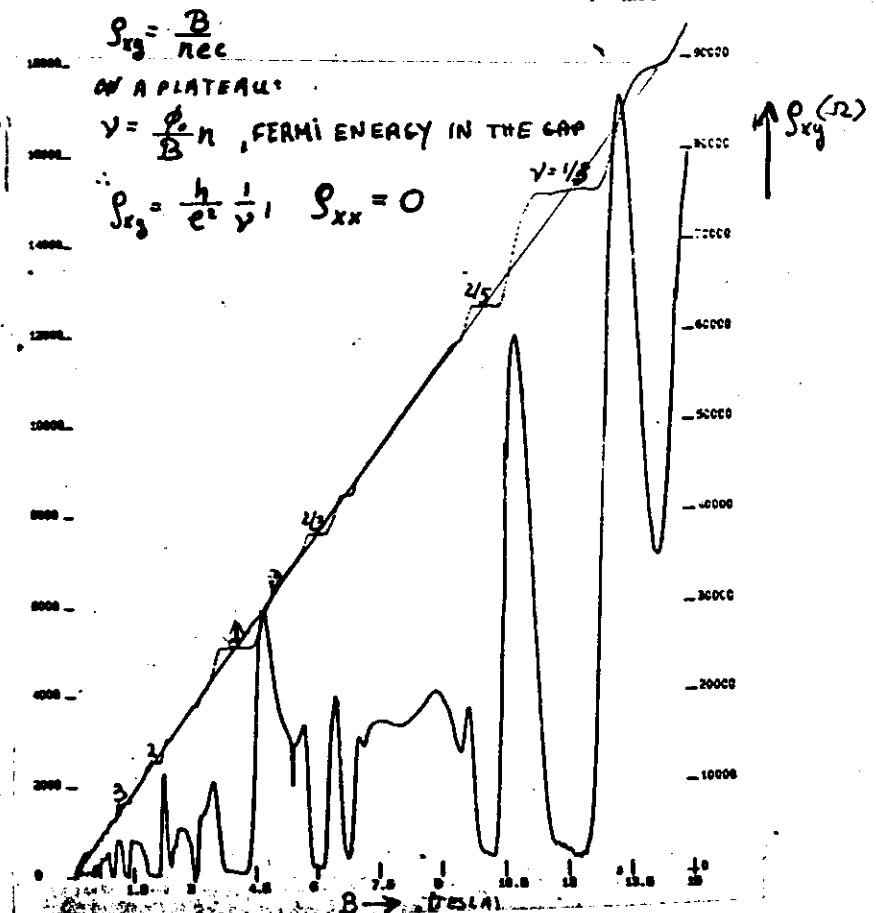
FUNDAMENTAL FLUX UNIT $\phi_0 = \frac{hc}{e}$

DEGENERACY $D = \frac{B}{\phi_0}$

LANDAU LEVEL $E_j = (\frac{1}{2} + j)\hbar\omega_c$, $\omega_c = \frac{eB}{mc}$

FILLING FACTOR: $\nu \equiv \frac{n}{D} = \frac{\phi_0}{B} n$: # OF ELECTRONS PER FLUX LINE

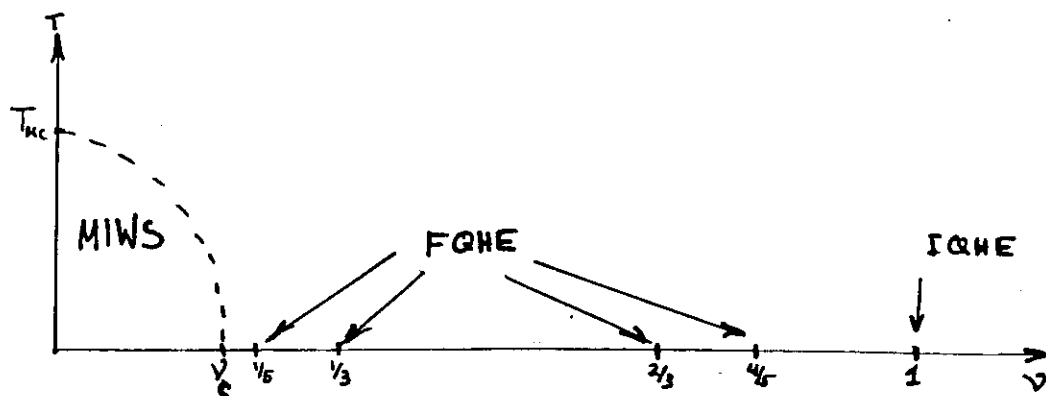
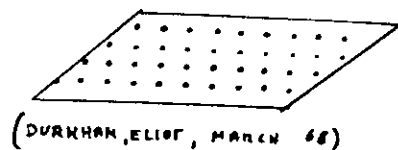
$2\pi\omega_c = 360 \text{ GHz/Tesla}$, $\phi_0 = 10^{-8} \text{ Gauss} \times \text{cm}^2$, $l_c = 40 \text{ \AA/Tesla}$



THE MAGNETIC FIELD LOCALIZES THE ELECTRON INTO A CYCLOTRON ORBIT

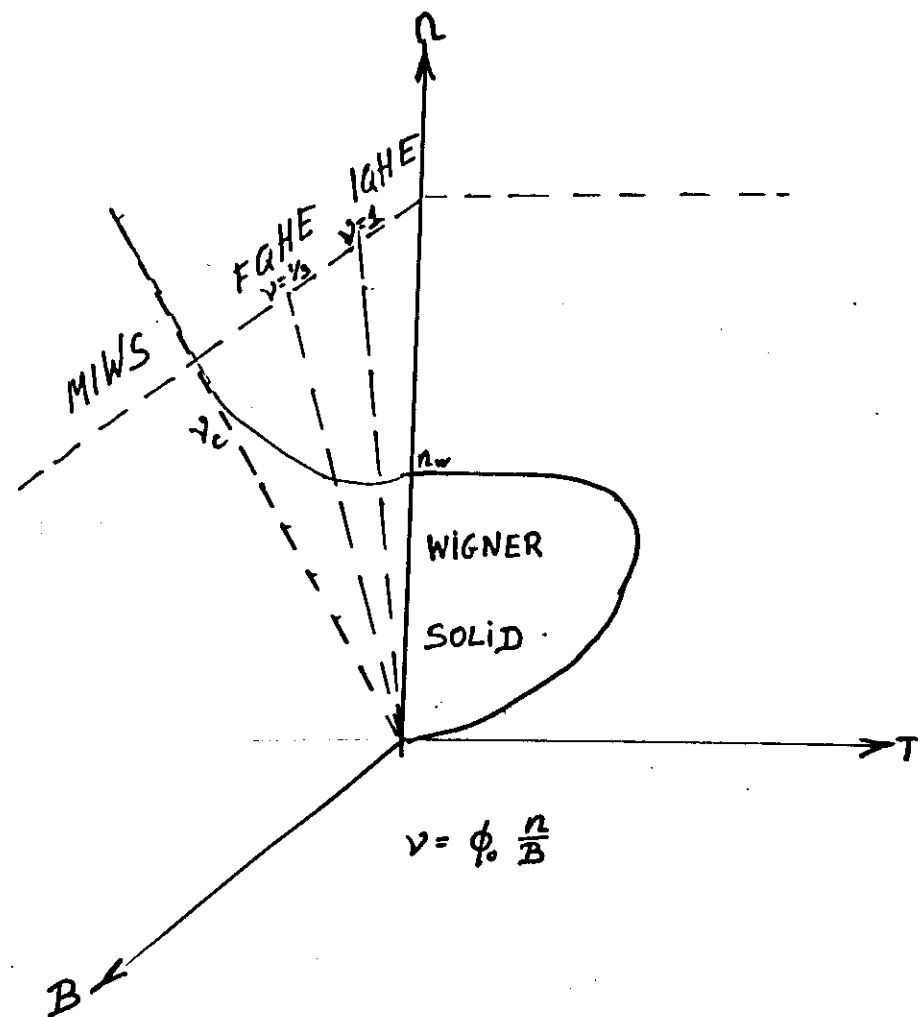
$B \rightarrow \infty$
 $\nu \rightarrow 0$ $\rightarrow l_c \ll a$ NO MORE OVERLAP $\rightarrow$ CLASSICAL

$T \leq T_{MC}$ MAGNETICALLY
 INDUCED
 WIGNER
 SOLID



(Levesque, Weiss)
 (McDonald 84) $0.11 \leq \nu_c^{Th} \leq .25$ (HAKI, ZOTOS 83)

PHASE DIAGRAM IN n, T, B



WHAT IS A SOLID? (3-D)

1. MACROSCOPIC DEF:

A SYSTEM THAT RETURNS TO ITS ORIGINAL SHAPE

UPON THE REMOVAL OF SHEAR STRESS.

2. MICROSCOPIC DEF.:

PERIODIC STRUCTURE $\Rightarrow$ LONG RANGE ORDER $\propto$

$$\langle \rho_{\vec{q}}(0) \rho_{\vec{q}}^*(r) \rangle \equiv C_{\vec{q}}(r) \xrightarrow{r \rightarrow \infty} \text{CONST.}$$

LIQUID

1. MACROSCOPIC:

VISCOUS RESPONSE TO SHEAR STRESS

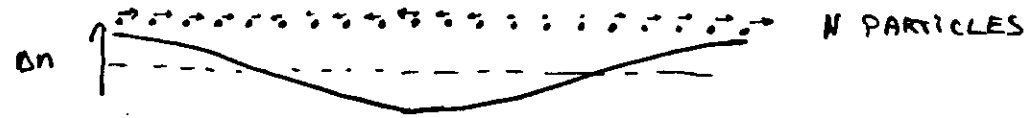
2. MICROSCOPIC: NO LONG RANGE ORDER

$$C_{\vec{q}}(r) \sim e^{-r/\xi}$$

MELTING:

IS MEDIATED BY FLUCTUATIONS

FLUCTUATIONS



FOR A HARMONIC INTERACTION BETWEEN NEAREST NEIGHBOURS AND SMALL DISPLACEMENTS THE ENERGY OF THIS DENSITY FLUCTUATION IS:

	1-D	2-D	3-D
	$N \left(\frac{2\pi}{N} \right)^2$	$N^2 \left(\frac{2\pi}{N} \right)^2$	$N^3 \left(\frac{2\pi}{N} \right)^2$
$N \rightarrow \infty$	$\rightarrow 0$	$\rightarrow \text{CONST}$	$\rightarrow \infty$
$T \rightarrow 0$ LONG RANGE ORDER?	NO	?	YES

1923 - 1937 PEIRELS, LANDAU, 1966 MERMIN & WAGNER:

$$\langle u^2 \rangle \sim kT \log N$$

IN A 2-D SYSTEM (of continuous symmetry and n.n. interaction) THE LONG RANGE ORDER IS DESTROYED BY FLUCTUATIONS AT ANY $T > 0$. (solids, superfluid films, superconducting films, xy model)

CAN A 2D SOLID EXIST?

1968 - HERRMAN, WAGNER

$$C_g(R) \xrightarrow{R \rightarrow \infty} R^{-\alpha}$$

↓
QUASI LONG RANGE
POSITIONAL ORDER

$$C_g(\theta) \xrightarrow{R \rightarrow \infty} \text{const}$$

↓
LONG RANGE ORIENTATIONAL
ORDER

$C_g(\theta)$ = BOND ANGLE CORRELATION
FUNCTION

1972 - KOSTERLITZ, THOULESS

1978 - HALPERIN NELSON YOUNG

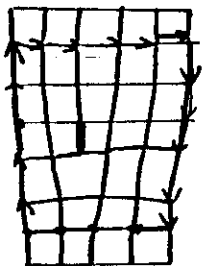
THE 2-D SYSTEM AT $T > 0$ IS VIEWED AS AN ORDERED SYSTEM

⊕ THERMALLY EXCITED TOPOLOGICAL DEFECTS.

CRYSTAL
⊕
DISLOCATIONS

SUPERFLUID
⊕
VORTICES

SUPERCONDUCTOR
⊕
FLUX LINES



$b \rightarrow$ BURGES'S VECTOR



$$\Delta\psi = 2\pi$$

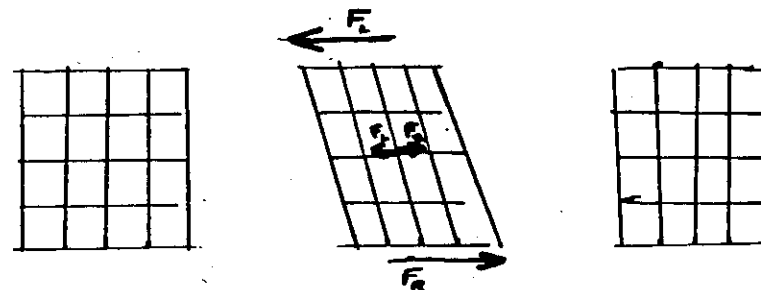
$$\psi(r) \rightarrow \psi(r) e^{i\varphi}$$



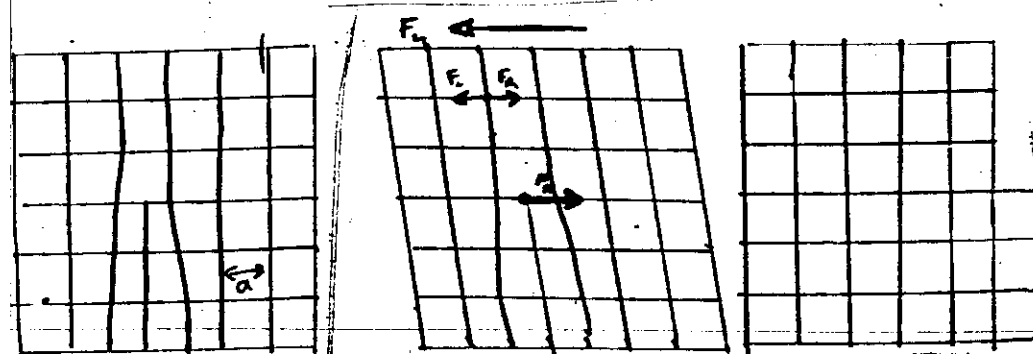
$$\Delta\varphi = 2\pi$$

$$\psi(r) \rightarrow \psi(r) e^{i\varphi}$$

- PERFECT CRYSTAL
- LONG RANGE ORDER (MICROSCOPIC)
- ELASTIC RESPONSE TO SHEAR STRESS (MACROSCOPIC)



TOPOLOGICAL DEFECTS: DISLOCATIONS



DESTROY LONG RANGE ORDER

PLASTIC
RESPONSE TO SHEAR
(LIQUID)

• UNPAIRED DISLOCATIONS $\Rightarrow$ LIQUID PHASE.

FREE ENERGY OF DISLOCATION IN SOLID

$$F = E_c + \frac{a^2 \mu}{2\pi} \ln N - 2k_B T \ln N$$

μ = shear modulus

$$T_m = \frac{a^2 \mu_m}{4\pi k_B}$$

AT $T \geq T_m$ LATTICE BECOMES
UNSTABLE TO CREATION OF
FREE DISLOCATIONS $\Rightarrow$ MELTING

CONSIDER A PAIR OF DISLOCATIONS OF EQUAL BUT OPPOSITE $\vec{b}$ SEPARATED BY A DISTANCE d :



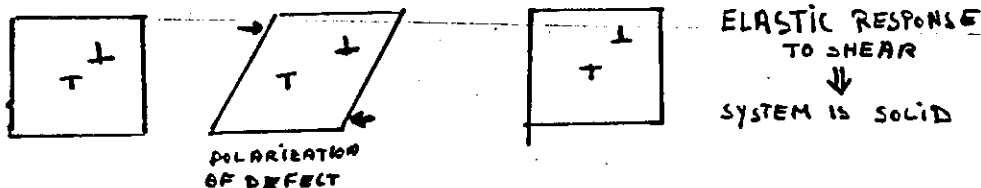
THE FREE ENERGY OF SUCH A PAIR:

$$F \approx 2E_c + \frac{b^2 \mu}{2\pi} \log \frac{d}{a} - 4k_b T \log \frac{L}{a}$$

- $0 < T < T_{KT}$: FINITE CONCENTRATION OF THERMALLY EXCITED DISLOCATIONS. (VORTICES, FLUX LINES)

- CONSERVE THE LONG RANGE ORIENTATIONAL ORDER
ALGEBRAICALLY DECAYING ORIENTATIONAL ORDER

- MACROSCOPIC PROPERTIES OF THE ORDERED PHASE PERSIST.



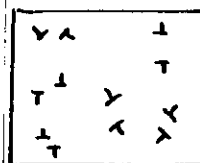
- $T > T_{KT}$ FREE TOPOLOGICAL DEFECTS ARE PRESENT

$$C_s(r) \xrightarrow{r \rightarrow \infty} e^{-r/\xi}, \quad C_s(0) \rightarrow r^{-2}$$

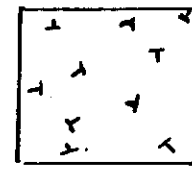
- MACROSCOPIC PROPERTIES OF ORDERED PHASE ARE LOST
 $\mu = 0$ PLASTIC RESPONSE TO SHEAR; $S_s = 0$ NO SUPERFLUIDITY

THE KOSTERLITZ-THOULESS TRANSITION

MEDIATED BY UNBINDING OF PAIRED DISLOCATIONS



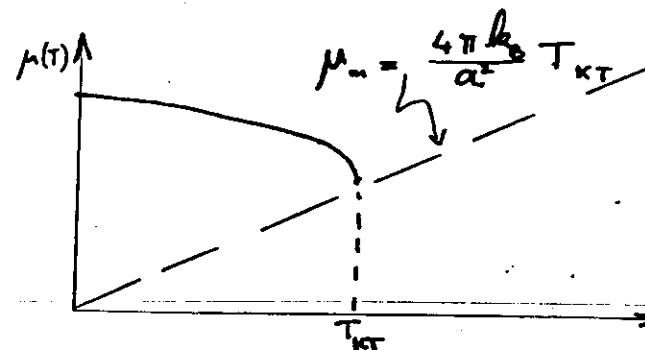
$T < T_{KT}$



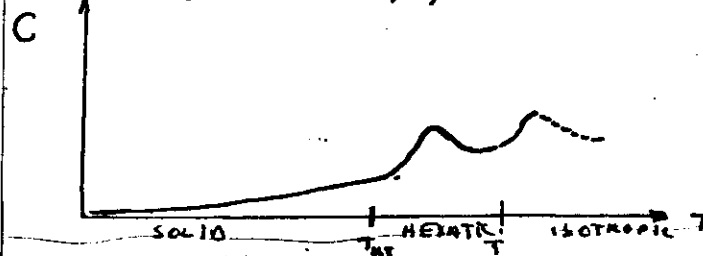
$T > T_{KT}$

$$T_{KT} = \frac{a^2 \mu_m}{4\pi k_b}$$

AT MELTING - UNIVERSAL JUMP IN SHEAR MODULUS



TRANSITION IS OF CONTINUOUS ORDER - NO SPECIFIC HEAT DISCONTINUITY, NO LATENT HEAT.



TRANSITION TO ISOTROPIC PHASE PROCEEDS VIA INTERMEDIATE HEXATIC PHASE $\rightarrow$ UNBINDING OF PAIRED DISLOCATIONS $\rightarrow$ LOSS OF ORIENTATIONAL ORDER

ALTERNATIVE MELTING MECHANISM: GRAIN BOUNDARY MELTING

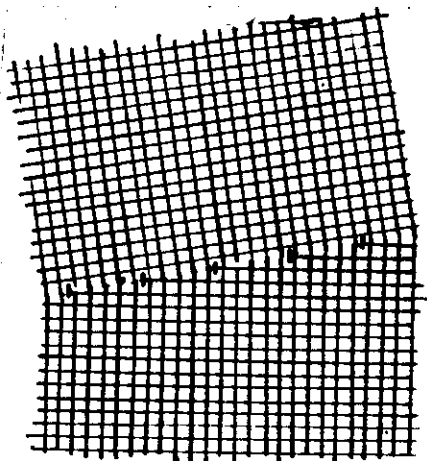
CHUI, SMITH 78; MORF 79; KALIN, VASHISHTA, DE LEEUW 81

SYSTEM BECOMES UNSTABLE
FORMATION OF SMALL
IONIC CHAINS SEPARATED BY
GRAIN BOUNDARIES

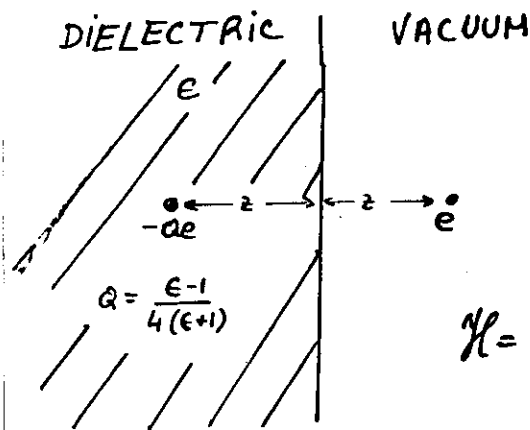
$$T < T_M^{KT}$$

TRANSITION IS FIRST
ORDER

NUMERICAL ESTIMATES OF ENTROPY JUMP AT
MELTING $\Delta S_m \approx 0.3 k_B$



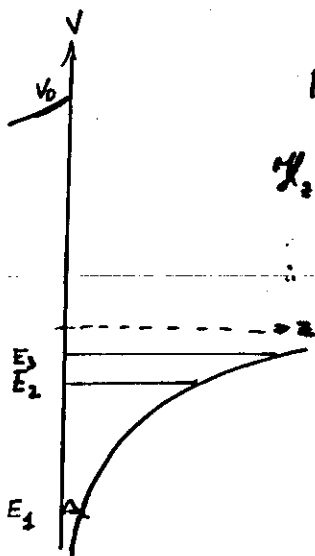
REALIZATIONS OF 2-D ELECTRON SYSTEMS



FOR $z \gg a \Rightarrow$ TRANSLATIONAL
INVARIANCE
IN XY PLANE

$$\mathcal{H} = \begin{cases} \frac{p_x^2}{2m} + \frac{p_y^2}{2m} + \frac{p_z^2}{2m} - \frac{e^2 Q}{z} & z > 0 \\ \frac{p_x^2}{2m} + \frac{p_y^2}{2m} + V_0 & z \leq 0 \end{cases}$$

$\mathcal{H}_{xy} \quad \mathcal{H}_z$



$$E_{lk} = E^0(k) + E_c^{\pm} = \frac{\hbar^2 k^2}{2m} + E_c^{\pm}$$

$\mathcal{H}_z$: 1-D HYDROGEN ATOM WITH
PROTON OF CHARGE Q

$$E_c^{\pm} = -\frac{Q^2}{n^2} R_{yd} \quad l=1,2,\dots$$

$\psi_c^{\pm}(z)$ = Hydrogenic radial wave function

$$\psi_1^{\pm}(z) = 2\gamma^{3/2} z e^{-\gamma z}$$

$$\gamma = \frac{m Q e^4}{\hbar^2} = \frac{Q}{a_B}$$

$$\langle z \rangle_1 = \frac{2}{\gamma} \frac{a_B}{Q} = \frac{0.75}{Q} \text{ \AA}$$

MO-T DIELECTRICS $\epsilon \approx 4 \Rightarrow \langle z \rangle_1 \sim 5 \text{\AA} \approx a$
 $\Rightarrow$ ELECTRON IS SENSITIVE TO LOCAL STRUCTURE OF SURFACE
 $\Rightarrow$ NO TRANSLATIONAL INVARIANCE
 $\Rightarrow$ TRAPPING



WHEN $Q \ll 1$, $\epsilon - 1 \ll 0 \Rightarrow \langle z \rangle_1 \gg a \Rightarrow$ 2D ELECTRON SYSTEM

WEAKLY POLARIZABLE MATERIALS

	ϵ	Q	E_1 (meV)	$\langle z \rangle_1$ (Å)
^3He	1.026	5.2×10^{-3}	$0.39 \sim 4^\circ\text{K}$	150
^4He	1.057	6.9×10^{-3}	$0.79 \sim 8^\circ\text{K}$	114
Ne	1.11	22×10^{-3}	$11.5 \sim 110^\circ\text{K}$	36
H_2	1.13	26×10^{-3}	$18 \sim 180^\circ\text{K}$	28

ELECTRONS ON HELIUM:

$T < 4.2^\circ\text{K}$ Helium is liquid $\rightarrow$ surface is smooth: All fluctuations time average to zero.

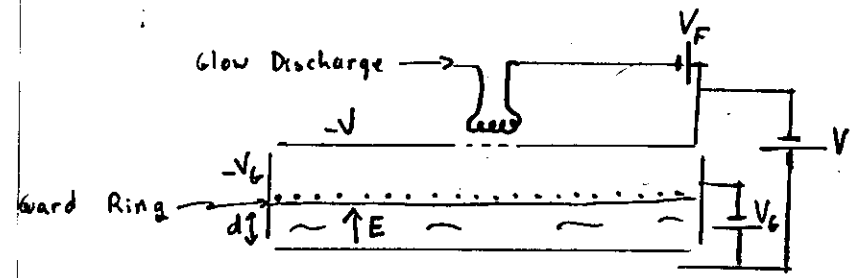
$\langle z \rangle_1 \gg a \sim 2^\circ\text{A} \Rightarrow$ Translational invariance

$V_0 \sim \frac{\hbar^2}{m r_0^2} \sim 1\text{eV}$ Pauli exclusion principle
 $\Rightarrow V_0 \gg E_1$ infinite barrier approximation justified

$E_1 \sim 8^\circ\text{K} \gg$ Energy of motion in plane $\leq 1^\circ\text{K}$
 $\Rightarrow$ TWO DIMENSIONAL BEHAVIOUR

ELECTRONS ON LIQUID HELIUM: $\epsilon = 1.057$, $Q = 7 \times 10^{-3}$

$z_1 = 80 \text{\AA}$, $E_1 = 8^\circ\text{K}$



$E = \frac{V}{d} = 4\pi en$ FULLY CHARGED SURFACE

TEMPERATURE $20\text{mK} \leq T \leq 1^\circ\text{K}$

MOBILITY $\mu \approx 10^7 \text{ cm}^2/\text{V}\cdot\text{sec}$

SCATTERING TIME $\tau \leq 6 \text{ nsec}$

MEAN FREE PATH $\leq 3 \mu\text{m}$

HELIUM SURFACE INSTABILITY:
THE RIPPLON DISPERSION RELATION

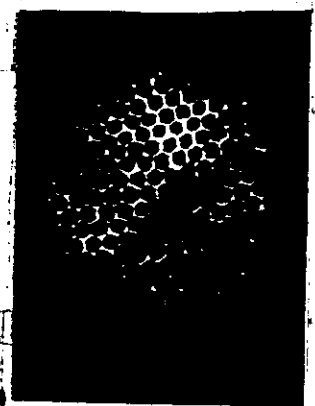
$$\omega_k^2 = gk + \frac{\alpha}{5} k^3 - (E_1^2 + 4\pi^2 n^2 e^2) \frac{\hbar^2}{20g}$$

BECOMES UNSTABLE AT $n \geq 2 \times 10^9 \text{ cm}^{-2}$

$\Rightarrow$ MANY ELECTRON DIMPLE INSTABILITY:
(P. LEIDERER)

$n < n_w = 10^{13}$ NO WIGNER TRANSITION

SYSTEM IS CLASSICAL: $T_F \leq 0.02^\circ\text{K}$



Periodic array of charged dimples on a liquid helium surface. Each dimple is about a millimeter wide, a tenth of a millimeter deep and contains about 10^7 electrons. (Photograph taken by Paul Leiderer at University of Mainz.)

IS IT REALLY TWO-DIMENSIONAL?

IN 3D



MAGNETIC FIELD $\Rightarrow$ LORENZ FORCE
 $\Rightarrow$ CYCLOTRON RESONANCE

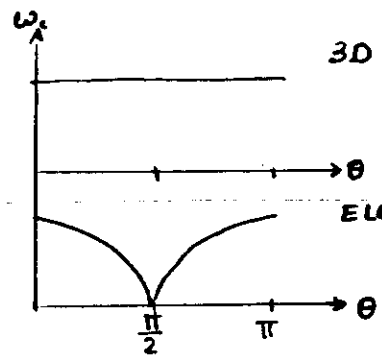
$$\omega_c = \frac{eH}{m^*c}$$

N 2D



ONLY IN-PLANE MOTION IS POSSIBLE

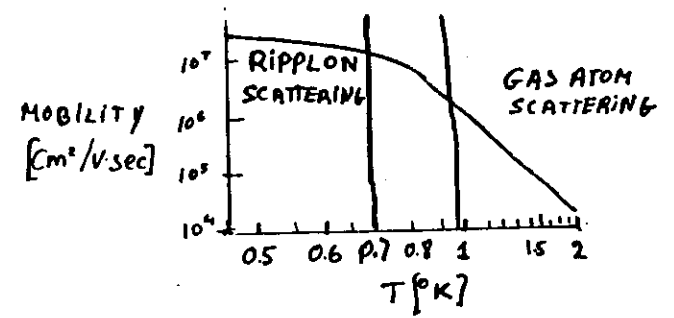
$$\omega_c = \frac{eH \cos \theta}{m^*c}$$



3D PLASMA

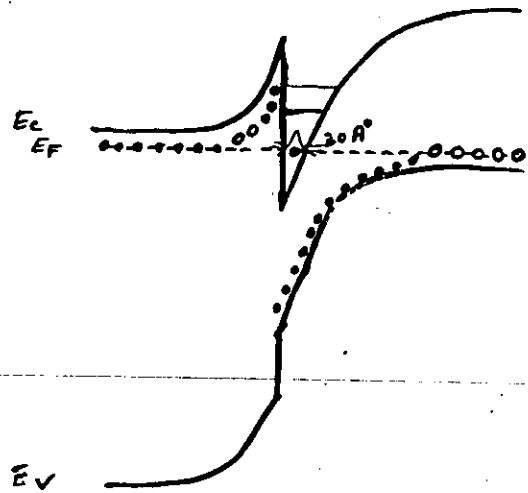
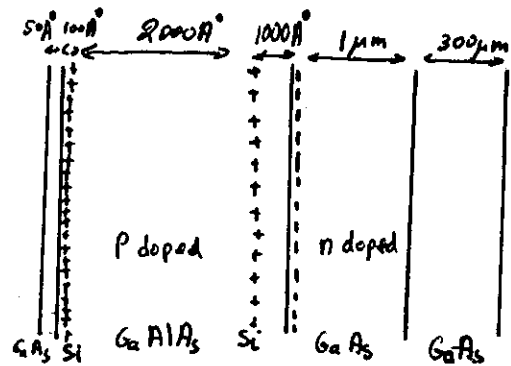
ELECTRONS ON HELIUM $T < 8^\circ K$

INTERACTIONS WITH THE 3rd DIMENSION:



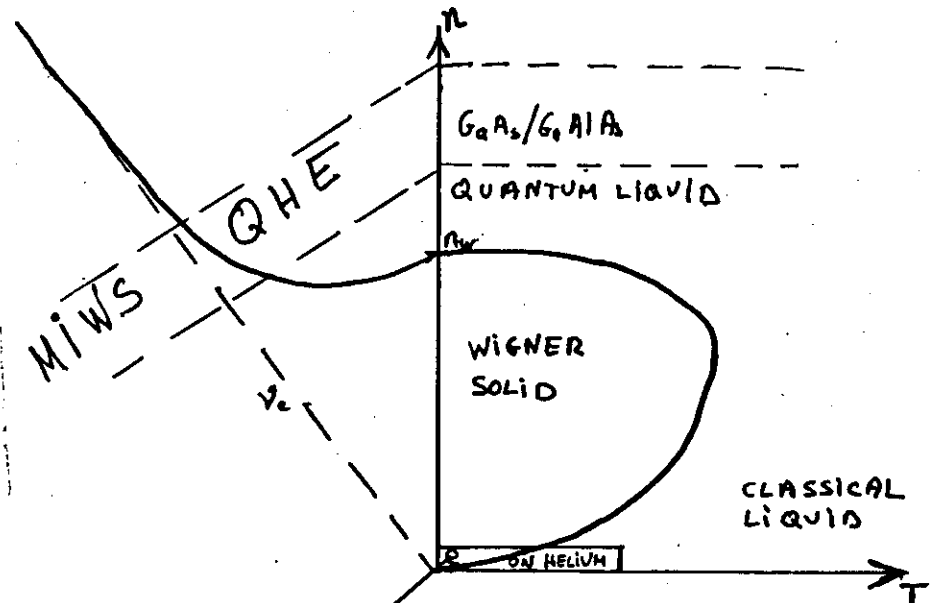
$\therefore$ MEAN FREE PATH $\lesssim 3 \mu$
 SCATTERING TIME $\lesssim 6 \text{ nsec}$

2-DIMENSIONAL ELECTRON GAS IN A $\text{GaAs}/\text{GaAlAs}$ heterojunction

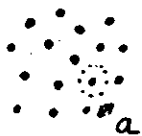


$E_F \sim 500 \text{ K}$
 $n \geq 3 \times 10^{10} \text{ cm}^{-2}$
 $\mu \leq 10^6 \text{ cm}^2/\text{Vsec}$
 $L_{\text{eff}} \approx 0.2 \mu\text{m}$
 $\tau_{\text{sc}} \leq 2 \text{ nsec}$

		ELECTRONS ON HELIUM	$\text{GaAs}/\text{GaAlAs}$ HETEROJUNCTION
MASS	m^*	m_e	$0.07 m_e$
DIELECTRIC CONSTANT	ϵ	1.057	~ 13
MOBILITY	μ	$\leq 10^7 \text{ cm}^2/\text{Vsec}$	$\leq 2 \times 10^6$
SCATTERING TIME	τ	$\leq 6 \text{ nsec}$	$\leq 0.08 \text{ nsec}$
MEAN-FREE PATH	l	$\leq 3 \mu\text{m}$	$\leq 0.4 \mu$
DENSITY	n	$\leq 2 \times 10^9 \text{ cm}^{-2}$	$\geq 5 \times 10^{10} \text{ cm}^{-2}$
CITICAL DENSITY	n_w	$4 \times 10^{13} \text{ cm}^{-2}$	10^9 cm^{-2}
BINDING ENERGY	E_b	$\sim 8^\circ \text{K}$	$\sim 500^\circ \text{K}$
FERMI TEMPERATURE	T_F	$\leq 0.02^\circ \text{K}$	$\geq 8^\circ \text{K}$



2-D ELECTRON PLASMA



electron density: $n = (\pi a^2)^{-1}$

Coulomb correlation energy $V_c = \frac{e^2}{\epsilon} (\pi n)^{1/2}$

$$K.E. < k_B T$$

$$E_F = \frac{\hbar^2 \pi^2}{m^*} n$$

THERMODYNAMIC
PARAMETER

M.O.S. $\frac{G_A R_S}{G_A} \frac{V_c}{K.E.}$

(Leporely 78)

$\Gamma_s^m = \frac{V_c}{E_F} = 33$

QUANTAL $k_B T \ll E_F$

Q. LIQUID

Q. SOLID

CLASSICAL: $k_B T \gg E_F$

$\mu \neq 0$

$\Gamma^m = \frac{V_c}{k_B T} = 130$ (C.A. 79)

Electrons on He

	m^*/m_0	ϵ	n_w	n	Γ_s
e on He	1	1.06	1.5×10^{13}	$\leq 2 \times 10^9$	$\gg 2000$
$G_A R_S$	0.07	13	5×10^8	$\geq 3 \times 10^{10}$	≤ 3

HOW TO DETECT A CRYSTAL?

DISTINGUISHING PROPERTIES:

1. MICROSCOPIC: LONG RANGE ORDER $\Rightarrow$ STRUCTURE FACTOR

$\Rightarrow$ SCATTERING EXPERIMENTS: X-RAYS
LIGHT
ELECTRONS

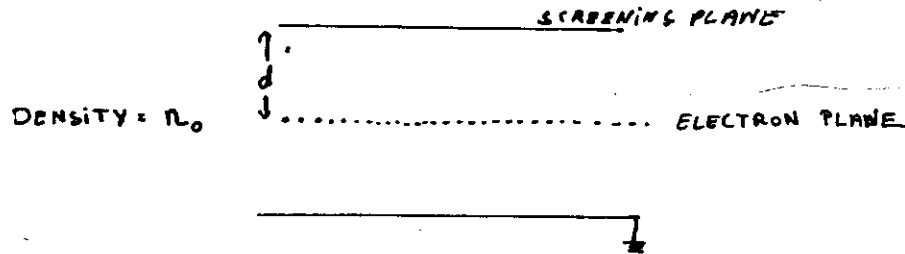
2D ELECTRON LAYER $\sim 10^{10}$ PARTICLES

SCATTERING EXPERIMENTS DON'T WORK

2. MACROSCOPIC: ELASTIC RESPONSE TO SHEAR

$\Rightarrow$ TRANSVERSE MODE.

COLLECTIVE EXCITATIONS



1. ELECTRON LIQUID

$n = n_0 + n_1 e^{i(kx - \omega t)}$
CALCULATE RESPONSE TO ELECTRON DENSITY MODULATION

LAPLACE: $\Delta \phi = -\frac{4\pi n_1 e}{\epsilon} \delta(x)$

CONTINUITY: $\frac{\partial n}{\partial t} + n \vec{\nabla} \cdot \vec{v} = 0$

MOTION: $m \frac{\partial \vec{v}}{\partial t} = e \vec{\nabla} \phi$

$\omega_p^2 = \frac{2\pi n e^2 \hbar}{m \epsilon}$

$\omega_p^2 = \begin{cases} \frac{2\pi n e^2 \hbar}{m \epsilon} k & kd \gg 1 \text{ UNSCREENED} \\ \frac{2\pi n e^2 d}{m \epsilon} k^2 & kd \ll 1 \text{ SCREENED} \end{cases}$

COMPARE TO 2-D: $\omega_p^2 = \frac{4\pi n e^2}{m}$ TOTAL SCREENING
CHARGE FLUCTUATIONS-LOCAL
 $v_g = 0$ DO NOT PROPAGATE

2-D: SCREENING ONLY IN PLANE - 2D PLASMA
CANNOT SCREEN 3-D INTERACTION
2D PLASMON IS DISPERSIVE, DENSITY FLUCTUATIONS CAN PROPAGATE

2. 2D ELECTRON SOLID

THE TRIANGULAR LATTICE IS THE MOST STABLE
CONFIGURATION OF THE SOLID

LBONSAI, A MARADIN
Phys Rev B 15 (1977) 1959

THE NORMAL MODES OF THIS SOLID:

$\omega_L^2(k) = \omega_p^2(k) - 5\omega_T^2(k)$ LONGITUDINAL
 $\omega_T^2(k) = C_t^2 k^2$ TRANSVERSE

$C_t = \left(\frac{\mu}{m n}\right)^{1/2}$, $\mu = 0.2 \frac{n^{3/2} e^2}{\epsilon}$ = SHEAR MODULUS

ORDERS OF MAGNITUDE: FOR $n = 10^8$, $k = 500 \text{ cm}^{-1}$, ω
 $\omega_L = 4 \times 10^8 \text{ Rad/sec}$
 $\omega_p = 10^{10} \text{ Rad/sec} \gg \omega_L \Rightarrow \omega_L(k) \approx \omega_p(k)$

LIQUID PHASE

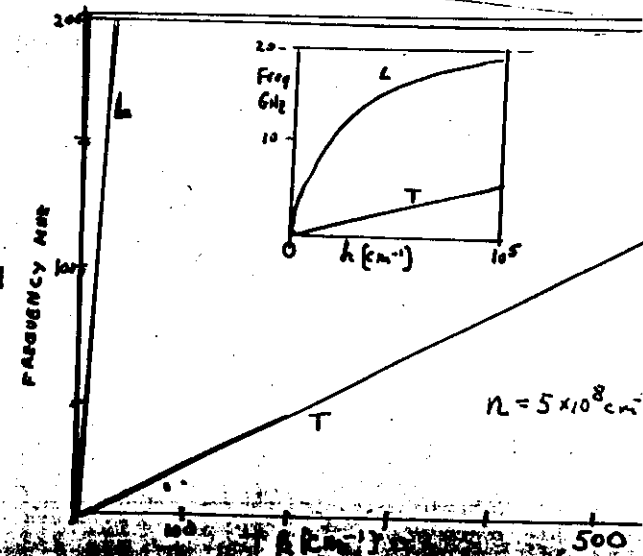
$\omega_p(k) = \frac{4\pi n e^2}{m \epsilon} k$ Longitudinal

SOLID PHASE

$\omega_L^2(k) = \omega_p^2(k)$ Longitudinal

$\omega_T^2(k) = \frac{\mu}{m n} k^2$ Transverse

$\mu \neq 0 \Leftrightarrow \text{SOLID}$



How can we measure μ ?

$\neq 0 \Rightarrow$ Elastic response to shear stress $\oplus$ inertia $\Rightarrow$ propagating shear wave

APPLY SHEAR FORCE $F_s = F_e e^{i(kx - \omega t)}$

MEASURE RESPONSE OF SYSTEM u_t

$$\underbrace{m \ddot{u}_t}_{\text{inertia}} = - \underbrace{m c_t^2 k^2 u_t}_{\text{restoring shear force}} + \underbrace{m/\tau_c \dot{u}_t}_{\text{Damping}} + \underbrace{F_t}_{\text{External force.}}$$

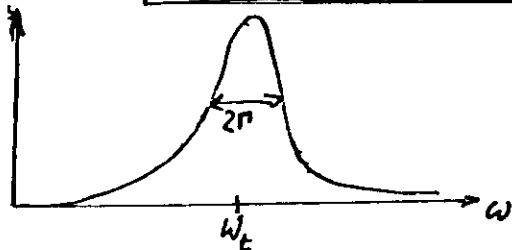
real system: $\mu = \mu' + i\omega\eta$ $\tau_c^{-1} = \frac{\omega\eta}{m\tau}$ τ scattering time

$$u_t = \frac{F_t/m}{\omega^2 - \omega_t^2 + i\omega\Gamma_t} \equiv F_t \chi = F_t (\chi' + i\chi'')$$

↑
SUSCEPTIBILITY

$$P_{abs}^+ = \text{Re}[n \langle \dot{u} \rangle F_t] = \frac{n\omega}{2} \chi'' F_t^2$$

$$P_{abs}^+ = \frac{n F_t^2}{2m} \frac{\omega^2 \Gamma_t}{(\omega^2 - \omega_t^2)^2 + \omega^2 \Gamma_t^2}$$



$$\omega_t = c_t k \Rightarrow \mu = m n c_t^2$$

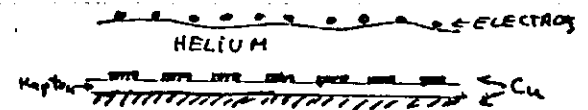
$$\Delta\omega_t = 2\Gamma \Rightarrow \Gamma = \frac{m n}{k^2} \Gamma$$

TO EXCITE A LONGITUDINAL MODE (PLASMON) APPLY F_e

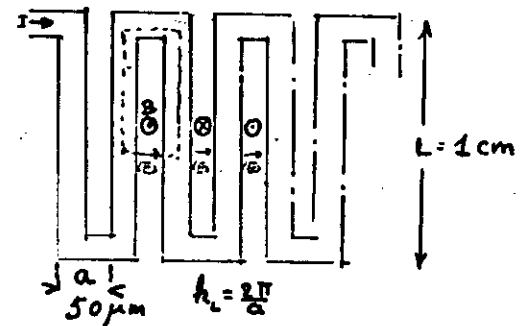
$$P_{abs}^L = \frac{n F_e^2}{2m} \frac{\omega^2 \Gamma_e}{(\omega^2 - \omega_p^2)^2 + \omega^2 \Gamma_e^2}$$

TO MEASURE μ WE NEED 1. FINITE k $\omega_t \propto \mu^{1/2} k$
2. APPLY TRANSVERSE FORCE.

USE A 50Ω MEANDER STRIPLINE TO IMPOSE FINITE k
MODULATION OF ELECTRIC FIELD IN ELECTRON PLANE:



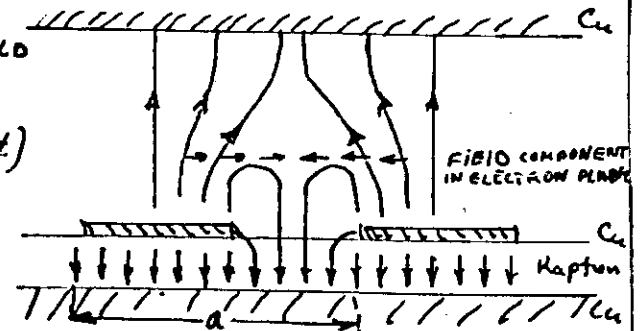
$\langle E \rangle = \frac{1}{2} i \omega L \langle B \rangle \sim e^{i(\frac{k}{2}L - \omega t)}$
Long wavelength LONGITUDINAL
electric field - inductive
coupling to electrons
 $v_L = c \frac{\lambda}{L}$ SLOW PROPAGATION



SHORT WAVELENGTH
LONGITUDINAL ELECTRIC FIELD
CAPACITIVE COUPLING

$$E_e \sim \frac{1}{\epsilon} h_1 e^{-h_1 z} e^{i(kx - \omega t)}$$

$$h_1 = \frac{\omega}{v_L} + h_L$$

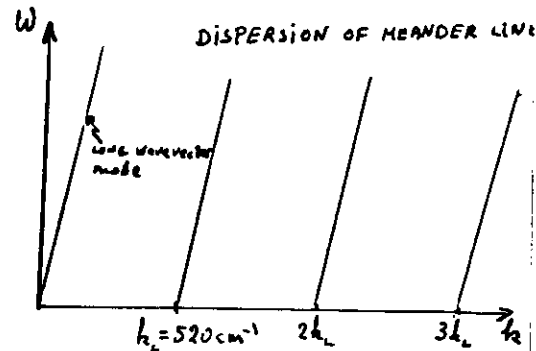


THE STRIPLINE CREATES A PLANE WAVE LONGITUDINAL ELECTRIC FIELD IN THE PLANE OF THE ELECTRONS WITH SPATIAL MODULATION CHARACTERIZED BY

$$k_s = \frac{\omega}{v_L} + s k_L \quad s = 0, 1, 2, \dots$$

$$\vec{E} \approx \hat{x} \sum_s k_s e^{-k_s z} e^{i(k_s x - \omega t)}$$

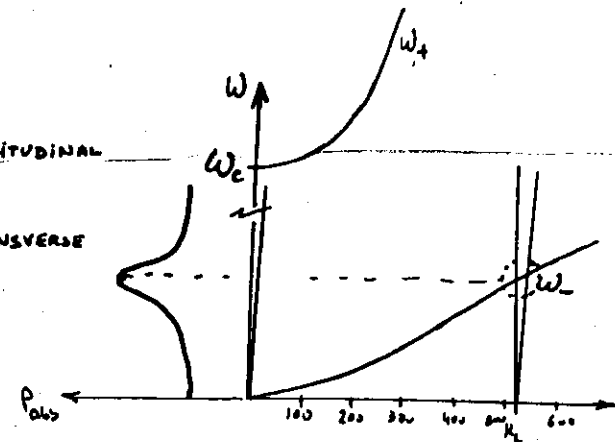
EXCITES ONLY PLASMONS!



THE NORMAL MODES

$$\omega_+^2 = \omega_c^2 + \omega_p^2 \quad \text{MOSTLY LONGITUDINAL}$$

$$\omega_-^2 = \frac{\omega_p^2}{\omega_p^2 + \omega_c^2} \cdot \omega_c^2 \quad \text{MOSTLY TRANSVERSE}$$



THE EXPERIMENT:
P.R.L. 53, (1984) 588

How to PRODUCE A TRANSVERSE FIELD?

USE LORENTZ FORCE: $\vec{F}_\perp = \frac{e}{c} \vec{v}_\perp \times \vec{B} \propto \vec{F}_\perp \times \vec{B}$
 $\Rightarrow$ APPLY MAGNETIC FIELD $B_z \Rightarrow$ COUPLE u_c and $u_\perp$

$$\begin{cases} m \ddot{u}_\perp + m \omega_p^2 u_\perp - \frac{m}{c} \dot{u}_c + \frac{e}{c} \dot{u}_c B_z = e E_c \\ m \ddot{u}_c + m \omega_c^2 u_c - \frac{m}{c} \dot{u}_\perp - \frac{e}{c} \dot{u}_\perp B_z = 0 \end{cases}$$

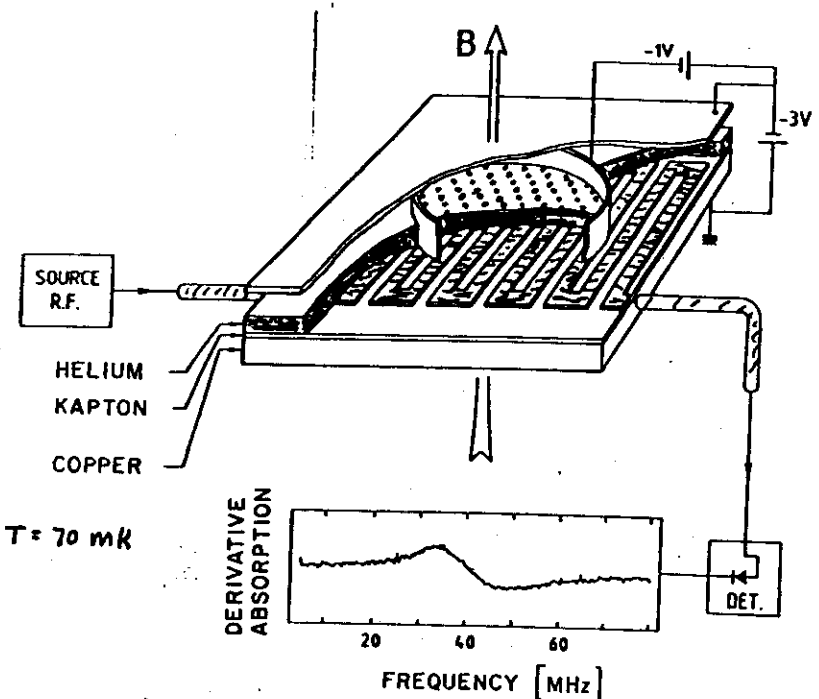
COUPLED EQUATIONS

$$\begin{pmatrix} \omega^2 - \omega_p^2 - i\omega\Gamma & i\omega\omega_c \\ -i\omega\omega_c & \omega^2 - \omega_c^2 - i\omega\Gamma \end{pmatrix} \begin{pmatrix} u_\perp \\ u_c \end{pmatrix} = \begin{pmatrix} \frac{e}{m} E_c \\ 0 \end{pmatrix}$$

DYNAMICAL MATRIX

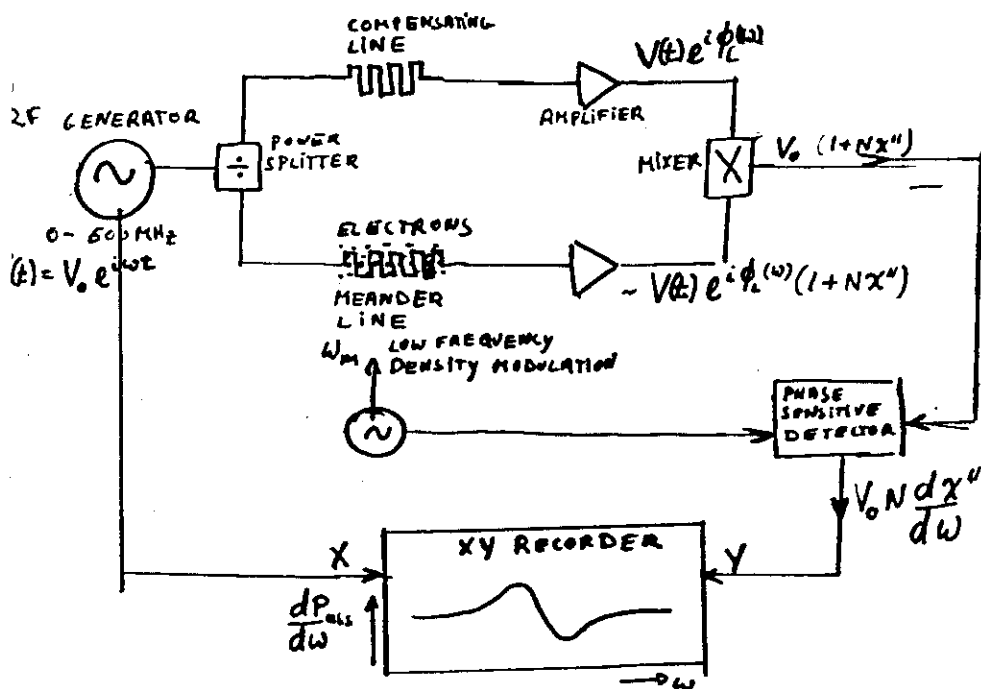
$$\omega_c = \frac{e B_z}{mc}; \quad \text{CYCLOTRON FREQUENCY} \sim 2.7 \text{ MHz/GAUSS}$$

$$u_\perp \sim \omega \omega_c E_c$$

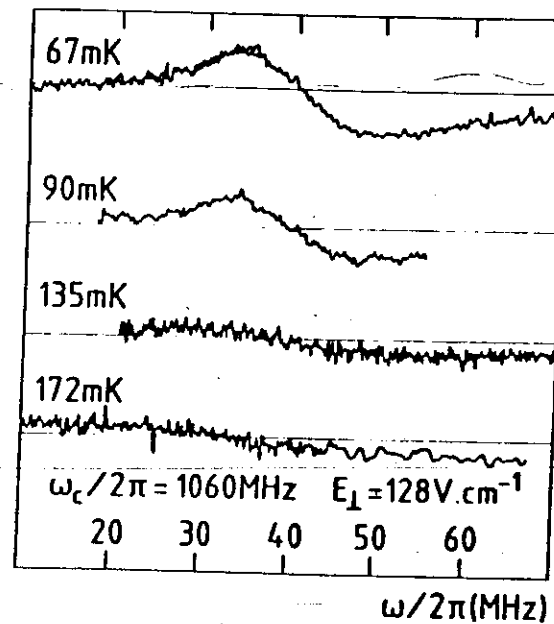


$$n = 6 \times 10^{17} \text{ cm}^{-3}, T = 70 \text{ mK}$$

DETECTION SCHEME



DISAPPEARANCE OF SHEAR MODE AT T_m .



$$n = 6 \times 10^{17} \text{ cm}^{-2}$$

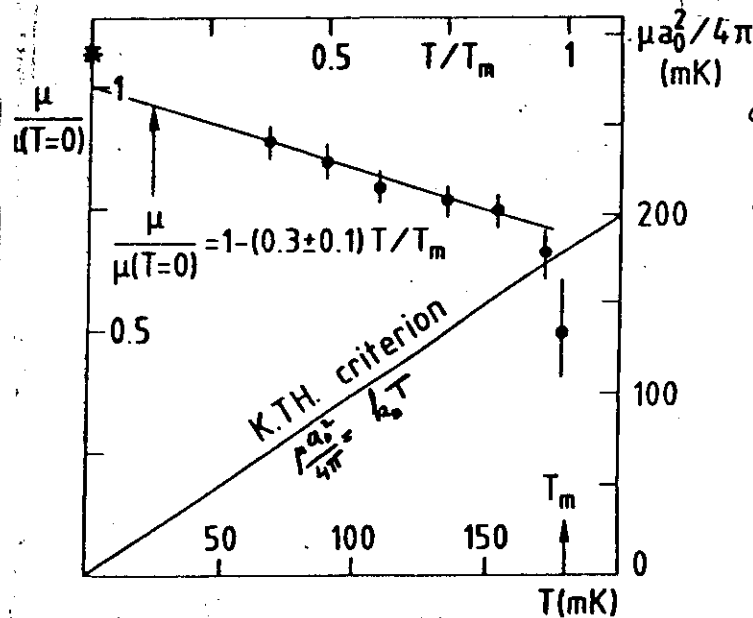
$$k_c = 520 \text{ cm}^{-1}$$

$$\frac{\omega_h}{h} = V_t = \sqrt{\frac{\mu}{mn}}$$

$$\omega_c = 1060 \text{ MHz}$$

$$\omega_p(k_c = 520 \text{ cm}^{-1}) = 1.1 \text{ GHz}$$

EXPERIMENT DEMONSTRATES
THAT SHEAR PROPAGATES
IN 2-D SOLID.



CONSISTENT WITH
HOSLERITZ THOULESS
MELTING MECHANISM

$$T_m = T_{KTH} (\pm 10\%)$$

FOR $T < 0.7T_m$,
 $\mu(T)$ AND $\eta(T)$ ARE LINEAR IN

- $\mu(T) = \mu(T=0) (1 + \alpha T/T_m)$
 $\alpha = 0.3 \pm 0.1$

- THEORETICAL ESTIMATES OF α
 → RENORMALIZATION OF SHEAR
 DUE TO PHONON-PHONON
 INTERACTIONS: $\alpha = 0.18$

D. FISHER Phys. Rev. B 26, 5009 (1982)
 CHANG, MAKI Phys. Rev. B 27, 1646 (1983)

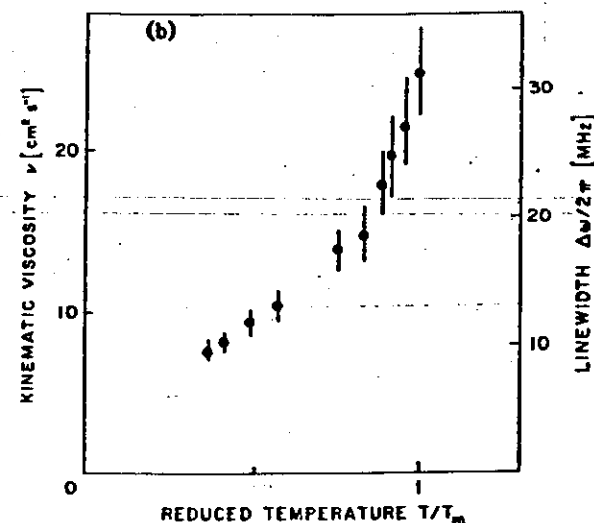
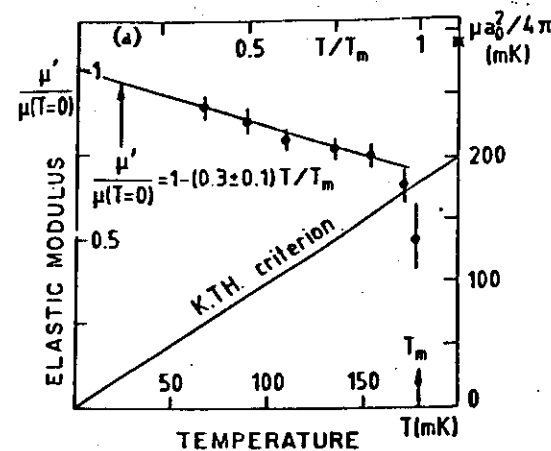
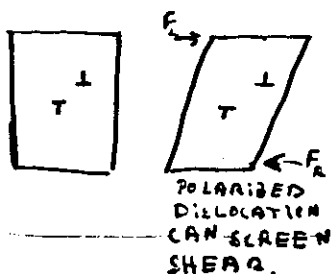
→ MOLECULAR DYNAMICS SIMULATIONS

$\alpha = 0.24$

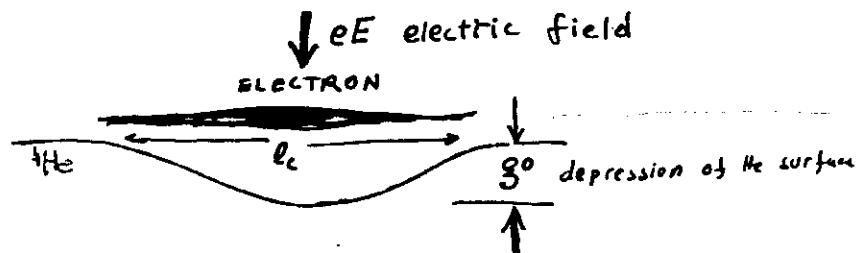
R. MORE Phys. Rev. Lett 43, 921 (1979)

$T > 0.7T_m$

$\mu(T)$, $\eta(T)$ VARY MORE
 RAPIDLY WITH TEMPERATURE
 POSSIBLY DUE TO ANOTHER
 TYPE OF MECHANISM:
 DISLOCATION PAIRS
 ⇒ SCREEN SHEAR BY
 INCREASING THEIR
 DIPOLE MOMENT



BUT THE HELIUM IS A DEFORMABLE SUBSTRATE!



$$l_c = \left(\frac{\alpha}{\rho g}\right)^{1/2} \text{ capillary length } \approx 0.5 \text{ mm}$$

3. is calculated by minimizing the energy of the ELECTRON@ HELIUM DIMPLE COMPLEX (Shikin, Monarkha JETP 38, (1974) 373)

$$W_T = \underset{\substack{\uparrow \\ \text{ELECTROSTATIC}}}{W_e} + \underset{\substack{\uparrow \\ \text{CAPILLARY}}}{W_c} + \underset{\substack{\uparrow \\ \text{GRAVITATIONAL}}}{W_g}$$

$$\left. \begin{aligned} W_e &= eE \int \psi^2(r) g(r) d^2r \\ W_c &= -\alpha \Delta S \\ &\quad \substack{\uparrow \\ \text{SURFACE TENSION}} \quad \substack{\uparrow \\ \text{SURFACE INCREMENT}} \\ W_g &= -\frac{1}{2} \rho g \int g^2 d^2r \end{aligned} \right\} \Rightarrow g^0 \sim \frac{eE}{4\pi\alpha}$$

FOR A TYPICAL EXPERIMENT $E \leq 1000 \text{ V/cm}$ $50 \text{ mK} < T < 1.2 \text{ K}$

$$g^0 \approx 0.1 \text{ \AA}$$

$$W_T \approx 3 \times 10^{-18} \text{ erg} = 30 \text{ mK} < T_{\text{exp.}}$$

∴ THE ELECTRON@ DIMPLE COMPLEX IS UNSTABLE FOR AN ELECTRON GAS OR LIQUID. THE HELIUM SURFACE IS FLAT UNDER THE ELECTRON LIQUID DYNAMICS.

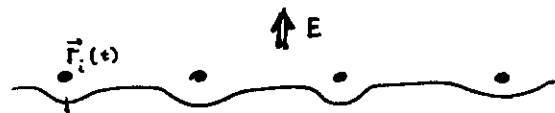
IN THE SOLID PHASE THE ELECTRON MOTION IS CORRELATED

$$W_T = NeES_0 \quad \text{ENERGY GAINED BY TRAPPING } N \text{ ELECTRONS}$$

$$E_T \approx k_B T \quad \text{THERMAL ENERGY OF A 2-D ELECTRON CRYSTAL}$$

⇒ FOR A CRYSTAL WITH CORRELATION LENGTH $\geq 10a$ THE ELECTRON@ DIMPLE COMPLEX IS STABLE.

∴ THE ELECTRON SOLID ON LIQUID HELIUM "STAMPS" A LATTICE OF DIMPLES ON THE HELIUM WHICH MODIFIES THE DYNAMICS OF THE ELECTRON LATTICE.



HOW DOES THE HELIUM SUBSTRATE MODIFY THE PHONON SPECTRUM OF THE 2-D ELECTRON CRYSTAL.

$$P(r) = eE_{\perp} \sum_{i=1}^N \int (\vec{r} - \vec{r}_i(t)) \quad \text{ELECTRON PRESSURE ON He}$$

$$\vec{r}_i(t) = \vec{r}_i + \underset{\substack{\uparrow \\ \text{SLOW MOTION} \\ \rightarrow \text{HELIUM} \\ \text{CAN FOLLOW} \\ \omega < \omega_{He}}}{u_i^s(t)} + \underset{\substack{\uparrow \\ \text{FAST MOTION} \\ \omega > \omega_{He}}}{u_i^f(t)}$$

τ_{He} characteristic response time of Helium surface.

$$P_c = -eE_{\perp} \frac{1}{S} \sum_{\vec{r}} e^{i\vec{r} \cdot (\vec{u}_i^s(t) + \vec{u}_i^f(t))}$$

$$\bar{P}_c = -eE \langle n_c \rangle (1 + i\vec{G} \cdot \vec{u}^f)$$

$$1/n \sim -\frac{1}{4} G^2 \langle u^2 \rangle$$

$$\langle u^2 \rangle = \frac{\hbar}{m\omega}$$

$$\left| \frac{dh}{dt} \right| \sim \frac{\hbar}{m\omega} \frac{1}{\omega} \sim \frac{\hbar}{m\omega^2}$$

THE HELIUM SURFACE RESPONDS TO EXTERNAL FORCE WITH SURFACE WAVE EXCITATIONS - RIPPLONS

$\zeta_q e^{i\vec{q}\vec{r}}$
 OF FREQUENCY $\Omega_q = \left(\frac{\alpha}{\xi}\right)^{1/2} q^{3/2}$
 AND EFFECTIVE MASS $\frac{1}{2}q$

FOR UNIFORM TRANSLATIONS $U(\vec{r})$

THE ELECTRON LATTICE CAN ONLY EXCITE RIPPLONS AT $\vec{q} = \vec{G}$

$$\underbrace{\frac{1}{G} (\ddot{\zeta}_G + \Omega_G^2 \zeta)}_{\text{RIPPLON OSCILATOR}} = \underbrace{-eE_1 \langle n_e \rangle (1 + i\vec{G} \cdot \vec{u})}_{\text{ELECTRONIC PRESSURE}}$$

$$\therefore \zeta_G = -\frac{eE_1 \langle n_e \rangle}{\alpha G^2} \left(1 + i\vec{G} \cdot \vec{u} \frac{\Omega_G^2}{\Omega_G^2 - \omega^2} \right) \quad \text{SURFACE RESPONSE}$$

THE SURFACE DEFORMATION ζ MODIFIES THE TOTAL ENERGY VIA $H_{int} = eE_1 \sum_{i=1}^N \zeta(\vec{r}_i) \Rightarrow$
 RESULTING IN AN EFFECTIVE FORCE $-\nabla H_{int}$
 WHICH DRIVES THE ELECTRONS:

$$m \left[\omega^2 - \omega_\lambda^2 - \sum_G e^{-2W_G} \frac{n_e (eE_1)^2}{2m\alpha} \frac{\omega^2}{\omega^2 - \Omega_G^2} \right] = 0$$

$\omega_\lambda = \begin{cases} \omega_p & \text{plasmon} \\ \omega_t & \text{transverse mode.} \end{cases}$
 $\omega = \Omega_G$ MAXIMUM COUPLING

$$W_G = \frac{1}{4} G^2 \langle u^2 \rangle \sim T \quad \text{DEBYE WALLER FACTOR}$$

$$W_G \approx \frac{1}{8\pi} \frac{\hbar^2 + G^2}{m\hbar} \sum_\lambda \int_{\omega_m}^{\omega_M} \left(\frac{d\hbar}{d\omega_\lambda} \right) \frac{\hbar}{\omega_\lambda^2} d\omega \quad \text{CLASSICAL LIMIT}$$

THE INTERACTION WITH THE HELIUM SUBSTRATE OPENS GAP! IN THE NORMAL MODE SPECTRUM OF THE ELECTRON LATTICE AT FREQUENCIES CORRESPONDING TO

$$\omega = \Omega_{G_h}, \quad \Omega_{G_h} = \left(\frac{\alpha}{\xi}\right)^{1/2} G_h^{3/2}$$

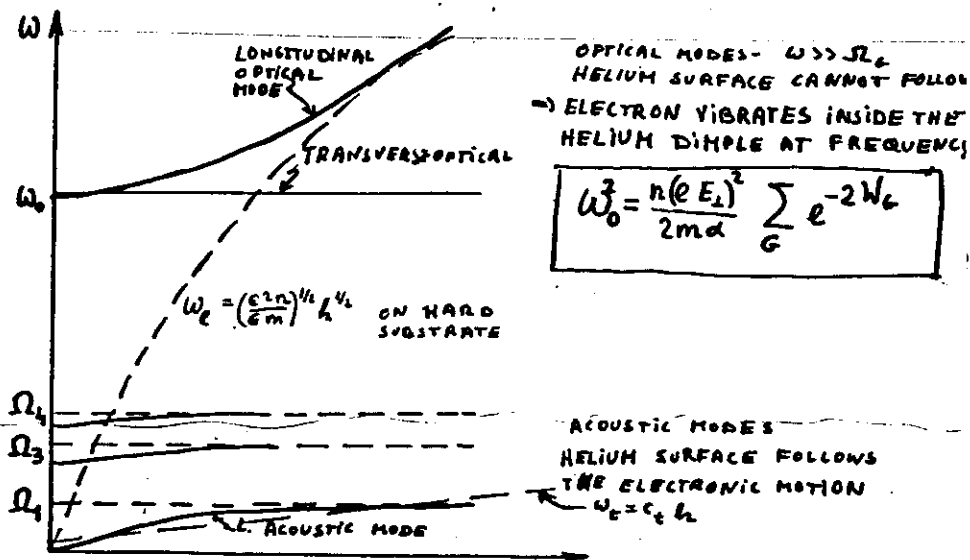
G_h ARE THE RECIPROCAL VECTORS OF THE TRIANGULAR LATTICE:

$$G_h = h^{1/2} G_1, \quad h = 1, 3, 4, 7, 9, \dots$$

$$G_1 = 2\pi \left(\frac{2}{\sqrt{3}} n \right)^{1/2}$$



• DUE TO THE FACTOR $e^{-\frac{1}{2}G^2 \langle u^2 \rangle}$ ONLY RIPPLONS WITH LOW G_h WILL BE EXCITED BY THE ELECTRONS.



ORDERS OF MAGNITUDE: FOR $n=10^8 \text{ cm}^{-2}$, $E_1=100 \text{ V/cm}$

$$\Omega_0 = 4.5 \text{ MHz}, \quad \omega_0 = 10 \text{ MHz}$$

ω_0 DECREASES WITH INCREASING TEMPERATURE.

AT $T \gg T_m$ $\omega_0 = 0$

THE SPECTRUM CHANGES ABRUPTLY AT $T=T_m$

ALLOWING A PRECISE DETERMINATION OF T_m

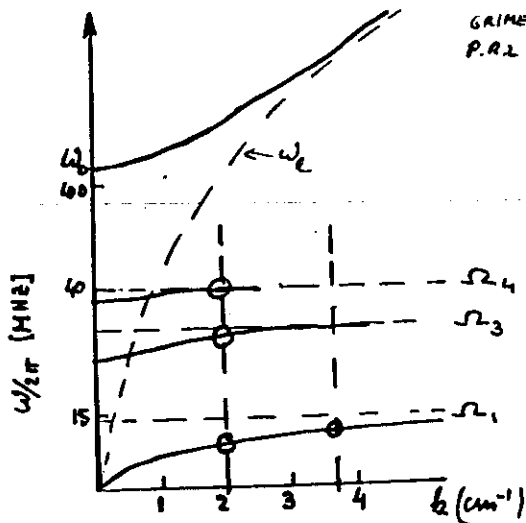
THE DEPTH OF THE HELIUM DIMPLE

$$3^\circ \ll 10^{-2} \text{ \AA} \ll kT$$

$\Rightarrow$ THE TRAPPING ENERGY DOES NOT MODIFY THE THERMODYNAMICS OF THE ELECTRON LATTICE.

THE COUPLING WITH THE HELIUM SURFACE ALLOWS THE DETERMINATION OF THE LATTICE STRUCTURE:

$\therefore \Omega_1, \Omega_3, \Omega_4 \Rightarrow$
TRIANGULAR LATTICE

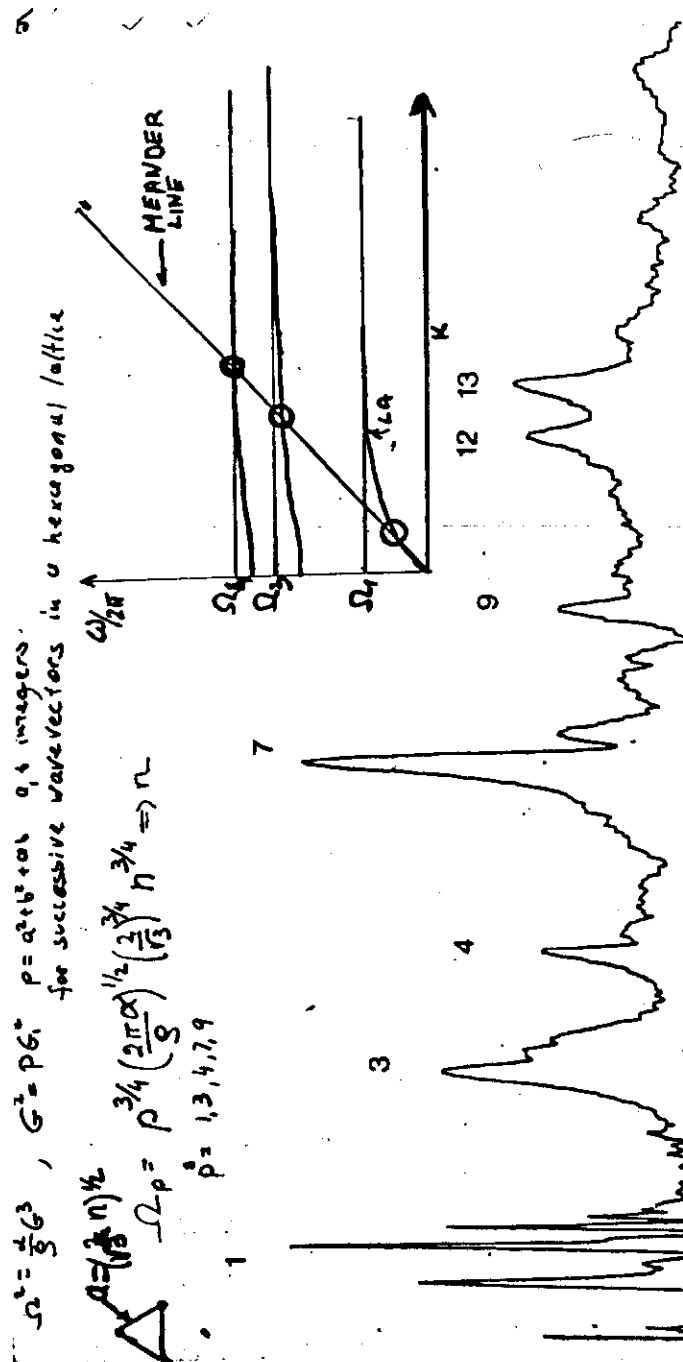


GRIMES, ADAMS
P.R.L. 42, (1979)
P 195

$\Omega_1 = \frac{1}{3} G^2$, $G^2 = P_6^2$ for successive wave vectors in a hexagonal lattice.

$$\Omega_p = \frac{3}{4} \left(\frac{2\pi\alpha}{g} \right)^{1/2} \left(\frac{2}{15} \right)^{3/4} n^{3/4} \Rightarrow n$$

$$p = 1, 3, 4, 7, 9$$



$n = 8.4 \times 10^8 \text{ cm}^{-2}$
 $T = 0.08 \text{ K}$

RIPLON-PHONON COUPLED SPECTRUM

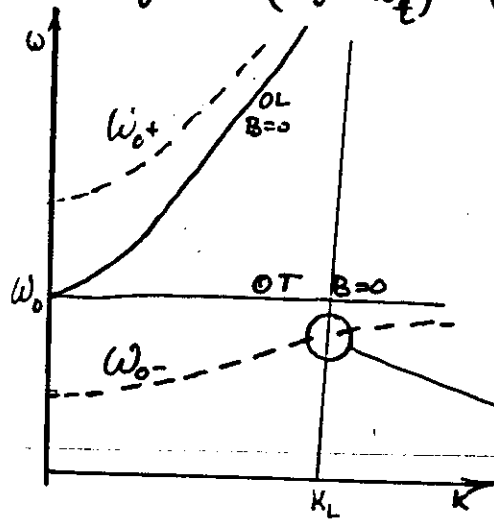
$\Rightarrow$ DIRECT DENSITY MEASUREMENT

2-D ELECTRON SOLID @ HELIUM SUBSTRATE @ B

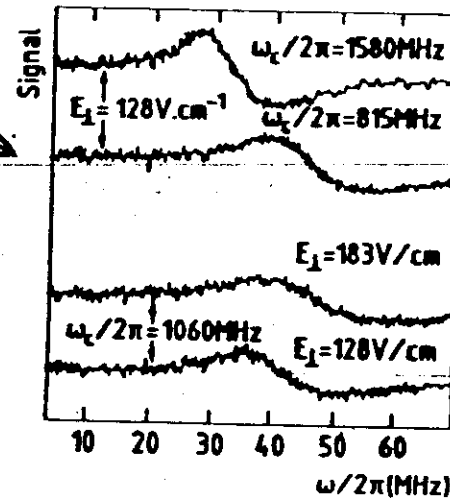
DISPERSION RELATION FOR OPTICAL MODES:

$$\omega_{o+} = (\omega_o^2 + \omega_p^2)^{1/2} \left(1 + \frac{1}{2} \frac{\omega_c^2}{\omega_p^2 - \omega_c^2}\right)$$

$$\omega_{o-} \sim (\omega_o^2 + \omega_c^2)^{1/2} \left(1 - \frac{1}{2} \frac{\omega_c^2}{\omega_p^2 - \omega_c^2}\right)$$

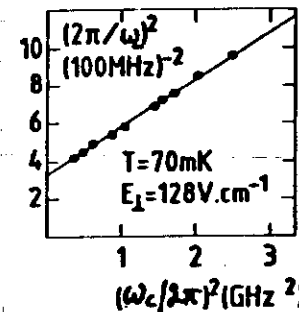
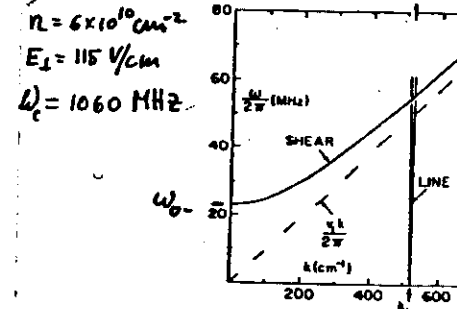


$$\omega_o^2 = \frac{n(eE_L)^2}{2\alpha m} \int_c e^{-2\omega_c}$$



EXTRAPOLATION SCHEME - TO DETERMINE 'PURE' ω_t

EXPERIMENT:



1. AT FIXED E_L MEASURE ω_{o-} FOR A SERIES OF MAGNETIC FIELD VALUES EXTRAPOLATE TO $B \rightarrow 0$

$$\omega_{o+}(k_L, E_L, B) \xrightarrow{B \rightarrow 0} \omega_{ot}(k_L, E_L)$$

ELIMINATED MAGNETIC FIELD EFFECTS

2. REPEAT PROCEDURE FOR A SERIES OF PRESSING FIELD VALUES EXTRAPOLATE

$$\omega_{ot}(k_L, E_L) \xrightarrow{E_L \rightarrow 0} \omega_t = v_t k_L^2$$

ELIMINATED SUBSTRATE EFFECTS.