



UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION  
**INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS**  
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UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION



**INTERNATIONAL CENTRE FOR SCIENCE AND HIGH TECHNOLOGY**

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SMR/481 - 6

EXPERIMENTAL WORKSHOP ON  
HIGH TEMPERATURE SUPERCONDUCTORS AND RELATED MATERIALS  
(ADVANCED ACTIVITIES)

(26 November - 14 December 1990)

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" On the nature of low energy excitations in high  $T_c$  superconductors "

presented by:

C. TALIANI  
Consiglio Nazionale delle Ricerche  
Istituto Spettroscopia Molecolare  
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40126 Bologna  
Italy

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These are preliminary lecture notes, intended only for distribution to participants.

**ON THE NATURE OF LOW ENERGY  
EXCITATIONS IN HIGH TC  
SUPERCONDUCTORS**

**C. Taliani , Institute of Molec. Spectroscopy.  
CNR, Bologna,Italy**

**a) Optical properties of the semiconducting  
parent of the HTSC when it is doped by light.**

**Photoinduced optical absorption and  
photomodulation spectroscopy on:**

**YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub>, La<sub>2</sub>CuO<sub>4</sub> and BaBiO<sub>3</sub>**

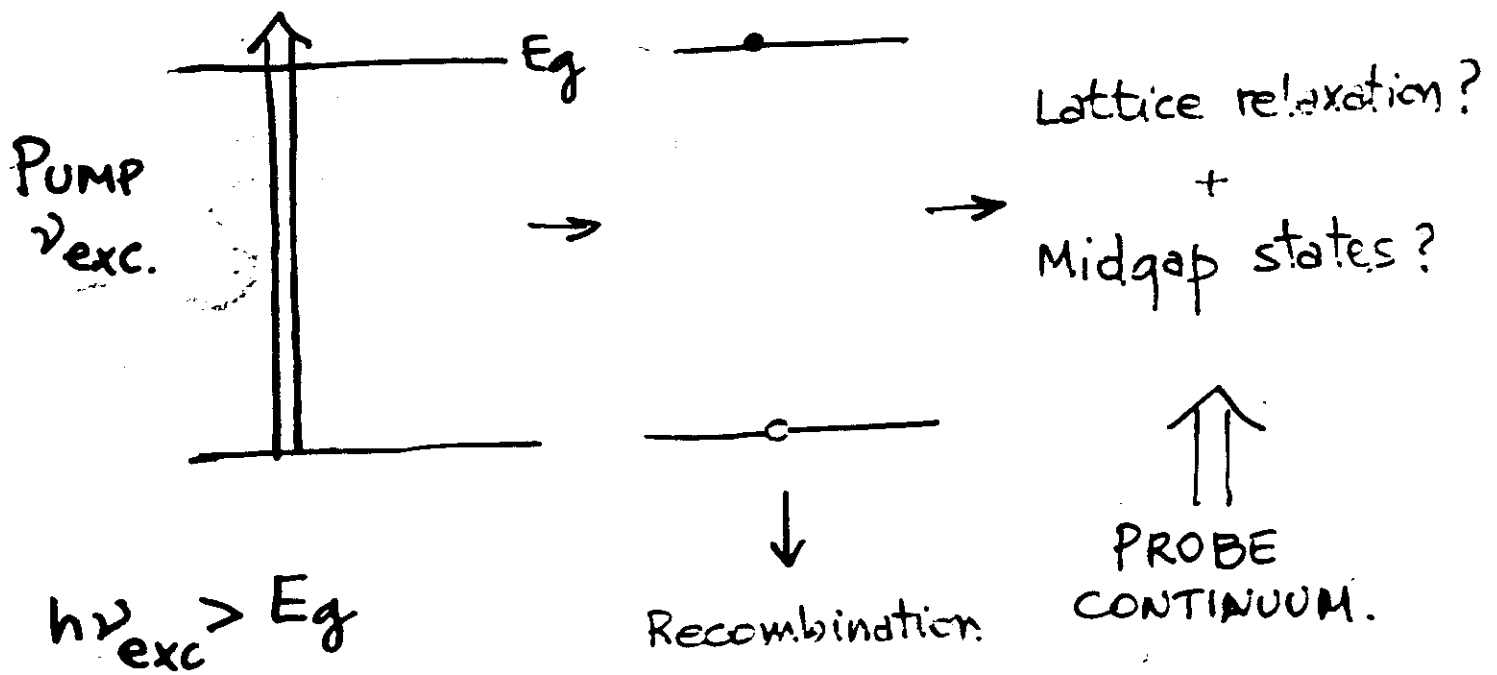
**Evidence of lattice distortions associated with  
charge excitations in the gap.**

**comparison of PA in the semiconducting and  
optical conductivity spectrum of the normal state  
in YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub>.**

**General features of layered and non-layered  
HTSC.**

**b) Low energy localized states in YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> by  
IR excited Raman spectroscopy**

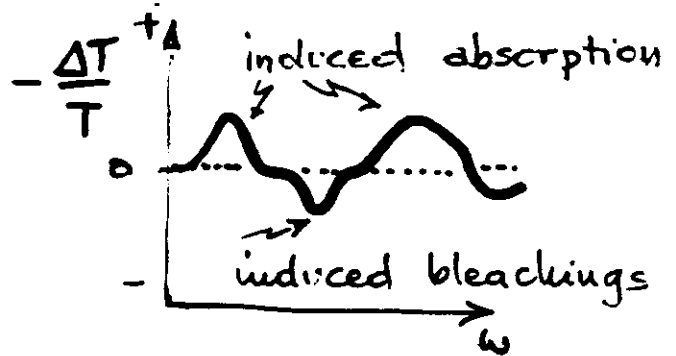
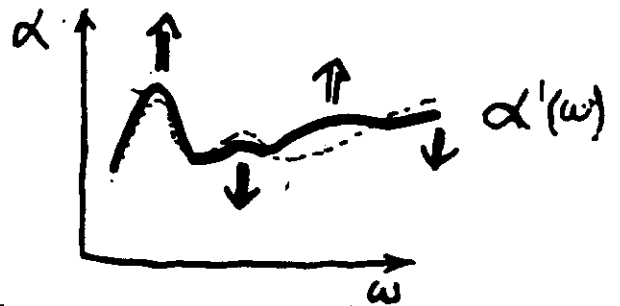
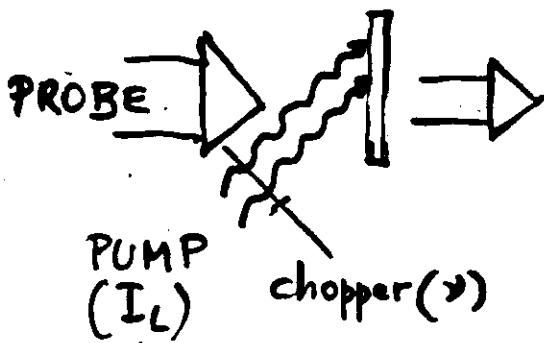
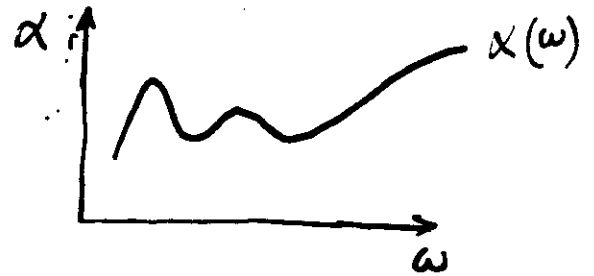
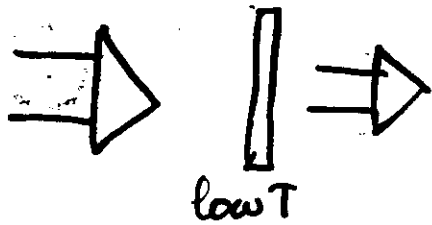
# Mechanism of Photoinduced Abs.



# PHOTOINDUCED ABSORPTION

Study of the optical properties induced by photoinjected charge carriers at low doping.

semiconductor  
in KBr



Dependences:

$I_L$  recombination processes

$T$  " "

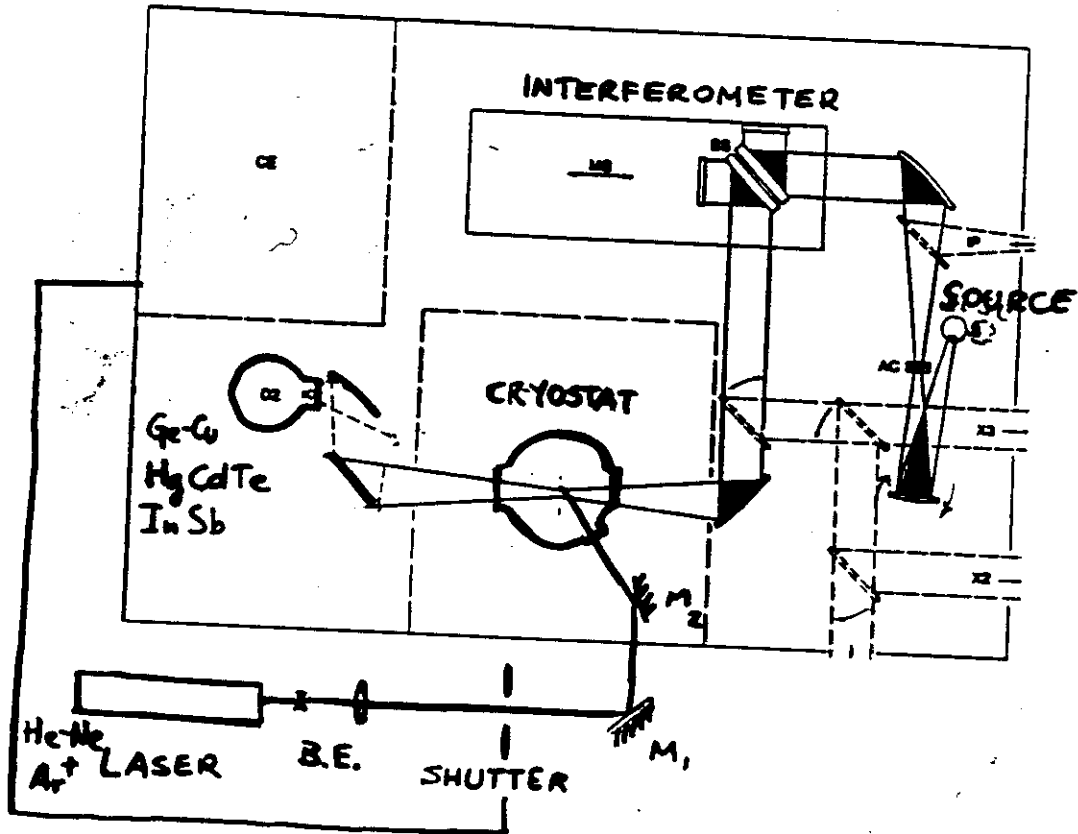
Doping level:

A) from the photon flux  $\rightarrow$  (quantum yield)

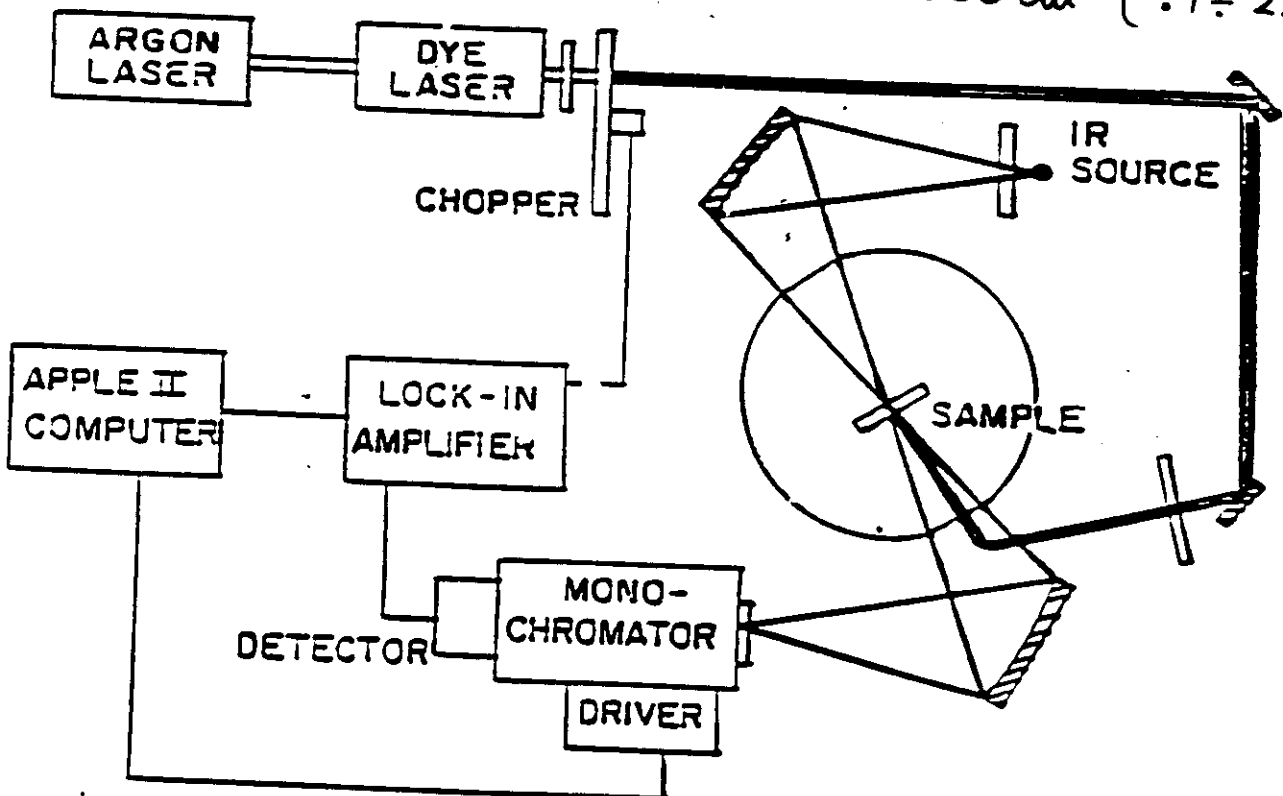
B) from  $\Delta\alpha \rightarrow (\Delta\alpha \text{ vs doping}) \rightarrow \sim 10^{18} \text{ carriers/cm}^3$

# PHOTOINDUCED ABSORPTION EXP. SET UP.

A) LOW ENERGY RANGE:  $200 \div 8000 \text{ cm}^{-1}$  ( $.05 \div 1 \text{ eV}$ )



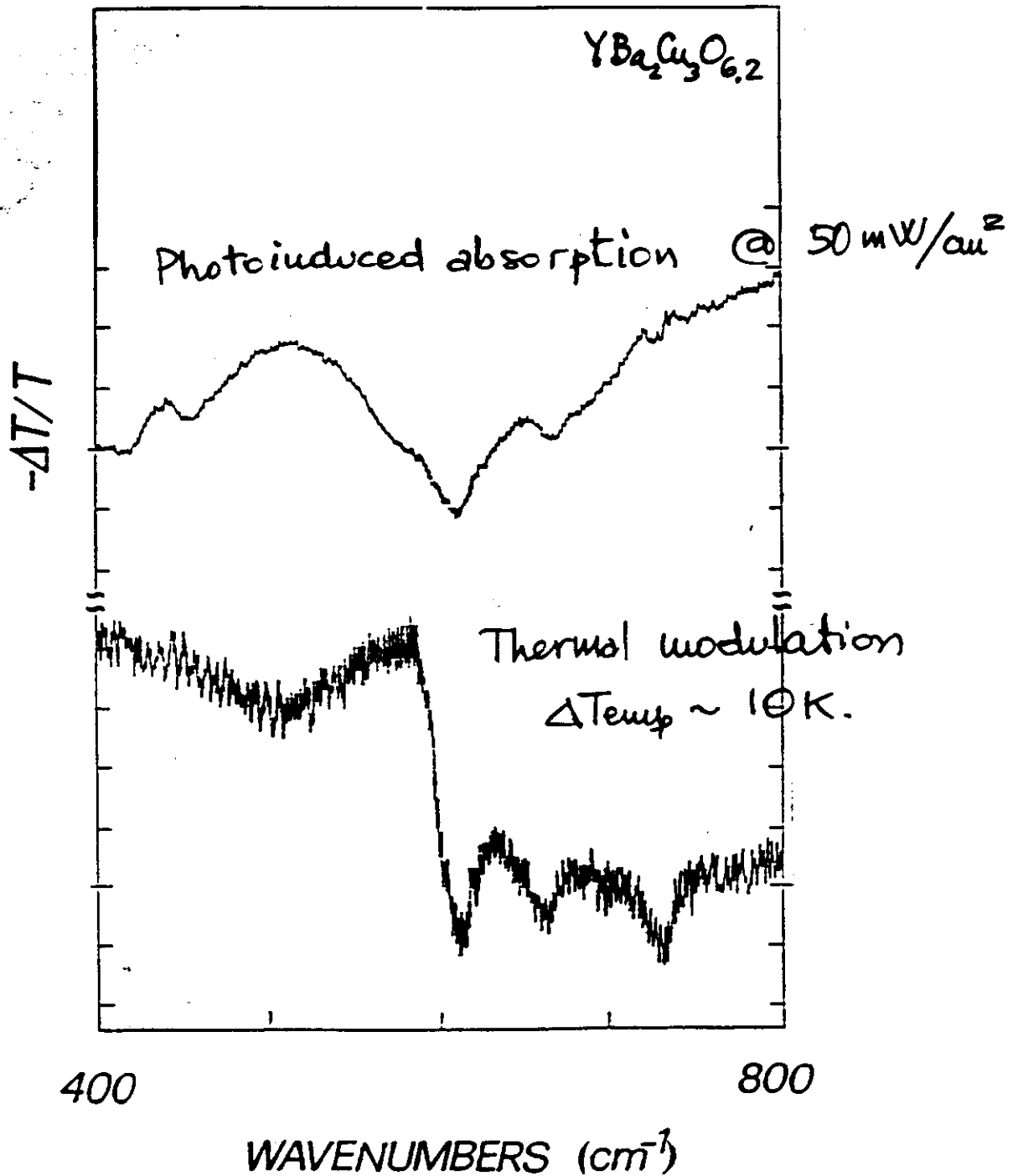
B) HIGHER ENERGY RANGE:  $800 \div 20'000 \text{ cm}^{-1}$  ( $.1 \div 2.5 \text{ eV}$ )

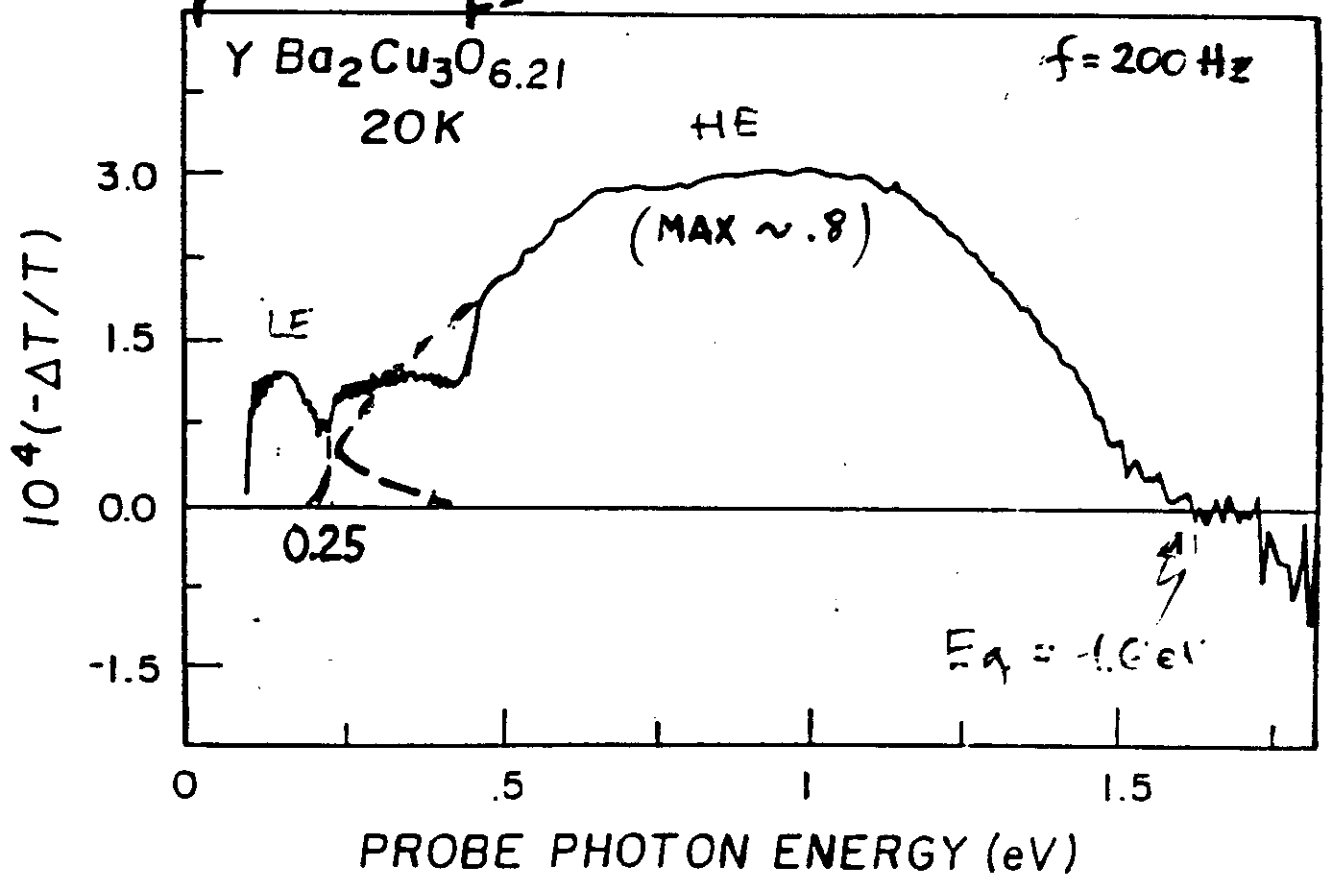
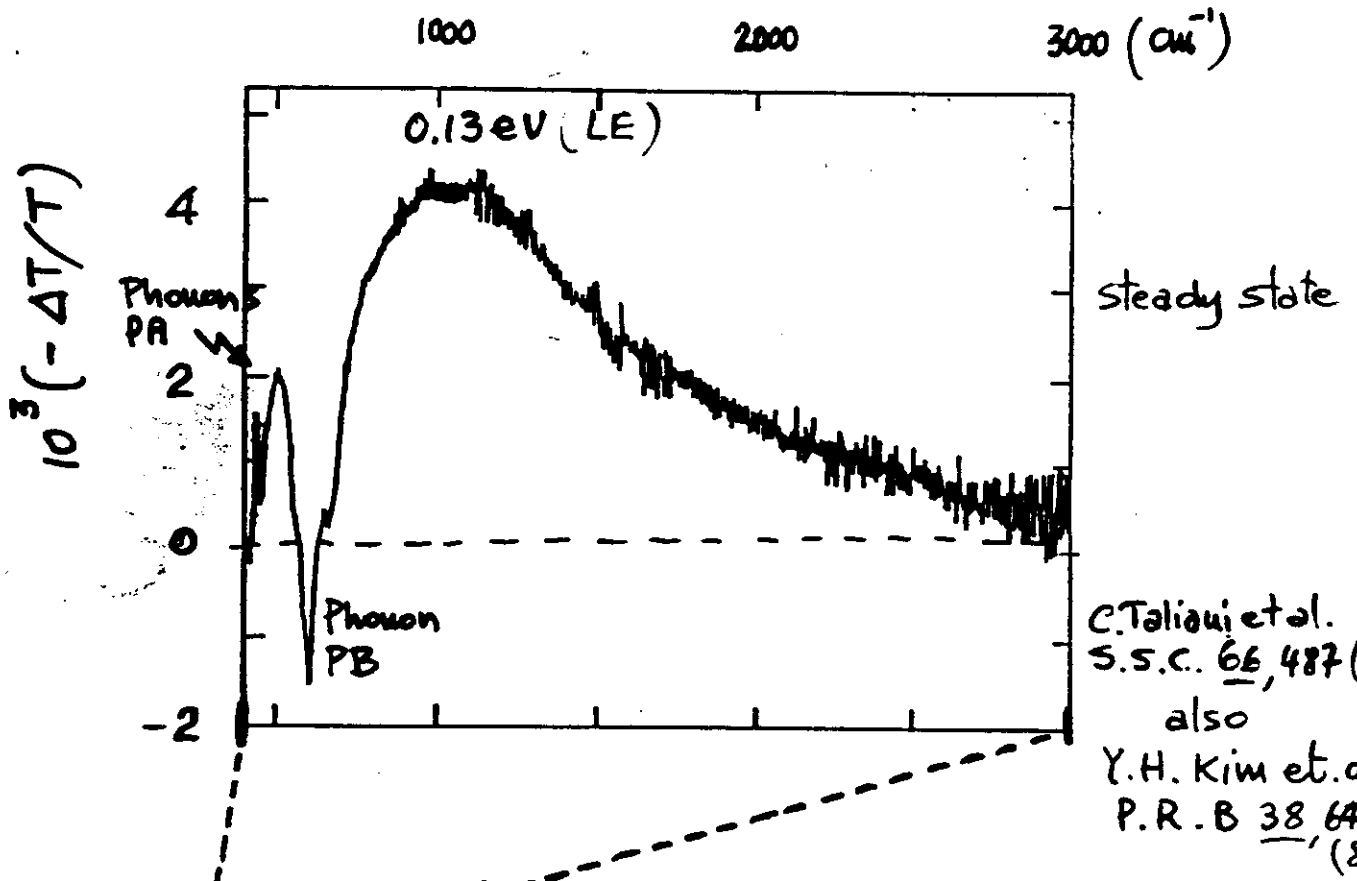


heating artifacts:

1) shape

2) linear effects with heating (i.e.  $\propto I_{\text{LASER}}$ ).





X. Wei et al.  
Physica C (1989).

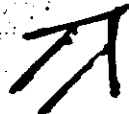
### INFRARED PHOTOINDUCED ABSORPTION IN THE $YBa_2Cu_3O_{7-y}$ HIGH $T_c$ SUPERCONDUCTING SYSTEM

C. Talliani, R. Zamboni and G. Ruani  
Istituto di Spettroscopia Molecolare, CNR, Via de'Castagnoli 1, I-40126 Bologna,  
Italy

F.C. Macchietti  
Istituto ITM, CNR, Via Induno 10, I-20092 Cinisello Balsamo, Italy

K.L. Palchukaya  
Institute of Semiconductors, Academy of Sciences of the Ukrainian SSR,  
115 pr. Nanki, 252650 Kiev-28, USSR

(received February 8, 1988 by M. Cardona)



The behaviour in the PA. We believe that the bleach at  $600\text{ cm}^{-1}$  is at least partially due to a PA effect.

Having proved that the PA spectrum is indeed due to a genuine PA process we may comment on it.

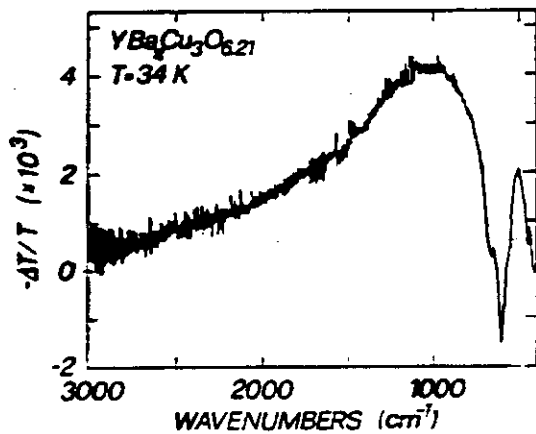


Fig. 1 Photoinduced absorption spectrum of  $YBa_2Cu_3O_{7-y}$  ( $y=0.79$ ) at 34K.

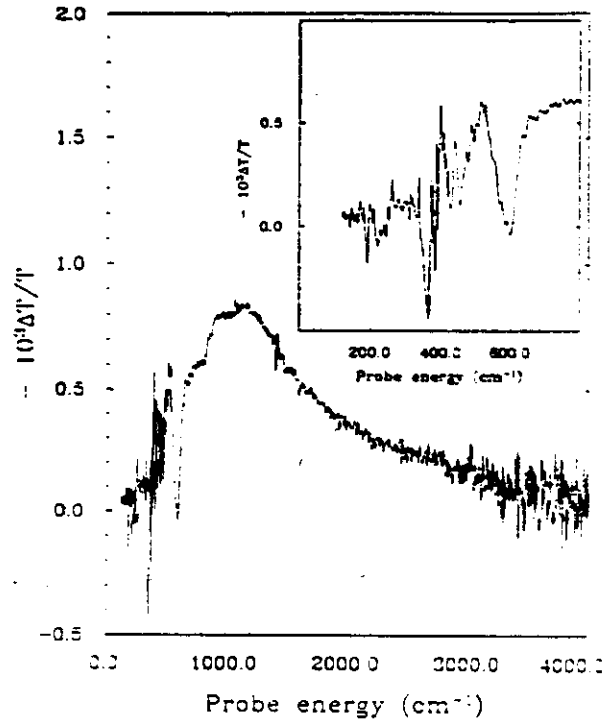


FIG. 1. Photoinduced absorption spectrum of  $Y_1Ba_2Cu_3O_{7-\delta}$  ( $\delta=0.75$ ) at 15 K (2.7-eV pump at  $30\text{ mW/cm}^2$ ); inset shows detailed IR-AV features.



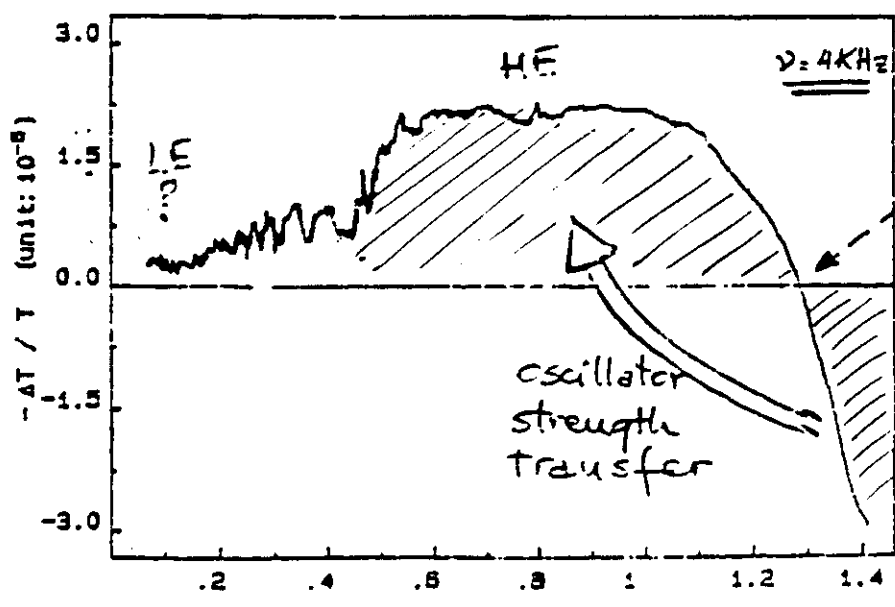
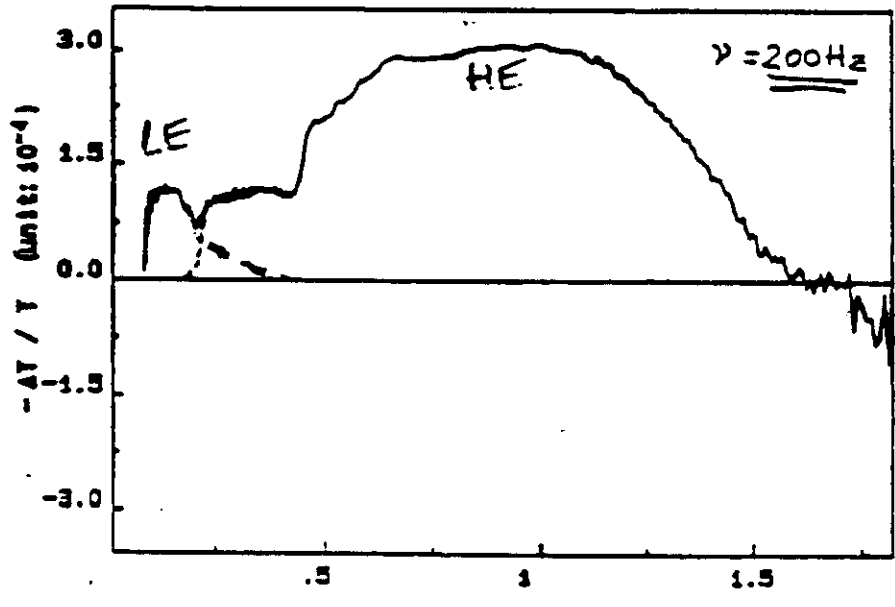
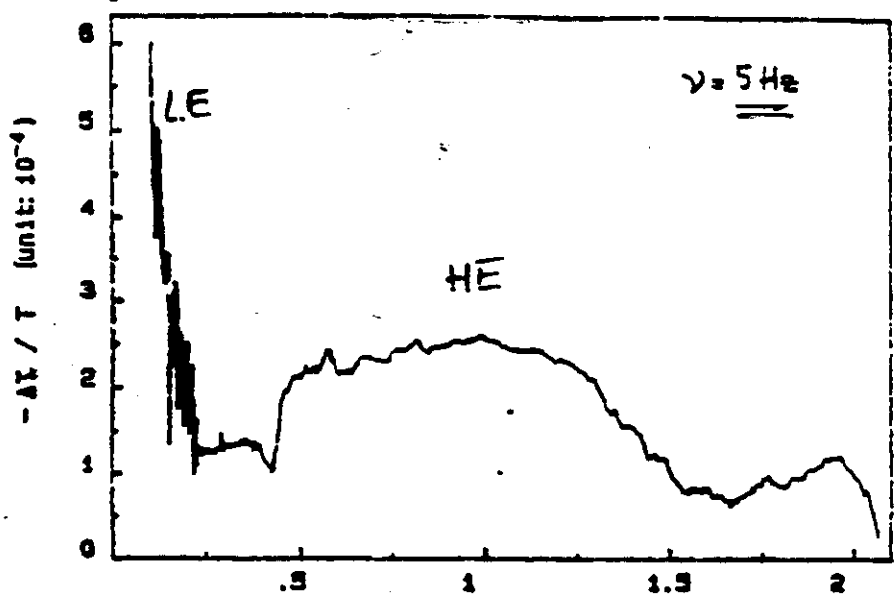
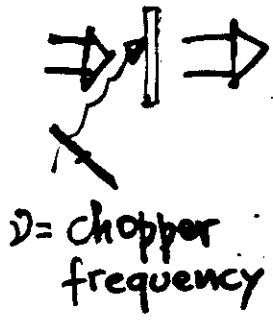
### Photoinduced self-localized structural distortions in $YBa_2Cu_3O_{7-\delta}$

Y. H. Kim, C. M. Foster, and A. J. Heeger  
Department of Physics, Institute for Polymers and Organic Solids, University of California,  
Santa Barbara, Santa Barbara, California 93106

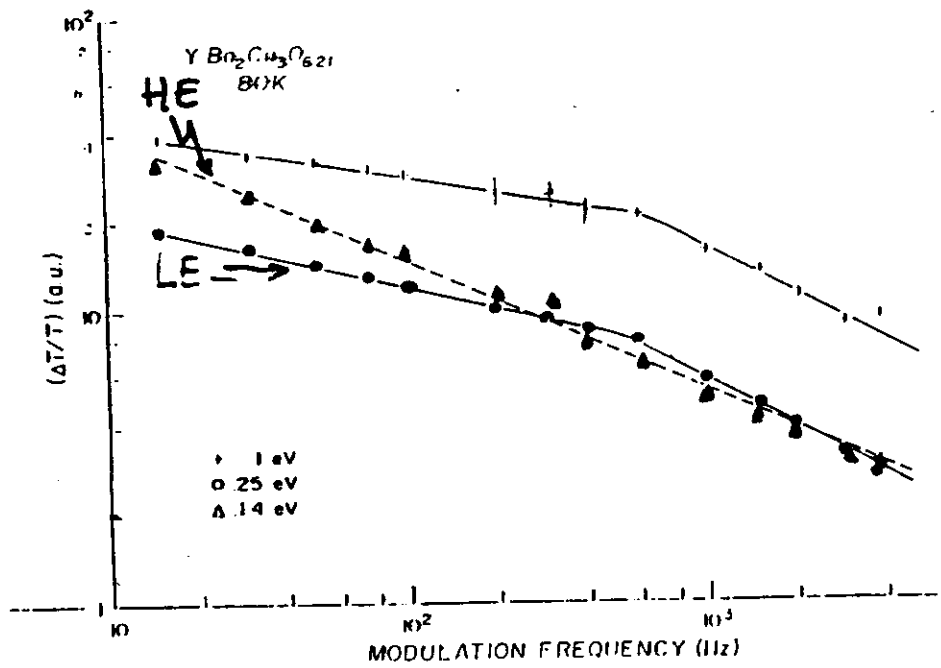
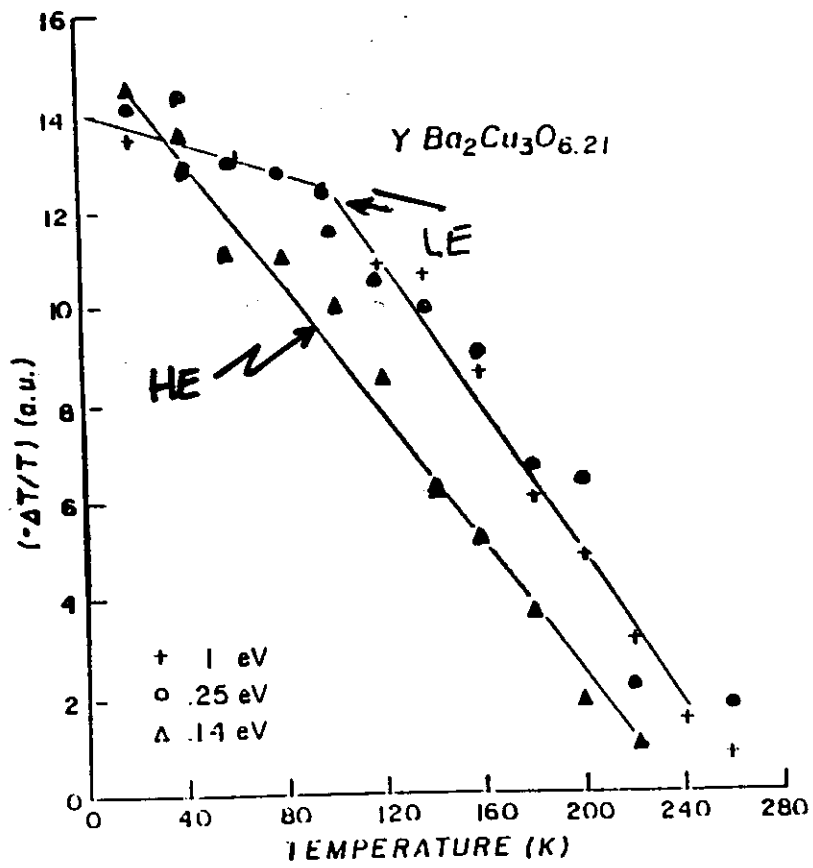
S. Cox and G. Stucky  
Department of Chemistry, Institute for Polymers and Organic Solids, University of California,  
Santa Barbara, Santa Barbara, California 93106

(Received 15 March 1988; revised manuscript received 25 July 1988)

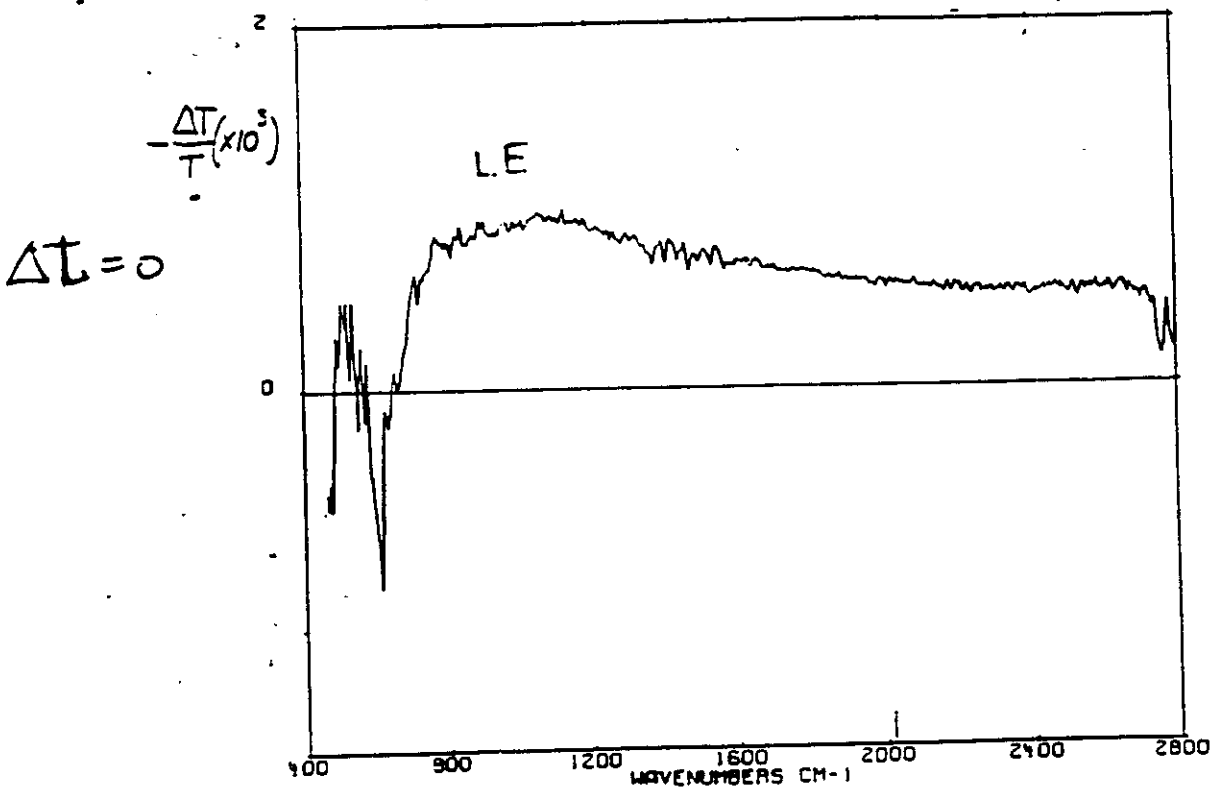
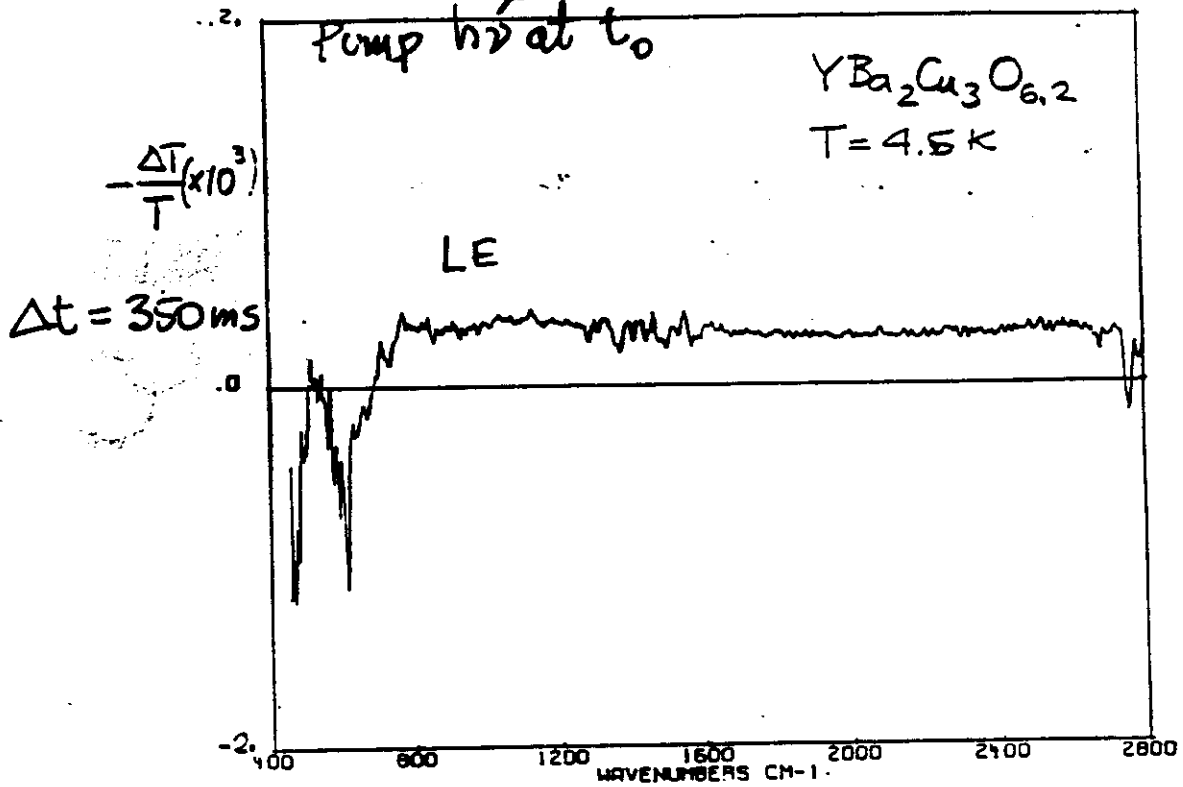




PHOTON ENERGY (eV)

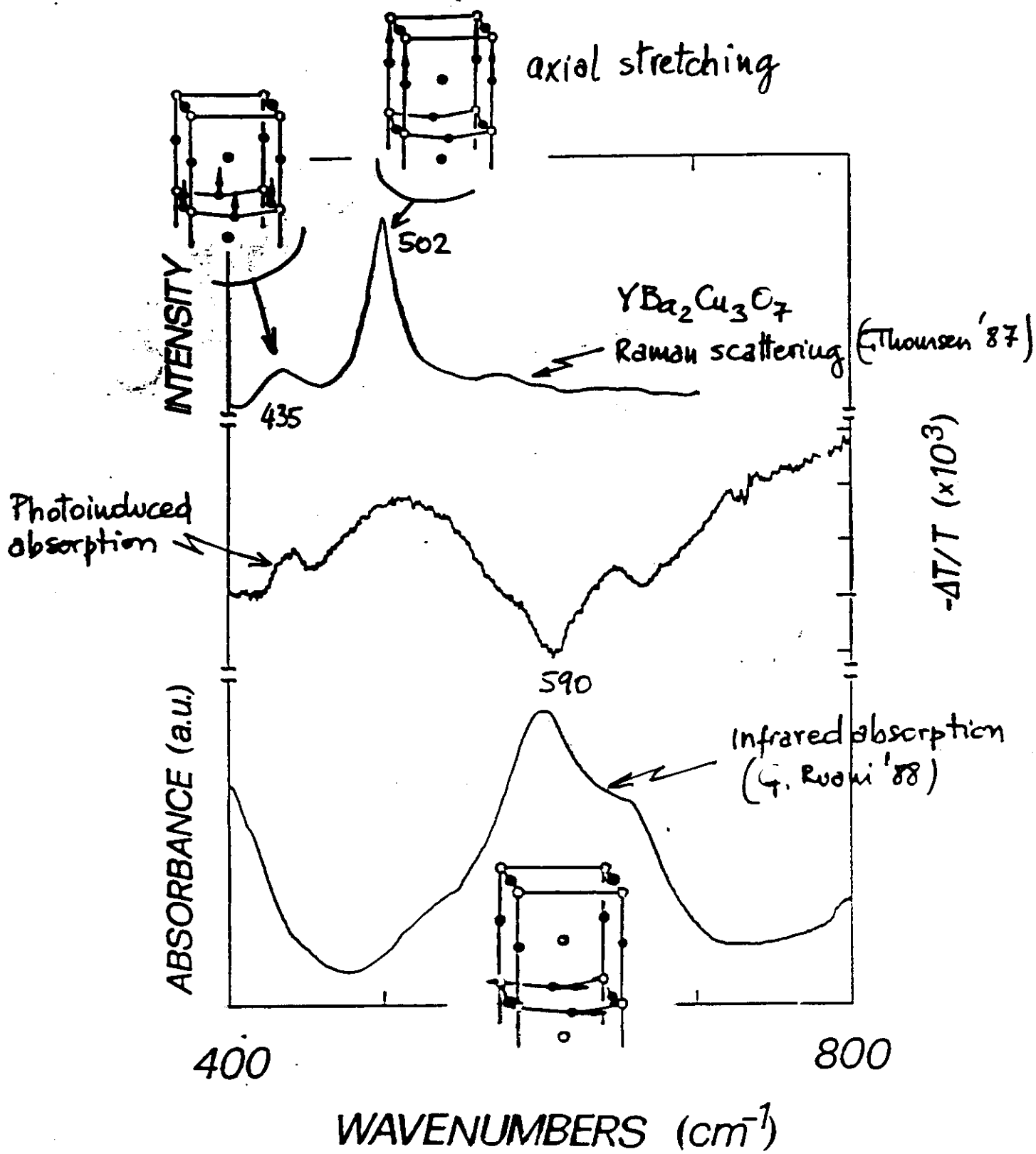


RETARDED PHOTOINDUCED ABSORPTION

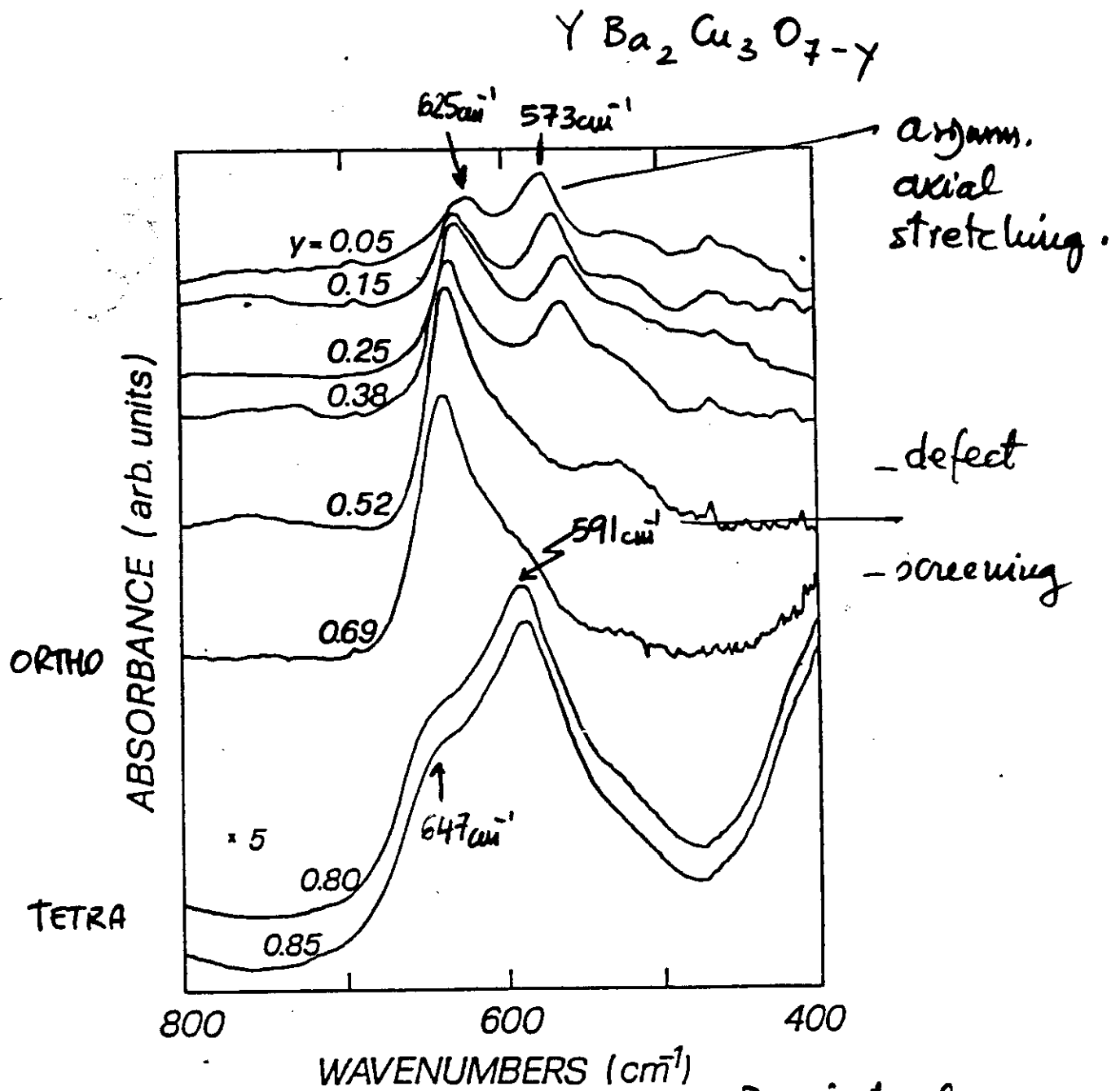


LE long lived photoinduced charge excitation  
(defect?)

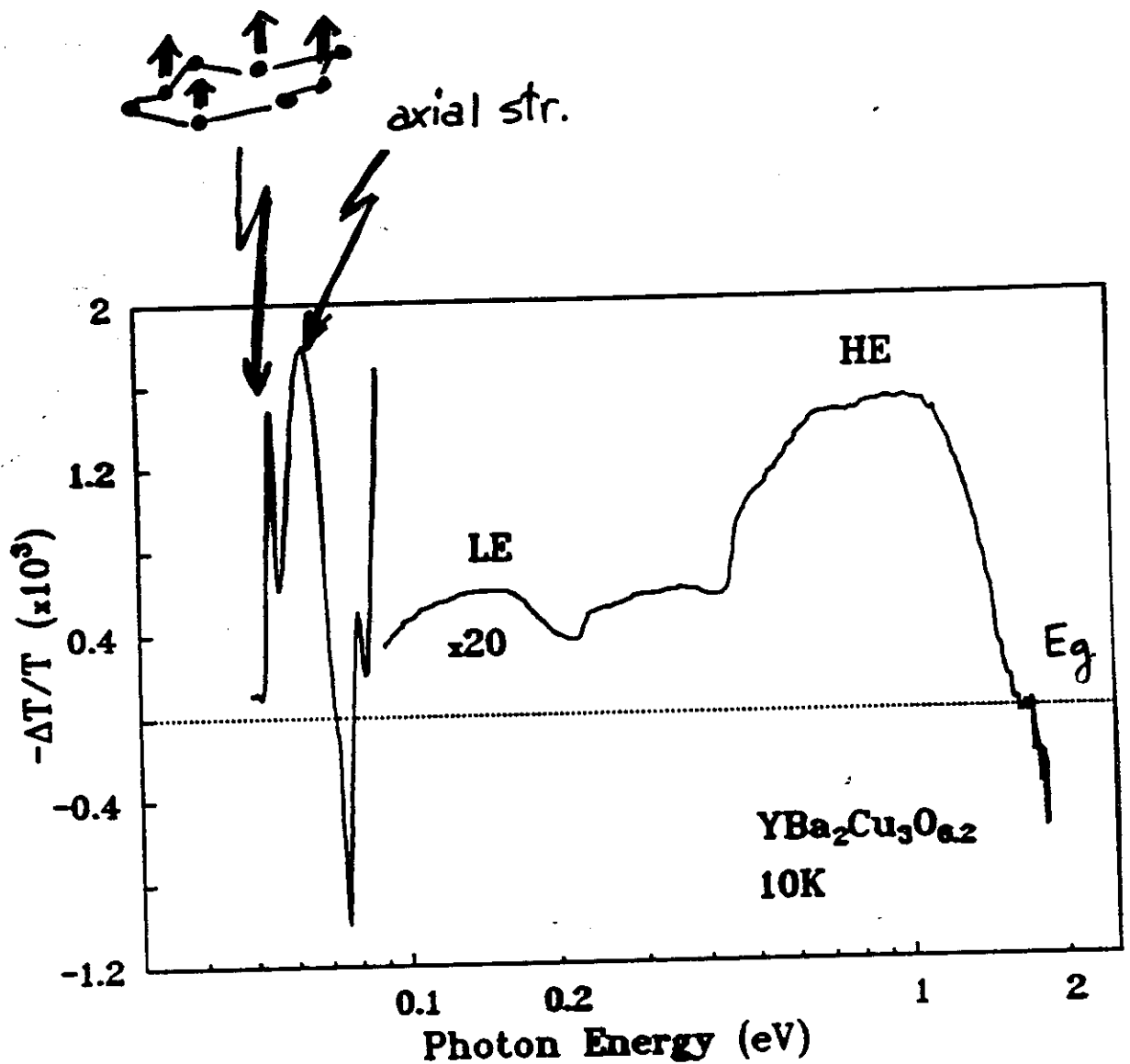
axial stretching



IR vs Oxygen content in gettered  
annealed  $YBa_2Cu_3O_{7-y}$  samples.



Rwani et. al.  
(JOSA B 89)

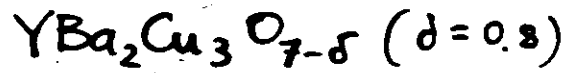


- v2 phonon spectrum PA  $\equiv$  Abs. vs doping

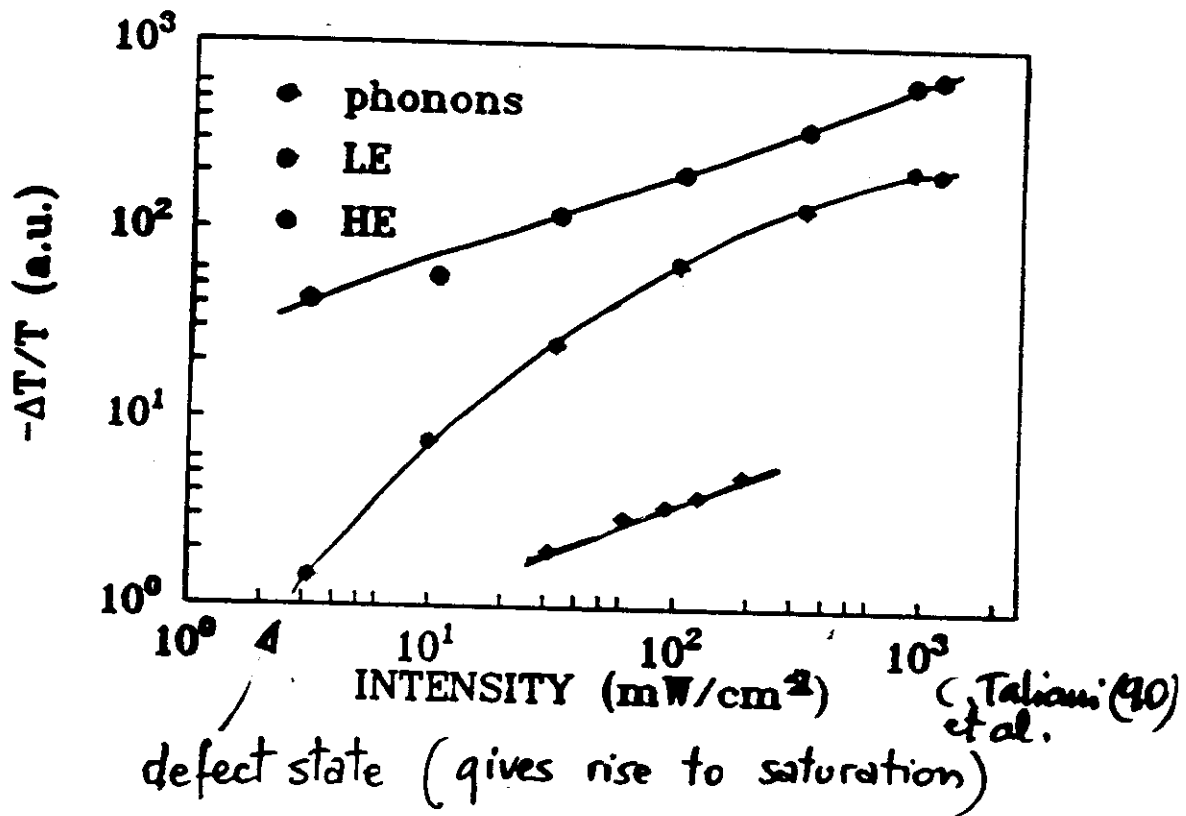
LE  $\rightarrow$  defect

HE  $\rightarrow$  intrinsic charge excitation  
associated with lattice distortion.

bimolecular recombination ✓



Photoinduced Absorption vs Laser Intensity

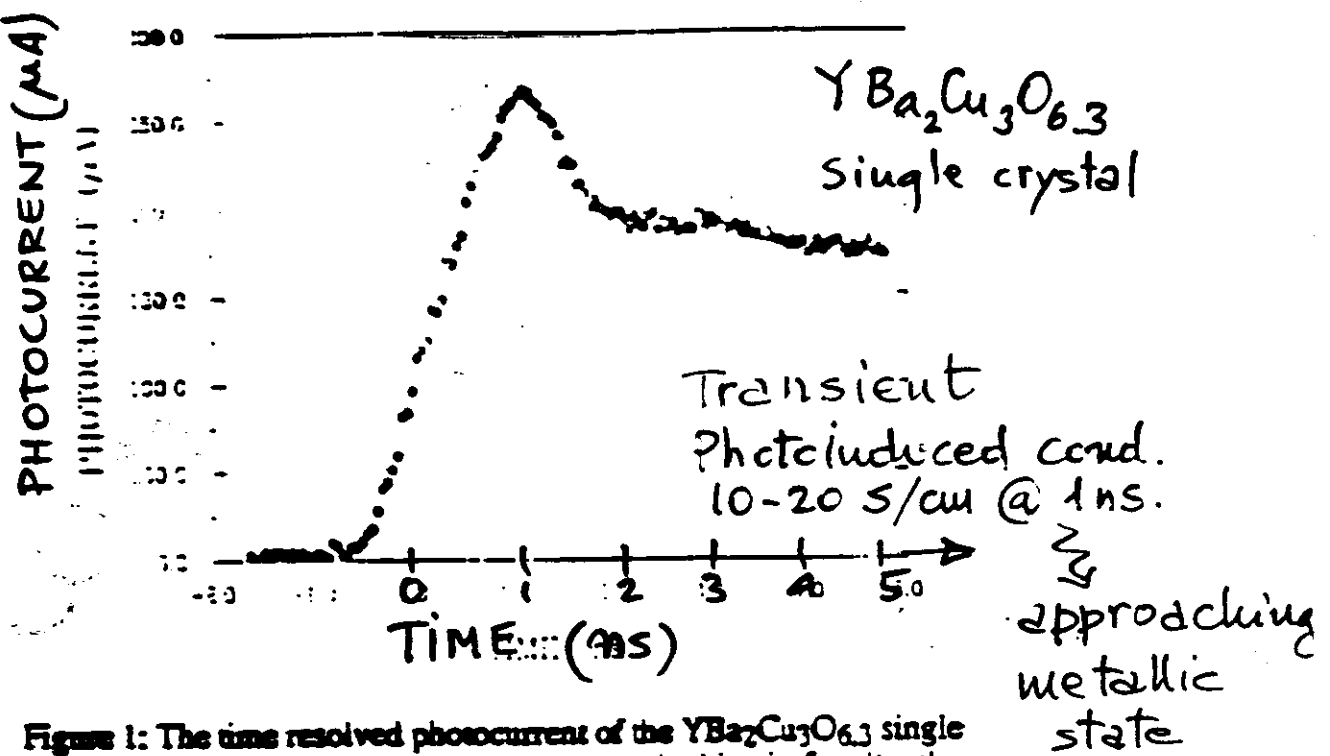


$n_{ps} = n^{\circ}$  of photoexcited species

$$\frac{dn_{ps}}{dt} = \alpha I_{\text{Laser}} - \beta n_{ps}^2 = 0 \quad (\text{steady state})$$

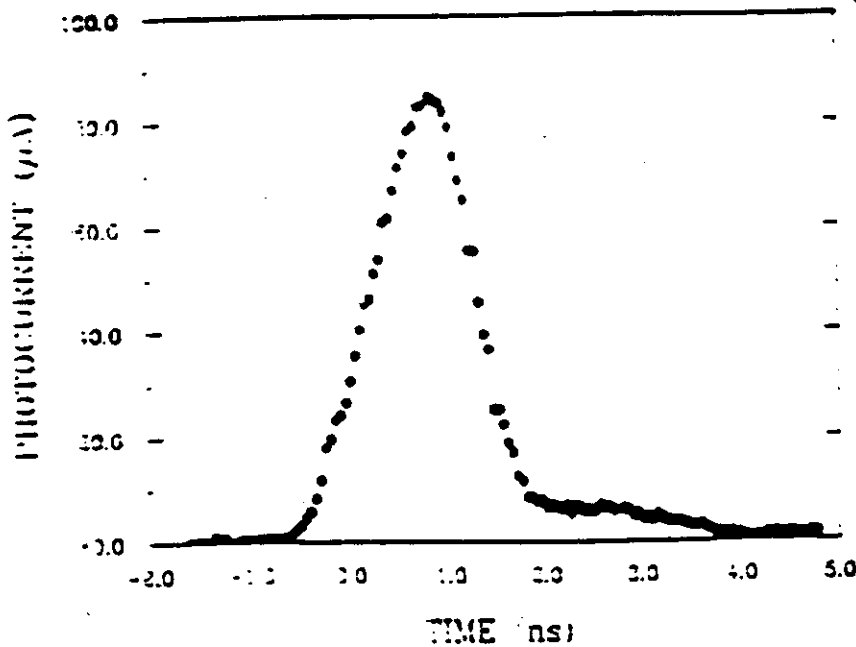
$$n_{ps} = \frac{\alpha}{\beta} I_{\text{Laser}}^{1/2}$$

HE → fingerprints of the same photoex. species  
phonons →



**Figure 1:** The time resolved photocurrent of the  $\text{YBa}_2\text{Cu}_3\text{O}_{6.3}$  single crystal sample at room temperature; the bias is 5 volts; the photon flux is  $1.5 \times 10^{16} \text{ cm}^{-2}$ . The photocurrent is proportional to the applied bias voltage. The transient response was checked with 300 ps and 450 ps instrumental resolution with no difference in the time delay.

Yu et al.  
S.S. Comm.  
72, 345 (89)



**Figure 3:** The time resolved photocurrent of the  $\text{YBa}_2\text{Cu}_3\text{O}_{6.3}$  single crystal sample at 81.5K; the bias is 10V; the photon flux is  $1.5 \times 10^{16} \text{ cm}^{-2}$ . The photocurrent is proportional to the applied bias voltage.



Femtosecond Optical Detection of Quasi-Particle Dynamics  
in High  $T_c$   $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$  Superconducting Thin Films

S.G. Han, Z.V. Vardeny, K.S. Wong and O.G. Symko

Department of Physics, University of Utah, Salt Lake City, UT 84112

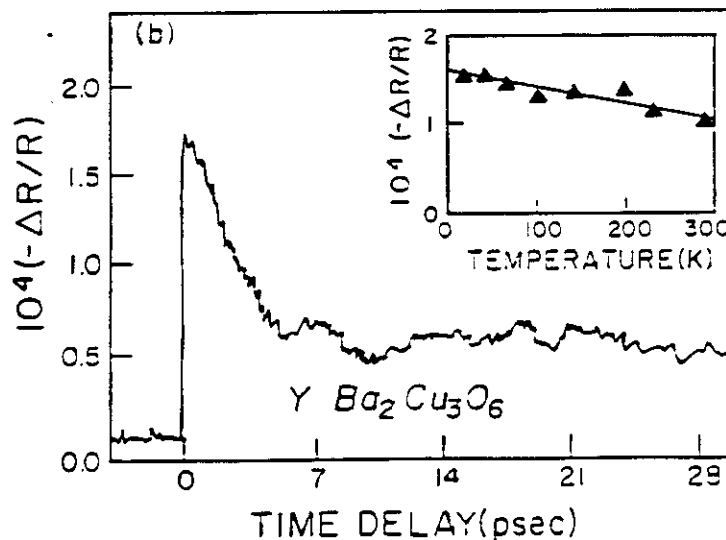
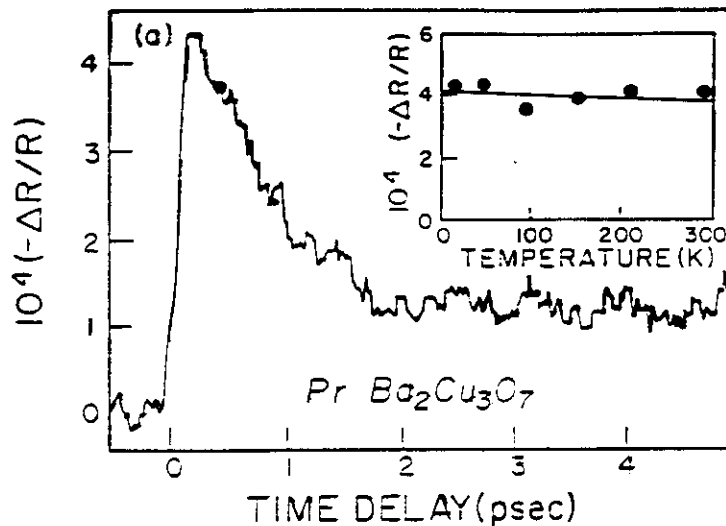
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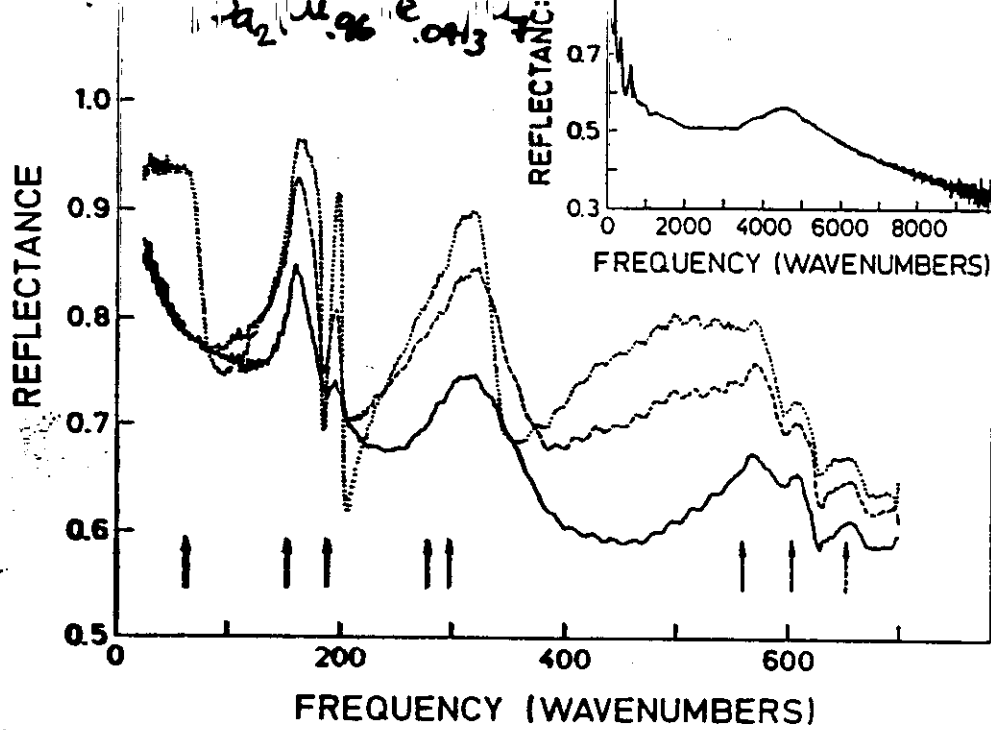
Gad Koren

Department of Physics, Technion, Haifa, 32000, Israel

**Abstract**

Femtosecond dynamics of photogenerated quasi-particles in  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$  superconducting thin films shows at  $T \leq T_c$  two main electronic processes: (i) quasi-particles avalanche production during hot carrier thermalization, which takes about 300 fsec; (ii) recombination of quasi-particles to form Cooper-pairs which is completed within 5 psec. In contrast, nonsuperconducting ceramic films such as  $\text{PrBa}_2\text{Cu}_3\text{O}_7$  and  $\text{YBa}_2\text{Cu}_3\text{O}_6$  show regular picosecond electronic response.





Geuzel et. al.  
preliminary

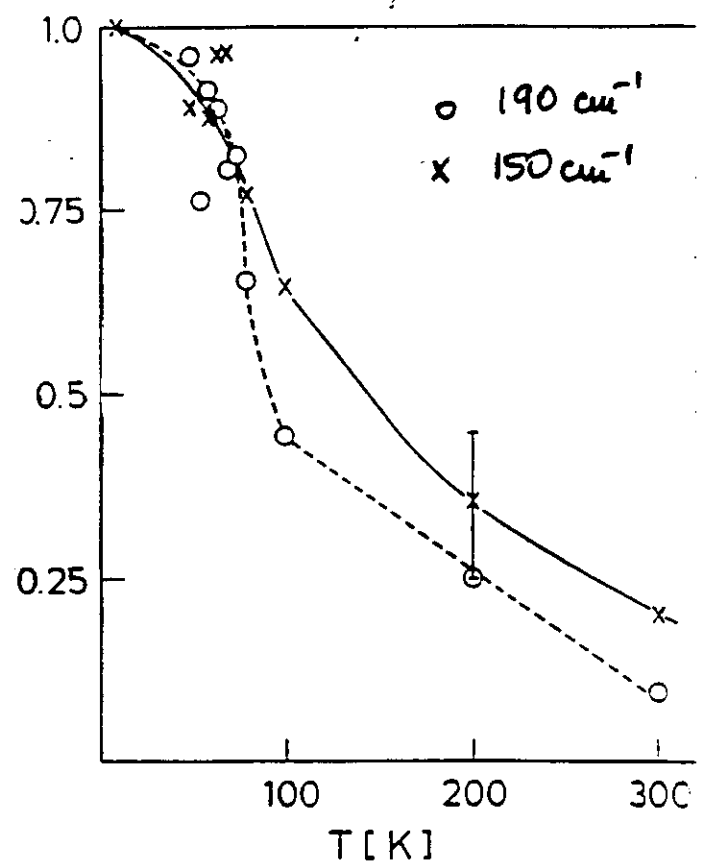
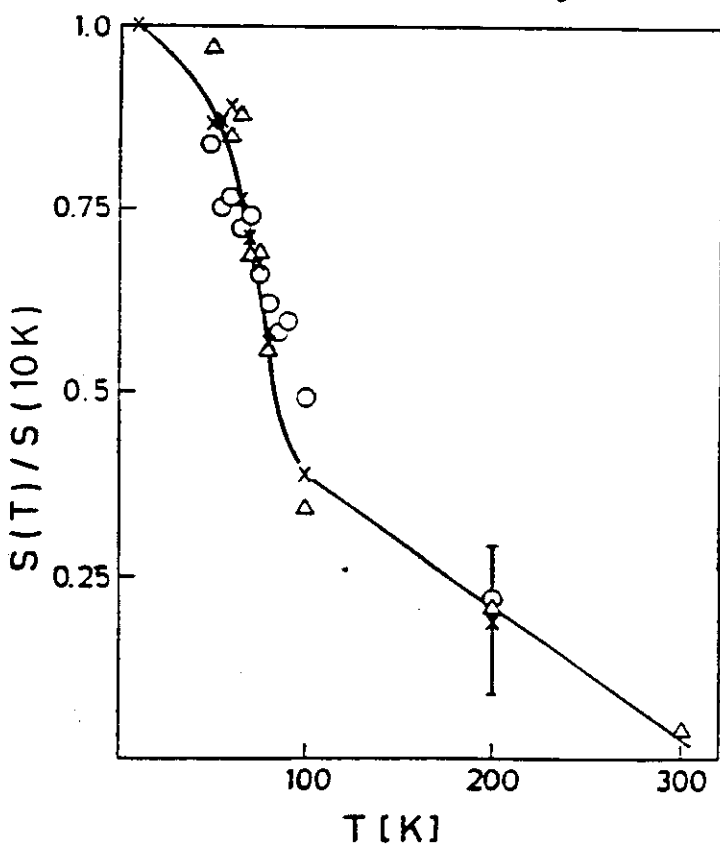


Fig 4

# Softening of the 335 $\text{cm}^{-1}$ Big mode

(3% !!)

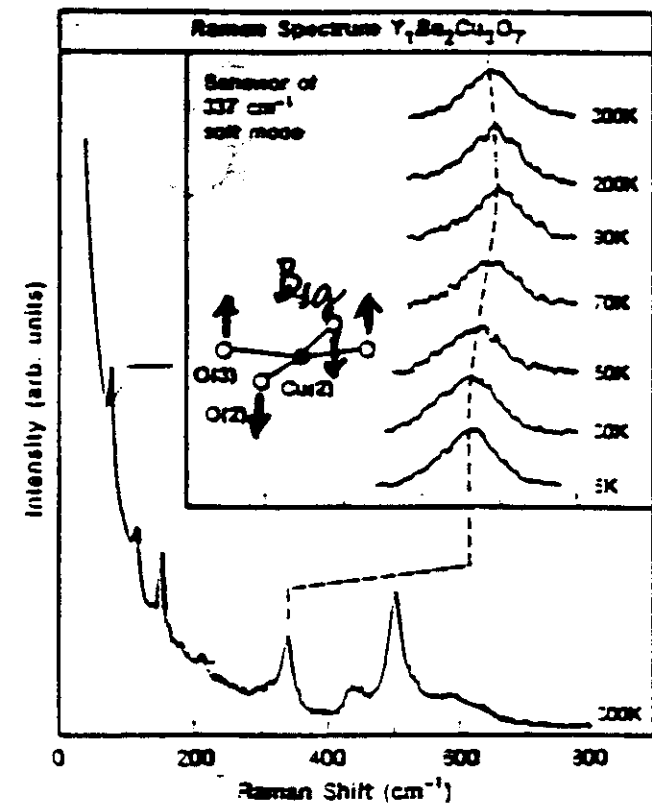


FIG. 1. First-order Raman spectrum of  $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$  showing characteristic peaks with room-temperature positions at 155, 337, 433, and 502  $\text{cm}^{-1}$  (the features at 79 and 117  $\text{cm}^{-1}$  are due to plasma lines). The inset shows the temperature dependence and normal-mode displacements (only for one  $\text{Cu}(2)$ - $\text{O}(2,3)$  plane) of the 337- $\text{cm}^{-1}$  mode.

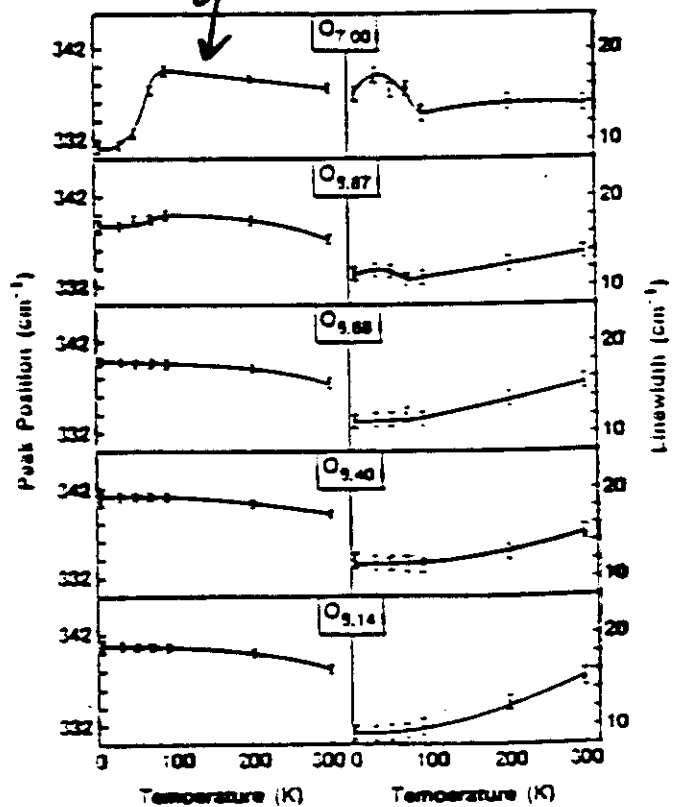


FIG. 2. Temperature-dependent peak positions and line-widths (full width at half maximum) of the 337- $\text{cm}^{-1}$  mode in  $\text{YBa}_2\text{Cu}_3\text{O}_x$ , displayed for oxygen stoichiometries  $x = 7.00, 6.87, 6.68$  with respective superconducting transition temperatures of 92, 84, and 51 K and semiconducting samples with  $x = 6.40$  and 6.14.

M. Krautz et al. PRB 38, 4992 (88).

This paper has been submitted  
to Phys. Lett. A.

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PHOTOINDUCED SUPERCONDUCTIVITY IN  $\text{YBaCuO}$  FILMS.

V. I. Kudinov, A. I. Kirilyuk, N. M. Kreines,

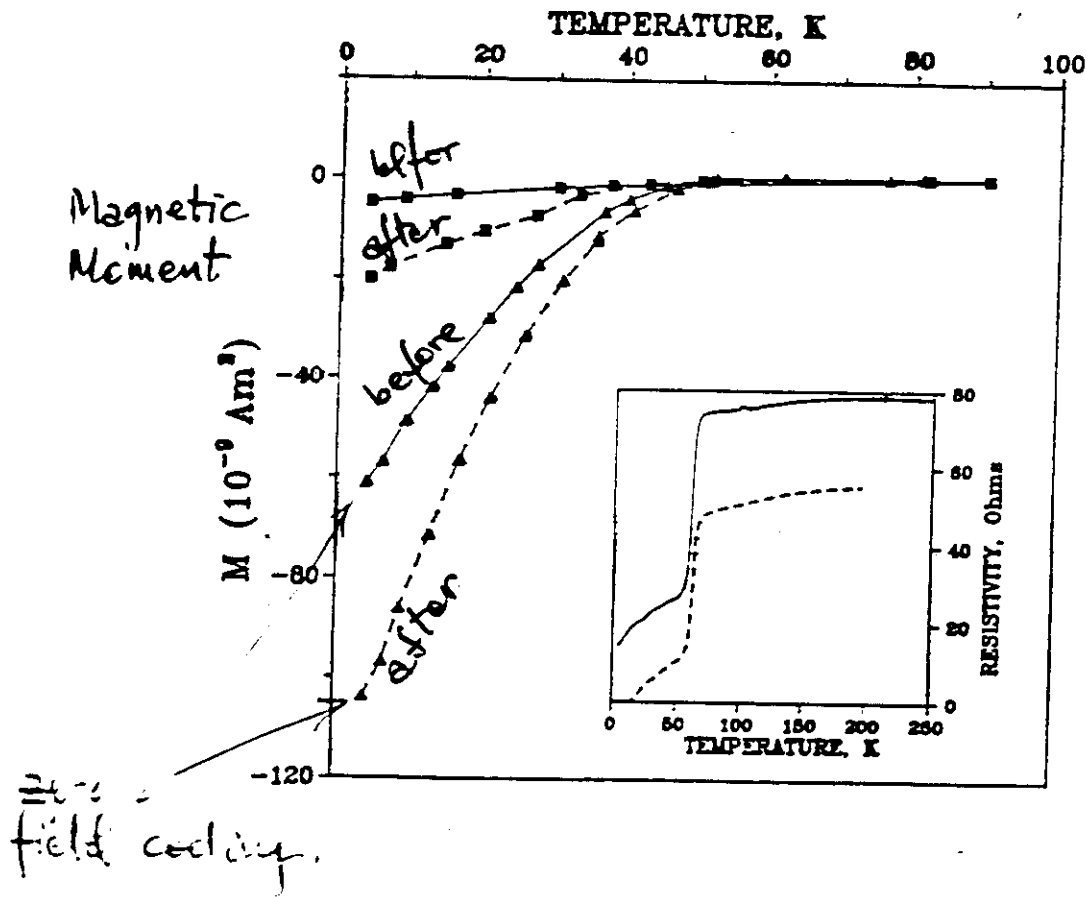
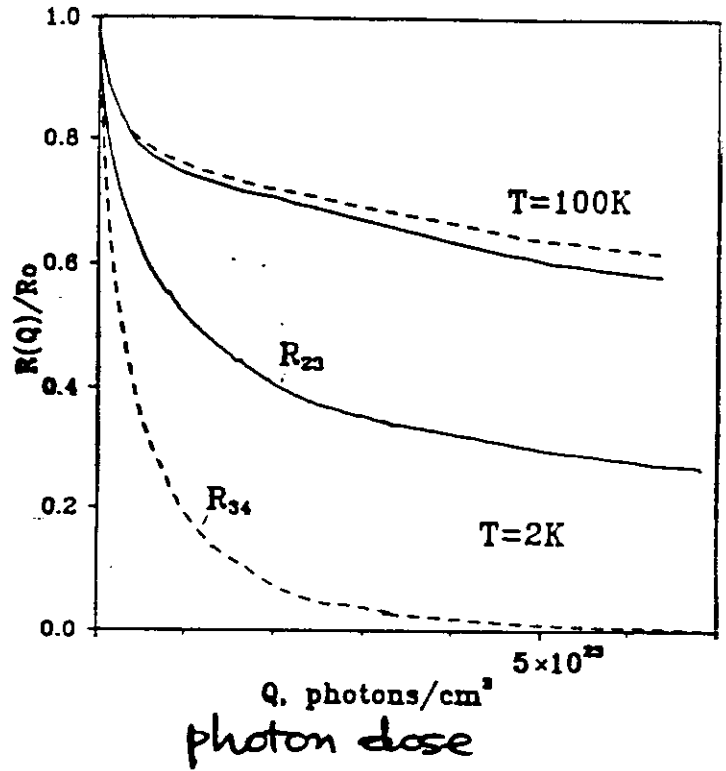
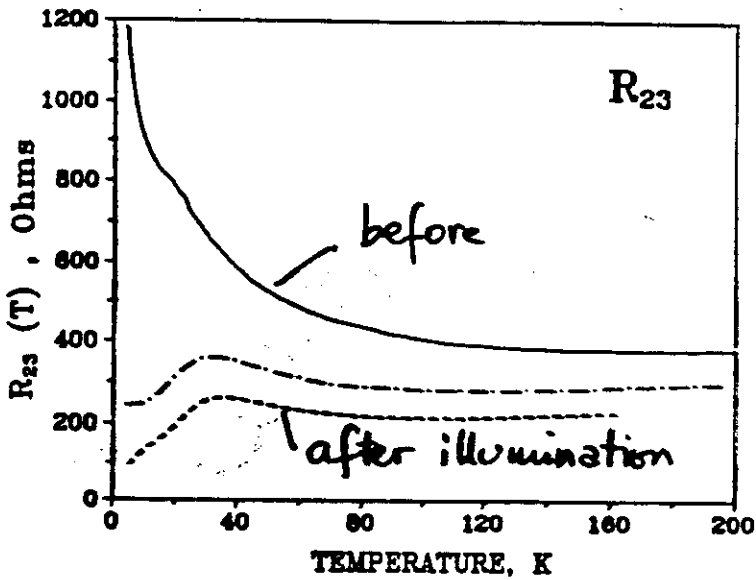
*Institute for Physical Problems, Academy of Sciences, GSP-1, ul. Kosygina 2,  
Moscow 117973, USSR.*

R. Laiho, E. Lahderanta,

*Wihuri Physical Laboratory, University of Turku, 20500 Turku 50, Finland.*

The phenomenon of persistent photoconductivity in  $\text{YBa}_2\text{Cu}_3\text{O}_{5-x}$  ( $x \approx 0.4$ ) films near semiconductor-metal transition has been studied. Transport and magnetic measurements of the illuminated films were performed. Transition to metallic state and photoinduced superconductivity has been observed. The mechanism of persistent photoconductivity in reduced  $\text{YBa}_2\text{Cu}_3\text{O}_{5-x}$  films is also discussed.

YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6.6</sub> film on SrTiC<sub>3</sub>  
 $\lambda_{exc} = 647 \text{ nm}$  100-300  $\mu\text{W}$



**photoinduced self-localization of charges at very low doping regime is a strong indication of the presence of polarons**

**still the question is open if the mechanism of s.c. is polaronic!**

### **Some references**

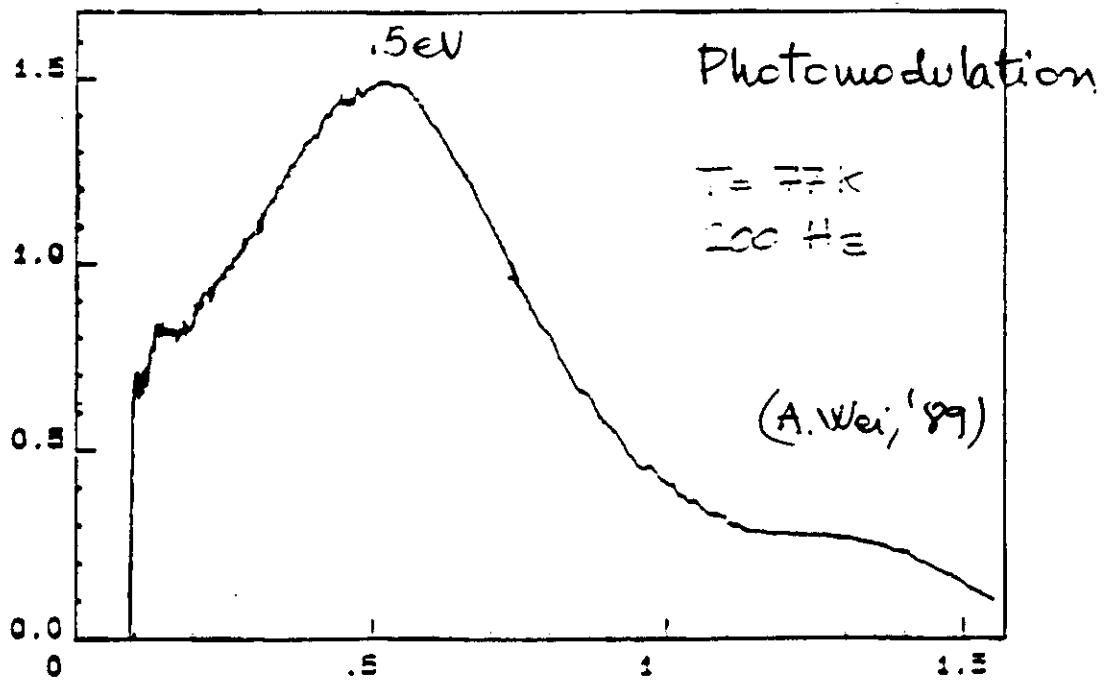
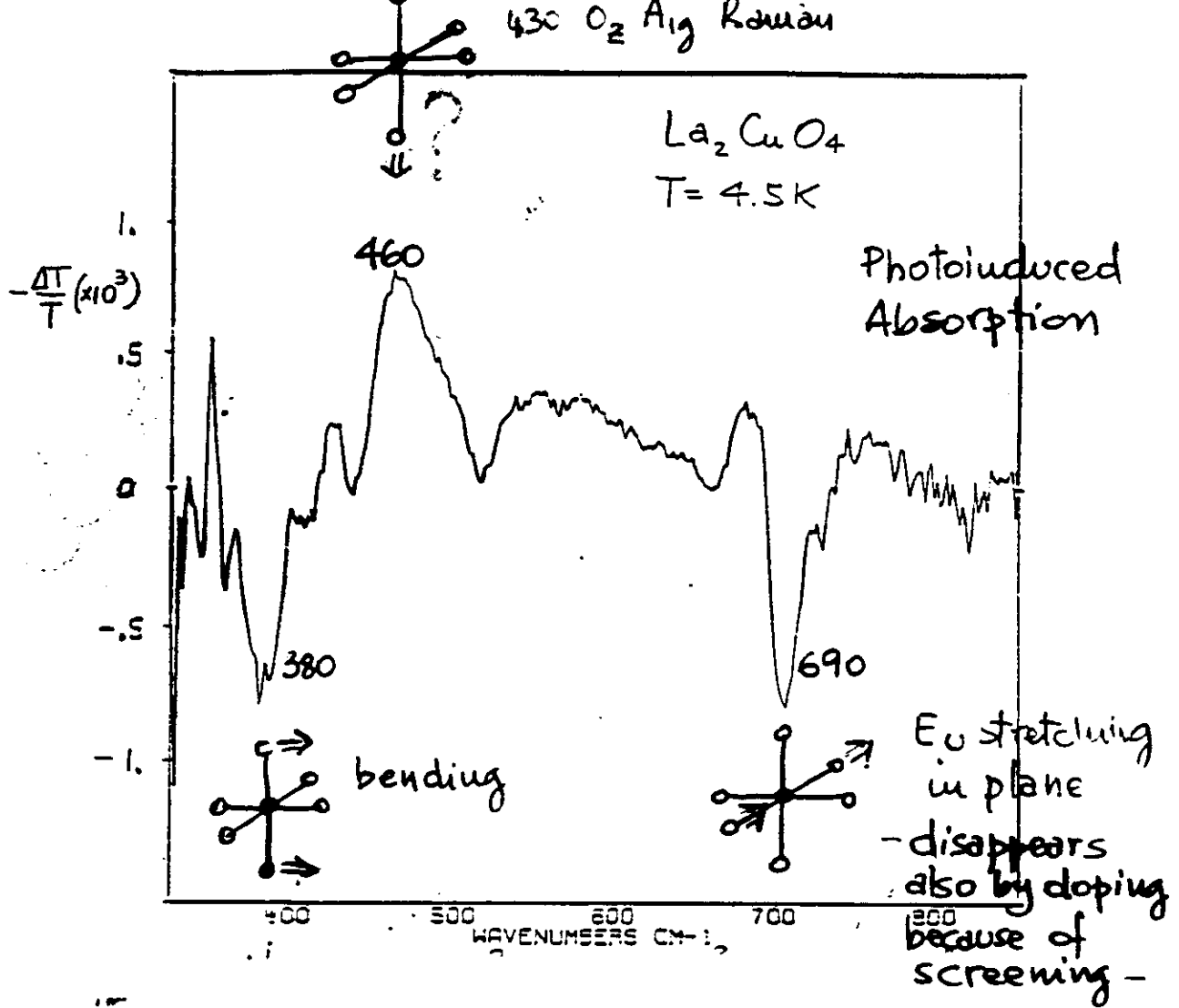
**A.S.Alexandrov and J.Ranninger (1981), J.Ranninger (1990)**

**K.Nasu (1988)**

**L.J.DeJong (1988)**

**D.Emin (1989)**

**J. Lorenzana, J.Lu (preprint)**



# Direct evidence of the importance of electron-phonon coupling in $\text{La}_2\text{CuO}_4$ : Photoinduced ir-active vibrational modes

Y. H. Kim and A. J. Heeger

Department of Physics, University of California, Santa Barbara, Santa Barbara, California 93106

L. Acedo and G. Stucky

Department of Chemistry, University of California, Santa Barbara, Santa Barbara, California 93106

F. Wudl

Department of Physics and Department of Chemistry, University of California, Santa Barbara, Santa Barbara, California 93106

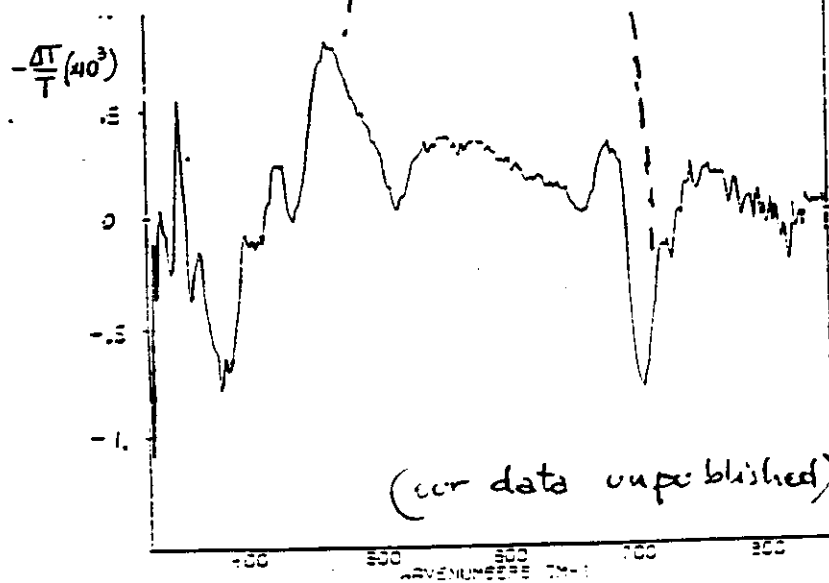
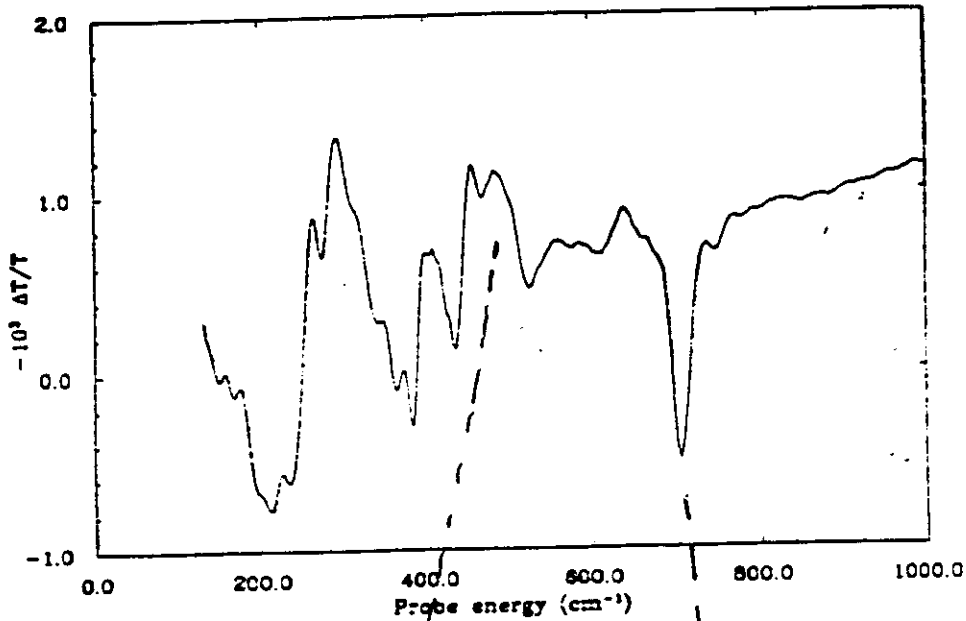
(Received 20 July 1987; revised manuscript received 24 August 1987)

We present direct evidence of the importance of the electron-phonon interaction in pure  $\text{La}_2\text{CuO}_4$ . Photoinduced ir-active vibrational modes (IRAV) and associated bleaching of the  $\text{La}_2\text{CuO}_4$  phonon modes are observed in the spectral range below  $700\text{ cm}^{-1}$ . The observation of photoinduced IRAV modes implies the existence of structural deformation around the photoexcited carriers, indicative of coupling of the photoexcitations to the lattice. We find, in addition, a broad photoinduced absorption which peaks at  $\approx 0.5\text{ eV}$ , indicating an electronic transition deep within the energy gap.

The high  $T_c$  of Sr- or B doped  $\text{La}_2\text{CuO}_4$  is identified with the high  $T_c$  of the metallic phase if the mechanism of the interaction is the same. Recent experiments have shown that the photoinduced IRAV modes in  $\text{La}_2\text{CuO}_4$  are consistent with the proposed phonon model for high  $T_c$ . In more detail, the origin of the photoinduced state (e.g., an electronic state which originates from the electro-

In this paper we discuss the importance of this class of superconducting excitation technique in photoinduced infrared in  $\text{La}_2\text{CuO}_4$ . The observation of IRAV modes implies the existence of structural deformation around the photoexcited carriers, indicative of strong coupling of the photoexcitations to the lattice. We find, in addition, a broad photoinduced absorption which peaks at  $\approx 0.5\text{ eV}$  within the energy gap.

When charges are



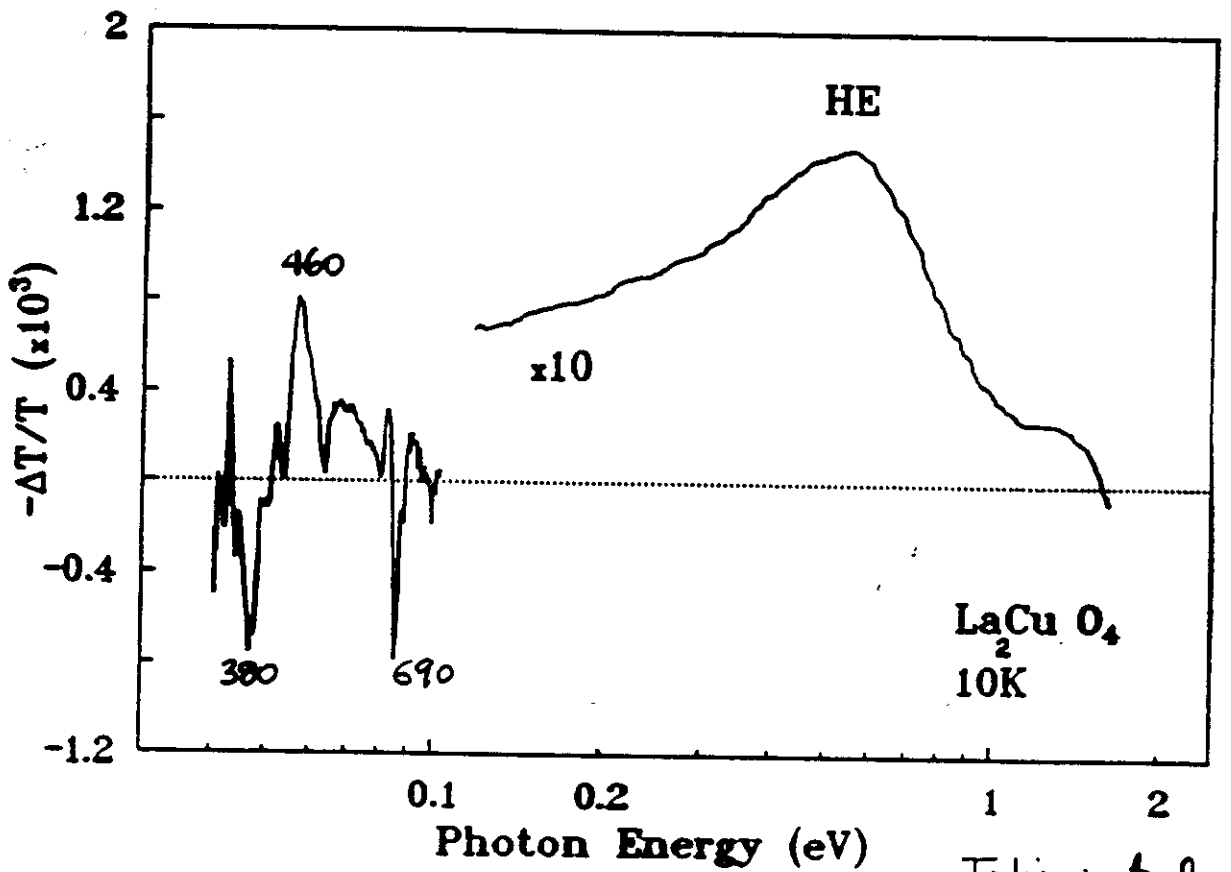
optical pumping disrupt the per- create charged of a degenerate ton pairs) when d. These non- tions and allow al modes to be- ced or photoin- charge-induced e self-localized doping-induced  $\omega < E_g$  (the to- single induced pirit, we initiat-  $\text{CuO}_4$  in search nstrate whether s of importance rs.

By following the tering under an  $\text{O}_2$  was characterized by e-phase material. For the surements, the sintered to approximately micron concentration of 1-2 wt. % isurements in the spectral or with CsI powder (120 mixtures were then com- rk grey thin pellets. The id and then repressed to nding-pressing cycle was arency and homogeneity;

transform infrared inter- ess for the external laser;

American Physical Societ;





Takami et al.  
to appear.

- suppression of 690 screening in plane
- self trapping of polarons (phonon PA + charge exc. F<sub>2g</sub>)  
LASER dependence
- absence of any LE → no defect excitation  
(contrary to YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6.2</sub>)  
oxygen disorder in chains!!

BaBiO<sub>3</sub>

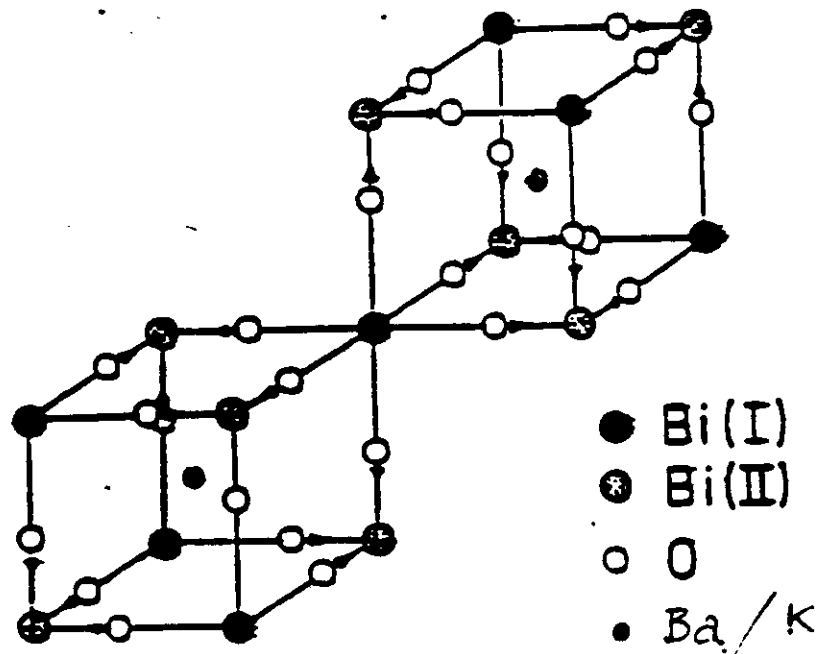
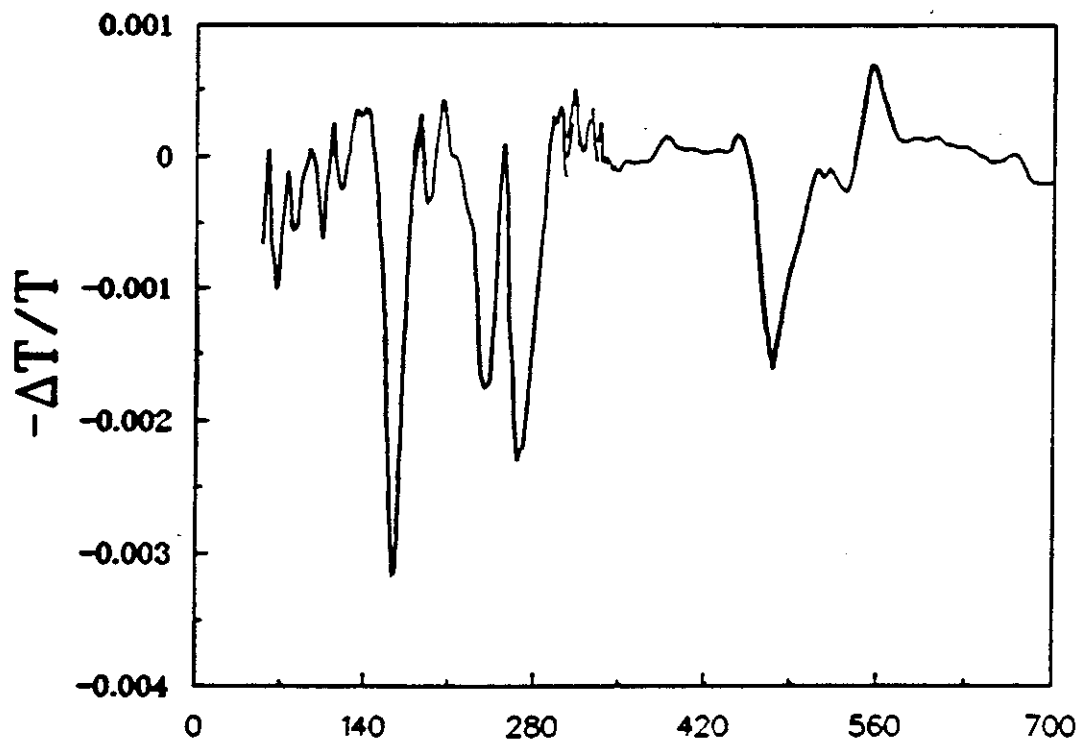
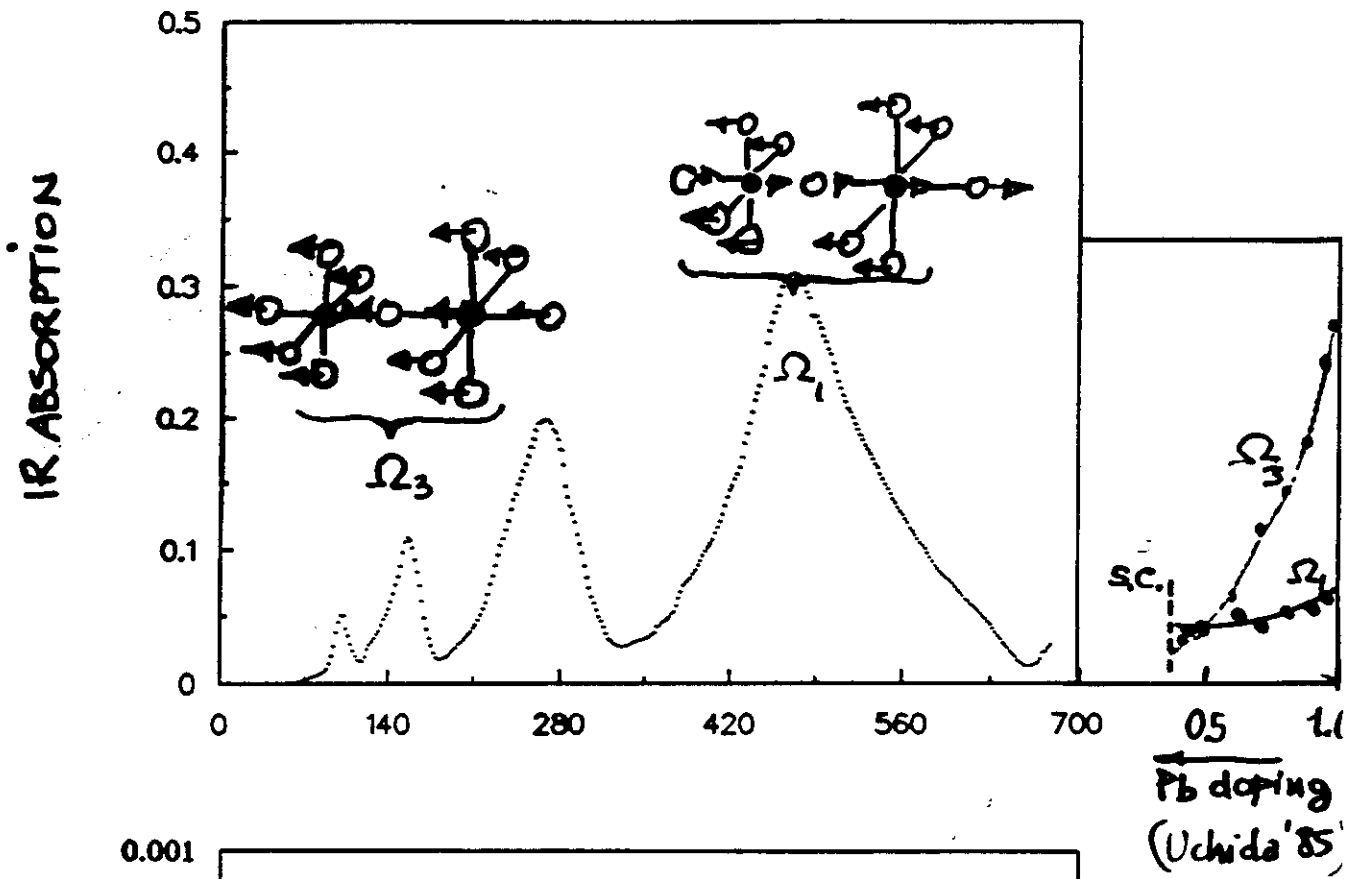


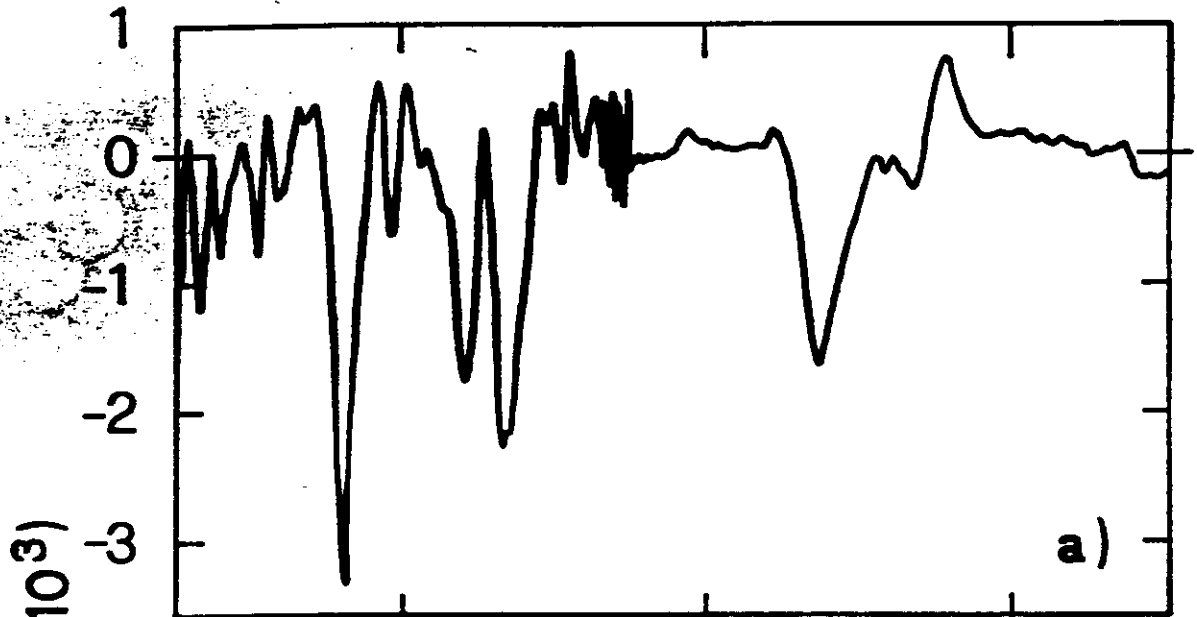
Fig. 4. Bi(I)-Bi(II) NaCl lattice. The breathing-mode distortions of the O atoms are exaggerated.

- Ba<sub>0.6</sub>K<sub>0.4</sub>BiO<sub>3</sub> T<sub>c</sub> ~ 30 K → HTSC
- no magnetic ions
- 3 Dimensional
- static charge density wave (CDW)

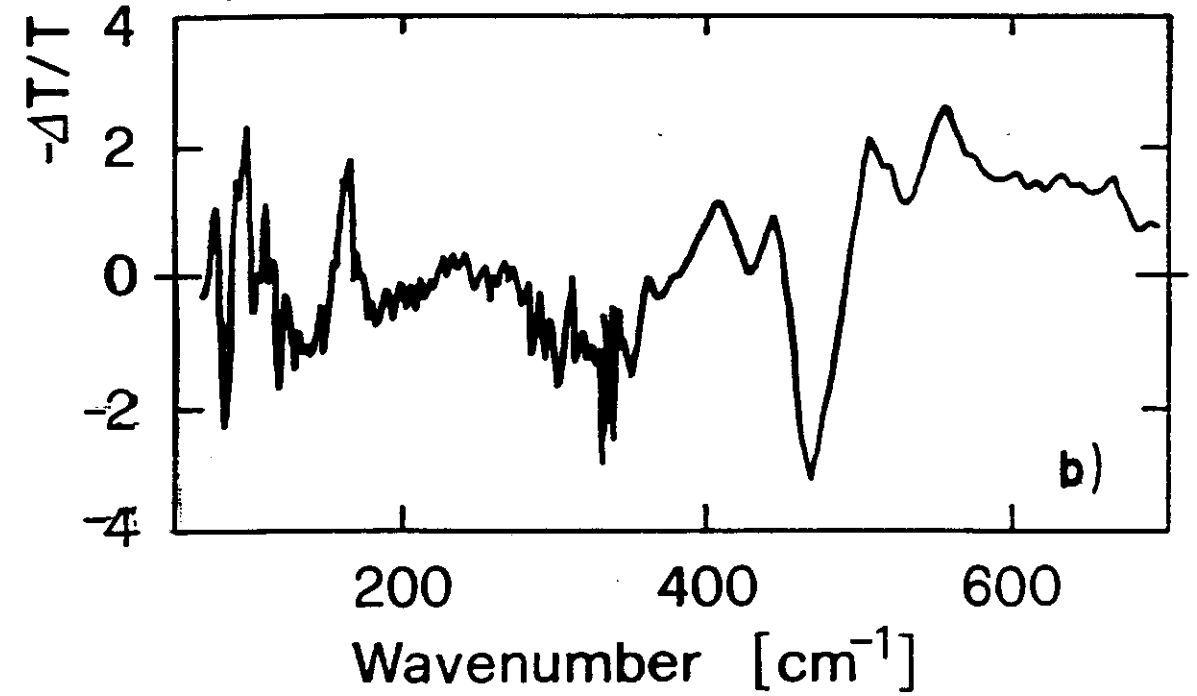




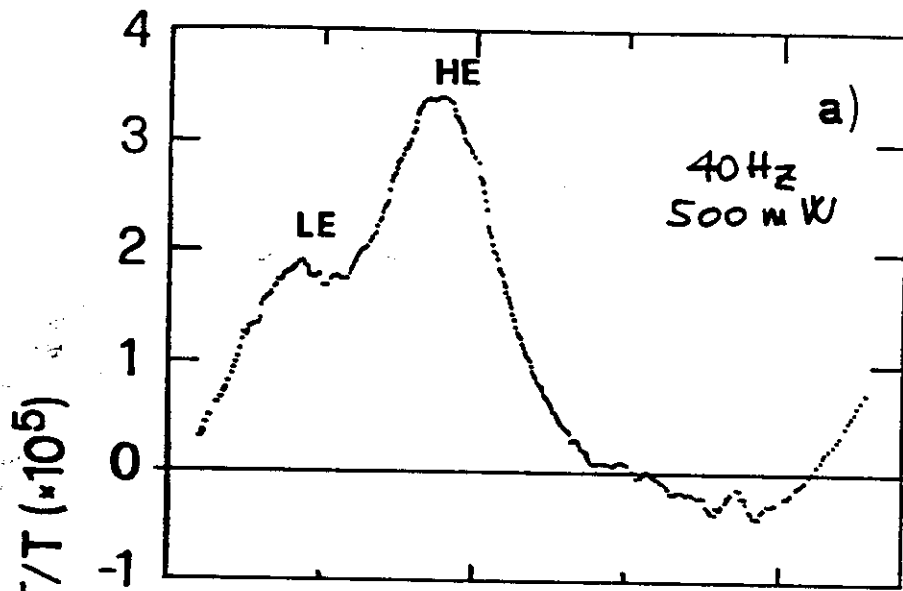
PHOTOINDUCED ABSORPTION



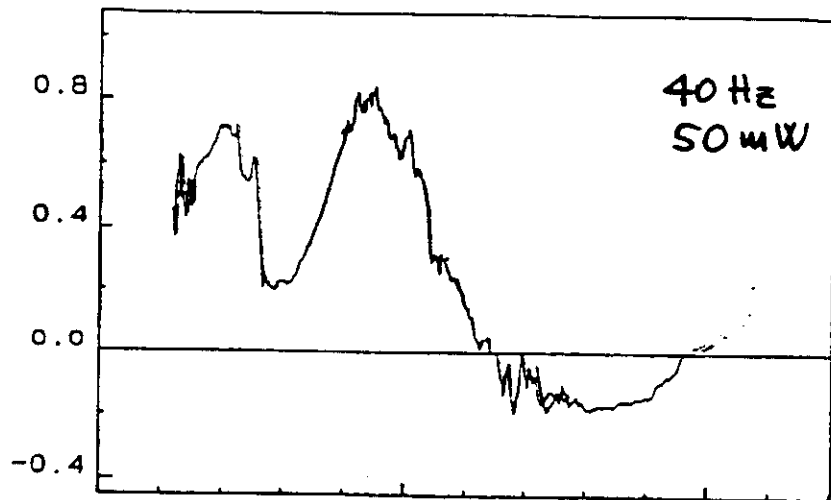
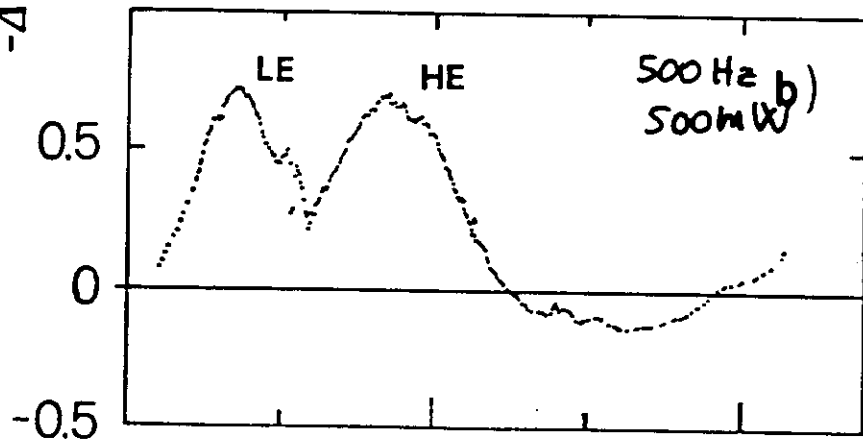
THERMAL MODULATION



B<sub>2</sub>BiO<sub>3</sub>

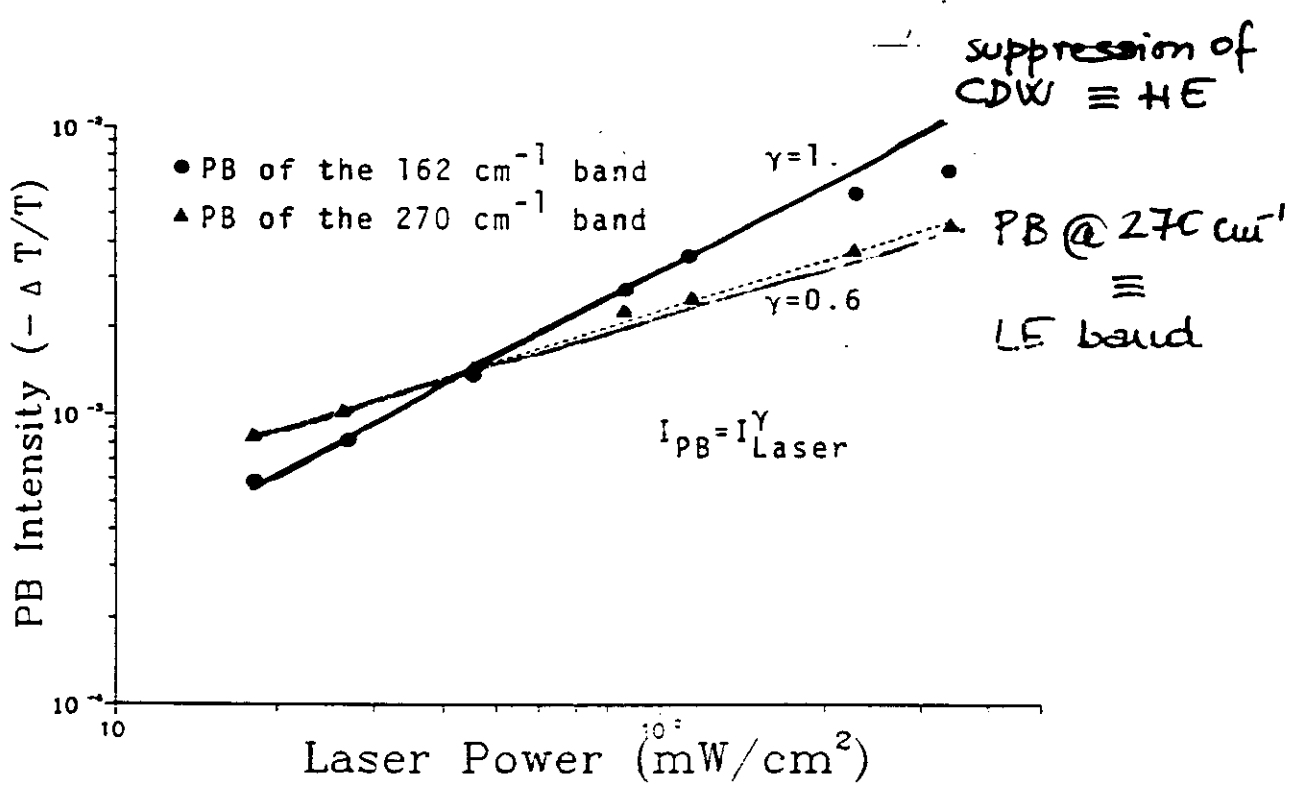
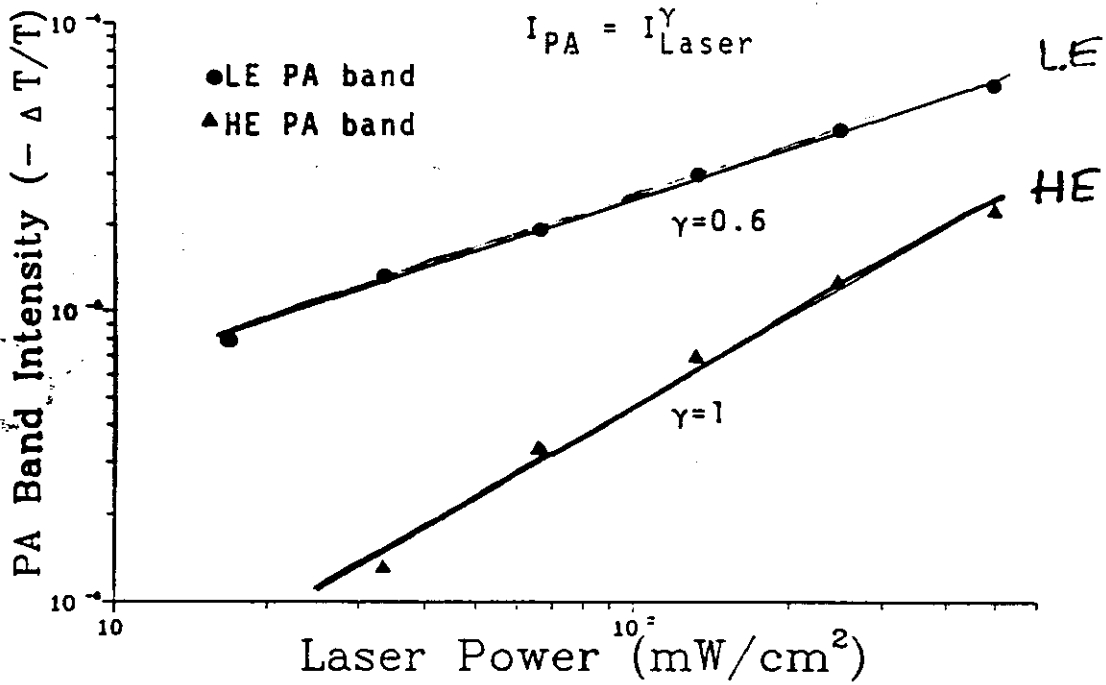


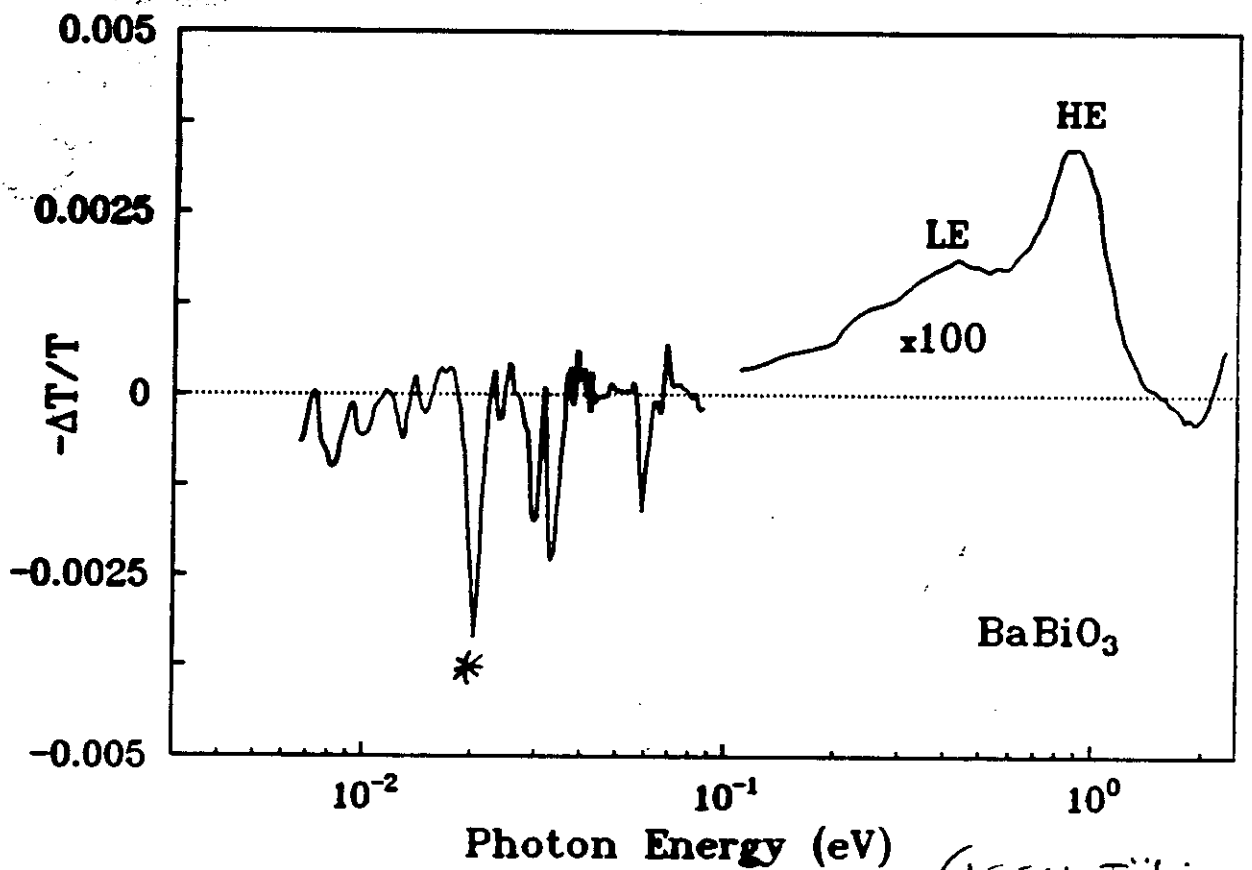
$\tau_{HE} > \tau_{LE}$



Photon energy [eV]

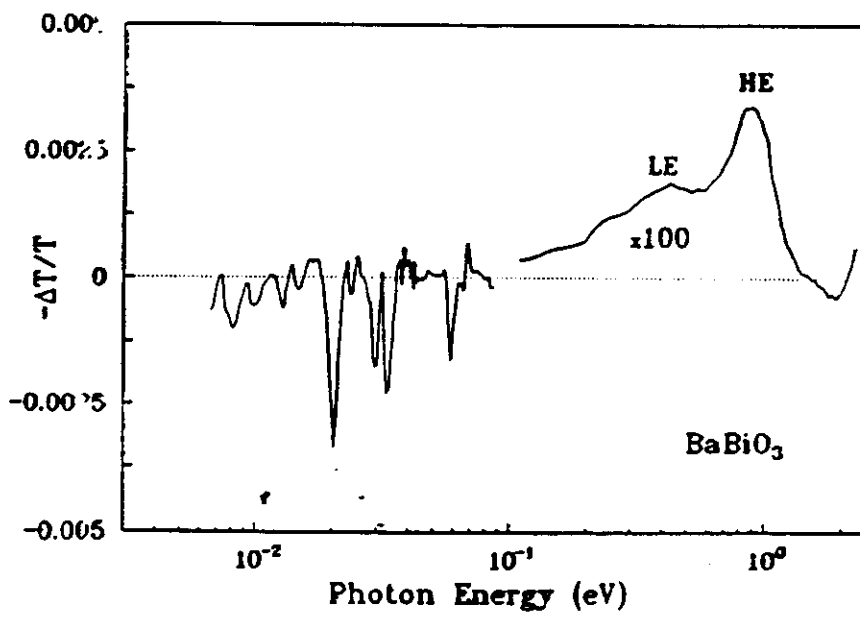
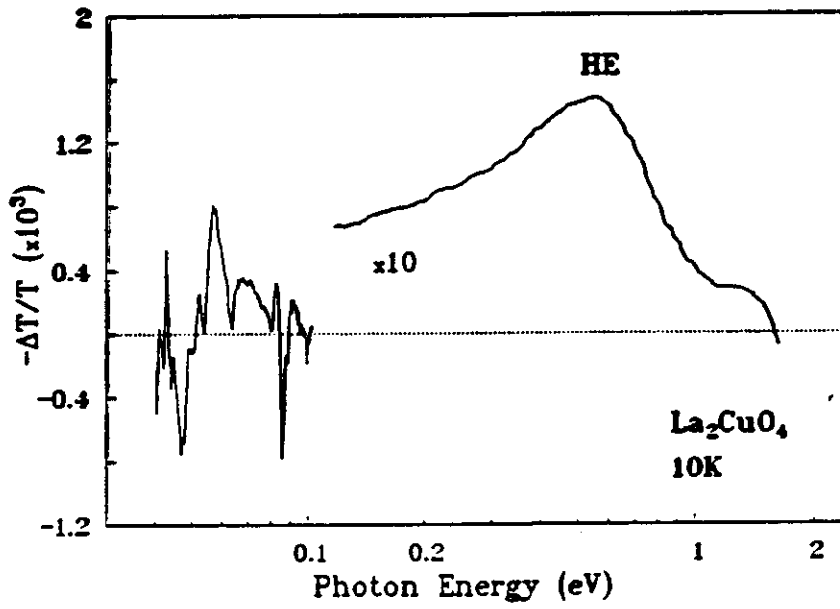
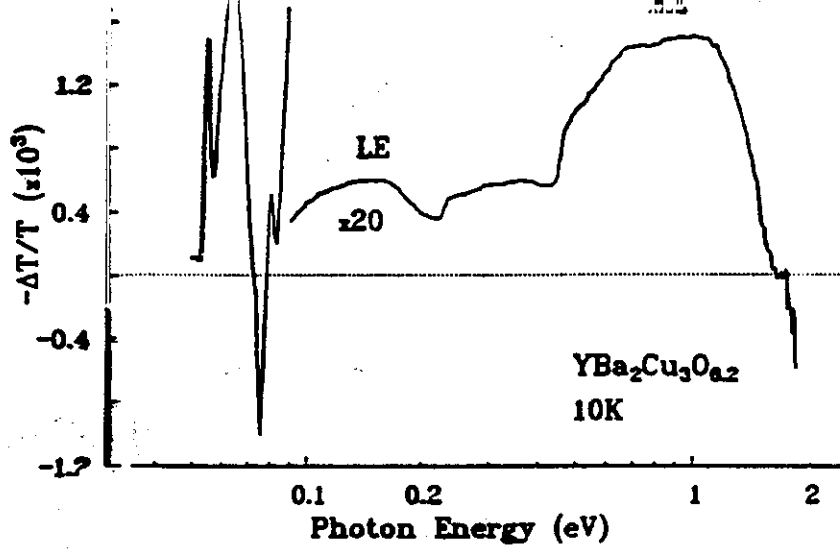
# BaBiO<sub>3</sub>



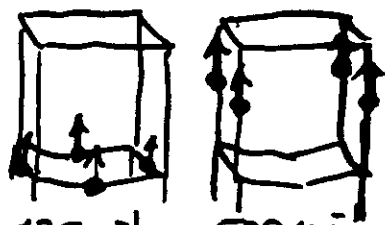


(ICSM Tübingen & proceedings)

- charge density wave suppression \*
- self trapping of polarons (PB + LE charge) etc.
- polarons ---> bipolarons LASER dependence





LOW DOPING IN	mid-IR CHARGE EXCITATION (eV)		ASSOCIATED with LATTICE DISTORTION	
	ONSET	MAX		
YBa <sub>2</sub> Cu <sub>3</sub> O <sub>6.2</sub>	~.25	.7	YES	435 cm <sup>-1</sup>
(Tl <sub>2</sub> Ba <sub>2</sub> Ca <sub>1-x</sub> CdCu <sub>2</sub> O <sub>8</sub> )			YES (Heeger '89)	500 cm <sup>-1</sup>
La <sub>2</sub> CuO <sub>4</sub>	~.3	.5	YES	460 cm <sup>-1</sup> (?)
BaBiO <sub>3</sub>	~.3	.45	YES	suppression of CDW

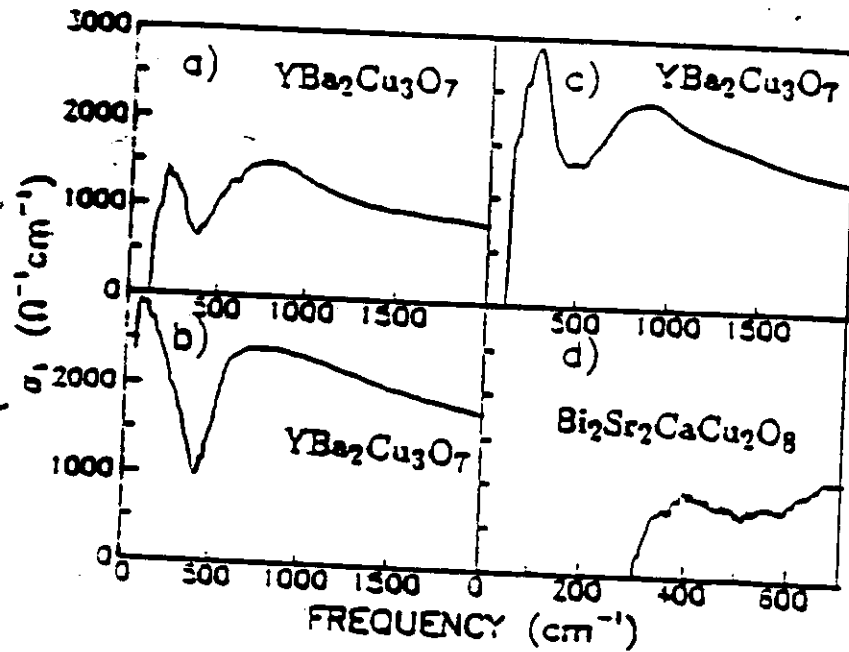
- charged excitations → paired recombination  
 ↓  
 coupled with phonons → strong e-ph coupling,  
 self localization.

- COMMON CHARACTERISTIC OF HTSC  
 (2D and 3D)

- mid-IR independent on Cu → no spin excitation!  
 ↓  
 polaron in the oxygen sublattice!

Regensburg Univ.

Bellcore + Florida Univ.



AT&T lab.

McMaster Univ.

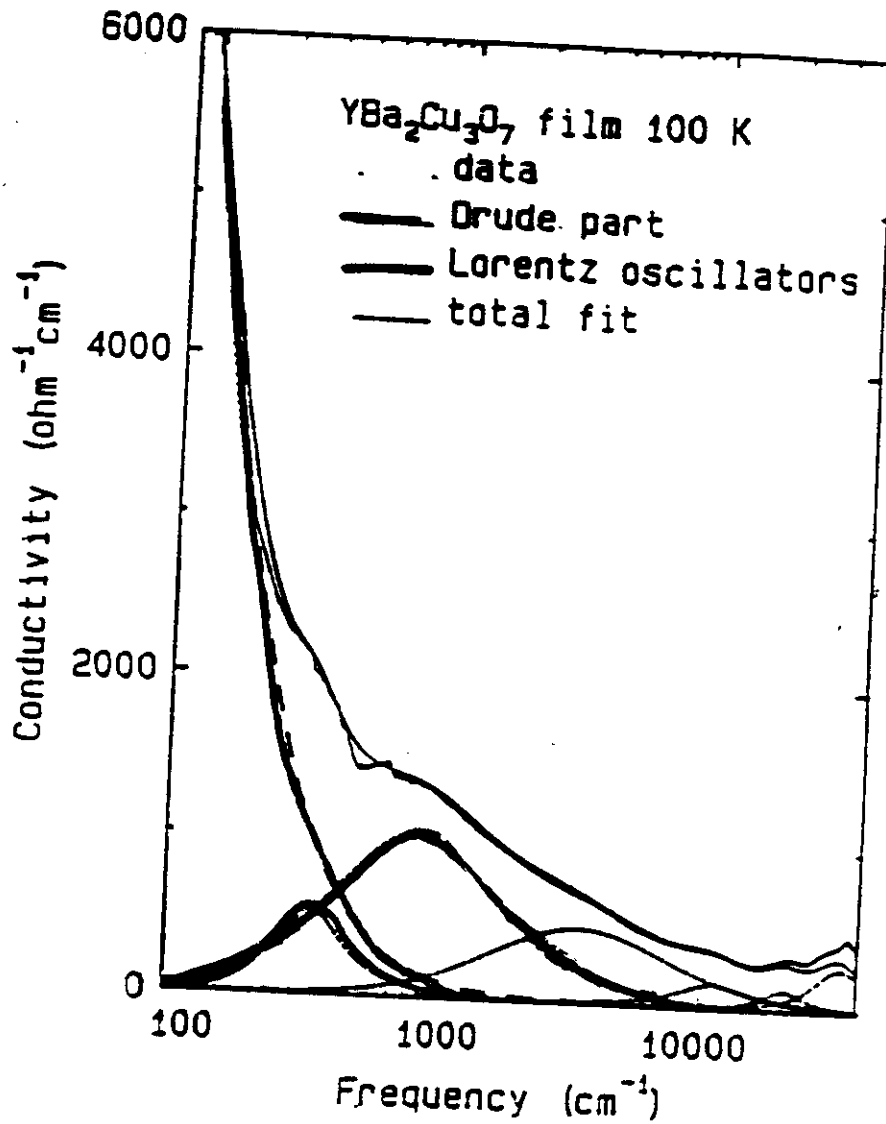
Figure 1

The midinfrared band, data from four groups. a) Thin film produced by laser ablation by the Siemens/Regensburg group. b) Another laser processed film from the Bellcore/Florida collaboration. c) A single crystal of  $\text{YBa}_2\text{Cu}_3\text{O}_7$  from AT&T. d)  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$  crystal from McMaster. In all cases there is temperature independent absorption in the midinfrared of the order of  $1500 (\Omega \text{ cm})^{-1}$  in amplitude. The gap-like onset of the absorption has the superficial appearance of a superconducting gap but the gap is also present in the normal state.

from : T. Timusk et al.

Physica C 162-164, 841 (89)

+ Geuzel group, MPI Stuttgart.

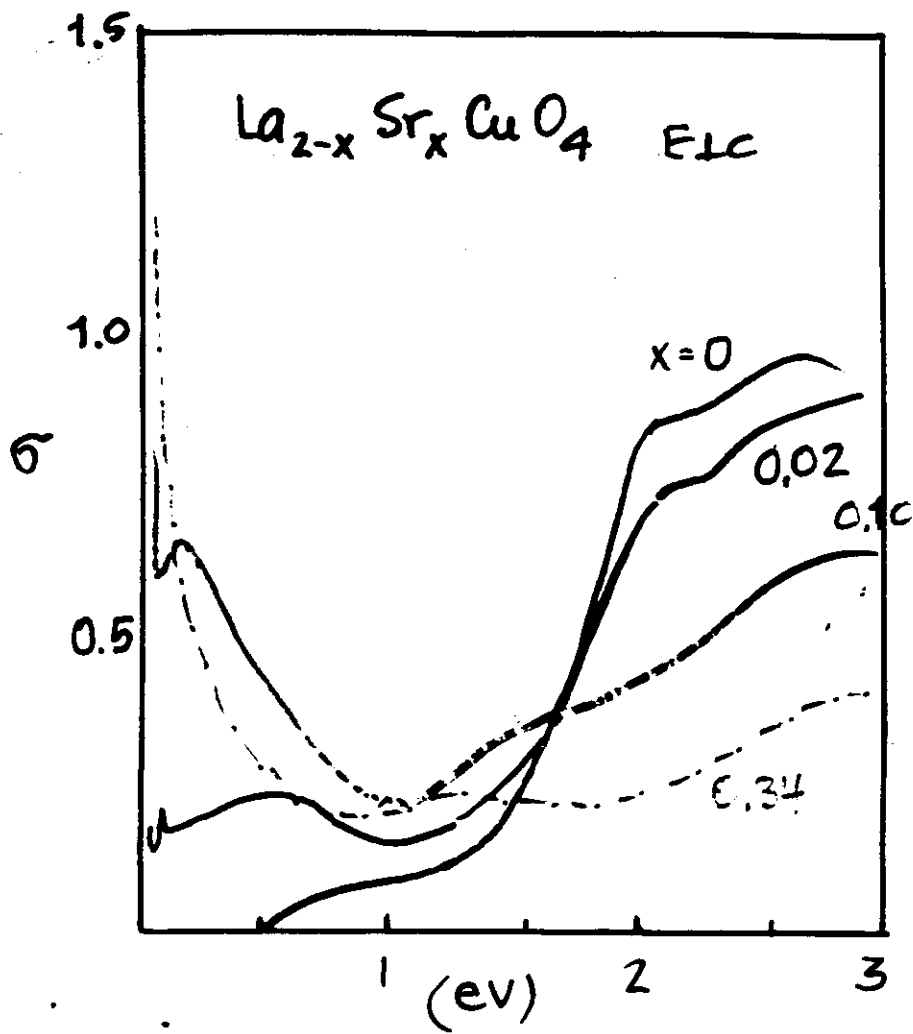


K. Kamaras et al.  
(90)

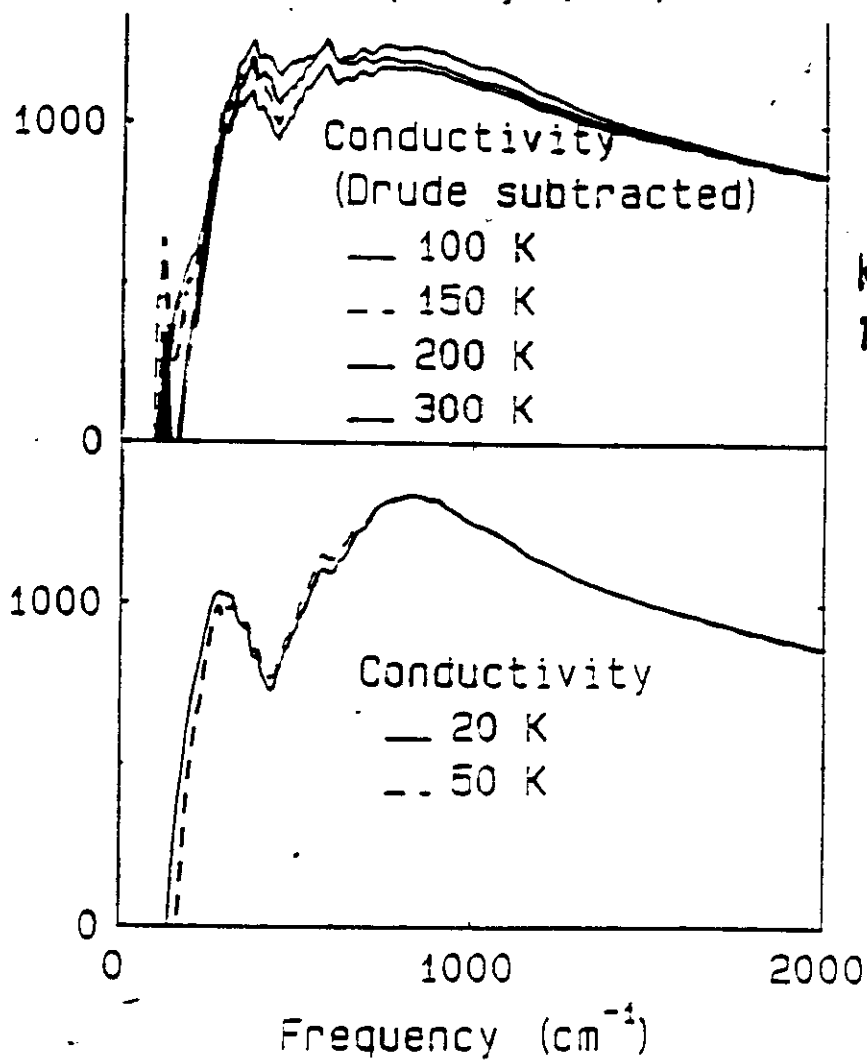
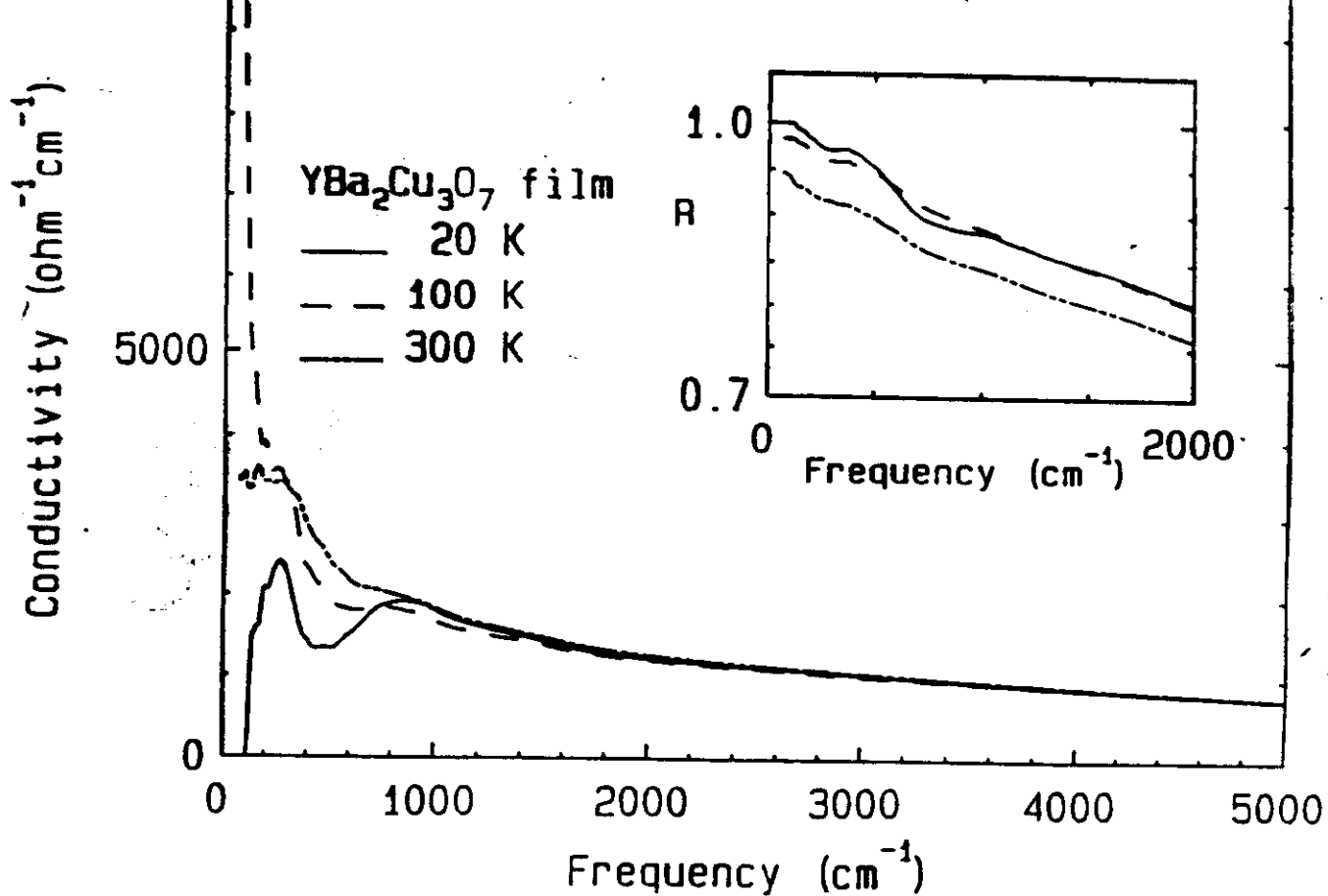
$$\epsilon(\omega) = \frac{\omega_p^2 D}{\omega^2 + i\omega/\tau} + \frac{\omega_{pe}^2}{\omega_e^2 - \omega^2 - i\omega\gamma_e} + \sum_{J=1, N} \frac{S_J \omega_J^2}{\omega_J^2 - \omega^2 - i\omega\gamma_J} + \epsilon(\infty)$$

DRUDE                      MID-IR                      PHONONS

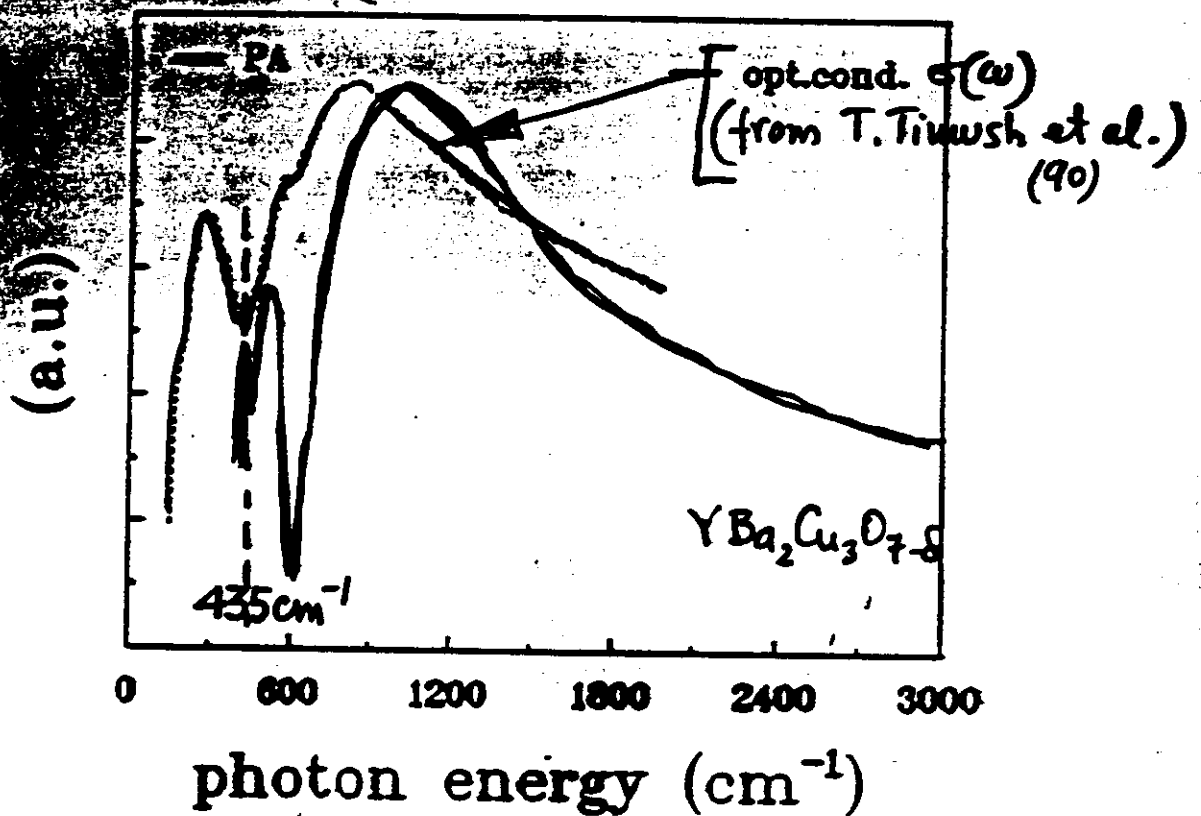
$\omega_p = 0.7 \rightarrow 1.4 \text{ eV}$        $\omega_e \sim 0.26 \text{ eV}$



Tajima et al. (1990)



K. Kamaras et al  
PRL 64, 84 (90)



- antiresonance in  $\sigma(\omega)$
- lattice self localization (PA).
- softening (neutron diff.) (Rietchel 189)

linked

435  $\text{cm}^{-1}$

LE defect state

HE onset  $\sim 0.3 \text{ eV}$

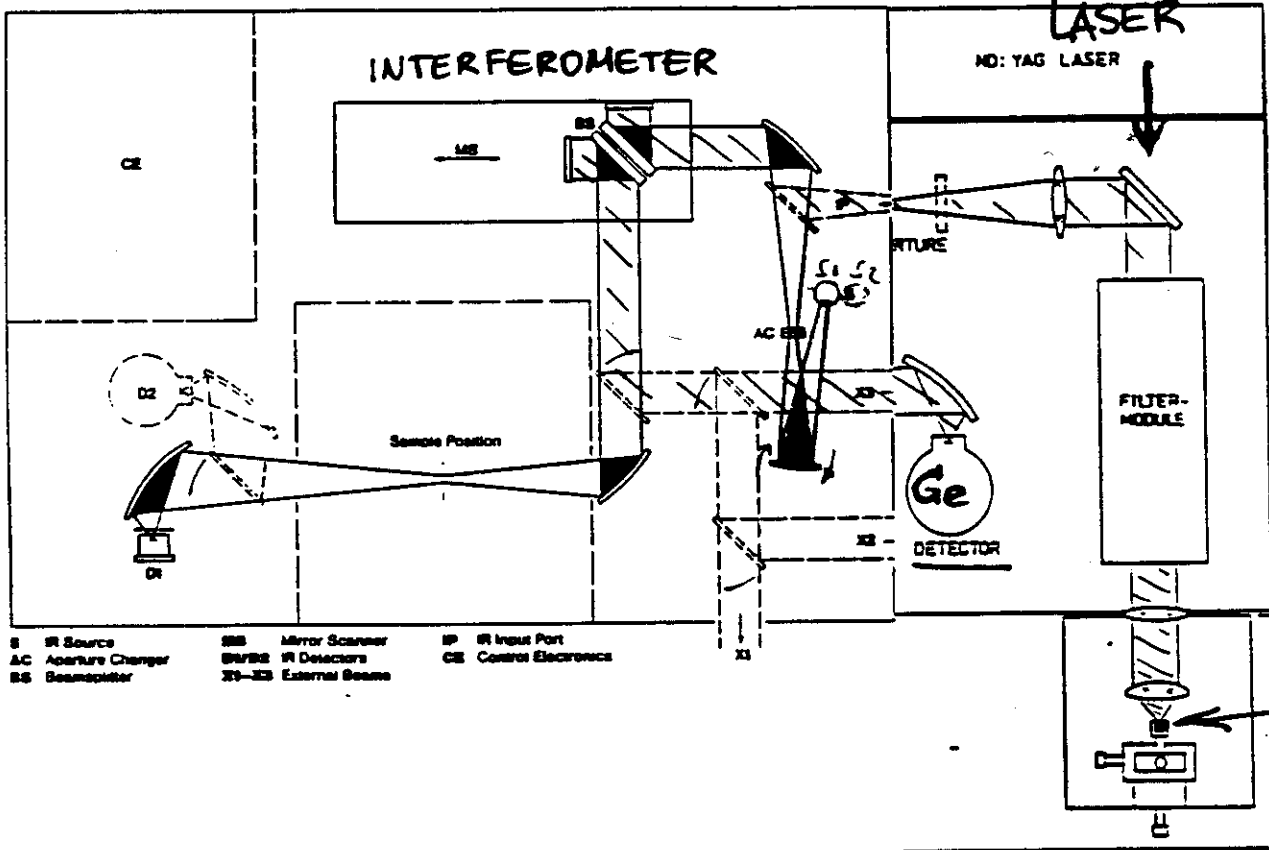
common to  $\text{La}_2\text{CuO}_4$  and  $\text{BaBiO}_3$

charge excitation in the oxygen network?

# FOURIER TRANSFORM } RAMAN PHOTOLUMINESCENCE EXPERIMENTAL SET-UP

IFS 66 Optical layout

— Standard ——— Options



Nd-Yag 1.06  $\mu\text{m}$   
~1.2 eV

LASER

ND: YAG LASER

INTERFEROMETER

CE

MS

BS

APERTURE

AC

BS

Sample Position

Ge

FILTER-MODULE

DETECTOR

- S IR Source
- AC Aperture Changer
- BS Beam splitter
- MS Mirror Scanner
- SD/SDS IR Detectors
- SE-SE External Beams
- IP IR Input Port
- CE Control Electronics

SAMPLE

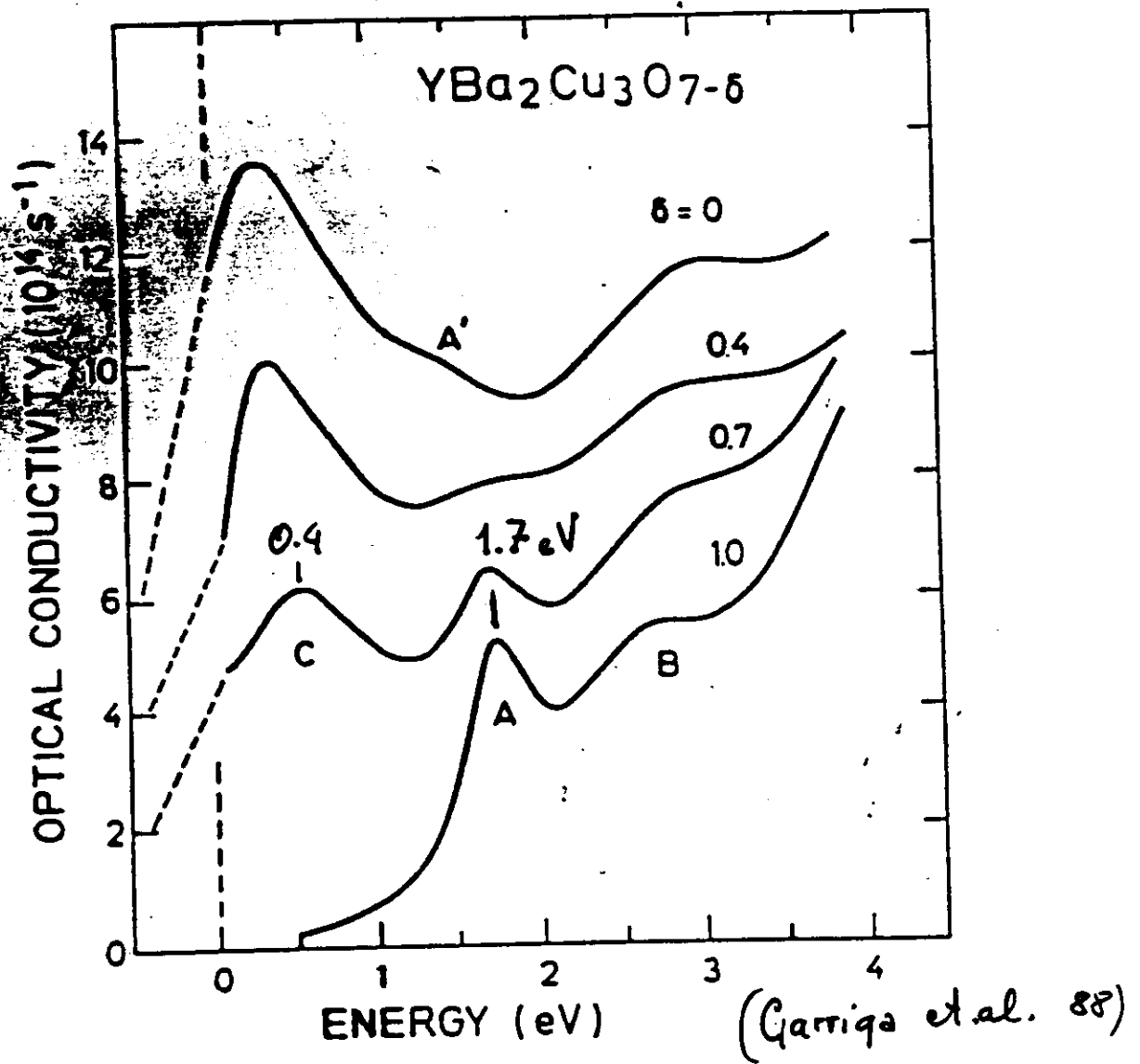
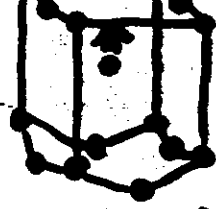


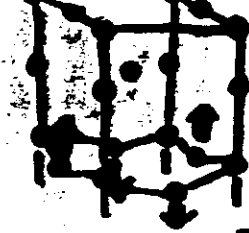
FIGURE 2

Optical conductivity of ceramic YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7-δ</sub> for different oxygen content.





116  $\text{cm}^{-1}$   $A_{gg}$



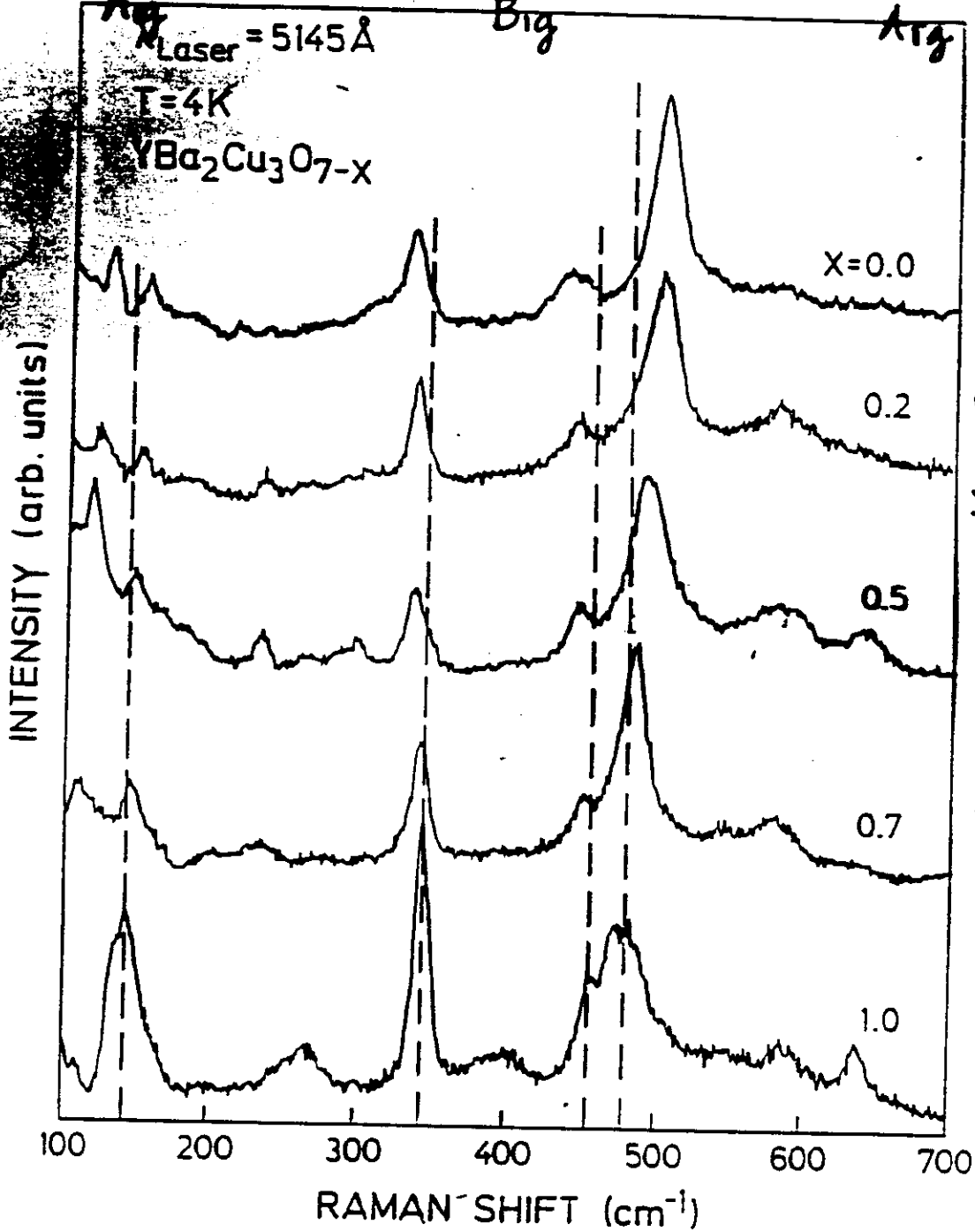
335  $\text{cm}^{-1}$   $A_{gg}$



500  $\text{cm}^{-1}$   $A_{gg}$

● O  
● Cu

TETRA  
ORTHO



→ decrease of the Cu(1)-O(4) distance

metal

semicond.

C. Thomsen et al.  
S.S. Commun. 65, 55 (198)

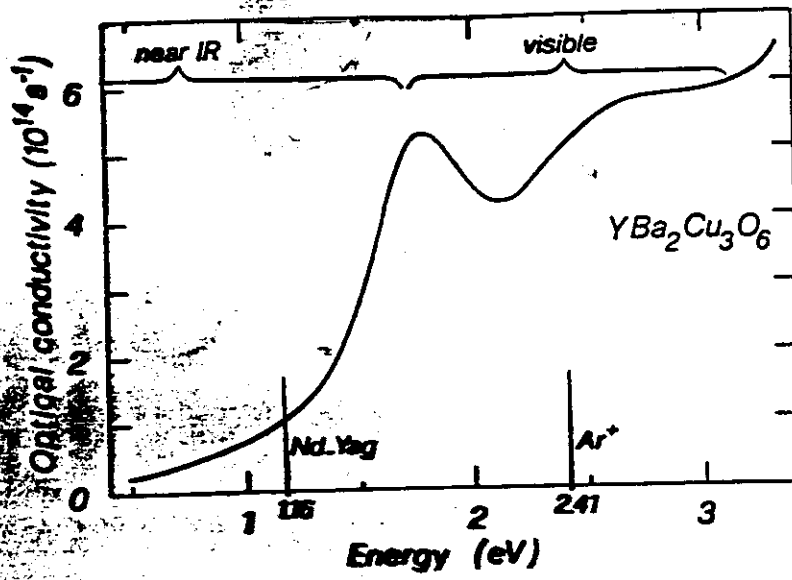


Fig.4-The optical conductivity spectrum of  $YBa_2Cu_3O_6$  (from Ref. 1). Nd-Yag and  $Ar^+$  laser photon energies are shown for comparison. (Gamage 88)

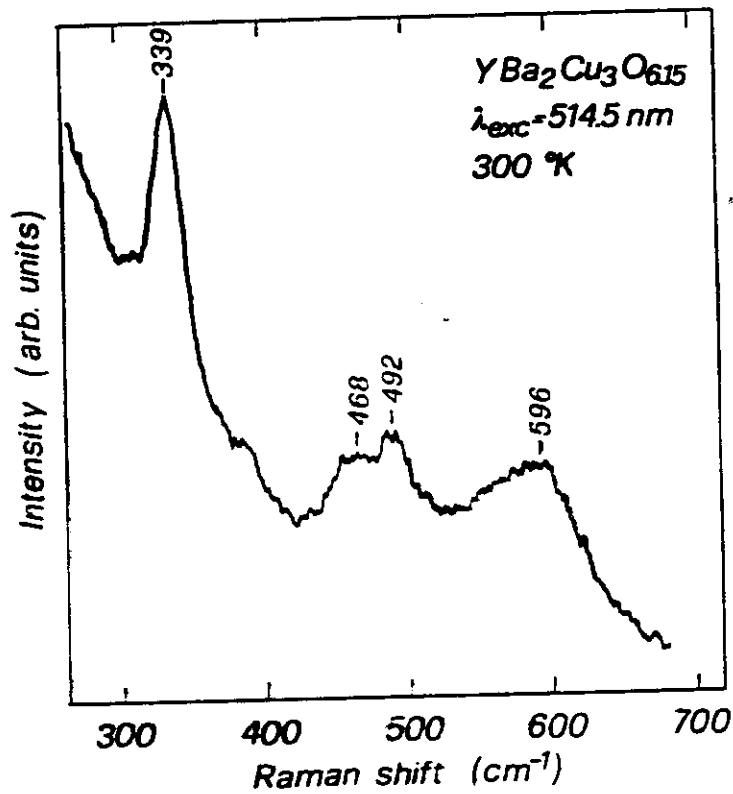


Fig.3-The standard Raman scattering spectrum of  $YBa_2Cu_3O_{6.15}$  excited with a visible  $Ar^+$  laser line at 514.5 nm. Note that the  $640\text{ cm}^{-1}$  band due to impurities is absent.

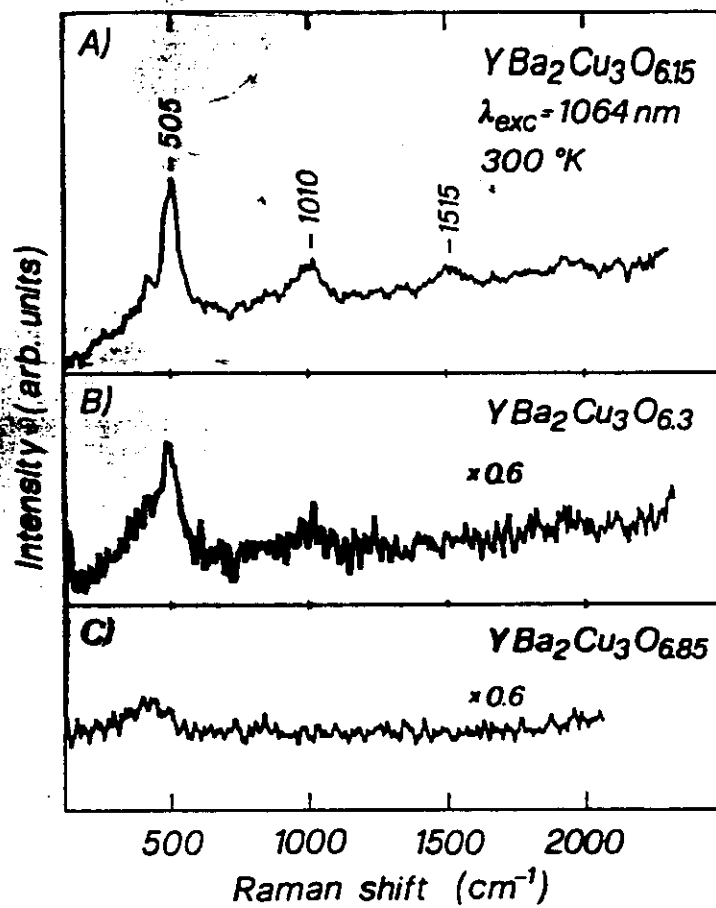


Fig.1-The Fourier-transform Raman spectra of the  $YBa_2Cu_3O_{7-y}$  superconducting system excited with an infrared Nd-Yag laser source at 1064 nm (1.16eV) at three different oxygen stoichiometries: A)  $O_{6.15}$ , B)  $O_{6.3}$  and C)  $O_{6.85}$ .

$$\alpha = \langle A \rangle + \langle B \rangle + NRT$$

where the first term is given by the expression:

$$A = \sum_i M_{i0}(R_0) \times M_{i0}(R_0) \cdot \sum_{n_i} \frac{\langle n_f | n_i \rangle \langle n_i | n_0 \rangle}{\omega_{i, n_i} - \omega_{0, n_0} - \omega_L}$$

in which  $\omega_L$  is the excitation frequency,  $\omega_{i, n_i}$  is the vibrational frequency of the  $n_i^{\text{th}}$  vibrational state in the  $i^{\text{th}}$  electronic state,  $n_0$ ,  $n_i$  and  $n_f$  are the vibrational wavefunctions of the ground, intermediate and final states respectively and  $M_{i0}(R_0)$  is the dipole matrix element between states  $i$  and  $0$  calculated at the equi-

05 016

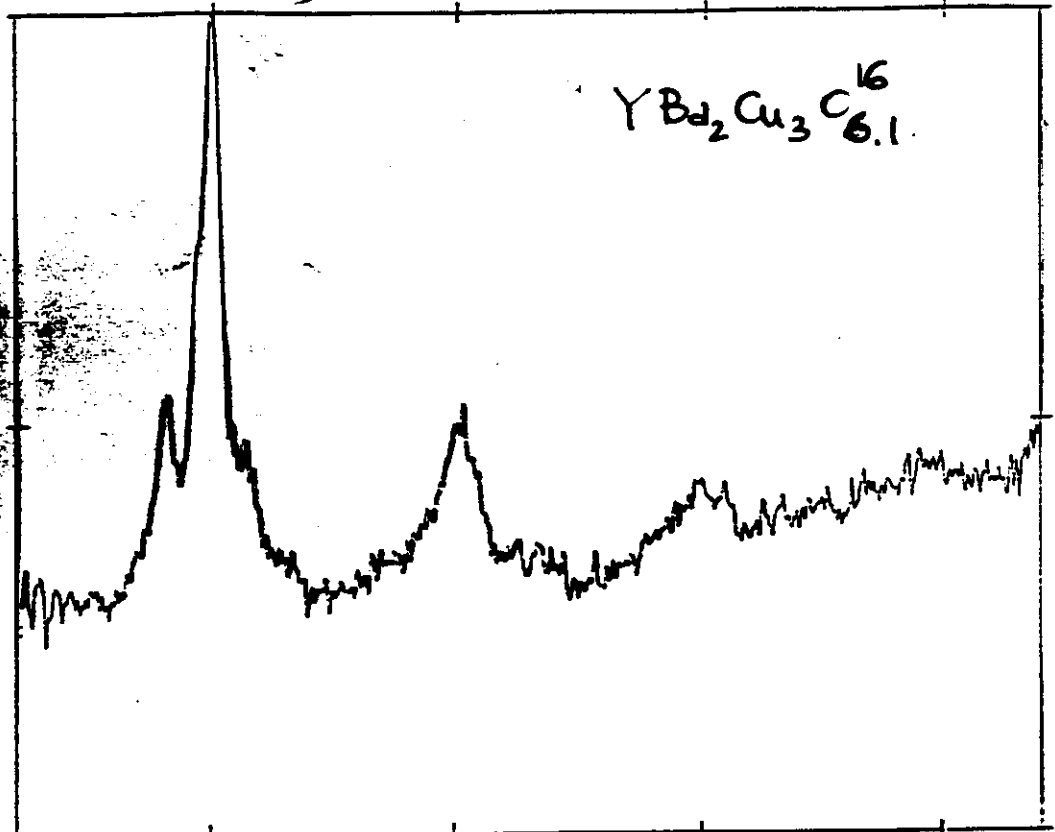
FLS=J112A1

RAMAN INTENSITY

0.0026

$YBa_2Cu_3O_{6.1}$

0.0



500 1000 1500 2000  
WAVENUMBER CM-1

76

018 02  
→

FLS=J112B3

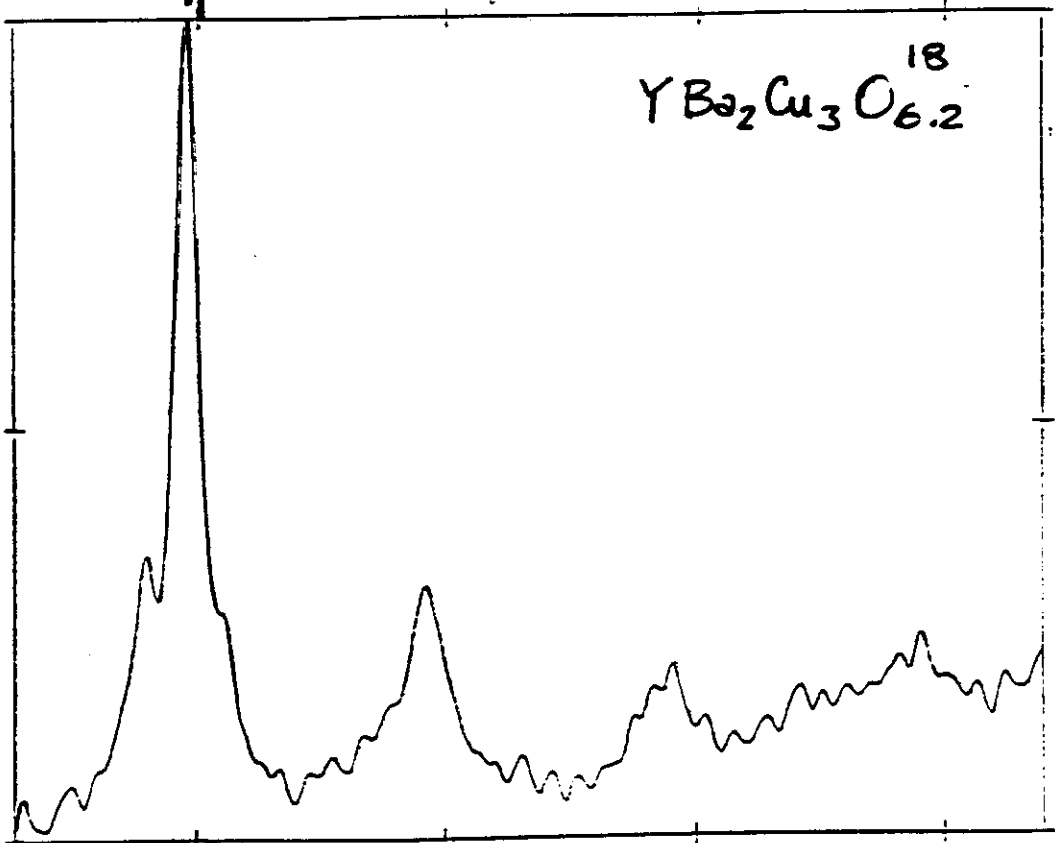
P-20 m

RAMAN INTENSITY

0.0031

$YBa_2Cu_3O_{6.2}$

0.0011



500 1000 1500 2000

## 6) CONCLUSIONS

- 1) Warning! Raman scattering in HTSC is always a Resonance Raman scattering!
- 2) multiphonon scattering @  $\lambda_{exc} = 1.06 \mu$ .  
in  $YBa_2Cu_3O_{6.15}$  of apex oxygen stretching ( $\omega$ )  
 $\downarrow$   
resonance with localized states in the gap
- 3) If the apex O is in  $W_{\pm\Delta E}$  :  $\omega \gg \Delta E \gg \omega$   
in  $YBa_2Cu_3O_{6.1}$
- 4) local states in the gap
  - absent in  $O_6$
  - develop with [c].

