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INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
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SMR/534-24

ICTP/WMO WORKSHOP ON EXTRA-TROPICAL AND TROPICAL
LIMITED AREA MODELLING
22 October - 3 November 1990

"Specific Problems of LAM/Meso-Scale"
II.

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Please note: These are preliminary notes intended for internal distribution only.

**The Use of Satellite Data as Proxy Data
for Moisture and Diabatic Heating in the Tropics**

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Why is it important to take account of diabatic heating ?

- Any satellite picture reveals regions of intense convective activity in the tropics
- A number of atmospheric features are closely connected with tropical diabatic heating
 - The 40-50 day oscillation
 - Tropical SST anomalies have local as well as extratropical influence- eg. the PNA teleconnection pattern
 - Impact of El-Nino events is well known and documented
 - The monsoon circulation

12 Jan 14 1990



Other aspects of tropical diabatic heating are equally important.

- 40-50 day oscillation in which convective processes play an important role.
(Julian and Madden 1971, 1972; Knutson et al, 1986)
- Equatorial waves may also play an important role in the oscillation
- Tropical Pacific SST anomalies have a significant extratropical impact particularly in the Pacific North-American sector

These aspects of tropical heating are still not fully understood.

A number of studies have explored the connection between tropical diabatic heating and equatorial waves. These indicate -

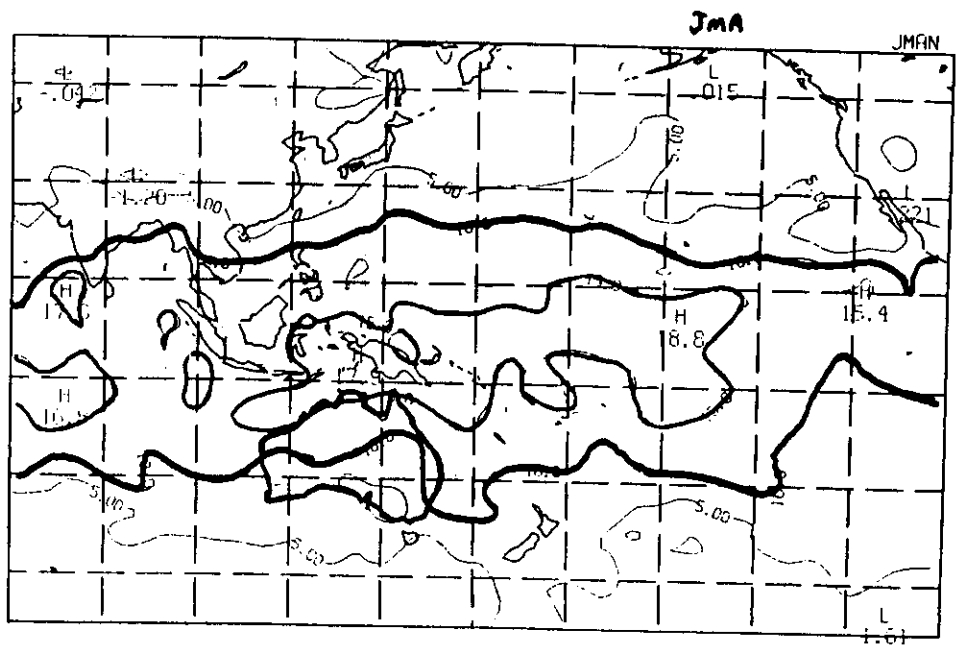
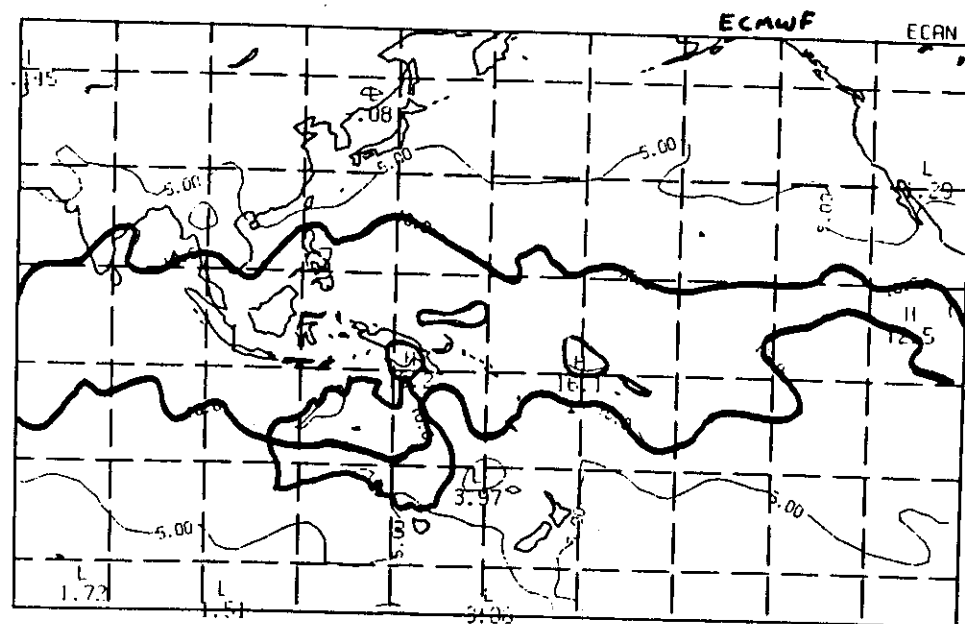
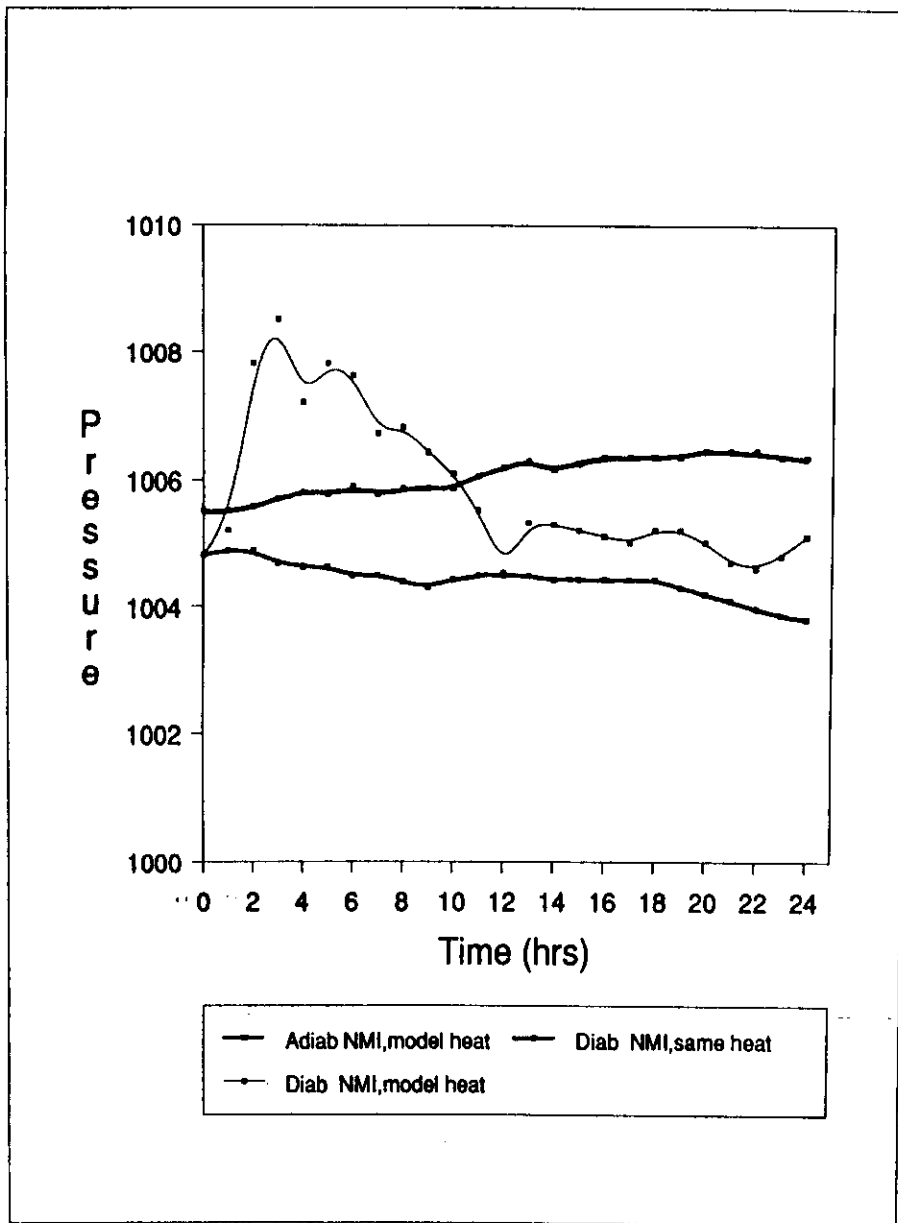
- Vertical modes whose profiles have baroclinic structure are favourably excited by tropical heating
- Tropical heat sources excite low frequency gravity modes, particularly Kelvin and Mixed Rossby-gravity modes.

Numerical models need to take proper account of tropical diabatic heating. This has following implications

- **Tropical modes associated with diabatic heating need to be adequately analysed and modelled**
 - **Divergence and moisture fields need to be adequately analysed and balanced**
 - **Physical processes, particularly cumulus convection, have to be parameterised in a satisfactory manner**
 - **Appropriate sea surface temperatures have to be used - given the important role of SST we might need to do data assimilation with a coupled air-sea model**
- None of these features are currently adequately handled in models
- This could partly explain the relatively poor performance of models in the tropics

- **There are major problems in analysis and forecasts in the tropics.**
- **Here we will consider**
 - **Analysis of moisture and divergence.**
 - **inability to include information on diabatic heating.**
- **Inconsistency between moisture, divergence and diabatic heating leads to spin-up problem.**
- **Moisture data base in tropics is sparse and there are no direct measurements of heating.**
- **One possible way to alleviate these problems is to use satellite data as proxy data.**

Mixing Ratio 850mb

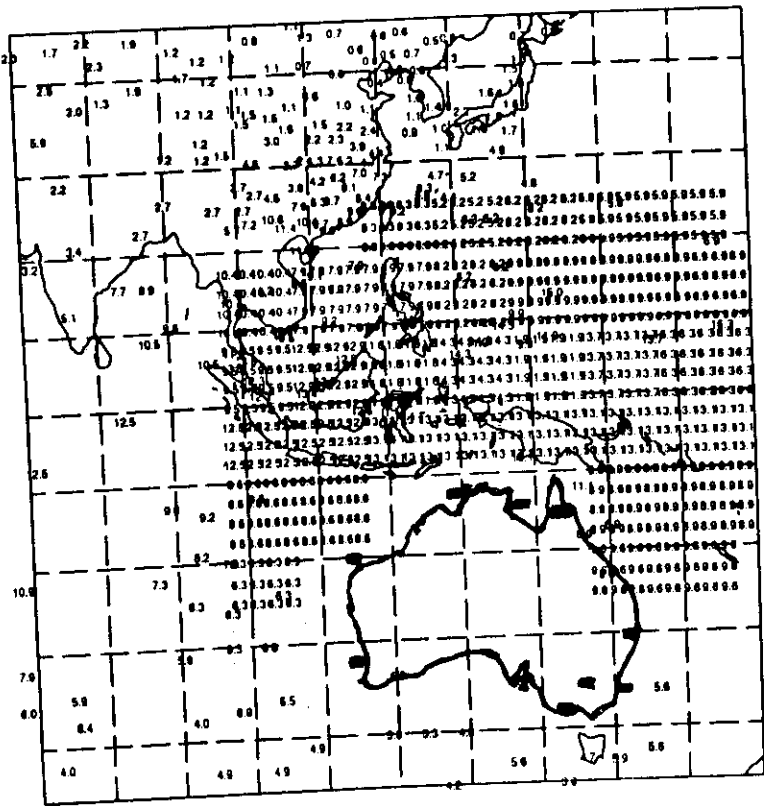


Moisture bogus from GMS IR Imagery
Mills and Davidson, 1987

- Compute over 50k radius circles, for given Lat/Long locations, cloud population characteristics :
 - (a) areal coverage of cloud tops within layers
sfc-850, 850-700, 700-500, 500-300, < 300hPa
-this defines CLOUD DEPTH and
CLOUD AMOUNT (scattered or broken)
 - (b) variability of cloud top temp in each layer :
-this defines CLOUD TYPE (Cumulus or Stratus)
- For a large sample, group data with similar cloud characteristic and match with contemporary radiosonde dewpoint depression profiles :
gives dewpoint dep. profiles for
19 groups = clr, bkn below 850hPa, sct below 850hPa
+ (4 higher layers * 2 cloud types * 2 amounts)

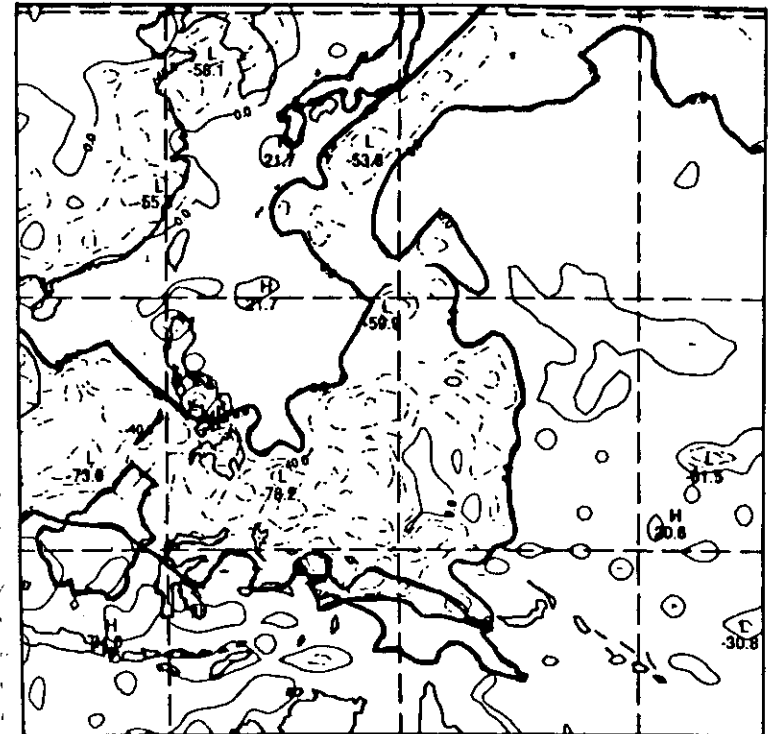
Moisture bogus from GMS IR imagery
(continued)

- APPLICATION : For a specified Lat/Long grid, compute cloud characteristic at each grid point, Determine group and assign dewpoint depression profile.
- LIMITATIONS :
 - (a) cannot detect moisture in non-saturated areas (so use TOVS)
 - (b) clouds are assumed to be non-overlapping
 - (c) no information from below cloud (so use surface obs.)
 - (d) no stratification by latitude
 - (e) simple statistical techniques have been used

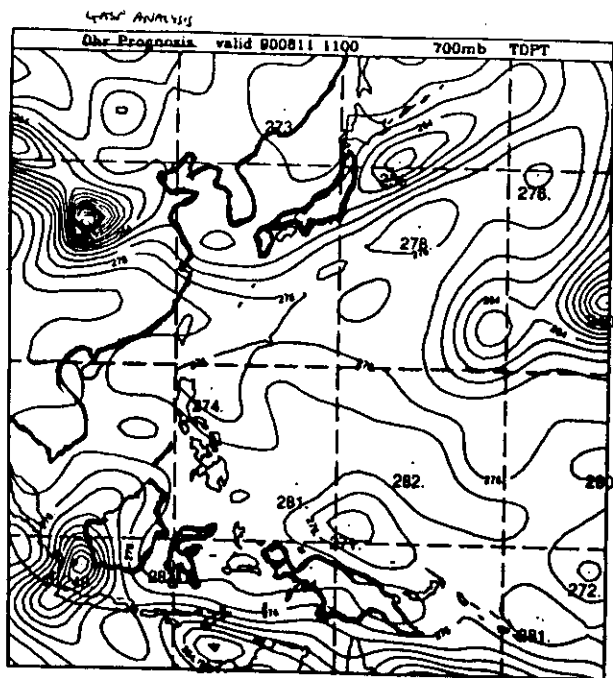


MIXR 900114 1100 850 MB

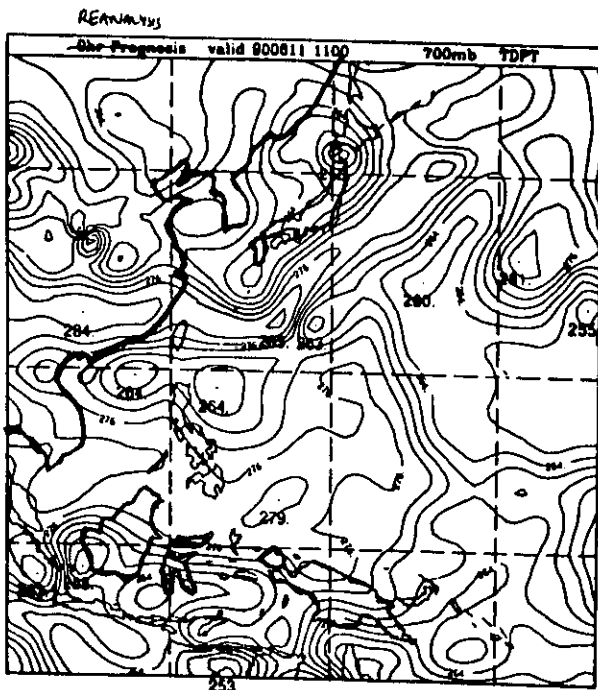
N.M.C. - DARWIN R.M.C. ANALYSIS



TROP HCLD 900611 1100



GASP



Reanalysis
with
 bogus moisture
 data

Diabatic NMI as used at ECMWF

- Model is integrated for 2 hours (from uninitialised fields) and diabatic terms are accumulated
- Time averages of diabatic terms over the two hour period are derived
- Resulting fields are filtered

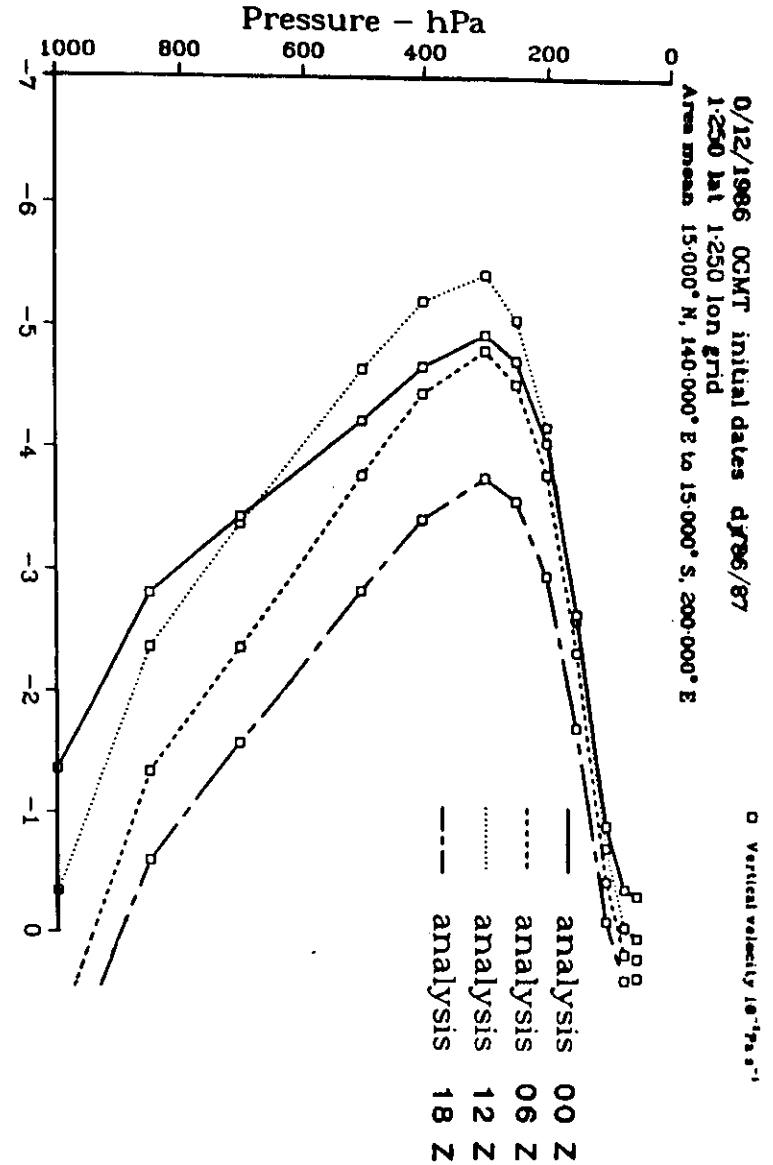
Time filter	$\frac{5}{1}$ hour period
Space filter	M20L10
- Filtered fields are used as constant forcing during nonlinear NMI

Estimating of Heating Rates

- The procedure used relies on estimates of rainfall rate. To illustrate the procedure the rainfall is estimated using Arkin's formula

- The rainfall rate essentially a measure of vertically integrated heating rate at a particular location.

$$\int_{t_0}^{t_1} c_p \frac{\partial T}{\partial t} dt = L \dot{R}$$



INTENSITY and PROFILE Method 1

- The vertical profile of heating is specified and intensity is evaluated

$$\text{If } \frac{\partial T}{\partial z} = I H(p)$$

$$I = \frac{L}{c_p} \frac{\dot{R}}{\bar{H}}$$

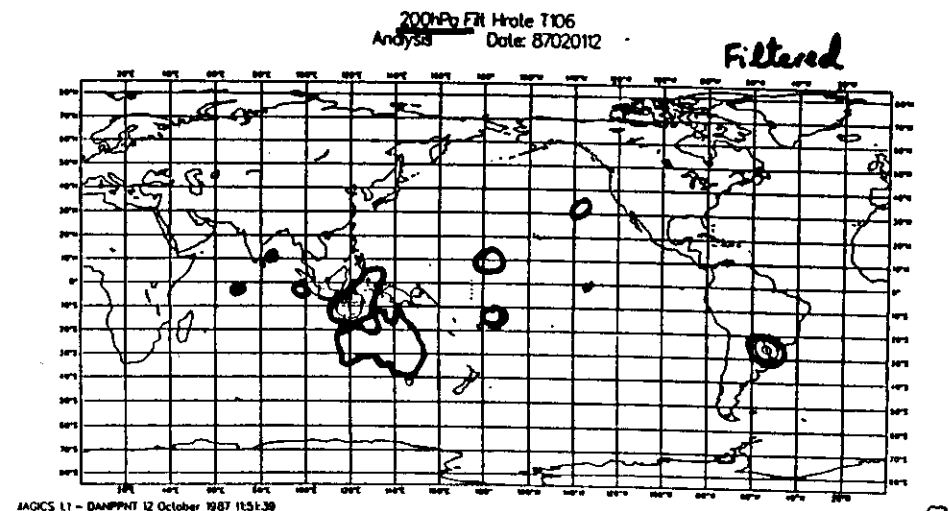
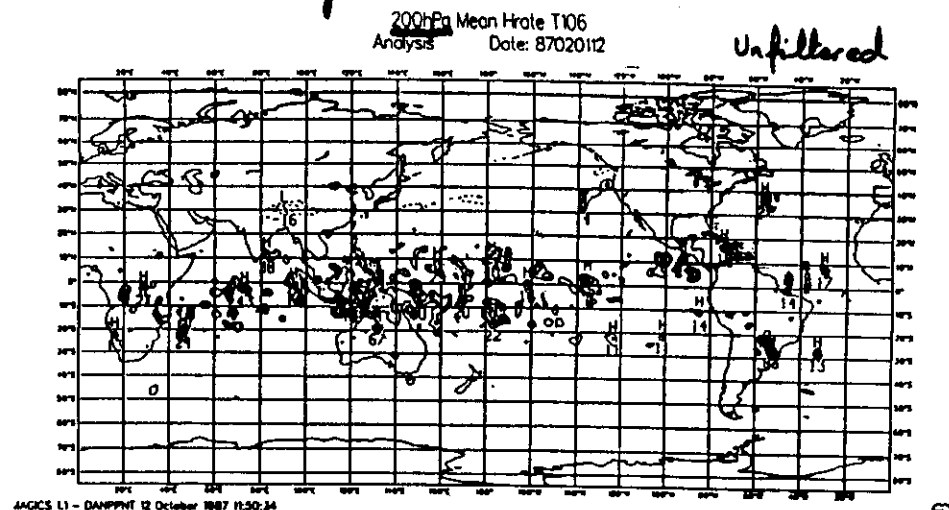
where

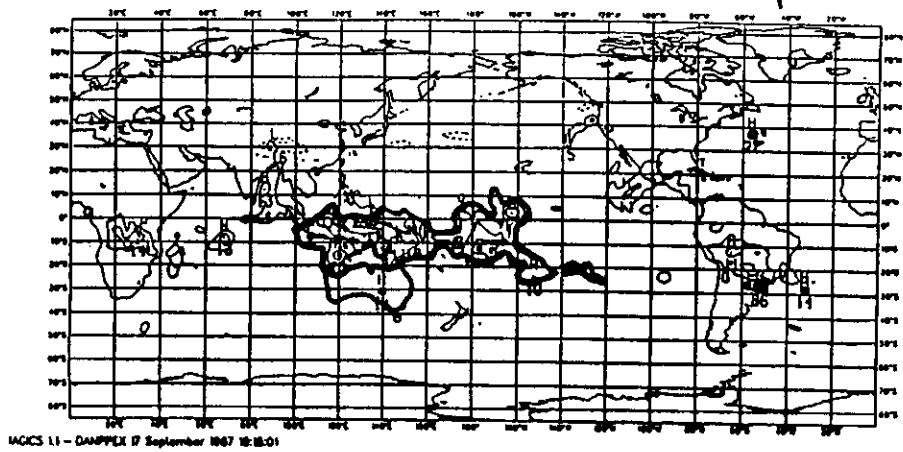
$$\bar{H} = \int_{z_0}^{z_1} H(p) dz$$

- The use of same specified vertical profile of heating is unsatisfactory for the following reasons -
 - Observational evidence indicates that profiles can vary with location.
 - Profiles also vary according to the stage in the life cycle of a convective system.
 - The specified profile may not be consistent with the parameterization used in the model.

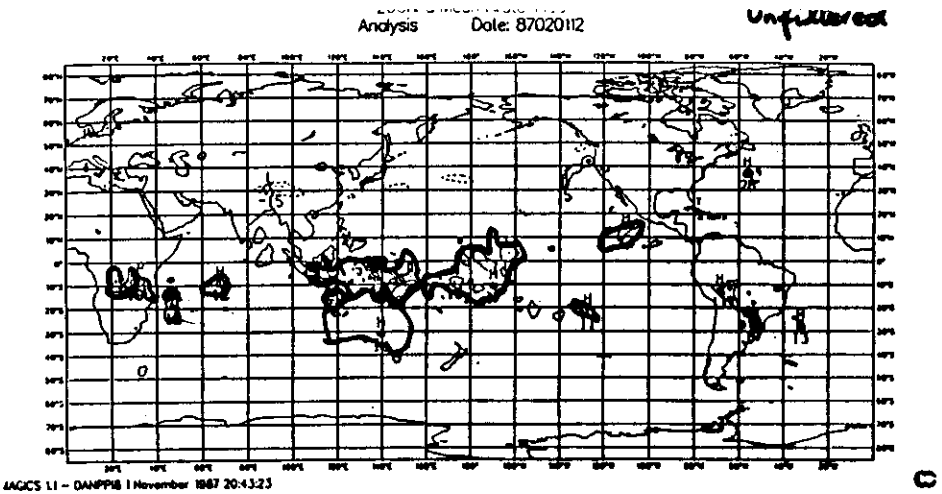
INTENSITY and PROFILE Method 2

- Heating rates are derived from convective parameterization used in the model.
- For the Kuo parameterization the procedure is as follows-
 - Evaluate \dot{R}
 - In the Kuo scheme ,
 - Set moisture convergence to $\dot{R} \cdot \Delta t$
 - set moistening parameter to 0
- the scheme will now generate a heating rate that is consistent with both Kuo parameterization and rainfall data

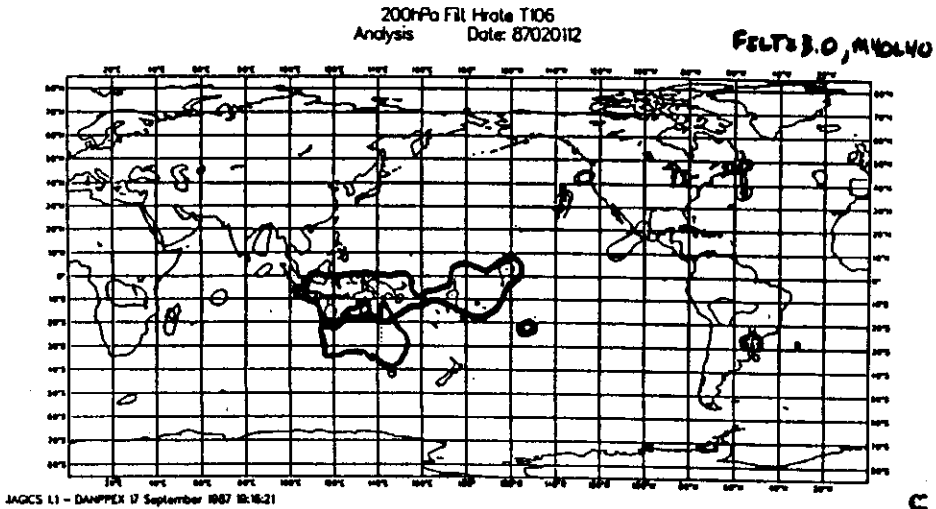




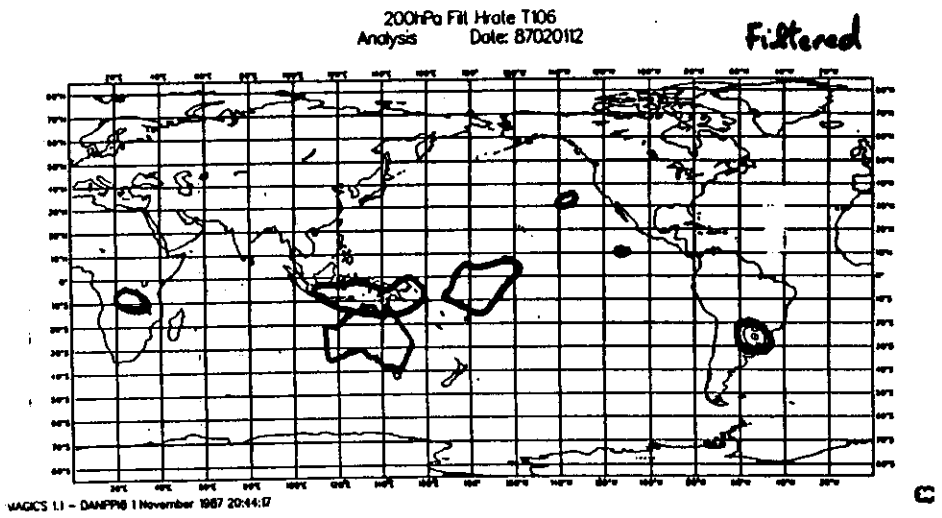
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Old based heating rates
specified vertical profile

Old based heating rates using Kuo parameterisation

Data Assim experiments

- Period 11z Jan 11 to 11z Jan 15 1990
- EXPERIMENTS
 - CNTL : Control as in Operational system
 - GMSQ : CNTL + Moisture bogus data at 11z and 23z
 - GMSI : GMSQ + Diabatic NMI with heating rates specified as above
 - GMSQ : GMSI + Heating rates specified during first 2 hours of model integration
- FORCASTS¹⁴
From 11z Jan₁₄ and 11z Jan 15

BMRC PREDICTION SYSTEM

GLOBAL / HEMISPHERIC SPECTRAL MODEL

9 LEVELS

$\sigma = 0.991, 0.925, 0.811, 0.663, 0.500, 0.336, 0.189, 0.074, 0.009.$

RHOMBOIDAL WAVE No. TRUNCATION { OPERATIONAL R21
EXPERIMENTAL R31

TIME INTEGRATION SEMI IMPLICIT

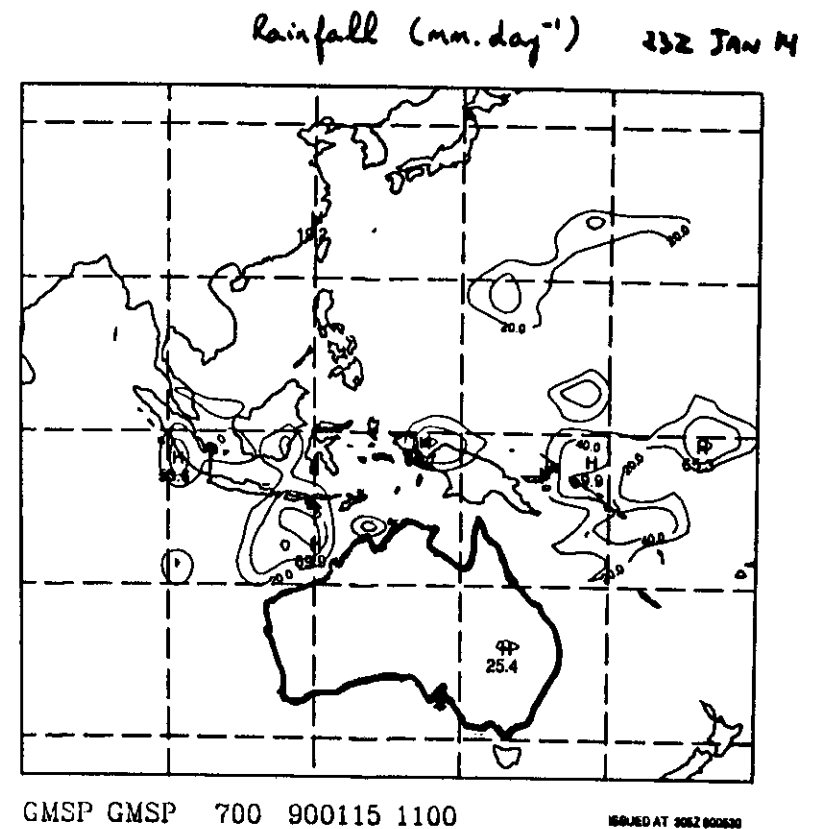
PHYSICAL PARAMETERIZATIONS

1. HYDROLOGIC CYCLE.
2. STABILITY DEPENDENT. MONIN - OBUKOV
CONSTANT FLUX LAYER PARAMETERIZATION.
(DELSOL ET AL, 1972).
3. VERTICAL DIFFUSION (LOUIS, 1979),
SHALLOW CONVECTION (TIEDTKE, 1984).
4. KUO CONVECTIVE PARAMETERIZATION (KUO, 1974, ANTHES, 1977)
5. RADIATIVE TRANSFER. VIA.
 - GFDL VERSION OF LACIS AND HANSEN
 - (1974) SHORT WAVE
 - & FELS AND SCHWARZKOPF
 - (1975) LONG WAVE.
6. CLIMATOLOGICAL SPECIFICATION OF SST, LAND ALBEDO,
SEA - ICE EXTENT.
Diagnostic clouds
gravity wave drag

BMRC ASSIMILATION SYSTEM

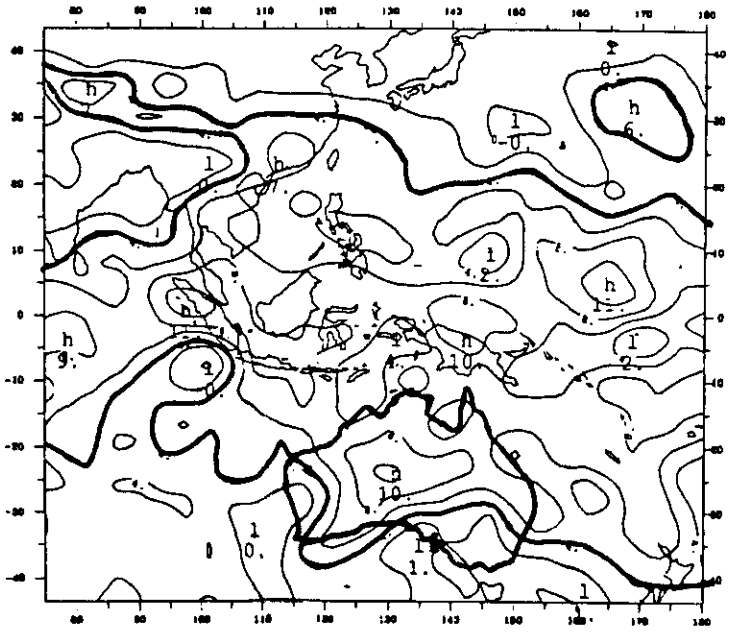
NUMERICAL ANALYSIS

- (a) UNIVARIATE STATISTICAL INTERPOLATION
OF INCREMENTS TO
SURFACE PRESSURE
TEMPERATURE
MOISTURE MIXING RATIO
ZONAL & MERIDIONAL WIND
WITHIN THE SIGMA, GAUSSIAN GRID
CO - ORDINATES OF THE SPECTRAL MODEL.
- (b) GEOSTROPHIC CORRECTIONS TO THE WIND
FIELD ARE DERIVED FROM INCREMENTS TO
BOTH SURFACE PRESSURE & TEMPERATURE.
- (c) MODEL SIGMA CO - ORDINATES ARE
REDEFINED FOLLOWING SURFACE PRESSURE
INCREMENTS.
- (d) NON - LINEAR NORMAL MODE
INITIALIZATION.



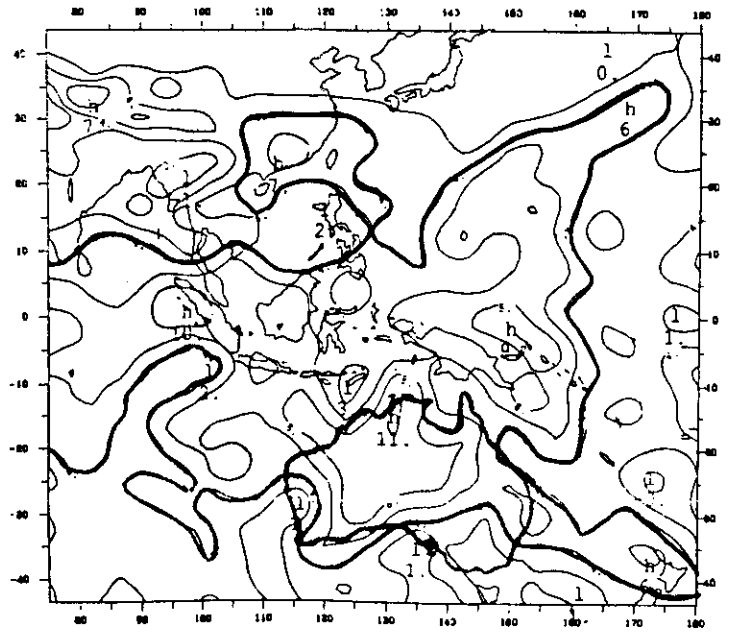
kkp.o011411 ANALYSIS JAN 14

wcor day 1.0 lat -43.6: 43.6 lon - 75.0:180.0 700.0p



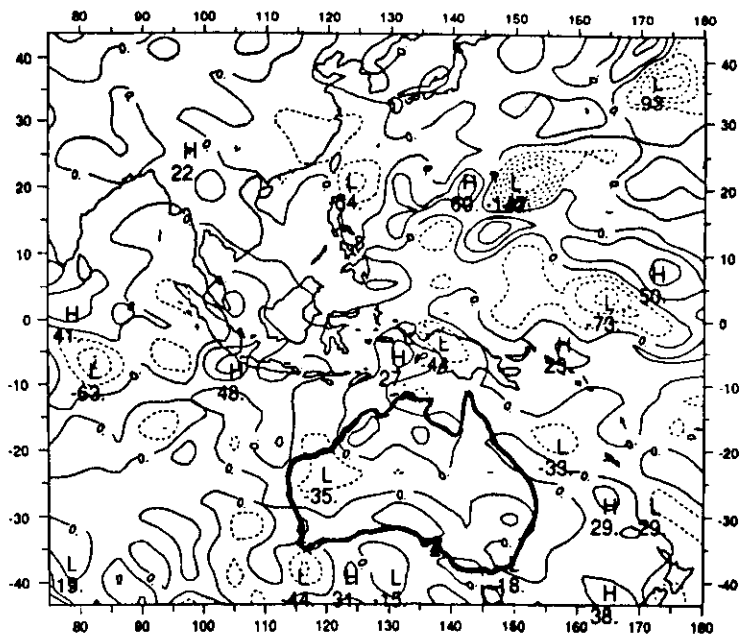
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wcor day 1.0 lat -43.6: 43.6 lon - 75.0:180.0 700.0p



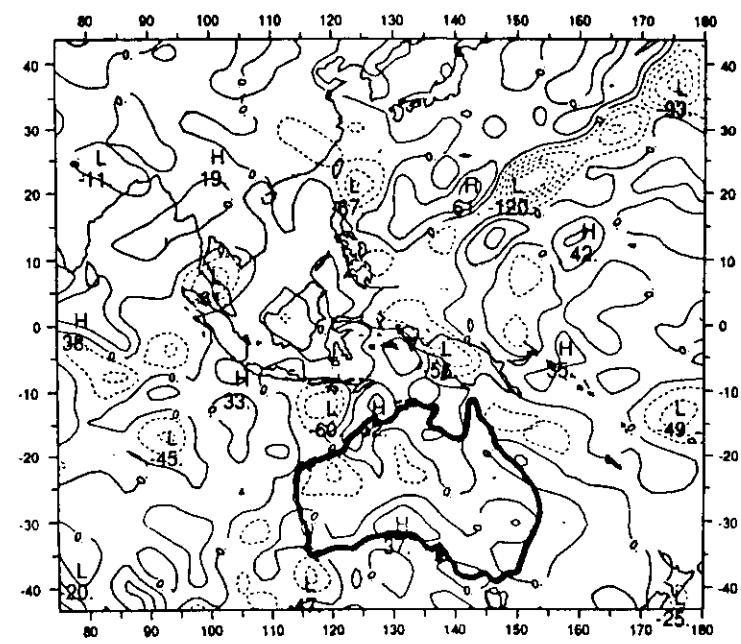
KKP.O011411 17.19 11Z JAN 14

NMOD DAY 1.0 TOTDVO



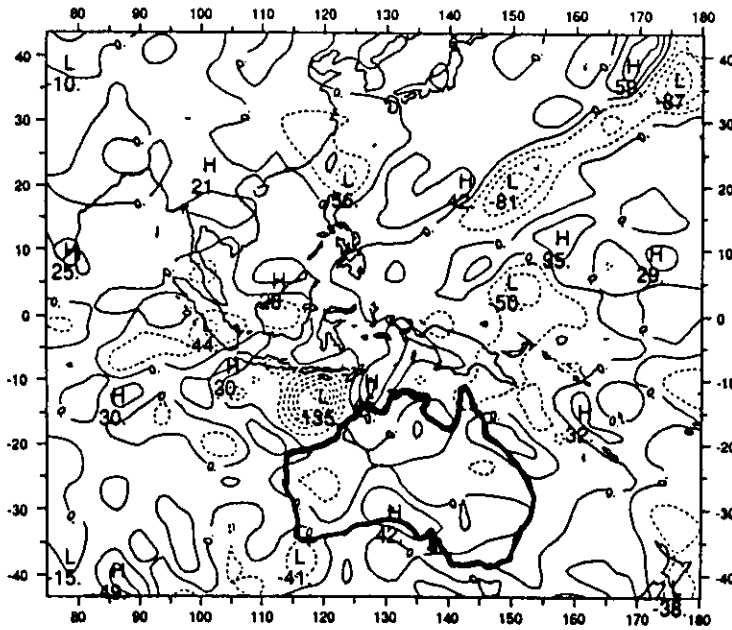
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NMOD DAY 1.0 TOTDVO



NMOD DAY 1.0

TOTDVO

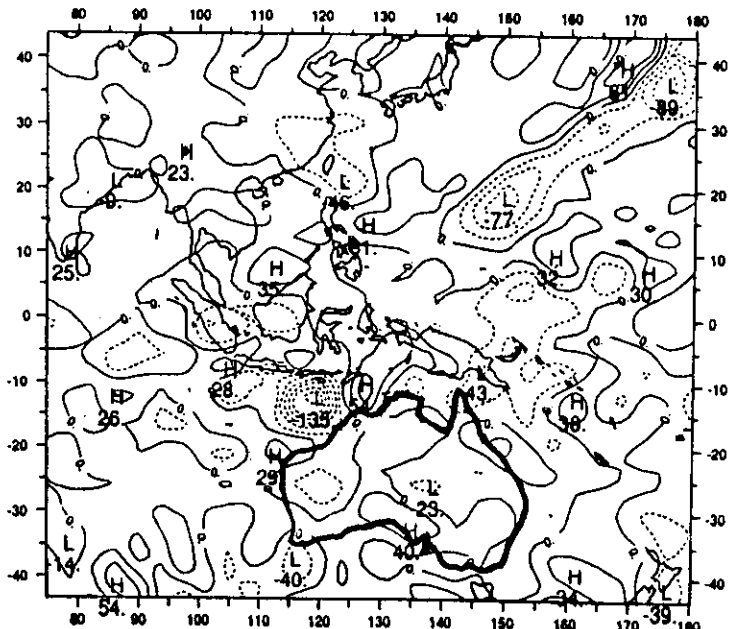


GMSI

KKP.HAJ1411

NMOD DAY 1.0

TOTDVO



GMSH

ALLI GI NAF ZII

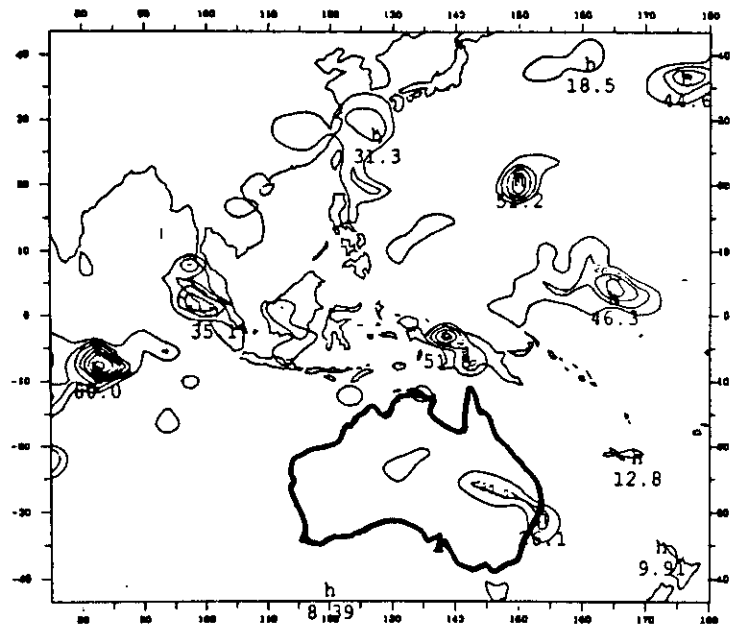


Precipitation during assimilation

kkp.o011511

JAN 15

wcor day 1.0 lat = -43.6: 43.6 lon = 75.0:180.0 prec



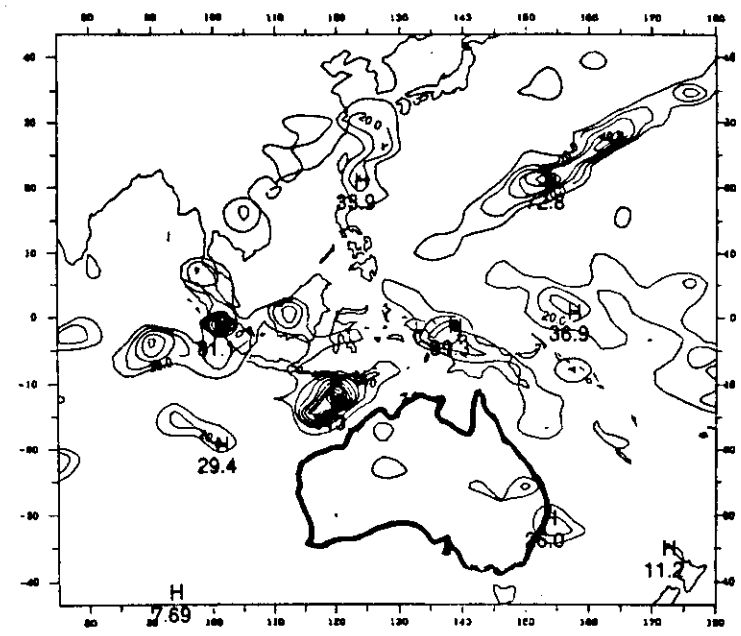
CNTL

Precipitation during Assimilation

KKP.GAJ1511

JAN 15

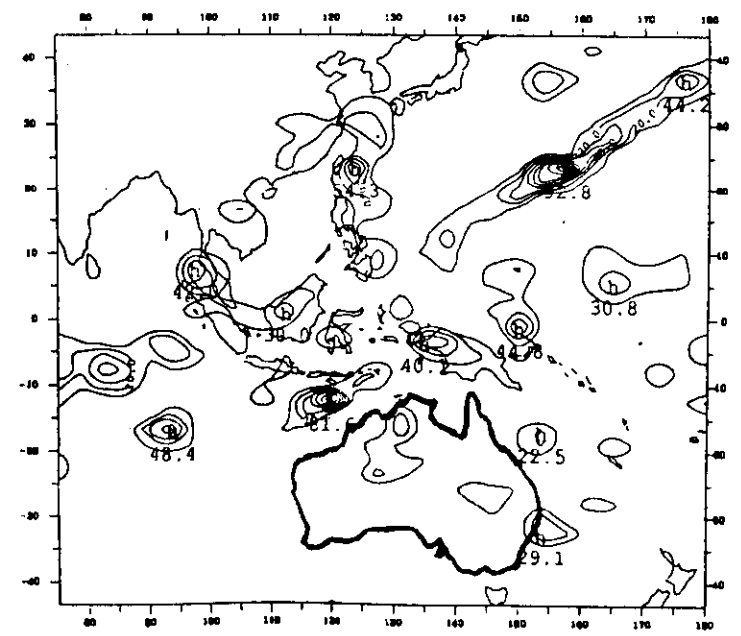
WCOR DAY 1.0 LAT = -43.6: 43.6 LON = 75.0:180.0 PREC



GMSI

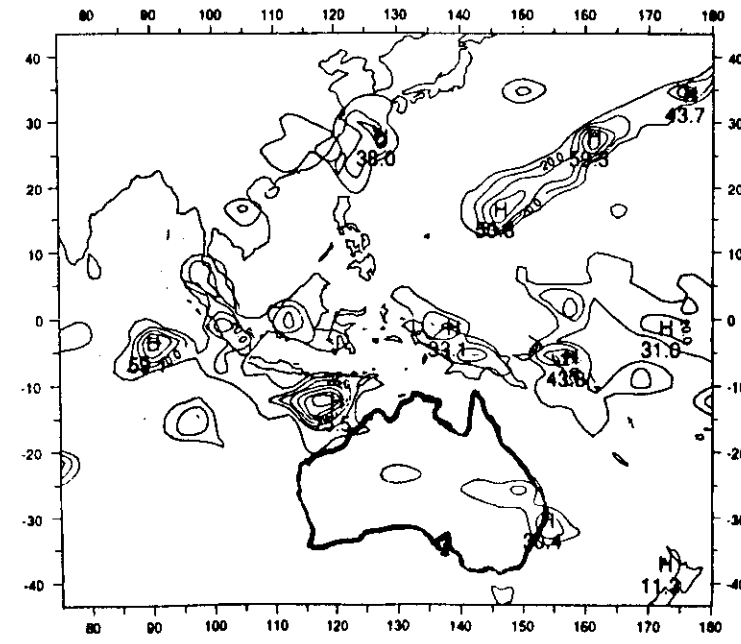
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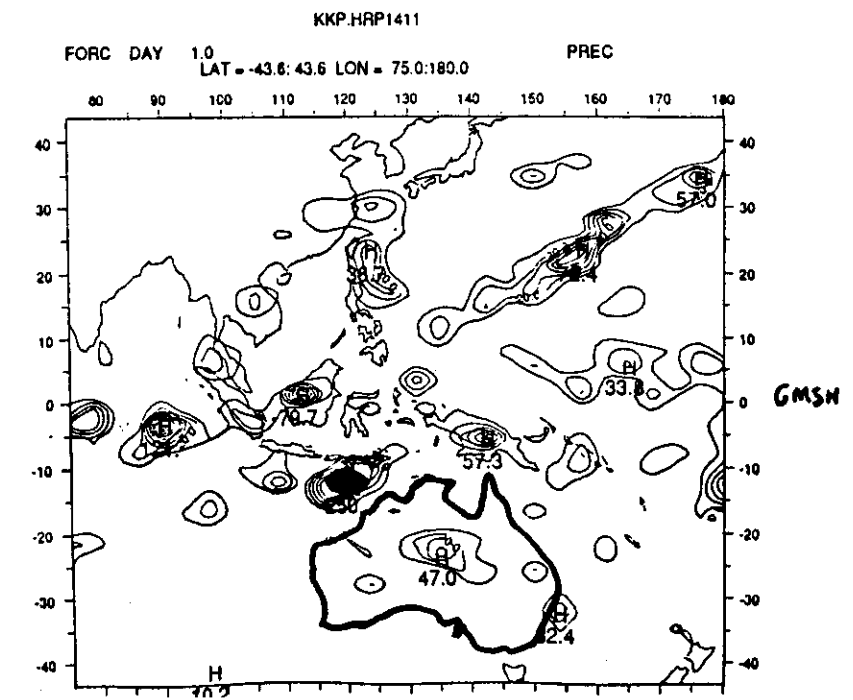
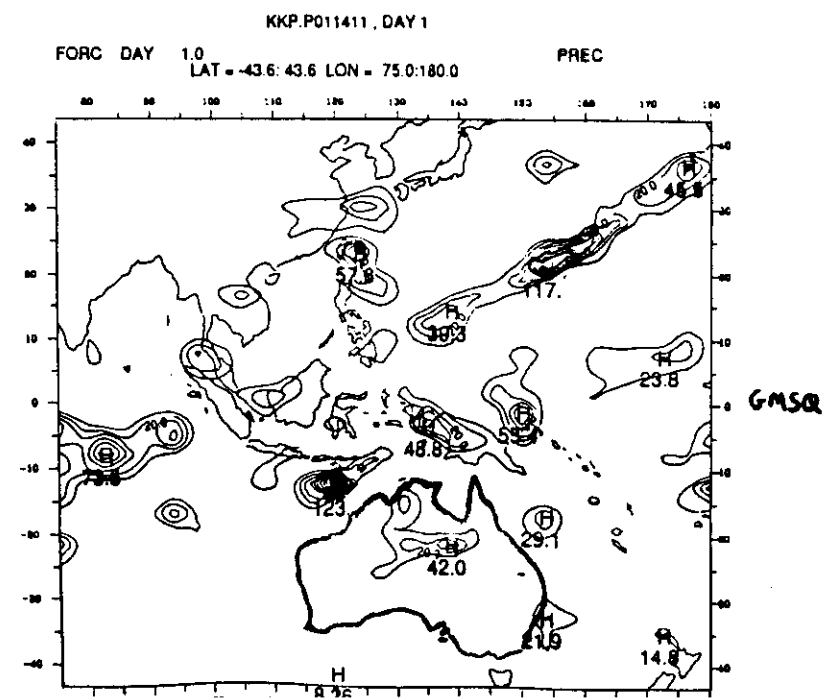
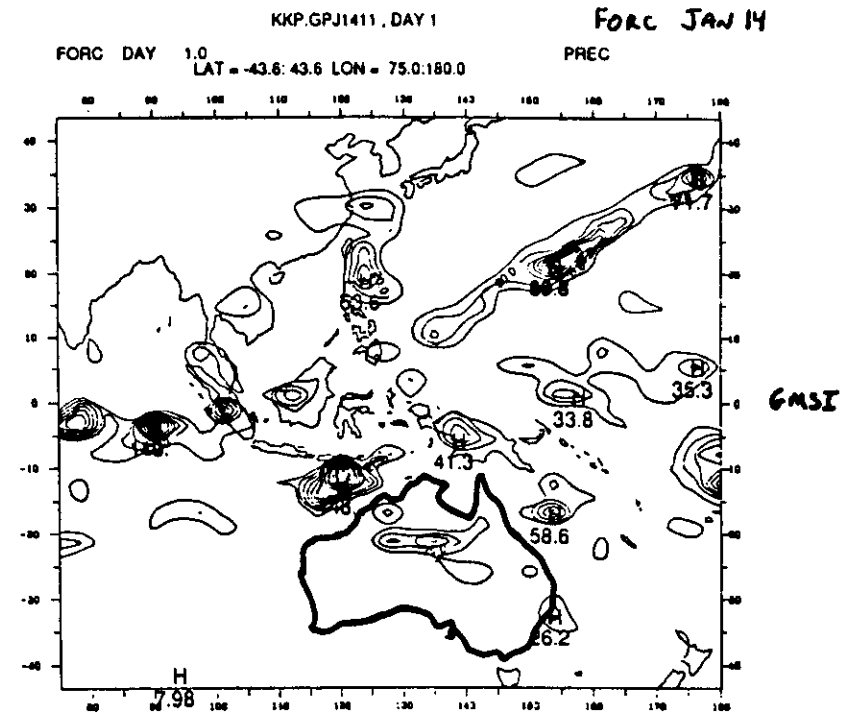
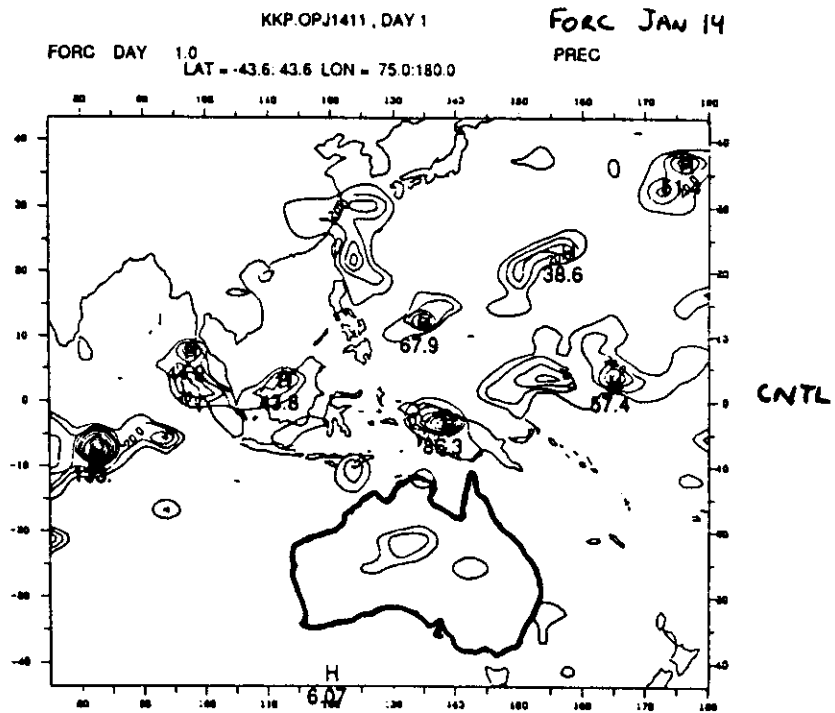


GASQ

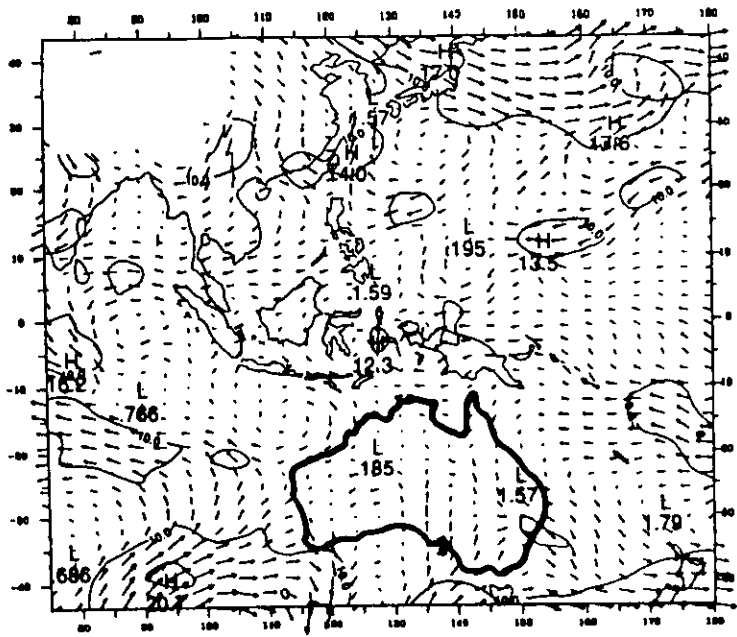
WCOR DAY 1.0 LAT = -43.6: 43.6 LON = 75.0:180.0 PREC



GMSH



FORC DAY 1.0 LAT = -43.6: 43.6 LON = 75.0:180.0

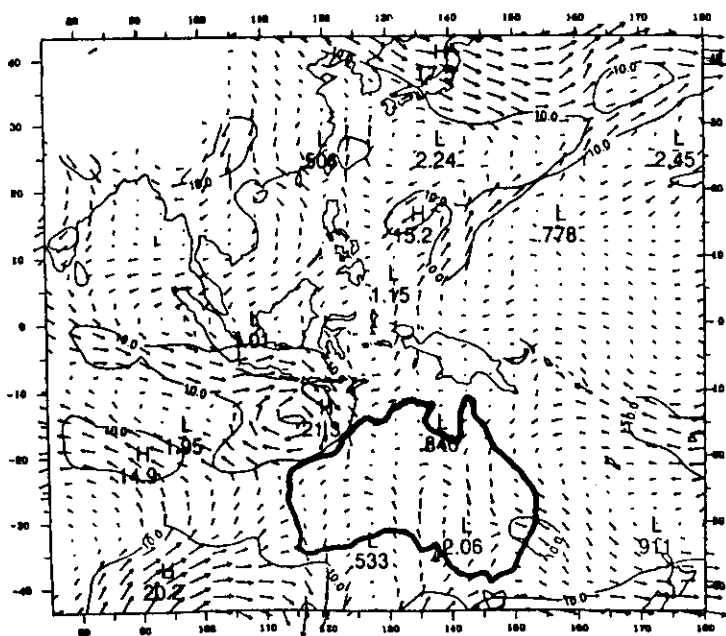


CNTL

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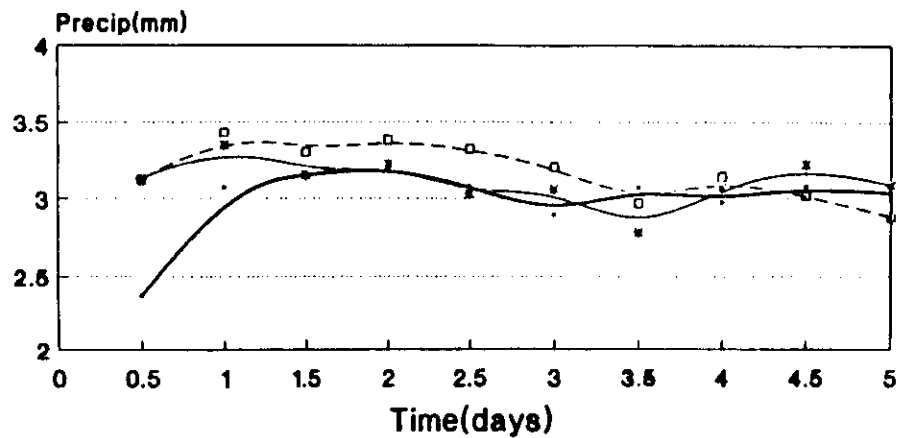
WIND 850.0P



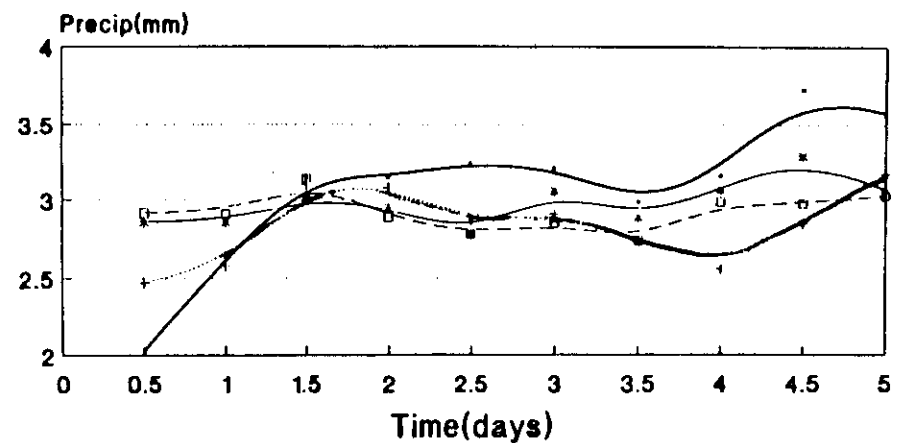
GMSH

CONTOUR FROM 0.00000E+00 TO 20.000 CONTOUR INTERVAL OF 10.000 PT(0,3) 11.845
 → 0.200E+02
 SCALING VECTOR

Area mean precipitation



Forc from Jan 14



Forc from Jan 15

— cntl ▲ gmsq × gmsi □ gmsH

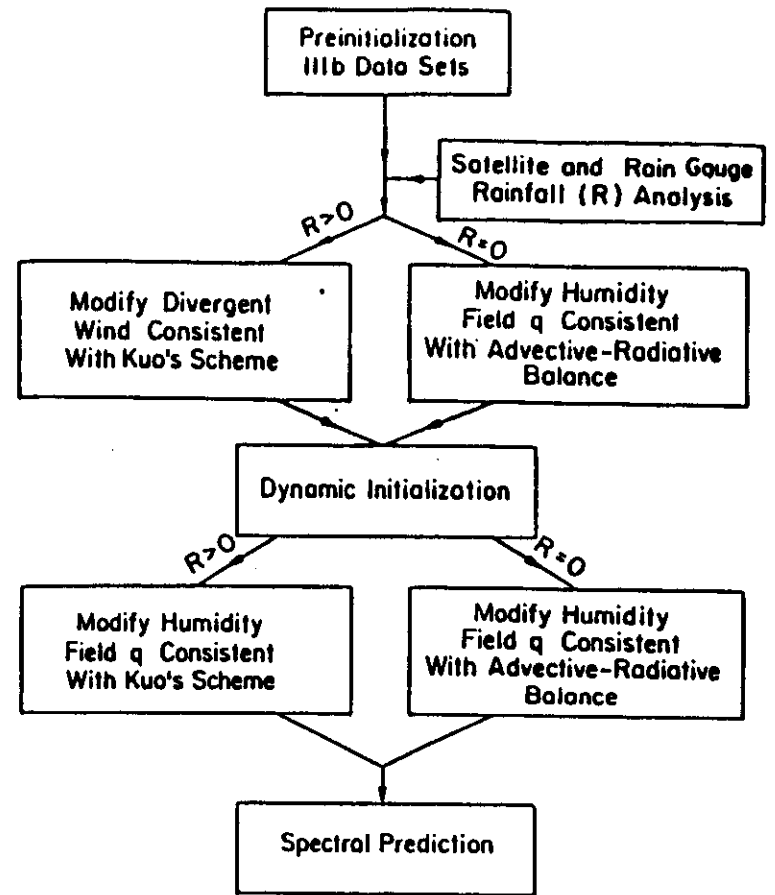
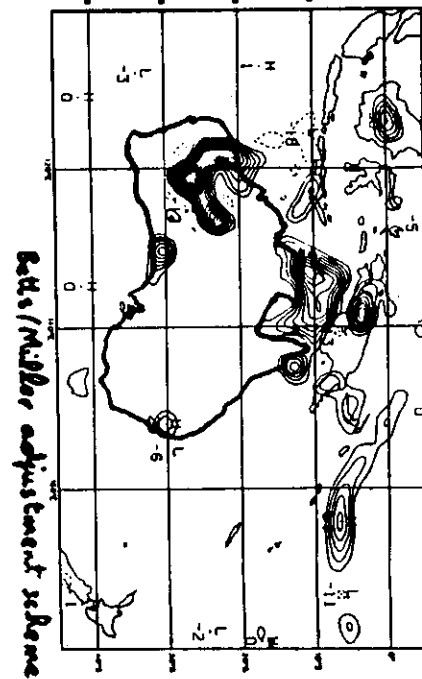
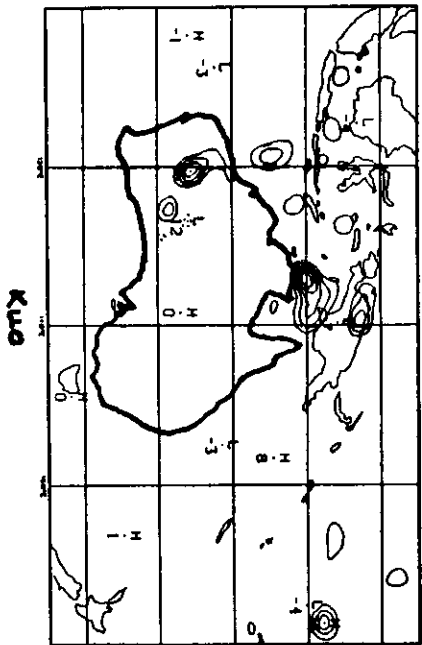


Figure 20

Krishnamurti, 1983

Betts-Miller adjustment scheme

- Scheme is based on adjustment towards quasi equilibrium profiles of T and q

$$\left(\frac{dT}{dt}\right)_{conv} = (T_R - T)/\tau$$

- Scheme is designed to ensure adjustment to more realistic structures (T_r, q_r) within relaxation timescale ~ 1 hr such that
 - T_r is less stable than the moist adiabat in the lower troposphere and more stable above
 - q_r is computed so as to maintain sub-saturation in convective atmosphere
 - Sub saturation is obtained using a sub-saturation pressure difference

$$p = p_s - \Delta p \quad \text{where } p_s \text{ is pressure to which parcel must be lifted to reach saturation}$$

- The scheme guarantees that total enthalpy is conserved i.e.

$$\int_{p_0}^{p_r} (H_R - H) dp = 0$$

Moisture adjustment scheme

$$\text{Precip rate from ADJ} = \int_{p_0}^{p_r} \frac{q_r - \bar{q}}{\tau} \frac{dp}{g} = Z_{RAIN}$$

$$\text{Precip rate from OLR} = Z_{OBR}$$

The technique requires computation of new reference profile such that

$$\int_{p_0}^{p_r} \frac{(q_r^* - \bar{q})}{\tau} \frac{dp}{g} \approx Z_{OBR}$$

The change $(q_r - q_r^*)$ is then added to \bar{q} to give an adjusted moisture profile \bar{q}^* so that

$$\int_{p_0}^{p_r} \frac{(q_r - \bar{q}^*)}{\tau} \frac{dp}{g} \approx Z_{OBR}$$

From direct inspection of $T - \phi$ diagram, it is possible to deduce a simple relation between $\delta p (= \delta p_0)$ and δq

The following procedure is used

- Given ZRAIN, ZOBR (both > 0) we compute

$$\Delta R = ZRAIN - ZOBR$$

- Convert ΔR to ΔP using empirical form
- Use linear profiles of ΔP such that maximum change in $|\Delta P|$ is at freezing level and no change at cloud top or bottom.
- Compute q_r^* using $p_r^* = p_r + \Delta P$
- The modified moisture is

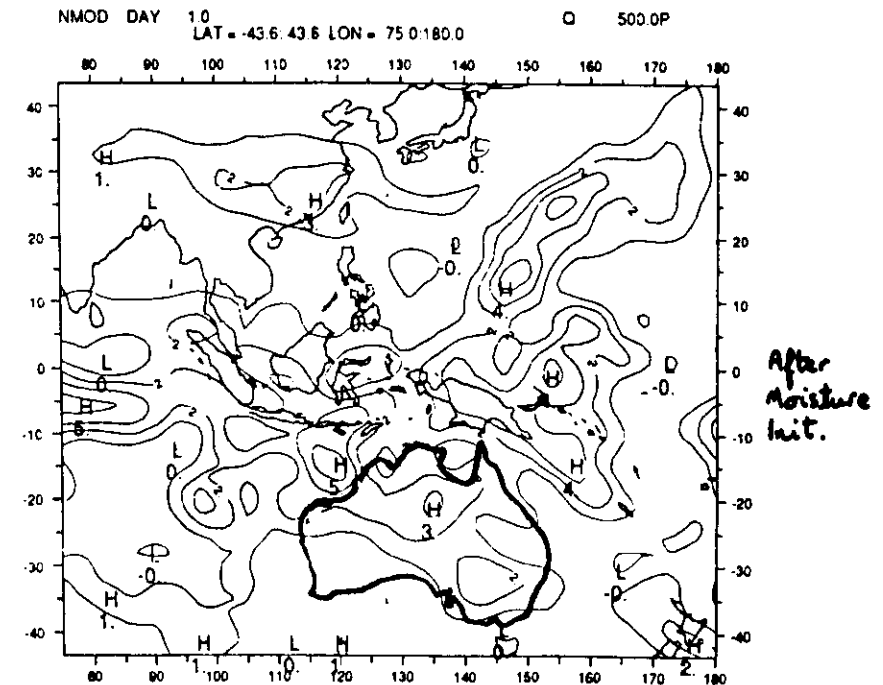
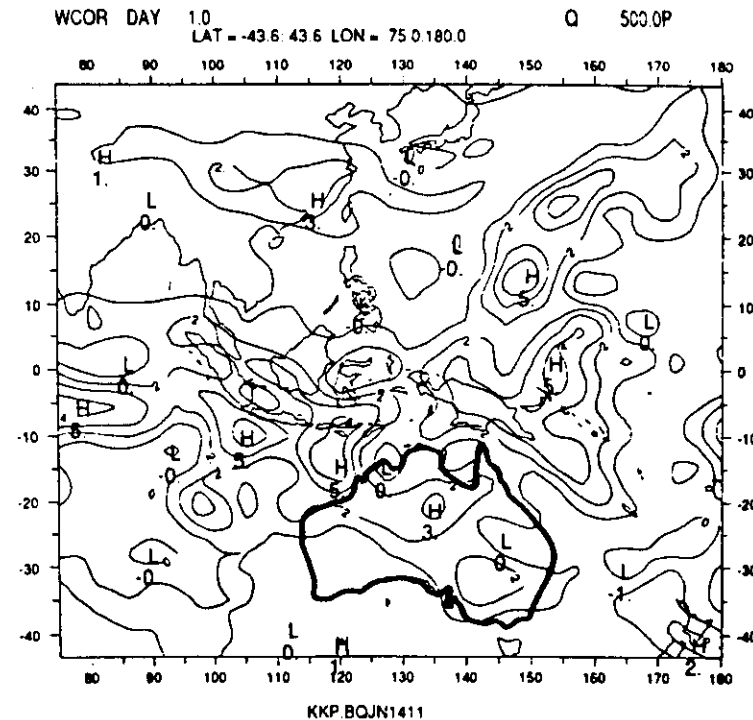
$$\bar{q}^* = \bar{q} + (q_r - q_r^*)$$

Following combinations can occur

- ZRAIN \geq ZOBR
→ $\bar{q}_m = \bar{q} \mp \delta q$
- ZRAIN < 0
ZOBR = 0
→ No change made to q
- ZRAIN = 0 ie no convection in model
ZOBR > 0
→ Current scheme cannot cope with this

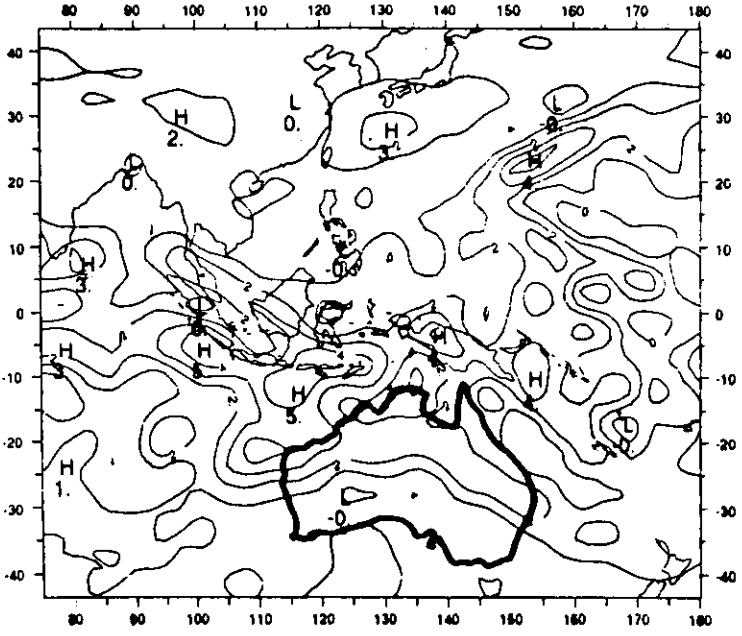
Some features of the scheme should be noted

- Only the moisture field is modified - the temperature remains unchanged.
- 'Observed' rainfall is assumed to be convective rainfall. This is approx. true in the tropics but not in midlatitudes.
- Scheme is only applied in the tropics - this is consistent with above assumption and the use of OLR based rainfall which is only valid in the tropics
- Moisture adjustment will only occur in regions where convection is diagnosed in the model



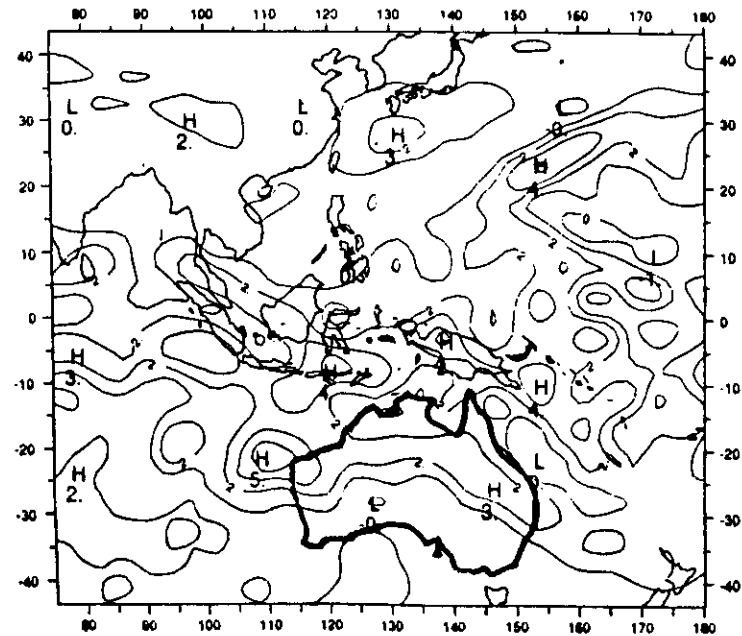
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WCOR DAY 1.0 LAT = -43.6 43.6 LON = 75.0 180.0 Q 500.0P

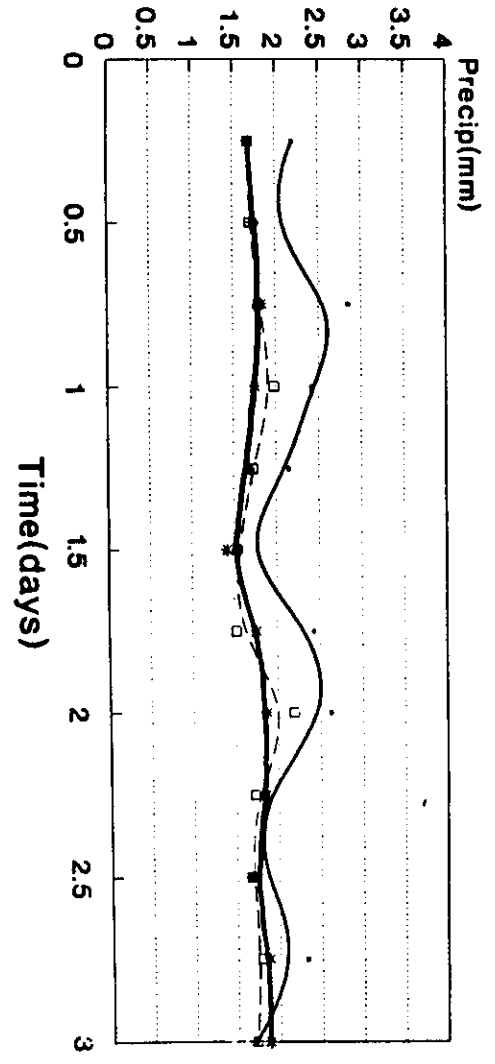


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NMOD DAY 1.0 LAT = -43.6 43.6 LON = 75.0 180.0 Q 500.0P



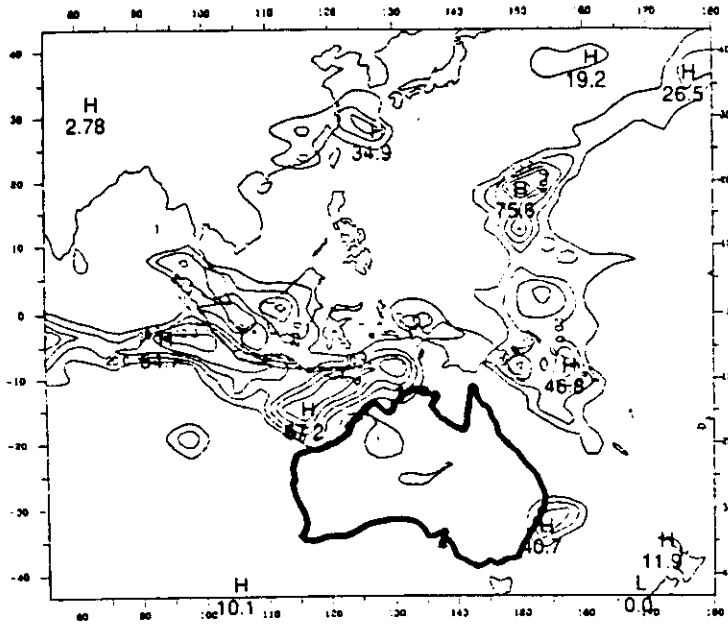
Area mean precipitation



— bism - - - obscp ···· btmq
 assem from Jan 12

WCOR DAY 1.0
LAT = -43.6 43.6 LON = 75.0 180.0

PREC 0
112 Jan 15

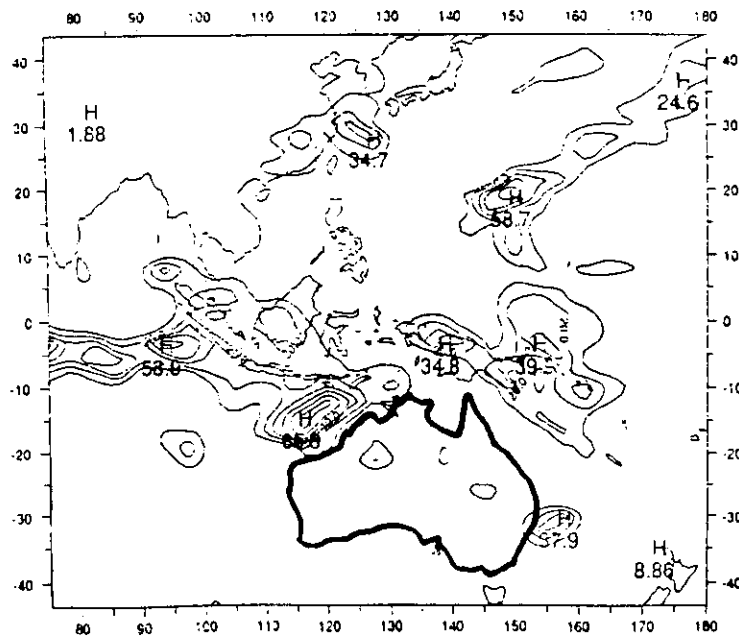


B-M

KKP BQ011511

WCOR DAY 1.0
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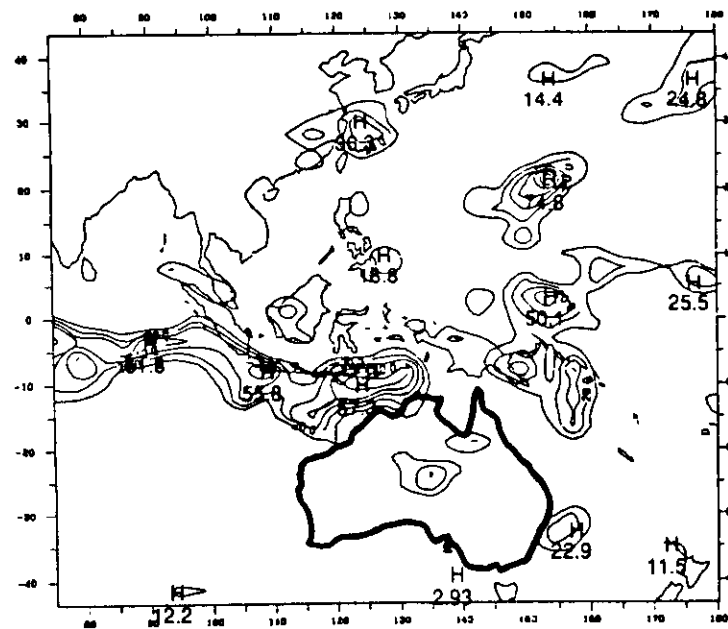
PREC



B-M
+
Madj

KKP BRP1411, DAY 1
Forecast Jan 14
PREC

FORC DAY 1.0
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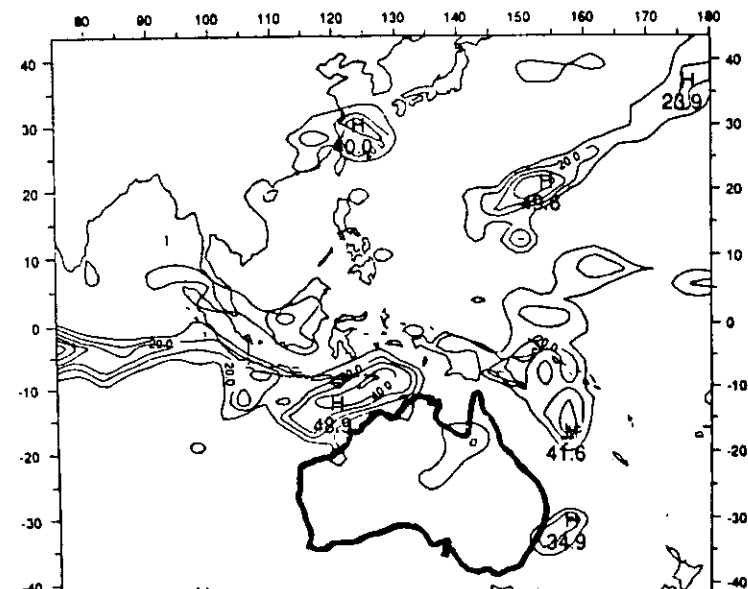


B-M

KKP BQPJ1411

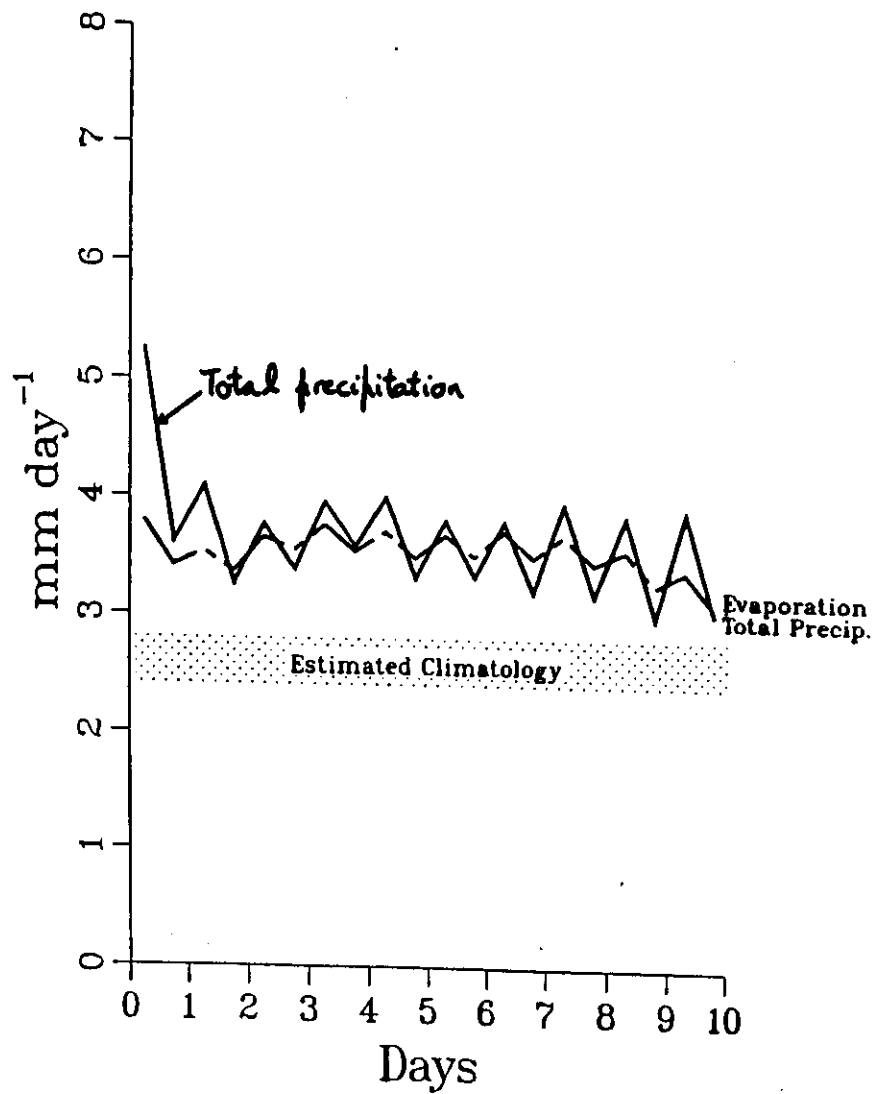
FORC DAY 1.0
LAT = -43.6 43.6 LON = 75.0 180.0

PREC

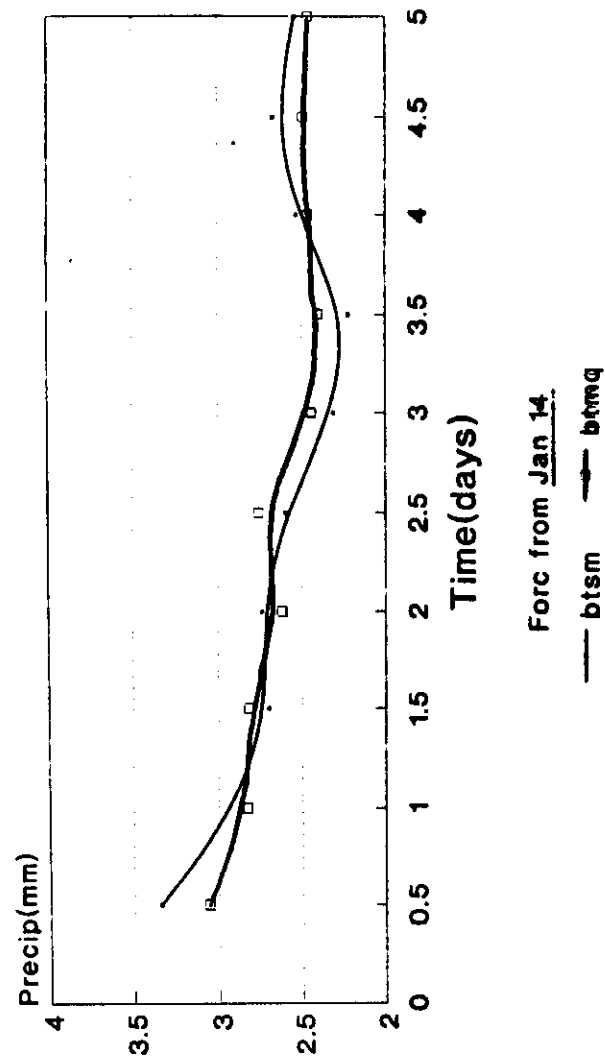


B-M
+
Madj

Spin up in the Betts-Miller scheme



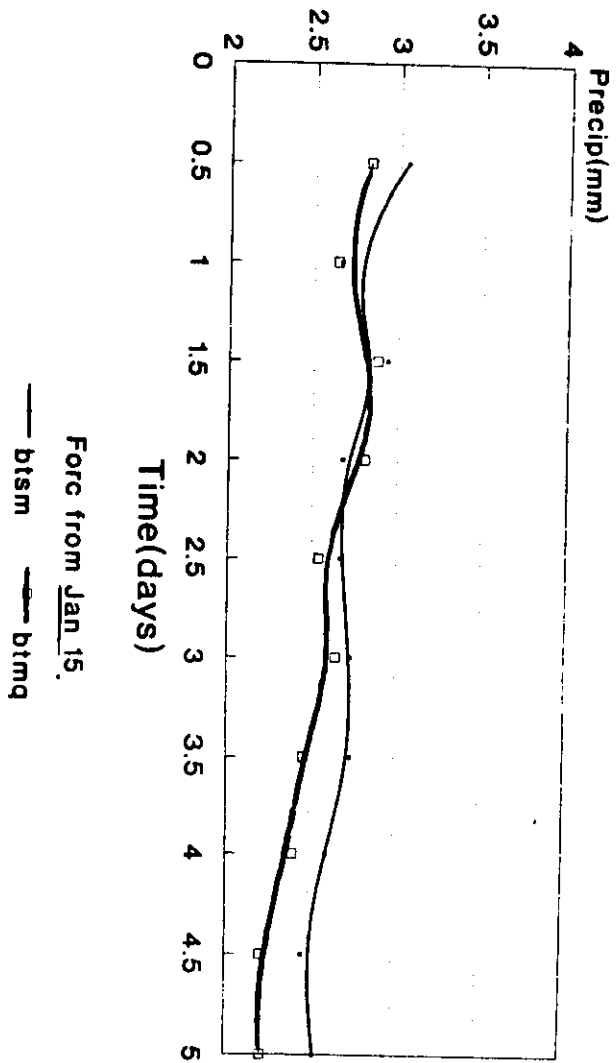
Area mean precipitation



Conclusions

- The use of bogus moisture data has a positive impact on model precipitation in the tropics.
- The use of bogus moisture data and satellite based diabatic heating eliminates spin-up in the two forecasts considered.
- Moisture initialization has a small positive impact on model spin-up and precipitation patterns.
- However some problems with the technique need to be sorted out.

Area mean precipitation



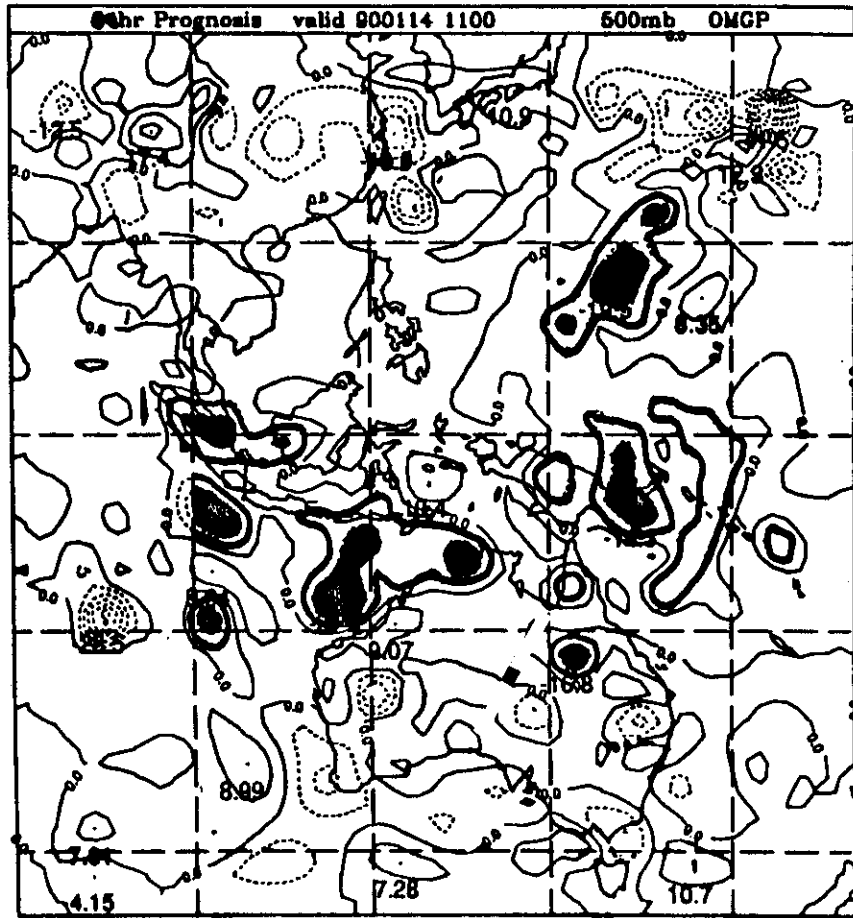
Future work

- Refine moisture initialization scheme.
- The procedures described in this talk rely on a knowledge of precipitation rates
- Data assimilation centres should consider analysing precipitation routinely using model first-guess plus all all rain gauge data, satellite estimated precipitation and improved retrieval techniques for SSMI data.
- Note that GEWEX has already proposed an intercomparison study of satellite estimated precipitation

Nudging a Tropical Model With Satellite- Defined Convective Heat Sources and a Cyclone Bogus

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500mb w AFTER
TC BQUS/NUDGING/CVREAT



500mb w AFTER
VMI ON TARGET ANALYSIS

