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UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION  
**INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS**  
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UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION



**INTERNATIONAL CENTRE FOR SCIENCE AND HIGH TECHNOLOGY**

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H4.SMR/540-11

**Second Training College on Physics and Technology  
of Lasers and Optical Fibres**

21 January - 15 February 1991

**OPTOGALVANIC SPECTROSCOPY II**

Mechanisms of

Optogalvanic

and

Optoacoustic

in Discharges

by

Emanuele Arimondo

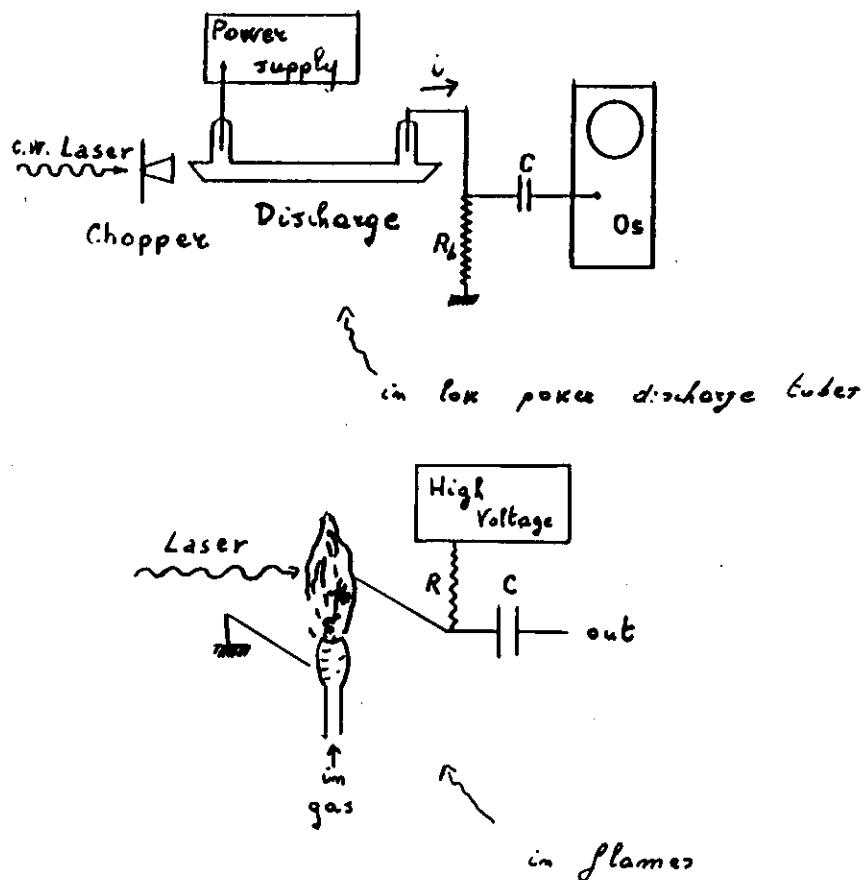
Dipartimento di Fisica

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## Optogalvanic Spectroscopy



Review papers on optogalvanic:

V. N. Ochkim, N. G. Preobrazhenskii,

N. N. Soboler and N. Ya. Shaparzov

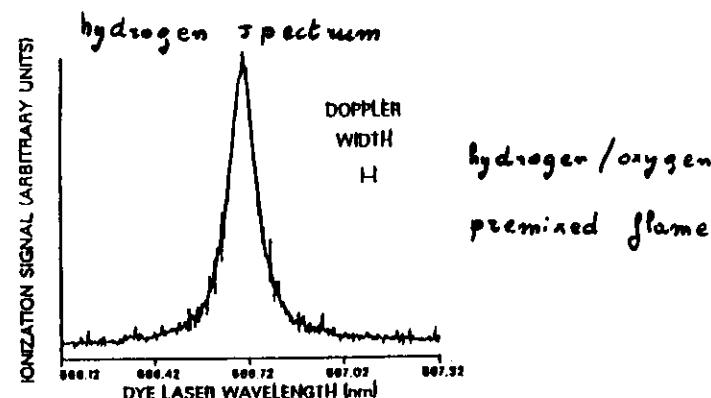
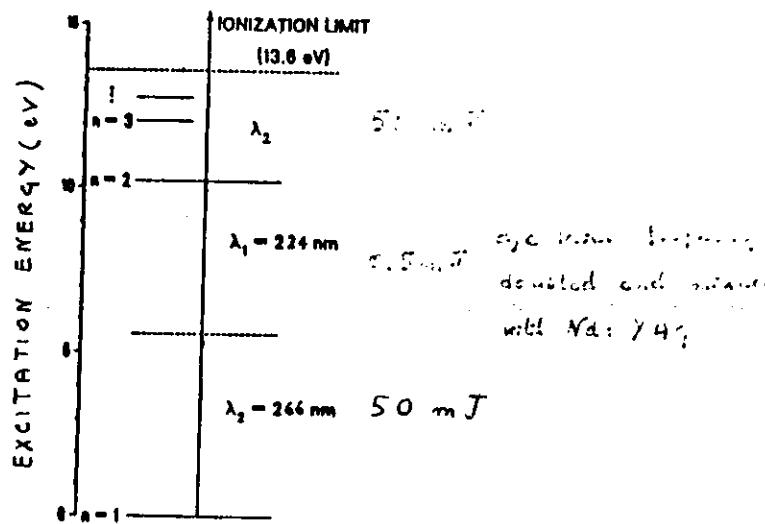
Sov. Phys. Usp. 29 260 (1986)

B. Barbieri, N. Bereutti and A. Sosso

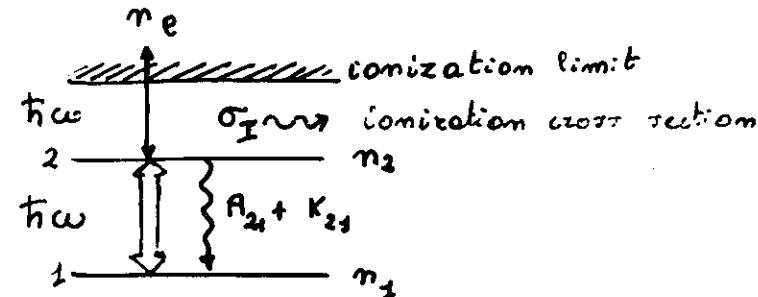
Rev. Mod. Phys. 62 603 (1990)

# O<sub>2</sub> spectroscopy in flames:

## Hydrogen photoionization

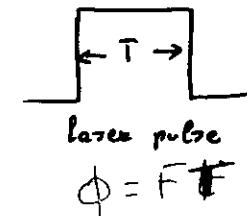


(J. E. Goldsmith, Sandia Laboratories,  
Opt. Lett. 7 (1982))



Laser fluence  $\hbar\omega\phi$  [per unit area]

flux  $\hbar\omega F$  [per unit area]



Rate equations for photorionization

$$n_2(t) = m_2(t)$$

$$n_2(0) + m_2(0) = m_T$$

$$\frac{dm_2}{dt} = -\sigma_I F m_2(t) - (A_{el} + K_{el}) m_2(t)$$

$$m_e(T) = \int_0^T \sigma_I F m_2(t) dt =$$

$$= \frac{\sigma_I F m_T}{\sigma_I F + A_{el} + K_{el}} \left\{ 1 - e^{-(\sigma_I F + A_{el} + K_{el})T} \right\}$$

Conditions:

$$\sigma_I F T = \sigma_I \phi \gg 1$$

$$\sigma_I F \gg A_{el} + K_{el}$$

## Summary

O<sub>g</sub> signal: collection and ionization

O<sub>g</sub> mechanisms:

photoionization

collisional ionization

associative "

state dependent mobility

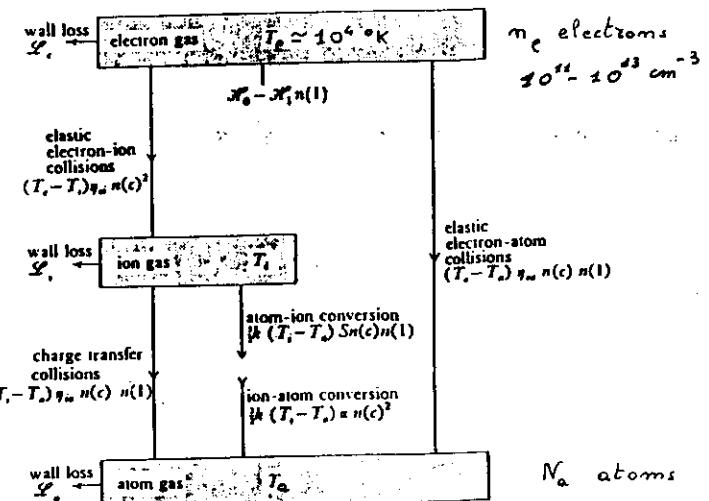
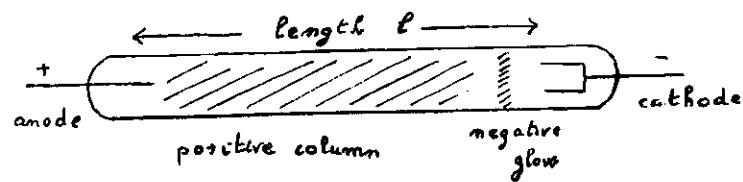
cathode surface collisions

electronic and atomic temperatures

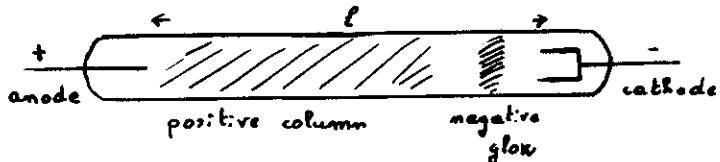
? in Rydberg states

O<sub>g</sub> and OA simultaneous detection  
in atoms and molecules  
power balance

## Discharge



## Discharge features:



## discharge parameters

$E$  electric field

$T_e$  electron temperature  $\sim 10^4 \text{ K}$

( $\gg$  gas temperature  $T_g$ )

$T_a$  atomic excitation temperature ( $\leq T_e$ )

$n_e$  electron density ( $10^{12} - 10^{18} \text{ cm}^{-3}$ )

$\mu_e$  electron mobility

$M_i$  ion mobility

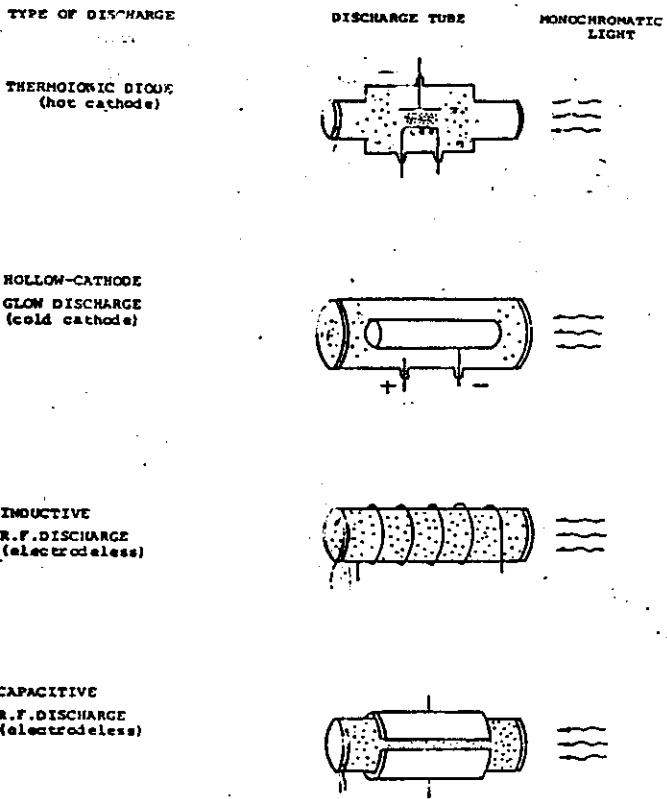


Fig. 3 Gas discharge plasmas investigated by optical impedance spectroscopy

Current equation

$$i = F(n_e, E)$$

(for instance positive column  $i = e\pi R^2 v_d n_e e^{2\phi_0}$ )

In the optogalvanic effect the measured current change  $\Delta i_{OG}$  produced by  $\Delta Q$  photons absorbed per unit time may be written:

$$\Delta i_{OG} = \epsilon_i \epsilon_c \Delta Q$$

$$\epsilon_c = \frac{\frac{dV}{di}}{R_b + \frac{dV}{di}}$$

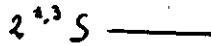
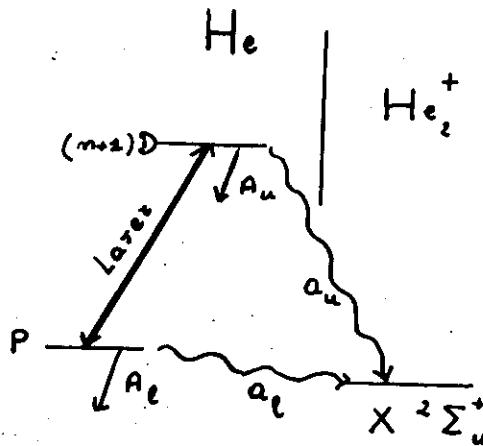
depends on ( $V, i$ ) characteristics  
of discharge and ballast  
resistor  $R_b$

collection efficiency

$$\epsilon_i = (\text{ion production}) \times (\text{discharge perturbation})$$

depends on physical processes involved  
in ionization

ionization efficiency



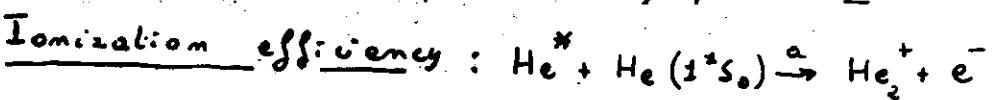
Examples



(Lawler, Phys. Rev. A22 1025 (1980))



(D. Jackson, E. A. et al, Opt. Comm. 33 51 (1980))



$$\epsilon_i = \left( \frac{a_u}{a_u + A_u} - \frac{a_e}{a_e + A_e} \right).$$

$$\cdot \frac{e R v_d}{s_0 l} \left( \frac{2 k T_e}{m_i} \right)^{1/2}$$

Optogalvanic model for He discharge

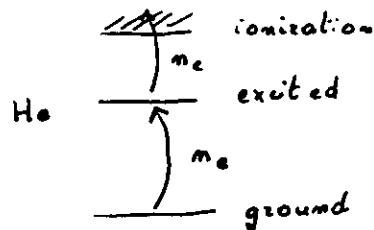
$n_e$  electron density

$$n_e = N_i$$

$N_i$ : ion density

→ Ion production through

two-step electron impact:



→ Equation for ion current

$$\frac{d(eN_iV)}{dt} = g(E)n_e^2 - \left(\frac{2eT_e}{m_i}\right)^{1/2} n_e^2 \pi R l s_0 h_0 \\ = G(n_e, E) = 0$$

V: volume

E: Electric field

R: radius

$T_e$ : electron temperature

l: length

$s_0, h_0$ : constants

→ Equation for current

$$i = e n_e v_d \pi R^2 2 h_0 = e n_e \mu E \pi R^2 2 h_0 \\ = F(n_e, E)$$

$v_d$ : drift velocity

$\mu$ : electron mobility

Perturbed discharge:

$$\Delta i = \frac{\partial F}{\partial n_e} \Delta n_e + \frac{\partial F}{\partial E} \Delta E$$

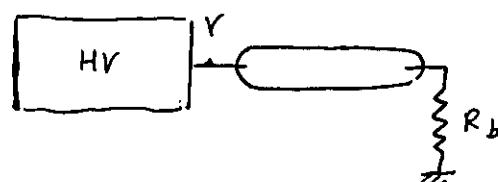
$$\frac{d(eN_iV)}{dt} = g(n_e + \Delta n_e, E + \Delta E) + \frac{\partial}{\partial A} \Delta = 0$$

$$= g(n_e, E) + \frac{\partial g}{\partial n_e} \Delta n_e + \frac{\partial g}{\partial E} \Delta E + \frac{\partial}{\partial A} \Delta = 0$$

"

0

$\Delta i$  and  $\Delta E$  not independent variables



$$V = lE + iR_b + V_c \parallel l\Delta E + R_b \Delta i = 0$$

7

17

Final result:

$$\Delta i = -\frac{g}{A} \Omega \frac{l}{R_b} \frac{\frac{\partial F}{\partial m_e}}{\frac{\partial g}{\partial E} \left( \frac{\partial F}{\partial E} + \frac{l}{R_b} \right) - \frac{\partial g}{\partial E} \frac{\partial F}{\partial m_e}}$$

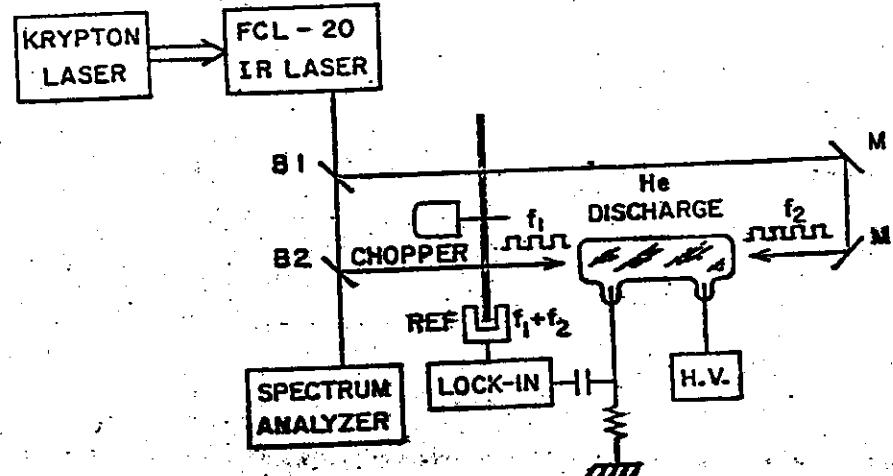
Moreover

$$\frac{di}{dE} = \frac{\frac{\partial F}{\partial E}}{\frac{\partial g}{\partial m_e}} - \frac{\frac{\partial F}{\partial m_e} \frac{\partial g}{\partial E}}{\frac{\partial g}{\partial m_e}}$$

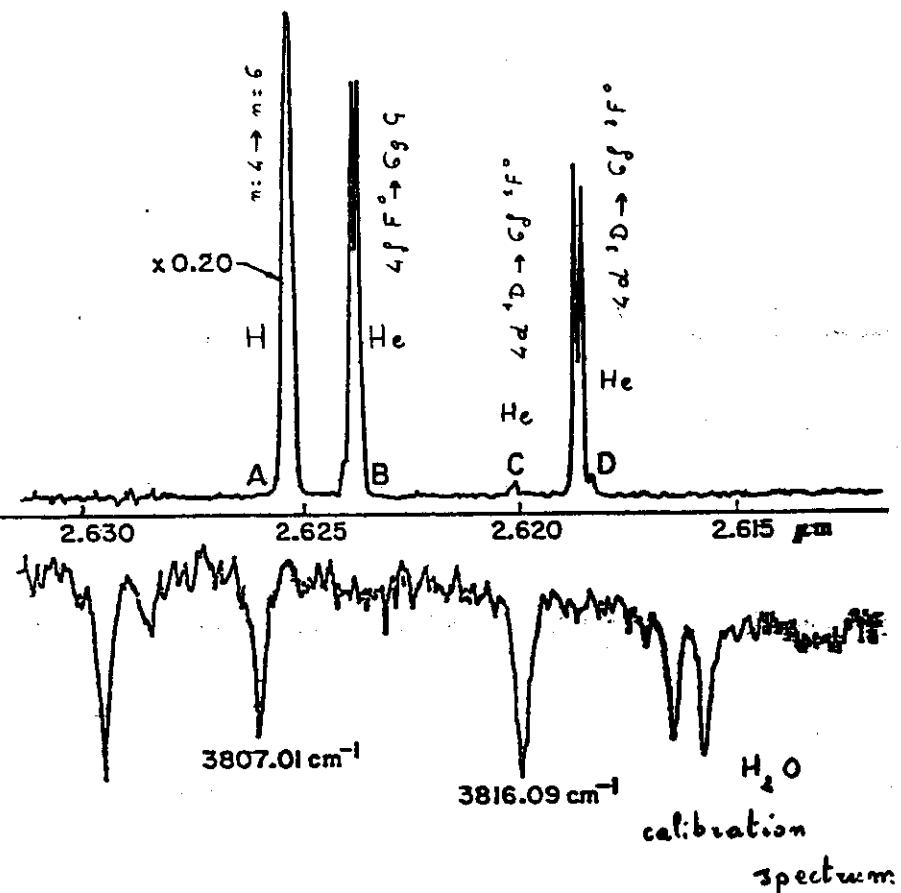
$$\text{and } \frac{dV}{di} = l \frac{dE}{di} = \frac{l}{\frac{di}{dE}}$$

so that

$$\Delta i_{eq} = \underbrace{\frac{dV}{di}}_{e_c} \cdot \underbrace{\frac{e R_v d}{R_b + \frac{dV}{di}}}_{\text{sol}} \left( \frac{2 k T_e}{m_i} \right)^{1/2} \left( \frac{a_u}{a_u + A_u} - \frac{a_e}{a_e + A_e} \right) \Omega$$



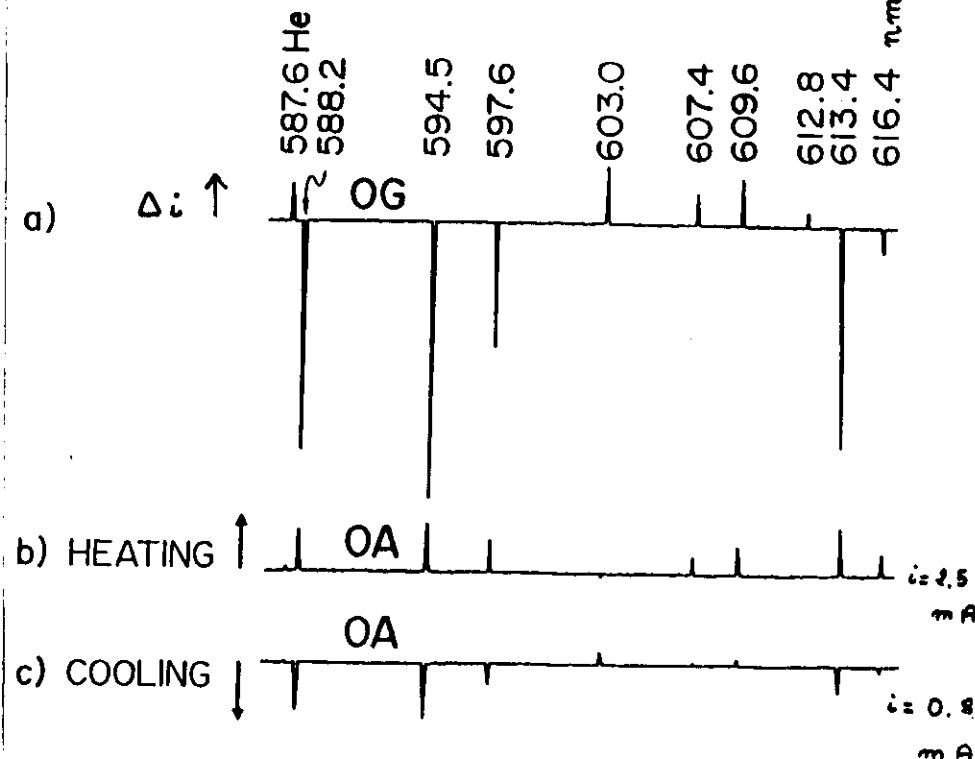
Infrared OG spectroscopy in He positive column



Jackson, Arimondo, Lawler, Hänsch

Opt. Commun. 33 51 (1980)

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Simultaneous OG and OA observations

in a He-Ne discharge ( $p_{\text{Ne}} = 1.2 \text{ mbar}$

$p_{\text{He}} = 2 \text{ mbar}$ )

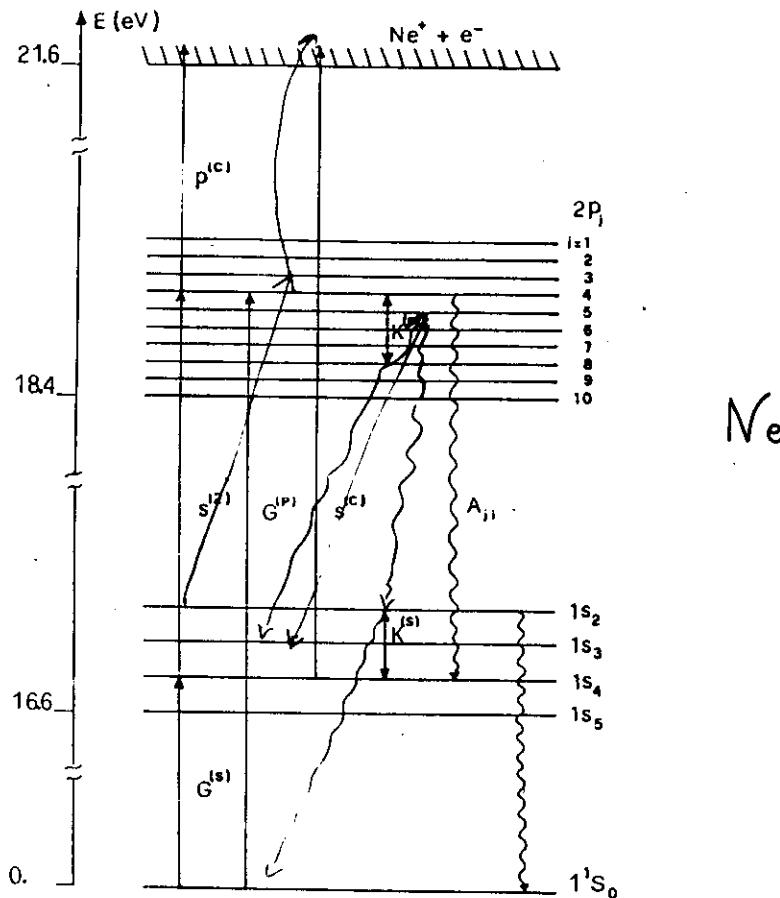


Fig. 2. Simplified energy-level diagram for neon including the  $1^1S_0$  ground state, the four levels  $1s_i$  ( $i = 2, 3, 4, 5$ ), the ten  $2p_j$  levels ( $j = 1, 2, \dots, 10$ ), and the continuum. The main processes of electron-impact excitation, radiative decay, and collisional mixing are schematically represented.

G, S and P processes by electron collisions

K processes by collisions with  
ground state neon atoms

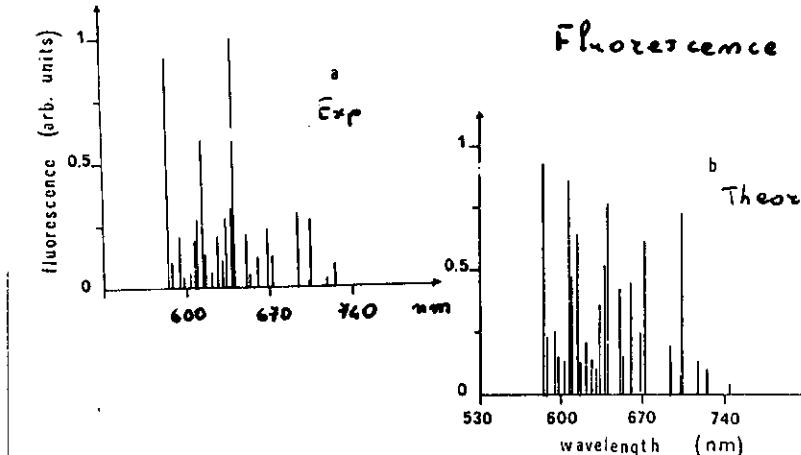


Fig. 5. Comparison between a, experimental and b, theoretical neon fluorescence spectra at 1 mA and 0.8 Torr.

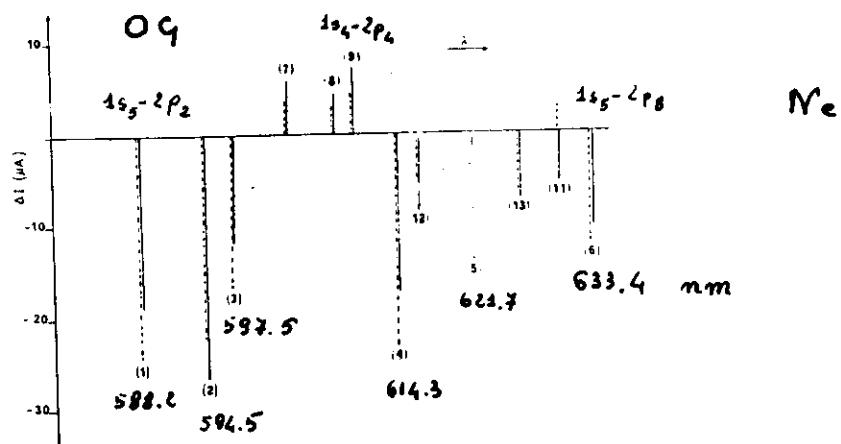
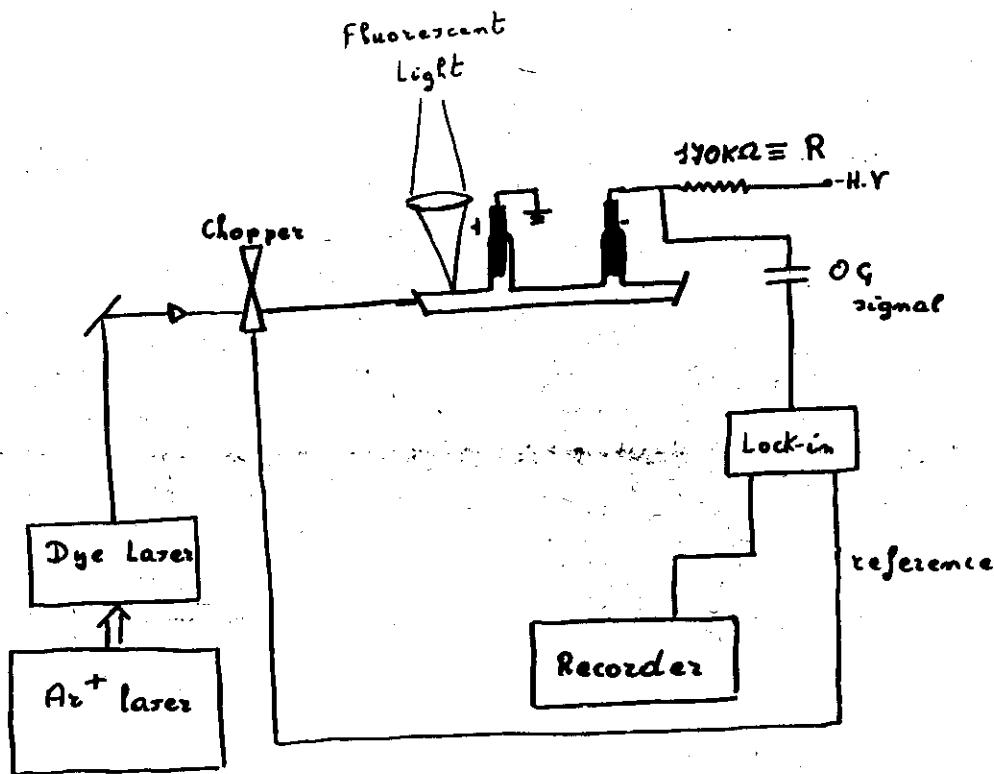


Fig. 6. Experimental (solid lines) and theoretical (dashed lines) OG spectra at 1 mA and 0.5 Torr. The laser-intensity profile in the analyzed spectral range was taken from measured intensity emission of the dye laser. The power at maximum emission ( $\lambda = 560$  nm) was limited to  $\approx 10$  mW to avoid saturation for all the investigated lines. The signal represents the modification (measured in microamperes) of the current flowing through the discharge.

## Optogelramic detection



JOSA B5 1484 (1988)

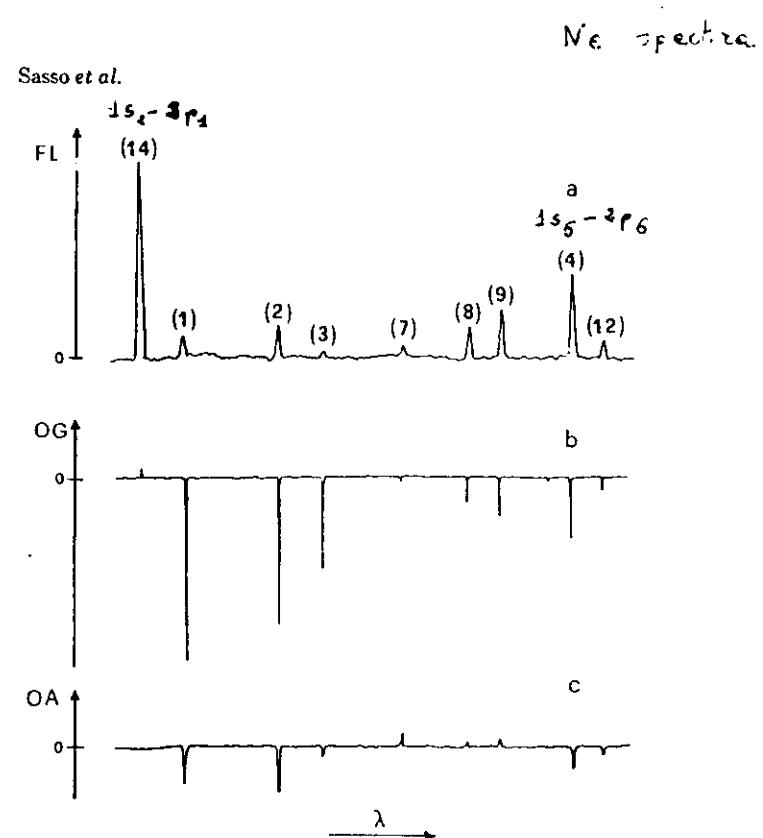


Fig. 1. Neon transitions between 580 and 620 nm observed with three different techniques: (a) the fluorescence spectrum, (b) the OG spectra and (c) the OA spectra. The spectra were recorded at 2.7-Torr neon pressure and 3-mA discharge current. The neon transitions with their assignments are listed in Table 2.

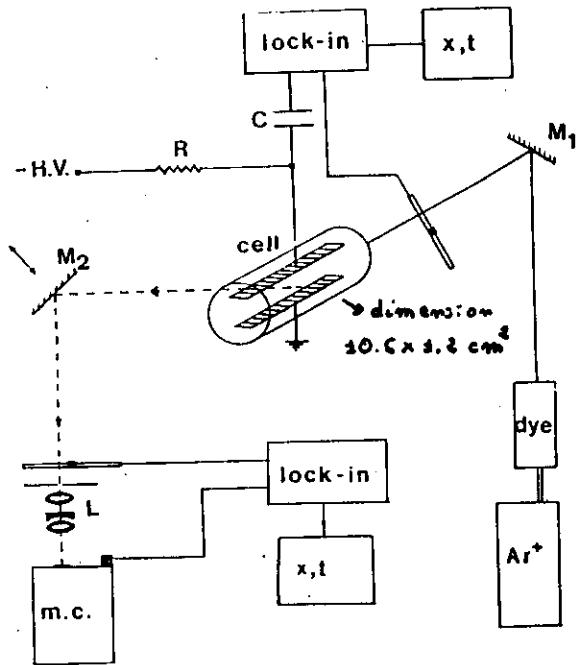


FIG. 2. Experimental setup: M<sub>1</sub> and M<sub>2</sub>, translatable mirrors; m.c., monochromator; L, lens system; R, ballast resistor; C, coupling capacitor; x,t, chart recorder.

DeMarinis, Sasso, and Arimondo

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J. Appl. Phys. 63 649 (1988)

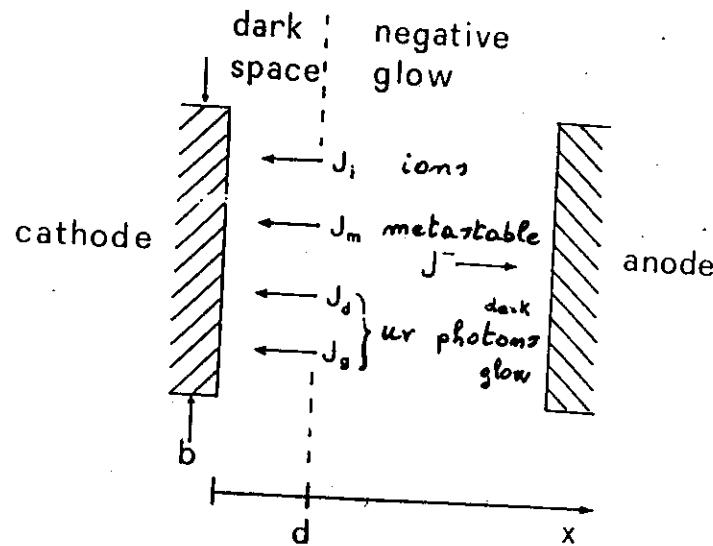


FIG. 1. Scheme of the secondary electron emission from the cathode surface.

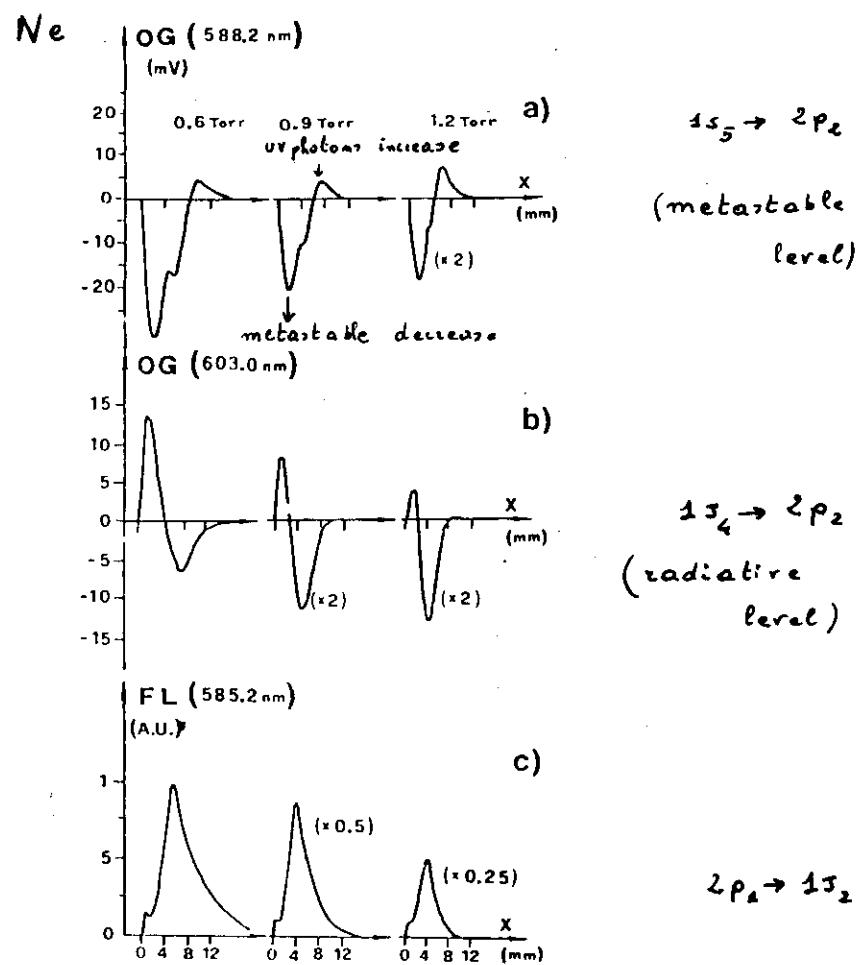
650

J. Appl. Phys., Vol. 63, No. 3, 1 February 1988

$M = \text{metastable}$

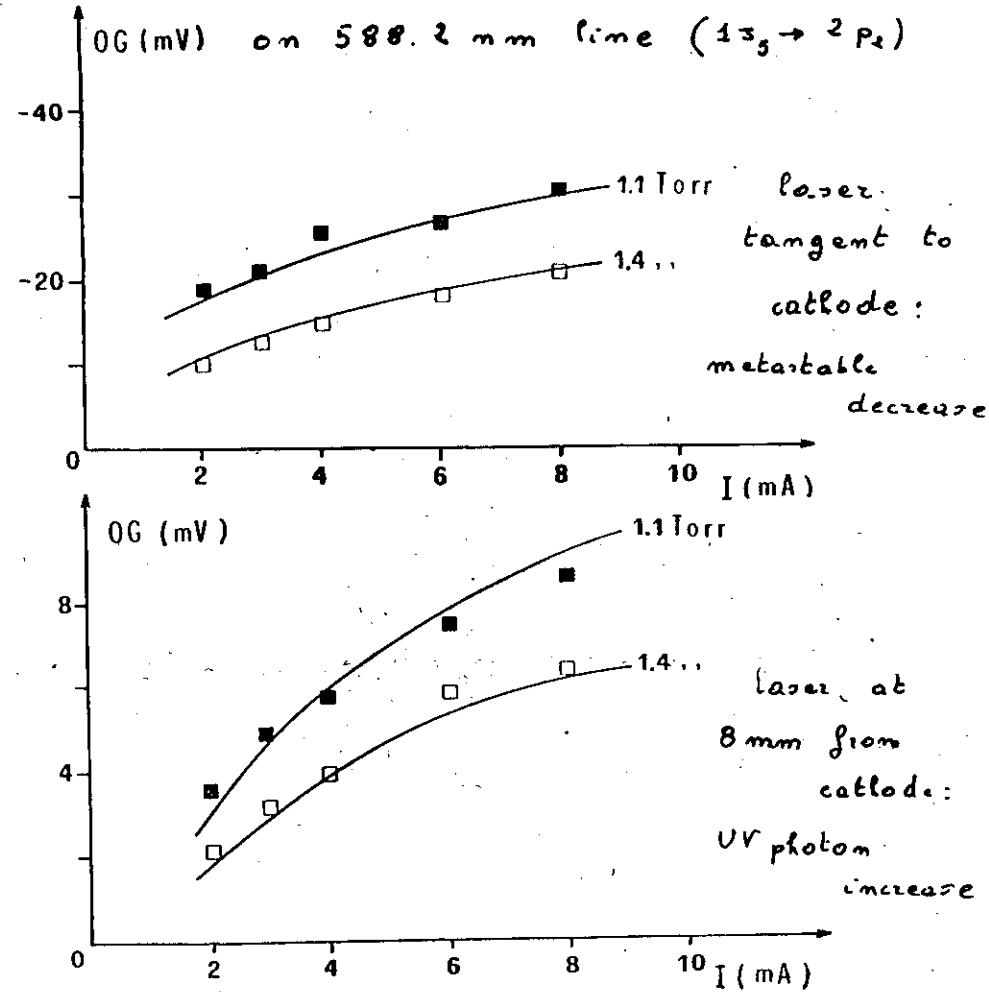
$n_{\text{dark}} = \text{UV photons created dark space}$

$n_{\text{glow}} = \text{UV photons ... glow region}$



653 J. Appl. Phys., Vol. 63, No. 3, 1 February 1988

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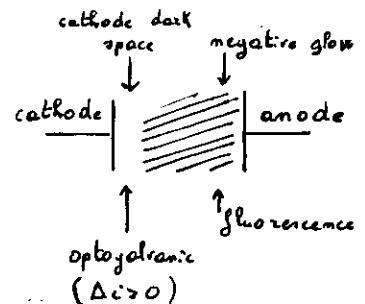


$$\Delta i = \frac{\partial i}{\partial m} \Delta m + \frac{\partial i}{\partial m_{dark}} \Delta m_{dark} + \frac{\partial i}{\partial m_{glow}} \Delta m_{glow}$$

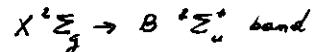
DSO

$N_2^+$  detection

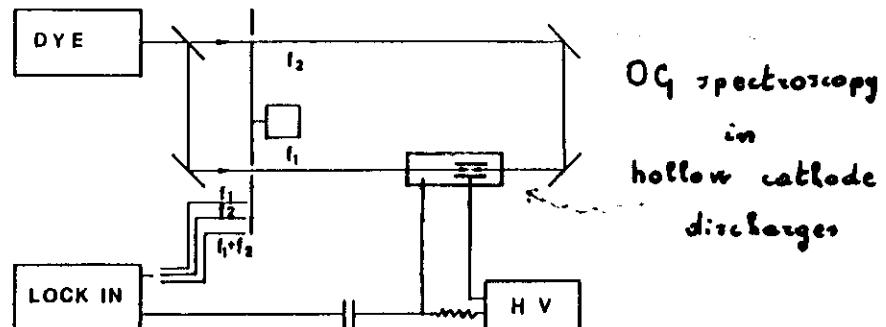
(Valkup, Dreyfus and Arrows, P.R.L. 50 1866 (1983))



abnormal glow  
at 1 Torr of  $N_2$



$N_2^+$  ions have a larger mobility in the B state



OG spectroscopy  
in  
hollow cathode  
discharges

Fig. 1. Schematic of the experimental apparatus used to perform Doppler limited, intermodulated Doppler-free and intermodulated Zeeman spectroscopy measurements in a hollow cathode discharge.

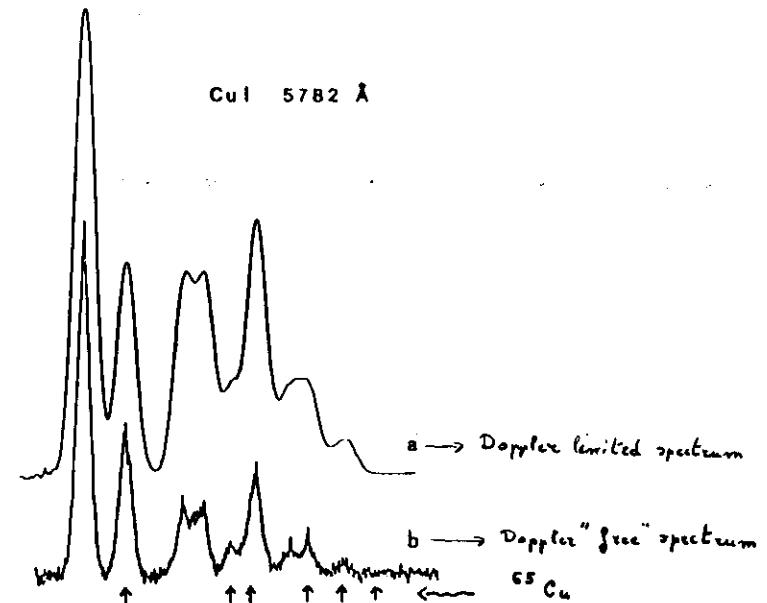
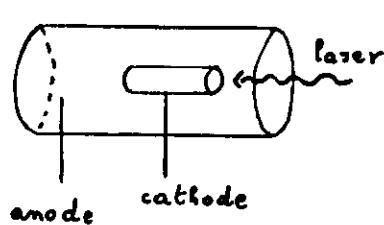


Fig. 2. Laser optogalvanic spectroscopy of the 578.2 nm transition hyperfine components of CuI. There are two naturally occurring odd isotopes. The arrows show the transitions of  $^{63}\text{Cu}$ . (a) is a Doppler limited scan; (b) is the Doppler-free intermodulated spectrum (total frequency scan 18 GHz).

(Beverini et al., Pisa University,

In the hollow cathode discharges



large electron density  
 $n_e = 10^{13} \text{ cm}^{-3}$

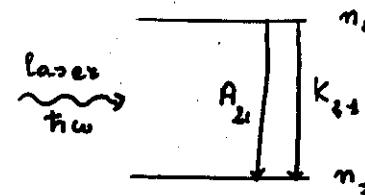
equal atomic and electronic temperatures  
 $T_a = T_e$

Observation of the fluorescent emission in U + Ne has shown that

\* the resonant laser illumination modifies the atomic temperature.  
(Dreese et al, J. Opt. Soc. Amer. 72 912 (1982))

The OG effect arises from a change in the electron temperature, because the electron mobility in the negative glow depends on the electron temperature.

(Keller et al, J. de Physique, 44 C-7 (1983))

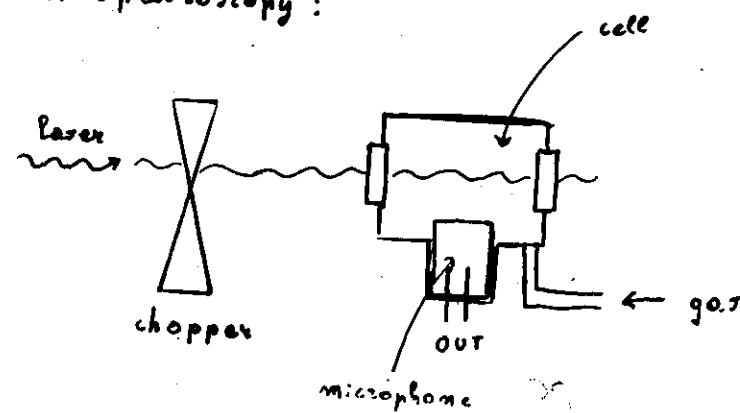


Energy released by collisions per unit time

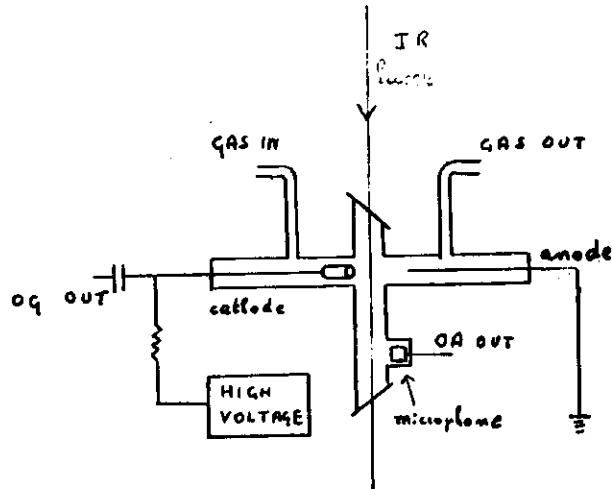
$n_e K_e t_0$

it leads to increase in temperature T

OA spectroscopy :

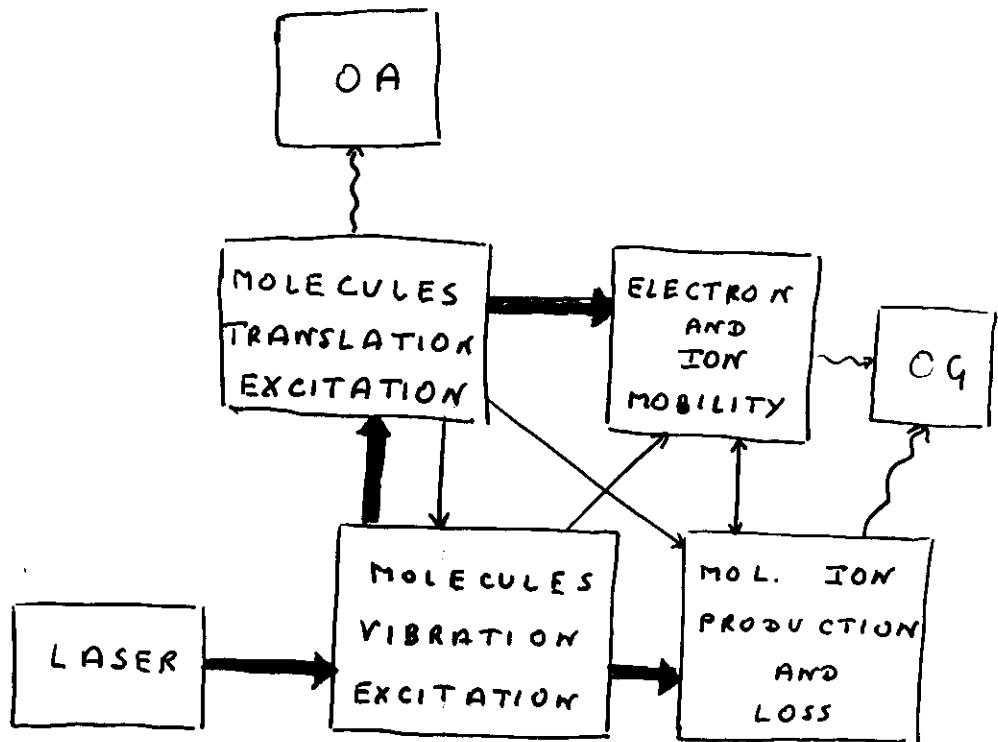


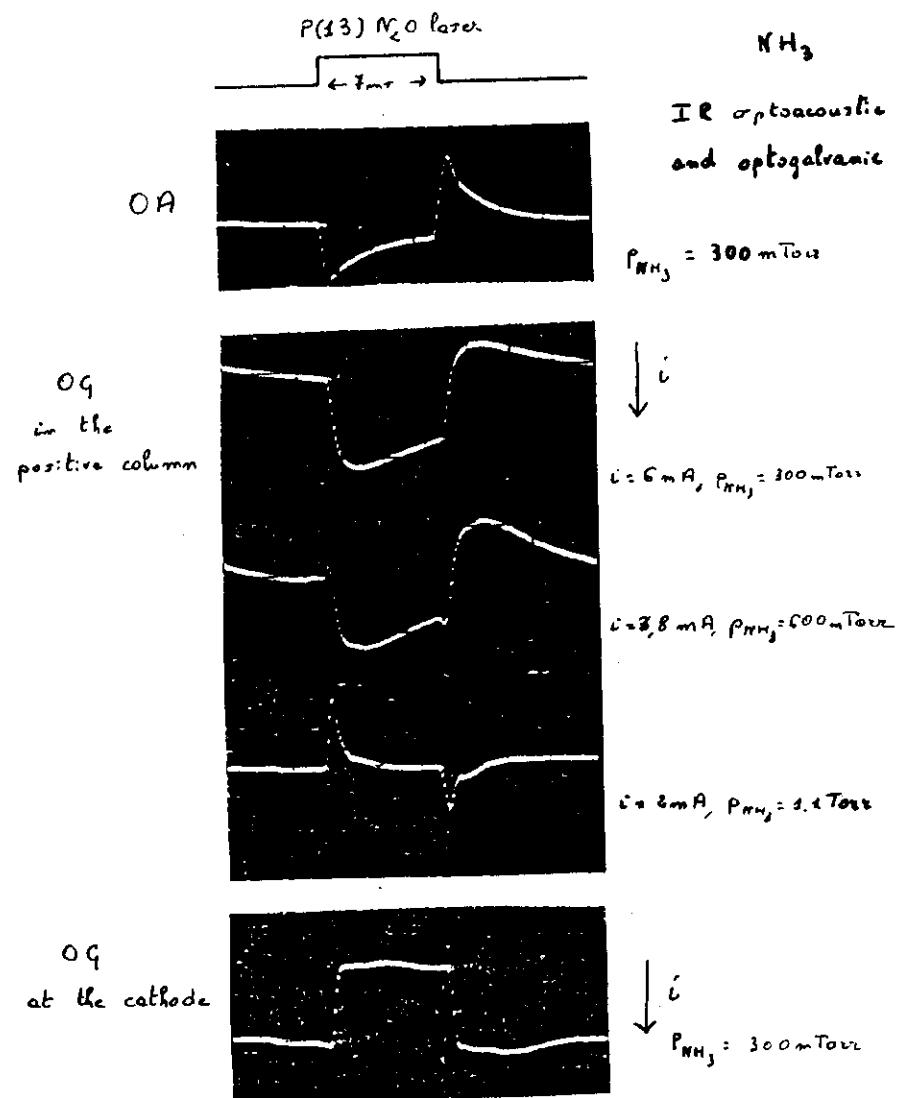
Simultaneous infrared  
OG and OA on molecules



- Optogalvanic signals produced also by irradiation outside the discharge
- Spatial propagation with sound velocity

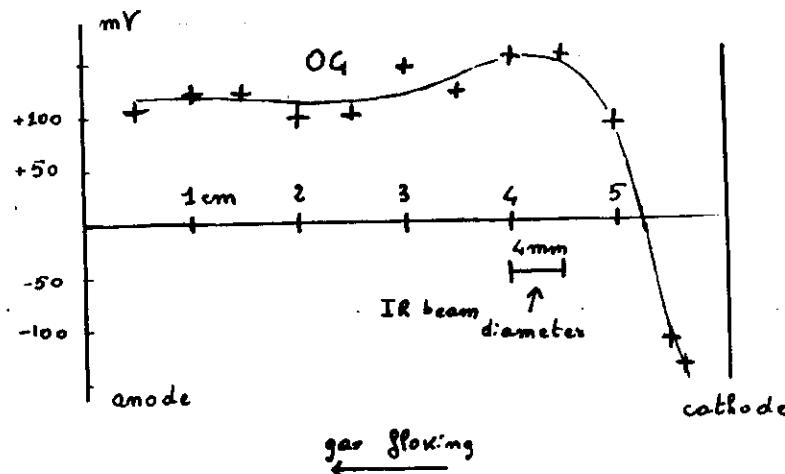
Hameau et al., Opt. Commun. 53 375 (1985)





Laser :  $P(13) N_2O$  2.3 Katt

Discharge : 200 mTorr  $NH_3$ , 3 mA



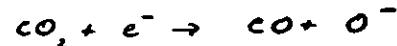
in  $NH_3$

large elastic scattering cross sections  
and dissociative attachment (strongly  
dependent on the electric field  $E$ )



in  $CO_2$

attachment



dissociation by electron impact

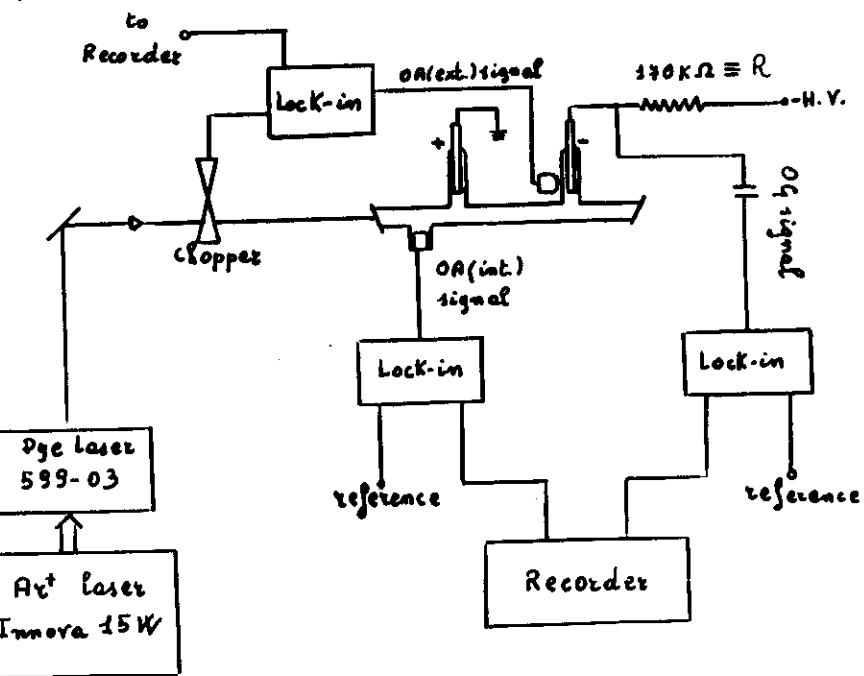


with cross sections smaller than

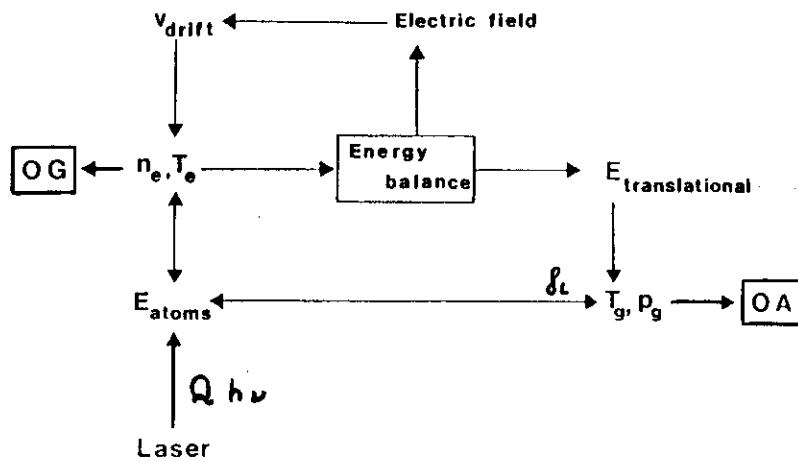
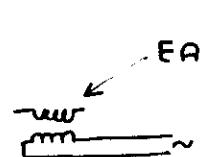
the reactions in  $NH_3$

Simultaneous

optogalvanic and optoacoustic  
detections



E. Attimondo, M.G. Di Vito, K. Ernst, M. Ingrosso,  
*J. de Physique*, 44 C7-267 (1983)



$\mathcal{Q}$  photons absorbed per unit time

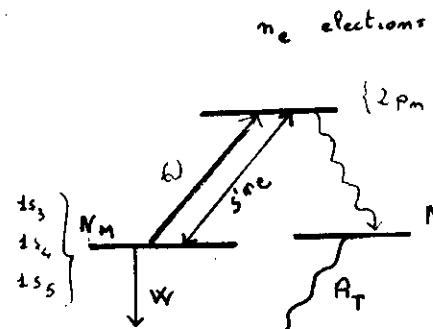
$$\text{Input electric power } P_e = i E l$$


 A diagram illustrating the components of the input electric power formula. The equation  $P_e = i E l$  is written at the top. Below it, two arrows point upwards from the words "current" and "length" to the variables  $i$  and  $l$  respectively in the equation.

current      ↑      length

electric field

## Energy balance in Ne positive column



$$\text{Input power} = \text{Electron power} + Q$$

Dissipated power =

$$P_g + P_z + P_w$$

$P_g$  = gas heating

$P_z$  = visible radiation

$P_w$  = wall deposited energy

$$P_i = \pi R^2 l S' m_e N_m \cdot (2 \text{ eV})$$

$$P_w = \pi R^2 l W N_m \cdot (16.6 \text{ eV}) \quad \text{diffusion of metastable}$$

$$+ \pi R^2 l A_T N_e \cdot (16.7 \text{ eV}) \quad \text{ur radiation}$$

$$+ \pi R^2 l \left[ D_a \left( \frac{2.6}{\lambda} \right)^2 \right] m_e \cdot (24.6 \text{ eV}) \quad \text{recombination}$$

The input power is dissipated into

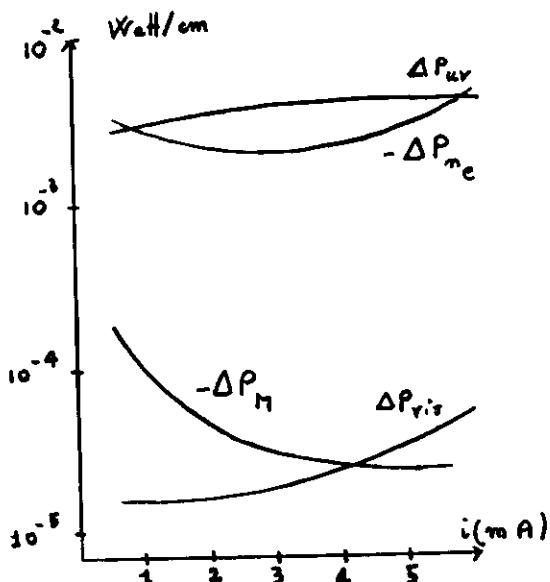
$P_g$  = gas heating contributes to OA

$P_{vis}$  = visible radiation

$P_{ur}$  = ur radiation contributes to OA

$P_{n_e}$  = wall ion-electron recombination "

$P_M$  = wall metastable deexcitation "



2m

15 May 1988

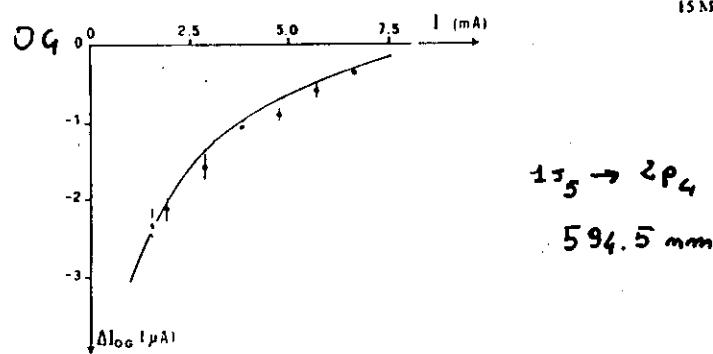


Fig. 3. Optogalvanic signals, with error bars, versus discharge current for the  $1s_5-2p_4$  neon transition at 1.5 Torr pressure. The theoretical curves have been obtained from the model presented in ref. [2] as explained in the text.

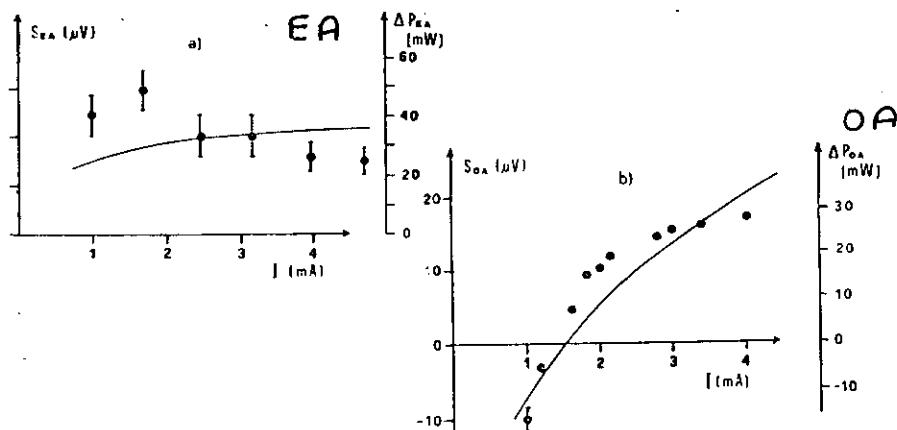
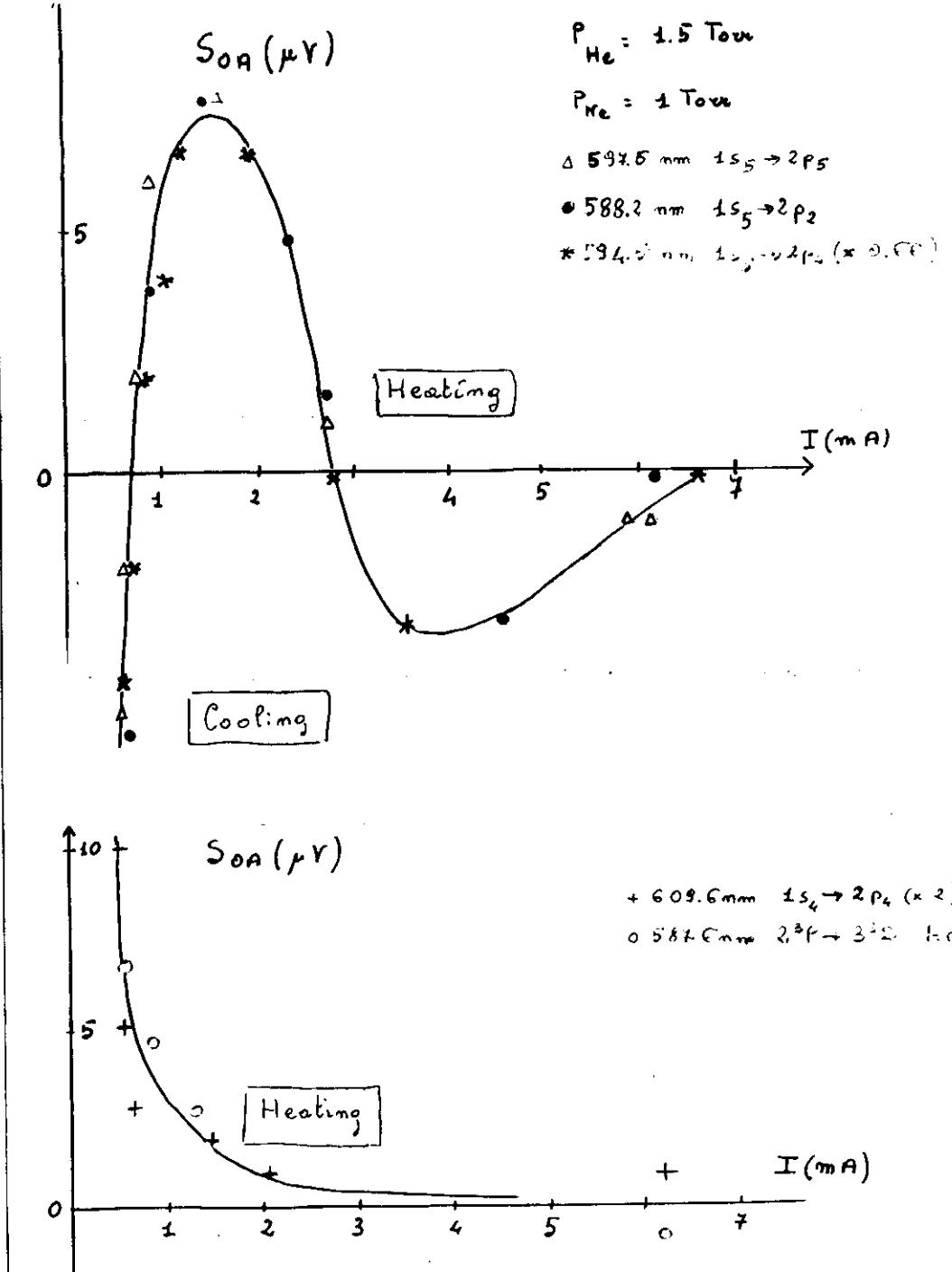


Fig. 2. Experimental results, with error bars, for the EA signals (a), and OA signals (b), versus the discharge current in a 1.5 Torr discharge. Theoretical curves for the internally dissipated power in the voltage modulation,  $\Delta P(EA)$ , and in the optoacoustic observations,  $\Delta P(OA)$ . Modulation voltage applied in the EA experiment was 5 V. Irradiation at  $594.5 \text{ nm}$  on the  $1s_5-2p_4$  line was used in the OA observations.



## Chaos =

- deterministic motion
- associated to few degrees of freedom
- aperiodic
- with a large sensitivity on initial conditions

## Rayleigh - Benard instability

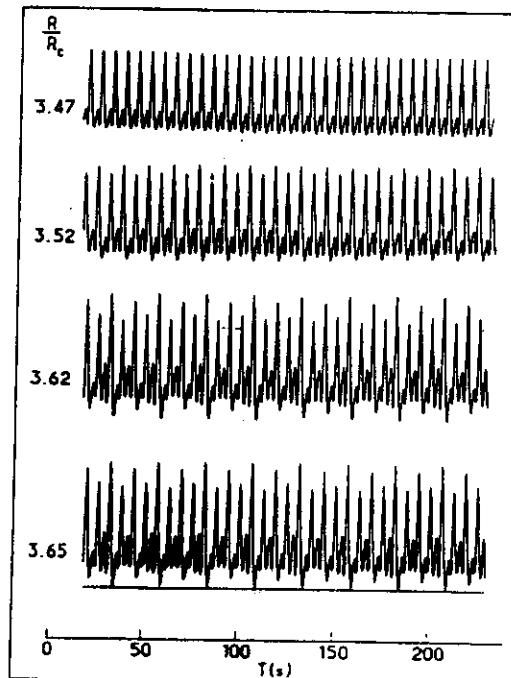


Fig. 2 — Direct time recordings of temperature for various stages of the period doubling cascade showing the onset of  $\delta/4$  ( $R/R_c = 3.52$ ),  $\delta/8$  ( $R/R_c = 3.62$ ),  $\delta/16$  ( $R/R_c = 3.65$ ).

T. Braun et. al P.R.L. 59 613 (1987)

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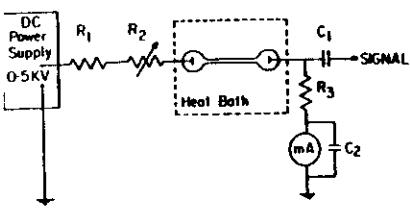


FIG. 1. Schematic diagram of the experiment.  $R_1 = 90 \text{ k}\Omega$ ,  $R_2 = 10 \text{ k}\Omega$ ,  $R_3 = 5 \text{ k}\Omega$ , and  $C_1 = C_2 = 0.01 \mu\text{F}$ .

helium lamp

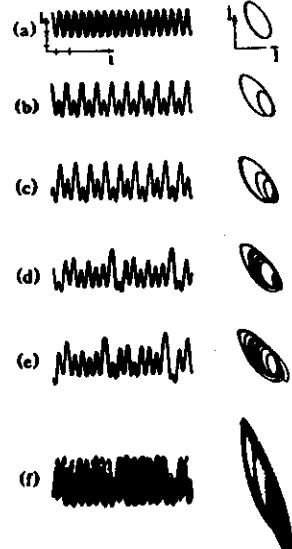


FIG. 2. Experimental results for a helium spectral lamp operating at about 12 mA. Self-generated oscillations of the current  $I(t)$  through the lamp are shown on the left with the corresponding phase portraits  $dI/dt \times I$  on the right. The current increases from (a) to (f). The scales for  $I(t)$  are 0.04 mA/division and 10  $\mu\text{s}$ /division. The phase portrait in (f) is vertically expanded.

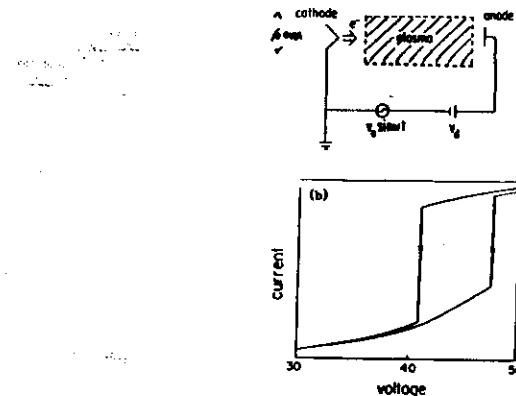


FIG. 1. (a) Schematic of experimental setup. (b)  $I$ - $V$  characteristic of anode current,  $I_a$ , vs dc anode voltage bias,  $V_d$ . The upwards pointing arrow indicates the rapid increase in  $I_a$  as  $V_d$  is increased and the downwards pointing arrow indicates the rapid decrease in  $I_a$  as  $V_d$  is decreased.

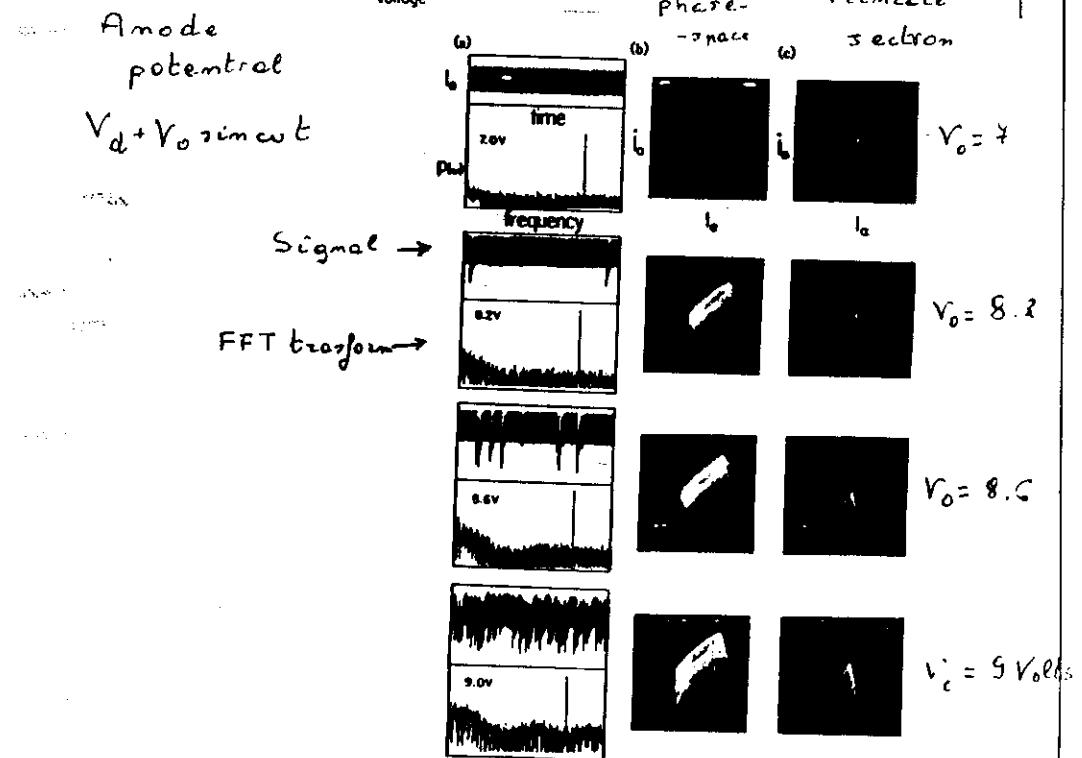


FIG. 2. (a) Real-time signals of  $I_a$  oscillations and the corresponding FFT spectra for  $V_o = 7, 8.2, 8.4$ , and  $9 \text{ V}$ , respectively. The external drive frequency is at  $f_o = 14.4 \text{ kHz}$ . The vertical scale on each FFT spectrum is logarithmic. (b) Corresponding phase-space plots of  $I_a$  vs  $I_a$  at  $V_o$  is increased. (c) Corresponding Poincaré sections of  $I_a$  vs  $V_o$ .

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P.R.L. 61 1360 (1988)

## Optogalvanic Observation of Ionization Waves in Hollow-Cathode Discharges

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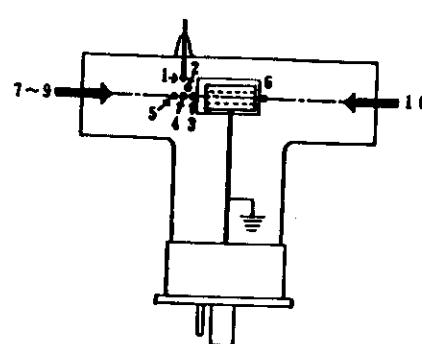
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(Received 14 April 1986)

FIG. 1. Directions and positions of the laser illumination. Circles and arrows show, respectively, that the laser beam was directed perpendicular and parallel to the axis of the cathode cylinder, and the attached numbers refer to the first column of Table I.

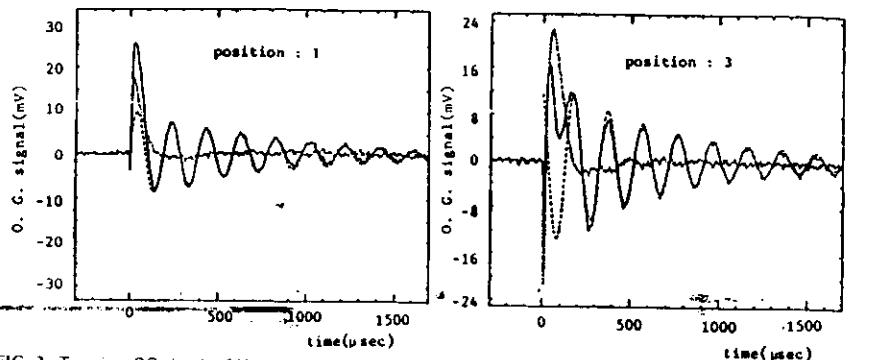


FIG. 2. Transient OG signals of Ne  $2p_0-1s_1$  line at 633.4 nm (see legend of Fig. 1 for the attached numbers). Solid curves are the observed signals, the dashed lines are the simulated curves, and the dotted curves correspond to the residual, i.e., the observed minus the simulated signals.

# Laser induced phase locking hydrogen plasma situations

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A. P. L. 40 574 (1982)

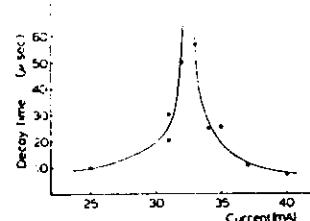


FIG. 2. Decay rate of the laser induced transient as a function of the current in the plasma while keeping the pressure constant.

O.G. signals by  
laser ionization of  
hydrogen  $n=2 \rightarrow$  continuum  
656.3 nm radiation

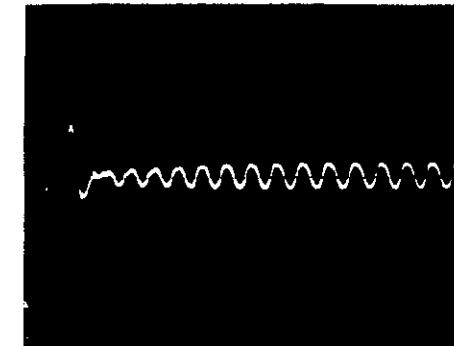


FIG. 4. Oscilloscope trace corresponding to five laser interactions of high intensity with the plasma exhibiting single-mode striation and phase locking. The time base is 10 μsec/div.

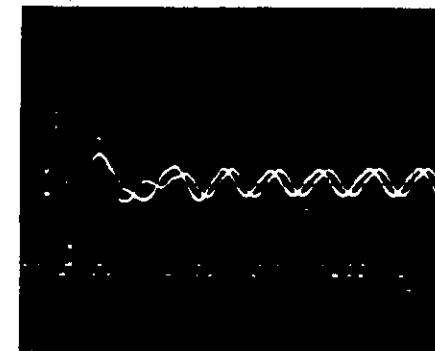


FIG. 3. Oscilloscope trace corresponding to two laser interactions of low intensity showing single-mode striation and random phase.