



INTERNATIONAL ATOMIC ENERGY AGENCY  
UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION  
**INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS**  
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UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION



**INTERNATIONAL CENTRE FOR SCIENCE AND HIGH TECHNOLOGY**

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EXPERIMENTAL WORKSHOP ON  
HIGH TEMPERATURE SUPERCONDUCTORS AND RELATED MATERIALS  
(BASIC ACTIVITIES)

(11 February - 1 March 1991)

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" Characterization of HTS Materials by Neutron Scattering "

presented by:

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These are preliminary lecture notes, intended only for distribution to participants.



# Characterization of HTS materials by Neutron Scattering.

First lecture: General remarks on Neutron Scatt.,  
structures  
magnetic ordering  
densities of states : phonons  
: magnetic excitation  
role of impurities  
"Do magnetic moments exist in  
High  $T_c$  Superconductors?"

Second lecture: Details about magnetic  
dynamics

Phonon anomalies

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Experimental results from different sources:

ILL - Grenoble : Schäppi et.al., Rossat-Mignod da

BNL - Brookhaven : Shirane et al.....

Paris - Karlsruhe : Pintschovius, Reichardt, ....

The neutron interacts with

a) nuclei:  $H_{Nn} \sim b$  +  $\vec{b} \cdot \vec{\mu}_N$

↑  
scalar      nuclear.  
                Spin      Spin

b) magnetization densities:

$$H_{Nm} \sim \vec{m} \cdot \vec{\mu}_N$$

Interaction is weak  $\Rightarrow$  Born approx. applicable

$\Rightarrow$  Cross section for  
momentum transfer  $\vec{k}$   
energy transfer  $\hbar\omega$

is directly proportional to

a) density-density correlation function (for nuclei)

$$\sum_{ij} \left\langle e^{i\vec{k} \cdot \vec{R}_i(0)} - i\vec{k} \cdot \vec{R}_j(t) \right\rangle e^{i\vec{k} \cdot \vec{R}_j(t)} dt$$

$\Rightarrow$  information about structures  
+ lattice dynamics

structures:  $\sim \sum e^{i\vec{k} \cdot (\vec{R}_i^0 - \vec{R}_j^0)}$

dynamics:  $\sim \sum \int e^{i\vec{k} \cdot (\vec{R}_i^0 - \vec{R}_j^0)} \langle \vec{u}_i \cdot \vec{u}_j(t) \rangle e^{i\omega t} dt$   
displacements

- ~ displacement-displacement correlation fct.
- ~ "phonons".

b) magnetization-magnetization (spin-spin) corr.fct

$$\sim \sum \int e^{i\vec{k} \cdot (\vec{R}_i - \vec{R}_j)} \langle \vec{S}_i \cdot \vec{S}_j(t) \rangle e^{i\omega t} dt$$

$\Rightarrow$  information about magnetic ordering

+ magnetic excitations

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Separation of different correlation functions possible

- through indirect information + experience
- polarization analysis (technically difficult,

|| Accessible wavelengths: atomic distances up to  $\infty$

|| Accessible energy transfers:  $\hbar \omega \gtrsim 100 \text{ meV}$   
 $\sim 1000 k_B K$

- Large samples necessary!

In principle we can learn everything about  
lattice properties (structure + dynamics)  
+ magnetic properties (order + fluctuations).

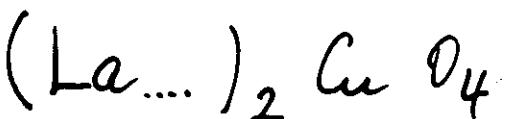
nothing about : electronic charge distributions  
" polarizabilities.

In practice : Almost everything about the lattice  
a lot (or not so much) about magnetism  
a little bit (indirectly) about  
electronic charges.

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Up to now:

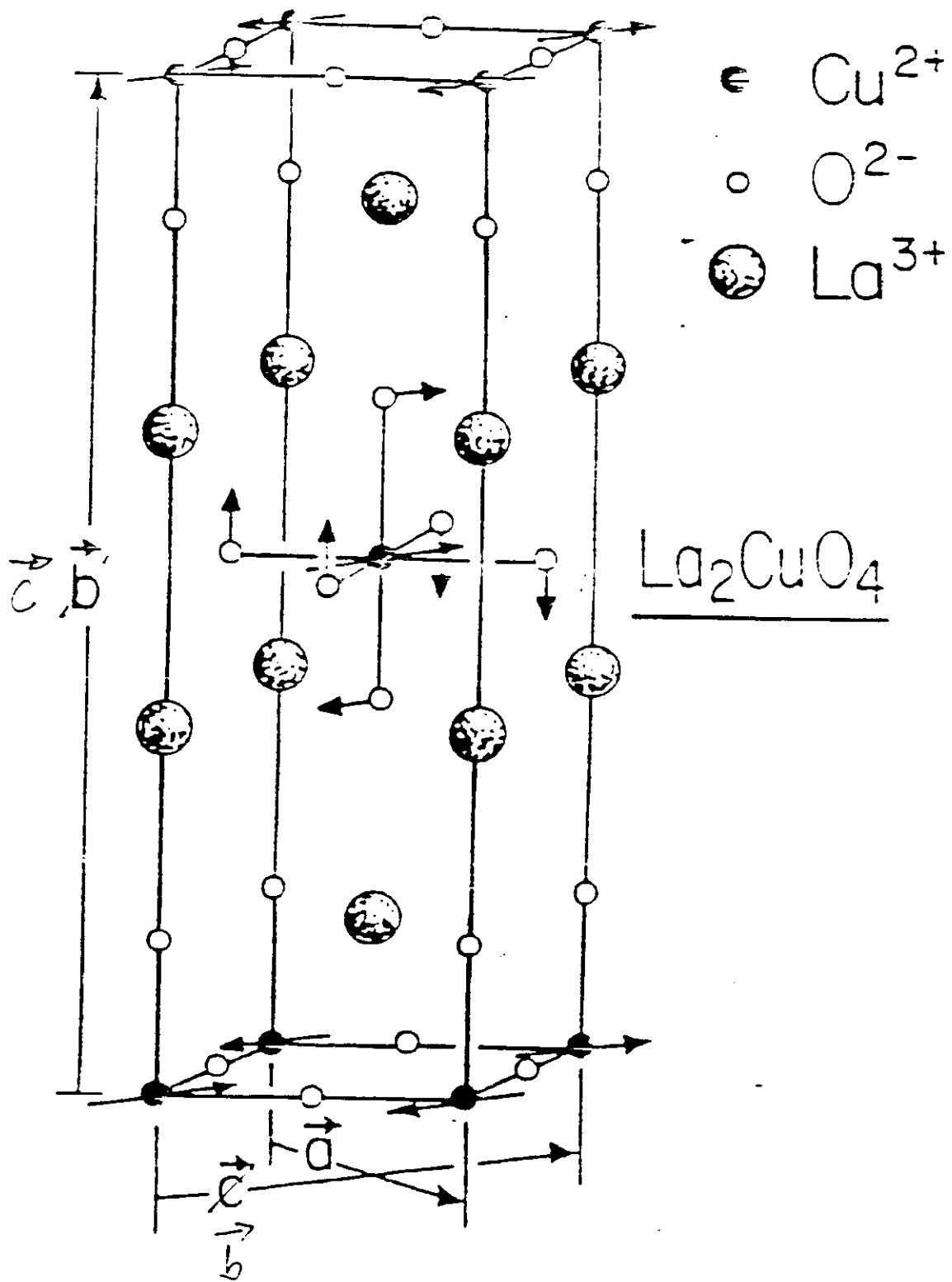
Intensive studies of magnetic properties  
( BNL, ILL )



"Superconductivity because of or  
despite of magnetism?"

Less attention to lattice dynamics

But: Interesting phonon anomalies  
( Paris-Karlsruhe )



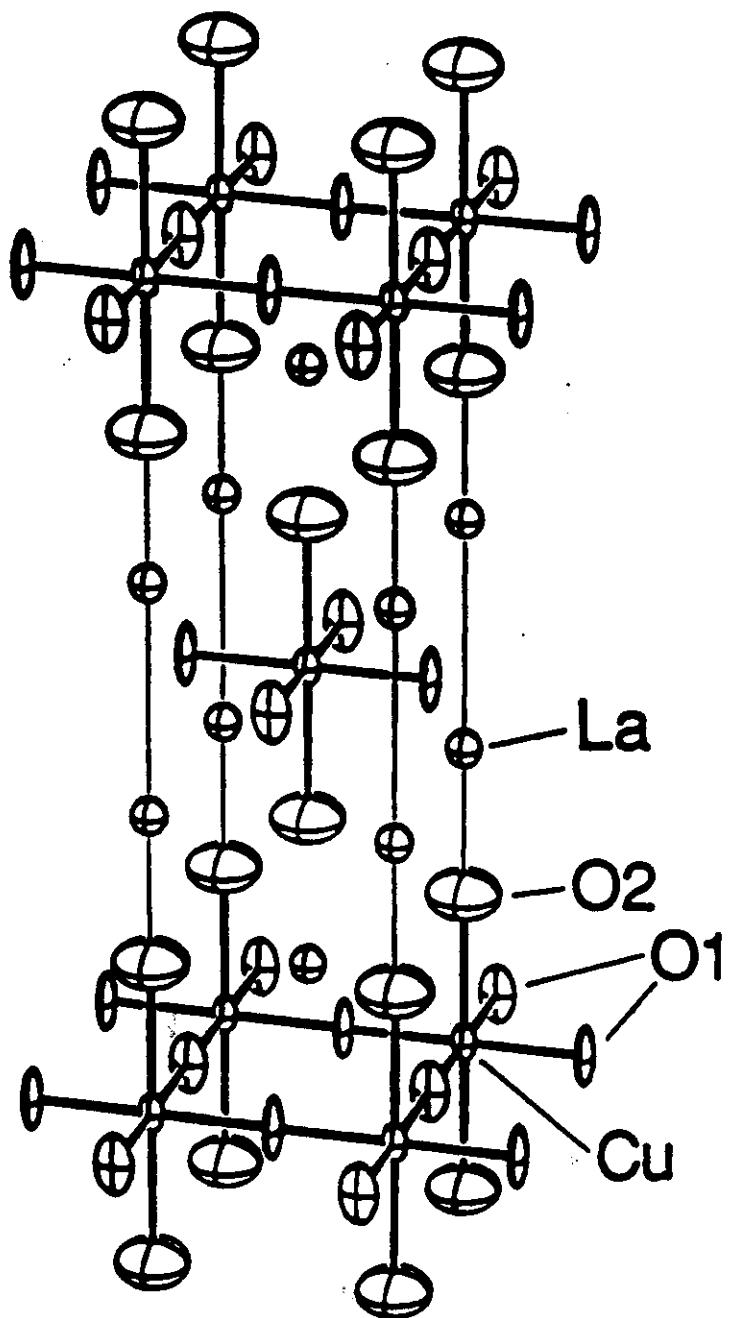
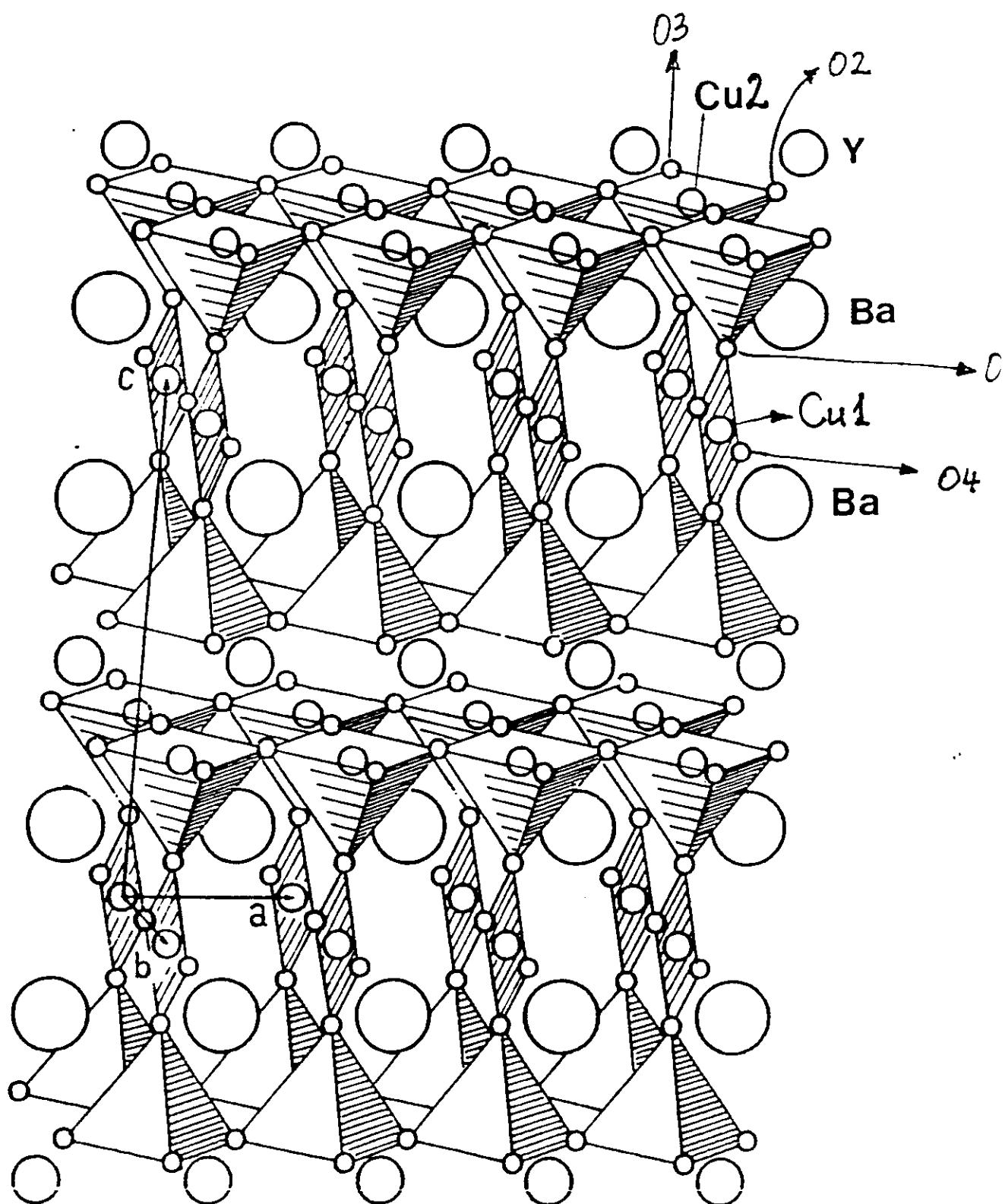


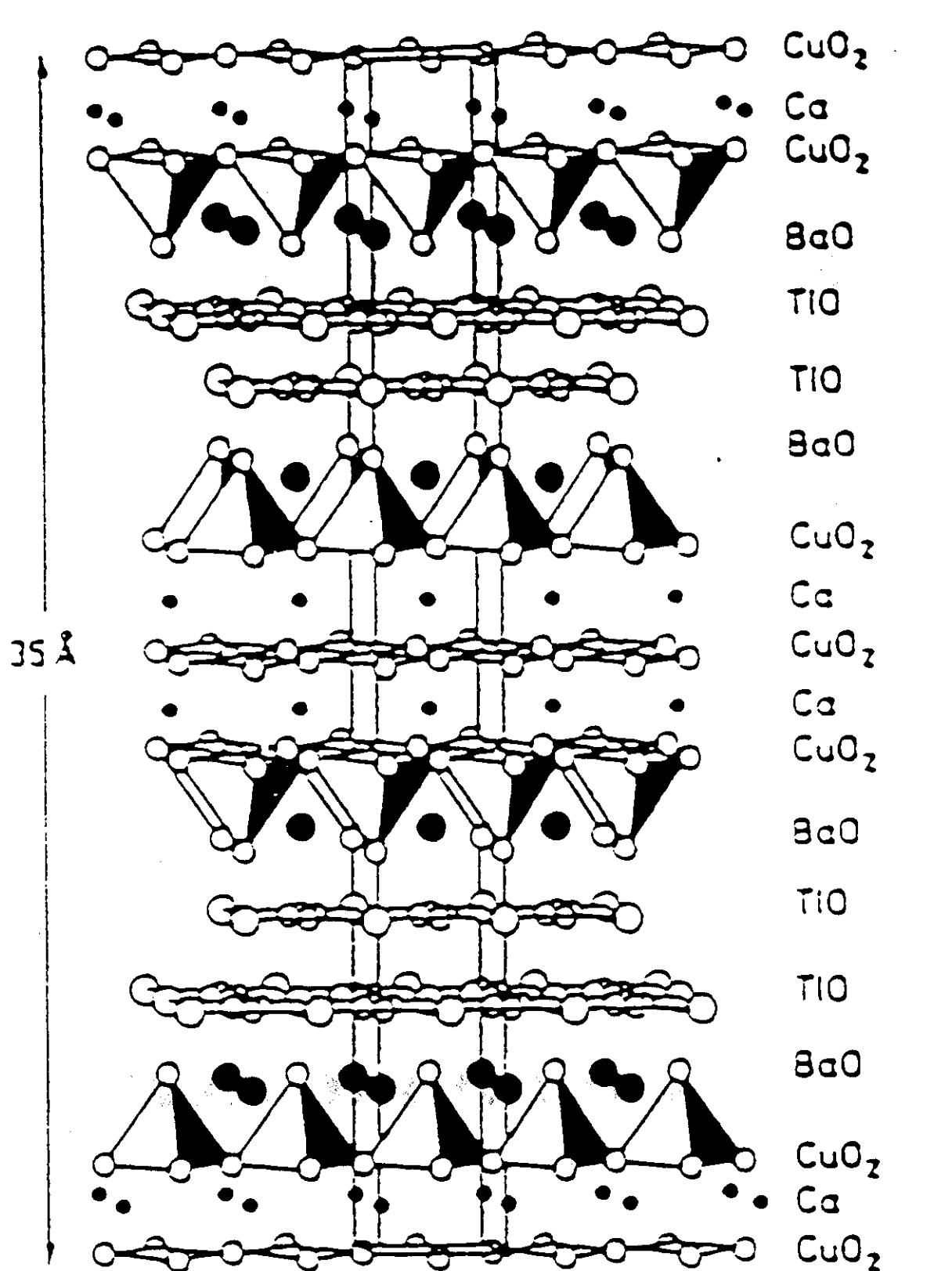
FIG. 2. The crystal structure of  $\text{La}_2\text{CuO}_4$ , with (O1, O2) denoting the sites denoted ( $O_{xy}$ ,  $O_z$ ) in the text. The ellipsoids reflect the probability density resulting from neutron scattering refinements of the structure. The probability density can reflect both dynamic and quasistatic, uncorrelated displacements from the ideal sites.

that is not s... .

$YBa_2Cu_3O_7$



$T_c \approx 125$  K



Common elements: Cu O<sub>2</sub> planes

+ other planes

"Other planes" have secondary role of

- a) stabilizing crystallographic structure
- b) controlling electron densities (carrier concentration) in Cu O<sub>2</sub> planes

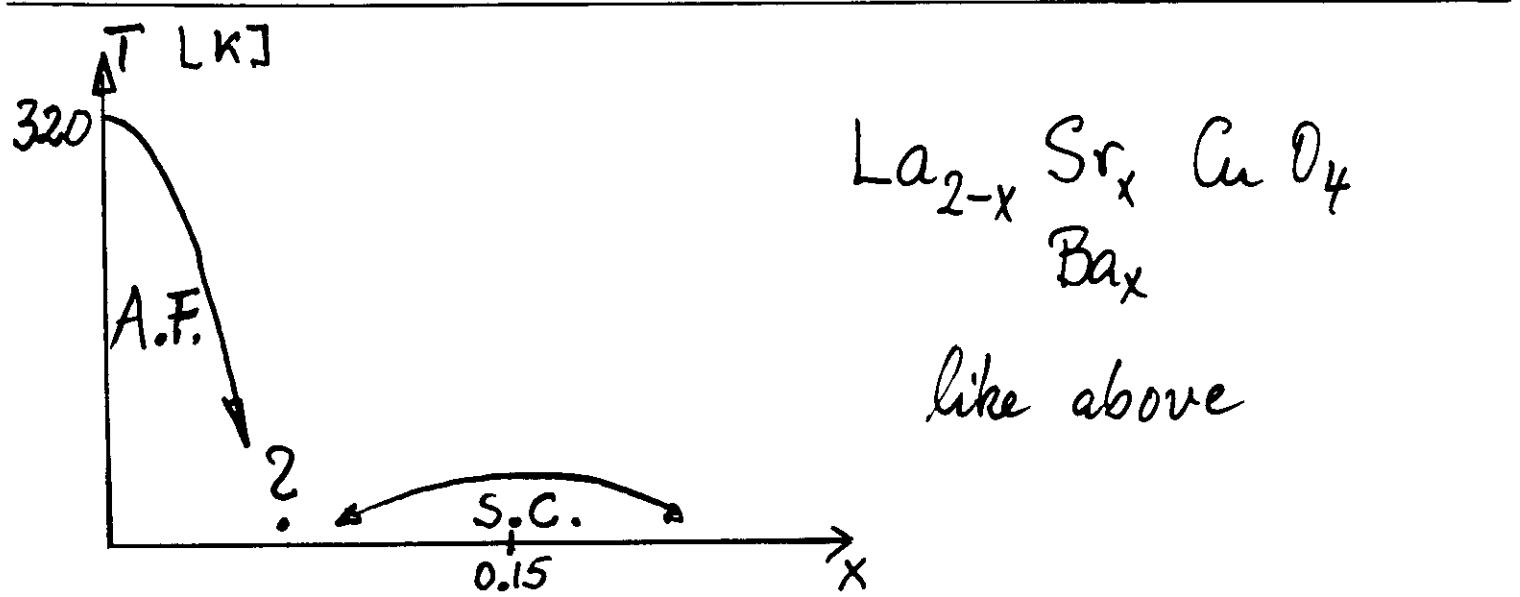
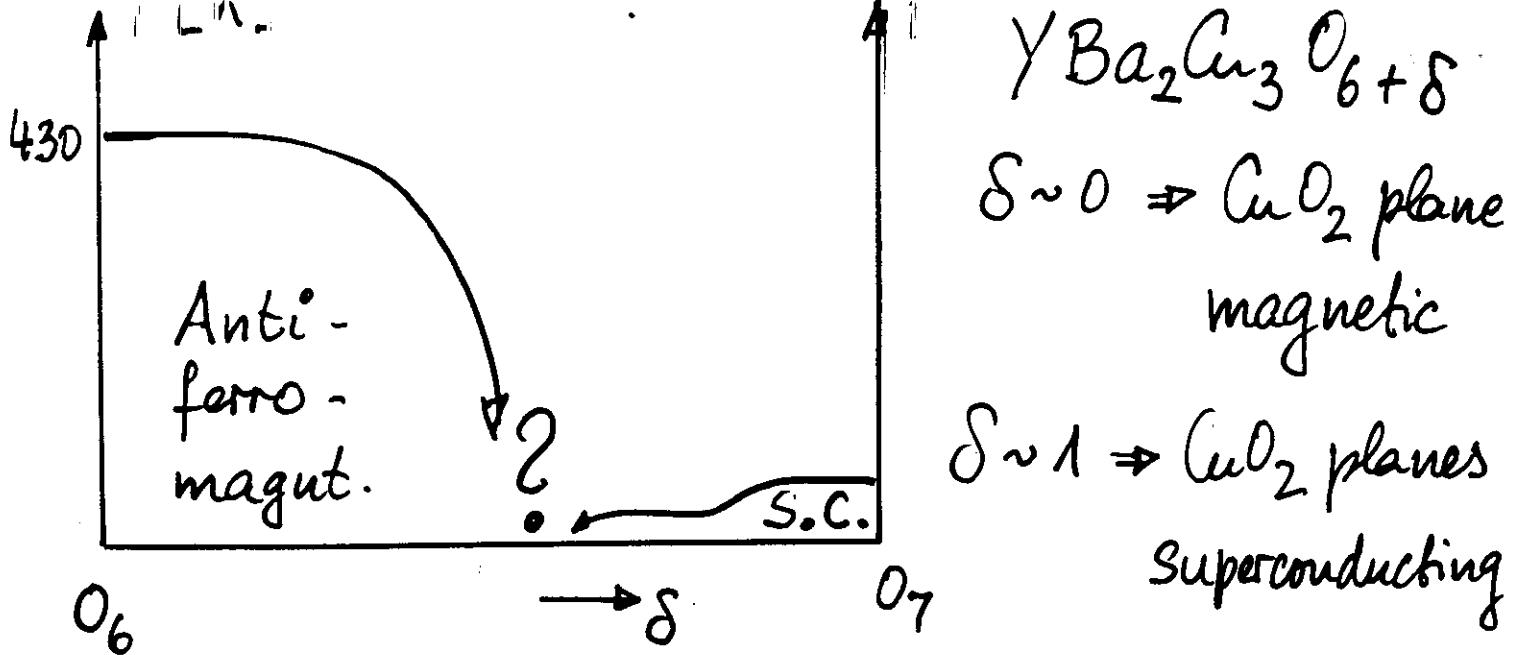
Example: Y-plane may be replaced by  
3-valent rare earth (large magn.  
moment) without consequences  
on superconductivity.

Cu O<sub>2</sub>-plane essential for  
superconductivity, magnetism

In La<sub>2</sub>CuO<sub>4</sub>, YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub>: Cu<sup>2+</sup>  
⇒ One hole missing from full 3d-shell.

~ Spin 1/2 ; → antiferromagnetism.

"Doping" CuO<sub>4</sub> plane La<sub>2-x</sub>Sr<sub>x</sub>CuO<sub>4</sub>, YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6+x</sub>  
→ No magnetic order but Superconductivity



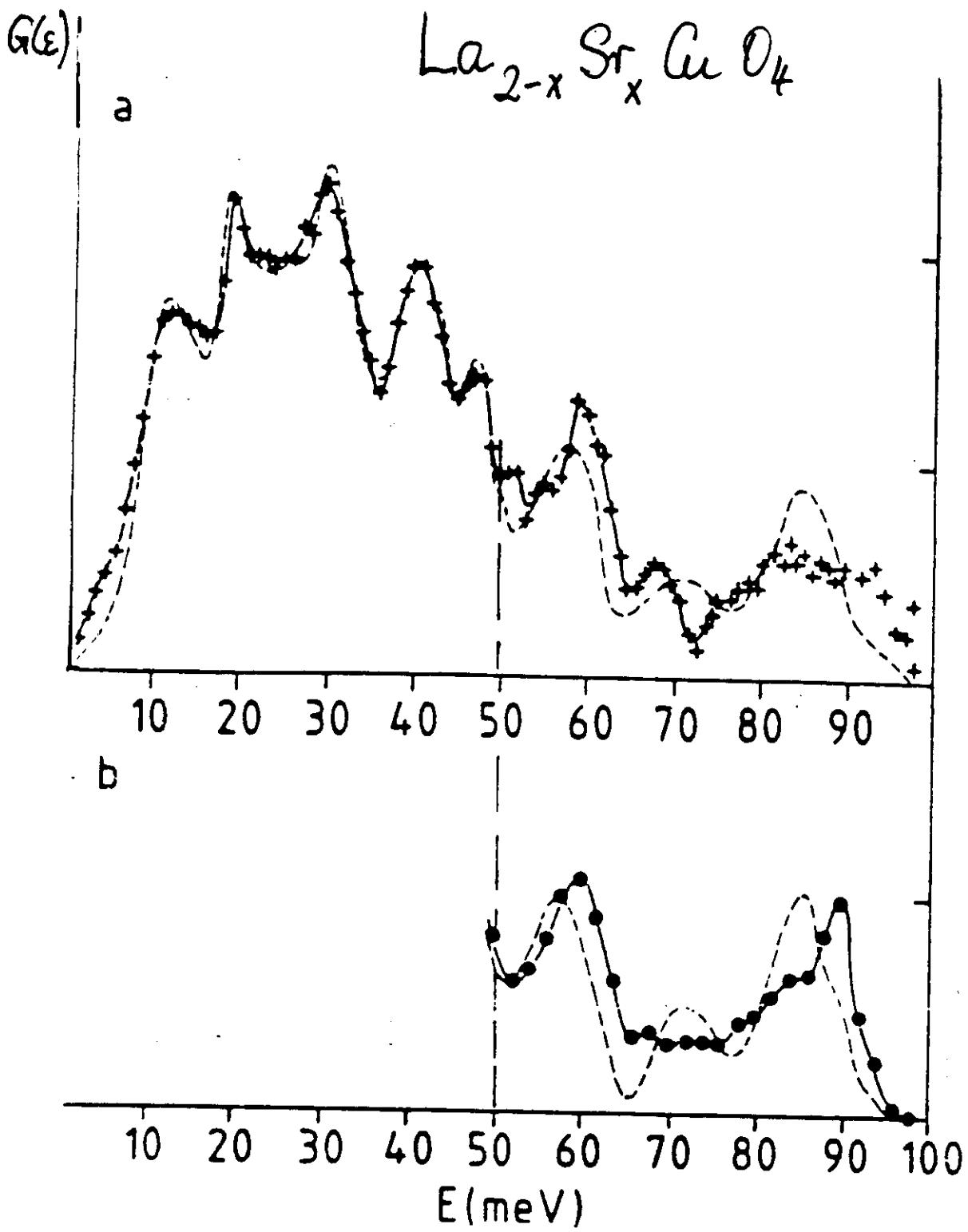
Question: Magnetism disappears by

loss of long range order only (retaining moments + short range order)

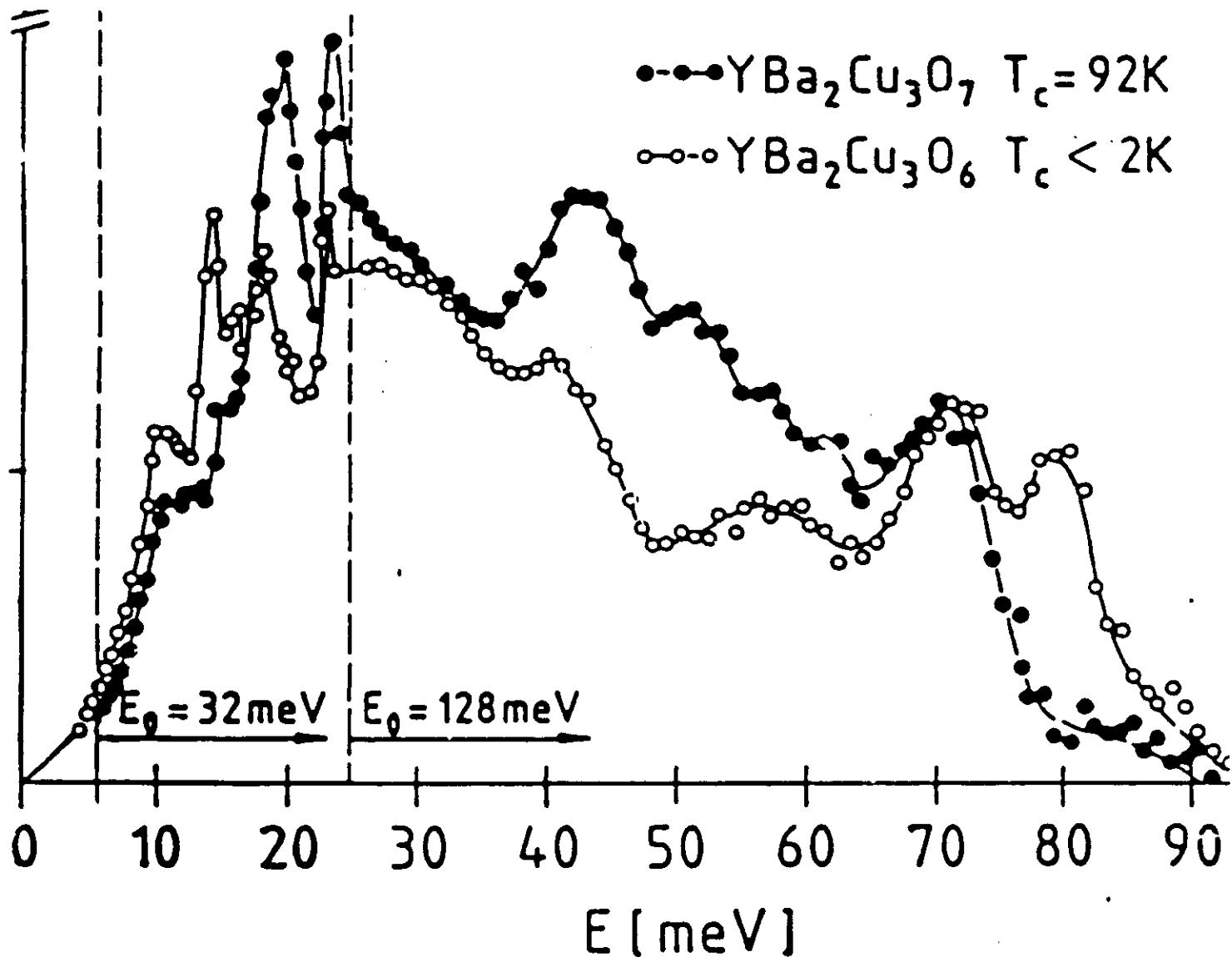
or

- disappearance of local magnetic moments

(To be discussed later).



and b. Generalized phonon density of states  $G(\hbar\omega)$  for  $\text{O}_4$ . a Measurement at 300 K (crosses) in energy gain of the ns. The dashed curve is the result for  $\text{La}_{1.85}\text{Sr}_{0.15}\text{CuO}_4$  from b Measurement at 6 K with  $E_0 = 128 \text{ meV}$  for  $\text{La}_2\text{CuO}_4$ )

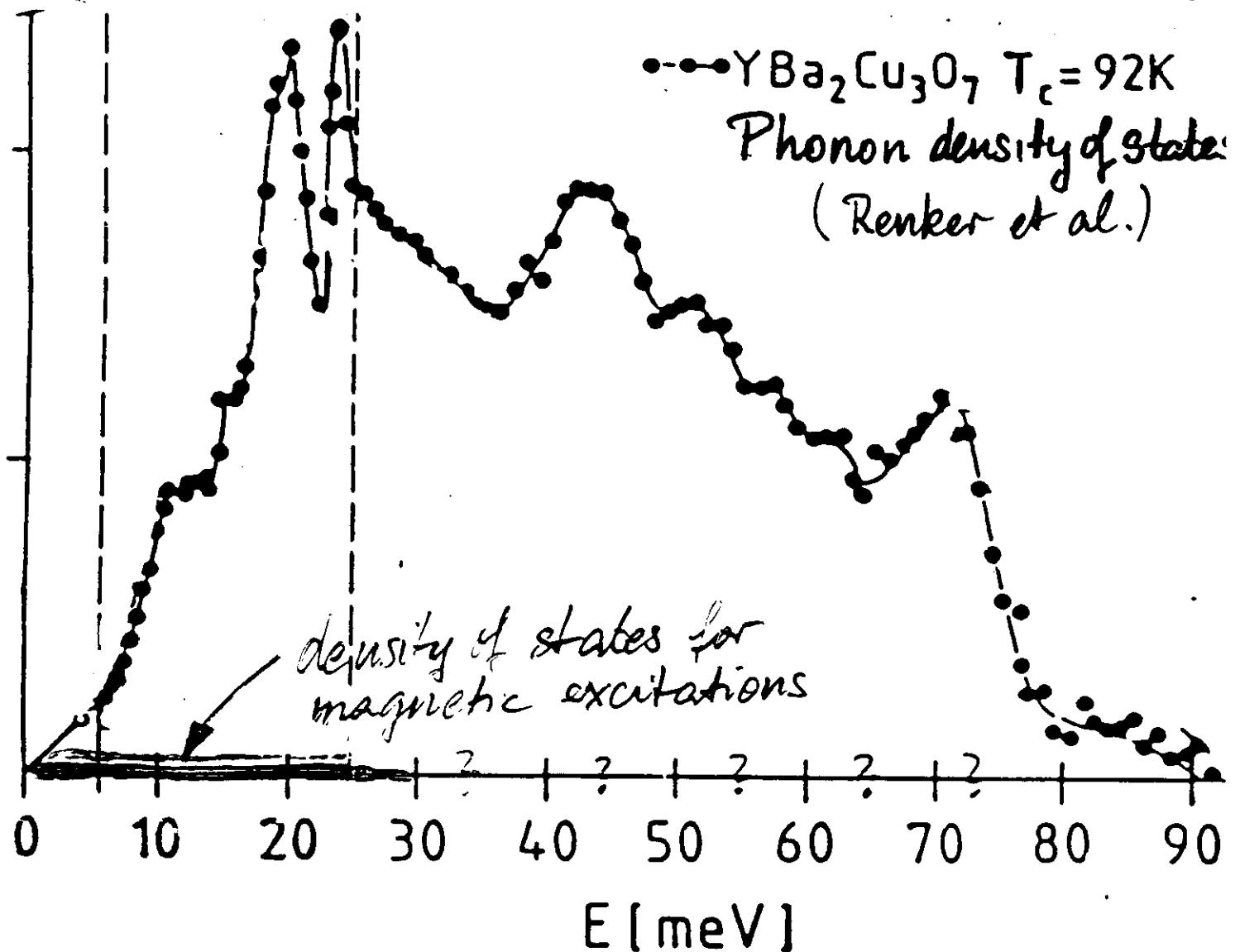


Generalized phonon density of states  $G(t, \omega)$   
 (Renker et al.)

Energy scale for phonons  $\sim \underline{90\text{ meV} \sim 1000\text{ k}_B}$

(Typical for all High  $T_c$  materials)

High energy oxygen vibrations.



Number of magnetic degrees of freedom  
orders of magnitude smaller than lattice degrees  
of freedom in energy range <~25-30 meV.

Purely magnetic signal for general  $\vec{q}$  has to  
be extracted by polarization analysis:  
Measure spin flip intensities for polarization  
in  $\vec{z}, \vec{x}, \vec{y}$  direction ( $\vec{x} \times \vec{y}$  plane = scattering plane)

$$\Rightarrow I_{\text{magn}} \sim 2 I_{\text{SF}}^{(\vec{P} \parallel \vec{z})} - I_{\text{SF}}^{(\vec{P} \parallel \vec{x})} - I_{\text{SF}}^{(\vec{P} \parallel \vec{y})}$$

Total amplitude of magnetic fluctuations  
or

Do magnetic moments survive in  $H \geq T_c$  Supercond.?

Existence of magnetic moments requires

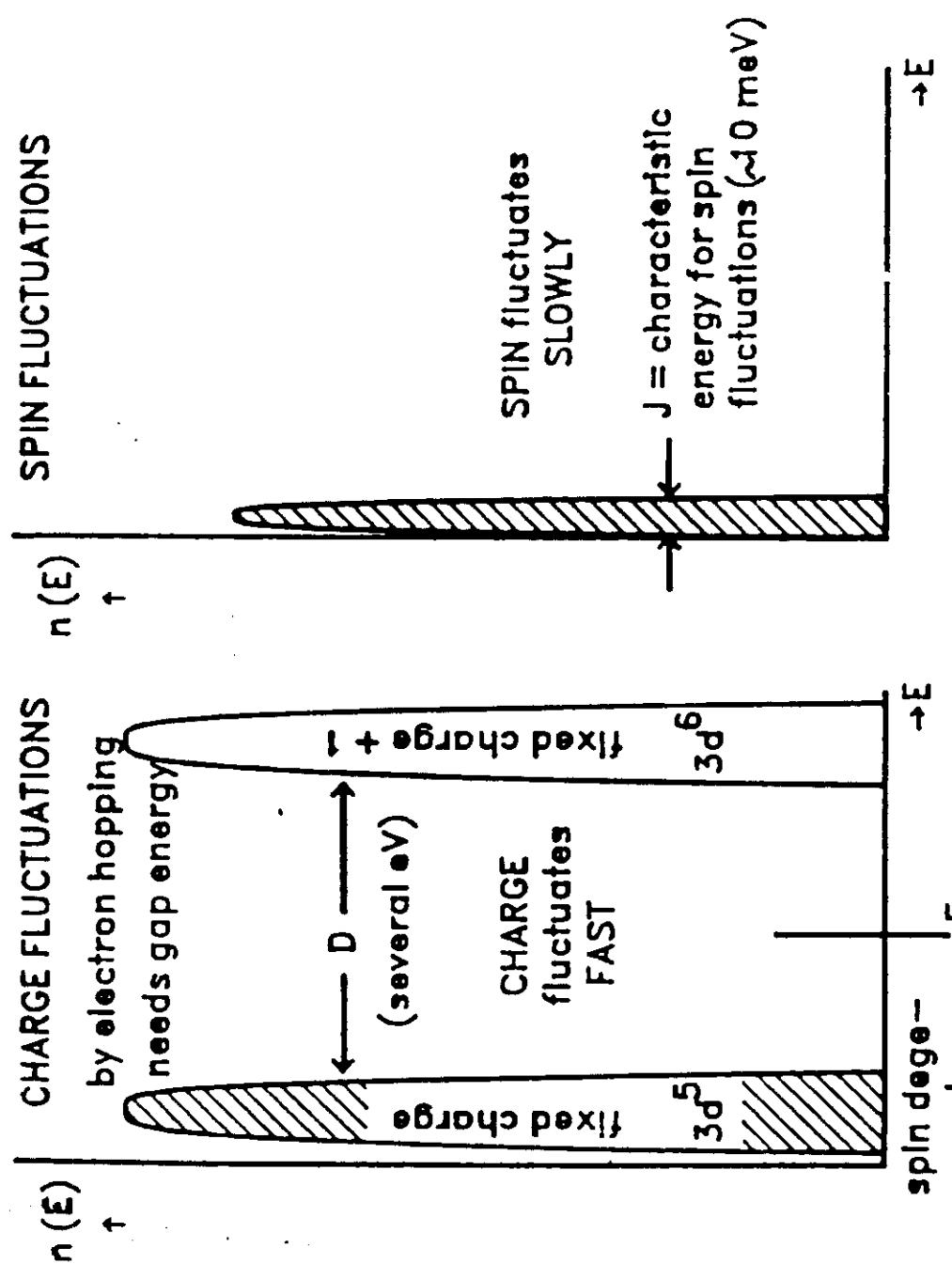
- separation of charge-and spin-excitation spectrum
- charge excitations: high energy,  $\sim eV$
- spin excitations : low energy,  $\sim 10-100 \text{ meV}$

Examples: Transition metal oxides (T14)

Itinerant magnetism (Fe, Ni, Cr) (T15)

Rare earth magnetism (T16)  
 $\cong$  HTS ??

Examples:  
 Transition metal  
 Oxides  
 $\text{MnO}, \text{NiO}, \dots, \text{CuO}$



resulting spin dynamics after averaging process  
 well described with constant spin amplitude and only angular freedom

plitude in magnetic insulators of the Mott type, hopping  
 curs virtually over times  $D^{-1}$  or  $J^{-1}$  respectively (as a

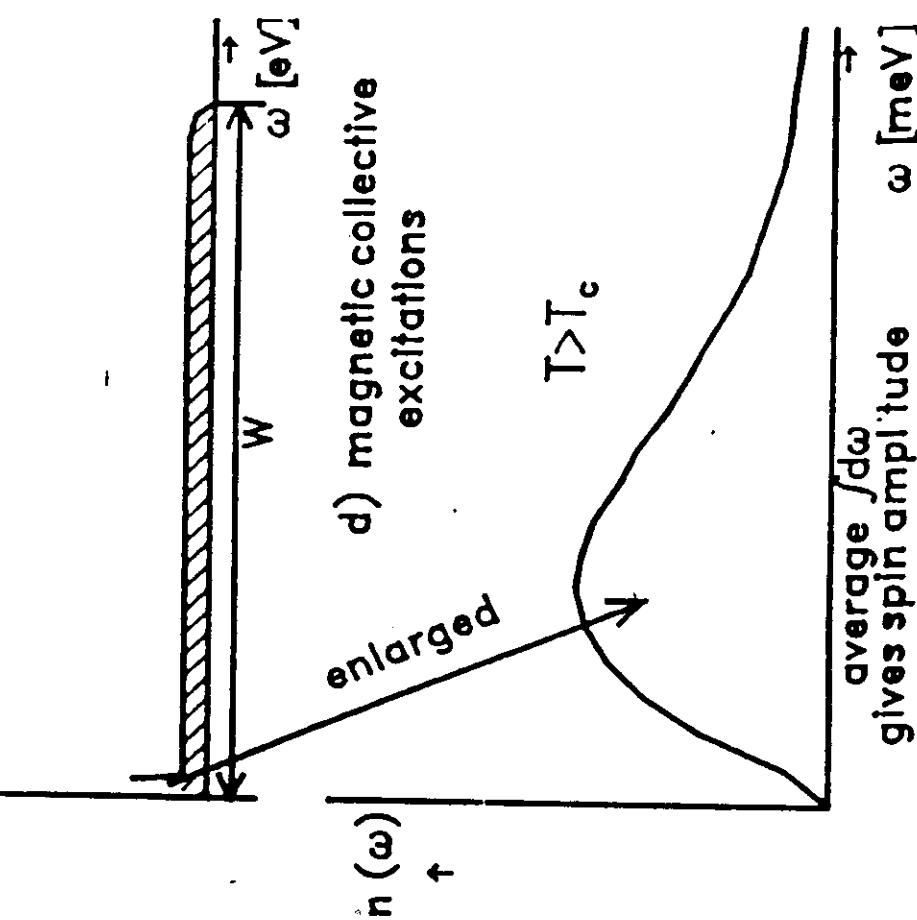
Examples:  
Transition metals

Fe, Ni (ferro)  
Cr (antiferromagnetic)

Amplitude fluctuation  
possible!

b) single particle charge  
excitation spectrum

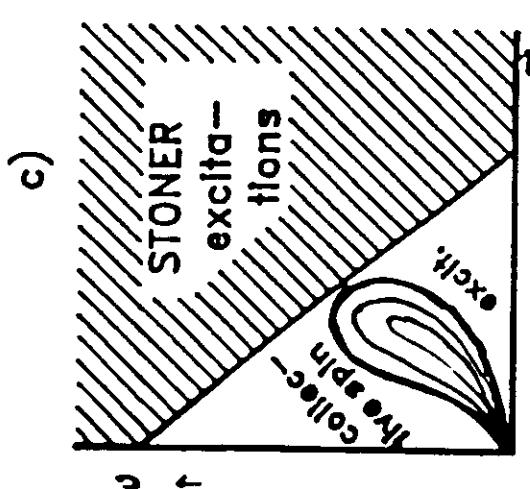
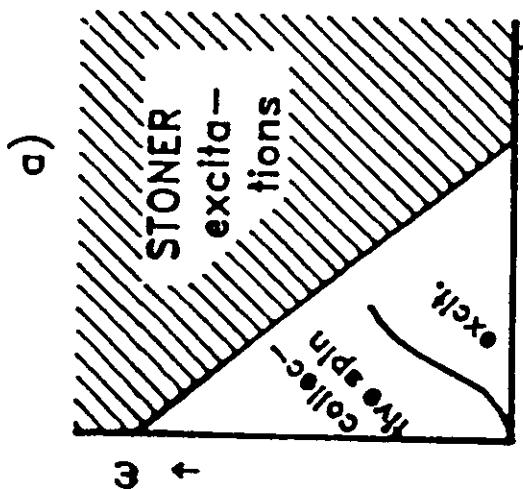
$T < T_c$



c) magnetic collective excitations

$T > T_c$

average  $\int d\omega$   
gives spin amplitude  $\omega$  [meV]



Definition of amplitude in itinerant systems. Here already  
charge excitations are possible in the conduction band even

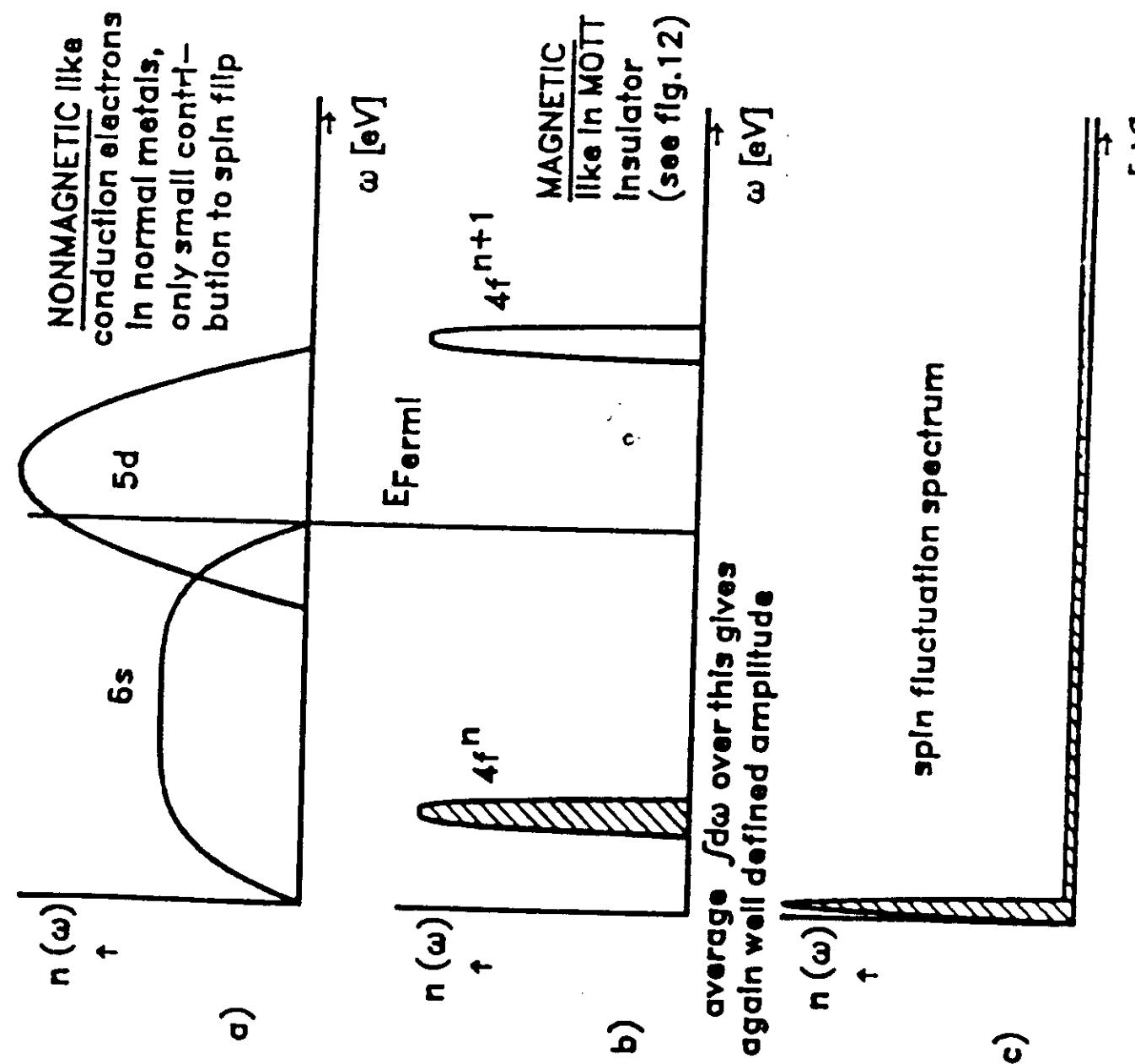
Examples:

Rare earth metals

Speculations: HTS

Oxygen electrons  $\equiv$  conduction moments

Cu - electrons  $\equiv$  magnetic moments



$$S(q, \omega) \sim \sum_{ij} \int dt e^{i\omega t} e^{i\vec{q}(\vec{R}_i - \vec{R}_j)} \langle \vec{S}_i \cdot \vec{S}_j(t) \rangle$$

Sum rule:

$$\int_{-\infty}^{+\infty} d\omega \sum_q S(q, \omega) \sim N \langle \vec{S}^2 \rangle = N S(S+1)$$

"Magnetic moments exist" (= are useful concept)

if  $\int_{-\Omega}^{+\Omega} d\omega \sum_q S(q, \omega)$

is sizable fraction of total intensity  $N S(S+1)$

for  $\Omega \ll$  charge excitation energy  $\sim eV$

Experimentally accessible  $\omega$  integration  
 $\omega \lesssim 30 \text{ meV}$

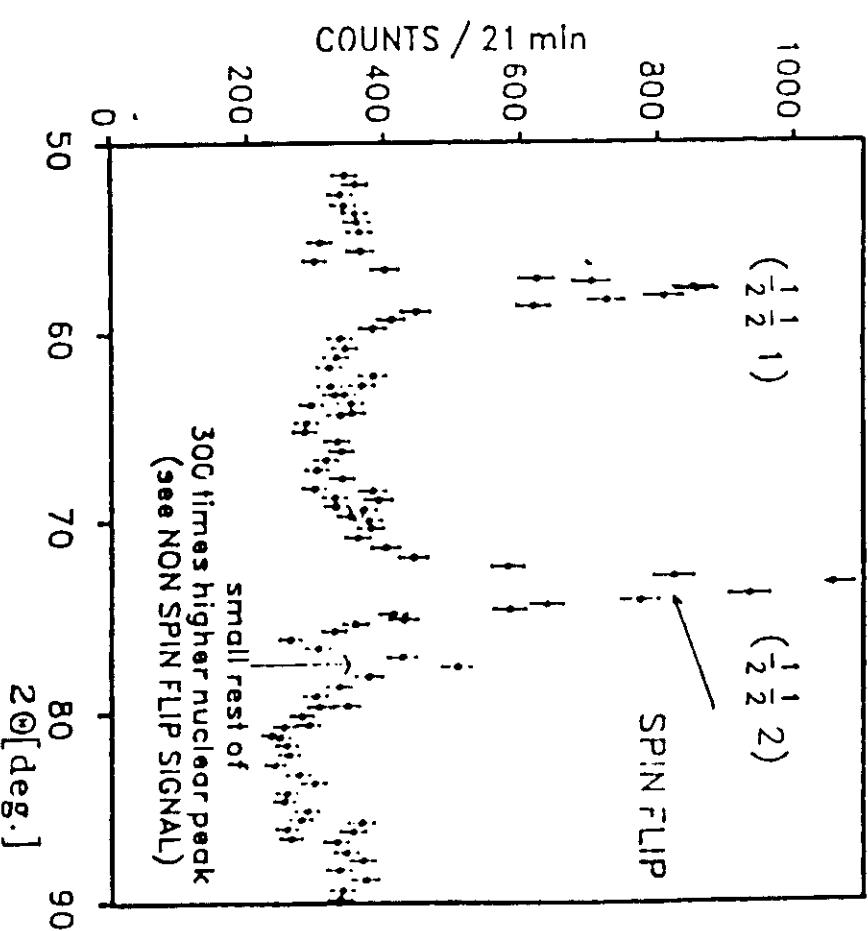
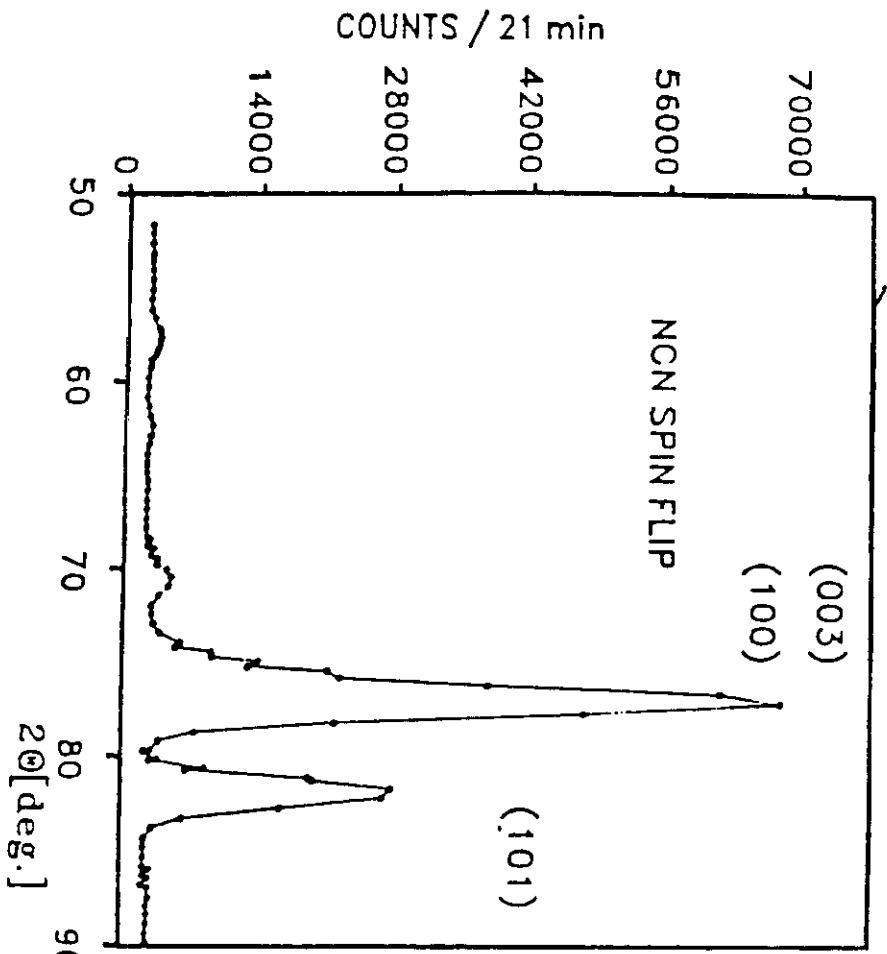
Magnetic intensity is weak compared to phonon intensity.

→ Polarized neutrons + polarization analysis necessary for quantitative measurements.

$\gamma \text{Ba}_2 \text{Cu}_3 \text{O}_{\sim 6.1}$ , (Sample from Philips Research Lab.  
Aachen.)

low  $T$ , antiferromagnetic order

ordered moment  $\sim 0.6 - 0.7 \mu_B$



# Energy integrated magnetic response (angle averaged)

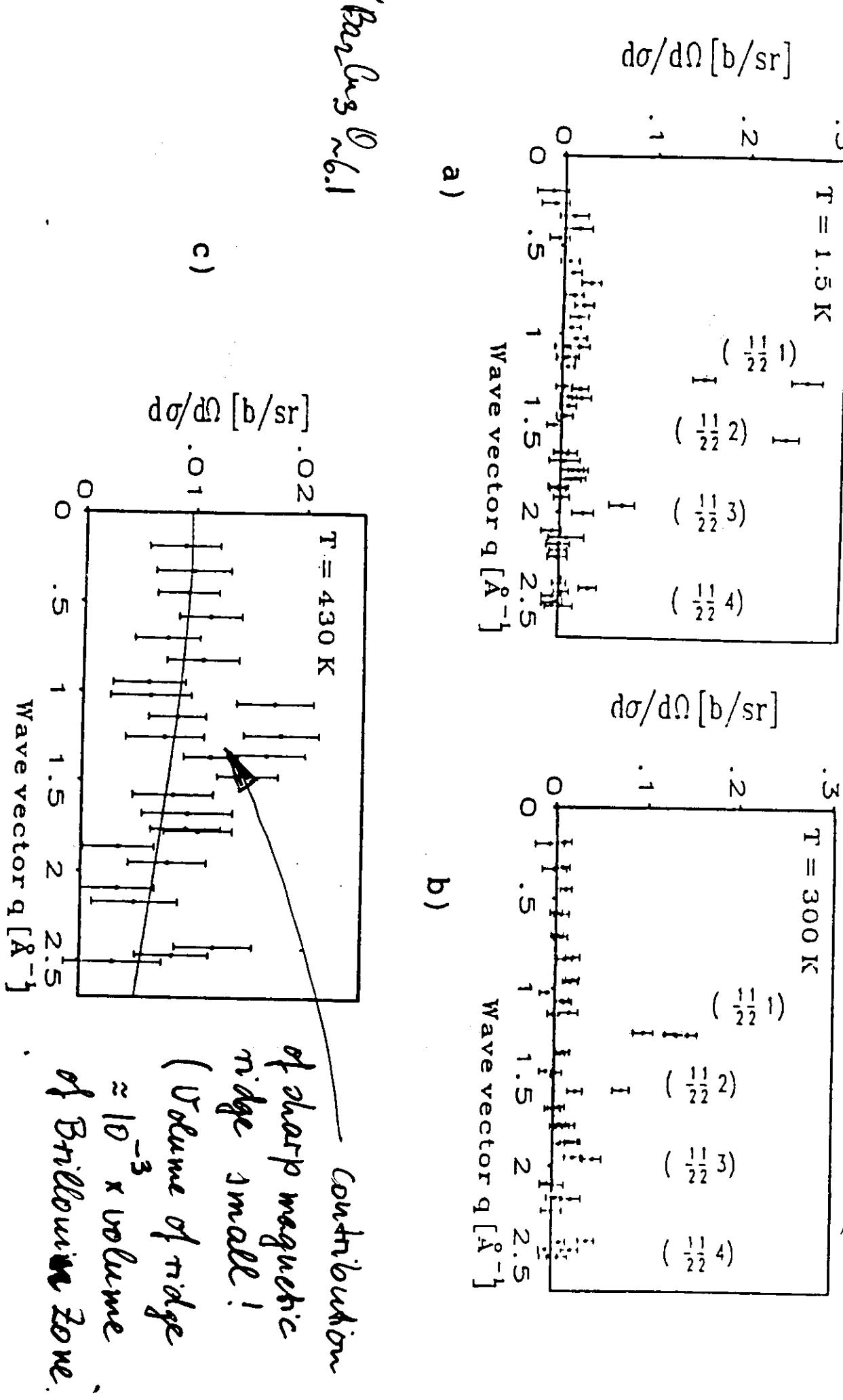
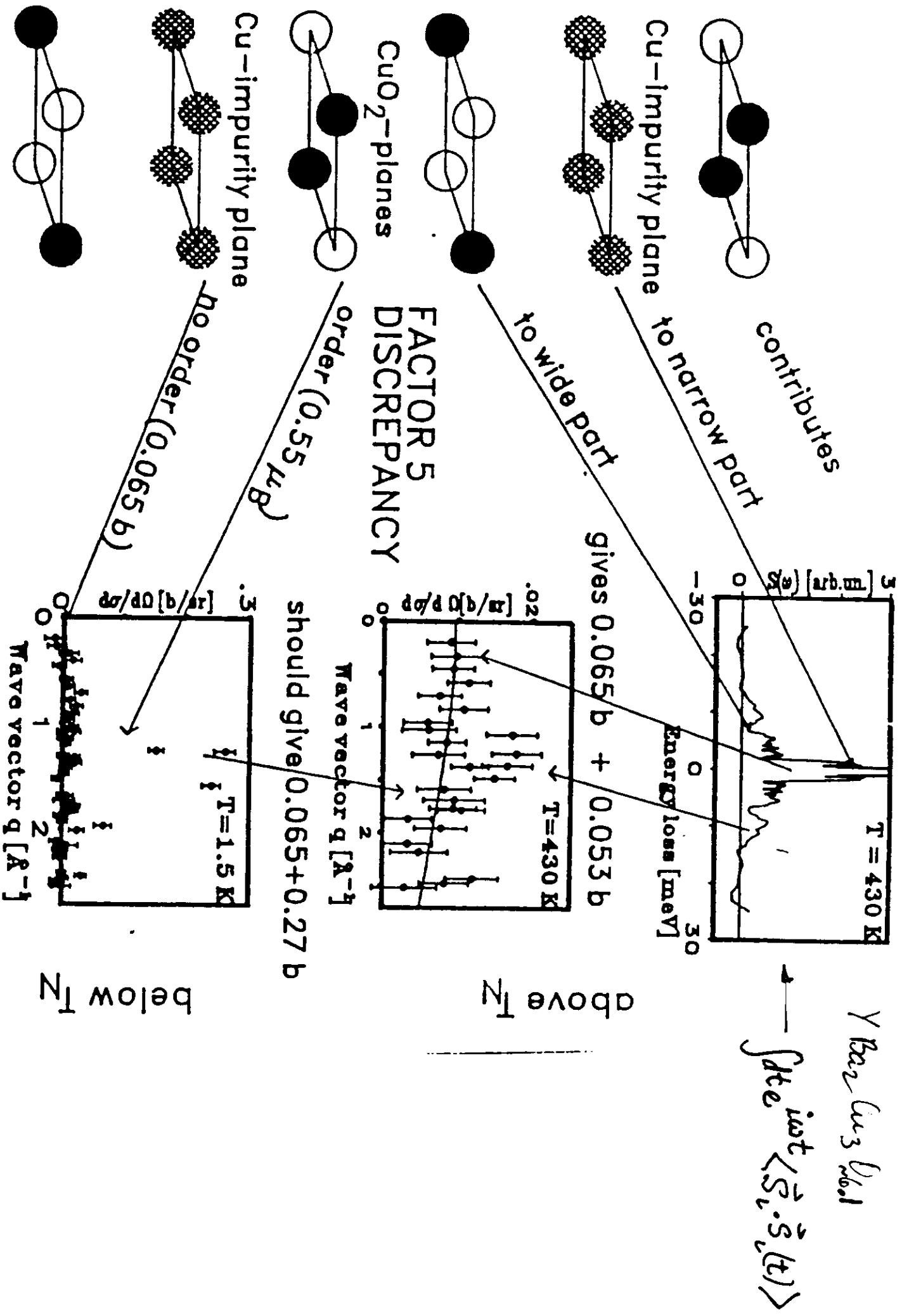


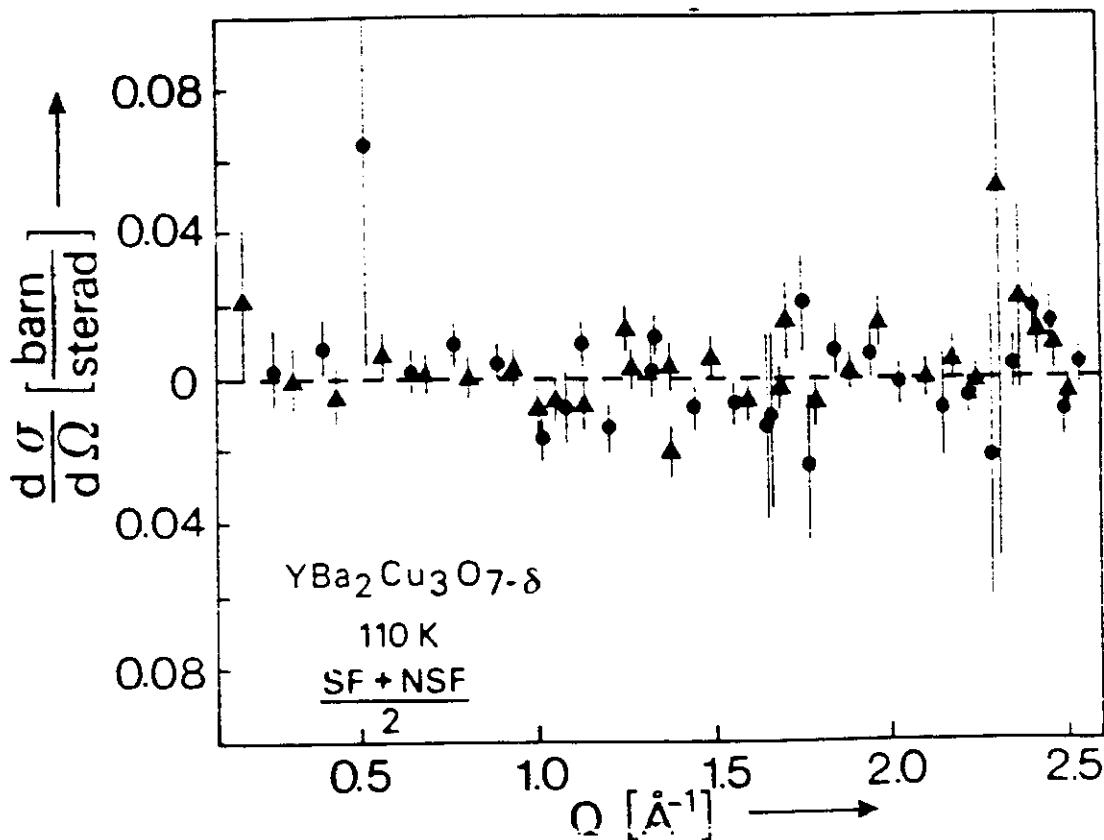
Fig. 5 Paramagnetic cross section in b/sr measured between the



Results:  $\text{YBa}_2\text{Cu}_3\text{O}_{6.9}$

$I_{\text{exp}} = 0$  : No magnetism!

Error bar?



Compare intensity of Cu-spins  $\frac{1}{2}$  in energy window  $\Omega$ . (What percentage of Cu-atoms can carry a spin within the energy window  $\Omega$ ?)

$T = 110 \text{ K} : N_{\text{Cu}}(s=\frac{1}{2}; \Omega=12 \text{ meV}) < 1\%$ .

$T = 300 \text{ K} : N_{\text{Cu}}(s=\frac{1}{2}; \Omega=25 \text{ meV}) < 2.5\%$

total intensity of magnetic fluctuations  
in energy range  $\hbar\omega < 30 \text{ meV}$   
is extremely small in  $\text{YBa}_2\text{Cu}_3\text{O}_{6.92}$ !  
 $I(< 30 \text{ meV}) < 2.5\% \text{ of } NS(S+1) \text{ for } S=\frac{1}{2}$ .

Higher energy excitations? Yes, because of  
sum rule.

But: For  $\hbar\omega_{\text{magn}} \sim \hbar\omega_{\text{charge}} \sim \text{eV}$

"magnetic moments" no useful concept.

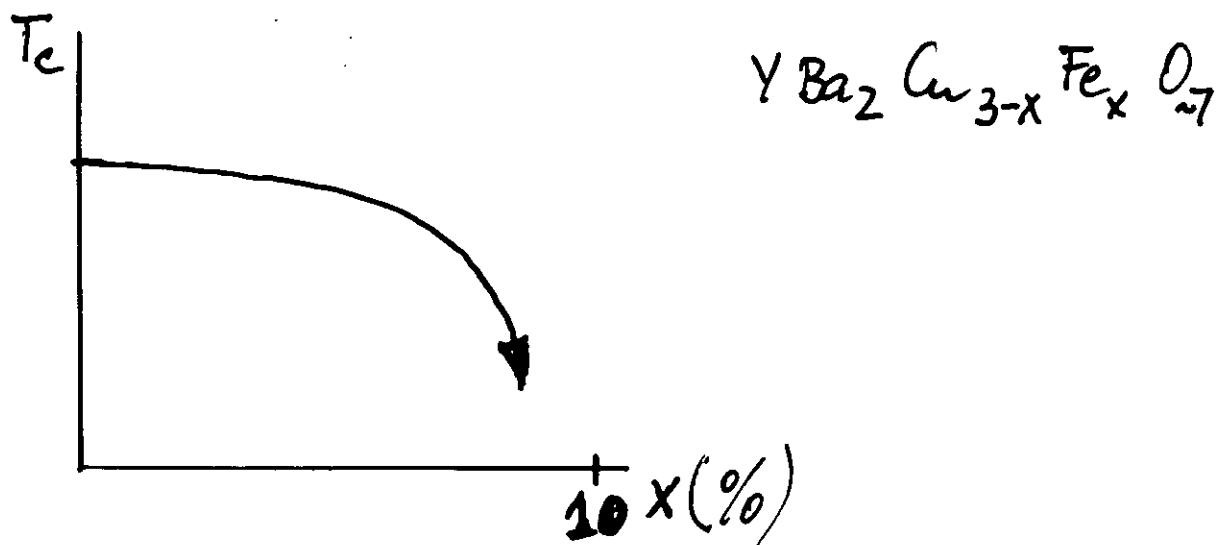
"No magnetic moments" but  
electrons with charge and spin.

$\text{CuO}_2$  planes are magnetic for  $\text{YBa}_2\text{Cu}_3\text{O}_6$ ,  
but have no well defined magnetic  
moments for superconducting  $\text{YBa}_2\text{Cu}_3\text{O}_7$

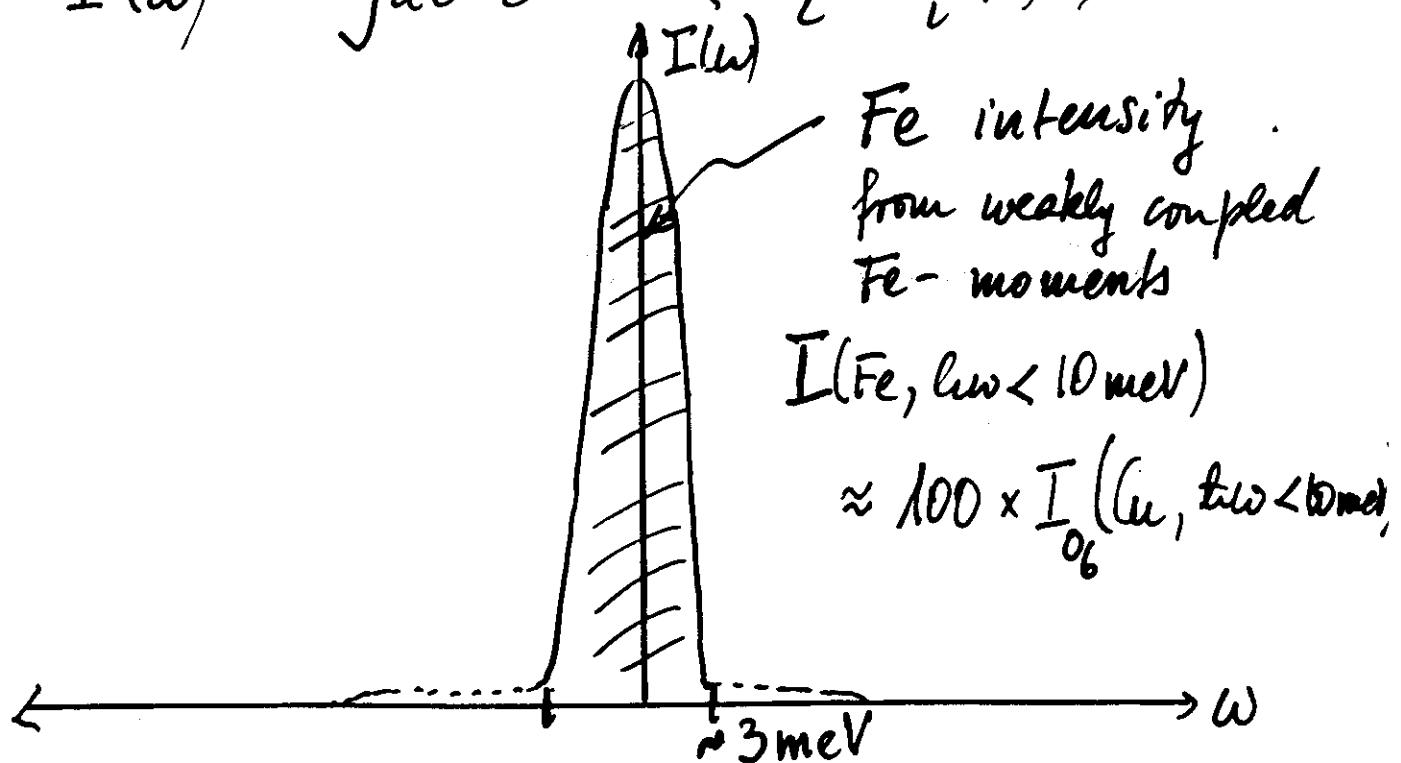
Fe has "large" magnetic moment ( $S=2$ , or  $5/2$ ).

Total unpaired  $\sim S(S+1)$

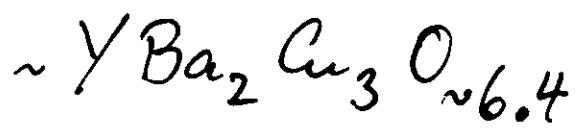
$$I_{\text{tot}}(\text{Fe}) \gg I_{\text{tot}}(\text{Cu})$$



$$I(\omega) = \int dt e^{i\omega t} \langle \vec{S}_i \cdot \vec{S}_i(t) \rangle$$



Similar scenarios for Cu-spins in  
intermediate concentrations



Cu-moments in chains are "weakly  
coupled impurity moments".

Total intensity of magnetic fluctuations  
in chains (impurity) for  $k_B T < 10 \text{ meV}$   
is large compared to  
total intensity of  $\text{CuO}_2$  planes!

$\text{YBa}_2\text{Cu}_3\text{O}_{6.11}$   
D2B

