



INTERNATIONAL ATOMIC ENERGY AGENCY  
UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION  
**INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS**  
I.C.T.P., P.O. BOX 586, 34100 TRIESTE, ITALY, CABLE: CENTRATOM TRIESTE



UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION



**INTERNATIONAL CENTRE FOR SCIENCE AND HIGH TECHNOLOGY**

c/o INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS 34100 TRIESTE (ITALY) VIA GRIGNANO, 9 (ADRIATICO PALACE) P.O. BOX 586 TELEPHONE (040-224972) TELEFAX (040-224973) TELEX 96449 ICFI I

SMR/543 - 17

EXPERIMENTAL WORKSHOP ON  
HIGH TEMPERATURE SUPERCONDUCTORS AND RELATED MATERIALS  
(BASIC ACTIVITIES)

(11 February - 1 March 1991)

---

" HTS Materials and Neutron Scattering "

presented by:

H. CAPELLMANN  
Rheinisch-Westfälische Technische Hochschule  
Institut für Theoretische Physik  
Sommerfeldstraße  
D-5100 Aachen  
Germany

---

These are preliminary lecture notes, intended only for distribution to participants.



# HIS materials and Neutron Scattering

Second lecture:

- Magnetic excitations (ILL, BNL, ...)
- Phonon anomalies (Paris-Karlsruhe)

Materials :  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$

$\text{YBa}_2\text{Cu}_3\text{O}_{6+\delta}$

T5, T6, T9

Magnetic fluctuations of quasi 2 dimensional  
Quantum - Anti - Ferro - Magnets - "QAFM".

Experimental:  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ ,  $\text{YBa}_2\text{Cu}_3\text{O}_{6+\delta}$

Theory : Spin  $\frac{1}{2}$  Heisenberg Model

$$H = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

Discuss: Correlation function, susceptibility  $\chi$

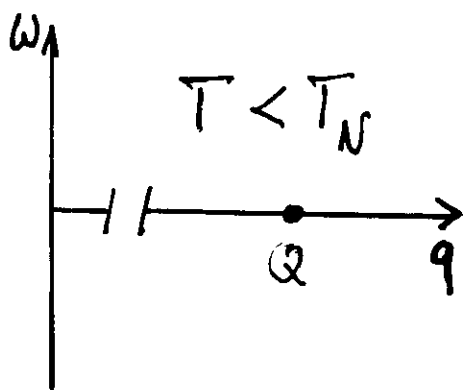
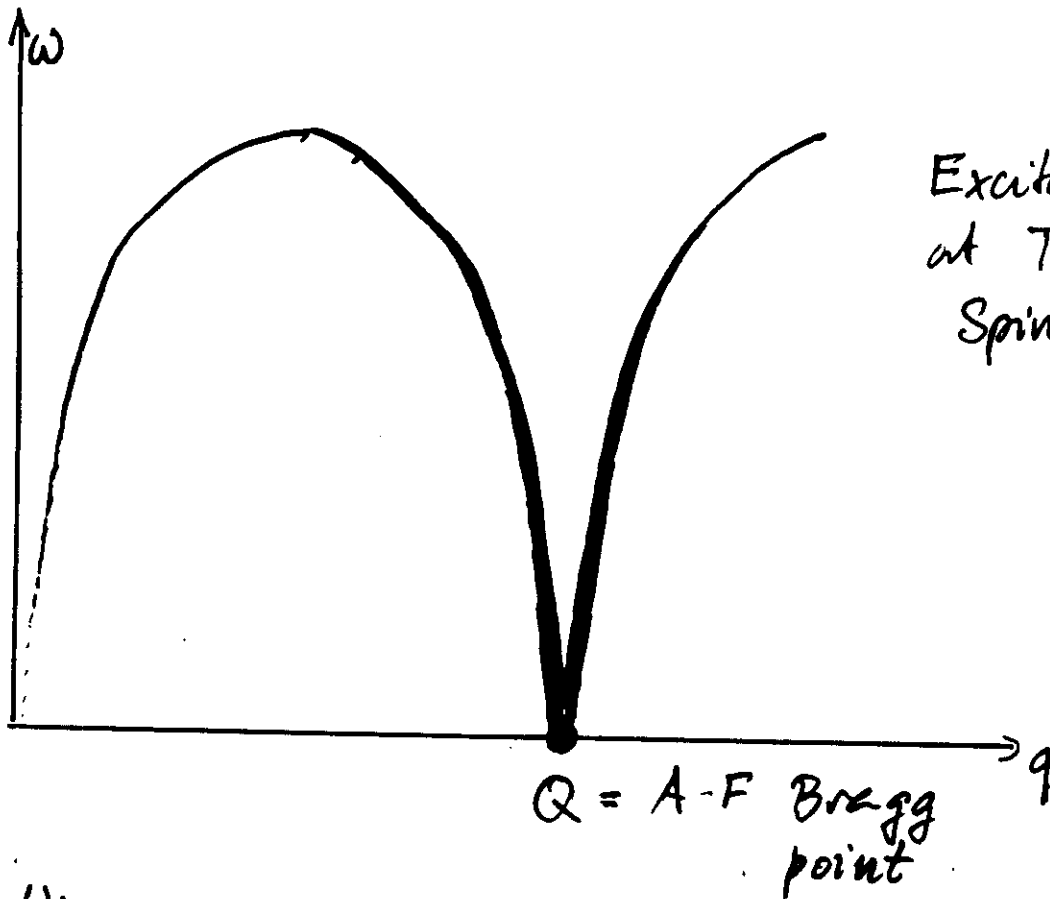
$$F(\vec{q}, \omega) = \int dt e^{i\omega t} \sum_{ij} e^{i\vec{q}(\vec{R}_i - \vec{R}_j)} \langle \vec{S}_i \cdot \vec{S}_j(t) \rangle$$

$$F(\vec{q}, \omega) = \frac{1}{1 - e^{-\hbar\omega/kT}} \text{Im} \chi(\vec{q}, \omega) \quad ; \quad \omega \neq 0.$$

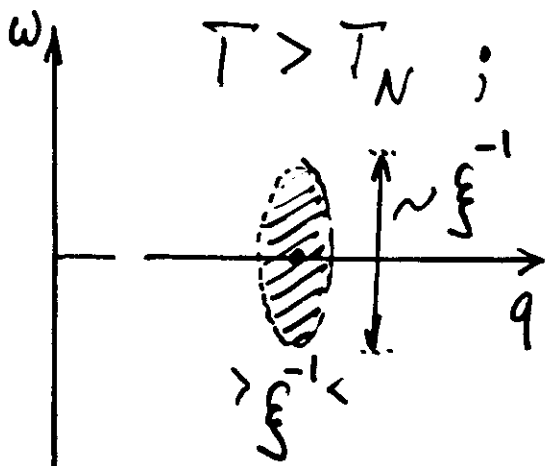
$$\text{Sum rule: } \frac{1}{N} \sum_{\vec{q}} \int d\omega F(\vec{q}, \omega) = \langle \vec{S}_i^2 \rangle = S(S+1)$$

if stable  
moments exist.

Heisenberg model,  $T=0$ ;  $\langle S_L \rangle = D e^{iQ \cdot R_L} \cdot \vec{e}_z$  153

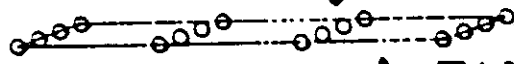
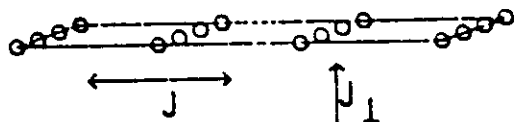
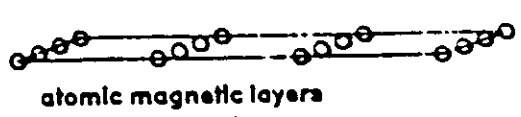


Bragg-peak at  $q=Q$   
 Bragg-intensity macroscopic  
 $I_B \sim \mathcal{O}(N)$

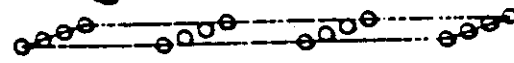


Bragg-intensity is smeared out over region of width  $\sim \xi^{-1}$  in  $q$   
 $\sim \sqrt{TJ} \cdot \xi^{-1}$  in  $\omega$

$\xi = \text{correlation length}$



$f_{\parallel} \sim a \exp\{\alpha J/T\}$



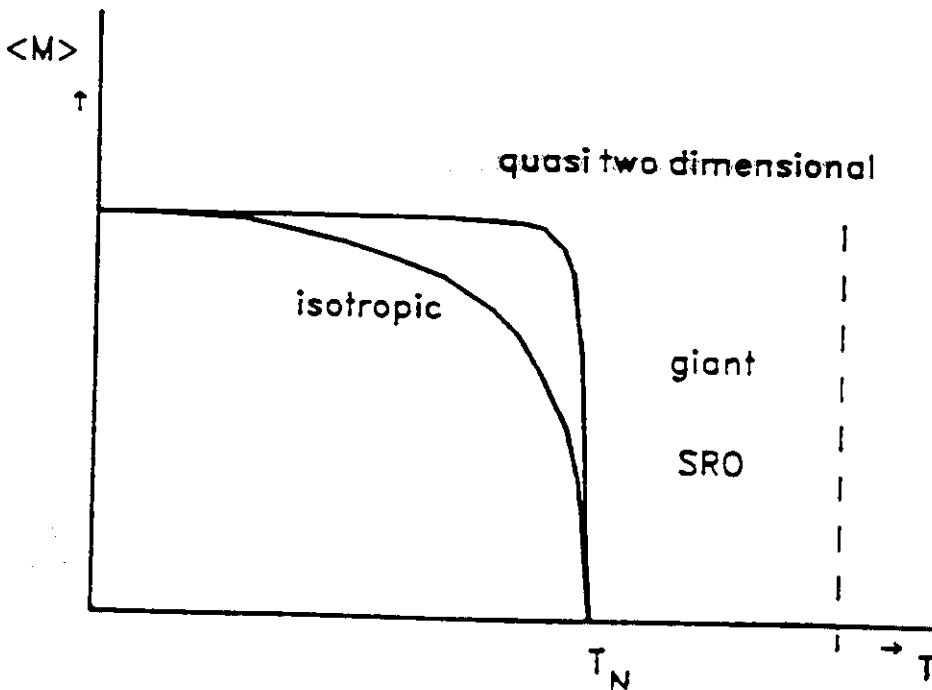
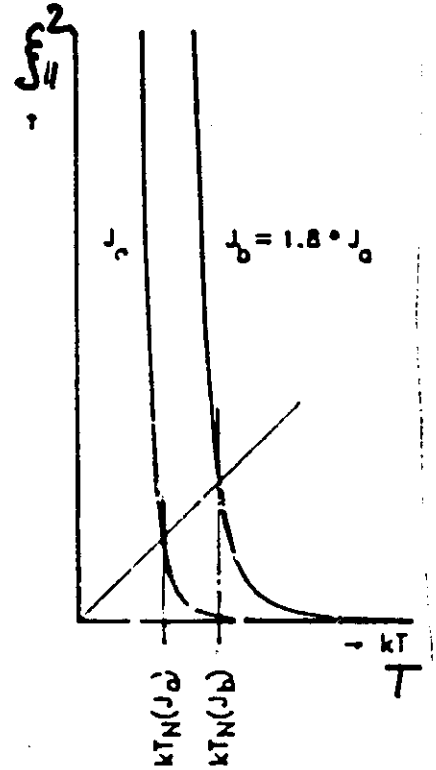
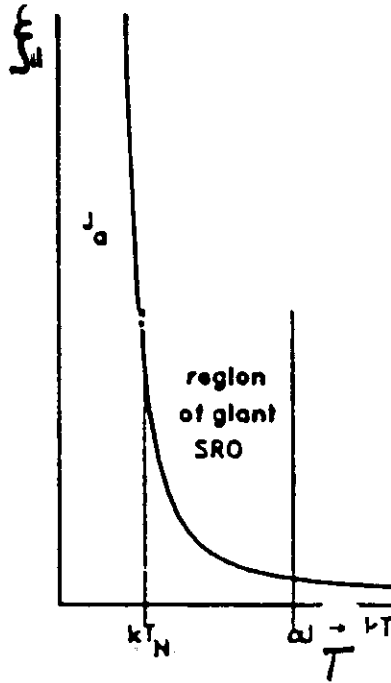
$J_{\perp}/J_{\parallel} \approx 10^{-5}$

$J_{\parallel} \equiv J \approx 0.1 eV \sim 1000 K$

3d - order

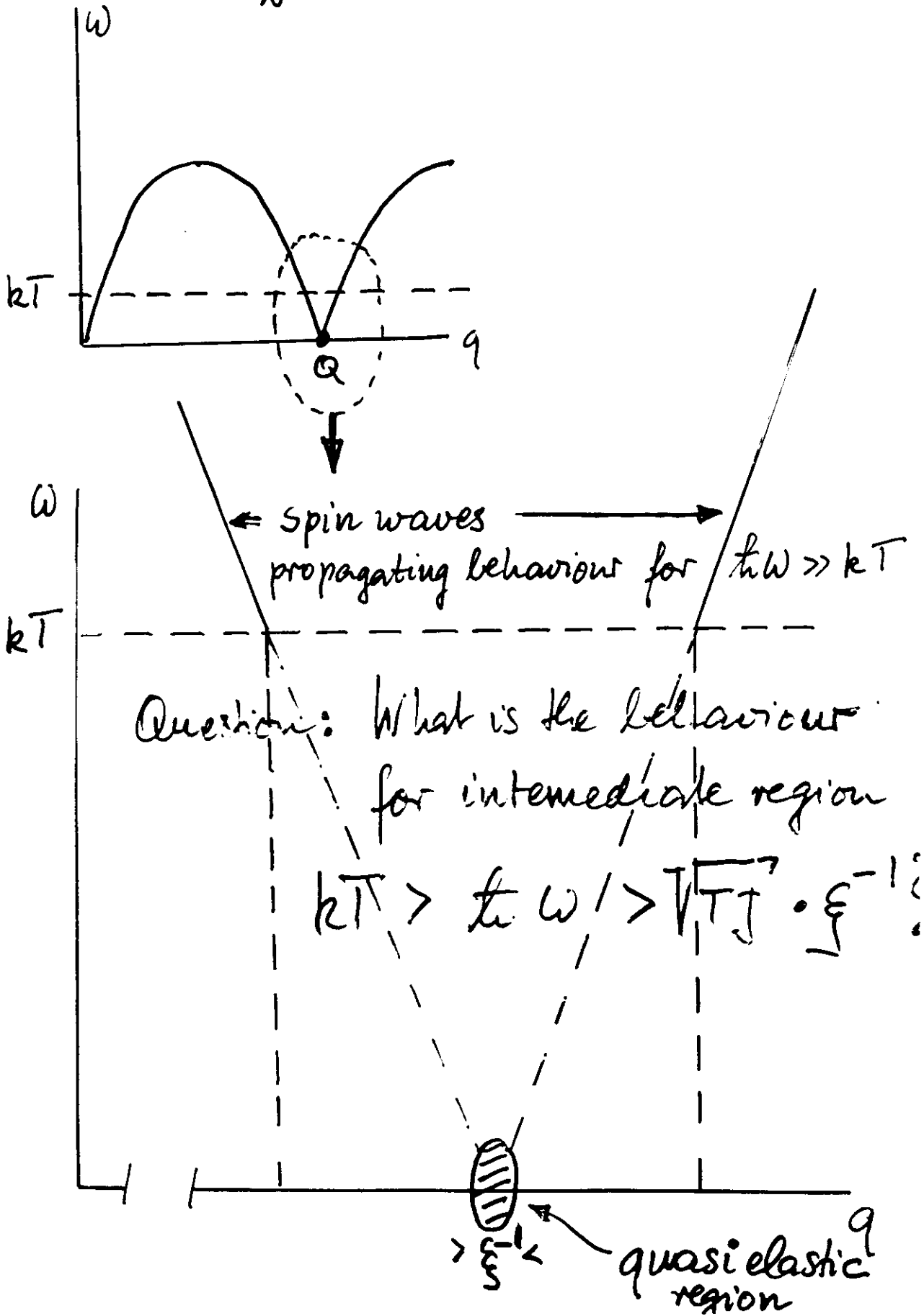
at:  $T_N \approx 300 K$

$kT_N \sim f_{\parallel}^2 \cdot J_{\perp}$

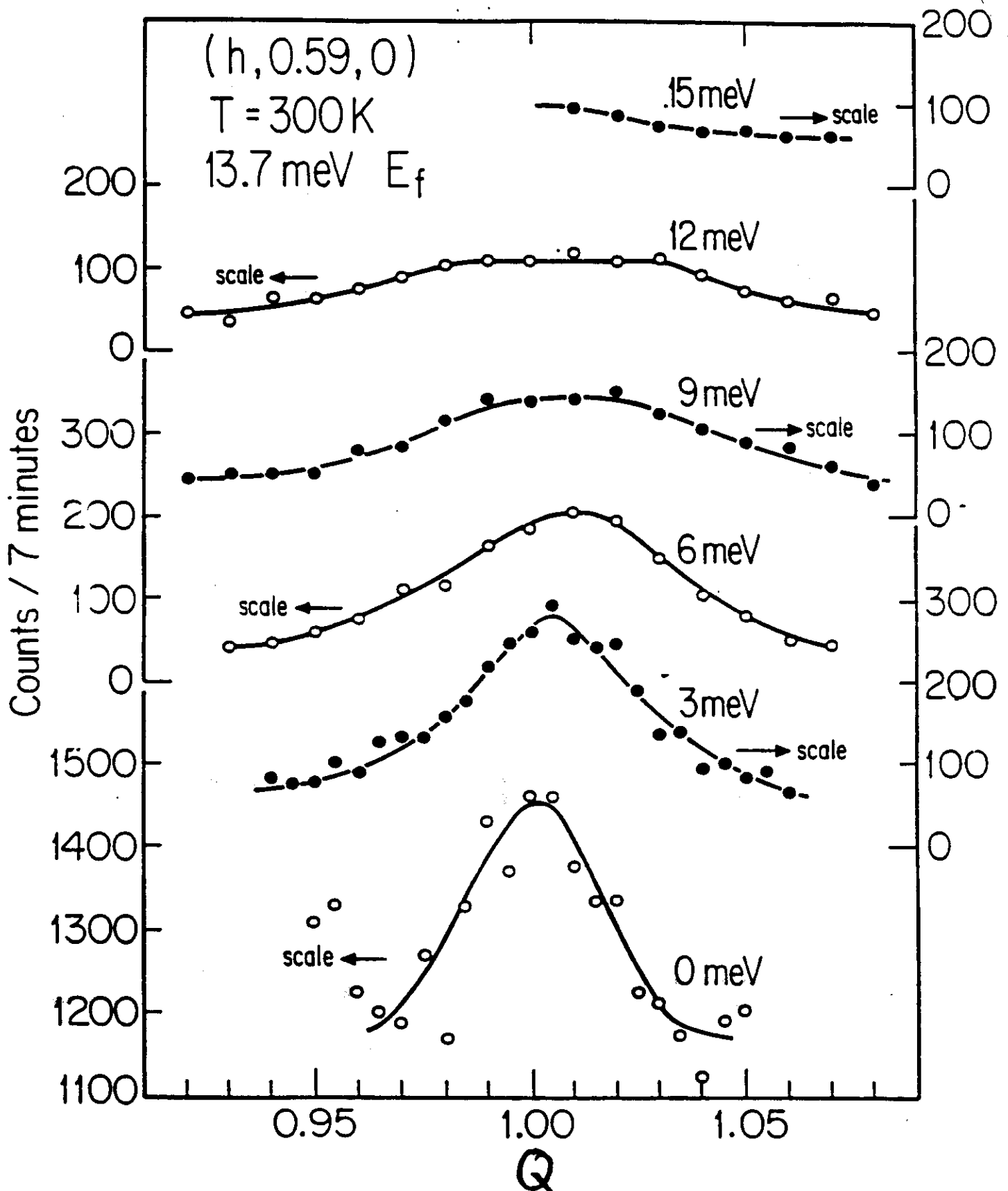


# spectrum at finite $T$

$$T_N < T \ll J$$



$\text{La}_2\text{CuO}_4$ , Result from BNL, Shirane et al. 156



Finite intensity in extremely narrow  $q$ -region,  
→ very large correlation length  $\xi \sim 200 \text{ \AA}$



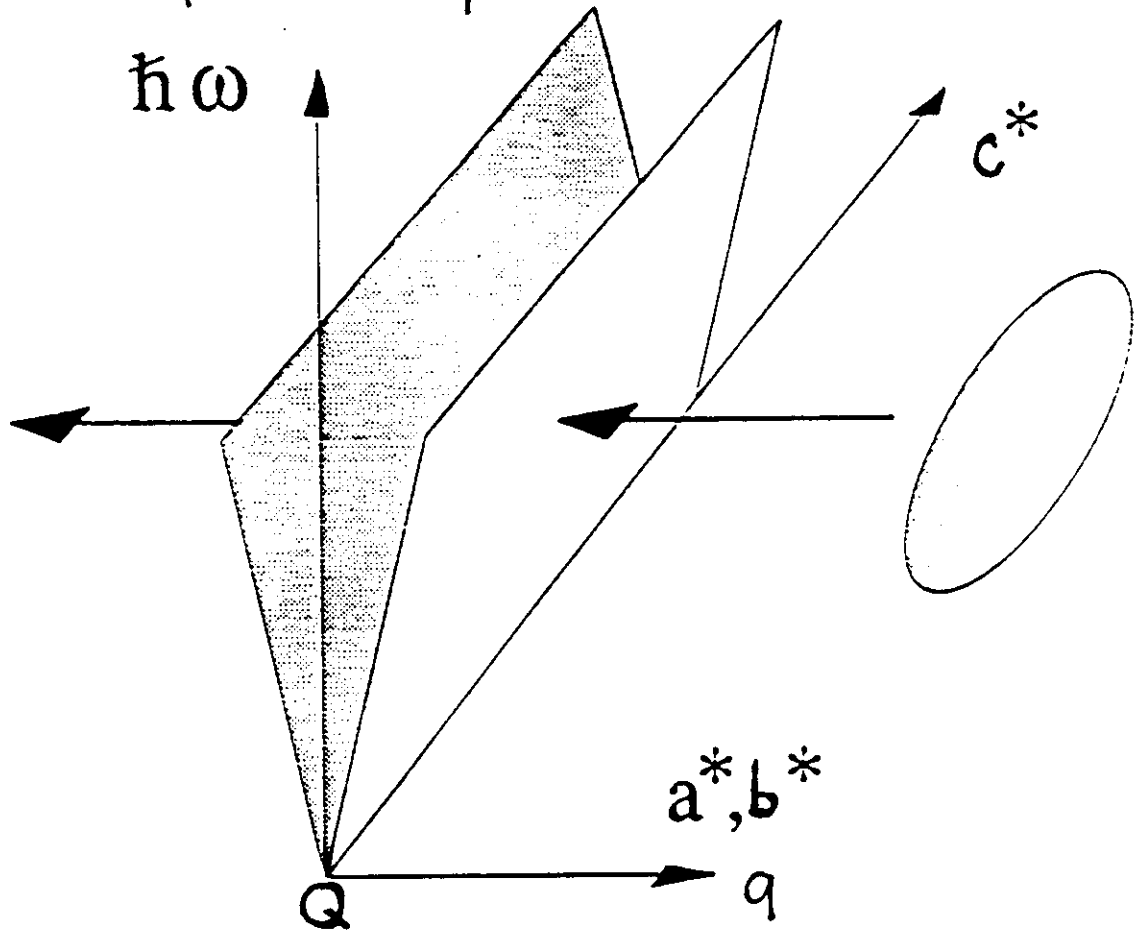
Interpretation: Spin waves for  $q > \bar{f}^{-1}$  E

$$\hbar \omega_{\vec{q}} = c |\vec{q}_{\parallel}| \quad \text{independent of } q_{\perp}.$$

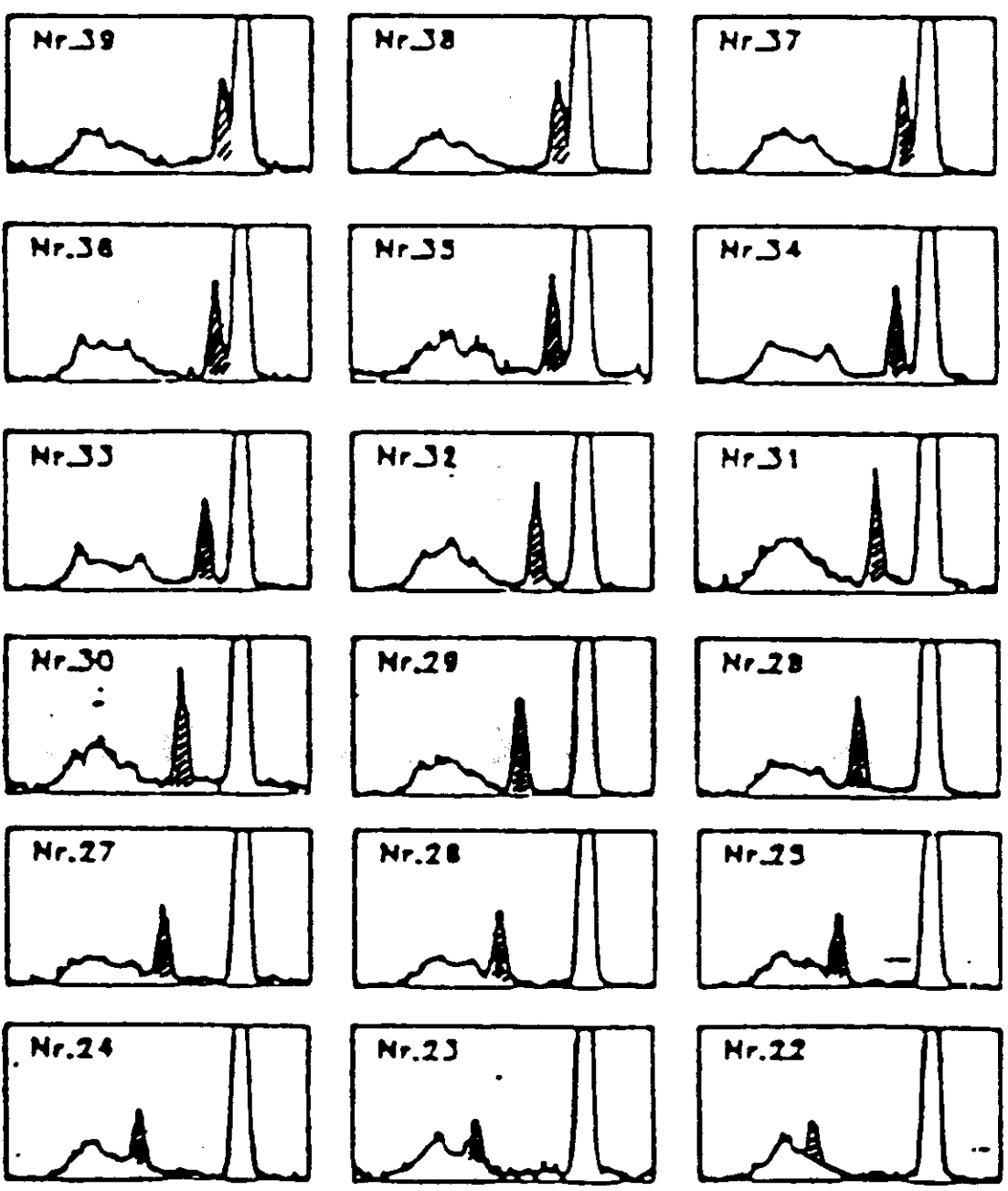
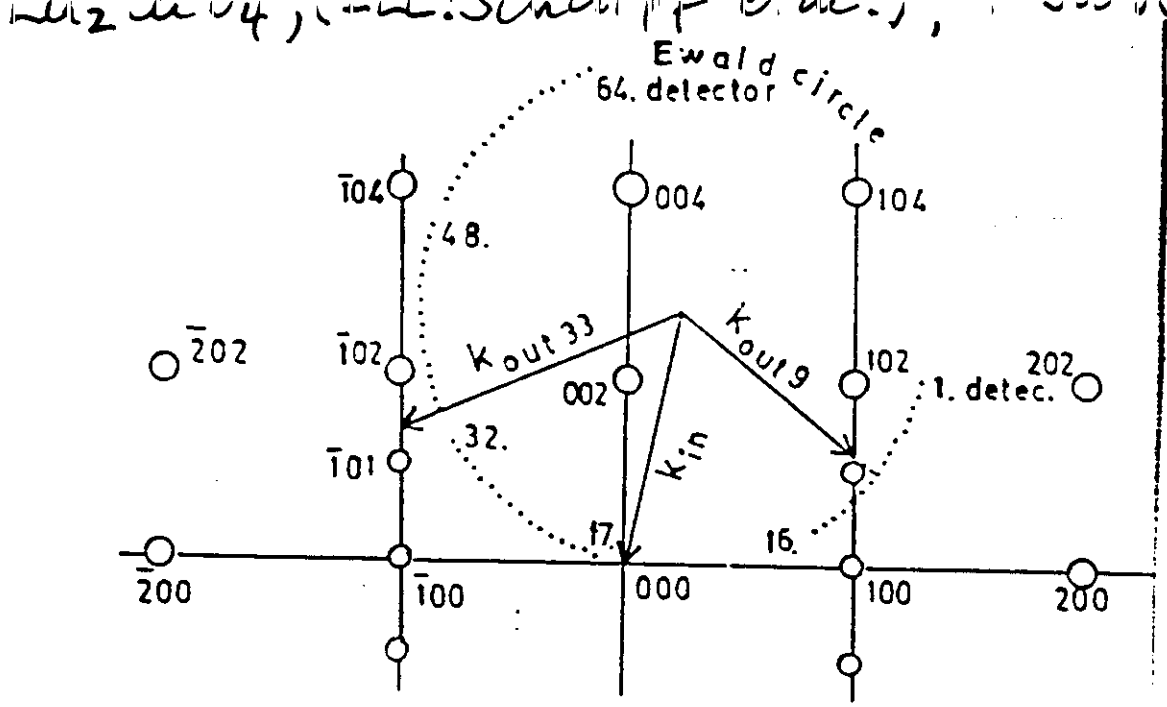
$$\vec{q} = (q_a, q_b, q_c) = (\vec{q}_{\parallel}, q_c)$$

due to negligible coupling  $J_{\perp}$ .

One experimental peak due to resolution.

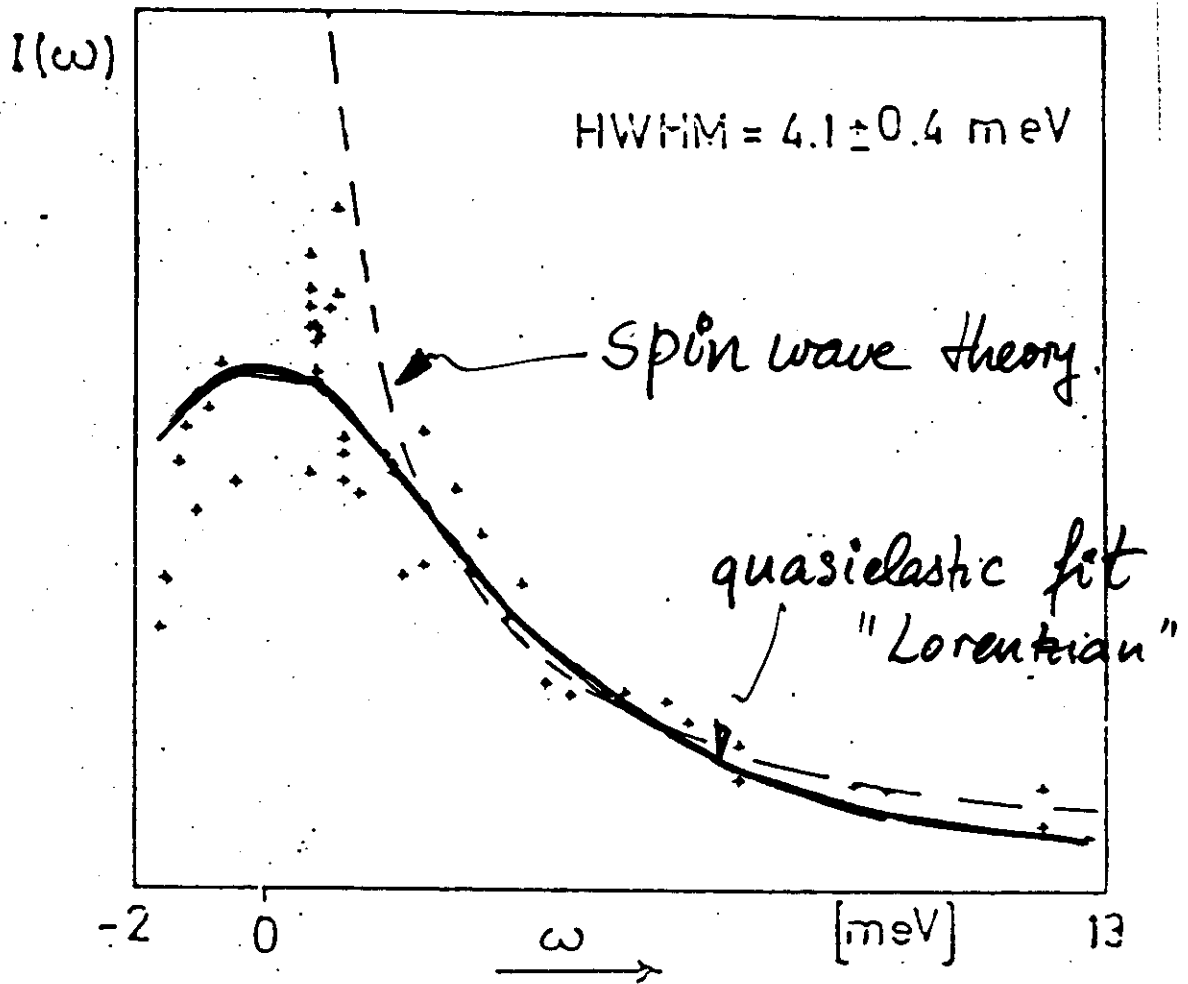


Problems: Where is the quasidlastic peak?



$\text{La}_2\text{CuO}_4$ , (ILL: Schürpf et al.),  $T=300\text{K}$

LS



Theory:  $H = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$ ;  $S = \frac{1}{2}$

$T = 0$ ; R.P.A.

$$\chi(\vec{q}, \omega) = \frac{D}{4N} \sqrt{\frac{E_1}{E_2}} \left( \frac{1}{\omega - \sqrt{E_1 \cdot E_2} + i\epsilon} - \frac{1}{\omega + \sqrt{E_1 \cdot E_2} + i\epsilon} \right) \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

where  $D = |\langle S_{Lz} \rangle| \approx 0.36$ ;  $\Rightarrow M \approx 0.72 \mu_B$   
for 2d square lattice

$$E_1(q) = 2D (J_Q - J_{Q+q}) \quad \sim q^2$$

$$E_2(q) = 2D (J_{\bar{Q}} - J_{\bar{q}}) \quad \sim \frac{1}{(\bar{Q} - \bar{q})^2}$$

For 2d-system no long range order at finite  $T$  (Hohenberg, Mermin, Wagner) due to divergence of

$$\sum_q \int d\omega \mathcal{I}(q, \omega) \text{ for } \vec{q} \rightarrow \vec{Q}$$

$$\sim \sum_q \int d\omega \frac{1}{\omega} \text{Im} \chi(\vec{q}, \omega)$$

→ No spin waves in small  $k$ -region [51]  
( $\vec{k} = \vec{q} - \vec{Q}$ )

-  $T=0$  Bragg peak acquires finite width  $\sim \xi^{-1}$   
 $\xi$  = correlation length,  
exponentially large!

→ 3  $k$ -regions:

I:  $k < \xi^{-1}$

II:  $\xi^{-1} < k < \frac{\hbar\omega T}{C} = q_c$ ;  $C$  = spin wave velocity

III:  $q_c < k < q_D$ ;  $q_D \sim$  Brillouin zone boundary

I: quasielastic; relaxational behaviour

III: propagating spin waves; oscillating.

II: ?? question: relaxational or oscillating.

Simple argument: For  $k > \xi^{-1}$  lack of long range order unimportant.

→ spin waves, oscillating.

(Too simple!!)

Discuss:  $S(k) = \frac{1}{N} \sum_{\omega} \langle S(k, \omega) \rangle$

Remember:  $\sum_k S(k) = N S(S+1)$

1) For small  $k$ : quasiclastic region

$$S_1(k) = A_1 \cdot N \frac{k_B T}{J} \frac{1}{q^2 + \xi^{-2}}$$

$$\sum_k S_1(k) = \text{total quasiclastic intensity} \\ \approx \text{Bragg intensity at } T=0$$

2) Define  $\tilde{q}$  such that for  $k > \tilde{q}$  spin wave behaviour:

$$S_2(k) = A_2 N \frac{ac}{E(k)} g(k, \tilde{q}) \coth \frac{E(k)}{k_B T}$$

where  $E(k)$  = spin wave energy

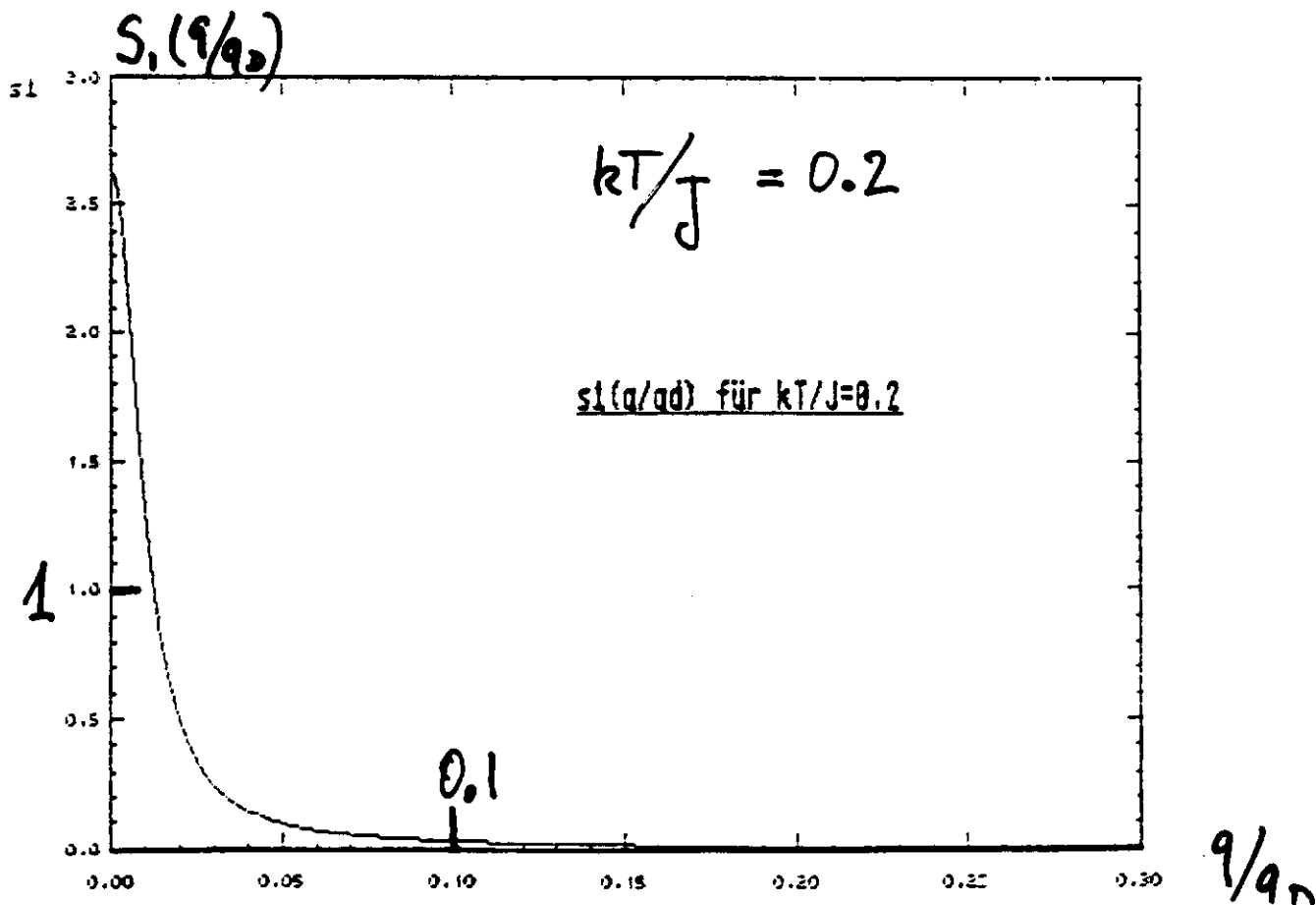
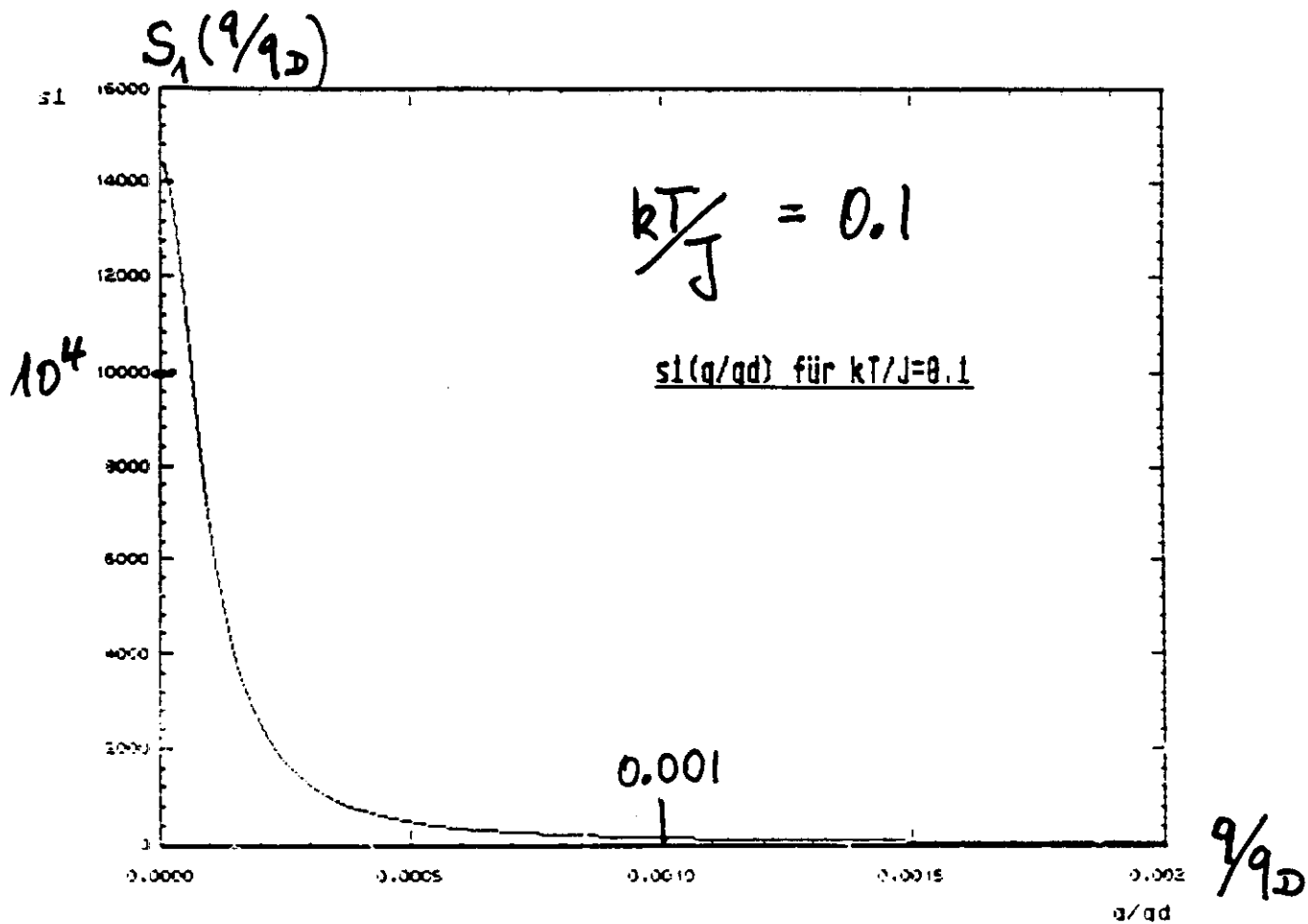
$$g(k, \tilde{q}) = \begin{cases} \rightarrow 1 & \text{for } k > \tilde{q} \\ \rightarrow 0 & \text{for } k < \tilde{q} \end{cases}$$

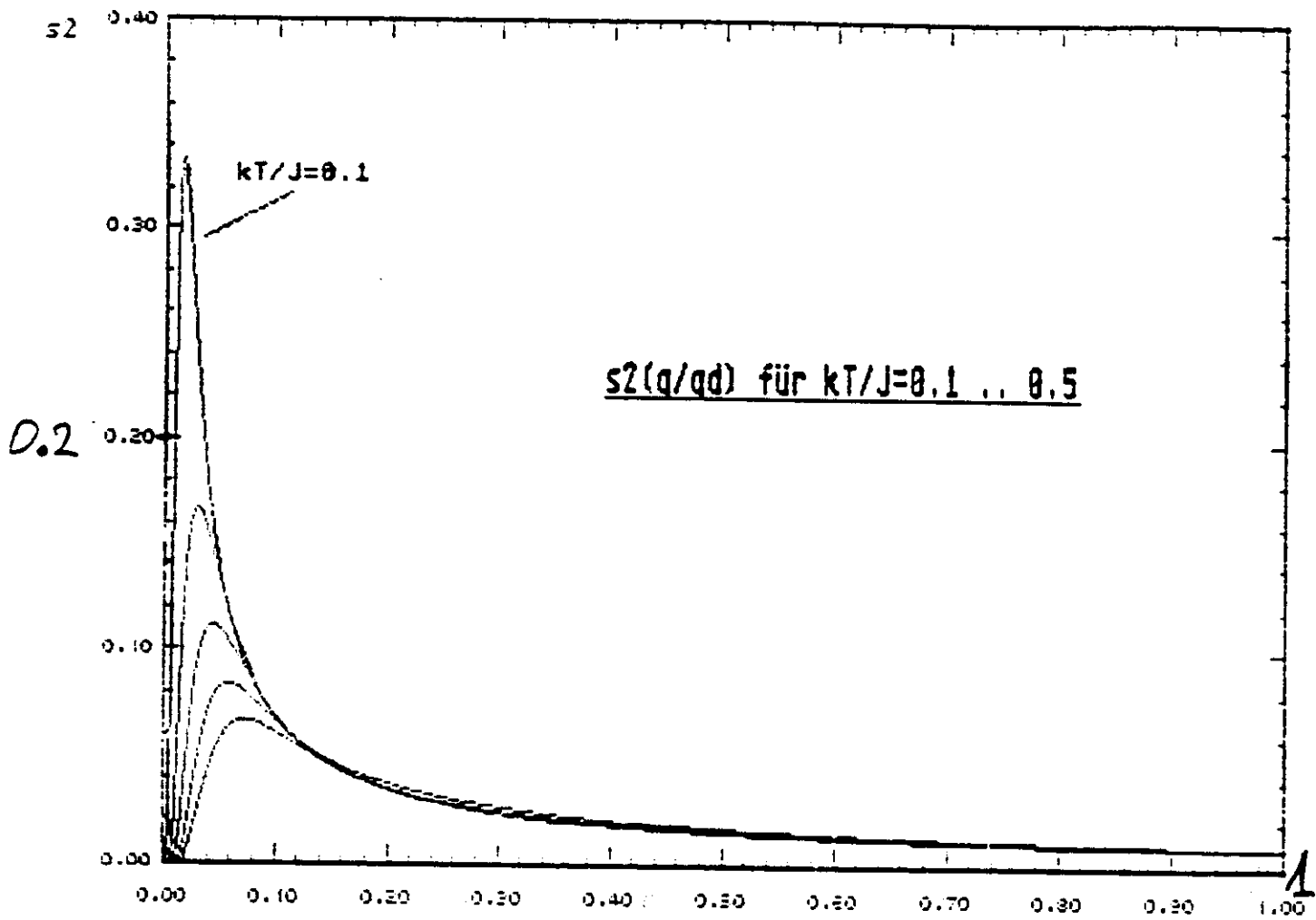
Ensure that  $\sum_k (S_1(k) + S_2(k)) = N S(S+1)$

$$\Rightarrow \xi \approx \frac{1}{q_0} \exp \left\{ \frac{(bS)^2}{2\pi A_1} \cdot \frac{J}{k_B T} \right\}$$

$$\tilde{q} \approx q_c(T) \approx \frac{k_B T}{\hbar} : \text{No spin waves for } E(k) < k_B T$$

# Beschreibung des quasielastischen Anteils

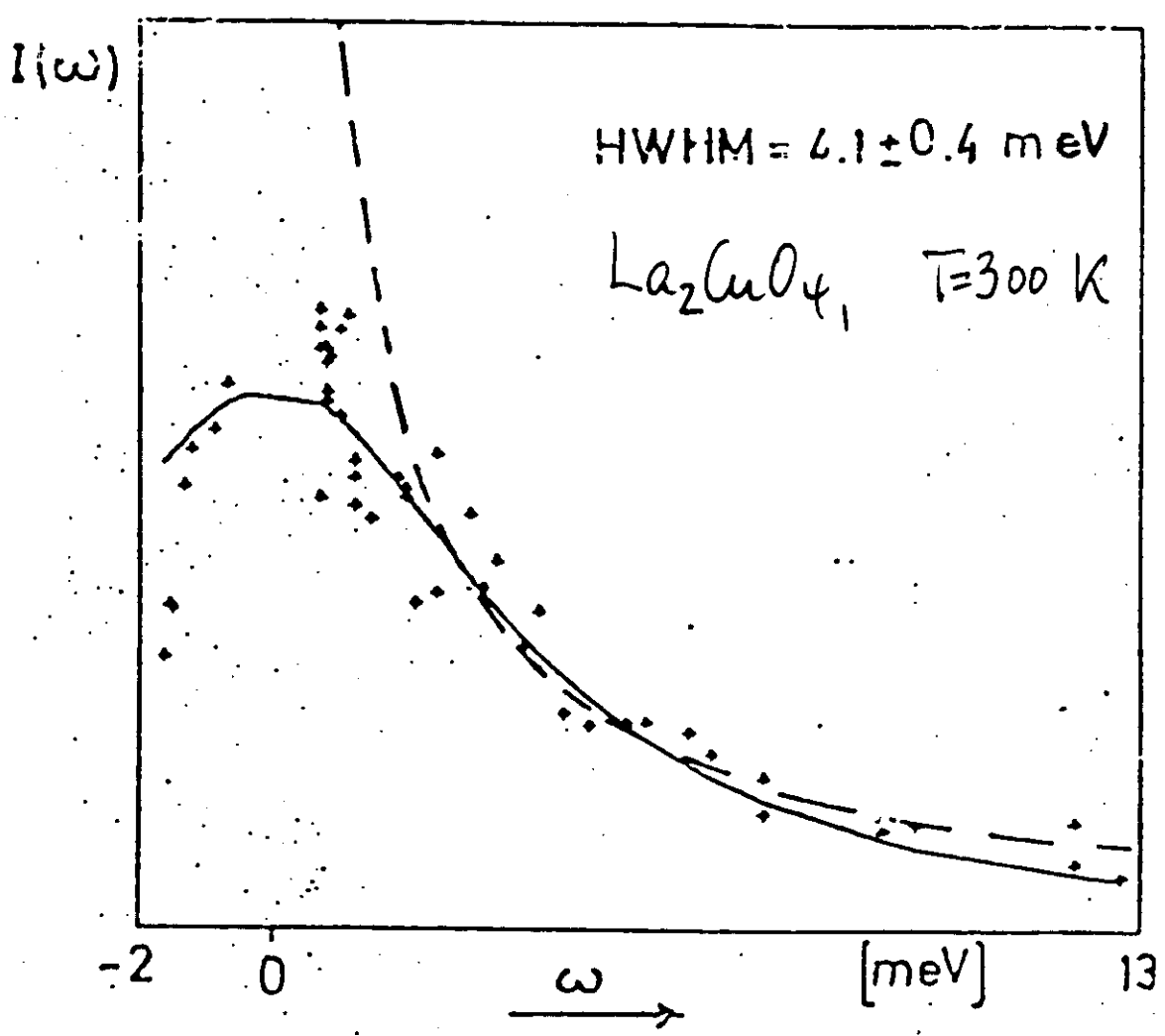
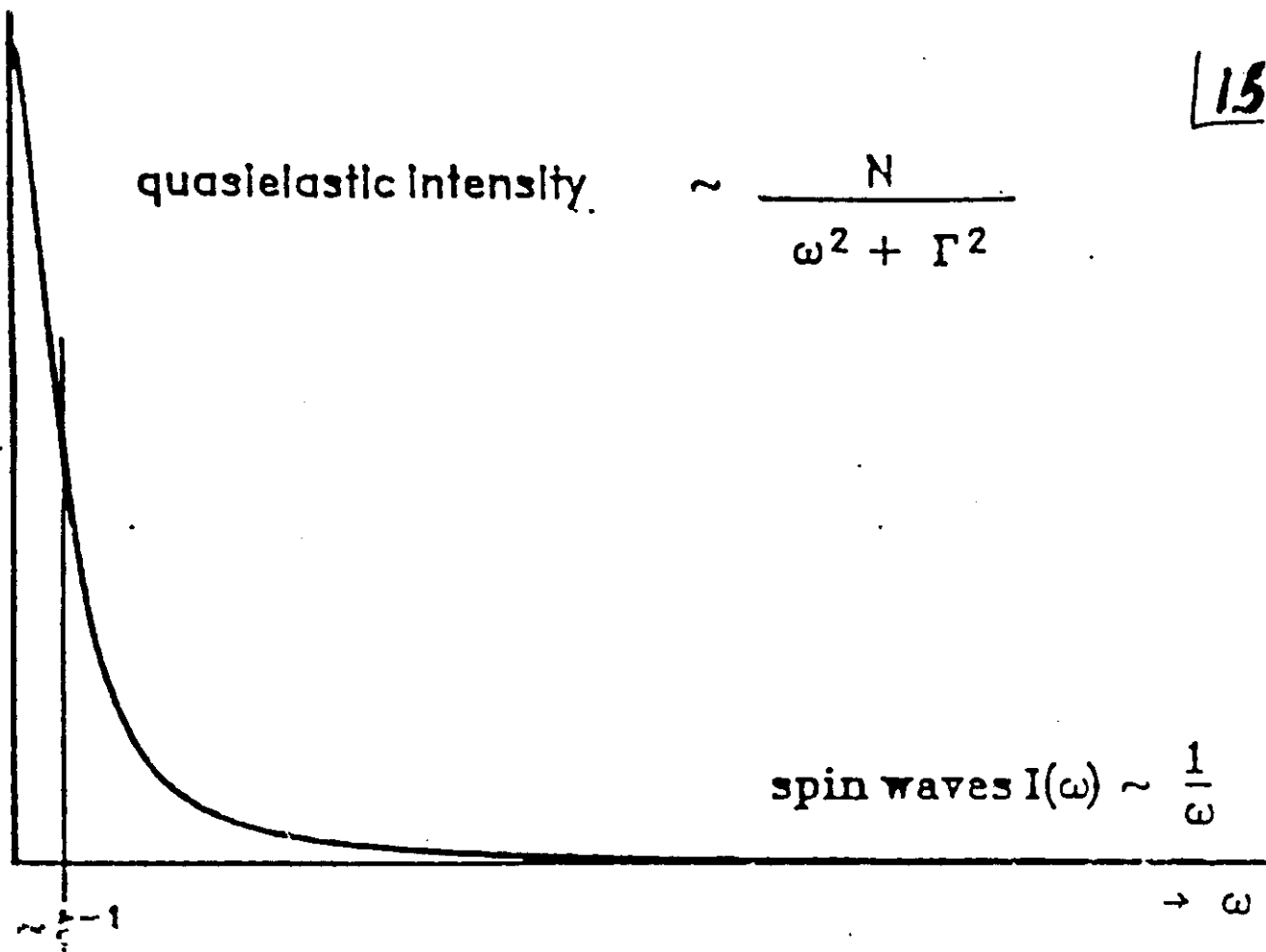




Beschreibung des propagierenden Anteils

$q/q_d$   
 1





quasielastic width:  $\text{La}_2\text{CuO}_4$ ,  $T=300\text{ K}$

$$\Gamma_{\text{exp}} \approx 4.1 \pm 0.4 \text{ meV}$$

mode-mode coupling theory (Gruempel):  $\Gamma_G \approx 3.4 \text{ meV}$

dynamic scaling (Tyc, Halperin, Chakravorty):  $\Gamma_H \approx 3 \text{ meV}$

(No adjustable parameters for dynamics,  
all parameters determined from static  
properties).

$\Rightarrow$  Heisenberg model with stable  $S=1/2$   
moments works well for  
 $\text{La}_2\text{CuO}_4$

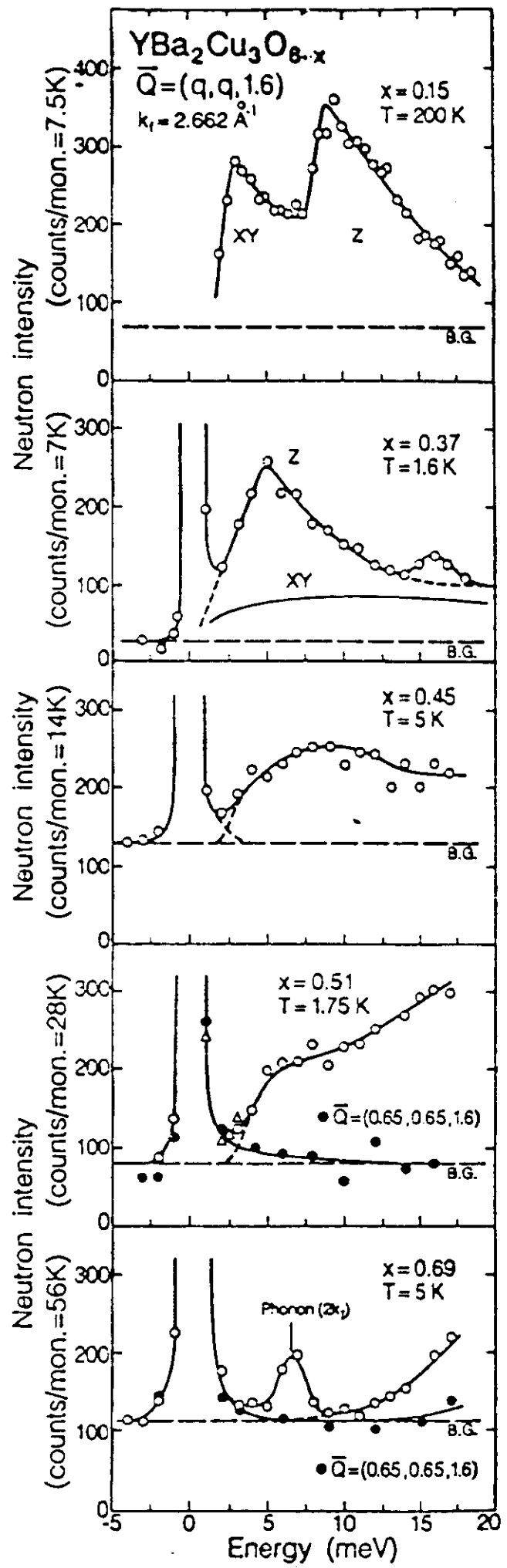
Similar properties for  $\text{YBa}_2\text{Cu}_3\text{O}_6$ .

Question: What happens for  $\text{La}_{1.85}\text{Sr}_{0.15}\text{CuO}_4$  ?  
 $\text{YBa}_2\text{Cu}_3\text{O}_7$  •

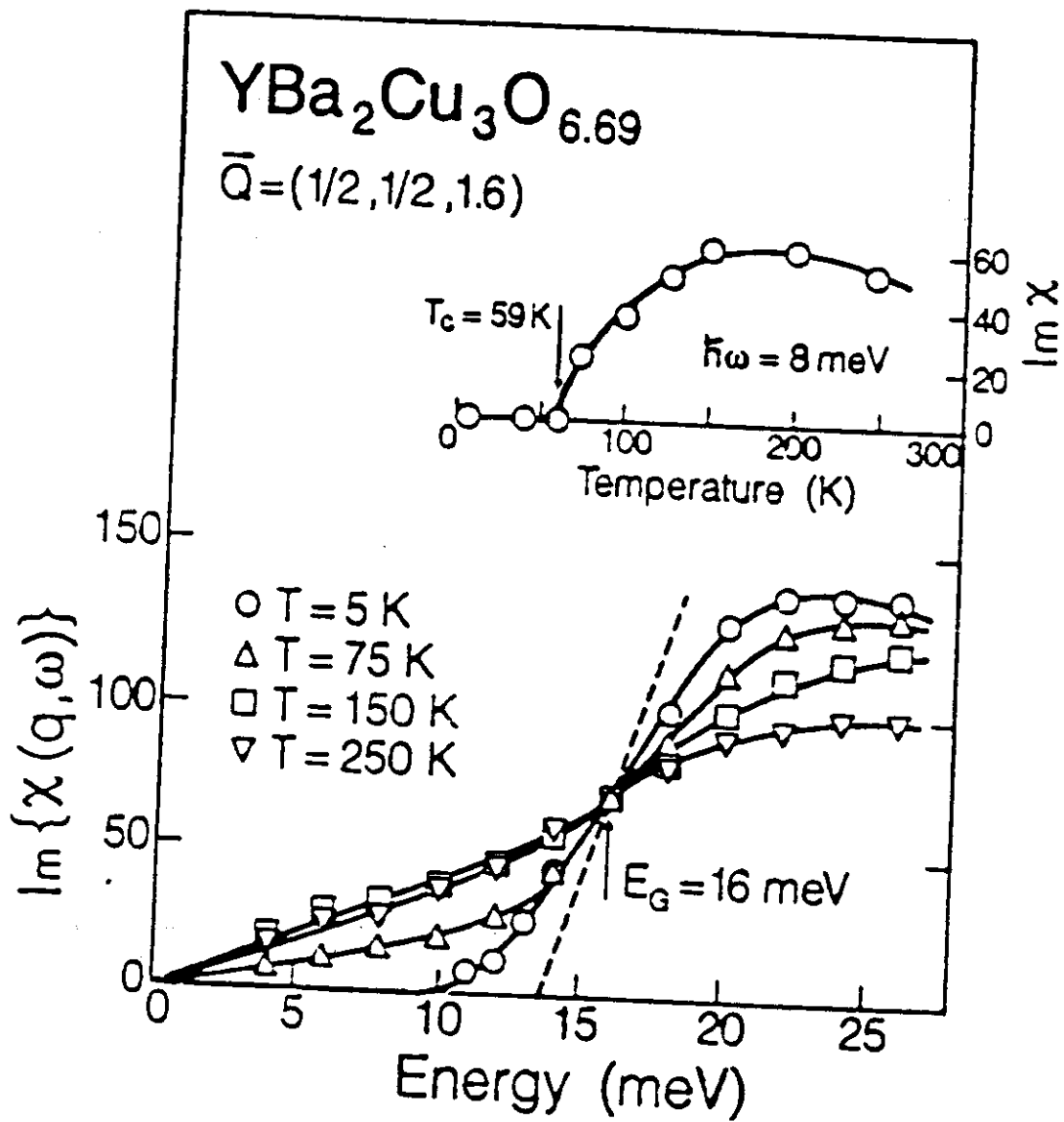
Most detailed experiments for  $\text{YBa}_2\text{Cu}_3\text{O}_6$ .

Rossat-Mignod et al. ,..... Schöpf et. al. ....

Rosset Mignod et al.  
(ILL)



Rossat-Miquod et al. (ILL).



→ T<sub>21</sub>, T<sub>22</sub>

Magnetic properties, summary:

$\text{La}_2\text{CuO}_4$ ;  $\text{YBa}_2\text{Cu}_3\text{O}_6$  are quasi 2 dim. antiferromagnetic insulators.

"Quantum-Anti-Ferro-Magnetism - QAFM"  
reasonably well described by spin  $1/2$  Heisenberg- $w$ .

Experimental facts

$\text{YBa}_2\text{Cu}_3\text{O}_7$  - superconducting -  
has no spin fluctuations  
in low energy region:

$$\int_{-30\text{meV}}^{+30\text{meV}} d\omega \sum_{\vec{q}} \mathcal{I}(\vec{q}, \omega) \text{ vanishingly small}$$

$\Rightarrow$  no slowly fluctuating, short range ordered magnetic background!

---

? Conclusions ?

No moments, no pair breaking  $\Rightarrow$  Superconductivity possible

or

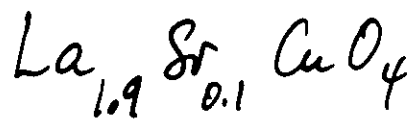
• Superconductivity due to high energy spin fluct.

# Anomalous lattice dynamics

22

(Experiments by Pintschovius, Reichardt, ...  
Karlsruhe, Paris)

Materials studied



Measurements of full phonon spectra

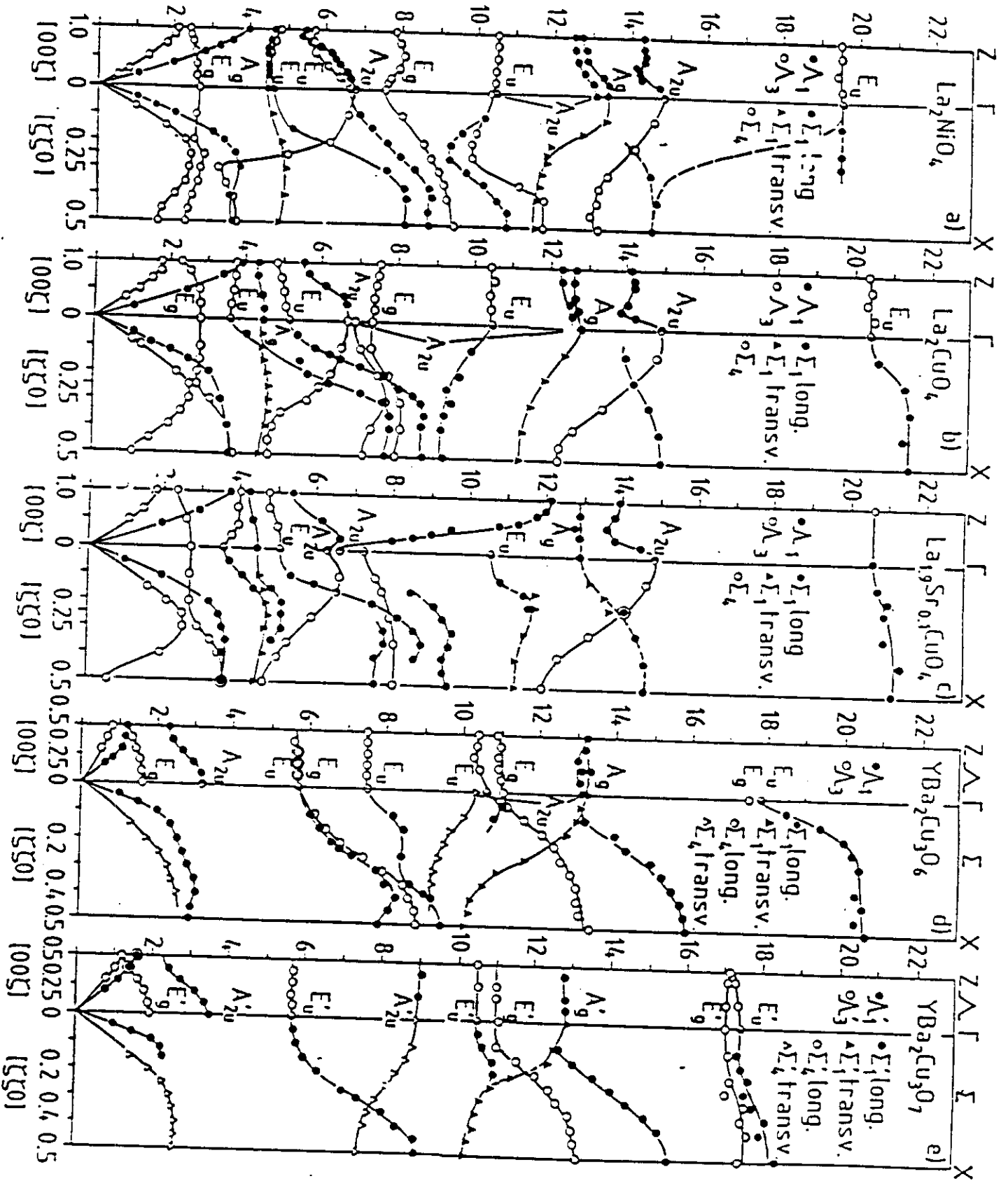
in  $\text{La}_2\text{CuO}_4$  ( $\text{Ni}_2\text{CuO}_4$ ),  $\text{La}_{1.9}\text{Sr}_{0.1}\text{CuO}_4$

⇒ Extra degree of freedom!

Partial information on  $\text{YBa}_2\text{Cu}_3\text{O}_{6-7}$

⇒ Strong similarities to  $\text{La}_2\text{CuO}_4$  .....

FREQUENCY [THz]



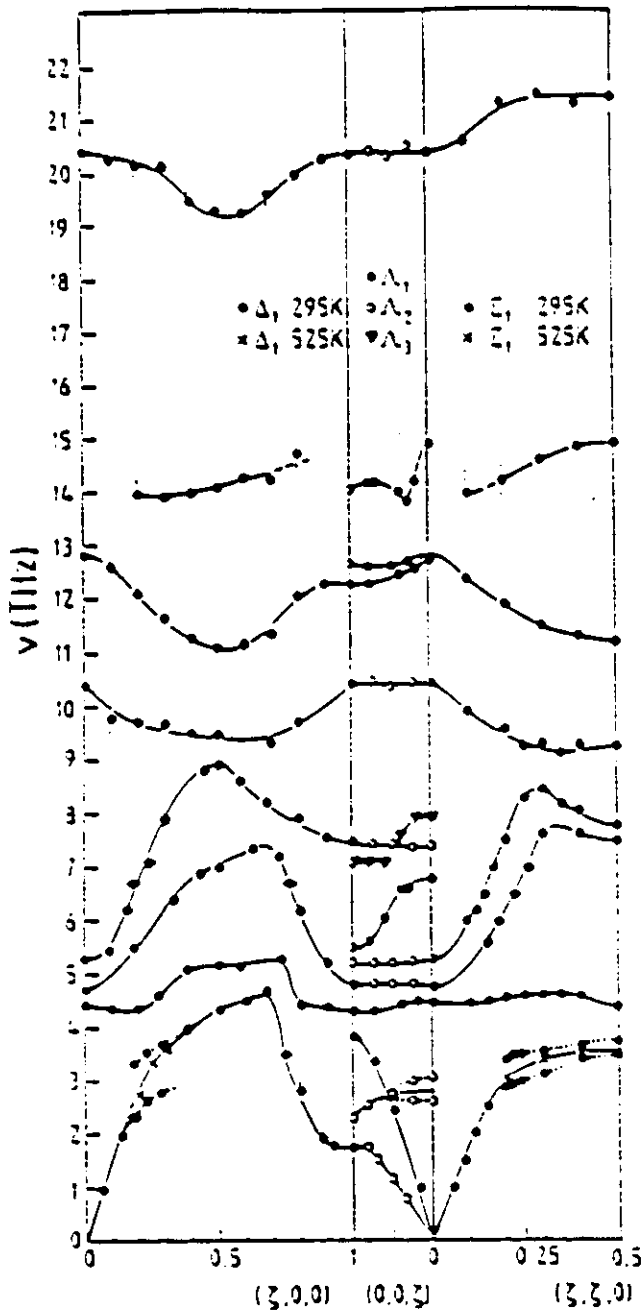


Fig. 3 continued

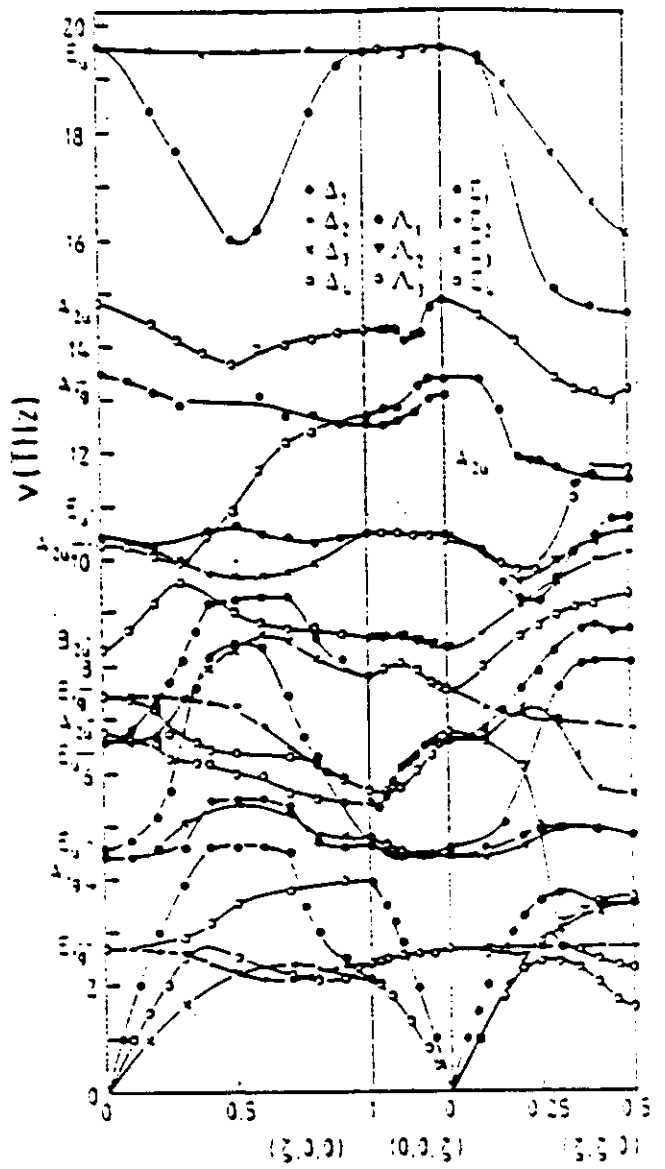
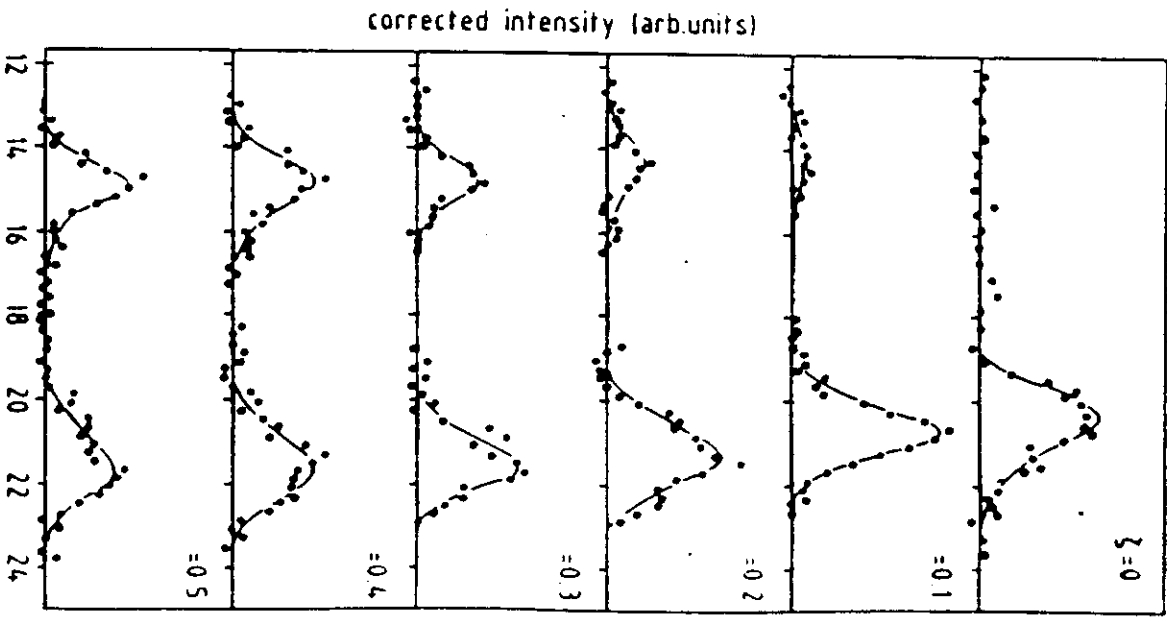
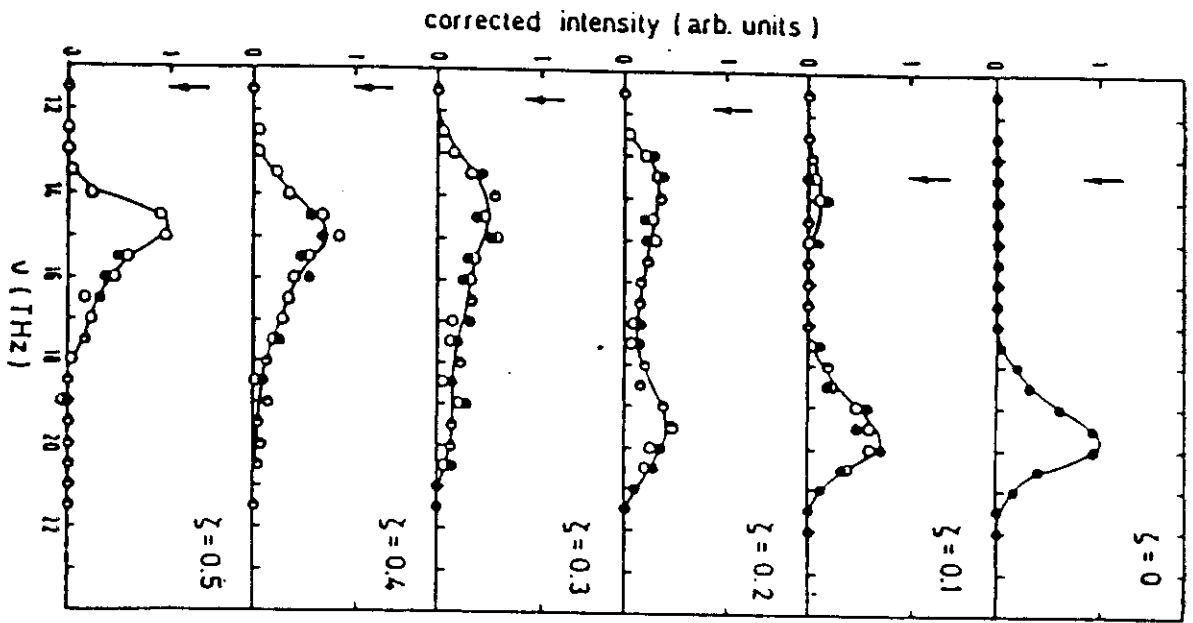


Fig. 4: Phonon dispersion curves in  $\text{La}_2\text{CuO}_4$  at room temperature (ref. 11). Lines are a guide to the eye.





↳ Possible explanation :

- Existence of "low energy" collective electronic charge excitation with  $\hbar\omega < 100$  meV (instead of usual eV)
- hybridization with "high energy" oxygen vibrations ( $\sim 80$  meV) give mixed modes,  $\Rightarrow$  "extra mode" in neutron scattering.

Open questions: Origin of low  $\hbar\omega$  charge excitation?

Consequences on superconductivity?