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SMR.627-15

**MINIWORKSHOP ON
STRONGLY CORRELATED ELECTRON SYSTEMS**

15 JUNE - 10 JULY 1992

**"Dynamical Properties of
Strongly Correlated Electrons"**

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These are preliminary lecture notes, intended only for distribution to participants

Dynamical Properties of Strongly Correlated Electrons.

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Florida State University

• High-Tc Superconductors

- i) $N(\omega)$, PES, IPES
 - ii) ARPES, ARI PES
 - iii) $G(\omega)$
- } one band Hubbard
and
t-J models.

iv) Superconductivity?

No indications in Hubbard model.

Results for 2D t-J model at
 $\langle n \rangle = 1/2$ suggest superconductivity
near phase separation!

• C₆₀

QMC study at half-filling using
Hubbard model.

How can we study the Hubbard model in strong coupling?

One way: numerical studies

Exact diagonalization (Lanczos)

Solve exactly a small cluster (4×4)

- $T = 0$
- Exact
- No "sign" problems
- Dynamics easy

Q. Monte Carlo

Larger lattices possible (8×8)
(16×16)

- $T \neq 0$
- Stat. errors
- "sign" problem
- Dynamics difficult

Review:
(See Int. J. Mod. Phys.)
B5, 77, 1991.)

Other ways: { mean-field
variational
...

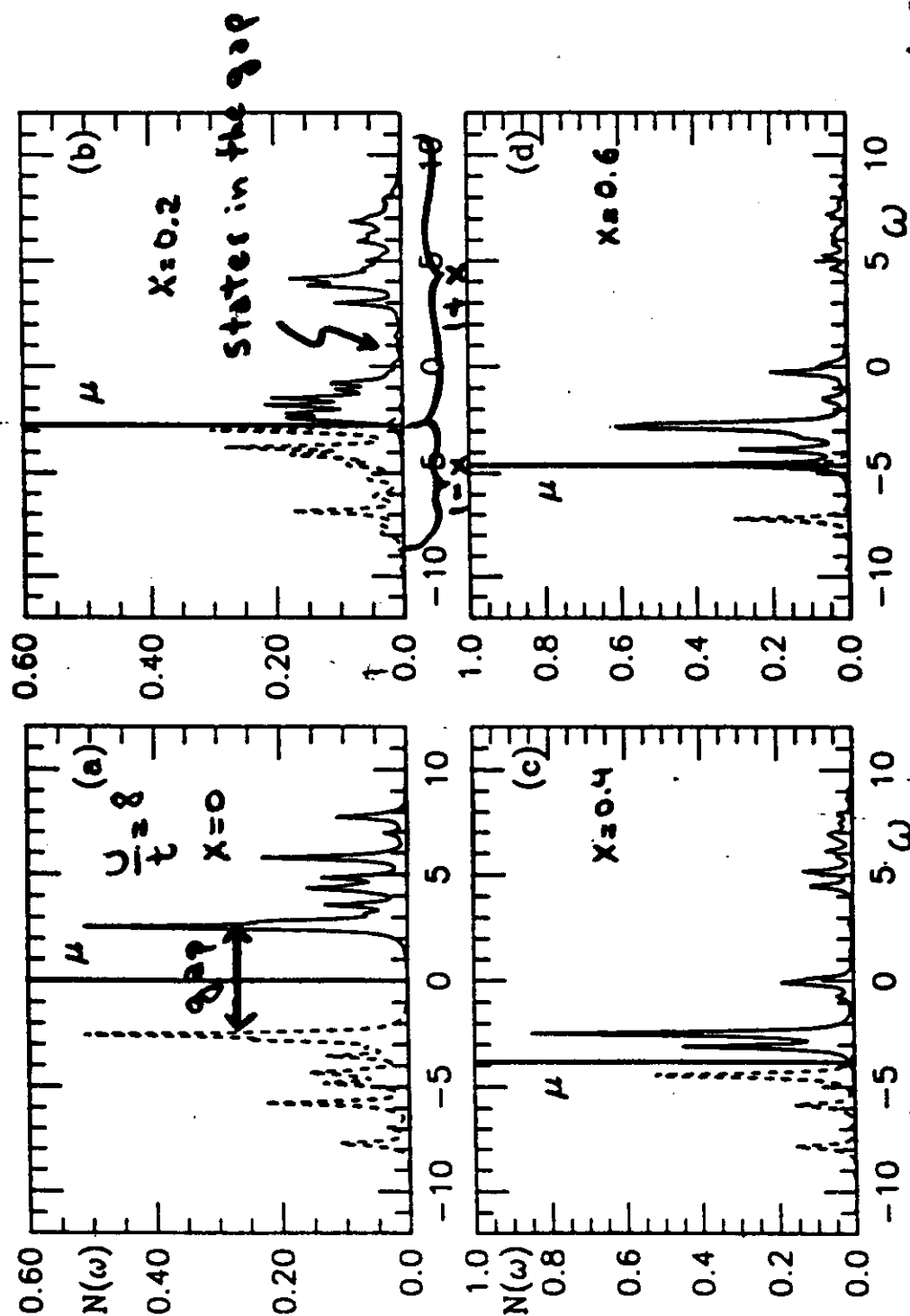


FIG. 3. Same as Fig. 2 with $U/t=8$.
 F. D. A. Moreo, F. Ortalan,
 J. Aroca, D. Scalapino,
 PR 67, 1918 (1991).

le doping are to remove spectral weight from both the upper and lower Hubbard bands and to create additional density of states in the gap beginning at the edge of the lower Hubbard band. Results for further dopings are shown in Figs. 2(c) and 2(d). With this additional dop-

slope is larger than one (at small x) and increases when U/t is reduced, at least for U/t large where a genuine gap exists in the IPES spectrum.

Thus we find, as seen in PES experiments [2], that dop-

ing a half-filled Hubbard cluster does not simply produce a rigid shift of the density of states relative to the Fermi

μ moves across the gap
when going from holes to
electrons doping

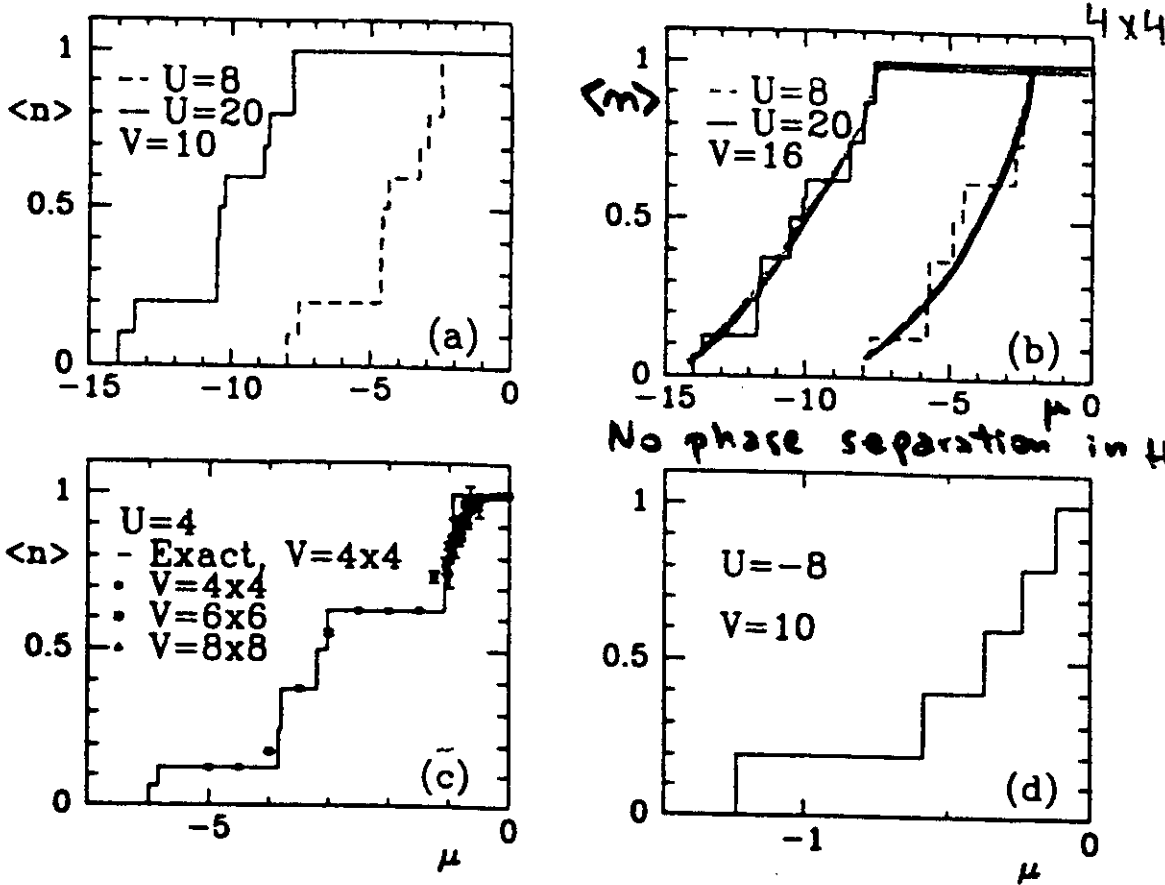


FIG. 1. (a) $\langle n \rangle$ vs μ at zero temperature for the ten-site Hubbard cluster with $U/t=20$ (continuous line) and $U/t=8$ (dashed line) using Lanczos techniques; (b) same as (a) for a sixteen-site cluster; (c) $\langle n \rangle$ vs μ at $U=4$ ($t=1$). The continuous line is the Lanczos result for the sixteen-site cluster. Solid squares denote Monte Carlo results on a 4×4 lattice at temperature $T=t/12$, while the open squares and the solid triangles are Monte Carlo results for the 6×6 and 8×8 clusters, respectively, with $T=t/8$; (d) $\langle n \rangle$ vs μ at zero temperature for the ten-site Hubbard cluster with $U/t=-8$ using Lanczos techniques.

particle-hole transformation, to the statement that the magnetic spin susceptibility of the positive- U Hubbard model at half filling remains finite as the temperature T goes to zero. Likewise the result that $\partial \langle n \rangle / \partial \mu|_{\mu=0}$ van-

ishes for the the vanishing negative- U chemical potentials slowly move a ment with the In order to tion of spectra

$$N_s^{(+)}(\omega) = \frac{1}{\omega}$$

$$N_s^{(-)}(\omega) = -$$

Here $N_s^{(+)}(\omega)$ is the spectral weight of states for ground state lattice sites, creates a stable ground state its energy. $\{N \pm 1$ electron $N_s^{(+)}(\omega)$ (see ω for $U/t=$ Fig. 1) is shown at half filling (the lower Hubbard bands are removed). Comparing I

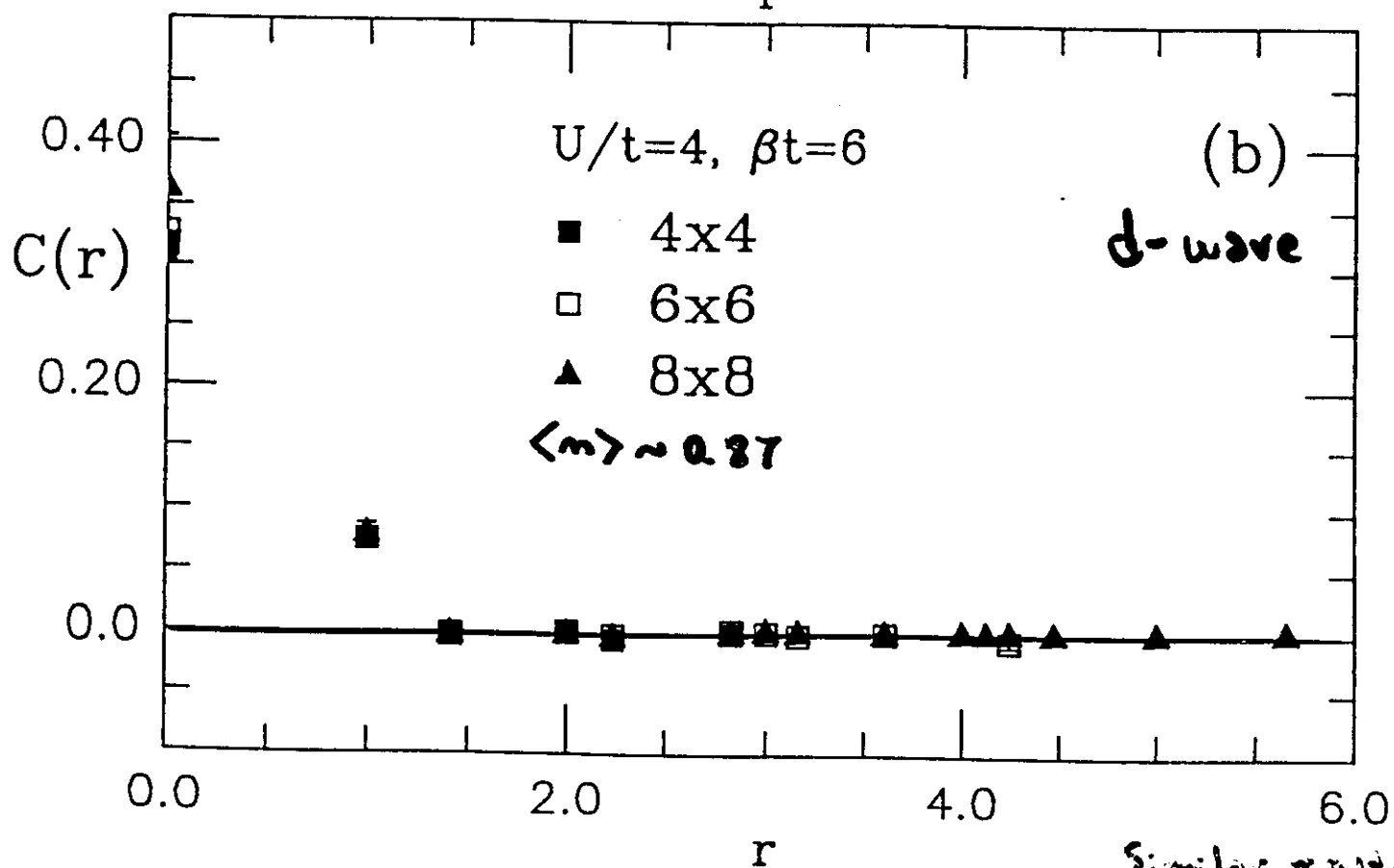
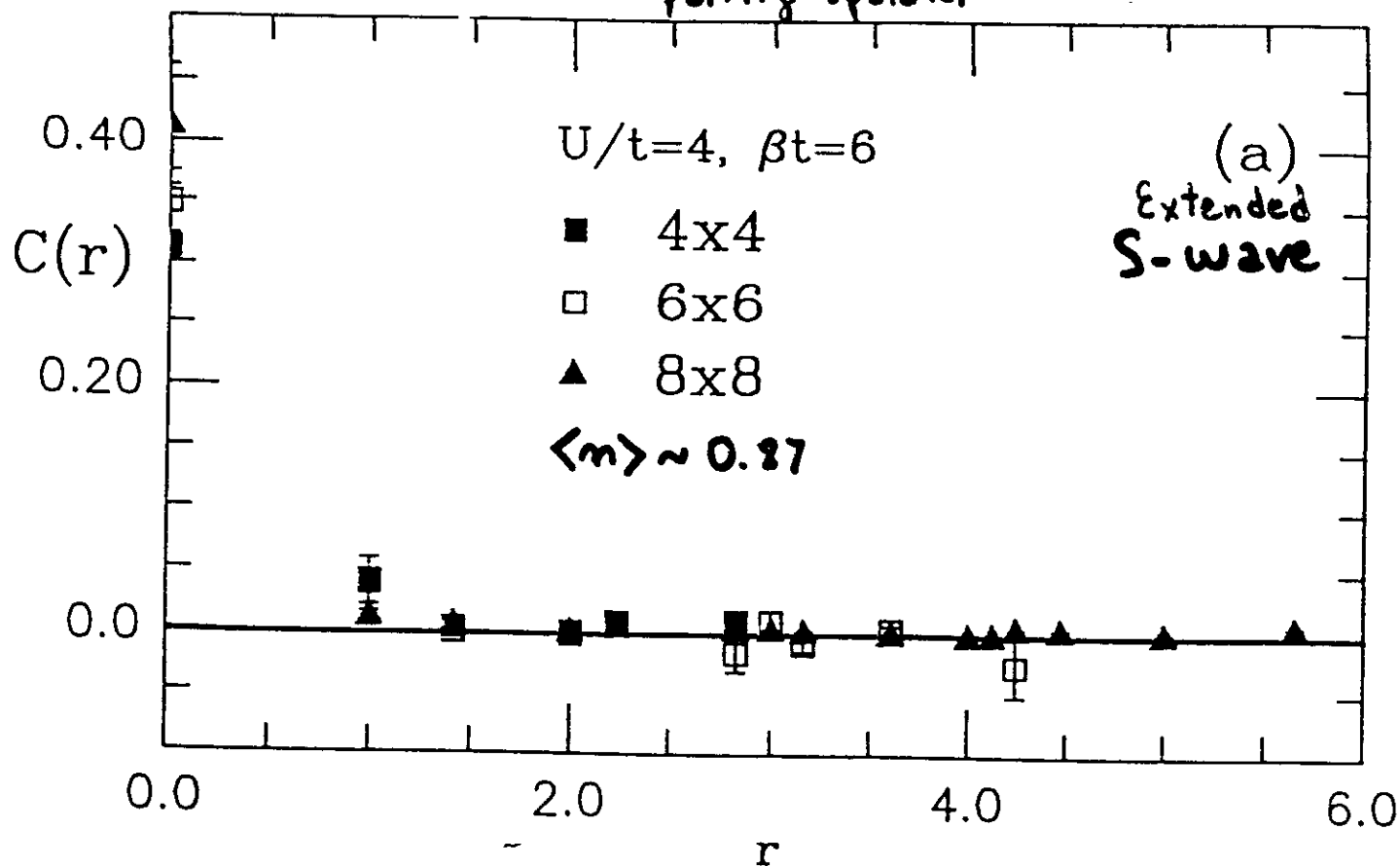
... thus far ...

	Exper.	Theory
$N(\omega)$ $\left\{ \begin{array}{l} \text{New states} \\ \text{in gap} \end{array} \right.$	yes	yes
$\left\{ \begin{array}{l} \mu \text{ changes} \\ \text{when going} \\ \text{from hole} \\ \text{to } e^- \text{ doping} \end{array} \right.$	yes (X-ray) (C. Chen et al. PRL <u>66</u> 104) no (PES) (J. Allen et al., PRL <u>64</u> , 595)	yes
$\text{ARPES} \left\{ \begin{array}{l} \text{quasi particle} \\ \frac{m^*}{m} \sim 2-3 \end{array} \right.$	maybe yes (Arko et al.)	yes yes
$\text{Raman} \left\{ \begin{array}{l} \text{half-filling} \\ \text{doping} \end{array} \right.$	good agreement ?	
$G(\omega)$	good agreement mid-IR, Neff, ...	← See A. Tikhoplov, Laughlin + Zou Q15 (anyon superc. state)
$S(\vec{q}) \left\{ \begin{array}{l} \text{shift of AF} \\ \text{peak from } (\pi, \pi) \\ \text{to } (0, \pi) \end{array} \right.$	yes	yes
Superconductivity	yes	?
		↗ A. Moreo et al., PRB <u>41</u> , 2313

$$C(r) = \langle \Delta(0) \Delta^\dagger(r) \rangle$$

↑ pairing operator

(A. Moreo, ~~to appear~~
in PAB) published



No superconductivity!

Similar results
using other
methods and
around Hubbard

Why we don't see superconductivity
in the Hubbard model?

- $J = \frac{4t^2}{U} \sim 0.1 \text{ eV} \sim 1000 \text{ K}$
 $t \sim 0.43 \text{ eV} \sim 4300 \text{ K}$
 $T_c \sim 100 \text{ K}$ More difficult
- temperature in MC too high?
- Hubbard model doesn't superconduct
- we are not using the best operators

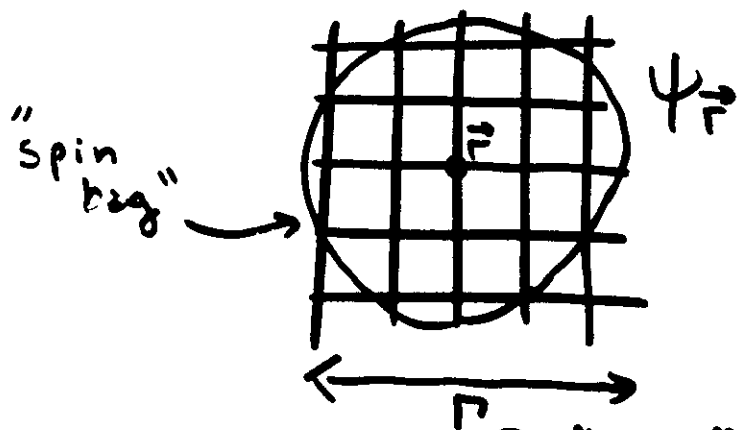
Intuitive idea:

(E.D. + J.A. Schrieffer)
PRB 43, 2705 (1991);

See also: M. Boninsegni
+ E. Manousakis, PRB 43,

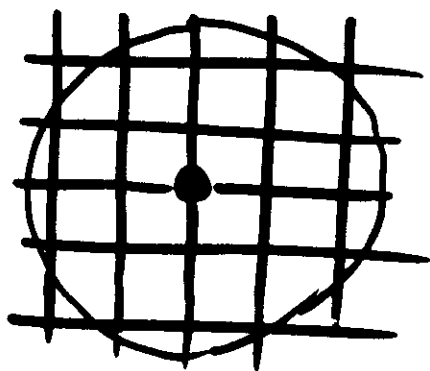
10353
 $H \psi_{\vec{q}} = E \psi_{\vec{q}}$

$$\psi_{\vec{q}} = \sum_{\vec{r}} e^{i \vec{q} \cdot \vec{r}} \psi_{\vec{r}},$$



$\sim$ "size" of quasiparticle

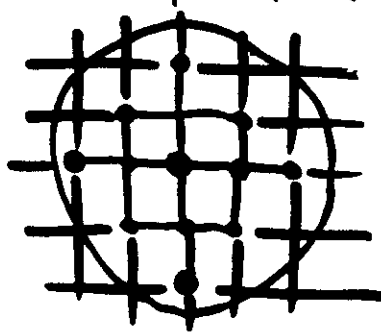
local "antenna"
 $C_{\vec{r}}^+$



$Z \ll 1$

extended "antenna"

$$\tilde{C}_{\vec{r}}^+ = C_{\vec{r}}^+ + \alpha \sum_{\mu} C_{\vec{r}+\mu}^+ C_{\vec{r}} C_{\vec{r}}^+ + \dots$$



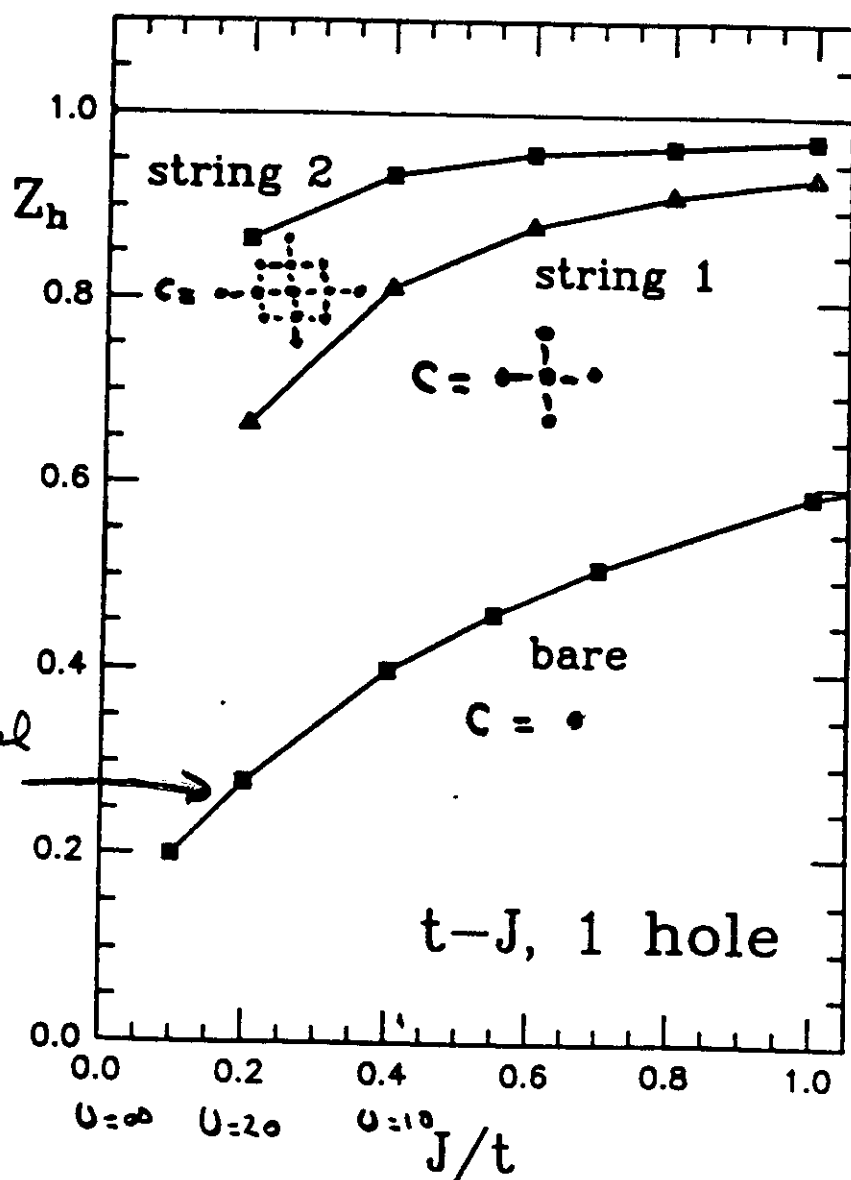
$Z \simeq 1$

This concept is valid for any H and any operator involving quasiparticles. It filters out higher energy states.

Preliminary numerical results show that the idea works!
($Z \simeq 1$)

where H_t (H_{Heis}) is the hopping (exchange) interaction in the t - J model and β is another constant. The construction guarantees that to this order the spinlike excitations relevant for the dressing of the hole are created in its neighborhood. The hopping term moves the hole

t - J model
1 hole



Note: $Z_h \neq 0$ in t - J model
 $Z_h \sim J^{1/2}$ at small J .

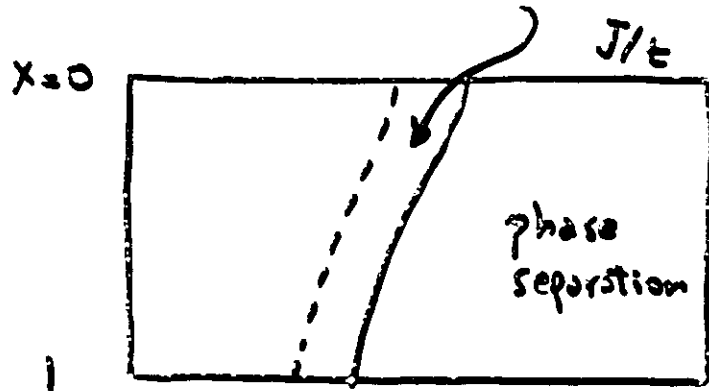
Confirmed by
D. Poilblanc ($N=26$)

(In Hubbard model)
Sorella claims $Z_h \rightarrow 0$

FIG. 1. Z_h of one hole as a function of J/t for the t - J model on a 4×4 lattice. Results are shown using the bare fermionic operator ($\blacksquare$), strings of length 1 ($\triangle$), and strings of length 2 ($\blacksquare$) in the "dressed" fermionic operator.

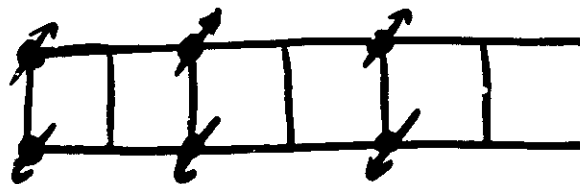
- t - J model is more promising

- In 1D there is a region where supercond. correlations dominate

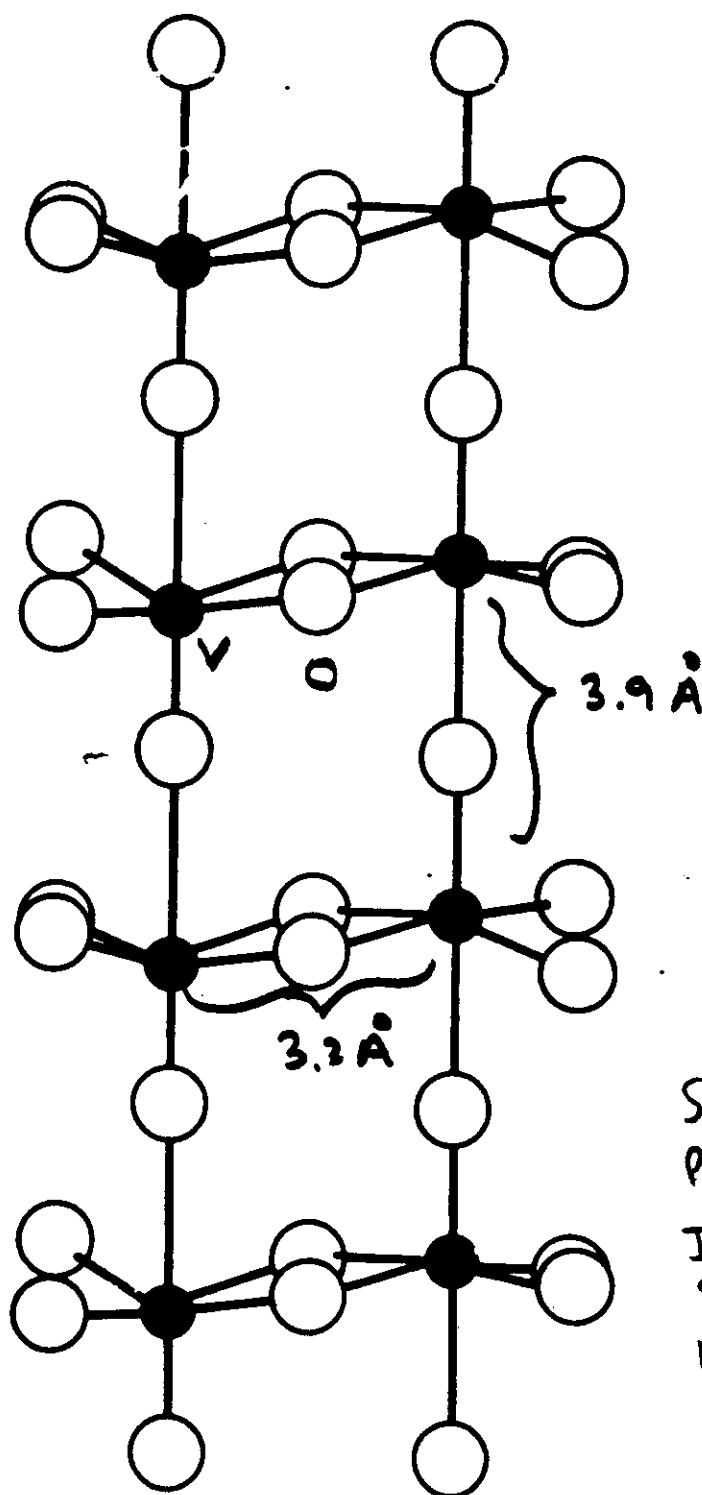
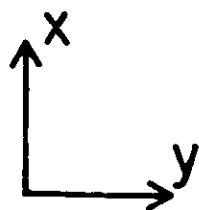
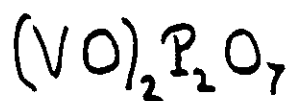


See M. Ogata
et al., PRL 66
2388 (91)

- In 2D a study of the spectrum of finite clusters suggest similar results See A. Moreo, PRB
- In "ladders" and $J/t > 1$, superconduct. dominates. (dimerization process)

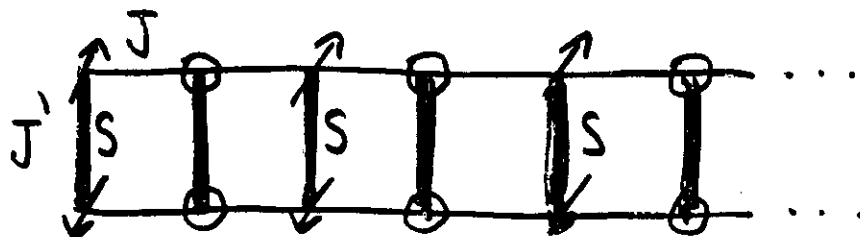


D.C. Johnston
et al., PRB 35,
219 (87)



See E.D. et al.,
PRB, March 92,
45, 5744
Imada, J. J. Phys. Soc. Jpn. 60,
1877 (91)

$$\langle n \rangle = 1/2$$



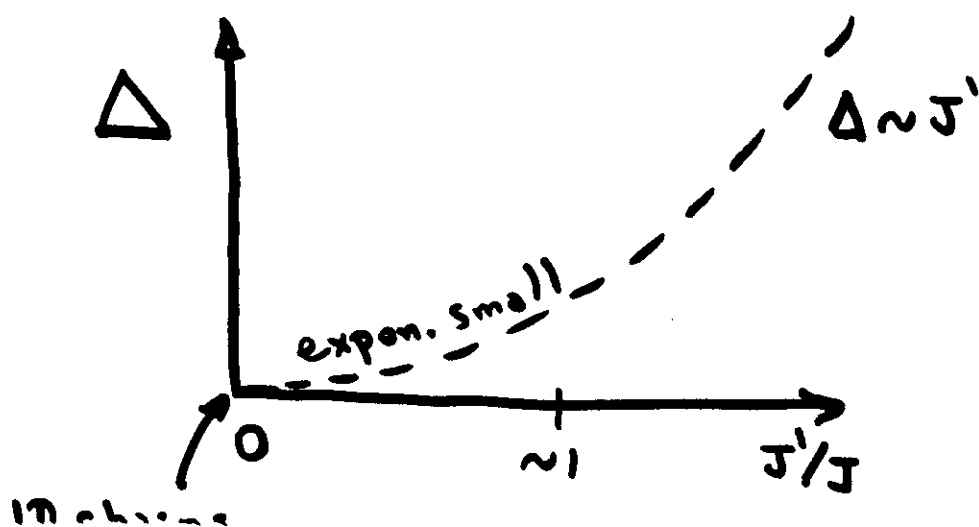
if $J' \gg J, t \rightarrow$ superconductivity
(as in $U < 0$ Hubbard)

Numerical results suggest that
for $J' \sim J$ we can still have supercond.

No phonons, purely electronic.

Recent QMC results at $\langle n \rangle = 1$
suggest:

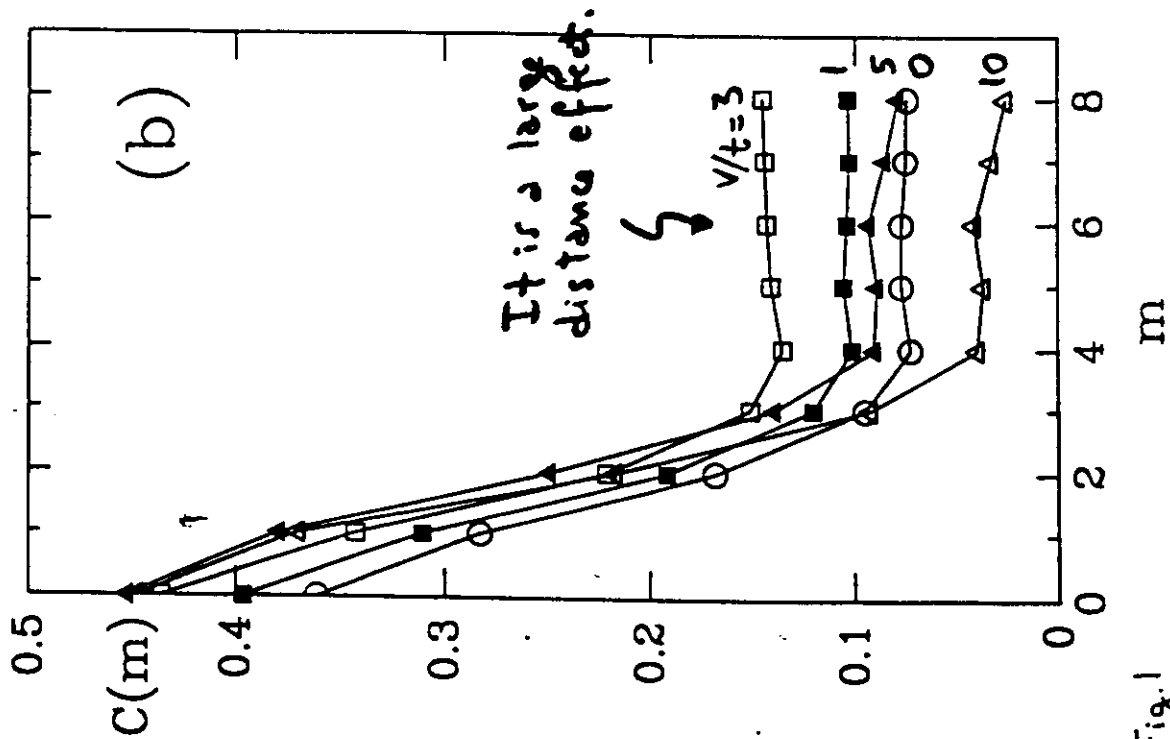
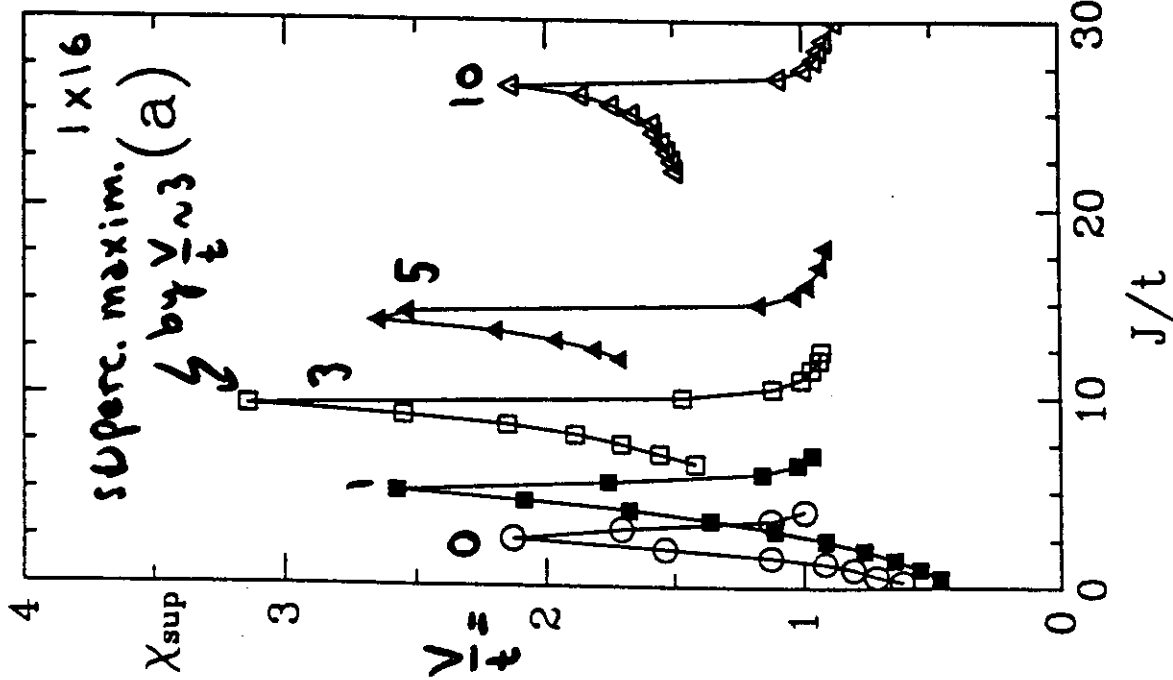
(Barnes et al.)



- t-J-V model

$(V \sum_{ij} m_i m_j) \leftarrow$ See also Kovarik + Barnes

1D, $\langle n \rangle = 1/2$

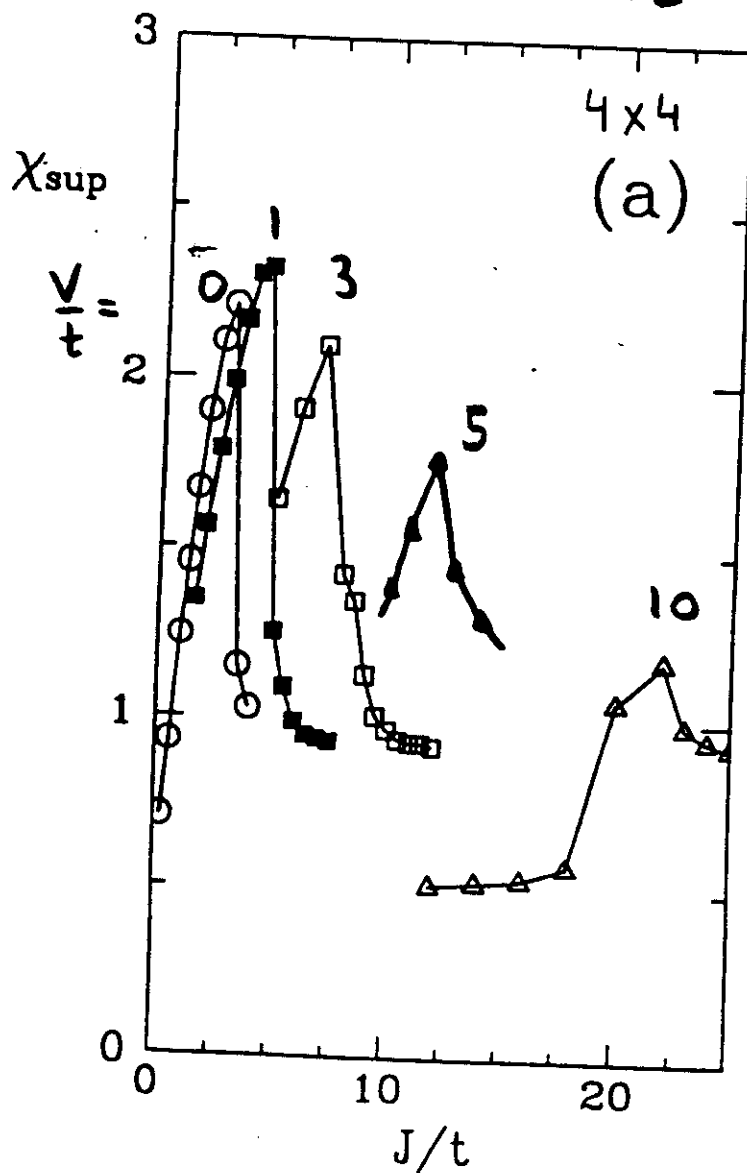


E.D.
+ Jose Riera,
preprint

Fig. 1

2D, $\langle n \rangle = 1/2$

Very similar to 1D.

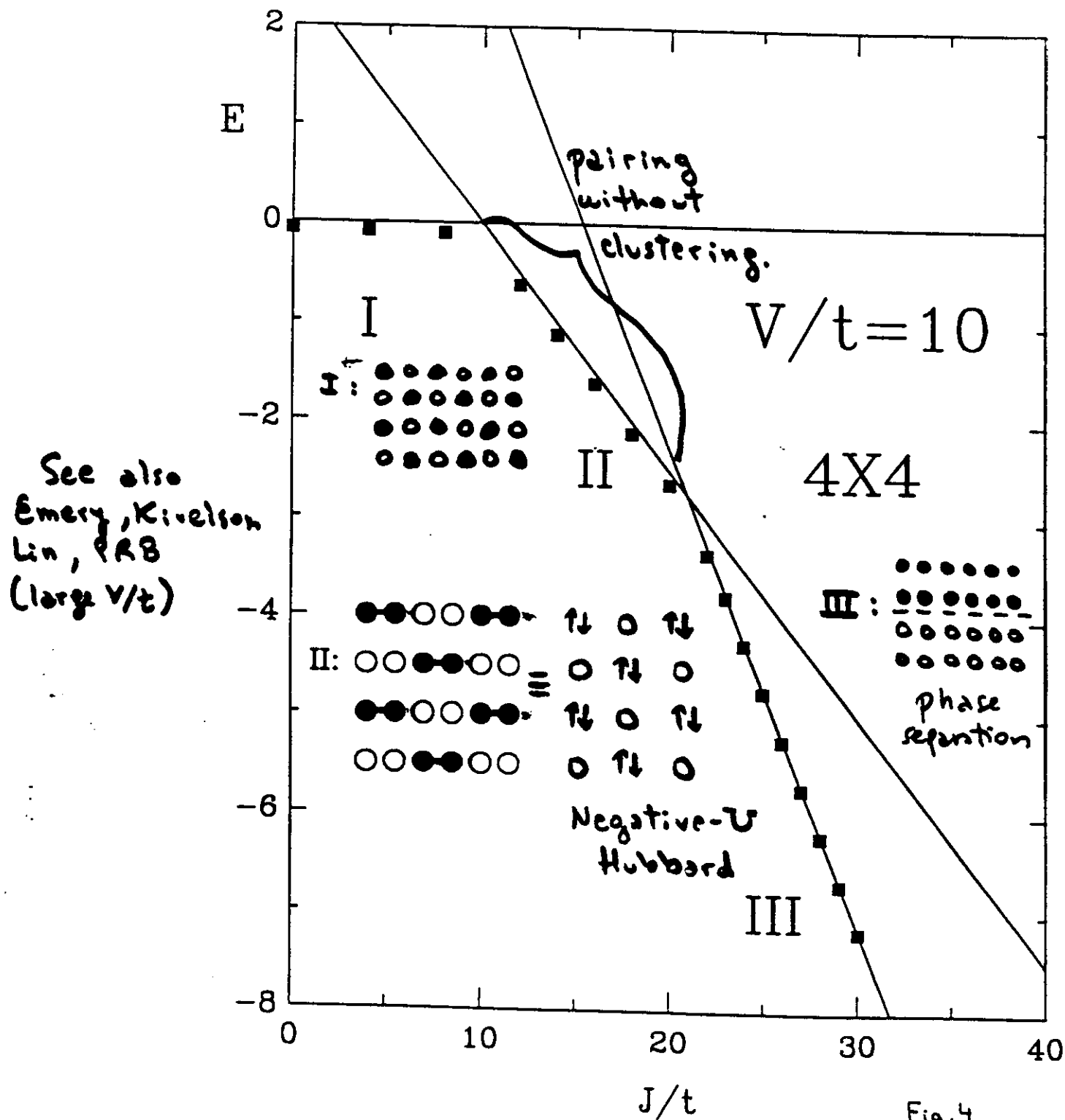


There is a
spin-gap.

The same
quantity
near half-
filling is
flat. So
we may have
been looking
at the wrong
doping!

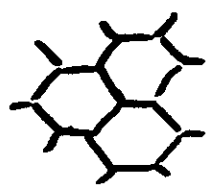
Fig

Why the superconducting correlations are enhanced in 2D near $\langle n \rangle = 1/2$?



C₆₀:

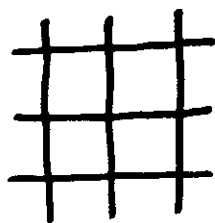
Heisenberg model on a ball
(spin $1/2$)



$m \approx 0.2$



$m \approx 0.2$

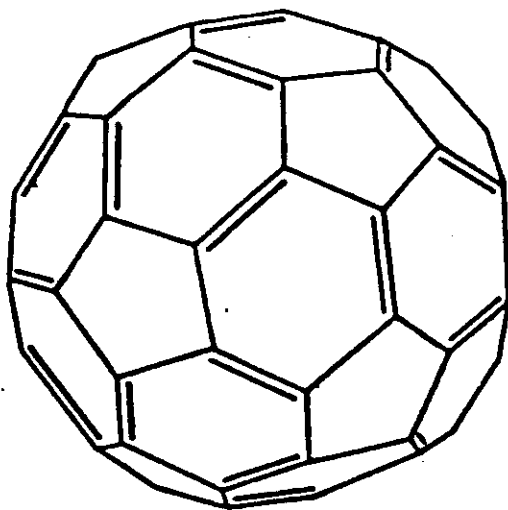


$m = 0.3$

($m_{\text{class}} = 0.5$)

R. Scalettar
T. Jolicoeur
H. Monien

E. D., in preparation.
sent to PRL.

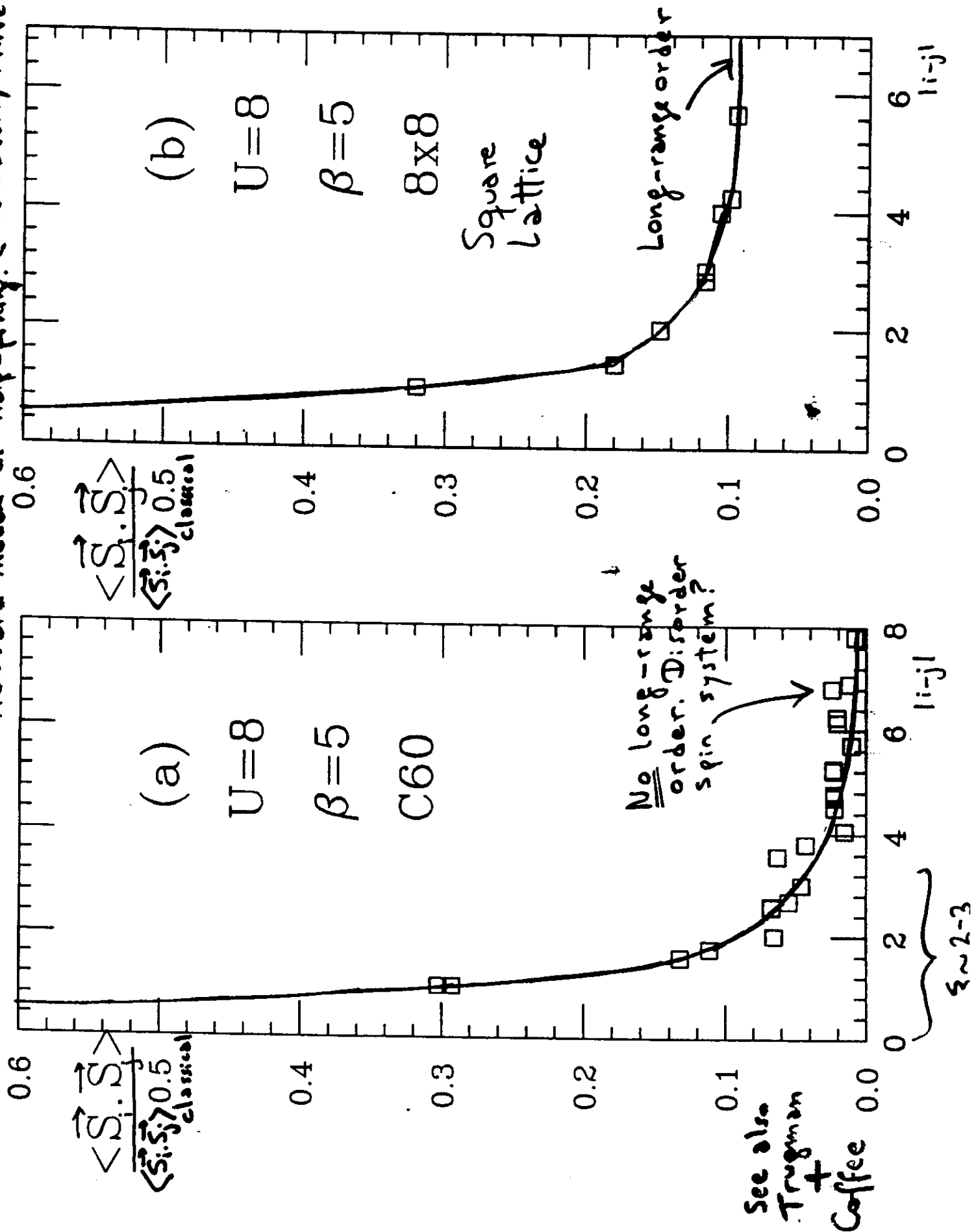


$m = ?$

First results suggest

$m \approx 0$

(disordered q. state)



Conclusions

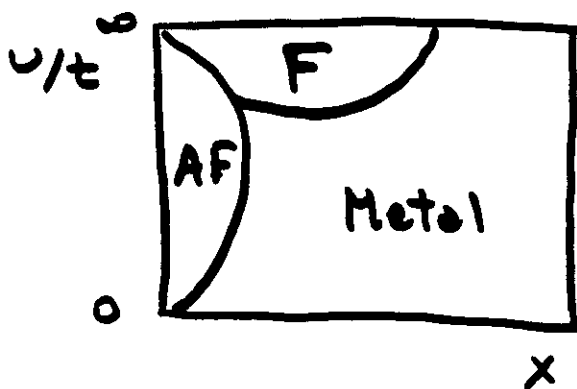
1) Some "unusual" normal state properties may be explained by Hubbard model.

- a) quick loss of AF with x
- b) $S(q)$ IC
- c) $N(\omega)$

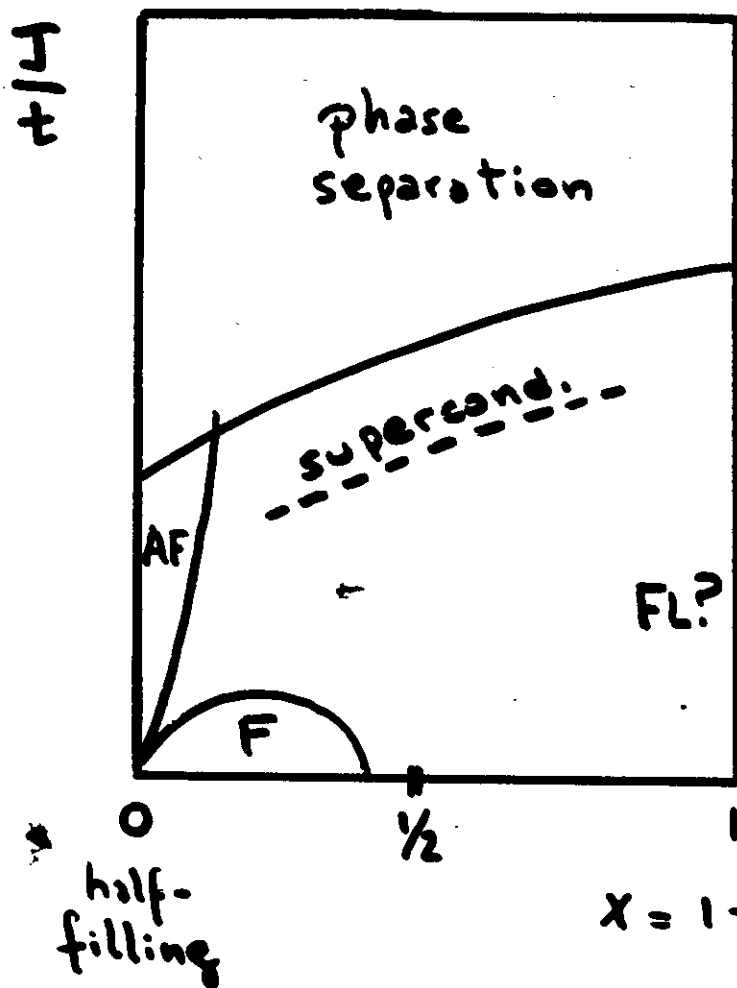


2) Superconductivity in Hubbard model?

Either the model has to be "modified" or new quasiparticle operators will solve the problem.



3) The t - J model seems to have more structure:



2D t - J
 $T=0$

Adding $\frac{V}{t}$ and
working at $\langle n \rangle = \frac{1}{2}$
there are strong
superconducting
correlations.

— o —