



INTERNATIONAL ATOMIC ENERGY AGENCY
UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION
INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
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UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION



INTERNATIONAL CENTRE FOR SCIENCE AND HIGH TECHNOLOGY

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SMR. 628 - 18

**Research Workshop in Condensed Matter,
Atomic and Molecular Physics
(22 June - 11 September 1992)**

**Working Party on:
'Energy Transfer in Interactions with
Surfaces and Adsorbates'
(31 August - 11 September 1992)**

**"Quantum Versus Semiclassical
Regimes of Sticking"
PART I**

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These are preliminary lecture notes, intended only for distribution to participants.

Quantum versus semiclassical regimes of sticking

- 1) Quantum effects in substrate < phonons
e-h pairs

Coworkers:

Böhmer, Brünner

Experiments:

Schlichting, Menzel; Brünner, B.

J. Chem. Phys. 97, 11 ('92)

- 2) Quantum effects in adsorbate

Coworkers:

Böhmer, Stützel, Rüss

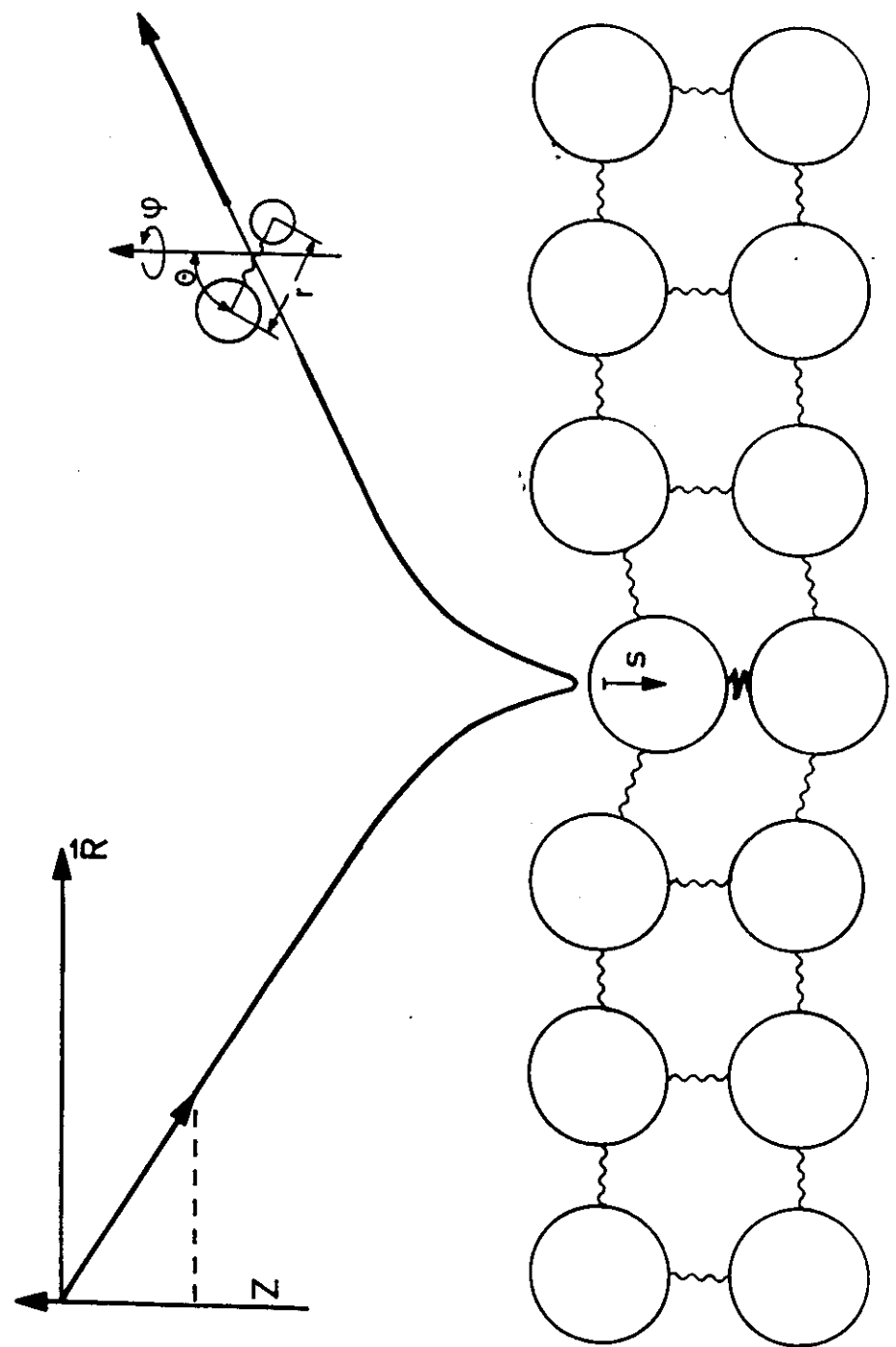
Experiments:

J. Doyle, Klappner, Graytak

P. R. L. 67, 603 ('91)

(Theory: P. R. L. 68 412 ('92)

Carano, Cole P. R. B45 12930 ('92)



1) Single Quantum Oscillator driven by classical force $f(t)$:

Goldmann, Krivchenkov, Kogan,
Galst'kii: "Selected probl. in Q.M."
(ter Haar ed. 1964); Feynman (1948)

Average energy transfer

$$\Delta E = \frac{1}{2m} |f(\omega)|^2 \quad \omega = \text{osc. freq.}$$

$$c = \Delta E / \hbar \omega$$

Energy distribution of oscill.
(initially at rest)

$$P_n = \frac{c^n}{n!} e^{-c} \quad (\text{Poisson distr.})$$

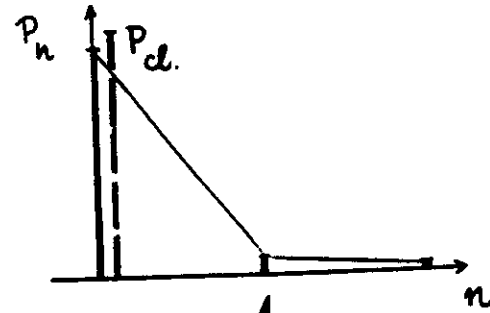
in n -th quantum
state

$$P_0 = e^{-\Delta E / \hbar \omega} = \text{Debye-Waller factor}$$

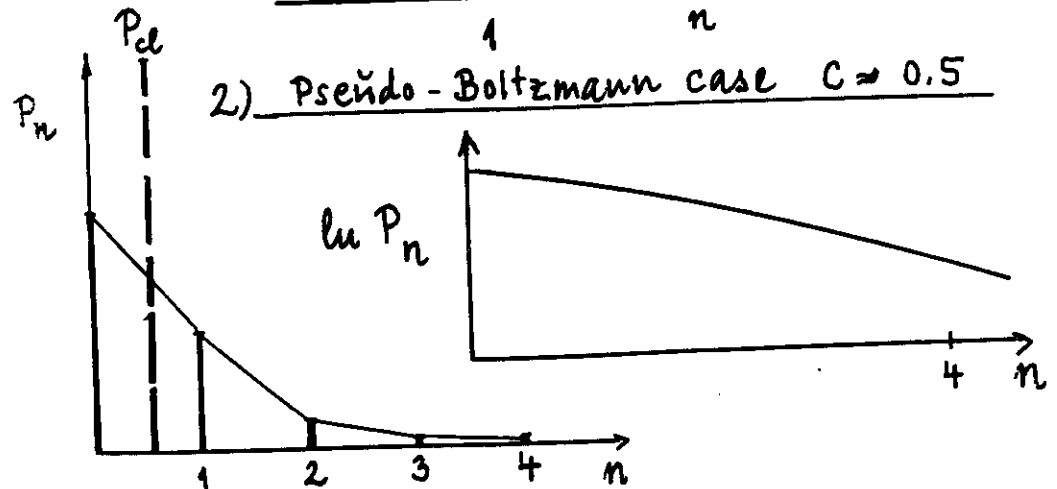
Three typical cases

$$c = \frac{\Delta E}{\hbar \omega}$$

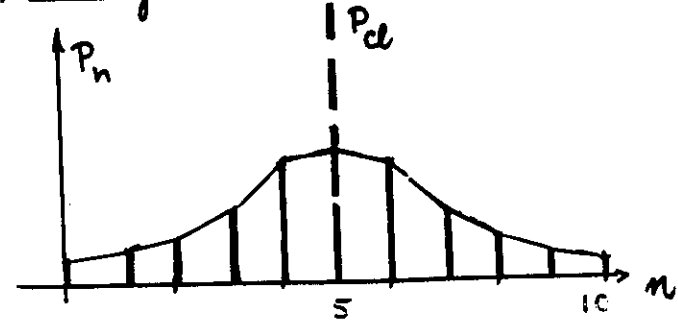
1) One Phonon case $c \ll 1$



2) Pseudo-Boltzmann case $c \approx 0.5$



3) Many Phonon case $c \gg 1$



note: $\langle \Delta \epsilon^2 \rangle = \hbar \omega \langle \Delta \epsilon \rangle$

2) Generalization to surface physics:

a) fixed force $f(t) = f(z(t))$
 $z(t)$ trajectory in static potential $V(z)$.

Kaplan, Drauglis; Surf. Sci 36 1 (73)
 Garibaldi, Levi, Spadacini, Tommei; n 48 649 (75)

b) With recoil + quantum effects of adsorbate in cumulant expansion (exponentiated perturbation theory)

B.; Z. Phys B 36 81 (79)

Böhmer, B: n B41 (81)

In both a) and b) a system of quantum oscil. at $T=0$ is considered.

Energy distr. $p(\epsilon, \epsilon')$ of particle after "1st round trip"

$$U = T e^{i \int V(t) dt} ; V = V_0 - \langle V_0 \rangle$$

$$p(\epsilon', \epsilon) = \int \langle \epsilon' | U^\dagger e^{i H_s \tau} U e^{-i H_s \tau} | \epsilon \rangle e^{-i \epsilon' \tau} d\tau$$

$$\Rightarrow \int e^{\langle \epsilon' | \int V(t) (V_0(t') - V_0(t)) dt dt' | \epsilon \rangle - i \epsilon' \tau} d\tau$$

↑ (2nd order cumulant.)

$$p = \int d\tau e^{-i \epsilon' \tau} \exp \int |f_\epsilon(\omega)|^2 S(\omega) (e^{i \omega \tau} - 1) d\omega$$

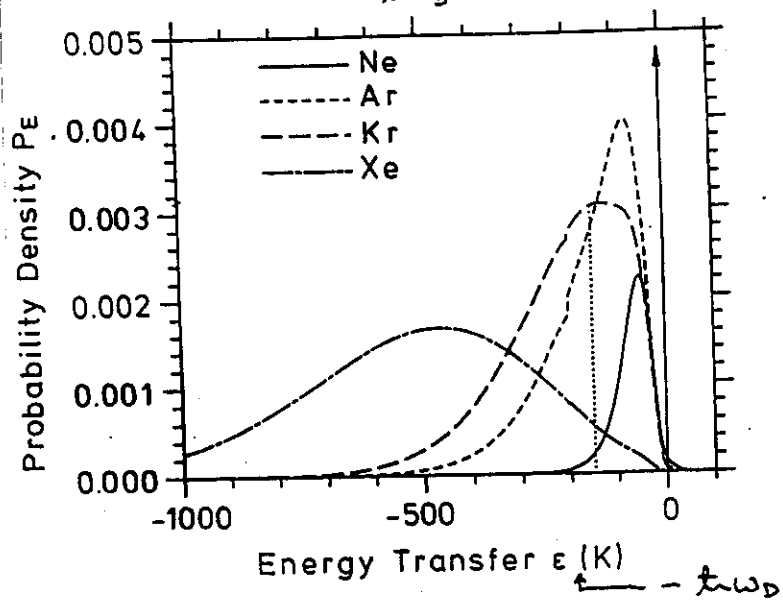
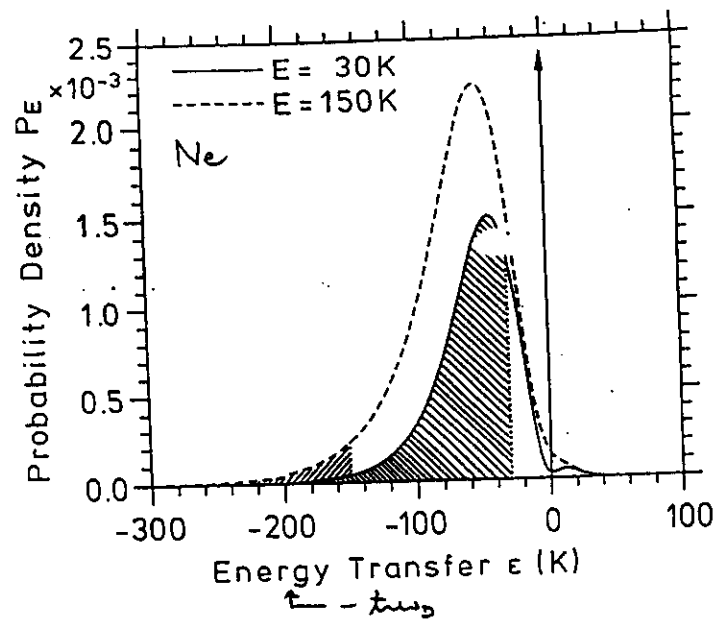
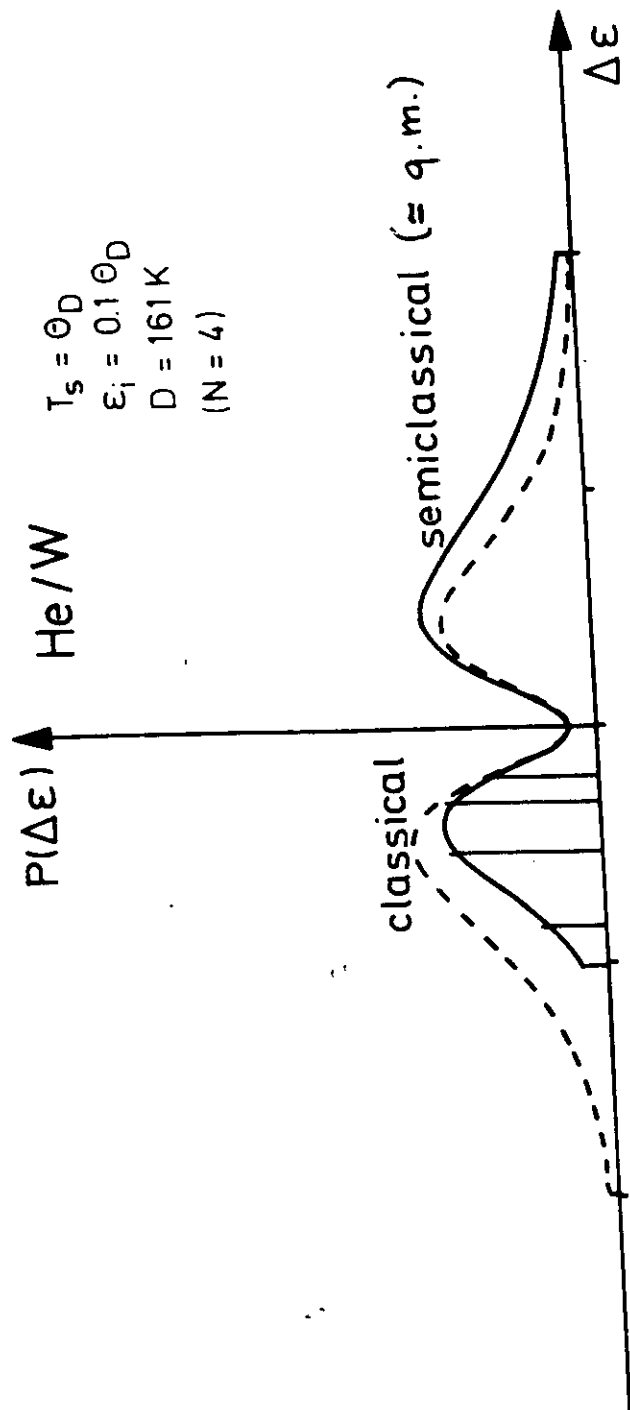
$$f_\epsilon(\omega) = \langle \epsilon | \frac{\partial V}{\partial z} | \epsilon + \omega \rangle$$

$$\Rightarrow \int f_{\epsilon + \hbar \omega / 2}(t) e^{i \omega t} dt \quad (\text{semiclass. trajectory})$$

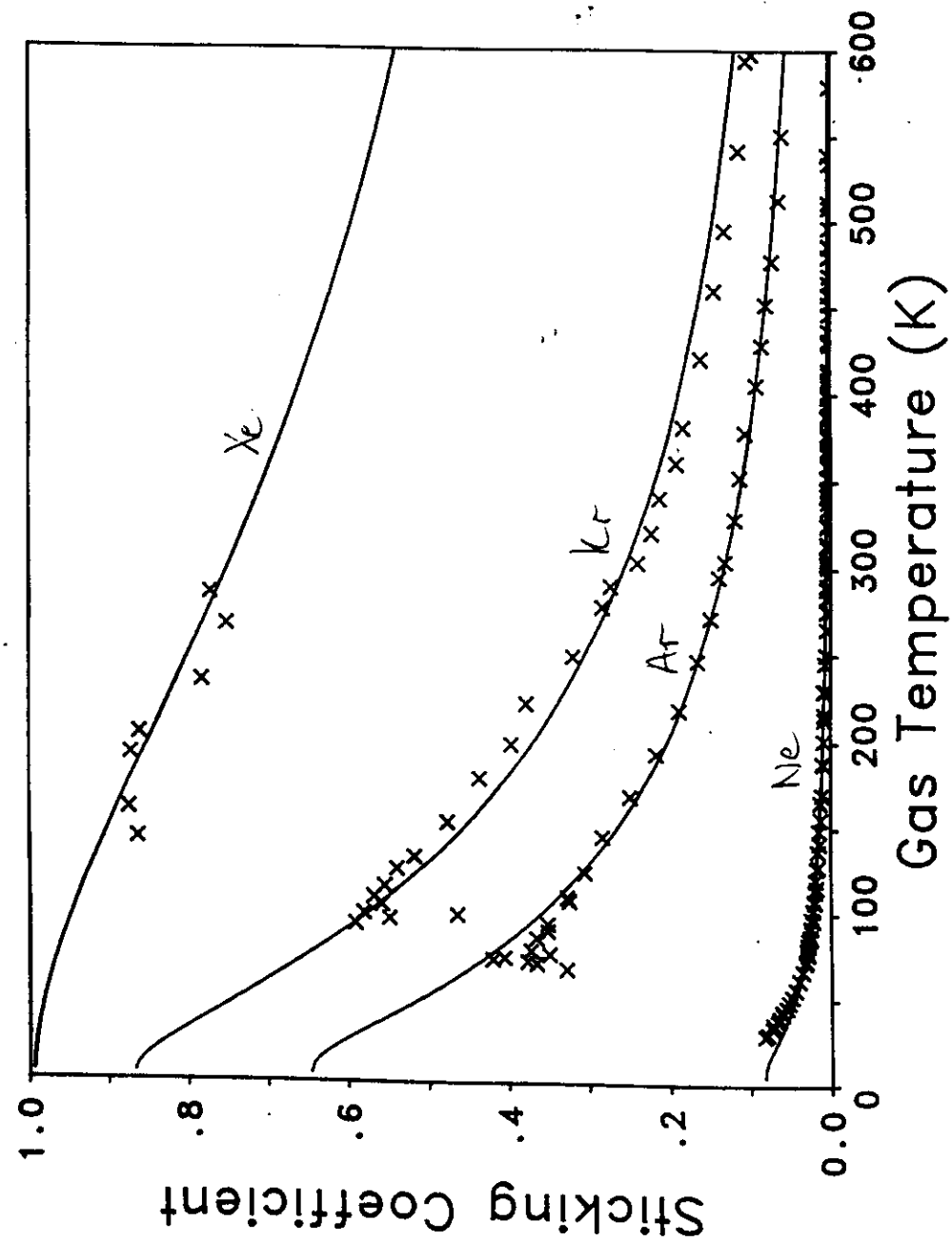
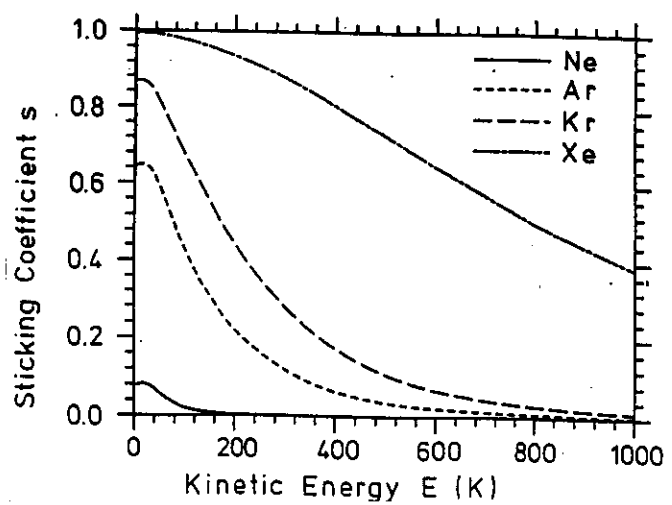
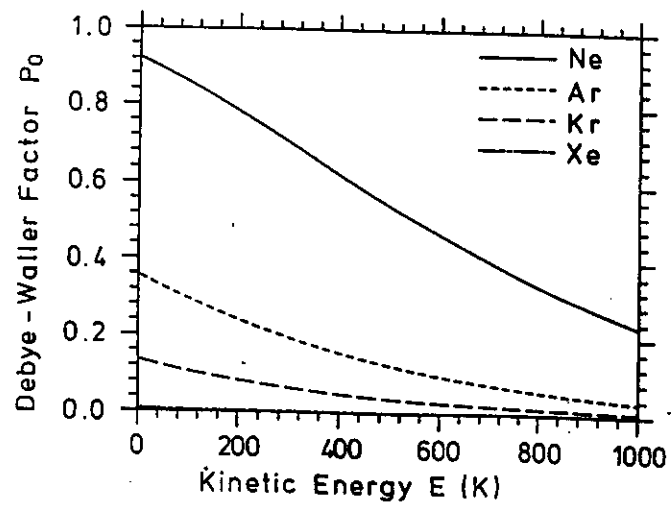
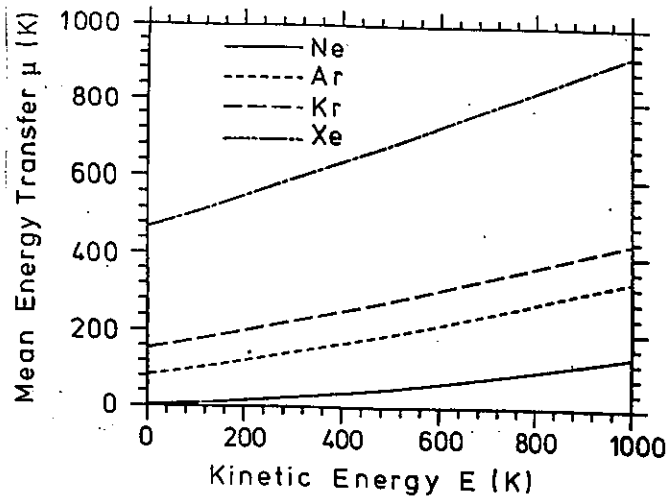
$$S(\omega) = \chi''(\omega) (1 + n(\omega, T_s)) \quad (\text{displacement core})$$

FT of $\langle x(t)x(0) \rangle$

$$\chi'' = \omega \begin{cases} \frac{1}{M \omega_D^3} & ; |\omega| \leq \omega_D ; \text{phonon} \\ \frac{1}{m_e \omega_F^3} & ; |\omega| \leq v_F k ; \text{electron-hole pair} \end{cases}$$



Schlichting, Mental, Brunner, Breig, JCP, in print



2) Quantum reflection of adsorbate at low energy.

I Short range potential

a) Lenard-Jones, Strachan: Proc. Roy. Soc. A150 442 ('35) (DWBA)

b) B. Z. Phys. B36 227 ('80) (non perturb.)

$$\left[\psi(z \approx 0) / \psi(\infty) \right]_{\text{WKB}} \approx \frac{E^{1/4}}{\sqrt{V(0)}} = \left(\frac{E}{D} \right)^{1/4}$$

$$\left[\psi(z \approx 0) / \psi(\infty) \right]_{\text{QM}} \approx \left(\frac{E}{D} \right)^{1/2}$$

$$\psi_{E=0}(z) \Rightarrow z - a \quad (z \rightarrow \infty) \rightarrow$$

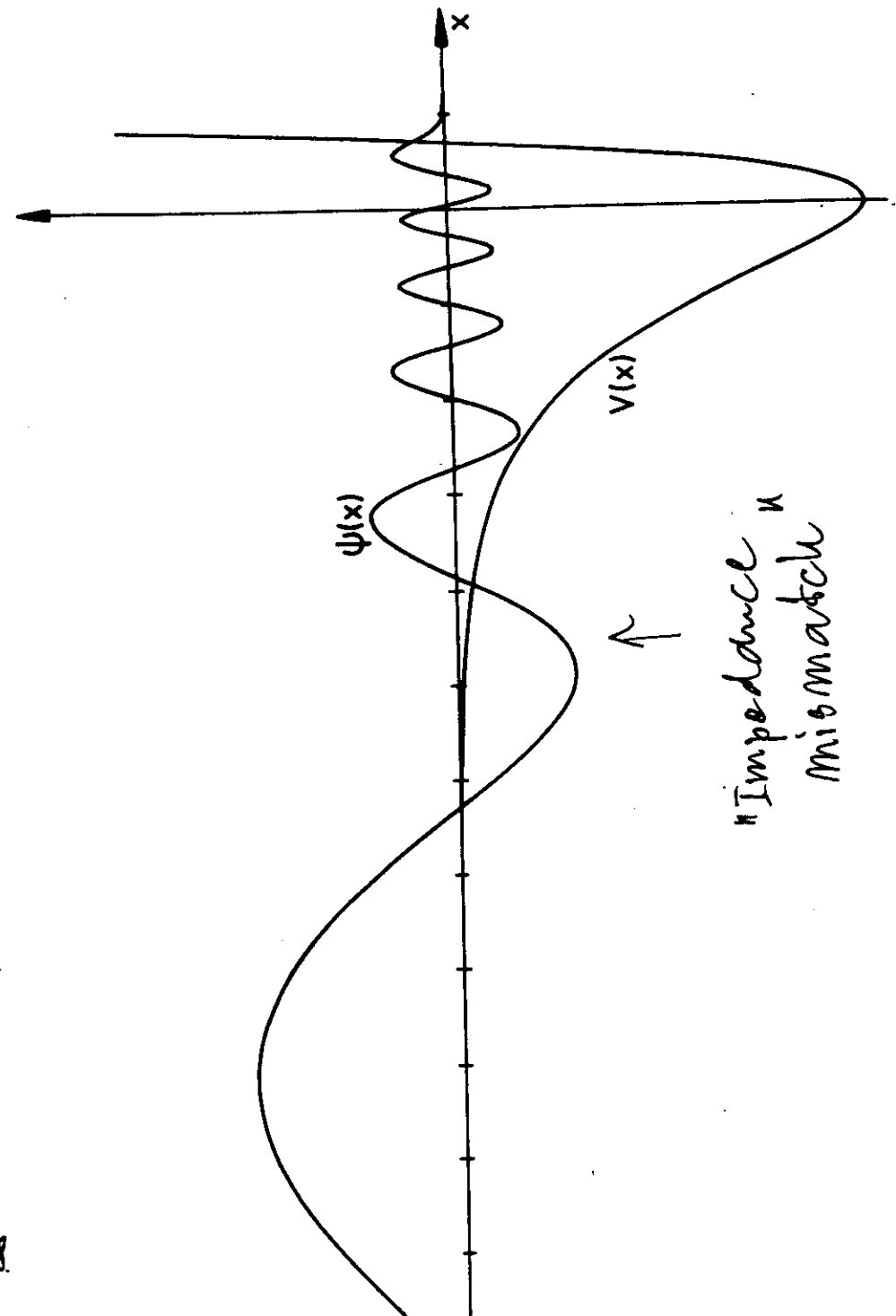
$$S(E) \propto |\langle \psi_E | H_{\text{int}} | \psi_0 \rangle|^2 / \sqrt{E} \propto \sqrt{E}$$

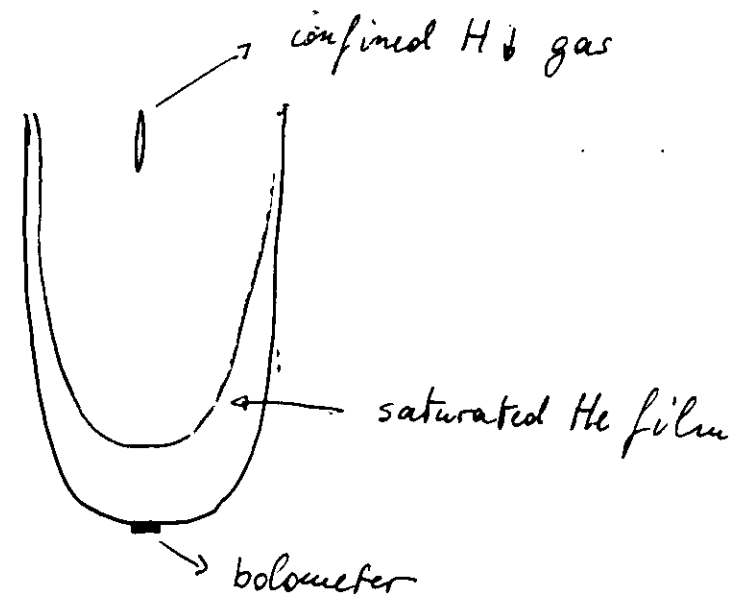
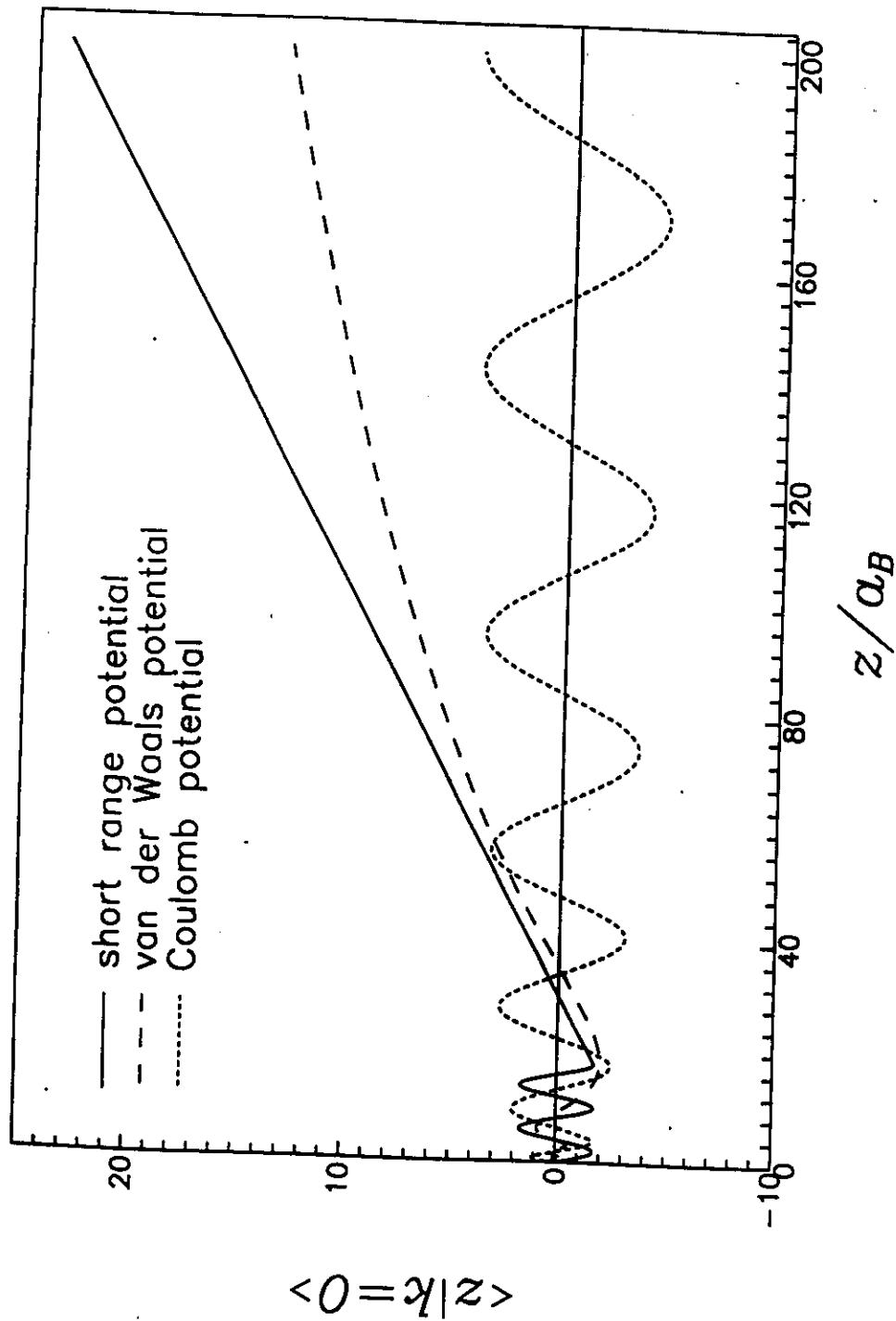
II Van der Waals potential

$$\psi_{E=0}(z) \Rightarrow z - a \ln z$$

still: $S(E) \rightarrow \sqrt{E}$ but turnover from classical to q. m. at much lower E (for He at about 10^{-4} K)

Lit. B., Böheim, Stützkü: Z.Ph. B48 48 ('8)
Caravita Cole: P.R.L. 68 412 ('92)





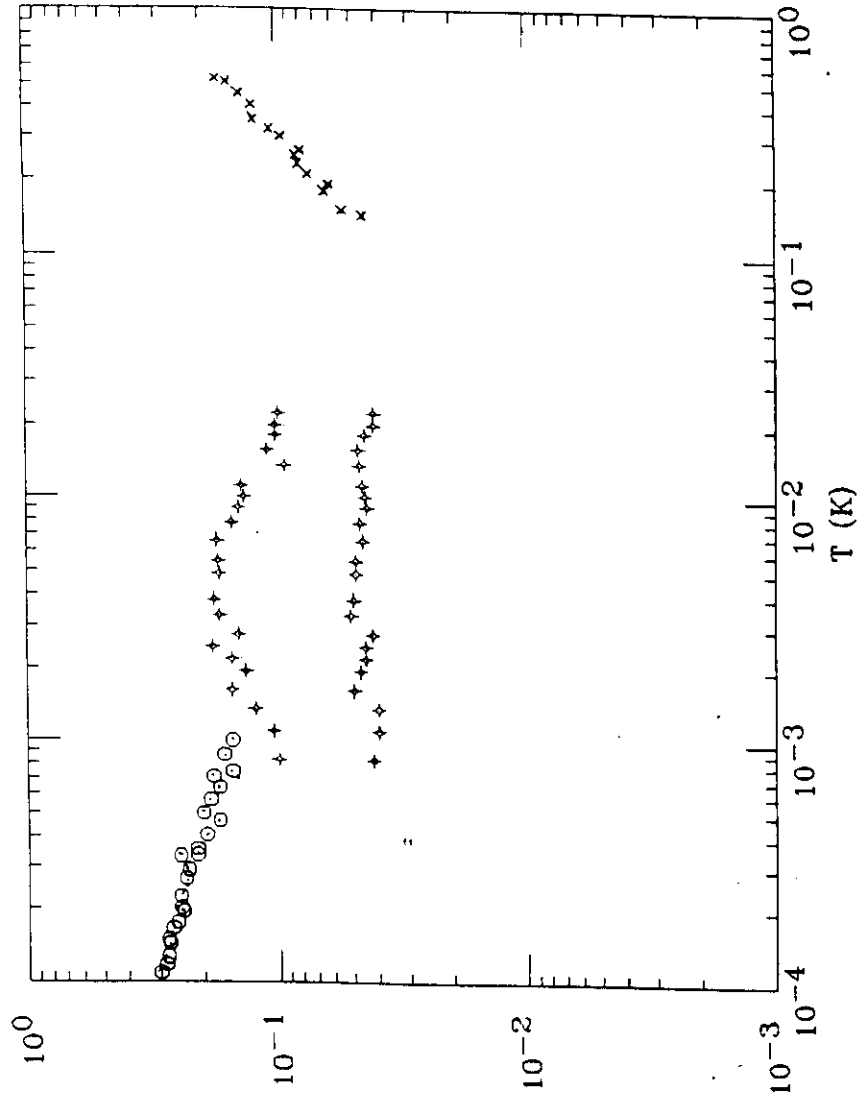
Turn off confining fields
 Measure decay rate of number of ^{gas} atoms

$$\frac{1}{\tau(E)} = \eta \left(\frac{zE}{MD^2} \right)^{1/2} S(E)$$

D = cell diameter

M = m_H

a = geometric factor

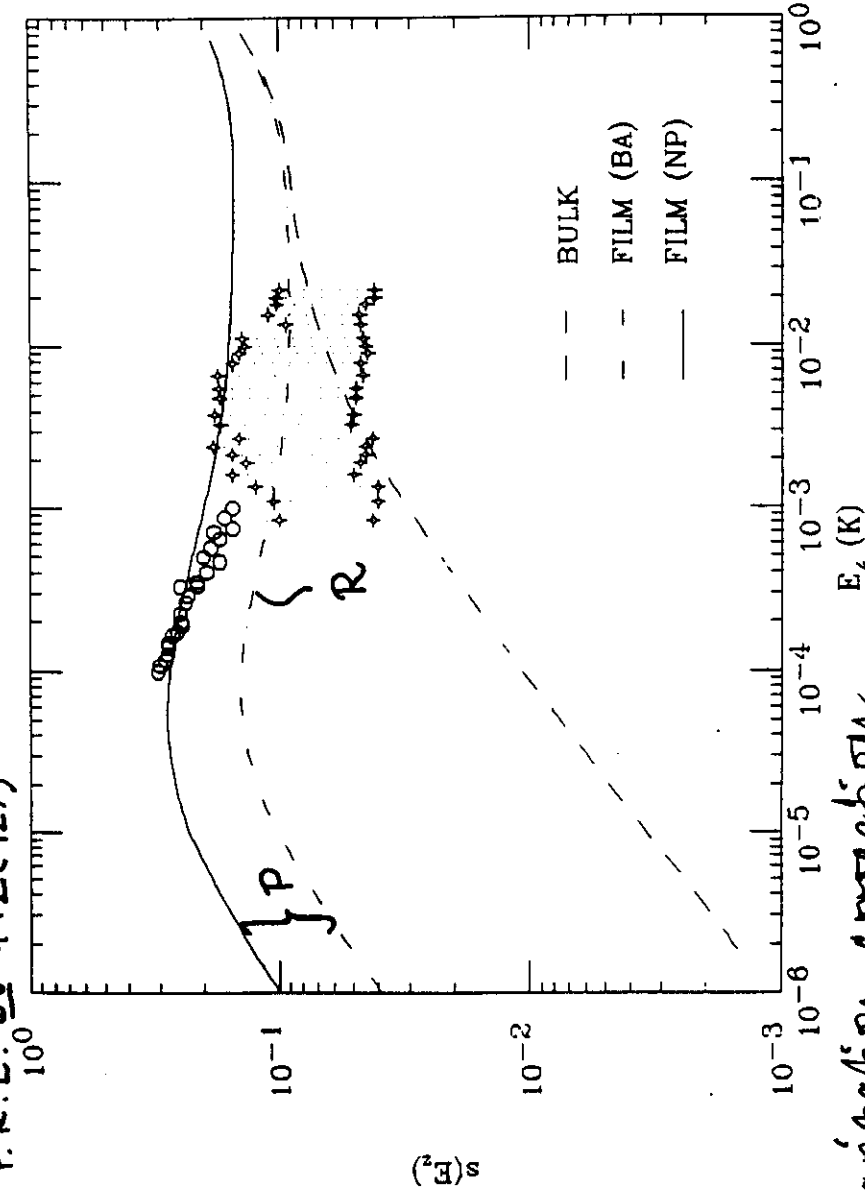


δ on the
surface of
quid ^4He

$s(T)$

T (K)

R: Resonant increase of $k(E)$ (bound state near $E=0$)
(C.C. P.R.L. 68 412 (1921))



$s(E_z)$

P: Polarization correction

to DWBA many (B.B.St. 2.Ph. B48 48 (1983))

III Coulomb forces (image force $\frac{e^2}{4\epsilon \cdot z}$)

B., Rüss: Surf. Sci. (192) in print

Main difference compared to I, II:

$$\psi(z) \approx \psi_{WKB} \rightarrow$$

classical result $\approx$ correct: $S(0) \neq 0$

in 1-phonon approximation

$$S(0) \approx \Delta E / \hbar \omega_D \approx \frac{4 m e^2}{M a_B^* \hbar \omega_D} \approx \frac{\langle \dot{u}^2 \rangle}{a_B^{*2}} = \lambda^2 \text{ (electrons/He)}$$

Due to (quantitative) deviations of WKB from QM: $\lambda^2 \rightarrow 0.1 \lambda^2$

There is no "destruction of quantum interference by dissipation" as claimed by Martin, Dornbusch & Platzman Phys Rev. 308 2257 ('88), 339 1241 ('89)

