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UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION
INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
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UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION



INTERNATIONAL CENTRE FOR SCIENCE AND HIGH TECHNOLOGY

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SMR. 628 - 20

**Research Workshop in Condensed Matter,
Atomic and Molecular Physics
(22 June - 11 September 1992)**

**Working Party on:
'Energy Transfer in Interactions with
Surfaces and Adsorbates'
(31 August - 11 September 1992)**

**"Inelastic Effects in Electronically
Stimulated Desorption"
(ESD and PSD)
(PART II)**

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These are preliminary lecture notes, intended only for distribution to participants.

INELASTIC EFFECTS IN ELECTRONICALLY STIMULATED DESORPTION (ESD and PSD)



SURFACE COUPLING EFFECTS IN CORE-INDUCED PHOTODISSOCIATION

D. MENZEL
(2)

Steps: (2)

- see talk!
- I) Excitation : Hole formation
Ionisation : (by photon absorption)
 - II) Deexcitation : Hole decay
(electronic rearrangement)

Coupling
surface

Screening
of surface

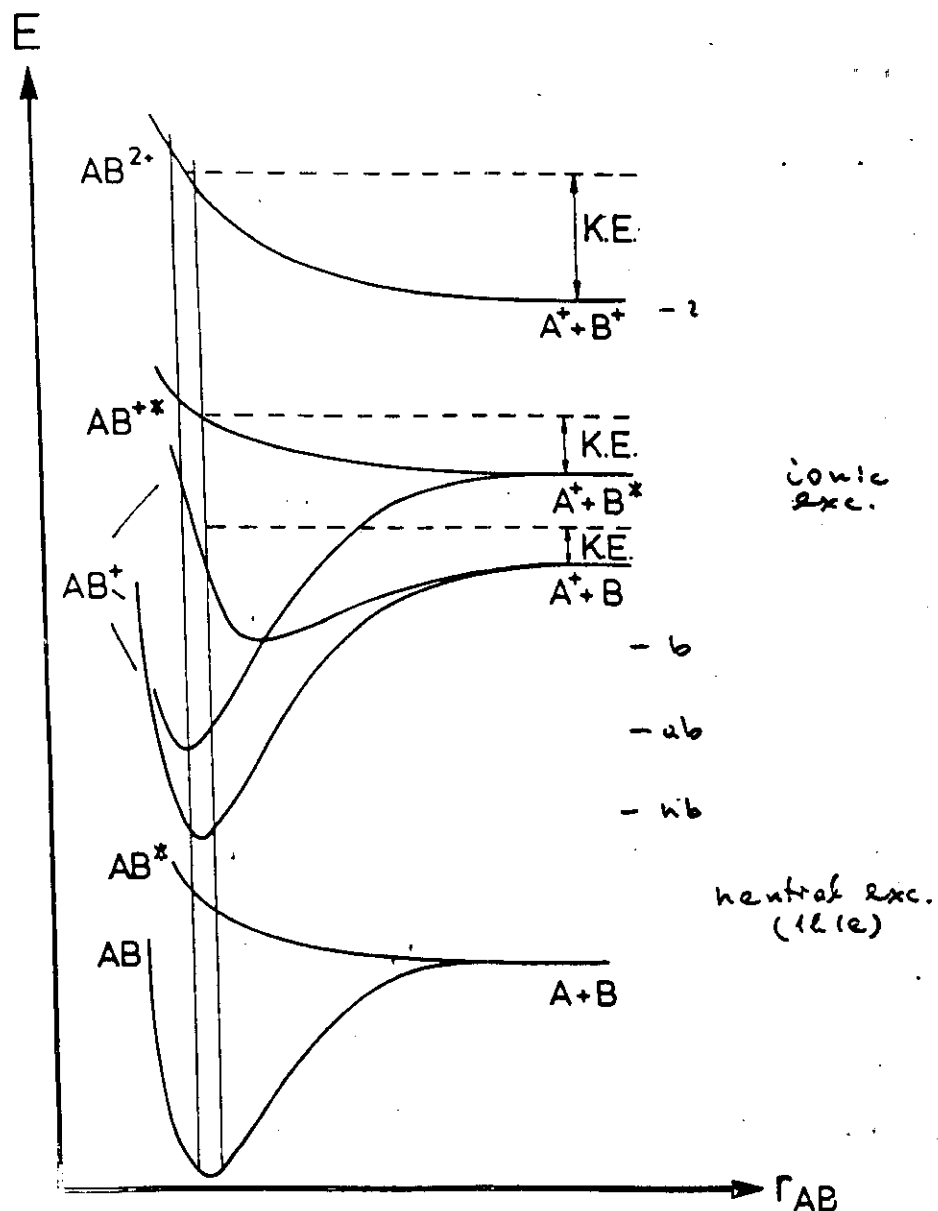
II

- III) Bond breaking: Dissociation
(coupling to nuclear motion) Desorption

Excitation
transfer;
Quenching

First approach :
time scales separated — stepwise
Will have to justify!

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→ Always at least
2 steps (more possible)
with generally well-separable (?) 3

TIME SCALES

Excitation: $> \frac{1}{\omega}$ $\sim$ (some) 10^{-17} sec

Screening: Polarization (~ 10 eV) $\frac{1}{\Gamma}$ $\leq 10^{-16}$ sec
 Image charge (wp) $\leq 10^{-16}$ sec
 Charge transfer (> 5 eV to < 0.05 eV) (overlap) some 10^{-16} sec to one 10^{-14} sec

Deexcitation: Core life time for E_g 200-800 eV some 10^{-15} sec

Screening: see above

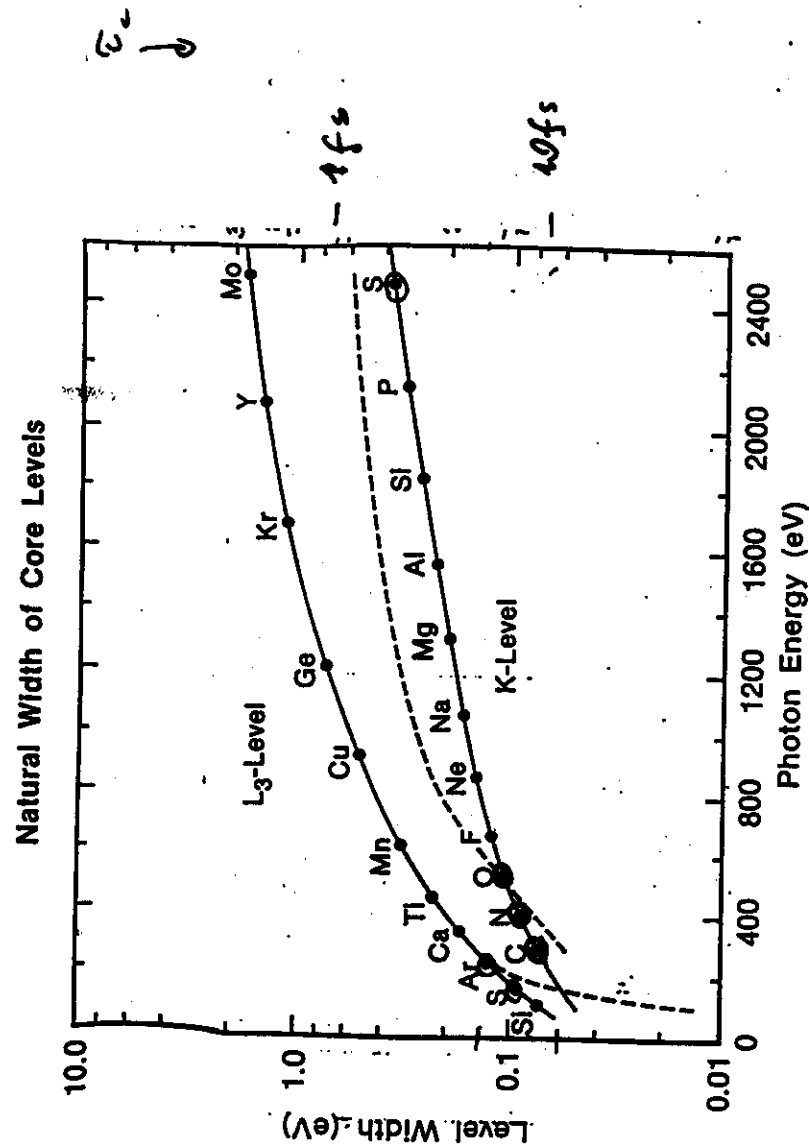
Atomic motion: Depends on mass and force (dE_g/dR) (some 10^{-15} to some 10^{-14} sec)

Quenching of excitation: Overlap, but with moving atoms 10^{-16} to $> 10^{-8}$ sec

⇒ Considerable overlap of time scales !!

⇒ No well-separated steps; competition and interference expected

Core Life time



Will use examples from two classes of systems:

— Small molecules bound to metal surfaces

CO, NO, N₂, O₂, N₂O, H₂O ...

on TM single crystal surfaces

(Ru, Pt, Pd, W, Ag ...), submonolayers to monolayers

Very well defined systems by surface science methods!

— Surface molecules of molecular condensates (ices)

H₂O, NH₃, CH₄, C₆H₆, H₂S ... (and D)

EXPERIMENTAL:

Extreme UV ($\sim 10^{-10}$ mbar); surface science methods

Measure: TEX, PEY, AEX for excitation at SR source

Decay electron spectra for decay

"Desorbing" particles for fragmentation (here: fragmentation)

for fragmentation

Most important coworkers:

D. Coulman

P. Feulner

G. Röcker

R. Schenker

R. Treichel

E. Umbach

W. Wust

ADSORBATES ON METALS

EXPERIMENTAL FINDINGS:

IONS and NEUTRALS detected, but:

OVERALL STRONG DECREASE OF
DISSOCIATION EFFICIENCIES
($P_D \sim 10^{-1}$ to 10^{-3})

NEUTRAL DESORPTION PRODUCTS
MUCH MORE ABUNDANT THAN IONS;
LOWER E_K , WIDER ANGULAR
DISTRIBUTIONS

P_D depends on (e.g.)

- adsorption state (coupling)
- coverage, aperiodicity (minority states)
- adsorbate mass (isotope effect)
- primary excitation
 - neutral, single $\leftarrow$ multiple valence
 - < core exc. $\leftarrow$ core shake-up

In particular: core ionization:
totally destructive for small isolated
molecules

Surface:

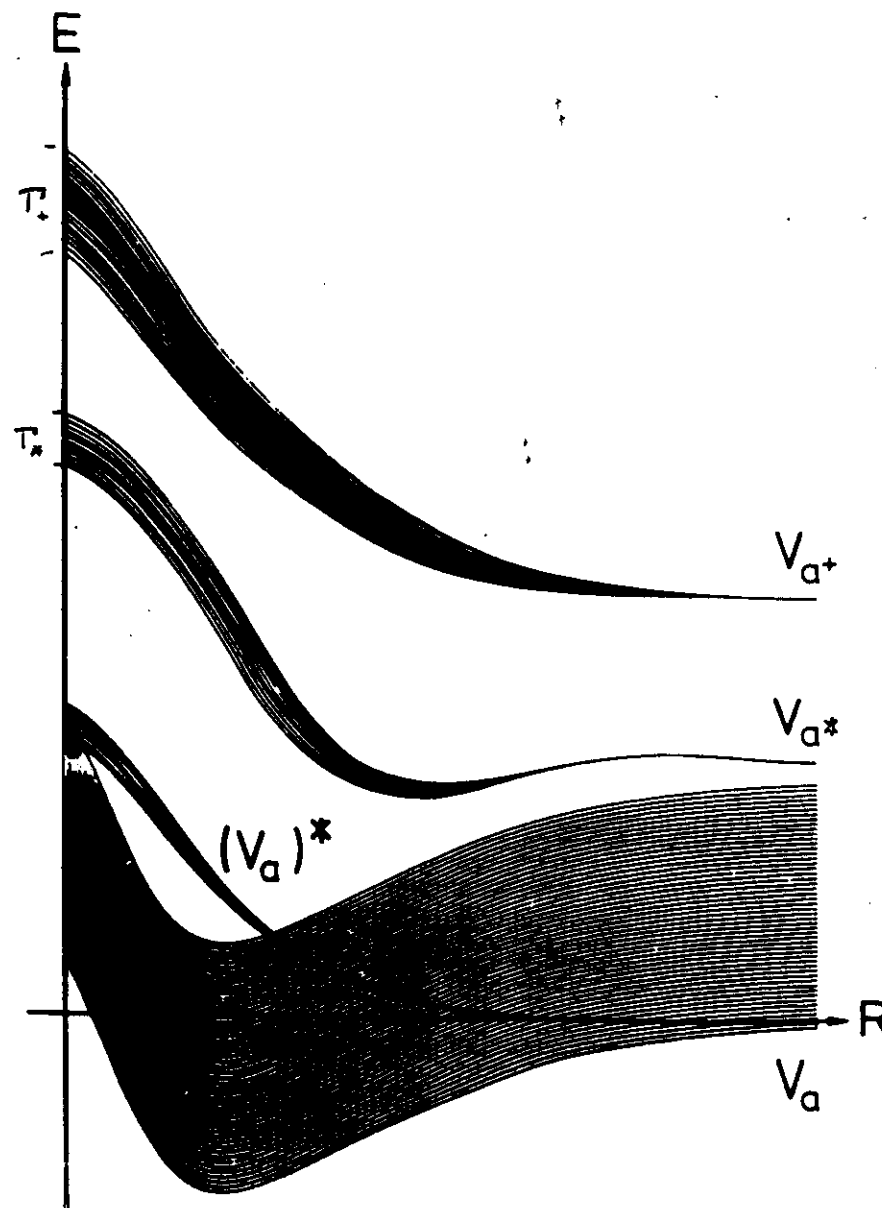
Generally :

P_D the lower the stronger coupling
(bond strength and geometry, density, order,
type of excit.)

" the higher the stronger localization
(type of excitation, Coulomb localiz.,
disorder, "minority states")

$\Rightarrow$ "MGR" model :

DELOCALIZATION/RECAPTURE
(transfer of energy and/or charge)
in competition with atomic separation
(metal) surface as
EXCITATION SPONGE



Brenig 1976

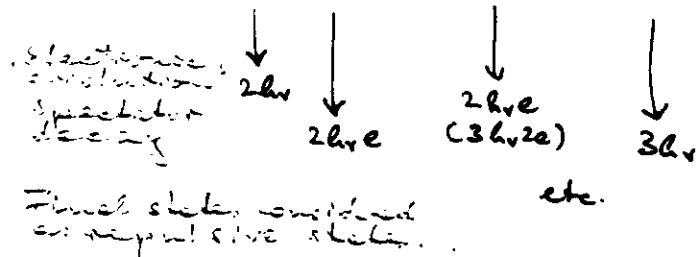
Explanation of competition between dissociation and delocalization

⇒ the stronger the excitation is localized, the smaller is the influence of coupling to the "excitation sponge".

Cini - Sawatzki mech. of Coulomb localization (correlation effect)

Makes the branching sequence understandable

$h\nu < h\nu_e < 2h\nu < h_c, h_{ce} \ll h_c, h_{ve}, h_{cv}$



For instance:

CO, O₂, first satellite resonance (~550 eV) Polarization dependent

$$1s^{-1} 1\pi^{-1} 2\pi^{+2} \rightarrow 1\pi^{-1} \nu_1^{-1} \nu_2^{-1} 2\pi^{+2} \quad (1\pi^{-1} \nu_1^{-1} 2\pi^{+1})$$

Very repulsive, if ν_1, ν_2 bonding.

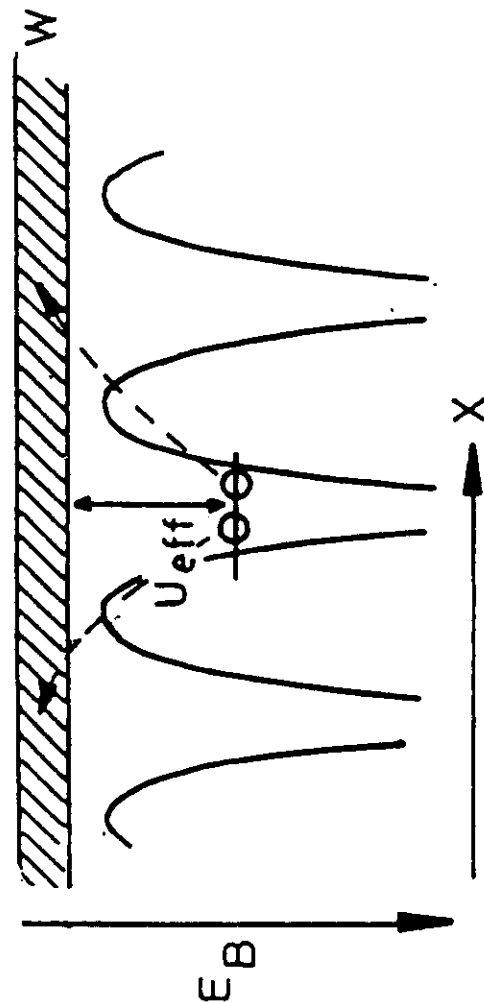
Barely seen in gas phase, because lower excitations suffice, if sufficient time is available!

"FRANCK-CONDON DISORDER" !!

Cini - Sawatzki:

Localization of 2e-states

Reason for high efficiency of 2e-states:



$$U_{eff} \leq 2\hbar\omega$$

Sequence: core excitation → Auger decay → evolution of 2e state
time 10^{-15} s

Some examples for molec/metal
core exc.

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O^+ from CO / Ru(001)
NO / Ru(001)

- strong signal above O 1s
but "delayed onset":

=> Core-valence shake-ups
i.e. multiple excit.,
strongly enhanced

- less than 1% signal at
C 1s, N 1s; no shake-up inf.

=> Very strong selectivity and localiz.

- from polariz. dependence:
symmetry obtainable

e.g. first triple resonance is

$O\ 1s^{-1}\ 1\pi^{-1}\ 2\pi^{+2}$

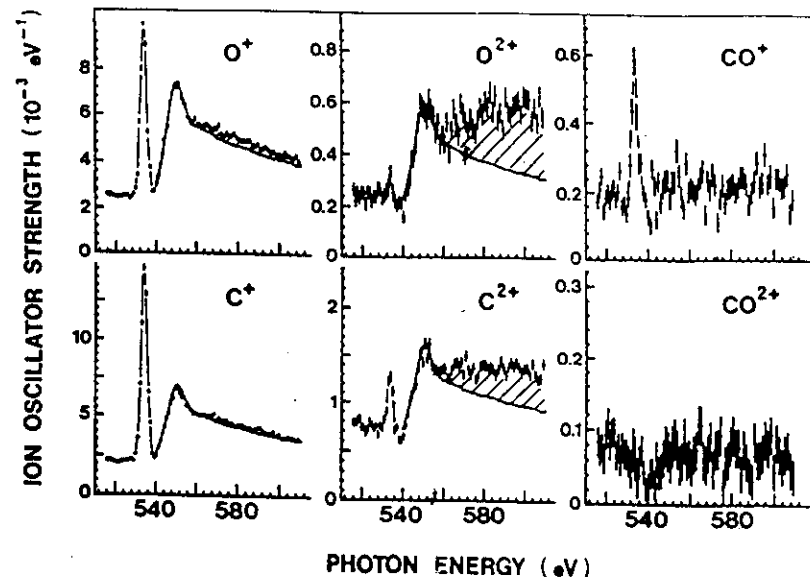
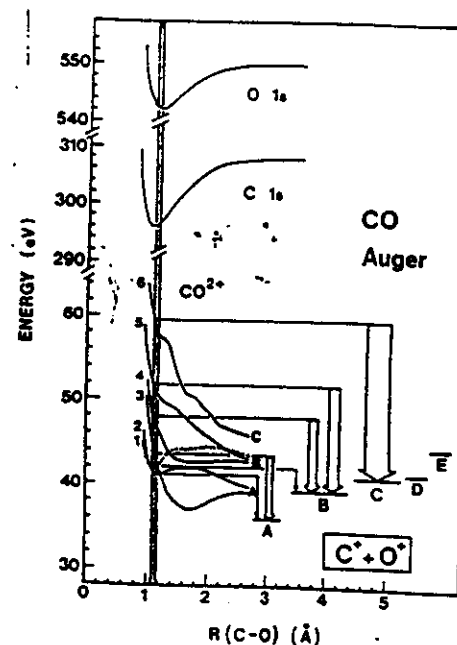


FIG. 7. Partial ion yield oscillator strengths from O 1s photoionization of CO. The absolute scales were established at 565 eV to calculated atomic cross sections with a correction for dissociative multiple ionization derived from nal. Solid lines are the total ion yield spectrum scaled to match each ion yield spectrum between 542 and 554 eV planned in Fig. 6.

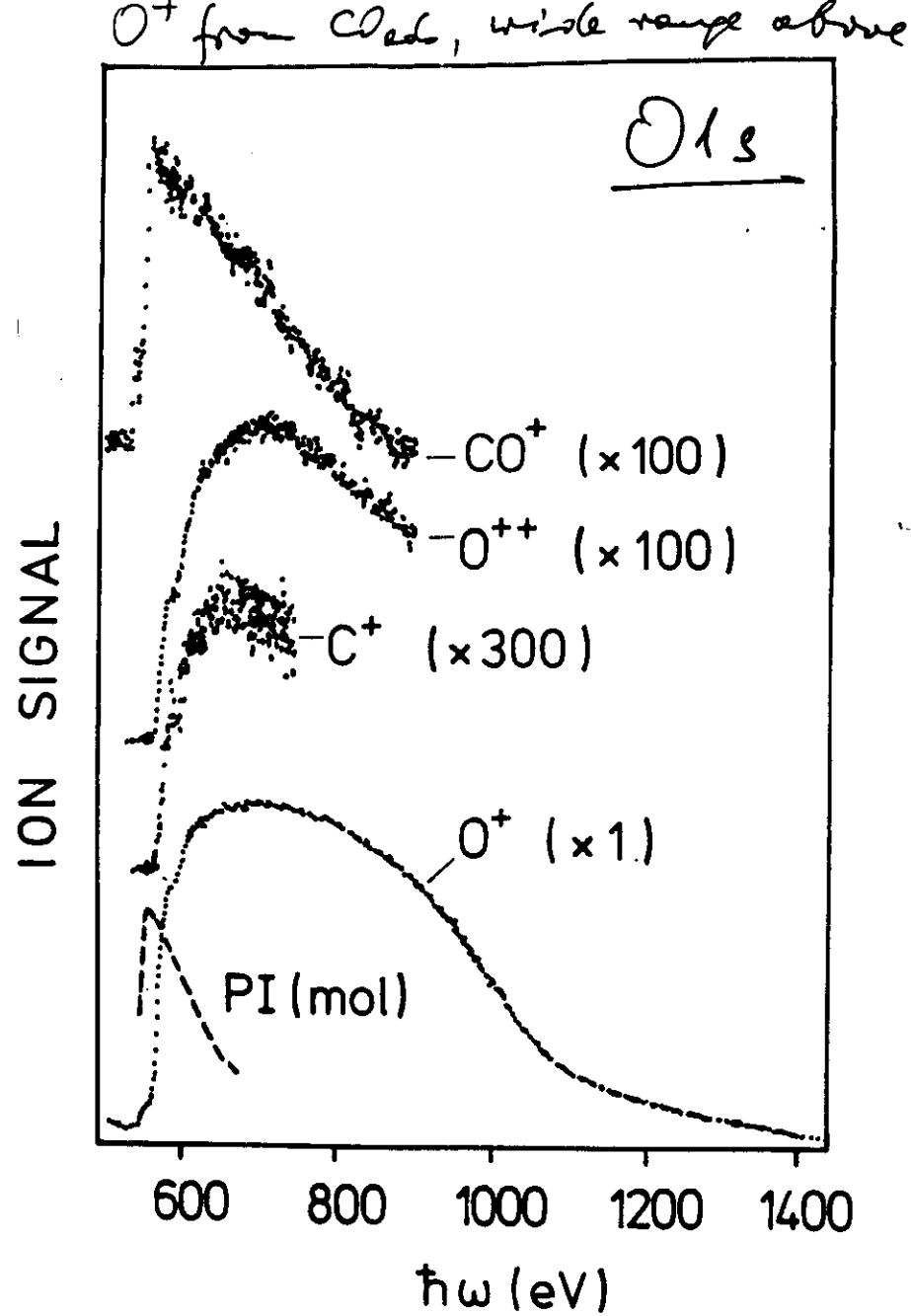
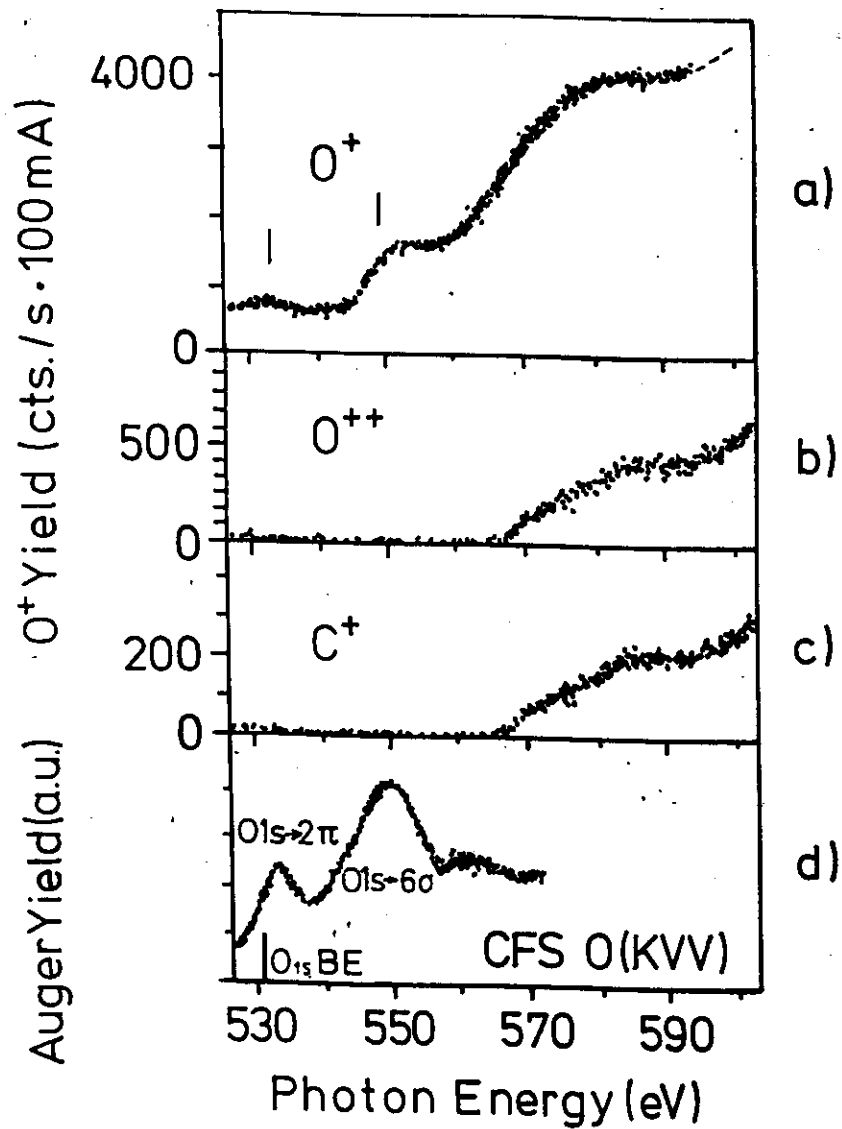
Hitchcock et al 1989

CO gas phase



Schematic of Auger decay leading to $(C^+ + O^+)$.

Process		
$h\nu + CO \rightarrow CO^+$		single (a)
$h\nu + CO \rightarrow C^+ + O$	+O	(b)
$h\nu + CO \rightarrow C + O^+$	+O ⁺	(c)
$h\nu + CO \rightarrow CO^{2+}$		double (d)
$h\nu + CO \rightarrow C^{2+} + O$	+O	(e)
$h\nu + CO \rightarrow C + O^{2+}$	+O ²⁺	(f)
$h\nu + CO \rightarrow C^+ + O^+$	+O ⁺	(g)
$h\nu + CO \rightarrow CO^{3+}$		triple (h)
$h\nu + CO \rightarrow C^{3+} + O$	+O	(i)
$h\nu + CO \rightarrow C + O^{3+}$	+O ³⁺	(j)
$h\nu + CO \rightarrow C^{2+} + O^+$	+O ⁺	(k)
$h\nu + CO \rightarrow C^+ + O^{2+}$	+O ²⁺	(l)



CO ads. on Ru(001)

Trasler et al., PRC 1985 - 16

At Cls:

*Trasler et al.
RL 54 (85) 462
Rev. Phys. 153 (91) 259
Surface Sci. 243 (91) 239*

*CO ads. on Ru(001)
(similar to Ni(111))
15 u.u.*

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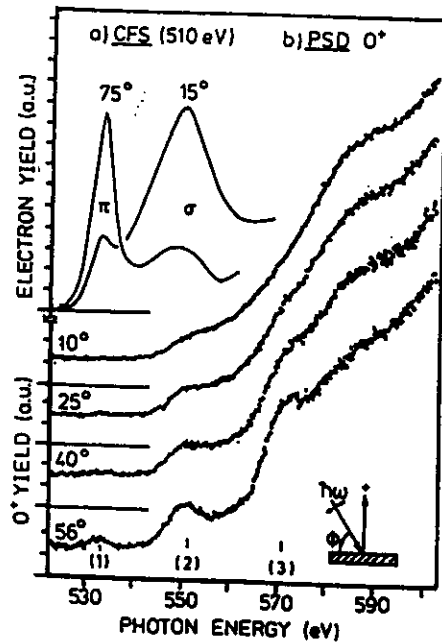


Fig. 9. Comparison of polarization dependences of a) the total ionization cross section (CFS) and b) the O^+ yield above $O1s$ for $CO/Ru(001)$, as a function of photon energy (from ref. [29], with permission). Contrary to the behaviour for total yields, the O^+ yield shows π -type resonances at 550 and 573 eV.

from Trautler et al., PRL 1985

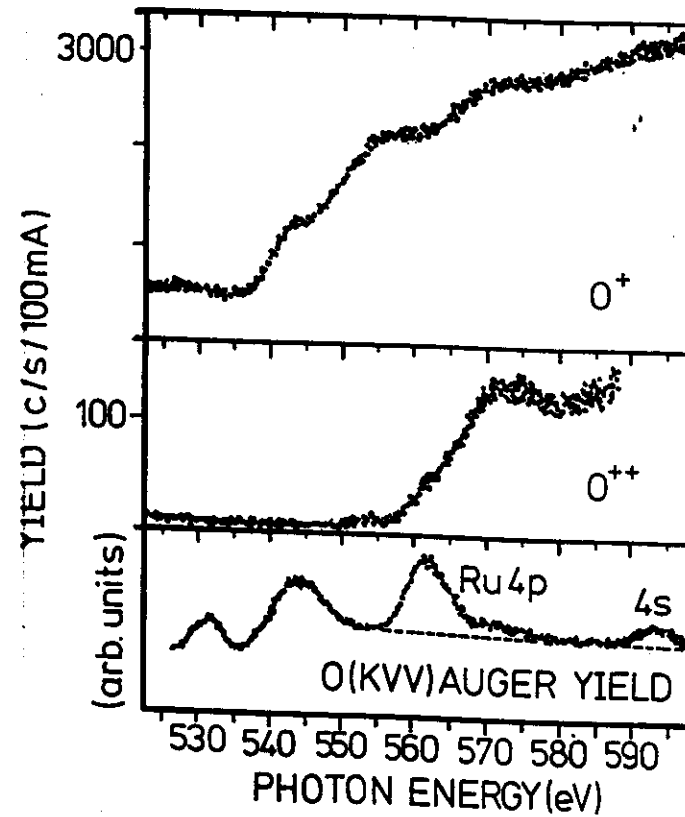
→ peak (2) is not shape resonance, as this would be σ symm.; but is π -symm.

⇒ threshold re. of $1\sigma^{-1}$ $1\pi^{-1}$ $2\pi^{-2}$ shake-up

Similar: $NO/Ru(001)$

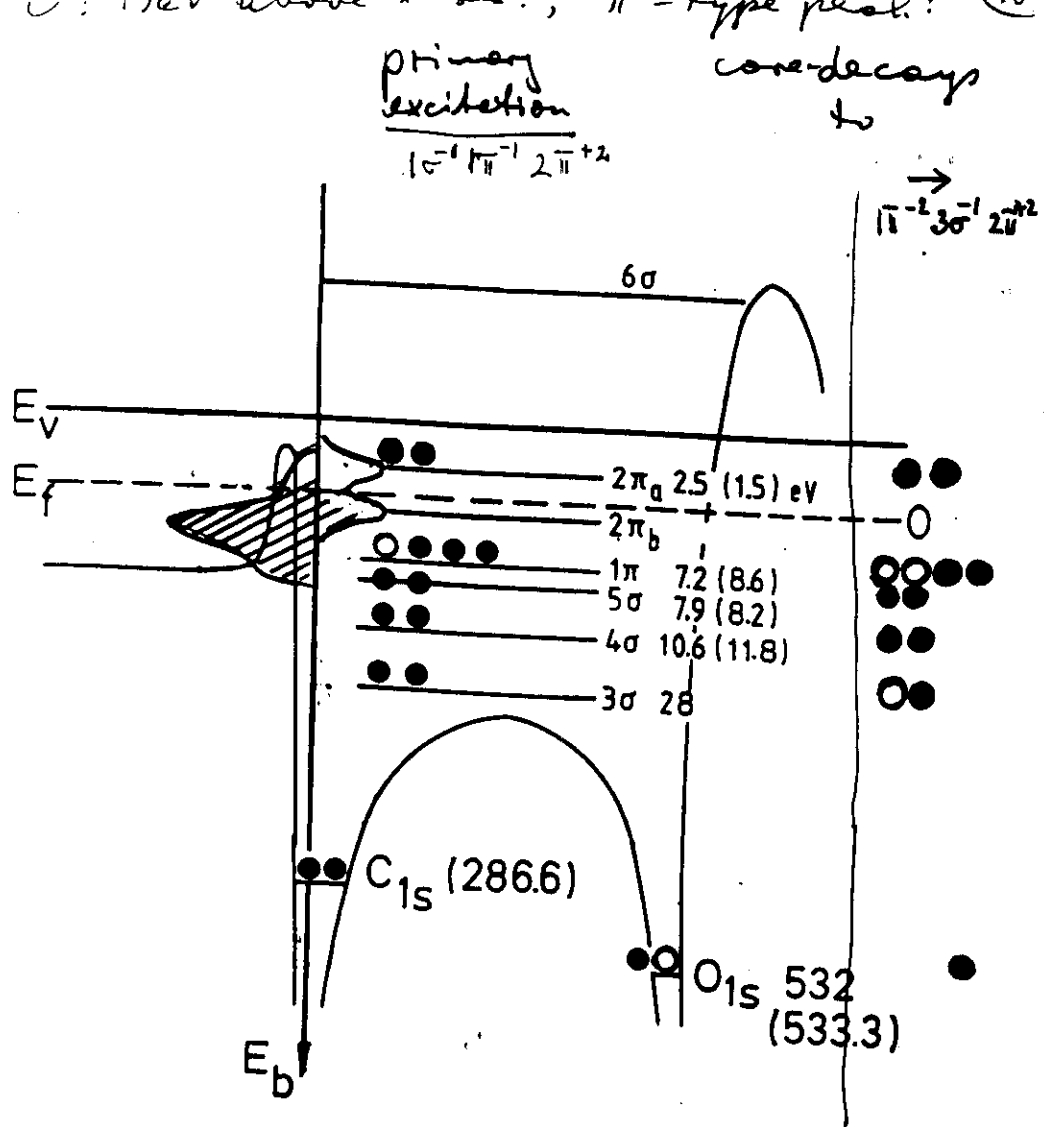
$V_2 - NO$ $Ru - Ru - Ru$ $O(2p^2)$

$(V_1 - NO$ $-Ru - Ru -$ $O(2p^2)$



O^+ at N1s: less than 1% signal (only re.)

⇒ extreme selectivity



$1\sigma^{-1}1\pi^{-1}2\pi^{+2}$
satellite threshold resonance

But is this all:

- Exp.: Direct $2h\nu$ excitations and $h\nu \rightarrow 2h\nu$ excit. are not equivalent!
- Gen.:
 - Core life time is quite long ($\sim 5 \times 10^{-15} s$)
 - Reversed argument: ultrafast channels need no localisation!

$\Rightarrow$ Is there a contribution from dissociation during core life time?

"ULTRAFAST", "non-F.C." - CHANNELS (see Harin+Naumer)

Appears possible for steep repulsive pot. curve.

Evidence from autoionisation (decay from relaxed core state)

Exp.: Should be more obvious for right fragments (H^+, D^+) 20

DISSOCIATION BY CORE-TO-BOUND RESONANCES

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For really fast processes: molecular properties should dominate.

Two general cases:

- 1) $\bar{\epsilon} v^*$ repulsive $\rightarrow$ see figure
- 2) $\bar{\epsilon} v^*$ bound

TIME SCALES:

(simple light molecules such as CO, N₂, H₂O, CH₄..)

core decay (τ_c)	: 1-10 fs
vibrations	: ~ 50 fs
screening	: 0.1-1 fs
charge transfer	: < 1 to 100 (?) fs
dissociation	: ? fs to ns
on surface	: to 0.1 ps
(restrict to direct disso)	

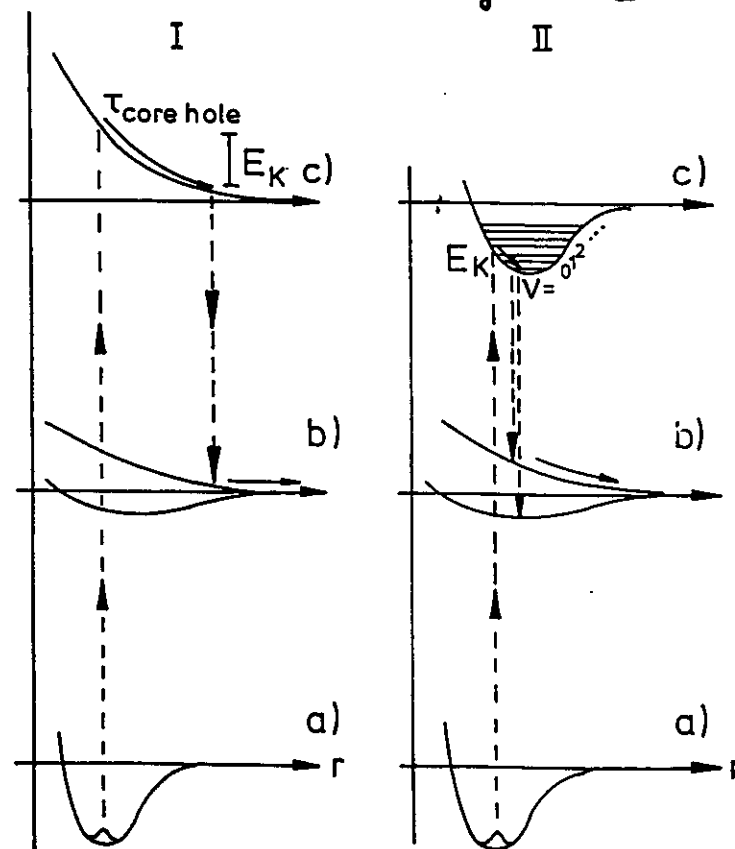
On potential with slope 5 eV/Å, an H atom moves (classically) about 0.6 Å in 5 fs and picks up 3 eV.

If $\tau_d < \tau_c$: certainly 'ultrafast'

If $\tau_d > \tau_c$: still possibly " (in decay state)

Resonant excitation to
repulsive $\bar{\epsilon} v^*$ state

time evolution (very schematic)



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Handwritten signature

Case 1) Repulsive core-excited state:

If strongly repulsive, dissociation in ϵ_c feasible for light atoms. Otherwise essential as case 2)

First example: Gas phase HBr, Br 3d exc.
Decay spectrum of Br atom observed (Kronin + Nenner, 1986)

Possible clues:

- Strong enhancement of dissociation in certain πv^* state(s)

Observed for: H_2O , NH_3 , H_2S , C_6H_6
not CH_4

Could still be due to $\bar{v}_1 \bar{v}_2 v^*$ states (ion observed!)

Positive proof:

- Decay spectra

not applic. to H_2O , NH_3 , H_2S cond. or ads. (but free H_2S : Akse et al.)

C_6H_6 : selectively dissociating peak has different decay spectrum

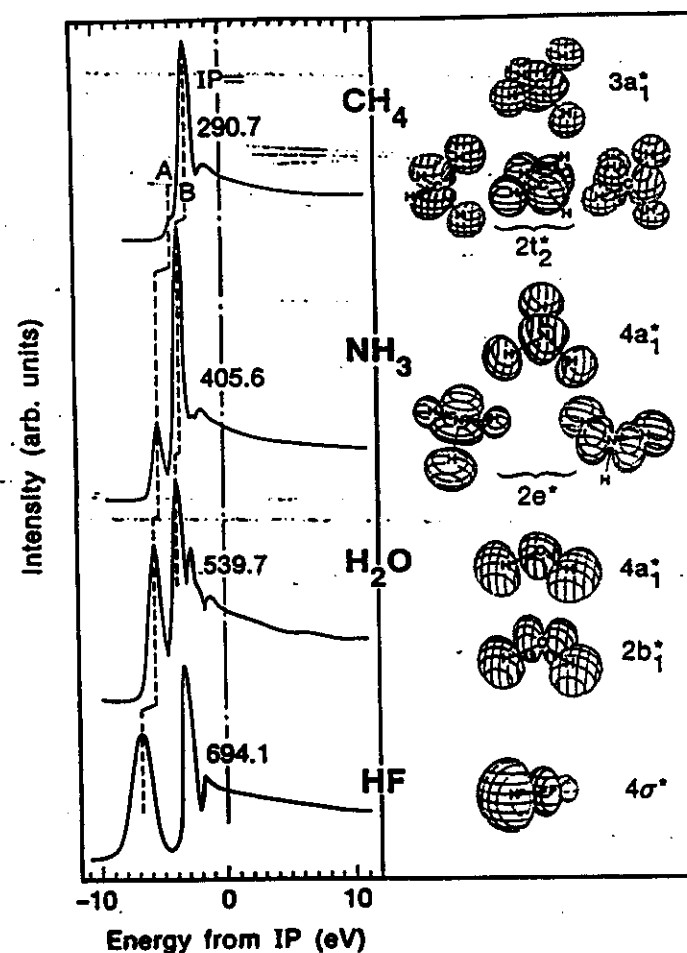
- No vibrational structure in absorption: not applicable for the above (interactional broadening?)
But in isolated molecules: H_2O , NH_3 ; not CH_4 (Randall et al.)

- Enhancement persists in adsorbates: H_2O , H_2S

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Ultrafast processes ($\tau_c < \tau_c$) expected for

- long-lived core holes
- strongly repulsive excitations
- fast-moving fragments



Cl s:
 $\tau_c \approx 10-13$ fs

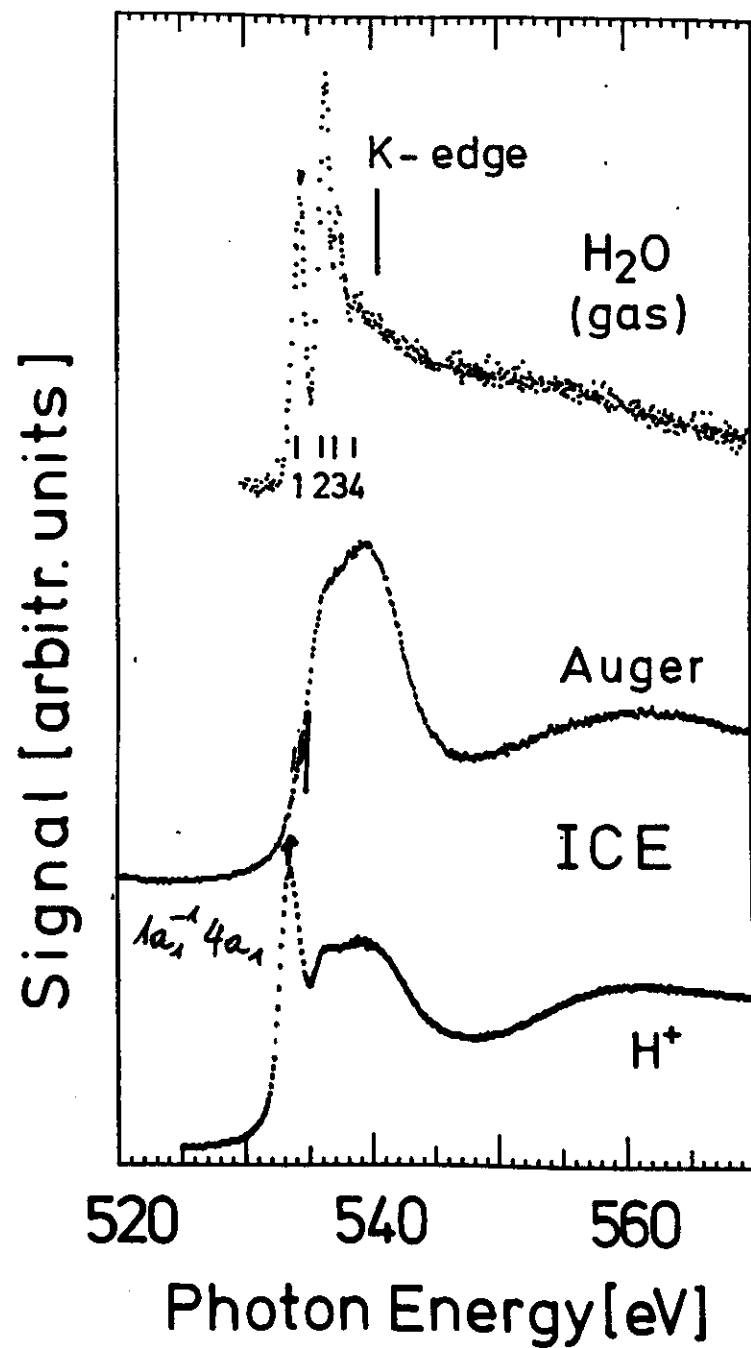
N 1s:
 $\tau_c \approx 7-11$ fs

O 1s:
 $\tau_c \approx 4-6$ fs

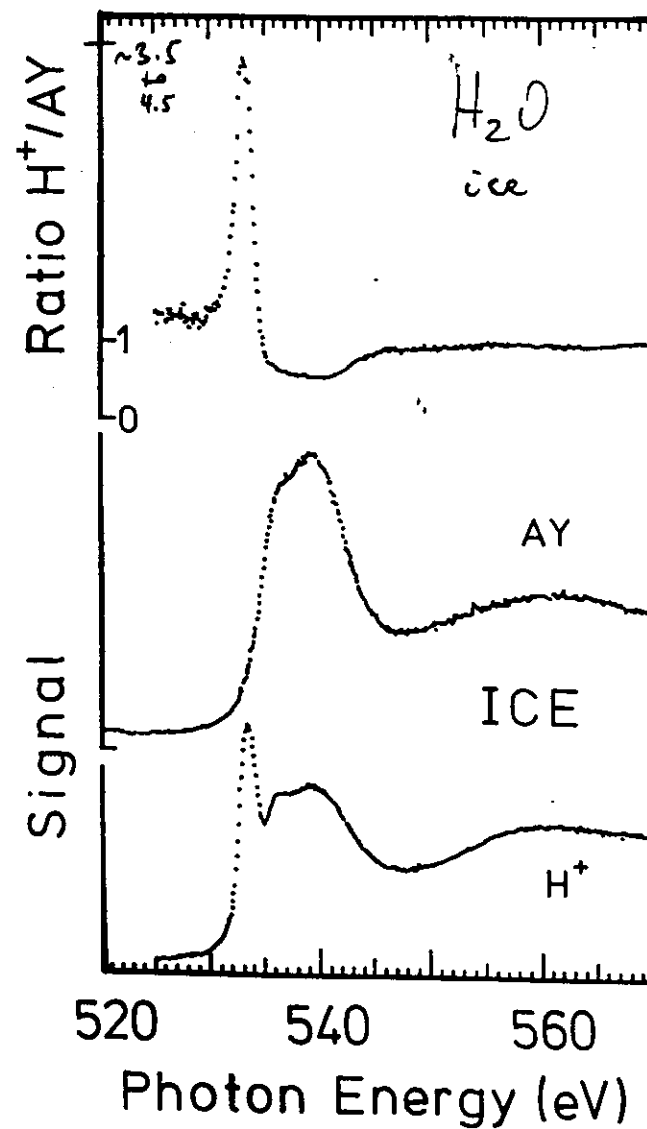
Signature 1: selective enhancement of dissociation

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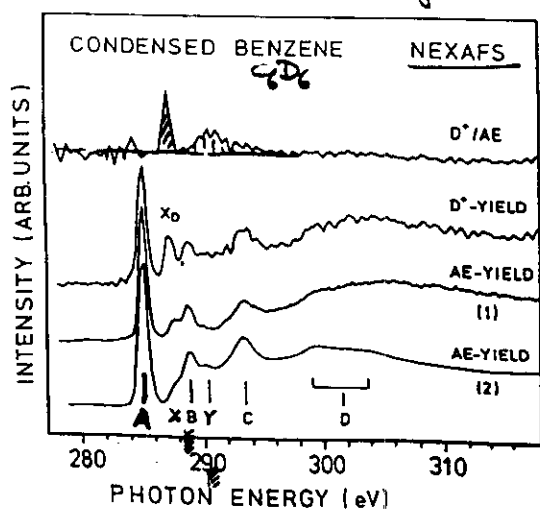
(26)



(27)

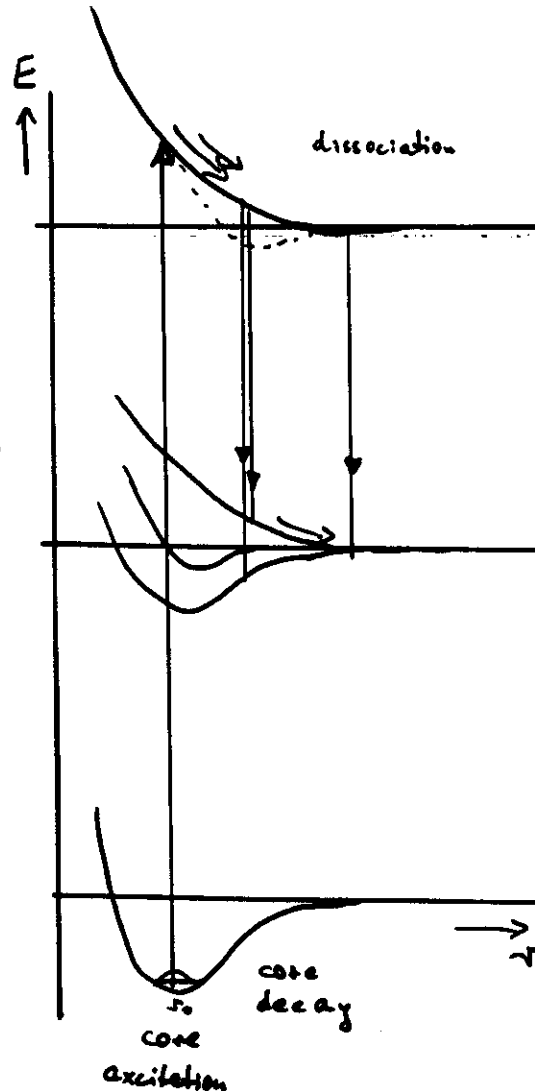
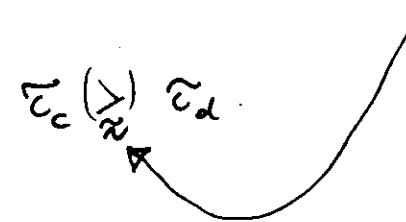


Also: NH_3 , H_2S

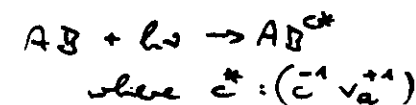


- A : $\pi^*(e_u)$
 X : $3s/\sigma_{CD}^*$
 B : $\pi^*(b_{2g})$
 Y : σ_{CD}^*
 C : $\sigma_{C-C}^* + \text{shape res.}$
 D : shape res.

Resonant
core
excitation
and
dissociation

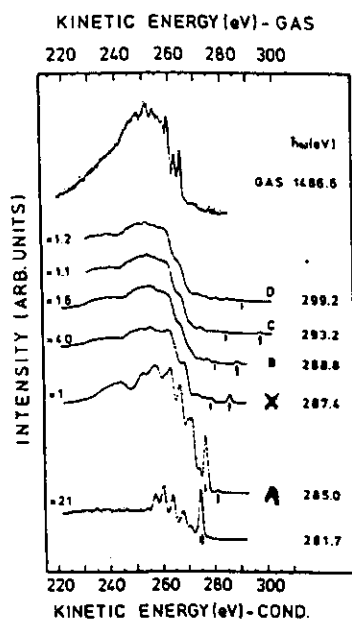


Primary excitation
by resonant core
absorption to
electronically
bound, but
repulsive
molecular
states:

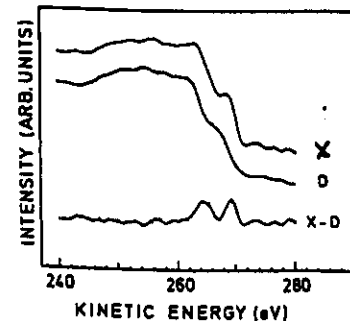
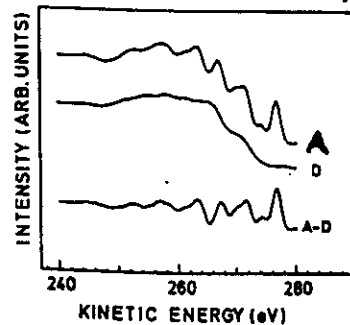


Depending on
 $\tau_c(r)$, electronic
decay leads
to
 $v_a^{-1} v_c^{-1} v_a^{+1}$ (spectral
decay
of AB^{c*}
 AB^{c*} at $r \gg r_0$
 $A^+ + B^*$

Decay spectra



extraction of autoioniz.
feature



Case 2) : Stable core-excited state

Example : N_2

Vibrational resolution of $N1s \rightarrow 1\pi_g^*$ persists in thick and thin condensates, in physisorbed monolayers, for N_2 in Ar matrix, and for N_2 on Xe spacer layer

But changes by environment (cage effect)

Also : Dissociation and desorption signals show vibrational structures which differ $\rightarrow$ different production

Simplest case : thin N_2 layer or N_2/Xe Absorption (PY) as in gas phase.

N^+ : possible slight enhancement of high vib. states $\rightarrow$ dynamics leading to dependence of weighting of decay of N^+ on vib. state (or excess energy) ?

3) In all cases :

Use of dipole selection rules allows selection of certain channels

e.g. Rydberg exc. of N_2

Broken symmetry of CH_4 on surface

CONCLUSIONS :

(direct dissoci. by core-to-bound exc.)

1) Very fast dissociation processes do exist.

Distinction between $\tau_e \approx \tau_n$ difficult.

2) Even for somewhat slower processes (bound $\bar{c}^+ v_n^+$), electronic and nuclear time scales are comparable

Need coupled treatment ?

Together with conclusion from core excitation / deexcitation studies:

Screening, charge transfer, electronic decay, nuclear motion on similar

CONCLUSIONS:

- 1) Molecules strongly coupled to metal surfaces:
Dissociation events requiring long times are efficiently quenched. "Long" means times below ps (depending on coupling)

⇒ only DIRECT dissociation seen.

COMPETITION between DISSOCIATION and DELOCALISATION limits description

- 2) There are also ULTRAFAST dissociation processes (i.e. on time scales of some fs). They eliminate the need for localization so that DISSOCIATION ALWAYS WINS.

⇒ seen equally in isolated molecules (to be proved directly), in condensed and in adsorbed layers.

- 3) The time scales of screening, charge transfer, electronic evolution and decay and nuclear motion CAN BE COMPARABLE.

