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INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
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INTERNATIONAL CENTRE FOR SCIENCE AND HIGH TECHNOLOGY

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**Research Workshop in Condensed Matter,
Atomic and Molecular Physics
(22 June - 11 September 1992)**

**Working Party on
"NOISES IN MESOSCOPIC SYSTEMS"
(27 July - 7 August 1992)**

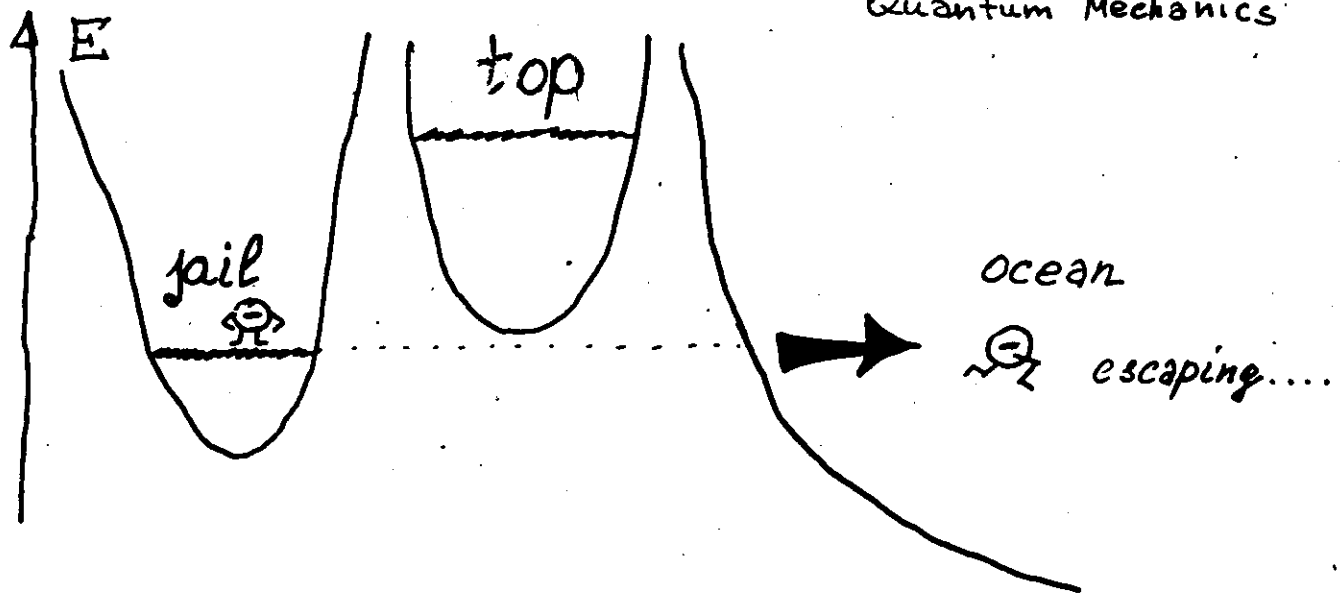
**"Co-tunneling and noises in the
systems of tunnel junctions"**

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These are preliminary lecture notes, intended only for distribution to participants.

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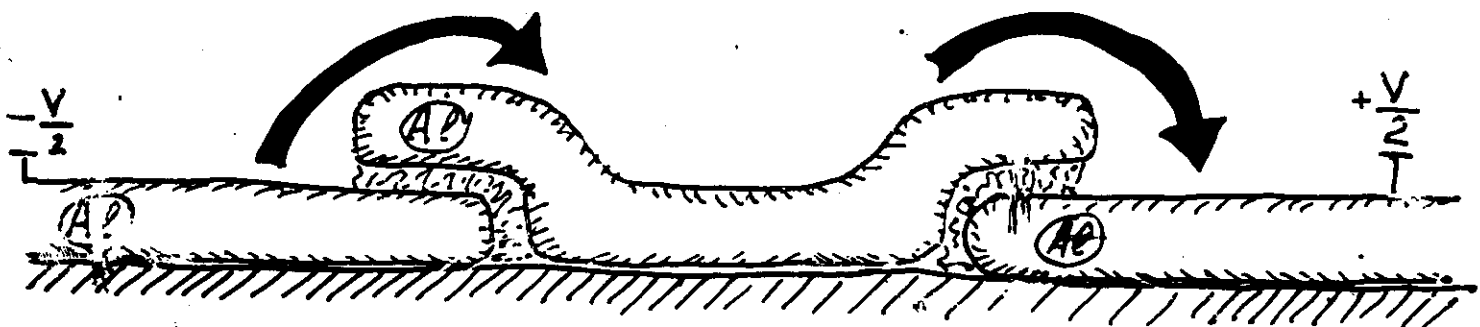
1. General principle of freedom: essence of Quantum Mechanics



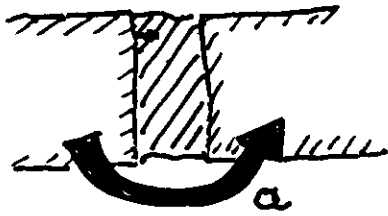
$$\Gamma = 2\pi \sum_{\text{ocean}} |A_m|^2 \delta(E_{\text{jail}} - E_{\text{ocean}})$$

$$A_m = \langle \text{jail} | \hat{H} | \text{top} \rangle \frac{1}{E_{\text{jail}} - E_{\text{top}}} \langle \text{top} | \hat{H} | \text{ocean} \rangle$$

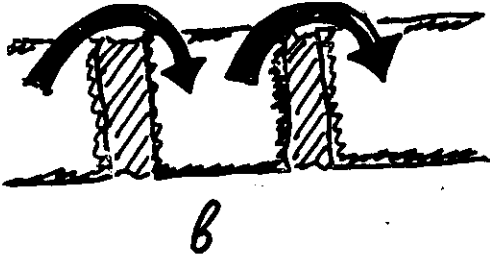
2. Systems of tunnel junctions $\rightarrow$ poor man's manybody effects



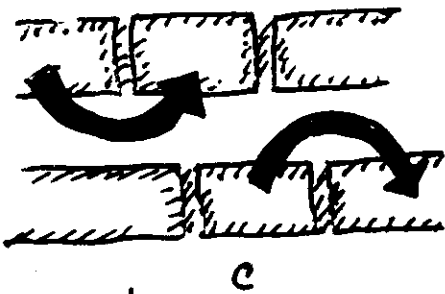
3. Types of CO-tunneling:



$$\Gamma_{\text{single}} = \frac{GV}{e^2} \simeq \frac{G}{e} \quad \left(V \simeq E_c \simeq \frac{e^2}{C} \right)$$

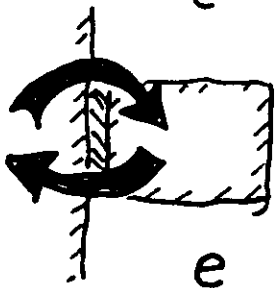


$$\Gamma_{\text{double}} = \Gamma^{(1)} \Gamma^{(2)} \frac{\hbar}{\Delta E} \quad \leftarrow \begin{cases} \text{time} \\ \text{uncertainty} \end{cases}$$

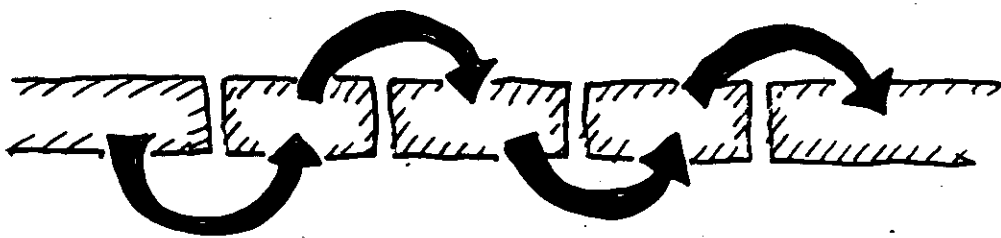


$$\simeq \Gamma_{\text{single}} (R_K G)$$

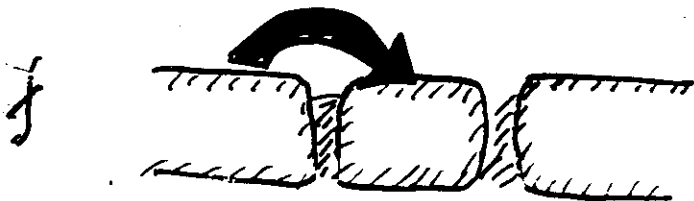
$$R_K = \frac{2\pi\hbar}{e^2} \simeq 6.5 \cdot 10^3 \text{ Ohm}$$



$$\text{Re}[G(\omega)] = (R_K G) \left(\hbar\omega / E_c \right)^6$$



$$\Gamma_N = \Gamma_{\text{single}} (R_K G)^{N-1}$$



$$\Gamma \simeq \Gamma_{\text{fluct}} (R_K G)$$



fluctuation

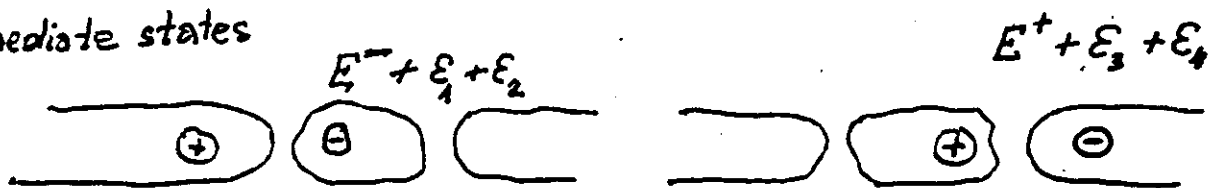
1. $\Rightarrow$ co-tunneling of two electrons

(Meyerin Udintsov 1989)

initial state



two intermediate states



final state



$$Am = T^{(1)} \left(\frac{1}{\epsilon_1 + \epsilon_2 + E^-} \quad \frac{1}{\epsilon_3 + \epsilon_4 + E^+} \right) T^{(2)}$$

$$\Gamma_{(T=0)} = \frac{\hbar}{2\pi e^3} \left[\left(1 + \frac{2}{eV} \frac{E^+ E^-}{E^+ + E^- + eV} \right) \left(\sum_{i=+,-} \ln(1 + eV/E_i) \right) - 2 \right] V$$

(divergency)

$$I(V) = \frac{\hbar G_1 G_2}{12\pi e^2} \left(\frac{1}{E^+} + \frac{1}{E^-} \right)^2 \left[(eV)^2 + (2\pi T)^2 \right] V$$

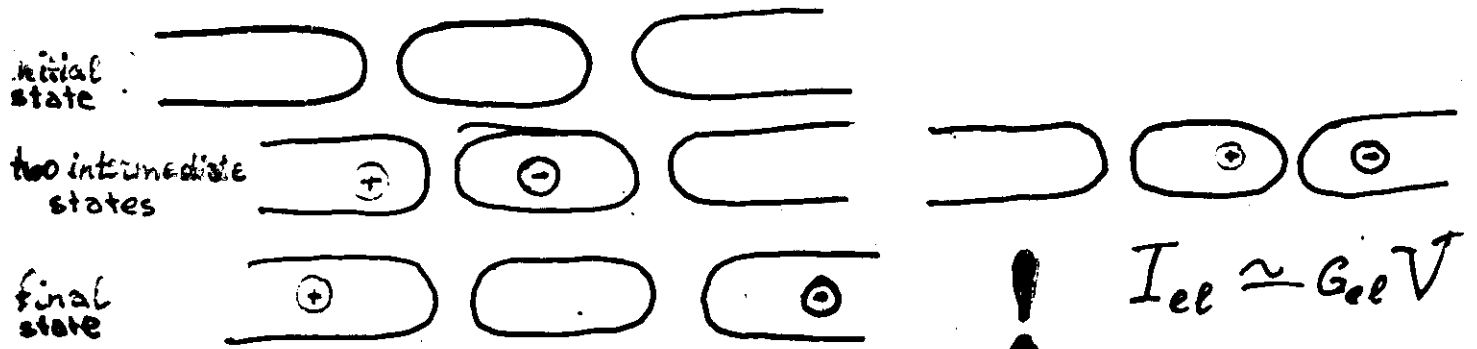
(reduction of phase volume)

5. In long chains

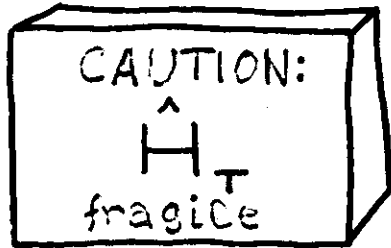


$$\Gamma = \frac{2\pi}{\hbar} \left(\frac{R_k G}{4\pi^2} \right)^N \frac{N^N}{(2N-1)! N!} \left(\frac{eV}{E_c} \right)^{2N-1} E_c$$

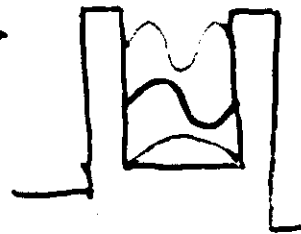
5. Elastic q-MQT



Looks like an elastic scattering of one electron



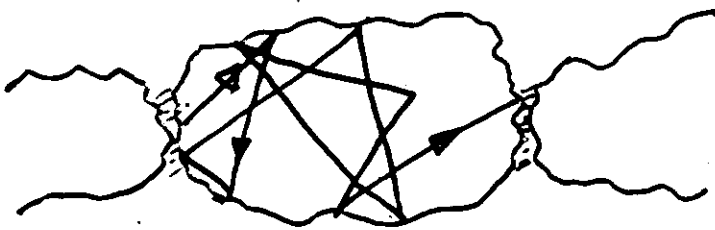
Example: $\Rightarrow$



island = 1D well

$$T_1 = T_2 \text{ or } T_1 = -T_2$$

Semiclassical methods



Virtual motion
during the time given
by Heisenberg: $\hbar/E^{+,-}$

two cases:

$$1. \hbar/E_c \gg t_{trav}$$

universal

$$G_{el} = \frac{G_1 G_2 R_K}{8\pi^2} \delta \left(\frac{1}{E^+} + \frac{1}{E^-} \right)$$

$$2. \hbar/E_c \ll t_{trav}$$

non-universal

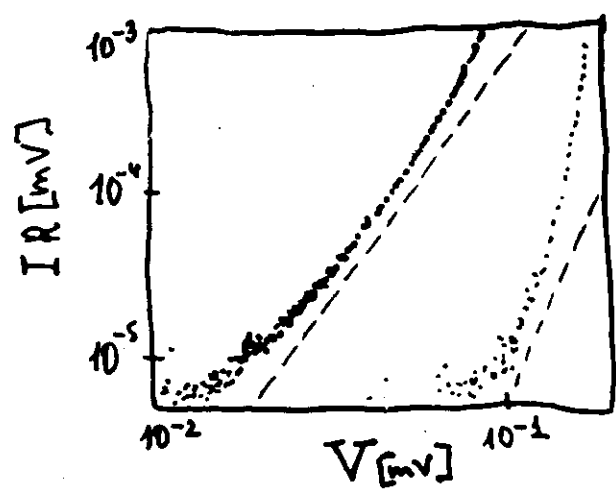
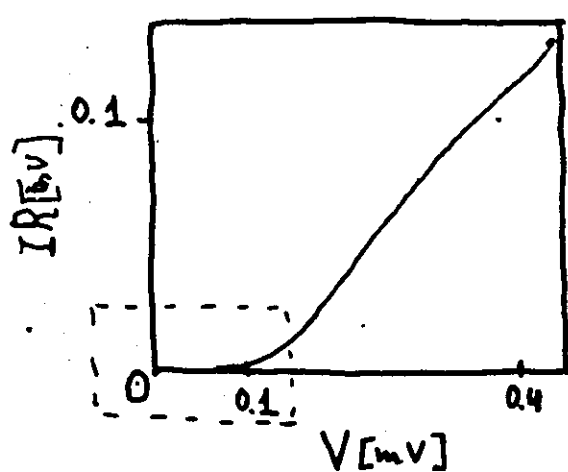
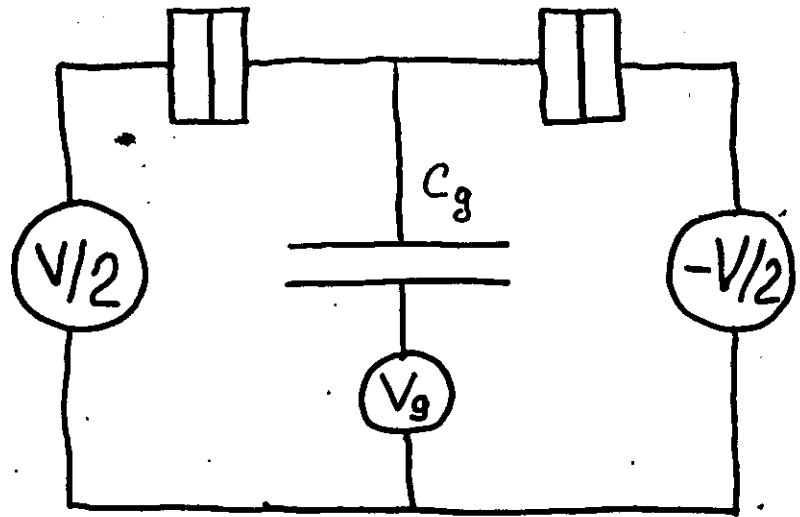
$$G_{el} \approx G_1 G_2 R_K \left(\frac{\delta}{E_c} \right) \frac{1}{E_c t_{trav}}$$

$\delta \rightarrow$ mean level spacing

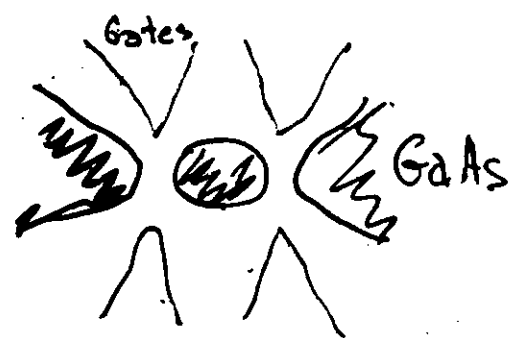
Crossover between elastic and inelastic

$$eV, T \approx \sqrt{\delta E_c} \ll E_c$$

Geerlings / Verin
Mooij 1990



••• 2 μ m, $I \sim V^3$ ••• 3 μ m, $I \sim V^5$



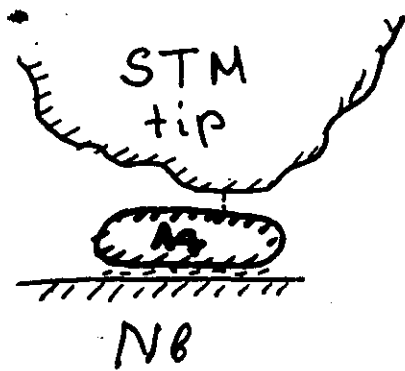
Glatti et al
1991

10% accuracy
of the formulas

Eiles Jensen
Zimmerli Martinis
1992

3. Experimental. Estimate

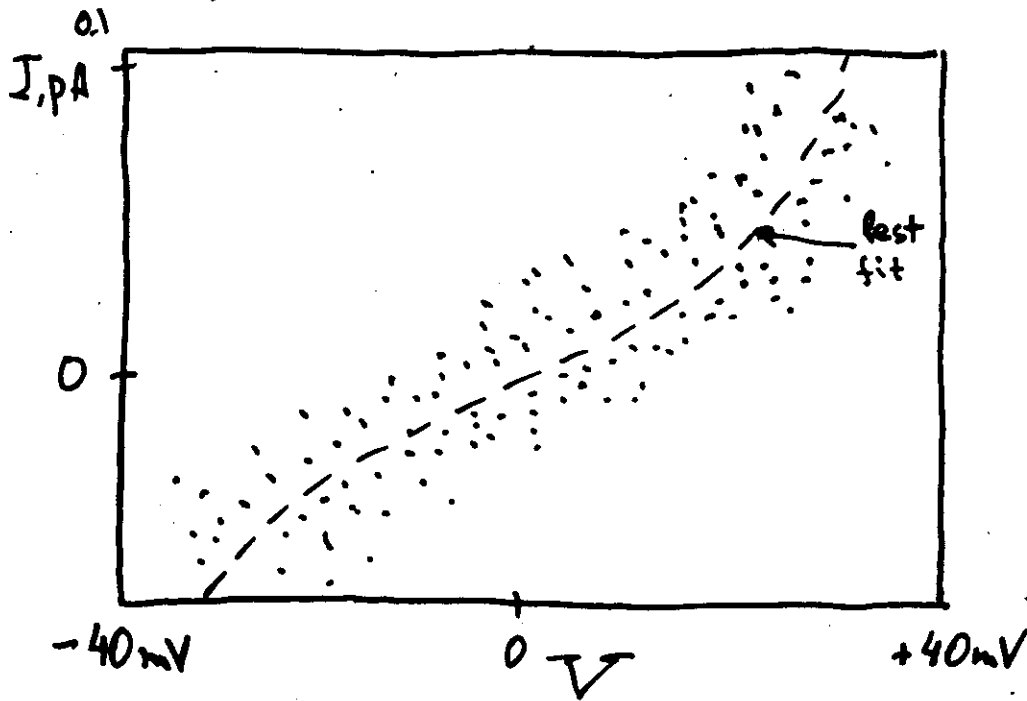
to raise S/E_c to reasonable values



M. Tinkham
et al
1992

estimated
size $10 \div 30 \text{ \AA}$

$E_c \sim 0.5 \text{ V}$

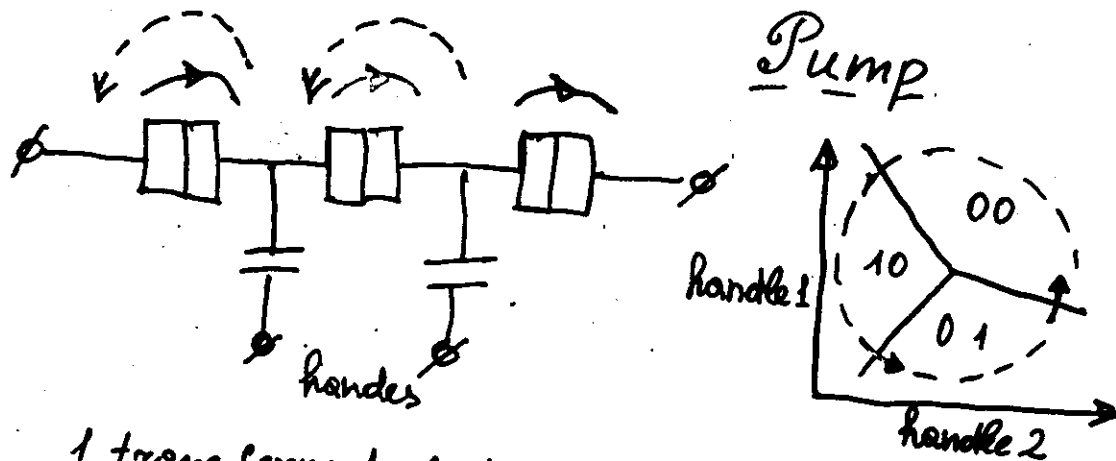


Despite of these fact,
agreement with theory is unexpectedly reasonable
(order of the value)

manipulation and trapping

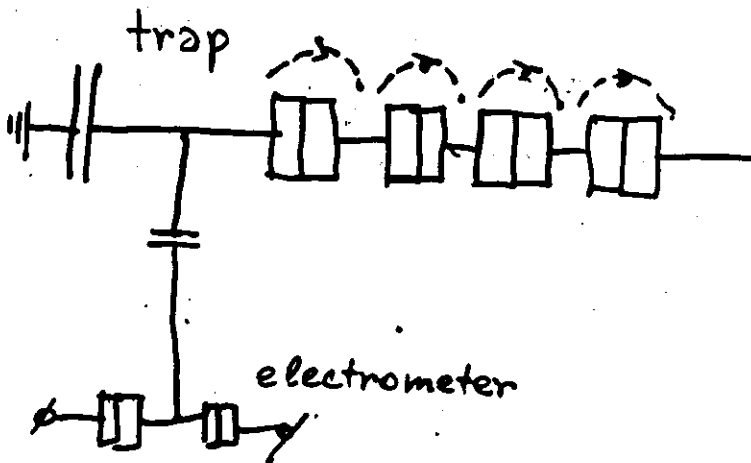
Everything is being done on purpose...

Purpose: to control charge transport (Applications?)



1 transferred electron per cycle
Co-tunneling: mistakes

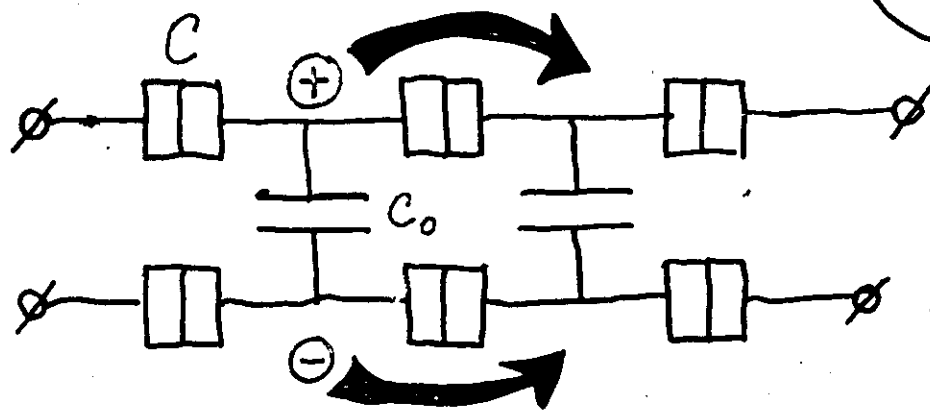
Trapping



Co-tunneling: decay of metastable states

Co-tunneling: a sort of noise

Averin Rotter
Nazarov 1991



$$C_0 \gg C$$

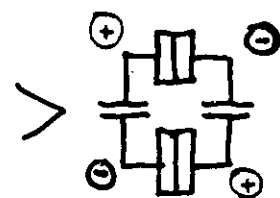
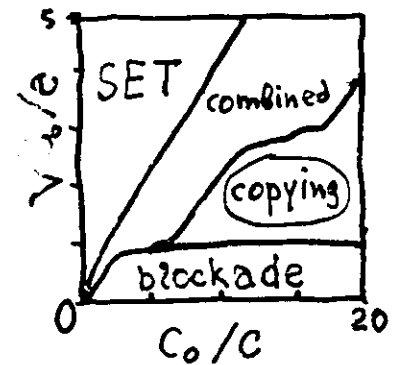
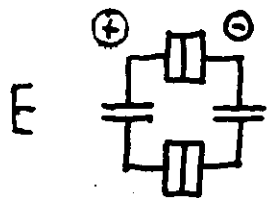
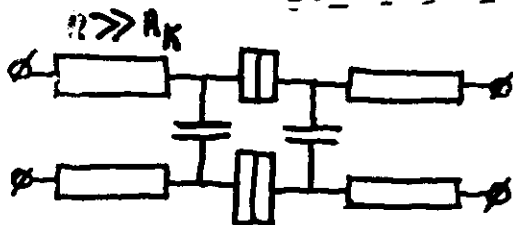
electron + hole = pair.

Co-tunneling moves it as a whole:

$$I_{up} = -I_{low}$$

in a region

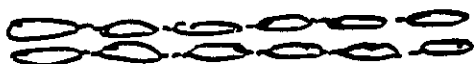
Similar circuit:



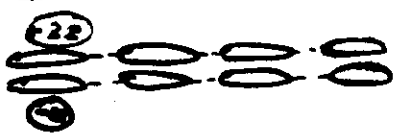
Geigenmüller
Nazarov
1991

11. Compound particles: building of

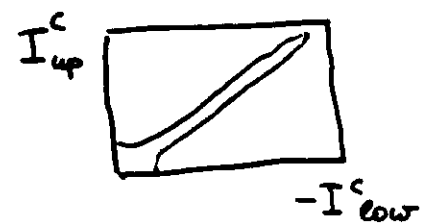
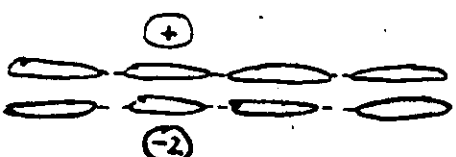
long Arrays:



long Superconductive arrays



long Super + Normal arrays



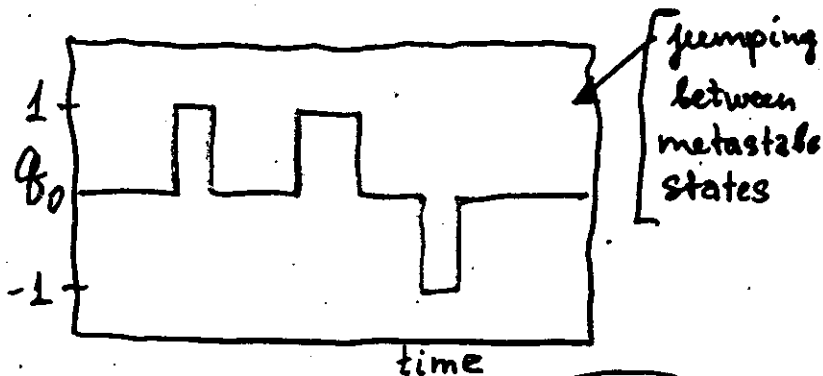
dissipative vs elastic motion $\leftrightarrow$ charge vs electron

Crossover: transport thru the array of 2D lakes

Experimental - from freedom to anarchy

Electrons like freedom too much...
Ectere 1992

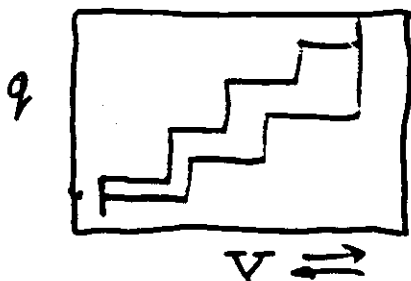
1 Trapping



1. rates are too high! (0.1 - 10s)
2. No detailed balance!

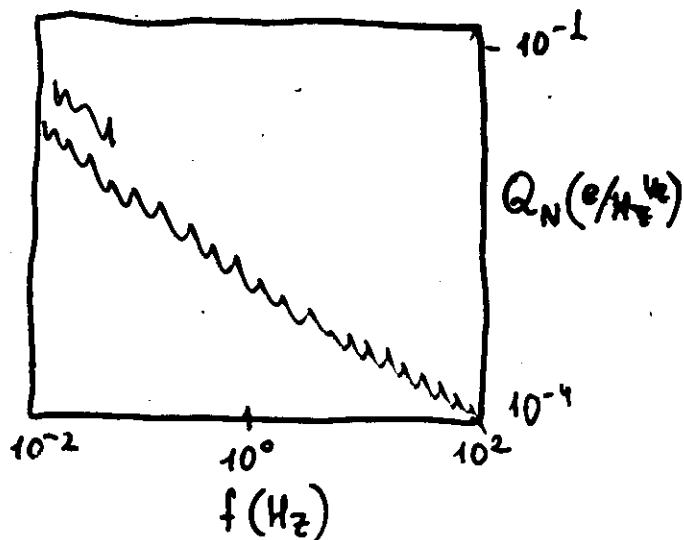
2. Hysteresis (Fulton 1991)

it's possible to measure delays sweeping voltage



1. rates are too low! (0.1 - 10s)
2. Up to noticeable temperatures (thermal activation)

3. Equilibrium? $1/f$ noise



Zimmerli et al
1992



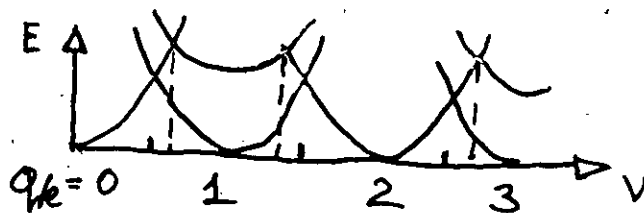
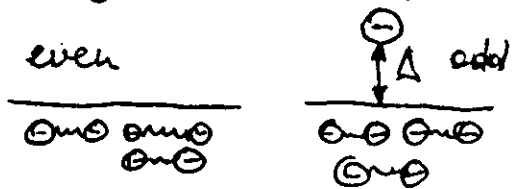
Charge traps in the barriers



plain THEORY:

1. Parity effect: uncoupled electron costs Δ

Matveev
1988



2. Transport properties: no co-tunneling at small voltages

price:
 2Δ



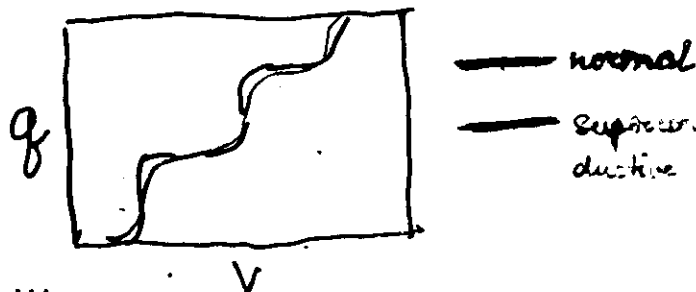
Averin Nazarov
1991

elastic contribution: small containing δ
inelastic contribution: if odd number of electrons. Also small containing δ

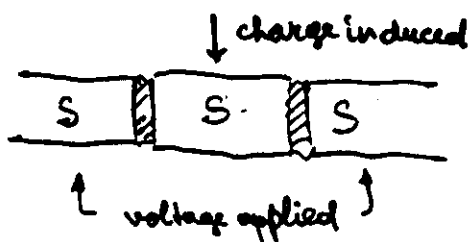
EXPERIMENTS:

1. No parity effect at equilibrium conditions

Esteve et al 1991



2. Parity effect under non-equilibrium condition



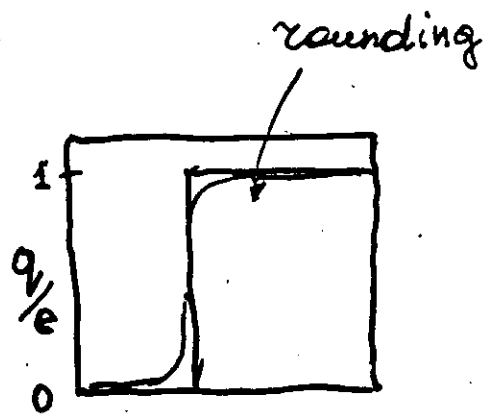
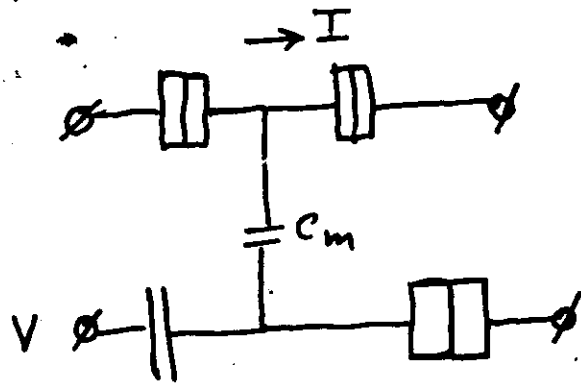
small voltage: $2e$ -periodicity
larger voltages: e -periodicity

3. Trapping: escape rates: no much difference between normal and superconductive

Esteve 1992 - faster escape

Fulton 1991 - slower escape

feedback of measuring device



a) Equilibrium quantum effects

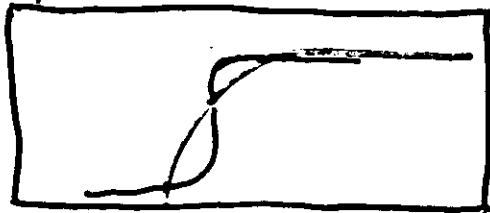
Matveev 1990

resembles multi-channel Kondo problem

rounding $\approx \exp(-\frac{40\pi}{R_K G}) \rightarrow$ not relevant for experiments.

b) Feedback of electrometer: it shakes the box

classical
rounding
 $\sim C_m/C$



quantum limit (like NMR)
narrower
but
longer tails

Feedback of ∞ -tunneling electrometer: no shaking: no classical limit
rounding $\sim (C_m/C)^2 I/E_c$

d) Temptation:

Measurement

extra noise

forbidden transitions

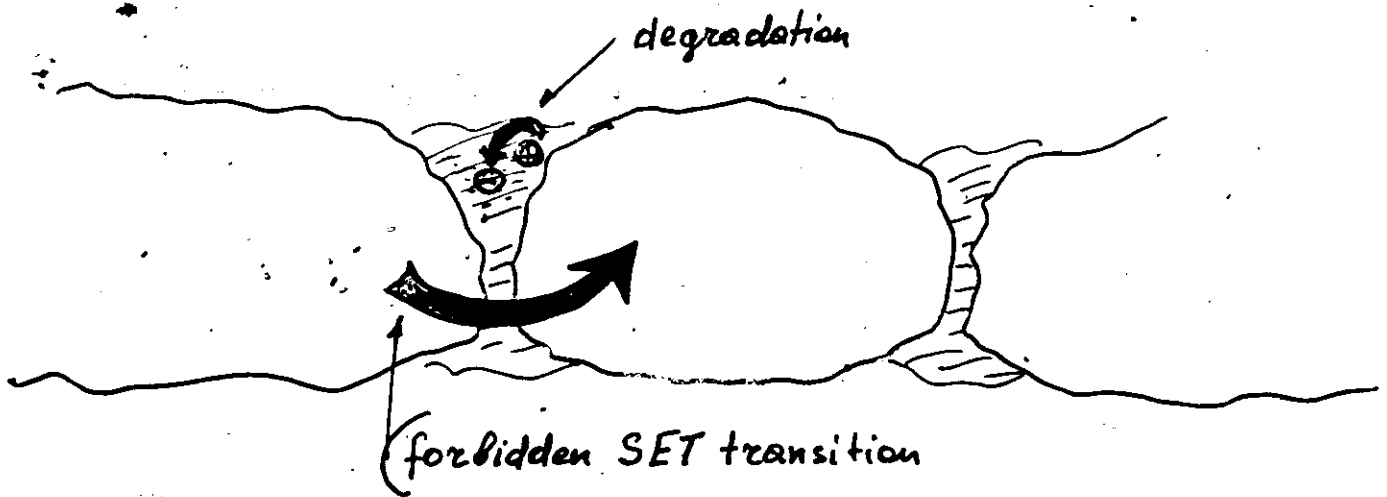
anarchy

But

Some experiments
are in contradiction

working hypothesis:

Degradation causes anarchy



1. An elementary degradation process disposes large Energy (volts)
2. it induces a voltage V at a certain function
3. if a forbidden SET pro. lacks energy E_{th}

p - probability to accompany degradation

$$p = \left(\frac{G\hbar}{24\pi e^2} \right) \left(\frac{eV}{E_{th}} \right)^2$$

Superconductivity $\rightarrow$ no much changes

$$p_s = \left(\frac{G\hbar}{2\pi e^2} \right) (eV)^2 \int_0^\infty d\varepsilon \frac{I(\varepsilon)}{(E_{th} + \varepsilon)^4}$$

Such a stimulation of forbidden jumps may cause an anarchy observed.

