



INTERNATIONAL ATOMIC ENERGY AGENCY  
UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION  
**INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS**  
I.C.T.P., P.O. BOX 586, 34100 TRIESTE, ITALY, CABLE: CENTRATOM TRIESTE



SMR.637/28

**ADVANCED WORKSHOP ON ARITHMETIC ALGEBRAIC  
GEOMETRY**

**(31 August - 11 September 1992)**

**ARITHMETIC CURVES: Algebraic Number Theory  
interpreted à la Arakelov**

Norbert Schappacher  
Département de Mathématiques  
Université Louis Pasteur  
7, rue René Descartes  
F-67084 Strasbourg  
France

---

These are preliminary lecture notes, intended only for distribution to participants

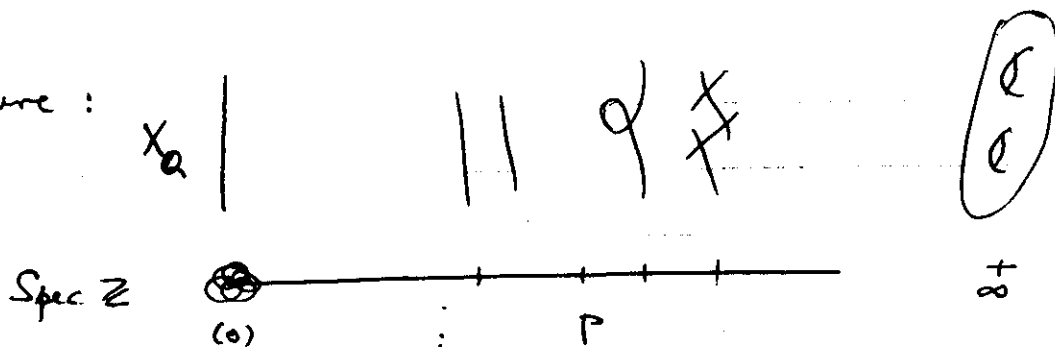
|                     |                     |             |                |              |                       |                 |             |                |              |
|---------------------|---------------------|-------------|----------------|--------------|-----------------------|-----------------|-------------|----------------|--------------|
| MAIN BUILDING       | STRADA COSTIERA, 11 | TEL. 22401  | TELEFAX 224163 | TELEX 460392 | ADRIATICO GUEST HOUSE | VIA GRIGNANO, 9 | TEL. 224241 | TELEFAX 224531 | TELEX 460449 |
| MICROPROCESSOR LAB. | VIA BEIRUT, 31      | TEL. 224471 | TELEFAX 224600 | TELEX 460392 | GALILEO GUEST HOUSE   | VIA BEIRUT, 7   | TEL. 22401  | TELEFAX 224559 | TELEX 460392 |

# ARITHMETIC CURVES : Algebraic Number Theory interpreted à la Arakelov.

N. SCHAPPACHER

[WARNING: Do not overestimate this little theory here; the true Arakelov theory really starts with arithmetic surfaces ...]

Recall Soulé's picture :



Arithmetic curves are of dimension 1  $\Rightarrow$  only the bottom line survives!  
~~---~~

$K$  - algebraic number field

$\mathcal{O}_K \subset K$  ring of integers — a Dedekind domain : regular of dim. 1.

$\text{Spec } \mathcal{O}_K$  : regular integral affine scheme of dimension 1.

"Complete"  $\text{Spec } \mathcal{O}_K$  by archimedean places of  $K$ , in Arakelov's way!

The <sup>(closed)</sup> points of the completed  $\text{Spec } \mathcal{O}_K$  then are given by:

non-archimedean normalized  
absolute values on  $K$

$y \in \text{Spec } \mathcal{O}_K$  closed point:

$$| \cdot |_y : K \rightarrow \mathbb{R}; |x|_y = N_y^{-v_y(x)}$$

where  $N_y = \#(\mathcal{O}_K / \mathfrak{p}_y) = p^{f_y}$ ;  $y \cap \mathbb{Z} = p\mathbb{Z}$

$$|p|_y = (p^{-f_y})^{e_y}$$

archimedean normalized  
absolute values on  $K$

$$\sigma : K \hookrightarrow \mathbb{C}, \bar{\sigma} = c \circ \sigma, c = \text{cplx. conj.}$$

$v = \{\sigma, \bar{\sigma}\}$  "infinite place" of  $K$

$$|x|_v = |\sigma(x)|_{\mathbb{C}} = \sqrt{\sigma(x)\bar{\sigma}(x)}$$

$$f_v = 1; e_v = \begin{cases} 1 & \sigma = \bar{\sigma} \\ 2 & \sigma \neq \bar{\sigma} \end{cases}$$

Completions :

$$K \otimes_{\mathbb{Z}} \mathbb{Q}_p \cong \prod_{y|p} K_y$$

$$[K_y : \mathbb{Q}_p] = f_y \cdot e_y$$

$$K \otimes_{\mathbb{Z}} \mathbb{R} \cong \prod_{v|\infty} K_v$$

$$[K_v : \mathbb{R}] = e_v$$

$$K_v \cong \begin{cases} \mathbb{R} & e_v = 1 \\ \mathbb{C} & e_v = 2 \end{cases}$$

Now, define analogs of the standard concepts of the theory of algebraic curves for our arithmetic curves: completed  $\text{Spec}(\mathcal{O}_K)$ .

## 1. Line bundles

$\mathcal{L}$  line bundle on  $\text{Spec}(\mathcal{O}_K) \iff$  invertible coherent module on  $\text{Spec}(\mathcal{O}_K)$   
 $\iff$  projective module  $L$  of rank 1 over  $\mathcal{O}_K$ .

RECALL FROM COMMUTATIVE ALGEBRA:

Fact. Let  $R$  be a Dedekind domain,  $F$  its field of fractions,  $M$  a finitely generated projective  $R$ -module. Then there exists a fractional ideal  $\alpha \subset F$  of  $R$ , and a non-negative integer  $n$  such that:  $M \cong \alpha \oplus R^n$ .

[See Milnor, Introduction to Algebraic K-theory, Princeton Univ Press]

Consequence: All invertible  $R$ -modules  $L$  are isomorphic to fractional ideal

Lemma: For fractional ideals:  $\alpha \cong \beta$  (as  $R$ -modules)  $\iff \exists \alpha \in F^*: \alpha = \alpha \cdot \beta$ .

Pr. " $\Leftarrow$ ": Isomorphism is given by  $\beta \xrightarrow{\sim} \alpha, x \mapsto \alpha \cdot x$ .

" $\Rightarrow$ ":  $\varphi: \alpha \xrightarrow{\sim} \beta \Rightarrow \varphi \otimes_R F: \alpha \otimes_R F \cong F \xrightarrow{\sim} F \cong \beta \otimes_R F$  is  $K$ -linear  
 $\Rightarrow \varphi \otimes F$  given by invertible  $1 \times 1$ -matrix: by  $\alpha \in F^*$ . //

Def.  $\text{Pic}(R)$  - group of isomorphism classes of invertible  $R$ -modules, group law induced by  $(M, L) \mapsto M \otimes_R L$ .

Consequence (of lemma and fact above):  $\text{Pic}(R) \cong \mathcal{C}l(R)$   
 $[\alpha] \longleftarrow [\alpha]$  ↖ ideal class group

Proposition / Definition: (1) A metrized line bundle on  $\mathcal{O}_K$  is a pair  $(L, \{N_v\}_{v|\infty})$ , where

- $L$  is an invertible  $\mathcal{O}_K$ -module,
- for each  $v|\infty$ , putting  $L_v := L \otimes_{\mathcal{O}_K} K_v$ ,  $N_v: L_v \rightarrow K_v$  is a norm on the 1-dimensional  $K_v$ -vector space  $L_v$ .

2)  $(L, \{N_v\}) \simeq (L', \{N'_v\})$  ("isometric")  $\Leftrightarrow \exists \varphi: L \xrightarrow{\sim} L'$  isomorphism of  $\mathcal{O}_K$ -modules, such that  $\forall v|\infty \quad \forall x \in L_v$ :

$$N'_v(\varphi \otimes K_v(x)) = N_v(x).$$

3)  $\text{Pic}(\bar{\mathcal{O}}_K)$  - the group of isometry-classes of metrized line bundles on  $\mathcal{O}_K$ , with group law induced by the tensor product:

$$(L, \{N_v\}) \otimes (L', \{N'_v\}) = (L \otimes_{\mathcal{O}_K} L', \{N''_v\}); \quad N''_v(x \otimes x') = N_v(x) \cdot N'_v(x').$$

Remarks: 1. The neutral element of  $\text{Pic}(\bar{\mathcal{O}}_K)$  is represented by  $(\mathcal{O}_K, \{N_v^0\})$ , where  $N_v^0: K_v \rightarrow K_v$ ;  $N_v^0(1) = 1$ .

2. Given  $v|\infty$  and  $\lambda_v \in \mathbb{R}$ , define the (in general non-trivial) metric on  $(\mathcal{O}_K)_v = K_v$ :

$$N_v^{\lambda_v}(1) = \exp\left(\frac{\lambda_v}{e_v}\right).$$

3. Define  $\rho: \bigoplus_{v|\infty} \mathbb{R} \cdot v \longrightarrow \text{Pic}(\bar{\mathcal{O}}_K)$   
 $\sum \lambda_v \cdot v \longmapsto (\mathcal{O}_K, \{N_v^{\lambda_v}\})$ .

Lemma:  $\ker(\rho) = \text{im}(\log)$ , where  $\log: \mathcal{O}_K^* \longrightarrow \bigoplus \mathbb{R} \cdot v$   
 $\varepsilon \longmapsto \sum_{v|\infty} e_v \cdot \log |\varepsilon|_v$

Proof. " $\leq$ ":  $(\mathcal{O}_K, \{N_v^{\lambda_v}\}) \simeq (\mathcal{O}_K, \{N_v^0\})$  given by  $\mathcal{O}_K \xrightarrow{\sim} \mathcal{O}_K$   
 $x \mapsto \varepsilon \cdot x$ ;  $\varepsilon \in \mathcal{O}_K^*$

$$\Rightarrow \exp\left(\frac{\lambda_v}{e_v}\right) = N_v^{\lambda_v}(1) = N_v^0(\varepsilon) = |\varepsilon|_v \Rightarrow \lambda_v = e_v \cdot \log |\varepsilon|_v.$$

" $\geq$ ": straightforward! //

Proposition: The following sequence is exact:

$$0 \rightarrow \bigoplus_{v|\infty} \mathbb{R} \cdot v / \log(\mathcal{O}_K^*) \xrightarrow[\text{(induced by } P)]{\bar{f}} \text{Pic}(\bar{\mathcal{O}}_K) \xrightarrow{\pi} \text{Pic}(\mathcal{O}_K) \rightarrow 0$$

$$(L, \{N_v\}) \longmapsto L$$

If = exercise!

Note that, essentially, we know the map "log": it is the logarithmic embedding used in the proof of Dirichlet's unit theorem. So, by this theorem,  $\log(\mathcal{O}_K^*)$  is a full lattice in  $\log(\mathcal{O}_K^*) \otimes_{\mathbb{Z}} \mathbb{R}$  which itself is a hyperplane in  $\bigoplus_{v|\infty} \mathbb{R} \cdot v$ . Thus  $\dim_{\mathbb{R}}(\log(\mathcal{O}_K^*) \otimes \mathbb{R}) = r_1 + r_2 - 1$ .

## § 2. Divisors

Definition: (1) The group of Arakelov divisors on  $\mathcal{O}_K$ :

$$\text{Div}(\bar{\mathcal{O}}_K) := \bigoplus_{0 \neq \mathfrak{p} \in \text{Spec}(\mathcal{O}_K)} \mathbb{Z} \cdot \mathfrak{p} \quad \oplus \quad \bigoplus_{v|\infty} \mathbb{R} \cdot v$$

$$D = \sum_{\mathfrak{p}} n_{\mathfrak{p}} \cdot \mathfrak{p} + \sum_v \lambda_v \cdot v = D_f + D_{\infty}.$$

(2) Principal Arakelov divisors: for  $f \in K^*$ :

$$\text{div}(f) = \sum_{\mathfrak{p}} v_{\mathfrak{p}}(f) \cdot \mathfrak{p} - \sum_v e_v \cdot \log |f|_v \cdot v.$$

(3) Degree of an Arakelov divisor:

$$\deg \left( \sum_{\mathfrak{p}} n_{\mathfrak{p}} \cdot \mathfrak{p} + \sum_v \lambda_v \cdot v \right) = \sum_{\mathfrak{p}} n_{\mathfrak{p}} \cdot \log N_{\mathfrak{p}} + \sum_v \lambda_v \in \mathbb{R}.$$

(4) The Arakelov divisor class group:

$$\text{CH}^1(\bar{\mathcal{O}}_K) = \text{Div}(\bar{\mathcal{O}}_K) / \overline{\text{div}(K^*)}.$$

Lemma:  $\forall f \in K^* \quad \deg(\overline{\text{div}(f)}) = 0$ .

(This is just a reformulation of the "product formula" for  $K$ .)

Consequence:  $\deg$  induces a degree map  $\deg: \text{CH}^1(\bar{\mathcal{O}}_K) \twoheadrightarrow \mathbb{R}$ .  
(obviously surjective!)

Proposition: The following diagram is commutative with exact rows.

$$\begin{array}{ccccccc} 0 \rightarrow \bigoplus_{v \in \mathcal{O}} R \cdot v / \log(\mathcal{O}_K^\times) & \xrightarrow{A} & CH^1(\overline{\mathcal{O}_K}) & \xrightarrow{B} & Cl(\mathcal{O}_K) & \rightarrow & 0 \\ & & \cong \downarrow 0 & & \downarrow \cong & & \\ 0 \rightarrow \bigoplus_v R \cdot v / \log(\mathcal{O}_K^\times) & \xrightarrow{\bar{S}} & Pic(\overline{\mathcal{O}_K}) & \xrightarrow{\pi} & Pic(\mathcal{O}_K) & \rightarrow & 0 \end{array}$$

Here: •  $A(\sum_v \lambda_v \cdot v) = -\sum_v \lambda_v \cdot v \in CH^1(\overline{\mathcal{O}_K})$

- $B(D) = \left[ \prod_{\mathfrak{p}} \mathfrak{p}^{-n_{\mathfrak{p}}} \right]$  (ideal class), for  $D$  as above.
- the isomorphism  $Cl(\mathcal{O}_K) \rightarrow Pic(\mathcal{O}_K)$  comes from viewing an ideal class as an isom. class of  $\mathcal{O}_K$ -modules, see above...
- $D \mapsto \mathcal{O}(D) := \left( \prod_{\mathfrak{p}} \mathfrak{p}^{-n_{\mathfrak{p}}}, \{N_v^{-\lambda_v}\} \right)$  is an isomorphism because the other vertical maps are isomorphisms.

Corollary: via the isomorphism we obtain a degree map on  $Pic(\overline{\mathcal{O}_K})$

Formulas: (1)  $(L, \{N_v\})$  metrized line bundle,  $0 \neq s \in L$ .

$$\text{Then } \deg(L, \{N_v\}) = \log \#(L/s \cdot \mathcal{O}_K) - \log \prod_v N_v(s)^{e_v}$$

(2)  $(\alpha, \{N_v\})$  metrized fractional ideal of  $\mathcal{O}_K$ .

$$\text{Then } \deg(\alpha, \{N_v\}) = -\log(N_{\mathcal{O}_K} \alpha) - \log \prod_v N_v(1)^{e_v}.$$

Proof. (a) The formula in (1) is independent of  $s$ .

In fact, if  $s' \neq 0$ ,  $s' \in L \Rightarrow s' \otimes 1 = (1 \otimes \alpha)(s \otimes 1)$  in  $L \otimes_{\mathcal{O}_K} K$ , for some  $\alpha \in K^*$ . Let  $d \in \mathbb{Z}$  such that  $d\alpha \in \mathcal{O}_K$ , and write  $n = [K:\mathbb{Q}]$ .

$$\begin{aligned} \text{Then } d^n \cdot \#(L/s' \cdot \mathcal{O}_K) &= \#(L/ds' \cdot \mathcal{O}_K) = \#(L/s \cdot \mathcal{O}_K) \cdot \# \left( \frac{s \cdot \mathcal{O}_K}{ds \cdot \mathcal{O}_K} \right) \\ &= \#(L/s \cdot \mathcal{O}_K) \cdot |N_{K/\mathbb{Q}}(d\alpha)| = d^n \cdot |N_{K/\mathbb{Q}} \alpha| \cdot \#(L/s \cdot \mathcal{O}_K). \end{aligned}$$

$$\text{On the other hand, } \prod_v N_v(s')^{e_v} = \prod_v |\alpha|_v^{e_v} \cdot \prod_v N_v(s)^{e_v} = |N_{K/\mathbb{Q}} \alpha| \cdot \prod_v N_v(s)^{e_v}$$

This shows (a).

(b) The formula (1) does not change when  $(L, \{N_v\})$  is replaced by an isometric metrized line bundle  $(L', \{N'_v\})$ .

[Clear: take for  $s' \in L'$  the image of  $s$  under an isometry.]

Using (a) and (b), we are reduced to proving (1) in the case:  $L = \alpha \geq \alpha_K$  a fractional ideal, and  $s=1$ . Then we find

$$\log \#(\alpha/\alpha_K) - \log \prod_v N_v(1)^{e_v} = \log N \alpha^{-1} - \log \prod_v N_v(1)^{e_v}.$$

Thus it suffices to prove formula (2). — But writing

$(\alpha, \{N_v\}) = \mathcal{O}(D)$ , for  $D \in \text{Div}(\bar{\alpha}_K)$ , we get

$$\begin{aligned} \deg(\alpha, \{N_v\}) &= \deg D = \sum_y n_y \cdot \log(N_y) + \sum_v \lambda_v \\ &= -\log N \left( \prod_y \frac{1}{y}^{-n_y} \right) - \log \prod_v \underbrace{N_v^{-\lambda_v}}_{N_v} (1)^{e_v} \end{aligned} //$$

### §3. Riemann-Roch

Definition. 1) Given  $(L, \{N_v\})$ , write  $V = L \otimes_K R \cong \prod_v L_v$ , and equip this  $n$ -dimensional ( $n=[K:\mathbb{Q}]$ )  $\mathbb{R}$ -vector space with a volume by treating each factor  $L_v$  separately (and then:  $\det_{\mathbb{R}}(\prod_v L_v) = \bigotimes_v \det_{\mathbb{R}}(L_v)$ ):

$v \mid \infty$  with  $e_v=1 \Rightarrow$  get a norm on  $\det_{\mathbb{R}} L_v = L_v$  by  $|x| := N_v(x)$ ;  
 $v \mid \infty$  "  $e_v=2 \Rightarrow$  " " "  $\det_{\mathbb{R}} L_v = \Lambda_{\mathbb{R}}^2 L_v$  by  $|x \wedge ix| := N_v(x)$

$$2) \chi(L, \{N_v\}) = -\log \text{vol} \left( \prod_v L_v \right).$$

[Recall Minkowski's theory!]

Proposition: [Riemann-Roch]  $\chi(L, \{N_v\}) = \deg(L, \{N_v\}) + \chi(\alpha_K, \{N_v^{\circ}\})$

Lemma:  $\chi(\alpha_K, \{N_v^{\circ}\}) = \log(2^{\frac{n}{2}} \cdot |d_K|^{-\frac{1}{2}}).$

Proof: follows from " $d_K = \det(\sigma_j(w_i))^2$ ".

Proof of proposition:  $\chi$  and  $\deg$  depend only on  $(L, \{N_v\})$  mod. isometry.

So, reduce to the case  $(\alpha, \{N_v\})$ , with  $\alpha \geq \alpha_K$  fractional ideal.

$$\Rightarrow \chi(\alpha, \{N_v\}) = -\log \text{vol} \left( \frac{\alpha \otimes R}{\alpha} \right) = -\log \left( \prod_v N_v(1)^{e_v} \cdot \underbrace{\text{VOL} \left( \frac{\alpha \otimes R}{\alpha} \right)}_{\substack{\text{w.r.t.} \\ \{N_v^{\circ}\}}} \right)$$

$$= -\log \left( \prod_v N_v(1)^{e_v} \cdot [\alpha: \sigma_K] \cdot \text{VOL} \left( \frac{c_K \otimes 1_K}{\sigma_K} \right) \right)$$

$$= \deg(\alpha, \{N_v\}) + \chi(\sigma_K, \{N_v^0\}) //$$

Ad hoc substitutes for <sup>the</sup> cohomology group  $H^0$ :

$$H^0(L, \{N_v\}) := \{s \in L \mid \forall v \in \infty \quad N_v(s) \leq 1\}.$$

This is just a finite set (not a group, in general).  
( $L \subset V$  discrete!)

Properties: (1)  $H^0(\mathcal{O}(D)) = \{0\} \cup \{f \in K^* \mid \text{div}(f) \geq -D\}.$

$$(2) \quad H^0(\sigma_K, \{N_v^0\}) = \{0\} \cup \underbrace{\mu_K}_{\text{roots of 1 in } K^*}.$$

$$(3) \quad \deg(L, \{N_v\}) < 0 \Rightarrow H^0(L, \{N_v\}) = \{0\}$$

$$(4) \quad \deg(L, \{N_v\}) = 0 \text{ and } H^0(L, \{N_v\}) \neq 0 \Rightarrow \\ \Rightarrow (L, \{N_v\}) \sim (\sigma_K, \{N_v^0\}).$$

Lemma [Minkowski]:  $\chi(L, \{N_v\}) \geq \log \frac{2^n}{2^{r_1} \pi^{r_2}} \Rightarrow H^0(L, \{N_v\}) \neq 0.$

Proofs. (1)  $f \in \mathcal{O}(D) = \pi y^{-n} \Leftrightarrow \pi y^{v_y(f)} \leq \pi y^{-n} \Leftrightarrow v_y(f) \geq -n_y$ , for all  $y$   
 $\bullet N_v^{\lambda_v}(f) \leq 1 \Leftrightarrow 1 \geq N_v(f) \cdot \exp(-\frac{\lambda_v}{e_v}) \Leftrightarrow -e_v \cdot \log N_v(f) \geq -\lambda_v. //$

(2) An integer  $\varepsilon \in \sigma_K$  such that  $|\sigma(\varepsilon)| \leq 1$ , for all  $\sigma: K \hookrightarrow \mathbb{C}$ ,  
is a root of unity (exercise!).

(3)/(4) Pick  $0 \neq s \in H^0(L, \{N_v\})$  (supposing it exist). Then  
 $\deg L = \log \underbrace{\#(L/s \cdot \sigma_K)}_{\geq 1} - \log \underbrace{\prod_v N_v(s)^{e_v}}_{\leq 1, \text{ because } s \in H^0} \geq 0.$

This already shows (3), and if  $\deg(L, \{N_v\}) = 0$ , then get:

$$1 \leq \# \log(L/s \cdot \mathcal{O}_K) = \prod_v N_v(s)^{e_v} \leq 1 \implies \text{equality everywhere} \\ \implies L = s \cdot \mathcal{O}_K \text{ and } N_v(s) = 1, \text{ for all } s \implies (L, \{N_v\}) = (\mathcal{O}_K, \{N_v^0\}).$$

Proof of Lemma:  $H^0(L, \{N_v\}) = L \cap G_\infty$ , where

$$G_\infty = \{x = (x_v)_v \in \prod L_v \mid N_v(x) \leq 1 \text{ for all } v\} \subset \prod L_v = V.$$

This is a closed, convex, 0-symmetric subset in  $V$ , and with respect to the volume defined by  $\{N_v\}$  we get:

$$\text{vol } G_\infty = 2^n \cdot \pi^{r_2}.$$

So by Minkowski's lemma:  $\text{vol } G_\infty > 2^n \text{vol}(V/L) \implies L \cap G_\infty \neq \{0\}.$   
 $\iff \chi(L, \{N_v\}) \geq \log \frac{2^n}{2^n \pi^{r_2}} = H^0(L, \{N_v\})$

As an illustration of the use of Arakelov theory in proving finiteness results, let us prove with our notations:

Theorem: Every ideal class  $[\alpha]$  of  $\mathcal{O}_K$  contains an integral ideal  $\mathfrak{b} \subseteq \mathcal{O}_K$  with norm  $N\mathfrak{b}$  bounded (indep. of  $\alpha$ ).  
 (Corollary, of course:  $\text{Cl}(\mathcal{O}_K)$  is a finite abelian group.)

Pf. Choose metrics  $(\alpha^{-1}, \{N_v\})$  such that  $\deg(\alpha^{-1}, \{N_v\}) = C_\infty - \chi(\mathcal{O}_K, \{N_v^0\})$ . Here, we write  $C_\infty := \log \frac{2^n}{2^n \pi^{r_2}}$ , and we have assumed, without loss of generality, that  $\alpha \subseteq \mathcal{O}_K$ .  
 $\implies \chi(\alpha^{-1}, \{N_v\}) \stackrel{RR}{=} \deg(\alpha^{-1}, \{N_v\}) + \chi(\mathcal{O}_K, \{N_v^0\}) = C_\infty.$   
 $\implies H^0(\alpha^{-1}, \{N_v\}) \neq 0$ ; so choose  $0 \neq s \in H^0(\alpha^{-1}, \{N_v\})$ , and put  $\mathfrak{b} := s \cdot \alpha \subseteq \mathcal{O}_K$  (because  $s \cdot \mathcal{O}_K \subseteq \alpha^{-1}$ !).  
 $\implies \deg(\alpha^{-1}, \{N_v\}) = \log \#(\alpha^{-1}/s \cdot \mathcal{O}_K) - \log \prod_v N_v(s)^{e_v} \geq \log \# \left( \frac{\alpha^{-1}}{s \cdot \mathcal{O}_K} \right) \stackrel{\leq 1}{\geq}$   
 $\implies N\mathfrak{b} = \#(\mathcal{O}_K/\mathfrak{b}) = \#(\alpha^{-1}/s \cdot \mathcal{O}_K) \leq \exp(C_\infty - \chi(\mathcal{O}_K, \{N_v^0\}))$   
 indep. bound. //

Variations of these ad hoc definitions:

$H^0(L, \{N_v\}) := L \cap G$ , where  $G$  may be any of the following

| $G$                                                                      | $\text{vol}(G)$                                                                                      | picture |
|--------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|---------|
| $G_\infty = \{s = (s_v) \in \prod L_v \mid \forall v, N_v(s_v) \leq 1\}$ | $2^{r_1} \pi^{r_2}$                                                                                  |         |
| $G_2 = \{s = (s_v) \mid \sum_v e_v \cdot N_v(s_v)^2 \leq n\}$            | $\frac{2\pi^{\frac{n}{2}} n^n}{n \Gamma(\frac{n}{2}) 2^{r_2}} \geq \frac{2^n}{2^{r_2}}$              |         |
| $G_1 = \{s = (s_v) \mid \sum_v e_v N_v(s_v) \leq n\}$                    | $2^{n-r_2} \underbrace{\left(\frac{\pi}{4}\right)^{r_2} \left(\frac{n^{n-1}}{n!}\right)}_{\geq 2^n}$ |         |

All one needs to know, in fact, is:

(i)  $s \in G \Rightarrow \prod N_v(s_v)^{e_v} \leq 1$

(ii)  $s \in G$  and  $\prod_v N_v(s_v)^{e_v} = 1 \Rightarrow N_v(s_v) = 1$ , for each  $v$ .

These properties can be easily checked for the choices of  $G$  above, by proving:

$$G_\infty \subseteq G_2 \subseteq G_1 \subseteq \{s \mid \prod N_v(s_v)^{e_v} \leq 1\}$$

easy C-Schwarz  
ineqn.
Arith-geom-  
mean ineqn.

In every case, Shimura's lemma says:  $\chi(L, \{N_v\}) \geq \log \frac{2^n}{\text{vol } G} \Rightarrow H^0(\dots) \neq 0$

ONE last application:

Variant of Minkowski's lemma:  $\deg(L, \{N_v\}) \geq \left( \log \left( \left(\frac{2}{\pi}\right)^{r_2} |d_K|^{s_2} \right) \right)$   
 $\Rightarrow H_{\infty}^0(L, \{N_v\}) \neq \{0\}.$

Proof. By Riemann-Roch:

$$\deg(L, \{N_v\}) \geq A_K \Leftrightarrow \chi(L, \{N_v\}) \geq A_K + \chi(\mathcal{O}_K, \{N_v^0\})$$

$$= \log \left( \left( \frac{2}{\pi} \right)^{r_2} |d_K|^{1/2} \cdot 2^{r_2} \cdot |d_K|^{1/2} \right)$$

$$= \log \left( \frac{2^n}{2^{r_1} \pi^{r_2}} \right).$$

So we reduced the variant to our original version of Minkowski's lemma.

Corollary:  $K \neq \mathbb{Q} \Rightarrow |d_K| > 1$  [ $\Rightarrow$  at least one  $p$  ramifies in  $K$ .]

Proof. Assume  $d_K = \pm 1$ .

Comparing property (3) on page 7 to the variant of Minkowski, we see that  $A_K \geq 0$ . But for  $d_K = \pm 1$ ,  $A_K = \log \left( \left( \frac{2}{\pi} \right)^{r_2} \right)$ .

So  $r_2 = 0$ .

Assume  $r_1 > 1$ , and choose  $s = (s_v)_v \in \Pi(\mathcal{O}_K)_v = \mathbb{R}^{r_1}$  which is not in the image ~~of~~  $\log(\sigma_K^*)$  [which is discrete, so  $s$  certainly exists], and such that  $\sum_v s_v = 0$ .  
( $\uparrow \{ \sum s_v = 0 \}$ )

Put  $\lambda_v = -e_v \cdot \log |s_v|$ .

Then  $(\sigma_K, \{N_v^{\lambda_v}\}) \neq (\sigma_K, \{N_v^0\})$ , by lemma page 3.

But  $\deg(\sigma_K, \{N_v^{\lambda_v}\}) = \sum \lambda_v = 0$  by construction of  $s$ .

Thus, by property (4) on page 7, we see that  $H^0(\sigma_K, \{N_v\}) = \{0\}$ .

So, the variant of Minkowski tells us that  $\log(|d_K|^{1/2}) > 0$  (remember that  $r_2 = 0$ ); therefore  $|d_K| \neq 1$ .

qed.

